

O-202-22
(as amended)

**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

Applicant/Program: St. Joseph Catholic Orphan Society / St. Joseph Children's Home Annual Picnic
Applicant Requested Amount: \$6,000
Appropriation Request Amount: ~~\$4,100~~ **\$6,000**

Executive Summary of Request
 Grant to St. Joseph Children's Home for their annual picnic, scheduled for Friday, August 12 and Saturday, August 13, at 2823 Frankfort Avenue. Funds will be used for public safety, sanitation, and rentals, which include security, first aid, safety tents, fencing, stage rentals, golf carts, trash collection, dumpsters, sanitation stations, port-a-potty, parking, wristbands, ambulances, etc.

Is this program/project a fundraiser? Yes No
 Is this applicant a faith based organization? Yes No
 Does this application include funding for sub-grantee(s)? Yes No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

9 Bill Hollander \$1,000 6/9/2022
 District # Primary Sponsor Signature Amount Date

Primary Sponsor Disclosure
 List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

Approved by:

 Appropriations Committee Chairman Date
 Final Appropriations Amount: _____

Applicant/Program:

St. Joseph Catholic Orphan Society / St. Joseph Children's Home Annual Picnic

Additional Disclosure and Signatures

Additional Council Office Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

Council Member Signature and Amount

District 1	<u>Angela Bowers</u>	\$ <u>300</u>
District 2	<u>Bonnie Deane</u>	\$ <u>500</u>
District 3	_____	\$ _____
District 4	_____	\$ _____
District 5	_____	\$ _____
District 6	_____	\$ _____
District 7	_____	\$ _____
District 8	_____	\$ _____
District 9	_____	\$ _____
District 10	<u>Ermon Mitchell</u>	\$ <u>500</u>
District 11	_____	\$ _____
District 12	<u>Bill Blundell</u>	\$ <u>500</u>
District 13	_____	\$ <u>400</u>
District 14	<u>Cindi Fowler</u>	\$ <u>300</u>
District 15	_____	\$ <u>500</u>

Applicant/Program:

St. Joseph Catholic Orphan Society / St. Joseph Children's Home Annual Picnic

Additional Disclosure and Signatures

Additional Council Office Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

District 16 _____ \$ _____

District 17 _____ \$ _____

District 18 Mary Hahn \$ 250

District 19 _____ \$ _____

District 20 _____ \$ _____

District 21 Nicole R. George \$ 500

District 22 Robin J Engel \$ 500

District 23 James Peden \$ 250

District 24 Madonna Flood \$ 500

District 25 _____ \$ _____

District 26 _____ \$ _____

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Legal Name of Applicant Organization St. Joseph Catholic Orphan Society	
Program Name and Request Amount St. Joseph Children's Home Annual Picnic / \$6,000	
	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA
Is the funding proposed by Council Member(s) less than or equal to the request amount?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA
Is the proposed public purpose of the program viable and well-documented?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA
Will all of the funding go to programs specific to Louisville/Jefferson County?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA
Has prior Metro Funds committed/granted been disclosed?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA
Is the application properly signed and dated by authorized signatory?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	<input type="checkbox"/> N/A <input type="checkbox"/> Yes <input type="checkbox"/> No
Is the entity in good standing with: <ul style="list-style-type: none"> ▶ Kentucky Secretary of State? ▶ Louisville Metro Revenue Commission? ▶ Louisville Metro Government? ▶ Internal Revenue Service? ▶ Louisville Metro Human Relations Commission? 	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA
Is the current Fiscal Year Budget included?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA
Is the entity's board member list (with term length/term limits) included?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA
Is recommended funding less than 33% of total agency operating budget?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA
Does the application budget reflect only the revenue and expenses of the project/program?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA
Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?	<input type="checkbox"/> N/A <input type="checkbox"/> Yes <input type="checkbox"/> No
Is the most recent annual audit (if required by organization) included?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA
Is a copy of Signed Lease (if rent costs are requested) included?	<input type="checkbox"/> N/A <input type="checkbox"/> Yes <input type="checkbox"/> No
Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA
Are the Articles of Incorporation of the Agency included?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA
Is the IRS Form W-9 included?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA
Is the IRS Form 990 included?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA
Are the evaluation forms (if program participants are given evaluation forms) included?	<input type="checkbox"/> N/A <input type="checkbox"/> Yes <input type="checkbox"/> No
Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?	<input type="checkbox"/> N/A <input type="checkbox"/> Yes <input type="checkbox"/> No
Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA
Prepared by: <i>Kyle Ethridge</i>	Date: 6/9/2022

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
Legal Name of Applicant Organization:			
<i>(as listed on: http://www.sos.ky.gov/business/records ST. JOSEPH CATHOLIC ORPHAN SOCIETY</i>			
Main Office Street & Mailing Address: 2823 Frankfort Ave Louisville, KY 40260-2693			
Website: http://sjkids.org/			
Applicant Contact:	Eric Dennison	Title:	Grants Manager
Phone:	(502) 893-0241	Email:	ericd@sjkids.org
Financial Contact:	Debra Turner	Title:	CFO
Phone:	(502) 893-0241	Email:	debbiet@sjkids.org
Organization's Representative who attended NDF Training: Eric Dennison 06/07/2022			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s):	2823 Frankfort Ave		
Council District(s):	9	Zip Code(s):	40206
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: St. Joe's 173rd Annual Picnic			
Total Request: (\$)	\$ 6,000.00	Total Metro Award (this program) in previous year: (\$)	\$ 6,000.00
Purpose of Request (check all that apply):			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget) <input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
The Following are Required Attachments:			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current year projected budget <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation (current & signed) <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input checked="" type="checkbox"/> Annual audit (if required by organization) <input checked="" type="checkbox"/> Faith Based Organization Certification Form, if applicable	
For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
Source:	EAF	Amount: (\$)	\$ 66,800.00
Source:	NDF	Amount: (\$)	\$ 6,000.00
Source:	N/A	Amount: (\$)	\$ 0.00
Has the applicant contacted the BBB Charity Review for participation? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

St. Joseph Children's Home has evolved throughout the years to become a multi-faceted, child caring nonprofit licensed by the Commonwealth of Kentucky with the mission of "Giving Children a Home."

St. Joe's is no longer home to orphans, but rather, children who have been removed from their homes because they have experienced severe abuse and neglect. St. Joe's has consistently adapted to the needs of the community and now serves children and families across three core programs: Residential Treatment Program, Therapeutic Foster Care and Adoption Program, and the Child Development Center.

St. Joe's serves children in all counties of Kentucky, regardless of nationality, sexual orientation, race, or creed. Since 1849, St. Joe's has provided a safe and loving home to over 75,000 boys and girls. St. Joseph Children's Home is a nonprofit child care facility licensed by the Commonwealth of Kentucky. St. Joe's serves children from all counties of Kentucky, regardless of nationality, race, or creed. While children from throughout the state are accepted in our Residential and Foster Care programs, at any given time the majority of the children are from Jefferson County including most Metro Council Districts. Annually, St. Joe's serves more than 1,200 children and family members in our three core programs.

The Residential Treatment Program is licensed to serve 48 children at a time and serves approximately 85 children, ages 5-16 each year. The children come from homes whose family environment is threatened by neglect, abuse, violence, poverty and/or illness. We serve 85-90 children a year.

The Therapeutic Foster Care and Adoption program serves about 85 children, from birth to 21 each year. All are wards of the Commonwealth of Kentucky and are referred to St. Joe's by the Department of Community Based Services.

The Child Development Center provides early childhood education to 170 children, ages 6 weeks through 5 years. The CDC participated in STARS FOR KIDS NOW, a voluntary above-licensing quality assurance program operated by the Kentucky Cabinet for Health and Family Services.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 - BOARD OF DIRECTORS AND PAID STAFF

Board Member	Term End Date
Schneider, William Jr - President	12/01/2023
Thompson, Kathy - Vice President	12/01/2026
Fischer, Cheryl - 2nd Vice President	12/01/2023
Withey, Dennis - Secretary	12/01/2023
Ellis, Michael	12/01/2022
Borders, Kevin	12/01/2026
Carter, Barbara	12/01/2027
DeJaco-Crutcher, Lisa	12/01/2028
Dilger, Craig	12/01/2026
Feger, Michelle	12/01/2026
Flaker, Ashley	12/01/2026
Frierson, Kimberly	12/01/2028
Meek, Terra	12/01/2028
Miller, Dr. Justin (Jay) D	12/01/2022
Mullins, Paul	12/01/2022
Oakley, Greg	12/01/2027
Phillips, Brian	12/07/2027

Describe the Board term limit policy:

From the Articles of Incorporation of the St. Joseph Catholic Orphan Society, Section 3.01:
 -Subsection D. Except for the Ordinary of the Roman Catholic Archdiocese of Louisville or his designee who shall have an unlimited term office, individual trustees shall until December 31st of the second full year after their becoming a trustee. Subsection E. With the approval of a majority of the persons then serving on the Board of Trustees in the month of December of the expiring term, a trustee is eligible, to serve two additional, consecutive two-year terms.

Three Highest Paid Staff Names	Annual Salary	
Grace Akers	\$ 0.00	\$125,704.80
Suzy Hillebrand	\$ 0.00	\$98,940.00
Julie Greenwell	\$ 0.00	\$102,093.54

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SECTION 5 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

St Joseph Children's Home seeks funding for community support and safety for our 173rd Annual Picnic Fundraising Event on Friday and Saturday of August 12 & 13, 2022.

For 173 years, generations of family and friends have gathered every August for the Picnic at St. Joe's. Our annual picnic is the main fundraiser hosted by St. Joe's and continues to be one of the largest fundraising events in Kentucky. 100% of the proceeds from Picnic go to support St. Joe's kids and programming. This request seeks funds to help support the community safety aspects of holding an event.

However, as we transition safely out of the COVID-19 pandemic, and work around our Building Forever Families construction project, which aims at the first campus renovation in over a century, this years Picnic will need more funding to support community safety such as security, sanitation (trash, bathrooms, etc.), parking, insurance, golf cart rentals (to travel through the crowds), stage production, fencing, and other elements to ensure community safety. St. Joe's is expecting crowds of 50,000 or more during this weekend.

Admission will be free again this year, with bands playing both nights, and our full array of booths for children and adults. We will also have multiple food vendors.

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):

St. Joe's requests funds from NDF to support community safety for the event. The event budget is below at \$312,565. Funds from NDF for \$6,000 will be used for public safety costs to ensure no one is hurt, an orderly flow occurs at picnic, and costs to clean up trash.

We will still need security to protect our assets, as well as the mini 'bank' we set up on campus for the cash coming in and out of the grounds. Additionally, we will need security in places to ensure that entrances are appropriately managed and that entry points re managed to ensure the safety of the crowd, including first aid and safety tents. Fencing and stage costs help us maintain order for crowd sized. Overnight security will be a big factor. We will also look into getting some of these costs donated by LMPD and the JCSO. Golf Carts will be used to navigate campus and will be used under security costs.

Sanitation includes trash upkeep, dumpsters, sanitation stations, clean-up, and porta-pot bathrooms. Parking costs will help us ensure order of people on campus. Wristbands will help us keep the premises as a security point to measure ages and entrance. Stage rental will help us monitor crowds and make PSA announcements. Ambulances will provided in case of emergency.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

This is St. Joe's largest annual fundraiser. Funds will be used to provide elements for community safety.

St. Joseph Children's Home receives compensation from the Commonwealth of Kentucky for each child cared by our Residential and Foster Care programs. However, this funding typically covers only about 77% of the actual cost of caring for the children. The remaining funds must be made up through donations, grants, and other fundraising efforts. The Picnic is typically our largest single fundraiser each year, with proceeds providing funds for a significant portion of the expenses not covered by state funding. This includes the day-to-day expense of caring for the children, such as food, clothing, shoes, hygiene items, bedding, healthcare, school supplies, books, activities, entertainment, etc., as well as administrative and facility costs associated with providing a healthy, safe, and therapeutic living environment.

In-kind and financial donations which help with necessary expenses associated with operating the Picnic increase the net proceeds from the event, allowing more of the money raised to be used in caring for the children.

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

Reimbursements should not be made before application date unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.

✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

Because it's an event and not a long-term program, the Picnic itself does not provide measurable benefits over time. But funds generated by the Picnic ensure that money is available to meet the needs of the children who call St. Joe's 'home' in a safe, healthy, therapeutic environment which lets the recover from the trauma that brought them here.

Measurable outcomes for the Residential and Foster Care/Adoption programs include: permanency placement, counties/regions served, adoptions, critical incidents, clients served, length of stay, and treatment goals.

For both our Residential and Foster Care/Adoption programs we track and review in department meetings, as they occur or are being planned for, client discharges in regards to meeting or moving towards meeting their permanency goal. We also have an in-house tracking system for incidents which includes covering health, welfare, and safety such as illnesses, injuries, AWOLs, deadly weapon possession, suicide attempts, criminal activity, school suspensions, school changes to a more restrictive school, serious injuries to another, sexual acting out, and in residential emergency physical interventions.

In 2021, our agency served 1,037 children with a 83% in change in positive behaviors.

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

St. Joseph Children's Home is one of a handful of residential facilities in Louisville. These agencies work together with the Cabinet and state social workers to find the best placement for each child, per their case plan.

A number of churches, businesses, and families have been long-term partners in coordinating the Picnic. these partners will assist in fundraising this year. Arby's Foundation Jeff Wyler Honda Auto Mall/Nissan of Louisville/Clarksville Toyota, Kosair Charities, Lexus of Louisville, Mercedes-Benz of Louisville. Partner Sponsors include: Altruism, Atlas Brown, Delta Services, IBEW Local Union 369, Pepsi, LG&E, Great Clips, A Another Room Self Storage, Highland Roofing Company, Inc., Hussung Mechanical Contractors/HMC Service Company, Kentucky Select Properties, L&N Federal Credit Union, and Louisville Metro Council District 9.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
A: Personnel Costs Including Benefits			\$ 0.00
B: Rent/Utilities			\$ 0.00
C: Office Supplies			\$ 0.00
D: Telephone			\$ 0.00
E: In-town Travel			\$ 0.00
F: Client Assistance (See Detailed List on Page 8)			\$ 0.00
G: Professional Service Contracts			\$ 0.00
H: Program Materials			\$ 0.00
I: Community Events & Festivals (See Detailed List on Page 8)	\$ 6,000.00	\$ 306,565.00	\$ 312,565.00
J: Machinery & Equipment			\$ 0.00
K: Capital Project			\$ 0.00
L: Other Expenses (See Detailed List on Page 8)			\$ 0.00
*TOTAL PROGRAM/PROJECT FUNDS	\$ 6,000.00	\$ 306,565.00	\$ 312,565.00
% of Program Budget	1.92%	98.08%	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	\$ 342,000.00
Fees Collected from Program Participants	\$ 150,000.00
Other (please specify)	
Total Revenue for Columns 2 Expenses **	\$ 492,000.00

*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

**Must equal or exceed total in column 2.

Expense	Amount
Food/entertainment vendors	\$ 60,000
Beverages	\$ 55,000
Booth Prizes	\$ 30,000
Sales Taxes and license	\$ 26,000
Rent/Lease	\$ 25,000
Security	\$ 25,000
Supplies	\$ 20,000
Insurance - Picnic	\$ 15,000
Outsourced Printing	\$ 11,000
Misc	\$ 10,700
Other Maint.- ELECTRIC	\$ 10,000
Food Service	\$ 6,000
Credit card fees	\$ 6,000
Dues/Subscriptions	\$ 4,100
Clothing - Picnic	\$ 3,000
Advertising	\$ 2,500
Medical	\$ 2,500
Merchandise	\$ 400
Postage	\$ 200
Office Supplies	\$ 100
Mobile Phones	\$ 65
Temp Labor-Picnic	\$ -
General Maint.	\$ -
Equip. Maint.	\$ -
Special Event - Gaming	\$ -
Special Event Exp - Non- Gaming	\$ -
Publid Relations	\$ -
Staff Incentives	\$ -
Small Equip/Furniture	\$ -
Cleaning Services	\$ -
Cleaning Supplies	\$ -
Non-Food Supplies	\$ -
Total Expenses	\$ 312,565
Total Expected Revenue	\$ 492,000

Community Safety Expenses

Security	\$	25,000
Maint (Trash/Electric/Dumpster)	\$	10,000
Stage	\$	2,179
First Aid Tents	\$	500
Ambulance	\$	1,000
Shuttle Parking	\$	1,875

Total Community Safety **\$** **40,554**

All Other Costs **\$** 272,011

Total Expense **\$** **312,565**

Total Expected Revenue **\$** 492,000

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Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
In-Kind Volunteer	\$ 25,000.00	Federal Standard
<p align="center"><i>Total Value of In-Kind (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)</i></p>	\$ 25,000.00	

*** DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK**

Agency Fiscal Year Start Date: 01/01/2022

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:

N/A

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 7 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked and the funds will not be disbursed to our organization.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

SECTION 8 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:	Suzy Hillebrand (e-signature)	Date:	06/01/2022
Legal Signatory: (please print):	Suzy Hillebrand	Title:	CAO
Phone:	(502) 893-0241	Extension:	213
Email:	suzyh@sjkid.org		



June 7, 2022

Ms. Kyle Ethridge
9th District Legislative Assistant
Councilman Bill Hollander
601 West Jefferson Street
Louisville, KY 40202

Dear Ms. Ethridge:

St. Joseph Children's Home administrators and staff understand and agree to the following conditions regarding Louisville Metro funding for 173rd Picnic public safety and use of the fundraising proceeds from the Picnic:

1. Grantee must make the residential treatment program available to all members of the general public regardless of their religious affiliation or beliefs, if any at all.
2. Grantee shall limit its counseling to secular methods, teachings and principles, and Grantee shall not proselytize in any manner whatsoever.
3. No worship or religious study shall be conducted during or in connection with any program funded by the grant.

Please be aware that St. Joseph Children's Home abides by all of the above-mentioned conditions. If you have any questions, please do not hesitate to contact me.

Sincerely,

A handwritten signature in black ink, appearing to read "ED", with a stylized flourish at the end.

Eric Dennison
Grants Manager

**Internal Revenue Service
P.O. Box 2508
Cincinnati, OH 45201**

Department of the Treasury

Date: June 12, 2013

Person to Contact:

Roger Meyer ID# 0110429

Toll Free Telephone Number:

877-829-5500

Employer Identification Number:

53-0196617

Group Exemption Number:

0928

United States Conference of Catholic
Bishops
3211 4th Street, NE
Washington, DC 20017-1194

Dear Sir/Madam:

This responds to your June 5, 2013, request for information regarding the status of your group tax exemption.

Our records indicate that you were issued a determination letter in March 1946, that you are currently exempt from federal income tax under section 501(c)(3) of the Internal Revenue Code, and are not a private foundation within the meaning of section 509(a) of the Code because you are described in sections 509(a)(1) and 170(b)(1)(A)(i).

With your request, you provided a copy of the *Official Catholic Directory for 2013*, which includes the names and addresses of the agencies and instrumentalities and the educational, charitable, and religious institutions operated by the Roman Catholic Church in the United States, its territories, and possessions that are subordinate organizations under your group tax exemption. Your request indicated that each subordinate organization is a non-profit organization, that no part of the net earnings thereof inures to the benefit of any individual, and that no substantial part of their activities is for promotion of legislation. You have further represented that none of your subordinate organizations is a private foundation under section 509(a), although all subordinates do not all share the same sub-classification under section 509(a). Based on your representations, the subordinate organizations in the *Official Catholic Directory for 2013* are recognized as exempt under section 501(c)(3) of the Code under GEN 0928.

Donors may deduct contributions to you and your subordinate organizations as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to them or for their use are deductible for federal estate and gifts tax purposes if they meet the applicable provisions of section 2055, 2106, and 2522 of the Code.

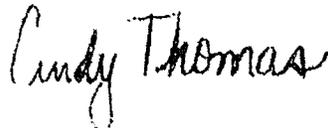
Subordinate organizations under a group exemption do not receive individual exemption letters. Most subordinate organizations are not separately listed in Publication 78 or the EO Business Master File. Donors may verify that a subordinate organization is included

in your group exemption by consulting the *Official Catholic Directory*, the official subordinate listing approved by you, or by contacting you directly. IRS does not verify the inclusion of subordinate organizations under your group exemption. See IRS Publication 4573, *Group Exemption*, for additional information about group exemptions.

Each subordinate organization covered in a group exemption should have its own EIN. Each subordinate organization must use its own EIN, not the EIN of the central organization, in all filings with IRS.

If you have any questions, please call us at the telephone number shown in the heading of this letter.

Sincerely,

A handwritten signature in black ink that reads "Cindy Thomas". The signature is written in a cursive style with a large initial "C".

Cindy Thomas
Manager, Exempt Organizations
Determinations



Giving Children a Home

The United States Conference of Catholic Bishops (USCCB) is the central organization that holds a group tax exemption under section 501(c)(3) of the Internal Revenue Code, which was originally issued by the IRS in 1946 (GEN 0928).

USCCB certifies that St. Joseph Catholic Orphan Society (dba St. Joseph Children's Home) at 2823 Frankfort Avenue, Louisville, KY 40206 [EIN: 61-0475286] is exempt from federal income tax under section 501(c)(3) of the Internal Revenue Code by virtue of its inclusion as a subordinate organization under the USCCB group tax exemption GEN 0928. It is listed in the 2013 edition of The Official Catholic Director on page 742.



**St. Joseph Children's Home
2022 Revised Budget**

St. Joseph Children's Home
2022 Budget
Consolidated Program

Account Description	2021		
	Annualized	2021 Budget	2022 Budget
Programs			
Residential Revenue	3,532,749	3,823,154	4,974,503
Less Payroll and Benefits	2,494,735	2,772,367	3,050,457
Less Total Other Expenses	413,014	436,327	448,529
Net Income/Loss	625,000	614,460	1,475,517
CDC Revenue	1,535,480	1,484,671	2,234,242
Less Payroll and Benefits	1,196,924	1,305,630	1,824,665
Less Total Other Expenses	251,000	247,584	331,356
Net Income/Loss	87,556	-68,543	78,221
Foster Care Adoption Revenue	1,422,474	1,498,261	1,700,424
Less Payroll and Benefits	504,864	626,130	607,961
Less Total Other Expenses	677,160	669,765	729,975
Net Income/Loss	240,450	202,366	362,488
BHSO Revenue	0	46,788	83,715
Less Payroll and Benefits	0	24807	39255
Less Total Other Expenses	0	20,250	68,950
Net Income/Loss	0	1,731	-24,490
General and Administration			
Less Payroll and Benefits	863,696	868,801	951,699
Less Total Other Expenses	339,853	402,805	482,895
Net Income/Loss	1,203,549	1,271,606	1,434,594
Plant Operations			
Less Payroll and Benefits	411,254	485,310	481,563
Less Total Other Expenses	668,628	735,619	768,972
Net Income/Loss	1,079,882	1,220,929	1,250,535
Total Programs	-1,330,425	-1,742,521	-793,393
Advancement			
Advancement Revenue	2,672,603	1,749,800	856,000
Less Payroll and Benefits	348,142	363,721	445,344
Less Total Other Expenses	44,286	53,335	58,070
Net Income/Loss	2,280,175	1,332,744	352,586
Special Events	257,450	605,000	504,500
Less Payroll and Benefits	31,546	30,730	31,990
Less Total Other Expenses	50,849	261,165	312,565
Net Income/Loss	175,055	313,105	159,945
Capital Campaign			
Capital Campaign Revenue	494,874	0	0
Capital Campaign Expense	68,654	127,393	54,497
Net Income/Loss	426,220	-127,393	-54,497
Total Advancement Income(Loss)	2,881,450	1,518,456	458,034
Net Operating Income(Loss)	1,551,025	-224,065	-335,359
Investments			

Investment Income	971,385	0	0
Investment Expense	34,458	0	0
Net Investments	936,927	0	0
Net Income(Loss) After Investments	2,487,952	-224,065	-335,359
Klemenz Property Activity	0	0	0
Gain on sale	131,559	0	0
Expense	13,166	0	0
Net Klemenz Property	118,393	0	0
Net Income(Loss) After Klemenz Property Activity	2,606,345	-224,065	-335,359
Adjustments to operating cash budget:			
Add back non cash Depreciation	308,946	311,100	371,200
Less Investment Income	-1,055,320	0	0
Less Capital campaign pledges/income	-426,220	127,392	0
Less PPP Loan Income	-1,162,845	-115,180	0
Operating Cash results/budgets	270,906	-937,373	35,841

Capital Asks

1 IT-monitors,laptops,desktops - rotation	21,000
1 Firewall Solutions	\$6,000
1 IT Security Solution	\$3,500
2 Van - Matching Grant	12,000
3 Plant Ops - Gator	15,000
4 Playground Shade Structure (loss of trees)	5,000

Total **62,500**

St. Joseph Children's Home
2022 Budget - Consolidated

		2021		
	Account Description	Annualized	2021 Budget	2022 Budget
40505-1180	Residential State Billing	3,532,749	3,823,154	4,974,503
40515-1310	Foster Care State Billing	1,414,110	1,468,761	1,674,424
40516-1310	Foster Care Home Study Billing	0	0	0
40520-1310	Adoption State Billing	8,364	29,500	26,000
	BHSO Revenue	0	46,788	83,715
40510-1270	CDC Parent	1,415,522	1,416,171	1,998,742
40511-1270	CDC Positive Disc Training Revenue	3,426	0	0
40522-1270	CDC - Ky Rev- FC-Kinship	5,288	2,000	2,000
40524-1270	CDC State FC CDC	360	0	2,000
40525-1270	CDC Govt. Subsidy	13,842	11,000	12,000
40526-1270	CDC Wait List	7,758	5,500	5,500
40528-1270	CDC Returned check fees	351	0	0
40530-1270	CDC Annual Registration and Supply Fee	23,969	23,000	29,000
41060-1270	Govt. Sustainment Payments	39,000	0	156,000
41065-1270	CDC-Ky Dept of ED. Food subsidy	25,592	27,000	29,000
40531-2040	Booth Income	16,642	300,000	300,000
40532-2040	Pre-sales/OnLine Raffle	32,469	50,000	32,000
40533-2040	Music Event Ticket Sales	22,755	0	0
40535-2040	Peer to Peer Fundraising	25,714	50,000	15,000
40538-2040	In Kind Donations	22,000	42,000	15,000
40595-2040	Picnic Sponsorship	90,250	100,000	100,000
40600-2040	Booth Sponsorship	0	0	0
42005-2040	General Donation	37,496	30,000	30,000
42006-2040	Special Event - Gaming	0	20,000	0
42007-2040	Special Event - Non- Gaming	6,460	20,000	7,500
43015-2040	Other Misc Income	3,901	5,000	5,000
43021-2040	Interest Income	0	0	0
42013-2000	PPP Loan forgiveness	1,162,845	1,151,800	0
42005-2000	General Appeals	1,046,279	565,000	750,000
42006-2000	Special Events- Adv	0	0	0
42025-2000	Donations in Memory of/ Honor of	34,871	15,000	15,000
43008-2000	Estates & Legacy over 25K	307,794	0	0
43010-2000	Estate Legacy Income	530	6,000	6,000
43011-2000	VOCA Grant Revenue	120,000	0	85,000
43015-1270	Other Income - Special Projects	372		
43015-2000	Other Misc Income		0	0
43018-2000	Gain on Sales of Assets	0	0	0
43021-2000	Interest Income	47	0	0
98000-3000	Capital Campaign Revenue	494,874	0	0
90000-2500	Unrealized Gains (Losses)	631,949	0	0
90010-2500	Gain/Loss on Beneficial Interest	184,319	0	0

90020-2500	Interest	1,374	0	0
90030-2500	Dividends	58,954	0	0
90040-2500	Beneficial Interest Distribution Income	94,789	0	0
90050-2500	Capital Gain Distribution	0	0	0
90070-2500	Realized Gains (Losses)	0	0	0
90090-2500	Unrealized Gains (Losses) Restricted	0	0	0
65012-2500	Klemenz Property gain in sale	131,559	0	0
	Total Revenue	11,018,574	9,207,674	10,353,384
	Wages & Benefits			
60505	Reg Wages	4,209,296	4,808,450	5,662,579
60510	Overtime	504,147	461,500	460,700
60520	VOCA Funded Payroll	44,109	0	40,000
60535	COVID Wages	2,781	0	0
	Total Payroll	4,760,333	5,269,950	6,163,279
61005	FICA	293,633	325,599	382,221
61010	Medicare	60,610	76,151	88,947
61015	403(B) Match	85,231	84,965	111,671
61020-1610	Other Payroll taxes	-2,691	436	0
61504	HSA Employer Contribution	3,735	3,311	4,715
61505	Health Insurance Cost	702,208	737,102	710,207
61506	Health Insur/EE Paid Portion	-168,919	-189,655	-219,565
61507	LIFE/LTD/STD	43,110	56,505	56,700
61520	403B Plan Expenses	0	0	0
61525	Worker's Comp	67,041	80,381	85,705
61530	Tuition Staff Discounts	74,456	92,644	92,511
61540	Other benefits	0	0	0
61545-1610	Retirement	0	4,800	0
	Total Benefits	1,158,414	1,272,239	1,313,112
62005	Total Travel and Mileage	5,165	12,100	10,200
61531	CDC Sibling/Referral Discounts	20,009	17,000	30,000
62501	Depreciation - Bldg	154,356	154,350	154,350
62515	Depreciation - Bldg Improvements	57,816	65,000	101,000
62520	Depreciation - Furniture & Fixtures	17,199	12,750	26,450
62525	Depreciation - Equipment	73,143	70,000	82,400
62530	Depreciation - Vehicles	6,432	9,000	7,000
63005	Grounds Maintenance	64,005	65,000	65,000
63007	Renovation Expense	6,532	0	0
63009	Insurance Loss	0	0	0
63010	General Maintenance	2,987	5,000	5,000
63011	Playground Project	13,737	3,000	0
63012	General Maintenance \	17,259	35,000	35,000
63013	General Maintenance - CDC	908	10,000	1,500
63015	Vehicle Maintenance	2,436	5,000	5,000
63020	Equipment Maintenance	29,246	42,500	38,000

63025	Other Maintenance	9,900	10,000	10,000
63505	Electric and Gas Utilities	134,559	155,000	135,000
63510	Water/Sewer	44,112	50,000	45,000
63515	Local Phone	38,825	42,000	42,000
63530	Mobile Phones	6,125	8,875	11,635
64000	Employment Expense	20,612	30,000	30,000
64001	Foster Care Parent Screening	3,350	3,000	3,500
64005	Student Liability Insurance	0	300	0
64010	Advertising - Foster Care	0	3,000	3,000
64015	Advertising	11,127	11,200	27,500
64016	Marketing Swag	1,146	1,500	1,500
64017	Marketing - Social Media/Photos	197	3,000	500
64018	Marketing - Printing	0	10,000	1,500
64019	Website Expenses	1,877	2,000	2,000
64025	Outsourced Printing	13,097	29,500	29,000
64026	Special Events	225	10,750	10,000
64027	Special Event Exp - Gaming	0	3,000	0
64028	Special Event Exp - Non- Gaming	395	500	0
64030	Public Relations	5,529	3,300	1,500
64031	Staff Incentives	3,340	2,100	2,060
64032	Staff Education Assistance Program	1,000	2,500	2,500
64505	General Liability Insurance	112,196	111,300	118,365
64506	Umbrella Insurance	9,278	9,300	10,000
64510	D&O Insurance	22,879	23,000	23,000
64515	Fleet Liability Insurance	23,106	28,700	29,566
64520	Insurance-Picnic	0	10,000	15,000
65010	Rent/Lease Equip	32,998	33,400	35,000
65025	Rent/Lease Picnic	7,350	15,000	25,000
65500	CDC Supplies	7,965	7,000	14,000
65505	Office Supplies	4,059	11,800	4,200
65508	COVID Expense	1,364	30,000	2,000
65510	Small Equipment/Furniture	4,727	8,000	5,000
65515	PAC Expenses	2,097	0	0
65520	Clothing/linens	42,686	29,700	35,900
65530	Medical	7,250	7,000	10,500
65540	Supplies	16,224	90,400	44,640
65541	VOCA Funded Supplies	12,900	0	25,076
65545	School Supplies	0	350	100
65546	Booth Prizes	8,241	20,000	30,000
65550	Toiletries	9,212	7,500	9,000
65555	Cleaning Services	72,000	76,000	76,000
65560	Cleaning Supplies	20,273	28,600	28,000
65565	Residential Allowances	4,535	5,000	5,000
65570	School/Education	1,602	2,250	1,000
65575	Activities	56,250	57,500	57,500
65579	Foster Parent Respite	5,340	5,000	6,000
65580	Foster Parent Payments	620,796	609,365	668,675

65583	Foster Parent Recruiting Exp	2,721	3,500	3,500
65640	FC Parent Reimbursement	15,536	5,500	7,000
66000	Food Vendors	5,665	60,000	60,000
66005	Food Service	641,123	685,036	828,545
66006	Staff Paid Lunches	0	0	0
66007	Food Service Allocation	-428,027	-464,036	-532,545
66015	Outside Meals	6,839	8,600	8,200
66030	Beverages	3,507	35,000	55,000
66501	Accrediation	0	4,800	0
66510	Vehicle Registration	301	400	400
66520	License Fees	3,207	17,175	29,675
67005	Legal Fees	10,000	35,000	30,000
67010	Audit Fees	29,500	29,500	30,500
67015	Consulting Fees	70,314	78,940	147,415
67025	Merchandise	0	400	400
67030	Security	6,725	25,000	31,000
67040	Pest Control	1,909	1,500	1,750
67045	Postage/shipping	4,669	15,920	17,900
67050	Bank Fees	4,877	5,000	5,000
67051	Credit Card Fees	8,460	10,800	11,300
67055	Staff Ed/Seminars	8,449	30,750	21,150
67058	VOCA Funded Training	6,636	0	6,500
67060	Dues/Subscriptions	6,842	18,000	30,100
67065	Membership Fees	20,330	18,975	20,245
67075	Payroll Services	36,960	35,000	35,000
67505	Interest Exp.	11,217	450	450
67515	Bad Debt	0	0	0
67535	Miscellaneous/Special Projects (Dev)	1,951	17,000	10,700
68500	Hardware Maint.	908	1,000	1,000
	Billing Services	0	6,500	60,000
68505	Software Maintenance & Upgrade	46,927	41,000	42,500
68515	Computer Supplies	2,087	300	3,000
68600	Medical Records	44,251	49,150	49,050
95010	Investment Expense	0	0	0
95015	Investment Expense- Klemenz Property	13,166	0	0
	Total Operating Expense	2,493,482	2,889,550	3,212,352
	Net Income (Loss)	2,606,345	-224,065	-335,359

**St. Joseph Children's Home
Internal Financial Statements**

**April 30, 2022
(Unaudited, for internal use only)**

Programs	Account Description	Consolidated by Department													
		Month of April 2022													
		1,230	130982	Period To Date	Period To Date Budget	Actual	Variance	Budget %	Y.T.D.	Y.T.D. Budget	Actual	Variance	Budget %	Current Y.T.D.	Prior Y.T.D.
	Residential Revenue	419,885	426,645	(6,760)		-2%	1,539,765	1,670,272	(130,507)		-8%	1,539,765	1,173,288	366,477	31%
	Less Payroll and Benefits	231,152	234,205	(23,053)		9%	919,812	1,016,821	(97,009)		10%	919,812	771,391	148,421	-19%
	Less Total Other Expense	43,912	37,376	6,536		-17%	149,061	149,507	(446)		0%	149,061	126,675	22,386	-18%
	Net Income/Loss Revenue	144,821	135,064	9,757		7%	470,892	503,944	(33,052)		-7%	470,892	275,222	195,670	71%
	CDC Revenue	226,554	176,167	50,387		29%	798,472	742,368	56,104		8%	798,472	518,996	279,476	54%
	Less Payroll and Benefits	122,879	152,055	(29,176)		19%	483,282	608,220	(124,938)		21%	483,282	395,898	87,384	-22%
	Less Total Other Expense	26,317	27,615	(1,298)		5%	93,425	110,459	(17,034)		15%	93,425	78,281	15,144	-19%
	Net Income/Loss Revenue	77,358	(3,503)	80,861		2308%	221,765	23,689	198,076		836%	221,765	44,817	176,948	395%
	Foster Care Adoption Revenue	88,257	133,693	(45,436)		-36%	357,818	504,419	(146,601)		-29%	357,818	460,584	(102,766)	-22%
	Less Payroll and Benefits	40,399	50,665	(10,266)		20%	167,422	202,658	(35,236)		17%	167,422	187,126	(19,704)	11%
	Less Total Other Expense	38,798	60,834	(22,036)		36%	159,309	243,336	(84,027)		35%	159,309	220,897	(61,588)	28%
	Net Income/Loss Revenue	9,060	22,194	(13,134)		-59%	31,087	58,425	(27,338)		-47%	31,087	52,561	(21,474)	-41%
	Behavioral Health Services Revenue	-	6,976	(6,976)		100%	941	27,904	(26,963)		-97%	941	-	941	0%
	Less Payroll and Benefits	-	3,271	-		0%	-	13,084	-		0%	-	-	-	0%
	Less Total Other Expenses	5,000	5,746	(746)		13%	20,000	22,984	(2,984)		-13%	20,000	-	20,000	0%
	Net Income/Loss Revenue	(5,000)	(2,041)	(2,959)		-145%	(19,059)	(8,164)	(10,895)		-133%	(19,059)	-	(19,059)	0%
	General and Administrative	67,712	79,309	(11,597)		15%	281,035	317,236	(36,201)		11%	281,035	279,631	1,404	-1%
	Less Payroll and Benefits	27,912	40,187	(12,275)		31%	121,669	160,748	(39,079)		24%	121,669	118,138	3,531	-3%
	Less Total Other Expense	(95,624)	(119,496)	23,872		20%	(402,704)	(477,984)	75,280		16%	(402,704)	(397,769)	(4,935)	-1%
	Plant Operations & Food Service	20,540	20,435	105		-1%	79,291	81,740	(2,449)		3%	79,291	62,241	17,050	-27%
	Less Payroll and Benefits	101,808	83,779	18,029		-22%	445,079	335,116	109,963		-93%	445,079	297,511	147,568	-50%
	Less Total Other Expense	(122,348)	(104,214)	(18,134)		-17%	(524,370)	(416,856)	(107,514)		-26%	(524,370)	(359,752)	(164,618)	-46%
	Net Income/Loss Revenue	8,267	(71,956)	80,263		111%	(222,389)	(316,946)	94,557		30%	(222,389)	(384,921)	162,532	42%
	Total Programs	42,313	71,333	(29,020)		-41%	259,407	285,332	(25,925)		-9%	259,407	1,590,894	(1,331,487)	-84%
	Advancement	28,939	37,113	(8,174)		22%	113,893	148,452	(34,559)		23%	113,893	117,053	(260,000)	-100%
	Estate/Legacy Income Over 25K	6,825	4,841	1,984		-41%	15,112	19,364	(4,252)		22%	15,112	14,165	947	-7%
	Less Payroll and Benefits	6,000	6,000	-		0%	130,402	117,516	12,886		11%	130,402	1,719,676	(1,589,274)	-92%
	Less Total Other Expense	2,599	2,666	(67)		3%	15,000	10,643	4,357		3%	15,000	8,882	6,118	69%
	Net Income/Loss Revenue	1,005	(4,929)	5,934		120%	10,364	10,643	(279)		3%	10,364	10,145	219	-2%
	Capital Campaign	25,585	-	25,585		0%	5,023	8,572	(3,549)		41%	5,023	1,212	3,811	-314%
	Capital Campaign Revenue	3,891	3,621	270		-7%	(387)	(19,215)	18,828		98%	(387)	(2,475)	2,088	84%
	Less Payroll and Benefits	68	920	(852)		93%	694,815	-	-		0%	694,815	198,320	496,495	250%
	Less Total Other Expense	21,626	(4,541)	26,167		576%	18,827	14,484	4,343		30%	18,827	22,143	(3,316)	15%
	Net Income/Loss Revenue	29,180	19,909	9,271		47%	98	3,680	(3,582)		97%	98	686	(588)	86%
	Total Development						675,890	(18,164)	694,054		3821%	675,890	175,491	500,399	285%
							805,905	80,137	725,768		906%	805,905	1,892,692	(1,086,787)	-57%

Account Description	1,230	130982	Consolidated by Department										Budget %
			Month of April 2022										
			Period To Date	Period To Date Budget	Actual Variance	Budget %	Y.T.D.	Y.T.D. Budget	Actual Variance	Budget %	Current Y.T.D.	Prior Y.T.D.	
Net Agency Income/(Loss)	37,447	(52,087)	89,534	172%	583,516	(236,809)	820,325	346%	583,516	1,507,771	(924,255)	-61%	
Investments													
Investment Income	(476,711)		(476,711)	0%	(1,106,967)		(1,106,967)	0%	(1,106,967)	687,208	(1,794,175)	-261%	
Investment Expense	9,309		9,309	0%	18,328		18,328	0%	18,328	25,575	(7,247)	28%	
Net Investments	(486,020)		(486,020)	0%	(1,125,295)		(1,125,295)	0%	(1,125,295)	663,633	(1,786,928)	-270%	
Net Income/(Loss) After Investments	(448,573)	(52,088)	(396,485)	-761%	(541,779)	(236,812)	(304,967)	-129%	(541,779)	2,169,404	(2,711,183)	-125%	
Property-Klemenz													
Gain on sale of Klemenz Property	-	-	-	0%	-	-	-	0%	-	780,026	(131,559)	-100%	
Investment expense - Klemenz Property	-	-	-	-	-	-	-	0%	-	13,166	(13,166)	100%	
Net Property	-	-	-	0%	-	-	-	0%	-	118,393	(118,393)	100%	
Total Net Income(Loss) after Property	(448,573)	(52,088)	(396,485)	-761%	(541,779)	(236,813)	(304,966)	-129%	(541,779)	2,287,797	(2,829,576)	-124%	

St. Joseph's Children's Home
April 30, 2022
Consolidated Income Statement

Account	Revenue	AccountDisc	Current Period	Budget	Actual Variance	Variance %	YTD	YTD Budget	Actual Variance	Variance %	Current YTD	Prior YTD	Actual Variance	Variance %
40505-1180	Residential State Billing		419,885	426,645	(6,760)	-1.6%	1,539,765	1,670,771	(130,506)	-7.8%	1,539,765	1,173,288	366,477	31.2%
40508-1182	PSO Menta Billing		5,976	(6,976)	1,000	0.0%	941	27,995	(26,954)	0.0%	941	941	0	0.0%
40510-1270	CDC Parent		158,367	168,499	(10,132)	-6.0%	624,741	673,996	(49,255)	-7.3%	624,741	477,230	147,511	30.9%
40511-1270	CDC - Positive Discipline		88,257	131,526	(43,269)	-32.9%	353,318	495,751	(142,433)	-28.7%	353,318	451,147	(97,829)	-21.7%
40516-1310	Postor Care Home Study Revenue		-	-	-	0.0%	-	-	-	0.0%	-	-	-	0.0%
40517-1310	Postor Care Tennessee State Billing		-	-	-	0.0%	-	-	-	0.0%	-	-	-	0.0%
40520-1310	Adoption State Billing		2,167	(2,167)	0	0.0%	4,500	8,668	(4,168)	-48.1%	4,500	2,298	2,202	95.5%
40520-1370	CDC KY Revenue - FC Kinship		525	358	167	21.4%	2,118	668	1,450	217.1%	2,118	1,825	293	16.1%
40524-1270	KY Rev. Foster Care CDC Revenue		1,260	1,093	1,093	85.9%	5,064	668	4,396	68.1%	5,064	5,064	0	0.0%
40525-1270	GAAP		2,534	1,534	1,000	65.2%	7,718	4,000	3,718	93.0%	7,718	4,557	3,161	69.4%
40526-1270	Wardlist		500	1,500	(1,000)	-66.7%	3,600	4,700	(1,100)	-23.4%	3,600	2,011	1,589	79.0%
40528-1270	CDC Returned Check Fees		117	117	0	0.0%	351	351	0	0.0%	351	117	234	200.0%
40530-1270	CDC Enrollment		3,107	2,417	690	28.5%	31,384	9,668	21,716	224.6%	31,384	23,156	8,228	35.7%
40531-2040	Booth Income		-	-	690	0.0%	-	-	690	0.0%	-	-	690	0.0%
40532-2040	Pre-Sale Raffle		-	-	-	0.0%	-	-	-	0.0%	-	-	-	0.0%
40533-2040	Music Event		-	-	-	0.0%	-	-	-	0.0%	-	-	-	0.0%
40534-2040	Spinning Room Income		-	-	-	0.0%	-	-	-	0.0%	-	-	-	0.0%
40535-2040	Prize to Peer		-	-	-	0.0%	-	-	-	0.0%	-	-	-	0.0%
40537-2040	Picnic Other		-	-	-	0.0%	-	-	-	0.0%	-	-	-	0.0%
40538-2040	In-Kind Donations		-	-	-	0.0%	-	-	-	0.0%	-	-	-	0.0%
40539-2040	Picnic-In-Kind Contributions		-	-	-	0.0%	-	-	-	0.0%	-	-	-	0.0%
40595-2040	Picnic Sponsorship		6,000	6,000	0	0.0%	15,000	-	15,000	0.0%	15,000	5,000	10,000	200.0%
40600-2040	Booth Sponsorship		-	-	-	0.0%	-	-	-	0.0%	-	-	-	0.0%
41060-2040	Govt. Sponsorship		57,122	-	57,122	0.0%	112,951	39,000	73,951	189.6%	112,951	-	112,951	0.0%
41065-1270	GDC - KY Dept of Education Food Subs		3,022	2,417	605	25.0%	10,545	9,668	877	9.1%	10,545	8,569	1,976	23.1%
42003-2000	Capital Campaign Revenue		25,585	-	25,585	0.0%	694,815	-	694,815	0.0%	694,815	198,320	496,495	250.4%
42005-2000	General Donation		8,332	62,500	(54,168)	-66.3%	173,481	250,000	(76,519)	-30.6%	173,481	407,363	(233,882)	-57.4%
42005-2040	Special Events		-	-	-	0.0%	-	-	-	0.0%	-	50	(50)	-100.0%
42006-2040	Special Events - non gaming		-	-	-	0.0%	-	-	-	0.0%	-	3,830	(3,830)	-100.0%
42006-2040	Campaign Special Events		-	-	-	0.0%	-	-	-	0.0%	-	-	-	0.0%
42013-2000	PPP Loan Forgiveness		-	-	-	0.0%	-	-	-	0.0%	-	1,162,845	(1,162,845)	-100.0%
42025-2000	Donations in Memory/Honor of		1,125	1,250	(125)	-10.0%	7,300	5,000	2,300	46.0%	7,300	20,640	(13,340)	-64.6%
43008-2000	Estate gifts over 25K		-	-	-	0.0%	-	-	-	0.0%	-	260,000	(260,000)	-100.0%
43010-2000	VOCA Grant Revenue		500	500	0	0.0%	15,418	2,000	13,418	67.9%	15,418	15,418	0	0.0%
43011-2000	VOCA Grant Revenue		32,835	7,083	25,752	363.6%	52,621	28,332	24,289	85.7%	52,621	-	52,621	0.0%
43015-1270	Special Project Revenue		-	-	-	0.0%	-	-	-	0.0%	-	-	-	0.0%
43015-2040	Other Misc Income		15	15	0	0.0%	5,565	-	5,565	0.0%	5,565	30	5,535	18450.0%
43018-2000	Gain Sale of Assets		-	-	-	0.0%	-	-	-	0.0%	-	-	-	0.0%
43021-2000	Interest Income		5	5	0	0.0%	18	18	0	0.0%	18	16	2	12.5%
43021-2040	Interest Income		1	1	0	0.0%	4	4	0	0.0%	4	4	0	0.0%
	Total Revenue		808,594	814,814	(6,220)	-0.8%	3,666,218	3,230,295	435,923	13.5%	3,666,218	4,210,966	(544,748)	-12.9%
	Salaries and Wages													
60505	Reg Wages		401,431	471,884	(70,453)	-14.9%	1,603,686	1,887,529	(283,843)	-15.0%	1,603,686	1,388,808	214,878	15.5%
60510	Overtime		40,009	38,392	1,617	4.2%	144,934	153,668	(8,734)	-5.6%	144,934	139,182	5,752	4.1%
60520-1310	VOCA Funded Payroll		3,333	3,333	0	0.0%	12,357	13,332	(975)	-7.3%	12,357	-	12,357	0.0%
60535	COVID wages		-	-	-	0.0%	16	-	16	0.0%	16	2,431	(2,415)	99.3%
	Total Salaries & Wages		441,440	513,609	(72,169)	-14.1%	1,760,993	2,054,429	(293,436)	-14.3%	1,760,993	1,530,421	230,572	-15.1%
61005	FICA		26,204	31,853	(5,649)	-17.7%	103,634	127,411	(23,777)	-18.7%	103,634	89,740	13,894	15.5%
61010	Medicare		6,128	7,413	(1,285)	-17.3%	24,418	29,652	(5,234)	-17.7%	24,418	20,986	3,432	16.4%
61015	403 (B) Match		6,397	9,306	(2,909)	-31.3%	25,234	37,222	(11,988)	-32.0%	25,234	28,717	(3,383)	-11.8%
61020	Other PRR Tax		-	-	-	0.0%	-	-	-	0.0%	-	-	-	0.0%
61504	HSA Employer Contribution		576	394	182	46.2%	1,685	1,580	105	6.6%	1,685	1,415	270	19.1%
61506	Health Insurance		54,001	59,183	(5,182)	-8.8%	214,800	236,735	(21,935)	-9.3%	214,800	243,001	(28,201)	-11.6%
61507	Health Ins/EE Portion		(13,845)	(18,297)	4,452	-24.3%	(54,846)	(73,188)	18,342	-24.9%	(54,846)	(58,258)	3,412	-5.7%
61507	Life/STD/AD		3,319	4,725	(1,406)	-29.8%	12,513	18,900	(6,387)	-33.8%	12,513	18,078	(5,565)	-30.8%
61525	Workers Comp		5,196	7,141	(1,945)	-27.2%	21,095	28,565	(7,470)	-26.2%	21,095	22,860	(1,765)	-7.7%
61530	Tuition Staff Discounts		6,840	7,710	(870)	-11.3%	34,140	30,640	3,500	11.4%	34,140	23,444	10,696	45.6%
61540	Other Benefits		38	38	0	0.0%	383,167	304,104	79,063	26.0%	383,167	500	382,667	79.2%
	Total Payroll Taxes & Benefits		94,394	109,428	(14,474)	-13.2%	383,167	437,717	(54,550)	-12.5%	383,167	387,510	(4,343)	-1.1%
	Operating Expense													
	Total Travel & Mileage		1,244	846	398	46.7%	3,264	3,395	(131)	-3.8%	3,264	928	2,336	251.1%
	Total Depreciation		55,477	30,334	24,993	79.2%	221,708	123,236	99,472	80.7%	221,708	102,864	118,844	115.5%
	Total Maintenance		10,903	12,460	(1,557)	-12.5%	54,632	49,840	4,792	9.6%	54,632	43,192	11,440	26.5%

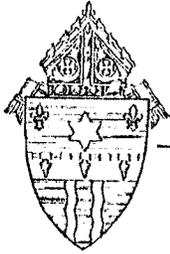


FEDERAL FORM 990

St. Joseph Catholic Orphan Society does not file the Federal Form 990 because it is listed in the National Catholic Director published by Kennedy Brothers.

The Board of Trustees is responsible for the affairs of the Home, and this is true of fund raising and spending. No funds are obtained from a church group. The services provided by the Society are based on the need of the persons receiving them.

An audit of the financial affairs is performed each year and is available upon request.



Archdiocese of Louisville

212 EAST COLLEGE STREET • P.O. BOX 1073 • LOUISVILLE, KENTUCKY 40201-1073
(502) 585-3291 • FAX (502) 585-2466

THE CHANCERY

February 26, 2014

To Whom It May Concern:

Each year the Internal Revenue Service, U.S. Department of the Treasury, issues a letter updating a ruling dated March 25, 1946 in which it held that the agencies and instrumentalities and all educational, charitable and religious institutions operated, supervised or controlled by or in connection with the Roman Catholic Church in the United States, its territories and possessions, and listed in the current edition of the Official Catholic Directory, are entitled to exemption from the Federal income tax under Section 501 [C] [3] of the Internal Revenue Code; that donors may deduct contributions to those said agencies, instrumentalities, and institutions as provided under section 170 of the Code; and that bequests, legacies, devises, transfers, or gifts to them or for their use are deductible for Federal estate and gift tax purposes under sections 2005, 2106, and 2522 of the Code.

I certify that **St. Joseph Catholic Orphan Society, 2823 Frankfort Avenue, Louisville, KY** is a fully integrated auxiliary of the Archdiocese of Louisville, known in civil law as Roman Catholic Bishop of Louisville, a corporation sole. That **St. Joseph Catholic Orphan Society** is listed in the 2013 Official Catholic Directory; and **St. Joseph Catholic Orphan Society** is entitled to the rights and privileges specified in the most recent communication from the Internal Revenue Service, dated June 12, 2013

Sincerely,

A handwritten signature in black ink, appearing to read "Jonathan S. O'Bryan".

Jonathan S. O'Bryan
Chancery Office

COTTON & ALLEN

CERTIFIED PUBLIC ACCOUNTANTS AND CONSULTANTS

First Trust Centre
Suite 201 South
200 South Fifth Street
Louisville, KY 40202-3226
(502) 589-6050
Fax (502) 581-9016
www.cottonandallen.com

November 5, 1999

William Conon, CPA
1892-1963

Nolen C. Allen, CPA
Richard A. Dentinger, CPA
C. Robert Montgomery, CPA
Roy B. Hill, CPA
Larry J. Mehler, CPA
John J. Balbach, CPA
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Mary E. Donohue, CPA
Carl E. Biber, CPA
Joseph M. Legel, CPA

Louis A. Kosse, CPA
David L. Chervenak, CPA

Mr Dennis Davis
St Joseph Catholic Orphans Society
2823 Frankfort Avenue
Louisville, KY 40206

re: Public inspection of 990's for non-profit organizations

Dear Dennis:

St Joseph Catholic Orphans Society considers itself to be an auxiliary of the Church, and as such, is exempt from filing Form 990. Consequently, regulations requiring non-profit organizations to make Form 990 available for public inspection do not affect St Joseph Catholic Orphan Society, which does not file Form 990. Churches and their auxiliaries are exempt from Form 990 requirements under the constitutional doctrine of separation of church and state.

Non-profit organizations are also required to make their application for tax-exemption available for public inspection. As an auxiliary of the Church, St Joseph Catholic Orphan Society does not have to apply for its tax exemption. As in the case of Form 990, the regulation requiring public availability of exemption applications does not affect St Joseph Catholic Orphan Society.

Should anyone request a copy of Form 990 or your tax-exempt application, you may give them a copy of this letter. Don't hesitate to call me if you have any questions.

Yours truly,



Larry Mehler, CPA

1. Jocy
12/3/98 Department of the Treasury

6-2

Internal Revenue Service

MWL 2/22/99

District
Director

Baltimore District

31 Hopkins Plaza, Baltimore, Md. 21201

Telephone: (301) 962-4768

▶ AUG 9 1991

AUG 1 1991 11 30 AM

Mr. Mark E. Chopko
General Counsel
United States Catholic Conference
3211 4th Street, N.E.
Washington, D.C. 20017-1194

Note that St. Joab is exempt from filing Form 990 because of its association with the US Catholic Conference and the fact that it is considered a "special school".

Dear Mr. Chopko:

In a ruling dated March 25, 1946, we held that the agencies and instrumentalities and all educational, charitable and religious institutions operated, supervised, or controlled by or in connection with the Roman Catholic Church in the United States, its territories or possessions appearing in the Official Catholic Directory for 1946, are entitled to exemption from federal income tax under the provisions of section 101(6) of the Internal Revenue Code of 1939, which corresponds to section 501(c)(3) of the 1986 Code. This ruling has been updated annually to cover the activities added to or deleted from the Directory.

The Official Catholic Directory for 1991 shows the names or addresses of all agencies and instrumentalities and all educational, charitable, and religious institutions operated by the Roman Catholic Church in the United States, its territories and possessions in existence at the time the Directory was published. It is understood that each of these is a non-profit organization, that no part of the net earnings thereof inures to the benefit of any individual, that no substantial part of their activities is for promotion of legislation, and that none are private foundations under section 509(a) of the Code.

Based on all information submitted, we conclude that the agencies and instrumentalities and educational, charitable, and religious institutions operated, supervised or controlled by or in connection with the Roman Catholic Church in the United States, its territories or possessions appearing in the Official Catholic Directory for 1991 are exempt from federal income tax under section 501(c)(3) of the Code.

Donors may deduct contributions to the agencies, instrumentalities and institutions referred to above, as provided by section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to them or for their use are deductible for federal estate and gift tax purposes under sections 2055, 2106, and 2522 of the Code.

-2-

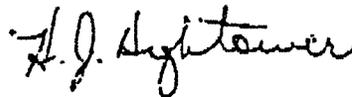
Mr. Mark E. Chopko

Beginning January 1, 1984, unless specifically excepted, you and your subordinates must pay tax under the Federal Insurance Contributions Act (Social Security taxes) for each employee who is paid \$100 or more in a calendar year. You and your subordinates are not liable for tax under the Federal Unemployment Tax Act (FUTA).

Next year, within 90 days before the close of your annual accounting period, or by May 31, the date established by our letter of April 28, 1975, which gave an extension of time to file the information, please send one copy of the Official Catholic Directory of 1992 for each Internal Revenue District in which one or more of your subordinates are located, with four additional copies to this office.

The conditions concerning the retention of your group exemption as set forth in our previous determination letter of August 17, 1983 remain in full force and effect.

Sincerely yours,



H. J. Hightower
District Director



Office of the General Counsel

3211 4th Street N.E. Washington, DC 20017-1194 (202)541-3300 FAX (202)541-3337 TELEX 7400424

August 30, 1991

FOR THE INFORMATION OF: The Most Reverend Archbishops and Bishops, Superiors of Religious Orders and Institutions, Diocesan Attorneys and Fiscal Managers, and State Conference Directors

SUBJECT: 1991 Group Ruling

FROM: Mark E. Chopko, General Counsel *MEC*
(Staff: Deirdre Halloran, Associate General Counsel)

Enclosed is a copy of the group ruling issued on August 9, 1991 by the Treasury Department, Internal Revenue Service ("IRS"), with respect to the federal tax status of organizations listed in the 1991 Edition of the Official Catholic Directory ("OCD"). As explained in greater detail below, this ruling is important for establishing:

- (1) the exemption of such organizations from:
 - (a) federal income tax;
 - (b) federal unemployment tax (but see par. 3 of "Explanation" below); and
- (2) the deductibility, for federal income, gift and estate tax purposes, of contributions to such organizations.

The 1991 group ruling is the latest in a series that began with the original determination of March 25, 1946. In the 1946 document, the Treasury Department affirmed, in a single group ruling, the exemption from federal income tax of all Catholic institutions listed in the OCD for that year. Each year since 1946, in a separate letter, the 1946 ruling has been extended to the institutions listed in the current OCD. The language of these rulings has remained relatively unchanged, except to reflect intervening modifications in the Internal Revenue Code ("Code"). The 1991 group ruling is consistent with the 1990 ruling. It incorporates by reference the conditions set forth in the 1983 ruling that USCC must meet to maintain the group exemption.

- 2 -

The 1991 OCD reflects certain changes that have been made in USCC's administration of the group exemption. Certain Catholic organizations with independent IRS exemption determination letters are listed in the 1991 OCD with an asterisk (*) accompanied by the following legend: "Organization has an independent IRS exemption determination and is not covered by the USCC Group Ruling."

The annual group rulings clarify important tax consequences for Catholic institutions listed in the OCD, and should be retained for ready reference. Rulings from earlier years are important to establish the tax consequences of transactions that occurred during those years.

EXPLANATION

1. Exemption from Federal Income Tax. The latest ruling reaffirms the exemption from federal income tax under section 501(c)(3) of the Code of "the agencies and instrumentalities and educational, charitable, and religious institutions operated, supervised or controlled by or in connection with the Roman Catholic Church in the United States, its territories or possessions appearing in the Official Catholic Directory for 1991" (with the exception of organizations noted with an asterisk).
2. Federal Excise Taxes. Inclusion in the group ruling has no effect on an organization's liability for federal excise taxes. Exemption from these taxes is very limited. Please refer to your attorney any questions you may have about excise taxes.
3. State/Local Taxes. Inclusion in the group ruling has no effect on an organization's liability for state or local income, sales or property taxes. Typically, separate exemptions must be obtained from the appropriate state or local tax authorities in order to qualify for any applicable exemptions. Please refer to your attorney any questions you may have about state or local tax exemptions.
4. Deductibility of Contributions. The group ruling assures donors that contributions to the institutions listed in the 1991 OCD and covered by the group ruling are deductible for federal income, gift, and estate tax purposes.
5. Unemployment Tax. The group ruling establishes exemption from federal unemployment tax only. Individual states may impose an unemployment tax on organizations included in the group ruling, even though they are exempt from the federal tax. Please refer to your attorney any questions you may have about state unemployment tax.

- 3 -

6. Social Security Tax. All section 501(c)(3) organizations, including churches, are required to pay taxes under the Federal Insurance Contributions Act (FICA) for each employee who is paid \$100 or more in a calendar year.^{1/} Services performed by diocesan priests in the exercise of their ministry are not considered "employment" for FICA (social security) purposes,^{2/} and FICA should not be withheld from their salaries.

7. Form 990. All organizations included in the OCD must file Form 990, Return of Organization Exempt from Income Tax, unless they are eligible for a mandatory or discretionary exception. There is no exemption from the Form 990 filing requirement merely because an organization is listed in the OCD. Organizations required to file must do so by the 15th day of the fifth month after the close of their fiscal year. Among the organizations not required to file Form 990 under section 6033 of the Code are: churches; integrated auxiliaries of churches;^{3/} the exclusively religious activities of religious orders; schools below college level affiliated with a church or operated by a religious order; church-controlled organizations that finance, fund or manage church assets, or maintain church retirement insurance programs, and organizations controlled by religious orders that finance, fund or manage assets used for exclusively religious purposes;^{4/} and organizations with gross receipts normally not in excess of \$25,000.^{5/} In addition, IRS

1/ The Deficit Reduction Tax Act of 1984 permitted certain church-related organizations to make an irrevocable election to avoid payment of FICA taxes, but only if such organizations are opposed for religious reasons to payment of social security taxes.

2/ I.R.C. §3121(b)(8)(A).

3/ For taxable years 1970 through 1975, organizations listed in the OCD were excused from filing Form 990 because the Treasury Department had not clarified the meaning of the term "integrated auxiliaries of a church." In January 1977, the Treasury Department promulgated final regulations defining that term. Under the regulations, organizations that are considered integrated auxiliaries include men's and women's organizations that are a part of the church structure, seminaries, mission societies, or youth groups. The following organizations are not considered integrated auxiliaries: hospitals, colleges and universities, orphanages that have a separate identity from a church, and homes for the aged.

4/ Notice 84-2, 1984-1 C.B. 331.

5/ Announcement 82-88, 1982-25 I.R.B. 23.

has excused certain internally-supported church affiliates from the Form 990 filing requirement.^{6/} Organizations that are required to file must make available for public inspection upon request a copy of the Form 990 and all required schedules and attachments (other than the list of contributors) during regular business hours at the organization's principal office and at any regional or district office with 3 or more employees. The Form 990 for a particular year must be made available for three years beginning with the due date of the return.

8. Revenue Procedure 75-50. Rev. Proc. 75-50^{7/} sets forth guidelines and recordkeeping requirements regarding racially nondiscriminatory policies that must be complied with by private schools, including church-related schools, to establish and maintain exempt status under section 501(c)(3) of the Code. Under Rev. Proc. 75-50 private schools are required to file an annual certification of racial nondiscrimination with the IRS. For private schools not required to file Form 990, the annual certification must be filed on Form 5578, Annual Certification of Racial Nondiscrimination for a Private School Exempt from Federal Income Tax. Form 5578 must be filed by the 15th day of the fifth month following the close of the fiscal year.

The requirements of Rev. Proc. 75-50 remain in effect and must be complied with by all schools listed in the OCD. This may be done individually or by the diocese for all diocesan schools. School officials should insure that the requirements of Rev. Proc. 75-50 are met since failure to do so could jeopardize the exempt status of the school and, in the case of a school operated by a church, the exempt status of the church itself.

9. Lobbying Activities. Organizations included in the group ruling may lobby for changes in the law, provided such lobbying is not more than an insubstantial part of their total activities. Attempts to influence legislation both directly and through grassroots lobbying are affected by this restriction. There is no distinction between lobbying activity that is related to an organization's exempt purposes and lobbying that is not. There is no definitive answer regarding what percentage constitutes "insubstantial" lobbying. Please refer to your attorney any questions you may have about permissible lobbying activities.

10. Political Activities. Section 501(c)(3) of the Code states that organizations exempt under its provisions must not participate or intervene in any political campaign on behalf of or in opposition to any candidate for public office. Violation

^{6/} Rev. Proc. 86-23, 1986-1 C.B. 564.

^{7/} 1975-2 C.B. 587.

of the prohibition against political activity can jeopardize the organization's tax-exempt status. Additional sanctions for violation of the political activity restrictions were imposed by the Revenue Act of 1987. In addition to revoking exempt status, IRS may impose excise taxes on an exempt organization and its managers for violation of the political activity restriction. Where there has been a flagrant violation, IRS has authority to seek an injunction against the exempt organization and immediate assessment of taxes due. If you have any questions in this regard, please refer them to your attorney. (See OGC Memo dated July 14, 1988).

11. Private Foundation Status. Another important ruling is the Treasury determination letter issued to USCC on December 11, 1970. It affirms the non-private foundation status of organizations listed in the OCD. Unlike the group ruling, this letter is not reissued because its applicability is not limited to a particular edition of the OCD. Since organizations listed in the OCD are not private foundations, they are exempt from the restrictions imposed on such organizations by the Tax Reform Act of 1969. The December 11, 1970 determination letter also assures private foundations that they may make tax-free grants and terminal distributions to organizations listed in the OCD and included in the group ruling.

12. Distribution of OCD. Copies of the OCD have been made available by USCC to the IRS National Office and the several IRS district offices to facilitate administration of the group ruling.

13. Group Exemption Number. The group exemption number assigned to USCC is 928 or 0928. Either number may be used, and must be included on each Form 990, Form 990-T, and Form 5578 required to be filed by any organization or institution exempt under the group ruling. The group exemption number should not be used on Form SS-4, Request for Employer Identification Number, because IRS may include USCC as part of the organization's name when it enters the organization in its computer.

14. Responsibilities under Group Ruling. The 1991 group ruling incorporates by reference procedures outlined in the 1983 ruling that are the responsibility of the USCC Office of General Counsel. However, diocesan officials who compile OCD information for transmittal to P.J. Kenedy & Sons are responsible for the accuracy of such information.

The USCC Office of General Counsel will be pleased to respond to inquiries about the group ruling, and to make available on request single copies of the ruling or this memorandum.

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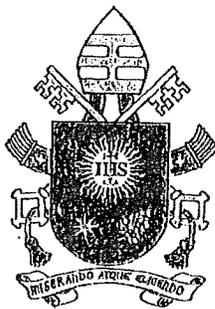
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Stimpson, John L., (Retired)
Singer, Ernest, (Retired)
Smith, David U., St. Helen, Glasgow; Our Lady of the Caves, Horan Cavo
Smith, Steve, St. Peter the Apostle, Louisville
Stanford, James E., St. Barnabas, Louisville
Stanley, Vincent (Jim) G., (Retired)
Stewart, Timothy E., Our Mother of Sorrows; St. Theresa; St. Elizabeth, Louisville
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Wright, Joseph P., Dir., Permanent Diaconate Office, Holy Family; St. Stephen Martyr, Louisville
Young, R. James, (On Leave)
Zoldak, Richard P., St. Martin of Tours, Louisville

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40004
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Email: info@flaget.com. Web: www.flaget.com. Sun.
Downs, Pres. Catholic Health Initiatives,
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Capacity 52; Basins 8; Patients Assisted
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INSTITUTIONS LOCATED IN THE ARCHDIOCESE

[A] COLLEGES AND UNIVERSITIES

LOUISVILLE. Bellarmine University, 2001 Newburg Rd., 40205-0671. Tel: 602-272-8407; Fax: 602-272-8162. Web: www.bellarmino.edu. John Staumer, Librarian. Priests 4; Sisters 1; Students 2,482.
Administration Officers: Most Rev. Joseph B. Kurtz, D.D., Archbishop of Louisville, Chancellor; Revs. Clyde E. Crowe; George A. Kilcourse; Isaac McDaniel; Adam Bunnell, O.F.M.Conv.; Dr. Joseph J. McGowan, Pres.; Dr. Michael Mattal, Dean Continuing & Professional Studies; Dr. Dan Bauer, Dean of the Rubel School of Business; Dr. Susan Davis, Dean of the Lansing School of Nursing; Mr. Glenn Kasse, Vice Pres. Devel. & Alumni Rel.; Dr. Cindy Gnadinger, Asst. Vice Pres. Academic Affairs; Dr. Fred W. Rhodes, Vice Pres. Student Affairs; Mr. Tim Sturgeon, Dean of Admissions; Dr. Doris Tegart, Provost; Dr. Melanie Franzen Sullivan, Dir. Campus Ministry; Mr. Sean Ryan, Vice Pres. Enrollment Mgmt.; Mr. Robert L. Zimlich, Vice Pres., Admin. & Finance; Mr. Hunt Helm, Vice Pres. Communications & Public Affairs; John Stemmer, Dir., Library.

*Spalding University, 845 S. 3rd St., 40203. Tel: 602-585-9911; Fax: 602-585-7154. Web: www.spalding.edu. Thiri-Murdon McClure, Pres.; Dr. Randy Strickland, Provost; Dr. Beverly Keppner, Dean College of Education; Dr. John James, Dean College of Social Sciences & Humanities; Dr. Richard Hudson, Dean Student Devel. & Campus Life; Bobbie Rafferty, Chief Devel. Officer; Joanne Berryman, Dean College of Health & Natural Sciences; Mark Hofmann, CFO; Chris Hart, Dean Enrollment Mgmt.; Rick Barney, Chief Mktg. & Public Rel. Officer; Kara Krumboltz, Chief Information Officer; Melissa Lowe, Chief Human Resources Officer. Sisters 1; Lay Teachers 92; Students 2,482.

ST. CATHARINE. St. Catharine College, 2735 Bardstown Rd., 40081. Tel: 859-398-5082; Fax: 859-338-5031. Email: ckays@stcck.edu. Web: www.stcck.edu. Mr. Bill Huston, Pres.; Dr. Don Giles, Vice Pres. & Academic Deant; Roger L. Maroun, Exec. Vice Pres.; Rev. Benedict J. Brown, Chap.; Ilona Burdette, Librarian. Dominican Sisters of Peace. Priests 1; Sisters 8; Lay Teachers 56; Students 865.

[B] HIGH SCHOOLS, ARCHDIOCESAN

LOUISVILLE. St. Francis DeSales High School, 435 Kenwood Dr., 40214. Tel: 602-868-6619; Fax: 602-368-6172. Web: www.desaleshighschool.com. Mr. Douglas Strothman, Pres.; Ms. Suzanne Barnatt, Prin. Lay Teachers 20; Students 327.

Holy Cross High School, 5144 Dixie Hwy., 40216. Tel: 602-447-4383; Fax: 602-448-1062. Web: www.holycrosshs.com. Ms. Tim Walsh, Pres.; Ms. Danielle Wiegand, Prin. Sisters 1; Lay Teachers 22; Students 950.

Trinity High School, 4011 Shelbyville Rd., 40207. Tel: 602-895-9427; Fax: 602-895-6837. Web: trinityrocks.com; www.throck.net. Dr. Robert J. Mullen, Pres.; Mr. Daniel J. Zoeller, Prin.; Rev. David H. Zittel, Chap. (Retired); Ms. Charlotte Miller, Librarian. Priests 1; Sisters 1; Lay Teachers 94; Students 1,802.

BARDSTOWN. Bethlehem High School, 40004. Tel: 602-848-8694; Fax: 602-939-1247. Email: DHS@bethlehemhigh.org. Web: www.bethlehemhigh.org. Tom Hamilton, Prin.; Mrs. Susan Simpson, Librarian. Sisters of Charity of Nazareth. Sisters 1; Lay Teachers 24; Students 809.

[C] HIGH SCHOOLS, PRIVATE

LOUISVILLE. Academy of Our Lady of Mercy, 5801 Fegonbush Ln., 40228. Tel: 602-671-2010; Fax: 602-491-0661. Web: www.ourladyofmercy.com. Mr. Michael C. Johnson, Pres.; Amy B. Blston, Prin.; Karen Alpigier, Asst. Prin.; Kristina Hortart, Librarian. Sisters of Mercy 1; Lay Teachers 44;

Students 695.
Assumption High School, 2170 Tyler Ln., 40205. Tel: 602-458-9551; Fax: 602-464-8411. Web: www.assumptionhs.org. Elaine Selvo, Pres.; Rebecca Henle, Prin.; Erica Lasley, Librarian. Sisters of Mercy. Sisters 1; Lay Teachers 78; Students 915.
Presentation Academy, 801 S. 4th St., 40203. Tel: 602-598-6836; Fax: 602-598-1842. Email: mbruder@presentationacademy.org. Web: www.presentationacademy.org. Sr. Christine Beckel, S.C.N., Pres.; Barbara Wins, Prin.; Terry Roberts, Librarian. Sisters 8; Lay Teachers 83; Students 271.

Sacred Heart Academy, 3175 Lexington Rd., 40208. Tel: 602-897-6097; Fax: 602-893-0120. Email: office@shsacredheartacademy.org. Web: www.sacredheartacademy.org/academy. Mrs. Mary Lee McCoy, Prin.; Linda Lennhan, Librarian. Ursuline Sisters. Sisters 2; Lay Teachers 76; Students 818.

St. Xavier High School, Xaverian Brothers, 1609 Poplar Level Rd., 40217. Tel: 602-637-4712; Fax: 602-634-2171. Email: psangalli@saints.com. Web: www.saints.com. Dr. Perry E. Sangalli, Pres.; Frank Espinosa, Prin.; Mrs. Elaine Steinhberg, Librarian. Lay Teachers 120; Students 1,270.

[D] ELEMENTARY SCHOOLS, PRIVATE

LOUISVILLE. Holy Angels Academy, Inc., (Grades K-12), 12201 Old Henry Rd., 40223. Tel: 502-254-9440; Fax: 502-264-9907. Joseph M. Norton, Headmaster and Prin., Grade School; Michael A. Monaghan, Prin., High School; Rev. Robert M. Gregor, O.F.M., Chap. Priests 1; Lay Teachers 8; Students 85.

Sacred Heart Model School, (Grades K-8), 3107 Lexington Rd., 40206. Tel: 602-896-3931; Fax: 602-896-3932. Email: mhawling@sacredheartschools.org. Web: www.sacredheartschools.org. Dr. Mary Beth Howling, Prin.; Mrs. Carol Kraemer, Librarian. Sisters 1; Lay Teachers 34; Students 864.

Sacred Heart Preschool, 3106 Lexington Rd., 40206. Tel: 602-896-3941; Fax: 602-896-3966. Web: www.sacredheartschools.org. Vicki Furlow, Dir. Lay Teachers 40; Students 256.

[E] REGIONAL SCHOOLS

LOUISVILLE. St. Andrew Academy, (Grades PreK-5), 7724 Columbian Dr., 40268. Tel: 602-935-4578; Fax: 602-938-2204. Email: office@standrewacademy.com. Jennifer Barr, Prin.; Cathy Wright, Literacy Coord. Lay Teachers 14; Students 212.

John Paul II Academy, (Grades PreK-8), 8525 Goldsmith Ln., 40220. Tel: 602-452-1712; Fax: 602-451-2462. Lynn Will, Prin.; Nancy Heady, Librarian. Lay Teachers 22; Students 237.

St. Nicholas Academy, (Grades K-8), 6601 Now Cut Rd., 40214. Tel: 602-368-8506; Fax: 602-360-6458. Email: kdelozier@sunn-panther.org. Web: www.sunn-panther.org. Kathy Delozier, Prin.; Elizabeth Strobel, Librarian. Lay Teachers 26; Total Enrollment 401.

Notre Dame Academy, (Grades PreK-8), 1927 Lewiston Dr., 40216. Tel: 602-447-3156; Fax: 602-447-5515. Email: nda@notredameacademy.org. Web: ndaacademy.org. Bernice Schmitt, Prin.; Mrs. Davie Kay, Librarian. Sisters 1; Lay Teachers 26; Students 495.

PROSPER. Saint Mary Academy, (Grades PreK-8), 11311 Saint Mary Ln., 40059. Tel: 602-316-2555; Fax: 602-326-8065. Ms. Julie Perdue, Prin. Lay Teachers 33; Students 568.

[F] SPECIAL SCHOOLS

LOUISVILLE. St. Joseph Child Development Center, 2823 Frankfort Ave., 40206. Tel: 602-898-0241; Fax: 602-896-2394. Web: www.sjkids.org. Laura Paine, Admin. Students 150; Teachers 23.

*Nativity Academy, 629 E. Liberty St., 40202. Tel: 602-856-3300; Fax: 502-562-2102. Carol Norton, Exec. Dir.; Meghan Woyland, Prin. (Grades 8-9). Students 69; Staff 18.

Pitt Academy, 6010 Preston Hwy., 40218. Tel: 602-966-6979; Fax: 602-962-8878. Email: rdoty@pitt.com. Web: www.pitt.com. Renee Doty, Prin. Lay Teachers 7; Students 55.

Sacred Heart School for the Arts, 3106 Lexington Rd., 40206. Tel: 602-897-1818; Fax: 602-896-8927. Email: dthurmond@sacredheart-schools.org. Web: www.sacredheart-schools.org. David X. Thurmond, Exec. Dir. Students 350.

[G] ORPHANAGES AND INFANT HOMES

LOUISVILLE. St. Joseph Catholic Orphan Society, 2823 Frankfort Ave., 40206. Tel: 602-893-0241; Fax: 602-896-2394. Web: www.sjkids.org. Steve Robbins, Pres. Bd. of Directors.

St. Thomas Orphan Society, Inc., P.O. Box 1078, 40201.
St. Vincent's Orphan Society, Inc., P.O. Box 1078, 40201.

[H] GENERAL HOSPITALS

LOUISVILLE. St. Mary and Elizabeth Hospital, 1850 Bluegrass Ave., 40215. Tel: 602-561-6000; Fax: 602-861-8789. Web: jhnmh.org. James Patrick, Pres. & CEO. Catholic Health Initiatives. Sisters 4; Bed Capacity 299; Patients Assisted Annually 170,000.

BARDSTOWN. Flaget Healthcare, Inc. dba, Flaget Memorial Hospital, 4305 New Shepherdville Rd., 40004. Tel: 602-350-6000; Fax: 602-350-5033. Email: info@flaget.com. Web: www.flaget.com. Sun.
Downs, Pres. Catholic Health Initiatives, Attended from St. Joseph Church. Sisters 2; Bed Capacity 52; Basins 8; Patients Assisted Annually 93,350.

[I] SPECIAL HOSPITALS

LOUISVILLE. Our Lady of Peace, 2020 Newburg Rd., 40205. Tel: 602-451-8330; Fax: 602-470-4140. Email: rebecca.kistler@jhnmh.org. CEO: www.jhnmh.org. Jennifer Nolan, Pres. & CEO. Catholic Health Initiatives, Hospital for Psychiatric Illness. Sisters 1; Bed Capacity 89. Patients Assisted Annually 5,907.

[J] PROTECTIVE INSTITUTIONS

LOUISVILLE. Boys' Haven, 2901 Goldsmith Ln., 40216. Tel: 602-468-1171; Fax: 602-451-2161. Email: jhadley@boyshaven.org. Web: www.boyshaven.org. Jeff Hadley, CEO. For dependent, neglected or abused boys and girls, 12 to 22 year of age. Total Assisted 765.

St. Joseph Children's Home, 2823 Frankfort Ave., 40206. Tel: 602-893-0241; Fax: 602-896-2394. Web: www.sjkids.org. Pamela Cotton, L.O.W., M.B.A., Exec. Dir. Children 40.

[K] NURSING HOMES

LOUISVILLE. St. Joseph Home for the Aged, 15 Audubon Plaza Dr., 40217. Tel: 602-632-3200; Fax: 602-896-2239. Web: www.littlelutesofthepoor.org. Sr. Chantal Faylor, I.S.E., Pres.

Home for the Aged of the Little Sisters of the Poor, Sisters 9; Bed Capacity 77.

Nazareth Home, Inc., 2000 Newburg Rd., 40205. Tel: 602-458-9681; Fax: 602-456-9077. Email: nphaynes@nazareth.org. Web: nazareth.org. Mary Hayman, Pres. & CEO; Bridget Bunning, Dir. Pastoral Care; Deacon Lawrence Bivona, Chap. Sisters of Charity of Nazareth. Staff Sisters 1. Residents 118; Personal Care 60; Total Staff 200.

Vertical text on the right edge of the page, partially cut off, containing various letters and numbers.

Klosterman, Francis E., St. Aloysius Church, Pewee Valley.
 Kramer, Raymond G., Holy Family Parish, Louisville.
 Krimple, George, Pewee Valley, KY.
 Krueger, Marvin L., St. Simon & Jude, Louisville.
 Lincoln, James Bruce, Spiritual Advisor Curtillo.
 Livers, Joseph E., St. Gregory, Farnuels.
 Logsdon, Raymond L., St. Michael, Jeffersontown.
 Marcum, Herbert L.
 Markert, Clarence Robert, St. Ann Church-Cu-Chap., Presentation High School.
 Masters, Donald E., Jefferson County Youth Center, Louisville.
 Mattingly, Thomas, St. Augustine, Lehannon.
 McCulloch, Thomas B.
 McInty, David L., St. Timothy, Louisville.
 Miller, Norbert F., St. Bernard, Louisville.
 Mitchell, James B., Largo, FL.
 Mullins, William L., St. Francis of Rome, Louisville.
 Murphy, Howard J., Durham, NC.
 Nevitt, Charles, Holy Name, Louisville.
 Ohnemus, Joseph, Our Lady of Perpetual Help, Campbellville.
 Olrich, James Charles, St. Elizabeth Ann Seton Church, Louisville.

Oshorne, Kenneth F., St. Elizabeth of Hungary Church, Louisville, and Charismatic Renewal.
 Parker, James, St. Margaret Mary, Louisville.
 Patterson, Daniel Eugene, St. Luke Church, Louisville.
 Plummer, James R., St. Barnabas, Louisville.
 Preher, Edward R.
 Prentwood, Harry, Resurrection, Louisville.
 Raibert, Joseph A., St. Bartholomew Church, Louisville.
 Raiston, William K., St. John Vianney, Louisville.
 Ratterman, Cletus A., Guardian Angels, Louisville.
 Ronald, Edward R., Jefferson Co. Dept. of Corrections, Louisville.
 Ryan, Thomas, Catholic Deaf Office.
 Scholl, George W., St. Athanasius Church, Louisville.
 Shutts, John F., SS. Mary & Elizabeth Hospital, Louisville.
 Siers, Ronald R., 13 Deer Hunter Dr., Salisbury, MD 21801.
 Singer, Ernest Leo, On Leave.
 Nimpman, John L., Holy Trinity, Louisville, and Catholic Charities.

Skeeters, William L., St. Gabriel, Louisville.
 Strinmetz, Richard Earl, Our Mother of Sorrows, Louisville.
 Stuber, Joseph F., Transfiguration, Grohnen.
 Sturgeon, James C., Sr., St. Pius X, Louisville.
 Thomas, William A., Church of the Resurrection, Louisville.
 Thornbury, Benjamin, Our Lady of Lourdes, Louisville.
 Tolbert, Michael A., St. Thomas More, Louisville.
 Tomes, David R., On leave.
 Turner, James R., St. Martin de Porres, Louisville.
 Vesels, Michael, St. Christopher, Radcliff.
 Voll, Charles Joseph, Mother of Good Counsel, Louisville.
 Walther, Charles F., St. Rita, Louisville.
 Ward, James E., St. Margaret Mary, Louisville.
 Ward, Kenneth M., St. Albert the Great, Louisville.
 Wheatley, Joseph P., Norton Hospital-Konair Children's Hospital, Louisville.
 Whelan, John R., St. Martin of Tours, Flaherty.
 Wright, J. Patrick, Cathedral of the Assumption, Louisville.

INSTITUTIONS LOCATED IN THE ARCHDIOCESE

[A] COLLEGES AND UNIVERSITIES

LOUISVILLE. *Bellarmine College*, Newburg Rd., 40206-0671. ☎ 502-452-8211. Administration Officers: Most Rev. Thomas C. Kelly, O.P. J.C.D., Archbishop of Louisville, Chancellor; Joseph J. McGowan, Jr., Pres.; John O. Kampchaerfer, Exec. Vice Pres.; Dr. Leonard J. Moisan, Ph.D., Vice Pres. Institutional Advancement; Dr. Thomas LaBaugh, Ph.D., Vice Pres. Educational Services and Dean of Admissions; Dr. Richard L. Feltner, Ph.D., Dean of Rubel School of Business; Dr. Regina L. Munnig, Ph.D., Dean of Lanning School of Nursing, Education & Health Sciences; Rev. Leonard Callahan, Assoc. Vice Pres. for Religion Affairs; Clyde F. Crews, W. Frederick Hendrickson; George A. Klicourse; Eugene L. Zoller; Clyde Chetwynde (BO). Priests 7; Sisters 4; Lay teachers 88; Students 2,876.
 Spalding University, 851 S. 4th St., 40203. ☎ 502-585-9911. Eileen M. Egan, S.C.N., Pres.; Mary A. Pasaoluna, Asst. in the Pres.; Gerald H. Oswein, Dir. of Finance and Admin. Services; John A. Dillon, Jr., Provost and Dean of Graduate Studies; Sharon L. Gray, Asst. Provost; Byron Wunderlich, Registrar; Sr. Rosemary Surby, R.V.M., Asst. Registrar for Graduate Programs; M. Janice Murphy, Dean, College of Arts and Sciences; William B. Brennan, Dean, School of Business; Mary Burns, S.C.N., Dean, School of Education; Sr. Mary C. Conroy, S.C.L., Dean, School of Nursing and Health Sciences; Thomas G. Titus, Chair, Dept. of Psychology; Jill G. McKeivy, Chair, Dept. of Social Work; Gloria A. Flecher, Dir. of Library; Johann M. Burke, Dir. of Weekend College; Eileen Mary Meyer, S.C.N., Dir. of Student Services; Eugene J. Hunkler, Vice Pres. University Advancement; M. Sinbhan Reidy, Dir. of Public Relations; Kevin A. Thompson, Dir. of Admissions; Elinor E. Starr, Dir. of Student Financial Aid. Sisters 27; Brothers 2; Lay teachers 52; Students 1,350.
 St. CATHARINE. *St. Catharine College*, 40061. ☎ 606-376-9103. Dominican Sisters. Governor Martha Layne Collins, Pres.; Sr. Grace M. Ollis, I.H.M., Vice Pres. & Academic Dean; Mary Ann Anzelmo, Dean of Students. Sisters 10; Lay teachers 12; Students 246. *Rev. Robert R. Earl, Academic Dean*

[B] HIGH SCHOOLS, DIOCESAN

LOUISVILLE. *Holy Cross High School*, 5144 Dixie Highway, 40216. ☎ 502-447-4783. Mr. Frank Chumley, Acting Prin.; Rev. John T. Judle, Chap. Brothers 1; Ursuline Sisters 1; Lay teachers 29; Students 431.
 St. Francis DeSales High School, 425 Kenwood Dr., 40214. ☎ 502-368-6319. Mr. David F. Winkler, Prin.; Revs. Charles Slobig, O.Carm.; Michael Greenwell, O.Carm.; Kylin Cagliana, O.Carm. Priests 3; Brothers 2; Lay teachers 27; Students 401.
 Trinity High School, 4011 Shelbyville Road, 40207. ☎ 502-895-9427. Mr. Peter Flaig, Prin.; Revs. Kevin Carter; Ronald J. Domhoff; R. Joseph Hemmerle; Harry A. Jansing; Donald W. Springman; David H. Zettel. Priests 6; Brothers 1; Sisters 1; Lay teachers 55; Students 1,015.
 BARDSTOWN. *Bethlehem High School*, 40004. ☎ 502-349-8594. Sisters of Charity of Nazareth. Sr. Margaret Willie, S.C.N., Prin. Sisters 6; Lay teachers 19; Students 183.

[C] HIGH SCHOOLS, PRIVATE

LOUISVILLE. *St. Xavier High School*, Xaverian Brothers, 1609 Poplar Level Rd., 40217. ☎ 502-627-4712. Bro. Edward Driscoll, C.F.X., Prin.; Mr. Perry E. San galli, Asst. Prin. Priests 3; Brothers 8; Lay teachers 34; Students 1,365.
 Assumption High School, 2170 Tyler Ln., 40205. ☎ 502-458-9561. Sisters of Mercy. Ms. Karen Russa, Prin. Lay teachers 45; Students 712.
 Sacred Heart Academy, 3176 Lexington Rd., 40206. ☎ 502-897-1811. Ursuline Sisters. Sr. Maureen Field, I.H.M., Prin.; Rev. John J. Butler. Sisters 11; Lay teachers 40; Students 720.
 Academy of Our Lady of Mercy, 1176 E. Broadway, 40204. ☎ 502-584-4273. Mrs. F. Boyce, Prin.; Michael Johnson, Asst. Prin. Sisters of Mercy 2; Lay teachers 24; Students 320.
 Presentation Academy, 861 E. 4th St., 40203. ☎ 502-893-8935. Sisters of Charity of Nazareth. Sr. Phyllis Hannon, S.C.N., Prin. Sisters 9; Lay teachers 20; Students 270.
 Holy Rosary Academy, 4801 Southside Dr., 40214. ☎ 502-366-4561. Karva M. Jukonn, Prin. Sisters of St. Dominic (St. Catherine, KY) 2; Lay teachers 22; Girls 320.

[D] CONSOLIDATED SCHOOLS

LOUISVILLE. *Community Catholic School*, 2630 Stevin St., 40212. ☎ 502-778-1941. Sr. Barbara Von Bukern, S.C.N., Prin. Children from St. Anthony, St. Cecilia and Our Lady attend this school. Religion 1; Lay teachers 11; Students 188. 174

[E] ELEMENTARY SCHOOLS, PRIVATE

LOUISVILLE. *All Saints Preparatory Academy*, 724 S. 4th St., 40211. *Mrs. MARINA LEWIS*
 St. Benedict's Center for Early Childhood Education, 2323 Orange Ave., 40210. ☎ 502-772-7324. Ms. Marcia Cummings, Exec. Dir. Students 106.
 Holy Angels Academy, Inc., 1408 S. Second St., 40208. ☎ 502-634-3223. Joseph M. Norton, Prin. Sisters 1; Lay teachers 5; Students 106.
 Merry Montessori School, 2181 Tyler Ln., 40205. ☎ 502-459-6545. Sr. Mary Alicia McInty, R.S.M., Dir. Students 90. 70
 Sacred Heart Model School, 3121 Lexington Rd., 40206. ☎ 502-897-1811. Sr. Catherine, O.S.U., Prin. Lay teachers 27; Students 290.
 Spalding University Daycare Center, 851 S. 4th St., 40203. ☎ 502-585-9911 Ext. 242. Mrs. Victoria F. May, Dir. Students 15.
 Thomas Merton Academy, 4801 Poplar Level Rd., 40213. Rev. James F. Valk, C.R.; Ann R. Thompson, Admin.
 Urban Montessori Schools, Inc., 819 S. Shelby, 40202. ☎ 502-589-4883. Mr. George Sauer, Admin. St. Columba Montessori.
 St. Vincent-Montessori. St. Louis Bertrand St. Martin Montessori.
 Ursuline Montessori School, 3105 Lexington Rd., 40206. ☎ 502-897-1811. Sr. Delores Kemper, O.S.U., Admin. Students 96.
 NAZARETH. *Nazareth Montessori Children's Center*, P.O. Box 44, 40048. ☎ 502-348-1540. Sr. Patricia Marie Hill, B.C.N., Dir. Sisters 4; Lay teachers 6; Students 70.

[F] SPECIAL SCHOOLS

LOUISVILLE. *St. Angela Education Center*, 1731 Eden-side Ave., 40204. ☎ 502-459-9725. Sr. Jean Gertrude Mudd, O.S.U., Dir. Students 9.
 The DePaul School, 1926 Duker Ave., 40205. ☎ 502-459-6131. Sr. Anne Rita Mauch, S.C.N., Exec. Dir.; Mrs. Lillie Roberts, Prin. Lay teachers 42; Students 281.
 The DePaul School Saturday Tutorial Program, 1926 Duker Ave., 40205. ☎ 502-459-6131. Students 41.
 St. Joseph Child Development Center, 2823 Frankfort Ave., 40208. ☎ 502-893-0241. Rev. Herman J. Naber, Dir.; Mr. Richard Fried, Assoc. Dir. of Child Development Center, Students 126.
 Ursuline Child Development Center, 3105 Lexington Rd., 40206. ☎ 502-895-7798. Sr. Vera Del Grande, O.S.U., Dir. Students 150.
 Ursuline-Pitt School, 2117 Payne St., 40206. ☎ 502-895-7488. Sr. Regina Marie Revelacqua, O.S.U., Prin. Sisters 1; Lay teachers & Students 65.
 Ursuline Tutoring Center, 3115 Lexington Rd., 40206. ☎ 502-893-0125 Ext. 266. Sr. Cyrilla Karlin, O.S.U., Dir.
 Ursuline School of Music and Drama, 3105 Lexington Rd., 40206. ☎ 502-897-1816. Serena Staube Summum, Dir. Students 245.
 Ursuline Speech Clinic, 3105 Lexington Rd., 40206. ☎ 502-897-1811. Sr. M. Dorothy Frankrone, O.S.U. Clients 75.

[G] ORPHANAGES AND INFANT HOMES

LOUISVILLE. *Our Lady's Home*, 823 Park Ave., 40206. ☎ 502-637-2969.
 St. Joseph Children's Home, 2823 Frankfort Ave., 40208. ☎ 502-893-0241. Rev. Herman J. Naber, Dir.; Mr. Dennis Davis, Assoc. Dir. of Residential Care. Ursuline Sisters 2; Children 38.
 St. Joseph Catholic Orphan Society, 2823 Frankfort Ave., 40208. ☎ 502-893-0241. Rev. Herman J. Naber, Exec. Dir.; Mr. Earl Hartlage, Pres.
 St. Thomas Orphan Society, Inc., P.O. Box 1073, 40201.
 St. Vincent's Orphan Society, Inc., P.O. Box 1073, 40201.

[H] GENERAL HOSPITALS

LOUISVILLE. *St. Anthony Medical Center*. Legal Title: Sisters of St. Francis Health Services, Inc. St. Anthony Pl., 40204. ☎ 502-587-1161. Sisters of St. Francis of Perpetual Adoration. Mr. Lawrence J. Eul, Pres.; Revs. Aquinas Schneider, O.F.M., Chap.; George Hellman, O.F.M., Asst. Chap. Sisters 6; Patients assisted annually 50,760; Bed capacity 374; Basins 40.
 SS. Mary and Elizabeth Hospital, 1850 Bluegrass Ave., 40213. ☎ 502-361-6000. Sisters of Charity of Nazareth. Raymond W. Leitner, Chief Exec. Officer; Rev. Albert J. Hartlage, Sisters 12; Bed capacity 331; Patients assisted annually 54,703.
 BARDSTOWN. *Flaeger Memorial Hospital*, 40004. ☎ 502-348-3923 Ext. 242. Sisters of Charity of Nazareth Health Corporation. Mr. Ross Frazier, Pres. & CEO, Sisters 5; Bed capacity 62; Basins 6; Patients assisted annually 19,454,776. Attended from St. Joseph Church.

FORMER
ARTICLES OF INCORPORATION

OF THE

ST. JOSEPH

German Roman Catholic

ORPHAN SOCIETY

Of Louisville, Kentucky

An Act to incorporate the "St. Joseph's Orphan Society of Louisville."

Section 1. Be it enacted by the General Assembly of the Commonwealth of Kentucky, That the members of the St. Joseph's Orphan Society, of Louisville, be and they are hereby created a body politic and corporate, by the name and style of "St. Joseph's Orphan Society, of Louisville," with perpetual succession, and by that name shall be capable of contracting and being contracted with, of suing and being sued, of pleading and being impleaded, of purchasing and holding all such real and personal estate as may be necessary and required for the use and accommodation of said Society. They shall have power to receive all necessary conveyances, to sell, convey, and dispose of all such real and personal estate as they may now have, or hereafter acquire; Provided, the amount vested in real estate, exclusive of the buildings thereon, shall not, at any time, exceed the sum of Ten Thousand Dollars.

Sec. 2. That the management of the concerns of said corporation shall be and is hereby confided to the present president, secretary, treasurer and their successors in office

as trustees, who shall have power to make all contracts pertaining to the real and personal estate, in all respects, either in purchasing, building, or renting, or for any other purpose which shall be binding and obligatory upon said Society, when made in pursuance of the rules, by-laws, and instructions of said Society; and service of process or notice on any of said trustees, shall be sufficient notice to said corporation.

Sec. 3. That said Society may, at any time, pass such by-laws, rules, and regulations, not inconsistent with the constitution and laws of this State, as may be necessary for the protection, management, and safekeeping of the property of said Society; and any money received for any trespass or injury done on or to the property aforesaid, shall be for the use and benefit of said Society, and be so applied.

Sec. 4. That said corporation shall have power to adopt, make, and use a common seal, and the same to break, alter, or amend at pleasure.

Sec. 5. That the objects of this corporation are only to enable said Society to hold and manage the property thereof; and this act, and the powers hereby granted, shall not be employed for any other purpose; and the General Assembly hereby reserves the right to change, alter, or amend this act at pleasure.

Approved December 2, 1851.

An act to amend an act, entitled: "An act to incorporate the St. Joseph's Orphan Society of Louisville," approved December 2, 1851.

Be it Enacted by the General Assembly of the Commonwealth of Kentucky:

Section 1. That the act, entitled: "An act to incorporate the St. Joseph's Orphan Society of Louisville," approved December 2, 1851, be, and hereby is, amended as follows: The said St. Joseph's Orphan Society of Louisville shall have the same power and control over the children now under their protection or that may hereafter be taken under their

protection, until they respectively attain the age of twenty-one years, that fathers have over their children, and guardians have over their wards; and the said Society shall have the right to receive, raise, take care of, educate, and bind out as apprentices, any child that they now have or may hereafter receive in their institution; but the County Court of Jefferson County, and the Louisville Chancery Court, shall have the power, on complaint made, to investigate the treatment of any child or children in said institution, and to review, correct, or annul or continue, any articles of apprenticeship that may be entered into under this act, if, upon investigation, such court should deem it advisable and just.

Sec. 2. This act shall be in force from its passage.
Approved March 7, 1868.

An act to amend the Charter of the "St. Joseph's Orphan Society of Louisville."

Be it Enacted by the General Assembly of the Commonwealth of Kentucky:

That the act, entitled "An act to incorporate the St. Joseph's Orphan Society of Louisville," approved December 2, 1851, and the act amending the same, approved March 7, 1868, be and they are hereby amended as follows, namely:

Section 1. The name of said Society is hereby changed so as to be the "St. Joseph's German Roman Catholic Orphan Society of Louisville, Kentucky," and said Society shall have power to convey by said new name and under a new seal to be adopted by it, any property or estate it may now own.

Sec. 2. The said corporation shall also have power to acquire real and personal estate, by gift, grant, devise or bequest.

Sec. 3. The purchase of real property lately made by said Society having increased the amount invested by it in real estate, exclusive of buildings beyond the sum of Ten Thousand Dollars, the limit provided by the original Charter of said Society, said purchase is hereby approved and legalized and shall be deemed as lawful as if said limitation had not existed at the time of said purchase.

Sec. 4. The Society may acquire and hold real estate, either for the use and accommodation of said Society, or for the secure investment of its funds, the value of which, exclusive of the buildings thereon, shall not exceed the sum of fifty thousand dollars.

Sec. 5. The said corporation shall have power to receive into its custody and under its control male children under twenty-one years of age, and female children under eighteen years of age, who have been or may be abandoned by their parents and who may in good faith be committed to its custody and control by two good citizens of this Commonwealth with the approval of the County Court of the County, where such child or children may be found, and any child or children so committed shall be and remain in the custody or under the control of said corporation until as to male children, they shall attain the age of twenty-one years and as to female children, they shall attain the age of eighteen years. The costs which necessarily attend the action of the County Court under this section shall be allowed by the County Court and be paid out of the county levy. The said Corporation shall also have power to receive into its custody and under its control all male children under twenty-one years and all female children under eighteen years of age, who may be committed to its custody and control by the father, or, in case the father be dead, by the mother, or in case the death of both father and mother, by the guardian of such child or children, and any child so received shall continue under the control or in the custody of said corporation until in the case of males they shall attain the age of twenty-one years and in the case of females they shall attain the age of eighteen years; and as to all children received by said corporation, it shall have all the powers and rights which parents have in maintaining, controlling and protecting their children, or as guardians have in maintaining, protecting and controlling their wards.

Sec. 6. For the purpose of raising funds for the support of orphan and destitute children and to make more effectual the management of the affairs and objects of the corporation, it is hereby provided that each German Roman Catholic congregation may form a branch society with three trustees. The Rector of the congregation shall be ex officio one of said trustees, and the members of said branch society, shall elect in December, eighteen hundred and eighty-four, two members of good standing as the other two, one of whom shall be elected to serve for two years and the other for one year. Thereafter they shall elect annually in December one trustee who shall serve two years. Each branch society

shall be organized and its business affairs managed as may be prescribed by the corporation and its by-laws, its present officers to serve until their successors in office are elected and have qualified.

Sec. 7. The business affairs and management of the corporation shall be under the direction, control and management of a Board of Trustees to be constituted as follows, to-wit: The Roman Catholic Bishop of Louisville and his successors in office, ex officio, shall be a member of said board and shall have supervisory powers over the proceedings and acts of said board, and may approve or nullify the same at his discretion, and may appoint from the Rectors who are members of this board, one as his representative and may delegate to him the same power vested in him by virtue of Sections Seven, Eight and Nine of this Charter. The members of the branch societies shall elect annually on such day in the month of December, as the by-laws may prescribe, a President, a Vice-President, a Treasurer, and two Secretaries to be known as the "Central Officers" and who, together with the Trustees selected as provided in Section Six, shall constitute the other members of said board, whose powers and duties shall be prescribed by the by-laws of the corporation. The present board of Trustees shall hold their offices until their successors in office are duly elected and have qualified.

Sec. 8. The Board of Trustees may adopt any by-laws not inconsistent with the laws and constitution of the State and the constitution of the United States, but no by-laws shall be passed, changed or abrogated without the approval of the Bishop aforesaid.

Sec. 9. It shall be the duty of the Board of Trustees to appoint annually with the approval of the Bishop aforesaid a standing committee of three of its members, to be styled the "Committee of Admission and Control." It shall be the duty of said Committee to receive, discharge, apprentice or bind out in their discretion any or all children committed to the custody and control of the corporation provided, however, that said Committee shall at all times be under the control of the Board and may be removed at the pleasure of said Board, and provided furthermore that said Committee shall not bind out or apprentice any child except to a person of unexceptional character and shall in every case retain the right and power to rescind any contract of binding out or apprenticeship, when they or the Board of Trustees shall determine that the employer of the child has failed to fulfill his or her contract obligations or mistreated the child

committed to his or her care. The said Committee shall perform such other duties, as may be prescribed in the by-laws.

Sec. 10. All acts and parts of acts in conflict with this act are hereby repealed.

Sec. 11. This act shall take effect and be in force from and after its passage.

Approved March 28, 1884.

Form **W-9**
(Rev. October 2018)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

► Go to www.irs.gov/FormW9 for instructions and the latest information.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.
St. Joseph Catholic Orphan Society

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.

Individual/sole proprietor or single-member LLC C Corporation S Corporation Partnership Trust/estate

Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ► _____

Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.

Other (see instructions) ► **501 (c)(3)**

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
Exempt payee code (if any) _____
Exemption from FATCA reporting code (if any) _____
(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.) See instructions.
2823 Frankfort Avenue

6 City, state, and ZIP code
Louisville, KY 40206

7 List account number(s) here (optional)

Requester's name and address (optional)

Print or type.
See Specific Instructions on page 3.

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number

				-					
--	--	--	--	---	--	--	--	--	--

OR

Employer identification number

6	1	-	0	4	7	5	2	8	6
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Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here

Signature of U.S. person ►

Lora Schott

Date ►

3/2/2022

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
 - Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
 - Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
 - Form 1099-S (proceeds from real estate transactions)
 - Form 1099-K (merchant card and third party network transactions)
 - Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
 - Form 1099-C (canceled debt)
 - Form 1099-A (acquisition or abandonment of secured property)
- Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.

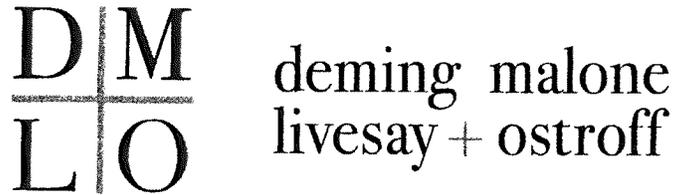
ST. JOSEPH CATHOLIC ORPHAN SOCIETY

FINANCIAL STATEMENTS

Years Ended December 31, 2020 and 2019

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Independent Auditors' Report

To the Board of Trustees
St. Joseph Catholic Orphan Society
Louisville, Kentucky

We have audited the accompanying financial statements of St. Joseph Catholic Orphan Society (a not-for-profit organization), which comprise the statements of financial position as of December 31, 2020 and 2019, and the related statements of activities, functional expenses, and cash flows for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of St. Joseph Catholic Orphan Society as of December 31, 2020 and 2019, and the changes in its net assets and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

Deming, Malone, Linsay & Petroff

Louisville, Kentucky
May 24, 2021

ST. JOSEPH CATHOLIC ORPHAN SOCIETY**STATEMENTS OF FINANCIAL POSITION**

December 31, 2020 and 2019

Assets	<u>2020</u>	<u>2019</u>
Current Assets		
Cash, designated cash, and cash equivalents:		
Cash and cash equivalents	\$ 526,054	\$ 252,759
Board designated cash	<u>564,247</u>	<u>472,063</u>
	1,090,301	724,822
Accounts receivable	442,210	428,472
Pledges receivable	901,153	999,796
Prepaid expenses	<u>89,899</u>	<u>103,631</u>
Total current assets	<u>2,523,563</u>	<u>2,256,721</u>
Property and Equipment		
Land	1,786,149	1,770,361
Buildings and improvements	6,950,279	6,907,278
Furniture and equipment	1,469,397	1,447,279
Vehicles	231,217	231,217
Construction in progress	<u>1,189,294</u>	<u>135,905</u>
	11,626,336	10,492,040
Less accumulated depreciation	<u>4,134,680</u>	<u>3,902,881</u>
	<u>7,491,656</u>	<u>6,589,159</u>
Other Assets		
Investments	23,059,306	21,687,638
Land held for sale	1,144,824	1,144,824
Pledges receivable	2,313,194	3,265,459
Beneficial interest in assets held by others	<u>2,924,993</u>	<u>2,694,557</u>
Total other assets	<u>29,442,317</u>	<u>28,792,478</u>
Total assets	<u>\$ 39,457,536</u>	<u>\$ 37,638,358</u>

See Notes to Financial Statements.

Liabilities and Net Assets	<u>2020</u>	<u>2019</u>
Current Liabilities		
Accounts payable	\$ 252,320	\$ 75,004
Accrued expenses	<u>417,804</u>	<u>345,658</u>
Total current liabilities	<u>670,124</u>	<u>420,662</u>
 Note payable - PPP	 <u>1,151,800</u>	 <u> </u>
 Total liabilities	 <u>1,821,924</u>	 <u>420,662</u>
 Net Assets		
Without donor restrictions	<u>29,654,463</u>	<u>29,628,146</u>
With donor restrictions:		
Restricted by purpose or time	6,209,546	5,946,969
Restricted in perpetuity	<u>1,771,603</u>	<u>1,642,581</u>
Total with donor restrictions	<u>7,981,149</u>	<u>7,589,550</u>
 Total net assets	 <u>37,635,612</u>	 <u>37,217,696</u>
 Total liabilities and net assets	 <u>\$ 39,457,536</u>	 <u>\$ 37,638,358</u>

ST. JOSEPH CATHOLIC ORPHAN SOCIETY

STATEMENTS OF ACTIVITIES

Years Ended December 31, 2020 and 2019

	2020		
	Without Donor Restrictions	With Donor Restrictions	Total
Revenues and other support			
Child development center tuition	\$ 1,181,744		\$ 1,181,744
Resident care revenues	3,660,331		3,660,331
Home based service revenues	1,316,385		1,316,385
Net investment return	998,208		998,208
Picnic income	189,336		189,336
Legacies and bequests	143,902	\$ 10,000	153,902
Contributions and grants	913,618	1,124,483	2,038,101
Childcare sustainment funding		221,657	221,657
Other income	10,068		10,068
(Loss) gain on disposal of assets	(9,576)		(9,576)
Write-off of uncollectible pledges		(510,000)	(510,000)
Change in beneficial interest in assets held by others		220,436	220,436
Net assets released from restrictions	674,977	(674,977)	
Total revenues and other support	9,078,993	391,599	9,470,592
Expenses			
Program services	6,790,281		6,790,281
Management and general	1,619,241		1,619,241
Fund-raising	643,154		643,154
Total expenses	9,052,676		9,052,676
Increase in total net assets	26,317	391,599	417,916
Net assets at beginning of year, as restated	29,628,146	7,589,550	37,217,696
Net assets at end of year	\$ 29,654,463	\$ 7,981,149	\$ 37,635,612

See Notes to Financial Statements.

2019		
<u>Without Donor Restrictions</u>	<u>With Donor Restrictions</u>	<u>Total</u>
\$ 1,588,755		\$ 1,588,755
3,729,219		3,729,219
1,327,849		1,327,849
1,490,758		1,490,758
796,709		796,709
143,215	\$ 432,196	575,411
599,885	4,472,526	5,072,411
6,724		6,724
702,959		702,959
	(269,066)	(269,066)
	348,707	348,707
<u>365,410</u>	<u>(365,410)</u>	
10,751,483	4,618,953	15,370,436
6,719,970		6,719,970
1,476,922		1,476,922
<u>991,928</u>		<u>991,928</u>
<u>9,188,820</u>		<u>9,188,820</u>
1,562,663	4,618,953	6,181,616
<u>28,065,483</u>	<u>2,970,597</u>	<u>31,036,080</u>
<u>\$ 29,628,146</u>	<u>\$ 7,589,550</u>	<u>\$ 37,217,696</u>

ST. JOSEPH CATHOLIC ORPHAN SOCIETY

STATEMENTS OF FUNCTIONAL EXPENSES

Years Ended December 31, 2020 and 2019

	2020						
	Program Services			Supporting Services			
	Child Development Center	Residential Services	Home Based Services	Total Program Services	Management and General	Fund-raising	Total
Salaries	\$ 1,146,092	\$ 2,228,730	\$ 476,270	\$ 3,851,092	\$ 802,247	\$ 28,955	\$ 5,059,854
Payroll taxes	83,932	163,087	34,054	281,073	60,138	2,014	370,814
Employee benefits	185,216	435,512	87,661	708,389	133,479	4,027	887,087
Workers' compensation	7,722	52,822	5,735	66,279	4,229	301	72,669
Directors' and officers' insurance			330	330	22,408		22,738
Employment expenses					24,131		24,131
Food, provisions, kitchen	168,255	237,463		405,718	21,914	2,750	430,382
Program supplies and expenses	8,208	88,461	567,524	664,193	21,060	32,056	737,380
Education and entertainment outside home				55,928			55,928
Utilities	27,346	99,616	10,411	137,373	86,787	4,620	233,338
Office supplies	10,557	14,035	1,301	25,893	64,834	445	106,045
Postage		78		78	4,299	312	17,433
Conferences, training and dues	4,863	6,861	5,139	16,863	17,966	5,298	40,127
Consulting and professional fees	5,238	45,715	8,514	59,467	44,661	873	105,874
Repairs and maintenance	16,458	75,542	4,364	96,364	40,370	2,182	141,098
Depreciation	17,958	223,082	6,885	247,925	55,370	2,993	309,281
Insurance	20,537	54,278	4,401	79,216	66,015	1,468	146,699
House supplies and cleaning	11,770	40,507	3,768	56,045	34,855	1,884	94,668
Truck and auto expense		2,588	265	2,853		265	3,118
Miscellaneous	11,029	13,157	11,016	35,202	114,478	23,165	194,012
	<u>\$ 1,725,181</u>	<u>\$ 3,837,462</u>	<u>\$ 1,227,638</u>	<u>\$ 6,790,281</u>	<u>\$ 1,619,241</u>	<u>\$ 106,577</u>	<u>\$ 9,052,676</u>

	2019						
	Program Services			Supporting Services			
	Child Development Center	Residential Services	Home Based Services	Total Program Services	Total		
Salaries	\$ 1,087,167	\$ 2,268,606	\$ 520,246	\$ 3,876,019	\$ 55,189	\$ 314,793	\$ 5,013,591
Payroll taxes	80,084	164,997	37,190	282,271	4,087	22,572	365,232
Employee benefits	162,959	356,531	78,378	597,868	2,953	33,300	742,000
Workers' compensation	6,873	47,166	5,329	59,368	275	1,722	65,201
Directors' and officers' insurance							20,308
Employment expenses							27,161
Food, provisions, kitchen	223,681	222,625		446,306			611,680
Program supplies and expenses	13,905	115,627	556,957	686,489	148,951		811,802
Education and entertainment outside home		65,566		65,566	83,471	21,042	65,566
Utilities	27,796	101,068	10,832	139,696	87,919		236,921
Office supplies	1,964	8,129	2,115	12,208	52,752		85,869
Postage		89		89	3,000		10,408
Conferences, training and dues	6,368	4,259	19,233	29,860			72,412
Consulting and professional fees	4,565	16,357	5,182	26,104			126,416
Repairs and maintenance	26,293	74,296	4,594	105,183	761		163,471
Depreciation	16,959	221,970	7,057	245,986	12,960		303,937
Insurance	14,325	37,860	3,070	55,255	2,827		102,324
House supplies and cleaning	12,158	43,566	4,053	59,777	2,026		101,316
Truck and auto expense		4,714	483	5,197			5,680
Miscellaneous	2,038	9,058	15,632	26,728	158,239	19,770	257,525
	<u>\$ 1,687,135</u>	<u>\$ 3,762,484</u>	<u>\$ 1,270,351</u>	<u>\$ 6,719,970</u>	<u>\$ 1,476,922</u>	<u>\$ 513,528</u>	<u>\$ 9,188,820</u>

See Notes to Financial Statements.

ST. JOSEPH CATHOLIC ORPHAN SOCIETY

STATEMENTS OF CASH FLOWS

Years Ended December 31, 2020 and 2019

	<u>2020</u>	<u>2019</u>
Cash Flows from Operating Activities		
Cash received from services provided	\$ 6,285,677	\$ 6,699,615
Cash received from contributions and grants	2,409,487	2,269,635
Cash paid to suppliers and employees	(8,686,316)	(8,737,139)
Investment income received	346,865	525,710
Interest paid	<u>(403)</u>	<u>(460)</u>
Net cash provided by operating activities	<u>355,310</u>	<u>757,361</u>
Cash Flows from Investing Activities		
Purchases of property and equipment	(990,999)	(132,148)
Proceeds from sale of land held for sale		3,118,928
Purchases of investments	(12,184,498)	(15,676,274)
Proceeds from sale of investments	<u>11,464,173</u>	<u>11,892,237</u>
Net cash used in investing activities	<u>(1,711,324)</u>	<u>(797,257)</u>
Cash Flows from Financing Activities		
Proceeds from note payable - PPP	1,151,800	
Donations received for long-term purposes	<u>569,693</u>	<u>38,202</u>
Net cash provided by financing activities	<u>1,721,493</u>	<u>38,202</u>
Net increase (decrease) in cash, designated cash, and cash equivalents	365,479	(1,694)
Cash, designated cash, and cash equivalents at beginning of year	<u>724,822</u>	<u>726,516</u>
Cash, designated cash, and cash equivalents at end of year	<u>\$ 1,090,301</u>	<u>\$ 724,822</u>

See Notes to Financial Statements.

	<u>2020</u>	<u>2019</u>
Reconciliation of Net Increase in Total Net Assets to Net Cash Provided by Operating Activities		
Net increase in total net assets	\$ 417,916	\$ 6,181,616
Adjustments to reconcile net increase in total net assets to net cash provided by operating activities:		
Depreciation	309,281	303,937
Discounts on long-term pledges	(329,786)	436,597
Loss (gain) on disposal of assets	9,576	(702,959)
Change in beneficial interest in assets held by others	(220,436)	(348,707)
Net realized and unrealized gain on investments	(651,343)	(965,048)
Non-cash donation for long-term purposes	(10,000)	(432,196)
Donations for long-term purposes	(569,693)	(38,202)
Change in assets and liabilities:		
(Increase) decrease in:		
Accounts receivable	(13,738)	47,068
Pledges receivable	1,380,693	(3,766,429)
Prepaid expenses	13,732	(19,550)
Increase (decrease) in:		
Accounts payable	(53,038)	20,263
Accrued expenses	72,146	40,971
Total adjustments	<u>(62,606)</u>	<u>(5,424,255)</u>
Net cash provided by operating activities	\$ 355,310	\$ 757,361
Supplemental Schedule of Noncash Investing and Financing Activities		
Purchase of property and equipment in accounts payable	<u>\$ 230,354</u>	

ST. JOSEPH CATHOLIC ORPHAN SOCIETY

NOTES TO FINANCIAL STATEMENTS

Note 1. Nature of Activities and Summary of Significant Accounting Policies

Nature of activities:

St. Joseph Catholic Orphan Society (Organization), a not-for-profit organization, provides services under the following programs:

Child Development Center: This program provides child care to children in the Metro Louisville area. Revenues from this program include parent paid tuition, state supplemental tuition, and meal reimbursements from the Child and Adult Care Food Program.

Resident and Home Based Services Programs: These programs provide care to children referred by the Commonwealth of Kentucky Cabinet for Health and Family Services (Cabinet) and other outside agencies. Children are provided with a family type environment, including food, shelter, clothing, incidentals, affection, training, recreation, education and opportunities for religious, spiritual, and ethical development. Resident services are provided on-site at the Organization's facilities. Home based services are provided by individuals at their homes within the Louisville and Southern Indiana areas.

Summary of significant accounting policies:

The summary of significant accounting policies of the Organization is presented to assist in understanding the Organization's financial statements. The financial statements are representations of the Organization's management who is responsible for the integrity and objectivity of the financial statements. These accounting policies conform to accounting principles generally accepted in the United States of America and have been consistently applied in the preparation of the financial statements.

Estimates:

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

NOTES TO FINANCIAL STATEMENTS

Cash, designated cash, and cash equivalents:

For purposes of the statements of cash flows, the Organization considers only unrestricted cash and investments with original maturities of three months or less to be cash and cash equivalents, excluding those amounts held as part of the investment portfolio.

The following provides a reconciliation of cash, designated cash, and cash equivalents, reported on the statements of financial position to the amount reported on the statements of cash flows:

	<u>2020</u>	<u>2019</u>
Cash and cash equivalents	\$ 526,054	\$252,759
Board designated cash	<u>564,247</u>	<u>472,063</u>
	<u>\$1,090,301</u>	<u>\$724,822</u>

Investments:

Investments are stated at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. Net investment return is reported in the statements of activities and consists of interest and dividend income, realized and unrealized gains and losses, less external investment expenses.

Investments, in general, are exposed to various risks, such as interest rate, credit, and overall market volatility. It is reasonably possible that changes in the values of investments could occur in the near term and that such changes could materially affect the amounts reported in the statements of financial position.

Receivables:

The valuation of receivables is based upon a detailed analysis of past due accounts and the history of uncollectible accounts. Estimated uncollectible accounts increase the allowance for doubtful accounts and when the receivables are written off, the allowance for doubtful accounts is decreased. The Organization periodically reviews doubtful accounts receivable to determine if write-offs are necessary. There was no allowance for doubtful accounts at December 31, 2020 and 2019.

Property and equipment:

The Organization's policy is to capitalize asset purchases in excess of \$1,000. Property and equipment are recorded at cost if purchased, or at fair value as of the date of donation, if donated, and are being depreciated on the straight-line method over their estimated useful lives.

NOTES TO FINANCIAL STATEMENTS

Health plan:

All eligible employees are covered under a health plan which provides medical benefits. The Organization self-insures a portion of the medical benefits up to \$40,000 of eligible benefits per insured person. Benefit costs above this amount are covered by outside insurance. The financial statements include a provision for estimated claims incurred but not yet reported through the end of the year and claims in process of payment at year end.

Net assets:

Net assets, revenues, gains, and losses are classified based on the existence or absence of donor-imposed restrictions. Accordingly, net assets and changes therein are classified and reported as follows:

Net Assets Without Donor Restrictions – Net assets available for use in general operations and not subject to donor restrictions.

Net Assets with Donor Restrictions – Net assets subject to donor-imposed restrictions. Some donor-imposed restrictions are temporary in nature, such as those that will be met by the passage of time or other events specified by the donor. Other donor-imposed restrictions are perpetual in nature, where the donor stipulates that resources be maintained in perpetuity. When a donor restriction expires, that is, when a purpose restriction is accomplished or a stipulated time restriction ends, net assets with donor restrictions are reclassified to net assets without donor restrictions, and reported in the statements of activities as net assets released from restrictions.

Donated services and in-kind contributions:

Contributions other than cash are recorded at their fair value as of the date of donation. Contributions of long-lived assets with explicit restrictions that specify how the assets are to be used and contributions of cash or other assets that must be used to acquire long-lived assets are reported as net assets with donor restrictions. Absent explicit donor stipulations about how long those long-lived assets must be maintained, the Organization reports expirations of donor restrictions when the donated or acquired long-lived assets are placed in service.

Contributed services must meet the specific expertise requirements and would normally have been purchased before they are recorded. Many individuals donate their time and perform a variety of tasks that assist the Organization for which no value has been assigned because these services do not meet the criteria for recognition in the financial statements. There were approximately \$35,000 of advertising services contributed during the year ended December 31, 2019. There were no contributed services for the year ended December 31, 2020.

NOTES TO FINANCIAL STATEMENTS

Revenue recognition:

Contract revenue is derived from child development center services, resident care services, home-based services, and picnic sales.

Resident care and home-based service revenue are reported for services rendered to its residents and home-based clients under agreements with various Kentucky agencies. Under these agreements, a set per diem rate is established to provide services to residents and home-based clients with no year-end settlements or retroactive adjustments. The performance obligation of providing residential and home-based services is satisfied at a point in time when the services are rendered. Payment for services is due on a monthly basis for services rendered.

For the years ended December 31, 2020 and 2019, substantially all of the Organization's resident care and home based service revenue was derived from services to residents and home based clients who are beneficiaries of those various Kentucky agencies. Substantially all of the accounts receivable are due from those various Kentucky agencies for the years ended December 31, 2020 and 2019.

Child development center revenue is reported for child-care services provided. A deposit is required to hold a spot, while a registration fee and supply fee is paid upon enrollment. The performance obligation of providing child-care services is satisfied at a point in time when the services are rendered. Tuition fees are due on a weekly basis for services rendered.

Revenue from the annual picnic is recognized at a point in time when the event occurs. Payment for the ticketed event is due at the time of purchase.

The Organization has determined that the nature, amount, timing and uncertainty of contract revenue and cash flows are affected by the economy, stability of Kentucky agencies, and general public support.

Advertising:

The costs of advertising and public relations are expensed as they are incurred. Total advertising expense for the years ended December 31, 2020 and 2019 were approximately \$7,600 and \$45,000, respectively.

Functional allocation of expenses:

The financial statements report certain categories of expenses that are attributed to more than one program or supporting function. Therefore, expenses require allocation on a reasonable basis that is consistently applied. The expenses that are allocated include occupancy expenses, which are allocated on a square footage basis, as well as other operating expenses, which are allocated on the basis of estimates of time and effort.

NOTES TO FINANCIAL STATEMENTS

Income taxes:

The Organization is exempt from federal, state and local income taxes as not-for-profit organizations as described under Internal Revenue Code Section 501(c)(3). The Organization is reported as a subordinate organization under a group exemption of the Roman Catholic Church in the United States, and therefore, is not required to file an informational return.

As of December 31, 2020 and 2019, the Organization did not have any accrued interest or penalties related to income tax liabilities, and no interest or penalties have been charged to operations for the year then ended.

Accounting changes:

In August 2018, FASB issued No. 2018-13, *Fair Value Measurement: Disclosure Framework—Changes to the Disclosure Requirements for Fair Value Measurement*. The standard modifies the disclosure requirements for fair value measurements. The Organization has adjusted the presentation of these items accordingly. The ASU has been applied retrospectively to all periods presented.

Newly issued standards not yet effective:

The Financial Accounting Standards Board has issued accounting standards No. 2016-02, *Leases*, concerning the accounting for leases effective for years beginning after December 15, 2021 and No. 2020-07, *Not-for-Profit Entities: Presentation and Disclosures by Not-for-Profit Entities for Contributed Nonfinancial Assets*, for years beginning after June 15, 2021. The Organization is evaluating the impact that adoption of these standards will have on future financial position and results of operations.

Subsequent events:

Management has evaluated subsequent events through May 24, 2021, the date the financial statements were available to be issued.

NOTES TO FINANCIAL STATEMENTS

Note 2. Liquidity and Availability

Financial assets available for general expenditure that is without donor or other restrictions limiting their use, within one year of the December 31, 2020 and 2019 statements of financial position dates comprise the following:

	<u>2020</u>	<u>2019</u>
Financial assets:		
Cash and cash equivalents	\$ 1,090,301	\$ 724,822
Accounts receivable	442,210	428,472
Pledges receivable	872,330	999,796
Investments	<u>23,059,306</u>	<u>21,687,638</u>
Total financial assets	25,464,147	23,840,728
Less board designation for renovation project	(18,146,138)	(15,688,750)
Less board designation for operating reserves	(5,744,711)	(7,140,827)
Less line-of-credit collateral	<u>(773,575)</u>	<u>(769,720)</u>
Available for general operations	<u>\$ 799,723</u>	<u>\$ 241,431</u>

The Organization has endowment funds that consist of donor-restricted endowments. Income from donor restricted endowments are considered restricted in perpetuity and are not available for general expenditure. Annual payments from these funds are approximately \$30,000 and may be used for general operations.

The Organization maintains an available line-of-credit in the amount of \$500,000, which could be drawn upon in the event of an anticipated liquidity need.

The Board has designated a portion of its investments to the future building renovation project and operating reserves. If the need arises to utilize these Board designated investments, the investments could be drawn upon through board resolution.

The Organization has a goal to maintain financial assets, which consist of cash and investments on hand to meet a year of normal operating expenses. As part of liquidity management, the Organization invests cash in excess of daily requirements in various short-term investments.

NOTES TO FINANCIAL STATEMENTS

Note 3. Pledges Receivable

Pledges receivable consist of the following:

	<u>2020</u>	<u>2019</u>
Amounts due in:		
One year or less	\$ 901,153	\$ 999,796
One to five years	2,358,623	3,130,673
More than five years	<u>100,000</u>	<u>610,000</u>
Total pledges receivable	3,359,776	4,740,469
Less discount to net present value	<u>(145,429)</u>	<u>(475,214)</u>
Net pledges receivable	<u>\$3,214,347</u>	<u>\$4,265,255</u>

Pledges receivable due in more than one year are reflected at the present value of estimated future cash flows using a discount rate of 1.5% plus LIBOR (1.6% and 3.3% at December 31, 2020 and 2019, respectively).

Of the total gross pledges receivable as of December 31, 2020 and 2019, certain donors account for a significant portion of the total account balance as follows:

	<u>2020</u>	<u>2019</u>
Donor #1	\$1,710,000	\$2,000,000
Donor #2		500,000
Donor #3	400,000	450,000
Donor #4	162,500	412,500
Donor #5	<u>300,000</u>	<u>400,000</u>
	<u>\$2,572,500</u>	<u>\$3,762,500</u>

NOTES TO FINANCIAL STATEMENTS

Note 4. Investments

Investments are carried at fair value in the accompanying statements of financial position. Investments at December 31, 2020 and 2019 are as follows:

	2020		
	<u>Cost</u>	<u>Fair Value</u>	<u>Unrealized Appreciation (Depreciation)</u>
Cash and cash equivalents	\$15,441,407	\$15,441,407	
Equities	4,098,718	5,428,002	\$1,329,284
Domestic short term fixed income	2,022,376	1,974,047	(48,329)
Municipal bonds	29,155	31,917	2,762
Alternative assets	<u>228,261</u>	<u>183,933</u>	<u>(44,328)</u>
	<u>\$21,819,917</u>	<u>\$23,059,306</u>	<u>\$1,239,389</u>
	2019		
	<u>Cost</u>	<u>Fair Value</u>	<u>Unrealized Appreciation (Depreciation)</u>
Cash and cash equivalents	\$ 9,157,763	\$ 9,157,763	
Equities	4,338,628	4,908,130	\$569,502
Domestic short term fixed income	2,222,033	2,223,755	1,722
Domestic fixed income	5,137,378	5,122,580	(14,798)
Municipal bonds	29,595	31,930	2,335
Alternative assets	<u>231,690</u>	<u>243,480</u>	<u>11,790</u>
	<u>\$21,117,087</u>	<u>\$21,687,638</u>	<u>\$570,551</u>

Investments were classified as without donor restrictions as of December 31, 2020 and 2019.

Note 5. Fair Value Measurements

The framework for measuring fair value provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). The three levels of the fair value hierarchy are described as follows:

NOTES TO FINANCIAL STATEMENTS

- Level 1 – Inputs to the valuation methodology are unadjusted quoted market prices for identical assets or liabilities in active markets that the Organization has the ability to access.
- Level 2 – Inputs to the valuation methodology include quoted prices for similar assets or liabilities in active or inactive markets; inputs other than quoted prices that are observable for the asset or liability; inputs that are derived principally from or corroborated by observable market data by correlation or other means. If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.
- Level 3 – Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques maximize the use of relevant observable inputs and minimize the use of unobservable inputs.

All assets have been valued using a market approach. Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in methodologies used at December 31, 2020 and 2019.

Cash and cash equivalents – valued at the net asset value of shares held by the Organization at year end.

Mutual bond funds, equities and alternative assets – valued at the closing price reported in the active market in which the security is traded.

Beneficial interest in assets held by others is based on the Organization's interest in the fair value of the trust assets as provided by the trustee.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Organization believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

NOTES TO FINANCIAL STATEMENTS

The following table sets forth the level, within the fair value hierarchy, of the Organization's investments at fair value as of December 31, 2020 and 2019:

	December 31, 2020		
	<u>Level 1</u>	<u>Level 3</u>	<u>Total</u>
Measured on a recurring basis:			
Cash and cash equivalents	\$15,441,407		\$15,441,407
Equities:			
Large cap core	2,434,254		2,434,254
Mid cap growth	470,361		470,361
Mid cap value	405,137		405,137
Small cap growth	616,763		616,763
Small cap value	415,594		415,594
Developed international	537,962		537,962
Emerging markets	547,931		547,931
Mutual bond funds:			
Domestic short term fixed income	1,974,047		1,974,047
Municipal bonds	31,917		31,917
Alternative assets:			
Real estate investment trust	99,965		99,965
Master limited partnerships	83,968		83,968
Beneficial interest in assets held by others		<u>\$2,924,993</u>	<u>2,924,993</u>
 Total assets at fair value	 <u>\$23,059,306</u>	 <u>\$2,924,993</u>	 <u>\$25,984,299</u>
	December 31, 2019		
	<u>Level 1</u>	<u>Level 3</u>	<u>Total</u>
Measured on a recurring basis:			
Cash and cash equivalents	\$ 9,157,763		\$ 9,157,763
Equities:			
Large cap core	2,066,128		2,066,128
Mid cap growth	382,866		382,866
Mid cap value	435,735		435,735
Small cap growth	458,390		458,390
Small cap value	405,635		405,635
Developed international	716,902		716,902
Emerging markets	442,474		442,474
Municipal bond funds:			
Domestic short term fixed income	2,223,755		2,223,755
Domestic fixed income	5,122,580		5,122,580
Municipal bonds	31,930		31,930
Alternative assets:			
Real estate investment trust	117,629		117,629
Master limited partnerships	125,851		125,851
Beneficial interest in assets held by others		<u>\$2,694,557</u>	<u>2,694,557</u>
 Total assets at fair value	 <u>\$21,687,638</u>	 <u>\$2,694,557</u>	 <u>\$24,382,195</u>

NOTES TO FINANCIAL STATEMENTS

The change in value of the beneficial interest in assets held by others included in Level 3 assets measured at fair value on a recurring basis as of December 31, 2020 and 2019 are as follows:

	<u>2020</u>	<u>2019</u>
Balance, beginning of year	\$2,694,557	\$1,913,654
Contributions	10,000	432,196
Change in value of beneficial interest	<u>220,436</u>	<u>348,707</u>
Balance, end of year	<u>\$2,924,993</u>	<u>\$2,694,557</u>

Of the five third-party trusts, four are held in perpetuity and one is reduced for the net present value of required annual payments totaling \$10,000 to two remaining beneficiaries through the year 2031 using a 2.0% discount rate. The change in net present value was included in the change in value of beneficial interest in assets held by others on the statements of activities. This trust is held in two separate investment accounts. The remaining principal of both accounts is to be paid to the Organization in an amount equal to its beneficial interest of 12% in the year 2032.

Investment returns for the years ended December 31, 2020 and 2019, consisted of the following:

	<u>2020</u>	<u>2019</u>
Investment returns:		
Interest and dividends	\$ 411,085	\$ 580,319
Realized and unrealized gains	651,343	965,048
Investment expenses	<u>(64,220)</u>	<u>(54,609)</u>
Total return on investments	<u>\$998,208</u>	<u>\$1,490,758</u>

Note 6. Construction in Progress

During 2017, the Organization entered into two contracts with an architecture firm and a construction firm to undertake significant renovations of the Organization's building. During 2018, the Organization hired a Campaign Consultant to do a feasibility study and to consult throughout the project. The estimated total costs for the renovations are \$17.5 million with construction that began in the fall of 2020 and extends over a two year period. As of December 31, 2020 and 2019, the Organization had spent approximately \$1,189,000 and \$136,000 on construction and renovation costs, respectively.

NOTES TO FINANCIAL STATEMENTS

Note 7. Land Held for Sale

In 2005, the Organization recorded the bequest of an approximately 220-acre tract of real estate, which was valued at \$11,751,968 and classified as land held for sale. During 2014, the Organization listed the property for sale in two tracts. In subsequent years the second tract was subdivided into 4 sub-tracts. During 2017, tract one was sold. During 2018, sub-tract 2 from the second tract of land was sold. During 2019, sub-tract 4 from the second tract of land was split into three parts, creating sub-tracts 4, 5 and 6. The sale of sub-tracts 3, 4 and 5 occurred in December 2019 and the gain on sale of \$702,959 is included in the statements of activities. Subsequent to December 31, 2020, sub-tract 6 was split into two parts, creating sub-tracts 6 and 7. Sub-tract 7 was sold in January 2021 at a gain of approximately \$132,000.

Note 8. Line-of-Credit

The Organization has a \$500,000 available line-of-credit with Stock Yards Bank & Trust Company. The line-of-credit has a floating interest rate of LIBOR plus 1.5%. The interest rates at December 31, 2020 and 2019 were 1.64% and 3.28%, respectively. Monthly payments of interest are required. Any outstanding principal and interest is due in March 2023. Amounts borrowed are secured by assets of the Organization. There was no outstanding balance as of December 31, 2020 and 2019.

Note 9. Net Assets with Donor Restrictions

Net assets with donor restrictions are restricted for the following purposes or periods:

	<u>2020</u>	<u>2019</u>
Restricted for a specified purpose:		
Activities	\$ 755	\$ 20,000
Agency	39,742	
Res School	18,190	9,299
Foster Care and other	29,458	24,325
Therapy		348
Building renovation	4,764,051	4,358,506
Restricted for time	1,357,350	1,534,491
Endowments restricted in perpetuity	<u>1,771,603</u>	<u>1,642,581</u>
	<u>\$7,981,149</u>	<u>\$7,589,550</u>

NOTES TO FINANCIAL STATEMENTS

Net assets are released from donor restrictions upon satisfaction of the restricted purpose, either by the occurrence of events specified by the donors or the expiration of time. Those amounts released from restrictions during the years ended December 31, 2020 and 2019, are as follows:

	<u>2020</u>	<u>2019</u>
Subject to expenditure for a specified purpose:		
Activities	\$ 25,544	\$ 9,965
Agency	35,523	
Res School	34,590	8,913
CARES Act	221,127	
Therapy		2,698
Foster Care and Other	67,193	41,364
Subject to time restrictions	<u>291,000</u>	<u>302,470</u>
	<u>\$674,977</u>	<u>\$365,410</u>

Note 10. Endowment Funds

The Organization's endowment funds consist of certain beneficial interests in assets held by various third party trusts. The beneficial interests in assets held in those third-party trusts are donor restricted. The Organization's Board of Trustees does not have input or authority over the nature and type of investments held by the third-party trusts. The trustees of the third-party trusts have sole discretion on the investments and the amount and timing of distributions. As required by accounting principles generally accepted in the United States of America, net assets associated with endowment funds are classified and reported based on the existence or absence of donor-imposed restrictions.

Changes in endowment net assets for the years ended December 31, 2020 and 2019 are as follows:

	<u>2020</u>	<u>2019</u>
Endowment net assets, beginning of period	\$1,642,581	\$1,014,784
Contributions	10,000	432,196
Unrealized gains	<u>119,022</u>	<u>195,601</u>
Endowment net assets, end of period	<u>\$1,771,603</u>	<u>\$1,642,581</u>

NOTES TO FINANCIAL STATEMENTS

Interpretation of relevant law:

The Organization has interpreted the Kentucky Uniform Prudent Management of Institutional Funds Act (UPMIFA) as requiring the preservation of the fair value of the original gift as of the gift date of the donor-restricted endowment funds absent explicit donor stipulations to the contrary. As a result of this interpretation, the Organization classifies as donor restricted net assets (a) the original value of gifts donated to the permanent endowment, (b) the original value of subsequent gifts to the permanent endowment, and (c) accumulations to the permanent endowment made in accordance with the direction of the applicable donor gift instrument at the time the accumulation is added to the fund.

In accordance with UPMIFA, the Organization considers the following factors in making a determination to appropriate or accumulate donor-restricted endowment funds: (1) the duration and preservation of the various funds, (2) the purposes of the donor-restricted endowment funds, (3) general economic conditions, (4) the possible effect of inflation and deflation, (5) the expected total return from income and the appreciation of investments, (6) other resources of the Organization, and (7) the Organization's investment policies.

Return objectives and risk parameters:

The Organization has adopted investment and spending policies for endowment assets that attempt to provide a predictable stream of funding to programs supported by its endowment while seeking to maintain the purchasing power of the endowment assets. Endowment assets include those assets of donor-restricted funds that the Organization must hold in perpetuity.

Strategies employed for achieving objectives:

To satisfy long-term rate-of-return objectives, the Organization relies on a fixed income strategy in which investment returns are achieved through interest and dividends.

Spending policy and how the investment objectives relate to spending policy:

The Organization has a policy of appropriating for distribution an amount each year as deemed necessary to support operations. In establishing this policy, the Organization considered the long-term expected return on its endowment. Accordingly, over the long term, the Organization expects the current spending policy to allow its endowment to maintain the fair value of the original gifts. This is consistent with the Organization's objective to maintain the purchasing power of the endowment assets held in perpetuity or for a specified term, as well as to provide additional real growth through new gifts and investment return.

NOTES TO FINANCIAL STATEMENTS

Note 11. Retirement Plan

The Organization has a tax deferred annuity retirement savings plan (Plan) for its employees. Employees may voluntarily contribute from 1% to 12% of their compensation each plan year through salary deferral. In 2020 and 2019, the Organization matched 50% to 100% of employees' contributions to the Plan up to 4% of employees' compensation, depending on years of service. The Organization's contributions to the Plan totaled approximately \$84,000 and \$77,000 in 2020 and 2019, respectively.

Note 12. Environmental Clean-Up Costs

In connection with the Organization's renovation of its main building (see Note 6) during 2020, certain asbestos removable, clean-up, and remediation as required in accordance with local and federal laws, was incurred at a total cost of approximately \$48,000. The Organization has not yet determined the scope of additional contamination that must be remediated in connection with the remainder of the building renovation project, and thus has not been able to assess the estimated additional cost of the remediation. Accordingly, no liability has been accrued as of December 31, 2020. The ultimate cost of remediation is dependent on the scope of the contamination, as well as the remediation technology required.

Note 13. Concentration of Credit Risk

The Organization maintains its cash accounts in a bank in Louisville, Kentucky. Accounts at the bank are guaranteed by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000. Uninsured cash was approximately \$850,000 as of December 31, 2020.

Note 14. Note Payable - PPP

On April 9, 2020, the Organization qualified for and received a loan pursuant to the Paycheck Protection Program, a program implemented by the U.S. Small Business Administration (SBA) under the Coronavirus Aid, Relief, and Economic Security Act, from a qualified lender (PPP Lender), for an aggregate principal amount of \$1,151,800 (PPP Loan). The PPP Loan bears interest at a fixed rate of 1.0% per annum, with deferred interest, has a term of two years, and is unsecured and guaranteed by the SBA. The principal amount of the PPP Loan is subject to forgiveness under the Paycheck Protection Program upon the Organization's request to the extent that the PPP Loan proceeds are used to pay certain expenses permitted and incurred by the Organization. The Organization was granted forgiveness for the full amount of the PPP Loan in March 2021.

NOTES TO FINANCIAL STATEMENTS

Note 15. Childcare Sustainment Funding

During 2020, the Commonwealth of Kentucky, Cabinet for Health and Family Services, Department for Community Based Services, and in connection with the CARES Act, provided the Organization, as an existing state approved licensed childcare provider, with funding of approximately \$222,000. Under the Agreement, all of the funding was used for employee wages, mortgage and rent payments, utilities, insurance, food, materials and supplies, and related expenses related specifically for its childcare and residential operations.

Note 16. Contingencies – COVID-19

The Organization has evaluated its December 31, 2020 financial statements for subsequent events through the date the financial statements were issued. As a result of the spread of the COVID-19 pandemic, economic uncertainties exist which may have an effect on the Organization's future financial position and results of operations. The potential impact is unknown at the date the financial statements were issued. The financial statements do not include any adjustments that might result from the outcome of this uncertainty.

Note 17. Reclassification

During the year ended December 31, 2020, it was determined that some restricted contributions had previously been reported as released from restrictions rather than remaining as net assets with donor restrictions. Accordingly, net assets as of December 31, 2019 are being reclassified with no effect on previously reported total net assets or changes in total net assets.

	Net Assets		Total
	Without Donor Restrictions	With Donor Restrictions	
Balances at December 31, 2019, as previously reported	\$29,926,428	\$7,291,268	\$37,217,696
Reclassified	<u>(298,282)</u>	<u>298,282</u>	<u> </u>
Balances at December 31, 2019, as restated	<u>\$29,628,146</u>	<u>\$7,589,550</u>	<u>\$37,217,696</u>

Note 18. Subsequent Events

During 2021, the Commonwealth of Kentucky, Cabinet for Health and Family Services, Department for Community Based Services, and in connection with the CARES Act, provided St. Joseph Catholic Orphan Society, as an existing state approved licensed childcare provider, with funding of approximately \$136,500. Under the Agreement, the use of the funding was used for employee wages, mortgage and rent payments, utilities, insurance, food, materials and supplies, and related expenses related specifically for its childcare operations.

Ethridge, Kyle

From: Suzy Hillebrand <suzyh@sjkids.org>
Sent: Wednesday, June 8, 2022 3:12 PM
To: Ethridge, Kyle
Subject: Re: NDF Attestation
Attachments: image001.png; NDF Attestation Questionnaire St Joes 2022.docx

CAUTION: This email came from outside of Louisville Metro. Do not click links or open attachments unless you recognize the sender and know the content is safe

Kyle

My apologies. I had been sending these to an incorrect address for you.

Salaries as requested are below. Let me know what else you need from me.

Akers, Grace	\$125,704.80
Greenwell, Julie	\$102,093.54
Hillebrand, Suzanne	\$98,940.00

Sent from my iPad

On Jun 7, 2022, at 10:16 AM, Ethridge, Kyle <Kyle.Ethridge@louisvilleky.gov> wrote:

Ms. Steele,

Please see attached NDF attestation questionnaire for St. Joe's.

Thanks,
Kyle

Ms. Kyle Ethridge | Legislative Assistant
Office of Councilman Bill Hollander
601 W. Jefferson Street | Louisville, KY 40202
d: (502) 574-3908 o: (502) 574-1109

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**LOUISVILLE METRO COUNCIL
NEIGHBORHOOD DEVELOPMENT FUND SUPPLEMENTAL
DISCLOSURE REQUIRED FOR REQUESTS BY CHURCHES, RELIGIOUS
OR FAITH-BASED ORGANIZATIONS**

It is the policy of the Louisville/Jefferson County Metro Council that no appropriation to a Church, to a religious or faith-based organization, or to any organization whose activities support a Church or religious or faith-based organization will be approved unless the prospective grantee clearly demonstrates, in writing, that it is committed to compliance with each of the following conditions and requirements.

Legal Name of Applicant Organization:
St. Joseph Children's Home

As in the case of all legislative enactments, the appropriation must be for a public purpose. In other words, the appropriation must have a secular legislative purpose to support a program which benefits the public, and which has been, or could be undertaken by the government.

The appropriation must be totally and demonstrably earmarked for the beneficiary activity or program with no tangible or significantly intangible benefit inuring to the organization. Specifically, the appropriation may not fund equipment used by the organization, nor may it be used for improvements to real or personal property owned by the grantee church or organization.

The beneficiary activity or program must be open to the public as opposed to being restricted to church or organization members or affiliates.

The grantee church or organization may not use public funds in any way that involves worship, religious instruction, or religious practice.

Public funds involved in the grant may not be used to support a school or any program of instruction operated by the grantee church or organization, or in its name.

The grantee organization may not use public funds in any way that involves proselytization or self-promotion of the organization.

The grantee church or organization must establish and maintain a system of recordkeeping which clearly and completely documents its use of the public funds involved in the grant.

SIGNATURE

I agree under the penalty of law to comply with all the items in this disclosure. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this disclosure for the applying organization.

Signature of Legal Signatory: 	Date: 06/06/2022
---	-------------------------

Legal Signatory (please print): Eric Dennison	Title: Grants Manager
--	------------------------------

Phone: 502-893-0241 Extension: 271	Email: ericd@sjkids.org
--	--------------------------------



Kentucky Secretary of State

Michael G. Adams

ST. JOSEPH CATHOLIC ORPHAN SOCIETY

File Annual Report	File Certificate of Assumed Name (DBA)	
Change Address or Registered Agent	File Dissolution	
Printable Forms	Subscribe to changes made to this entity	Certificates

General Information

Organization Number	0045671
Name	ST. JOSEPH CATHOLIC ORPHAN SOCIETY
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
File Date	12/2/1851
Organization Date	12/2/1851
Last Annual Report	3/4/2022
Principal Office	2823 FRANKFORT AVE. LOUISVILLE, KY 402062693
Registered Agent	GRACE AKERS 2823 FRANKFORT AVENUE LOUISVILLE, KY 40206

Current Officers

President	William D Scneider
Vice President	Kathy Thompson
Vice President	Cheryl Fisher
Secretary	Dennis Withey
Treasurer	Michael Ellis
Director	Paul Mullins
Director	Richard McChane

Individuals / Entities listed at time of formation

Director	IMMETT A RATTERMAN
Director	EDWARD H GILDEHAUS JR
Director	...
Director	.
Director	.
Director	.
Incorporator	IMMETT A RATTERMAN
Incorporator	.

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

Annual Report	3/4/2022	1 page	PDF	
Annual Report	2/9/2021	1 page	PDF	
Annual Report Amendment	10/29/2020	1 page	PDF	
Registered Agent name/address change	5/6/2020 3:13:44 PM	1 page	PDF	
Annual Report	5/6/2020	1 page	PDF	
Annual Report	5/30/2019	1 page	PDF	
Annual Report	4/27/2018	1 page	PDF	
Annual Report	4/18/2017	1 page	PDF	
Name Renewal	2/8/2017 3:51:23 PM	1 page	PDF	
Annual Report	6/2/2016	1 page	PDF	
Name Renewal	12/11/2015	1 page	tiff	PDF
Annual Report	3/27/2015	1 page	PDF	
Annual Report	3/20/2014	1 page	PDF	
Annual Report Amendment	3/7/2013	1 page	PDF	
Certificate of Assumed Name	1/24/2013	1 page	tiff	PDF
Certificate of Assumed Name	1/24/2013	1 page	tiff	PDF
Certificate of Assumed Name	1/24/2013	1 page	tiff	PDF
Certificate of Assumed Name	1/24/2013	1 page	tiff	PDF
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Certificate of Assumed Name	1/24/2013	1 page	tiff	PDF
Annual Report	1/10/2013	1 page	PDF	

Annual Report	7/1/1992	3 pages	tiff	PDF
Annual Report	7/1/1991	1 page	tiff	PDF
Annual Report	7/1/1989	1 page	tiff	PDF
Statement of Change	6/3/1988	1 page	tiff	PDF
Letters	7/14/1987	1 page	tiff	PDF
Amendment	3/19/1985	5 pages	tiff	PDF
Statement of Change	5/23/1984	2 pages	tiff	PDF
Statement of Change	8/29/1977	2 pages	tiff	PDF
Statement of Change	2/7/1977	2 pages	tiff	PDF
Letters	1/13/1977	1 page	tiff	PDF
Amendment	1/20/1959	4 pages	tiff	PDF
Statement of Change	1/20/1959	2 pages	tiff	PDF
Amendment	12/29/1958	4 pages	tiff	PDF
Amendment	3/28/1884	4 pages	tiff	PDF
Amendment	3/7/1868	1 page	tiff	PDF

Assumed Names

THE HOME FOR ORPHANS	Inactive
TLC TRAVEL CLUB	Inactive
ST. JOSEPH ROMAN CATHOLIC ORPHAN SOCIETY	Inactive
THE ORPHANAGE	Inactive
THE ORPHANS HOME	Inactive
ST. JOSEPH'S CHILD DEVELOPMENT CENTER	Inactive
ST. JOSEPH'S	Inactive
ST. JOE'S	Inactive
ST. JOSEPH'S HOME	Inactive
ST. JOE'S HOME	Inactive
ST. JOSEPH CHILDRENS HOME FOR ORPHANS	Inactive
SJ KIDS	Inactive
ST. JOSEPH HOME FOR CHILDREN	Inactive
SJCOS	Inactive
SJCOS	Inactive
ST. JOSEPH ROMAN CATHOLIC ORPHAN SOCIETY	Inactive
THE ORPHANAGE	Inactive
THE ORPHANS HOME	Inactive
THE HOME FOR ORPHANS	Inactive
ST. JOSEPH'S CHILD DEVELOPMENT CENTER	Inactive
TLC TRAVEL CLUB	Inactive
ST. JOSEPH CHILDREN'S HOME	Inactive
LADIES SEWING SOCIETY OF ST. JOSEPH CHILDREN'S HOME	Inactive
ST. JOSEPH HOME ALUMNI ASSOCIATION	Inactive
LADIES SEWING SOCIETY OF ST. JOSEPH CHILDREN'S HOME	Active
ST. JOSEPH'S CATHOLIC ORPHANAGE	Inactive
ST. JOSEPH CDC	Inactive
ST. JOSEPH'S CHILDRENS HOME	Inactive
ST. JOSEPH'S ORPHANAGE	Inactive
ST. JOSEPH HOME FOR ORPHANS	Inactive
ST. JOSEPH'S CHILDRENS HOME	Inactive
ST. JOSEPH'S ORPHANAGE	Inactive
ST. JOSEPH'S CATHOLIC ORPHANAGE	Inactive
ST. JOSEPH HOME FOR ORPHANS	Inactive

ST. JOSEPH'S	Inactive
ST. JOE'S	Inactive
ST. JOSEPH'S HOME	Inactive
ST. JOE'S HOME	Inactive
ST. JOSEPH CHILDRENS HOME FOR ORPHANS	Inactive
ST. JOSEPH'S CDC	Inactive
SJ KIDS	Inactive
ST. JOSEPH HOME FOR CHILDREN	Inactive

Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	3/4/2022 3:51:54 PM	3/4/2022 3:51:54 PM	
Annual report	2/9/2021 5:02:06 PM	2/9/2021 5:02:06 PM	
Amendment to annual report	10/29/2020 9:36:22 AM	10/29/2020 9:36:22 AM	
Annual report	5/6/2020 4:54:04 PM	5/6/2020 4:54:04 PM	
Registered agent address change	5/6/2020 3:13:44 PM	5/6/2020 3:13:44 PM	
Annual report	5/30/2019 1:16:41 PM	5/30/2019 1:16:41 PM	
Annual report	4/27/2018 10:23:51 AM	4/27/2018 10:23:51 AM	
Annual report	4/18/2017 4:12:09 PM	4/18/2017 4:12:09 PM	
Annual report	6/2/2016 11:15:23 AM	6/2/2016 11:15:23 AM	
Annual report	3/27/2015 1:58:21 PM	3/27/2015 1:58:21 PM	
Annual report	3/20/2014 1:31:38 PM	3/20/2014 1:31:38 PM	
Amendment to annual report	3/7/2013 3:00:22 PM	3/7/2013 3:00:22 PM	
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Added assumed name	1/24/2013 2:02:03 PM	1/24/2013	TLC TRAVEL CLUB
Added assumed name	1/24/2013 2:01:13 PM	1/24/2013	ST. JOSEPH'S CHILD DEVELOPMENT CENTER
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Added assumed name	1/24/2013 1:56:15 PM	1/24/2013	ST. JOSEPH HOME FOR CHILDREN
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Annual report	3/23/2011 1:23:12 PM	3/23/2011 1:23:12 PM	
Annual report	8/3/2010 2:54:25 PM	8/3/2010 2:54:25 PM	
Annual report	6/23/2009 12:31:44 PM	6/23/2009 12:31:44 PM	
Annual report	6/4/2008 1:54:42 PM	6/4/2008	
Registered agent address change	12/7/2007 12:56:49 PM	12/7/2007	
Amendment - Miscellaneous amendments	4/9/2007 2:27:48 PM	4/9/2007	
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Annual report	3/30/2007 1:53:45 PM	3/30/2007	

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Annual report	4/17/2006 9:32:24 AM	4/17/2006	
Amendment - Change purpose	5/15/2002 1:13:27 PM	5/15/2002	
Amendment - Amended and restated articles / CLP	5/15/2002 1:13:27 PM	5/15/2002	
Amendment - Miscellaneous amendments	5/15/2002 1:13:27 PM	5/15/2002	
Registered agent address change	11/29/2001 8:56:00 AM	11/29/2001	
Registered agent address change	3/26/2001 11:13:38 AM	3/26/2001	
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Annual report	5/9/2000	5/9/2000	
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Added assumed name	3/22/1999	3/22/1999	ST. JOSEPH'S ORPHANAGE
Added assumed name	3/22/1999	3/22/1999	ST. JOSEPH'S CATHOLIC ORPHANAGE
Added assumed name	3/22/1999	3/22/1999	ST. JOSEPH HOME FOR ORPHANS
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Added assumed name	3/22/1999	3/22/1999	ST. JOE'S
Added assumed name	3/22/1999	3/22/1999	ST. JOSEPH'S HOME
Added assumed name	3/22/1999	3/22/1999	ST. JOE'S HOME
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Added assumed name	3/22/1999	3/22/1999	SJ KIDS
Added assumed name	3/22/1999	3/22/1999	ST. JOSEPH HOME FOR CHILDREN
Added assumed name	3/22/1999	3/22/1999	SJCOS
Added assumed name	3/22/1999	3/22/1999	ST. JOSEPH ROMAN CATHOLIC ORPHAN SOCIETY
Added assumed name	3/22/1999	3/22/1999	THE ORPHANAGE
Added assumed name	3/22/1999	3/22/1999	THE ORPHANS HOME
Added assumed name	3/22/1999	3/22/1999	THE HOME FOR ORPHANS
Added assumed name	3/22/1999	3/22/1999	ST. JOSEPH'S CHILD DEVELOPMENT CENTER
Reinstatement	12/21/1995	12/21/1995	
Admin Dis. A. report not in	11/1/1995	11/1/1995	
Amendment - Change purpose	3/19/1985	3/19/1985	

Articles of Incorporation	7/14/1987	2 pages
Articles of Incorporation	7/14/1987	1 page
Amendment	3/19/1985	4 pages
Statement of Change	5/23/1984	2 pages
Statement of Change	8/29/1977	2 pages
Statement of Change	2/7/1977	2 pages
Annual Report	1/20/1959	24 pages
Statement of Change	1/20/1959	2 pages
Amendment	1/20/1959	4 pages

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Kentucky Unbridled Spirit