

# COMMONWEALTH OF KENTUCKY



## 2017 WASTE TIRE MARKET DEVELOPMENT RUBBERIZED ASPHALT GRANT APPLICATION



### PURPOSE

This grant provides financial assistance to projects that further the goals of the Kentucky Waste Tire Program by promoting development of markets for rubber-modified asphalt using waste tires generated in Kentucky



Energy and Environment  
Cabinet



## 2017 KENTUCKY WASTE TIRE RUBBERIZED ASPHALT GRANT APPLICATION FORM

### GENERAL INFORMATION

The cabinet is accepting grant proposals for projects that will promote and assess the benefits of the use of recycled Kentucky waste tires in rubber-modified asphalt for chip seal and asphalt overlay projects. **Rubber-modified asphalt** involves amending standard asphalt with crumb rubber derived from recycled waste tires. **Chip seal** is a pavement surface treatment that combines one or more layers of liquid asphalt with one or more layers of fine aggregate, while **asphalt overlay** consists of a new layer of asphalt applied over an existing asphalt surface. The thickness of an overlay for the purposes of this project should not exceed 1.5 inches.

Depending on the application, rubber-modified asphalt has numerous potential benefits including increased skid resistance, increased road life, and noise reduction, in addition to providing a valuable end use for recycled waste tires. The objective of this grant is to determine if rubber-modified asphalt can provide superior performance to standard asphalt in certain applications.

Tire derived crumb rubber is recognized by the Transportation Research Board to improve asphalt. The following is taken from *Chip Seal Best Practices, NCHRP Synthesis 342, National Cooperative Highway Research Program*, Transportation Research Board, AASTHTO, 2005, available at: <http://www.trb.org/Publications/Blurbs/155807.aspx>

*“Recognize that both hot asphalt cement and emulsified asphalt binders can be used successfully on high-volume roads. The selection of binders modified by polymers or crumb rubber seems to reinforce success.”*

Rubber is also discussed by various agencies to be used in thin overlays to make quieter and longer lasting pavements. The following excerpts are from *Thin Asphalt Concrete Overlays, NCHRP Synthesis 464, National Cooperative Highway Research Program, Transportation Research Board, AASTHTO, 2014*. The publication is available at <http://www.trb.org/Publications/Blurbs/171104.aspx>

*“Reduce noise at the tire-pavement interface when fine graded mixtures are used”*

*“The analysis showed that the quietest one-third of the pavements were either OGFC pavements or pavements with crumb rubber included in the mixture”*

*“Louisiana placed its first UTBWC (Ultra Thin Bonded Wearing Course) in 1997... The process, also known as Novachip and Paver-Laid Surface Treatment, uses a special paver that applies a polymer-modified emulsion. The UTBWC layer was placed at 0.75 in. thick, whereas the conventional layers were 3.5 in. thick... Even so, it was determined that the Louisiana DOT would save approximately \$3.34/yd<sup>2</sup> using the UTBWC. After more than 6 years, the UTBWC was still performing well.” (polymer-modified emulsion includes ground tire rubber)*

## 2017 KENTUCKY WASTE TIRE RUBBERIZED ASPHALT GRANT APPLICATION FORM

This grant will fund the installation of **either** chip seal or thin asphalt overlay utilizing rubber-modified asphalt. **Applicants are not eligible for both options and must choose between the Chip Seal or the Asphalt Overlay.** Any county awarded the grant will be required to complete chip seal or overlay of a section of road at least equal in length to the test section, of similar age and condition, and similar in topography, traffic volume and type, using standard asphalt. The road sections should be adjacent to one another, if possible. If there are no roads in the county that meet this specification, then non-contiguous sections may be approved. This grant will provide funding for:

The purchase and application of 24,000 square yards of material (rubber-modified asphalt and aggregate) to **chip seal** a county road. With a road that has the average lane-width of 10 feet, this amount of material is sufficient to apply one layer of chip seal over approximately four lane-miles, two layers over two lane-miles, or three layers over 1.33 miles. This grant will also fund the typical mobilization cost for in-state or out-of-state contractors.

**-OR-**

The purchase and application of 12,000 square yards of material (rubber-modified asphalt and aggregate) to add an **asphalt overlay** no greater than 1.5 inches in thickness to a county road. With a road that has the average lane-width of about 10 feet, this amount of material is sufficient to apply an overlay over 1.5 lane-miles. This grant will also fund typical mobilization costs for in-state or out-of-state contractors.

### **Grant Conditions:**

1. Project documentation will include a Construction Completion Report and two Progress Reports documenting the condition of the road over five years. Reports will include a narrative description of road conditions, photographs, and any other relevant documentation.
2. Grantees will conduct no additional maintenance or repairs on either section during the five year monitoring period. If at any point repairs are deemed necessary for public safety, the county will contact the cabinet to receive written approval for maintenance over any unsafe sections.
3. The cabinet will fund only the costs associated with the installation of rubber-modified asphalt section of road, plus any associated testing and documentation performed by the cabinet or a third-party contractor.

**2017 KENTUCKY WASTE TIRE  
RUBBERIZED ASPHALT GRANT APPLICATION FORM**

4. The cabinet reserves the right to modify the scope of any project or otherwise add conditions to the awarded project grant.
5. Applicants may include only county or urban county governments.
6. The cabinet must approve the rubber-modified asphalt materials, contractor, mobilization costs and pavement specifications prior to the grantee entering into purchase agreements.
7. The applicant must follow state or federal chip seal or thin asphalt overlay specifications, in a manner agreed upon with the cabinet.
8. Applicants are required to use a qualified contractor with prior experience in the installation of rubber-modified asphalt. This grant defines experience as “having performed at least five rubber-modified asphalt projects in the last five years.” A contractor may also qualify for this project if a consultant or subcontractor with commensurate experience has been retained to aid in the completion of this project. Contractor shall provide evidence of required commensurate experience.
9. The County will allow access to the finished project for inspection, testing, and placement of signage by the cabinet.
10. The cabinet reserves the right to alter or suspend the grant program to accommodate other programmatic needs or initiatives.
11. Failure to comply with reporting requirements may result in the grantee being required to reimburse the cabinet for all funds received, and ineligibility for future EEC grants.
12. Any change to the proposed project, after the grant is awarded, must be approved by the cabinet in writing before the change is made.
13. If a county or urban county government is out of compliance with its Solid Waste Management Plan, that county or urban county government is ineligible to receive this grant.
14. No contractor, subcontractor, consultant, vendor, or supplier, or any employee or representative thereof, shall be an employee of the applicant.
15. Funding for this grant is dependent upon the availability of funding, not otherwise obligated, in the waste tire trust fund established in KRS 224.50-880. This is a competitive grant and the cabinet expects to receive applications for many more projects than it is able to fund.

**2017 KENTUCKY WASTE TIRE  
RUBBERIZED ASPHALT GRANT APPLICATION FORM**

**HOW APPLICATIONS WILL BE EVALUATED BY THE CABINET:**

First, only complete applications will be considered.

Second, applications will be prioritized by:

1. The most efficient projects that generate the best information for the cost will be a higher priority.
2. Counties that have performed chip seal or thin asphalt overlay projects in the past.
3. The condition of the road that is to be chip sealed or thin asphalt overlaid. The cabinet will not award a grant for a project over a road that has a poor base.
4. Similarity of rubber-modified and standard sections in age, condition, topography, and traffic volume and type.
5. Projects that are geographically distributed across all areas of the state.
6. The cabinet's assessment of the extent to which the proposed project will develop a market for Kentucky waste tires and protect human health, safety, and the environment. Some factors that will be used in this determination are those items outlined in the application, how many grants have previously been awarded in the county; and the project's costs and forecasted benefits.
7. Incomplete applications may not be considered.

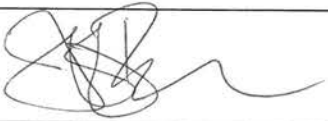
**1. APPLICANT PROFILE**

To be eligible for this grant, applicants must meet the following criteria:

1. Have the legal authority to construct and operate the proposed project;
2. Have the ability to complete the project in compliance with the grant conditions.
3. The applicant and the person who will be managing the project shall not have received a final judgment or conviction of a state or federal environmental statute or regulation in the five (5) years before submitting the application and shall not have any outstanding violations of state or federal environmental statutes or regulations.

**2017 KENTUCKY WASTE TIRE  
RUBBERIZED ASPHALT GRANT APPLICATION FORM**

**ALL APPLICANTS MUST ANSWER THE FOLLOWING QUESTIONS OR PROVIDE AN EXPLANATION OF WHY THE ITEMS ARE NOT APPLICABLE TO THE PROPOSED PROJECT. You may attach additional pages as required.**

<b>County/Urban-County Government Name</b>	<b>Jefferson County, Louisville Metro</b>		
<b>Street Address</b>	<b>444 S 5<sup>th</sup> St</b>		
<b>Mailing Address</b>	<b>444 S 5<sup>th</sup> St</b>		
<b>City</b>	<b>Louisville</b>	<b>Zip</b>	<b>40202</b>
<b>Project Contact</b>	<b>Matthew Haines</b>		
<b>E-mail Address</b>	<u>Matthew.haines@louisvilleky.gov</u>		
<b>Phone</b>	<b>502 574 3883</b>	<b>Fax</b>	<b>502 574 4129</b>
<b>Applicant's Name</b>	<b>Matthew Haines</b>		
<b>Federal Tax ID#</b>	<b>32-0049006</b>		
<b>Mailing Address</b>	<b>444 S. 5<sup>th</sup> St</b>		
<b>City</b>	<b>Louisville</b>	<b>Zip</b>	<b>40202</b>
<b>Phone</b>	<b>502 574 3883</b>	<b>Fax</b>	<b>502 574 4129</b>
<b>E-mail Address</b>	<u>Matthew.haines@louisvilleky.gov</u>		
<b>Signature of Applicant's Authorizing Official</b>		<b>Date</b>	<b>6/5/17</b>
<b>Typed or Printed Name of Official</b>	<b>Jeffrey Brown</b>		
<b>Official Title</b>	<b>Assistant Director</b>		

**Choose a project:**

**Asphalt Overlay**

**2017 KENTUCKY WASTE TIRE  
RUBBERIZED ASPHALT GRANT APPLICATION FORM**

**STATUS OF APPLICANT**

- A. Does the applicant have the legal authority to construct and operate the proposed project? Yes
  
- B. Does the applicant have the ability to construct the project in compliance with the grant conditions? Yes
  
- C. Has the applicant, or the person who will be managing the project for the applicant, received a final judgment or conviction of a state or federal environmental statute or regulation in the five (5) years before submitting the application, **or** have any outstanding violations of state or federal environmental statutes or regulations? No

**2. PROJECT DESCRIPTION**

**As attachment #1, provide the following information.**

- The approximate geographic area, or the community, that will benefit from the project.
  
- A map indicating the proposed sections of road.
  
- Representative photos of the proposed sections of road. Provide at least 10 photographs of each proposed section.
  
- Daily traffic count and description of traffic type for each proposed section.
  
- Detailed description of the condition of road base for each proposed section.
  
- Description of prior chip sealing or thin asphalt overlay experience within the county.

**3. PROMOTION DETAIL**

**As attachment #2, provide a plan to inform and educate the surrounding community about the project. You may attach examples of proposed newspaper articles or other media types intended to be used. (Pertinent facts for the suggested release may be found on our website at [waste.ky.gov/rla/grants](http://waste.ky.gov/rla/grants).)**

**2017 KENTUCKY WASTE TIRE  
RUBBERIZED ASPHALT GRANT APPLICATION FORM**

**4. MILESTONES**

Please list a description of each key task and its approximate completion date. An example, is provided below. This is only an example. Please adjust the task description in your own grant application to describe the type of project you are proposing.

**\*EXAMPLE\* Rubberized Asphalt Chip Seal Project \*EXAMPLE\***

<b>Task Description</b>	<b>Estimated Completion Date</b>
1. Prep roadway including pot-hole and structural repair	July 1, 2017
2. Award Contract for rubberized asphalt	August 1, 2017
3. Contact Newspapers, Television and Radio	August 15, 2017
4. Project start, standard asphalt section	September 1, 2017
5. Project start, rubber-modified asphalt section	September 2, 2017
6. Project complete	September 15, 2017
7. Receive sampling documentation from contractor	October 15, 2017
8. Construction Completion Report Due	January 31, 2018
9. Progress Report #1	January 31, 2019
10. Final Progress Report #2	January 31, 2023

**Project Name: Lake Forest Parkway from Shelbyville Rd to Woodlake Dr**

<b>Task Description</b>	<b>Estimated Completion Date</b>
1. Amend current contract or bid new contract for rubberized asphalt	July 14, 2017
2. Core roadway to better define structural repair	August 4, 2017
3. Prepare roadway including pot-hole, structural repairs and updating sidewalk ramp to meet ADA standards	August 18, 2017
4. Contact Newspaper	August 18, 2017
5. Project Start milling and standard asphalt section	August 21, 2017
6. Project Start Rubber-modified asphalt section	August 28, 2017
7. Project complete	September 1, 2017
8. Receive sampling documentation from contractor and/or 3 <sup>rd</sup> party inspections	November 3, 2017
9. Construction Completion Report Due	January 31, 2018
10. Progress Report #1	January 31, 2019
11. Progress Report #2	January 31, 2023



**2017 KENTUCKY WASTE TIRE  
RUBBERIZED ASPHALT GRANT APPLICATION FORM**

**6. BUDGET DETAIL**

Complete the budget table below. Do not include any long-term maintenance or refurbishment costs.

\*EXAMPLE\* County Chip Seal Project \*EXAMPLE\*

<b>Rubberized Chip Seal</b>	<b>COST</b>
<b>Mobilization</b>	<b>No Cost</b>
<b>Application of rubber-modified asphalt (up to 24,000 square yards for Chip Seal OR up to 10,000 square yards for Overlay not to exceed 1.5 inches in thickness)</b>	<b>\$7.34 / SY</b>
<b>Total Grant Request :</b>	<b>\$73,400.00</b>

**NOTE 1:** *The above listed is anticipated costs using current Louisville Metro Paving Contractor, Hall Contracting. To meet compliance with the Grant specifications, Hall will with consultants at Ingevity to meet rubber modified asphalt specifications.*

**Note 2:** *Page 2 calls out reimbursement up to 12,000 SY of rubberized asphalt but the above table calls out 10,000 SY. Louisville Metro has assumed 10,000 sy to be the correct reimbursement total. If this is incorrect, please notify us as we can adjust.*

*Grant applicants must submit a complete application with all required documentation. All construction project work must be completed by December 31, 2017.*

**REPORTS**

**Construction Completion Report**

Grantee must submit by January 31, 2018, a Construction Completion Report consisting of narrative description of project, representative photographs, any test reports, all invoices, proof of payment and a completed budget worksheet.

**Progress Reports**

The grantee must submit progress reports, by January 31, 2019 and January 31, 2023. These reports should include:

1. Comments from administrators, project coordinator, officials, and other people knowledgeable about the outcome of the project.
2. Pictures of rubberized-modified asphalt sections and standard asphalt sections.
4. Any available contractor or county test results (Cabinet will do overview testing)

**2017 KENTUCKY WASTE TIRE  
RUBBERIZED ASPHALT GRANT APPLICATION FORM**

5. Media reports such as newspaper clips.

**Project Reimbursement**

Upon completion of the project, a final invoice will be sent to the Division of Waste Management.

Upon receipt, the Division of Waste Management will issue a payment to the selected County covering the approved scope of the rubber-modified asphalt project. The Division of Waste Management will not reimburse for costs over the original projected cost, or for costs associated with any activity other than the purchase and installation of rubber-modified asphalt product in the approved project.

**Signature**

The head of the applying entity should sign the grant request. For those projects that require official authorization (such as by the urban county government council or county fiscal court), enclose the minutes of the meeting approving the project.

**ALL REPORTS SHOULD BE SUBMITTED TO THE ADDRESS BELOW**

**SUBMISSION**

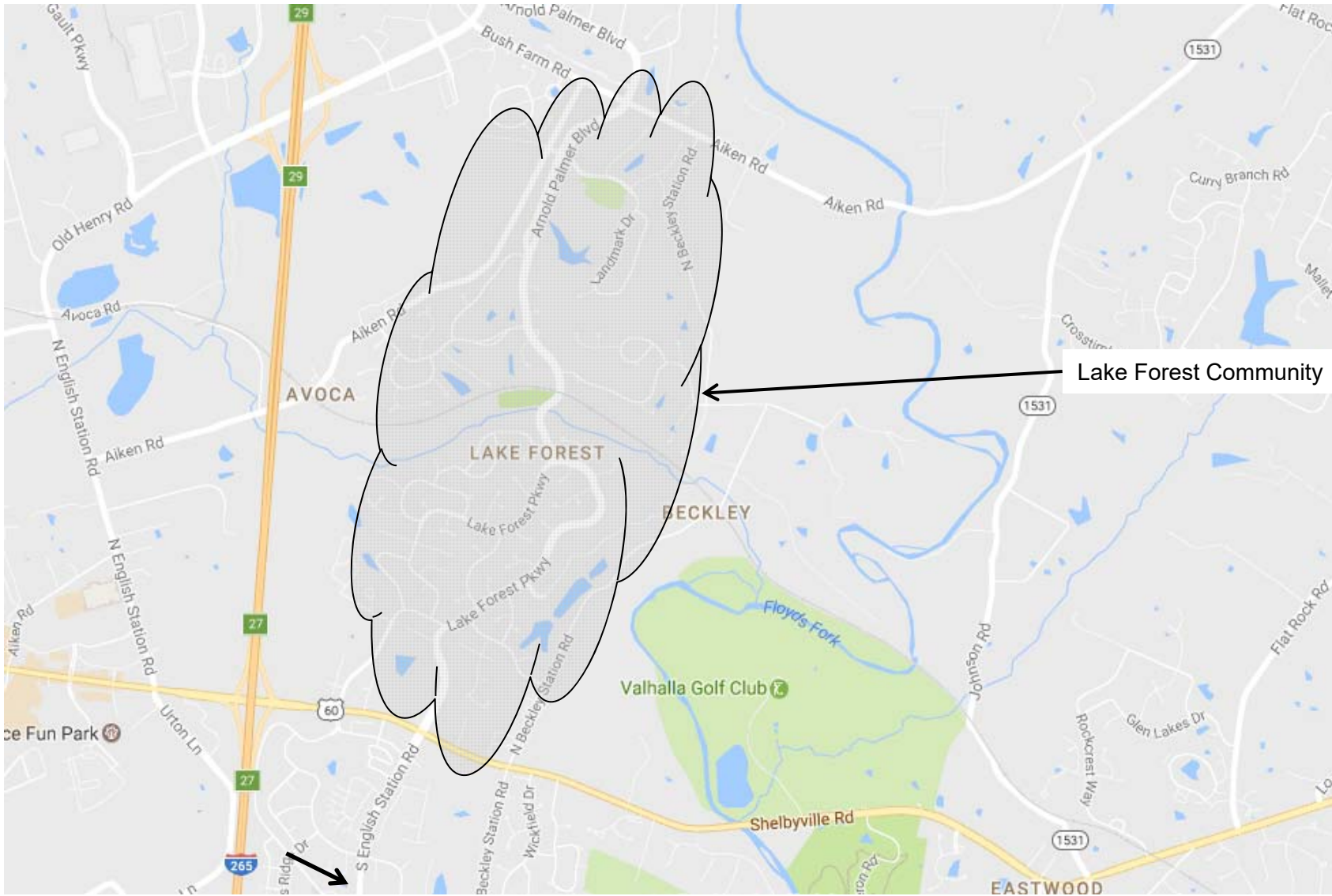
Grant applications must be received **in this office** by close of business (4:30 p.m.) on **June 05, 2017**. No applications will be accepted after June 05, 2017. One completed application and all supporting documentation must be submitted in order for the application to be considered. **Please do not bind or staple the application**. Mail deliver, or email your application to:

**Division of Waste Management  
ATTN: BJ Bland  
Recycling and Local Assistance Branch  
300 Sower BLVD, 2<sup>nd</sup> Floor  
Frankfort, KY 40601  
byron.bland@ky.gov**

Direct grant questions to Byron “BJ” Bland at 502-782-6556 or [byron.bland@ky.gov](mailto:byron.bland@ky.gov).

Direct technical questions to Mr. George F. Gilbert, P.E. at 502-598-9860  
[George.gilbert60@gmail.com](mailto:George.gilbert60@gmail.com)

## Attachment 1





Project Manager:	XXX	Project No.	XXXX
Drawn by:	XXX	Scale:	N.T.S.
Checked by:	XXX	File Name:	
Approved by:	XXX	Date:	6/5/2017

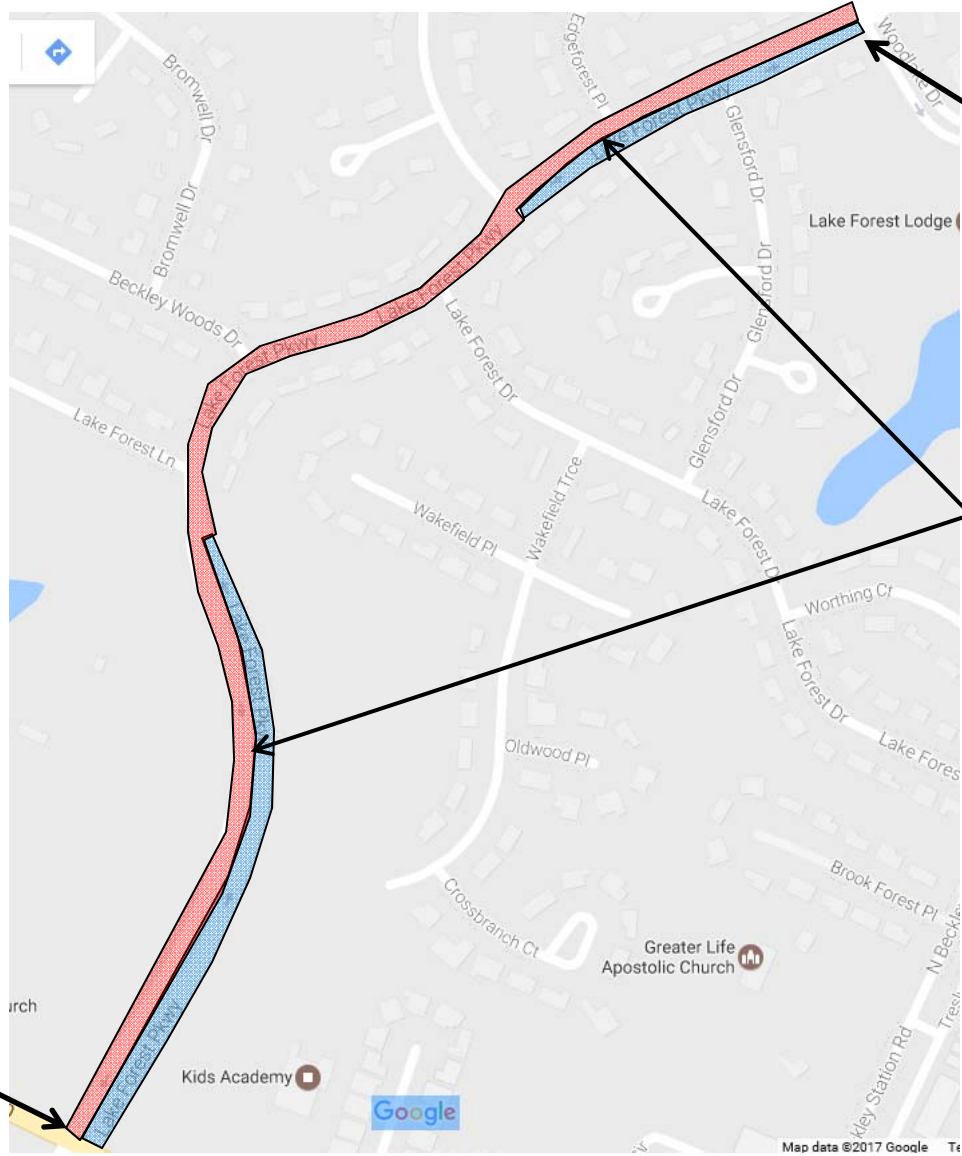
444 S. 5<sup>th</sup> Street, 4<sup>th</sup> Floor Louisville, Kentucky 40202  
 PH. (502) 574-3883 FAX. (502) 574-4129

VICINITY MAP
Lake Forest Community Louisville, KY

Figure
1

**LEGEND**

-  Proposed Asphalt Overlay
-  Proposed Rubber Modified Asphalt Overlay




End project just before intersection at Woodlake Dr

Median separates the roadway at these locations

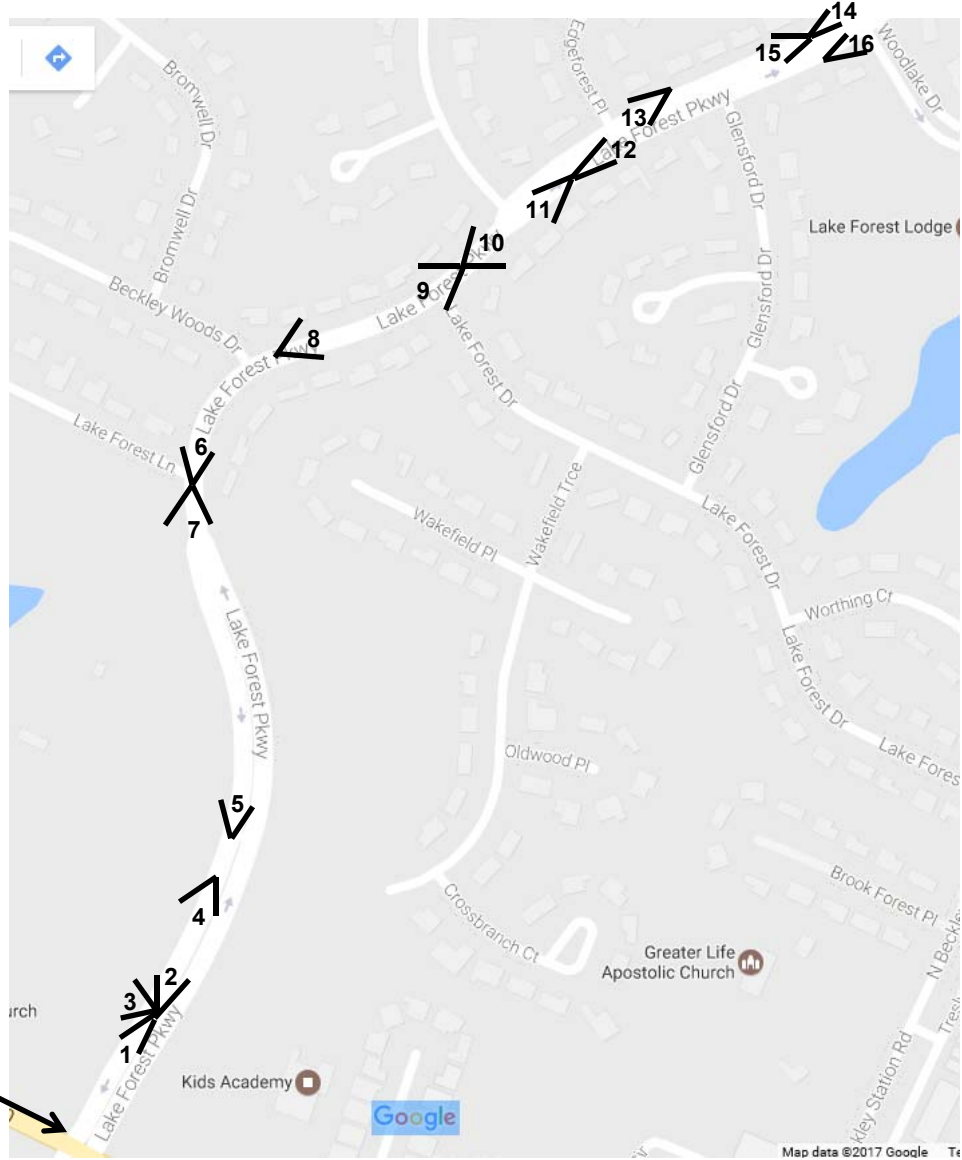
Start project at Shelbyville Rd after decorative pavers



Project Manager: XXX	Project No. XXXX	 444 S. 5 <sup>th</sup> Street, 4 <sup>th</sup> Floor Louisville, Kentucky 40202 PH. (502) 574-3883 FAX. (502) 574-4129	<b>RUBBER MODIFIED ASPHALT LOCATION PLAN</b>	Figure
Drawn by: XXX	Scale: N.T.S.		Lake Forest Parkway Louisville, KY	<b>2</b>
Checked by: XXX	File Name:			
Approved by: XXX	Date: 6/5/2017			

LEGEND

◁ # Approximate Photo Location



End project just before intersection at Woodlake Dr

Start project at Shelbyville Rd after decorative pavers



Project Manager:	XXX
Drawn by:	XXX
Checked by:	XXX
Approved by:	XXX

Project No.	XXXX
Scale:	N.T.S.
File Name:	
Date:	6/5/2017



444 S. 5<sup>th</sup> Street, 4<sup>th</sup> Floor Louisville, Kentucky 40202  
 PH. (502) 574-3883 FAX. (502) 574-4129

PHOTOGRAPH LOCATION MAP  
 Lake Forest Parkway  
 Louisville, KY



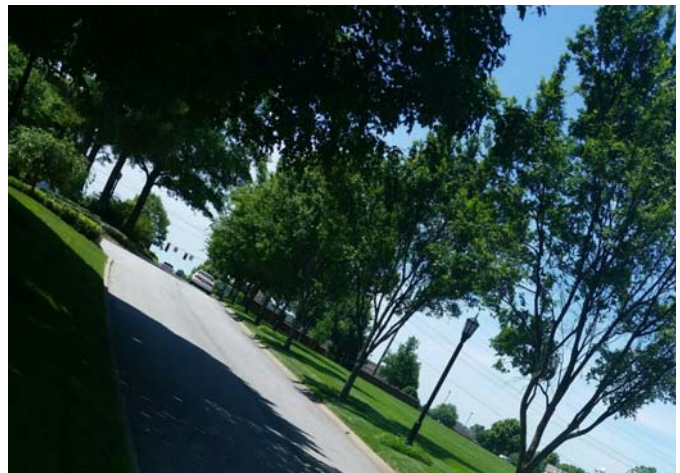
**Photo #1:** Southbound lane looking south towards Shelbyville Rd



**Photo #2:** Southbound lane looking north



**Photo #3:** Base failure observed in southbound lane between photos 1 & 2



**Photo #4:** Southbound lane looking south



**Photo #5:** Southbound lane looking north



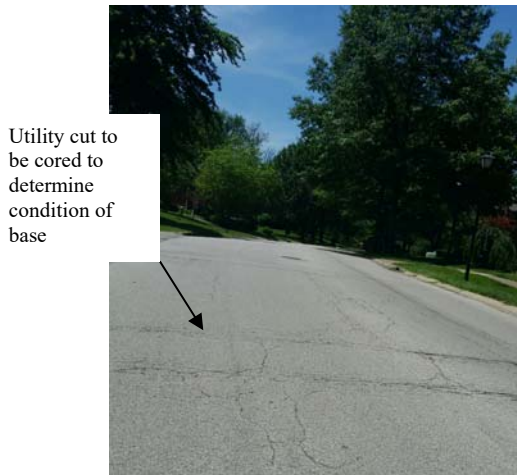
**Photo #6:** Roadway looking north just past south median leading to Shelbyville Rd



**Photo #7:** Looking south just past south median



**Photo #8:** 2-way roadway section looking northeast.



**Photo #9:** 2 way roadway section looking southwest at utility cut



**Photo #10:** 2 way roadway section looking northeast just shy of northern median



**Photo #11:** Northbound lane looking southwest at 407 Lake Forest Pkwy (to be regular asphalt)



**Photo #12:** Northbound lane looking northeast at 407 Lake Forest Pkwy (to be regular asphalt)



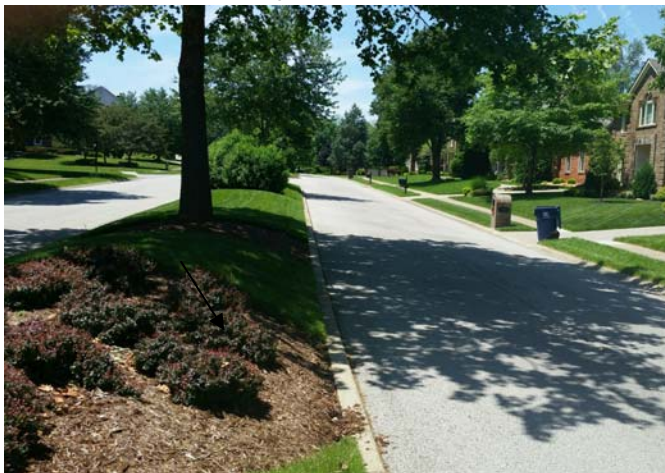
2017 Waste Tire Market Development  
Rubberized Asphalt Grant Application  
Photo Log



**Photo #13:** Southbound lane looking southwest at 412 Lake Forest Pkwy



**Photo #14:** Southbound lane looking northeast near 506 Lake Forest Pkwy.




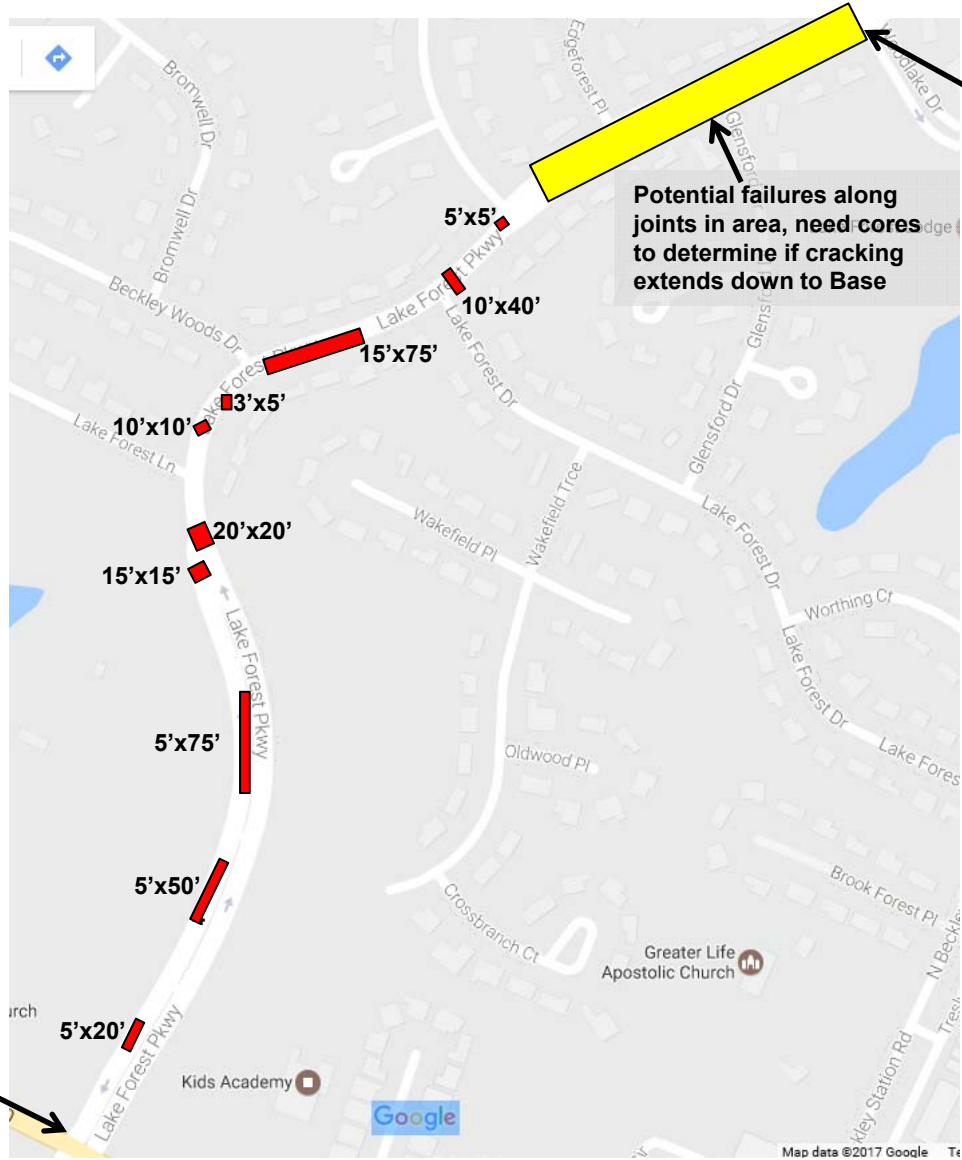
**Photo #15:** Southbound lane looking southwest near 506 Lake Forest pkwy



**Photo #16:** Northbound Lane Looking northeast to Woodlake Dr (to be regular asphalt)


LEGEND

 Approximate Anticipated base failure location



Start project at Shelbyville Rd after decorative pavers



Project Manager: XXX	Project No. XXXX	 444 S. 5 <sup>th</sup> Street, 4 <sup>th</sup> Floor    Louisville, Kentucky 40202 PH. (502) 574-3883    FAX. (502) 574-4129	<b>ANTICIPATED BASE FAILURE REPAIRS</b>	Figure
Drawn by: XXX	Scale: N.T.S.		Lake Forest Parkway Louisville, KY	<b>4</b>
Checked by: XXX	File Name:			
Approved by: XXX	Date: 6/5/2017			



Louisville Metro classifies Lake Forest Parkway as a local roadway that primarily withstands lightweight passenger vehicles with occasional garbage and delivery trucks. Louisville Metro has performed two daily traffic counts on Lake Forest Parkway along the segment from Shelbyville Road to Lake Forest Lane. The daily traffic counts along this section were found to be 5,100 and 4,500 in 2015 and 2016, respectively (Information available on KIPDA website).

Figure 2 shows our current plan for developing test sections for both rubber modified asphalt and regular hot mix asphalt. Let it be known that Louisville Metro is planning to perform additional paving within the Lake Forest subdivision and will meet the grant requirement in paving an equal or exceeding the quantities of rubber-modified asphalt with regular hot mix asphalt. Our current fiscal year ends June 30, 2017 to which we will then determine the additional roadways to pave with hot mix asphalt once we are given our final paving budget for next fiscal year and there is an agreement with the council representative of which roadways to repave.

Louisville Metro's contractors primarily perform thin hot mix asphalt overlays (1.5 inch mill and overlay) when paving. Prior to milling and paving, the roadway is inspected to identify base failures which are then addressed via 4 options currently available in our annual contract. If additional areas are discovered when milling, Louisville Metro will address those as well prior to repaving. Figure 4 shows anticipated structural base failures which will be removed and replaced with a stable base prior to repaving. Louisville Metro plans to core drill several areas within this stretch of roadway to help determine the extent of structure base repair needed, therefore, the areas shown on Figure 4 may change.

Shown Below is current data we have on the section of Lake Forest Parkway to be repaved.

Road Name	From	To	2013 PCI	Average Width	Area	Length
LAKE FOREST PKY	SHELBYVILLE RD	LAKE FOREST LN	54	39	94740	1662.11
LAKE FOREST PKY	LAKE FOREST LN	BECKLEY WOODS DR	53	28	8236	294.14
LAKE FOREST PKY	BECKLEY WOODS DR	LAKE FOREST DR	46	28	13309	475.33
LAKE FOREST PKY	LAKE FOREST DR	OAK BRANCH RD	38	28	6749	241.04
LAKE FOREST PKY	OAK BRANCH RD	EDGEFOREST PL	40	58	17448	300.83
LAKE FOREST PKY	EDGEFOREST PL	GLENSFORD DR	47	40	10476	261.91
LAKE FOREST PKY	GLENSFORD DR	WOODLAKE DR	37	40	14951	373.78

## Attachment 2



Louisville Metro Plans to inform and educate the surrounding community by the following:

- 1) Louisville Metro Public Works sends out a weekly news letter called "The Works Week" which is sent to over a thousand different people across the county including elected officials. Elected officials then have the opportunity to send this news letter out along with their weekly letters to constituents.
- 2) Louisville Metro Public Works will advertise the project on social media by including the tangible benefits including potentially longer lasting asphalt, noise reduction, and recycling waste tires as part of this public information process. By clicking onto the link, we can direct them to further information that the cabinet has available.
- 3) Hold a public meeting with the Home Owners Association of Lake Forest. This will allow us to inform the individuals who will be directly affected by repaving efforts and get them excited about the tangible benefits listed above. Considering this is one of Louisville's largest subdivisions, not only would it be a potentially large crowd but there would be an opportunity to gain a lot of feedback of how the rubber asphalt material is performing that we could share in our progress reports.