

Revoked - Grant Agreement  
not returned  
Event was cancelled  
NDF090121DPNA08

**NEIGHBORHOOD DEVELOPMENT FUND  
Not-for-Profit Transmittal and Approval Form**

**Applicant/Program:** Deer Park Neighborhood Association Neighborhood Nights  
**Applicant Requested Amount:** 1250.00  
**Appropriation Request Amount:** 1250.00

**Executive Summary of Request**  
1250.00 to fund a trolley and street musicians for Neighborhood Nights on Bardstown Rd. from Broadway to the Douglass Loop.

Is this program/project a fundraiser?  Yes  No  
Is this applicant a faith based organization?  Yes  No  
Does this application include funding for sub-grantee(s)?  Yes  No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

District # 6 Primary Sponsor Signature [Signature] Amount 1250.00 Date 8/23/2021

**Primary Sponsor Disclosure**  
List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

Approved by: Rod Blahard 9/7/2021  
Appropriations Committee Chairman Date  
Final Appropriations Amount: 1,250

sh

Approved Committee  
Date: 9/1/21

**Applicant/Program:**

Deer Park Neighborhood Association Neighborhood Nights

**Additional Disclosure and Signatures**

**Additional Council Office Disclosure**

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

**Council Member Signature and Amount**

District 1	_____	\$ _____
District 2	_____	\$ _____
District 3	_____	\$ _____
District 4	_____	\$ _____
District 5	_____	\$ _____
District 6	_____	\$ _____
District 7	_____	\$ _____
District 8	_____	\$ _____
District 9	_____	\$ _____
District 10	_____	\$ _____
District 11	_____	\$ _____
District 12	_____	\$ _____
District 13	_____	\$ _____
District 14	_____	\$ _____
District 15	_____	\$ _____

**Applicant/Program:**

Deer Park Neighborhood Association Neighborhood Night

**Additional Disclosure and Signatures**

**Additional Council Office Disclosure**

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

District 16 \_\_\_\_\_ \$ \_\_\_\_\_

District 17 \_\_\_\_\_ \$ \_\_\_\_\_

District 18 \_\_\_\_\_ \$ \_\_\_\_\_

District 19 \_\_\_\_\_ \$ \_\_\_\_\_

District 20 \_\_\_\_\_ \$ \_\_\_\_\_

District 21 \_\_\_\_\_ \$ \_\_\_\_\_

District 22 \_\_\_\_\_ \$ \_\_\_\_\_

District 23 \_\_\_\_\_ \$ \_\_\_\_\_

District 24 \_\_\_\_\_ \$ \_\_\_\_\_

District 25 \_\_\_\_\_ \$ \_\_\_\_\_

District 26 \_\_\_\_\_ \$ \_\_\_\_\_

**LOUISVILLE METRO COUNCIL  
NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

<b>Legal Name of Applicant Organization</b> Deer Park Neighborhood Association	
<b>Program Name and Request Amount</b> Neighborhood Nights 1250.00	
	<b>Yes/No/NA</b>
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	<input type="checkbox"/> Yes
Is the funding proposed by Council Member(s) less than or equal to the request amount?	<input type="checkbox"/> Yes
Is the proposed public purpose of the program viable and well-documented?	<input type="checkbox"/> Yes
Will all of the funding go to programs specific to Louisville/Jefferson County?	<input type="checkbox"/> Yes
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	<input type="checkbox"/> Yes
Has prior Metro Funds committed/granted been disclosed?	<input type="checkbox"/> Yes
Is the application properly signed and dated by authorized signatory?	<input type="checkbox"/> Yes
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	<input type="checkbox"/> Yes
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	<input type="checkbox"/> N/A
Is the entity in good standing with: <ul style="list-style-type: none"> <li>▶ Kentucky Secretary of State?</li> <li>▶ Louisville Metro Revenue Commission?</li> <li>▶ Louisville Metro Government?</li> <li>▶ Internal Revenue Service?</li> <li>▶ Louisville Metro Human Relations Commission?</li> </ul>	<input type="checkbox"/> Yes
Is the current Fiscal Year Budget included?	<input type="checkbox"/> Yes
Is the entity's board member list (with term length/term limits) included?	<input type="checkbox"/> Yes
Is recommended funding less than 33% of total agency operating budget?	<input type="checkbox"/> Yes
Does the application budget reflect only the revenue and expenses of the project/program?	<input type="checkbox"/> Yes
Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?	<input type="checkbox"/> N/A
Is the most recent annual audit (if required by organization) included?	<input type="checkbox"/> N/A
Is a copy of Signed Lease (if rent costs are requested) included?	<input type="checkbox"/> N/A
Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?	<input type="checkbox"/> N/A
Are the Articles of Incorporation of the Agency included?	<input type="checkbox"/> Yes
Is the IRS Form W-9 included?	<input type="checkbox"/> Yes
Is the IRS Form 990 included?	<input type="checkbox"/> Yes
Are the evaluation forms (if program participants are given evaluation forms) included?	<input type="checkbox"/> N/A
Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?	<input type="checkbox"/> N/A
Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?	<input type="checkbox"/> No
Prepared by: <b>Megan Metcalf</b> <span style="float: right;">Date: <b>Aug 23, 2021</b></span>	

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

SECTION 1 - APPLICANT INFORMATION			
<b>Legal Name of Applicant Organization:</b>			
<i>(as listed on: <a href="http://www.sos.ky.gov/business/records">http://www.sos.ky.gov/business/records</a>)</i> Deer Park Neighborhood Association			
<b>Main Office Street &amp; Mailing Address:</b> PO BOX 5152 Louisville KY 40205			
Website: <a href="http://www.DeerParkLouisville.com">www.DeerParkLouisville.com</a>			
<b>Applicant Contact:</b>	Bob Atkin	<b>Title:</b>	President
<b>Phone:</b>	502-762-6141	<b>Email:</b>	President@DeerParkLouisville.com
<b>Financial Contact:</b>	Jeff Hughes	<b>Title:</b>	Treasurer
<b>Phone:</b>	502-645-5471	<b>Email:</b>	jhughes1553@gmail.com
<b>Organization's Representative who attended NDF Training:</b> Bob Atkin			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
<b>Program Facility Location(s):</b>	Bardstown Road/Baxter Avenue		
<b>Council District(s):</b>	8	<b>Zip Code(s):</b>	40204, 40205
SECTION 2 - PROGRAM REQUEST & FINANCIAL INFORMATION			
<b>PROGRAM/PROJECT NAME:</b> Neighborhood Nights			
<b>Total Request: (\$)</b>	1250	<b>Total Metro Award (this program) in previous year: (\$)</b>	1250
<b>Purpose of Request (check all that apply):</b>			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget) <input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
<b>The Following are Required Attachments:</b>			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current year projected budget <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation (current & signed) <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if applicable	
<b>For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.</b>			
<b>Source:</b>		<b>Amount: (\$)</b>	
<b>Source:</b>		<b>Amount: (\$)</b>	
<b>Source:</b>		<b>Amount: (\$)</b>	
Has the applicant contacted the BBB Charity Review for participation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 3 – AGENCY DETAILS

#### **Describe Agency's Vision, Mission and Services:**

District 8's Neighborhood Nights committee is meeting and working with community partners including the local Musicians Union, area businesses, various neighborhood associations, and Councilwoman Chambers Armstrong's office to create a series of events to support local businesses and welcome the community back to the Bardstown Road commercial corridor.

Our committee plans to support 6 monthly events from June to November targeting the area from Broadway to Douglass Loop. This area touches 7 different neighborhoods and hundreds of businesses.

To make Bardstown Road more inviting, we are hiring musicians (Buskers) to play at key locations, inviting businesses to participate as our partners. Our intention is provide a fun, free event open to everyone that also gives musicians the opportunity to perform for the community in public spaces, and encourages neighbor businesses to join with the neighborhoods.

People want to have a trolley back on Bardstown Road. So, as part of Neighborhood Nights we'll be chartering a trolley! This trolley will circulate the area helping people to explore shops and reduce the need for vehicles.

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**SECTION 4 - BOARD OF DIRECTORS AND PAID STAFF**

Board Member	Term End Date
Bob & Ann Atkin	06/01/2022
Helen Payne	06/01/2022
Jeff Hughes	06/01/2022
Curtis Stauffer	06/01/2022
Kate Miller & Michael Pfaff	06/01/2022
Jennifer Frommeyer	06/01/2022
Alan Scherer	06/01/2022
Rachael Schwartz & Jude Peckinpaugh	06/01/2022
Allison Warnersmith	06/01/2022
Jason Abbott	06/01/2022
Seth Manning	06/01/2022

**Describe the Board term limit policy:**

no term limits

Three Highest Paid Staff Names	Annual Salary
N/A	

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**SECTION 5 - PROGRAM/PROJECT NARRATIVE**

**A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):**

District 8's Neighborhood Nights committee is meeting and working with community partners including the local Musicians Union, area businesses, various neighborhood associations, and Councilwoman Chambers Armstrong's office to create a series of events to support local businesses and welcome the community back to the Bardstown Road commercial corridor.

Our committee plans to support 6 monthly events on the 3rd Wednesday of each month from June to November from 3pm to 9pm, targeting the area from Broadway to Douglass Loop. This area touches 7 different neighborhoods and hundreds of businesses.

To make Bardstown Road more inviting, we are hiring musicians (Buskers) to play at key locations, inviting businesses to participate as our partners. Our intention is provide a fun, free event open to everyone that also gives musicians the opportunity to perform for the community in public spaces, and encourages neighbor businesses to join with the neighborhoods.

People want to have a trolley back on Bardstown Road. So, as part of Neighborhood Nights we'll be chartering a trolley! This trolley will circulate the area helping people to explore shops and reduce the need for vehicles.

**B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):**

Funding will be used to fund the trolley each month <sup>and</sup> minimal printing costs.



**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**C: If this request is a fundraiser, please detail how the proceeds will be spent:**

Neighborhood Nights is not a fundraiser!

**D: For Expenditure Reimbursement Only** – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

Reimbursements should not be made before application date unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.

✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:**

On the third Wednesday of each month from June through November, we want everyone to come out and celebrate Bardstown Road and the Highlands, safely socialize with neighbors from throughout the Metro area, and patronize local establishments.

Wednesday is a "slow" day/night for many businesses along the corridor. By celebrating on Wednesday evening (3-9pm), we are encouraging people to come to Bardstown Road and enjoy an evening of celebration with some free musical entertainment, a night out with friends and family, and hopefully a little shopping and dining in the neighborhood.

The trolley will serve as a connection to the past and as an alternative to driving up and down Bardstown Road - fewer vehicles = safer environment for pedestrians, and those choosing alternative forms of transportation (e.g., bikes and scooters). Musicians strategically placed throughout the area are there for folks to enjoy, and to encourage foot traffic throughout the corridor.

We are also reaching out to businesses along the corridor to encourage them join us in celebrating Bardstown Road by reaching out to their customers, and providing event specific promotions.

We will track the number of people using the trolley, as well as the tips earned by the musicians, and we will also survey businesses to determine if there is an increase in shopping/buying/eating/drinking activity along the corridor.

**F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.**

The Neighborhood Nights committee is an offshoot of the District 8 Advisory Board which is made up of neighborhood association representatives, the Highland Commerce Guild, as well as JCPS and other entities brought together by Councilmember Chambers Armstrong, in addition to working with Councilwoman Chambers Armstrong and her staff.

The 7 neighborhood associations bordering the Bardstown Road commercial corridor together with a JCPS representative and the Highland Commerce Guild are meeting, discussing, and doing the legwork necessary to organize and implement the event plans. We also worked with Yelp Louisville for the first Neighborhood Night in June, and we are working with as many businesses as possible each and every month as we are moving forward.

Nine neighborhood associations and the Highland Commerce Guild have donated money to support the event - primarily to fund musicians - Neighborhood Nights!

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**SECTION 6 – PROGRAM/PROJECT BUDGET SUMMARY**

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
<b>A: Personnel Costs Including Benefits</b>			\$ 0.00
<b>B: Rent/Utilities</b>			\$ 0.00
<b>C: Office Supplies</b>			\$ 0.00
<b>D: Telephone</b>			\$ 0.00
<b>E: In-town Travel</b>			\$ 0.00
<b>F: Client Assistance (See Detailed List on Page 8)</b>			\$ 0.00
<b>G: Professional Service Contracts</b>			\$ 0.00
<b>H: Program Materials</b>			\$ 0.00
<b>I: Community Events &amp; Festivals (See Detailed List on Page 8)</b>	\$ 1,250.00	\$ 4,260.00	\$ 5,510.00
<b>J: Machinery &amp; Equipment</b>			\$ 0.00
<b>K: Capital Project</b>			\$ 0.00
<b>L: Other Expenses (See Detailed List on Page 8)</b>			\$ 0.00
<b>*TOTAL PROGRAM/PROJECT FUNDS</b>	\$ 1,250.00	\$ 4,260.00	\$ 5,510.00
% of Program Budget	22.69%	77.31%	<b>100%</b>

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	\$ 3,060.00
Fees Collected from Program Participants	
Other (please specify) Music Performance Trust Fund	\$ 1,200.00
Total Revenue for Columns 2 Expenses **	\$ 4,260.00

\*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

\*\*Must equal or exceed total in column 2.

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

Detail for Client Assistance, Community Events & Festivals or Other Expenses shown on Page 7 (circle one and use multiple sheets if necessary)	Column 1	Column 2	Column (1 + 2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
Trolley (x 3 months)	\$ 1,164.00		\$ 1,164.00
Printing (x 6 months)	\$ 86.00	\$ 266.00	\$ 352.00
Musicians (x 6 months)		\$ 3,994.00	\$ 3,994.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
<b>Total</b>	\$ 1,250.00	\$ 4,260.00	\$ 5,510.00

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**Detail of In-Kind Contributions for this PROGRAM only:** Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
<p align="center"><i>Total Value of In-Kind (to match Program Budget Line Item. Volunteer Contribution &amp; Other In Kind)</i></p>	<p align="center">\$ 0.00</p>	

**\* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK**

Agency Fiscal Year Start Date: Jan 1, 2021

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO  YES

If YES, please explain:

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 7 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

**Standard Assurances**

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked and the funds will not be disbursed to our organization.
3. Applicant and any sub grantees will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantees).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

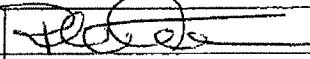
**Standard Certifications**

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

**Relationship Disclosure:** List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

### SECTION 8 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

<b>Signature of Legal Signatory:</b>		<b>Date:</b>	07/11/2021
<b>Legal Signatory: (please print):</b>	R. M. Atkin	<b>Title:</b>	President
<b>Phone:</b>	502-762-6141	<b>Extension:</b>	
<b>Email:</b>	President@DeerParkLouisville.com		

JEFF HUGHES  
1888 RICHMOND DR  
LOUISVILLE KY 40205

Sincerely yours,

*Warren R. Burton*

Warren R. Burton, Operations Mgr  
Accounts Management Operations 1

*[Faint, mirrored text from the reverse side of the page, likely bleed-through from another document.]*





CINCINNATI OH 45999-0038

In reply refer to: 024816D116  
July 07, 2021 LTR 4170C 0  
61-1037711 000000 00  
00011964  
BDDC: TE

JEFF HUGHES  
1888 RICHMOND DR  
LOUISVILLE KY 40205

027779

Person to contact: Ms. Harper

Dear Taxpayer:

We're responding to your request of June 25, 2021, about the tax-exempt status of DEER PARK NEIGHBORHOOD ASSOCIATION INC

We issued a determination letter in March 2007, granting this organization exemption from federal income tax under Internal Revenue Code Section 501(c)(3).

Our records show this organization is not a private foundation within the meaning of Internal Revenue Code (IRC) Section 509(a) because it's described in IRC Sections 509(a)(1) and 170(b)(1)(A)(vi).

Donors can deduct contributions to this organization as provided in Internal Revenue Code (IRC) Section 170. Bequests, legacies, devises, transfers, or gifts to the organization or for its use are deductible for federal estate and gift tax purposes if they meet the requirements of IRC Sections 2055, 2106, and 2522.

If an organization fails to file a required annual return or notice for three consecutive years, its tax-exempt status is revoked by operation of law. This is stated in IRC Section 6033(j)(1). The revocation is effective on the filing due date of the third annual return or notice. For more information about filing requirements, you can visit our website at [www.irs.gov/eo](http://www.irs.gov/eo).

If you have questions, call 877-829-5500 between 8 a.m. and 5 p.m., local time, Monday through Friday (Alaska and Hawaii follow Pacific time).

Thank you for your cooperation.



**DEER PARK NEIGHBORHOOD ASSOCIATION, INC.  
2021 BUDGET**

	2021
<b>Income</b>	
Advertising	\$ 1,600
Grants Received	8,000
Tree Sales	3,000
Membership Dues	1,360
<b>Total Income</b>	<b>\$ 13,960</b>
<b>Expense</b>	
Tree purchase	\$ 10,000
Festivals and events	375
Licenses and Fees/IT	150
Merchant Fees	35
Printing and Copying	2,100
Supplies	1,300
<b>Total Expense</b>	<b>\$ 13,960</b>
<b>Net Change in Assets</b>	<b>\$ -</b>

Form 990-N

Electronic Notice (e-Postcard)

OMB No. 1545-2085

Department of the Treasury  
Internal Revenue Service

for Tax-Exempt Organization not Required to File Form 990 or 990-EZ

2020

Open to Public Inspection

A For the 2020 Calendar year, or tax year beginning 2020-01-01 and ending 2020-12-31

- B Check if available
- Terminated for Business
- Gross receipts are normally \$50,000 or less

C Name of Organization: DEER PARK NEIGHBORHOOD ASSOCIATION INC  
1534 Quadrant Avenue  
Louisville, KY, US, 40205

D Employee Identification  
 Number 61-1037711

E Website:  
<https://www.deerparklouisville.com>

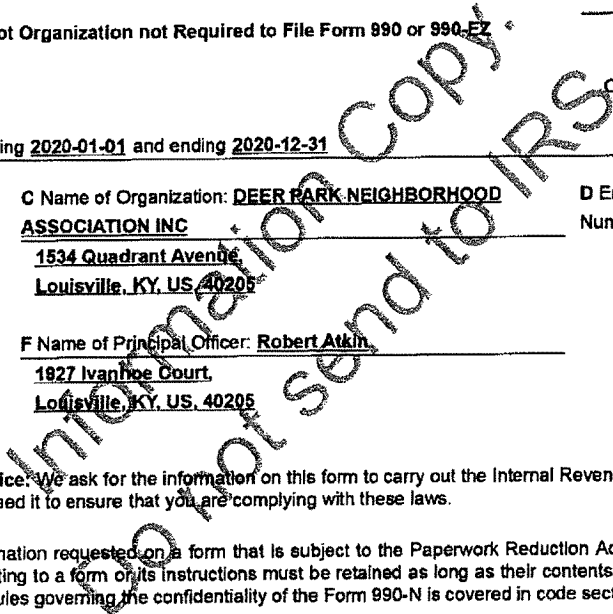
F Name of Principal Officer: Robert Atkin  
1927 Ivanhoe Court  
Louisville, KY, US, 40205

**Privacy Act and Paperwork Reduction Act Notice:** We ask for the information on this form to carry out the Internal Revenue laws of the United States. You are required to give us the information. We need it to ensure that you are complying with these laws.

The organization is not required to provide information requested on a form that is subject to the Paperwork Reduction Act unless the form displays a valid OMB control number. Books or records relating to a form or its instructions must be retained as long as their contents may become material in the administration of any Internal Revenue law. The rules governing the confidentiality of the Form 990-N is covered in code section 6104.

The time needed to complete and file this form and related schedules will vary depending on the individual circumstances. The estimated average times is 15 minutes.

**Note:** This image is provided for your records only. Do Not mail this page to the IRS. The IRS will not accept this filing via paper. You must file your Form 990-N (e-Postcard) electronically.



0013441.09

Dornish  
NARI

Trey Grayson  
Secretary of State  
Received and Filed  
06/14/2006 2:23:53 PM  
Fee Receipt: \$16.00

AMENDED AND RESTATED ARTICLES OF INCORPORATION  
OF THE  
DEER PARK NEIGHBORHOOD ASSOCIATION, INC.

THE UNDERSIGNED, duly elected President of Deer Park Neighborhood Association, Inc. hereby certifies that said corporation is a non-profit, non-stock corporation incorporated on April 1, 1974, under the laws of the Commonwealth of Kentucky, and more particularly Chapter 273, Kentucky Revised Statutes (KRS).

I further certify that Articles II, III, IV, V, VI, VII, VIII, IX, X and XI incorporate amendments to the Articles of Incorporation and that, except for these amendments, the Amended and Restated Articles of Incorporation currently set forth, without change, the corresponding provisions of the Articles of Incorporation as theretofore amended and that these Amended and Restated Articles of Incorporation together with the designated amendments supersede the original Articles of Incorporation and all amendments thereto.

I further certify that there were no members entitled to vote thereon, that on June 8, 2006 at a meeting of the Board of Directors the following Amended and Restated Articles of Incorporation were adopted and that said Amended and Restated Articles of Incorporation received the vote of a majority of the Directors in office.

ARTICLE I

The name of the Corporation shall be The Deer Park Neighborhood Association, Inc.

ARTICLE II

The duration of the Corporation shall be perpetual.

ARTICLE III

The principal office of the Corporation is located at:

P.O. Box 5152, Louisville, Kentucky 40205

Other places of business in said city or elsewhere may be designated by resolution of the Board of Directors.

#### ARTICLE IV

The Corporation is organized and shall be operated exclusively for charitable and educational purposes as described within Section 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws), including for such purposes the making of distributions to organizations and individuals for the purpose of engaging in activity falling within the purposes of the Corporation and permitted for an organization exempt under said Section 501(c)(3).

The purposes of the Corporation shall be more specifically stated as follows:

To beautify the neighborhood;

To preserve the historic, architectural and cultural character of the neighborhood;

To support and encourage visual, performing and other artistic endeavors;

To combat community deterioration by encouraging all residents and businesses within the area to maintain and improve the condition and appearance of their property;

To create and expand community pride and cooperation throughout the area;

To improve the safety and wellbeing of all persons living in, working in and visiting the neighborhood by providing education on community issues, such as crime prevention, zoning and environmental concerns;

To work with other neighborhood, local and governmental groups to solve common problems and to maintain and upgrade the quality of life in Deer Park and the Highlands area; and

To engage in and support other charitable and educational activities.

#### ARTICLE V

The Corporation shall be irrevocably dedicated to and operated exclusively for non-profit purposes. No part of the net earnings of the Corporation shall inure to the benefit of or be distributable to its members, directors, officers, or other private persons, except that the Corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the

purposes set forth in Article IV hereof.

#### ARTICLE VI

In carrying out the corporate purposes described in Article IV, the Corporation shall have all powers granted by the laws of the Commonwealth of Kentucky, including in particular those listed in KRS 273.171 (or corresponding provision of any later State statute), except as follows and as otherwise stated in these Articles:

a) No substantial part of the activities of the Corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation, and the Corporation shall not participate in, or intervene in (including the publishing or distribution of statements), any political campaign on behalf of any candidate for public office.

b) Notwithstanding any other provision of these Articles, the Corporation shall not carry on any other activities not permitted to be carried on:

1) by a corporation exempt from Federal income tax under Section 501(c)(3) of the Internal Revenue Code, or the corresponding provisions of any subsequent Federal tax laws.

2) by a corporation, contributions to which are deductible under Section 170(c)(2) of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws.

c) If and so long as the Corporation is a private foundation as defined in Section 509(a) of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws:

1) The Corporation shall distribute its income for each taxable year at such time and in such manner as not to become subject to the tax on undistributed income imposed by Section 4942 of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws.

2) The Corporation shall not engage in any act of self-dealing as defined in Section 4941(d) of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws.

3) The Corporation shall not retain any excess business holdings as defined in Section 4943(c) of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws.

4) The Corporation shall not make any investments in such manner as to subject it to tax under Section 4944 of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws.

5) The Corporation shall not make any taxable expenditures as defined in Section 4945(d) of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws.

#### ARTICLE VII

The Corporation shall be governed by the By-Laws.

Any director may be removed for cause pursuant to By-Laws provisions regarding grounds and procedures for such removal.

#### ARTICLE VIII

a) The directors, officers, employees and members of this Corporation shall not be held personally liable for any debt or obligation of the Corporation solely because of their position in the Corporation.

b) Any person serving on the Board of Directors of this Corporation shall not be held personally liable for monetary damages resulting from the breach of his or her duties as a director unless such act, omission or breach:

1) concerned or concerns a transaction in which the director's personal financial interest was or is in conflict with the financial interests of the Corporation;

2) was not in good faith or involved or involves intentional misconduct on the part of the director;

3) was known by the director to be a violation of law;  
or

4) resulted in an improper personal benefit to the director.

#### ARTICLE IX

Any director or officer or former director or officer of the Corporation may be indemnified by the Corporation against any expenses actually and reasonably incurred by him or her in connection with the defense of any action, suit or proceeding, civil or criminal, in which she or he is made a party by reason of being or having been such director or officer, except in relation to matters as to which she or he shall be adjudged in such action, suit or proceeding to be liable for negligence or misconduct in the performance of duty to the Corporation. The Corporation may make any other indemnification permitted by law and authorized by its Articles of Incorporation, or its By-laws or a resolution adopted after notice to members entitled to vote.

**ARTICLE X**

In the event of dissolution of the Corporation, the Board of Directors shall, after paying or making provision for the payment of all liabilities of the Corporation, dispose of all assets of the Corporation exclusively for the purposes of the Corporation, in such manner, or to such organizations organized and operated exclusively for charitable or educational purposes as shall at the time qualify as an exempt organization under Section 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws), or to the Federal government or to a State or local government for a public purpose as the Board of Directors shall determine.

The remaining assets, if any, shall be disposed of by the Circuit Court of the county in which the principal office for the Corporation is then located, exclusively for such purposes or to such organizations as said Court shall determine are organized and operated exclusively for such purposes.

**ARTICLE XI**

Amendments to these Articles shall be made pursuant to the provisions of KRS 273.263 (or corresponding provisions of any later State statute).

IN TESTIMONY WHEREOF, witness the signature of the President of the Board of Directors of this Corporation, this 9<sup>th</sup> day of June, 2006.

Timothy J. Darst  
VICE PRESIDENT

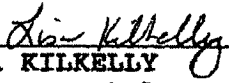
STATE OF KENTUCKY    )  
  )  
COUNTY OF JEFFERSON )

The foregoing Amended and Restated Articles of Incorporation were acknowledged before me this 8<sup>th</sup> day of June, 2006, by Timothy Darst Vice-President of the Deer Park Neighborhood Association, Inc. Witness my signature and seal of office.

My Commission Expires: January 8, 2009

Rita Kellielly  
NOTARY PUBLIC  
STATE AT LARGE, KENTUCKY

This Document Prepared By:

  
\_\_\_\_\_  
LISA KILKELLY  
Attorney at Law  
LEGAL AID SOCIETY, INC.  
425 West Muhammad Ali Blvd.  
Louisville, Kentucky 40202  
(502) 584-1254



## **Article III : Board of Directors**

### **Section One**

Powers: The business and affairs of the Association shall be conducted by the Board of Directors.

### **Section Two**

Composition: Membership of the Board shall be drawn from the Active Membership list of the Association. There will be a minimum of 8 and a maximum of 12 Directors, 4 of whom shall be officers of the Association. Two members of a household may share a position of Director representing one vote, provided both are on the slate.

### **Section Three**

Regular Meetings: Meetings of the Board of Directors shall be held monthly at a regular time and place set by the Board of Directors. These meetings are generally open to the public but the Board may elect to hold all or a portion of these meetings in executive session, if approved by a majority of the vote of the Board.

### **Section Four**

Special Meetings: One half of the active directors may call a meeting of the Board by requesting the President, in writing, to issue such a call; or by sending notice of the meeting, signed by those making the call, to each member of the Board of Directors.

### **Section Five**

Quorum: A simple majority of the active directors shall constitute a quorum for any purpose. A simple majority of those present, provided it is a quorum, may transact business. Each director shall have one vote. There is no proxy voting.

### **Section Six**

Removal of Directors: An Officer and/or Director may be removed from the Board when 2/3 of the board recommends such action to the board. Removal shall take place upon ratification of such recommendation by 2/3 of the voting board membership. An Officer and/or Director must attend at least 2/3 of all regularly scheduled Board meetings in a single year.

### **Section Seven**

Vacancies: It shall be the duty of the President to fill by appointment any vacancy on the Board of Directors at the next regularly scheduled meeting following the vacancy, such appointment being for the remainder of that term and with the concurrence of the remaining Board of Directors.

### **Section Eight**

The Board will take effect June 1 of the election year.

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Form **W-9**  
(Rev. October 2018)  
Department of the Treasury  
Internal Revenue Service

# Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9) for instructions and the latest information.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.  
**Deer Park Neighborhood Association, Inc.**

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.

Individual/sole proprietor or single-member LLC

C Corporation

S Corporation

Partnership

Trust/estate

Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶

Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is disregarded from the owner should check the appropriate box for the tax classification of its owner.

Other (see instructions) ▶ **Non-profit corporation**

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):

Exempt payee code (if any) \_\_\_\_\_

Exemption from FATCA reporting code (if any) \_\_\_\_\_

(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.) See instructions.  
**P.O. Box 5152**

6 City, state, and ZIP code  
**Louisville, KY 40205**

7 List account number(s) here (optional)

Requester's name and address (optional)

Print or type.  
See Specific Instructions on page 3.

## Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number								
			-					

OR

Employer identification number								
61			-	10	87	71	11	

## Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here Signature of U.S. person ▶

Date ▶ 6/14/2021

## General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments.** For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9).

### Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
  - Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
  - Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
  - Form 1099-S (proceeds from real estate transactions)
  - Form 1099-K (merchant card and third party network transactions)
  - Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
  - Form 1099-C (canceled debt)
  - Form 1099-A (acquisition or abandonment of secured property)
- Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.



# Kentucky Secretary of State Michael G. Adams

## DEER PARK NEIGHBORHOOD ASSOCIATION, INC.

<a href="#">File Annual Report</a>	<a href="#">File Statement of Change of Principal Office</a>	
<a href="#">File Statement of Change of registered Agent / Registered Address</a>		
<a href="#">Printable Forms</a>	<a href="#">Additional Services</a>	<a href="#">Certificates</a>

### General Information

<b>Organization Number</b>	0013441
<b>Name</b>	DEER PARK NEIGHBORHOOD ASSOCIATION, INC.
<b>Profit or Non-Profit</b>	N - Non-profit
<b>Company Type</b>	KCO - Kentucky Corporation
<b>Status</b>	A - Active
<b>Standing</b>	G - Good
<b>State</b>	KY
<b>File Date</b>	4/1/1974
<b>Organization Date</b>	4/1/1974
<b>Last Annual Report</b>	2/14/2021
<b>Principal Office</b>	P.O. BOX 5152 LOUISVILLE, KY 40205
<b>Registered Agent</b>	SUSAN HARMON 1702 STEVENS AVENUE LOUISVILLE, KY 40205

### Current Officers

<b>President</b>	BOB ATKIN
<b>Vice President</b>	CHARLES PUCKETT
<b>Secretary</b>	HELEN PAYNE
<b>Treasurer</b>	JEFF HUGHES
<b>Director</b>	ALAN SCHERER
<b>Director</b>	Jenifer Frommeyer
<b>Director</b>	SUSAN HARMON

<b>Director</b>	CURTIS STAUFFER
<b>Director</b>	Allison Warnersmith
<b>Director</b>	Kate Miller

### Individuals / Entities listed at time of formation

<b>Director</b>	HARRY ROTHGERBER JR
<b>Director</b>	TERREE LATKOVSHI
<b>Director</b>	JEANETTE SCHWAB
<b>Director</b>	ANDREW LATKOVSHI
<b>Director</b>	RONALD BAKER
<b>Incorporator</b>	HARRY ROTHGERBER JR
<b>Incorporator</b>	TERREE LATKOVSKI
<b>Incorporator</b>	JEANETTE SCHWAB
<b>Incorporator</b>	ANDREW LATKOVSKI
<b>Incorporator</b>	RONALD BAKER

### Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

Annual Report	2/14/2021	1 page	PDF	
Annual Report	6/16/2020	1 page	PDF	
Annual Report	6/13/2019	1 page	tiff	PDF
Annual Report	8/28/2018	1 page	PDF	
Annual Report	8/17/2017	1 page	tiff	PDF
Annual Report	5/12/2016	1 page	PDF	
Registered Agent name/address change	6/10/2015 7:16:40 PM	1 page	PDF	
Annual Report	6/10/2015	1 page	PDF	
Registered Agent name/address change	3/17/2015 3:22:17 PM	1 page	PDF	
Annual Report	3/2/2014	1 page	PDF	
Registered Agent name/address change	5/21/2013 3:37:40 PM	1 page	PDF	
Annual Report	5/4/2013	1 page	PDF	
Registered Agent name/address change	5/14/2012 8:38:10 AM	1 page	PDF	
Annual Report	5/14/2012	1 page	PDF	
Annual Report	6/6/2011	1 page	PDF	
Registered Agent name/address change	5/16/2010 3:33:26 PM	1 page	PDF	
Annual Report	5/16/2010	1 page	PDF	
Annual Report	2/25/2009	1 page	tiff	PDF
Annual Report	4/24/2008	1 page	tiff	PDF
Statement of Change	6/13/2007	1 page	tiff	PDF
Annual Report	3/2/2007	1 page	tiff	PDF
Statement of Change	8/9/2006	1 page	tiff	PDF
Amended and Restated Articles	6/14/2006	6 pages	tiff	PDF
Annual Report	5/19/2006	1 page	tiff	PDF
Statement of Change	8/16/2005	1 page	tiff	PDF
Annual Report	7/15/2005	1 page	PDF	

Annual Report	8/7/2003	1 page	tiff	PDF
Statement of Change	5/29/2003	1 page	tiff	PDF
Annual Report	7/19/2002	1 page	tiff	PDF
Annual Report	8/27/2001	1 page	tiff	PDF
Statement of Change	6/21/2001	1 page	tiff	PDF
Annual Report	8/2/2000	1 page	tiff	PDF
Statement of Change	6/20/2000	1 page	tiff	PDF
Annual Report	7/19/1999	1 page	tiff	PDF
Statement of Change	5/24/1999	1 page	tiff	PDF
Annual Report	6/2/1998	1 page	tiff	PDF
Annual Report	7/1/1997	1 page	tiff	PDF
Annual Report	7/1/1996	1 page	tiff	PDF
Annual Report	7/1/1995	1 page	tiff	PDF
Reinstatement	10/31/1994	2 pages	tiff	PDF
Administrative Dissolution	11/2/1992	1 page	tiff	PDF
Annual Report	7/1/1992	1 page	tiff	PDF
Annual Report	6/3/1992	1 page	tiff	PDF
Annual Report	7/1/1991	1 page	tiff	PDF
Statement of Change	4/25/1991	1 page	tiff	PDF
Reinstatement	10/19/1990	1 page	tiff	PDF
Annual Report	10/19/1990	1 page	tiff	PDF
Statement of Change	10/19/1990	1 page	tiff	PDF
Revocation of Certificate of Authority	3/15/1987	1 page	tiff	PDF
Revocation of Certificate of Authority	3/15/1987	1 page	tiff	PDF
Revocation of Certificate of Authority	3/15/1987	2 pages	tiff	PDF
Six Month Notice	9/1/1986	1 page	tiff	PDF
Six Month Notice	9/1/1986	1 page	tiff	PDF
Annual Report	4/24/1975	6 pages	tiff	PDF
Annual Report	4/24/1975	6 pages	tiff	PDF
Articles of Incorporation	4/1/1974	7 pages	tiff	PDF
Articles of Incorporation	4/1/1974	7 pages	tiff	PDF
Articles of Incorporation	4/1/1974	7 pages	tiff	PDF

## Assumed Names

## Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	2/14/2021 3:23:09 PM	2/14/2021 3:23:09 PM	
Annual report	6/16/2020 4:07:22 PM	6/16/2020 4:07:22 PM	
Annual report	6/13/2019 1:55:26 PM	6/13/2019	
Annual report	8/28/2018 10:26:13 AM	8/28/2018 10:26:13 AM	
Annual report	8/17/2017 1:29:37 PM	8/17/2017	

Annual report	5/12/2016 3:19:17 PM	5/12/2016 3:19:17 PM
Annual report	6/10/2015 7:31:07 PM	6/10/2015 7:31:07 PM
Registered agent address change	6/10/2015 7:16:40 PM	6/10/2015 7:16:40 PM
Registered agent address change	3/17/2015 3:22:17 PM	3/17/2015 3:22:17 PM
Annual report	3/2/2014 3:51:37 PM	3/2/2014 3:51:37 PM
Registered agent address change	5/21/2013 3:37:40 PM	5/21/2013 3:37:40 PM
Annual report	5/4/2013 9:47:04 AM	5/4/2013 9:47:04 AM
Annual report	5/14/2012 8:52:35 AM	5/14/2012 8:52:35 AM
Registered agent address change	5/14/2012 8:38:10 AM	5/14/2012 8:38:10 AM
Annual report	6/6/2011 10:04:38 AM	6/6/2011 10:04:38 AM
Registered agent address change	5/16/2010 3:33:26 PM	5/16/2010 3:33:26 PM
Annual report	5/16/2010 3:22:51 PM	5/16/2010 3:22:51 PM
Annual report	2/25/2009 10:19:26 AM	2/25/2009
Annual report	4/24/2008 1:44:52 PM	4/24/2008
Registered agent address change	6/13/2007 8:35:35 AM	6/13/2007
Annual report	3/2/2007 1:14:48 PM	3/2/2007
Registered agent address change	8/9/2006 12:03:56 PM	8/9/2006
Amendment - Miscellaneous amendments	6/14/2006 2:23:53 PM	6/14/2006
Amendment - Amended and restated articles / CLP	6/14/2006 2:23:53 PM	6/14/2006
Annual report	5/19/2006 1:36:34 PM	5/19/2006
Registered agent address change	8/16/2005 10:40:54 AM	8/16/2005
Annual report	7/15/2005	7/15/2005
Registered agent address change	6/2/2004 2:41:45 PM	6/2/2004
Registered agent address change	5/29/2003 8:49:02 AM	5/29/2003
Registered agent address change	6/21/2001 2:53:16 PM	6/21/2001
Annual report	6/21/2001 2:50:40 PM	6/21/2001

Registered agent address change	6/20/2000 10:25:06 AM	6/20/2000
Registered agent address change	5/24/1999	5/24/1999

## Microfilmed Images

Microfilm images are not available online. They can be ordered by faxing a Request For Corporate Documents to the Corporate Records Branch at 502-564-5687.

Annual Report	8/3/2004	1 page
Statement of Change	6/2/2004	1 page
Annual Report	8/7/2003	1 page
Statement of Change	5/29/2003	1 page
Annual Report	7/19/2002	1 page
Annual Report	8/27/2001	1 page
Statement of Change	6/21/2001	1 page
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Articles of Incorporation	4/1/1974	5 pages

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Kentucky Unbridled Spirit