

**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

Applicant/Program: Catholic Charities of Louisville, Inc. Louisville Metro Human Trafficking Summit

Executive Summary of Request:

Catholic Charities of Louisville is collaborating with community partners to host a Human Trafficking Summit to raise awareness about its prevalence, to engage local leaders and community members about it and to create a call to action to commit to a specific response that will impact the problem of human trafficking.

Funds will be used to cover costs of the speakers and printed materials for the event.

Is this program/project a fundraiser?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Is this applicant a faith based organization?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Does this application include funding for sub-grantee(s)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

10 District #	<i>Steve Mayhew/cp</i> Council Member Signature	\$2,500.00 Amount	\$5,001.00 ⁹ Date
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Primary Sponsor Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

Approved by:

_____ Date
Appropriations Committee Chairman

Clerk's Office Only:

Request Amount: _____ Committee Amended Appropriation: _____
Original Appropriation: _____ Council Amended Appropriation: _____

Applicant/Program:

Catholic Charities of Louisville, Human Trafficking Summit

Additional Disclosure and Signatures

Additional Council Office Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

District # Council Member Signature Amount Date

District # Council Member Signature Amount Date

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District # Council Member Signature Amount Date

District # Council Member Signature Amount Date

Applicant/Program: Catholic Charities of Louisville, Human Trafficking Summit
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Additional Disclosure and Signatures

Additional Council Office Disclosure

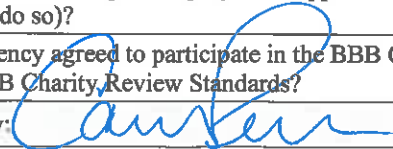
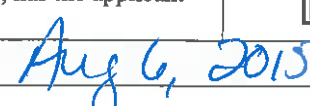
List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

<u>District #</u>	<u>Council Member Signature</u>	<u>Amount</u>	<u>Date</u>
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**LOUISVILLE METRO COUNCIL
NEIGHBORHOOD DEVELOPMENT FUND APPLICATION CHECKLIST**

Legal Name of Applicant Organization: Catholic Charities of Louisville

Program Name and Request Amount: Louisville Metro human Trafficking Summit \$10,000

	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	<input type="checkbox"/> Yes
Is the funding proposed by Council Member(s) less than or equal to the request amount?	<input type="checkbox"/> Yes
Is the proposed public purpose of the program viable and well-documented?	<input type="checkbox"/> Yes
Will all of the funding go to programs specific to Louisville/Jefferson County?	<input type="checkbox"/> Yes
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	<input type="checkbox"/> Yes
Has prior Metro Funds committed/granted been disclosed?	<input type="checkbox"/> Yes
Is the application properly signed and dated by authorized signatory?	<input type="checkbox"/> Yes
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	<input type="checkbox"/> Yes
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	<input type="checkbox"/> N/A
Is the entity in good standing with: <ul style="list-style-type: none"> • Kentucky Secretary of State? • Louisville Metro Revenue Commission? • Louisville Metro Government? • Internal Revenue Service? • Louisville Metro Human Relations Commission? 	<input type="checkbox"/> Yes
Is the current Fiscal Year Budget included?	<input type="checkbox"/> Yes
Is the entity's board member list (with term length/term limits) included?	<input type="checkbox"/> Yes
Is recommended funding less than 33% of total agency operating budget?	<input type="checkbox"/> N/A
Does the application budget reflect only the revenue and expenses of the project/program?	<input type="checkbox"/> Yes
Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?	<input type="checkbox"/> N/A
Is the most recent annual audit (if required by organization) included?	<input type="checkbox"/> Yes
Is a copy of Signed Lease (if rent costs are requested) included?	<input type="checkbox"/> N/A
Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?	<input type="checkbox"/> Yes
Are the Articles of Incorporation of the Agency included?	<input type="checkbox"/> Yes
Is the IRS Form W-9 included?	<input type="checkbox"/> Yes
Is the IRS Form 990 included?	<input type="checkbox"/> Yes
Are the evaluation forms (if program participants are given evaluation forms) included?	<input type="checkbox"/> Yes
Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?	<input type="checkbox"/> N/A
Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?	<input type="checkbox"/> No
Prepared by: 	Date: 



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
Legal Name of Applicant Organization: Catholic Charities of Louisville, Inc <i>(as listed on: http://www.sos.kv.gov/business/records)</i>			
Main Office Street & Mailing Address: 2911 S. 4th Street			
Website: www.cclou.org			
Applicant Contact:	Marissa Castellanos	Title:	Human Trafficking Program Manager
Phone:	502-974-4947	Email:	mcastellanos@archlou.org
Financial Contact:	Julie Carlin	Title:	Grants Manager
Phone:	502-637-9738	Email:	jcarlin@archlou.org
Organization's Representative who attended NDF Training: Marissa Castellanos			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s):	Frazier Museum, 829 W. Main Street, Louisville, KY		
Council District(s):	District 4	Zip Code(s):	40202
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: Louisville Metro Human Trafficking Summit			
Total Request: (\$)	\$10,000	Total Metro Award (this program) in previous year: (\$)	0
Purpose of Request (check all that apply):			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget)			
<input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals			
<input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
The Following are Required Attachments:			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current Year Projected Budget <input checked="" type="checkbox"/> List of Board of Directors (include term & term limits) <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input checked="" type="checkbox"/> Evaluation forms if used in the proposed program <input checked="" type="checkbox"/> Annual audit (if required by organization) <input checked="" type="checkbox"/> Faith Based Organization Certification Form, if required <input checked="" type="checkbox"/> Staff including the 3 highest paid staff	
For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
Source:	External Agencies Funding- Metro Youth Preparedness	Amount: (\$)	\$15,000
Source:	External Agencies Funding- MRS Targeted Case Mgmt.	Amount: (\$)	\$10,000
Source:	External Agencies Funding- Immigration legal Services	Amount: (\$)	\$8,500
Has the applicant contacted the BBB Charity Review for participation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

Vision: Together with the Universal Church, Catholic Charities of Louisville will lead our communities in addressing social justice issues through our ministry.

Mission: Catholic Charities of Louisville, Inc., a member of Catholic Charities USA and part of the Catholic Church in Central Kentucky, provides services for people in need, advocates for justice in social structures, and calls the entire Church and other people of good will to do the same

Services: Catholic Charities of Louisville offers programs and services for people of all religious, ethnic, social and economic backgrounds. Services offered include parenting classes for teens, immigration legal services, senior services, assistance with basic needs (utilities, prescriptions, clothing, food, etc), refugee resettlement services, assistance to unaccompanied minors, interpreter services, adoption services, prison re-entry services, human trafficking victim services, housing development, urban gardens, culinary arts training, and disaster relief. Through these direct service programs, Catholic Charities provides assistance to more than 18,000 community members annually.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

Catholic Charities of Louisville is collaborating with community partners to host a Human Trafficking Summit, to:

- 1) Raise awareness about the prevalence of human trafficking and its impact in the Commonwealth of Kentucky,
- 2) To engage local leaders and community members in addressing the issue of human trafficking locally, and
- 3) To create a call to action to commit to a specific response that will impact the problem of human trafficking and modern slavery in Kentucky communities.

Topics to be addressed through presentations during the Summit include:

- Human Trafficking and Modern Slavery: A National and Local Concern
- Labor Trafficking: The Prevalence of Exploitation Among Workers
- Kentucky's Commitment to Combat Human Trafficking
- The Intersection between the Strip Club Industry and Sex Trafficking
- Sex Trafficking in Louisville Metro: A look at Backpage.com
- Survivor Panel Discussion- Human Trafficking Survivor Perspectives
- Human Trafficking Victim Service Providers: Resources and Needs
- The Impacts of Human Trafficking Crimes on Local Economies
- How will You Respond? A Call to Take Action

The Summit will be Tuesday, October 20, 2015 at the Frazier Museum, from 8:30am-5pm. Registration is required, and up to 300 individuals may attend.

Summit attendees will include concerned: local leaders, law enforcement, faith community leaders, business leaders, policy makers, elected officials, non-profit leaders, and community members.

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):

Louisville Metro Government funding will be utilized to cover costs related to hosting the summit, including cost of speakers, event space, printed materials for the event, and catering. No funding will be awarded to sub grantees.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

n/a

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

LSB



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

All participants will be required to register online for the event, allowing for collection of information regarding participants and the agencies they represent. This information may be helpful in regards to contacting participants regarding future related events or initiatives.

Evaluation forms will be available and participants will be requested to complete evaluations before leaving the event, to capture the impact of the Summit's content, as well as to better gauge the engagement of participants.

Participants will be asked towards the end of the Summit to take at least one specific action to directly engage the combatting the problem of human trafficking. These actions will be documented by participants, and collected by the event planners to understand the specific actions that are likely to happen as a directly result of the summit.

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

Catholic Charities is working closely with several community partners to plan and sponsor this Summit, including:

- Rock Cares Foundation
- Family and Children's Place
- UL School of Medicine
- Louisville Metro Police Foundation
- Kentucky Association of Sexual Assault Programs
- Pastor Milton Seymore, Energized Baptist Church
- Louisville Metro Human Trafficking Task Force
- UL Brandeis School of Law
- Metro United Way
- State Senator Morgan McGarvey
- Metro Councilman Steve Magre
- Archdiocese of Louisville
- Louisville Metro Police Foundation

Many of these partners are assisting with the weekly planning of the Summit's logistics, including the agenda, participants, etc.

Additionally, many participants are providing financial sponsorship to assist with the costs associated with the Summit.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

	Column 1	Column 2	Column (1+2)=3
Program/Project Expenses	Proposed Metro Funds	Non- Metro Funds	Total Funds
A: Personnel Costs Including Benefits			
B: Rent/Utilities			
C: Office Supplies			
D: Telephone			
E: In-town Travel			
F: Client Assistance (Attach Detailed List)			
G: Professional Service Contracts			
H: Program Materials			
I: Community Events & Festivals (Attach Detail List)	\$10,000	\$28,500	
J: Machinery & Equipment			
K: Capital Project			
L: Other Expenses (Attach Detail List)			
*TOTAL PROGRAM/PROJECT FUNDS			
<i>% of Program Budget</i>	26 %	74 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	\$2,500
United Way	\$5,000
Private Contributions (do not include individual donor names)	\$15,000
Fees Collected from Program Participants	\$6,000
Other (please specify)	
Total Revenue for Columns 2 Expenses **	\$28,500

*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

**Must equal or exceed total in column 2.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
N/A		
<p align="center"><i>Total Value of In-Kind</i> <i>(to match Program Budget Line Item.</i> <i>Volunteer Contribution & Other In Kind)</i></p>		

* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK

Agency Fiscal Year Start Date: **FY2015**

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:

N/A



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.

Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

SECTION 7 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:		Date:	8/7/15
Legal Signatory: (please print):	Steven E. Bogus	Title:	Executive Director
Phone:	502-637-9738	Extension:	150
Email:	sbogus@archlou.org		

LOUISVILLE HUMAN TRAFFICKING SUMMIT

Projected Event Spending

ITEM	METRO FUNDS	NON-METRO FUNDS	TOTAL COST
Speakers	\$8,000	\$17,500	
Communications and Event Support	0	\$5000	
Food and Beverage	0	\$6000	
Materials	\$2000	0	
TOTAL	10,000	\$28,500	\$38,500

INTERNAL REVENUE SERVICE
DISTRICT DIRECTOR
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

DATE: NOV 03 1973

Employer Identification Number:

OLN:

17058268760007

Contact Person:

J. A. DOMING

Contact Telephone Number:

(513) 241-6199

Our Letter Dated:

August 1973

Advanced Applicant:

No

CATHOLIC CHARITIES OF LOUISVILLE
INC
2911 S 4TH ST
LOUISVILLE, KY 40208-1000

Dear Applicant:

This modifies our letter of the above date in which we stated that you would be treated as an organization that is not a private foundation until the expiration of your advance ruling period.

Your exempt status under section 501(c)(3) of the Internal Revenue Code as an organization described in section 501(c)(3) is still in effect. Based on the information you submitted, we have determined that you are not a private foundation within the meaning of section 507(a) of the Code because you are an organization of the type described in section 507(b)(1) and 170(b)(1)(B)(i).

Grantors and contributors may rely on this determination unless the Internal Revenue Service publishes notice to the contrary. However, if you lose your section 507(a)(1) status, a grantor or contributor may not rely on this determination if he or she was in part responsible for or was aware of the act or failure to act or the substantial or material change on the part of the organization that resulted in your loss of such status, or if he or she acquired knowledge that the Internal Revenue Service had given notice that you would no longer be classified as a section 507(a)(1) organization.

If we have indicated in the heading of this letter that an addendum applies, the addendum enclosed is an integral part of this letter.

Because this letter could help resolve any questions about your private foundation status, please keep it in your permanent records.

If you have any questions, please contact the person whose name and telephone number are shown above.

Sincerely yours,



District Director

Letter 1950-100/203

Catholic Charities
FY 2016 Budget

	FY 2016 Budget
Revenue	
Archdiocese of Louisville:	
Base Allotment	\$ 842,500
Supplement for ILS	5,000
Subtotal Archdiocese	847,500
Grant income	11,914,900
Program service fees	1,513,800
Donations and bequests:	
Base donations	522,000
Estate bequests	-
Major events	70,000
Subtotal Donations and bequests	592,000
Housing development fees	135,600
Investment income	53,100
Miscellaneous income	46,900
Gain (loss) on third-party trusts	-
Tornado disaster donations	-
Total Revenue	15,103,800
Expenses	
Salaries and fringe benefits	4,293,200
Direct aid	4,964,800
Refugee program subgrantees	3,290,600
Interpreters	747,200
Occupancy	431,500
Professional services	280,000
Office supplies and expense	147,200
Program/activity expenses	157,300
Fleet and employee mileage	138,200
Travel, training, conferences, dues	116,500
Telecommunications and internet	57,200
Refugee medical insurance premium	-
Depreciation	92,600
All other operating expense	52,700
Tornado disaster relief expense	-
Total Expenses	\$ 14,769,000

**lannertCATHOLIC CHARITIES OF LOUISVILLE, INC.
BOARD OF DIRECTORS (June 1, 2015)**

Steven E. Bogus
President/Executive Director
Catholic Charities
2911 S. 4th Street
Louisville, KY 40208
(502) 637-9786 (W)
(502) 637-9780 (F)

[REDACTED]
Email: Sbogus@archlou.org

George "Dutch" Boehnlein

[REDACTED]
Email: Dutch@viewlouisvillehomes.com
Parish: St. Frances of Rome
1st term: 10/01/2014 – 9/30/2017

Scott R. Haner
Deacon, Catholic Community of St. Patrick

[REDACTED]
Parish: Catholic Community of St. Patrick
1st term: 4/01/2014 – 3/31/2017

Robert Heleringer

[REDACTED]
(502) 327-6787 (W)

[REDACTED]
Parish: St. Louis Bertrand
1st term: 1/01/2012 – 12/31/2014
2nd term: 1/01/2015 – 12/31/2017

Rev. Steven D Henriksen
Ascension Parish

[REDACTED]
(502) 451-2860 Parish office
(502) 974-0910 (C)
Email: shenriksen@ascension-parish.com
Parish: Ascension
1st term: 4/01/2014 – 3/31/2017

Mark A. Kircher
Norton Healthcare,
Executive Office Suite
4967 U.S. Highway 42
Louisville KY 40222
(502) 420-2226 (W)
Email: Mark.kircher@nortonhealthcare.org
Parish: St. Patrick
1st term: 1/01/2009 – 12/31/2011
2nd term: 1/01/2012 – 12/31/2014
3rd term: 1/01/2015 – 12/31/2017

Stephen C. Lannert

[REDACTED]
(502) 394-2508 (W)
DTZ Harry K. Moore
7316 New LaGrange Road
Louisville, KY 40222
Email: Steve.Lannert@dtz.com
Parish: Holy Trinity
1st term: 1/01/2014 – 12/31/2016

Sister Lorraine Lauter, OSU
Water With Blessings

[REDACTED]
Email: Lorraine@WaterWithBlessings.com
Parish: Church of Epiphany
1st term: 5/01/2014 – 12/31/2016

Don Meade

[REDACTED]
(502) 632-5290 (W)
(502) 632-5291 (F)
Email: dneade@pcnmlaw.com
Parish: St. Bernadette
1st term: 1/01/2012 – 12/31/2014
2nd term: 1/01/2015 – 12/31/2017

Mary Jo Mugavin

[REDACTED]
Parish: St. Bernadette
1st term: 1/01/2012 – 12/31/2014
2nd term: 1/01/2015 – 12/31/2017

Ben Ruiz



Email: ben@adhawks.com
Parish: St. Edward
1st term: 1/01/2014 – 12/31/2016

Suzanne McElwain Seabold



Church: Highland Baptist
1st term: 1/01/2014 – 12/31/2016

Michelle Seifert



Parish: Church of the Epiphany
1st term: 1/01/2015 – 12/31/2017

2015 Officers

President/Executive Director
Steven E. Bogus, M.S.W.

Chair
Mr. Mark Kircher

Vice Chair
Deacon Scott Haner

Treasurer
Mrs. Mary Jo Mugavin

Secretary
Mr. Bob Heleringer

Catholic Charities of Louisville, Inc
Statement of Revenues and Expenditures - Detail
From 7/1/2014 Through 6/30/2015

	Current Period Actual	Prior Year Actual	Current Year % Change
Operating Revenue			
Grant Revenue	11,743,154.89	13,941,432.09	(15.77)
Contributions	603,408.27	496,342.19	21.57
Program Revenue	1,297,018.19	1,407,224.81	(8.19)
Investment Income	45,384.62	149,307.17	(69.60)
Other Income	862,365.19	1,029,503.73	(16.23)
Total Operating Revenue	<u>14,546,331.16</u>	<u>17,023,809.99</u>	<u>(14.55)</u>
Total Revenue	<u>14,546,331.16</u>	<u>17,023,809.99</u>	<u>(14.55)</u>
Expenditures			
Personal Expenses	4,764,548.98	4,557,455.85	4.54
Program Expenses	8,362,741.44	10,790,478.22	(22.50)
Professional Fees	279,219.11	324,471.53	(13.95)
Supplies	132,177.94	151,467.09	(12.73)
Telephone	68,487.41	48,045.69	42.55
Postage & Shipping	19,802.88	15,548.70	27.36
Occupancy	133,208.44	102,233.45	30.30
Maintenance & Repairs	324,691.87	282,149.64	15.08
Equipment Rental	1,951.62	4,423.75	(55.88)
Conferences, Conventions & Meetings	61,520.67	49,668.00	23.86
Printing & Publications	798.40	1,232.18	(35.20)
Dues & Subscriptions	23,841.64	16,248.71	46.72
Depreciation	78,936.56	86,035.47	(8.25)
Miscellaneous	295,697.08	301,502.82	(1.93)
Total Expenditures	<u>14,547,624.04</u>	<u>16,730,956.10</u>	<u>(13.05)</u>
Net Revenue Over Expenditures	<u>(1,292.88)</u>	<u>292,853.89</u>	<u>(100.44)</u>

Return of Organization Exempt From Income Tax
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

The organization may have to use a copy of this return to satisfy state reporting requirements

A For the 2012 calendar year, or tax year beginning **JUL 1, 2012** and ending **JUN 30, 2013**

B Check if applicable:
 Address change
 Name change
 Initial return
 Terminated
 Amended return
 Application pending

C Name of organization
CATHOLIC CHARITIES OF LOUISVILLE, INC.
 Doing Business As
 Number and street (or P.O. box if mail is not delivered to street address) Room/suite
2911 SOUTH FOURTH STREET
 City, town, or post office, state, and ZIP code
LOUISVILLE, KY 40208-1303

D Employer identification number
 [REDACTED]

E Telephone number
502-637-9786

G Gross receipts \$ **18,275,244.**

H(a) Is this a group return for affiliates? Yes No
H(b) Are all affiliates included? Yes No
 If "No," attach a list (see instructions)

I Tax-exempt status: 501(c)(3) 501(c)() (insert no.) 4947(a)(1) or 527

J Website: **WWW.CATHOLICCHARITIESLOUISVILLE.ORG**

K Form of organization: Corporation Trust Association Other

L Year of formation: 1992 **M** State of legal domicile: **xy**

Part I Summary

Activities & Governance	1	Briefly describe the organization's mission or most significant activities: TO PROVIDE SERVICES FOR PEOPLE IN NEED AND ADVOCATE FOR JUSTICE IN SOCIAL STRUCTURES AND CALL THE		
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets		
	3	Number of voting members of the governing body (Part VI, line 1a)	3 10	
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4 10	
	5	Total number of individuals employed in calendar year 2012 (Part V, line 2a)	5 97	
	6	Total number of volunteers (estimate if necessary)	6 2000	
	7	Total unrelated business revenue from Part VIII, column (C), line 12	7a 0.	
	b Net unrelated business taxable income from Form 990-T, line 34	7b 0.		
Net Assets or Fund Balances	8	Contributions and grants (Part VIII, line 1h)	13,179,017	16,762,519
	9	Program service revenue (Part VIII, line 2g)	1,114,868	1,376,729
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	39,455	45,850
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	34,437	72,413
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	14,367,777	18,257,511
	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	8,700,840	12,714,030
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	3,602,615	3,626,051
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.
	16b	Total fundraising expenses (Part IX, column (D), line 25)	192,857.	
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	1,708,541	2,167,117
	18	Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)	14,011,996	18,507,198
19	Revenue less expenses Subtract line 18 from line 12	355,781	-249,687	
		Beginning of Current Year	End of Year	
20	Total assets (Part X, line 16)	3,242,059	2,922,579	
21	Total liabilities (Part X, line 26)	1,164,910	1,028,341	
22	Net assets or fund balances Subtract line 21 from line 20	2,077,149	1,894,238	

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here: Signature of officer: *Robert A. Miller* Date: **5/13/2014**
 Type or print name and title: **ROBERT A. MILLER, CHIEF FINANCIAL OFFICER**

Paid Preparer Use Only: Print/Type preparer's name: **JOHN C. PIEPER, CPA** Preparer's signature: *John C. Pieper, CPA* Date: **5-13-14** Check if self-employed: PTIN: [REDACTED]
 Firm's name: **MOUNTJOY CHILTON MEDLEY LLP** Firm's EIN: [REDACTED]
 Firm's address: **702 NORTH SHORE DRIVE, # 500 JEFFERSONVILLE, IN 47130-3104** Phone no.: **(812) 670-3400**

SCANNED JUN 1 9 00 AM '14

RECEIVED MAY 19 2014 OGDEN, UT IRS/OSC

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Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III

1 Briefly describe the organization's mission:

CATHOLIC CHARITIES OF LOUISVILLE, INC., AS MEMBERS OF CATHOLIC CHARITIES USA AND AN APOSTOLATE IN THE ARCHDIOCESE OF LOUISVILLE PROVIDES SERVICES TO PEOPLE IN NEED, ADVOCATES FOR JUSTICE IN SOCIAL STRUCTURES AND CALLS THE ENTIRE CHURCH AND OTHERS OF GOOD WILL TO DO

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

Yes No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?

Yes No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses \$ 15,192,983, including grants of \$ 12,528,009.) (Revenue \$ 0.)

REFUGEE PROGRAMS: CATHOLIC CHARITIES COORDINATES STATEWIDE REFUGEE RECEPTION AND RESETTLEMENT NETWORK PROVIDING DIRECT CASH AND MEDICAL ASSISTANCE AS KENTUCKY RECIPIENT OF FEDERAL WILSON-FISH GRANT FUNDS, APPROXIMATELY 2,109 ARRIVALS WERE SERVED IN FISCAL YEAR.

4b (Code) (Expenses \$ 1,056,675, including grants of \$ 186,021.) (Revenue \$ 0.)

CASE MANAGEMENT SERVICES: CATHOLIC CHARITIES PROVIDES DIRECT CASH AND MATERIAL ASSISTANCE AND CASE MANAGEMENT TO RESIDENTS OF ONE OF LOUISVILLE'S MOST ECONOMICALLY DISTRESSED AREAS.

4c (Code) (Expenses \$ 1,079,860, including grants of \$ 0.) (Revenue \$ 1,080,269.)

LANGUAGE AND IMMIGRATION LEGAL SERVICES: CATHOLIC CHARITIES PROVIDES TRANSLATION SERVICES, LEGAL SERVICES, PLACEMENT AND RESETTLEMENT SERVICES TO REFUGEES IN THE LOUISVILLE AREA AS AN AFFILIATE OF THE U.S. CONFERENCE OF CATHOLIC BISHOPS' MIGRATION AND REFUGEE SERVICES.

4d Other program services (Describe in Schedule O)

(Expenses \$ 400,943, including grants of \$) (Revenue \$ 296,460.)

4e Total program service expenses 17,730,461

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	X	
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>		X
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>	X	
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>	X	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Parts II and IV</i>		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Parts III and IV</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		X
b <i>If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?</i>		

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	X	
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	X	
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J		X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No", go to line 25		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highest compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV		X
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I		X
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1	X	
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2		
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable. 136		
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable. 0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	X	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return. 97		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	X	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?		X
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		X
b	If "Yes," enter the name of the foreign country: See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?		X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		X
d	If "Yes," indicate the number of Forms 8282 filed during the year. 7d		
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		X
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	X	
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		
9	Sponsoring organizations maintaining donor advised funds.		
a	Did the organization make any taxable distributions under section 4966?		
b	Did the organization make a distribution to a donor, donor advisor, or related person?		
10	Section 501(c)(7) organizations. Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12. 10a		
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities. 10b		
11	Section 501(c)(12) organizations. Enter:		
a	Gross income from members or shareholders. 11a		
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 11b		
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year. 12b		
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
a	Is the organization licensed to issue qualified health plans in more than one state? Note: See the instructions for additional information the organization must report on Schedule O.		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans. 13b		
c	Enter the amount of reserves on hand. 13c		
14a	Did the organization receive any payments for indoor tanning services during the tax year?		X
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O		

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions

Check if Schedule O contains a response to any question in this Part VI

Section A. Governing Body and Management

	1a	1b	2	3	4	5	6	7a	7b	8a	8b	9	Yes	No
1a	10													
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.														
b		10												
2														x
3														x
4														x
5														x
6							x							
7a								x						
b									x					
8														
a										x				
b										x				
9														x

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	10a	10b	11a	11b	12a	12b	12c	13	14	15a	15b	16a	16b	Yes	No
10a															x
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?															
10b															
11a			x												
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.															
11b															
12a					x										
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?															
12b															x
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done															
12c							x								
13															x
14															x
15															
a The organization's CEO, Executive Director, or top management official															
15a										x					
b Other officers or key employees of the organization															
15b										x					
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).															
16a															x
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?															
16b															

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed XY
- 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
 Own website Another's website Upon request Other (explain in Schedule O)
- 19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
- 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization
 ROBERT A. MILLER, CFO - 502-637-9786

2911 SOUTH FOURTH STREET, LOUISVILLE, KY 40208

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
 - List all of the organization's current key employees, if any. See instructions for definition of "key employee."
 - List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
 - List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
 - List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.
- List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) VERNON C. RICKERT JR. CHAIR	1.00	X		X				0.	0.	0.
(2) SUZANNE GORMAN VICE CHAIR	1.00	X		X				0.	0.	0.
(3) DON MEADE SECRETARY	1.00	X		X				0.	0.	0.
(4) MARK KIRCHER TREASURER	1.00	X		X				0.	0.	0.
(5) SCOTT GREGORY BOARD MEMBER	1.00	X						0.	0.	0.
(6) JEFF POLSON BOARD MEMBER	1.00	X						0.	0.	0.
(7) J. MATTHEW STULL BOARD MEMBER	1.00	X						0.	0.	0.
(8) JACK COX BOARD MEMBER	1.00	X						0.	0.	0.
(9) ROBERT HELERINGER BOARD MEMBER	1.00	X						0.	0.	0.
(10) MARY JO MUGAVIN BOARD MEMBER	1.00	X						0.	0.	0.
(11) STEVEN E. BOGUS PRESIDENT/EXECUTIVE DIRECT	35.00			X				83,323.	0.	3,940.
(12) ROBERT MILLER CFO	35.00			X				60,023.	0.	10,639.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
1b Sub-total							143,346.	0.	14,579.	
c Total from continuation sheets to Part VII, Section A							0.	0.	0.	
d Total (add lines 1b and 1c)							143,346.	0.	14,579.	

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization 0

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual		X
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
NONE		

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization 0

Part VIII Statement of Revenue

Check if Schedule O contains a response to any question in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514	
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns	1a					
	b Membership dues	1b					
	c Fundraising events	1c	43,402.				
	d Related organizations	1d	784,752.				
	e Government grants (contributions)	1e	13,493,360.				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	2,441,005.				
	g Noncash contributions included in lines 1a-1f \$		462,885.				
	h Total. Add lines 1a-1f		16,762,519.				
Program Service Revenue	2 a <u>INTERPRETATION FEES</u>	Business Code 624100	1,080,269.	1,080,269.			
	b <u>DEVELOPMENT FEES (REIM</u>	900099	294,775.	294,775.			
	c <u>PROGRAM/ACTIVITY INCOM</u>	900099	1,685.	1,685.			
	d _____						
	e _____						
	f All other program service revenue						
	g Total. Add lines 2a-2f		1,376,729.				
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		45,850.			45,850.	
	4 Income from investment of tax-exempt bond proceeds						
	5 Royalties						
	6 a Gross rents	(j) Real	2,000.				
		(i) Personal	0.				
		c Rental income or (loss)	2,000.				
		d Net rental income or (loss)		2,000.			2,000.
	7 a Gross amount from sales of assets other than inventory	(i) Securities					
		(ii) Other					
		b Less. cost or other basis and sales expenses					
		d Net gain or (loss)					
	8 a Gross income from fundraising events (not including \$ 43,402. of contributions reported on line 1c) See Part IV, line 18	a	0.				
b Less. direct expenses		17,733.					
c Net income or (loss) from fundraising events			-17,733.			-17,733.	
9 a Gross income from gaming activities See Part IV, line 19	a						
	b Less: direct expenses						
	c Net income or (loss) from gaming activities						
10 a Gross sales of inventory, less returns and allowances	a						
	b Less: cost of goods sold						
	c Net income or (loss) from sales of inventory						
Miscellaneous Revenue		Business Code					
11 a <u>MISCELLANEOUS INCOME</u>	900099	88,146.			88,146.		
b _____							
c _____							
d All other revenue							
e Total. Add lines 11a-11d		88,146.					
12 Total revenue. See instructions.		18,257,511.	1,376,729.	0.	118,263.		

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A)

Check if Schedule O contains a response to any question in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	3,175,116.	3,175,116.		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22	9,538,914.	9,538,914.		
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	172,967.	80,789.	87,893.	4,285.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	2,662,559.	2,483,270.	45,212.	134,077.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	149,212.	134,927.	7,004.	7,281.
9 Other employee benefits	438,388.	396,417.	20,579.	21,392.
10 Payroll taxes	202,925.	183,497.	9,526.	9,902.
11 Fees for services (non-employees)				
a Management				
b Legal	13,983.		13,983.	
c Accounting	17,650.		17,650.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other (if line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	464,367.	407,649.	52,366.	4,352.
12 Advertising and promotion	7,561.	6,443.	1,049.	69.
13 Office expenses	210,667.	174,600.	29,539.	6,528.
14 Information technology				
15 Royalties				
16 Occupancy	118,557.	80,212.	38,345.	
17 Travel	137,715.	110,036.	24,487.	3,192.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	15,066.	12,038.	2,679.	349.
20 Interest	6,373.		6,373.	
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	80,114.		80,114.	
23 Insurance	10,649.	9,629.	500.	520.
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a CONTRACT INTERPRETERS	658,852.	658,852.		
b REPAIRS AND MAINTENANCE	168,100.	108,669.	59,431.	
c PROGRAM & ACTIVITY EXPE	126,438.	126,263.		175.
d MISCELLANEOUS EXPENSE	53,780.	16,455.	37,284.	41.
e All other expenses	77,245.	26,685.	49,866.	694.
25 Total functional expenses. Add lines 1 through 24e	18,507,198.	17,730,461.	583,880.	192,857.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here if following SOP 98-2 (ASC 958-720)

Part X Balance Sheet

Check if Schedule O contains a response to any question in this Part X

		(A) Beginning of year		(B) End of year	
Assets	1	Cash - non-interest-bearing	9,141.	1	9,041.
	2	Savings and temporary cash investments	103,256.	2	83,509.
	3	Pledges and grants receivable, net	1,430,352.	3	1,079,314.
	4	Accounts receivable, net		4	
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr) Complete Part II of Sch L		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use		8	
	9	Prepaid expenses and deferred charges	39,672.	9	50,921.
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	1,131,343.		
	10b	Less accumulated depreciation	377,025.		
			780,939.	10c	754,318.
	11	Investments - publicly traded securities		11	
	12	Investments - other securities See Part IV, line 11		12	
	13	Investments - program-related See Part IV, line 11		13	
	14	Intangible assets		14	
15	Other assets. See Part IV, line 11	878,699.	15	945,476.	
16	Total assets. Add lines 1 through 15 (must equal line 34)	3,242,059.	16	2,922,579.	
Liabilities	17	Accounts payable and accrued expenses	949,520.	17	859,364.
	18	Grants payable		18	
	19	Deferred revenue		19	
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability Complete Part IV of Schedule D		21	
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L		22	
	23	Secured mortgages and notes payable to unrelated third parties	42,269.	23	32,854.
	24	Unsecured notes and loans payable to unrelated third parties		24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	173,121.	25	136,123.
	26	Total liabilities. Add lines 17 through 25	1,164,910.	26	1,028,341.
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.				
	27	Unrestricted net assets	818,373.	27	829,078.
	28	Temporarily restricted net assets	844,556.	28	626,707.
	29	Permanently restricted net assets	414,220.	29	438,453.
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building, or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
33	Total net assets or fund balances	2,077,149.	33	1,894,238.	
34	Total liabilities and net assets/fund balances	3,242,059.	34	2,922,579.	

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	18,257,511.
2	Total expenses (must equal Part IX, column (A), line 25)	2	18,507,198.
3	Revenue less expenses Subtract line 2 from line 1	3	-249,687.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	2,077,149.
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	66,776.
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	1,894,238.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

	Yes	No
1 Accounting method used to prepare the Form 990. <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		x
b Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input checked="" type="checkbox"/> Both consolidated and separate basis	x	
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	x	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	x	
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	x	

SCHEDULE A
(Form 990 or 990-EZ)

Public Charity Status and Public Support

OMB No 1545-0047

2012

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

Name of the organization

CATHOLIC CHARITIES OF LOUISVILLE INC.

Employer identification number

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
- 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E)
- 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
- 4 A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state:
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II)
- 6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II)
- 8 A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 9 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III)
- 10 An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h:
 - a Type I
 - b Type II
 - c Type III - Functionally integrated
 - d Type III - Non-functionally integrated
- e By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
 - (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?
 - (ii) A family member of a person described in (i) above?
 - (iii) A 35% controlled entity of a person described in (i) or (ii) above?
- h Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
Total									

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2012

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	10,130,759.	11,360,456.	11,949,282.	13,179,017.	16,762,519.	63,382,033.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	10,130,759.	11,360,456.	11,949,282.	13,179,017.	16,762,519.	63,382,033.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						63,382,033.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
7 Amounts from line 4	10,130,759.	11,360,456.	11,949,282.	13,179,017.	16,762,519.	63,382,033.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	40,093.	37,918.	32,060.	41,655.	47,850.	199,576.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)	871.	23,891.	47,245.	32,237.	88,146.	192,390.
11 Total support. Add lines 7 through 10						63,773,999.
12 Gross receipts from related activities, etc. (see instructions)					12	5,292,326.
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

14 Public support percentage for 2012 (line 6, column (f) divided by line 11, column (f))	14	99.39 %
15 Public support percentage from 2011 Schedule A, Part II, line 14	15	99.34 %
16a 33 1/3% support test - 2012. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization <input checked="" type="checkbox"/>		
b 33 1/3% support test - 2011. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
17a 10% -facts-and-circumstances test - 2012. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
b 10% -facts-and-circumstances test - 2011. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions <input type="checkbox"/>		

Schedule A (Form 990 or 990-EZ) 2012

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here

Section C. Computation of Public Support Percentage

15 Public support percentage for 2012 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2011 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2012 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2011 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2012. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

b 33 1/3% support tests - 2011. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

ML

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 8, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2012
Open to Public Inspection

Name of the organization

CATHOLIC CHARITIES OF LOUISVILLE, INC.

Employer identification number

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		<input type="checkbox"/> Yes <input type="checkbox"/> No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

- Purpose(s) of conservation easements held by the organization (check all that apply)

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of an historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	
- Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d
- Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____
- Number of states where property subject to conservation easement is located ▶ _____
- Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? Yes No
- Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____
- Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____
- Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? Yes No
- In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

- If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items
- If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1	▶ \$ _____
(ii) Assets included in Form 990, Part X	▶ \$ _____
- If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1	▶ \$ _____
b Assets included in Form 990, Part X	▶ \$ _____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a Public exhibition
- b Scholarly research
- c Preservation for future generations
- d Loan or exchange programs
- e Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
1c Beginning balance	
1d Additions during the year	
1e Distributions during the year	
1f Ending balance	

2a Did the organization include an amount on Form 990, Part X, line 21? Yes No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	414,220.	430,357.	371,933.	353,047.	470,875.
b Contributions					
c Net investment earnings, gains, and losses	24,233.	-16,137.	58,424.	18,886.	-117,828.
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance	438,453.	414,220.	430,357.	371,933.	353,047.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment ▶ .00 %
- b Permanent endowment ▶ 100.00 %
- c Temporarily restricted endowment ▶ .00 %

The percentages in lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) unrelated organizations
- (ii) related organizations

	Yes	No
3a(i)	x	
3a(ii)		x
3b		

b If "Yes" to 3a(i), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		683,090.	79,401.	603,689.
d Equipment		176,048.	142,508.	33,540.
e Other		272,205.	155,116.	117,089.
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c))				754,318.



Part VII Investments - Other Securities. See Form 990, Part X, line 12

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation. Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.)		

Part VIII Investments - Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation. Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.)		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) BENEFICIAL INTEREST IN THIRD PARTY TRUST	945,476.
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)	
	945,476.

Part X Other Liabilities. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) ADVANCES PAYABLE, UNITED STATES CONFERENCE OF	
(3) CATHOLIC BISHOPS	111,500.
(4) RELATED PARTY PAYABLE	24,623.
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
(11)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)	
	136,123.

2. FIN 48 (ASC 740) Footnote. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements		1	18,342,020.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12			
a	Net unrealized gains on investments	2a	66,776.	
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII.)	2d	17,733.	
e	Add lines 2a through 2d	2e	84,509.	
3	Subtract line 2e from line 1	3	18,257,511.	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b	4c	0.	
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	18,257,511.	

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements		1	18,524,931.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII.)	2d	17,733.	
e	Add lines 2a through 2d	2e	17,733.	
3	Subtract line 2e from line 1	3	18,507,198.	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b	4c	0.	
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	18,507,198.	

Part XIII Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART X, LINE 2: THE CORPORATION IS EXEMPT FROM INCOME TAXES UNDER

SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE, HOWEVER, INCOME GENERATED

FROM ACTIVITIES UNRELATED TO THE CORPORATION'S EXEMPT PURPOSE IS SUBJECT

TO TAX UNDER IRC SECTION 511.

THE CORPORATION EVALUATES ALL LOCAL, STATE, AND FEDERAL INCOME TAX RETURNS

FOR POTENTIAL UNCERTAIN TAX POSITIONS TAKEN, MANAGEMENT HAS CONCLUDED

THERE ARE NO TAX POSITIONS ATTRIBUTED TO THE REPORTING ENTITY WHICH MEET

Part XIII Supplemental Information (continued)

THE MORE-LIKELY-THAN-NOT CRITERION IN THE ASC, ACCORDINGLY, THE
 ACCOMPANYING FINANCIAL STATEMENTS DO NOT INCLUDE A PROVISION FOR UNCERTAIN
 TAX POSITIONS, AND NO RELATED INTEREST OR PENALTIES HAVE BEEN RECORDED IN
 THE STATEMENTS OF ACTIVITIES OR ACCRUED IN THE STATEMENTS OF FINANCIAL
 POSITION. THE CORPORATION DOES NOT HAVE ANY ONGOING TAX EXAMINATIONS AND
 IS NOT AWARE OF ANY PENDING EXAMINATIONS BY TAX AUTHORITIES. THE
 CORPORATION IS NO LONGER SUBJECT TO FEDERAL AND STATE EXAMINATIONS BY TAX
 AUTHORITIES FOR THE CLOSED TAX YEARS BEFORE JUNE 30, 2010.

PART XI, LINE 2D - OTHER ADJUSTMENTS:

FUNDRAISING EXPENSE	17,733.
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PART XII, LINE 2D - OTHER ADJUSTMENTS:

FUNDRAISING EXPENSE	17,733.
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SCHEDULE G
(Form 990 or 990-EZ)

Supplemental Information Regarding Fundraising or Gaming Activities

OMB No 1545-0047

2012

Open To Public Inspection

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

Name of the organization

CATHOLIC CHARITIES OF LOUISVILLE, INC.

Employer identification number

Part I

Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a Mail solicitations
- b Internet and email solicitations
- c Phone solicitations
- d In-person solicitations
- e Solicitation of non-government grants
- f Solicitation of government grants
- g Special fundraising events

2 a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? Yes No

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
Total						

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events (add col (a) through col (c))
		REFUGEE CELEBRATION OF SPI (event type)	(event type)	NONE (total number)	
Revenue	1	Gross receipts	43,402.		43,402.
	2	Less Contributions	43,402.		43,402.
	3	Gross income (line 1 minus line 2)			
Direct Expenses	4	Cash prizes			
	5	Noncash prizes			
	6	Rent/facility costs			
	7	Food and beverages			
	8	Entertainment			
	9	Other direct expenses	17,733.		17,733.
	10	Direct expense summary. Add lines 4 through 9 in column (d)			(17,733)
	11	Net income summary. Combine line 3, column (d), and line 10			-17,733.

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col. (c))
Revenue	1	Gross revenue			
Direct Expenses	2	Cash prizes			
	3	Noncash prizes			
	4	Rent/facility costs			
	5	Other direct expenses			
	6	Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No
	7	Direct expense summary. Add lines 2 through 5 in column (d)			()
	8	Net gaming income summary. Combine line 1, column d, and line 7			

9 Enter the state(s) in which the organization operates gaming activities: _____
 a Is the organization licensed to operate gaming activities in each of these states? Yes No
 b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? Yes No
 b If "Yes," explain: _____

11 Does the organization operate gaming activities with nonmembers? Yes No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? Yes No

13 Indicate the percentage of gaming activity operated in:
a The organization's facility 13a %
b An outside facility 13b %

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records
Name
Address

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? Yes No

b If "Yes," enter the amount of gaming revenue received by the organization and the amount of gaming revenue retained by the third party

c If "Yes," enter name and address of the third party

Name
Address

16 Gaming manager information

Name

Gaming manager compensation \$

Description of services provided

Director/officer Employee Independent contractor

17 Mandatory distributions

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? Yes No

b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year \$

Part IV Supplemental Information. Complete this part to provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions)

**SCHEDULE I
(Form 990)**

Department of the Treasury
Internal Revenue Service

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**
Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

OMB No 1545-0047

2012

Open to Public
Inspection

Name of the organization: CATHOLIC CHARITIES OF LOUISVILLE, INC. Employer identification number: [REDACTED]

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AUDUBON AREA COMMUNITY SERVICES 1700 WEST 5TH STREET OWENSBORO, KY 42301	23-7364935	501(C)(3)	19,573	0			TARGETED ASSISTANCE TO REFUGEES
BOWLING GREEN CITY SCHOOLS 1211 CENTER STREET BOWLING GREEN, KY 42101	61-6001390	GOVERNMENT ENTITY	9,494	0			REFUGEE SCHOOL IMPACT GRANT
COMMUNITY ACTION OF SOUTHERN KENTUCKY - PO BOX 90014 - BOWLING GREEN, KY 42102	61-0660969	501(C)(3)	285,996	0			TARGETED ASSISTANCE TO REFUGEES
FAMILY HEALTH CENTERS 2215 PORTLAND AVENUE LOUISVILLE, KY 40212	61-0716483	501(C)(3)	8,044	0			REFUGEE PREVENTATIVE HEALTH
FAYETTE COUNTY PUBLIC SCHOOLS 701 E. MAIN ST. LEXINGTON, KY 40502	61-6001059	GOVERNMENT ENTITY	35,891	0			REFUGEE SCHOOL IMPACT PROGRAM
JEFFERSON COUNTY PUBLIC SCHOOLS PO BOX 34020 LOUISVILLE, KY 40232-4020	61-6001316	GOVERNMENT ENTITY	263,449	0			REFUGEE SCHOOL IMPACT GRANT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table: 16

3 Enter total number of other organizations listed in the line 1 table: 0

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990. SEE PART IV FOR COLUMN (H) DESCRIPTIONS

Schedule I (Form 990) CATHOLIC CHARITIES OF LOUISVILLE, INC.

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
JEWISH FAMILY & CAREER SERVICES 2821 KLEMPNER WAY LOUISVILLE, KY 40205	61-0444704	501(C)(3)	125,147.	0.			REFUGEE SERVICES
KENTUCKY REFUGEE MINISTRIES P.O. BOX 406739 LOUISVILLE, KY 40204	61-1229842	501(C)(3)	881,394.	0.			TO PROVIDE CASH AND MEDICAL ASSISTANCE, SOCIAL SERVICES TO ELDERLY AND TARGETED
OWENBORO SCHOOL DISTRICT 450 GRIFFITH AVENUE OWENBORO, KY 42301	61-6001339	GOVERNMENT ENTIT	15,600.	0.			REFUGEE SCHOOL IMPACT GRANT
OWENBORO TECHNICAL COLLEGE 300 NORTH MAIN STREET VERSAILLES, KY 40383	61-1320380	501(C)(3)	5,083.	0.			TARGETED ASSISTANCE TO REFUGEES
SEVEN COUNTIES SERVICES INC. 914 E. BROADWAY LOUISVILLE, KY 40204	31-0939757	501(C)(3)	10,778.	0.			REFUGEE SERVICES
SOUTHCENTRAL COMMUNITY AND TECHNICAL COLLEGE - 300 NORTH MAIN STREET - VERSAILLES, KY 40383	61-1320380	501(C)(3)	106,793.	0.			TARGETED ASSISTANCE TO REFUGEES
UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATION - CONTROLLER'S OFFICE - SERVICE COMPLEX - LOUISVILLE, KY 40292	61-1029626	501(C)(3)	84,710.	0.			REFUGEE PREVENTATIVE HEALTH
WARREN COUNTY BOARD OF EDUCATION P.O. BOX 51810 BOWLING GREEN, KY 42103	61-6001247	GOVERNMENT ENTIT	49,310.	0.			REFUGEE SCHOOL IMPACT GRANT
WESTERN KY REFUGEE - MAA 806 KENTON STREET BOWLING GREEN, KY 42101	61-0994341	501(C)(3)	303,342.	0.			CASH AND MEDICAL ASSISTANCE, SOCIAL SERVICES TO ELDERLY AND TARGETED ASSISTANCE TO

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WESTERN KY UNIVERSITY RESEARCH FOUNDATION, INC. - 2413 NASHVILLE ROAD, SUITE B8 - BOWLING GREEN, KY 42101-1016	61-1358086	501(C)(13)	50,026.	0.			TARGETED ASSISTANCE TO REFUGEES

Part III Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
CASH, MEDICAL INSURANCE PREMIUMS, UTILITY PAYMENTS, RENT ASSISTANCE, GIFT CARDS, BUS PASSES, HOUSEWARES, FURNITURE AND MEDICAL ASSISTANCE	2100	9,538,914.	0.		

Part IV Supplemental Information. Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

SCHEDULE I, PART I, LINE 2: THE ORGANIZATION ADHERES TO THE SUBRECIPIENT AND CONTRACTOR MONITORING REQUIREMENTS AND REGULATIONS STIPULATED IN ITS GRANT AGREEMENTS WITH THE FEDERAL AWARDING AGENCIES.

PART II, LINE 1, COLUMN (H):

NAME OF ORGANIZATION OR GOVERNMENT: KENTUCKY REFUGEE MINISTRIES

(H) PURPOSE OF GRANT OR ASSISTANCE: TO PROVIDE CASH AND MEDICAL ASSISTANCE, SOCIAL SERVICES TO ELDERLY AND TARGETED ASSISTANCE TO REFUGEES.

Part IV Supplemental Information

NAME OF ORGANIZATION OR GOVERNMENT: WESTERN KY REFUGEE - MAA

(H) PURPOSE OF GRANT OR ASSISTANCE: CASH AND MEDICAL ASSISTANCE, SOCIAL

SERVICES TO ELDERLY AND TARGETED ASSISTANCE TO REFUGEES.

**SCHEDULE M
(Form 990)**

Noncash Contributions

OMB No 1545-0047

2012

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.

Name of the organization: **CATHOLIC CHARITIES OF LOUISVILLE, INC.**
Employer identification number: **[REDACTED]**

Part I	Types of Property	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1	Art - Works of art				
2	Art - Historical treasures				
3	Art - Fractional interests				
4	Books and publications				
5	Clothing and household goods	X		362,147.	DONOR VALUATION
6	Cars and other vehicles	X	8	15,572.	DONOR VALUATION
7	Boats and planes				
8	Intellectual property				
9	Securities - Publicly traded				
10	Securities - Closely held stock				
11	Securities - Partnership, LLC, or trust interests				
12	Securities - Miscellaneous				
13	Qualified conservation contribution - Historic structures				
14	Qualified conservation contribution - Other				
15	Real estate - Residential				
16	Real estate - Commercial				
17	Real estate - Other				
18	Collectibles				
19	Food inventory	X	151	85,166.	DONOR VALUATION
20	Drugs and medical supplies				
21	Taxidermy				
22	Historical artifacts				
23	Scientific specimens				
24	Archeological artifacts				
25	Other ▶ (_____)				
26	Other ▶ (_____)				
27	Other ▶ (_____)				
28	Other ▶ (_____)				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement
29 0

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? b If "Yes," describe the arrangement in Part II		X
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?		X
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? b If "Yes," describe in Part II		X
33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990. Schedule M (Form 990) (2012)

Part II

Supplemental Information. Complete this part to provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

2012

Open to Public
Inspection

Name of the organization

CATHOLIC CHARITIES OF LOUISVILLE, INC.

Employer identification number

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

ENTIRE CHURCH AND OTHER PEOPLE OF GOOD WILL TO DO THE SAME.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

THE SAME.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

LOCAL CHARITABLE AND SOCIAL SERVICES: ELDERCARE SERVICES, ADOPTION AND

WOMEN'S SERVICES, PARISH SOCIAL AND MINISTRY AND OTHER SERVICES TO THE

POOR AND VULNERABLE.

EXPENSES \$ 400,943, INCLUDING GRANTS OF \$ 0, REVENUE \$ 296,460.

FORM 990, PART VI, SECTION A, LINE 6: THE ORGANIZATION HAS ONE MEMBER.

FORM 990, PART VI, SECTION A, LINE 7A: THE ORGANIZATION HAS ONE MEMBER WHO

MAY ELECT ONE OR MORE MEMBERS OF THE GOVERNING BODY.

FORM 990, PART VI, SECTION A, LINE 7B: DECISIONS OF THE GOVERNING BODY ARE

SUBJECT TO APPROVAL BY THE MEMBERS OF THE ORGANIZATION.

FORM 990, PART VI, SECTION B, LINE 11: A COPY OF FORM 990 IS PROVIDED TO

THE GOVERNING BODY BEFORE IT IS FILED. A SEPARATE REVIEW OF FORM 990 IS

NOT CONDUCTED BY THE GOVERNING BODY. THE ORGANIZATION'S CHIEF FINANCIAL

OFFICER REVIEWS THE FORM 990 AFTER IT IS PREPARED BY THEIR ACCOUNTING FIRM,

THE FINANCE COMMITTEE ALSO REVIEWS.

Name of the organization

CATHOLIC CHARITIES OF LOUISVILLE INC.

Employer identification number

FORM 990, PART VI, SECTION B, LINE 12C: THE ORGANIZATION REGULARLY AND

CONSISTENTLY MONITORS AND ENFORCES COMPLIANCE WITH THE ORGANIZATION'S

CONFLICT OF INTEREST POLICY, WHICH IS DONE IN CONNECTION WITH EMPLOYMENT

AND CONTRACTING DECISIONS AND ANY ISSUES ARE ROUTINELY REVIEWED BY

MANAGEMENT.

FORM 990, PART VI, SECTION B, LINE 15: THERE ARE ESTABLISHED SALARY GRADE

RANGES FOR ALL POSITIONS IN THE AGENCY WHICH ARE BENCHMARKED TO

ARCHDIOCESAN SCHEDULES AND TO NATIONAL AND REGIONAL DATA COMPILED BY

CATHOLIC CHARITIES USA.

FORM 990, PART VI, SECTION C, LINE 19: THE ORGANIZATION MAKES ITS

GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY AND FINANCIAL STATEMENTS

AVAILABLE TO THE PUBLIC UPON REQUEST.

FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:

INCREASE IN BENEFICIAL INTEREST IN THIRD-PARTY TRUSTS 66,776.

FORM 990, PART XII, LINE 2C:

THE PROCESS HAS NOT CHANGED FROM PRIOR YEAR. THE ORGANIZATION'S

GOVERNING BODY AND FINANCE COMMITTEE ASSUMES RESPONSIBILITY FOR THE

OVERSIGHT OF THE AUDIT AS IN PRIOR YEARS.

SCHEDULE R
(Form 990)
Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

► Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
► Attach to Form 990. ► See separate instructions.

OMB No. 1545-0047
2012
Open to Public Inspection

Name of the organization

Employer identification number

CATHOLIC CHARITIES OF LOUISVILLE, INC.

Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" to Form 990, Part IV, line 33)

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
ROMAN CATHOLIC BISHOP OF LOUISVILLE AKA ARCHDIOCESE OF LOUISVILLE - 61-04446, 212 E COLLEGE ST., LOUISVILLE, KY 40201	RELIGIOUS ORGANIZATION	KENTUCKY	501(C)(13)	LINE 1	NA		X

For Paperwork Reduction Act Notice, see the Instructions for Form 990. Schedule R (Form 990) 2012

Part III Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

Table with columns (a) through (k): Name, address, and EIN of related organization; Primary activity; Legal domicile; Direct controlling entity; Predominant income; Share of total income; Share of total assets; Disproportionate allocations; Code V-UBI amount; General or managing partner?; Percentage ownership.

Part IV Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

Table with columns (a) through (j): Name, address, and EIN of related organization; Primary activity; Legal domicile; Direct controlling entity; Type of entity; Share of total income; Share of total assets; Share of end-of-year assets; Percentage ownership; Section 512(b)(13) controlled entity?.

Part V Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35b, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

- a Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity
- b Gift, grant, or capital contribution to related organization(s)
- c Gift, grant, or capital contribution from related organization(s)
- d Loans or loan guarantees to or for related organization(s)
- e Loans or loan guarantees by related organization(s)

f Dividends from related organization(s)

g Sale of assets to related organization(s)

h Purchase of assets from related organization(s)

i Exchange of assets with related organization(s)

j Lease of facilities, equipment, or other assets to related organization(s)

k Lease of facilities, equipment, or other assets from related organization(s)

l Performance of services or membership or fundraising solicitations for related organization(s)

m Performance of services or membership or fundraising solicitations by related organization(s)

n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)

o Sharing of paid employees with related organization(s)

p Reimbursement paid to related organization(s) for expenses

q Reimbursement paid by related organization(s) for expenses

r Other transfer of cash or property to related organization(s)

s Other transfer of cash or property from related organization(s)

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

	(a) Name of other organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				

	Yes	No
1a		X
1b		X
1c	X	
1d		X
1e	X	
1f		X
1g		X
1h		X
1i		X
1j		X
1k	X	
1l		X
1m		X
1n		X
1o		X
1p		X
1q		X
1r		X
1s		X

Schedule R (Form 990) 2012 CATHOLIC CHARITIES OF LOUISVILLE, INC.

Part VI Unrelated Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 37)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under section 512-514)	(e) Are all partners sec 501(c)(3) orgs?		(f) Share of total income	(g) Share of end-of-year assets	(h) Dispropor- tionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	



Part VII Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions)

Lined area for supplemental information.

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print	Name of exempt organization or other filer, see instructions. CATHOLIC CHARITIES OF LOUISVILLE, INC.	Employer identification number (EIN) or
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions 2911 SOUTH FOURTH STREET	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions LOUISVILLE, KY 40208-1303	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 1

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

ROBERT A. MILLER, CFO

- The books are in the care of ▶ 2911 SOUTH FOURTH STREET - LOUISVILLE, KY 40208
 Telephone No. ▶ 502-637-9786 FAX No ▶
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until FEBRUARY 15, 2014, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
 ▶ calendar year _____ or
 ▶ tax year beginning JUL 1, 2012, and ending JUN 30, 2013

2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return
 Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form 8868 (Rev. 1-2013)

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions CATHOLIC CHARITIES OF LOUISVILLE, INC.	Employer identification number (EIN) or [REDACTED]
	Number, street, and room or suite no. If a P.O. box, see instructions 2911 SOUTH FOURTH STREET	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. LOUISVILLE, KY 40208-1303	

Enter the Return code for the return that this application is for (file a separate application for each return)

0	1
---	---

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

ROBERT A. MILLER, CFO

• The books are in the care of 2911 SOUTH FOURTH STREET - LOUISVILLE, KY 40208

Telephone No 502-637-9786

FAX No.

• If the organization does not have an office or place of business in the United States, check this box

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for _____

4 I request an additional 3-month extension of time until MAY 15, 2014

5 For calendar year _____, or other tax year beginning JUL 1, 2012, and ending JUN 30, 2013

6 If the tax year entered in line 5 is for less than 12 months, check reason. Initial return Final return

Change in accounting period

7 State in detail why you need the extension _____

ADDITIONAL TIME IS NEEDED TO GATHER INFORMATION TO FILE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	0.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature

Title CPA

Date

Form 8868 (Rev. 1-2013)

ARTICLES OF INCORPORATION

OF

CATHOLIC CHARITIES OF LOUISVILLE, INC.

The undersigned, desiring to form a corporation not for profit under the non-profit corporation law of Kentucky, does hereby certify:

FIRST: The name of the corporation is Catholic Charities of Louisville, Inc.

SECOND: The place in Kentucky where the principal office of the corporation is located and its mailing address is 2911 South Fourth Street, Louisville, Kentucky 40208.

THIRD: In carrying out its purposes as set forth in these Articles of Incorporation the corporation affirms that it is incorporated as a Roman Catholic charitable organization, in accord with and subject to the traditions, teachings and Canon Law of the Roman Catholic Church. Subject thereto, the purpose or purposes for which the corporation is formed are to carry on, directly or indirectly, any religious, charitable, benevolent or educational activities, including but not limited to, the following:

a) To embrace and uphold the moral, ethical and religious doctrines and teachings of the Roman Catholic Church; to assure that the needs of all clients are serviced within the context of the Church's doctrine that all persons have an inherent dignity by virtue of their creation by God; to uniformly apply this responsibility to all clients of the agency regardless of their individual theological orientation;

b) To engage in the delivery of services of every kind for child caring and child placing institutions for the Commonwealth of Kentucky;

c) To provide housing and support for all peoples regardless of race, color, national origin, creed, sex, age or physical or mental handicap;

d) To perform, foster and support acts of Christian charity, including spiritual and corporal works of mercy, particularly among the needy, sick, ailing, aged and infirm and to house and care for the needy and unprotected regardless of color, race, national origin, creed, sex, age or physical or mental handicap;

e) To contract with any person for the performance of charitable functions set out above;

f) To distribute, contribute or otherwise transfer money and other property to organizations that qualify as exempt organizations under Section 501(c)(3) of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law);

g) In order to promote and achieve the foregoing purposes, the corporation may acquire by devise, gift, grant, purchase or otherwise, and hold for investment or in trust, sell, lease, mortgage, pledge or dispose of, real or personal property, money, businesses, stocks, bonds, or other securities or evidences of indebtedness created and issued by any individual, firm, or corporation, all in accordance with the laws of the Commonwealth of Kentucky.

FOURTH: The corporation's sole member shall be the Roman Catholic Bishop of Louisville, a corporation sole, who is presently Thomas C. Kelly, O.P., 212 East College Street, Louisville, Kentucky 40202.

FIFTH: No part of the net earnings of the corporation shall inure to the benefit of, or be distributable to its member, directors, officers or other private persons, except that the corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article Third hereof. No substantial part of the activities of the corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation; and the corporation shall not participate in, or intervene in (including the publishing or distribution of statements) any political campaign on behalf of any candidate for public office. Notwithstanding any other provision of these Articles, the corporation shall not carry on any other activities not permitted to be carried on (a) by a corporation exempt from Federal income tax under Section 501(c)(3) of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law).

SIXTH: The corporation shall not be voluntarily dissolved, merged, consolidated or a bulk transfer of the assets transferred or encumbered without the approval of the Member. Any merger, consolidation; or bulk transfer of assets of this corporation shall only be to or with an organization exempt under Section 501(c)(3) of the Internal Revenue Code of 1986 as amended, for the continuation of charitable works and purposes, all in accordance with the Federal laws and in accordance with all pertinent laws and statutes of the Commonwealth of Kentucky. Any merger,

consolidation, or bulk transfer, voluntary or involuntary dissolution shall also be in accordance with the Canon Law of the Roman Catholic Church. Upon the voluntary or involuntary dissolution of this corporation, all assets remaining after satisfaction of debts and obligations shall be delivered to the Roman Catholic Bishop of Louisville, a corporation sole, and all assets in excess of that amount shall be delivered to the Member provided that the recipient then qualifies as an exempt organization under Section 501(c)(3) of the Internal Revenue Code (or the corresponding provision of any future United States Internal Revenue Law), or if it does not then qualify, to such other organization or organizations organized and operated exclusively for charitable, educational, religious or scientific purposes as shall at the time qualify as an exempt organization or organizations under Section 501(c)(3) of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law), as the Member of the corporation shall determine in accordance with and subject to the Canon Law of the Roman Catholic Church and in accordance with all the federal laws and in accordance with pertinent laws and statutes of the Commonwealth of Kentucky. Any such assets not so disposed of shall be disposed of by the Circuit Court of the county in which the principal office of the corporation is then located to such organization or organizations, as such Court shall determine, which are organized and operated exclusively for charitable, educational, religious or scientific purposes as shall at the time qualify as an exempt organization or organizations under Section 501(c)(3) of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law).

SEVENTH: The names and addresses of the persons who are the initial directors of the corporation are as follows:

Michael Abell
113 East Stephen Foster Avenue
Bardstown, Kentucky 40004

Fred Banks
336 East Kenwood Drive
Louisville, Kentucky 40214

Rev. Kevin Bryan
St. Francis of Assisi
St. Francis, Kentucky 40062

Ronald Crouch
Kentucky State Data Center
College of Urban and Public Affairs
University of Louisville
Louisville, Kentucky 40292

Rev. James Hackett
 St. Leonard Church
 440 Zorn Avenue
 Louisville, Kentucky 40206.

Lisa J. Haydon
 319 West High Street
 Springfield, Kentucky 40069

Dr. Mary Ann Catherine Henry
 210 East Grey Street, Suite 1100
 Louisville, Kentucky 40202

Rev. William Medley
 3112 West Broadway
 Louisville, Kentucky 40211

Michael O'Connell
 Landrum & Shouse
 220 West Main Street, 19th Floor
 Louisville, Kentucky 40202

Dr. Mary Ella Robertson
 800 South Fourth Street, Apt. #1106
 Louisville, Kentucky 40203

Daniel A. Schneider
 Jefferson District Court
 Hall of Justice
 600 West Jefferson Street
 Louisville, Kentucky 40202

Jo Anne Sherman
 Queen's Daughters
 707 Colonel Anderson Parkway
 Louisville, Kentucky 40222

Dr. Jane Thibault
 4825 Southern Parkway
 Louisville, Kentucky 40214

EIGHTH: Pursuant to KRS 273.248, the directors of the corporation shall not be liable for breach of duties as a director, provided that such provision shall not eliminate or limit the liability of a director for:

(a) Any transaction in which the director's personal financial interest is in conflict with the financial interests of the corporation;

(b) Acts or omissions not in good faith or which involve intentional misconduct, or are known to the director to be a

violation of law;

(c) Any transaction from which the director derived an improper personal benefit.

NINTH: The corporation's initial registered office is located at 212 East College Street, Louisville, Kentucky 40203, and Thomas C. Kelly, O.P., The Roman Catholic Bishop of Louisville, a corporation sole, is the initial registered agent.

IN WITNESS WHEREOF, the undersigned incorporator has executed these Articles of Incorporation this 18th day of August, 1992.

Thomas C Kelly
THOMAS C. KELLY, O.P., THE ROMAN CATHOLIC BISHOP OF LOUISVILLE, A CORPORATION SOLE

STATE OF KENTUCKY)
)SS
COUNTY OF JEFFERSON)

Personally came before me this 18 day of August, 1992, the above-named THOMAS C. KELLY, O.P., THE ROMAN CATHOLIC BISHOP OF LOUISVILLE, a corporation sole, to be known to me to be the person who executed the foregoing instrument and who acknowledged same to be his free act and deed.

My Commission Expires: June 26, 1996.

Thomas J. [Signature]
NOTARY PUBLIC, STATE-AT-LARGE, KENTUCKY

THIS INSTRUMENT PREPARED BY:

[Signature]
P. KEVIN FORD
FORD, KLAPHEKE & MEYER
Attorneys at Law
900 Kentucky Home Life Building
Louisville, Kentucky 40202
(502) 584-2134

116287
Document No: 1992116287
Lodged By: FORD
Recorded On: Sep 23, 1992 12:45:01 P.M.
Total Fees: \$A.50
County Clerk: Rebecca Jackson
Deputy Clerk: GORDON

END OF DOCUMENT

2

This is to certify that Catholic Charities of Louisville, Inc.
was incorporated through the state of Kentucky on
August 18, 1992.

Timothy A. Hogan
(Rev.) Timothy A. Hogan

4-28-93
(Date)

Request for Taxpayer Identification Number and Certification

**Give Form to the
requester. Do not
send to the IRS.**

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.
Catholic Charities of Louisville, Inc

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification; check only one of the following seven boxes:
 Individual/sole proprietor or single-member LLC
 C Corporation
 S Corporation
 Partnership
 Trust/estate
 Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____
 Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.
 Other (see instructions) ▶ _____

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
 Exempt payee code (if any) _____
 Exemption from FATCA reporting code (if any) _____
(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.)
2911 South Fourth Street

6 City, state, and ZIP code
Louisville, KY 40208

7 List account number(s) here (optional)

Requester's name and address (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I Instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number				
<table border="1" style="width: 100%; height: 20px;"> <tr> <td style="width: 25%;"></td> <td style="width: 25%;"></td> <td style="width: 25%;"></td> <td style="width: 25%;"></td> </tr> </table>				
or				
Employer identification number				
<table border="1" style="width: 100%; height: 20px;"> <tr> <td style="width: 25%;"></td> <td style="width: 25%;"></td> <td style="width: 25%;"></td> <td style="width: 25%;"></td> </tr> </table>				

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here Signature of U.S. person ▶ *Steven E. Boguo* Date ▶ *2/3/2015*

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at www.irs.gov/fw9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- Form 1088 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.

By signing the filled-out form, you:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
- Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.

**Louisville Metro Human Trafficking Summit
Evaluation Survey**

Location: Frazier Museum, Louisville, KY

Date: 10/20/15

- 5 Strongly Agree
- 4 Agree
- 3 No opinion
- 2 Disagree
- 1 Strongly Disagree

The information presented was helpful for me in my work.	1 2 3 4 5
I feel more knowledgeable about human trafficking issues.	1 2 3 4 5
I was able to engage with other participants during this event.	1 2 3 4 5
The presentation information was interesting.	1 2 3 4 5
The presentation information was informative.	1 2 3 4 5
I decided on action steps that I will take to engage in combatting human trafficking.	1 2 3 4 5

What was/were the most interesting/informative presentation(s) for you?

What individuals/organizations were you able to engage with during the Summit ?

Would you recommend having an annual Summit on Human Trafficking? What would be important to include in a 2nd summit?

What specific actions will you take now, to engage in combatting human trafficking and modern slavery?

Additional Comments: _____

Please check which is most appropriate for your professional background:

law enforcement social services healthcare faith-based

government (which level of government: _____)

other: _____

Catholic Charities of Louisville, Inc.
Financial Statements
Years Ended June 30, 2014 and 2013

Catholic Charities of Louisville, Inc.

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June 30, 2014 and 2013

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Independent Auditor's Report

To Archbishop Joseph E. Kurtz, D.D.
and Finance Council
Board of Directors
Catholic Charities of Louisville, Inc.

Report on the Financial Statements

We have audited the accompanying financial statements of Catholic Charities of Louisville, Inc. (a nonprofit organization) (the "Corporation"), which comprise the statements of financial position as of June 30, 2014 and 2013, and the related statements of activities, functional expenses and cash flows for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Kentucky
Indiana
Ohio

Mountjoy Chilton Medley LLP

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Independent Auditor's Report (Continued)

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Corporation as of June 30, 2014 and 2013, and the changes in its net assets and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

Other Matters

Other Information

Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying schedule of expenditures of federal awards, as required by Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, is presented for purposes of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated, in all material respects, in relation to the financial statements as a whole.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated November 17, 2014, on our consideration of the Corporation's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Corporation's internal control over financial reporting and compliance.



Jeffersonville, Indiana
November 17, 2014

Catholic Charities of Louisville, Inc.
Statements of Financial Position
June 30, 2014 and 2013

	2014	2013
Assets		
Assets		
Cash and cash equivalents	\$ 825	\$ 85,821
Certificates of deposit	=	6,729
Grants and other receivables, net	1,395,698	1,079,314
Prepaid expenses	28,529	50,921
Beneficial interest in third-party trusts	1,045,696	945,476
Property and equipment, net	806,784	754,318
Total Assets	\$ 3,277,532	\$ 2,922,579
Liabilities and Net Assets		
Liabilities		
Accounts payable and accrued expenses	\$ 954,109	\$ 859,364
Advances payable, United States Conference of Catholic Bishops	111,500	111,500
Loans payable, Archdiocese of Louisville	2,313	24,623
Notes payable	22,517	32,854
Total Liabilities	1,090,439	1,028,341
Net Assets		
Unrestricted net assets		
Invested in property and equipment, net of related debt	781,954	721,464
Expendable net assets	205,976	107,614
Total Unrestricted Net Assets	987,930	829,078
Temporarily restricted	708,008	626,707
Permanently restricted	491,155	438,453
Total Net Assets	2,187,093	1,894,238
Total Liabilities and Net Assets	\$ 3,277,532	\$ 2,922,579

See accompanying notes.

Catholic Charities of Louisville, Inc.
Statements of Activities
Year Ended June 30, 2014

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
Operating Revenues and Support				
Grants:				
Refugee program grants	\$ 13,636,914	\$ -	\$ -	\$ 13,636,914
Other grants	185,521	118,997	-	304,518
Total Grants	13,822,435	118,997	-	13,941,432
Donations and bequests	409,171	87,171	-	496,342
Contributions from Archdiocese of Louisville	808,299	-	-	808,299
Program fee income	1,304,297	-	-	1,304,297
Development fees	102,671	-	-	102,671
Miscellaneous income	221,414	48	-	221,462
Net assets released from restrictions	193,751	(193,751)	-	-
Total Operating Revenues and Support	16,862,038	12,465	-	16,874,503
Operating Expenses				
Program Services:				
Refugee programs	13,295,766	-	-	13,295,766
Language and immigration legal services	1,209,769	-	-	1,209,769
Case management services	1,326,221	-	-	1,326,221
Catholic identity and external relations	234,074	-	-	234,074
Total Program Services	16,065,830	-	-	16,065,830
Development and fundraising expense	191,535	-	-	191,535
Administrative and other support services	473,590	-	-	473,590
Total Operating Expenses	16,730,955	-	-	16,730,955
Increase from Operations	131,083	12,465	-	143,548
Other Changes in Net Assets				
Investment income	27,769	21,317	-	49,086
Increase in beneficial interest in third-party trusts	-	47,519	52,702	100,221
Increase in Net Assets	158,852	81,301	52,702	292,855
Net Assets, Beginning of Year	829,078	626,707	438,453	1,894,238
Net Assets, End of Year	\$ 987,930	\$ 708,008	\$ 491,155	\$ 2,187,093

See accompanying notes.

Catholic Charities of Louisville, Inc.
Statements of Activities (Continued)
Year Ended June 30, 2013

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
Operating Revenues and Support				
Grants:				
Refugee program grants	\$ 15,061,292	\$ -	\$ -	\$15,061,292
Other grants	338,804	85,980	-	424,784
Total Grants	15,400,096	85,980	-	15,486,076
Donations and bequests	424,191	67,768	-	491,959
Contributions from Archdiocese of Louisville	784,752	-	-	784,752
Program fee income	1,080,269	-	-	1,080,269
Development fees	294,775	-	-	294,775
Miscellaneous income	91,448	115	-	91,563
Net assets released from restrictions	434,588	(434,588)	-	-
Total Operating Revenues and Support	18,510,119	(280,725)	-	18,229,394
Operating Expenses				
Program Services:				
Refugee programs	14,950,706	-	-	14,950,706
Language and immigration legal services	1,079,860	-	-	1,079,860
Case management services	1,056,675	-	-	1,056,675
Catholic identity and external relations	400,943	-	-	400,943
Total Program Services	17,488,184	-	-	17,488,184
Development and fundraising	192,857	-	-	192,857
Administrative and other support services	843,890	-	-	843,890
Total Operating Expenses	18,524,931	-	-	18,524,931
Decrease from Operations	(14,812)	(280,725)	-	(295,537)
Other Changes in Net Assets				
Investment income	25,517	20,333	-	45,850
Increase in beneficial interest in third-party trusts	-	42,543	24,233	66,776
Increase (Decrease) in Net Assets	10,705	(217,849)	24,233	(182,911)
Net Assets, Beginning of Year	818,373	844,556	414,220	2,077,149
Net Assets, End of Year	\$ 829,078	\$ 626,707	\$ 438,453	\$ 1,894,238

See accompanying notes.

Catholic Charities of Louisville, Inc.
Statements of Functional Expenses
Year Ended June 30, 2014

	Program Services							Total
	Refugee Programs	Language and Immigration Legal Services	Case Management Services	Catholic Identity and External Relations	Development and Fundraising	Administration and Other Support Services	Total	
		\$	\$	\$	\$	\$		
Salaries and fringe benefits	\$ 2,130,510	\$ 491,091	\$ 824,065	\$ 207,465	\$ 177,826	\$ 49,700	\$ 3,880,657	
Refugee medical assistance	2,882,272	-	-	-	-	-	2,882,272	
Direct cash assistance	4,079,893	-	301,095	-	-	523	4,381,511	
Grants to sub-recipients	3,437,915	-	-	-	-	-	3,437,915	
Contract interpreters	112,644	563,494	599	-	-	60	676,797	
Professional fees and services	194,282	49,333	12,069	6,839	3,585	66,959	333,067	
Program and activity expense	48,478	45,760	29,194	1,483	-	4,325	129,240	
Rent expense	10,800	-	-	-	-	-	10,800	
Employee training and travel	47,069	14,159	12,987	7,359	5,985	24,210	111,769	
Office supplies and services	92,262	21,672	32,275	3,515	2,381	20,412	172,517	
Utilities	32,046	-	6,573	-	-	52,814	91,433	
Telecommunications	17,165	3,026	7,821	207	-	19,828	48,047	
Plant and grounds expense	105,005	-	37,241	-	-	105,414	247,660	
Property and liability insurance	-	11,685	3,036	-	-	13,293	28,014	
Auto expense	87,826	941	32,194	6,815	1,664	11,028	140,468	
Depreciation expense	-	-	-	-	-	86,035	86,035	
Interest expense	-	-	-	-	-	3,487	3,487	
Miscellaneous expense	17,599	8,608	27,072	391	94	15,502	69,266	
Total Functional Expenses	\$ 13,295,766	\$ 1,209,769	\$ 1,326,221	\$ 234,074	\$ 191,535	\$ 473,590	\$ 16,730,955	

See accompanying notes.

Catholic Charities of Louisville, Inc.
Statements of Functional Expenses (Continued)
Year Ended June 30, 2013

	Program Services							Total	
	Refugee Programs	Language and Immigration Services		Case Management Services	Catholic Identity and External Relations		Development and Fundraising		Administration and Other Support Services
		Legal Services	-	-	-	-			
Salaries and fringe benefits	\$ 1,954,520	\$ 395,192	\$ 748,812	\$ 190,004	\$ 170,713	\$ 3,636,697		\$ 3,636,697	
Refugee medical assistance	4,798,675	-	-	-	-	4,798,675		4,798,675	
Direct cash assistance	4,294,208	-	186,021	-	260,010	4,740,239		4,740,239	
Grants to sub-recipients	3,175,116	-	-	-	-	3,175,116		3,175,116	
Contract interpreters	117,668	540,336	848	-	-	658,852		658,852	
Professional fees and services	182,770	29,774	8,637	193,961	4,432	504,793		504,793	
Program and activity expense	60,240	59,417	23,686	653	175	144,171		144,171	
Rent expense	30,185	-	-	-	-	30,185		30,185	
Employee training and travel	100,313	9,634	23,802	11,897	4,225	182,282		182,282	
Office supplies and services	99,098	18,498	16,691	3,915	6,528	156,865		156,865	
Utilities	23,629	-	6,610	-	-	54,044		54,044	
Telecommunications	23,244	3,977	8,755	422	-	53,802		53,802	
Plant and grounds expense	81,689	-	26,980	-	-	168,100		168,100	
Property and liability insurance	-	15,985	3,804	-	-	34,328		34,328	
Auto expense	958	-	1,106	-	-	39,866		39,866	
Depreciation expense	-	-	-	-	-	80,114		80,114	
Interest expense	-	-	-	-	-	6,373		6,373	
Miscellaneous expense	8,393	7,047	923	91	41	60,429		60,429	
Total Functional Expenses	\$ 14,950,706	\$ 1,079,860	\$ 1,056,675	\$ 400,943	\$ 192,857	\$ 18,524,931		\$ 18,524,931	

See accompanying notes.

Catholic Charities of Louisville, Inc.
Statements of Cash Flows
Years Ended June 30, 2014 and 2013

	<u>2014</u>	<u>2013</u>
Cash Flows from Operating Activities		
Cash received from contributions and grants	\$ 14,800,037	\$ 17,474,948
Cash received for services	1,480,783	1,096,619
Interest and dividends	49,086	45,850
Cash paid to suppliers, employees, and others	(5,848,481)	(5,715,010)
Grants paid	<u>(10,402,002)</u>	<u>(12,830,944)</u>
Net Cash Provided by Operating Activities	79,423	71,463
Cash Flows from Investing Activities		
Acquisition of property and equipment	(138,501)	(44,897)
Redemption (Purchase) of certificates of deposit, net	<u>6,729</u>	<u>(39)</u>
Net Cash Used by Investing Activities	(131,772)	(44,936)
Cash Flows from Financing Activities		
Payments of principal on loans from Archdiocese of Louisville	(22,310)	(36,998)
Payments of principal on long-term debt	<u>(10,337)</u>	<u>(9,415)</u>
Net Cash Used by Financing Activities	<u>(32,647)</u>	<u>(46,413)</u>
Decrease in Cash	(84,996)	(19,886)
Cash, Beginning of Year	<u>85,821</u>	<u>105,707</u>
Cash, End of Year	<u>\$ 825</u>	<u>\$ 85,821</u>

See accompanying notes.

Catholic Charities of Louisville, Inc.
Statements of Cash Flows (Continued)
Years Ended June 30, 2014 and 2013

	<u>2014</u>	<u>2013</u>
Reconciliation of Change in Net Assets to Net Cash		
Provided by Operating Activities		
Change in Net Assets	\$ 292,855	\$ (182,911)
Adjustments to reconcile change in net assets to net cash provided by operating activities:		
Depreciation	86,035	80,114
Increase in beneficial interest in third party trust	(100,221)	(66,776)
Other changes:		
(Increase) decrease in:		
Grants and other receivables	(316,383)	342,441
Prepaid expenses	22,392	(11,249)
Increase (decrease) in:		
Accounts payable and accrued expenses	94,745	(90,156)
Net Cash Provided by Operating Activities	<u>\$ 79,423</u>	<u>\$ 71,463</u>
Supplemental Disclosure of Cash Flow Information		
Noncash investing and financing activities:		
Acquisition of property and equipment	\$ (138,501)	\$ (53,493)
Donated property	<u>-</u>	<u>8,596</u>
Net Cash Paid for the Acquisition of Property and Equipment	<u>\$ (138,501)</u>	<u>\$ (44,897)</u>

See accompanying notes.

Catholic Charities of Louisville, Inc.
Notes to Financial Statements
June 30, 2014 and 2013

Note A - Nature of Organization and Operations

Catholic Charities of Louisville, Inc. ("the Corporation") is an agency of the Archdiocese of Louisville, and a corporation under the laws of the Commonwealth of Kentucky.

The Corporation acts on behalf of the Archdiocese to provide services which include: refugee reception and resettlement; immigration legal representation; direct cash assistance and case management to Louisville's urban poor; adoption and mother/infant care; eldercare assistance and advocacy; and support of parish social ministry.

Note B - Summary of Significant Accounting Policies

1. Basis of Accounting: The financial statements are prepared on the accrual basis in accordance with accounting principles generally accepted in the United States of America ("GAAP"). The Accounting Standards Codification ("ASC") as provided by the Financial Accounting Standards Board ("FASB") is the sole source of authoritative GAAP.

The Corporation distinguishes between contributions received for each net asset category in accordance with donor imposed restrictions, if any. The Corporation classifies resources for accounting and reporting purposes into three net asset categories according to externally (donor) imposed restrictions. A description of the three net asset categories follows:

Unrestricted Net Assets include the following:

- Invested in Property and Equipment, net of related debt: These net assets represent **cumulative** resources expended for property and equipment, less accumulated depreciation recorded on the property and equipment, and net of related debt.
- Expendable Net Assets: These net assets represent the portion of expendable funds available for support in the operation of the Corporation.

Temporarily Restricted Net Assets include gifts for which donor imposed restrictions are to be met.

Permanently Restricted Net Assets include amounts for which the donor has stipulated that the corpus be invested in perpetuity and only the income be made available for program operations in accordance with donor restrictions.

The Corporation reports gifts of cash and other assets as restricted support if they are received with donor stipulations that limit the use of the donated assets. When a donor restriction expires, that is, when a stipulated time restriction ends or purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statements of activities as net assets released from restrictions.

The Corporation reports gifts of land, buildings, and equipment as unrestricted support unless explicit donor stipulations specify how the donated assets must be used. Gifts of long-lived assets with explicit restrictions that specify how the assets are to be used and gifts of cash or other assets that must be used to acquire long-lived assets are reported as restricted support. Absent explicit donor stipulations about how long those long-lived assets must be maintained, the Corporation reports expirations of donor restrictions when the donated or acquired long-lived assets are placed in service.

Catholic Charities of Louisville, Inc.
Notes to Financial Statements (Continued)
June 30, 2014 and 2013

Note B - Summary of Significant Accounting Policies (Continued)

2. Use of Estimates: The preparation of financial statements, in conformity with accounting principles generally accepted in the United States of America, requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities (and disclosure of contingent assets and liabilities, if any) at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.
3. Cash and Cash Equivalents: Cash and cash equivalents primarily include highly liquid investment securities with maturities of three months or less.
4. Investments and Market Risk: Investments are valued at fair market value. The Corporation has investments in money market funds, certificates of deposit, corporate stocks, and government bonds that are subject to concentrations of credit risk. These investments are included within certificates of deposit and beneficial interest in third-party trust in the statements of financial position. Investments are made by management. Though the market value of investments is subject to fluctuations on a year-to-year basis, management believes the investments policy is prudent for the long-term welfare of the Corporation.
5. Grants Receivable: Grants receivable consist of the billings for reimbursements of allowable expenditures in the operation of the various federal grant programs of the Corporation. No allowance for uncollectible grants has been recorded as management expects all reimbursements receivable to be paid in full.
6. Other Receivables: Other receivables consist of the billings for fee based translation and interpretive services. The Corporation uses the allowance method of valuing receivables which is based upon historical experience, coupled with a review of the current status of existing receivables. The allowance for doubtful accounts was \$20,429 and \$26,364 as of June 30, 2014 and 2013, and is deducted against other receivables to properly reflect net realizable value.
7. Beneficial Interest in Third Party Trusts: The beneficial interest is stated at the present value of the estimated future cash flows, which value was estimated to be fair market value of the underlying investments held in third-party trusts.
8. Property and Equipment: Donated property and equipment are recorded at their estimated fair values at the time of donation. Purchased property and equipment are recorded at cost. Depreciation is computed on the straight-line method over the estimated useful lives of the assets as follows:

Buildings and improvements	20 years
Furniture and equipment	5 years
9. Donated Goods and Services: Donated materials and equipment are shown as gifts, valued at their estimated value at the date of receipt. Donated services are recognized as contributions if the services (a) create or enhance nonfinancial assets or (b) require specialized skills, are performed by people with those skills, and would otherwise be purchased by the Corporation. A large number of volunteers have given significant amounts of their time for the operation of the Corporation throughout the year that are not recognized as contributions in the financial statements since the recognition criteria were not met.

Note B - Summary of Significant Accounting Policies (Continued)

10. Income Taxes: The Corporation is exempt from income taxes under Section 501(c)(3) of the Internal Revenue Code. However, income generated from activities unrelated to the Corporation's exempt purpose is subject to tax under IRC Section 511.

The Corporation evaluates all local, state, and federal income tax returns for potential uncertain tax positions taken. Management has concluded there are no tax positions attributed to the reporting entity which meet the more-likely-than-not criterion in the ASC. Accordingly, the accompanying financial statements do not include a provision for uncertain tax positions, and no related interest or penalties have been recorded in the statements of activities or accrued in the statements of financial position. The Corporation does not have any ongoing tax examinations and is not aware of any pending examinations by tax authorities. The Corporation is no longer subject to federal and state examinations by tax authorities for the closed tax years before June 30, 2011.

11. Advances Payable - United States Catholic Conference of Bishops: The advances payable represents advances of federal grant funds from pass-through grants from the United States Catholic Conference of Bishops ("USCCB"). These advances are refundable upon termination of the grant, if unspent.
12. Revenue Recognition: Revenues from cost-reimbursement grants are recognized in the period in which the related expenses are incurred and claimed for reimbursement. Revenues from grants based upon contractually agreed-upon rates are recognized in the period in which the services are rendered.
13. Expense Allocation: Expenditures that can be identified specifically with a particular program objective are charged to the appropriate funding source and cost category. Indirect administrative expenses are allocated to the various programs based on a cost allocation plan.
14. Advertising: The Corporation expenses advertising costs as incurred.
15. Subsequent Events: The Corporation has evaluated events and transactions for potential recognition or disclosure through the date of the Independent Auditor's Report, the date the accompanying financial statements were available to be issued.

Note C - Fair Value Measurements

The financial statements reflect the accounting standard related to fair value measurements, which provides a comprehensive framework for measuring fair value and expands required disclosures concerning fair value measurements. Specifically, the standard sets forth a definition of fair value and establishes a hierarchy prioritizing the inputs to valuation techniques, giving the highest priority to quoted prices in active markets for identical assets and liabilities and the lowest priority to unobservable value inputs.

The standard defines levels with the hierarchy of inputs as follows:

- Level 1 - Unadjusted quoted prices for identical assets or liabilities in active markets.
- Level 2 - Quoted prices for similar assets and liabilities in active markets (other than those included in Level 1) which are observable for the asset or liability, either directly or indirectly.
- Level 3 - Valuations derived from valuation techniques in which one or more significant inputs or significant value drivers are unobservable.

Catholic Charities of Louisville, Inc.
Notes to Financial Statements (Continued)
June 30, 2014 and 2013

Note C - Fair Value Measurements (Continued)

Fair values of financial assets measured on a recurring basis at June 30, 2014 and 2013 are as follows:

<u>June 30, 2014</u>	<u>Fair Value</u>	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>
Beneficial Interest in Third-party Trusts	\$ 1,045,696	\$ -	\$ -	\$ 1,045,696
	<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u>June 30, 2013</u>	<u>Fair Value</u>	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>
Beneficial Interest in Third-party Trusts	\$ 945,476	\$ -	\$ -	\$ 945,476
	<u> </u>	<u> </u>	<u> </u>	<u> </u>

Changes in the fair value of the Corporation's Level 3 beneficial interest in third-party trust during the year ended June 30, 2014 and 2013 were as follows:

	<u>2014</u>	<u>2013</u>
Beginning balance	\$ 945,476	\$ 878,699
Appreciation in fair value	51,134	15,080
Investment income	49,086	51,697
	<u> </u>	<u> </u>
Ending Balance	<u>\$ 1,045,696</u>	<u>\$ 945,476</u>

See Note B (7) for valuation method.

Note D - Grants and Other Receivables

Grants and other receivables consisted of the following at June 30, 2014 and 2013:

	<u>2014</u>	<u>2013</u>
Grants receivable	\$ 991,860	\$ 536,959
Other receivables	424,267	568,719
	<u> </u>	<u> </u>
Subtotal	1,416,127	1,105,678
Less: Allowance for doubtful accounts	(20,429)	(26,364)
	<u> </u>	<u> </u>
Total Grants and Other Receivables	<u>\$ 1,395,698</u>	<u>\$ 1,079,314</u>

Catholic Charities of Louisville, Inc.
Notes to Financial Statements (Continued)
June 30, 2014 and 2013

Note E - Beneficial Interest in Third-party Trusts

The Corporation is the income beneficiary of two charitable trusts administered by third-party trustees. One trust is an irrevocable perpetual trust and its net assets are classified as permanently restricted. The income received from this trust is restricted for elderly service programs.

The assets of the second charitable trust are to be held in trust for a period of twenty-five years and therefore its net assets are classified as temporarily restricted. The income received from this trust can be used at management's discretion. The combined income received from these trusts for the years ended June 30, 2014 and 2013 was \$49,086 and \$51,697, respectively. The combined change in value of the trusts was an increase of \$51,134 for the year ended June 30, 2014, and an increase of \$15,080 for the year ended June 30, 2013. The changes in the value of the trusts are included in the change in temporarily and permanently restricted net assets on the statements of activities.

The assets held in trust are summarized as follows as of June 30, 2014 and 2013:

	2014		2013	
	Cost	Market	Cost	Market
Cash and cash equivalents	\$ 8,950	\$ 8,950	\$ 2,512	\$ 2,512
Mutual funds - bonds	281,223	283,982	268,080	268,148
Mutual funds - common stock	474,400	752,764	487,621	674,816
	<u>\$ 764,573</u>	<u>\$ 1,045,696</u>	<u>\$ 758,213</u>	<u>\$ 945,476</u>

Note F - Property and Equipment

Property and equipment consisted of the following at June 30, 2014 and 2013:

	2014	2013
Buildings and improvements	\$ 761,410	\$ 683,090
Furniture and equipment	409,718	380,736
Construction in progress	98,717	67,517
Subtotal	1,269,845	1,131,343
Less: Accumulated depreciation	<u>(463,061)</u>	<u>(377,025)</u>
Total Property and Equipment	<u>\$ 806,784</u>	<u>\$ 754,318</u>

Total depreciation expense was \$86,035 and \$80,114 for the years ended June 30, 2014 and 2013, respectively.

Note G - Line of Credit

The Corporation has a \$250,000 line of credit agreement with PNC Bank. The line of credit bears interest at LIBOR plus 3% (3.16% at June 30, 2014). At June 30, 2014 and 2013, the Corporation had no outstanding balance under the line of credit.

Catholic Charities of Louisville, Inc.
Notes to Financial Statements (Continued)
June 30, 2014 and 2013

Note H - Notes Payable

The long-term portion of the notes payable at June 30, 2014 and 2013 was as follows:

	<u>2014</u>	<u>2013</u>
Note payable to Ford Credit due in monthly installments of \$757, plus interest at 9.49%, maturing in December 2015, secured by vehicle	\$ 12,656	\$ 20,056
Note payable to Tiger Leasing due in monthly installments of \$383, plus interest at 14.44%, maturing in January 2017, secured by equipment	<u>9,861</u>	<u>12,798</u>
Total Long-term Debt	<u>\$ 22,517</u>	<u>\$ 32,854</u>

The following is a schedule of maturity requirements on long-term debt as of June 30, 2014:

2015	\$ 11,627
2016	8,334
2017	<u>2,556</u>
Total	<u>\$ 22,517</u>

Note I - Lease Obligations

The Corporation is a party to a month-to-month building lease which requires a monthly payment of \$900. Total rent expense for 2014 and 2013 was \$10,800 and \$30,185, respectively.

During the year ended June 30, 2010, the Corporation entered into a lease agreement with the Archdiocese of Louisville/Roman Catholic Bishop of Louisville, Inc. (RCBL), a Corporation Sole. The terms of the agreement allow the Corporation to lease from RCBL the entire campus of a parish church. The term of the lease is 25 years at an annual payment of \$1.

Note J - Contingencies, Risks and Uncertainties

Cash Concentration Risk

The Corporation maintains its cash balances in bank deposit accounts which, at times, may exceed the \$250,000 coverage provided by the Federal Deposit Insurance Corporation ("FDIC") insured limits. The Corporation has not experienced any losses in such accounts. Management believes the Corporation is not exposed to any significant risk on bank deposits. There were no uninsured amounts in excess of the FDIC limit at June 30, 2014.

Major Funding Source

The Corporation is substantially funded by grants awarded directly and indirectly by the federal government. The funding is subject to federal government appropriations and potential funding reductions. Management does not anticipate any material change in funding.

Catholic Charities of Louisville, Inc.
Notes to Financial Statements (Continued)
June 30, 2014 and 2013

Note J - Contingencies, Risks and Uncertainties (Continued)

Legal Contingencies

The Corporation is, from time to time, involved in various lawsuits and regulatory issues arising in the ordinary course of business that will not, in the opinion of management, have a material effect of the Corporation's financial position or results of operations.

Note K - Related Party Transactions

The Corporation received \$808,299 and \$784,752 of support in 2014 and 2013, respectively, for general operations and services to the poor, adoption services, USCCB refugee match grant requirements and services to older adults within the Archdiocese of Louisville.

The Corporation entered into a loan agreement with the RCBL for \$20,000 during the year ended June 30, 2012, bearing an interest rate of 3% for building renovations. The agreement expires and amounts outstanding become due in November 2014. The outstanding balance was \$2,313 and \$9,113 as of June 30, 2014 and 2013, respectively.

The Corporation entered into a loan agreement with RCBL for \$90,000 during the year ended June 30, 2011, bearing an interest rate of 2.70% for building renovations. The agreement expired in January 2014. There were no amounts outstanding at June 30, 2014. The outstanding balance was \$15,510 as of June 30, 2013.

Note L - Retirement Benefits

Effective July 1, 2006, the Corporation implemented a 401(k) plan. The plan covers the Corporation's active employees. The plan allows employee contributions, up to the statutory limit, and personal management of the employees' retirement accounts. The Corporation contributes 6% of eligible employees' gross pay each pay period. The Corporation made contributions of \$143,346 and \$140,806 for the years ended June 30, 2014 and 2013, respectively.

Catholic Charities of Louisville, Inc.
Notes to Financial Statements (Continued)
June 30, 2014 and 2013

Note M - Restricted Net Assets

Temporarily restricted net assets are available for the following purposes:

	June 30, 2013	Revenue, Support & Gains	Released from Restrictions	June 30, 2014
Services to the elderly	\$ 53,563	\$ 622	\$ (37,994)	\$ 16,191
Disaster relief	8,697	500	(8,819)	378
Third party trust	507,022	47,519	-	554,541
Other	57,425	226,411	(146,938)	136,898
Total	\$ 626,707	\$ 275,052	\$ (193,751)	\$ 708,008

	June 30, 2012	Revenue, Support & Gains	Released from Restrictions	June 30, 2013
Services to the elderly	\$ 89,002	\$ 241	\$ (35,680)	\$ 53,563
Disaster relief	276,168	2,379	(269,850)	8,697
Third party trust	464,479	42,543	-	507,022
Other	14,907	171,576	(129,058)	57,425
Total	\$ 844,556	\$ 216,739	\$ (434,588)	\$ 626,707

Permanently restricted net assets are available for the following purposes:

	June 30, 2012	Change in Beneficial Interest	June 30, 2013	Change in Beneficial Interest	June 30, 2014
Third Party Trust	\$ 414,220	\$ 24,233	\$ 438,453	\$ 52,702	\$ 491,155

Supplementary Information

Catholic Charities of Louisville, Inc.
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2014

Federal Grantor/Pass Through Grantor/Program or Cluster Title	Federal CFDA Number	Agency or Pass-through Number	Federal Expenditures
Department of Health and Human Services:			
Refugee and Entrant Assistance - State Administered (Refugee Social Services)	93.566	12WFKYRRSS/02 1301KYRSOC	\$ 607,933 <u>1,276,829</u> <u>1,884,762</u>
Refugee and Entrant Assistance - Wilson/Fish Program	93.583	90RW0036/03 90RW0036/04	<u>2,234,404</u> <u>4,942,349</u> <u>7,176,753</u>
Refugee and Entrant Assistance - Refugee Targeted Assistance	93.584	12A1KYRRTA 13A1KYRTAG	<u>240,292</u> <u>814,552</u> <u>1,054,844</u>
Strengthening Surveillance Among Refugees Newly Arrived	93.283	5U50CK000288-02	<u>81,551</u>
Refugee and Entrant Assistance - Discretionary Grants Services for Elderly Refugees	93.576	90RL0169/01 90RL0169/02	<u>35,414</u> <u>69,342</u> <u>104,756</u>
Refugee School Impact Grant	93.576	90ZE0175/01 90ZE0175/02	<u>176,221</u> <u>251,760</u> <u>427,981</u>
Preventative Health	93.576	90RX0198/02 90RX0198/03	<u>22,007</u> <u>122,719</u> <u>144,726</u>
Refugee Agriculture Program	93.576	90ZR0012/03	<u>26,515</u>
Cuban/Haitian Refugees & Entrant	93.576	90RQ0042/01 90RQ0042/02	<u>73,411</u> <u>203,324</u> <u>276,735</u>
Targeted Assistance	93.576	90RT0145/02 90RT0164/01	<u>43,289</u> <u>111,233</u> <u>154,522</u>
Total Refugee and Entrant Assistance - Discretionary Grants			<u>1,135,235</u>
Total received directly from the Department of Health and Human Services			<u>11,333,145</u>
Passed through the United States Catholic Conference of Bishops			
Bishops Migration and Refugee Services:			
Voluntary Agency Matching Grant Program	93.567	90RV00305	<u>385,318</u>
Total passed through from the United States Catholic Conference of Bishops			<u>385,318</u>
Passed through the Kentuckiana Regional Planning and Development Agency			
Elder Abuse, Title VII	93.041	M05186930(KATH)	11,597
Long-Term Care Ombudsman Title VII	93.042	M05186930(KATH)	23,369
Grants for Supportive Services Title III-B	93.044	M05186930(KATH)	<u>38,732</u>
Total passed through from the Kentuckiana Regional Planning and Development Agency			<u>73,698</u>
Total from Department of Health and Human Services			<u>11,792,161</u>

Catholic Charities of Louisville, Inc.
Schedule of Expenditures of Federal Awards (Continued)
For the Year Ended June 30, 2014

Federal Grantor/Pass-Through Grantor/Program or Cluster Title	Federal CFDA Number	Agency or Pass-through Number	Federal Expenditures
Department of State			
Passed through the United States Catholic Conference of Bishops			
Migration and Refugee Services: Refugee Admissions Program	19.510		<u>1,143,646</u>
Total from Department of State			<u>1,143,646</u>
Department of Homeland Security			
Passed through the United States Catholic Conference of Bishops			
Migration and Refugee Services: Cuban/Haitian Entrant Program	97.009		<u>228,787</u>
Total from Department of Homeland Security			<u>228,787</u>
Department of Justice			
Passed through the Commonwealth of Kentucky Justice and Public Public Safety Cabinet			
Collaborative Legal Services for Immigrant Victims of Domestic Abuse	16.XXX	6784-VA3-1/07	30,671
Passed through the Commonwealth of Kentucky Justice and Public Public Safety Cabinet			
Human Trafficking Case Management Project	16.320	VAWA-2011 -00218	<u>44,249</u>
Total from Department of Justice			<u>74,920</u>
Department of Transportation			
Passed through the Transit Authority of River City			
Job Access and Reverse Commute (JARC)	20.516		<u>12,969</u>
Total from Department of Transportation			<u>12,969</u>
Department of Agriculture			
Passed through the University of Kentucky Research Foundation			
KYFarm Start II: A Whole Farm Mgmt. Education Program for Beginning Farmers	10.311	2012-49400-19557	<u>18,586</u>
Total from Department of Agriculture			<u>18,586</u>
Total Schedule of Expenditures of Federal Awards			<u><u>\$ 13,271,069</u></u>

Catholic Charities of Louisville, Inc.
Notes to Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2014

Note A - Basis of Presentation

Reporting entities:

The accompanying schedule of expenditures of federal awards includes the federal grant activity of Catholic Charities of Louisville, Inc. (the "Corporation") and is presented on the accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments and Non-profit Organizations*. Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the basic financial statements.

Note B - Subrecipients

Of the federal expenditures presented in the schedule, the Corporation provided federal awards to subrecipients as follows:

<u>Program Title</u>	<u>Federal CFDA Number</u>	<u>Amount Provided to Subrecipients</u>
Strengthening Surveillance Among Refugees	93.283	\$ 48,248
State Administered - Refugee Social Services	93.566	1,260,392
Wilson/Fish Program	93.583	626,457
Refugee Targeted Assistance	93.584	663,447
Targeted Assistance	93.576	125,907
Service for Elderly Refugees	93.576	87,999
Refugee School Impact Grant	93.576	368,561
Preventative Health	93.576	50,790
Cuban/Haitian Refugees and Entrant	93.576	<u>164,709</u>
Total Federal Awards to Subrecipients		<u>\$ 3,396,510</u>

**Independent Auditor's Report on Internal Control Over Financial Reporting and on
Compliance and Other Matters Based on an Audit of Financial Statements Performed in
Accordance with *Government Auditing Standards***

To Archbishop Joseph E. Kurtz, D.D.
and Finance Council
Board of Directors
Catholic Charities of Louisville, Inc.

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, the financial statements of Catholic Charities of Louisville, Inc. (a nonprofit organization) (the "Corporation"), which comprise the statement of financial position as of June 30, 2014, and the related statements of activities, functional expenses and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated November 17, 2014.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements we considered the Corporation's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Corporation's internal control. Accordingly, we do not express an opinion on the effectiveness of the Corporation's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. *A material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. *A significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of the internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. Given these limitations, during our audit we *did not identify any deficiencies in internal control that we consider to be material weaknesses*. However, material weaknesses may exist that have not been identified.

**Independent Auditor's Report on Internal Control Over Financial Reporting and on
Compliance and Other Matters Based on an Audit of Financial Statements Performed in
Accordance with *Government Auditing Standards* (Continued)**

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Corporation's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the organization's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the organization's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.



Jeffersonville, Indiana
November 17, 2014

**Independent Auditor's Report on Compliance for Each Major Program and on
Internal Control Over Compliance Required by OMB Circular A-133**

To Archbishop Joseph E. Kurtz, D.D.
and Finance Council
Board of Directors
Catholic Charities of Louisville, Inc.

Report on Compliance for Each Major Federal Program

We have audited Catholic Charities of Louisville, Inc.'s (the "Corporation") compliance with the types of compliance requirements described in OMB *Circular A-133 Compliance Supplement* that could have a direct and material effect on each of the Corporation's major federal programs for the year ended June 30, 2014. The Corporation's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of the Corporation's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Corporation's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the Corporation's compliance.

**Independent Auditors' Report on Compliance for Each Major Program and on
Internal Control Over Compliance Required by OMB Circular A-133 (Continued)**

Opinion on Each Major Federal Program

In our opinion, the Corporation complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2014.

Report on Internal Control Over Compliance

Management of the Corporation is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the Corporation's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Corporation's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist there were not identified. We did not identify and deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.



Jeffersonville, Indiana
November 17, 2014

**Catholic Charities of Louisville, Inc.
 Schedule of Findings and Questioned Costs
 Year Ended June 30, 2014**

Section I - Summary of Independent Auditor's Report

Financial Statements

Type of auditor's report issued:

Unmodified

Internal control over financial reporting:

Material weakness(es) identified?

_____ yes X no

Significant deficiency(ies) identified not considered to be material weaknesses?

_____ yes X none reported

Noncompliance material to financial statements noted?

_____ yes X no

Federal Awards

Internal control over major programs:

Material weakness(es) identified?

_____ yes X no

Significant deficiency(ies) identified not considered to be material weaknesses?

_____ yes X none reported

Type of auditor's report issued on compliance for major programs:

Unmodified

Any audit findings disclosed that are required to be reported in accordance with Section 501(a) of Circular A-133?

_____ yes X no

Identification of major programs:

CFDA Number Name of Federal Program or Cluster

93.583 Refugee and Entrant Assistance - Wilson/Fish Program \$ 7,176,753

Dollar threshold used to distinguish between Type A and Type B programs: \$ 398,132

Auditee qualified as a low risk auditee?

 X yes _____ no

Section II - Financial Statement Findings

None

Section III - Major Federal Award Programs Audit Findings

None

Catholic Charities of Louisville, Inc.
Summary Schedule of Prior Year Audit Findings
Year Ended June 30, 2014

None

**LOUISVILLE METRO COUNCIL
NEIGHBORHOOD DEVELOPMENT FUND SUPPLEMENTAL
DISCLOSURE REQUIRED FOR REQUESTS BY CHURCHES, RELIGIOUS
OR FAITH-BASED ORGANIZATIONS**

It is the policy of the Louisville/Jefferson County Metro Council that no appropriation to a Church, to a religious or faith-based organization, or to any organization whose activities support a Church or religious or faith-based organization will be approved unless the prospective grantee clearly demonstrates, in writing, that it is committed to compliance with each of the following conditions and requirements.

Legal Name of Applicant Organization:

Catholic Charities of Louisville, Inc.

As in the case of all legislative enactments, the appropriation must be for a public purpose. In other words, the appropriation must have a secular legislative purpose to support a program which benefits the public, and which has been, or could be undertaken by the government.

The appropriation must be totally and demonstrably earmarked for the beneficiary activity or program with no tangible or significantly intangible benefit inuring to the organization. Specifically, the appropriation may not fund equipment used by the organization, nor may it be used for improvements to real or personal property owned by the grantee church or organization.

The beneficiary activity or program must be open to the public as opposed to being restricted to church or organization members or affiliates.

The grantee church or organization may not use public funds in any way that involves worship, religious instruction, or religious practice.

Public funds involved in the grant may not be used to support a school or any program of instruction operated by the grantee church or organization, or in its name.

The grantee organization may not use public funds in any way that involves proselytization or self-promotion of the organization.

The grantee church or organization must establish and maintain a system of recordkeeping which clearly and completely documents its use of the public funds involved in the grant.

SIGNATURE

I agree under the penalty of law to comply with all the items in this disclosure. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this disclosure for the applying organization.

Signature of Legal Signatory: *Steven E. Bogus*

Date: 8/7/15

Legal Signatory (please print): *Steven E Bogus*

Title: Executive Director

Phone: 502-637-9738

Extension: 150

Email: sbogus@archlou.org

Highest Compensated Staff

1. Steven Bogus - Executive Director
2. Rebecca Jordan - Director, Kentucky Office for Refugees
3. Robert Miller- Chief Financial Officer

CATHOLIC CHARITIES OF LOUISVILLE, INC.**General Information**

Organization Number 0305341
Name CATHOLIC CHARITIES OF LOUISVILLE, INC.
Profit or Non-Profit N - Non-profit
Company Type KCO - Kentucky Corporation
Status A - Active
Standing G - Good
State KY
File Date 9/18/1992
Organization Date 9/18/1992
Last Annual Report 4/16/2015
Principal Office 2911 S. 4TH. ST.
 LOUISVILLE, KY 40208
Registered Agent STEVEN E. BOGUS, MSW
 CATHOLIC CHARITIES
 2911 S 4TH ST
 LOUISVILLE, KY 40208

Current Officers

Chairman [MARK KIRCHER](#)
Secretary [ROBERT HELERINGER](#)
Treasurer [MARY JO MUGAVIN](#)
Director [STEVEN E BOGUS M.S.W.](#)
Director [STEPHEN LANNERT](#)
Director [BEN RUIZ](#)

Individuals / Entities listed at time of formation

Director [MICHAEL ABELL](#)
Director [FRED BANKS](#)
Director [REV KEVIN BRYAN](#)
Director [RONALD CROUCH](#)
Director [LISA J HAYDON](#)
Incorporator [THOMAS C KELLY OP](#)

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

Annual Report	4/16/2015	2 pages	tiff	PDF
Annual Report	3/25/2014	1 page	tiff	PDF
Annual Report	3/26/2013	1 page	tiff	PDF
Annual Report	2/23/2012	1 page	tiff	PDF

Annual Report	3/16/2011	1 page	tiff	PDF
Annual Report	4/29/2010	1 page	tiff	PDF
Annual Report	4/9/2009	2 pages	tiff	PDF
Registered Agent name/address change	3/12/2008	1 page	tiff	PDF
Annual Report	3/3/2008	1 page	tiff	PDF
Annual Report	2/26/2007	1 page	tiff	PDF
Annual Report	4/3/2006	3 pages	tiff	PDF
Annual Report	4/6/2005	2 pages	tiff	PDF
Annual Report	4/22/2003	2 pages	tiff	PDF
Annual Report	4/30/2002	2 pages	tiff	PDF
Annual Report	5/17/2001	2 pages	tiff	PDF
Annual Report	6/9/2000	2 pages	tiff	PDF
Annual Report	5/20/1999	3 pages	tiff	PDF
Annual Report	4/24/1998	3 pages	tiff	PDF
Annual Report	7/1/1997	3 pages	tiff	PDF
Annual Report	7/1/1996	3 pages	tiff	PDF
Annual Report	7/1/1995	3 pages	tiff	PDF
Annual Report	3/30/1994	1 page	tiff	PDF
Annual Report	7/1/1993	1 page	tiff	PDF
Articles of Incorporation	9/18/1992	6 pages	tiff	PDF

Assumed Names

Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	4/16/2015 8:18:48 AM	4/16/2015	
Annual report	3/25/2014 12:51:52 PM	3/25/2014	
Annual report	3/26/2013 4:13:58 PM	3/26/2013	
Annual report	2/23/2012 2:40:20 PM	2/23/2012	
Annual report	3/16/2011 3:26:07 PM	3/16/2011	
Annual report	4/29/2010 4:08:29 PM	4/29/2010	
Annual report	4/9/2009 10:43:33 AM	4/9/2009	
Registered agent address change	3/12/2008 8:20:42 AM	3/12/2008	
Annual report	3/3/2008 12:55:57 PM	3/3/2008	
Annual report	2/26/2007 2:41:34 PM	2/26/2007	
Annual report	4/3/2006 12:03:03 PM	4/3/2006	

Microfilmed Images

**FY 2015
LOUISVILLE METRO COUNCIL
NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**Submitted by
Catholic Charities of Louisville, Inc.
2911 South Fourth Street
Louisville, KY 40208**

**Steve Bogus
Executive Director
(502) 637-9786, ext. 150**

ATTACHMENTS

ATTACHMENT 1: Louisville Metro Council Neighborhood Development Fund Application

ATTACHMENT 2: Projected Event Spending Table- Cost Breakdown

ATTACHMENT 3: IRS Exempt Status Determination Letter

ATTACHMENT 4: FY 2016 Projected Agency Budget

ATTACHMENT 5: Board of Directors List

ATTACHMENT 6: Current Financial Statement

ATTACHMENT 7: IRS Form 990

ATTACHMENT 8: Articles of Incorporation

ATTACHMENT 9: IRS Form W9

ATTACHMENT 10: Event Evaluation Form

ATTACHMENT 11: 2014 Financial Audit

ATTACHMENT 12: Faith-based Organization Certification Form

ATTACHMENT 13: List of Highest Paid Catholic Charities Staff