

**NEIGHBORHOOD DEVELOPMENT FUND  
Not-for-Profit Transmittal and Approval Form**

JUN 22 2015 PM 1:34

**Applicant/Program:** Jefferson County Public Education Foundation

**Executive Summary of Request:**  
Approved Counselors/Therapists will be paid up to \$50 per hour for individual or group counseling services. Subjects include Self-Esteem, Anger Management, Drug and Alcohol Abuse, Cyber-Bulling and Suicide

Is this program/project a fundraiser?  Yes  No  
 Is this applicant a faith based organization?  Yes  No  
 Does this application include funding for sub-grantee(s)?  Yes  No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

24 Madonna Hood 6,000 6-19-15  
 District # Council Member Signature Amount Date

**Primary Sponsor Disclosure**  
 List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.  
N/A

**Approved by:**  
 \_\_\_\_\_  
 Appropriations Committee Chairman Date

**Clerk's Office Only:**  
 Request Amount: \_\_\_\_\_ Committee Amended Appropriation: \_\_\_\_\_  
 Original Appropriation: \_\_\_\_\_ Council Amended Appropriation: \_\_\_\_\_

OFFICE OF METRO COUNCIL CLERK  
**REVIEWED**  
 DATE 6/22/15 TIME 1:45



**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

SECTION 1 – APPLICANT INFORMATION			
<b>Legal Name of Applicant Organization:</b>		<b>Jefferson County Public Education Foundation</b>	
<i>(as listed on: <a href="http://www.sos.ky.gov/business/records">http://www.sos.ky.gov/business/records</a>)</i>			
<b>Main Office Street &amp; Mailing Address:</b> VanHoose Education Center, 3332 Newburg Road, Louisville, KY 40232			
<b>Website:</b> <a href="http://www.jefferson.kyschools.us/jcpef">www.jefferson.kyschools.us/jcpef</a>			
<b>Applicant Contact:</b>	Shauna Paul	<b>Title:</b>	YSC Coordinatord
<b>Phone:</b>	485-6515	<b>Email:</b>	Shauna.paul@jefferson.kyschools.us
<b>Financial Contact:</b>	Sam Corbett	<b>Title:</b>	Executive Director JCPEF
<b>Phone:</b>	485-3995	<b>Email:</b>	SamC.cflouisville.org
<b>Organization's Representative who attended NDF Training:</b>			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
<b>Program Facility Location(s):</b>	Southern High School, 8620 Preston Highway, Louisville, KY		
<b>Council District(s):</b>	24, Madonna Flood	<b>Zip Code(s):</b>	40219
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
<b>PROGRAM/PROJECT NAME:</b> Southern High School Youth Services Center			
<b>Total Request: (\$)</b>	\$6,000.00	<b>Total Metro Award (this program) in previous year: (\$)</b>	\$6,000.00
<b>Purpose of Request (check all that apply):</b>			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget)			
<input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals			
<input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
<b>The Following are Required Attachments:</b>			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current Year Projected Budget <input checked="" type="checkbox"/> List of Board of Directors (include term & term limits) <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if required <input type="checkbox"/> Staff including the 3 highest paid staff	
<b>For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.</b>			
<b>Source:</b>	NDF Louisville Metro Grant	<b>Amount: (\$)</b>	\$6,000.00
<b>Source:</b>		<b>Amount: (\$)</b>	
<b>Source:</b>		<b>Amount: (\$)</b>	
Has the applicant contacted the BBB Charity Review for participation? <input type="checkbox"/> Yes <input type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input type="checkbox"/> Yes <input type="checkbox"/> No			



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 3 – AGENCY DETAILS

**Describe Agency's Vision, Mission and Services:**

Southern High School Youth Services Center will be the bridge among families, school, and the community. Students and families will feel comfortable entering the center at any time and sharing personal information knowing that what they say will be kept strictly confidential. The center will address the physical, social, and educational needs of the community providing opportunities for academic enrichment, social and recreational activities, and access to other human service providers. Center personnel will maintain active and cooperative relationships with students and their families, community representatives, and school personnel. The center will maintain an open door policy that welcomes all members of the community seeking assistance at any time. The center should be a safe haven that is a source of support and resources for the entire school.

The Youth Services Center provides a variety of services to assist students and their families. Since August 2014, the Center has provided a service/contact/intervention for over 650 students. Among these services (through April 2015) we provided over 1,500 mental health interventions, but also over 160 interventions for basic needs, over 60 for health issues, over 130 for academic support, and over 230 for attendance issues. In addition to the individual contacts, the YSC has attempted to reach more students by providing groups for self-esteem/better choices, anger management/better choices, community projects, and leadership. The YSC coordinator facilitated anti-drug/cyber bullying programs which included guest programs and the Choices by Looking for Lillith live presentation and accompanying workshops which reinforced the importance of taking anti-bullying and anti-drug seriously.

Handwritten initials, possibly "SP", in black ink.



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 4 – PROGRAM/PROJECT NARRATIVE

**A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):**

Beginning in August 2015 through June 2016, contracted counselors will be paid up to \$50.00 per hour for group and individual counseling. As students are identified as needing mental health services through staff referrals, self-referrals, and/or YSC interventions, they will be placed in the appropriate program or for individual counseling. As of April 15, 2014, the YSC (Youth Services Center) provided over 1,500 mental health interventions/contacts for the students and families of Southern High for school year 2014-2015. The YSC facilitated crisis evaluations for 17 students needing an immediate response to their situation. The need for counseling for our students has increased over the years, and for many students the YSC is their only resource for help. The number of students on free or reduced lunch is 74%. Southern High School sends out a mailing of school information at the beginning of the school year to all incoming students, and the YSC includes a letter of services and programs offered. In addition, The YSC coordinator speaks at school orientations to give parents information about programs and services. The coordinator is available during open house to meet with parents and students to assist with referrals for the YSC programs. The coordinator meets with students as referred or student walk-in to assess needs for mental health interventions.

Small Group Programs: Self-Esteem workshops - 8 to 10 weeks per group, weekly, one-hour sessions; Anger Management - 6 to 10 weeks, weekly, one-hour sessions; Drug & Alcohol groups - on-going, weekly, one-hour sessions. The YSC also hopes to offer an on-going workshop promoting healthier lives for students providing a contract with a qualified counselor can be completed.

Individual: Weekly individual counseling for 1/2 to 1 hour, depending on the need, with a qualified, licensed counselor.

Special Program: Large group (up to 100 students) program to address cyber-bullying and suicide, \$500.00. Followed by up to 2 workshops for smaller groups, \$500.00

**B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):**

Counselors/therapists will be paid up to \$50.00 per hour for individual or group counseling services. Most counselors submit weekly invoices, but some prefer monthly billing.

~~\$500.00 for special presentation of live play of Choices by Looking for Lillith to address cyber-bullying and suicide (additional information attached). The play will be followed by 2 small group workshops for \$500.00 for a more in-depth treatment for students who indicate a need for additional counseling or those identified as at-risk to be affected by subject.~~

RM



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**C: If this request is a fundraiser, please detail how the proceeds will be spent:**

N/A

**D: For Expenditure Reimbursement Only** – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

- Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):
- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
  - ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

- The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:
- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

Since the Metro Council approval date is usually finalized after we need to begin our counseling services, the Youth Services Center would like to begin the programs in August or early September and pay for the services and then be reimbursed from the grant funds once approved. Invoices and documentation will be provided.



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:**

The Youth Services Center will use the funds to contract counselors who will come to Southern High School to provide student mental health services. By providing counseling - Self-Esteem, Anger Management, Substance Abuse, Health Awareness Education & Support Groups, and Individual Counseling - for our students and their families, we will be able to give them a more stable environment, promote positive choices, and healthy choices. With the tools and skills to make better choices, their self-esteem will improve, helping them to improve academically, have better attendance, and obtain better jobs in the future. This will help in keeping them off the streets with negative behavior and bring them together as a family to have more pride in themselves and the community, keeping them off drugs and alcohol, decreasing negative consequences, crime, and even death. We will provide an in-depth self-esteem program (Southern Belles for girls and Southern Choices for boys) that will address goals, leadership, wise choices, and community service to provide students the opportunity to develop and practice what they learn to enable them to be productive citizens.

Students will be given post program evaluations to determine the effectiveness of the counselor and programs. Sign-in logs and attendance sheets track student participation. Teachers and staff provide feedback about positive changes in student behavior. Results would be determined from follow-up through the school-age years and graduation rates as measured by Integrated Student data.

**F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.**

The YSC Coordinator participates with the Sigma Chapter of Delta Cappa Gamma, an international society for key women educators. This organization supports the girls' Southern Belles (self-esteem group with focus on making better choices, leadership, and community service) through mentoring with group projects.



**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**SECTION 5 – PROGRAM/PROJECT BUDGET SUMMARY**

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
<b>A: Personnel Costs Including Benefits</b>			
<b>B: Rent/Utilities</b>			
<b>C: Office Supplies</b>		\$300.00	\$300.00
<b>D: Telephone</b>			
<b>E: In-town Travel</b>			
<b>F: Client Assistance (Attach Detailed List)</b>			
<b>G: Professional Service Contracts</b>	\$6000.00		\$6,000.00
<b>H: Program Materials</b>		\$500.00	\$500.00
<b>I: Community Events &amp; Festivals (Attach Detail List)</b>			
<b>J: Machinery &amp; Equipment</b>			
<b>K: Capital Project</b>			
<b>L: Other Expenses (Attach Detail List)</b>		\$3,200.00	\$3,200.00
<b>*TOTAL PROGRAM/PROJECT FUNDS</b>	\$6,000.00	\$4,000.00	\$10,000.00
<b>% of Program Budget</b>	60 %	40 %	100%

**List funding sources for total program/project costs in Column 2, Non-Metro Funds:**

Other State, Federal or Local Government	\$4,000.00
United Way	
Private Contributions (do not include individual donor names)	
Fees Collected from Program Participants	
Other (please specify)	
<b>Total Revenue for Columns 2 Expenses **</b>	\$4,000.00

**\*Total of Column 1 MUST match "Total Request on Page 1, Section 2"**

**\*\*Must equal or exceed total in column 2.**



**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**Detail of In-Kind Contributions for this PROGRAM only:** Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
Volunteers	\$200.00	Volunteers sign-in and program agendas
<i>Total Value of In-Kind</i> <i>(to match Program Budget Line Item.</i> Volunteer Contribution & Other In Kind)	\$200.00	

**\* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK**

Agency Fiscal Year Start Date:

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO  YES

If YES, please explain:





# LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

## SECTION 6 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

### Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.

### Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

**Relationship Disclosure:** List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

## SECTION 7 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:		Date:	5-11-15
Legal Signatory: (please print):	Sam Corbett	Title:	Executive Director
Phone:	485-3995	Extension:	
Email:	SamC@cflouisville.org		

Proposed Budget for Louisville Metro Grant Application for 2015-2016  
 Southern High School

	<b>Grant Funds</b>	<b>Non-Metro Funds</b>
Mental Health Counseling services @\$50/hr. x 120/hr	\$6,000	
Office Supplies: Pens, Pencils, Copy Paper, stationary, markers,		\$300
Program materials - Craft supplies for projects, journals, markers		\$500
<b>subtotal</b>	<b>\$6,000</b>	<b>\$800</b>
 <b>Other Services</b>		
Operation Parent		\$ 200.00
4H Reality Fair		\$ 200.00
Violence Prevention/ Anti-bullying programs		\$1,500.00
Counseling Services		\$1,300.00
<b>Subtotal</b>		<b>3,200</b>
 <b>TOTAL</b>	 <b>\$6,000</b>	 <b>\$4,000</b>

## JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.

### General Information

<b>Organization Number</b>	0175787
<b>Name</b>	JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.
<b>Profit or Non-Profit</b>	N - Non-profit
<b>Company Type</b>	KCO - Kentucky Corporation
<b>Status</b>	A - Active
<b>Standing</b>	G - Good
<b>State</b>	KY
<b>File Date</b>	3/14/1983
<b>Organization Date</b>	3/14/1983
<b>Last Annual Report</b>	5/15/2015
<b>Principal Office</b>	JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION JAEGER EDUCATION CENTER- ATTN: DANA SHUMATE 3332 NEWBURG RD LOUISVILLE, KY 40218
<b>Registered Agent</b>	WT&C CORPORATE SERVICES, INC. 500 W. JEFFERSON STREET SUITE 2800 LOUISVILLE, KY 40202

### Current Officers

<b>Chairman</b>	<a href="#"><u>James Allen</u></a>
<b>Vice President</b>	<a href="#"><u>Franklin Jelsma</u></a>
<b>Treasurer</b>	<a href="#"><u>Joseph Seiler</u></a>
<b>Director</b>	<a href="#"><u>Audwin Helton</u></a>
<b>Director</b>	<a href="#"><u>JEFF ULIGIAN</u></a>
<b>Director</b>	<a href="#"><u>Henry Heuser</u></a>
<b>Executive</b>	<a href="#"><u>Dana Shumate</u></a>

### Individuals / Entities listed at time of formation

<b>Director</b>	<a href="#"><u>MARY HELEN BYCK</u></a>
<b>Director</b>	<a href="#"><u>IOAN RIEHM</u></a>
<b>Director</b>	<a href="#"><u>I W HUGHES</u></a>
<b>Director</b>	<a href="#"><u>ORSON OLIVER</u></a>
<b>Director</b>	<a href="#"><u>WOODFORD R PORTOR</u></a>
<b>Incorporator</b>	<a href="#"><u>MALCOLM B CHANCEY JR</u></a>

### Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

[Annual Report](#)

5/15/2015

1 page

[PDF](#)

<a href="#">Principal Office Address Change</a>	2/11/2014 10:18:20 AM	1 page	<a href="#">PDF</a>	
<a href="#">Annual Report</a>	2/11/2014	1 page	<a href="#">PDF</a>	
<a href="#">Annual Report</a>	6/18/2013	1 page	<a href="#">PDF</a>	
<a href="#">Registered Agent name/address change</a>	3/8/2013	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	6/28/2012	1 page	<a href="#">PDF</a>	
<a href="#">Annual Report</a>	7/19/2011	1 page	<a href="#">PDF</a>	
<a href="#">Annual Report</a>	5/28/2010	1 page	<a href="#">PDF</a>	
<a href="#">Annual Report</a>	1/13/2009	1 page	<a href="#">PDF</a>	
<a href="#">Annual Report</a>	3/4/2008	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	1/8/2007	1 page	<a href="#">PDF</a>	
<a href="#">Annual Report</a>	3/7/2006	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	3/11/2005	1 page	<a href="#">PDF</a>	
<a href="#">Annual Report</a>	6/5/2002	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	5/21/2001	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	10/3/2000	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	7/16/1999	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	4/24/1998	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1997	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1996	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1995	3 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
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<a href="#">Annual Report</a>	7/1/1992	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1991	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1990	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1989	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>

## Assumed Names

## Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	5/15/2015 12:24:37 PM	5/15/2015 12:24:37 PM	
Annual report	2/11/2014 10:23:31 AM	2/11/2014 10:23:31 AM	
Principal office change	2/11/2014 10:18:20 AM	2/11/2014 10:18:20 AM	
Annual report	6/18/2013 10:48:04 PM	6/18/2013 10:48:04 PM	
Registered agent address change	3/8/2013 2:47:46 PM	3/8/2013	
Annual report	6/28/2012 4:10:51 PM	6/28/2012 4:10:51 PM	
Annual report	7/19/2011 9:24:22 AM	7/19/2011 9:24:22 AM	
Annual report	5/28/2010 9:56:56 AM	5/28/2010 9:56:56 AM	
Annual report	1/13/2009 10:11:05 AM	1/13/2009 10:11:05 AM	

Annual report	3/4/2008 8:28:00 AM	3/4/2008
Annual report	1/8/2007 4:01:51 PM	1/8/2007 4:01:51 PM
Annual report	3/7/2006 10:38:23 AM	3/7/2006
Annual report	3/11/2005	3/11/2005
Annual report	3/18/2004	3/18/2004

## Microfilmed Images

**Microfilm images are not available online. They can be ordered by faxing a Request For Corporate Documents to the Corporate Records Branch at 502-564-5687.**

Annual Report	12/31/2004 2:10:42 PM	2 pages
Annual Report	6/11/2003	2 pages
Annual Report	6/5/2002	2 pages
Annual Report	5/21/2001	2 pages
Annual Report	10/3/2000	2 pages
Annual Report	7/16/1999	2 pages
Annual Report	4/24/1998	2 pages
Annual Report	7/1/1997	2 pages
Annual Report	7/1/1996	2 pages
Annual Report	7/1/1995	3 pages
Annual Report	7/1/1994	2 pages
Annual Report	7/1/1993	2 pages
Annual Report	7/1/1992	2 pages
Annual Report	7/1/1991	1 page
Annual Report	7/1/1990	2 pages
Annual Report	7/1/1989	2 pages
Statement of Change	7/15/1986	1 page
Articles of Incorporation	3/14/1983	9 pages



Department of the Treasury  
Internal Revenue Service

P.O. Box 2508  
Cincinnati OH 45201

In reply refer to: 02481645  
June 14, 2011 LTR 41680 E  
[REDACTED] 000000 00

00015796  
BODC: TE

JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION  
502 WOOD RD RM 201  
LOUISVILLE KY 40222



034020

Employer Identification Number: [REDACTED]  
Person to Contact: B. HALL  
Toll Free Telephone Number: 1-877-829-5500

Dear TAXPAYER:

This is in response to your June 03, 2011, request for information regarding your tax-exempt status.

Our records indicate that you were recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in JULY 1983.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Please refer to our website [www.irs.gov/eo](http://www.irs.gov/eo) for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.

48164  
June 14, 2011 LTR 41680 E

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JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION  
502 WOOD RD RM 201  
LOUISVILLE KY 40222

If you have any questions, please call us at the telephone number shown in the heading of this letter.

Sincerely yours,



S. A. Martin, Operations Manager  
Accounts Management Operations

Jefferson County Public School Foundation  
July 1, 2011 - June 30, 2012  
Fund Transactions

FUNDS	Balance 30-Jun-11	Cash		Balance Current
		Receipts YTD	Disbursements YTD	
Abramson Scholarship	\$4,575.00	\$15,100.00	\$8,000.00	\$11,675.00
Aburndale	\$3,831.00	\$0.00	\$3,825.00	\$8.00
Adult Education Fund	\$15,831.96	\$1,180.00	\$13,088.88	\$3,943.08
Basics for Kids	\$27,186.36	\$3,085.02	\$0.00	\$30,271.38
Cane Run Elementary	\$9,195.00	\$6,876.00	\$6,995.00	\$9,075.00
Cane Run Playground	\$19,927.54	\$0.00	\$19,000.00	\$927.54
C.O.L.L.E.G.E.	\$0.00	\$0.00	\$0.00	\$0.00
College Going Culture	\$0.00	\$20,000.00	\$0.00	\$20,000.00
Central High School	\$15,441.00	\$1,000.00	\$12,000.00	\$4,441.00
Chris Neiligan Scholarship Fund	\$7,646.03	\$750.00	\$2,000.00	\$6,396.03
Community School	-\$9,350.95	\$9,350.95	\$0.00	\$0.00
Cummings School Fund	\$10,261.78	\$280.59	\$500.00	\$10,022.37
David Jones L. Vocal Scholarship	\$25,499.29	\$26.39	\$500.00	\$25,025.68
Every 1 Reads	\$258,801.12	\$3,275.00	\$59,560.77	\$202,515.35
Every 1 Reads More	\$0.00	\$0.00	\$0.00	\$0.00
Every 1 Reads More (Nursing)	\$0.00	\$0.00	\$0.00	\$0.00
Excel Program Fund	\$21,515.22	\$14,000.00	\$20,000.00	\$15,515.22
Family Resource & Youth Ser.Ctr.	\$15,020.22	\$0.00	\$2,091.69	\$12,928.53
Gazebo Project Can Run Elem.	\$0.00	\$0.00	\$0.00	\$0.00
Genentech NSC	\$1,267.00	\$36,390.00	\$16,202.36	\$21,454.62
Greater Louisville Education Project	\$15,024.00	\$0.00	\$0.00	\$15,024.00
Godbey Scholarship	\$1,000.00	\$0.00	\$1,000.00	\$0.00
Gordon Food Service	\$0.00	\$2,787.12	\$0.00	\$2,787.12
Innovations 13 Grants	\$436,895.26	\$214,500.00	\$341,307.38	\$310,087.88
Iroquois High	\$3,746.30	\$0.00	\$3,746.30	\$0.00
Lincoln Elementary	\$0.00	\$10,000.00	\$0.00	\$10,000.00
Lou. Education & Employment Part.	\$3,015.00	\$2,000.00	\$0.00	\$5,015.00
Metro Govt. Grants	\$186,891.63	\$108,677.99	\$237,551.31	\$58,015.31
Short Term Designated	\$87,144.81	\$137,644.64	\$83,280.42	\$141,509.03
Moore Alumni Scholarship	\$1,500.00	\$1,580.00	\$2,000.00	\$1,080.00
Neighborhood Place Fund	\$41,289.82	\$32,000.00	\$43,182.33	\$30,107.59
Newcomer Summer Program	\$59,193.78	\$0.00	\$59,193.78	\$0.00
One Community One Nation	\$159,673.33	\$0.00	\$134,844.23	\$24,829.10
Passionate About Kids	\$0.00	\$0.00	\$0.00	\$0.00
Patsy Caswell Scholarship	\$0.00	\$0.00	\$0.00	\$0.00
Portland Elementary	\$13,705.00	\$6,875.00	\$6,870.00	\$13,910.00
Rangeland Elementary	\$48,590.36	\$20,000.00	\$31,304.23	\$37,286.13
Rangeland Elementary Playground	\$6,988.61	\$0.00	\$2,666.75	\$4,321.86
Roy Birmingham Memorial	\$0.00	\$1,809.00	\$0.00	\$1,809.00
Russell Garth Leadership	\$5,907.12	\$0.00	\$1,000.00	\$4,907.12
Sam Rechter Educational Fund	\$17,987.34	\$0.00	\$4,588.16	\$13,399.18
Scholastic Aptitude	\$259.00	\$0.00	\$0.00	\$259.00
School to Career	\$0.00	\$0.00	\$0.00	\$0.00
Smart Education	\$5,000.00	\$0.00	\$5,000.00	\$0.00
STEM	\$0.00	\$41,000.00	\$13,374.49	\$27,625.51
Stephanie Kramer Scholarship	\$1,828.00	\$0.00	\$500.00	\$1,328.00
Steve W Majors Memorial Scholarship	\$2,000.00	\$400.00	\$500.00	\$1,900.00
Street Academy	\$1,810.00	\$0.00	\$0.00	\$1,810.00
Thornton Scholarship	\$4,035.38	\$0.00	\$0.00	\$4,035.38
Tools for Schools	\$0.00	\$0.00	\$0.00	\$0.00
Tugs at Your Heart	\$1,025.00	\$1,000.00	\$1,000.00	\$1,025.00
Valley High Alumni	\$0.00	\$1,206.44	\$0.00	\$1,206.44
Wellington Elementary	\$52,759.30	\$20,000.00	\$35,164.86	\$37,594.44
Western High School Early College	\$19,441.55	\$103,013.50	\$104,996.26	\$17,458.79
Western High School	\$49,192.72	\$0.00	\$541.89	\$48,650.83
Wyatt Debate Scholarship Fund	\$26,627.79	\$79,206.55	\$69,483.02	\$36,351.32
Youth Achievement	\$86,767.97	\$1,000.00	\$8,000.00	\$79,767.97
YPAS	\$6,429.78	\$4,214.76	\$7,046.47	\$3,598.07
Young Rembrandts	\$0.00	\$0.00	\$0.00	\$0.00
ZEON	\$0.00	\$579,732.66	\$247,470.52	\$432,262.14
Board Meeting Fund	\$50.00	\$850.00	\$607.16	\$292.84
Total Restricted	\$1,772,426.52	\$1,580,790.61	\$1,609,764.28	\$1,743,452.85
Unrestricted	\$13,050.00	\$11,289.25	\$1,074.35	\$23,264.90
Operating	\$249,924.61	\$2,198.42	\$23,532.53	\$228,590.50
Total Unrestricted	\$262,974.61	\$13,487.67	\$24,606.88	\$251,856.40
<b>Total Fund Balances</b>	<b>\$2,035,401.13</b>	<b>\$1,594,278.28</b>	<b>\$1,634,371.16</b>	<b>\$1,995,308.25</b>





Jefferson County Public School Foundation  
 July 1, 2011 - June 30, 2012  
 Fund Transactions

Adult Education Fund	4/18/2012		\$570.00	Treasurer JCPS	
Every One Reads	4/18/2012		\$1,253.32	Treasurer JCPS	
Metro Govt Grants	4/18/2012		\$67,669.54	Treasurer JCPS	
Western High School Early College	4/18/2012		\$6,844.13	Treasurer JCPS	
STEM Project	4/18/2012		\$13,258.77	Treasurer JCPS	
Rangeland Elementary	4/18/2012		\$14,015.60	Treasurer JCPS	
Wellington Elementary	4/18/2012		\$10,200.84	Treasurer JCPS	
One Community One Nation	4/18/2012		\$21,819.81	Treasurer JCPS	
Cane Run Elementary	4/18/2012		\$2,600.00	Treasurer JCPS	
Portland Elementary	4/18/2012		\$3,620.00	Treasurer JCPS	
YPAS	4/18/2012		\$6,000.00	Treasurer JCPS	
Genesteck NSC	4/18/2012		\$16,202.38	Treasurer JCPS	
Short Term Designated	4/18/2012		\$9,581.74	Treasurer JCPS	
Zeon	4/18/2012		\$247,470.52	Treasurer JCPS	
Neighborhood Place	4/18/2012		\$3,140.89	Treasurer JCPS	
Sam Rechter Educational Fund	4/18/2012		\$225.02	Treasurer JCPS	
Wyatt Debate	4/18/2012		\$10,216.42	Treasurer JCPS	
Newcomers	4/18/2012		\$0.50	Treasurer JCPS	
IS Innovations	4/18/2012		\$41,551.57	Treasurer JCPS	
Hoquels High	4/18/2012		\$2,744.87	Treasurer JCPS	
			\$476,787.62	Treasurer JCPS	1035
Board Meeting Fund	4/18/2012		\$181.92	Jacobs Def	1032
Western Early College	4/18/2012		\$36,682.28	Treasurer JCPS	1033
Short Term Designated	4/18/2012		\$1,229.16	Revere Hotel	1034
Short Term Designated	4/30/2012	\$3,000.00		PNC Foundation	
Neighborhood Place	4/30/2012	\$16,000.00		U of L	
Innovations I 9 Grant	4/30/2012	\$10,000.00		Hiland Lyons Comm Foundation	
Every One Reads	4/30/2012	\$1,000.00		Hwangs	
Steve Majors Scholarship	4/30/2012	\$100.00		Marie Majors	
Metro Govt Grants	4/30/2012	\$1,750.00		Metro Govt	
Western High School Early College	4/30/2012	\$27,100.00		Mered Horn Foundation	
LEEP	4/30/2012	\$800.00		Charmoli	
Short Term Designated	4/30/2012	\$2,500.00		Carlton	
Valley High School	4/30/2012	\$1,206.44		Jaggens	
Unrestricted	4/30/2012	\$500.00		Ugjian	
Unrestricted	4/30/2012	\$5,480.00		Class Art	
Short Term Designated	4/30/2012	\$3,800.00		Comm Foundation Hauser	
Short Term Designated	4/30/2012	\$3,000.00		JCPS Via PNC Foundation	
David Jones Vocal Scholarship	4/30/2012	\$2.86		Interest	
Cummings	4/30/2012	\$1.88		Interest	
Operating	4/30/2012	\$189.44		Interest	
Operating	4/30/2012		\$54.08	Sevo Charges	
Basics for Kids	4/30/2012	\$9.50		Interest	
Neighborhood Place	5/15/2012		\$18,000.00	Returned Check U of L	
Unrestricted	5/29/2012	\$300.00		Huetter	
Metro Govt Grants	5/28/2012	\$17,000.00		Metro Govt	
Roy Birmingham	5/29/2012	\$100.00		ARGI	
Roy Birmingham	5/28/2012	\$20.00		Robt	
Roy Birmingham	5/29/2012	\$20.00		Carlton	
Roy Birmingham	5/28/2012	\$25.00		Patton	
Roy Birmingham	5/28/2012	\$15.00		Schwartz	
Roy Birmingham	5/28/2012	\$100.00		Birmingham Gillespie	
Roy Birmingham	5/28/2012	\$5.00		Bowles	
Roy Birmingham	5/28/2012	\$25.00		Wright	
Roy Birmingham	5/28/2012	\$25.00		Herzeld	
Roy Birmingham	5/28/2012	\$25.00		Wataca	
Roy Birmingham	5/28/2012	\$25.00		McArthur	
Roy Birmingham	5/28/2012	\$25.00		Oreilly	
Roy Birmingham	5/28/2012	\$100.00		Lippmann	
Roy Birmingham	5/29/2012	\$25.00		Bryan	
Roy Birmingham	5/29/2012	\$100.00		Sorenson	
Roy Birmingham	5/28/2012	\$10.00		Jacobson	
Roy Birmingham	5/28/2012	\$18.00		Friedman	
Roy Birmingham	5/28/2012	\$50.00		Cumber	
Roy Birmingham	5/28/2012	\$75.00		Bernard	
Roy Birmingham	5/28/2012	\$15.00		Jeff Trial Judges	
Roy Birmingham	5/28/2012	\$50.00		Atkins	
Roy Birmingham	5/29/2012	\$36.00		Roberson	
Roy Birmingham	5/29/2012	\$20.00		Zakem	
Roy Birmingham	5/29/2012	\$20.00		Schaefer	
Roy Birmingham	5/28/2012	\$100.00		Halsell	
Roy Birmingham	5/28/2012	\$300.00		Barber	
Roy Birmingham	5/28/2012	\$100.00		Birmingham	
Roy Birmingham	5/28/2012	\$85.00		Sprague	
Roy Birmingham	5/28/2012	\$25.00		Mackell	
Roy Birmingham	5/28/2012	\$15.00		Heavin	
Roy Birmingham	5/29/2012	\$20.00		Paul	
Roy Birmingham	5/28/2012	\$5.00		Trainor	
Unrestricted	5/28/2012	\$300.00		Trainor	
College Going Culture	5/28/2012	\$10,000.00		Heath	
College Going Culture	5/29/2012	\$10,000.00		Padgett	
Short Term Designated	5/28/2012	\$3,000.00		AES Advance Electrical	
Roy Birmingham	5/28/2012	\$75.00		PNC Foundation	
Roy Birmingham	5/28/2012	\$50.00		Greenlee	
Roy Birmingham	5/28/2012	\$25.00		Ryan	
Steve Majors Scholarship	5/28/2012	\$100.00		Gordon	
				Majors	

Jefferson County Public School Foundation  
 July 1, 2011 - June 30, 2012  
 Fund Transactions

David Jones Vocal Scholarship	5/30/2012	\$2.27		Interest	
Cummings	5/30/2012	\$0.92		Interest	
Operating	5/30/2012	\$171.52		Interest	
Operating	5/30/2012		\$53.61	Save Charges	
Wyatt Debate Scholarships	6/7/2012		\$4,400.00	Indiana University	1036
Wyatt Debate Scholarships	6/7/2012		\$5,400.00	Western Kentucky University	1037
Wyatt Debate Scholarships	6/7/2012		\$550.00	Illinois State University	1038
Wyatt Debate Scholarships	6/7/2012		\$1,800.00	Capitol Debate Camp	1039
Wyatt Debate Scholarships	6/7/2012		\$2,600.00	University of North Texas	1040
Wyatt Debate Scholarships	6/7/2012		\$4,500.00	Harvard University	1041
Wyatt Debate Scholarships	6/7/2012		\$435.00	Cameron College	1042
Wyatt Debate Scholarships	6/7/2012		\$1,200.00	Harvard University	1043
Wyatt Debate Scholarships	6/7/2012		\$1,300.00	Georgetown University	1044
Wyatt Debate Scholarships	6/7/2012		\$1,300.00	University of North Texas	1045
Metro Govt Grants	6/7/2012		\$42.80	Louisville Metro Government	1046
Metro Govt Grants	6/7/2012		\$1,100.00	Louisville Metro Government	1047
Metro Govt Grants	6/7/2012		\$3,000.00	Louisville Metro Government	1048
Metro Govt Grants	6/7/2012		\$340.07	Louisville Metro Government	1049
Metro Govt Grants	6/7/2012		\$2,500.00	Louisville Metro Government	1050
Metro Govt Grants	6/7/2012		\$3,000.00	Louisville Metro Government	1051
Metro Govt Grants	6/7/2012		\$2,365.00	Louisville Metro Government	1052
Short Term Designated	6/7/2012	\$2,904.84		Harvard Lyons Stock Sale	
YPAS	6/7/2012	\$1,464.78		Harvard Lyons Stock Sale	
Roy Birmingham	6/7/2012	\$25.00		Hoffman	
Roy Birmingham	6/7/2012	\$30.00		Wagner	
Metro Govt Grants	6/7/2012	\$2,000.00		Louisville Metro Government	
Short Term Designated	6/7/2012	\$3,000.00		OKK	
Moore Alumni Scholarship	6/7/2012	\$25.00		Howard	
Moore Alumni Scholarship	6/7/2012	\$50.00		Pitcock	
Moore Alumni Scholarship	6/7/2012	\$100.00		Hooker	
Moore Alumni Scholarship	6/7/2012	\$50.00		Strange	
Moore Alumni Scholarship	6/7/2012	\$40.00		Harris	
Moore Alumni Scholarship	6/7/2012	\$115.00		Strange	
Moore Alumni Scholarship	6/7/2012	\$500.00		Patterson	
Moore Alumni Scholarship	6/7/2012	\$500.00		Wetzel	
Moore Alumni Scholarship	6/7/2012	\$100.00		Litsey	
Moore Alumni Scholarship	6/7/2012	\$100.00		Ohlmann	
Unrestricted	6/7/2012		\$300.00	Heather Returned Check	
Innovations 13 Grant	6/12/2012	\$25,000.00		Humana	
Steve Majors Scholarship	6/12/2012	\$100.00		Majors	
Tugs at Your Heart	6/12/2012	\$1,000.00		Jeff Co Counselors	
Short Term Designated	6/12/2012		\$71.00	Willy's Party Supply	1053
Short Term Designated	6/12/2012		\$515.00	Susans Florist	1054
Short Term Designated	6/12/2012		\$3,267.00	University of Louisville Office of President	1055
Cummings Scholarship	6/12/2012		\$250.00	University of Kentucky	1056
Abrahamson Scholarship	6/12/2012		\$2,000.00	Western Kentucky University	1057
Abrahamson Scholarship	6/12/2012		\$2,000.00	Western Kentucky University	1058
Youth Achievement Scholarship	6/12/2012		\$1,000.00	University of Louisville	1059
Youth Achievement Scholarship	6/12/2012		\$1,000.00	Miligan College	1060
Youth Achievement Scholarship	6/12/2012		\$1,000.00	Indiana University Southeast	1061
Tugs at Your Heart	6/12/2012		\$1,000.00	University of Louisville	1062
Chris Nelligan Scholarship	6/12/2012		\$1,000.00	University of Kentucky	1063
Central High School	6/12/2012		\$2,000.00	University of Louisville	1064
Central High School	6/12/2012		\$2,000.00	University of Cincinnati	1065
David Jones Vocal Scholarship	6/12/2012		\$250.00	University of Louisville	1066
Moore Alumni Scholarship	6/12/2012		\$1,700.00	University of Louisville	1067
Stephanie Kramer memorial Scholarship	6/12/2012		\$500.00	Eastern Kentucky University	1068
Russell Garth Leadership	6/14/2012		\$1,000.00	Cane Run Elementary	1069
Unrestricted	6/14/2012		\$285.70	Treasurer JCP&S	1070
Operating	6/14/2012		\$485.50	Rotary Club of Louisville	1071
Metro Govt Grants	6/14/2012		\$2,000.00	Louisville Metro Government	1072
Excel Awards	6/14/2012		\$1,000.00	Morningside Elementary	1073
Excel Awards	6/14/2012		\$1,000.00	Abraham Lincoln Elementary	1074
Excel Awards	6/14/2012		\$1,000.00	James T Alton Middle School	1075
Excel Awards	6/14/2012		\$1,000.00	Pleasant Ridge Elementary	1076
Excel Awards	6/14/2012		\$1,000.00	Goshen Elementary	1077
Excel Awards	6/14/2012		\$1,000.00	Highland Hills Middle	1078
Excel Awards	6/14/2012		\$1,000.00	Simpsonville Elementary School	1079
Short Term Designated	6/14/2012	\$32,140.00		C E & S Foundation	
Short Term Designated	6/14/2012	\$22,500.00		C E & S Foundation	
Short Term Designated	6/22/2012	\$2,500.00		Network for Good	
Unrestricted	6/22/2012	\$250.00		Seller	
Metro Govt Grants	6/22/2012	\$500.00		Metro Govt	
Metro Govt Grants	6/22/2012		\$1,326.58	Metro Govt	1080
Youth Achievement Scholarship	6/22/2012		\$1,000.00	Georgetown College	1081
Metro Govt Grants	6/28/2012	\$1,200.00		Metro Govt	
Short Term Designated	6/28/2012	\$2,500.00		Community Health Systems	
Basics for Kids	6/28/2012	\$2,807.82		Gordon Food Service	
STEM	6/28/2012	\$1,000.00		DOW	
Neighborhood Place	6/28/2012	\$18,000.00		U of L	
Wyatt Debate Scholarships	6/30/2012	\$1,200.00		Cancelled Check Georgetown University 12259	
David Jones Vocal Scholarship	6/30/2012	\$1.99		Interest	
Cummings	6/30/2012	\$0.80		Interest	
Operating	6/30/2012	\$155.20		Interest	
Operating	6/30/2012		\$128.85	Save Charges	

# Jefferson County Public Education Foundation Nominations Committee

Chairman - Henry Heuser , Jr.

**8-Aug-12**

## **Slate of Officers**

Jim Allen, Chairman  
Franklin Jelsma, Vice Chair  
Joe Seiler, Sec/Treasurer

## **Term**

2011-2012  
2011-2012  
2011-2012

Elect as Chairman  
Re-Elect as Sec/Tres

## **Board**

Jim Allen  
Henry Heuser Jr.  
Scott Casey  
Franklin Jelsma  
Audwin Helton  
Claire Alagia  
Paul Thompson  
Kevin Shurn  
Jeff Uigian  
Alice Houston  
Mark Shirkness  
Mike Brown  
Sam Corbett  
Joe Seiler  
John Gant  
Al Cornish  
Bill Simpson  
Lynn Heuther  
Malcolm Chancey

## **Term**

2012  
2012  
2012  
2013  
2013  
2014  
2014  
2014  
2014  
2014  
2014  
2014  
2014  
2014  
2014  
2014  
2015  
2015  
2015

Emeritus

# Corporate Business Account Statement



For the period 06/01/2012 to 06/29/2012  
005523



JEFFERSON CO PUB EDU FOUNDATION  
ATTN JOSEPH SEILER  
K1 K201 07 1  
101 S 5TH ST FL 7  
LOUISVILLE KY 40202-3157

Account number: [REDACTED]

Page 1 of 4

Number of enclosures: 0

Tax ID Number: [REDACTED]

For Client Services:  
Call 1-800-869-1518

Visit us at PNC.com/treasury

Write to: Treas Mgmt Client Care  
One Financial Parkway  
Locator Z1-Yb42-03-1  
Kalamazoo, MI 49009

## Account Summary Information

### Balance Summary

Beginning balance	Deposits and other credits	Checks and other debits	Ending balance
0.00	155,838.86	139,938.86	15,900.00

### Deposits and Other Credits

Description	Items	Amount
Deposits	6	118,502.32
National Lockbox	0	0.00
ACH Credits	0	0.00
Funds Transfers In	21	158.99
Trade Services	0	0.00
Investments	7	37,177.55
Zero Balance Transfers	0	0.00
Adjustments	0	0.00
Other Credits	0	0.00
<b>Total</b>	<b>34</b>	<b>155,838.86</b>

### Checks and Other Debits

Description	Items	Amount
Checks	23	37,917.50
Returned Items	1	300.00
ACH Debits	0	0.00
Funds Transfers Out	0	0.00
Trade Services	0	0.00
Investments	14	101,592.51
Zero Balance Transfers	0	0.00
Adjustments	0	0.00
Other Debits	1	128.85
<b>Total</b>	<b>39</b>	<b>139,938.86</b>

### Ledger Balance

Date	Ledger balance	Date	Ledger balance	Date	Ledger balance
06/01	0.00	06/12	0.00	06/21	0.00
06/04	0.00	06/13	25,000.00	06/22	2,900.00
06/05	0.00	06/14	54,540.00	06/25	0.00
06/06	0.00	06/15	0.00	06/26	0.00
06/07	0.00	06/18	0.00	06/27	0.00
06/08	10,564.00	06/19	0.00	06/28	7,407.00
06/11	0.00	06/20	0.00	06/29	15,900.00

## Deposits and Other Credits

### Deposits

6 transactions for a total of \$ 118,502.32

Date posted	Amount	Transaction description	Reference number
06/08	11,004.40	Deposit	550722075
06/13	26,100.00	Deposit	137936266
06/14	54,640.00	Deposit	137014980
06/22	3,250.00	Deposit	550145672
06/28	7,507.92	Deposit	550256228
06/29	16,000.00	Deposit	137088494



# Corporate Business Account Statement

JEFFERSON CO PUB EDU FOUNDATION  
ATTN JOSEPH SEILER

For the period 06/01/2012 to 06/29/2012

Account number: [REDACTED]

Page 2 of 4

## Deposits and Other Credits - continued

### Funds Transfers In

21 transactions for a total of \$ 158.99

Date posted	Amount	Transaction description	Reference number
06/01	5.39	Interest For Repo Sweep	00000000000370
06/04	16.16	Interest For Repo Sweep	00000000000370
06/05	5.39	Interest For Repo Sweep	00000000000368
06/06	5.39	Interest For Repo Sweep	00000000000366
06/07	5.39	Interest For Repo Sweep	00000000000368
06/08	5.39	Interest For Repo Sweep	00000000000369
06/11	16.16	Interest For Repo Sweep	00000000000368
06/12	5.39	Interest For Repo Sweep	00000000000369
06/13	5.42	Interest For Repo Sweep	00000000000374
06/14	5.42	Interest For Repo Sweep	00000000000378
06/15	5.42	Interest For Repo Sweep	00000000000375
06/18	16.47	Interest For Repo Sweep	00000000000374
06/19	5.64	Interest For Repo Sweep	00000000000370
06/20	5.64	Interest For Repo Sweep	00000000000369
06/21	5.61	Interest For Repo Sweep	00000000000371
06/22	5.60	Interest For Repo Sweep	00000000000368
06/25	16.79	Interest For Repo Sweep	00000000000372
06/26	5.59	Interest For Repo Sweep	00000000000374
06/27	5.60	Interest For Repo Sweep	00000000000370
06/28	5.57	Interest For Repo Sweep	00000000000377
06/29	5.56	Interest For Repo Sweep	00000000000373

### Investments

7 transactions for a total of \$ 37,177.55

Date posted	Amount	Transaction description
06/01	294.61	Repo Sweep/Investment Position 1,938,866.38
06/19	11,490.86	Repo Sweep/Investment Position 2,018,662.42
06/20	4,394.36	Repo Sweep/Investment Position 2,014,268.06
06/22	2,459.40	Repo Sweep/Investment Position 2,011,814.27
06/26	10,150.41	Repo Sweep/Investment Position 2,004,580.65
06/27	3,994.40	Repo Sweep/Investment Position 2,000,586.25
06/28	4,393.51	Repo Sweep/Investment Position 1,996,192.74

## Checks and Other Debits

### Checks and Substitute Checks

23 transactions for a total of \$ 37,917.50

Date posted	Check number	Amount	Reference number	Date posted	Check number	Amount	Reference number	Date posted	Check number	Amount	Reference number
06/15	1038	550.00	090731234	06/22	1039	1,300.00	090436199	06/26	1066	250.00	077651987
06/19	1041	4,500.00	098026366	06/22	1060	1,000.00	090129080	06/26	1053	71.00	096033323
06/19	1040	2,600.00	096314319	06/22	1054	515.00	090393780	06/27	1058	2,000.00	096517803
06/19	1044	1,300.00	070521243	06/26	1037	5,400.00	095956634	06/27	1057	2,000.00	096517804
06/19	1043	1,300.00	098026385	06/26	1064	2,000.00	077651988	06/28	1065	2,000.00	015230378
06/19	1045	1,300.00	096314318	06/26	1059	1,000.00	077651965	06/28	1072	2,000.00	089214968
06/19	1071	496.50	096366950	06/26	1062	1,000.00	077651966	06/28	1068	500.00	552658778
06/20	1036	4,400.00	089120284	06/26	1042	435.00	095915979				

# Corporate Business Account Statement

JEFFERSON CO PUB EDU FOUNDATION  
ATTN JOSEPH SEILER



For the period 06/01/2012 to 06/29/2012

Account number: [REDACTED]

Page 3 of 4

## Checks and Other Debits - continued

### Returned Items

**1 transaction for a total of \$ 300.00**

Date posted	Amount	Transaction description	Reference number
06/01	300.00	Ret Dep Item	103973 0529550416405STOP

### Investments

**14 transactions for a total of \$ 101,592.51**

Date posted	Amount	Transaction description
06/04	16.16	Repo Sweep/Investment Position
06/05	5.39	Repo Sweep/Investment Position
06/06	5.39	Repo Sweep/Investment Position
06/07	5.39	Repo Sweep/Investment Position
06/08	445.79	Repo Sweep/Investment Position
06/11	10,580.16	Repo Sweep/Investment Position
06/12	5.39	Repo Sweep/Investment Position
06/13	1,105.42	Repo Sweep/Investment Position
06/14	25,105.42	Repo Sweep/Investment Position
06/15	53,995.42	Repo Sweep/Investment Position
06/18	16.47	Repo Sweep/Investment Position
06/21	5.61	Repo Sweep/Investment Position
06/25	2,916.79	Repo Sweep/Investment Position
06/29	7,383.71	Repo Sweep/Investment Position

### Other Debits

**1 transaction for a total of \$ 128.85**

Date posted	Amount	Transaction description	Reference number
06/29	128.85	Corporate Account Analysis Charge	000000000000023539

## Check and Substitute Check Summary

\* Gap in check sequence

Check number	Amount	Date paid	Reference number	Check number	Amount	Date paid	Reference number	Check number	Amount	Date paid	Reference number
1036	4,400.00	06/20	089120284	1044	1,300.00	06/19	070521243	1062	1,000.00	06/26	077651968
1037	5,400.00	06/26	095956834	1045	1,300.00	06/19	096314318	1064	2,000.00	06/26	077651968
1038	550.00	06/15	090731234	1053	71.00	06/20	096033323	1065	2,000.00	06/28	015230378
1039	1,300.00	06/22	090436199	1054	515.00	06/22	090393790	1066	250.00	06/26	077651967
1040	2,600.00	06/19	096314319	1057	2,000.00	06/27	096517804	1068	500.00	06/28	552856778
1041	4,500.00	06/19	096026366	1058	2,000.00	06/27	096517803	1071	496.50	06/19	096365950
1042	435.00	06/26	095915979	1059	1,000.00	06/26	077651965	1072	2,000.00	06/28	089214968
1043	1,300.00	06/19	096026365	1060	1,000.00	06/22	080125080				



# MONROE SHINE

KNOWLEDGE FOR TODAY . . . VISION FOR TOMORROW

1200 FOREST BRIDGE RD, SUITE 200, LOUISVILLE, KY 40223 • PO BOX 22039, LOUISVILLE, KY 40282 • PHONE 502-423-0311 • FAX 502-336-7103

Jefferson County Public Education  
Foundation, Inc.  
P.O. Box 35368  
Louisville, KY 40202

Jefferson County Public Education Foundation, Inc.:

Enclosed is the organization's 2010 Exempt Organization  
return.

Specific filing instructions are as follows.

**FORM 990 RETURN:**

This return has been prepared for electronic filing. If you wish to have it transmitted electronically to the IRS, please sign, date, and return Form 8879-EO to our office. We will then submit the electronic return to the IRS. Do not mail a paper copy of the return to the IRS.

Please review the return for completeness and accuracy.

We have prepared the return from information you furnished us without verification. Upon examination of the return by tax authorities, requests may be made for underlying data. We therefore recommend that you preserve all records which you may be called upon to produce in connection with such possible examinations.

We have enclosed mailing envelopes for your convenience in filing the return.

We sincerely appreciate the opportunity to serve you. Please contact us if you have any questions concerning the tax return.



A copy of the return is enclosed for your files. We suggest that you retain this copy indefinitely.

Very truly yours,

Monroe Shine & CO., Inc., CPA's

# TAX RETURN FILING INSTRUCTIONS

FORM 990

FOR THE YEAR ENDING

June 30, 2011

<b>Prepared for</b>	Jefferson County Public Education Foundation, Inc. P.O. Box 35368 Louisville, KY 40202
<b>Prepared by</b>	Monroe Shine & CO., Inc. P.O. Box 22039 Louisville, KY 40252
<b>Amount due or refund</b>	Not applicable
<b>Make check payable to</b>	Not applicable
<b>Mail tax return and check (if applicable) to</b>	Not applicable
<b>Return must be mailed on or before</b>	Not applicable
<b>Special instructions</b>	This return has been prepared for electronic filing. If you wish to have it transmitted electronically to the IRS, please sign, date, and return Form 8879-EO to our office. We will then submit the electronic return to the IRS. Do not mail a paper copy of the return to the IRS.

**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

OMB No. 1545-0047

**2010**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements.

**A** For the **2010** calendar year, or tax year beginning **JUL 1, 2010** and ending **JUN 30, 2011**

<b>B</b> Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Ruling or determination <input type="checkbox"/> Accounting method <input type="checkbox"/> Application for recognition	<b>C</b> Name of organization <b>JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.</b> Doing Business As		<b>D</b> Employer identification number [REDACTED]
	Number and street (or P.O. box if mail is not delivered to street address), Room/suite <b>P.O. BOX 35368</b>		<b>E</b> Telephone number <b>502-585-5347</b>
	City or town, state or country, and ZIP + 4 <b>LOUISVILLE, KY 40202</b>		<b>G</b> Gross receipts <b>1,370,886.</b>
	<b>F</b> Name and address of principal officer: <b>JOSEPH SEILER</b> <b>101 SOUTH FIFTH STREET, LOUISVILLE, KY 40202</b>		<b>H(a)</b> Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>H(b)</b> Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions) <b>H(c)</b> Group exemption number ▶
<b>I</b> Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3); <input type="checkbox"/> 501(c)( ) (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527			
<b>J</b> Website: ▶ <b>N/A</b>			
<b>K</b> Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶			
<b>L</b> Year of formation: <b>1983</b> <b>M</b> State of legal domicile: <b>KY</b>			

**Part I Summary**

<b>Activities &amp; Governance</b>	<b>1</b> Briefly describe the organization's mission or most significant activities: <b>SUPPORT OF JEFFERSON COUNTY, KENTUCKY PUBLIC SCHOOLS</b>	
	<b>2</b> Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.	
	<b>3</b> Number of voting members of the governing body (Part VI, line 1a)	<b>23</b>
	<b>4</b> Number of independent voting members of the governing body (Part VI, line 1b)	<b>23</b>
	<b>5</b> Total number of individuals employed in calendar year 2010 (Part V, line 2a)	<b>0</b>
	<b>6</b> Total number of volunteers (estimate if necessary)	<b>0</b>
	<b>7a</b> Total unrelated business revenue from Part VIII, column (C), line 12	<b>0.</b>
<b>7b</b> Net unrelated business taxable income from Form 990-T, line 34	<b>0.</b>	
<b>Revenue</b>	<b>8</b> Contributions and grants (Part VIII, line 11)	Prior Year: <b>1,477,852.</b> Current Year: <b>1,368,251.</b>
	<b>9</b> Program service revenue (Part VIII, line 2g)	0. / 0.
	<b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d)	2,117. / 2,635.
	<b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	0. / 0.
	<b>12</b> Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	1,479,969. / 1,370,886.
<b>Expenses</b>	<b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1-3)	1,153,390. / 1,127,637.
	<b>14</b> Benefits paid to or for members (Part IX, column (A), line 4)	0. / 0.
	<b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0. / 0.
	<b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e)	0. / 0.
	<b>b</b> Total fundraising expenses (Part IX, column (D), line 25) ▶	0.
	<b>17</b> Other expenses (Part IX, column (A), lines 11a-11d, 11f-24)	7,942. / 6,601.
	<b>18</b> Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	1,161,332. / 1,134,238.
<b>19</b> Revenue less expenses. Subtract line 18 from line 12	318,637. / 236,648.	
<b>Net Assets or Fund Balances</b>	<b>20</b> Total assets (Part X, line 16)	Beginning of Current Year: <b>1,798,753.</b> End of Year: <b>2,035,401.</b>
	<b>21</b> Total liabilities (Part X, line 26)	0. / 0.
	<b>22</b> Net assets or fund balances. Subtract line 21 from line 20	1,798,753. / 2,035,401.

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	Signature of officer <b>JOSEPH SEILER, SECRETARY/TREASURER</b> Type or print name and title	Date
	[Signature]	

<b>Paid Preparer Use Only</b>	Print/Type preparer's name <b>JEREMY M FINN, CPA</b>	Preparer's signature [Signature] <b>CPA</b>	Date <b>5-14-12</b>	Preparer's PTIN [REDACTED]
	Firm's name <b>MONROE SHINE &amp; CO., INC.</b>	Firm's address <b>P.O. BOX 22039 LOUISVILLE, KY 40252</b>	Firm's EIN [REDACTED]	Phone no. <b>(502) 423-0311</b>

May the IRS discuss this return with the preparer shown above? (see instructions)  Yes  No

JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.

Form 990 (2010)

Page 2

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III

1 Briefly describe the organization's mission:  
**SUPPORT OF JEFFERSON COUNTY, KENTUCKY PUBLIC SCHOOLS**

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?  Yes  No  
If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?  Yes  No  
If "Yes," describe these changes on Schedule O.

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: \_\_\_\_\_) (Expenses \$ 1,127,637. including grants of \$ \_\_\_\_\_) (Revenue \$ \_\_\_\_\_)  
**GRANTS AND ALLOCATIONS TO THE JEFFERSON COUNTY PUBLIC SCHOOL SYSTEM IN KENTUCKY AND INDIVIDUALS TO PROMOTE EDUCATIONAL IMPROVEMENTS IN ELEMENTARY, MIDDLE, AND HIGH SCHOOLS AND EARLY CHILDHOOD EDUCATION.**

4b (Code: \_\_\_\_\_) (Expenses \$ \_\_\_\_\_ including grants of \$ \_\_\_\_\_) (Revenue \$ \_\_\_\_\_)

4c (Code: \_\_\_\_\_) (Expenses \$ \_\_\_\_\_ including grants of \$ \_\_\_\_\_) (Revenue \$ \_\_\_\_\_)

4d Other program services. (Describe in Schedule O.)  
(Expenses \$ \_\_\_\_\_ including grants of \$ \_\_\_\_\_) (Revenue \$ \_\_\_\_\_)

4e Total program service expenses **▶ 1,127,637.**

**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

Form 990 (2010)

Page 3

**Part IV Checklist of Required Schedules**

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i> .....	<b>X</b>	
2 Is the organization required to complete Schedule B, Schedule of Contributors? .....	<b>X</b>	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i> .....		<b>X</b>
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i> .....		<b>X</b>
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i> .....		
6 Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i> .....		<b>X</b>
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i> .....		<b>X</b>
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i> .....		<b>X</b>
9 Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i> .....		<b>X</b>
10 Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i> .....		<b>X</b>
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i> .....		<b>X</b>
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i> .....		<b>X</b>
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i> .....		<b>X</b>
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i> .....		<b>X</b>
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i> .....		<b>X</b>
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i> .....		<b>X</b>
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII</i> .....	<b>X</b>	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional</i> .....		<b>X</b>
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i> .....		<b>X</b>
14a Did the organization maintain an office, employees, or agents outside of the United States? .....		<b>X</b>
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? <i>If "Yes," complete Schedule F, Parts I and IV</i> .....		<b>X</b>
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Parts II and IV</i> .....		<b>X</b>
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Parts III and IV</i> .....		<b>X</b>
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> .....		<b>X</b>
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i> .....		<b>X</b>
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i> .....		<b>X</b>
20a Did the organization operate one or more hospitals? <i>If "Yes," complete Schedule H</i> .....		<b>X</b>
b If "Yes" to line 20a, did the organization attach its audited financial statements to this return? <i>Note. Some Form 990 filers that operate one or more hospitals must attach audited financial statements (see instructions)</i> .....		

Form 990 (2010)

**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

Form 990 (2010)

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**Part IV Checklist of Required Schedules (continued)**

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	X	
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J		X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? If "Yes," complete Schedule L, Part III		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV		X
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I		X
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1		X
35 Is any related organization a controlled entity within the meaning of section 512(b)(13)?		X
a Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O	X	

Form 990 (2010)

**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

Form 990 (2010)

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**Part V Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response to any question in this Part V

		Yes	No
1a	Enter the number reported in Box 3 of Form 1099. Enter -0- if not applicable		
1a	0		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		
1b	0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		
1c			
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return		
2a	0		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <i>Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file. (see instructions)</i>		
2b			
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?		X
3b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		X
b	If "Yes," enter the name of the foreign country: See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		
5c			
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
6b			
7	<b>Organizations that may receive deductible contributions under section 170(c).</b>		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?		X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		
7b			
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		X
7c			
d	If "Yes," indicate the number of Forms 8282 filed during the year		
7d			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		
7e			
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		
7f			
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		
7g			
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		
7h			
8	<b>Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.</b> Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		
8			
9	<b>Sponsoring organizations maintaining donor advised funds.</b>		
a	Did the organization make any taxable distributions under section 4966?		
9a			
b	Did the organization make a distribution to a donor, donor advisor, or related person?		
9b			
10	<b>Section 501(c)(7) organizations.</b> Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
11	<b>Section 501(c)(12) organizations.</b> Enter:		
a	Gross income from members or shareholders	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b	
12a	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	
13	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>		
a	Is the organization licensed to issue qualified health plans in more than one state? <i>Note. See the instructions for additional information the organization must report on Schedule O.</i>	13a	
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b	
c	Enter the amount of reserves on hand	13c	
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a	X
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b	

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FOUNDATION, INC.**

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**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI

**Section A. Governing Body and Management**

	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year		
1a		23
b Enter the number of voting members included in line 1a, above, who are independent		
1b		23
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?		X
6 Does the organization have members or stockholders?		X
7a Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?		X
b Are any decisions of the governing body subject to approval by members, stockholders, or other persons?		X
7b		X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a The governing body?	X	
8a	X	
b Each committee with authority to act on behalf of the governing body?	X	
8b	X	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		X
9		X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Does the organization have local chapters, branches, or affiliates?		X
10a		X
b If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?		
10b		
11a Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?		X
11a		X
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Does the organization have a written conflict of interest policy? If "No," go to line 13		X
12a		X
b Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?		
12b		
c Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done		
12c		
13 Does the organization have a written whistleblower policy?		X
13		X
14 Does the organization have a written document retention and destruction policy?		X
14		X
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official		X
15a		X
b Other officers or key employees of the organization		X
15b		X
If "Yes" to line 15a or 15b, describe the process in Schedule O. (See instructions.)		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
16a		X
b If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?		
16b		

**Section C. Disclosure**

- 17 List the states with which a copy of this Form 990 is required to be filed **NONE**
- 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.  
 Own website     Another's website     Upon request
- 19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.
- 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: **▶**  
**JOE SEILER, TREASURER - 502-581-4331**  
**101 SOUTH FIFTH STREET, LOUISVILLE, KY 40202**



**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response to any question in this Part VII

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
JAMES R ALLEN CHAIRMAN	1.00	X		X			0.	0.	0.	
FRANKLIN JELSMA VICE CHAIRMAN	1.00	X		X			0.	0.	0.	
JOE SIBLER SECRETARY/TREASURER	3.00	X		X			0.	0.	0.	
SAM CORBETT MEMBER	1.00	X					0.	0.	0.	
CLAIRE ALAGIA MEMBER	1.00	X					0.	0.	0.	
MARTY BONICK MEMBER	1.00	X					0.	0.	0.	
MIKE BROWN MEMBER	1.00	X					0.	0.	0.	
SCOTT CASEY MEMBER	1.00	X					0.	0.	0.	
JOHN GANT MEMBER	1.00	X					0.	0.	0.	
AUDWIN HELTON MEMBER	1.00	X					0.	0.	0.	
HENRY HEUSER JR MEMBER	1.00	X					0.	0.	0.	
ALICE HOUSTON MEMBER	1.00	X					0.	0.	0.	
KEN SELVAGGI MEMBER	1.00	X					0.	0.	0.	
MARY PAT REGAN MEMBER	1.00	X					0.	0.	0.	
MARK SHIRKNBSS MEMBER	1.00	X					0.	0.	0.	
KEVIN SHURN MEMBER	1.00	X					0.	0.	0.	
PAUL THOMPSON MEMBER	1.00	X					0.	0.	0.	

**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

(A) Name and title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Fellow			
<b>JEFF ULIGIAN</b> MEMBER	1.00	X					0.	0.	0.	
<b>MARY GWEN WHEELER</b> MEMBER	1.00	X					0.	0.	0.	
<b>MALCOLM B CHANCEY JR</b> MEMBER	1.00	X					0.	0.	0.	
<b>DR DONNA M HARGENS</b> MEMBER	1.00	X					0.	0.	0.	
<b>DEVONE HOLT</b> MEMBER	1.00	X					0.	0.	0.	
<b>LINDA JOHNSON</b> MEMBER	1.00	X					0.	0.	0.	
<b>1b Sub-total</b> .....							0.	0.	0.	
<b>c Total from continuation sheets to Part VII, Section A</b> .....							0.	0.	0.	
<b>d Total (add lines 1b and 1c)</b> .....							0.	0.	0.	

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization **0**

	Yes	No
<b>3</b> Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual .....		X
<b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual .....		X
<b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person .....		X

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. **NONE**

(A) Name and business address	(B) Description of services	(C) Compensation

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **0**

**JEFFERSON COUNTY PUBLIC EDUCATION  
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**Part VIII Statement of Revenue**

		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514	
<b>Contributions, gifts, grants and other similar amounts</b>	<b>1 a</b> Federated campaigns	1a				
	<b>b</b> Membership dues	1b				
	<b>c</b> Fundraising events	1c				
	<b>d</b> Related organizations	1d				
	<b>e</b> Government grants (contributions)	1e				
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above	1f	1,368,251.			
	<b>g</b> Noncash contributions included in lines 1a-1f \$					
	<b>h</b> Total. Add lines 1a-1f		1,368,251.			
<b>Program Service Revenue</b>	<b>2 a</b> _____	Business Code				
	<b>b</b> _____					
	<b>c</b> _____					
	<b>d</b> _____					
	<b>e</b> _____					
	<b>f</b> All other program service revenue					
	<b>g</b> Total. Add lines 2a-2f					
<b>Other Revenue</b>	<b>3</b> Investment income (including dividends, interest, and other similar amounts)		2,635.	2,635.		
	<b>4</b> Income from investment of tax-exempt bond proceeds					
	<b>5</b> Royalties					
	<b>6 a</b> Gross Rents	(i) Real				
		(ii) Personal				
		<b>b</b> Less: rental expenses				
		<b>c</b> Rental income or (loss)				
	<b>d</b> Net rental income or (loss)					
	<b>7 a</b> Gross amount from sales of assets other than inventory	(i) Securities				
		(ii) Other				
		<b>b</b> Less: cost or other basis and sales expenses				
		<b>c</b> Gain or (loss)				
	<b>d</b> Net gain or (loss)					
	<b>8 a</b> Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18	a				
		<b>b</b> Less: direct expenses	b			
<b>c</b> Net income or (loss) from fundraising events						
<b>9 a</b> Gross income from gaming activities. See Part IV, line 19	a					
	<b>b</b> Less: direct expenses	b				
	<b>c</b> Net income or (loss) from gaming activities					
<b>10 a</b> Gross sales of inventory, less returns and allowances	a					
	<b>b</b> Less: cost of goods sold	b				
	<b>c</b> Net income or (loss) from sales of inventory					
<b>Miscellaneous Revenue</b>		Business Code				
<b>11 a</b> _____						
	<b>b</b> _____					
	<b>c</b> _____					
	<b>d</b> All other revenue					
	<b>e</b> Total. Add lines 11a-11d					
<b>12</b> Total revenue. See instructions.		1,370,886.	2,635.	0.	0.	

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**Part IX Statement of Functional Expenses**

*Section 501(c)(3) and 501(c)(4) organizations must complete all columns.  
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).*

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21	1,127,637.	1,127,637.		
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees				
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages				
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)				
9 Other employee benefits				
10 Payroll taxes				
11 Fees for services (non-employees):				
a Management				
b Legal				
c Accounting	5,658.		5,658.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other	731.		731.	
12 Advertising and promotion				
13 Office expenses	212.		212.	
14 Information technology				
15 Royalties				
16 Occupancy				
17 Travel				
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization				
23 Insurance				
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24f. If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O.)				
a				
b				
c				
d				
e				
f All other expenses				
<b>25 Total functional expenses. Add lines 1 through 24f</b>	<b>1,134,238.</b>	<b>1,127,637.</b>	<b>6,601.</b>	<b>0.</b>
26 Joint costs. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720). Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

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**Part X Balance Sheet**

		(A) Beginning of year		(B) End of year	
<b>Assets</b>	<b>1</b> Cash - non-interest-bearing .....	192,164.	<b>1</b>	6,010.	
	<b>2</b> Savings and temporary cash investments .....	1,606,589.	<b>2</b>	2,029,391.	
	<b>3</b> Pledges and grants receivable, net .....		<b>3</b>		
	<b>4</b> Accounts receivable, net .....		<b>4</b>		
	<b>5</b> Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L .....		<b>5</b>		
	<b>6</b> Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) .....		<b>6</b>		
	<b>7</b> Notes and loans receivable, net .....		<b>7</b>		
	<b>8</b> Inventories for sale or use .....		<b>8</b>		
	<b>9</b> Prepaid expenses and deferred charges .....		<b>9</b>		
	<b>10a</b> Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D .....	<b>10a</b>		<b>10c</b>	
	<b>b</b> Less: accumulated depreciation .....	<b>10b</b>			
	<b>11</b> Investments - publicly traded securities .....		<b>11</b>		
	<b>12</b> Investments - other securities. See Part IV, line 11 .....		<b>12</b>		
	<b>13</b> Investments - program-related. See Part IV, line 11 .....		<b>13</b>		
	<b>14</b> Intangible assets .....		<b>14</b>		
	<b>15</b> Other assets. See Part IV, line 11 .....		<b>15</b>		
<b>16</b> Total assets. Add lines 1 through 15 (must equal line 34) .....		1,798,753.	<b>16</b>	2,035,401.	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses .....		<b>17</b>		
	<b>18</b> Grants payable .....		<b>18</b>		
	<b>19</b> Deferred revenue .....		<b>19</b>		
	<b>20</b> Tax-exempt bond liabilities .....		<b>20</b>		
	<b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D .....		<b>21</b>		
	<b>22</b> Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L .....		<b>22</b>		
	<b>23</b> Secured mortgages and notes payable to unrelated third parties .....		<b>23</b>		
	<b>24</b> Unsecured notes and loans payable to unrelated third parties .....		<b>24</b>		
	<b>25</b> Other liabilities. Complete Part X of Schedule D .....		<b>25</b>		
	<b>26</b> Total liabilities. Add lines 17 through 25 .....		0.	<b>26</b>	0.
<b>Net Assets or Fund Balances</b>	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.				
	<b>27</b> Unrestricted net assets .....	356,892.	<b>27</b>	262,975.	
	<b>28</b> Temporarily restricted net assets .....	1,441,861.	<b>28</b>	1,772,426.	
	<b>29</b> Permanently restricted net assets .....		<b>29</b>		
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.				
	<b>30</b> Capital stock or trust principal, or current funds .....		<b>30</b>		
	<b>31</b> Paid-in or capital surplus, or land, building, or equipment fund .....		<b>31</b>		
	<b>32</b> Retained earnings, endowment, accumulated income, or other funds .....		<b>32</b>		
<b>33</b> Total net assets or fund balances .....	1,798,753.	<b>33</b>	2,035,401.		
<b>34</b> Total liabilities and net assets/fund balances .....	1,798,753.	<b>34</b>	2,035,401.		

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FOUNDATION, INC.**

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**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response to any question in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	1,370,886.
2	Total expenses (must equal Part IX, column (A), line 25)	2	1,134,238.
3	Revenue less expenses. Subtract line 2 from line 1	3	236,648.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	1,798,753.
5	Other changes in net assets or fund balances (explain in Schedule O)	5	0.
6	Net assets or fund balances at end of year. Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	2,035,401.

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response to any question in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		X
b	Were the organization's financial statements audited by an independent accountant?	X	
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	X	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.		

Form 990 (2010)

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

**Public Charity Status and Public Support**

OMB No. 1545-0047

**2010**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

Name of the organization **JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.** Employer identification number [REDACTED]

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1  A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
- 2  A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.)
- 3  A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
- 4  A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 8  A community trust described in section 170(b)(1)(A)(vii). (Complete Part II.)
- 9  An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
- 10  An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 11  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h.  
 a  Type I      b  Type II      c  Type III - Functionally integrated      d  Type III - Other
- e  By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
 

	Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? <span style="float: right;">11g(i)</span>		
(ii) A family member of a person described in (i) above? <span style="float: right;">11g(ii)</span>		
(iii) A 35% controlled entity of a person described in (i) or (ii) above? <span style="float: right;">11g(iii)</span>		
- h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
<b>Total</b>									

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2010

**JEFFERSON COUNTY PUBLIC EDUCATION**

Schedule A (Form 990 or 990-EZ) 2010 **FOUNDATION, INC.**

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....	1279660.	2836129.	1001515.	1477852.	1368251.	7963407.
<b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
<b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge .....						
<b>4 Total.</b> Add lines 1 through 3 .....	1279660.	2836129.	1001515.	1477852.	1368251.	7963407.
<b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) .....						1763714.
<b>6 Public support.</b> Subtract line 5 from line 4 .....						6199693.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
<b>7</b> Amounts from line 4 .....	1279660.	2836129.	1001515.	1477852.	1368251.	7963407.
<b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources .....	74,781.	60,551.	8,097.	2,117.	2,635.	148,181.
<b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on .....						
<b>10</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) .....	16,848.					16,848.
<b>11 Total support.</b> Add lines 7 through 10 .....						8128436.
<b>12</b> Gross receipts from related activities, etc. (see instructions) .....					12	
<b>13</b> First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here .....						<input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

<b>14</b> Public support percentage for 2010 (line 6, column (f) divided by line 11, column (f)) .....	<b>14</b>	<b>76.27</b>	<b>%</b>
<b>15</b> Public support percentage from 2009 Schedule A, Part II, line 14 .....	<b>15</b>	<b>71.75</b>	<b>%</b>
<b>16a</b> 33 1/3% support test - 2010. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization .....	<input checked="" type="checkbox"/>		
<b>b</b> 33 1/3% support test - 2009. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization .....	<input type="checkbox"/>		
<b>17a</b> 10% -facts-and-circumstances test - 2010. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization .....	<input type="checkbox"/>		
<b>b</b> 10% -facts-and-circumstances test - 2009. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization .....	<input type="checkbox"/>		
<b>18</b> Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions .....	<input type="checkbox"/>		



**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose .....						
3 Gross receipts from activities that are not an unrelated trade or business under section 513 .....						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
5 The value of services or facilities furnished by a governmental unit to the organization without charge .....						
6 Total. Add lines 1 through 5 .....						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons .....						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year .....						
c Add lines 7a and 7b .....						
<b>8 Public support</b> (Subtract line 7c from line 6.)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
9 Amounts from line 6 .....						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources .....						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 .....						
c Add lines 10a and 10b .....						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on .....						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) .....						
13 Total support (Add lines 9, 10c, 11, and 12.)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here

**Section C. Computation of Public Support Percentage**

15 Public support percentage for 2010 (line 8, column (f) divided by line 13, column (f)) .....	15	%
16 Public support percentage from 2009 Schedule A, Part III, line 15 .....	16	%

**Section D. Computation of Investment Income Percentage**

17 Investment income percentage for 2010 (line 10c, column (f) divided by line 13, column (f)) .....	17	%
18 Investment income percentage from 2009 Schedule A, Part III, line 17 .....	18	%

19a **33 1/3% support tests - 2010.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

b **33 1/3% support tests - 2009.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions



**Schedule A Identification of Excess Contributions Included on Part II, Line 5 2010**

**\*\* Do Not File \*\*  
 \*\*\* Not Open to Public Inspection \*\*\***

Contributor's Name	Total Contributions	Excess Contributions
CES FOUNDATION	421,250.	258,681.
GHEENS FOUNDATION	552,787.	390,218.
HUMANA	285,000.	122,431.
JP MORGAN CHASE BANK	200,000.	37,431.
	1,117,522.	954,953.
Total Excess Contributions to Schedule A, Part II, Line 5 .....		1,763,714.

**Schedule B**

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No. 1545-0047

**2010**

Name of the organization

**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

Employer identification number



Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)( 3 ) (enter number) organization

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the General Rule or a Special Rule.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization  
**JEFFERSON COUNTY PUBLIC EDUCATION  
 FOUNDATION, INC.**

Employer identification number  
 [REDACTED]

**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	<b>JP MORGAN CHASE</b> <b>416B W JEFFERSON STREET 4416</b> <b>LOUISVILLE, KY 40202</b>	\$ 200,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
2	<b>HUMANA</b> <b>500 W MAIN STREET, SUITE 208</b> <b>LOUISVILLE, KY 40202</b>	\$ 125,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
3	<b>EON</b> <b>220 W MAIN STREET STE 1400</b> <b>LOUISVILLE, KY 40202</b>	\$ 142,250.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
4	<b>LOUISVILLE METRO GOVERNMENT</b> <b>601 W JEFFERSON ST</b> <b>LOUISVILLE, KY 40202</b>	\$ 212,180.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
5	<b>JEFFERSON COUNTY PUBLIC SCHOOLS</b> <b>P.O. BOX 34020</b> <b>LOUISVILLE, KY 40232</b>	\$ 62,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
6	<b>LOUISVILLE COMMUNITY FOUNDATION</b> <b>325 W MAIN STREET STE 1110</b> <b>LOUISVILLE, KY 40202</b>	\$ 56,332.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization  
**JEFFERSON COUNTY PUBLIC EDUCATION  
 FOUNDATION, INC.**

Employer identification number



**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
7	<b>BROWN FORMAN</b>  626 W MAIN STREET STE 200  LOUISVILLE, KY 40202	\$ 50,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II if there is a noncash contribution.)</small>
8	<b>KINDRED HEALTHCARE</b>  680 SOUTH FOURTH STREET  LOUISVILLE, KY 40202	\$ 50,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II if there is a noncash contribution.)</small>
9	<b>CE&amp;S FOUNDATION</b>  101 S FIFTH STREET STE 1650  LOUISVILLE, KY 40202	\$ 45,534.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II if there is a noncash contribution.)</small>
10	<b>JG BROWN FOUNDATION</b>  4350 BROWNSBORO RD STE 200  LOUISVILLE, KY 40207	\$ 35,900.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II if there is a noncash contribution.)</small>
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II if there is a noncash contribution.)</small>
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II if there is a noncash contribution.)</small>

Name of organization

**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

Employer identification number



**Part II Noncash Property** (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	   	\$ _____	_____
	   	\$ _____	_____
	   	\$ _____	_____
	   	\$ _____	_____
	   	\$ _____	_____
	   	\$ _____	_____
	   	\$ _____	_____

Name of organization  
**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

Employer identification number  
[REDACTED]

**Part III** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	

**SCHEDULE D**  
(Form 990)

Department of the Treasury  
Internal Revenue Service

**Supplemental Financial Statements**

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.  
▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

**2010**

Open to Public Inspection

Name of the organization **JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.**

Employer identification number

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year .....		
2 Aggregate contributions to (during year) .....		
3 Aggregate grants from (during year) .....		
4 Aggregate value at end of year .....		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? .....		<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? .....		<input type="checkbox"/> Yes <input type="checkbox"/> No

**Part II Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

- Purpose(s) of conservation easements held by the organization (check all that apply).
 

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of an historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	
- Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.
 

	Held at the End of the Tax Year
a Total number of conservation easements .....	2a
b Total acreage restricted by conservation easements .....	2b
c Number of conservation easements on a certified historic structure included in (a) .....	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register .....	2d
- Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ \_\_\_\_\_
- Number of states where property subject to conservation easement is located ▶ \_\_\_\_\_
- Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? .....
- Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ \_\_\_\_\_
- Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ \_\_\_\_\_
- Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? .....
- In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

- If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.
- If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:
 

(i) Revenues included in Form 990, Part VIII, line 1 .....	▶ \$ _____
(ii) Assets included in Form 990, Part X .....	▶ \$ _____
- If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:
 

a Revenues included in Form 990, Part VIII, line 1 .....	▶ \$ _____
b Assets included in Form 990, Part X .....	▶ \$ _____



**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

Schedule D (Form 990) 2010

Page 2

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a  Public exhibition
- b  Scholarly research
- c  Preservation for future generations
- d  Loan or exchange programs
- e  Other \_\_\_\_\_

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No

b If "Yes," explain the arrangement in Part XIV and complete the following table:

	Amount
c Beginning balance	1c
d Additions during the year	1d
e Distributions during the year	1e
f Ending balance	1f

2a Did the organization include an amount on Form 990, Part X, line 21?  Yes  No

b If "Yes," explain the arrangement in Part XIV.

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the year end balance held as:

- a Board designated or quasi-endowment  \_\_\_\_\_ %
- b Permanent endowment  \_\_\_\_\_ %
- c Term endowment  \_\_\_\_\_ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

	Yes	No
(i) unrelated organizations	3a(i)	
(ii) related organizations	3a(ii)	
b If "Yes" to 3a(i), are the related organizations listed as required on Schedule R?	3b	

4 Describe in Part XIV the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.** See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements				
d Equipment				
e Other				

Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).) 0.

Schedule D (Form 990) 2010

**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

Schedule D (Form 990) 2010

Page **3**

**Part VII Investments - Other Securities.** See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives .....		
(2) Closely-held equity interests .....		
(3) Other .....		
(A) .....		
(B) .....		
(C) .....		
(D) .....		
(E) .....		
(F) .....		
(G) .....		
(H) .....		
(I) .....		
<b>Total.</b> (Col (b) must equal Form 990, Part X, col (B) line 12.) ▶		

**Part VIII Investments - Program Related.** See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) .....		
(2) .....		
(3) .....		
(4) .....		
(5) .....		
(6) .....		
(7) .....		
(8) .....		
(9) .....		
(10) .....		
<b>Total.</b> (Col (b) must equal Form 990, Part X, col (B) line 13.) ▶		

**Part IX Other Assets.** See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) .....	
(2) .....	
(3) .....	
(4) .....	
(5) .....	
(6) .....	
(7) .....	
(8) .....	
(9) .....	
(10) .....	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col (B) line 15.) ▶	

**Part X Other Liabilities.** See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Amount
(1) Federal income taxes	
(2) .....	
(3) .....	
(4) .....	
(5) .....	
(6) .....	
(7) .....	
(8) .....	
(9) .....	
(10) .....	
(11) .....	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col (B) line 25.) ▶	

FIN 48 (ASC 740) Footnote. In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740).

**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

Schedule D (Form 990) 2010

Page 4

**Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements**

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	1,370,886.
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	1,134,238.
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	236,648.
4	Net unrealized gains (losses) on investments	4	
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV.)	8	
9	Total adjustments (net). Add lines 4 through 8	9	0.
10	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9	10	236,648.

**Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return**

1	Total revenue, gains, and other support per audited financial statements	1	1,370,886.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV.)	2d	
e	Add lines 2a through 2d	2e	0.
3	Subtract line 2e from line 1	3	1,370,886.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV.)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	1,370,886.

**Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return**

1	Total expenses and losses per audited financial statements	1	1,134,238.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV.)	2d	
e	Add lines 2a through 2d	2e	0.
3	Subtract line 2e from line 1	3	1,134,238.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV.)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	1,134,238.

**Part XIV Supplemental Information**

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

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**SCHEDULE I**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

Name of the organization

**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

Employer

Employee

Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.

OMB No. 1545-0047

**2010**

Open to Public  
Inspection

**Part I** General information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
JEFFERSON COUNTY PUBLIC SCHOOLS 3332 NEWBURG ROAD LOUISVILLE, KY 40232	61-6001316		894,217.	0.	BOOK		TO FUND VARIOUS EDUCATIONAL PROGRAMS
UNIVERSITY OF KENTUCKY 101 MAIN BUILDING LEXINGTON, KY 40506	61-6001218		16,500.	0.	BOOK		SCHOLARSHIPS

2 Enter total number of section 501(c)(3) and government organizations

3 Enter total number of other organizations

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990.

Schedule I (Form 990) (2010)

**JEFFERSON COUNTY PUBLIC EDUCATION**

Schedule I (Form 990) (2010)

**FOUNDATION, INC.**

**Part III** Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance

**Part IV** Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

**SCHEDULE I, PART I, LINE 2: THE BOARD APPROVES THE DISBURSEMENT OF FUNDS TO VARIOUS PROGRAMS THE ORGANIZATION SUPPORTS.**

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.  
▶ Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

**2010**

Open to Public  
Inspection

Name of the organization **JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.** Employer identification number 

**FORM 990, PART VI, SECTION B, LINE 11: THE SECRETARY/TREASURER REVIEWS THE  
990 BEFORE FILING AND THE BOARD REVIEWS A COPY OF THE 990 AFTER IT IS  
FILED.**

**FORM 990, PART VI, SECTION C, LINE 19: THESE DOCUMENTS ARE MADE AVAILABLE  
UPON REQUEST.**

**PART XII, LINE C  
THIS PROCESS HAS NOT CHANGED FROM THE PRIOR YEAR.**

**BYLAWS OF THE  
JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION**

**December 10, 2008**

**ARTICLE I**

**PURPOSES**

The particular purposes of the corporation are the solicitation and receipt of gifts, grants and contributions from individuals, groups, corporations and other sources, public and private, to assist and support financially and otherwise the public school system of Jefferson County, Kentucky; to engage in any and all activities which advance the education of the citizens of Louisville and Jefferson County, Kentucky through the support of the Jefferson County Public Schools.

**The core purpose of the Jefferson County Public Education Foundation is to improve student outcomes and the learning of every student in every school, in collaboration with district leadership, by engaging the support of business and the community.**

The vision of the Jefferson County Public Education Foundation will be a creative catalyst for change that improves school leadership, teaching and learning. As advocates for excellence and facilitators of collaboration, the Jefferson County Public Education Foundation will champion high quality education, increase community understanding of and support for great public schools, and gain involvement of diverse constituencies in helping Jefferson County Public School students be successful in learning and in life.

It is the policy of the 501(c)(3) corporation that no restricted donations be accepted not any expenditure made by the corporation except upon the recommendation of the Superintendent of the Board of Education of Jefferson County, Kentucky that is consistent with the policies and priorities of the Board of Education of Jefferson County , Kentucky.

**ARTICLE II**

**OFFICES**

The principal office of the corporation in the State of Kentucky and its registered office under the laws of Kentucky shall be located (in care of Joe Seiler, Secretary/Treasurer) at National City Bank, 31T09B, 101 S. 5<sup>th</sup> Street, 9<sup>th</sup> Floor,

Louisville, Kentucky 40202. The corporation may have such other offices, either within or without the State of Kentucky, as the business of the corporation may require from time to time.

### ARTICLE III

#### DIRECTORS

SECTION 1. GENERAL POWERS. The business and affairs of the corporation shall be managed by its Board of Directors.

#### SECTION 2. QUALIFICATIONS, TENURE AND NUMBER.

A director shall be chosen to serve on the board based on his or her ability to bring financial resources to the corporation for the purpose of enhancing Jefferson County Public Schools. Financial resources may be in the form of personal gifts, grants, and contributions from individuals, groups, corporations and other sources, public or private to support financially and otherwise the public school system of Jefferson County, Kentucky. A director will engage a leadership role for special fund raising projects during his or her term(s). A director's term is three years.

The number of directors of the corporation shall be no less than nine (9) but up to twenty-four (24). The number of directors above nine (9) shall be determined by the Board when appropriate candidates are eligible to serve on the Board. The members of the Board of Directors shall be divided into three (3) classes as nearly equal in number as may be practicable with the term of office of one class expiring each year. At the annual meeting of the directors in 1983, three (3) classes of directors shall be elected. The directors of the first class shall be elected to hold office for a term expiring at the next succeeding annual meeting; directors of the second class shall be elected to hold office for a term expiring at the second succeeding annual meeting; and directors of the third class shall be elected to hold office for a term expiring at the third succeeding annual meeting. At each annual meeting of directors, the successors to the class of directors whose term shall then expire as set forth above shall be elected to hold office for a term expiring at the third succeeding annual meeting from the annual meeting of their election. When the number of directors is changed, any newly created directorships or any decrease in directorships shall be so apportioned among the classes as to make all classes as nearly equal in number as possible. Each director shall hold office for the term for which he is elected or until his successor shall have been elected and qualifies for the office, whichever period is longer. Directors need not be residents of Kentucky.



SECTION 3. NOMINATING COMMITTEE. There shall be a Nominating Committee made up of a minimum of three directors appointed by the chairperson. The Nominating Committee shall develop a list of candidates to fill vacant positions on the Board of Directors. The nominees shall be considered by the full Board and voted on as described in Section 8. The Nominating Committee shall also present a slate of officers for election at the annual June meeting.

SECTION 4. REGULAR MEETINGS. A regular meeting of the Board of Directors shall be held without other notice than this bylaw. The Board of Directors may provide, by resolution, the time and place, within or without the State of Kentucky, for the holding of additional regular meetings without other notice than such resolution. There shall be an annual meeting of the Board of Directors in June of each year.

SECTION 5. SPECIAL MEETINGS. Special meetings of the Board of Directors may be called by or at the request of the chairman or any two directors. The person or persons authorized to call special meetings of the Board of Directors may fix any place, either within or without the State of Kentucky, as the place for holding any special meeting of the Board of Directors called by them.

SECTION 6. NOTICE. Notice of any special meeting shall be given at least two days previously thereto by written notices delivered personally or mailed to each director at his business address, or by telegram. If mailed, such notice shall be deemed to be delivered when deposited in the United States mail in a sealed envelope so addressed, with postage thereon prepaid. If notice were given by telegram, such notice shall be deemed to be delivered when the telegram is delivered to the telegraph company. Any director may waive notice of any meeting. The attendance of a director at any meeting shall constitute a waiver of notice of such meeting, except where a director attends a meeting for the express purpose of objecting to the transaction of any business because the meeting is not lawfully called or convened. Neither the business to be transacted at, nor the purpose of, any regular or special meeting of the Board of Directors need be specified in the notice or waiver of notice of such meeting.

SECTION 7. QUORUM. A majority of the Board of Directors shall constitute a quorum for the transaction of business at any meeting of the Board of Directors, provided that if less than a majority of the directors are present at said meeting, a majority of the directors present may adjourn the meeting from time to time without further notice.

SECTION 8. MANNER OF ACTING. The act of the majority of the directors present at a meeting at which a quorum is present shall be the act of the Board of Directors; provided, however, that the Board of Directors, by resolution adopted by a majority of the full Board of Directors, may designate from among its members an executive committee and one or more other committees, each of which, to the extent provided in such resolution, shall have and may exercise all the authority of the Board of Directors, but no such committee shall have the authority of the Board of Directors in reference to amending the articles of incorporation, adopting a plan of merger or consolidation, recommending the sale, lease, exchange or other disposition of all or substantially all the property and assets of the corporation otherwise than in the usual and regular course of business, recommending a voluntary dissolution of the corporation or a revocation thereof, or amending these bylaws.

SECTION 9. VACANCIES. Any vacancy occurring in the Board of Directors may be filled by the affirmative vote of a majority of the remaining directors though less than a quorum of the Board of Directors. A director elected to fill a vacancy shall be elected for the unexpired term of his predecessor in office. Any directorship to be filled by reason of an increase in the number of directors may be filled by the Board of Directors for a term of office continuing only until the next election of directors.

SECTION 10. COMPENSATION. No director shall receive compensation for his or her services as director; however, any expenses incurred by any director by reason of his or her duties or responsibilities as such may be paid by the corporation.

SECTION 11. INFORMAL ACTION. Any action required by law to be taken at a meeting of the Board of Directors, or any action which may be taken at a meeting of the Board of Directors or of a committee, may be taken without a meeting if a consent, in writing, setting forth the action so taken shall be signed by all of the directors, or all of the members of the committee, as the case may be. Such consent shall have the same effect as a unanimous vote.

#### ARTICLE IV

##### OFFICERS

SECTION 1. CLASSES. The officers of the corporation shall be a chairman, a vice chairman, a treasurer, a secretary, and such other officers, whose duties may be fixed from time to time by the Board of Directors, as may be provided by the Board of Directors and elected in accordance with the provisions of this article. The Board of Directors may also create the offices of one

or more assistant treasurers and assistant secretaries, all of whom shall be elected by the Board of Directors. The same person may hold any two or more offices, except that of chairman.

SECTION 2. ELECTION AND TERM OF OFFICE. The officers of the corporation shall be elected annually by the Board of Directors at the first meeting of the Board of Directors. If the election of officers shall not be held at such meeting, such election shall be held as soon thereafter as conveniently may be. Vacancies may be filled or new offices created and filled at any meeting of the Board of Directors. Each officer shall hold office until his successor shall have been duly elected and shall have qualified or until his death or until he shall resign or shall have been removed in the manner hereinafter provided.

SECTION 3. REMOVAL. Any officer or agent elected or appointed by the Board of Directors may be removed by the Board of Directors whenever in its judgment the best interest of the corporation would be served thereby, but such removal shall be without prejudice to the contract rights, if any, of the person so removed. Election or appointment of an officer or agent shall not of itself create contract rights. A director will be considered for removal from the Board if the director misses two meetings in one calendar year period.

SECTION 4. VACANCIES. A vacancy in any office because of death, resignation, removal, disqualification or otherwise may be filled by the Board of Directors for the unexpired portion of the term.

SECTION 5. CHAIRMAN. The chairman shall be the principal executive officer of the corporation and shall in general supervise and control all of the business and affairs of the corporation. The chairman shall preside at all meetings of the Board of Directors. The chairman may sign, with the secretary, or any other proper officer of the corporation thereunto authorized by the Board of Directors, any deeds, mortgages, bonds, contracts, or other instruments which the Board of Directors has authorized to be executed except in cases where the signing and execution thereof shall be expressly delegated by the Board of Directors or by these bylaws to some other officer or agent of the corporation, or shall be required by law to be otherwise signed or executed; and in general shall perform all duties incident to the office of chairman and such other duties as may be prescribed by the Board of Directors from time to time.

SECTION 6. VICE CHAIRMAN. In the absence of the chairman or in the event of his inability or refusal to act, the vice chairman shall perform the duties of the chairman and, when so acting, shall have all the powers of and be subject to all the restrictions upon the chairman. The vice chairman shall perform

such other duties as from time to time may be assigned by the chairman or by the Board of Directors.

SECTION 7. TREASURER. If required by the Board of Directors, the treasurer shall give a bond for the faithful discharge of his duties in such sum and with such surety or sureties as the Board of Directors shall determine. The treasurer shall: [a] have charge and custody of and be responsible for all funds and securities of the corporation; receive and give receipts for moneys due and payable to the corporation from any source whatsoever, and deposit all such moneys in the name of the corporation in such banks, trust companies or other depositories as shall be selected in accordance with the provisions of Article IV of these bylaws; [b] in general, perform all the duties incident to the office of treasurer and such other duties as from time to time may be assigned by the chairman or the Board of Directors.

SECTION 8. SECRETARY. The secretary shall: [a] keep the minutes of the Board of Directors' meetings in one or more books provided for that purpose; [b] see that all notices are duly given in accordance with the provisions of these bylaws or as required by law; [c] be custodian of the corporate records and of the seal of the corporation and see that the seal of the corporation is affixed to all documents, the execution of which on behalf of the corporation under its seal is duly authorized in accordance with the provisions of these bylaws; [d] in general, perform all duties incident to the office of secretary and such other duties as from time to time may be assigned by the chairman or by the Board of Directors.

SECTION 9. ASSISTANT TREASURERS AND ASSISTANT SECRETARIES. The assistant treasurers shall respectively, if required by the Board of Directors, give bonds for the faithful discharge of their duties in such sums and with such sureties as the Board of Directors shall determine. The assistant treasurers and assistant secretaries in general shall perform such duties as shall be assigned to them by the treasurer or the secretary, respectively, or by the chairman or the Board of Directors.

## ARTICLE V

### CONTRACTS, LOANS, CHECKS, AND DEPOSITS

SECTION 1. CONTRACTS. The Board of Directors may authorize any officer or officers, agent or agents, to enter into any contract or execute and deliver any instruments in the name of and on behalf of the corporation, and such authority may be general or confined to specific instances.

SECTION 2. LOANS. No loans shall be contracted on behalf of the corporation, and no evidences of indebtedness shall be issued in its name unless authorized by a resolution of the Board of Directors. Such authority may be general or confined to specific instances.

SECTION 3. CHECKS, DRAFTS, ORDERS, ETC. All checks, drafts, or other orders for the payment of money, notes or other evidences of indebtedness issued in the name of the corporation shall be signed by such officer or officers, agent or agents, of the corporation and in such manner as shall from time to time be determined by resolution of the Board of Directors.

SECTION 4. DEPOSITS. All funds of the corporation not otherwise employed shall be deposited from time to time to the credit of the corporation in such banks, trust companies, or other depositories as the Board of Directors may select.

#### **ARTICLE VI**

##### **INVESTMENT REPORTS**

The corporation shall furnish reports at least annually to the Superintendent of the Board of Education of Jefferson County for the purpose of assisting the Board of Education of Jefferson County to insure that the corporation has invested its assets at a reasonable rate of return.

#### **ARTICLE VII**

##### **FISCAL YEAR**

The fiscal year of the corporation shall begin on the 1st day of July and end on the 30th day of June of each calendar year.

#### **ARTICLE VIII**

##### **WAIVER OF NOTICE**

Whenever any notice whatever is required to be given under the provisions of these bylaws, or under the provisions of the Articles of Incorporation, or under the provisions of the corporation laws of the State of Kentucky, waiver thereof in writing, signed by the person, or persons, entitled to such notice, whether before or after the time stated therein, shall be deemed equivalent to the giving of such notice.

**ARTICLE IX**

**INDEMNIFICATION OF OFFICERS AND DIRECTORS**

The corporation may indemnify and may advance expenses to all directors, officers, employees or agents of the corporation who are, were or are threatened to be made a defendant or respondent to any threatened, pending or completed action, suit or proceeding (whether civil, criminal, administrative or investigative) by reason of the fact that he is or was a director, officer, employee or agent of the corporation, to the fullest extent that is expressly permitted or required by the statutes of the Commonwealth of Kentucky and all other applicable law.

**ARTICLE X**

**AMENDMENT OF BYLAWS**

The Board of Directors may alter, amend or rescind the bylaws:

**CERTIFICATE**

It is hereby certified that on this date I am, the duly elected and qualified Chairman of the Board of Jefferson County Public Education Foundation, and that on this 10th day of December, 2008, the foregoing Bylaws were adopted by unanimous action of the Board of Directors.

---

Chairman

## Request for Taxpayer Identification Number and Certification

Give Form to the  
 requester. Do not  
 send to the IRS.

Print or type See Specific Instructions on page 2.	Name (as shown on your income tax return) <b>Jefferson County Public Education Foundation</b>	
	Business name/disregarded entity name, if different from above	
	Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate  <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ <input type="checkbox"/> Exempt payee  <input type="checkbox"/> Other (see instructions) ▶	
	Address (number, street, and apt. or suite no.) <b>3332 Newburg Road</b>	Requester's name and address (optional)
City, state, and ZIP code <b>Louisville, KY 40232</b>		
List account number(s) here (optional)		

<b>Part I Taxpayer Identification Number (TIN)</b>													
Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see <i>How to get a TIN</i> on page 3.													
	<b>Social security number</b> <table style="margin: auto; border-collapse: collapse;"> <tr> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> </tr> </table>												
<b>Note.</b> If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.													
<b>Part II Certification</b>													

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. citizen or other U.S. person (defined below).

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.

<b>Sign Here</b>	Signature of U.S. person ▶
	Date ▶

### General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

### Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

**Note.** If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

**Definition of a U.S. person.** For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

**Special rules for partnerships.** Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

- The U.S. owner of a disregarded entity and not the entity,
- The U.S. grantor or other owner of a grantor trust and not the trust, and
- The U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

**Foreign person.** If you are a foreign person, do not use Form W-9. Instead, use the appropriate Form W-8 (see Publication 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

**Nonresident alien who becomes a resident alien.** Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items:

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

**Example.** Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity not subject to backup withholding, give the requester the appropriate completed Form W-8.

**What is backup withholding?** Persons making certain payments to you must under certain conditions withhold and pay to the IRS a percentage of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

#### Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester,
2. You do not certify your TIN when required (see the Part II instructions on page 3 for details),
3. The IRS tells the requester that you furnished an incorrect TIN,
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See the instructions below and the separate instructions for the Requester of Form W-9.

Also see *Special rules for partnerships* on page 1.

#### Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account, for example, if the grantor of a grantor trust dies.

#### Penalties

**Failure to furnish TIN.** If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

**Civil penalty for false information with respect to withholding.** If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

**Criminal penalty for falsifying information.** Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

**Misuse of TINs.** If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

#### Specific Instructions

##### Name

If you are an individual, you must generally enter the name shown on your income tax return. However, if you have changed your last name, for instance, due to marriage without informing the Social Security Administration of the name change, enter your first name, the last name shown on your social security card, and your new last name.

If the account is in joint names, list first, and then circle, the name of the person or entity whose number you entered in Part I of the form.

**Sole proprietor.** Enter your individual name as shown on your income tax return on the "Name" line. You may enter your business, trade, or "doing business as (DBA)" name on the "Business name/disregarded entity name" line.

**Partnership, C Corporation, or S Corporation.** Enter the entity's name on the "Name" line and any business, trade, or "doing business as (DBA) name" on the "Business name/disregarded entity name" line.

**Disregarded entity.** Enter the owner's name on the "Name" line. The name of the entity entered on the "Name" line should never be a disregarded entity. The name on the "Name" line must be the name shown on the income tax return on which the income will be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a domestic owner, the domestic owner's name is required to be provided on the "Name" line. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on the "Business name/disregarded entity name" line. If the owner of the disregarded entity is a foreign person, you must complete an appropriate Form W-8.

**Note.** Check the appropriate box for the federal tax classification of the person whose name is entered on the "Name" line (Individual/sole proprietor, Partnership, C Corporation, S Corporation, Trust/estate).

**Limited Liability Company (LLC).** If the person identified on the "Name" line is an LLC, check the "Limited liability company" box only and enter the appropriate code for the tax classification in the space provided. If you are an LLC that is treated as a partnership for federal tax purposes, enter "P" for partnership. If you are an LLC that has filed a Form 8832 or a Form 2553 to be taxed as a corporation, enter "C" for C corporation or "S" for S corporation. If you are an LLC that is disregarded as an entity separate from its owner under Regulation section 301.7701-3 (except for employment and excise tax), do not check the LLC box unless the owner of the LLC (required to be identified on the "Name" line) is another LLC that is not disregarded for federal tax purposes. If the LLC is disregarded as an entity separate from its owner, enter the appropriate tax classification of the owner identified on the "Name" line.



**Other entities.** Enter your business name as shown on required federal tax documents on the "Name" line. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on the "Business name/disregarded entity name" line.

**Exempt Payee**

If you are exempt from backup withholding, enter your name as described above and check the appropriate box for your status, then check the "Exempt payee" box in the line following the "Business name/disregarded entity name," sign and date the form.

Generally, individuals (including sole proprietors) are not exempt from backup withholding. Corporations are exempt from backup withholding for certain payments, such as interest and dividends.

**Note.** If you are exempt from backup withholding, you should still complete this form to avoid possible erroneous backup withholding.

The following payees are exempt from backup withholding:

1. An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2),
2. The United States or any of its agencies or instrumentalities,
3. A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities,
4. A foreign government or any of its political subdivisions, agencies, or instrumentalities, or
5. An international organization or any of its agencies or instrumentalities.

Other payees that may be exempt from backup withholding include:

6. A corporation,
7. A foreign central bank of issue,
8. A dealer in securities or commodities required to register in the United States, the District of Columbia, or a possession of the United States,
9. A futures commission merchant registered with the Commodity Futures Trading Commission,
10. A real estate investment trust,
11. An entity registered at all times during the tax year under the Investment Company Act of 1940,
12. A common trust fund operated by a bank under section 584(a),
13. A financial institution,
14. A middleman known in the investment community as a nominee or custodian, or
15. A trust exempt from tax under section 664 or described in section 4947.

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 15.

IF the payment is for ...	THEN the payment is exempt for ...
Interest and dividend payments	All exempt payees except for 9
Broker transactions	Exempt payees 1 through 5 and 7 through 13. Also, C corporations.
Barter exchange transactions and patronage dividends	Exempt payees 1 through 5
Payments over \$600 required to be reported and direct sales over \$5,000 <sup>1</sup>	Generally, exempt payees 1 through 7 <sup>2</sup>

<sup>1</sup> See Form 1099-MISC, Miscellaneous Income, and its instructions.

<sup>2</sup> However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney, and payments for services paid by a federal executive agency.

**Part I. Taxpayer Identification Number (TIN)**

**Enter your TIN in the appropriate box.** If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-member LLC that is disregarded as an entity separate from its owner (see *Limited Liability Company (LLC)* on page 2), enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

**Note.** See the chart on page 4 for further clarification of name and TIN combinations.

**How to get a TIN.** If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local Social Security Administration office or get this form online at [www.ssa.gov](http://www.ssa.gov). You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at [www.irs.gov/businesses](http://www.irs.gov/businesses) and clicking on Employer Identification Number (EIN) under Starting a Business. You can get Forms W-7 and SS-4 from the IRS by visiting [IRS.gov](http://IRS.gov) or by calling 1-800-TAX-FORM (1-800-829-3676).

If you are asked to complete Form W-9 but do not have a TIN, write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

**Note.** Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

**Caution:** A disregarded domestic entity that has a foreign owner must use the appropriate Form W-8.

**Part II. Certification**

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, below, and items 4 and 5 on page 4 indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on the "Name" line must sign. Exempt payees, see *Exempt Payee* on page 3.

**Signature requirements.** Complete the certification as indicated in items 1 through 3, below, and items 4 and 5 on page 4.

1. **Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983.** You must give your correct TIN, but you do not have to sign the certification.
2. **Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983.** You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.
3. **Real estate transactions.** You must sign the certification. You may cross out item 2 of the certification.

**4. Other payments.** You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

**5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions.** You must give your correct TIN, but you do not have to sign the certification.

**Note.** If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

**Secure Your Tax Records from Identity Theft**

Identity theft occurs when someone uses your personal information such as your name, social security number (SSN), or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity or credit report, contact the IRS Identity Theft Hotline at 1-800-908-4490 or submit Form 14039.

For more information, see Publication 4535, Identity Theft Prevention and Victim Assistance.

Victims of identity theft who are experiencing economic harm or a system problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

**Protect yourself from suspicious emails or phishing schemes.** Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via email. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to [phishing@irs.gov](mailto:phishing@irs.gov). You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at: [spam@uce.gov](mailto:spam@uce.gov) or contact them at [www.ftc.gov/idtheft](http://www.ftc.gov/idtheft) or 1-877-IDTHEFT (1-877-438-4338).

Visit [IRS.gov](http://IRS.gov) to learn more about identity theft and how to reduce your risk.

**What Name and Number To Give the Requester**

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account)	The actual owner of the account or, if combined funds, the first individual on the account <sup>1</sup>
3. Custodian account of a minor (Uniform Gift to Minors Act)	The minor <sup>2</sup>
4. a. The usual revocable savings trust (grantor is also trustee) b. So-called trust account that is not a legal or valid trust under state law	The grantor-trustee <sup>3</sup>  The actual owner <sup>4</sup>
5. Sole proprietorship or disregarded entity owned by an individual	The owner <sup>3</sup>
6. Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulation section 1.671-4(b)(2)(i)(A))	The grantor <sup>3</sup>
For this type of account:	Give name and EIN of:
7. Disregarded entity not owned by an individual	The owner.
8. A valid trust, estate, or pension trust	Legal entity <sup>3</sup>
9. Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
10. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
11. Partnership or multi-member LLC	The partnership
12. A broker or registered nominee	The broker or nominee
13. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
14. Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulation section 1.671-4(b)(2)(i)(B))	The trust

<sup>1</sup> List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

<sup>2</sup> Circle the minor's name and furnish the minor's SSN.

<sup>3</sup> You must show your individual name and you may also enter your business or "DBA" name on the "Business name/disregarded entity" name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

<sup>4</sup> List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships* on page 1.

\*Note. Grantor also must provide a Form W-9 to trustee of trust.

**Privacy Act Notice**

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.

**Helton, Jessamyn**

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**From:** Flood, Madonna  
**Sent:** Friday, June 19, 2015 1:37 PM  
**To:** Helton, Jessamyn; Ott, Stephen  
**Cc:** Derouen, Andrea

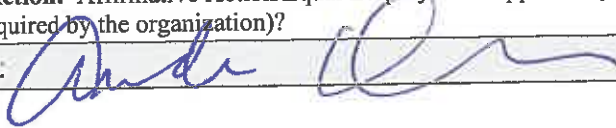
Jess or Stephen—

Please allow my Aide, Andrea Derouen, to sign the NDF for the Homeless Coalition for \$6,000 and the NDF for the Jefferson County Public School Foundation for \$6,000.

Thank you—

Madonna—

## NDF NON-PROFIT APPLICATION CHECKLIST

<b>Legal Name of Applicant Organization:</b> JCFEF		
<b>Program Name:</b> Southern High School	<b>Request Amount:</b> 6,000	Yes/No/NA
<b>Request form:</b> Is the NDF request form signed by all Council Member(s) appropriating funding?		Y
<b>Request form:</b> Is the funding proposed less than or equal to the request amount?		Y
<b>Request form:</b> Have all known Council or Staff relationships to the Agency been adequately disclosed on the cover sheet?		Y
<b>Application Page 1:</b> Has prior Metro funds committed/granted been disclosed?		Y
<b>Application Page 1:</b> Is the application properly signed and dated by authorized signatory?		Y
<b>Application Page 3:</b> Reimbursement funding – One or two boxes checked if any expenses are incurred before the grant award period. Is all required documentation included?		NA
<b>Application Pages 3 – 5:</b> Is the proposed public purpose of the program well-documented?		Y
<b>Application 4:</b> Is there adequate documentation of how the proceeds of the fundraiser will be spent?		NA
<b>Application Budget Page 6:</b> Does the application budget reflect only the revenue and expenses of the project/program (page 6) if the request is not an operating budget request? Is all detail schedules included for "Metro, Non Metro and Total" expense funds for client assistance, community events & festivals and other expenses? And does the Non-Metro Revenue equal the Non-Metro expenses?		Y
<b>Faith Based Organizations:</b> Is the signed Faith Based Form signed and included?		NA
<b>Jefferson County Only:</b> Will all funding be spent in Louisville/Jefferson County?		Y
<b>Capital Project(s) request:</b> Is the cost estimate(s) from proposed vendor(s) included?		Y
<b>Good Standing:</b> Is the entity in good standing with: <ul style="list-style-type: none"> <li>• Kentucky Secretary of State – include Secretary of State website information on organization</li> <li>• Louisville Metro Government – check OMB monthly report filed in Council Financial Reports</li> <li>• Internal Revenue Service – most recent Form 990 included</li> </ul>		Y
<b>Separate Taxing Districts:</b> If Metro funding is for a separate taxing district, is the funding appropriated for a program outside the legal responsibility of that taxing district?		NA
<b>Small Cities:</b> Is the resolution included agreeing to partner with Louisville Metro on the capital project? (IRS Determination letter not required, Form 990 not required, but KY SOS acknowledgement is)		NA
<b>Operating Requests:</b> Is recommended operating funding less than or equal to 33% of total operating budget?		Y
<b>IRS Exempt Proof:</b> Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?		Y
<b>Operating Budget:</b> Is the organization's current fiscal year operating budget included?		Y
<b>Ordinance Required:</b> Is the amount committed by Council members greater than \$5,000 to any one project/program within an organization in this fiscal year.		Y
<b>Board Members:</b> Is the entity's board member list (with term length/term limits) included?		Y
<b>Staff:</b> Is a list of the highest paid staff included with their expected annual personnel costs?		Y
<b>Annual Audit:</b> Is the most recent annual audit (if required by organization) included?		Y
<b>Rent Requests:</b> Is a copy of signed lease included?		NA
<b>Articles of Incorporation:</b> Are the Articles of Incorporation of the organization included?		Y
<b>IRS Form W-9:</b> Is the IRS Form W-9 included?		Y
<b>Evaluation Forms:</b> Are the evaluation forms (if program participants are given evaluation forms) included?		NA
<b>Affirmative Action:</b> Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required by the organization)?		N
<b>Prepared by:</b> 	<b>Date:</b> 10-19-15	