

**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

MAY 30 2014 PM 2:26
KG

Applicant/Program: Crescent Hill Community Council Forum, Inc.

Executive Summary of Request:

NDF request to Crescent Hill Community Council Forum for their annual Crescent Hill Art & Music Festival, which is an annual fundraiser scheduled for July 4-5, 2014 at the Peterson-Dumesnil House. The \$4,000 in requested funding will be used for lighting, sound equipment, picnic tables, seating and sanitation facilities for the event. Proceeds from this event will be used for next year's event, stabilization for the historic Peterson-Dumesnil House, beautification projects, school donations, UCHM Santa Sprint and Stroll event, median maintenance and much more.

Is this program/project a fundraiser? Yes No
 Is this applicant a faith based organization? Yes No
 Does this application include funding for sub-grantee(s)? Yes No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

09 District # Tina Wood-Pugh Primary Sponsor Signature \$4,000 - Amount 05/30/14 Date

Primary Sponsor Disclosure
 List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.
Dee Allen serves on the council and is a Metro government employee.
I am a dues paying member of this Council & have been for past 20 years. Tina

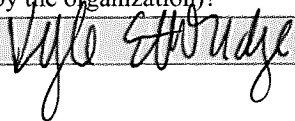
Approved by:

 Appropriations Committee Chairman Date

Clerk's Office Only:
 Request Amount: _____ Committee Amended Appropriation: _____
 Original Appropriation: _____ Council Amended Appropriation: _____

**OFFICE OF METRO COUNCIL CLERK
 REVIEWED**
 DATE 6-2-14 TIME 2:13pm

NDF NON-PROFIT APPLICATION CHECKLIST

Legal Name of Applicant Organization: Crescent Hill Community Council Forum, Inc.		
Program Name: Crescent Hill Art & Music Festival	Request Amount: \$ 4,000	Yes/No/NA
Request form: Is the NDF request form signed by all Council Member(s) appropriating funding?		Yes
Request form: Is the funding proposed less than or equal to the request amount?		Yes
Request form: Have all known Council or Staff relationships to the Agency been adequately disclosed on the cover sheet?		Yes
Application Page 1: Has prior Metro funds committed/granted been disclosed?		Yes
Application Page 1: Is the application properly signed and dated by authorized signatory?		Yes
Application Page 3: Reimbursement funding – One or two boxes checked if any expenses are incurred before the grant award period. Is all required documentation included?		Yes
Application Pages 3 – 5: Is the proposed public purpose of the program well-documented?		Yes
Application 4: Is there adequate documentation of how the proceeds of the fundraiser will be spent?		Yes
Application Budget Page 6: Does the application budget reflect only the revenue and expenses of the project/program (page 6) if the request is not an operating budget request? Is all detail schedules included for “Metro, Non Metro and Total” expense funds for client assistance, community events & festivals and other expenses? And does the Non-Metro Revenue equal the Non-Metro expenses?		Yes
Faith Based Organizations: Is the signed Faith Based Form signed and included?		NA
Jefferson County Only: Will all funding be spent in Louisville/Jefferson County?		Yes
Capital Project(s) request: Is the cost estimate(s) from proposed vendor(s) included?		NA
Good Standing: Is the entity in good standing with: <ul style="list-style-type: none"> • Kentucky Secretary of State – include Secretary of State website information on organization • Louisville Metro Government – check OMB monthly report filed in Council Financial Reports • Internal Revenue Service – most recent Form 990 included 		Yes
Separate Taxing Districts: If Metro funding is for a separate taxing district, is the funding appropriated for a program outside the legal responsibility of that taxing district?		NA
Small Cities: Is the resolution included agreeing to partner with Louisville Metro on the capital project? (IRS Determination letter not required, Form 990 not required, but KY SOS acknowledgement is)		NA
Operating Requests: Is recommended operating funding less than or equal to 33% of total operating budget?		NA
IRS Exempt Proof: Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?		Yes
Operating Budget: Is the organization’s current fiscal year operating budget included?		Yes
Ordinance Required: Is the amount committed by Council members greater than \$5,000 to any one project/program within an organization in this fiscal year.		NO
Board Members: Is the entity’s board member list (with term length/term limits) included?		Yes
Staff: Is a list of the highest paid staff included with their expected annual personnel costs?		NA
Annual Audit: Is the most recent annual audit (if required by organization) included?		NA
Rent Requests: Is a copy of signed lease included?		NA
Articles of Incorporation: Are the Articles of Incorporation of the organization included?		Yes
IRS Form W-9: Is the IRS Form W-9 included?		Yes
Evaluation Forms: Are the evaluation forms (if program participants are given evaluation forms) included?		NA
Affirmative Action: Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required by the organization)?		NA
Prepared by: 	Date: 05/30/14	



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
Legal Name of Applicant Organization:		Crescent Hill Community Council Forum, Inc	
<small>(as listed on: http://www.sos.ky.gov/business/records)</small>			
Main Office Street & Mailing Address: 301 S Peterson Ave, Louisville, KY 40206			
Website: http://www.crescenthill.us/			
Applicant Contact:	Mona Ball	Title:	Treasurer
Phone:	(502)432-6901	Email:	mball@kea.org
Financial Contact:	Mona Ball	Title:	Treasurer
Phone:	(502)432-6901	Email:	mball@kea.org
Organization's Representative who attended NDF Training: Mona Ball, Treasurer			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s):	301 S. Peterson Ave		
Council District(s):	9th	Zip Code(s):	40206
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: Crescent Hill Art & Music Festival			
Total Request: (\$)	\$4000	Total Metro Award (this program) in previous year: (\$)	\$4000 and \$500
Purpose of Request (check all that apply):			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget)			
<input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals			
<input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
The Following are Required Attachments:			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current Year Projected Budget <input checked="" type="checkbox"/> List of Board of Directors (include term & term limits) <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if required <input type="checkbox"/> Staff including the 3 highest paid staff	
For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
Source:	Metro NDF Grant	Amount: (\$)	\$4,000
Source:		Amount: (\$)	
Source:		Amount: (\$)	
Has the applicant contacted the BBB Charity Review for participation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 - AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

The purpose and mission of our organization is to serve as an advocate for maintaining Crescent Hill's quality of life by improving the civic, recreational, cultural and educational life of the Crescent Hill neighborhood and by strengthening community pride and involvement through objective planning, preservation, and enhancement of its historic character and natural beauty. Furthermore our organization helps inform neighborhood residents, resolve neighborhood issues, serves as a liaison between the neighborhood and Metro Government, working closely with Metro Government to serve the community in a variety of ways. Currently we mow and maintain medians, small parks and right of ways in the neighborhood, we have spearheaded the redesign and revitalization of Kennedy Court Park, and have raised or donated money to provide for the Family Resource Center at Field Elementary, the after school program at UCHM, projects at Barrett Middle School and the Crescent Hill Library (LFPL). We currently host the Crescent Hill Art & Music Festival, Easter Egg Hunt at the PD House, and the Crescent Hill Outdoor Cinema.

Handwritten initials, possibly "JBB", in black ink.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

This event is our main fund raising opportunity each year, and will occur on July 4th and 5th this year. It allows us bring the neighborhood together directly through the event itself as well as through volunteer opportunities. We use this opportunity to recruit volunteers from other neighborhood and business organizations, as well as residents of Crescent Hill. In this way we provide a platform for active community members to network outside of Crescent Hill and for new residents to get involved. We also do a wrap up Volunteer Picnic which allows everyone the opportunity to get together and enjoy each others' company.

We usually measure success in terms of 1) volunteer participation, 2) repeat vendors, sponsors, and volunteers, 3) comments by patrons and attendees, 4) how many people use the offered free parking and Trolley, and 5) our ability to give back financially to our community.

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):

Funding of \$4,000 will be used to offset expenses for the event that are incurred for site related expenditures (estimated to total \$6,500) as follows:

\$2,000 toward the lighting and sound equipment and associated personnel at the Crescent Hill Art & Music Festival (CHAMF) [Total cost estimated to be \$3,500]

\$2,000 for picnic tables, seating, and sanitation facilities for attendees and participants. [Total cost estimated to be \$3,500]



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

Historically we have spent proceeds on a number of community projects. For example a donation of \$5000 for stabilization work on the foundation of the historic Peterson-Dumesnil House, \$5000 toward the restoration of Kennedy Court Park, \$1000 annual donation to the UCHM Santa Sprint, as well as donations to Field Elementary, restoration of the TARC bus stop at Brownsboro and Jarvis, new banners along Frankfort Avenue, UCHM, and regular maintenance of Kennedy Court Park, Eastover Park, and the Hite Avenue median. Because the proceeds have varied greatly over the years, we do not forecast dollar amount expenditures of event proceeds. But as a rule we target 15% of current expenditures to cover increasing costs for next year's event and loss of corporate sponsors. The remaining funds will be allocated according to the consensus of the Board, but we anticipate spending proceeds in a way similar to previous years when proceeds were realized (we have had years where we did not make any money, it somewhat depends on the weather).

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

We usually measure success in terms of 1) volunteer participation, 2) repeat vendors, sponsors, and volunteers, 3) comments by patrons and attendees, 4) how many people use the offered free parking and Trolley, and 5) our ability to give back financially to our community.

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

We offer music, art, food, tours and activities featuring the Peterson-Dumesnil House and neighborhood history, activities for Children and promotion of Community organizations. Other local institutions participate in and/or have a presence at our event including the Frankfort Avenue Business Association, Field Elementary School, United Crescent Hill Ministries, St. Joseph's Children's Home, Masonic Homes of Kentucky, and the Southern Baptist Theological Seminary. We also have volunteers from other neighborhood organizations helping out, such as members of the Clifton Neighborhood Association, and work at times with other experienced associations in planning from Cherokee Triangle and Ursuline for example).

RSB



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
A: Personnel Costs Including Benefits			
B: Rent/Utilities			
C: Office Supplies			
D: Telephone			
E: In-town Travel			
F: Client Assistance (Attach Detailed List)			
G: Professional Service Contracts			
H: Program Materials			
I: Community Events & Festivals (Attach Detail List)	4000	32000	36000
J: Small Equipment			
K: Capital Equipment			
L: Other Expenses (Attach Detail List)			
*TOTAL PROGRAM/PROJECT FUNDS			
% of Program Budget	11 %	89 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	\$8,000
Fees Collected from Program Participants	
Other (please specify)	\$23,000
Total Revenue for Columns 2 Expenses **	\$32,000

*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

**Must equal or exceed total in column 2.

**Detail of Crescent Hill Art and Music Festival/4th July Celebration (CHAMF)
July 4-5, 2014**

Anticipated costs: \$36,000

Anticipated Income/Grants:

Assorted Artist Booth Fees	\$7,000
% of Community Booths	\$5,000
Corporate Sponsors	\$7,000
Food Concessions	\$6,000
Donations	\$7,000
NDF Grant	\$4,000
Total Income	\$36000

Anticipated Expenditures:

Printing	\$ 700
Postage	\$ 100
Art Fair Hospitality	\$ 500
Cake Booth	\$1500
Food Booths	\$4000
Restrooms/Sanitation	\$2000
Picnic Tables etc.	\$2000
Children's Area	\$1200
Fees and Permits	\$3000
Site	\$6000
Music	\$3500
Stage and Sound	\$3500
Fireworks	\$8000
Total Expenditures	\$36000



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
Use of Peterson-Dumesnil House : <input checked="" type="checkbox"/>	\$4,200	Two day rental cost
<i>Total Value of In-Kind</i> <i>(to match Program Budget Line Item.</i> Volunteer Contribution & Other In Kind)	\$4,200	

* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK

Agency Fiscal Year Start Date: October 1, 2013

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.

Standard Certifications


1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

Dee Allen servers on the Council and works for Metro Government.

SECTION 7 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:		Date:	5-27-14
Legal Signatory: (please print):	Mona Ball	Title:	Treasurer
Phone:	(502)432-6901	Extension:	
Email:	mona.ball@kea.org		

Applicant's Initials 

Crescent Hill Community Council NDF

CHCC will spend any proceeds on a number of community projects: Anticipated work on the historic Peterson-Dumesnil House, maintenance of Kennedy Court Park, our annual donation to the UCHM Santa Sprint. The 4th of July celebrations, Easter Parade, Community Derby Party and other community-wide gatherings will each benefit from a successful fundraising year. We will also make donations to Field Elementary as requested to augment its community outreach/neighborhood schools program. Additionally the funds will serve the council to increase our work as a Compassionate Community, bringing us closer to and more relatable to the families and households of Crescent Hill. UCHM programs that jointly serve our constituents will also benefit in our spending plan as we look for ways to build stakeholder and resident capacity.



Department of the Treasury
Internal Revenue Service

OGDEN UT 84201-0038

In reply refer to: 0437921765
Mar. 29, 2012 LTR 4168C 0
31-1104078 000000 00
00039032
BODC: TE

CRESCENT HILL COMMUNITY COUNCIL
FORUM INC
301 S PETERSON AVE
LOUISVILLE KY 40206-2540



026989

Employer Identification Number: 31-1104078
Person to Contact: EO ACCOUNTS
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your Mar. 20, 2012, request for information regarding your tax-exempt status.

Our records indicate that you were recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in August 1984.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Please refer to our website www.irs.gov/eo for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.

0437921769
Mar. 29, 2012 LTR 4168C 0
31-1104078 000000 00
00039033

CRESCENT HILL COMMUNITY COUNCIL
FORUM INC
301 S PETERSON AVE
LOUISVILLE KY 40206-2540

If you have any questions, please call us at the telephone number shown in the heading of this letter.

Sincerely yours,



Sharon Davies
Accounts Management I

**Crescent Hill Community Council
2014 Proposed Spending Plan**

Committee/Event	Plan for 2013	Actuals for 2013	Proposed for 2014
4th of July	\$30,000 (Excluding fireworks)	\$34,833.40 (Including fireworks)	\$30,000 (Excluding fireworks)
Art Fair			
Beautification	\$100	\$0	\$100
Comprehensive Plan	\$0	\$0	\$0
Crime Prevention	\$100	\$0	\$100
Education	\$300	\$0	\$300
Finance and Budget	\$0	\$0	\$0
Historian	\$250	\$0	\$250
Membership	\$1,700	\$196.36	\$1,500
Publicity	\$500	\$0	\$500
Social Committee • Block Parties	\$1,000	\$300.00	\$700
• Community Events	\$1,000	\$724.68	\$1,000
Special Projects	\$250	\$0	\$250
Transportation	\$0	\$0	\$0
Volunteer Coordinator	\$100	\$0	\$100
Website	\$100	\$95.40	\$100
Welcome Committee	\$300	\$331.00	\$350
Administrative Costs	\$3,000	\$2,410.43	\$3,000
Newsletter	\$500	Net Expense of \$1,910.75 (Ad revenue: \$11,269.95; Expenses: \$13,180.70)	\$1,000
Mowing	\$1,500	\$1,764 (spent \$2,764 but CSX paid \$1,000)	\$1,500
Tree Program	\$300	\$129.95 (excludes \$150 donation from CH resident; received \$1,033.97 in homeowner payments; paid out \$1,163.92 for trees)	\$300
OUTDOOR CINEMA	\$2,500	\$2,055.00	\$2,500
Total	\$43,500.00	\$44,750.97	\$43,550
2013 Result		(\$1,250.97)	

Crescent Hill Community Council 2013

EXECUTIVE COMMITTEE (5 Elected Officers +Past President)

POSITION	NAME	PHONE	EMAIL
President*	Greg Smith	553-1654	president@crescenthill.us
1st Vice President*	Barry Creech	893-0567	barry.creech@ecunet.org
2nd Vice President*	Ellen Diebold	836-6499	ellen.diebold@gmail.com
Secretary*	Cynthia Thomas	899-1252	secretary@crescenthill.us
Treasurer*	Brian Butler	899-5483	treasurer@crescenthill.us
Past President	Jerry Fielden	895-2754	jgfielden@insightbb.com

* All elected officers are subject to a maximum term of three (3) years as stated in the Bylaws.
For current elected Officers, terms are as follows:

President is in his first year

1st VP, 2nd VP, and Secretary are each in their first year

Treasurer is in his final year

3/4/2014

CHCC Account Balances Report - As of 2/28/2014

Page 1

Account	1/8/2014 Balance	1/31/2014 Balance	2/28/2014 Balance
Bank Accounts			
CHCC ACCOUNT	21,914.34	20,357.52	22,365.21
CHCC CD	1,127.88	1,127.88	1,127.88
CHCC Forum Inc	2,938.74	2,938.74	2,938.74
CHCC Forum Tree Fund	246.05	246.05	246.05
Kennedy Court Park (Forum)	2,992.10	2,992.10	2,992.10
TOTAL Bank Accounts	29,219.11	27,662.29	29,669.98
OVERALL TOTAL	29,219.11	27,662.29	29,669.98

Submitted 3/6/2014: Net gain for two month period of \$450.87 to main CHCC account.
No activity in other accounts during this same period. See reverse for detailed spending.

Mona Ball, Treasurer



Information copy. Do not send to IRS.

Form 990-N
Department of the
Treasury
Internal Revenue Service

Electronic Notice (e-Postcard)
for Tax-Exempt Organizations not Required To File Form
990 or 990-EZ

OMB No.
1545-2085

2013

Open to
Public
Inspection

A For the 2013 calendar year, or tax year beginning 1/1/2013, and ending 12/31/2013.

B Check if applicable

Terminated, Out of
Business

Gross receipts are
normally \$50,000 or less

C Name of organization: CRESCENT HILL
COMMUNITY COUNCIL FORUM INC
d/b/a:

301 South Peterson Avenue
Louisville, KY, US, 40206

D Employer
Identification
Number

31-1104078

E Website:

F Name of Principal Officer: Barry Creech

301 South Peterson Avenue
Louisville, KY, US, 40206

Privacy Act and Paperwork Reduction Act Notice. We ask for the information on this form to carry out the Internal Revenue laws of the United States. You are required to give us the information. We need it to ensure that you are complying with these laws.

The organization is not required to provide the information requested on a form that is subject to the Paperwork Reduction Act unless the form displays a valid OMB control number. Books or records relating to a form or its instructions must be retained as long as their contents may become material in the administration of any Internal Revenue law. The rules governing the confidentiality of the Form 990-N is covered in Code section 6104.

The time needed to complete and file this form and related schedules will vary depending on individual circumstances. The estimated average times is 15 minutes.

Note: This image is provided for your records only. Do NOT mail this page to the IRS. The IRS will not accept this filing via paper. You must file your Form 990-N (e-Postcard) electronically.

Ethridge, Kyle

From: Ball, Mona [KY] <mona.ball@kea.org>
Sent: Thursday, May 29, 2014 10:13 PM
To: Ethridge, Kyle
Subject: FW: Form 990-N E-filing Receipt - IRS Status: Accepted

990 confirmation in email below.
Mona

-----Original Message-----

From: epostcard@urban.org [<mailto:epostcard@urban.org>]
Sent: Thursday, May 29, 2014 10:12 PM
To: treasurer@crescenthill.us
Subject: Form 990-N E-filing Receipt - IRS Status: Accepted

Organization: CRESCENT HILL COMMUNITY COUNCIL FORUM INC
EIN: 31-1104078
Submission Type: Form 990-N
Year: 2013
Submission ID: 7800582014149fq74877
e-File Postmark: 5/29/2014 10:05:38 PM
Accepted Date: 5/29/2014

The IRS has accepted the e-Postcard described above. Please save this receipt for your records.

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email:ePostcard@urban.org

CRESCENT HILL COMMUNITY COUNCIL
FORUM INC
301 South Peterson Avenue
Louisville, KY 40206

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RECORDED
SECRETARY OF STATE OF KENTUCKY
FRANKFORT, KENTUCKY

MAR 13 1984

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ARTICLES OF INCORPORATION
OF
THE CRESCENT HILL COMMUNITY COUNCIL
FORUM, INC.

KNOW *[Signature]* BY THESE PRESENTS:

^{SECRETARY OF STATE}
that the undersigned does hereby form a non-stock, non-profit corporation under the provisions of Chapter 273 of the Kentucky Revised Statutes, exclusively for charitable and educational purposes and does hereby adopt the following as its Articles of Incorporation:

ARTICLE I

The name of the corporation shall be The Crescent Hill Community Council Forum, Inc.

ARTICLE II

The duration of the corporation shall be perpetual.

ARTICLE III

It shall be the purpose of the Corporation to conduct activities exclusively for charitable and educational purposes within the meaning of Section 501(c)(3) of the Internal Revenue Code of 1954, including, but not limited to, conducting public discussion groups, forums, panels, lectures, dissemination of news by publication or any other similar programs concerning subjects useful to the individual and the community including but not limited to the Crescent Hill neighborhood, which is listed on the Register of National Historic Places by the Department of the Interior. In connection with these purposes, the corporation may make distributions to organizations who qualify as exempt organizations under Section 501(c)(3) of the Internal Revenue Code of 1954.

ARTICLE IV

In carrying out the above described corporate purposes, the corporation shall have all of the powers enumerated in Chapter 273 of the Kentucky Revised Statutes to which reference is hereby specifically made; including the ownership of real estate and the power to apply for and accept governmental or other grants of money or property of any kind.

ARTICLE V

All references herein to provisions of the Internal Revenue Code of 1954 or to the Kentucky Revised Statutes, shall

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be deemed to include statutes which succeed such provisions. (i.e., the corresponding provisions of future United States Internal Revenue laws or statutes of the Commonwealth of Kentucky.)

ARTICLE VI

The corporation shall neither have capital stock nor stockholders and notwithstanding any other provisions herein, no part of the net earnings of the corporation shall inure to the benefit of, or be distributable to its members, trustees, officers, or other private persons, except that the corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article Three hereof. No substantial part of the activities of the corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation, and the corporation shall not participate in, or intervene in (including the publishing or distribution of statements) any political campaign on behalf of any candidate for public office. Notwithstanding any other provision of these articles, the corporation shall not carry on any other activities not permitted to be carried on by a corporation exempt from federal income tax under Section 501(c)(3) of the Internal Revenue Code of 1954 (or the corresponding provision of any future United States Internal Revenue Law) or by a corporation, contributions to which are deductible under Section 170(c)(2) of the Internal Revenue Code of 1954 (or the corresponding provision of any future United States Internal Revenue Law).

ARTICLE VII

The corporation is not a private foundation, but in the event that the Internal Revenue Service deems it a private foundation as defined in Section 509 of the Internal Revenue Code of 1954, then the corporation shall comply with the requirements of Kentucky Revised Statutes 273.400 as well as related Internal Revenue Code provisions.

ARTICLE VIII

The initial Board of Directors are listed below, and they shall serve until the first annual meeting the corporation, or until their successors are elected and qualified according to the provisions of the Bylaws:

Charles Walte

129 N. Ewing Avenue
Louisville, Kentucky 40206

Thomas Spicknail

253 S. Hite Avenue
Louisville, Kentucky 40206

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Sam Dorsey	640 Zorn Avenue Louisville, Kentucky 40206
Jane Wobbe	644 Upland Road Louisville, Kentucky 40206
Cathy Hicks	111 N. Peterson Avenue Louisville, Kentucky 40206
Ellen Banks	657 Upland Road Louisville, Kentucky 40206
Donna Sue Bowman-Kokinda	236 Franck Avenue Louisville, Kentucky 40206
Steve Disney	15 Eastover Court Louisville, Kentucky 40206
Alvin Cox	159 Crescent Avenue Louisville, Kentucky 40206
Ruth Schneider	215 Kennedy Avenue Louisville, Kentucky 40206
Joann Walker	207 N. Birchwood Avenue Louisville, Kentucky 40206
Louise Page	205 N. Birchwood Avenue Louisville, Kentucky 40206
Alice James	240 S. Peterson Avenue Louisville, Kentucky 40206
Ruth Abraham	361 S. Galt Avenue Louisville, Kentucky 40206
June Heeb	361 S. Galt Avenue Louisville, Kentucky 40206
Martin Z. Kasdan, Jr.	206 S. Bayly Avenue Louisville, Kentucky 40206
Charles Cash	500 Upland Road Louisville, Kentucky 40206
Barbara Sinai	60 Eastover Court Louisville, Kentucky 40206
Dot Hagan	4 Rebel Road Louisville, Kentucky 40206
Sam Thomas	122 Crescent Avenue Louisville, Kentucky 40206
Ed Musterman	244 S. Peterson Avenue Louisville, Kentucky 40206

ARTICLE IX

The offices of the corporation shall consist of a President, Vice-President, Secretary and a Treasurer, and any other offices that the ByLaws prescribe; the method of electing or appointing officers and directors and all other matters relating to the membership and in the regulation and management of the internal affairs of the corporation, including the number of directors shall be prescribed in the ByLaws which shall be adopted by the Board of Directors and which may be from time to time amended in the manner to be provided therein.

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ARTICLE X

The private property of the incorporators, members, directors, and officers shall not be subject to or in any way be liable for, any debt or contract of the corporation or any judgment against the corporation.

ARTICLE XI

Upon the dissolution of the corporation, the Board of Directors, after the payment of all liabilities of the corporation, shall dispose of all of the assets of the corporation exclusively for the purposes of the corporation in such manner, or transfer to such organization or organizations organized and operated exclusively for charitable or educational purposes as shall at the time qualify as an exempt organization or organizations under Section 501(c)(3) of the Internal Revenue Code of 1954 (or the corresponding provision of any future United States Internal Revenue Law), as the Board of Directors shall determine. Any such assets not so disposed of shall be disposed of by the Court of Justice Jefferson Circuit Court, of the Commonwealth of Kentucky, exclusively for such purposes or to such organization or organizations, as said Court shall determine, which are organized and operated exclusively for such purposes.

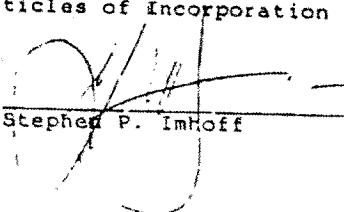
ARTICLE XII

The address, including street and number of the initial registered office is 310 W. Liberty Street, Louisville, Kentucky, 40202, and the name of the initial registered agent at such address is Stephen P. Imhoff.

ARTICLE XIII

The name and address of the sole incorporator is Stephen P. Imhoff, 310 W. Liberty Street, Suite 406, Louisville, Kentucky, 40202.

IN TESTIMONY WHEREOF, witness my signature to triplicate originals of these Articles of Incorporation this day of July, 1984.



Stephen P. Imhoff

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COMMONWEALTH OF KENTUCKY
COUNTY OF JEFFERSON

I, the undersigned, a Notary Public in and for the State and County aforesaid, do hereby certify that the foregoing Articles of Incorporation are produced before me in said State and County and were executed, acknowledged and delivered by Stephen P. Imhoff to be his voluntary act and deed for the purposes therein contained. Witness my hand this 8 day of March, 1984.

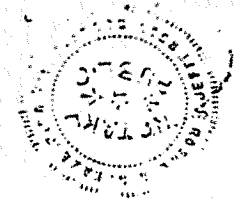
My Commission expires: 2-1-87

Stephen P. Imhoff
Notary Public, State-at-Large, KY

This instrument prepared by:

BOROWITZ & GOLDSMITH

By: [Signature]
STEPHEN P. IMHOFF
310 West Liberty Street
Louisville, KY 40202
Phone: 584-7371



0450a
2/23/84

1984 MAR 19 11:16
Imhoff
800
C. P. Rowland

END OF...

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Mailed 4/18/2014

Give Form to the requester. Do not send to the IRS.

Form **W-9**
(Rev. December 2011)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Name (as shown on your income tax return)
Crescent Hill Community Council Forum, Inc

Business name/disregarded entity name, if different from above

Check appropriate box for federal tax classification:
 Individual/sole proprietor C Corporation S Corporation Partnership Trust/estate Exempt payee
 Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶

Address (number, street, and apt. or suite no.)
301 S. Peterson Avenue

City, state, and ZIP code
Louisville, KY 40206

List account number(s) here (optional)

Requester's name and address (optional)

Print or type
See Specific Instructions on page 2.

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Social security number

Employer identification number
31-1104078

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. citizen or other U.S. person (defined below).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.

Sign Here Signature of U.S. person ▶ *Remond Ball, Treasurer* Date ▶ *4/19/2014*

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

THE CRESCENT HILL COMMUNITY COUNCIL FORUM, INC.

General Information

Organization Number	0187570
Name	THE CRESCENT HILL COMMUNITY COUNCIL FORUM, INC.
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
File Date	3/13/1984
Organization Date	3/13/1984
Last Annual Report	6/5/2013
Principal Office	301 SOUTH PETERSON AVE. LOUISVILLE, KY 40206
Registered Agent	BRIAN BUTLER 301 S. PETERSON AVENUE LOUISVILLE, KY 40206

Current Officers

President	<u>Greg Smith</u>
Vice President	<u>Ellen Diebold</u>
Vice President	<u>Barry Creech</u>
Secretary	<u>Cynthia Thomas</u>
Treasurer	<u>Brian Butler</u>
Director	<u>Joyce Cossavella</u>
Director	<u>Nancy Owens</u>
Director	<u>Tim Allen</u>

Individuals / Entities listed at time of formation

Director	<u>CHARLES WALTE</u>
Director	<u>THOMAS SPICKNALL</u>
Director	<u>SAM DORSEY</u>
Director	<u>JANE WOBBE</u>
Director	<u>CATHY HICKS</u>
Incorporator	<u>STEPHEN P. IMHOFF</u>

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

<u>Annual Report</u>	6/5/2013	1 page	<u>PDF</u>
<u>Annual Report</u>	2/25/2012	1 page	<u>PDF</u>
<u>Registered Agent</u>	6/22/2011 8:26:02 AM	1 page	<u>PDF</u>

name/address change

<u>Annual Report</u>	6/22/2011	1 page	<u>PDF</u>	
<u>Annual Report</u>	6/23/2010	1 page	<u>PDF</u>	
<u>Annual Report</u>	6/30/2009	1 page	<u>PDF</u>	
<u>Annual Report</u>	6/16/2008	1 page	<u>PDF</u>	
<u>Annual Report</u>	6/24/2007	1 page	<u>PDF</u>	
<u>Annual Report</u>	4/16/2006	1 page	<u>PDF</u>	
<u>Annual Report</u>	4/14/2005	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	6/19/2003	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/2/2002	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	6/8/2001	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	8/7/2000	2 pages	<u>tiff</u>	<u>PDF</u>
<u>Reinstatement</u>	5/5/2000	2 pages	<u>tiff</u>	<u>PDF</u>
<u>Administrative Dissolution</u>	11/2/1999	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1999	2 pages	<u>tiff</u>	<u>PDF</u>
<u>Statement of Change</u>	5/5/1999	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	5/6/1998	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1997	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1996	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1995	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1994	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1993	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1992	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1991	2 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1991	2 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1990	2 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1989	2 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1987	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	9/1/1986	1 page	<u>tiff</u>	<u>PDF</u>

Assumed Names**Activity History**

Filing	File Date	Effective Date	Org. Referenced
Annual report	6/5/2013 2:29:25 PM	6/5/2013 2:29:25 PM	
Annual report	2/25/2012 9:00:16 AM	2/25/2012 9:00:16 AM	
Annual report	6/22/2011 8:27:21 AM	6/22/2011 8:27:21 AM	
Registered agent address change	6/22/2011 8:26:02 AM	6/22/2011 8:26:02 AM	
Annual report	6/23/2010 8:13:19 AM	6/23/2010 8:13:19 AM	
Annual report	6/30/2009 12:20:53 PM	6/30/2009 12:20:53 PM	
Annual report	6/16/2008 9:36:43 PM	6/16/2008 9:36:43 PM	

Annual report	6/24/2007 2:24:36 PM	6/24/2007 2:24:36 PM
Annual report	4/16/2006 8:45:21 AM	4/16/2006 8:45:21 AM
Registered agent address change	6/8/2004 1:18:54 PM	6/8/2004
Registered agent address change	5/5/2000 9:55:44 AM	5/5/2000
Reinstatement	5/5/2000 9:53:53 AM	5/5/2000
Admin Dis. A. report not in	11/2/1999	11/2/1999

Microfilmed Images

Microfilm images are not available online. They can be ordered by faxing a Request For Corporate Documents to the Corporate Records Branch at 502-564-5687.

Annual Report	3/30/2005	1 page
Statement of Change	6/8/2004	1 page
Annual Report	4/27/2004	1 page
Annual Report	6/19/2003	1 page
Annual Report	7/2/2002	1 page
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Annual Report	7/1/1990	2 pages
Annual Report	7/1/1989	2 pages
Annual Report	7/1/1987	1 page
Statement of Change	11/17/1986	1 page
Annual Report	9/1/1986	1 page
Annual Report	7/1/1985	1 page
Articles of Incorporation	3/13/1984	6 pages