

**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

SEP 23 2014 PM 4:56 **XX**

Applicant/Program: The Louisville Urban League

Executive Summary of Request: The Louisville Urban League is a non-profit organization that works to provide economic stability for families and services for school-age youth. Annually the Urban league serves 12,000 families. The \$5000 that is being requested by the organization will go to support three major fundraising programs and awareness event, which are Opportunity Open, Annual Report Luncheon and Nola 2 the Ville. The proceeds from these events will go towards the programs and services that the urban league offers throughout the year.

Is this program/project a fundraiser? Yes No
 Is this applicant a faith based organization? Yes No
 Does this application include funding for sub-grantee(s)? Yes No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

4 District #  Primary Sponsor Signature \$5,000 Amount 9.23.14 Date

Primary Sponsor Disclosure
 List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.
 N/A

Approved by:

 Appropriations Committee Chairman Date

Clerk's Office Only:
 Request Amount: _____ Committee Amended Appropriation: _____
 Original Appropriation: _____ Council Amended Appropriation: _____

**OFFICE OF METRO COUNCIL CLERK
REVIEWED**

DATE 10-10-14 TIME 11:03am

NDF NON-PROFIT APPLICATION CHECKLIST

Legal Name of Applicant Organization: <u>The Louisville Urban League</u>		
Program Name:	Request Amount: <u>\$5,000</u>	
Request form: Is the NDF request form signed by all Council Member(s) appropriating funding?		Yes/No/NA
Request form: Is the funding proposed less than or equal to the request amount?		YES
Request form: Have all known Council or Staff relationships to the Agency been adequately disclosed on the cover sheet?		YES
Application Page 1: Has prior Metro funds committed/granted been disclosed?		YES
Application Page 1: Is the application properly signed and dated by authorized signatory?		YES
Application Page 3: Reimbursement funding – One or two boxes checked if any expenses are incurred before the grant award period. Is all required documentation included?		N/A
Application Pages 3 – 5: Is the proposed public purpose of the program well-documented?		YES
Application 4: Is there adequate documentation of how the proceeds of the fundraiser will be spent?		YES
Application Budget Page 6: Does the application budget reflect only the revenue and expenses of the project/program (page 6) if the request is not an operating budget request? Is all detail schedules included for "Metro, Non Metro and Total" expense funds for client assistance, community events & festivals and other expenses? And does the Non-Metro Revenue equal the Non-Metro expenses?		YES
Faith Based Organizations: Is the signed Faith Based Form signed and included?		N/A
Jefferson County Only: Will all funding be spent in Louisville/Jefferson County?		YES
Capital Project(s) request: Is the cost estimate(s) from proposed vendor(s) included?		N/A
Good Standing: Is the entity in good standing with: <ul style="list-style-type: none"> • Kentucky Secretary of State – include Secretary of State website information on organization • Louisville Metro Government – check OMB monthly report filed in Council Financial Reports • Internal Revenue Service – most recent Form 990 included 		YES
Separate Taxing Districts: If Metro funding is for a separate taxing district, is the funding appropriated for a program outside the legal responsibility of that taxing district?		N/A
Small Cities: Is the resolution included agreeing to partner with Louisville Metro on the capital project? (IRS Determination letter not required, Form 990 not required, but KY SOS acknowledgement is)		N/A
Operating Requests: Is recommended operating funding less than or equal to 33% of total operating budget?		N/A
IRS Exempt Proof: Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?		YES
Operating Budget: Is the organization's current fiscal year operating budget included?		YES
Ordinance Required: Is the amount committed by Council members greater than \$5,000 to any one project/program within an organization in this fiscal year.		NO
Board Members: Is the entity's board member list (with term length/term limits) included?		YES
Staff: Is a list of the highest paid staff included with their expected annual personnel costs?		YES
Annual Audit: Is the most recent annual audit (if required by organization) included?		N/A
Rent Requests: Is a copy of signed lease included?		N/A
Articles of Incorporation: Are the Articles of Incorporation of the organization included?		N/A
IRS Form W-9: Is the IRS Form W-9 included?		YES
Evaluation Forms: Are the evaluation forms (if program participants are given evaluation forms) included?		N/A
Affirmative Action: Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required by the organization)?		N/A
Prepared by: <u>Rebecca King</u>	Date: <u>9/23/2014</u>	



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
Legal Name of Applicant Organization:		The Louisville Urban League, Inc.	
<i>(as listed on: http://www.sos.ky.gov/business/records)</i>			
Main Office Street & Mailing Address: 1535 W Broadway, Louisville, KY 40203			
Website: www.lul.org			
Applicant Contact:	Ben Richmond	Title:	President/CEO
Phone:	502-566-3410	Email:	brichmond@lul.org
Financial Contact:	Audrey Poppe	Title:	Accounting Manager
Phone:	502-566-3418	Email:	apoppe@lul.org
Organization's Representative who attended NDF Training:			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s):	1535 W Broadway		
Council District(s):	4	Zip Code(s):	40203
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: Louisville Urban League			
Total Request: (\$)	5,000	Total Metro Award (this program) in previous year: (\$)	0
Purpose of Request (check all that apply):			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget)			
<input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals			
<input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
The Following are Required Attachments:			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current Year Projected Budget <input checked="" type="checkbox"/> List of Board of Directors (include term & term limits) <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input checked="" type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if required <input checked="" type="checkbox"/> Staff including the 3 highest paid staff	
For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
Source:	CDBG-Capital Project	Amount: (\$)	248,000
Source:	CDBG-Housing Counseling	Amount: (\$)	76,400
Source:	External Agency	Amount: (\$)	11,000
Has the applicant contacted the BBB Charity Review for participation? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

Celebrating 93 years of positive impact in Louisville, the Louisville Urban League is a 501 (c)3 community service organization dedicated to creating economically stable families and successful school-aged youth. We are committed to assisting targeted groups take advantage of the opportunities that exist in our community to gain and increase their self-sufficiency. We accomplish this by serving approximately 12,000 individuals and families annually in the areas of Workforce Development, Housing and Financial Literacy, Neighborhood Revitalization and Youth Development & Education with a heavy emphasis on science, technology, engineering and math (STEM). Our programs and services help to improve living conditions and enhance the lives of people in their communities. The Louisville Urban League is a member agency of the Metro United Way and a National Urban League Affiliate. We are well-regarded by peer organizations as having a high level of effectiveness, competence, proven management, and a strong strategic direction. We are committed to measuring outcomes and examining the lasting impact of our efforts. The generous support we receive from our corporate and individual donors has allowed us to continue our important work and mission of offering solutions to common barriers to success.

The Louisville Urban League seeks to enrich the lives of those who seek our help. We have made it our responsibility to empower our clients to become part of the solution. Creating communities of educated and employed homeowners who create a future for themselves and for their family is our mutual goal. We assist targeted groups to take advantage of the opportunities that exist in our community to gain social and economic equality, thus increasing and gaining their self-sufficiency. As a result, the Louisville Urban League's programs, direct services and initiatives create stronger individuals, thus creating a community of families better equipped to contribute to the overall stability and growth of our city.

In the area of education attainment, the League is also a leader. It is a signature partner in 55,000 Degrees, a public-private partnership, formed to achieve a goal of 55,000 new bachelor's and associate's degrees by 2020 (40,000 bachelor degrees, 15,000 associate degrees). As a result of the vision and initiative of the League's President/CEO, a sub goal of 15,000 degrees was established by a group of African American Leaders called the 15K Degrees Initiative whose goal is 15,000 of the 55,000 is African American. The League is supporting both initiatives through its programming and advocacy. Educational attainment is a key asset for individuals in today's workforce and to meet the needs of employers in year 2020.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

Now in its 93rd year of serving the Louisville community, the Louisville Urban League annually serves approx. 12,000 families each year. The fundamental objective is to enable those who are striving toward the mainstream to achieve economic self-reliance and enjoy their rights as equal citizens under the law. We are committed to assisting African Americans, other minorities and the disadvantaged to take advantage of the opportunities that exist in our community's economic environment to gain and increase their self-sufficiency. Major areas of focus include career services and workforce development, homeownership, financial literacy and mortgage default counseling and youth development and education with heavy emphasis on science, technology, math and science (STEM).

The goals that guide implementation of LUL services are: economically stable families, successful school-aged youth, and education attainment.

Over the past year our efforts to promote education, grow jobs and enhance neighborhoods have empowered:

- 785 school-aged youth to receive academic assistance, cultural enrichment and leadership training; 100% of our youth advanced to their next academic grade level.
- Over 2,953 received job search assistance; 235 referrals received part time or full time employment
- 38 families completed training and purchased homes with a total investment of \$3.8 million
- Assisted 234 families in foreclosure to save their homes through our default mortgage counseling; value of homes saved is \$22.2 million
- 4,112 families received free electronic tax preparation refunding over \$4.7 million.

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):

The requested funding will be used for sponsorship of three major program fundraising and awareness events of the Louisville Urban League: Opportunity Open (August 25, 2014) one team of four, Annual Report Luncheon (December 5, 2014) one table of 10, Nola 2 The Ville (March 7, 2014) one table of 10. Proceeds from these fundraising events support the helping programs and services offered by the Louisville Urban League to the over 12,000 clients we serve each year.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

Proceeds from these fund raising events support the helping programs and services offered by the Louisville Urban League to the over 12,000 clients we serve each year. Program Areas include workforce development, housing and financial education, youth development and education attainment.

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

- Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):
- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
 - ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

Support from Louisville Metro has helped to empower efforts to promote education, grow jobs and enhance neighborhoods:

-785 school-aged youth to receive academic assistance, cultural enrichment and leadership training; 100% of our youth advanced to their next academic grade level.

-Over 2,953 adults received job search assistance; 235 referrals received part time or full time employment

-38 families completed training and purchased homes with a total investment of \$3.8 million

-Assisted 234 families in foreclosure to save their homes through our default mortgage counseling; value of homes saved is \$22.2 million

-Over 4,100 families received free electronic tax preparation refunding over \$4.7 million.

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

There is no other agency in Metro Louisville that offers the services and programs under one umbrella as does the Louisville Urban League. LUL maintains a healthy and positive collaborative spirit by partnering with organizations with shared goals. Organizations with similar missions include:

REBOUND, Inc. (housing development arm of LUL), Leadership

Jefferson County Public Schools, Program collaboration

KentuckianaWorks, Program collaboration

Kentucky Housing Corporation, Program collaboration

Louisville Metro Office of Youth Development, Program collaboration

LUL Leadership and staff members are actively involved in the community by volunteering their expertise in the areas of workforce development, housing, home ownership, financial fitness and youth development and education.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
A: Personnel Costs Including Benefits			
B: Rent/Utilities			
C: Office Supplies			
D: Telephone			
E: In-town Travel			
F: Client Assistance (Attach Detailed List)			
G: Professional Service Contracts			
H: Program Materials			
I: Community Events & Festivals (Attach Detail List)			
J: Small Equipment			
K: Capital Equipment			
L: Other Expenses (Attach Detail List)	5,000	188,750	5,000
*TOTAL PROGRAM/PROJECT FUNDS	5,000	188,750	193,750
	2.6 %	97.4 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	188,750
Fees Collected from Program Participants	
Other (please specify)	
Total Request for Column 2 Expenses	188,750

**Total of Column 1 MUST match "Total Request on Page 1, Section 2"*

***Must equal or exceed total in column 2.*



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
N/A	N/A	N/a
<i>Total Value of In-Kind</i> (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)		

* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK

Agency Fiscal Year Start Date: July 1

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.

Standard Certifications

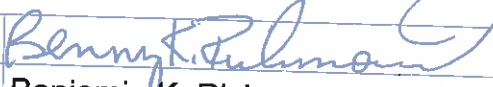
1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

N/A

SECTION 7 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:		Date:	9/17/2014
Legal Signatory: (please print):	Benjamin K. Richmond	Title:	President/CEO
Phone:	502-566-3410	Extension:	
Email:	brichmond@lul.org		

**Louisville Urban League
Fundraising Events
FY 2014-2015**

	Opportunity Open Golf Classic	Annual Report Luncheon	NOLA 2 TheVille Gala	Total All Events	Louisville Metro Funds	Non- Metro Funds
Revenues						
Sponsorships	20,000	7,500	40,000	67,500	5,000	62,500
Ticket Sales	40,000	26,250	60,000	126,250		126,250
Total Revenues	\$ 60,000	\$ 33,750	\$ 100,000	\$ 193,750	\$ 5,000	\$ 188,750
Expenses						
Venue Charges	7,200	2,000	3,000	12,200		12,200
Food Costs	7,754	12,688	12,000	32,442	5,000	27,442
Beverage Costs	924	-	3,000	3,924		3,924
Promotion	1,500	2,500	1,500	5,500		5,500
Entertainment Costs	-	-	10,000	10,000		10,000
Awards and Giveaways	9,370	350	500	10,220		10,220
Equipment Rental	125	850	4,000	4,975		4,975
Printing & Postage	250	2,000	1,500	3,750		3,750
Misc.	250	150		400		400
Total Event Expenses	27,372	20,538	35,500	83,410	5,000	78,410
Proceeds for Program Services	\$ 32,628	\$ 13,212	\$ 64,500	\$ 110,340	\$ -	\$ 110,340
TOTAL EXPENSE	\$ 60,000	\$ 33,750	\$ 100,000	\$ 193,750	\$ 5,000	\$ 188,750

Net Proceeds support the programs and services of the Louisville Urban League.

Louisville Urban League
Louisville Metro Council Neighborhood Development Fund Application
STAFF INCLUDING THE THREE HIGHEST PAID STAFF (NOTED BY **)
September 2014

1. Taunisha Berry
2. Beverly David
3. Steven Davis
4. Kevin Dunlap
5. Benita Freeman
6. Brenda Garnett
7. Russell Goodwin
8. Vickie James
9. D'Shawn Johnson
10. Carol Kirby-Green
11. Martin Lindsey
12. Christie McCravy
13. Anita McGruder
14. Yvonne Morris
15. Imani Muhammad
16. Audrey Poppe
17. Benjamin Richmond ** \$160,905
18. Artie Robertson ** \$86,239
19. Juanita Sands ** \$73,792
20. Michele Shelton
21. Deathra Shipley
22. Thereka Smith
23. Phillip Watson
24. Cassandra Webb
25. Lawrence Wilbon
26. Ernestine Woods

Internal Revenue Service

Department of the Treasury

**P. O. Box 2508
Cincinnati, OH 45201**

Date: March 27, 2002

Person to Contact:
Steve Miliano 31-04024
Customer Service Specialist

Toll Free Telephone Number:
8:00 a.m. to 6:30 p.m. EST
877-829-5500

Fax Number:
513-263-3756

Federal Identification Number:
[REDACTED]

Louisville Urban League
1535 W. Broadway
Louisville, KY 40203-3515

Dear Sir or Madam:

This letter is in response to your request for a copy of your organization's determination letter. This letter will take the place of the copy you requested.

Our records indicate that a determination letter issued in April 1963 granted your organization exemption from federal income tax under section 501(c)(3) of the Internal Revenue Code. That letter is still in effect.

Based on information subsequently submitted, we classified your organization as one that is not a private foundation within the meaning of section 509(a) of the Code because it is an organization described in sections 509(a)(1) and 170(b)(1)(A)(vi).

This classification was based on the assumption that your organization's operations would continue as stated in the application. If your organization's sources of support, or its character, method of operations, or purposes have changed, please let us know so we can consider the effect of the change on the exempt status and foundation status of your organization.

Your organization is required to file Form 990, Return of Organization Exempt from Income Tax, only if its gross receipts each year are normally more than \$25,000. If a return is required, it must be filed by the 15th day of the fifth month after the end of the organization's annual accounting period. The law imposes a penalty of \$20 a day, up to a maximum of \$10,000, when a return is filed late, unless there is reasonable cause for the delay.

All exempt organizations (unless specifically excluded) are liable for taxes under the Federal Insurance Contributions Act (social security taxes) on remuneration of \$100 or more paid to each employee during a calendar year. Your organization is not liable for the tax imposed under the Federal Unemployment Tax Act (FUTA).

Organizations that are not private foundations are not subject to the excise taxes under Chapter 42 of the Code. However, these organizations are not automatically exempt from other federal excise taxes.

Donors may deduct contributions to your organization as provided in section 170 of the Code. Bequests, annuities, devises, transfers, or gifts to your organization or for its use are deductible for federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Louisville Urban League
61-0444771

Your organization is not required to file federal income tax returns unless it is subject to the tax on unrelated business income under section 511 of the Code. If your organization is subject to this tax, it must file an income tax return on the Form 990-T, Exempt Organization Business Income Tax Return. In this letter, we are not determining whether any of your organization's present or proposed activities are unrelated trade or business as defined in section 513 of the Code.

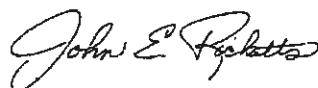
The law requires you to make your organization's annual return available for public inspection without charge for three years after the due date of the return. If your organization had a copy of its application for recognition of exemption on July 15, 1987, it is also required to make available for public inspection a copy of the exemption application, any supporting documents and the exemption letter to any individual who requests such documents in person or in writing. You can charge only a reasonable fee for reproduction and actual postage costs for the copied materials. The law does not require you to provide copies of public inspection documents that are widely available, such as by posting them on the Internet (World Wide Web). You may be liable for a penalty of \$20 a day for each day you do not make these documents available for public inspection (up to a maximum of \$10,000 in the case of an annual return).

Because this letter could help resolve any questions about your organization's exempt status and foundation status, you should keep it with the organization's permanent records.

If you have any questions, please call us at the telephone number shown in the heading of this letter.

This letter affirms your organization's exempt status.

Sincerely,



John E. Ricketts, Director, TE/GE
Customer Account Services



U. S. TREASURY DEPARTMENT
INTERNAL REVENUE SERVICE
DISTRICT DIRECTOR
P. O. Box 1146
Louisville 1, Kentucky

April 25, 1963

IN REPLY REFER TO
Form 2954
A:431:EHM
LOU-EO-63-11

Urban League of Louisville
209 West Market Street
Louisville, Kentucky

PURPOSE
Charitable
FORM 990A REQUIRED
<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ACCOUNTING PERIOD END- ING
December 31st

Gentlemen:

Based upon the evidence submitted, it is held that you are exempt from Federal income tax as an organization described in section 501(c)(3) of the Internal Revenue Code, as it is shown that you are organized and operated exclusively for the purpose shown above. Any questions concerning taxes levied under other subtitles of the Code should be submitted to us.

You are not required to file Federal income tax returns so long as you retain an exempt status, unless you are subject to the tax on unrelated business income imposed by section 511 of the Code and are required to file Form 990-T for the purpose of reporting unrelated business taxable income. Any changes in your character, purposes or method of operation should be reported immediately to this office for consideration of their effect upon your exempt status. You should also report any change in your name or address. Your liability for filing the annual information return, Form 990A, is set forth above. That return, if required, must be filed after the close of your annual accounting period indicated above.

Contributions made to you are deductible by donors as provided in section 170 of the Code. Bequests, legacies, devises, transfers or gifts to or for your use are deductible for Federal estate and gift tax purposes under the provisions of section 2055, 2106 and 2522 of the Code.

You are not liable for the taxes imposed under the Federal Insurance Contributions Act (social security taxes) unless you file a waiver of exemption certificate as provided in such Act. You are not liable for the tax imposed under the Federal Unemployment Tax Act. Inquiries about the waiver of exemption certificate for social security taxes should be addressed to this office.

This is a determination letter.

Very truly yours,


G. C. Hooks
District Director

Louisville Urban League Budget FY 14-15

Acct	Revenues	Amount	Acct	Expenses	Amount
4000	Metro United Way	324,090	5000	Personnel Costs	1,698,393
4050	Other Grants	-	5000	DB Pension Costs	50,000
4100	Grants & Contracts (Restricted)	-	5050	Mature Worker Program wages	878,713
4110	Federal	1,353,376	5110	Space Rental	650
4120	State	42,000	5120	Electric & Gas	26,700
4140	Louisville Metro	336,100	5121	Water & Sewer	4,350
4150	Corporate	75,000	5130	Property & Casualty Ins	28,340
4155	Public School Funds	138,000	5140	Equipment Maintenance	2,180
4160	Foundations	147,000	5141	Building Maintenance	8,400
4210	Membership & Donations	504,500	5150	Building Depreciation	78,600
4300	Special Events	201,875	5151	Equipment Depreciation	59,000
4310	Underwriting & Sponsorships	36,000	5160	Janitorial Services	23,490
4400	Fee for Service	-	5161	Landscaping/Lawn Maint.	8,275
4410	Corporate	269,027	5162	Pest Control	1,225
4420	Individuals	14,000	5163	Dumpster Rental	1,440
4700	Interest/Investment Inc	900	5170	Security System Monitoring	45,000
4800	Misc. Inc	17,900	5190	Interest Expense	-
	Total Revenue	3,459,768	5200	Telephone	14,588
			5310	Supplies	22,280
			5320	Postage & Mailing Costs	9,626
			5330	Reference Materials	15,425
			5340	Dues & Subscriptions	1,020
			5341	Publication Subscriptions	6,270
			5342	Organizational Dues	18,325
			5350	Copier Expenses	16,060
			5400	Contract Services	127,735
			5401	Professional Services	31,500
			5510	Staff Travel	27,179
			5520	Conference Registration Fees	19,132
			5530	Client Transportation	15,300
			5600	Specific Assistance to Clients	8,083
			5610	Tuition/Scholarships/Fees	4,500
			5620	Uniforms/clothing	1,480
			5810	Material/Giveaways	16,450
			5820	Printing Costs	13,650
			5830	Advertisements	15,050
			5840	Booth Rental	550
			5850	Signs	2,000
			5910	Tickets to Events	5,200
			5920	Donations/Sponsorships	7,500
			6000	Event and Meeting Expenses	9,000
			6010	Food, Bev. & Youth Incentives	65,215
			6020	Facilities Rental	21,332
			6030	Equipment Rental	5,450
			6060	Speaker Fees	2,450
			6100	Micellaneous Expense	3,340
			6200	Staff Incentives	1,000
				Total Expense	3,429,946
				Net Revenue (Expense)	29,822

**LOUISVILLE URBAN LEAGUE
2013 - 2014 BOARD OF DIRECTORS**

(1) - 1 st Term (2) - 2 nd Term + Year Term Ends	NAME Executive Committee Title *Filling an Un-Expired Term	BUSINESS ADDRESS
(1) 2016	Axson, Mozell	
(2) 2016	Berg, Fran **Secretary	
(2) 2014	Brooks, M. D., MBA Dr. Sandra E. Assistant: Cammy Denhard 899-6840 Camille.Denhard@nortonhealthcare.org	
Ex-Officio	Carson, Sheila	
(1) 2016	Comstock, Joella	
(1) 2016	Cowger, Cecy	
(1) 2015	Daniels, Conrad **Member-At-Large	

**LOUISVILLE URBAN LEAGUE
2013 - 2014 BOARD OF DIRECTORS**

(1) - 1 st Term (2) - 2 nd Term + Year Term Ends	NAME Executive Committee Title *Filling an Un-Expired Term	BUSINESS ADDRESS
(1) 2015	Decker, Sharon	
(2) 2015	Cynthia Federico	
(2) 2015	Franklin Croft, Dawn **Administration	
(2) 2014	Gillispie, Jr., Jimmy L.	
(2) 2015	Hale, H. David **Chairman Assistant: Ann Pretorius 891-4419 apretorius@fcbok.com	
(2) 2016	Hall, Daniel ** Vice Chair Program Assistant: Susan Jenkins 852-5595 Susan.jenkins@louisville.edu	

**LOUISVILLE URBAN LEAGUE
2013 - 2014 BOARD OF DIRECTORS**

(1) - 1 st Term (2) - 2 nd Term + Year Term Ends	NAME Executive Committee Title *Filling an Un-Expired Term
(1) 2016	Hamilton, Norris **Member-At-Large
(2) 2014	Hayes, Sr., Berry L.
(2) 2016	Hayes, Harry
(2) 2016	Holloway, Demetrius
(1) 2015	Koczot, Walter

LOUISVILLE URBAN
2013 - 2014 BOARD OF

(1) - 1 st Term (2) - 2 nd Term + Year Term Ends	NAME Executive Committee Title *Filling an Un-Expired Term
(1) 2016	Nepaul, Mike
(1) 2016	Norton, Jeffrey
(2) 2016	Miller, Eric
(1) 2015	Porter, Diane
(1) 2016	Eff Presnell, Nancy
(1) 2016	Purdy, Rick Assistant: Linda Amatuzzo 420-2615 FAX: 394-2235 Linda.amatuzzo@rescare.com

**LOUISVILLE URBAN LEAGUE
2013 - 2014 BOARD OF DIRECTORS**

(1) - 1 st Term (2) - 2 nd Term + Year Term Ends	NAME Executive Committee Title *Filling an Un-Expired Term	BUSINESS ADDRESS
(1) 2015	Reynolds, Gerald ** Treasurer Assistant: Carol Hardison 637-4622 FAX: 627-4622 Carol.hardison@lge-ku.com	
Ex-Officio	Rowland, Richard	
(1) 2016	Ruiz, Ben	
(1) 2016	Shook, Melanie Assistant: Nikki Curl 653-3898 FAX: 429-9414 Nikki.curl@neustar.biz	
(2) 2015	Snow, Elliot	
(2) 2016	Veer, Purna	

Form **990**

Return of Organization Exempt From Income Tax
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

OMB No. 1545-0047

2012

Department of the Treasury
Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements.

Open to Public Inspection

A For the 2012 calendar year, or tax year beginning **JUL 1, 2012** and ending **JUN 30, 2013**

B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	C Name of organization THE LOUISVILLE URBAN LEAGUE, INC. Doing Business As Number and street (or P.O. box if mail is not delivered to street address) Room/suite 1535 WEST BROADWAY City, town, or post office, state, and ZIP code LOUISVILLE, KY 40203 F Name and address of principal officer: MR. BENJAMIN RICHMOND 1535 WEST BROADWAY, LOUISVILLE, KY 40203	D Employer identification number <div style="background-color: black; width: 100px; height: 20px; margin: 5px 0;"></div> E Telephone number (502) 561-6830 G Gross receipts \$ 3,156,355. H(a) Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions) H(c) Group exemption number ▶
I Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		
J Website: ▶ WWW.LUL.ORG		
K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶ L Year of formation: 1921 M State of legal domicile: KY		

Part I Summary

1	Briefly describe the organization's mission or most significant activities: TO PROVIDE EMPLOYMENT ASSISTANCE, HOUSING ASSISTANCE AND COUNSELING, YOUTH DEVELOPMENT AND		
2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
3	Number of voting members of the governing body (Part VI, line 1a)	3	29
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	29
5	Total number of individuals employed in calendar year 2012 (Part V, line 2a)	5	139
6	Total number of volunteers (estimate if necessary)	6	300
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
7b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year 1,947,721.	Current Year 2,706,512.
	9 Program service revenue (Part VIII, line 2g)	226,570.	349,648.
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	931.	267.
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	68,445.	31,140.
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	2,243,667.	3,087,567.
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	0.	0.
	14 Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	1,491,454.	2,100,264.
	16a Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.
	b Total fundraising expenses (Part IX, column (D), line 25) 41,099.		
17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	817,947.	858,434.	
18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	2,309,401.	2,958,698.	
19 Revenue less expenses. Subtract line 18 from line 12	<65,734.>	128,869.	
Net Assets or Fund Balances	20 Total assets (Part X, line 16)	Beginning of Current Year 2,606,852.	End of Year 2,725,976.
	21 Total liabilities (Part X, line 26)	734,943.	665,623.
	22 Net assets or fund balances. Subtract line 21 from line 20	1,871,909.	2,060,353.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer MR. BENJAMIN RICHMOND, PRESIDENT/CEO Type or print name and title	Date 1-23-14	Check if self-employed <input type="checkbox"/> PTIN P00938853
Preparer Use Only	Print/Type preparer's name JEFFREY K MCCAFFREY Preparer's signature Date 1/23/14 Firm's name ▶ DEMING MALONE LIVESAY & OSTROFF PSC Firm's address ▶ 9300 SHELBYVILLE RD STE 1100 LOUISVILLE, KY 40222-5187 Firm's EIN ▶ 61-1064249 Phone no. (502)426-9660		

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III [X]

1 Briefly describe the organization's mission:

AS AN ACTIVE PARTNER, LEADER AND CATALYST, WE WILL ASSIST AFRICAN AMERICANS, OTHER MINORITY GROUPS AND THE DISADVANTAGED IN ATTAINING SOCIAL AND ECONOMIC EQUALITY AND STABILITY THROUGH DIRECT SERVICES AND ADVOCACY.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [X] Yes [] No

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [] Yes [X] No

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 1,440,410. including grants of \$) (Revenue \$ 1,383,820.) LOUISVILLE URBAN LEAGUE'S EMPLOYMENT SERVICES PROGRAM, THROUGH ITS CENTER FOR WORKFORCE DEVELOPMENT SERVICES, SERVES AS A STAFFING AND RECRUITMENT RESOURCE FOR THE LOUISVILLE AREA BUSINESS COMMUNITY BY PROVIDING A FULL ARRAY OF PROFESSIONAL EMPLOYMENT SERVICES TO SOCIALLY AND ECONOMICALLY DISADVANTAGED INDIVIDUALS SEEKING EMPLOYMENT, A CAREER CHANGE, AND JOB TRAINING.

4b (Code:) (Expenses \$ 539,860. including grants of \$) (Revenue \$ 589,591.) THE LOUISVILLE URBAN LEAGUE'S CENTER FOR HOUSING AND ECONOMIC EMPOWERMENT PROVIDES COMPREHENSIVE HOUSING COUNSELING AND FINANCIAL EDUCATION PROGRAMS TO FAMILIES THROUGHOUT THE LOUISVILLE METRO AREA TO HELP INCREASE ACCESS TO SAFE, DECENT, AFFORDABLE HOUSING FOR LOW TO MODERATE INCOME HOUSEHOLDS. PROGRAM SERVICES INCLUDE HOME OWNERSHIP TRAINING, RENTAL READINESS, MORTGAGE DEFAULT/FORECLOSURE COUNSELING, BUDGET AND CREDIT COUNSELING, FAIR HOUSING EDUCATION, AND A VARIETY OF FINANCIAL EDUCATION CLASSES.

4c (Code:) (Expenses \$ 504,830. including grants of \$) (Revenue \$ 305,618.) THE LOUISVILLE URBAN LEAGUE'S YOUTH DEVELOPMENT AND EDUCATION DEPARTMENT PROVIDES A PORTFOLIO OF OUT OF SCHOOL TIME (OST) YOUTH AND EDUCATION PROGRAMS DESIGNED TO PROVIDE ACADEMIC ASSISTANCE, LIFE SKILLS, LEADERSHIP DEVELOPMENT, COMMUNITY SERVICE, AND COLLEGE AND CAREER EXPLORATION FOR AT RISK YOUTH. SERVICES ALSO INCLUDE PARENTAL INVOLVEMENT AND EDUCATIONAL ATTAINMENT PROGRAMS FOR ADULTS.

4d Other program services (Describe in Schedule O.) (Expenses \$ 250,140. including grants of \$) (Revenue \$)

4e Total program service expenses 2,735,240.

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>		X
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	X	
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>		X
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>		X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Parts II and IV</i>		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Parts III and IV</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		X
b <i>If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?</i>		

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II		X
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highest compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV		X
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I		X
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2		
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?	X	

Note. All Form 990 filers are required to complete Schedule O

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable		
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	X	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	X	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?		X
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		X
b	If "Yes," enter the name of the foreign country: See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	X	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	X	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		X
d	If "Yes," indicate the number of Forms 8282 filed during the year		
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		X
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		
9	Sponsoring organizations maintaining donor advised funds.		
a	Did the organization make any taxable distributions under section 4966?		
b	Did the organization make a distribution to a donor, donor advisor, or related person?		
10	Section 501(c)(7) organizations. Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12		
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities		
11	Section 501(c)(12) organizations. Enter:		
a	Gross income from members or shareholders		
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)		
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year		
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans		
c	Enter the amount of reserves on hand		
14a	Did the organization receive any payments for indoor tanning services during the tax year?		X
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O		

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7 below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI

Section A. Governing Body and Management

	1a	1b	2	3	4	5	6	7a	7b	8a	8b	9	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year	29													
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.														
b Enter the number of voting members included in line 1a, above, who are independent		29												
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?														X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?														X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?														X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?														X
6 Did the organization have members or stockholders?														X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?														X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?														X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:														
a The governing body?										X				
b Each committee with authority to act on behalf of the governing body?										X				
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O														X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	10a	10b	11a	12a	12b	12c	13	14	15a	15b	16a	16b	Yes	No
10a Did the organization have local chapters, branches, or affiliates?														X
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?														
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?			X											
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.														
12a Did the organization have a written conflict of interest policy? If "No," go to line 13				X										
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?				X										
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done				X										
13 Did the organization have a written whistleblower policy?						X								
14 Did the organization have a written document retention and destruction policy?						X								
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?														
a The organization's CEO, Executive Director, or top management official									X					
b Other officers or key employees of the organization														X
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).														
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?														X
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?														

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed **► KY**
- 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
 Own website Another's website Upon request Other (explain in Schedule O)
- 19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
- 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: **►**
LOUISVILLE URBAN LEAGUE - (502) 561-6830
1535 WEST BROADWAY, LOUISVILLE, KY 40203

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) KENNEDY HELM MEMBER AT LARGE	1.00	X						0.	0.	0.
(2) CONDRAD DANIELS BOARD MEMBER	1.00	X						0.	0.	0.
(3) FRAN BERG BOARD MEMBER	1.00	X						0.	0.	0.
(4) DR. SANDRA E BROOKS BOARD MEMBER	1.00	X						0.	0.	0.
(5) JAMES CLAY SMITH BOARD MEMBER	1.00	X						0.	0.	0.
(6) JOELLA COMSTOCK BOARD MEMBER	1.00	X						0.	0.	0.
(7) ELLIOTT SNOW BOARD MEMBER	1.00	X						0.	0.	0.
(8) BERNANRD CRAYTON VICE CHAIR PROGRAMS	1.00	X		X				0.	0.	0.
(9) CYNTHIA FEDERICO BOARD MEMBER	1.00	X						0.	0.	0.
(10) DAWN FRANKLIN CROFT BOARD MEMBER	1.00	X						0.	0.	0.
(11) JIMMY L. GILLISPIE, JR. MEMBER AT LARGE	1.00	X						0.	0.	0.
(12) SHARON DECKER BOARD MEMBER	1.00	X						0.	0.	0.
(13) PURNA VEER BOARD MEMBER	1.00	X						0.	0.	0.
(14) H. DAVID HALE CHAIRPERSON	1.00	X		X				0.	0.	0.
(15) DANIEL HALL SECRETARY	1.00	X						0.	0.	0.
(16) NORRIS HAMILTON BOARD MEMBER	1.00	X						0.	0.	0.
(17) WALTER KOCZOT BOARD MEMBER	1.00	X		X				0.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (cont.)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) THOMAS VOLTA VICE CHAIR DEVELOPMENT	1.00	X		X				0.	0.	0.
(19) AUBREY L. HAYDEN, JR. TREASURER	1.00	X		X				0.	0.	0.
(20) BERRY L. HAYES, SR. BOARD MEMBER	1.00	X						0.	0.	0.
(21) HARRY HAYES BOARD MEMBER	1.00	X						0.	0.	0.
(22) DEMETRIUS HOLLOWAY BOARD MEMBER	1.00	X						0.	0.	0.
(23) NANCY JARETT BOARD MEMBER	1.00	X						0.	0.	0.
(24) DIANE PORTER BOARD MEMBER	1.00	X						0.	0.	0.
(25) JON MEYER BOARD MEMBER	1.00	X						0.	0.	0.
(26) ERIC MILLER BOARD MEMBER	1.00	X						0.	0.	0.
1b Sub-total								0.	0.	0.
c Total from continuation sheets to Part VII, Section A								247,144.	0.	50,549.
d Total (add lines 1b and 1c)								247,144.	0.	50,549.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **1**

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

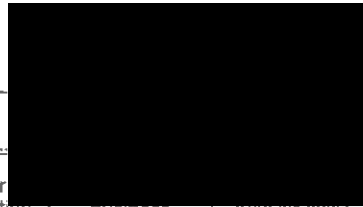
(A) Name and business address	(B) Description of services	(C) Compensation
NONE		

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **0**

SEE PART VII, SECTION A CONTINUATION SHEETS

Part VIII Statement of Revenue

Check if Schedule O contains a response to any question in this Part VIII



		(A) Total revenue	(B) Related or exempt function revenue	business revenue	Part VIII sections 512, 513, or 514	
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns	1a 325,962.				
	b Membership dues	1b				
	c Fundraising events	1c 51,354.				
	d Related organizations	1d				
	e Government grants (contributions)	1e 1,331,466.				
	f All other contributions, gifts, grants, and similar amounts not included above	1f 997,730.				
	g Noncash contributions included in lines 1a-1f: \$					
	h Total. Add lines 1a-1f		2,706,512.			
Program Service Revenue	2 a SPONSOR FEES	Business Code 624200	349,648.	349,648.		
	b					
	c					
	d					
	e					
	f All other program service revenue					
	g Total. Add lines 2a-2f		349,648.			
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		370.	370.		
	4 Income from investment of tax-exempt bond proceeds					
	5 Royalties					
	6 a Gross rents	(i) Real				
		(ii) Personal				
		b Less: rental expenses				
		c Rental income or (loss)				
	d Net rental income or (loss)					
	7 a Gross amount from sales of assets other than inventory	(i) Securities				
		(ii) Other				
		b Less: cost or other basis and sales expenses		103.		
		c Gain or (loss)		<103.>		
	d Net gain or (loss)		<103.>		<103.>	
	8 a Gross income from fundraising events (not including \$ 51,354. of contributions reported on line 1c). See Part IV, line 18	a	99,825.			
		b Less: direct expenses	b	68,685.		
c Net income or (loss) from fundraising events			31,140.		31,140.	
9 a Gross income from gaming activities. See Part IV, line 19	a					
	b Less: direct expenses	b				
	c Net income or (loss) from gaming activities					
10 a Gross sales of inventory, less returns and allowances	a					
	b Less: cost of goods sold	b				
	c Net income or (loss) from sales of inventory					
Miscellaneous Revenue		Business Code				
11 a	a					
	b					
	c					
	d All other revenue					
	e Total. Add lines 11a-11d					
12 Total revenue. See instructions.		3,087,567.	350,018.	0.	31,037.	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response to any question in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21				
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	328,347.	169,334.	159,013.	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	1,416,369.	1,284,560.	131,809.	
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	90,368.	38,490.	51,878.	
9 Other employee benefits	132,228.	107,170.	25,058.	
10 Payroll taxes	132,952.	113,635.	19,317.	
11 Fees for services (non-employees):				
a Management				
b Legal	56.	56.		
c Accounting	20,170.	16,889.	3,281.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	182,618.	151,050.	16,339.	15,229.
12 Advertising and promotion	31,093.	20,373.	9,463.	1,257.
13 Office expenses	114,366.	80,516.	31,414.	2,436.
14 Information technology				
15 Royalties				
16 Occupancy		249,408.	<269,611.>	20,203.
17 Travel	50,510.	29,716.	20,341.	453.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest	2,624.	33.	2,591.	
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	137,033.		137,033.	
23 Insurance				
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a SUPPORT SERVICES	117,678.	339,308.	<221,630.>	
b MISCELLANEOUS	91,018.	91,018.		
c FOOD & BEVERAGE	48,390.	28,864.	18,005.	1,521.
d MAINTENANCE	48,058.		48,058.	
e All other expenses	14,820.	14,820.		
25 Total functional expenses. Add lines 1 through 24e	2,958,698.	2,735,240.	182,359.	41,099.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here if following SOP 98-2 (ASC 958-720)

Part X Balance Sheet

Check if Schedule O contains a response to any question in this Part X

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	829,607.	1	754,386.
	2 Savings and temporary cash investments	37,000.	2	37,000.
	3 Pledges and grants receivable, net	400.	3	0.
	4 Accounts receivable, net	582,527.	4	845,943.
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	3,984.	9	7,208.
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 2,407,904.		
	b Less: accumulated depreciation	10b 1,457,460.	1,048,433.	10c 950,444.
	11 Investments - publicly traded securities		11	
	12 Investments - other securities. See Part IV, line 11	28,560.	12	29,496.
	13 Investments - program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11	76,341.	15	101,499.
16 Total assets. Add lines 1 through 15 (must equal line 34)	2,606,852.	16	2,725,976.	
Liabilities	17 Accounts payable and accrued expenses	200,517.	17	174,385.
	18 Grants payable		18	
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties	55,070.	23	25,104.
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	479,356.	25	466,134.
	26 Total liabilities. Add lines 17 through 25	734,943.	26	665,623.
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	1,426,017.	27	1,443,856.
	28 Temporarily restricted net assets	445,892.	28	616,497.
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
33 Total net assets or fund balances	1,871,909.	33	2,060,353.	
34 Total liabilities and net assets/fund balances	2,606,852.	34	2,725,976.	

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	3,087,567.
2	Total expenses (must equal Part IX, column (A), line 25)	2	2,958,698.
3	Revenue less expenses. Subtract line 2 from line 1	3	128,869.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	1,871,909.
5	Net unrealized gains (losses) on investments	5	936.
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	58,639.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	2,060,353.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

	Yes	No
1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other		
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant?		X
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:		
<input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		
b Were the organization's financial statements audited by an independent accountant?	X	
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:		
<input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	X	
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.		
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	X	
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	X	

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2012

Open to Public Inspection

Name of the organization

THE LOUISVILLE URBAN LEAGUE, INC.

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
- 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.)
- 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
- 4 A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: _____
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 8 A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 9 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
- 10 An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h.
 - a Type I
 - b Type II
 - c Type III - Functionally integrated
 - d Type III - Non-functionally integrated
- e By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

	Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? 11g(i)		
(ii) A family member of a person described in (i) above? 11g(ii)		
(iii) A 35% controlled entity of a person described in (i) or (ii) above? 11g(iii)		
- h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
Total									

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2012

232021
12-04-12

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	2149474.	1918892.	1996056.	1947721.	2706512.	10718655.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	2149474.	1918892.	1996056.	1947721.	2706512.	10718655.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4.						10718655.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
7 Amounts from line 4	2149474.	1918892.	1996056.	1947721.	2706512.	10718655.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	18,226.	30,425.	1,188.	931.	370.	51,140.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)	112,925.	146,464.	200,388.			459,777.
11 Total support. Add lines 7 through 10						11229572.
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here	<input type="checkbox"/>					

Section C. Computation of Public Support Percentage

14 Public support percentage for 2012 (line 6, column (f) divided by line 11, column (f))	14	95.45 %
15 Public support percentage from 2011 Schedule A, Part II, line 14	15	92.46 %
16a 33 1/3% support test - 2012. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	<input checked="" type="checkbox"/>	
b 33 1/3% support test - 2011. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	<input type="checkbox"/>	
17a 10% -facts-and-circumstances test - 2012. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization	<input type="checkbox"/>	
b 10% -facts-and-circumstances test - 2011. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization	<input type="checkbox"/>	
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions	<input type="checkbox"/>	

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here

Section C. Computation of Public Support Percentage

15 Public support percentage for 2012 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2011 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2012 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2011 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2012. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

b 33 1/3% support tests - 2011. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2012

Name of the organization

THE LOUISVILLE URBAN LEAGUE, INC.

Employer identification number



Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)(3) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization

Employer identification number

THE LOUISVILLE URBAN LEAGUE, INC.

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1		\$ 55,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
2		\$ 60,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
3		\$ 124,817.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
4		\$ 108,100.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
5		\$ 276,078.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
6		\$ 86,189.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization THE LOUISVILLE URBAN LEAGUE, INC.	Employer identification number <div style="background-color: black; width: 100px; height: 20px;"></div>
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	<hr/> <hr/> <hr/>	\$ 331,790.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
8	<hr/> <hr/> <hr/>	\$ 126,485.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
9	<hr/> <hr/> <hr/>	\$ 135,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
10	<hr/> <hr/> <hr/>	\$ 937,351.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
11	<hr/> <hr/> <hr/>	\$ 120,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	<hr/> <hr/> <hr/>	\$ _____.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization **THE LOUISVILLE URBAN LEAGUE, INC.** Employer identification number 

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____

Name of organization THE LOUISVILLE URBAN LEAGUE, INC.	Employer identification number [REDACTED]
--	--

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

**SCHEDULE D
(Form 990)**

Department of the Treasury
Internal Revenue Service

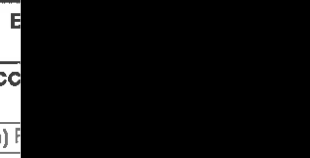
Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047
2012
Open to Public Inspection

Name of the organization

THE LOUISVILLE URBAN LEAGUE, INC.



Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts

organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Other similar funds or accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? <input type="checkbox"/> Yes <input type="checkbox"/> No		
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? <input type="checkbox"/> Yes <input type="checkbox"/> No		

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

Preservation of land for public use (e.g., recreation or education) Preservation of an historically important land area

Protection of natural habitat Preservation of a certified historic structure

Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? Yes No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? Yes No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 ▶ \$ _____

(ii) Assets included in Form 990, Part X ▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1 ▶ \$ _____

b Assets included in Form 990, Part X ▶ \$ _____



Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a Public exhibition
 - b Scholarly research
 - c Preservation for future generations
 - d Loan or exchange programs
 - e Other _____
- 4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No
- b If "Yes," explain the arrangement in Part XIII and complete the following table:
- | | Amount |
|---------------------------------|--------|
| c Beginning balance | 1c |
| d Additions during the year | 1d |
| e Distributions during the year | 1e |
| f Ending balance | 1f |
- 2a Did the organization include an amount on Form 990, Part X, line 21? Yes No
- b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

- 2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
- a Board designated or quasi-endowment _____ %
 - b Permanent endowment _____ %
 - c Temporarily restricted endowment _____ %
- The percentages in lines 2a, 2b, and 2c should equal 100%.
- 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:
- | | Yes | No |
|---|--------|----|
| (i) unrelated organizations | 3a(i) | |
| (ii) related organizations | 3a(ii) | |
| b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? | 3b | |
- 4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		179,771.		179,771.
b Buildings		1,687,295.	1,064,378.	622,917.
c Leasehold improvements				
d Equipment		540,838.	393,082.	147,756.
e Other				
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				950,444.

Part VII Investments - Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		

Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶

Part VIII Investments - Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		

Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	

Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶

Part X Other Liabilities. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) DEFINED BENEFIT PLAN PAYABLE	363,935.
(3) DEFERRED COMPENSATION PLAN	102,199.
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
(11)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	466,134.

2. FIN 48 (ASC 740) Footnote. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per

1	Total revenue, gains, and other support per audited financial statements			
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
a	Net unrealized gains on investments	2a	936.	
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII.)	2d	68,685.	
e	Add lines 2a through 2d	2e		69,621.
3	Subtract line 2e from line 1	3		3,087,567.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b	4c		0.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5		3,087,567.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1		3,027,383.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII.)	2d	68,685.	
e	Add lines 2a through 2d	2e		68,685.
3	Subtract line 2e from line 1	3		2,958,698.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b	4c		0.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5		2,958,698.

Part XIII Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART X, LINE 2: THE LEAGUE IS EXEMPT FROM FEDERAL, STATE AND LOCAL

INCOME TAXES AS A NOT-FOR-PROFIT ORGANIZATION AS DESCRIBED UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE. THE LEAGUE FILES AN INFORMATIONAL TAX RETURN AS REQUIRED BY FEDERAL REGULATIONS AND A COPY IS FILED WITH THE KENTUCKY ATTORNEY GENERAL. HOWEVER, INCOME FROM CERTAIN ACTIVITIES NOT DIRECTLY RELATED TO THE LEAGUE'S TAX-EXEMPT PURPOSE MAY BE SUBJECT TO TAXATION AS UNRELATED BUSINESS INCOME. MANAGEMENT DOES NOT BELIEVE THAT THE LEAGUE HAS UNRELATED BUSINESS INCOME FOR THE YEARS ENDED JUNE 30, 2013

Part XIII Supplemental Information (continued)

AND 2012.

AS OF JUNE 30, 2013 AND 2012, THE LEAGUE DID NOT HAVE ANY ACCRUED INTEREST OR PENALTIES RELATED TO INCOME TAX LIABILITIES, AND NO INTEREST OR PENALTIES HAVE BEEN CHARGED TO OPERATIONS FOR THE YEARS THEN ENDED. TAX YEARS STILL OPEN UNDER FEDERAL AND STATE STATUTE OF LIMITATIONS REMAIN SUBJECT TO REVIEW AND CHANGE.

PART XI, LINE 2D - OTHER ADJUSTMENTS:

SPECIAL EVENT EXPENSE 68,685.

PART XII, LINE 2D - OTHER ADJUSTMENTS:

SPECIAL EVENT EXPENSES 68,685.

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding
Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19,
or if the organization entered more than \$15,000 on Form 990-EZ, line 8a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2012

Name of the organization

THE LOUISVILLE URBAN LEAGUE, INC.

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17, or if the organization entered more than \$15,000 on Form 990-EZ, line 8a. If "No," check the box that applies.

- Indicate whether the organization raised funds through any of the following activities. Check all that apply.

a <input type="checkbox"/>	Mail solicitations	e <input type="checkbox"/>	Solicitation of non-government grants
b <input type="checkbox"/>	Internet and email solicitations	f <input type="checkbox"/>	Solicitation of government grants
c <input type="checkbox"/>	Phone solicitations	g <input type="checkbox"/>	Special fundraising events
d <input type="checkbox"/>	In-person solicitations		
- Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? Yes No
- If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
Total ▶						

- List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$10,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts of \$1,000 or more.

		(a) Event #1	(b) Event #2	(c) Other events	col. (c)	
		DIVERSITY SOIREE (event type)	OPPORTUNITY OPEN (event type)	NONE (total number)		
Revenue	1	78,325.	72,854.		151,179.	
	2	24,500.	26,854.		51,354.	
	3	53,825.	46,000.		99,825.	
Direct Expenses	4					
	5	1,361.	10,580.		11,941.	
	6					
	7	18,491.	3,473.		21,964.	
	8	200.			200.	
	9	20,597.	13,983.		34,580.	
	10	Direct expense summary. Add lines 4 through 9 in column (d)				(68,685)
	11	Net income summary. Combine line 3, column (d), and line 10				31,140.

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))	
Revenue	1					
Direct Expenses	2					
	3					
	4					
	5					
	6	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No		
	7	Direct expense summary. Add lines 2 through 5 in column (d)				()
	8	Net gaming income summary. Combine line 1, column (d), and line 7				

9 Enter the state(s) in which the organization operates gaming activities: _____
 a Is the organization licensed to operate gaming activities in each of these states? Yes No
 b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? Yes No
 b If "Yes," explain: _____

- 11 Does the organization operate gaming activities with nonmembers? No
- 12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? No

13 Indicate the percentage of gaming activity operated in:

a The organization's facility	13a	%
b An outside facility	13b	%

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ _____

Address ▶ _____

- 15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? Yes No
- b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____
- c If "Yes," enter name and address of the third party:

Name ▶ _____

Address ▶ _____

16 Gaming manager information:

Name ▶ _____

Gaming manager compensation ▶ \$ _____

Description of services provided ▶ _____

Director/officer Employee Independent contractor

- 17 Mandatory distributions:
- a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? Yes No
- b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV Supplemental Information. Complete this part to provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

**SCHEDULE J
(Form 990)**

Compensation Information

OMB No. 1545-0047

2012

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.

▶ Attach to Form 990. ▶ See separate instructions.

Department of the Treasury
Internal Revenue Service

Name of the organization

THE LOUISVILLE URBAN LEAGUE, INC.

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- | | |
|--|--|
| <input type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for person |
| <input type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

1b

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?

2

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- | | |
|---|---|
| <input type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input checked="" type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

a Receive a severance payment or change-of-control payment?

4a

b Participate in, or receive payment from, a supplemental nonqualified retirement plan?

4b

c Participate in, or receive payment from, an equity-based compensation arrangement?

4c

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

a The organization?

5a

b Any related organization?

5b

If "Yes" to line 5a or 5b, describe in Part III.

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

a The organization?

6a

b Any related organization?

6b

If "Yes" to line 6a or 6b, describe in Part III.

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III

7

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

8

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

9

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2012

Schedule J (Form 990) 2012 **THE LOUISVILLE URBAN LEAGUE, INC.**

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies of this form for each individual whose compensation must be reported in Schedule J, report compensation from the organization, described in the instructions, on row (ii).

Do not list any individuals that are not listed on Form 990, Part VII.

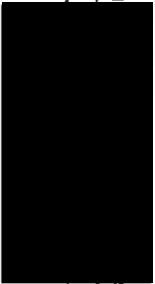
Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(i) BENJAMIN RICHMOND PRESIDENT, CEO	160,905. 0.	0. 0.	0. 0.	19,461. 0.	9,104. 0.	189,470. 0.	0. 0.
(ii)							
(iii)							
(iv)							
(v)							
(vi)							
(vii)							
(viii)							
(ix)							
(x)							
(xi)							
(xii)							
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(xxi)							
(xxii)							
(xxiii)							
(xxiv)							
(xxv)							
(xxvi)							
(xxvii)							
(xxviii)							
(xxix)							
(xxx)							

Schedule J (Form 990) 2012 THE LOUISVILLE URBAN LEAGUE, INC.

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II, lines 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85, 86, 87, 88, 89, 90, 91, 92, 93, 94, 95, 96, 97, 98, 99, 100, 101, 102, 103, 104, 105, 106, 107, 108, 109, 110, 111, 112, 113, 114, 115, 116, 117, 118, 119, 120, 121, 122, 123, 124, 125, 126, 127, 128, 129, 130, 131, 132, 133, 134, 135, 136, 137, 138, 139, 140, 141, 142, 143, 144, 145, 146, 147, 148, 149, 150, 151, 152, 153, 154, 155, 156, 157, 158, 159, 160, 161, 162, 163, 164, 165, 166, 167, 168, 169, 170, 171, 172, 173, 174, 175, 176, 177, 178, 179, 180, 181, 182, 183, 184, 185, 186, 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987, 988, 989, 990, 991, 992, 993, 994, 995, 996, 997, 998, 999, 1000.



SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

2012

Open to Public
Inspection

Name of the organization

THE LOUISVILLE URBAN LEAGUE, INC.

Employer identification number



FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

EDUCATION PROGRAMS TO THE LOUISVILLE COMMUNITY.

FORM 990, PART III, LINE 2, NEW PROGRAM SERVICES:

MATURE WORKERS PROGRAM, FEDERAL TRAINING PROGRAM FOR PEOPLE OVER 55.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

ADVOCACY

EXPENSES \$ 250,140. INCLUDING GRANTS OF \$ 0. REVENUE \$ 0.

FORM 990, PART VI, SECTION B, LINE 11: ARTIE ROBERTSON, COO, IS GIVEN A COPY OF FORM 990 TO REVIEW AND ASK ANY QUESTIONS PRIOR TO FILING. THE COO ALSO E-MAILS A DRAFT COPY OF FORM 990 TO ALL BOARD MEMBERS AFTER HE HAS REVIEWED IT.

FORM 990, PART VI, SECTION B, LINE 15A:

EACH YEAR, THE LOUISVILLE URBAN LEAGUE BOARD IS REQUIRED, UNDER THE NATIONAL URBAN LEAGUE TERMS OF AFFILIATION, TO APPRAISE THE PERFORMANCE OF THE PRESIDENT/CEO. THE PERFORMANCE PLAN AND APPRAISAL IS CONDUCTED BY THE BOARD CHAIR, AND THE EXECUTIVE COMMITTEE REVIEWS AND GIVES APPROVAL. FOLLOWING, THE PERFORMANCE PLAN AND ACCOMPLISHMENTS ARE DISCUSSED AND REVIEWED BETWEEN THE CEO AND THE EXECUTIVE COMMITTEE. THE PLAN UTILIZES A RATING SYSTEM FROM ONE TO FIVE, AND A COPY OF THE APPROVED SIGNED PERFORMANCE APPRAISAL IS FORWARDED TO THE NATIONAL URBAN LEAGUE. ANNUALLY, EACH FULL-TIME EMPLOYEE RECEIVES A PERFORMANCE

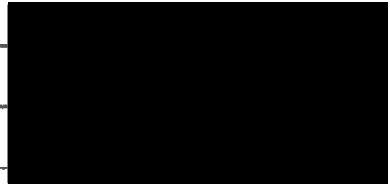
LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) (2012)

232211
01-04-13

Name of the organization

THE LOUISVILLE URBAN LEAGUE, INC.

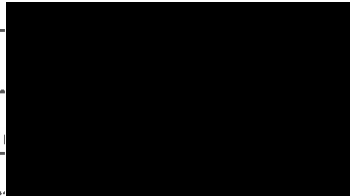


APPRAISAL FROM THEIR REPORTING SUPERVISOR. THE GOAL IS REVIEW THE EMPLOYEE'S GOALS, IN ADDITION TO EVALUATING HIS/HER ACCOMPLISHMENTS AND ASSESSING TRAINING NEEDS. THE PERFORMANCE REVIEW APPROACH IS TO ASSESS THE PERFORMANCE BY USING A NUMERICAL RATING SYSTEM, AND MANAGERS SCORE THE EMPLOYEE AGAINST A NUMBER OF OBJECTIVES AND PERSONAL/PROFESSIONAL ATTRIBUTES. AS A RESULT OF THE RATING, AN EMPLOYEE CAN RECEIVE A SALARY INCREASE FROM 1% TO 3%, PLUS A 1% OR 2% ONE-TIME BONUS. IF THE PERFORMANCE REVIEW EARNS THE EMPLOYEE A ONE-TIME BONUS, BUT THE SUPERVISOR BELIEVES THE EMPLOYEE DESERVES AN ADDITIONAL BONUS, A WRITTEN REQUEST MUST BE SUBMITTED TO THE PRESIDENT/CEO FOR APPROVAL. IF AN EMPLOYEE IS BEING PROMOTED TO A POSITION OF HIGHER RESPONSIBILITY AND SALARY AT THE SAME TIME AS THE PERFORMANCE REVIEW FOR SALARY ADJUSTMENT, THE PERFORMANCE REVIEW MAY ALSO BE CONVERTED TO BONUS UPON APPROVAL OF THE PRESIDENT/CEO. IF AN EQUITY ADJUSTMENT IS TO BE MADE IN THE SALARY OF THE EMPLOYEE AT THE SAME TIME AS THE PERFORMANCE REVIEW FOR SALARY ADJUSTMENT, THE PERFORMANCE REVIEW INCREASE MAY ALSO BE CONVERTED TO BONUS UPON APPROVAL OF THE PRESIDENT/CEO. EACH EMPLOYEE SALARY RANGE IS BASED ON WORK RELATED EXPERIENCE YEARS, IN ADDITION TO LEVEL OF EDUCATION. PERIODICALLY, THE LOUISVILLE URBAN LEAGUE EVALUATES THE SALARY RANGE OF ITS NOT-FOR-PROFIT POSITIONS BY COMPARING SIMILAR WORK RELATED RESPONSIBILITIES AND EDUCATION OF OTHER EMPLOYERS WITHIN THE METRO LOUISVILLE COMMUNITY.

FORM 990, PART VI, SECTION B, LINE 12C: THE LEAGUE HAS A CONFLICT OF INTEREST POLICY FOR ALL STAFF AND BOARD MEMBERS. BOARD MEMBERS AND EMPLOYEES ARE ORIENTATED TO THE POLICY WHEN THEY JOIN THE ORGANIZATION, AND THEY ARE REQUIRED TO READ AND SIGN THE POLICY AND CONFLICT OF INTEREST

Name of the organization

THE LOUISVILLE URBAN LEAGUE, INC.



STATEMENT. EACH YEAR DURING TRAINING SESSIONS, THE POLI
AND DISCUSSED WITH EMPLOYEES AND WITH BOARD MEMBERS. THE FILES ARE ALSO
MONITORED EACH YEAR TO ASSURE THAT EACH EMPLOYEE AND BOARD MEMBER HAS A
SIGNED CONFLICT OF INTEREST STATEMENT. BEFORE ENTERING INTO AGREEMENTS FOR
SERVICES OR PURCHASES WITH INDIVIDUALS, ORGANIZATIONS, OR BUSINESSES, A
REVIEW IS DONE BY THE SR. VICE PRESIDENT/CHIEF OPERATING OFFICER AND/OR THE
PRESIDENT/CEO TO ASSURE THAT NO EMPLOYEE OR BOARD MEMBER WOULD BENEFIT
FINANCIALLY FROM SUCH SERVICES OR PRODUCTS. SHOULD A CONFLICT OF INTEREST
BE IDENTIFIED, IT IS DOCUMENTED, AND OPTIONS FOR ELIMINATING THE CONFLICT
ARE REVIEWED TO DETERMINE THE APPROPRIATE ACTION.

FORM 990, PART VI, SECTION B, LINE 15A: SEE LOUISVILLE URBAN LEAGUE'S
COMPENSATION POLICY AS DESCRIBED ON SCHEDULE O FOR FORM 990, PART VI,
SECTION B, LINE 15A.

FORM 990, PART VI, SECTION C, LINE 19: ANYONE APPEARING IN PERSON AT THE
OFFICE OF THE LOUISVILLE URBAN LEAGUE DURING NORMAL WORKING HOURS MAY
REQUEST TO INSPECT THE THREE MOST RECENT ANNUAL INFORMATION RETURNS (FORM
990), EXCLUDING THE LIST OF SIGNIFICANT DONORS (SCHEDULE B) THAT IS
ATTACHED TO FORM 990, BUT INCLUDING THE ACCOMPANYING SCHEDULE A AND THE
LOUISVILLE URBAN LEAGUE'S ORIGINAL APPLICATION FOR RECOGNITION OF ITS
TAX-EXEMPT STATUS (FORM 1023 OR FORM 1024), FILED WITH THE IRS, AND ALL
ACCOMPANYING SCHEDULES AND ATTACHMENTS. THE CONFLICT OF INTEREST POLICY IS
NOT NORMALLY DISSEMINATED TO THE PUBLIC.

FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:

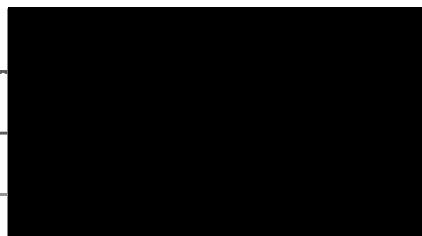
POST RETIREMENT RELATED CHANGES OTHER THAN NET PERIODIC

BENEFIT COSTS

58,639.

Name of the organization

THE LOUISVILLE URBAN LEAGUE, INC.



FORM 990, PART XII, LINE 2C:

THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS OVERSEES THE SELECTION OF
AUDITORS, REVIEW OF THE FINANCIAL STATEMENTS, AND THE AUDIT PROCESS.

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.
Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only
All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions. THE LOUISVILLE URBAN LEAGUE, INC.	Employer identification number (EIN)
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 1535 WEST BROADWAY	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. LOUISVILLE, KY 40203	

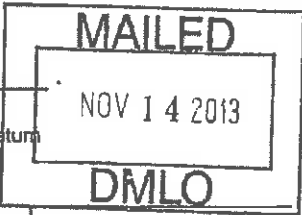
Enter the Return code for the return that this application is for (file a separate application for each return) 01

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

LOUISVILLE URBAN LEAGUE
 • The books are in the care of ▶ **1535 WEST BROADWAY - LOUISVILLE, KY 40203**
 Telephone No. ▶ **(502) 561-6830** FAX No. ▶ _____
 • If the organization does not have an office or place of business in the United States, check this box
 • If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **FEBRUARY 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
 ▶ calendar year _____ or
 ▶ tax year beginning **JUL 1, 2012**, and ending **JUN 30, 2013**

2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return Change in accounting period



3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution: If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.
 LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions. Form 8868 (Rev. 1-2013)

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Dornish
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Trey Grayson
Secretary of State
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Fee Receipt: \$16.00

AMENDED AND RESTATED
ARTICLES OF INCORPORATION
OF
THE URBAN LEAGUE OF LOUISVILLE

The Urban League of Louisville, a non-profit corporation organized and existing under Chapter 273 of the Kentucky Revised Statutes (the "Corporation"), submits the following statement for the purpose of amending and restating its Articles of Incorporation (the "Amended and Restated Articles") in their entirety, and does hereby certify as follows:

FIRST: The original Articles of Incorporation of the Corporation (the "Initial Articles") were filed with the Kentucky Secretary of State on August 31, 1921.

SECOND: Pursuant to the provisions of KRS Chapter 273.263(4), the following amendments are incorporated into these Amended and Restated Articles and that except for the designated amendments, the Amended and Restated Articles currently set forth, without change, the corresponding provisions of the Initial Articles as theretofore amended, and that the Amended and Restated Articles together with the designated amendments supersede the Initial Articles and all amendments thereto.

THIRD: Pursuant to KRS 273.273(3), the foregoing amendment and restatement of the Initial Articles correctly sets forth the provisions of the Initial Articles as theretofore amended, and have been duly adopted by the Directors of the Corporation in a meeting of the Board of Directors on January 17, 2007, as required by law, and supersede the Initial Articles and all amendments thereto.

FOURTH: Articles I through IX of the Corporation's Initial Articles are hereby amended so that as amended (the "Amendment"), the Articles shall read in its entirety as follows:

ARTICLE I

The name of the non-stock, nonprofit corporation shall be The Louisville Urban League, Inc. (the "League").

ARTICLE II

The mailing address of the League's principal office and the street address of its registered office, shall be 1535 West Broadway, Louisville, Kentucky 40203. The registered agent at said address shall be Benjamin K. Richmond.

ARTICLE III

The nature of the business, the objects and purposes proposed to be transacted, promoted and carried on by the League shall be religious, charitable and educational in their nature, and such as from which no pecuniary profit shall be derived, and shall be to assist African Americans, other minority groups and the disadvantaged to attain social and economic equality and stability through direct services and advocacy and shall include, without limitation, the following:

- 1st To work for improving social and economic conditions among African Americans, other minority groups and disadvantaged populations of Louisville and vicinity.
- 2nd To promote cooperation among agencies and organizations serving African Americans, other minority groups and disadvantaged populations of Louisville and vicinity.
- 3rd To help in job training and employment for African Americans, other minority groups and disadvantaged citizens.
- 4th To educate African Americans, other minority groups and similarly disadvantaged populations of Louisville and vicinity to a better understanding of civil and social questions, and of their opportunities for resolution.
- 5th To promote on the part of all the people of Louisville and vicinity a better understanding of the problems of African Americans, other minority groups and similarly disadvantaged populations.
- 6th To further improve the present cordial relationship among all people of Louisville.
- 7th To make such studies in Louisville and vicinity as may be required to accomplish the above objectives.
- 8th To conduct its affairs and functions exclusively for the promotion of social welfare of African Americans, other minority groups and disadvantaged populations of Louisville and vicinity,

Solely for the above purposes, the League is empowered to exercise all rights and powers conferred by the Act, so long as they are consistent with the requirements of Section 501(c)(3) of the Internal Revenue Code of 1986, as amended (the "Code"), including, but without limitation thereon, to participate, either directly or through a subordinate organization which is controlled by or affiliated with the League, as a member, partner, associate or shareholder in a business organization in a manner that will permit the League to act exclusively in furtherance of its charitable purposes within the meaning of Section 501(c)(3) of the Code.

It is intended that this League shall have the status of a corporation which is exempt from federal income taxation under Section 501(c)(3) of the Code as an organization described in Section 501(c)(3) of the Code, contributions to which are deductible under Section 170(c)(2) of the Code. These Articles shall be construed accordingly, and all powers and activities of the League shall be limited accordingly.

ARTICLE IV

In carrying out the corporate purpose, no part of the net earnings of the League shall inure to the benefit of or be distributable to any of its directors, officers or any other private individual, entity or organization except that the League shall be authorized to pay reasonable compensation for services rendered, to make reimbursement for reasonable and appropriate expenses and to make payments and distributions in furtherance of its purpose as set forth in these Articles of Incorporation. No substantial part of the activities of the League shall be the carrying on of propaganda, attempting to influence legislation, (except as permitted by Code section 501(h)) or participating in, or intervening in (including the publishing or distributing of statements) any political campaign on behalf of (or in opposition to) any candidate for public office.

ARTICLE V

The business of the League shall be conducted by a Board of Directors consisting of not less than ten (10) persons. The names of each of the individuals who are the Corporation's initial directors are as follows:

H. E. Hall

Lee P. Miller

W. H. Perry, Jr.

J. A. C. Lattimore

ARTICLE VI

The League shall have one or more classes of members. The designation of such class or classes of members, the manner of election or appointment and the qualifications and rights of the members of each class shall be as set forth in the League's bylaws. The League shall have no capital stock.

ARTICLE VII

Bylaws of the League, as duly adopted or from time to time altered, amended, or repealed by the Board of Directors of the League, shall set forth provisions for the regulation of the internal affairs of the League, including, but not limited to: (1) the composition of and the manner for election and removal of the members of the Board of Directors; (2) the designations and duties of officers of the League, and the manner of their selection; and (3) such other matters as may be determined by the Board of Directors.

ARTICLE VIII


The Board of Directors may authorize the dissolution of the League by a majority of the directors. Upon the League's dissolution, the Board of Directors shall direct the distribution of the League's assets, after adequate provision for creditors shall have been made, to an organization or organizations, qualifying as charitable organizations pursuant to Code section 501(c)(3) to be used for one or more exempt purposes within the meaning of Code Section 501(c)(3) or to the federal, state, and/or local government for a public purpose. In selecting such organizations to which to distribute the League's assets, the Board of Directors shall give first priority to any successor organization of the League; and second, to any other organizations or governmental entity with the same or substantially similar purpose to the League. Any such assets not so disposed of shall be disposed of by a court of competent jurisdiction of the county in which the principal office of the League is then located, exclusively for such purposes or to such organization or organizations, as said court shall determine, which are organized and operated exclusively under Code section 501(c)(3).

ARTICLE IX

Amendments to the Articles of Incorporation may be made by the Board of Directors of the League.

Dated: January 17, 2007

THE URBAN LEAGUE OF LOUISVILLE

By: 
T. Kennedy Helm, III, Chairman of the
Board of Directors

CONSENT TO SERVE
AS
INITIAL REGISTERED AGENT
FOR
THE URBAN LEAGUE OF LOUISVILLE

The undersigned hereby consents to serve as the initial registered agent for The Urban League of Louisville (the "Corporation"), as contemplated by the Corporation's Articles of Incorporation.



Benjamin K. Richmond
President and Chief Executive Officer

0053488.04

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W266

Trey Grayson
Secretary of State
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10/08/2007 2:10:26 PM
Fee Receipt: \$20.00

Kentucky Secretary of State TREY GRAYSON

Division of Corporations
BUSINESS FILINGS
P.O. Box 718
Frankfort, KY 40602
(502) 564-2848
<http://www.sos.ky.gov/>

Certificate of Withdrawal
of Assumed Name

CWA

This certifies that the assumed name of
Louisville Urban League

[Assumed name under which the business is being conducted]

has been discontinued by
The Urban League of Louisville

[Real name - KRS 366.019(1)]

which is the "real name" of [YOU MUST CHECK ONE]

a Domestic General Partnership

a Foreign General Partnership

a Domestic Limited Liability Partnership

a Foreign Limited Liability Partnership

a Domestic Limited Partnership

a Foreign Limited Partnership

a Domestic Business Trust

a Foreign Business Trust

a Domestic Corporation

a Foreign Corporation

a Domestic Limited Liability Company

a Foreign Limited Liability Company

a Joint Venture

organized and existing in the state or country of Jefferson, and whose address is

1535 West Broadway

Louisville

KY

40203

Street address, if any

City

State

Zip Code

The certificate of assumed name was filed with the Secretary of State on 9/7/2004

The certificate of withdrawal of assumed name is executed by



Signature

Benjamin K. Richmond, President/CEO

Print or type name and title

9/18/2007

Date

Signature

Print or type name and title

Date

CWA (06/07)

(See attached sheet for instructions)

Request for Taxpayer Identification Number and Certification

**Give Form to the
requester. Do not
send to the IRS.**

Print or type See Specific Instructions on page 2.	Name (as shown on your income tax return)		THE LOUISVILLE URBAN LEAGUE, INC.	
	Business name/disregarded entity name, if different from above		LOUISVILLE URBAN LEAGUE	
	Check appropriate box for federal tax classification:		Exemptions (see instructions):	
	<input type="checkbox"/> Individual/sole proprietor <input checked="" type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate		Exempt payee code (if any) _____	
	<input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____		Exemption from FATCA reporting code (if any) _____	
<input type="checkbox"/> Other (see instructions) ▶ _____		501(C)3		
Address (number, street, and apt. or suite no.)		Requester's name and address (optional)		
1535 W BROADWAY				
City, state, and ZIP code				
LOUISVILLE KY 40203				
List account number(s) here (optional)				

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued).
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, and I am no longer subject to backup withholding, and
- I am a U.S. citizen or other U.S. person (defined below), and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here	Signature of U.S. person ▶	Date ▶
------------------	----------------------------	--------

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. The IRS has created a page on www.irs.gov/w9 for information about Form W-9, at www.irs.gov/w9. Information about any future developments affecting Form W-9 (such as legislation enacted after we release it) will be posted on that page.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, payments made to you in settlement of payment card and third party network transactions, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the

withholding tax on foreign partners' share of **effectively connected** income, and

4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct.

Note. If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

THE LOUISVILLE URBAN LEAGUE, INC.

**FINANCIAL STATEMENTS AND
SUPPLEMENTARY INFORMATION**

Years Ended June 30, 2014 and 2013

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Independent Auditors' Report

To the Board of Directors
The Louisville Urban League, Inc.
Louisville, Kentucky

We have audited the accompanying financial statements of The Louisville Urban League, Inc. (a not-for-profit organization), which comprise the statements of financial position as of June 30, 2014 and 2013, and the related statements of activities, and cash flows for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these consolidated financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of The Louisville Urban League, Inc. as of June 30, 2014 and 2013, and the changes in its net assets and its cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America.

Other Matters

Other Information

Our audits were conducted for the purpose of forming an opinion on the financial statements. The schedules of expenses on pages 17 and 18 and schedules of support, revenue and expenses by program services on pages 19-21 are presented for purposes of additional analysis and are not a required part of the basic financial statements. The accompanying schedule of expenditures of federal awards on pages 22 and 23, as required by Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, is presented for purposes of additional analysis, and is also not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. Except for that portion marked "unaudited," on which we express no opinion, the information has been subjected to the auditing procedures applied in the audits of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated September XX, 2014, on our consideration of The Louisville Urban League, Inc.'s internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering The Louisville Urban League, Inc.'s internal control over financial reporting and compliance.

Deming, Malone, Linsay & Ostroff

Louisville, Kentucky
September 8, 2014

THE LOUISVILLE URBAN LEAGUE, INC.

STATEMENTS OF FINANCIAL POSITION

June 30, 2014 and 2013

Assets	<u>2014</u>	<u>2013</u>
Cash and cash equivalents (Notes 1 and 10)	\$ 903,456	\$ 754,386
Certificates of deposit (Note 1)	37,000	37,000
Accounts and grants receivable, net of allowance for uncollectible accounts of \$0 for 2014 and \$2,800 for 2013 (Notes 1 and 8)	730,133	845,943
Investments (Notes 1, 2 and 10)	38,805	29,496
Property and equipment, net (Notes 1 and 4)	846,605	950,444
Prepaid expenses	8,058	7,208
Deferred compensation plan (Note 3)	<u>136,340</u>	<u>101,499</u>
Total assets	<u>\$ 2,700,397</u>	<u>\$ 2,725,976</u>
 Liabilities and Net Assets		
Accounts payable and accrued expenses	\$ 172,705	\$ 175,085
Deferred compensation plan (Note 3)	136,340	101,499
Defined benefit plan payable (Note 7)	226,868	363,935
Mortgage payable (Note 5)	<u>25,104</u>	<u>25,104</u>
Total liabilities	<u>535,913</u>	<u>665,623</u>
 Net Assets		
Unrestricted	1,545,731	1,443,856
Temporarily restricted (Note 9)	<u>618,753</u>	<u>616,497</u>
Total net assets	<u>2,164,484</u>	<u>2,060,353</u>
Total liabilities and net assets	<u>\$ 2,700,397</u>	<u>\$ 2,725,976</u>

See Notes to Financial Statements.

THE LOUISVILLE URBAN LEAGUE, INC.

STATEMENTS OF ACTIVITIES

Years Ended June 30, 2014 and 2013

	2014		
	Unrestricted	Temporarily Restricted	Total
Public Support and Revenues			
Contributions and memberships (Note 1)	\$ 556,936	\$ 30,200	\$ 587,136
Grants	11,302	1,963,329	1,974,631
Public support (Note 8)		324,712	324,712
Interest	1,071		1,071
Unrealized gain on investments (Note 2)	39		39
Other income	64,769	287,017	351,786
Satisfaction of program restrictions	2,603,002	(2,603,002)	
Pledges received			
Total revenues, gains and other support	3,237,119	2,256	3,239,375
Expenses			
Employment services program	1,636,661		1,636,661
Housing services and development	579,451		579,451
Youth development programs	492,287		492,287
Advocacy	198,557		198,557
Total program expenses	2,906,956		2,906,956
Management and administrative	279,961		279,961
Fundraising	107,832		107,832
Total expenses	3,294,749		3,294,749
(Decrease) increase in total net assets before pension related changes other than net periodic benefit cost	(57,630)	2,256	(55,374)
Pension related changes other than net periodic benefit costs (Note 7)	159,505		159,505
Increase in total net assets	101,875	2,256	104,131
Net assets at beginning of year	1,443,856	616,497	2,060,353
Net assets, end of year	\$ 1,545,731	\$ 618,753	\$ 2,164,484

See Notes to Financial Statements.

2013		
<u>Unrestricted</u>	<u>Temporarily Restricted</u>	<u>Total</u>
\$ 590,219	\$ 59,350	\$ 649,569
82,500	1,745,806	1,828,306
1,250	324,712	325,962
370		370
936		936
70,440	281,605	352,045
2,240,468	(2,240,468)	
400	(400)	
<u>2,986,583</u>	<u>170,605</u>	<u>3,157,188</u>
1,480,469		1,480,469
576,721		576,721
565,208		565,208
112,842		112,842
<u>2,735,240</u>		<u>2,735,240</u>
182,360		182,360
109,783		109,783
<u>3,027,383</u>		<u>3,027,383</u>
(40,800)	170,605	129,805
<u>58,639</u>		<u>58,639</u>
17,839	170,605	188,444
<u>1,426,017</u>	<u>445,892</u>	<u>1,871,909</u>
<u>\$ 1,443,856</u>	<u>\$ 616,497</u>	<u>\$ 2,060,353</u>

THE LOUISVILLE URBAN LEAGUE, INC.

STATEMENTS OF CASH FLOWS

Years Ended June 30, 2014 and 2013

	<u>2014</u>	<u>2013</u>
Cash Flows from Operating Activities		
Contributions received	\$ 577,866	\$ 649,969
Grants and public support received	2,417,919	1,890,977
Interest received	1,071	370
Other income received	351,786	352,148
Cash paid to employees and suppliers	(3,147,359)	(2,896,949)
Interest paid	<u>(376)</u>	<u>(2,623)</u>
Net cash provided by (used in) operating activities	<u>200,907</u>	<u>(6,108)</u>
Cash Flows from Investing Activities		
Capital expenditures for property and equipment	<u>(26,733)</u>	<u>(39,147)</u>
Cash Flows from Financing Activities		
Principal payments on mortgage	<u>(25,104)</u>	<u>(29,966)</u>
Net increase (decrease) in cash and cash equivalents	149,070	(75,221)
Cash and cash equivalents at beginning of year	<u>754,386</u>	<u>829,607</u>
Cash and cash equivalents at end of year	<u>\$ 903,456</u>	<u>\$ 754,386</u>

See Notes to Financial Statements.

	<u>2014</u>	<u>2013</u>
Reconciliation of Net Increase in Total Net Assets to Net Cash Provided by (Used in) Operating Activities		
Net increase in total net assets	\$ 104,131	\$ 188,444
Adjustments to reconcile net increase in total net assets to net cash provided by (used in) operating activities:		
Depreciation	130,572	137,033
Unrealized gain on investments	(39)	(936)
Allowance for doubtful accounts	(2,766)	(125)
Donations of stock	(9,270)	
Loss on disposal of property and equipment		103
Change in assets and liabilities:		
(Increase) decrease in:		
Accounts and grants receivable	118,576	(262,891)
Prepaid expenses	(850)	(3,224)
Increase (decrease) in:		
Accounts payable and accrued expenses	(2,380)	(25,432)
Defined benefit plan payable	<u>(137,067)</u>	<u>(39,080)</u>
Total adjustments	<u>96,776</u>	<u>(194,552)</u>
Net cash provided by (used in) operating activities	<u>\$ 200,907</u>	<u>\$ (6,108)</u>

THE LOUISVILLE URBAN LEAGUE, INC.

NOTES TO FINANCIAL STATEMENTS

Note 1. Description of League and Summary of Significant Accounting Policies

Description of League:

The Louisville Urban League, Inc. (League) is a not-for-profit organization as described in Section 501(c)(3) of the Internal Revenue Code. The League provides a service of assisting African Americans, other minorities, and disadvantaged persons in the fields of economic development and employment, education and youth incentives, housing, health and social welfare, race and community relations, and community development principally through programs funded by Metro United Way, federal, state and local governments, foundations, donors and memberships.

Summary of significant accounting policies:

This summary of significant accounting policies of The Louisville Urban League, Inc. is presented to assist in understanding the League's financial statements. The financial statements are representations of the League's management who is responsible for the integrity and objectivity of the financial statements. These accounting policies conform to accounting principles generally accepted in the United States of America and have been consistently applied in the preparation of the financial statements.

Cash and cash equivalents:

The book balance of cash and cash equivalents totaled \$903,456 and \$754,386 at June 30, 2014 and 2013, respectively. The League considers unrestricted demand deposits and certificates of deposit with original maturities of three months or less to be cash and cash equivalents. Cash equivalents are carried at cost. There are no restrictions imposed by donors or lenders on the use of cash.

Certificates of deposit:

Certificates of deposit with original maturities greater than three months and remaining maturities less than one year are classified as short-term investments. As of June 30, 2014 and 2013, certificates of deposits were held at two banks as follows: \$20,000 at Republic Bank and \$17,000 at Louisville Community Development Bank.

NOTES TO FINANCIAL STATEMENTS

Investments:

Investments are valued at fair market value as determined based on quoted prices in active markets (see Note 2). The carrying amount of cash and cash equivalents reported in the statement of financial position approximates fair value because of the short maturity of those instruments. Donated investments are recorded at their fair market value as of the date received.

Receivables:

The valuation of accounts, pledges and grants receivable is based upon a detail analysis of past due accounts and the history of uncollectible accounts. Estimated uncollectible accounts increase the allowance for doubtful accounts and when the account is written off, the allowance for doubtful accounts is decreased.

Property, equipment and depreciation:

The League has a policy to capitalize property and equipment with a cost of \$1,000 or greater with a useful life greater than one year. Property and equipment are stated at cost. Cost is determined by purchase price or, for contributed property, fair market value at the date contributed to the League. Depreciation is provided on the straight-line method over the estimated useful lives of the property as follows:

Building	30 years
Furniture and equipment	5 to 10 years

Contributions:

Contributions are considered to be available for unrestricted use unless specifically restricted by the donor.

The League records donated services that require specific expertise and would normally have been purchased, and donated services that create or enhance non-financial assets, at fair market value. Those donated services that do not meet these specific criteria are not reflected in the financial statements. No amounts have been reflected in the financial statements for personal volunteer services inasmuch as no objective basis is available to measure the value of such services.

NOTES TO FINANCIAL STATEMENTS

Donations other than cash are recorded at their fair market value as of the date of donation. Donations of long-lived assets with explicit restrictions that specify how the assets are to be used and donations of cash or other assets that must be used to acquire long-lived assets are reported as restricted support. Absent explicit donor stipulations about how long those long-lived assets must be maintained, the League reports expirations of donor restrictions when the donated or acquired long-lived assets are placed in service.

Conditional promises to give, which depend on the occurrence of specified future and uncertain events to bind the promise, are recognized when the conditions on which they depend are substantially met.

Advertising:

Advertising costs are expensed as incurred. Total expenses for the years ended June 30, 2014 and 2013 were approximately \$25,400 and \$24,600, respectively.

Income taxes:

The League is exempt from federal, state and local income taxes as a not-for-profit organization as described under Section 501(c)(3) of the Internal Revenue Code. The League files an informational tax return in the U.S. federal jurisdiction and with the Kentucky Attorney General. However, income from certain activities not directly related to the League's tax-exempt purpose may be subject to taxation as unrelated business income. Management does not believe that the League has unrelated business income for the years ended June 30, 2014 and 2013.

As of June 30, 2014 and 2013, the League did not have any accrued interest or penalties related to income tax liabilities, and no interest or penalties have been charged to operations for the years then ended. Tax years ending on or after June 30, 2011 remain subject to IRS review and change. Tax years still open under state statute of limitations remain subject to review and change.

Estimates:

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

NOTES TO FINANCIAL STATEMENTS

Subsequent events:

Subsequent events have been evaluated through September 8, 2014, which is the date the financial statements were available to be issued.

Note 2. Investments and Fair Value Measurements

Accounting principles generally accepted in the United States of America establish a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1) and the lowest priority to unobservable inputs (level 3). The three levels of the fair value hierarchy are described as follows:

- Level 1 – Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the League has the ability to access.
- Level 2 – Inputs to the valuation methodology include: quoted prices for similar assets or liabilities in active or inactive markets; inputs other than quoted prices that are observable for the asset or liability; inputs that are derived principally from or corroborated by observable market data by correlation or other means.
- Level 3 – Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques maximize the use of observable inputs and minimize the use of unobservable inputs.

All assets have been valued using a market approach. Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in methodologies used at June 30, 2014 and 2013.

Common stock in Louisville Development Bancorp, Inc. – The stock is valued based upon the book value of the company, which is an estimate of fair value.

NOTES TO FINANCIAL STATEMENTS

The preceding method described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the League believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

Cost and fair market value of investments as of June 30, 2014 and 2013 are summarized below:

	June 30, 2014		
	<u>Cost</u>	<u>Fair Value</u>	<u>Unrealized Depreciation</u>
Level 3 inputs:			
Common stock-Louisville			
Development Bancorp, Inc.	<u>\$73,852</u>	<u>\$38,805</u>	<u>\$(35,047)</u>

	June 30, 2013		
	<u>Cost</u>	<u>Fair Value</u>	<u>Unrealized Depreciation</u>
Level 3 inputs:			
Common stock-Louisville			
Development Bancorp, Inc.	<u>\$64,582</u>	<u>\$29,496</u>	<u>\$(35,086)</u>

Cost is based upon the fair market value as of the date the stock was donated. During the years ended June 30, 2014 and 2013, the League's investments appreciated in value by \$39 and \$936 respectively.

	<u>Fair Value</u>
Common stock, 6/30/12	\$28,560
Unrealized appreciation	<u>936</u>
Common stock, 6/30/13	29,496
Donated stock	9,270
Unrealized appreciation	<u>39</u>
Common stock, 6/30/14	<u>\$38,805</u>

NOTES TO FINANCIAL STATEMENTS

Note 3. Investment-Deferred Compensation

The League has a deferred compensation agreement with its President. In accordance with the Plan agreement, the League contributes 3% of the President's base salary per year beginning in 2004. Benefit payments from the Plan to the President will be made in accordance with Plan provisions.

Fair market value of the trust investments at Mutual of America as of June 30, 2014 and 2013 is summarized below:

	<u>2014</u>	<u>2013</u>
Level 1 inputs:		
Cash equivalents	\$ 18,944	\$ 15,745
Equity mutual funds:		
Growth funds	57,462	41,934
Growth and value funds	<u>59,934</u>	<u>43,820</u>
	<u>\$136,340</u>	<u>\$101,499</u>

Note 4. Property and Equipment

Property and equipment of the League consist of furniture, equipment, and a building located at 1535 West Broadway in Louisville, Kentucky. The building was first occupied on December 4, 1990. The capitalized building and equipment costs and related accumulated depreciation as of June 30, 2014 and 2013 are shown below:

	June 30, 2014		
	Cost	Accumulated Depreciation	Net Book Value
Building	\$1,687,295	\$1,133,352	\$553,943
Land	179,771		179,771
Equipment and furniture	<u>549,822</u>	<u>436,931</u>	<u>112,891</u>
	<u>\$2,416,888</u>	<u>\$1,570,283</u>	<u>\$846,605</u>
	June 30, 2013		
	Cost	Accumulated Depreciation	Net Book Value
Building	\$1,687,295	\$1,064,378	\$622,917
Land	179,771		179,771
Equipment and furniture	<u>540,838</u>	<u>393,082</u>	<u>147,756</u>
	<u>\$2,407,904</u>	<u>\$1,457,460</u>	<u>\$950,444</u>

NOTES TO FINANCIAL STATEMENTS

Note 5. Mortgage Payable

During the year ended June 30, 2004, the League obtained a mortgage in the amount of \$249,900 from Fifth Third Bank for the purpose of renovating the corporate office at 1535 West Broadway. The mortgage is secured by the building. The terms of the mortgage were 119 monthly installments of \$2,681 due on the 30th or last day of each month beginning April 30, 2004, with interest at the fixed rate of 5.25% per annum. The mortgage was scheduled to mature in March 2014. The mortgage was paid off early in August 2013.

Note 6. Defined Contribution Retirement Plan

The League has a defined contribution retirement plan that covers all employees 21 years and older. The League's contributions are comprised of a matching contribution equal to 50% of the employees' contributions up to 3% of salaries and a contribution equal to a percentage of contributed compensation based upon years of service with the League. Contributions were approximately \$67,000 and \$73,000 for the years ended June 30, 2014 and 2013, respectively.

Note 7. Defined Benefit Plan

The League has a non-contributory defined benefit plan which covers substantially all employees who have met certain length of service requirements. The plan was amended to freeze future benefit accruals as of June 30, 2008.

	<u>2014</u>	<u>2013</u>
Change in Benefit Obligation:		
Benefit obligation at beginning of year	\$1,579,205	\$1,511,868
Service cost	6,415	16,214
Interest cost	55,060	46,501
Benefits paid	(55,686)	(30,508)
Change due to assumption changes	19,662	61,274
Expense charges	22,020	(16,574)
Actuarial (gain)/loss	<u>(10,342)</u>	<u>(9,570)</u>
 Benefit obligation at end of year	 <u>\$1,616,334</u>	 <u>\$1,579,205</u>

NOTES TO FINANCIAL STATEMENTS

	<u>2014</u>	<u>2013</u>
Change in Plan Assets:		
Fair value of plan assets at beginning of year	\$1,215,270	\$1,108,853
Actual return on plan assets	207,861	141,499
Employer contributions		12,000
Benefits and expense charges paid	<u>(33,665)</u>	<u>(47,082)</u>
Fair value of plan assets at end of year	<u>\$1,389,466</u>	<u>\$1,215,270</u>
Funded status at end of year	<u>\$ (226,868)</u>	<u>\$ (363,935)</u>
Accrued pension costs recognized in the statement of financial position	<u>\$ 226,868</u>	<u>\$ 363,935</u>
Accumulated benefit obligation	<u>\$1,616,334</u>	<u>\$1,579,205</u>
Amounts Recognized in Change in Unrestricted Net Assets Separate from Expenses but Not Yet Included in Net Periodic Benefit Cost:		
Net (gain) loss	\$ (50,729)	\$ 75,032
Transition obligation	<u>131,600</u>	<u>165,344</u>
Amounts recognized in change in net assets	<u>\$ 80,871</u>	<u>\$ 240,376</u>
Components of Net Periodic Benefit Cost and Other Amounts Recognized in Statement of Activities		
Net Periodic Benefit Cost:		
Service cost	\$ 6,415	\$ 16,214
Interest cost	55,060	46,501
Actual return on plan assets	(207,861)	(141,499)
Amortization of transition asset	33,744	33,744
Amortization of net (loss) gain	<u>135,080</u>	<u>76,599</u>
Net periodic benefit cost	<u>\$ 22,438</u>	<u>\$ 31,559</u>

NOTES TO FINANCIAL STATEMENTS

	<u>2014</u>	<u>2013</u>
Other Changes in Plan Assets and Benefit Obligation Recognized in Statements		
Activities:		
Net gain (loss)	\$ (328,329)	\$(168,982)
Amortization of transition asset	33,744	33,744
Amortization of (loss) gain	<u>135,080</u>	<u>76,599</u>
 Total recognized in change in net assets	 <u>\$ (159,505)</u>	 <u>\$ (58,639)</u>

The estimated net loss and transition asset for the defined benefit plan that will be amortized from change in net assets into net periodic benefit cost over the next fiscal year is \$33,744 at both June 30, 2014 and 2013.

Assumptions:

The League uses a June 30 measurement date for the plan.

	<u>2014</u>	<u>2013</u>
Weighted-average assumptions used to determine benefit obligations as of June 30:		
a. Discount rate	3.60%	4.10%
b. Compensation increase rate	4.00%	4.00%
Weighted average assumptions used to determine net periodic benefit cost for years ended June 30:		
a. Discount rate	4.10%	3.60%
b. Expected return on assets	7.25%	7.25%
c. Compensation increase rate	4.00%	4.00%

The expected return on assets is based upon the historical rate of return of the investment portfolio and investment market.

Plan assets:

The fair values of the plan's assets at June 30, 2014 and 2013 by asset category are as follows:

<u>Asset Category</u>	<u>2014</u>	<u>2013</u>
Growth funds	\$1,070,046	\$ 865,576
Interest accumulation fund	<u>319,420</u>	<u>349,694</u>
	<u>\$1,389,466</u>	<u>\$1,215,270</u>

NOTES TO FINANCIAL STATEMENTS

All plan assets are Level 1 investments and are based on quoted market prices in active markets for identical assets.

The expected long-term rate of return on plan assets assumption of 7.25% was selected using the building block approach described by the Actuarial Standards Board in Actuarial Standards of Practice No. 27-Selection of Economic Assumptions for Measuring Pension Obligations. Based on the League's investment allocation for the pension plan in effect as of the beginning of the fiscal year, a best estimate range was determined for both the real rate of return (net of inflation) and for inflation based on historical 30 year period rolling averages. An average inflation rate within the range equal to 3.25% was selected and added to the real rate of return range to arrive at a best estimate range of 6.64%-9.05%. A rate of 7.25% which is near the low-end of the best estimate range was selected.

No plan assets are expected to be returned to the League during fiscal year 2015.

Cash flows:

The League expects to contribute approximately \$50,000 to the plan during the year ended June 30, 2015.

The benefits expected to be paid in each of the next five years and the aggregate amount of benefits expected to be paid in the subsequent five years reflect lump sum distributions based upon normal retirement age and are as follows:

2015	\$463,000
2016	214,000
2016	15,000
2018	20,000
2019	8,000
Years 2020 - 2024	399,000

Note 8. Concentration of Revenues and Receivables

For the years ended June 30, 2014 and 2013, the League was awarded grants of \$324,712 and \$325,962, respectively, from Metro United Way of Kentucky, and grants of \$1,027,031 and \$733,793, respectively, from the National Urban League which are included in grants and public support. These grants collectively represent 42% and 34% of total revenues, and 50% and 47% of accounts and grants receivable for the years ended June 30, 2014 and 2014, respectively.

NOTES TO FINANCIAL STATEMENTS

Note 9. Temporarily Restricted Net Assets

Programs and pledge donors imposing temporary restrictions on net assets as of June 30, 2014 and 2013 are as follows:

	<u>2014</u>	<u>2013</u>
Programs (Metro United Way)	\$324,712	\$324,712
Foreclosure Counseling		25,000
Senior Development Officer (Brown Foundation)	91,618	120,000
Senior Development Officer (CE and S Foundation)	120,000	
15,000 Degrees Initiative (CE&S)		4,691
Golf Scholarship Funds	77,423	66,531
Young Professionals (UPS)	5,000	5,000
Cities United (NUL)		7,500
ICANBE (UPS)	<u> </u>	<u>63,063</u>
Total temporarily restricted net assets	<u>\$618,753</u>	<u>\$616,497</u>

There were no permanently restricted net assets and no restrictions imposed on net assets by lenders.

Note 10. Concentration of Credit Risk

The League's cash is maintained in several financial institutions. Amounts on deposit exceeding \$250,000 are not federally insured. There was no uninsured amount at June 30, 2014 before giving consideration to outstanding checks or other reconciling items.

The carrying amounts of financial instruments approximate fair value based on quoted market prices and book value of holdings that do not have a readily available fair value. The League has significant investments in money market funds and equity mutual funds held by Mutual of America and common stock holdings with Louisville Development Bancorp, Inc. engaged by the League, and are, therefore, subject to concentrations of credit risk. Investments are made by the investment managers and the investments are monitored by the League's management and the Board of Directors.

THE LOUISVILLE URBAN LEAGUE, INC.

SCHEDULES OF EXPENSES
Years Ended June 30, 2014 and 2013
(Unaudited)

	<u>2014</u>	<u>2013</u>
Salaries and wages	\$ 2,045,244	\$ 1,704,544
FICA Tax (employer match)	146,175	124,936
Unemployment tax	8,148	8,016
Workers compensation insurance	32,352	36,530
Health insurance	78,415	92,463
Group life/AD and D insurance	5,063	6,274
Disability insurance	16,461	17,596
Defined benefit plan expense	28,698	36,716
Defined contribution	47,558	52,585
401(k) match	19,645	20,293
Deferred compensation expenses	13,624	13,624
Space rental/allocation	690	395
Electric and gas usage	26,233	25,093
Water and sewer	4,333	4,157
Property and casualty insurance	28,243	26,859
Building maintenance and repair	10,290	16,795
Janitorial services	26,600	24,251
Landscaping/lawn maintenance	9,490	7,012
Pest control	1,205	1,256
Dumpster rental	1,273	1,533
Security services	43,285	43,692
Interest expense	376	2,623
Telephone expenses	12,997	16,668
Building depreciation	68,974	66,208
Equipment depreciation	61,598	70,825
Supplies and consumables	17,147	18,975
Postage	9,903	6,996
Reference materials	11,421	12,786
Dues and subscriptions	12,902	11,578
Organizational dues	18,210	18,065
Copier expenses	15,933	18,055
Contractual services	165,802	202,008

THE LOUISVILLE URBAN LEAGUE, INC.

SCHEDULES OF EXPENSES
Years Ended June 30, 2014 and 2013
(Unaudited)

	<u>2014</u>	<u>2013</u>
Audit fees	21,500	20,450
Staff travel	35,223	28,945
Conference registrations	15,933	11,445
Client transportation	14,846	11,510
Specific assistance to clients	7,992	14,820
Tuition and scholarships	12,750	12,750
Uniforms and clothing	1,823	1,679
Materials/give aways	22,107	19,620
Printing and design	16,809	14,419
Advertisements and marketing	27,849	27,085
Signs	510	1,184
Tickets to events/banquets	5,341	4,363
Donations and sponsorships	7,579	7,545
Food and beverage	66,441	82,894
Facilities rental	13,687	20,353
Equipment rental	20,192	18,894
Entertainment	5,365	10,850
Speaker fees	1,555	1,037
Miscellaneous expense	8,959	8,133
	<u>\$ 3,294,749</u>	<u>\$ 3,027,383</u>

LOUISVILLE URBAN LEAGUE

**SCHEDULES OF SUPPORT, REVENUE AND EXPENSES
BY PROGRAM SERVICES**

Year Ended June 30, 2014
(Unaudited)

	Employment Placement	Construction One Stop	Mature Workers	I CAN BE	2014 Total Center for Workforce Development	2013 Total Center for Workforce Development
Public Support and Revenue	<u>\$ 308,199</u>	<u>\$ 55,169</u>	<u>\$1,055,018</u>	<u>\$</u>	<u>\$ 1,418,386</u>	<u>\$ 1,383,820</u>
Expenses						
Salaries/personnel costs	89,246	20,754	936,443	63,078	1,109,521	838,735
Payroll taxes	5,902	1,501	70,784	4,850	83,037	65,175
Employee insurance	14,481	4,143	33,985	9,181	61,790	49,783
Retirement	5,384	1,379	9,510	4,075	20,348	25,595
Participant support	35	135	773	6,449	7,392	14,820
Contractual services	3,342	26,948	22,261	9,425	61,976	86,818
Subscription/reference materials	527		1,800	152	2,479	283
Office supplies	1,907	145	1,095	883	4,030	5,625
Copier supplies and maintenance	4,028	153	3,141	3,558	10,880	13,272
Telephone service	572	187	1,003	441	2,203	3,222
Printing	1,840			2,291	4,131	1,940
Postage	2,118	166	2,527		4,811	2,943
Travel	80	32	1,359	564	2,035	1,297
Office rent	40,059	15,751	43,600	15,829	115,239	117,034
Interest						33
Miscellaneous			220	122	342	420
Marketing	5,262		2,580	8,100	15,942	4,224
Organizational dues	75		75		150	375
Conferences	960		35		995	560
Workshops and events	11,167		25	643	11,835	29,268
Support service allocation	<u>24,715</u>	<u>8,353</u>	<u>30,819</u>	<u>14,879</u>	<u>78,766</u>	<u>179,408</u>
Total expenses	<u>211,700</u>	<u>79,647</u>	<u>1,162,035</u>	<u>144,520</u>	<u>1,597,902</u>	<u>1,440,830</u>
Increase (decrease) in total net assets	96,499	(24,478)	(107,017)	(144,520)	(179,516)	(57,010)
Net assets, beginning of year	<u>551,893</u>	<u>(508,039)</u>	<u>(111,666)</u>	<u>63,108</u>	<u>(4,704)</u>	<u>52,306</u>
Net assets, end of year	<u>\$ 648,392</u>	<u>\$ (532,517)</u>	<u>\$ (218,683)</u>	<u>\$ (81,412)</u>	<u>\$ (184,220)</u>	<u>\$ (4,704)</u>

LOUISVILLE URBAN LEAGUE
SCHEDULES OF SUPPORT, REVENUE AND EXPENSES
BY PROGRAM SERVICES
Year Ended June 30, 2014
(Unaudited)

	Comprehensive	Home			Other	2014	2013
	Counseling	Owners	Foreclosure	Rebound	Housing	Total	Total
						Center for	Center for
						Housing	Housing
						and Financial	and Financial
						Empowerment	Empowerment
Public Support and Revenue	<u>\$ 120,049</u>	<u>\$ 61,904</u>	<u>\$ 279,654</u>	<u>\$116,067</u>	<u>\$ 28,355</u>	<u>\$ 606,029</u>	<u>\$ 589,581</u>
Expenses							
Salaries/personnel costs	84,056	27,190	99,426	66,527	22,504	299,703	273,197
Payroll taxes	6,129	2,030	7,826	4,795	1,652	22,432	22,589
Employee insurance	9,365	2,444	7,119	9,714	2,892	31,534	31,297
Retirement	5,030	1,428	2,905	5,190	1,250	15,803	14,870
Contractual services	908	344	17,093	596	98	19,039	13,570
Subscription/reference materials	11,315			325		11,640	13,061
Office supplies	2,062	649	6		7	2,724	3,187
Copier supplies and maintenance	517	1,709	7,987	682	66	10,961	9,399
Telephone service	421	769	1,004	778	131	3,103	3,531
Printing							809
Postage	2	499	1,243	18		1,762	1,931
Public relations	782	500				1,282	500
Travel	1,916	98	3,306	3,166	72	8,558	9,460
Office rent	10,337	7,934	17,803	5,881	4,758	46,713	45,260
Marketing	1,192	645	1,930			3,767	12,383
Organizational dues							
Conferences	5,435	15	810	1,200	600	8,060	7,880
Workshops and events	60	3,430	206	938	52	4,686	8,744
Equipment maintenance and rental							
Miscellaneous				15		15	
Support service allocation	<u>16,183</u>	<u>6,074</u>	<u>20,351</u>	<u>11,381</u>	<u>4,141</u>	<u>58,130</u>	<u>68,192</u>
Total expenses	<u>155,710</u>	<u>55,758</u>	<u>189,015</u>	<u>111,206</u>	<u>38,223</u>	<u>549,912</u>	<u>539,860</u>
Increase (decrease) in total net assets	(35,661)	6,146	90,639	4,861	(9,868)	56,117	49,721
Net assets, beginning of year	<u>(368,169)</u>	<u>338,542</u>	<u>114,398</u>	<u>35,992</u>	<u>(91,921)</u>	<u>28,842</u>	<u>(20,879)</u>
Net assets, end of year	<u>\$ (403,830)</u>	<u>\$ 344,688</u>	<u>\$ 205,037</u>	<u>\$ 40,853</u>	<u>\$(101,789)</u>	<u>\$ 84,959</u>	<u>\$ 28,842</u>

LOUISVILLE URBAN LEAGUE

**SCHEDULES OF SUPPORT, REVENUE AND EXPENSES
BY PROGRAM SERVICES**

Year Ended June 30, 2014

(Unaudited)

	Project Ready	Youth Golf Program	Street Academy	Parent Leadership Academy	2014 Total Youth	2013 Total Youth
Public Support and Revenue	\$ 115,876	\$ 59,554	\$ 130,435	\$ 23,825	\$ 329,690	\$ 305,618
Expenses						
Salaries/personnel costs	103,959	44,186	70,248	7,490	225,883	225,196
Payroll taxes	8,289	3,369	7,101	616	19,375	19,884
Employee insurance	8,290	2,517	2,286	529	13,622	20,256
Retirement	3,909	2,585	517	564	7,575	10,515
Participant support	782	8,750	548		10,080	10,429
Contractual services	566	171	10,827	520	12,084	12,193
Subscription/reference materials	649		60	20	729	649
Office supplies	1,314	160	362	80	1,916	2,328
Copier supplies and maintenance	1,277	87	107	69	1,540	1,768
Telephone service	1,555	226	325	38	2,144	3,196
Printing		1,446			1,446	375
Postage	136	12	333	136	617	694
Public relations	729	800		85	1,614	1,270
Travel	4,480	104	10,138	8	14,730	17,739
Office rent	47,308	4,167	2,023	1,758	55,256	71,696
Marketing	1,233	1,533	880	2,907	6,553	9,315
Miscellaneous			175	40	215	
Conferences	610				610	161
Workshops and events	7,626	3,453	3,151	1,291	15,521	35,663
Support service allocation	22,803	8,796	12,705	1,731	46,035	61,503
Total expenses	<u>215,515</u>	<u>82,362</u>	<u>121,786</u>	<u>17,882</u>	<u>437,545</u>	<u>504,830</u>
Increase (decrease) in total net assets	(99,639)	(22,808)	8,649	5,943	(107,855)	(199,212)
Net assets, beginning of year	<u>(909,813)</u>	<u>(13,956)</u>	<u>(39,029)</u>	<u>4,172</u>	<u>(958,626)</u>	<u>(759,414)</u>
Net assets, end of year	<u>\$ (1,009,452)</u>	<u>\$ (36,764)</u>	<u>\$ (30,380)</u>	<u>\$ 10,115</u>	<u>\$ (1,066,481)</u>	<u>\$ (958,626)</u>

THE LOUISVILLE URBAN LEAGUE, INC.

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year Ended June 30, 2014

<u>Federal Grantor/ Program Title</u>	<u>Federal CFDA Number</u>	<u>Pass-Through Entity Identifying Number</u>	<u>Federal Expenditures</u>
U.S. Department of Housing and Urban Development:			
Pass-through – Louisville/Jefferson County Metro Government Department of Housing and Family Services:			
Community Development Block Grant			\$ 23,000
Community Development Block Grant			<u>26,700</u>
Total Community Development Block Grants	14.218		<u>49,700</u>
Pass-through - National Urban League:			
Comprehensive Housing Counseling	14.169		47,404
U.S. Department of Labor:			
Pass-through – Commonwealth of Kentucky Workforce Development Cabinet- Kentuckiana Works:			
Workforce Investment Act Dislocated Workers	17.278	800-200-13	55,169
Pass-through - National Urban League:			
Senior Community Service Employment Program	17.235		1,027,031
U.S. Department of Treasury:			
Pass-through - National Urban League:			
National Foreclosure Mitigation Counseling	21.000		225,304
Pass-through Kentucky Housing Corporation:			
Unemployment Bridge Loan Program	21.UNKNOWN		15,600
U.S. Department of Education:			
Pass-through Commonwealth of Kentucky:			
Department of Education Supplemental Educational Services-Jefferson County Board of Education			
Title I Grants to Local Educational Agencies	84.010A		<u>154,235</u>
			<u>\$1,574,443</u>

THE LOUISVILLE URBAN LEAGUE, INC.

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year Ended June 30, 2014

Note 1. Basis of Presentation

The accompanying schedule of expenditures of federal awards (the "Schedule") includes the federal grant activity of The Louisville Urban League, Inc. (the "League") under programs of the federal government for the year ended June 30, 2014. The information in this Schedule is presented in accordance with the requirements of the Office of Management and Budget (OMB) Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Because the Schedule presents only a selected portion of the operations of the League, it is not intended to and does not present the financial position, changes in net assets or cash flows of the League.

Note 2. Summary of Significant Accounting Policies

Expenditures reported on the Schedule are reported on the accrual basis of accounting. Such expenditures are recognized following the cost principles contained in OMB Circular A-123, *Cost Principles for Non-Profit Organizations*, wherein certain types of expenditures are not allowable or are limited as to reimbursement. Pass-through entity identifying numbers are presented where available.

Note 3. Subrecipients

Of the federal expenditures presented in the Schedule, the League provided federal awards to subrecipients as follows:

<u>Program Title</u>	<u>Federal CFDA Number</u>	<u>Amount Provided</u>
Kentuckiana Works Construction/ Skilled Trades Pipeline Pilot Project	17.278	<u>\$24,437</u>



**Independent Auditors' Report on Internal Control Over Financial Reporting
and on Compliance and Other Matters Based on an Audit of Financial Statements
Performed in Accordance With Government Auditing Standards**

To the Board of Directors
The Louisville Urban League, Inc.
Louisville, Kentucky

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of The Louisville Urban League, Inc. (a not-for-profit organization), which comprise the statement of financial position as of June 30, 2014, and the related statements of activities, and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated September 8, 2014.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered The Louisville Urban League, Inc.'s internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of The Louisville Urban League, Inc.'s internal control. Accordingly, we do not express an opinion on the effectiveness of The Louisville Urban League's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether The Louisville Urban League, Inc.'s financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Dawing, Malone, Lussay & Ostroff

Louisville, Kentucky
September 8, 2014



**Independent Auditors' Report on Compliance for Each Major Program
and on Internal Control Over Compliance Required by OMB Circular A-133**

To the Board of Directors
The Louisville Urban League, Inc.
Louisville, Kentucky

Report on Compliance for Each Major Federal Program

We have audited The Louisville Urban League, Inc.'s compliance with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement* that could have a direct and material effect on each of The Louisville Urban League, Inc.'s major federal programs for the year ended June 30, 2014. The Louisville Urban League, Inc.'s major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal programs.

Auditors' Responsibility

Our responsibility is to express an opinion on compliance for each of The Louisville Urban League, Inc.'s major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about The Louisville Urban League, Inc.'s compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of The Louisville Urban League, Inc.'s compliance.

Opinion on Each Major Federal Program

In our opinion, The Louisville Urban League, Inc. complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2014.

Report on Internal Control Over Compliance

Management of The Louisville Urban League, Inc. is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered The Louisville Urban League, Inc.'s internal control over compliance with the requirements that could have a direct and material effect on a major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of The Louisville Urban League, Inc.'s internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.

Deming, Malone, Lussary & Petroff

Louisville, Kentucky
September 8, 2014

THE LOUISVILLE URBAN LEAGUE, INC.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

Year Ended June 30, 2014

Section 1 – Summary of Auditors’ Result (Under Section 505(d)(1) of OMB Circular A-133)

1. The auditors’ report expresses an unmodified opinion on the audit of the financial statements of The Louisville Urban League, Inc. for the year ended June 30, 2014.
2. Internal control over financial reporting:
 - Material weakness identified? Yes No
 - Significant deficiency identified? Yes None reported
3. The audit did not disclose instances of noncompliance which are material to the financial statements of The Louisville Urban League, Inc.
4. Internal control over major programs:
 - Material weakness identified? Yes No
 - Significant deficiency identified? Yes None reported
5. An unmodified opinion was issued on compliance for the major federal program of The Louisville Urban League, Inc. for the year ended June 30, 2014.
6. The audit did not disclose audit findings required to be reported under Section 510(a) OMB Circular A-133.
7. The program tested as a major program was:

<u>Federal CFDA Number</u>	<u>Name of Major Federal Program</u>
17.235	Department of Labor: Senior Community Service Employment Program
8. The dollar threshold used to distinguish between Type A and Type B programs was \$300,000.
9. The auditee did qualify as a low-risk auditee.

THE LOUISVILLE URBAN LEAGUE, INC.
SCHEDULE OF FINDINGS AND QUESTIONED COSTS
Year Ended June 30, 2014

Section II - Financial Statement Findings (Under Section 505(d)(2) of OMB Circular A-133)

There are no findings related to the financial statements which are required to be reported in accordance with Government Auditing Standards.

Section III - Federal Award Findings and Questioned Costs (Under Section 505(d)(3) of OMB Circular A-133)

There are no findings required to be reported by OMB Circular A-133 Section 510(a).

Louisville Urban League
Louisville Metro Council Neighborhood Development Fund Application
STAFF INCLUDING THE THREE HIGHEST PAID STAFF (NOTED BY **)
September 2014

1. Taunisha Berry
2. Beverly David
3. Steven Davis
4. Kevin Dunlap
5. Benita Freeman
6. Brenda Garnett
7. Russell Goodwin
8. Vickie James
9. D'Shawn Johnson
10. Carol Kirby-Green
11. Martin Lindsey
12. Christie McCravy
13. Anita McGruder
14. Yvonne Morris
15. Imani Muhammad
16. Audrey Poppe
17. Benjamin Richmond **
18. Artie Robertson **
19. Juanita Sands **
20. Michele Shelton
21. Deathra Shipley
22. Thereka Smith
23. Phillip Watson
24. Cassandra Webb
25. Lawrence Wilbon
26. Ernestine Woods

THE LOUISVILLE URBAN LEAGUE, INC.**General Information**

Organization Number	0053488
Name	THE LOUISVILLE URBAN LEAGUE, INC.
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
File Date	8/31/1921
Organization Date	8/31/1921
Last Annual Report	6/25/2014
Principal Office	1535 W. BROADWAY LOUISVILLE, KY 40203
Registered Agent	BENJAMIN K. RICHMOND 1535 W. BROADWAY LOUISVILLE, KY 40203

Current Officers

Chairman	H. David Hale
President	Benjamin K Richmond
Secretary	Fran Berg
Treasurer	Gerald Reynolds
Director	Jimmy L Gillispie, Jr.
Director	Harry Hayes
Director	Sandra E Brooks
Director	Berry L Hayes, Sr.
Director	Elliott Snow
Director	H David Hale
Director	Dawn Franklin Croft
Director	Cynthia Federico
Director	Fran Berg
Director	Joella Comstock
Director	Daniel Hall
Director	Eric Miller
Director	Purna Veer
Director	Demetrius Holloway
Director	Sharon Decker
Director	Condrad Daniels
Director	Walter Koczot
Director	Diane Porter
Director	Gerald Reynolds