

**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

Applicant/Program: River City Drum Corps Cultural Arts Institute, Inc (Spirit of the Drum) DaVille Classic
Applicant Requested Amount: \$ 25,000.00
Appropriation Request Amount: 6,500⁰⁰

Executive Summary of Request
The River City Drum Corps Cultural Arts Institute , Inc vision is to use the Spirit of the Drum as a Multifaceted percussive arts program that begins on September 16th ,2018 and concludes on June 30th ,2019 . The program is designed to connect Metro Louisville's undeserved urban core communities of Parkland , Russell lee , Shawnee , Chickasaw , Smoketown ,Portland ,California Park and the Cane Run Corridor. The program highlights marching band traditions and educational opportunities available at Historically Black Colleges and -

Is this program/project a fundraiser?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Is this applicant a faith based organization?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Does this application include funding for sub-grantee(s)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

... District #  Primary Sponsor Signature 5,000.00 Amount 1/31/2019 Date

Primary Sponsor Disclosure
List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.
None

Approved by:

Appropriations Committee Chairman Date
Final Appropriations Amount: _____

-Universities. The Spirit of the drum mission is developed through the implementation of educational and cultural arts programs which provide activities, instruction and cultural art exchanges in dance , piano, workshops and live performances.

Applicant/Program:

RCDC DaVille Classic

Additional Disclosure and Signatures

Additional Council Office Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

None

Council Member Signature and Amount

District 1	_____	\$ _____
District 2	_____	\$ _____
District 3	_____	\$ _____
District 4	<i>Barbara Jettan Smith</i>	\$ 1000 -
District 5	_____	\$ _____
District 6	_____	\$ _____
District 7	_____	\$ _____
District 8	_____	\$ _____
District 9	_____	\$ _____
District 10	<i>Erwin P. McInnis</i>	\$ 500 -
District 11	_____	\$ _____
District 12	_____	\$ _____
District 13	_____	\$ _____
District 14	_____	\$ _____
District 15	_____	\$ _____

Applicant/Program: River City Drum Corp
Additional Council Office Disclosure
List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.
None

District 16 _____ \$ _____

District 17 _____ \$ _____

District 18 _____ \$ _____

District 19 _____ \$ _____

District 20 _____ \$ _____

District 21 _____ \$ _____

District 22 _____ \$ _____

District 23 _____ \$ _____

District 24 _____ \$ _____

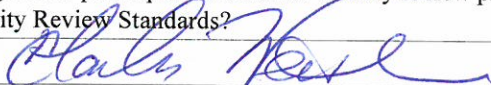
District 25 _____ \$ _____

District 26 _____ \$ _____

**LOUISVILLE METRO COUNCIL
NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

Legal Name of Applicant Organization River City Drum Corp Cultural Arts Institute ,Inc.

Program Name and Request Amount DaVille Classic \$ 25,000

	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	<input type="checkbox"/> Yes
Is the funding proposed by Council Member(s) less than or equal to the request amount?	<input type="checkbox"/> Yes
Is the proposed public purpose of the program viable and well-documented?	<input type="checkbox"/> Yes
Will all of the funding go to programs specific to Louisville/Jefferson County?	<input type="checkbox"/> Yes
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	<input type="checkbox"/> Yes
Has prior Metro Funds committed/granted been disclosed?	<input type="checkbox"/> Yes
Is the application properly signed and dated by authorized signatory?	<input type="checkbox"/> Yes
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	<input type="checkbox"/> Yes
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	<input type="checkbox"/> No
Is the entity in good standing with: <ul style="list-style-type: none"> ▶ Kentucky Secretary of State? ▶ Louisville Metro Revenue Commission? ▶ Louisville Metro Government? ▶ Internal Revenue Service? ▶ Louisville Metro Human Relations Commission? 	<input type="checkbox"/> Yes
Is the current Fiscal Year Budget included?	<input type="checkbox"/> Yes
Is the entity's board member list (with term length/term limits) included?	<input type="checkbox"/> Yes
Is recommended funding less than 33% of total agency operating budget?	<input type="checkbox"/> Yes
Does the application budget reflect only the revenue and expenses of the project/program?	<input type="checkbox"/> Yes
Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?	<input type="checkbox"/> No
Is the most recent annual audit (if required by organization) included?	<input type="checkbox"/> N/A
Is a copy of Signed Lease (if rent costs are requested) included?	<input type="checkbox"/> Yes
Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?	<input type="checkbox"/> N/A
Are the Articles of Incorporation of the Agency included?	<input type="checkbox"/> Yes
Is the IRS Form W-9 included?	<input type="checkbox"/> Yes
Is the IRS Form 990 included?	<input type="checkbox"/> Yes
Are the evaluation forms (if program participants are given evaluation forms) included?	<input type="checkbox"/> N/A
Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?	<input type="checkbox"/> N/A
Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?	<input type="checkbox"/> N/A
Prepared by: 	Date: 1/31/2019

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
Legal Name of Applicant Organization:		River City Drum Corp C	
<i>(as listed on: http://www.sos.ky.gov/business/records)</i>			
Main Office Street & Mailing Address: 3308 Chauncey Avenue Louisville, KY 40211			
Website: www.rivercitydrumcorpky.com			
Applicant Contact:	Albert Shumake	Title:	Executive Director
Phone:	8594929525	Email:	ynggftdandblk@gmail.com
Financial Contact:	Albert Shumake	Title:	Executive Director
Phone:	8594929525	Email:	ynggftdandblk@gmail.com
Organization's Representative who attended NDF Training: Albert Shumake			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s): Immaculate Heart of Mary, Farnsley Middle School, Neighborhood House, Maupin,			
Council District(s): 1, 2, 3, 4, 5, 6,		Zip Code(s): 40211, 40212, 40215, 40210, 4020	
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: DaVille Classic 2019			
Total Request: (\$)	25,000.00	Total Metro Award (this program) in previous year: (\$)	16,500.00
Purpose of Request (check all that apply):			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget) <input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
The Following are Required Attachments:			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter - <input checked="" type="checkbox"/> Current year projected budget <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation (current & signed). Cost estimates from proposed vendor if request is for capital expense		<input checked="" type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 Evaluation forms if used in the proposed program <input checked="" type="checkbox"/> Annual audit (if required by organization) Faith Based Organization Certification Form, if applicable	
For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
Source:	External Agency	Amount: (\$)	25,000.00
Source:		Amount: (\$)	
Source:		Amount: (\$)	
Has the applicant contacted the BBB Charity Review for participation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

The River City Drum Corps Cultural Arts Institute, Inc. vision is to use the "Spirit of the Drum", which is a multifaceted percussive arts program that begins on September 16, 2017 and concludes on June 30, 2018. The program is designed to connect Metro Louisville's underserved urban core communities of Parkland, Russell Lee, Shawnee, Chickasaw, Smoketown, Portland, California Park, Cane Run Corridor, and the Midwest Percussion Association (3DI-Dayton, Ohio, Boom Squad-Evansville, Indiana, Columbus Saints-Columbus, Ohio, PICK Percussion- Peoria, Illinois) to the Marching Band traditions and educational opportunities available at Historically Black Colleges and Universities (Kentucky heState University-Frankfort, Kentucky, Lincoln University-Jefferson City, Missouri, Tennessee State University, Nashville, Tennessee, Alabama State University-Huntsville, Alabama, Simmons College of Kentucky-Louisville, Kentucky) and the Honda Battle of the Bands, annually held in Atlanta, Georgia. The "Spirit of the Drum" mission is developed through the implementation of educational and Cultural Arts programs which provide activities, instruction, and cultural art exchanges in; dance, piano, workshops, various percussive styles, drum line showcases, parades, lecture demonstrations, and performances produced by local and national arts organization (Kentucky Center of the Performing Arts, Lincoln Center Orchestra w/ Wynton Marsalis, Urban Bush Women, Yamato, Complexions Contemporary Ballet)

The "Spirit of the Drum" marching season is a series of drum line showcases, workshops, performances, and parades that will take place in: Dayton, Ohio, Nashville, Tennessee, Evansville, Indiana, Pineville, Kentucky, Frankfort, Kentucky, Springfield, Kentucky, and Louisville, Kentucky. The program establishes new avenues for the families to travel outside familiar surroundings, exposing families to the possibilities of new relationships, new places, diverse percussive styles, and cultural and educational enrichments.

The Annual Da'Ville Classic Drum Line Showcase brings a mixture of showmanship, creativity, flare, and marching traditions of Historically Black Colleges and Universities, high schools, and community lines. The Drum Lines come from Ohio, Kentucky, Indiana, Missouri, and Alabama, expanding the horizons of participants and Metro Louisville.

The Spirit of the Drum service locations:

Immaculate Heart of Mary Church 3308 Chauncey Avenue- Louisville, KY 40211

Nativity Academy 531 East Liberty Street-Louisville, KY 40202

Farnsley Middle School-3400 Lees Lane Louisville, KY 40216

Maupin Elementary School 1312 Catalpa Street-Louisville, KY 40211

The Kentucky Center for the Performing Arts 501 West Main Street Louisville, KY 40202

3DI-Dakota Center 33 Burnett Street Dayton, OH 45402

Boom Squad 800 Evans Ave Evansville, IN 47737

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 - BOARD OF DIRECTORS AND PAID STAFF

	Board Member	Term End Date
	Brandy Shumake-Young	1/1/2019
	Gola Busby	1/1/2019
	Jamie Keith	1/1/2019
	Lynn Rippy	1/1/2019
	Eric Burse	1/1/2020
	Rev. Steven Smith	1/1/2020
	Evelyn Weathers	1/1/2019
	Chuck Dobbins	1/1/2020

Describe the Board term limit policy:

Each board member is selected for three years of service with the option for a second three year term. After their second two year term, the board member must sit out for two years before they can return to the board.

Three Highest Paid Staff Names	Annual Salary
Albert Shumake	\$15000.00
Jerome Baker	\$15000.00
Jamila Young	\$15000.00

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

The River City Drum Corps Cultural Arts Institute, Inc. vision is to use the "Spirit of the Drum", which is a multifaceted percussive arts program that begins on September 16, 2018 and concludes on June 30, 2019. The program is designed to connect Metro Louisville's underserved urban core communities of Parkland, Russell Lee, Shawnee, Chickasaw, Smoketown, Portland, California Park, Cane Run Corridor, and the Midwest Percussion Association (3DI-Dayton, Ohio, Boom Squad-Evansville, Indiana, Columbus Saints-Columbus, Ohio, PICK Percussion- Peoria, Illinois) to the Marching Band traditions and educational opportunities available at Historically Black Colleges and Universities (Kentucky State University-Frankfort, Ky, Lincoln University-Jefferson City, MO, Tennessee State University, Nashville, TN, Alabama State University-Huntsville, AL, Simmons College of Kentucky-Louisville, KY).

The "Spirit of the Drum" mission is developed through the implementation of educational and Cultural Arts programs which provide activities, instruction, and cultural art exchanges in; dance, piano, workshops, various percussive styles, drum line showcases, parades, lecture demonstrations, and performances produced by local and national arts organizations (Kentucky Center of the Performing Arts, Lincoln Center Orchestra w/ Wynton Marsalis, Urban Bush Women, Yamato, Complexions Contemporary Ballet)

The program will create the following percussive arts opportunities: 3DI Drum Line Showcase, Dayton, OH Feb 2, 2019, This is How Do It Musical, Brown Theatre May 12, 2019, Kentucky Center for the Performing Arts Reach Keepers of the Dream January 20, 2019, 13th Annual Da'Ville Classic Drum Line Showcase, Kentucky Derby Pegasus Parade May 3, 2019, Mountain Laurel Festival Parade Pineville, KY May 25, 2019, Boomfest, Evansville, IN June 1, 2019

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):

The funding will be for the cost associated with the use of the Palace Theatre, transportation, and lodging in the city of Louisville for Historically Black Colleges and Universities.



The River City Drum Corps Cultural Arts Institute, Inc. vision is to use the "Spirit of the Drum", which is a multifaceted percussive arts program that begins on September 16, 2018 and concludes on June 30, 2019. The program is designed to connect Metro Louisville's underserved urban core communities of Parkland, Russell Lee, Shawnee, Chickasaw, Smoketown, Portland, California Park, Cane Run Corridor, and the Midwest Percussion Association (3DI-Dayton, Ohio, Boom Squad-Evansville, Indiana, Columbus Saints-Columbus, Ohio, PICK Percussion- Peoria, Illinois) to the Marching Band traditions and educational opportunities available at Historically Black Colleges and Universities (Kentucky State University-Frankfort, Ky, Lincoln University-Jefferson City, MO, Tennessee State University, Nashville, TN, Alabama State University-Huntsville, AL, Simmons College of Kentucky-Louisville, KY) .

The "Spirit of the Drum" mission is developed through the implementation of educational and Cultural Arts programs which provide activities, instruction, and cultural art exchanges in; dance, piano, workshops, various percussive styles, drum line showcases, parades, lecture demonstrations, and performances produced by local and national arts organizations (Kentucky Center of the Performing Arts, Lincoln Center Orchestra w/ Wynton Marsalis, Urban Bush Women, Yamato, Complexions Contemporary Ballet)

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The program also covers "Spirit of the Drum" classes at:

Immaculate Heart of Mary Church 3308 Chauncey Avenue- Louisville, KY 40211

Nativity Academy 531 East Liberty Street-Louisville, KY 40202

Farnsley Middle School-3400 Lees Lane Louisville, KY 40216

Maupin Elementary School 1312 Catalpa Street-Louisville, KY 40211

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

N/A

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

Reimbursements should not be made before application date unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

1. Provides community based after school venues for musically inclined children to participate in percussion activities
 2. Empowers children with musical skills to become successful members of middle and high school band programs
 3. The program creates scholarship opportunities to Kentucky State University, Lincoln University, Tennessee State University, and Simmons College of Kentucky.
 4. Creates opportunities for parents, primary care givers, and extended families to become participants in activities involving their children.
 5. Builds productive community partnerships and relationships with churches, schools, social and civic organizations.
- The program process for collecting data and indicators that will be tracked are;

- a. Pre test to establish bench marks
- b. Post test to measure program results
- c. Report cards and progress reports
- d. KidsTrax for monitoring attendance
- e. Program evaluations from members and parents
- f. Referrals to track members behavior in school

The program measurable outcomes are:

- a. Youth gains new knowledge and increases skills in reading, writing, science, computer technology, audio and video production, and career production
- b. Youth gains new knowledge and increase skills in areas of composition, sight reading, sticking, rhythm development, audio and video production, performance etiquette, booking, and managing performances
- c. Modification of attitudes and behaviors as students change attitude in areas of personal accountability and academics

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

The Spirit of the Drum Service Locations:

Immaculate Heart of Mary Church 3308 Chauncey Avenue, Louisville, KY 40211

Leases space at below market rate providing a home for the River City Drum Corps Cultural Arts Institute, INC.

RCDC is the program service provider through the "Spirit of the Drum" funds generated are used to offset other expenses associated with the development of the Spirit of the Drum programs

Nativity Academy 531 East Liberty Street Louisville, KY 40202

Farnsley Middle School 3400 Lees Lane Louisville, KY 42016

(Farnsley Middle School Drum Line will field a team to participate in the 11th Annual Da'Ville Classic Drum Line Showcase and WE Day at the Kentucky Center for the Performing Arts)

Maupin Elementary School 1312 Catalpa Street Louisville, KY 40211

The Kentucky Center for the Performing Arts 501 West Main Street Louisville, KY 40202-providing funding for the workshops, equipping piano studio for instruction, musical composition, sight reading, and music theory

Our partnership with: 3DI-Dakota Center 33 Burnett Street Dayton, OH 45402 Boom Squad 800 South Evans Avenue Evansville, IN 47737 creates opportunities to attend their Annual Drumline Showcase creating avenues for travel, builds on-going organizational family relations and cultural exchanges for RCDC families.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non- Metro Funds	Total Funds
A: Personnel Costs Including Benefits		5,000.00	5,000.00
B: Rent/Utilities			
C: Office Supplies			
D: Telephone			
E: In-town Travel		6,900.00	6,900.00
F: Client Assistance (See Detailed List on Page 8)			
G: Professional Service Contracts			
H: Program Materials		29,800.00	29,800.00
I: Community Events & Festivals (See Detailed List on Page 8)	25,000.00	20,000.00	45,000.00
J: Machinery & Equipment			
K: Capital Project			
L: Other Expenses (See Detailed List on Page 8)			
*TOTAL PROGRAM/PROJECT FUNDS	25,000.00	61,700.00	86,700.00
% of Program Budget	29 %	71 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	56,000.00
Fees Collected from Program Participants	5,700.00
Other (please specify)	
Total Revenue for Columns 2 Expenses **	61,700.00

*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

**Must equal or exceed total in column 2.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail for Client Assistance, Community Events & Festivals or Other Expenses shown on Page 7 (circle one and use multiple sheets if necessary)	Column 1	Column 2	Column (1 + 2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
Louisville Palace Theatre	14,100.00		
Transportation for Tennessee State ,	2,820.00		
Transportation for RCDC to Evansville Indiana for Boom Fest,	1,290.00		
Transportation to Dayton Ohio for 3DI Percussion Festival	1,290.00		
Lodging at Red Roof Inn	5,500.00		
Louisville Palace Theater Expenses. Marketing, Advertisment, and Meals		20,000.00	
Total	25,000.00	20,000.00	45,000.00

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
Volunteer	\$5000.00	\$17.75 an hour
<i>Total Value of In-Kind</i> <i>(to match Program Budget Line Item.</i> Volunteer Contribution & Other In Kind)	\$5,000.00	\$17.75 an hour

*** DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK**

Agency Fiscal Year Start Date: 7/1/2018

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 7 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked and the funds will not be disbursed to our organization.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

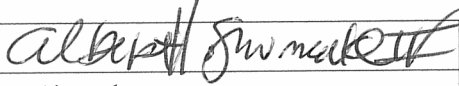
Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

SECTION 8 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:		Date:	12/19/2018
Legal Signatory: (please print):	Albert Shumake	Title:	Executive Director
Phone:	8594929525	Extension:	
Email:	ynggftdandblk@gmail.com		

INTERNAL REVENUE SERVICE
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: **MAY 29 2007**

RIVER CITY DRUM CORP CULTURAL ARTS
INSTITUTE
4621 HILLSIDE DR
LOUISVILLE, KY 40216-2652

Employer Identification Number:
55-0820407
DLN:
17053090741047
Contact Person:
JOSEPH R KENNEDY ID# 31647
Contact Telephone Number:
(877) 829-5500
Public Charity Status:
170(b)(1)(A)(vi)

Dear Applicant:

Our letter dated AUGUST 2003, stated you would be exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code, and you would be treated as a public charity, rather than as a private foundation, during an advance ruling period.

Based on the information you submitted, you are classified as a public charity under the Code section listed in the heading of this letter. Since your exempt status was not under consideration, you continue to be classified as an organization exempt from Federal income tax under section 501(c)(3) of the Code.

Publication 557, Tax-Exempt Status for Your Organization, provides detailed information about your rights and responsibilities as an exempt organization. You may request a copy by calling the toll-free number for forms, (800) 829-3676. Information is also available on our Internet Web Site at www.irs.gov.

If you have general questions about exempt organizations, please call our toll-free number shown in the heading.

Please keep this letter in your permanent records.

Sincerely yours,



Robert Choi
Director, Exempt Organizations
Rulings and Agreements

Letter 1350 (DO/CG)

River City Drum Corp Cultural Arts Institute, Inc
Spirit of the Drum
Final Budget 2018-19

Income	Projected
Metro Louisville	15,000.00
Norton Foundation	20,000.00
Parent Support Group	15,000.00
Owlsley Brown	10,000.00
Jefferson County Public Schools	15,000.00
Program Services	15,000.00
13th Annual DaVille Classic	10,000.00
Living The Legacy of Zambia Nkrumah	10,000.00
Alfred Geiretts Hope Initiative	19,000.00
Total	\$ 129,000.00

Expenses	
Program Staff	30,000.00
Immaculate Heart of Mary	8,000.00
Utilities	8,000.00
Office Supplies	9,000.00
Program Materials	20,300.00
Telephone	4,000.00
Vehicle	13,000.00
Travel	15,000.00
Insurance	6,700.00
Electronic Equipment	15,000.00
Total	\$ 129,000.00

RIVER CITY DRUM CORP CUTURAL ARTS INSTITUTE, INC.

Financial Statements

For the Year Ended June 30, 2018
And Independent Auditor's Report

CHARLES ALEXANDER III
CERTIFIED PUBLIC ACCOUNTANT
930 E. BROADWAY
LOUISVILLE, KENTUCKY 40204

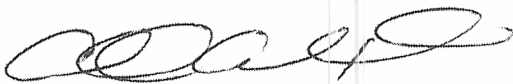
To the Board of Directors
River City Drum Corp. Cultural Arts Institute, Inc.
Louisville, KY 40211

I have audited the accompanying statement of financial position of River City Drum Corp. Cultural Arts Institute, Inc. (the "Organization") as of June 30, 2018 and the related statements of activities and cash flows for the year then ended. These financial statements are the responsibility of the Organization's management. My responsibility is to express an opinion on these financial statements based on my audit.

I conducted my audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that I plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. I believe that my audit provides a reasonable basis for my opinion.

In my opinion, the financial statements referred to above present fairly, in all material respects the financial position of River City Drum Corp. Cultural Arts Institute, Inc. as of June 30, 2018, and the changes in its net assets and its cash flows for the year then ended in conformity with accounting principles generally accepted in the United States of America.

July 20, 2018



Charles Alexander III, CPA

River City Drum Corp Cultural Arts Institute, Inc
Spirit of the Drum
Final Budget 2018-19

Income	Projected
Metro Louisville	15,000.00
Norton Foundation	20,000.00
Parent Support Group	15,000.00
Owlsley Brown	10,000.00
Jefferson County Public Schools	15,000.00
Program Services	15,000.00
13th Annual DaVille Classic	10,000.00
Living The Legacy of Zambia Nkrumah	10,000.00
Alfred Geiretts Hope Initiative	19,000.00
Total	\$ 129,000.00

Expenses	
Program Staff	30,000.00
Immaculate Heart of Mary	8,000.00
Utilities	8,000.00
Office Supplies	9,000.00
Program Materials	20,300.00
Telephone	4,000.00
Vehicle	13,000.00
Travel	15,000.00
Insurance	6,700.00
Electronic Equipment	15,000.00
Total	\$ 129,000.00

RIVER CITY DRUM CORP. CULTURAL ARTS INSTITUTE, INC.
STATEMENT OF FINANCIAL POSITION
JUNE 30, 2018

ASSETS

CASH-BANK \$ 24,918
EQUIPMENT 45,356

TOTAL ASSETS \$ 70,274
=====

LIABILITIES AND NET ASSETS
ACCOUNTS PAYABLE \$ 18,785

TOTAL LIABILITES 18,785

NET ASSESTS 51,489
Unrestricted

\$ 70,274
=====

SEE NOTES TO FINANCIAL STATEMENTS

RIVER CITY DRUM CORP. ARTS INSTUTUTE, INC.
STATEMENT OF ACTIVITES
AND CHANGES IN NET ASSETS
YEAR ENDED JUNE 30, 2018

REVENUES GAINS AND OTHER SUPPORT	Unrestricted	Permanently Restricted	Total
GRANTS	\$	\$23,333	\$23,333
PROGRAM SERVICE	38,454	-	38,494
DUES	4,991		4,991
FUND-RAISER	<u>1,496</u>	<u> </u>	<u>1,496</u>
TOTAL INCOME	<u>44,981</u>	<u>23,333</u>	<u>68,314</u>
<u>EXPENSES</u>			
SALARIES & PAYROLL TAXES	-	419	419
VEHICLE	4,954	657	5,611
CONTRCT LABOR		22,257	22,257
SUPPLIES	2,765	-	2,765
ACCOUNTING	1,200	-	1,200
OFFICE EXPENSE	7,061	-	7,061
UTILITIES	2,510	-	2,510
INSURANCE	1,497	-	1,497
TRAVEL	11,971	-	11,971
BANK CHARGES	217	-	217
EDUCATIONAL	3,745	-	3,745
RENT	<u>5,886</u>	<u> </u>	<u>5,886</u>
TOTAL EXPENSES	<u>41,806</u>	<u>23,333</u>	<u>65,139</u>
NET INCOME (LOSS)	3,175	<u>-0-</u>	3,175
NET ASSETS BEGINNING OF YEAR	<u>48,314</u>	<u>-0-</u>	<u>48,314</u>
NET ASSETS AT END OF YEAR	<u>\$51,489</u>	<u>-0-</u>	<u>\$51,489</u>
	=====	=====	=====

SEE NOTES TO FINANCIAL STATEMENTS

RIVER CITY DRUM CORP. ARTS INSTITUTE, INC.
Statement of Cash Flows
Year Ended June 30, 2018

CASH FLOWS FROM OPERATING ACTIVITIES:

Change in Net assets	\$ 3,175
Adjustments to reconcile change in net assets to net cash used in operating activities:	

Increase in accounts payable	<u>10,839</u>
Net cash used in operating activities	<u>14,014</u>

CASH FLOWS FROM INVESTING ACTIVITIES:	-0-
CASH FLOWS FROM FINANCING ACTIVITIES:	<u>-0-</u>

NET INCREASE IN CASH AND CASH EQUIVALENTS	14,014
---	--------

CASH AND CASH EQUIVALENTS BEGINNING OF YEAR	<u>10,904</u>
---	---------------

CASH AND CASH EQUIVALENTS END OF YEAR	\$ 24,918 =====
---------------------------------------	--------------------

See Notes to Financial Statements

RIVER CITY DRUM CORP. CULTURAL ARTS INSTITUTE, INC.
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2018

1. ORGANIZATION AND PURPOSE

River City Drum Corp. Cultural Arts Institute, Inc. (the "Organization") is a not-for-profit organization devoted to the performing arts. A major portion of its funding is from individual contributions and fees collected from members and services rendered.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

- A. Basis of Presentation- the financial statements are presented on the basis of unrestricted, temporarily restricted, and permanently restricted net assets.
- B. Cash and Cash Equivalents- For financial statement purposes, the Organization considers all highly liquid investments with a maturity of three months or less when purchased to be cash equivalents.
- C. Investments- Investments are carried at market value or appraised value, and realized and unrealized gains and losses are reflected in the statement of activities.
- D. Capital Assets- Land, buildings, and equipment are stated at cost less accumulated depreciation and amortization. Depreciation is provided using the straight-line method over the estimated useful lives of the assets.
- E. Revenue Recognition- Contributions are recognized as revenue when they are received or unconditionally pledged.

The Organization reports gifts of cash and other assets as restricted support if they are received with donor stipulations that limit the use of the donated assets. When a donor restriction expires, that is, when a stipulated time restriction ends or purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions.

The Organization reports gifts of land, buildings, and equipment as unrestricted support unless explicit donor stipulations specify how the donated assets must be used.

Contributions of services shall be recognized if the services received (a) create or enhance nonfinancial assets or (b) require specialized skills, are provided by individuals possessing those skills, and would typically need to be purchased if not provided by donation.

RIVER CITY DRU CORP. CULTURAL ARTS INSTITUTE, INC.
NOTES TO FINANCIAL STATEMENTS (CONTINUED)
JUNE 30, 2018

- F. The costs of providing programmed other activities have been summarized on a functional basis in the statement of activities. Accordingly, certain costs have been allocated to the programs and supporting services that are benefited.
- G. Income Taxes- The Organization is a not-for-profit organization and is exempt from federal income taxes under Section 501 c (3) of the Internal Revenue Code.
- H. Use of Estimates- The preparation of financial statements in accordance with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period.

CHARLES ALEXANDER III
CERTIFIED PUBLIC ACCOUNTANT
930 E. BROADWAY
LOUISVILLE, KENTUCKY 40204
TELEPHONE (502) 584-2375

Board of directors
River City Drum Corp. Cultural Arts Institute, Inc.

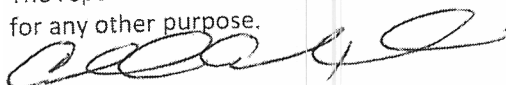
I have examined the financial statements of River City Drum Corp. Cultural Arts Institute, Inc. (a Nonprofit Corporation) for the period ended June 30, 2018 and have issued by report thereon dated July 20, 2018. As a part of my examination I reviewed and tested the Corporation's system of internal accounting control to the extent I considered necessary to evaluate the system as required by generally accepted auditing standards. Under these standards, the purpose of such evaluation is to establish a basis for reliance thereon in determining the nature, timing, and extent of other auditing procedures that are necessary for expressing an opinion on the financial statements.

The objective of internal accounting control is to provide reasonable, but not absolute, assurance as to the safeguarding of assets against loss from unauthorized use or disposition, and the reliability of financial records for preparing financial statements and maintaining accountability for assets. The concept of reasonable assurance recognizes that the cost of a system of internal control should not exceed the benefits derived and also recognizes that the evaluation of these factors necessarily requires estimates and judgments by management.

There are inherent limitations that should be recognized in considering the potential effectiveness of any system of internal control. In the performance of most control procedures, errors can result from misunderstanding of instructions, mistakes of judgment, carelessness or other personal factors. Control procedures whose effectiveness depends upon segregation of duties can be circumvented by collusion. Similarly, control procedures can be circumvented intentionally by management with respect either to the execution and recording of transactions or with respect either to the estimates and judgments required in the preparation of financial statements. Further, projection of any evaluation of internal control to future periods is subject to the risk that the procedures may become inadequate because of changes in conditions and that the degree of compliance with the procedures may deteriorate.

My study and evaluation was more limited than would be necessary to express an opinion on the system of internal accounting control of River City Drum Corp. Cultural Arts Institute, Inc. taken as whole. Accordingly, I do not express such an opinion. Because of its limited nature, my study and evaluation would not necessarily disclose all material weaknesses or other conditions requiring attention in the system of internal accounting control.

The report is intended for use in connection with the project with which it refers and should not be used for any other purpose.



July 20, 2018

Short Form Return of Organization Exempt From Income Tax

2017

Open to Public Inspection

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990EZ for instructions and the latest information.

Department of the Treasury
Internal Revenue Service

A	For the 2017 calendar year, or tax year beginning _____, and ending _____	D	Employer identification number 55-0820407
B	C Name of organization RIVER CITY DRUM CORP CULTURAL ARTS INSTITUTE, INC. Number and street (or P.O. box, if mail is not delivered to street address) Room/suite 3308 CHAUNCEY AVENUE City or town State ZIP code Louisville KY 40211 Foreign country name Foreign province/state/county Foreign postal code	E	Telephone number
Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final return/terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending		F	Group Exemption Number ▶
G	Accounting Method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual Other (specify) ▶ _____	H Check <input checked="" type="checkbox"/> if the organization is not required to attach Schedule B (Form 990, 990-EZ, or 990-PF).	
I	Website: ▶ N/A		
J	Tax-exempt status (check only one) — <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		
K	Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other _____		

L Add lines 5b, 6c, and 7b to line 9 to determine gross receipts. If gross receipts are \$200,000 or more, or if total assets (Part II, column (B) below) are \$500,000 or more, file Form 990 instead of Form 990-EZ. ▶ \$ 68,314

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (see the instructions for Part I)
 Check if the organization used Schedule O to respond to any question in this Part I

	Description	Code	Amount
Revenue	1 Contributions, gifts, grants, and similar amounts received	1	23,333
	2 Program service revenue including government fees and contracts	2	38,494
	3 Membership dues and assessments	3	6,487
	4 Investment income	4	
	5a Gross amount from sale of assets other than inventory	5a	
	5b Less: cost or other basis and sales expenses	5b	
	5c Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a)	5c	0
	6 Gaming and fundraising events		
	a Gross income from gaming (attach Schedule G if greater than \$15,000)	6a	
	b Gross income from fundraising events (not including \$ _____ of contributions from fundraising events reported on line 1) (attach Schedule G if the sum of such gross income and contributions exceeds \$15,000)	6b	
c Less: direct expenses from gaming and fundraising events	6c		
d Net income or (loss) from gaming and fundraising events (add lines 6a and 6b and subtract line 6c)	6d	0	
7a Gross sales of inventory, less returns and allowances	7a		
b Less: cost of goods sold	7b		
c Gross profit or (loss) from sales of inventory (Subtract line 7b from line 7a)	7c	0	
8 Other revenue (describe in Schedule O)	8		
9 Total revenue. Add lines 1, 2, 3, 4, 5c, 6d, 7c, and 8	9	68,314	
Expenses	10 Grants and similar amounts paid (list in Schedule O)	10	
	11 Benefits paid to or for members	11	
	12 Salaries, other compensation, and employee benefits	12	419
	13 Professional fees and other payments to independent contractors	13	22,257
	14 Occupancy, rent, utilities, and maintenance	14	14,007
	15 Printing, publications, postage, and shipping	15	
	16 Other expenses (describe in Schedule O)	16	28,456
	17 Total expenses. Add lines 10 through 16	17	65,139
Net Assets	18 Excess or (deficit) for the year (Subtract line 17 from line 9)	18	3,175
	19 Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)	19	48,314
	20 Other changes in net assets or fund balances (explain in Schedule O)	20	
	21 Net assets or fund balances at end of year. Combine lines 18 through 20	21	51,489

Part II Balance Sheets. (see the instructions for Part II)

Check if the organization used Schedule O to respond to any question in this Part II.

	(A) Beginning of year	(B) End of year
22 Cash, savings, and investments	10,904	22 24,918
23 Land and buildings		23
24 Other assets (describe in Schedule O)	39,210	24 45,356
25 Total assets	50,114	25 70,274
26 Total liabilities (describe in Schedule O)	1,800	26 18,785
27 Net assets or fund balances (line 27 of column (B) must agree with line 21)	48,314	27 51,489

Part III Statement of Program Service Accomplishments (see the instructions for Part III)

Check if the organization used Schedule O to respond to any question in this Part III.

What is the organization's primary exempt purpose? TO PROVIDE CULTURALLY ENRICHING EXPERIENCES, TL

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. In a clear and concise manner, describe the services provided, the number of persons benefited, and other relevant information for each program title.

Expenses
(Required for section 501(c)(3) and 501(c)(4) organizations; optional for others.)

28 HELPED TO BUILD YOUTH'S SELF-ESTEEM WHILE EXPOSING THEM TO VARIOUS CULTURALLY ENRICHING EXPERIENCES IN WHICH THE YOUTHS PARTICIPATED.		
(Grants \$) If this amount includes foreign grants, check here <input type="checkbox"/>	28a	65,139
29		
(Grants \$) If this amount includes foreign grants, check here <input type="checkbox"/>	29a	
30		
(Grants \$) If this amount includes foreign grants, check here <input type="checkbox"/>	30a	
31 Other program services (describe in Schedule O)		
(Grants \$) If this amount includes foreign grants, check here <input type="checkbox"/>	31a	
32 Total program service expenses. (add lines 28a through 31a)	32	65,139

Part IV List of Officers, Directors, Trustees, and Key Employees (list each one even if not compensated—see the instructions for Part IV)

Check if the organization used Schedule O to respond to any question in this Part IV.

(a) Name and title	(b) Average hours per week devoted to position	(c) Reportable compensation (Forms W-2/1099-MISC) (if not paid, enter -0-)	(d) Health benefits contributions to employee benefit plans and deferred compensation	(e) Estimated amount of other compensation
EDWARD WHITE EXECUTIVE DIRECTOR	Hr/WK 40.00			
CYNTHIA FLETCHER V/P	Hr/WK 5.00			
ALBERT SHUMAKE SECRETARY	Hr/WK 2.00			
BOBBY SHUMAKE DIRECTOR	Hr/WK 2.00			
GOLA WHITE DIRECTOR	Hr/WK 2.00			
AKILAHMANH LCARL DIRECTOR	Hr/WK 2.00			
	Hr/WK			
	Hr/WK			
	Hr/WK			
	Hr/WK			
	Hr/WK			
	Hr/WK			

Part V Other Information (Note the Schedule A and personal benefit contract statement requirements in the instructions for Part V) Check if the organization used Schedule O to respond to any question in this Part V.

	Yes	No
33 Did the organization engage in any significant activity not previously reported to the IRS? If "Yes," provide a detailed description of each activity in Schedule O.		X
34 Were any significant changes made to the organizing or governing documents? If "Yes," attach a conformed copy of the amended documents if they reflect a change to the organization's name. Otherwise, explain the change on Schedule O (see instructions).		X
35 a Did the organization have unrelated business gross income of \$1,000 or more during the year from business activities (such as those reported on lines 2, 6a, and 7a, among others)?		X
b If "Yes" to line 35a, has the organization filed a Form 990-T for the year? If "No," provide an explanation in Schedule O.		
c Was the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization subject to section 6033(e) notice, reporting, and proxy tax requirements during the year? If "Yes," complete Schedule C, Part III.		X
36 Did the organization undergo a liquidation, dissolution, termination, or significant disposition of net assets during the year? If "Yes," complete applicable parts of Schedule N.		X
37 a Enter amount of political expenditures, direct or indirect, as described in the instructions. 37a		
b Did the organization file Form 1120-POL for this year?		X
38 a Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still outstanding at the end of the tax year covered by this return?		X
b If "Yes," complete Schedule L, Part II and enter the total amount involved. 38b		
39 Section 501(c)(7) organizations. Enter:		
a Initiation fees and capital contributions included on line 9. 39a		
b Gross receipts, included on line 9, for public use of club facilities. 39b		
40 a Section 501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under: section 4911 40a ; section 4912 40a ; section 4955 40a		
b Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year, or did it engage in an excess benefit transaction in a prior year that has not been reported on any of its prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I.		X
c Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958.		
d Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Enter amount of tax on line 40c reimbursed by the organization.		
e All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8836-T.		X
41 List the states with which a copy of this return is filed.		
42 a The organization's books are in care of EDWARD WHITE Telephone no. (502) 772-7660 Located at 3308 CHAUNCEY AVE City LOUISVILLE ST KY ZIP + 4 40211		
b At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? If "Yes," enter the name of the foreign country: 42b See the instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).		X
c At any time during the calendar year, did the organization maintain an office outside the United States? If "Yes," enter the name of the foreign country: 42c		X
43 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of Form 1041—Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the tax year. 43		
44 a Did the organization maintain any donor advised funds during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ.		X
b Did the organization operate one or more hospital facilities during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ.		X
c Did the organization receive any payments for indoor tanning services during the year?		X
d If "Yes" to line 44c, has the organization filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.		
45 a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
45 b Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," Form 990 and Schedule R may need to be completed instead of Form 990-EZ (see instructions).		X

46 Did the organization engage, directly or indirectly, in political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I.

Table with 2 columns: Yes, No. Row 46: Yes (empty), No (X)

Part VI Section 501(c)(3) organizations only

All section 501(c)(3) organizations must answer questions 47-49b and 52, and complete the tables for lines 50 and 51.

Check if the organization used Schedule O to respond to any question in this Part VI

47 Did the organization engage in lobbying activities or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II.

Table with 2 columns: Yes, No. Row 47: Yes (empty), No (X)

48 Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E.

Table with 2 columns: Yes, No. Row 48: Yes (empty), No (X)

49 a Did the organization make any transfers to an exempt non-charitable related organization?

Table with 2 columns: Yes, No. Row 49a: Yes (empty), No (X)

b If "Yes," was the related organization a section 527 organization?

Table with 2 columns: Yes, No. Row 49b: Yes (empty), No (empty)

50 Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees, and key employees) who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

Table with 5 columns: (a) Name and title of each employee, (b) Average hours per week devoted to position, (c) Reportable compensation, (d) Health benefits, (e) Estimated amount of other compensation. All rows show 'None'.

f Total number of other employees paid over \$100,000

51 Complete this table for the organization's five highest compensated independent contractors who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

Table with 3 columns: (a) Name and business address of each independent contractor, (b) Type of service, (c) Compensation. All rows show 'None'.

d Total number of other independent contractors each receiving over \$100,000

52 Did the organization complete Schedule A? Note: All section 501(c)(3) organizations must attach a completed Schedule A.

X Yes No

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here: Signature of officer EDWARD WHITE, Date 05/15/2018, Type or print name and title

Paid Preparer Use Only: Print/Type preparer's name Charles Alexander III, Preparer's signature Charles Alexander III, Date 5/15/2018, Check self-employed X, PTIN P00810717, Firm's name Charles Alexander III, CPA, Firm's address 930 E. Broadway, Louisville, KY 40204, Firm's EIN 61-1061561, Phone no. (502) 584-2375

May the IRS discuss this return with the preparer shown above? See instructions. X Yes No

**ARTICLES OF INCORPORATION
OF
RIVER CITY DRUM CORP CULTURAL ARTS INSTITUTE, INC.,**

The undersigned incorporator, ED WHITE, executes these Articles of Incorporation for the purpose of forming and does hereby form a Non-Stock, Non-Profit Corporation under the laws of the Commonwealth of Kentucky, KRS 273.161 et seq., with all the rights privileges and immunities of a corporation organized for charitable purposes within the meaning of Section 501(c) (3) of the Internal Revenue Code of 1986, as amended (the "Code"), or it's successor provisions, in accordance with the following provisions.

ARTICLE I.

Name

The name of the Corporation is River City Drum Corp Cultural Arts Institute, Inc., (hereinafter Corporation).

ARTICLE II.

PURPOSES

This corporation is organized exclusively for charitable scientific and educational purposes, more specifically:

- (i) To establish and maintain a community based organization dedicated to the provision of a wide range of charitable, educational, cultural and artistic activities, programs and service to the community.
- (ii) Provide opportunities to enhance community's educational and cultural awareness by providing a class structure environment that is culturally sensitive to support the community activities.

To this end, the corporation shall at all times be operated exclusively for charitable purposes within the meaning of Section 501 (c) (3) of the Internal Revenue Code of 1986, as now enacted or hereafter amended, including, for such purposes, the making of distributions to organizations that qualify as exempt organizations under Section 501 (c) (3) of the Internal Revenue Code of 1986, as now enacted or hereafter amended. All funds, whether income or principal and whether acquired by gift or contribution or otherwise, shall be devoted to said purposes.

0530367.09

John Y. Brown III
Secretary of State
Received and Filed

02/04/2002 02:00 PM

Fee Receipt: \$8.00

PBlevins
NAOI

ARTICLE III.

EXEMPTION REQUIREMENTS

At all times the following shall operate as conditions restricting the operations and activities of the corporation.

1. No part of the net earnings or the organization shall inure to the benefit of, or be distributable to its members, trustees, officers, or other private persons, except that the organization shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purpose set forth in the purpose clause hereof.

2. No substantial part of the activities of the Corporation shall constitute the carrying on of propaganda or otherwise attempting to influence legislation, or any initiative or referendum before the public, and the corporation shall not participate in, or intervene in (including by publication or distribution of statements), any political campaign on behalf of, or in opposition of, any candidate for public office.

3. Notwithstanding any other provisions of this document, the organization shall not carry on any other activities not permitted to be carried on (a) by an organization exempt from federal income tax under Section 501 (c) (3) or the Internal Revenue Code, corresponding section of any future federal tax code, or (b) by an organization, contributions to which are deductible under Section 170 (c) (2) or the Internal Revenue Code, or corresponding section or any future federal tax code.

ARTICLE IV

Initial Registered Office and Agent

The street address of the Corporation's initial registered office and the name of its initial registered agent and that address is:

**ED WHITE
4734 Southern Parkway
Louisville, Kentucky 40214**

The mailing address or the Corporation's principal office is:

**RIVER CITY DRUM CORP CULTURAL ARTS INSTITUTE, INC.,
3308 Chauncey Avenue
Louisville, Kentucky 40211**

ARTICLE V.

Duration

The duration of the corporate existence shall be perpetual.

ARTICLE VI.

Directors

The corporation shall have no members. A Board of Directors in the manner provided in the Bylaws shall manage the affairs of the Corporation. In addition, the Bylaws shall provide the number of directors. The term of office, method of election, removal procedures and such other matters pertaining or relating to the duties and office of director. In no event shall there be fewer than (3) directors.

The members of the initial Board of Directors of the Corporation shall serve until election of directors and until their successors are elected and qualified. The names and addresses of the initial directors are:

ED WHITE, President
4734 Southern Parkway
Louisville, Kentucky 40214

Zambia Nkrumah, Treasurer
4734 Southern Parkway
Louisville, Kentucky 40214

Adrianna Thornton-Clark, Secretary
3308 Chauncey Avenue
Louisville, Kentucky 40211

No, director shall have any right, title, or interest in, or any property of the Corporation. These Articles may be amended only with the approval or affirmative vote of the Board of Directors.

ARTICLE VII.

Indemnification

Each person who is or was a member, director, trustee or officer for the corporation, whether elected or appointed, and each person who is or was serving at the request of the Corporation, as a member, director, trustee or officer of another corporation, whether elected, appointed, or of a partnership, joint venture, trust or other enterprise, including service with respect to employee benefit plans, including the heirs, executors, administrators or estate of any such person, shall be indemnified by the Corporation to the full amount against any liability, and the reasonable cost, or expense

(including attorneys' fees, monetary or other judgments, fines, excise taxes or penalties and amounts paid or to be paid in settlement) incurred by such person in such person's capacity as a member, director, trustee, officer or employee, or arising out of such person's status as a member, director, trustee, officer or employee, provided, however, that no such person shall be indemnified against any such liability, cost or expense incurred in connection with any action, suit or proceeding in which such person shall have been adjudged liable on the basis that personal benefit was improperly received by such person, or if such indemnification would be prohibited by law.

Such right of indemnification shall be a contract right and shall include the right to be paid by the Corporation the reasonable expenses incurred in defending any threatened or pending action, suit or proceeding in advance of its final disposition; provided, however, that such advance payment of expenses shall be made only after delivery to the Corporation of an undertaking by or on behalf of such person to repay all amounts so advanced if it shall be determined that such person is not entitled to such indemnification.

Any repeal or modification of the Article shall not affect any rights or obligations then existing. If any indemnification payment required by this Article is not paid by the Corporation within ninety (90) after a written claim has been received by the corporation, the member, director, trustee, officer or employee may at any time thereafter bring suit against the Corporation to recover the unpaid amount and, if successful in whole or in part, such person shall be entitled to be paid also the expense of prosecuting such claim. The Corporation may maintain insurance, at its own expense, to protect itself and any such person against any such liability, cost or expense, whether or not the Corporation would have the power to indemnify such person against such liability, cost or expense under the Kentucky Non-Profit Corporation Act or under this Article, but it shall not be obligated to do. The indemnification provided by this Article shall not be deemed exclusive of any other rights which those seeking indemnification may have or hereafter acquire under any bylaw, agreement, statute, vote of members of Board of Directors or otherwise.

If this Article or any portion thereof shall be invalidated on any ground by any Court of competent jurisdiction, then the Corporation nevertheless shall indemnify each such person, to the full extent permitted by any applicable portion of this Article that shall not have been invalidated or that remains enforceable under any other applicable law. For purposes of this Article, reference to "The Corporation" includes all constituents absorbed in a consolidation or merger as well as the resulting or surviving corporation.

ARTICLE VIII.

Limitation of Director Liability

No director shall be personally liable to the Corporation for monetary damages for

breach of his or her duties as director except for liability:

(a) For any transaction in which the director's personal financial interest is in conflict with the financial interests of the Corporation;

(b) For acts or omissions not in good faith or which involve intentional misconduct or acts known to the director to be a violation of law or

(c) For any transaction from which the director derived an improper personal benefit. If the Kentucky Revised Statutes are amended after the effective date of these Articles of Incorporation to authorize corporate action further eliminating or limiting the personal liability of directors, then the liability of a director of the Corporation shall be eliminated or limited to the fullest extent permitted by the Kentucky Revised Statutes, as so amended. Any repeal or modification of this Article shall not adversely effect any right or protection of a director of the corporation existing at the time of such repeal or modification.

ARTICLE IX.

Dissolution

Dissolution shall be accomplished in accordance with Chapter 273 of the Kentucky Revised Statutes or its successor. Upon dissolution of the Corporation, the Board of Directors shall, after paying or making provisions for the payment of all liabilities of the Corporation, dispose of all corporate assets by distributing such assets to its successor organization, but only if it (or its successor organization) is at that time an organization described in Section 501 (c) (3) of the Code, or its successor provision. If (River City Drum Corp Cultural Arts Institute Corporation), or its successor organization is not at that time an organization described in Section 501 (c) (3) or the Code, or its successor provision, the Board of Directors shall, after paying or making provisions for the payment of all liabilities of the Corporation, dispose of all corporate assets by distributing such assets to organizations that are organized and operated exclusively for charitable purposes and at the time qualify as exempt organizations under Section 501 (c) (3) of the Code, or its successor provision, or to such organizations described under Section 170 (c) (1) of the Code, or its successor provision, as the Board of Directors shall determine. If possible, the purposes of such charitable donee or donees should be substantially similar to the charitable purposes of the Corporation.

Any such assets not disposed of by the Board of Directors shall be disposed of by the Circuit Court of the County in which the principal office of the Corporation is then located, to (River City Drum Corp Cultural Arts Institute Corporation) under Section 501 (c) (3) or the Code, or its successor provision, if possible, the Court shall cause such remaining assets to be transferred to a donee or donees that have purposes that are substantially similar to the charitable purposes of the Corporation.

ARTICLE X.

Incorporator

The name and address of the Incorporator is:

ED WHITE
4734 Southern Parkway
Louisville, Kentucky 40214

The undersigned incorporator certifies that he execute(s) these Articles for the purposes herein stated.

Signature:



ED WHITE

Date:

23 January, 2002

Commonwealth of Kentucky
County of Jefferson

I, Mona Burton Notary Public in and for the State and County aforesaid, state that ED WHITE, Incorporator of River City Drum Corp Cultural Arts Institute appeared before me and affirmed he had the authority to execute Articles of Incorporation on behalf of River City Drum Corp Cultural Arts Institute Corporation and did voluntarily do so on this 23rd day of January, 2002.

My Commission Expires 1-27-2006

RIVER CITY DRUM CORP CULTURAL ARTS INSTITUTE, INC.**General Information**

Organization Number 0530367
Name RIVER CITY DRUM CORP CULTURAL ARTS INSTITUTE, INC.
Profit or Non-Profit N - Non-profit
Company Type KCO - Kentucky Corporation
Status A - Active
Standing G - Good
State KY
File Date 2/4/2002
Organization Date 2/4/2002
Last Annual Report 6/29/2018
Principal Office 3308 CHAUNCEY AVENUE
 LOUISVILLE, KY 40211
Registered Agent ED WHITE
 4031 PARTHENIA AVENUE
 LOUISVILLE, KY 40215

Current Officers

President Gola Busby
Vice President Lyndel Johnson
Secretary Aja Nkrumah
Director Gola Busby
Director Lyndel Johnson
Director Aja Nkrumah

Individuals / Entities listed at time of formation

Director ED WHITE
Director ADRIANNA THORNTON-CLARK
Director ZAMBIA NKRUMAH

IncorporatorED WHITE**Images available online**

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

<u>Annual Report Amendment</u>	7/17/2018	1 page	<u>PDF</u>
<u>Annual Report</u>	6/29/2018	1 page	<u>PDF</u>
<u>Annual Report</u>	6/28/2017	1 page	<u>PDF</u>
<u>Annual Report Amendment</u>	7/27/2016	1 page	<u>PDF</u>
<u>Annual Report</u>	6/28/2016	1 page	<u>PDF</u>
<u>Annual Report</u>	6/17/2015	1 page	<u>PDF</u>
<u>Annual Report</u>	6/22/2014	1 page	<u>PDF</u>
<u>Registered Agent name/address change</u>	6/10/2013 1:17:08 PM	1 page	<u>PDF</u>
<u>Annual Report</u>	6/10/2013	1 page	<u>PDF</u>
<u>Annual Report</u>	6/29/2012	1 page	<u>PDF</u>
<u>Annual Report</u>	3/23/2011	1 page	<u>tiff</u>
<u>Annual Report</u>	5/27/2010	1 page	<u>tiff</u>
<u>Annual Report</u>	3/3/2009	1 page	<u>tiff</u>
<u>Annual Report</u>	6/26/2008	1 page	<u>tiff</u>
<u>Annual Report</u>	6/27/2007	1 page	<u>PDF</u>
<u>Annual Report</u>	4/4/2006	1 page	<u>tiff</u>
<u>Annual Report</u>	6/9/2005	1 page	<u>PDF</u>
<u>Annual Report</u>	9/12/2003	1 page	<u>tiff</u>
<u>Annual Report</u>	9/12/2003	1 page	<u>tiff</u>
<u>Articles of Incorporation</u>	2/4/2002	6 pages	<u>tiff</u>

Assumed Names**Activity History**

Filing	File Date	Effective Date	Org. Referenced
Amendment to annual report	7/17/2018 4:33:17 PM	7/17/2018 4:33:17 PM	
Annual report	6/29/2018 3:42:59 PM	6/29/2018 3:42:59 PM	
Annual report	6/28/2017 4:53:40 PM	6/28/2017 4:53:40 PM	
Amendment to annual report	7/27/2016 12:28:56 PM	7/27/2016 12:28:56 PM	
Annual report	6/28/2016 7:11:09 PM	6/28/2016 7:11:09 PM	

Request for Taxpayer Identification Number and Certification

**Give Form to the
 requester. Do not
 send to the IRS.**

▶ Go to www.irs.gov/FormW9 for instructions and the latest information.

Print or type.
 See Specific Instructions on page 3.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank. River City Dram Corp Cultural Arts Institute Inc	
2 Business name/disregarded entity name, if different from above	
3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <small>(Applies to accounts maintained outside the U.S.)</small>
<input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ _____ <small>Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.</small>	<input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate
<input checked="" type="checkbox"/> Other (see instructions) ▶ 501-C3	Requester's name and address (optional)
5 Address (number, street, and apt. or suite no.) See instructions. 3308 Chalmers Ave	Requester's name and address (optional)
6 City, state, and ZIP code Louisville Ky 40211	
7 List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number				
<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%; border: 1px solid black; height: 20px;"></td> <td style="width: 25%; border: 1px solid black; height: 20px;"></td> <td style="width: 25%; border: 1px solid black; height: 20px;"></td> <td style="width: 25%; border: 1px solid black; height: 20px;"></td> </tr> </table>				
or				
Employer identification number				
<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%; border: 1px solid black; height: 20px; text-align: center;">55</td> <td style="width: 25%; border: 1px solid black; height: 20px;"></td> <td style="width: 25%; border: 1px solid black; height: 20px; text-align: center;">-082</td> <td style="width: 25%; border: 1px solid black; height: 20px; text-align: center;">0804</td> </tr> </table>	55		-082	0804
55		-082	0804	

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here

Signature of U.S. person ▶

[Handwritten Signature]

Date ▶

1-29-2019

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.

Part II Balance Sheets. (see the instructions for Part II)

Check if the organization used Schedule O to respond to any question in this Part II

(A) Beginning of year	(B) End of year
10 001 22	24 018

Part V Other Information (Note the Schedule A and personal benefit contract statement requirements in the instructions for Part V) Check if the organization used Schedule O to respond to any question in this Part V.

		Yes	No
33	Did the organization engage in any significant activity not previously reported to the IRS? If "Yes," provide a detailed description of each activity in Schedule O.		X
34	Were any significant changes made to the organizing or governing documents? If "Yes," attach a conformed copy of the amended documents if they reflect a change to the organization's name. Otherwise, explain the change on Schedule O (see instructions).		X
35 a	Did the organization have unrelated business gross income of \$1,000 or more during the year from business activities (such as those reported on lines 2, 6a, and 7a, among others)?		X
b	If "Yes" to line 35a, has the organization filed a Form 990-T for the year? If "No," provide an explanation in Schedule O.		
35b			
c	Was the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization subject to section 6033(e) notice, reporting, and proxy tax requirements during the year? If "Yes," complete Schedule C, Part III.		X
35c			
36	Did the organization undergo a liquidation, dissolution, termination, or significant disposition of net assets during the year? If "Yes," complete applicable parts of Schedule N.		X
37 a	Enter amount of political expenditures, direct or indirect, as described in the instructions. ▶ 37a		
b	Did the organization file Form 1120-POL for this year?		X
37b			
38 a	Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still outstanding at the end of the tax year covered by this return?		X
38a			
b	If "Yes," complete Schedule L, Part II and enter the total amount involved. ▶ 38b		
38b			
39	Section 501(c)(7) organizations. Enter:		
a	Initiation fees and capital contributions included on line 9. ▶ 39a		
39a			
b	Gross receipts, included on line 9, for public use of club facilities. ▶ 39b		
39b			
40 a	Section 501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under: section 4911 ▶ _____; section 4912 ▶ _____; section 4955 ▶ _____		
b	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year, or did it engage in an excess benefit transaction in a prior year that has not been reported on any of its prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I.		X
40b			
c	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958. ▶ _____		
d	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Enter amount of tax on line 40c reimbursed by the organization. ▶ _____		
e	All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T.		X
40e			
41	List the states with which a copy of this return is filed. ▶ _____		
42 a	The organization's books are in care of ▶ EDWARD WHITE Telephone no. ▶ (502) 772-7660 Located at ▶ 3308 CHAUNCEY AVE. City LOUISVILLE ST KY ZIP + 4 ▶ 40211		
b	At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? If "Yes," enter the name of the foreign country. ▶ _____ See the instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).	Yes	No
42b			X
c	At any time during the calendar year, did the organization maintain an office outside the United States? If "Yes," enter the name of the foreign country. ▶ _____		X
42c			
43	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of Form 1041—Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the tax year. ▶ 43		
44 a	Did the organization maintain any donor advised funds during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ.		X
44a			
b	Did the organization operate one or more hospital facilities during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ.		X
44b			
c	Did the organization receive any payments for indoor tanning services during the year?		X
44c			
d	If "Yes" to line 44c, has the organization filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.		
44d			
45 a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
45a			
b	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," Form 990 and Schedule R may need to be completed instead of Form 990-EZ (see instructions).		X
45b			

46 Did the organization engage, directly or indirectly, in political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I. 46 Yes No X

Part VI Section 501(c)(3) organizations only

All section 501(c)(3) organizations must answer questions 47-49b and 52, and complete the tables for lines 50 and 51.

Check if the organization used Schedule O to respond to any question in this Part VI

47 Did the organization engage in lobbying activities or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II. 47 Yes No X
48 Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E. 48 Yes No X
49 a Did the organization make any transfers to an exempt non-charitable related organization? 49a Yes No X
b If "Yes," was the related organization a section 527 organization? 49b Yes No

50 Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees, and key employees) who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

Table with 5 columns: (a) Name and title of each employee, (b) Average hours per week devoted to position, (c) Reportable compensation (Forms W-2/1099-MISC), (d) Health benefits, contributions to employee benefit plans, and deferred compensation, (e) Estimated amount of other compensation. All entries are 'None'.

f Total number of other employees paid over \$100,000

51 Complete this table for the organization's five highest compensated independent contractors who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

Table with 3 columns: (a) Name and business address of each independent contractor, (b) Type of service, (c) Compensation. All entries are 'None'.

d Total number of other independent contractors each receiving over \$100,000

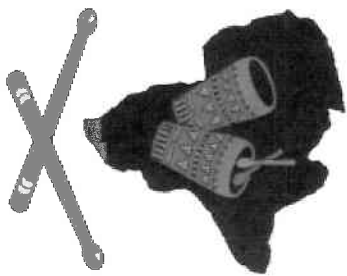
52 Did the organization complete Schedule A? Note: All section 501(c)(3) organizations must attach a completed Schedule A. X Yes No

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here Signature of officer EDWARD WHITE Date 05/15/2018 Type or print name and title

Paid Preparer Use Only Print/Type preparer's name Charles Alexander III Preparer's signature Charles Alexander III Date 5/15/2018 Check X if self-employed PTIN P00810717 Firm's name Charles Alexander III, CPA Firm's EIN 61-1061561 Firm's address 930 E. Broadway, Louisville, KY 40204 Phone no. (502) 584-2375

May the IRS discuss this return with the preparer shown above? See instructions X Yes No



(<http://rivercitydrumcorpky.com/>)

DONATE NOW ([HTTP://RIVERCITYDRUMCORPKY.COM/DONATE/](http://RIVERCITYDRUMCORPKY.COM/DONATE/))

MENU

Edward "Nardie" White, Founder & Director

River City Drum Corp Cultural Arts Institute

(<http://rivercitydrumcorpky.com/wp-content/uploads/2016/08/ed2.jpg>)

Ed "Nardie" White, Founder and Executive Director of Louisville's River City Drum Corps, is a pillar in the city of Louisville, KY, especially as it pertains to educating our youth and participating in community activism. In 1991, he and his wife, the late Zambia Nkrumah, started the River City Drum Corps, a west Louisville nonprofit arts and education based program for at risk youth and families.