

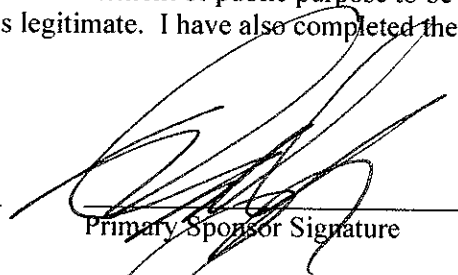
**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

Applicant/Program: Garvin Gate Association / Garvin Gate Blues Festival
Applicant Requested Amount: \$5000
Appropriation Request Amount: \$5000

Executive Summary of Request
 Funds will be used to pay for bands, sound and lights, electric services, and other expenses

Is this program/project a fundraiser? Yes No
 Is this applicant a faith based organization? Yes No
 Does this application include funding for sub-grantee(s)? Yes No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

6		\$5,000	Jul 17, 2019
District #	Primary Sponsor Signature	Amount	Date

Primary Sponsor Disclosure
 List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

Approved by:

_____ Date _____
 Appropriations Committee Chairman
 Final Appropriations Amount: _____

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Legal Name of Applicant Organization Garvin Gate Association

Program Name and Request Amount Garvin Gate Blues Festival , \$5,000

	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	<input type="checkbox"/> Yes
Is the funding proposed by Council Member(s) less than or equal to the request amount?	<input type="checkbox"/> Yes
Is the proposed public purpose of the program viable and well-documented?	<input type="checkbox"/> Yes
Will all of the funding go to programs specific to Louisville/Jefferson County?	<input type="checkbox"/> Yes
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	<input type="checkbox"/> Yes
Has prior Metro Funds committed/granted been disclosed?	<input type="checkbox"/> Yes
Is the application properly signed and dated by authorized signatory?	<input type="checkbox"/> Yes
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	<input type="checkbox"/> Yes
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	<input type="checkbox"/> N/A
Is the entity in good standing with: <ul style="list-style-type: none"> ▶ Kentucky Secretary of State? ▶ Louisville Metro Revenue Commission? ▶ Louisville Metro Government? ▶ Internal Revenue Service? ▶ Louisville Metro Human Relations Commission? 	<input type="checkbox"/> ..Yes
Is the current Fiscal Year Budget included?	<input type="checkbox"/> Yes
Is the entity's board member list (with term length/term limits) included?	<input type="checkbox"/> ..Yes
Is recommended funding less than 33% of total agency operating budget?	<input type="checkbox"/> Yes
Does the application budget reflect only the revenue and expenses of the project/program?	<input type="checkbox"/> Yes
Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?	<input type="checkbox"/> N/A
Is the most recent annual audit (if required by organization) included?	<input type="checkbox"/> N/A
Is a copy of Signed Lease (if rent costs are requested) included?	<input type="checkbox"/> N/A
Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?	<input type="checkbox"/> N/A
Are the Articles of Incorporation of the Agency included?	<input type="checkbox"/> Yes
Is the IRS Form W-9 included?	<input type="checkbox"/> Yes
Is the IRS Form 990 included?	<input type="checkbox"/> Yes
Are the evaluation forms (if program participants are given evaluation forms) included?	<input type="checkbox"/> N/A
Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?	<input type="checkbox"/> N/A
Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?	<input type="checkbox"/> No

Prepared by: **Shalanna Taylor**

Date: **July 17, 2019**

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION

Legal Name of Applicant Organization: Garvin Gate Association
(as listed on: <http://www.sos.ky.gov/business/records>)

Main Office Street & Mailing Address: 1202 South 6th Street, Louisville, KY 40203

Website: www.garvingatebluesfestival.com

Applicant Contact:	Howard Rosenberg	Title:	Chair, Garvin Gate Blues Festival
Phone:	502-896-9833/502-445-4193	Email:	hrosenberg@twc.com
Financial Contact:	Jonathan BEvan	Title:	Treasurer
Phone:	502-553-2159	Email:	bevan.ky@gmail.com

Organization’s Representative who attended NDF Training: Howard Rosenberg

GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED

Program Facility Location(s):	Corner Garvin & Oak between 4th and 6th, 1100 & 1200 Blocks of Garvin		
Council District(s):	Sixth	Zip Code(s):	40203

SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION

PROGRAM/PROJECT NAME: Garvin Gate Blues Festival

Total Request: (\$)	5,000	Total Metro Award (this program) in previous year: (\$)	20,000
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Purpose of Request (check all that apply):

- Operating Funds (generally cannot exceed 33% of agency’s total operating budget)
- Programming/services/events for direct benefit to community or qualified individuals
- Capital Project of the organization (equipment, furnishing, building, etc)

The Following are Required Attachments:


<ul style="list-style-type: none"> ■ IRS Exempt Status Determination Letter ■ Current year projected budget ■ Current financial statement ■ Most recent IRS Form 990 or 1120-H ■ Articles of Incorporation (current & signed) <p>Cost estimates from proposed vendor if request is for capital expense</p>	<ul style="list-style-type: none"> ■ Signed lease if rent costs are being requested ■ IRS Form W9 ■ Evaluation forms if used in the proposed program ■ Annual audit (if required by organization) ■ Faith Based Organization Certification Form, if applicable
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For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.

Source:	Metro Parks	Amount: (\$)	20,000
Source:		Amount: (\$)	
Source:		Amount: (\$)	

Has the applicant contacted the BBB Charity Review for participation? Yes No

Has the applicant met the BBB Charity Review Standards? Yes No

Applicant’s Initials 

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

The Garvin Gate Association was established in 1990 as a neighborhood association in Old Louisville that was bounded on the north by Oak Street, on the east by Fourth Street, on the South by Ormsby Avenue, and on the west by Seventh Street. It was established for the promotion of the harmony, health, and happiness of the inhabitants of the neighborhood including the preservation, improvement and beautification of the public and private buildings and facilities therein, and to that end to adopt by-laws and other rules and regulations appropriate to the aforesaid, and including but not limited to, the establishment of dues and assessments for membership, the promotion of fund raising events for the benefit of the neighborhood.

The purpose of the Garvin Gate Association is for the mutual protection of the members of the association; to work for the continued improvement and proper maintenance of all property within its area, to preserve the unique character of the neighborhood as a livable and attractive part of Old Louisville: and to work with other organizations, private and public, that have as their aims, the improvement and preservation of Old Louisville.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

The Garvin Gate Blues Festival is held the second weekend of October on the streets of Historic Old Louisville, the largest Victorian preservation neighborhood in the USA. Many of the top names in blues music have performed here since the festival began in 1988.

The Garvin Gate Blues Festival is an annual two-day arts and music festival featuring local and national performers and is considered to be one of the most prestigious blues festivals between Chicago and Mississippi.

This free event is presented by The Garvin Gate Association and is sponsored by Louisville Metro Government District 6 Councilman David James. Proceeds from sponsorships and vendor sales benefit the Garvin Gate Neighborhood and the Old Louisville Preservation District.

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):
Sound Systems - LP Productions



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

Proceeds from sponsorships and vendor sales benefit The Garvin Gate Neighborhood Association and the Old Louisville Preservation District. The 2013 festival also benefited Wellspring, who promote mental health recovery with housing and client-centered individualized care for over 600 adults a year. The 2014 benefited the Council on Developmental Disabilities. The 2015 Festival benefited the Kling Center for Senior Citizens. The beneficiary of the 2016 festival. was A Recipe to End Hunger. A Recipe to End Hunger will also be th recipient of the 2017 and 2018 festival as well as Family and Children's Services. Funds from the festival are use to help pay for the neighborhoods period lighting and upkeep of the gate at Garvin and Oak. This years funds will be go to support causes other associations within Old Louisville such as Old Louisville's SpringFest, Old Louisville Tree Planting, Old Louisville Live, etc.

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

Reimbursements should not be made before application date unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

With the support of the Garvin Gate Neighborhood Association, the Garvin Gate Blues Festival benefits the Old Louisville community and Metro Louisville and the quality of life in our city. Proceeds from the festival help to preserve and improve our Old Louisville neighborhood. The festival is run by volunteers.

The Garvin Gate Blues Festival is an annual two-day arts and music festival featuring local and national performers and is considered to be one of the most prestigious blues festivals between Chicago and Mississippi.

The festival's crafts area is set among a block of 1880-90s Victorian homes on the quiet tree-lined Garvin Place cul-de-sac, with vendors selling jewelry, pottery, paintings, art, and a host of artisan oddities. Our booths are open late, which helps make this festival a very unique Old Louisville event.

A celebration of both the blues and the arts, this festival attracts a multi-racial, intergenerational audience.

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

This is a collaborative effort between the Garvin Gate neighborhood and Metro Government (6th District Councilman David James). The festival is also supported by other Old Louisville Neighborhoods - St. James, The Third Street Association, South 4th Street Association. The festival is also supported by the Old Louisville Neighborhood Council and Louisville's Convention and Visitor's Bureau.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
A: Personnel Costs including Benefits			
B: Rent/Utilities			
C: Office Supplies			
D: Telephone			
E: In-town Travel			
F: Client Assistance (See Detailed List on Page 8)			
G: Professional Service Contracts			
H: Program Materials			
I: Community Events & Festivals (See Detailed List on Page 8)	\$5000	\$43,352	\$48,352
J: Machinery & Equipment			
K: Capital Project			
L: Other Expenses (See Detailed List on Page 8)			
*TOTAL PROGRAM/PROJECT FUNDS	\$5000	\$43,352	\$48,352
% of Program Budget	10.3 %	89.7 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	0
United Way	0
Private Contributions (do not include individual donor names)	\$ 43,352
Fees Collected from Program Participants	0
Other (please specify)	0
Total Revenue for Columns 2 Expenses **	\$ 43,352

*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

**Must equal or exceed total in column 2.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail for Client Assistance, Community Events & Festivals or Other Expenses shown on Page 7 (circle one and use multiple sheets if necessary)	Column 1	Column 2	Column (1 + 2)=3
	Proposed Metro Funds	Non- Metro Funds	Total Funds
See Attached *			
Total			

2019 Non-Metro Funds

Expense	2019
Music	15900
George Schureck Paradise Electric	1950
Moon Toilets	1170
Barricades Highway Safety	340
Posters	243.94
Permits	150
Tee Shirts	1200
Hotel	2205.1
Stage Security	450
Police Security	2900
Festival Insurance	698.26
WFPK	552.5
Trash Cans and Liners	106
Charity Donation	1500
Lauren Hendricks, Marketing	300
Brochures for St. James Art Show	120
Meter Bagging	60
New Stage Banners	596
New2Lou	200
Towels for Bands	50
CD	3100
Vendor Permits	800
Street closed signs	400
LEO	350
Blues in the School	1102.23
Dumpster	595
Advertising	1500
Beacon House	\$320
Secura COI	26.88
Facebook Ads	
Web Design	65.76
	1000
Total Expense Est.	\$43,352

HR

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
NA		
<i>Total Value of In-Kind</i> (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)		

*** DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK**

Agency Fiscal Year Start Date: January 1, 2019

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 7 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked and the funds will not be disbursed to our organization.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

Standard Certifications

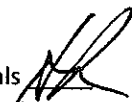
1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

SECTION 8 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:	<i>Howard Rosenberg</i>	Date:	8/13/2019
Legal Signatory: (please print):	Howard Rosenberg	Title:	Chair
Phone:	502-896-9833/502-445-4193	Extension:	
Email:	hrosenberg@twc.com		



2019 Overall Budget

Music	15,900
Sound System	8,500
George Schureck Paradise Electric	1950
Moon Toilets	1170
Barricades Highway Safety	340
Posters	243.94
Permits	150
Tee Shirts	1200
Hotel	2205.1
Stage Security	450
Police Security	2900
Festival Insurance	698.26
WFPK	552.5
Trash Cans and Liners	106
Charity Donation	1500
Lauren Hendricks, Marketing	300
Brochures for St. James Art Show	120
Meter Bagging	60
New Stage Banners	596
New2Lou	200
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CD	3100
Vendor Permits	800
Street closed signs	400
LEO	350
Blues in the School	1102.23
Dumpster	595
Advertising	1500
Beacon House	\$320
Secura COI	26.88
Facebook Ads	65.76
Web	1000
Total:	\$48,352

TK

2019 Metro Funds

Sound System – LP Productions

\$5000

HR

Garvin Gate Association, Inc.

PROFIT AND LOSS January 1 - May 15, 2019

	TOTAL
Income	
Blues Fest Income	
BF-Food and Beverage Sales	0.00
BF-Sponsorships	437.05
Total Blues Fest Income	1,200.00
Membership Dues	1,637.05
Total Income	575.00
GROSS PROFIT	\$2,212.05
	\$2,212.05
Expenses	
Blues Fest Expenses	
BF-Design	0.00
BF-Permits	1,752.60
BF-Printing	140.00
Total Blues Fest Expenses	4.77
Donations	1,897.37
Meals and Entertainment	2,750.00
OLNC Dues	25.00
Postage / Admin	25.00
Professional Fees	170.76
Street Lights	300.00
Water meter	543.88
Total Expenses	146.23
NET OPERATING INCOME	\$5,858.24
NET INCOME	\$-3,646.19
	\$-3,646.19

Garvin Gate Association, Inc.

BALANCE SHEET

As of May 15, 2019

ASSETS	TOTAL
Current Assets	
Bank Accounts	
Cash on hand	150.52
PNC-GGNA	28,241.55
Total Bank Accounts	\$28,392.07
Accounts Receivable	
Accounts Receivable	0.00
Total Accounts Receivable	\$0.00
Other Current Assets	
Cash On-Hand	0.00
Notes receivable	0.00
Square	0.00
Undeposited Funds	0.00
Total Other Current Assets	\$0.00
Total Current Assets	\$28,392.07
TOTAL ASSETS	\$28,392.07
LIABILITIES AND EQUITY	
Liabilities	
Current Liabilities	
Other Current Liabilities	
Sales Tax Payable	0.00
Total Other Current Liabilities	\$0.00
Total Current Liabilities	\$0.00
Total Liabilities	\$0.00
Equity	
Opening Balance Equity	41,372.65
Unrestricted Net Assets	-9,334.39
Net Income	-3,646.19
Total Equity	\$28,392.07
TOTAL LIABILITIES AND EQUITY	\$28,392.07

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

0277694
Alison Lundergan Grimes
KY Secretary of State
Received and Filed
4/18/2019 12:13:15 PM
Fee receipt: \$15.00

NARP

Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

Annual Report
Online Filing

ARP

Company: GARVIN GATE ASSOCIATION, INC.
Company ID: 0277694
State of origin: Kentucky
Formation date: 9/25/1990 12:00:00 AM
Date filed: 4/18/2019 12:13:15 PM
Fee: \$15.00

Principal Office

1236 GARVIN PLACE
LOUISVILLE, KY 40203

Registered Agent Name/Address

JONATHAN BEVAN
1236 GARVIN PLACE
LOUISVILLE, KY 40203

Current Officers

President	Luanne Maguire	1220 S 6th St, Louisville, KY 40203
Secretary	Ty Ryavec	1222 Garvin Place, Louisville, KY 40203
Treasurer	Jonathan Bevan	1236 Garvin Place, Louisville, KY 40203
Vice President	Joan Williams	1213 Garvin Place, Louisville, KY 40203

Directors

Director	Jonathan Bevan	1236 Garvin Place, Louisville, KY 40203
Director	Howard Rosenberg	1202 S. Sixth St., Louisville, KY 40203
Director	Kevin Carman	1214 Garvin Place, Louisville KY 40203

County:	Jefferson
Business type:	Other

Signatures

Signature	JONATHAN BEVAN
Title	Treasurer

HA

INTERNAL REVENUE SERVICE
P.O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date:

DEC 09 2016

GARVIN GATE ASSOCIATION INC
1210 GARVIN PL
LOUISVILLE, KY 40203

Employer Identification Number:
61-1148800
DLN:
17053195311046
Contact Person:
DIANE M GENTRY ID# 31361
Contact Telephone Number:
(877) 829-5500
Accounting Period Ending:
December 31
Public Charity Status:
509(a)(2)
Form 990/990-EZ/990-N Required:
Yes
Effective Date of Exemption:
July 11, 2016
Contribution Deductibility:
Yes
Addendum Applies:
Yes

Dear Applicant:

We're pleased to tell you we determined you're exempt from federal income tax under Internal Revenue Code (IRC) Section 501(c)(3). Donors can deduct contributions they make to you under IRC Section 170. You're also qualified to receive tax deductible bequests, devises, transfers or gifts under Section 2055, 2106, or 2522. This letter could help resolve questions on your exempt status. Please keep it for your records.

Organizations exempt under IRC Section 501(c)(3) are further classified as either public charities or private foundations. We determined you're a public charity under the IRC Section listed at the top of this letter.

If we indicated at the top of this letter that you're required to file Form 990/990-EZ/990-N, our records show you're required to file an annual information return (Form 990 or Form 990-EZ) or electronic notice (Form 990-N, the e-Postcard). If you don't file a required return or notice for three consecutive years, your exempt status will be automatically revoked.

If we indicated at the top of this letter that an addendum applies, the enclosed addendum is an integral part of this letter.

For important information about your responsibilities as a tax-exempt organization, go to www.irs.gov/charities. Enter "4221-PC" in the search bar to view Publication 4221-PC, Compliance Guide for 501(c)(3) Public Charities, which describes your recordkeeping, reporting, and disclosure requirements.

Letter 947

1/10/17

INTERNAL REVENUE SERVICE
DISTRICT DIRECTOR
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date:

AUG 31 1992

GARVIN GATE ASSOCIATION INC
1208 SOUTH SIXTH ST
LOUISVILLE, KY 40203

Employer Identification Number:

61-1148800

Contact Person:

KIM NGUYEN

Contact Telephone Number:

(513) 684-3578

Internal Revenue Code

Section 501(c)(4)

Accounting Period Ending:

December 31

Form 990 Required:

Yes

Addendum Applies:

No

Dear Applicant:

Based on information supplied, and assuming your operations will be as stated in your application for recognition of exemption, we have determined you are exempt from Federal income tax under section 501(a) of the Internal Revenue Code as an organization described in the section indicated above.

Unless specifically excepted, you are liable for taxes under the Federal Insurance Contributions Act (social security taxes) for each employee to whom you pay \$100 or more during a calendar year. And, unless excepted, you are also liable for tax under the Federal Unemployment Tax Act for each employee to whom you pay \$50 or more during a calendar quarter if, during the current or preceding calendar year, you had one or more employees at any time in each of 20 calendar weeks or you paid wages of \$1,500 or more in any calendar quarter. If you have any questions about excise, employment, or other Federal taxes, please address them to this office.

If your sources of support, or your purposes, character, or method of operation change, please let us know so we can consider the effect of the change on your exempt status. In the case of an amendment to your organizational document or bylaws, please send us a copy of the amended document or bylaws. Also, you should inform us of all changes in your name or address.

In the heading of this letter we have indicated whether you must file Form 990, Return of Organization Exempt From Income Tax. If Yes is indicated, you are required to file Form 990 only if your gross receipts each year are normally more than \$25,000. However, if you receive a Form 990 package in the mail, please file the return even if you do not exceed the gross receipts test. If you are not required to file, simply attach the label provided, check the box in the heading to indicate that your annual gross receipts are normally \$25,000 or less, and sign the return.

If a return is required, it must be filed by the 15th day of the fifth month after the end of your annual accounting period. A penalty of \$10 a day is charged when a return is filed late, unless there is reasonable cause for

Letter 948(DO/CG)

GARVIN GATE ASSOCIATION INC

the delay. However, the maximum penalty charged cannot exceed \$5,000 or 5 percent of your gross receipts for the year, whichever is less. This penalty may also be charged if a return is not complete, so please be sure your return is complete before you file it.

You are not required to file Federal income tax returns unless you are subject to the tax on unrelated business income under section 511 of the Code. If you are subject to this tax, you must file an income tax return on Form 990-T, Exempt Organization Business Income Tax Return. In this letter we are not determining whether any of your present or proposed activities are unrelated trade or business as defined in section 513 of the Code.

You need an employer identification number even if you have no employees. If an employer identification number was not entered on your application, a number will be assigned to you and you will be advised of it. Please use that number on all returns you file and in all correspondence with the Internal Revenue Service.

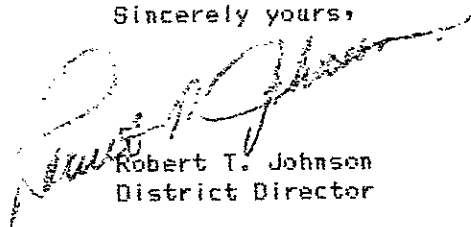
Donors may not deduct contributions to you because you are not an organization described in section 170(c) of the Code. Under section 6113, any fundraising solicitation you make must include an express statement (in a conspicuous and easily recognizable format) that contributions or gifts to you are not deductible as charitable contributions for Federal income tax purposes. This provision does not apply, however, if your annual gross receipts are normally \$100,000 or less, or if your solicitations are made to no more than ten persons during a calendar year. The law provides penalties for failure to comply with this requirement, unless failure is due to reasonable cause.

If we have indicated in the heading of this letter that an addendum applies, the enclosed addendum is an integral part of this letter.

Because this letter could help resolve any questions about your exempt status, you should keep it in your permanent records.

If you have any questions, please contact the person whose name and telephone number are shown in the heading of this letter.

Sincerely yours,



Robert T. Johnson
District Director

ARTICLES OF INCORPORATION
OF
GARVIN GATE ASSOCIATION, INC.

07/21/90

WE, THE UNDERSIGNED, having associated for the purpose of forming a non-profit, non-stock corporation, under and pursuant to the laws of the Commonwealth of Kentucky, and more particularly Chapter 273, Kentucky Revised Statutes (KRS), hereby certify as follows:

ARTICLE I

The name of the Corporation shall be "Garvin Gate Association, Inc."

ARTICLE II

The duration of the Corporation shall be perpetual.

ARTICLE III

The address of the registered office of the corporation is:
1208 South Sixth Street, Louisville, Kentucky 40203.

The name and address of the initial registered agent for service of process is located at such address is:

John Sistarenik
1208 South Sixth Street
Louisville, Kentucky 40203

The principal office of the Corporation is located at:

1208 South Sixth Street
Louisville, Kentucky 40203

Other places of business in said city or elsewhere may be designated by resolution of the Board of Directors.

MSL

ARTICLE IV

The Corporation is organized and shall be operated exclusively for the promotion of social welfare within the meaning of Section 501(c)(4) of the Internal Revenue Code of 1954 (or corresponding provisions of any later Federal tax laws), including for such purposes the making of distributions to organizations and individuals for the purpose of engaging in activity falling within the purposes of the Corporation and permitted for an organization exempt under said Section 501(c)(4).

The purposes of the Corporation shall be to establish and maintain a neighborhood association in Louisville, Kentucky, in the Old Louisville area of said city with particular reference to that portion thereof bounded on the north by Oak Street, on the east by Fourth Street, on the south by Ormsby Avenue and on the west by Seventh Street, and for the promotion of the harmony, health and happiness of the inhabitants of the neighborhood including the preservation, improvement and beautification of the public and private buildings and facilities therein; and to that end to adopt by-laws and other rules and regulations appropriate to the objects and purposes aforesaid, and including but not limited to, the establishment of dues and assessments for membership, the promotion of fund raising events for the benefit of the corporation, and the right to take title to, hold, manage, and dispose of property, real and personal, both as principal or agent, including the right to incur indebtedness and to enter into any and all types of contracts consistent with the purposes of the corporation.

ARTICLE V

The Corporation shall be irrevocably dedicated to and operated exclusively for, non-profit purposes. No part of the net earnings of the Corporation shall inure to the benefit of or be distributable to its members, directors, officers, or other private persons, except that the Corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article IV hereof.

112

ARTICLE VI

In carrying out the corporate purposes described in Article IV, the corporation shall have all the powers granted by the laws of the State of Kentucky, including in particular those listed in KRS 273.171 Kentucky Statutes, except as follows and as otherwise stated in these Articles.

Notwithstanding any other provision of these Articles, the Corporation shall not carry on any other activities not permitted to be carried on by a corporation exempt from Federal income tax under Section 501(c)(4) of the Internal Revenue Code of 1954, or the corresponding provisions of any subsequent Federal tax laws.

ARTICLE VII

The names and addresses of the incorporators are:

INCORPORATORS	ADDRESS
Robert B. Laufer, Jr.	1215 Garvin Place Louisville, Kentucky 40203
John Shircliffe	1237 Garvin Place Louisville, Kentucky 40203

ARTICLE VIII

The Initial Board of Directors shall consist of three (3) Directors. The names and addresses of the members of the Initial Board of Directors are:

Jean Crowe	605 West Ormsby Avenue Louisville, Kentucky 40203
Robert B. Laufer, Jr.	1215 Garvin Place Louisville, Kentucky 40203
John Shircliffe	1237 Garvin Place Louisville, Kentucky 40203

1052

ARTICLE IX

The initial By-Laws shall be adopted by the Initial Board of Directors. Thereafter, the corporation shall be governed by the By-Laws.

Any director may be removed for cause pursuant to By-Laws provisions regarding grounds and procedures for such removal.

ARTICLE X

a) The directors, officers, employees and members of this Corporation shall not be held personally liable for any debt or obligation of the Corporation solely because of their position in the Corporation. The Corporation may make any indemnification permitted by law as authorized by its Articles of Incorporation, its By-Laws, or by a resolution adopted by the Corporation's Board of Directors

b) Any person serving on the Board of Directors of this Corporation shall not be held personally liable for monetary damages resulting from the breach of his/her duties as a director unless such act, omission or breach:

- 1) concerned or concerns a transaction in which the director's personal financial interest was or in conflict with the financial interests of the Corporation;
- 2) was not in good faith or involved or involves intentional misconduct on the part of the director;
- 3) was known by the director to be a violation of law; or
- 4) resulted in an improper personal benefit to the director.

This paragraph b) applies only to acts or omissions or breaches of duty occurring after July 15, 1988.

[Handwritten mark]

ARTICLE XI

Any director or officer or former director or officer of the Corporation, may be indemnified by the Corporation against any expenses actually and reasonably incurred by him/her in connection with the defense of any action, suit or proceeding, civil or criminal, in which s/he is made a party by reason of being or having been such director or officer, except in relation to matters as to which s/he shall be adjudged in such action, suit or proceeding to be liable for negligence or misconduct in the performance of duty to the Corporation. The Corporation may make any other indemnification permitted by law and authorized by its Articles of Incorporation, or its By-Laws or a resolution adopted after notice to members entitled to vote.

ARTICLE XII

In the event of dissolution of the Corporation, the Board of Directors shall, after paying or making provision for the payment of all liabilities of the Corporation, dispose of all assets of the Corporation exclusively for the purposes of the Corporation, in such manner, or to such organizations organized and operated exclusively for the promotion of social welfare as shall at the time qualify as an exempt organization under Section 501(c)(4) or 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws), as the Board of Directors shall determine.

The remaining assets, if any, shall be disposed of by the Circuit Court of the county in which the principal office for the Corporation is then located, exclusively for such purposes or to such organizations as said Court shall determine are organized and operated exclusively for such purposes.

11/10/10

ARTICLE XIII

Amendments to these Articles shall be made pursuant to the provisions of KRS 273.263(2) (or corresponding provision of any later State statute).

IN TESTIMONY WHEREOF, witness the signatures of the incorporators of the Corporation, this 21 day of SEPTEMBER, 1990.

John S. Sitarénik
Robert B. Layton, Jr.

STATE OF KENTUCKY)
)
COUNTY OF JEFFERSON)

Before me, the undersigned authorities, personally appeared and being first duly sworn, acknowledged that they were the incorporators of the aforementioned Corporation, and that they signed the foregoing Articles of Incorporation as their free act and deed.

Witness my signature and seal of office this 21st day of September, 1990.

Notary Public, State of Large, KY.
My commission expires Feb. 8, 1994

My Commission Expires: _____

This Document Prepared By:

Cari Purdie
Notary Public


John Sitarénik
John Sitarénik
1208 South Sixth Street
Louisville, Kentucky 40203

612

THE GARVIN GATE ASSOCIATION 35-00
1820 GARVIN P. O. BOX 5543
LOUISVILLE, KY 40203

21130600
197

Pay to the order of *Liberty National Bank* *September 21* 19 *72*
Eight & No/100 \$ *8.00*

 Liberty National Bank
AFC Bank Corp. of Louisville, Kentucky

John Hoff
Thomas J. Goff



Cont. 1/2/72

13/2



COMMONWEALTH OF KENTUCKY
ALISON LUNDERGAN GRIMES, SECRETARY OF STATE

Division of Business Filings Business Filings PO Box 718 Frankfort, KY 40602 (502) 564-3490 www.sos.ky.gov	Articles of Amendment (Domestic Nonprofit Corporation)	NPA
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Pursuant to the provisions of KRS 14A and KRS Chapter 273, the undersigned applies to amend articles and, for that purpose, submits the following statements:

1. The name of the corporation on record with the Office of the Secretary of State is:

Garvin Gate Association, Inc.
(The name must be identical to the name on record with the Secretary of State.)

2. The text of each amendment adopted: (See Attached)

(The following lines are crossed out with diagonal lines)

3. The date of adoption of each amendment was April 18, 2016

4. Check either a, b or c (whichever is applicable):

- a. The amendment(s) was (were) duly adopted by a quorum present at such meeting and that such amendment received at least two-thirds (2/3) of the votes which members present at such meeting or represented by proxy were entitled to cast.
- b. The amendment(s) was (were) duly adopted by consent in writing and was (were) signed by all members entitled to vote with respect thereto.
- c. The amendment(s) was (were) duly adopted by the board of directors and such amendment(s) received the vote of a majority of the directors in office since there are no members or members entitled to vote.

5. This application will be effective upon filing, unless a delayed effective date and/or time is provided. The effective date or the delayed effective date cannot be prior to the date the application is filed. The date and/or time is N/A
(Delayed effective date and/or time)

I declare under penalty of perjury under the laws of Kentucky that the forgoing is true and correct.

Chris J. Bosson
Signature of Officer or Chairman of the Board

Chris J. Bosson President 5/2/16
Printed Name Title Date

ML

**AMENDMENTS TO THE ARTICLES OF INCORPORATION OF
GARVIN GATE ASSOCIATION, INC.**

Resolution having been made and the members having been duly noticed in accordance with KRS 273.161 to 273.390, the bylaws, and all applicable law, the following amendments to the Articles of Incorporation of Garvin Gate Association, Inc., adopted on the 21st day of September, 1990, were proposed to the members at large for a vote on the 18th day of April, 2016.

The entire language in Article IV shall be removed and replaced as follows:

The Corporation is organized and shall be operated exclusively for charitable and educational purposes as described within Section 501(c) (3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws), including for such purposes the making of distributions to organizations and individuals for the purpose of engaging in activity falling within the purposes of the Corporation and permitted for an organization exempt under said Section 501(c) (3).

The purposes of the Corporation shall be to establish and maintain a neighborhood association in Louisville, Kentucky, in the Old Louisville area of said city with particular reference to that portion thereof bounded on the north by Oak Street, on the east by Fourth Street, on the south by Ormsby Avenue and on the west by Seventh Street, and for the promotion of the harmony, health and happiness of the inhabitants of the neighborhood.

The purposes of the Corporation shall be more specifically stated as follows:

1. to preserve, improve and beautify the public land, buildings and facilities in the area;
2. to unify property owners, tenants, business people and others interested in the area;
3. to encourage neighborhood improvement and betterment;
4. to promote community activities and interests of an educational and charitable nature;
5. to preserve the historic and architectural character of the neighborhood;
6. to encourage upkeep of residential and business property and to eliminate vandalism and littering;
7. to encourage the planting of trees and shrubs;
8. to encourage high quality fire and police protection and traffic law enforcement;
9. to assist in the development of better traffic design and flow;
10. to be concerned with the youth of the area;
11. to be concerned for the senior citizens of the area;

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12. to encourage reasonable and adequate zoning and zoning code enforcement;
13. to encourage friendliness and cooperative community spirit in the area and in relations with other neighborhood groups in the Old Louisville Neighborhood Council and throughout the City of Louisville;
14. to support other charitable, educational and cultural activities which advance the general welfare of the community and its people; and
15. to adopt by-laws and other rules and regulations appropriate to the objects and purposes aforesaid, and including but not limited to, the establishment of dues and assessments for membership, the promotion of fund raising events for the benefit of the corporation, and the right to take title to, hold, manage, and dispose of property, real and personal, both as principal or agent, including the right to incur indebtedness and to enter into any and all types of contracts consistent with the purposes of the corporation.

The entire language in Article VI shall be removed and replaced as follows:

In carrying out the corporate purposes described in Article IV, the corporation shall have all the powers granted by the laws of the State of Kentucky, including in particular those listed in KRS 273.171, Kentucky Statutes, except as follows and as otherwise stated in these Articles.

Notwithstanding any other provision of these Articles, the Corporation shall not carry on any other activities not permitted to be carried on by a corporation exempt from Federal income tax under Section 501 (c)(3) of the Internal Revenue Code of 1954, or the corresponding provisions of any subsequent Federal tax laws.

The entire language in Article XII shall be removed and replaced as follows:

In the event of dissolution of the Corporation, the Board of Directors shall, after paying or making provision for the payment of all liabilities of the Corporation, dispose of all assets of the Corporation exclusively for the purposes of the Corporation, in such manner, or to such organizations organized and operated exclusively for charitable or educational purposes as shall at the time qualify as an exempt organization under Section 501(c) (3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws), or to the Federal government or to a State or local government for a public purpose as the Board of Directors shall determine.

The remaining assets, if any, shall be disposed of by the Circuit Court of the county in which the principal office for the Corporation is then located,

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exclusively for such purposes or to such organizations as said Court shall determine are organized and operated exclusively for such purposes.

The foregoing are hereby adopted as Amendments to the Articles of Incorporation of Garvin Gate Association, Inc. effective immediately. A meeting of members was held on the 18th day of April 2016, where a quorum was reached, and at least 2/3 of members present elected to adopt these Amendments.

Chris J. Bosson

Christopher Bosson, President

5/2/16

Date

AK

GARVIN GATE ASSOCIATION BY-LAWS

I. PURPOSE

The members join together:

- A. For the mutual protection of our persons and property.
- B. To work for the continued improvement and proper maintenance of all property within our area.
- C. In order to preserve the unique character of our neighborhood as a livable and attractive part of Old Louisville.
- D. To work with other organizations, private and public, that have as their aim the improvement and preservation of Old Louisville.

II. NAME

The organization shall be known as the:

GARVIN GATE ASSOCIATION

III. AREA OF THE ASSOCIATION

The boundaries of the association shall be Oak Street on the North, Ormsby on the South, Fourth Street on the East, and Seventh Street on the West.

IV. MEMBERSHIP

- A. Current and previous residents **OVER THE AGE OF 18**, property owners, and businesses in the Association area shall be eligible for membership.
- B. Residents and businesses outside the Association area are eligible for membership by invitation of the members.
- C. **A MEMBER IS ONE WHO HAS MET THE ELIGIBILITY REQUIREMENTS OUTLINED ABOVE AND WHO HAS PAID YEARLY DUES.**
- D. **THE AMOUNT OF THE** yearly dues shall be determined by a vote of the members.
- E. **ONLY ONE DUES PAYMENT PER HOUSEHOLD IS NECESSARY FOR ALL ELIGIBLE HOUSEHOLD MEMBERS TO BE CONSIDERED INDIVIDUAL, VOTING MEMBERS.**

V. OFFICERS

- A. Officers shall be elected from the Membership for one year terms
- B. Elections shall be held at the February meeting.
- C. Terms shall begin immediately upon election.
- D. Officers shall be the Chair, Vice-Chair, Secretary, and Treasurer.

VI. DUTIES OF THE OFFICERS

- A. CHAIR
 - 1. The Chair shall be the chief executive officer of the Association and shall make committee appointments and shall initiate such actions as will further the aims of the Association. These actions shall, however, be subject to the approval of the Membership
 - 2. If the Chair resigns, moves from the association area, or is incapacitated, the Vice-Chair shall assume the office of Chair.

- B. VICE-CHAIR will assist the Chair and will preside in the absence of the Chair.
- C. SECRETARY
 - 1. The Secretary shall record the minutes of the Association and shall keep in his/her possession all Association records.
 - 2. The Secretary shall send and receive all correspondence of the Association.
- D. THE TREASURER shall maintain all financial records and shall receive and disburse all Association funds in accordance with the rules adopted by the Membership, and shall file all tax documents.

VII. Executive Committee

- A. The Executive Committee shall be comprised of the officers and the chair of the Garvin Gate Blues Festival.
- B. The Executive Committee shall act on behalf of the membership when necessary.
- C. The Executive Committee shall have the authority to expend up to 500 dollars when necessary.

VIII. VOTING

- A. **MEMBERS WHO RESIDE WITHIN OR OWN PROPERTY OR BUSINESSES WITHIN THE ASSOCIATION'S BOUNDARIES shall have one vote.**
- B. **MEMBERS WHO RESIDE WITHIN AND ALSO OWN PROPERTY OR BUSINESSES WITHIN THE BOUNDARIES OF THE ASSOCIATION SHALL NOT RECEIVE ADDITIONAL VOTES.**
- C. **PROPERTY AND BUSINESS OWNERS MAY DESIGNATE A REPRESENTATIVE TO VOTE AT ASSOCIATION MEETINGS.**
- D. **ABSENTEE VOTES FOR THE ELECTION OF OFFICERS SHALL BE ACCEPTED IF CAST AT LEAST 7 DAYS BEFORE A MEETING.**

IX. MEETINGS

- A. The Association shall meet at least quarterly.
- B. The Chair may call special meetings by specific notice to the Membership.
- C. The Chair shall call a special meeting at the written request of at least twenty percent (20%) of the Membership.

X. PROCEEDINGS

- A. The meetings of the Association shall be governed by Robert's Rules of Orders. However, in case of conflict, the By-Laws of the Association shall take precedence. The Chair may designate a parliamentarian.
- B. QUORUM
 - 1. A quorum for normal business shall consist of thirty percent (30%) of the Membership.
 - 2. A quorum for purpose of voting on amendments to the Association's By-Laws shall be sixty percent (60%) of the Membership.

XI. AMENDMENTS

- A. Amendments to the by-Laws to the Association shall be by vote in person of two-thirds of the Members present.
- B. Consideration of amendments shall be preceded by specific written notice from the Chair to the Membership at least fourteen (14) days prior to the vote.
- C. **CURRENT MEMBERS, AS OF APRIL 18, 2016, WHO RESIDE OUTSIDE OF THE BOUNDARIES OF THE ASSOCIATIONS SHALL CONTINUE TO HAVE THE RIGHT TO VOTE.**

The articles of the original By-Laws of the Association were adopted at a meeting September 12, 1988.

The articles of the By-Laws were revised and adopted at a meeting on October 20, 2014.

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Request for Taxpayer Identification Number and Certification

Give Form to the
 requester. Do not
 send to the IRS.

▶ Go to www.irs.gov/FormW9 for instructions and the latest information.

Print or type.
 See Specific Instructions on page 3.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank. Garvin Gate Association, Inc.	
2 Business name/disregarded entity name, if different from above	
3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes. <input type="checkbox"/> Individual/sole proprietor or single-member LLC <input checked="" type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership): ▶ _____ Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner. <input type="checkbox"/> Other (see instructions); ▶ _____	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <small>(Applies to accounts maintained outside the U.S.)</small>
5 Address (number, street, and apt. or suite no.) See instructions. 1236 Garvin Place	Requester's name and address (optional)
6 City, state, and ZIP code Louisville KY 40203	
7 List account number(s) here (optional)	

Part II Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number													
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6	7	-	1	1	4	8	8	0	0				

Part III Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person ▶	Date ▶ 8-12-18
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
 - Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
 - Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
 - Form 1099-S (proceeds from real estate transactions)
 - Form 1099-K (merchant card and third party network transactions)
 - Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
 - Form 1099-C (canceled debt)
 - Form 1099-A (acquisition or abandonment of secured property)
- Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.

Form **990-EZ**

Short Form Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

OMB No. 1545-1150

2018

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990EZ for instructions and the latest information.

A For the 2018 calendar year, or tax year beginning _____, and ending _____

<p>B Check if applicable:</p> <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final return/terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	<p>C Name of organization GARVIN GATE ASSOCIATION, INC</p> <p>Number and street (or P.O. box, if mail is not delivered to street address) Room/suite 1208 SOUTH 6TH ST</p> <p>City or town, state or province, country, and ZIP or foreign postal code LOUISVILLE KY 40203</p>	<p>D Employer identification number 61-1148800</p> <p>E Telephone number 502-413-5721</p> <p>F Group Exemption Number ▶</p>
--	--	--

G Accounting Method: Cash Accrual Other (specify) ▶ _____

H Check if the organization is not required to attach Schedule B (Form 990, 990-EZ, or 990-PF).

I Website: **WWW.GARVINGATEBLUESFESTIVAL.COM**

J Tax-exempt status (check only one) — 501(c)(3) 501(c)() (insert no.) 4947(a)(1) or 527

K Form of organization: Corporation Trust Association Other _____

L Add lines 5b, 6c, and 7b to line 9 to determine gross receipts. If gross receipts are \$200,000 or more, or if total assets (Part II, column (B)) are \$500,000 or more, file Form 990 instead of Form 990-EZ ▶ \$ **77,795**

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (see the instructions for Part I)

Check if the organization used Schedule O to respond to any question in this Part I

	Description	Code	Amount
Revenue	1 Contributions, gifts, grants, and similar amounts received	1	72,264
	2 Program service revenue including government fees and contracts	2	5,531
	3 Membership dues and assessments	3	
	4 Investment income	4	
	5a Gross amount from sale of assets other than inventory	5a	
	b Less: cost or other basis and sales expenses	5b	
	c Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a)	5c	
	6 Gaming and fundraising events:		
	a Gross income from gaming (attach Schedule G if greater than \$15,000)	6a	
	b Gross income from fundraising events (not including \$ _____ of contributions from fundraising events reported on line 1) (attach Schedule G if the sum of such gross income and contributions exceeds \$15,000)	6b	
c Less: direct expenses from gaming and fundraising events	6c		
d Net income or (loss) from gaming and fundraising events (add lines 6a and 6b and subtract line 6c)	6d		
7a Gross sales of inventory, less returns and allowances	7a		
b Less: cost of goods sold	7b		
c Gross profit or (loss) from sales of inventory (Subtract line 7b from line 7a)	7c		
8 Other revenue (describe in Schedule O)	8		
9 Total revenue. Add lines 1, 2, 3, 4, 5c, 6d, 7c, and 8	9	77,795	
Expenses	10 Grants and similar amounts paid (list in Schedule O)	10	4,652
	11 Benefits paid to or for members	11	
	12 Salaries, other compensation, and employee benefits	12	
	13 Professional fees and other payments to independent contractors	13	38,920
	14 Occupancy, rent, utilities, and maintenance	14	
	15 Printing, publications, postage, and shipping	15	685
	16 Other expenses (describe in Schedule O)	16	28,333
	17 Total expenses. Add lines 10 through 16	17	72,590
Net Assets	18 Excess or (deficit) for the year (Subtract line 17 from line 9)	18	5,205
	19 Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)	19	26,857
	20 Other changes in net assets or fund balances (explain in Schedule O)	20	-24
	21 Net assets or fund balances at end of year. Combine lines 18 through 20	21	32,038

For Paperwork Reduction Act Notice, see the separate instructions.

Form **990-EZ** (2018)

Part V Other Information (Note the Schedule A and personal benefit contract statement requirements in the instructions for Part V.) Check if the organization used Schedule O to respond to any question in this Part V

		Yes	No
33	Did the organization engage in any significant activity not previously reported to the IRS? If "Yes," provide a detailed description of each activity in Schedule O		X
34	Were any significant changes made to the organizing or governing documents? If "Yes," attach a conformed copy of the amended documents if they reflect a change to the organization's name. Otherwise, explain the change on Schedule O. See instructions		X
35a	Did the organization have unrelated business gross income of \$1,000 or more during the year from business activities (such as those reported on lines 2, 6a, and 7a, among others)?		X
35b	If "Yes" to line 35a, has the organization filed a Form 990-T for the year? If "No," provide an explanation in Schedule O		
35c	Was the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization subject to section 6033(e) notice, reporting, and proxy tax requirements during the year? If "Yes," complete Schedule C, Part III		X
36	Did the organization undergo a liquidation, dissolution, termination, or significant disposition of net assets during the year? If "Yes," complete applicable parts of Schedule N		X
37a	Enter amount of political expenditures, direct or indirect, as described in the instructions <input type="text" value="37a"/>		
37b	Did the organization file Form 1120-POL for this year?		X
38a	Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still outstanding at the end of the tax year covered by this return?		X
38b	If "Yes," complete Schedule L, Part II and enter the total amount involved <input type="text" value="38b"/>		
39	Section 501(c)(7) organizations. Enter:		
39a	a Initiation fees and capital contributions included on line 9 <input type="text" value="39a"/>		
39b	b Gross receipts, included on line 9, for public use of club facilities <input type="text" value="39b"/>		
40a	Section 501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under: section 4911 <input type="text"/> ; section 4912 <input type="text"/> ; section 4955 <input type="text"/>		
40b	b Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year, or did it engage in an excess benefit transaction in a prior year that has not been reported on any of its prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
40c	c Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 <input type="text"/>		
40d	d Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Enter amount of tax on line 40c reimbursed by the organization <input type="text"/>		
40e	e All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T		X
41	List the states with which a copy of this return is filed <input type="text" value="None"/>		
42a	The organization's books are in care of <input type="text" value="JONATHAN BEVAN"/> Telephone no. <input type="text" value="502-413-5721"/> 1237 GARVIN GATE Located at <input type="text" value="LOUISVILLE"/> KY ZIP + 4 <input type="text" value="40203-3005"/>		
42b	b At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? If "Yes," enter the name of the foreign country <input type="text"/>		X
42c	See the instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). c At any time during the calendar year, did the organization maintain an office outside the United States? If "Yes," enter the name of the foreign country <input type="text"/>		X
43	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of Form 1041 -- Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the tax year <input type="text" value="43"/>		
44a	Did the organization maintain any donor advised funds during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ		X
44b	b Did the organization operate one or more hospital facilities during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ		X
44c	c Did the organization receive any payments for indoor tanning services during the year?		X
44d	d If "Yes" to line 44c, has the organization filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O		
45a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
45b	b Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," Form 990 and Schedule R may need to be completed instead of Form 990-EZ. See instructions		X

		Yes	No
46	Did the organization engage, directly or indirectly, in political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I		X

Part VI Section 501(c)(3) Organizations Only

All section 501(c)(3) organizations must answer questions 47-49b and 52, and complete the tables for lines 50 and 51.

Check if the organization used Schedule O to respond to any question in this Part VI

		Yes	No
47	Did the organization engage in lobbying activities or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II		X
48	Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E		X
49a	Did the organization make any transfers to an exempt non-charitable related organization?		X
49b	If "Yes," was the related organization a section 527 organization?		

50 Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees, and key employees) who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and title of each employee	(b) Average hours per week devoted to position	(c) Reportable compensation (Forms W-2/1099-MISC)	(d) Health benefits, contributions to employee benefit plans, and deferred compensation	(e) Estimated amount of other compensation
None				

f Total number of other employees paid over \$100,000 **0**

51 Complete this table for the organization's five highest compensated independent contractors who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and business address of each independent contractor	(b) Type of service	(c) Compensation
None		

d Total number of other independent contractors each receiving over \$100,000 **0**

52 Did the organization complete Schedule A? Note: All section 501(c)(3) organizations must attach a completed Schedule A Yes No

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here: Signature of officer **JONATHAN BEVAN** Date **TREASURER**
Type or print name and title

Paid Preparer Use Only: Print/Type preparer's name **Mary C Morrow** Preparer's signature _____ Date **03/20/19** Check if self-employed PTIN **P00769897**
Firm's name **Mary Morrow & Associates** Firm's EIN **73-1688464**
Firm's address **1347 S 3rd St Ste 304 Louisville, KY 40208-3300** Phone no. **502-419-8025**

May the IRS discuss this return with the preparer shown above? See instructions Yes No

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2018

Open to Public Inspection

Name of the organization

GARVIN GATE ASSOCIATION, INC

Employer identification number

61-1148800

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
- 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ).)
- 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
- 4 A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state:
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 8 A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 9 An agricultural research organization described in section 170(b)(1)(A)(ix) operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university:
- 10 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
- 11 An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 12 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
 - a **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B.
 - b **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV, Sections A and C.
 - c **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E.
 - d **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). You must complete Part IV, Sections A and D, and Part V.
 - e Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
 - f Enter the number of supported organizations
 - g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1–10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
(A)						
(B)						
(C)						
(D)						
(E)						
Total						

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
 (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) 2018	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")			66,392	73,301	72,264	211,957
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3			66,392	73,301	72,264	211,957
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						211,957

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) 2018	(f) Total
7 Amounts from line 4			66,392	73,301	72,264	211,957
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources			120			120
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)					5,531	5,531
11 Total support. Add lines 7 through 10						217,608
12 Gross receipts from related activities, etc. (see instructions)					12	26,068
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

14 Public support percentage for 2018 (line 6, column (f) divided by line 11, column (f))	14	97.40%
15 Public support percentage from 2017 Schedule A, Part II, line 14	15	99.91%
16a 33 1/3% support test—2018. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization <input checked="" type="checkbox"/>		
b 33 1/3% support test—2017. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
17a 10%-facts-and-circumstances test—2018. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
b 10%-facts-and-circumstances test—2017. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions <input type="checkbox"/>		

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) 2018	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) 2018	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

Section C. Computation of Public Support Percentage

15 Public support percentage for 2018 (line 8, column (f), divided by line 13, column (f))	15	%
16 Public support percentage from 2017 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2018 (line 10c, column (f), divided by line 13, column (f))	17	%
18 Investment income percentage from 2017 Schedule A, Part III, line 17	18	%

19a **33 1/3% support tests—2018.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

b **33 1/3% support tests—2017.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i>		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer 10b below.</i>		
b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>		

Part IV Supporting Organizations (continued)

		Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?		
a	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
11a			
b	A family member of a person described in (a) above?		
11b			
c	A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.		
11c			

Section B. Type I Supporting Organizations

		Yes	No
1	Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
1			
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.		
2			

Section C. Type II Supporting Organizations

		Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		
1			

Section D. All Type III Supporting Organizations

		Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
1			
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).		
2			
3	By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.		
3			

Section E. Type III Functionally-Integrated Supporting Organizations

1	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).		
a	<input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
b	<input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
c	<input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).		
2	Activities Test. Answer (a) and (b) below.		
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.		
2a			
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.		
2b			
3	Parent of Supported Organizations. Answer (a) and (b) below.		
a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.		
3a			
b	Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.		
3b			

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1 Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3.	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8	

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	Total (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI):		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d.	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035.	6	
7	Recoveries of prior-year distributions	7	
8	Minimum Asset Amount (add line 7 to line 6)	8	

Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1.	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3.	4	
5	Income tax imposed in prior year	5	
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).	6	

7 Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions		Current Year		
1	Amounts paid to supported organizations to accomplish exempt purposes			
2	Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity			
3	Administrative expenses paid to accomplish exempt purposes of supported organizations			
4	Amounts paid to acquire exempt-use assets			
5	Qualified set-aside amounts (prior IRS approval required)			
6	Other distributions (describe in Part VI). See instructions.			
7	Total annual distributions. Add lines 1 through 6.			
8	Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.			
9	Distributable amount for 2018 from Section C, line 6			
10	Line 8 amount divided by line 9 amount			
Section E - Distribution Allocations (see instructions)		(i) Excess Distributions	(ii) Underdistributions Pre-2018	(iii) Distributable Amount for 2018
1	Distributable amount for 2018 from Section C, line 6			
2	Underdistributions, if any, for years prior to 2018 (reasonable cause required-explain in Part VI). See instructions.			
3	Excess distributions carryover, if any, to 2018			
a	From 2013			
b	From 2014			
c	From 2015			
d	From 2016			
e	From 2017			
f	Total of lines 3a through e			
g	Applied to underdistributions of prior years			
h	Applied to 2018 distributable amount			
i	Carryover from 2013 not applied (see instructions)			
j	Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4	Distributions for 2018 from Section D, line 7: \$			
a	Applied to underdistributions of prior years			
b	Applied to 2018 distributable amount			
c	Remainder. Subtract lines 4a and 4b from 4.			
5	Remaining underdistributions for years prior to 2018, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions.			
6	Remaining underdistributions for 2018. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions.			
7	Excess distributions carryover to 2019. Add lines 3j and 4c.			
8	Breakdown of line 7:			
a	Excess from 2014			
b	Excess from 2015			
c	Excess from 2016			
d	Excess from 2017			
e	Excess from 2018			

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2018

**Open to Public
Inspection**

Name of the organization

GARVIN GATE ASSOCIATION, INC

Employer identification number

61-1148800

Form 990-EZ, Part I, Line 10 - Payments to Affiliates

Name and Address	Purpose	Amount
		\$ 0

Form 990-EZ, Part I, Line 16 - Other Expenses

Description	Amount
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BLUES FEST SALES

Cost of Goods Sold \$ 4,300

Expenses

ADVERTISING \$ 1,864

MEALS \$ 496

INSURANCE \$ 918

BANK FEES \$ 50

STREET LIGHTS \$ 1,084

NEIGHBORHOOD IMPROVEMENTS \$ 13,065

HONORARIA \$ 32

DUES \$ 25

ELECTRIC METERS \$ 173

FACILITIES \$ 1,256

HOTELS \$ 2,206

PERMITS \$ 1,010

RENTALS \$ 1,765

MEALS \$ 89

Total \$ 28,333

Name of the organization

Employer identification number

GARVIN GATE ASSOCIATION, INC

61-1148800

Form 990-EZ, Part I, Line 20 - Other Changes in Net Assets or Fund Balances

Description	Amount
ACCOUNTS RECEIVABLE	\$ 0
PRIOR PERIOD ADJUST RECEIVABLE	\$ -24

Form 990-EZ, Part II, Line 24 - Other Assets

Description	Beg. of Year	End of Year
Pledges Receivable	\$ 60	\$ 0
VICTORIAN LOUISVILLE	\$ 0	\$ 0
Total	\$ 60	\$ 0

Form 990-EZ, Part III - Primary Exempt Purpose

A NEIGHBORHOOD ASSOCIATION IN HISTORIC OLD LOUISVILLE WHOSE PRIMARY GOALS INCLUDE:

- 1) BEAUTIFICATION EFFORTS IN STREETSAPES AND PUBLIC SPACES
- 2) NEIGHBORHOOD IMPROVEMENTS
- 3) PRESERVING THE NEIGHBORHOODS HISTORIC AND ARCHITECTURAL CHARACTER
- 4) FOSTERING A COOPERATIVE COMMUNITY SPIRIT
- 5) SUPPORTING OTHER CHARITABLE, EDUCATIONAL AND CULTURAL ACTIVITIES

Form 990-EZ, Part III, Line 28 - First Accomplishment

GARVIN GATE BLUES FESTIVAL - A TWO DAY EVENT THAT IS THE LARGEST FREE NEIGHBORHOOD STREET MUSIC FESTIVAL IN LOUISVILLE KY. MORE THAN 16,000 PEOPLE ARE ESTIMATED TO ATTEND THE FREE DISPLAY OF MUSIC AND ARTS FROM THE LOUISVILLE COMMUNITY AND BEYOND.

61-1148800

FYE: 12/31/2018

Schedule A, Part II, Line 1(e)

Description	Amount
BF-GRANTS	\$ 885
BF-SPONSORSHIPS	20,000
DONATIONS	45,599
BLUES FEST VENDORS	4,500
Total	<u>72,264</u>

Schedule A, Part II, Line 10(e)

Description	Amount
BLUES FEST SALES	\$ 5,531
Total	<u>5,531</u>

Schedule A, Part II, Line 12 - Current year

Description	Amount
BLUES FEST INTEREST	\$
Total	<u>0</u>