

**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

Applicant/Program: Harbor House of Louisville, 12th Annual Ken-Ducky Derby

Executive Summary of Request:
 This funding will go toward sponsorship of the 12th Annual Ken-Ducky Derby on Saturday, September 26, 2015, a community-wide event in which 25,000 "adopted" rubber ducks are raced in the Ohio River. This event is the signature fundraiser for Harbor House of Louisville, Inc. and Council Sponsorship will be used for the payment of the contract with GAME for the concept and organization of the duck race event. This community wide event raises awareness in Louisville about Harbor House and the services it provides to adults with disabilities while also raising money to benefit programming.

Is this program/project a fundraiser? Yes No
 Is this applicant a faith based organization? Yes No
 Does this application include funding for sub-grantee(s)? Yes No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

12 Nick Blackwell/SVK _____ _____
 District # Council Member Signature Amount Date

Primary Sponsor Disclosure
 List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

Approved by:

 Appropriations Committee Chairman Date

Clerk's Office Only:
 Request Amount: _____ Committee Amended Appropriation: _____
 Original Appropriation: _____ Council Amended Appropriation: _____

Kennedy, Liz

From: Blackwell, Rick
Sent: Wednesday, September 16, 2015 11:37 AM
To: Kennedy, Liz
Cc: Helton, Jessamyn
Subject: Harbor House NDF Signature

Liz –

I authorize you to sign onto the Harbor House Ken-Ducky Derby NDF for \$4500 for District 12.

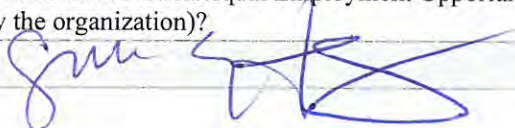
Thanks,
Rick



Councilman Rick Blackwell
Louisville Metro Council District 12
601 West Jefferson Street
Louisville, KY 40202
(502)574-1112
Rick.Blackwell@louisvilleky.gov

 [Sign up for District 12's e-Newsletter!](#)

NDF NON-PROFIT APPLICATION CHECKLIST

Legal Name of Applicant Organization: Harbor House of Louisville, Inc.	
Program Name: 12th Annual Ken-Ducky Derby Request Amount: \$52,000	Yes/No/NA
Request form: Is the NDF request form signed by all Council Member(s) appropriating funding?	
Request form: Is the funding proposed less than or equal to the request amount?	
Request form: Have all known Council or Staff relationships to the Agency been adequately disclosed on the cover sheet?	
Application Page 1: Has prior Metro funds committed/granted been disclosed?	Y
Application Page 1: Is the application properly signed and dated by authorized signatory?	Y
Application Page 3: Reimbursement funding – One or two boxes checked if any expenses are incurred before the grant award period. Is all required documentation included?	Y
Application Pages 3 – 5: Is the proposed public purpose of the program well-documented?	Y
Application 4: Is there adequate documentation of how the proceeds of the fundraiser will be spent?	Y
Application Budget Page 6: Does the application budget reflect only the revenue and expenses of the project/program (page 6) if the request is not an operating budget request? Is all detail schedules included for “Metro, Non Metro and Total” expense funds for client assistance, community events & festivals and other expenses? And does the Non-Metro Revenue equal the Non-Metro expenses?	Y
Faith Based Organizations: Is the signed Faith Based Form signed and included?	N/A
Jefferson County Only: Will all funding be spent in Louisville/Jefferson County?	Y
Capital Project(s) request: Is the cost estimate(s) from proposed vendor(s) included?	N/A
Good Standing: Is the entity in good standing with: <ul style="list-style-type: none"> • Kentucky Secretary of State – include Secretary of State website information on organization • Louisville Metro Government – check OMB monthly report filed in Council Financial Reports • Internal Revenue Service – most recent Form 990 included 	Y, Y, Y
Separate Taxing Districts: If Metro funding is for a separate taxing district, is the funding appropriated for a program outside the legal responsibility of that taxing district?	N/A
Small Cities: Is the resolution included agreeing to partner with Louisville Metro on the capital project? (IRS Determination letter not required, Form 990 not required, but KY SOS acknowledgement is)	N/A
Operating Requests: Is recommended operating funding less than or equal to 33% of total operating budget?	N/A
IRS Exempt Proof: Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	Y
Operating Budget: Is the organization’s current fiscal year operating budget included?	Y
Ordinance Required: Is the amount committed by Council members greater than \$5,000 to any one project/program within an organization in this fiscal year.	Y
Board Members: Is the entity’s board member list (with term length/term limits) included?	Y
Staff: Is a list of the highest paid staff included with their expected annual personnel costs?	Y
Annual Audit: Is the most recent annual audit (if required by organization) included?	Y
Rent Requests: Is a copy of signed lease included?	N/A
Articles of Incorporation: Are the Articles of Incorporation of the organization included?	Y
IRS Form W-9: Is the IRS Form W-9 included?	Y
Evaluation Forms: Are the evaluation forms (if program participants are given evaluation forms) included?	N/A
Affirmative Action: Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required by the organization)?	N/A
Prepared by: 	Date: 7/29/15



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
Legal Name of Applicant Organization:		Harbor House of Louisville, Inc.	
<i>(as listed on: http://www.sos.kv.gov/business/records)</i>			
Main Office Street & Mailing Address: 2231 Lower Hunters Trace, Louisville, KY 40216			
Website: www.hhlou.org			
Applicant Contact:	Sarah McIntee	Title:	Special Events Coordinator
Phone:	502-719-0072 ext. 514	Email:	smcintee@hhlou.org
Financial Contact:	Tom Evans	Title:	Controller
Phone:	502-719-0072 ext. 517	Email:	tevans@hhlou.org
Organization's Representative who attended NDF Training:			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s):	2231 Lower Hunters Trace, Louisville, KY 40216		
Council District(s):	All 26 Districts	Zip Code(s):	All zip codes in the districts
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: 12th Annual Ken-Ducky Derby			
Total Request: (\$)	\$52,000	Total Metro Award (this program) in previous year: (\$)	15,500
Purpose of Request (check all that apply):			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget)			
<input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals			
<input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
The Following are Required Attachments:			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current Year Projected Budget <input checked="" type="checkbox"/> List of Board of Directors (include term & term limits) <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input checked="" type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if required <input checked="" type="checkbox"/> Staff including the 3 highest paid staff	
For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
Source:	Louisville Metro Council Neighborhood Development Fund	Amount: (\$)	\$15,500
Source:	Jefferson Memorial Forest	Amount: (\$)	\$647.84
Source:	Louisville Jefferson Co. Metro Dept. of Community Services EAF Grant	Amount: (\$)	\$22,000
Has the applicant contacted the BBB Charity Review for participation? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

The mission of Harbor House of Louisville is to empower individuals with disabilities and their families to lead fulfilled and productive lives.

The vision of Harbor House of Louisville is to be the premier provider of quality care for individuals with developmental and intellectual disabilities located in the Metro Louisville region by creating a safe and supportive environment that show respect for and offers life and employment opportunities to each individual seeking services.

Programs at Harbor House include:

- Adult Day Training (ADT) provides regularly scheduled activities in a non-residential setting that are designed to foster the attainment of skills, build positive social behavior and interpersonal competence, and encourage greater independence. The program provides active training designed to enable each individual to attain the highest level of skills according to their ability. Individuals who come to the agency can learn life and social skills through their attendance and participation in various classes, such as cooking healthy meals, proper exercise techniques, money management when shopping for needed items, art projects, and by attending local community events.
- Supported Employment allows individuals referred to the agency from the Kentucky Department of Vocational Rehabilitation to receive assistance in obtaining meaningful employment which includes intense, one-on-one job training and coaching to support the individuals in the community.
- Community Living Supports provides one-on-one training and assistance in areas that offer experiences designed to increase their ability to access community resources and increase the reliance on natural supports and decrease the reliance on formal supports. It shall occur in an integrated community setting.
- Respite Care is provided to a participant who does not receive residential services and resides in the family home. It is provided on a short term basis due to the need for relief of an individual providing direct care to the participant.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

The Ken-Ducky Derby Family Festival is a community-wide event that raises money for the mission of Harbor House. In its 11 year, the event has captivated the community each year with the annual launching of 25,000 rubber ducks in the Ohio River.

The 25,000 ducks that race in the river comprise the most significant part of the event. People in the community adopt the 25,000 ducks over an 8 week period leading up to the festival. The first place winner receives a car donated by Sam Swope Honda World. Adopters of the second and third place finishers receive a cash prize. In 2014, we have also secured a Million Dollar Duck sponsor. If the duck who finishes third has been anonymously selected prior to the race, the adopter of that duck wins \$1,000,000. All proceeds from the Ken-Ducky Derby benefit the programs and services at Harbor House.

Marketing efforts for the event includes the distribution of 100,000 duck adoption forms around the community through retail outlets including Republic Bank, The Comfy Cow, Starbucks, Texas Roadhouse and more. Additionally, social media and other advertising will be used to advertise the event.

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):

The funds requested would be used towards the payment of our contract with Great American Merchandise Event (Game) and for marketing of the festival at other community festivals and events.

Harbor House contracts with Great American Merchandise Event (GAME) who developed the concept of the duck race. The contract (\$22,000) gives Harbor House the exclusive rights to the trademarked duck race within a certain range of Metro Louisville. The contract also includes the shipping and rental of the ducks, printing for the duck adoption forms (100,000) and access to an online site, e-Quack, for securing duck adoptions on line.

The remainder of the funds (\$3,000) will be used to fees for selling ducks at various community events. During the course of the summer, Harbor House attends many community festivals as well as the Kentucky State Fair. The remainder of the funds requested would go toward fees associated with participation at these events.



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C: If this request is a fundraiser, please detail how the proceeds will be spent:

The request for funding from the Louisville Metro Council Neighborhood Development Fund is for sponsorship of the Harbor House of Louisville's 12th Annual Ken-Ducky Derby Festival. The funds from raised from this event will directly support the operating costs, as well as the programs and services of Harbor House of Louisville.

On an annual basis, Harbor House of Louisville serves over 300 individuals with development, intellectual and physical disabilities and their families.

Services include:

Adult Day Training (ADT) provides regularly scheduled activities in a non-residential setting that are designed to foster the attainment of skills, build positive social behavior and interpersonal competence, and encourage greater independence. The program provides active training designed to enable each individual to attain the highest level of skills according to their ability. Individuals who come to the agency can learn life and social skills through their attendance and participation in various classes, such as cooking healthy meals, proper exercise techniques, money management when shopping for needed items, art projects, and by attending local community events.

- Supported Employment allows individuals referred to the agency from the Kentucky Department of Vocational Rehabilitation to receive assistance in obtaining meaningful employment which includes intense, one-on-one job training and coaching to support the individuals in the community.

- Community Living Supports provides one-on-one training and assistance in areas that offer experiences designed to increase their ability to access community resources and increase the reliance on natural supports and decrease the reliance on formal supports. It shall occur in an integrated community setting.

- Respite Care is provided to a participant who does not receive residential services and resides in the family home. It is provided on a short term basis due to the need for relief of an individual providing direct care to the participant.

Support of the 12th Annual Ken-Ducky Derby Festival allows Harbor House to raise awareness on the services that are offered to the thousands in the community needing the specialized services that Harbor House offers.

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

Harbor House has a contract for the exclusive right to use the yellow rubber ducks provided for the Ken-Ducky Derby by the supplier, Great American Merchandise Events (GAME). The fee to be paid under the contract will be paid in three monthly installments from July to October 2015. Each installment is expected to be \$7,266.66. There are also expenses associated with the advertising of the Ken-Ducky Derby on radio, television and in newspapers, the fees charged by sites to sell the adoption forms for the ducks, and the food for volunteers and sponsors during the six-week period. There are also cost for rental of the sound equipment and a stage for the band on the day of the event, and for games and prizes to be awarded to the children at the booths.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

By staging the Ken-Ducky Derby Festival, a community-wide event, Harbor House is able to raise awareness in Louisville about the organization and the services provided to adults with intellectual and developmental disabilities while also raising money to benefit programming.

Our overall goal is to increase awareness and money raised to support the programming needs at Harbor House.

- 1) To increase the number of ducks adopted by 20% (from 26,000 to 30,000)
- 2) To increase the total revenue of the event by 10% (from \$186,555 to \$209,596)

In order to meet these goals we will track:

- 1) Track duck adoptions through our online purchasing software, e-Quack
- 2) Track financial reports

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

Harbor House has collaborative relationships with the following community organizations:

- 1) Jewish and Saints Mary & Elizabeth's Hospital where participants volunteer in various departments.
- 2) Meals on Wheels and SeniorCare Experts - Participants from Harbor House deliver meals to the elderly
- 3) Bellarmine University's School of Nursing, Spalding University's School of Occupational Therapy and the University of Louisville's Kent School of Social Work students complete a portion of their training at Harbor House giving students the opportunity to work with disabled population.
- 4) The Kentucky Humane Society - Harbor House participants volunteer to feed animals and to clean the cages at KHS.

Line I of Budget - Expenses for Community Events & Festivals

Total Anticipated Expenses are \$101,950

PR/Marketing -GAME Contact, All Public Relations & Advertising for event, 2nd and 3rd place prize money (donated car & auction items not included here)	50,000
Rent Expense - storage & tent rentals for event	2,000
Training	300
Travel	1,500
Professional Fee - Special Event Consultant	12,000
Licenses & Fees- State Filings, \$1 Million Duck Insurance policy, Water Front rental fee, Booth Fees at KY State Fair & varies other festivals	4,500
KDD - Feathers & Friends Kick-off event catering bill & rental fee for Ali Center	10,000
Postage for Duck Form Mailings	5,500
Supplies - printing of postcards, envelopes & mailing material, Tee Shirts	15,000
Printing of Signs	500
Bank Service Charges	650

TOTAL: 101,950

Funding Sources - Other - anticipated Revenues of \$39,300

In Kind Donations (Car & Auction Items)	20,000
Ducky Derby Merchandise Sales	4,000
KenDucky Derby Booth Sponsors (Day of)	300
KenDucky Derby Donations - includes auction items purchased & general donations	15,000

TOTAL 39,300



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SECTION 5 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
A: Personnel Costs Including Benefits			
B: Rent/Utilities			
C: Office Supplies			
D: Telephone			
E: In-town Travel			
F: Client Assistance (Attach Detailed List)			
G: Professional Service Contracts			
H: Program Materials			
I: Community Events & Festivals (Attach Detail List)	52,000	49,950	101,950
J: Machinery & Equipment			
K: Capital Project			
L: Other Expenses (Attach Detail List)			
*TOTAL PROGRAM/PROJECT FUNDS	52,000	49,950	101,950
% of Program Budget	51 %	49 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	\$85,000(projected sponsors)
Fees Collected from Program Participants	\$85,000(duck adoptions)
Other (please specify)	\$39,300 (in kind, merch, sales, vendors at festival)
Total Revenue for Columns 2 Expenses **	\$209,300

*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

**Must equal or exceed total in column 2.



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Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
Donation of 2015 Honda Fit	18,000	Sam Swope Auto Group-Dealer Invoice
Auction Items for Kick-off Event	2,000	cost of donated items
<i>Total Value of In-Kind (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)</i>	20,000	

* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK

Agency Fiscal Year Start Date: July 1st, 2015

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.

Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

SECTION 7 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory: <i>Maria Smith</i>		Date: <i>6/30/15</i>
Legal Signatory: (please print): <i>Maria Smith</i>		Title: <i>CEO</i>
Phone: <i>502-719-0072</i>	Extension: <i>539</i>	Email: <i>m.smith@hhlou.org</i>

0297654.09 dcornish
AMD
Trey Grayson, Secretary of State
Received and Filed:
11/18/2010 12:56 PM
Fee Receipt: \$16.00

**SECOND AMENDED AND RESTATED
ARTICLES OF INCORPORATION
OF HARBOR HOUSE OF LOUISVILLE, INC.**

Know All Persons By These Presents:

That we, the undersigned, have this day voluntarily associated ourselves together for the purposes of forming a non-profit corporation under the laws of the Commonwealth of Kentucky, and to that end do hereby adopt articles of incorporation as follows:

**ARTICLE I
(Name)**

The name of the corporation is HARBOR HOUSE OF LOUISVILLE, INC. (the "Corporation") and by such name it shall be known as a body corporate and its duration shall be perpetual, and it shall have all powers granted under Kentucky Revised Statutes.

**ARTICLE II
(Purpose)**

The purpose of the Corporation is:

To engage in and operate a training and development center for adults with Mental Retardation/Developmental Disabilities (MR/DD) emphasizing relationship building and life skills training, including, but not limited to, workshop activities, physical education, therapeutic activities and crafts, for and on behalf of those individuals and to offer recreational, social, cultural and athletic activities.

**ARTICLE III
(Charitable Organization)**

This corporation is organized exclusively for charitable, educational, and scientific purposes, including for such purposes, the making of distributions to organizations that qualify as exempt organizations under Section 501(c)(3) of the Internal Revenue Code, or corresponding section of any future federal tax code.

ARTICLE IV
(Registered Office/Principal Office)

The address of the Registered Office of the Corporation in the Commonwealth of Kentucky is 2231 Lower Hunters Trace, Louisville, Kentucky 40216. The name of the Registered Agent of the Corporation is Maria A. Smith. The mailing address of the Corporation's Principal Office is 2231 Lower Hunters Trace, Louisville, Kentucky 40216.

ARTICLE V
(Directors)

The number of Directors shall be set by the Bylaws of this Corporation.

ARTICLE VI
(Corporate Powers)

Under the name of the Corporation it may adopt a corporate seal, and it has the power to contract and be contracted with, to sue and be sued, and it may receive, accept, purchase or acquire and hold in any other lawful manner real and personal property, and it may dispose of same by gift, deed, or in any other lawful manner, for the benefit of the Corporation.

ARTICLE VII
(Not For Profit Status)

The Corporation is not organized for pecuniary profit nor shall it have any power to issue certificates of stock or declare dividends, and no part of its net earnings shall inure to the benefit of any member or director. However, this does not prevent a member or director from being a paid employee of this corporation. The balance, if any of all money received by the Corporation from its operations after the payment in full of all debts and obligations of the corporation, of whatsoever kind and nature, shall be used and distributed exclusively for purposes as enumerated in Article II herein.

ARTICLE VIII
(Members)

The Corporation formed hereby shall have no capital stock and shall be composed of members rather than shareholders.

ARTICLE IX
(Classes of Members)

The various classes of members of the Corporation and the requirements for becoming a part thereof, shall be set forth in the Bylaws of the Corporation, as may be amended from time to time.

ARTICLE X
(Articles/Bylaws-Amendment)

The Articles of Incorporation and Bylaws of the Corporation may be adopted or amended by a two-thirds (2/3) majority vote of the Voting Members of the Corporation in accordance with the procedures set forth in the Bylaws.

ARTICLE XI
(Termination of Membership)

Membership in the Corporation may be terminated in the manner provided in the Bylaws of the Corporation, and unless otherwise provided in the Bylaws, all rights of a member in the Corporation shall cease on termination of his membership.

ARTICLE XII
(Removal of Directors)

The Voting Members of the Corporation may remove any director of the Corporation in accordance with the procedures for removal of directors set forth in the Corporation's Bylaws.

ARTICLE XIII
(No Personal Liability)

Members, directors, officers and employees of the Corporation shall not be personally liable for any debt or obligation of the Corporation.

ARTICLE XIV
(Limitation on Activities Related to 501(c)(3) Status)

No part of the net earnings of the Corporation shall inure to the benefit of, or be distributable to its members, trustees, officers, or other private persons, except that the Corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in XIV. No substantial part of the activities of the Corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation, and the Corporation shall not participate in, or intervene in (including the publishing or distribution of statements) any political campaign on behalf of or in opposition to any candidate for public office. Notwithstanding any other provision of these Articles of Incorporation, and any amendment thereto, the Corporation shall not carry on any other activities not permitted to be carried on (a) by a corporation exempt from federal income tax under Section 501(c)(3) of the Internal Revenue Code, or any corresponding section of any future tax code, or (b) by a corporation, contributions to which are deductible under Section 170(c)(2) of the Internal Revenue Code, or corresponding section of any future federal tax code.

ARTICLE XV
(Dissolution)

Upon the dissolution of the Corporation, assets shall be distributed to one or more exempt purposes within the meaning of Section 501(c)(3) of the Internal Revenue Code, or corresponding section of any future tax code, or shall be distributed to the federal government, or

Request for Taxpayer Identification Number and Certification

Give Form to the
 requester. Do not
 send to the IRS.

Print or type
 See Specific Instructions on page 2.

Name (as shown on your income tax return) Harbor House of Louisville, Inc.	
Business name/disregarded entity name, if different from above	
Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input checked="" type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ <input type="checkbox"/> Other (see instructions) ▶ _____	
<input checked="" type="checkbox"/> Exempt payee	
Address (number, street, and apt. or suite no.) 2231 Lower Hunters Trace	Requester's name and address (optional)
City, state, and ZIP code Louisville, KY 40216	
List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Social security number	
[][] - [][] - [][][][]	

Employer identification number	

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.

Sign Here	Signature of U.S. person ▶ <i>Don Evans</i>	Date ▶ <i>11/22/2011</i>
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

Caution: Forms printed from within Adobe Acrobat products may not meet IRS or state taxing agency specifications. When using Acrobat 5.x products, uncheck the "Shrink oversized pages to paper size" and uncheck the "Expand small pages to paper size" options, in the Adobe "Print" dialog. When using Acrobat 6.x and later products versions, select "None" in the "Page Scaling" selection box in the Adobe "Print" dialog.

CLIENT'S COPY

Filing Instructions

Prepared for:

Harbor House of Louisville, Inc.
2231 Lower Hunters Trace
Louisville, KY 40216

Prepared by:

Smith Financial Services, PLLC
408 Blankenbaker Parkway
Louisville, KY 40243

2013 FORM 990

Electronic Filing:

This return has qualified for electronic filing. After you have reviewed the return for completeness and accuracy, please sign, date and return Form 8879-EO to our office. We will transmit the return electronically to the IRS and no further action is required. Return Form 8879-EO to us by February 17, 2015.

IRS e-file Signature Authorization for an Exempt Organization

Form 8879-EO

For calendar year 2013, or fiscal year beginning JUL 1, 2013, and ending JUN 30, 2014

2013

Department of the Treasury Internal Revenue Service

Do not send to the IRS. Keep for your records.

Information about Form 8879-EO and its instructions is at www.irs.gov/form8879en

Name of exempt organization

Employer identification number

Harbor House of Louisville, Inc.

-*6323

Name and title of officer

Maria Smith

Chief Executive Officer

Part I Type of Return and Return Information (Whole Dollars Only)

Check the box for the return for which you are using this Form 8879-EO and enter the applicable amount, if any, from the return. If you check the box on line 1a, 2a, 3a, 4a, or 5a, below, and the amount on that line for the return being filed with this form was blank, then leave line 1b, 2b, 3b, 4b, or 5b, whichever is applicable, blank (do not enter -0-). But, if you entered -0- on the return, then enter -0- on the applicable line below. Do not complete more than 1 line in Part I.

Table with 5 rows (1a-5a) and 2 columns (b Total revenue, b Total tax, b Tax based on investment income, b Balance Due). Includes checkboxes and numerical values like 1,584,383.

Part II Declaration and Signature Authorization of Officer

Under penalties of perjury, I declare that I am an officer of the above organization and that I have examined a copy of the organization's 2013 electronic return and accompanying schedules and statements and to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the organization's electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the organization's return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) the reason for any delay in processing the return or refund, and (c) the date of any refund. If applicable, I authorize the U.S. Treasury and its designated Financial Agent to initiate an electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the organization's federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment. I have selected a personal identification number (PIN) as my signature for the organization's electronic return and, if applicable, the organization's consent to electronic funds withdrawal.

Officer's PIN: check one box only

[X] I authorize Smith Financial Services, PLLC to enter my PIN 61121. Enter five numbers, but do not enter all zeros.

as my signature on the organization's tax year 2013 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I also authorize the aforementioned ERO to enter my PIN on the return's disclosure consent screen.

[] As an officer of the organization, I will enter my PIN as my signature on the organization's tax year 2013 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I will enter my PIN on the return's disclosure consent screen.

Officer's signature ***** THIS IS NOT A FILEABLE COPY *** Date

Part III Certification and Authentication

ERO's EFIN/PIN. Enter your six-digit electronic filing identification number (EFIN) followed by your five-digit self-selected PIN.

61412531164

do not enter all zeros

I certify that the above numeric entry is my PIN, which is my signature on the 2013 electronically filed return for the organization indicated above. I confirm that I am submitting this return in accordance with the requirements of Pub. 4163, Modernized e-File (MeF) Information for Authorized IRS e-file Providers for Business Returns.

ERO's signature Smith Financial Services, PLLC Date 11/25/14

ERO Must Retain This Form - See Instructions Do Not Submit This Form To the IRS Unless Requested To Do So

Form **990**

Return of Organization Exempt From Income Tax

OMB No. 1545-0047

2013

Department of the Treasury
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990 and its instructions is at www.irs.gov/form990

Open to Public Inspection

A For the 2013 calendar year, or tax year beginning **JUL 1, 2013** and ending **JUN 30, 2014**

B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	C Name of organization Harbor House of Louisville, Inc.		D Employer identification number ** - *** 6323
	Doing Business As		E Telephone number 502-719-0072
	Number and street (or P.O. box if mail is not delivered to street address)	Room/suite	
	2231 Lower Hunters Trace		G Gross receipts \$ 1,702,141.
	City or town, state or province, country, and ZIP or foreign postal code Louisville, KY 40216		H(a) Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions)
F Name and address of principal officer: Maria Smith same as C above		H(c) Group exemption number	
I Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527			
J Website: www.hhlou.org			
K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other			L Year of formation: 1992 M State of legal domicile: KY

Part I Summary

1 Briefly describe the organization's mission or most significant activities: To empower disabled individuals and their families to lead fulfilled and productive lives.	
2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.	
Activities & Governance	3 Number of voting members of the governing body (Part VI, line 1a) 17
	4 Number of independent voting members of the governing body (Part VI, line 1b) 17
	5 Total number of individuals employed in calendar year 2013 (Part V, line 2a) 98
	6 Total number of volunteers (estimate if necessary) 300
	7a Total unrelated business revenue from Part VIII, column (C), line 12 0.
	7b Net unrelated business taxable income from Form 990-T, line 34 0.
	7c Total unrelated business net assets from Form 990-T, line 34 0.
Revenue	8 Contributions and grants (Part VIII, line 1h) 301,581.
	9 Program service revenue (Part VIII, line 2g) 1,211,923.
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) 23.
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 44,059.
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) 1,557,586.
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3) 0.
	14 Benefits paid to or for members (Part IX, column (A), line 4) 0.
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) 1,032,441.
	16a Professional fundraising fees (Part IX, column (A), line 11e) 0.
	b Total fundraising expenses (Part IX, column (D), line 25) 22,634.
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) 435,963.
	18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) 1,468,404.
19 Revenue less expenses. Subtract line 18 from line 12 89,182.	
Net Assets or Fund Balances	20 Total assets (Part X, line 16) 1,416,527.
	21 Total liabilities (Part X, line 26) 160,657.
	22 Net assets or fund balances. Subtract line 21 from line 20 1,255,870.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer Maria Smith, Chief Executive Officer	Date			
	Type or print name and title				
Paid Preparer Use Only	Print/Type preparer's name David L. Smith, CPA	Preparer's signature	Date 11/25/14	Check if self-employed <input type="checkbox"/>	PTIN P00118653
	Firm's name Smith Financial Services, PLLC	Firm's EIN ** - *** 9526	Firm's address 408 Blankenbaker Parkway Louisville, KY 40243	Phone no. 502-882-2708	

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1 Briefly describe the organization's mission: To empower individuals with disabilities and their families to lead fulfilled and productive lives. Harbor House empowers individuals through employment, education, and community building opportunities.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? Yes No

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? Yes No

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 1,348,947. including grants of \$) (Revenue \$ 1,248,704.) Provided support to individuals with disabilities by allowing them to enjoy integration into the community through on-site and community employment, workshops, vocational training, and activities.

4b (Code:) (Expenses \$ including grants of \$) (Revenue \$)

4c (Code:) (Expenses \$ including grants of \$) (Revenue \$)

4d Other program services (Describe in Schedule O.) (Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses 1,348,947.

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	X	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>		X
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	X	
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>		X
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>		X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>	X	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		

Part IV Checklist of Required Schedules (continued)

		Yes	No
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II		X
22	Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III		X
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J		X
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If so, complete Schedule L, Part II		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a	A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
b	A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV		X
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	X	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I		X
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1		X
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2		
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2		X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?	X	
Note. All Form 990 filers are required to complete Schedule O			

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

Input box for Schedule O

Main table with columns for line numbers (1a-14b), descriptions, and Yes/No checkboxes. Includes questions about Form 1096, Form W-2G, Form W-3, and various tax compliance items.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.		
1a	17		
b	Enter the number of voting members included in line 1a, above, who are independent		
1b	17		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?		X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		X
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		X
6	Did the organization have members or stockholders?		X
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		X
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		X
7b			
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a	The governing body?	X	
8a			
b	Each committee with authority to act on behalf of the governing body?	X	
8b			
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		X
9			

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?		X
10a			
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
10b			
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	X	
11a			
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	X	
12a			
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
12b			
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	X	
12c			
13	Did the organization have a written whistleblower policy?	X	
13			
14	Did the organization have a written document retention and destruction policy?	X	
14			
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official	X	
15a			
b	Other officers or key employees of the organization	X	
15b			
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
16a			
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		
16b			

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed **KY**
- 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
 Own website Another's website Upon request Other (explain in Schedule O)
- 19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
- 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: **The Organization - 502-719-0072**
2231 Lower Hunters Trace, Louisville, KY 40216

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) Christian Moore Board member	1.00	X						0.	0.	0.
(2) Patrick Fallon Board member	1.00	X						0.	0.	0.
(3) Rick Farrar Board member	1.00	X						0.	0.	0.
(4) David Jett Board member	1.00	X						0.	0.	0.
(5) Wayne Thieneman Board member	1.00	X						0.	0.	0.
(6) Pat McDonald Board member	1.00	X						0.	0.	0.
(7) Jim Morris Board member	1.00	X						0.	0.	0.
(8) Michael Valenti Board member	1.00	X						0.	0.	0.
(9) Phil Stuecker Board member	1.00	X						0.	0.	0.
(10) John Zoeller Board member	1.00	X						0.	0.	0.
(11) Roger Lemaster Board member	1.00	X						0.	0.	0.
(12) Reba Doutrick Board member	1.00	X						0.	0.	0.
(13) Alicia Heazlitt Board member	1.00	X						0.	0.	0.
(14) Rene Kesterson Board member	1.00	X						0.	0.	0.
(15) D.J. Jarrett Board member	1.00	X						0.	0.	0.
(16) Sam Kad Board member	1.00	X						0.	0.	0.
(17) Diana Sumner Board member	1.00	X						0.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) Maria Smith Chief Executive Officer	40.00			X				117,635.	0.	3,529.
(19) Phil Kremer Chief Operating Officer	40.00			X				69,012.	0.	14,377.
1b Sub-total								186,647.	0.	17,906.
c Total from continuation sheets to Part VII, Section A								0.	0.	0.
d Total (add lines 1b and 1c)								186,647.	0.	17,906.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **1**

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual		X
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
NONE		

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **0**

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514	
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns	1a				
	b Membership dues	1b				
	c Fundraising events	1c				
	d Related organizations	1d				
	e Government grants (contributions)	1e 25,000.				
	f All other contributions, gifts, grants, and similar amounts not included above	1f 256,665.				
	g Noncash contributions included in lines 1a-1f: \$	37,059.				
	h Total. Add lines 1a-1f	▶ 281,665.				
Program Service Revenue	2 a Medicaid reimbursement	Business Code 900099	942,219.	942,219.		
	b Mail fulfillment	900099	272,030.	272,030.		
	c Tuition and fees	900099	10,535.	10,535.		
	d					
	e					
	f All other program service revenue					
	g Total. Add lines 2a-2f	▶ 1,224,784.				
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)	▶	9.		9.	
	4 Income from investment of tax-exempt bond proceeds	▶				
	5 Royalties	▶				
	6 a Gross rents	(i) Real				
		(ii) Personal				
		b Less: rental expenses				
		c Rental income or (loss)				
	d Net rental income or (loss)	▶				
	7 a Gross amount from sales of assets other than inventory	(i) Securities				
		(ii) Other				
		b Less: cost or other basis and sales expenses				
		c Gain or (loss)				
	d Net gain or (loss)	▶				
	8 a Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18	a 171,763.				
		b Less: direct expenses	b 117,758.			
c Net income or (loss) from fundraising events		▶ 54,005.			54,005.	
9 a Gross income from gaming activities. See Part IV, line 19	a					
	b Less: direct expenses	b				
	c Net income or (loss) from gaming activities	▶				
10 a Gross sales of inventory, less returns and allowances	a					
	b Less: cost of goods sold	b				
	c Net income or (loss) from sales of inventory	▶				
Miscellaneous Revenue		Business Code				
11 a Management fees	900099	23,920.	23,920.			
	b					
	c					
	d All other revenue					
	e Total. Add lines 11a-11d	▶ 23,920.				
12 Total revenue. See instructions.	▶ 1,584,383.	1,248,704.	0.	54,014.		

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21				
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	205,013.	188,612.	14,351.	2,050.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	766,967.	705,610.	53,688.	7,669.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	19,230.	17,692.	1,346.	192.
9 Other employee benefits	74,353.	68,404.	5,205.	744.
10 Payroll taxes	72,572.	66,766.	5,080.	726.
11 Fees for services (non-employees):				
a Management				
b Legal				
c Accounting	8,169.	4,248.	3,921.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	46,177.	22,391.	23,786.	
12 Advertising and promotion	12,052.	4,821.		7,231.
13 Office expenses	66,748.	48,049.	15,406.	3,293.
14 Information technology	21,353.	12,917.	8,436.	
15 Royalties				
16 Occupancy	51,830.	48,348.	3,273.	209.
17 Travel	25,957.	20,246.	5,191.	520.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	65,340.	65,340.		
23 Insurance	27,263.	19,084.	8,179.	
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a <u>Healthcare provider tax</u>	33,890.	33,890.		
b <u>Uncollectible pledges</u>	31,770.		31,770.	
c <u>Meals and entertainment</u>	19,444.	15,555.	3,889.	
d <u>Bad debts</u>	4,000.	4,000.		
e All other expenses	3,342.	2,974.	368.	
25 Total functional expenses. Add lines 1 through 24e	1,555,470.	1,348,947.	183,889.	22,634.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here if following SOP 98-2 (ASC 958-720)

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

		(A) Beginning of year		(B) End of year	
Assets	1	Cash - non-interest-bearing	6,653.	1	5,218.
	2	Savings and temporary cash investments	16,763.	2	118,382.
	3	Pledges and grants receivable, net	140,943.	3	136,175.
	4	Accounts receivable, net	152,486.	4	155,000.
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use		8	
	9	Prepaid expenses and deferred charges	20,170.	9	13,268.
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 1,722,019.		
	b	Less: accumulated depreciation	10b 669,330.	10c	1,052,689.
	11	Investments - publicly traded securities		11	
	12	Investments - other securities. See Part IV, line 11		12	
	13	Investments - program-related. See Part IV, line 11		13	
	14	Intangible assets		14	
	15	Other assets. See Part IV, line 11	0.	15	29,818.
16	Total assets. Add lines 1 through 15 (must equal line 34)	1,416,527.	16	1,510,550.	
Liabilities	17	Accounts payable and accrued expenses	52,897.	17	71,006.
	18	Grants payable		18	
	19	Deferred revenue	27,480.	19	28,885.
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23	Secured mortgages and notes payable to unrelated third parties	61,972.	23	
	24	Unsecured notes and loans payable to unrelated third parties		24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	18,308.	25	125,876.
	26	Total liabilities. Add lines 17 through 25	160,657.	26	225,767.
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.				
	27	Unrestricted net assets	1,129,927.	27	1,139,608.
	28	Temporarily restricted net assets	125,943.	28	145,175.
	29	Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building, or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
33	Total net assets or fund balances	1,255,870.	33	1,284,783.	
34	Total liabilities and net assets/fund balances	1,416,527.	34	1,510,550.	

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	1,584,383.
2	Total expenses (must equal Part IX, column (A), line 25)	2	1,555,470.
3	Revenue less expenses. Subtract line 2 from line 1	3	28,913.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	1,255,870.
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	1,284,783.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

	Yes	No
1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
b Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	X	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

Form 990 (2013)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2013

Open to Public
Inspection

Name of the organization: **Harbor House of Louisville, Inc.** Employer identification number: ****-***6323**

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
- 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.)
- 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
- 4 A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: _____
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 8 A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 9 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
- 10 An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h.
 - a Type I
 - b Type II
 - c Type III - Functionally integrated
 - d Type III - Non-functionally integrated
- e By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

	Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? _____		
(ii) A family member of a person described in (i) above? _____		
(iii) A 35% controlled entity of a person described in (i) or (ii) above? _____		
- h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
Total									

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. Schedule A (Form 990 or 990-EZ) 2013

332021 09-25-13

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4.						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 Total support. Add lines 7 through 10						
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						<input type="checkbox"/>

Section C. Computation of Public Support Percentage

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f))	14	%
15 Public support percentage from 2012 Schedule A, Part II, line 14	15	%
16a 33 1/3% support test - 2013. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
b 33 1/3% support test - 2012. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
17a 10% -facts-and-circumstances test - 2013. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
b 10% -facts-and-circumstances test - 2012. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions		<input type="checkbox"/>

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	232,102.	291,131.	210,161.	301,581.	281,665.	1,316,640.
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose	1,030,275.	1,040,411.	1,131,005.	1,211,923.	1,224,784.	5,638,398.
3 Gross receipts from activities that are not an unrelated trade or business under section 513	138,216.	135,140.	177,790.	157,633.	171,763.	780,542.
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5	1,400,593.	1,466,682.	1,518,956.	1,671,137.	1,678,212.	7,735,580.
7a Amounts included on lines 1, 2, and 3 received from disqualified persons	12,000.	47,425.	56,000.	44,758.	4,600.	164,783.
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year	766,478.	774,487.	842,006.	972,318.	925,198.	4,280,487.
c Add lines 7a and 7b	778,478.	821,912.	898,006.	1,017,076.	929,798.	4,445,270.
8 Public support (Subtract line 7c from line 6.)						3,290,310.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6	1,400,593.	1,466,682.	1,518,956.	1,671,137.	1,678,212.	7,735,580.
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	135.	44.	5.	23.	9.	216.
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b	135.	44.	5.	23.	9.	216.
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)					23,920.	23,920.
13 Total support. (Add lines 9, 10c, 11, and 12.)	1,400,728.	1,466,726.	1,518,961.	1,671,160.	1,702,141.	7,759,716.

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here

Section C. Computation of Public Support Percentage

15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	42.40 %
16 Public support percentage from 2012 Schedule A, Part III, line 15	16	43.59 %

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f))	17	.00 %
18 Investment income percentage from 2012 Schedule A, Part III, line 17	18	.01 %

19a 33 1/3% support tests - 2013. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

b 33 1/3% support tests - 2012. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2013

Name of the organization

Harbor House of Louisville, Inc.

Employer identification number

-*6323

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

 501(c)(3) (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule** For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.**Special Rules** For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II. For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III. For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).**LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)**




Name of organization Harbor House of Louisville, Inc.	Employer identification number ** - *** 6323
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Louisville Metro Government P.O. Box 35410 Louisville, KY 40232	\$ 25,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	Honorable Order of Kentucky Colonels 1717 Alliant Avenue Louisville, KY 40299	\$ 13,013.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	Zoeller Family Trust 3649 Cane Run Road Louisville, KY 40211	\$ 8,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	Sam Swope Auto Group Hurstbourne Lane Louisville, KY 40299	\$ 18,387.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
5	Community Foundation of Louisville 325 West Main Street Louisville, KY 40202	\$ 7,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	King's Daughter & Sons Foundation PO Box 83 Frankfort, KY 40602	\$ 30,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization Harbor House of Louisville, Inc.	Employer identification number ** - ***6323
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	Sam Swope Family Foundation Hurstbourne Lane Louisville, KY 40299	\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
8	St. Gregory Church 330 Samuels Loop Cox's Creek, KY 40013	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
9	The Wood & Marie Hannah Foundation PO Box 32890 Louisville, KY 40232	\$ 9,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
10	Jack Combs 	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
11	Dean Deakins 	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
12	Henry Farleigh 	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

Harbor House of Louisville, Inc.

** - *** 6323

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
13	Fred Greaves [Redacted Address]	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
14	Jane White [Redacted Address]	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization Harbor House of Louisville, Inc.	Employer identification number ** - ***6323
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Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
4	Vehicle	\$ 18,387.	09/30/13
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____

Name of organization

Employer identification number

Harbor House of Louisville, Inc.

** - *** 6323

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once.) ▶ \$ _____
 Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

--	--

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

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SCHEDULE C
(Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

OMB No. 1545-0047

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

For Organizations Exempt From Income Tax Under section 501(c) and section 527
 ▶ Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.
 ▶ See separate instructions. ▶ Information about Schedule C (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization **Harbor House of Louisville, Inc.** Employer identification number **** - ***6323**

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
- 2 Political expenditures ▶ \$ _____
- 3 Volunteer hours _____

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$ _____
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$ _____
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? Yes No
- 4a Was a correction made? Yes No
- b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$ _____
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$ _____
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ \$ _____
- 4 Did the filing organization file Form 1120-POL for this year? Yes No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. Schedule C (Form 990 or 990-EZ) 2013
LHA

332041
11-08-13

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A** Check if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B** Check if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)			
b Total lobbying expenditures to influence a legislative body (direct lobbying)			
c Total lobbying expenditures (add lines 1a and 1b)		0.	
d Other exempt purpose expenditures			
e Total exempt purpose expenditures (add lines 1c and 1d)		0.	
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.		0.	
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:		
Not over \$500,000	20% of the amount on line 1e.		
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.		
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.		
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.		
Over \$17,000,000	\$1,000,000.		
g Grassroots nontaxable amount (enter 25% of line 1f)		0.	
h Subtract line 1g from line 1a. If zero or less, enter -0-			
i Subtract line 1f from line 1c. If zero or less, enter -0-			
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?			<input type="checkbox"/> Yes <input type="checkbox"/> No

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Schedule C (Form 990 or 990-EZ) 2013

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes," response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1j)?			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities?			
j Total. Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, line 2; and Part II-B, line 1. Also, complete this part for any additional information.

SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. Attach to Form 990.

Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990

OMB No. 1545-0047

2013 Open to Public Inspection

Name of the organization Harbor House of Louisville, Inc. Employer identification number ** - *** 6323

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

Table with 3 columns: Line number, (a) Donor advised funds, (b) Funds and other accounts. Rows include total number at end of year, aggregate contributions, aggregate grants, aggregate value, and questions about donor informed status.

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

Form for Part II Conservation Easements. Includes questions about purpose of easements, number of easements, acreage, and monitoring costs. Includes a table for 'Held at the End of the Tax Year' with rows 2a-2d.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

Form for Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Includes questions about reporting requirements and amounts for revenues and assets.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a Public exhibition
 - b Scholarly research
 - c Preservation for future generations
 - d Loan or exchange programs
 - e Other _____
- 4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No
- b If "Yes," explain the arrangement in Part XIII and complete the following table:
- | | Amount |
|---------------------------------|--------|
| c Beginning balance | 1c |
| d Additions during the year | 1d |
| e Distributions during the year | 1e |
| f Ending balance | 1f |
- 2a Did the organization include an amount on Form 990, Part X, line 21? Yes No
- b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

- 2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
- a Board designated or quasi-endowment _____ %
 - b Permanent endowment _____ %
 - c Temporarily restricted endowment _____ %
- The percentages in lines 2a, 2b, and 2c should equal 100%.
- 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:
- | | Yes | No |
|---|--------|----|
| (i) unrelated organizations | 3a(i) | |
| (ii) related organizations | 3a(ii) | |
| b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? | 3b | |
- 4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings		1,291,239.	349,898.	941,341.
c Leasehold improvements		53,643.	6,988.	46,655.
d Equipment		377,137.	312,444.	64,693.
e Other				
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				1,052,689.

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX Other Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

Part X Other Liabilities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) Accrued and withheld payroll taxes	23,876.
(3) Due to related entity	102,000.
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	125,876.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	1,702,141.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
a	Net unrealized gains on investments	2a		
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII.)	2d	117,758.	
e	Add lines 2a through 2d	2e		117,758.
3	Subtract line 2e from line 1	3		1,584,383.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b	4c		0.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5		1,584,383.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	1,673,228.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII.)	2d	117,758.	
e	Add lines 2a through 2d	2e		117,758.
3	Subtract line 2e from line 1	3		1,555,470.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b	4c		0.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5		1,555,470.

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Part X, Line 2:

Explanation: Management believes that it has appropriate support for any tax positions taken, and as such, does not have any uncertain tax positions that are material to the financial statements. Therefore, no provision for income taxes has been made in the financial statements.

Part XI, Line 2d - Other Adjustments:

Direct expenses reported on Line 8b, Part VIII 117,758.

Part XII, Line 2d - Other Adjustments:

Direct expenses reported on Line 8b, Part VIII 117,758.

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		Ken-Ducky Derby		2	(add col. (a) through col. (c))
		(event type)	(event type)	(total number)	
Revenue	1	Gross receipts	168,268.	3,495.	171,763.
	2	Less: Contributions			
	3	Gross income (line 1 minus line 2)	168,268.	3,495.	171,763.
Direct Expenses	4	Cash prizes			
	5	Noncash prizes	19,822.		19,822.
	6	Rent/facility costs	1,903.		1,903.
	7	Food and beverages	12,459.		12,459.
	8	Entertainment			
	9	Other direct expenses	83,574.		83,574.
	10	Direct expense summary. Add lines 4 through 9 in column (d)			117,758.
	11	Net income summary. Subtract line 10 from line 3, column (d)			54,005.

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	1	Gross revenue			
Direct Expenses	2	Cash prizes			
	3	Noncash prizes			
	4	Rent/facility costs			
	5	Other direct expenses			
	6	Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No
	7	Direct expense summary. Add lines 2 through 5 in column (d)			
	8	Net gaming income summary. Subtract line 7 from line 1, column (d)			

9 Enter the state(s) in which the organization operates gaming activities: _____

a Is the organization licensed to operate gaming activities in each of these states? Yes No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? Yes No

b If "Yes," explain: _____

**SCHEDULE M
(Form 990)**

Noncash Contributions

OMB No. 1545-0047

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

- ▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
- ▶ Attach to Form 990.
- ▶ Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990

Name of the organization

Harbor House of Louisville, Inc.

Employer identification number

** - *** 6323

Part I	Types of Property	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1	Art - Works of art				
2	Art - Historical treasures				
3	Art - Fractional interests				
4	Books and publications				
5	Clothing and household goods				
6	Cars and other vehicles	X	1	18,387.	fair market value
7	Boats and planes				
8	Intellectual property				
9	Securities - Publicly traded				
10	Securities - Closely held stock				
11	Securities - Partnership, LLC, or trust interests				
12	Securities - Miscellaneous				
13	Qualified conservation contribution - Historic structures				
14	Qualified conservation contribution - Other				
15	Real estate - Residential				
16	Real estate - Commercial				
17	Real estate - Other				
18	Collectibles				
19	Food inventory				
20	Drugs and medical supplies				
21	Taxidermy				
22	Historical artifacts				
23	Scientific specimens				
24	Archeological artifacts				
25	Other ▶ (Printing)	X	5	7,375.	estimated fair marke
26	Other ▶ (Office equipm)	X	1	4,500.	estimated fair marke
27	Other ▶ (Food)	X	4	2,568.	estimated fair marke
28	Other ▶ (Advertising)	X	1	2,500.	estimated fair marke

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 - 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?		X
b If "Yes," describe the arrangement in Part II.		
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?		X
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?		X
b If "Yes," describe in Part II.		
33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) (2013)

Part II **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Part I, Other Types of Property:

Prizes

(a) Check if applicable = X

(b) Number of Contributions = 14

(c) Revenue Reported on Form 990, Part VIII \$ 1435.

(d) Method of determining revenue: estimated fair market value

Supplies

(a) Check if applicable = X

(b) Number of Contributions = 2

(c) Revenue Reported on Form 990, Part VIII \$ 294.

(d) Method of determining revenue: estimated fair market value

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990

OMB No. 1545-0047

2013

Open to Public
Inspection

Name of the organization

Harbor House of Louisville, Inc.

Employer identification number
-*6323

Form 990, Part VI, Section B, line 11:

Explanation: Board members receive a copy of Form 990 for their review
before it is filed.

Form 990, Part VI, Section B, Line 12c:

Explanation: Officers, directors, and key employees are asked annually to
disclose known or potential conflicts
of interest. Any transactions involving known or potential conflicts of
interest are reviewed for appropriateness.

Form 990, Part VI, Section B, Line 15:

Explanation: The Executive Committee of the Board of Directors performs an
annual written evaluation of the Chief Executive Officer. The Executive
Committee solicits input from other board members. The Chief Executive
Officer's performance is evaluated based on the goals and objectives that
have been established at the beginning of each year. The compensation
amount is approved by the Executive Committee and is determined primarily
through comparability data. The other officers of the organization are
evaluated internally on an annual basis and compensation is determined
primarily by comparability data.

Form 990, Part VI, Section C, Line 19:

Explanation: Harbor House makes its governing documents and conflict of
interest policy available upon request.

Financial statements are published in an annual report.

Asset No.	Description	Date Acquired	Method	Life	Line No.	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
	Buildings											
19	Building	070102	SL	39.00	16	134,498.			134,498.	36,214.		3,449.
22	Building	070103	SL	39.00	16	975,100.			975,100.	250,029.		25,003.
23	Building	070103	SL	39.00	16	22,000.			22,000.	5,640.		564.
30	Building	010104	SL	39.00	16	43,861.			43,861.	10,687.		1,125.
45	Fence	091407	SL	15.00	16	500.			500.	193.		33.
47	Respite House	072604	SL	25.00	16	59,000.			59,000.	7,080.		2,360.
48	Respite House flooring	063008	SL	25.00	16	5,200.			5,200.	416.		208.
49	Air conditioners (4)	091407	SL	15.00	16	1,873.			1,873.	729.		125.
51	Steel fence	100108	SL	15.00	16	8,200.			8,200.	2,598.		547.
55	Roof	033109	SL	33.00	16	10,126.			10,126.	1,305.		307.
56	Gazebo	010109	SL	20.00	16	4,672.			4,672.	1,053.		234.
57	Storage shed	040109	SL	20.00	16	2,969.			2,969.	629.		148.
58	Concrete pad for storage shed	032509	SL	20.00	16	3,799.			3,799.	807.		190.
62	Gazebo walkway	113009	SL	20.00	16	3,946.			3,946.	706.		197.
63	Respite House improvements	102709	SL	25.00	16	4,054.			4,054.	324.		162.
76	Rehab expenses	123110	SL	25.00	16	1,084.			1,084.	86.		43.
79	Rehab expenses	123110	SL	25.00	16	2,884.			2,884.	230.		115.

328102 05-01-13

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

2013 DEPRECIATION AND AMORTIZATION REPORT

Form 990 Page 10

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Asset No.	Description	Date Acquired	Method	Life	Line No.	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
84	Rehab expenses	080111	SL	25.00	16	4,998.			4,998.	383.		200.
85	Electric doors	070111	SL	10.00	16	10,216.			10,216.	2,044.		1,022.
86	Air conditioner	070112	SL	15.00	16	3,993.			3,993.	266.		266.
88	Automatic doors	031213	SL	15.00	16	15,680.			15,680.	348.		1,045.
92	Protective walls & window tinting	122613	SL	15.00	16	13,013.			13,013.			434.
	* 990 Page 10 Total Buildings					1,331,666.		0.	1,331,666.	321,767.	0.	37,777.
	Other											
1	Computer	073196	200DB	5.00	17	2,797.			2,797.	2,797.		0.
2	Laser printer	091696	200DB	5.00	17	876.			876.	876.		0.
3	Inkjet printer	090797	200DB	5.00	17	8,500.			8,500.	8,500.		0.
4	Computer	073196	200DB	5.00	17	1,276.			1,276.	1,276.		0.
5	Equipment	063098	SL	7.00	16	230.			230.	230.		0.
6	File cabinet	022399	SL	7.00	16	100.			100.	100.		0.
7	Zip & floppy drives	120199	SL	5.00	16	198.			198.	198.		0.
8	Server	100400	SL	5.00	16	4,095.			4,095.	4,095.		0.
9	Computers	100400	SL	5.00	16	2,442.			2,442.	2,442.		0.
10	Laserjet printer	100400	SL	5.00	16	2,893.			2,893.	2,893.		0.
11	Software	100400	SL	5.00	16	5,581.			5,581.	5,581.		0.

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05-01-13

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

2013 DEPRECIATION AND AMORTIZATION REPORT

Asset No.	Description	Date Acquired	Method	Life	Line No.	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
12	Print server	100700	SL	5.00	16	147.			147.	147.		0.
13	Stack chairs	050200	SL	7.00	16	1,372.			1,372.	1,372.		0.
14	MCS series scale	071700	SL	7.00	16	519.			519.	519.		0.
15	Vertical blinds	100900	SL	7.00	16	260.			260.	260.		0.
16	Washer/dryer	012400	SL	5.00	16	400.			400.	400.		0.
17	Mailing equipment	091701	SL	10.00	16	16,710.			16,710.	16,710.		0.
18	Computer software	110602	SL	5.00	16	3,265.			3,265.	3,265.		0.
20	Computer equipment	070103	SL	5.00	16	27,357.			27,357.	27,357.		0.
21	Office equipment	070103	SL	7.00	16	49,035.			49,035.	49,035.		0.
24	Equipment	070103	SL	7.00	16	34,200.			34,200.	34,200.		0.
25	Mail machine	042104	SL	10.00	16	15,400.			15,400.	14,117.		1,283.
26	Mail machine	071604	SL	10.00	16	3,750.			3,750.	3,344.		375.
27	Ricoh copier	082004	SL	5.00	16	3,826.			3,826.	3,826.		0.
28	Software	013104	SL	5.00	16	859.			859.	859.		0.
29	Software	013104	SL	5.00	16	509.			509.	509.		0.
31	Software	030205	SL	5.00	16	937.			937.	937.		0.
32	Server	030705	SL	5.00	16	3,278.			3,278.	3,183.		0.
33	Asmark 6 foot conveyor	072005	SL	10.00	16	2,235.			2,235.	1,773.		224.

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(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

2013 DEPRECIATION AND AMORTIZATION REPORT

Asset No.	Description	Date Acquired	Method	Life	Line No.	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
34	Martin Yale folding machine	072005	SL	10.00	16	2,762.			2,762.	2,185.		276.
35	Accufast KT2 tabbing machine	072005	SL	10.00	16	4,140.			4,140.	3,278.		414.
36	Secap 13k addressing machine	072005	SL	10.00	16	8,666.			8,666.	6,863.		867.
37	Dell laptop computer	072805	SL	5.00	16	973.			973.	973.		0.
38	Strapping machine	092906	SL	10.00	16	1,000.			1,000.	675.		100.
39	HP color Laserjet printer	013007	SL	5.00	16	1,208.			1,208.	1,208.		0.
40	Address printer, conveyor	030607	SL	10.00	16	20,741.			20,741.	13,135.		2,074.
41	Auto folder	033107	SL	10.00	16	5,495.			5,495.	3,437.		550.
42	Stacker	041907	SL	10.00	16	7,845.			7,845.	4,841.		785.
43	Address printer, conveyor	041907	SL	10.00	16	5,253.			5,253.	3,238.		525.
44	Computers	073107	SL	5.00	16	6,191.			6,191.	6,191.		0.
46	Savin copier	031508	SL	5.00	16	4,500.			4,500.	4,500.		0.
50	Computer server	100108	SL	5.00	16	6,161.			6,161.	5,852.		309.
52	Laptop computer	042609	SL	5.00	16	1,145.			1,145.	954.		191.
53	Stove	052109	SL	5.00	16	547.			547.	445.		102.
54	Dishwasher	052209	SL	5.00	16	467.			467.	380.		87.
59	Laptop computer	081609	SL	5.00	16	675.			675.	518.		135.
60	Computer	033110	SL	5.00	16	754.			754.	491.		151.

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05-01-13

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

2013 DEPRECIATION AND AMORTIZATION REPORT

Asset No.	Description	Date Acquired	Method	Life	Line No.	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
61	Projector	052110	SL	5.00	16	175.			175.	108.		35.
64	Transport van	033111	SL	5.00	16	23,401.			23,401.	10,530.		4,680.
65	Transport van	033111	SL	5.00	16	23,401.			23,401.	10,530.		4,680.
66	Laptop computer	093010	SL	3.00	16	709.			709.	649.		60.
67	Laptop computer	093010	SL	3.00	16	709.			709.	649.		60.
68	Laptop computer	093010	SL	3.00	16	709.			709.	649.		60.
69	Laptop computer	042711	SL	3.00	16	499.			499.	360.		139.
70	Monitor	050311	SL	3.00	16	180.			180.	130.		50.
71	Computer equipment	060911	SL	3.00	16	1,240.			1,240.	860.		380.
72	Picnic table	102210	SL	7.00	16	1,505.			1,505.	573.		215.
73	Swings	112310	SL	7.00	16	345.			345.	127.		49.
74	Appliances	111910	SL	7.00	16	2,524.			2,524.	722.		361.
75	Wheel chair lift	121410	SL	7.00	16	4,466.			4,466.	1,276.		638.
77	Furniture	121410	SL	7.00	16	1,288.			1,288.	368.		184.
78	Mattresses	062911	SL	7.00	16	844.			844.	242.		121.
80	Laptop computer	012611	SL	3.00	16	650.			650.	434.		126.
81	Telephone system	010112	SL	10.00	16	18,200.			18,200.	2,730.		1,820.
82	Desk	080111	SL	7.00	16	914.			914.	251.		131.

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(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

2013 DEPRECIATION AND AMORTIZATION REPORT

Form 990 Page 10

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Asset No.	Description	Date Acquired	Method	Life	Line No.	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
83	Fence-Respite House	080111	SL	15.00	16	7,500.			7,500.	958.		500.
87	Landscaping	013013	SL	15.00	16	4,020.			4,020.	112.		268.
89	Promethean Board	072913	SL	3.00	16	4,935.			4,935.			1,508.
90	Copier	080113	SL	5.00	16	4,500.			4,500.			825.
91	Server	082913	SL	5.00	16	13,349.			13,349.			2,225.
93	Architect fees	070114	SL	39.00	16	2,720.			2,720.			0.
	* 990 Page 10 Total					390,353.		0.	390,353.	282,223.	0.	27,563.
	* Grand Total 990					1,722,019.		0.	1,722,019.	603,990.	0.	65,340.
	Page 10 Depr											

Depreciation and Amortization 990
 (Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

Name(s) shown on return: **Harbor House of Louisville, Inc.** Business or activity to which this form relates: **Form 990 Page 10** Identifying number: **** - *** 6323**

Part I Election To Expense Certain Property Under Section 179 Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	500,000.
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation	3	2,000,000.
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2014. Add lines 9 and 10, less line 12	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	65,340.

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here <input type="checkbox"/>		

Section B - Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property	/		27.5 yrs.	MM	S/L	
	/		27.5 yrs.	MM	S/L	
i Nonresidential real property	/		39 yrs.	MM	S/L	
	/			MM	S/L	

Section C - Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20a	Class life				S/L	
b	12-year		12 yrs.		S/L	
c	40-year	/	40 yrs.	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instr.	22	65,340.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V Listed Property (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)
Note: For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.

Section A - Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles.)

24a Do you have evidence to support the business/investment use claimed? Yes No 24b If "Yes," is the evidence written? Yes No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use							25	
26 Property used more than 50% in a qualified business use:								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use:								
		%				S/L -		
		%				S/L -		
		%				S/L -		
28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1							28	
29 Add amounts in column (i), line 26. Enter here and on line 7, page 1								29

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

	(a) Vehicle		(b) Vehicle		(c) Vehicle		(d) Vehicle		(e) Vehicle		(f) Vehicle	
30 Total business/investment miles driven during the year (do not include commuting miles)												
31 Total commuting miles driven during the year												
32 Total other personal (noncommuting) miles driven												
33 Total miles driven during the year. Add lines 30 through 32												
34 Was the vehicle available for personal use during off-duty hours?	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons.

37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?	Yes	No
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use?		

Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.

Part VI Amortization

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2013 tax year:					
43 Amortization of costs that began before your 2013 tax year					43
44 Total. Add amounts in column (f). See the instructions for where to report					44

2013 DEPRECIATION AND AMORTIZATION REPORT

- CURRENT YEAR FEDERAL - Harbor House of Louisville, Inc.

Asset No.	Description	Date Acquired	Method	Life	Line No.	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
	Buildings											
19	Building	070102	SL	39.00	16	134,498.			134,498.	36,214.		3,449.
22	Building	070103	SL	39.00	16	975,100.			975,100.	250,029.		25,003.
23	Building	070103	SL	39.00	16	22,000.			22,000.	5,640.		564.
30	Building	010104	SL	39.00	16	43,861.			43,861.	10,687.		1,125.
45	Fence	091407	SL	15.00	16	500.			500.	193.		33.
47	Respite House	072604	SL	25.00	16	59,000.			59,000.	7,080.		2,360.
48	Respite House flooring	063008	SL	25.00	16	5,200.			5,200.	416.		208.
49	Air conditioners (4)	091407	SL	15.00	16	1,873.			1,873.	729.		125.
51	Steel fence	100108	SL	15.00	16	8,200.			8,200.	2,598.		547.
55	Roof	033109	SL	33.00	16	10,126.			10,126.	1,305.		307.
56	Gazebo	010109	SL	20.00	16	4,672.			4,672.	1,053.		234.
57	Storage shed	040109	SL	20.00	16	2,969.			2,969.	629.		148.
58	Concrete pad for storage shed	032509	SL	20.00	16	3,799.			3,799.	807.		190.
62	Gazebo walkway	113009	SL	20.00	16	3,946.			3,946.	706.		197.
63	Respite House improvements	102709	SL	25.00	16	4,054.			4,054.	324.		162.
76	Rehab expenses	123110	SL	25.00	16	1,084.			1,084.	86.		43.
79	Rehab expenses	123110	SL	25.00	16	2,884.			2,884.	230.		115.

328102
05-01-13

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

2013 DEPRECIATION AND AMORTIZATION REPORT

- CURRENT YEAR FEDERAL - Harbor House of Louisville, Inc.

Asset No.	Description	Date Acquired	Method	Life	Line No.	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
84	Rehab expenses	080111	SL	25.00	16	4,998.			4,998.	383.		200.
85	Electric doors	070111	SL	10.00	16	10,216.			10,216.	2,044.		1,022.
86	Air conditioner	070112	SL	15.00	16	3,993.			3,993.	266.		266.
88	Automatic doors	031213	SL	15.00	16	15,680.			15,680.	348.		1,045.
92	Protective walls & window tinting	122613	SL	15.00	16	13,013.			13,013.			434.
	* 990 Page 10 Total Buildings					1,331,666.		0.	1,331,666.	321,767.	0.	37,777.
	Other											
1	Computer	073196	200DB	5.00	17	2,797.			2,797.	2,797.		0.
2	Laser printer	091696	200DB	5.00	17	876.			876.	876.		0.
3	Inkjet printer	090797	200DB	5.00	17	8,500.			8,500.	8,500.		0.
4	Computer	073196	200DB	5.00	17	1,276.			1,276.	1,276.		0.
5	Equipment	063098	SL	7.00	16	230.			230.	230.		0.
6	File cabinet	022399	SL	7.00	16	100.			100.	100.		0.
7	Zip & floppy drives	120199	SL	5.00	16	198.			198.	198.		0.
8	Server	100400	SL	5.00	16	4,095.			4,095.	4,095.		0.
9	Computers	100400	SL	5.00	16	2,442.			2,442.	2,442.		0.
10	Laserjet printer	100400	SL	5.00	16	2,893.			2,893.	2,893.		0.
11	Software	100400	SL	5.00	16	5,581.			5,581.	5,581.		0.

2013 DEPRECIATION AND AMORTIZATION REPORT

- CURRENT YEAR FEDERAL - Harbor House of Louisville, Inc.

Asset No.	Description	Date Acquired	Method	Life	Line No.	Unadjusted Cost Or Basis	Bus % Excl	Reduction in Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
12	Print server	100700	SL	5.00	16	147.			147.	147.		0.
13	Stack chairs	050200	SL	7.00	16	1,372.			1,372.	1,372.		0.
14	MCS series scale	071700	SL	7.00	16	519.			519.	519.		0.
15	Vertical blinds	100900	SL	7.00	16	260.			260.	260.		0.
16	Washer/dryer	012400	SL	5.00	16	400.			400.	400.		0.
17	Mailing equipment	091701	SL	10.00	16	16,710.			16,710.	16,710.		0.
18	Computer software	110602	SL	5.00	16	3,265.			3,265.	3,265.		0.
20	Computer equipment	070103	SL	5.00	16	27,357.			27,357.	27,357.		0.
21	Office equipment	070103	SL	7.00	16	49,035.			49,035.	49,035.		0.
24	Equipment	070103	SL	7.00	16	34,200.			34,200.	34,200.		0.
25	Mail machine	042104	SL	10.00	16	15,400.			15,400.	14,117.		1,283.
26	Mail machine	071604	SL	10.00	16	3,750.			3,750.	3,344.		375.
27	Ricoh copier	082004	SL	5.00	16	3,826.			3,826.	3,826.		0.
28	Software	013104	SL	5.00	16	859.			859.	859.		0.
29	Software	013104	SL	5.00	16	509.			509.	509.		0.
31	Software	030205	SL	5.00	16	937.			937.	937.		0.
32	Server	030705	SL	5.00	16	3,278.			3,278.	3,183.		0.
33	Asmark 6 foot conveyor	072005	SL	10.00	16	2,235.			2,235.	1,773.		224.

328102
05-01-13

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

2013 DEPRECIATION AND AMORTIZATION REPORT

- CURRENT YEAR FEDERAL - Harbor House of Louisville, Inc.

Asset No.	Description	Date Acquired	Method	Life	Line No.	Unadjusted Cost Or Basis	Bus % Excl	Reduction in Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
34	Martin Yale folding machine	072005	SL	10.00	16	2,762.			2,762.	2,185.		276.
35	Accufast KT2 tabbing machine	072005	SL	10.00	16	4,140.			4,140.	3,278.		414.
36	Secap 13k addressing machine	072005	SL	10.00	16	8,666.			8,666.	6,863.		867.
37	Dell laptop computer	072805	SL	5.00	16	973.			973.	973.		0.
38	Strapping machine	092906	SL	10.00	16	1,000.			1,000.	675.		100.
39	HP color Laserjet printer	013007	SL	5.00	16	1,208.			1,208.	1,208.		0.
40	Address printer, conveyor	030607	SL	10.00	16	20,741.			20,741.	13,135.		2,074.
41	Auto folder	033107	SL	10.00	16	5,495.			5,495.	3,437.		550.
42	Stacker	041907	SL	10.00	16	7,845.			7,845.	4,841.		785.
43	Address printer, conveyor	041907	SL	10.00	16	5,253.			5,253.	3,238.		525.
44	Computers	073107	SL	5.00	16	6,191.			6,191.	6,191.		0.
46	Savin copier	031508	SL	5.00	16	4,500.			4,500.	4,500.		0.
50	Computer server	100108	SL	5.00	16	6,161.			6,161.	5,852.		309.
52	Laptop computer	042609	SL	5.00	16	1,145.			1,145.	954.		191.
53	Stove	052109	SL	5.00	16	547.			547.	445.		102.
54	Dishwasher	052209	SL	5.00	16	467.			467.	380.		87.
59	Laptop computer	081609	SL	5.00	16	675.			675.	518.		135.
60	Computer	033110	SL	5.00	16	754.			754.	491.		151.

328102
05-01-13

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

2013 DEPRECIATION AND AMORTIZATION REPORT

- CURRENT YEAR FEDERAL - Harbor House of Louisville, Inc.

Asset No.	Description	Date Acquired	Method	Life	Line No.	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
61	Projector	052110	SL	5.00	16	175.			175.	108.		35.
64	Transport van	033111	SL	5.00	16	23,401.			23,401.	10,530.		4,680.
65	Transport van	033111	SL	5.00	16	23,401.			23,401.	10,530.		4,680.
66	Laptop computer	093010	SL	3.00	16	709.			709.	649.		60.
67	Laptop computer	093010	SL	3.00	16	709.			709.	649.		60.
68	Laptop computer	093010	SL	3.00	16	709.			709.	649.		60.
69	Laptop computer	042711	SL	3.00	16	499.			499.	360.		139.
70	Monitor	050311	SL	3.00	16	180.			180.	130.		50.
71	Computer equipment	060911	SL	3.00	16	1,240.			1,240.	860.		380.
72	Picnic table	102210	SL	7.00	16	1,505.			1,505.	573.		215.
73	Swings	112310	SL	7.00	16	345.			345.	127.		49.
74	Appliances	111910	SL	7.00	16	2,524.			2,524.	722.		361.
75	Wheel chair lift	121410	SL	7.00	16	4,466.			4,466.	1,276.		638.
77	Furniture	121410	SL	7.00	16	1,288.			1,288.	368.		184.
78	Mattresses	062911	SL	7.00	16	844.			844.	242.		121.
80	Laptop computer	012611	SL	3.00	16	650.			650.	434.		126.
81	Telephone system	010112	SL	10.00	16	18,200.			18,200.	2,730.		1,820.
82	Desk	080111	SL	7.00	16	914.			914.	251.		131.

2013 DEPRECIATION AND AMORTIZATION REPORT

- CURRENT YEAR FEDERAL - Harbor House of Louisville, Inc.

Asset No.	Description	Date Acquired	Method	Life	Line No.	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
83	Fence-Respite House	080111	SL	15.00	16	7,500.			7,500.	958.		500.
87	Landscaping	013013	SL	15.00	16	4,020.			4,020.	112.		268.
89	Promethean Board	072913	SL	3.00	16	4,935.			4,935.			1,508.
90	Copier	080113	SL	5.00	16	4,500.			4,500.			825.
91	Server	082913	SL	5.00	16	13,349.			13,349.			2,225.
93	Architect fees	070114	SL	39.00	16	2,720.			2,720.			0.
	* 990 Page 10 Total											
	Other					390,353.		0.	390,353.	282,223.	0.	27,563.
	* Grand Total 990											
	Page 10 Depr					1,722,019.		0.	1,722,019.	603,990.	0.	65,340.

Application for Extension of Time To File an Exempt Organization Return

Department of the Treasury
Internal Revenue Service

▶ **File a separate application for each return.**
▶ **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
Type or print	Name of exempt organization or other filer, see instructions. Harbor House of Louisville, Inc.	Employer identification number (EIN) or **-***6323
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 2231 Lower Hunters Trace	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. Louisville, KY 40216	

Enter the Return code for the return that this application is for (file a separate application for each return) 01

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

The Organization

- The books are in the care of ▶ **2231 Lower Hunters Trace - Louisville, KY 40216**
Telephone No. ▶ **502-719-0072** Fax No. ▶ _____
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **February 15, 2015**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
 ▶ calendar year _____ or
 ▶ tax year beginning **JUL 1, 2013**, and ending **JUN 30, 2014**.

2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return
 Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

2014 DEPRECIATION AND AMORTIZATION REPORT

- NEXT YEAR FEDERAL - Harbor House of Louisville, Inc.

Asset No.	Description	Date Acquired	Method	Life	Unadjusted Cost Or Basis	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Amount Of Depreciation
	Buildings								
19	Building	070102	SL	39.00	134,498.		134,498.	39,663.	3,449.
22	Building	070103	SL	39.00	975,100.		975,100.	275,032.	25,003.
23	Building	070103	SL	39.00	22,000.		22,000.	6,204.	564.
30	Building	010104	SL	39.00	43,861.		43,861.	11,812.	1,125.
45	Fence	091407	SL	15.00	500.		500.	226.	33.
47	Respite House	072604	SL	25.00	59,000.		59,000.	9,440.	2,360.
48	Respite House flooring	063008	SL	25.00	5,200.		5,200.	624.	208.
49	Air conditioners (4)	091407	SL	15.00	1,873.		1,873.	854.	125.
51	Steel fence	100108	SL	15.00	8,200.		8,200.	3,145.	547.
55	Roof	033109	SL	33.00	10,126.		10,126.	1,612.	307.
56	Gazebo	010109	SL	20.00	4,672.		4,672.	1,287.	234.
57	Storage shed	040109	SL	20.00	2,969.		2,969.	777.	148.
58	Concrete pad for storage shed	032509	SL	20.00	3,799.		3,799.	997.	190.
62	Gazebo walkway	113009	SL	20.00	3,946.		3,946.	903.	197.
63	Respite House improvements	102709	SL	25.00	4,054.		4,054.	486.	162.
76	Rehab expenses	123110	SL	25.00	1,084.		1,084.	129.	43.
79	Rehab expenses	123110	SL	25.00	2,884.		2,884.	345.	115.
84	Rehab expenses	080111	SL	25.00	4,998.		4,998.	583.	200.
85	Electric doors	070111	SL	10.00	10,216.		10,216.	3,066.	1,022.
86	Air conditioner	070112	SL	15.00	3,993.		3,993.	532.	266.
88	Automatic doors	031213	SL	15.00	15,680.		15,680.	1,393.	1,045.
92	Protective walls & window tinting	122613	SL	15.00	13,013.		13,013.	434.	868.
	* 990 Page 10 Total Buildings				1,331,666.		1,331,666.	359,544.	38,211.
	Other								
1	Computer	073196	200DB	5.00	2,797.		2,797.	2,797.	0.
2	Laser printer	091696	200DB	5.00	876.		876.	876.	0.
3	Inkjet printer	090797	200DB	5.00	8,500.		8,500.	8,500.	0.
4	Computer	073196	200DB	5.00	1,276.		1,276.	1,276.	0.
5	Equipment	063098	SL	7.00	230.		230.	230.	0.
6	File cabinet	022399	SL	7.00	100.		100.	100.	0.
7	Zip & floppy drives	120199	SL	5.00	198.		198.	198.	0.
8	Server	100400	SL	5.00	4,095.		4,095.	4,095.	0.
9	Computers	100400	SL	5.00	2,442.		2,442.	2,442.	0.

2014 DEPRECIATION AND AMORTIZATION REPORT

- NEXT YEAR FEDERAL - Harbor House of Louisville, Inc.

Asset No.	Description	Date Acquired	Method	Life	Unadjusted Cost Or Basis	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Amount Of Depreciation
10	Laserjet printer	100400	SL	5.00	2,893.		2,893.	2,893.	0.
11	Software	100400	SL	5.00	5,581.		5,581.	5,581.	0.
12	Print server	100700	SL	5.00	147.		147.	147.	0.
13	Stack chairs	050200	SL	7.00	1,372.		1,372.	1,372.	0.
14	MCS series scale	071700	SL	7.00	519.		519.	519.	0.
15	Vertical blinds	100900	SL	7.00	260.		260.	260.	0.
16	Washer/dryer	012400	SL	5.00	400.		400.	400.	0.
17	Mailing equipment	091701	SL	10.00	16,710.		16,710.	16,710.	0.
18	Computer software	110602	SL	5.00	3,265.		3,265.	3,265.	0.
20	Computer equipment	070103	SL	5.00	27,357.		27,357.	27,357.	0.
21	Office equipment	070103	SL	7.00	49,035.		49,035.	49,035.	0.
24	Equipment	070103	SL	7.00	34,200.		34,200.	34,200.	0.
25	Mail machine	042104	SL	10.00	15,400.		15,400.	15,400.	0.
26	Mail machine	071604	SL	10.00	3,750.		3,750.	3,719.	31.
27	Ricoh copier	082004	SL	5.00	3,826.		3,826.	3,826.	0.
28	Software	013104	SL	5.00	859.		859.	859.	0.
29	Software	013104	SL	5.00	509.		509.	509.	0.
31	Software	030205	SL	5.00	937.		937.	937.	0.
32	Server	030705	SL	5.00	3,278.		3,278.	3,183.	0.
33	Asmark 6 foot conveyor	072005	SL	10.00	2,235.		2,235.	1,997.	224.
34	Martin Yale folding machine	072005	SL	10.00	2,762.		2,762.	2,461.	276.
35	Accufast KT2 tabbing machine	072005	SL	10.00	4,140.		4,140.	3,692.	414.
36	Secap 13k addressing machine	072005	SL	10.00	8,666.		8,666.	7,730.	867.
37	Dell laptop computer	072805	SL	5.00	973.		973.	973.	0.
38	Strapping machine	092906	SL	10.00	1,000.		1,000.	775.	100.
39	HP color Laserjet printer	013007	SL	5.00	1,208.		1,208.	1,208.	0.
40	Address printer, conveyor	030607	SL	10.00	20,741.		20,741.	15,209.	2,074.
41	Auto folder	033107	SL	10.00	5,495.		5,495.	3,987.	550.
42	Stacker	041907	SL	10.00	7,845.		7,845.	5,626.	785.
43	Address printer, conveyor	041907	SL	10.00	5,253.		5,253.	3,763.	525.
44	Computers	073107	SL	5.00	6,191.		6,191.	6,191.	0.
46	Savin copier	031508	SL	5.00	4,500.		4,500.	4,500.	0.
50	Computer server	100108	SL	5.00	6,161.		6,161.	6,161.	0.
52	Laptop computer	042609	SL	5.00	1,145.		1,145.	1,145.	0.

2014 DEPRECIATION AND AMORTIZATION REPORT

- NEXT YEAR FEDERAL - Harbor House of Louisville, Inc.

Asset No.	Description	Date Acquired	Method	Life	Unadjusted Cost Or Basis	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Amount Of Depreciation
53	Stove	052109	SL	5.00	547.		547.	545.	0.
54	Dishwasher	052209	SL	5.00	467.		467.	467.	0.
59	Laptop computer	081609	SL	5.00	675.		675.	653.	22.
60	Computer	033110	SL	5.00	754.		754.	642.	112.
61	Projector	052110	SL	5.00	175.		175.	143.	32.
64	Transport van	033111	SL	5.00	23,401.		23,401.	15,210.	4,680.
65	Transport van	033111	SL	5.00	23,401.		23,401.	15,210.	4,680.
66	Laptop computer	093010	SL	3.00	709.		709.	709.	0.
67	Laptop computer	093010	SL	3.00	709.		709.	709.	0.
68	Laptop computer	093010	SL	3.00	709.		709.	709.	0.
69	Laptop computer	042711	SL	3.00	499.		499.	499.	0.
70	Monitor	050311	SL	3.00	180.		180.	180.	0.
71	Computer equipment	060911	SL	3.00	1,240.		1,240.	1,240.	0.
72	Picnic table	102210	SL	7.00	1,505.		1,505.	788.	215.
73	Swings	112310	SL	7.00	345.		345.	176.	49.
74	Appliances	111910	SL	7.00	2,524.		2,524.	1,083.	361.
75	Wheel chair lift	121410	SL	7.00	4,466.		4,466.	1,914.	638.
77	Furniture	121410	SL	7.00	1,288.		1,288.	552.	184.
78	Mattresses	062911	SL	7.00	844.		844.	363.	121.
80	Laptop computer	012611	SL	3.00	650.		650.	560.	0.
81	Telephone system	010112	SL	10.00	18,200.		18,200.	4,550.	1,820.
82	Desk	080111	SL	7.00	914.		914.	382.	131.
83	Fence-Respite House	080111	SL	15.00	7,500.		7,500.	1,458.	500.
87	Landscaping	013013	SL	15.00	4,020.		4,020.	380.	268.
89	Promethean Board	072913	SL	3.00	4,935.		4,935.	1,508.	1,645.
90	Copier	080113	SL	5.00	4,500.		4,500.	825.	900.
91	Server	082913	SL	5.00	13,349.		13,349.	2,225.	2,670.
93	Architect fees	070114	SL	39.00	2,720.		2,720.		70.
	* 990 Page 10 Total Other				390,353.		390,353.	309,784.	24,944.
	* Grand Total 990 Page 10 Depr				1,722,019.		1,722,019.	669,328.	63,155.



2231 Lower Hunters Trace
Louisville, Kentucky 40216
(502) 719-0072
(502) 719-0078 (Fax)
www.hhlou.org

"A Certified Training & Development Center for Individuals with Developmental Disabilities"

June 30, 2015

Mr. and Mrs. Ray Pike

Dear Ray and Rosie:

On behalf of our deserving participants, wonderful volunteers and dedicated staff, please accept my sincere gratitude for your generous in-kind donation, as listed below. Your donation will be used at our Feathers & Friends Event to be held on Thursday, August 13, 2015 on the Belle of Louisville or as a prize at our 12th Annual Ken-Ducky Derby at Waterfront Park in Downtown Louisville. The Ken-Ducky Derby serves as Harbor House's signature fundraiser and is well known throughout the community.

We would love for you to see firsthand and meet the people your contribution is helping and there is no better way than to join us for a tour. If you have never attended a tour at Harbor House, please contact Dee Pilkerton at 719-0072 ext. 529 or at dpilkerton@hhlou.org to schedule a day and time that works best for you.

Again, thank you for your generous in-kind donation. Together we are able to continue fulfilling our mission here at Harbor House to empower individuals with disabilities and their families to lead fulfilled and productive lives.

Sincerely,

Maria Smith
CEO

In Kind Donation: A Donation of a 20x10 Fish Tank and Supplies

Cc: file
* No goods or services were provided



2231 Lower Hunters Trace
Louisville, Kentucky 40216
(502) 719-0072
(502) 719-0078 (Fax)
www.hhlou.org

"A Certified Training & Development Center for Individuals with Developmental Disabilities"

June 30, 2015

Ms. Susan Seiller
Jack Fry's
1007 Bardstown Rd
Louisville, KY 40204-1317

Dear Susan:

On behalf of our deserving participants, wonderful volunteers and dedicated staff, please accept my sincere gratitude for your generous in-kind donation, as listed below. Your donation will be used at our Feathers & Friends Event to be held on Thursday, August 13, 2015 on the Belle of Louisville or as a prize at our 12th Annual Ken-Ducky Derby at Waterfront Park in Downtown Louisville. The Ken-Ducky Derby serves as Harbor House's signature fundraiser and is well known throughout the community.

We would love for you to see firsthand and meet the people your contribution is helping and there is no better way than to join us for a tour. If you have never attended a tour at Harbor House, please contact Dee Pilkerton at 719-0072 ext. 529 or at dpilkerton@hhlou.org to schedule a day and time that works best for you.

Again, thank you for your generous in-kind donation. Together we are able to continue fulfilling our mission here at Harbor House to empower individuals with disabilities and their families to lead fulfilled and productive lives.

Sincerely,

Maria Smith
CEO

In Kind Donation: A Donation for a Lunch for 4 at Jack Fry's, excludes alcohol and gratuity.

Cc: file
* No goods or services were provided



2231 Lower Hunters Trace
Louisville, Kentucky 40216
(502) 719-0072
(502) 719-0078 (Fax)
www.hhlou.org

"A Certified Training & Development Center for Individuals with Developmental Disabilities"

June 30, 2015

Kentucky Horse Park
4089 Iron Works Parkway
Lexington, KY 40511

Dear Charity Partner:

On behalf of our deserving participants, wonderful volunteers and dedicated staff, please accept my sincere gratitude for your generous in-kind donation, as listed below. Your donation will be used at our Feathers & Friends Event to be held on Thursday, August 13, 2015 on the Belle of Louisville or as a prize at our 12th Annual Ken-Ducky Derby at Waterfront Park in Downtown Louisville. The Ken-Ducky Derby serves as Harbor House's signature fundraiser and is well known throughout the community.

We would love for you to see firsthand and meet the people your contribution is helping and there is no better way than to join us for a tour. If you have never attended a tour at Harbor House, please contact Dee Pilkerton at 719-0072 ext. 529 or at dpilkerton@hhlou.org to schedule a day and time that works best for you.

Again, thank you for your generous in-kind donation. Together we are able to continue fulfilling our mission here at Harbor House to empower individuals with disabilities and their families to lead fulfilled and productive lives.

Sincerely,

Maria Smith
CEO

In Kind Donation: A Donation of a Family 4 Pack Voucher at Kentucky Horse Park,
valued at \$64.00

Cc: file
* No goods or services were provided

Parthenon Dianna Saylor Letter 9900 Corporate Campus Dr Ste 200 Louisville, Ky 40223	6/25/2015	Invoice # 5962
Actual Size of Mailing Or Fulfillment	512	
Mail Prep Detail: (Per Piece)		
Number of Inserts	1	
Number of Folds	1	
Number of Tabs	0	
Mail Pre-Preparation Detail: (Per DataBase)	(# of DB)	
Cass & Pave Certification-includes street standardization of entire mail list to USPS specifications, including zip+4. Presort to USPS requirements.	0	\$ -
Print mailing reports & tray labels		
Database Preparation Detail Includes the following: (Per DataBase)	1	\$ 51.50
Review of database records, purge duplicates.		
Clean-up of all local addresses that are located in phonebook		
Cass & Pave Certification-includes street standardization of entire mail list to USPS specifications, including zip+4. Presort to USPS requirements.		
Print mailing reports & tray labels		
Inkjetting or Printing Label Charges:		
Inkjetting directing on mail pieces and setup	y	\$ 15.36
Printing Tanuem Labels and applied by machine and setup	0	\$ -
Printing Tanuem Labels and applied by hand	0	\$ -
Apply labels provided by customer	0	\$ -
Manually presorting of mailing list	0	\$ -
Set-up Mail Merge Data:		
Printing of Mail Merge Letters	0	\$ -
Printing of 2nd page of mail merge letter	0	\$ -
Visual matching of Letters to be inserted	0	\$ -
Printing or copying of brochures of flyers, etc	512	\$ 47.10
Color Printing or Color Copying of brochures, flyers, etc. Page 1 (or mm)	0	\$ -
Color printing of 2nd page or w/colored indicia's, logo's, etc. or other colored page 2	0	\$ -
Folding Charges:		
Folding of first page	y	\$ 9.73
Folding of second page	0	FALSE
Nesting or Inserting Charges:		
Nesting of inserts a certain way 2 pages or a page and remittance env.	0	\$ -
Each additional Page to be nested	0	\$ -
Inserting 1 page	y	\$ 9.22
Each additional page	y	\$ -
Tabbing Charges:		
First White tab applied	0	\$ -
2nd White tab or each additional tab	0	\$ -
First Clear tab applied	0	\$ -
2nd Clear tab or each additional tab	0	\$ -
Sealing Charges:		
Sealing by hand	0	\$ -
Sealing by machine	y	\$ 8.70
Applying Postage Charges:		
Applying postage and sealing by meter machine	0	\$ -
Applying a live stamp	0	\$ -
Final mail preparation for Sacks/Trays	y	\$ 4.56
Mail Preparation Totals		\$ 146.17
ADD: Surcharge Adjustment (\$.02 added per piece)		\$0.00
MAIL PREP TOTAL CHARGES		\$146.17
Price per piece for mail preparation		\$0.2855
Delivery Charges:		
Pick-up and delivery charges \$20.00 up to 99 lbs. (pick up materials OR return materials-\$20 + wgt chg)	1	\$20.00
\$20.00 per ea addl 100 lbs. up to 999 lbs then: \$25.00 per ea addl 100 lbs. up to 1999 lbs: Over 2000 lbs, double wgt chg	15	\$0.00
		\$0.00
Number of runs to post office (each run = \$32.00 + wgt chg)	1	\$45.00
\$20.00 per ea addl 100 lbs. up to 999 lbs then: \$25.00 per ea addl 100 lbs. up to 1999 lbs: Over 2000 lbs, double wgt chg	25	\$ -
DELIVERY CHARGES		\$65.00
11817-999=10818 @ 25 per 1000 = \$275 and 999/100 = 9.99 up to 10 x \$20 per = \$200		
SUBTOTAL		\$211.17
Prepaid Postage Amount by Customers	\$0.00	\$130.65
Customer's Permit Imprint Number (Indicia)		
Postage Meter Permit Number #4232		
Postage Affixed Number #209		
Harbor House Indicia Permit Imprint #1151		
MAIL TOTAL		\$341.82
		\$ 0.6676

Internal Revenue Service

**Department of the Treasury
P. O. Box 2508
Cincinnati, OH 45201**

Date: April 21, 2005

HARBOR HOUSE OF LOUISVILLE INC
2231 LOWER HUNTERS TRCE
LOUISVILLE KY 40216-1358

Person to Contact:

Stephanie Swartzbaugh 31-07594
Customer Service Specialist

Toll Free Telephone Number:

8:30 a.m. to 5:30 p.m. ET
877-829-5500

Fax Number:

513-263-3756

Federal Identification Number:

██████████

Dear Sir or Madam:

This is in response to your request of April 21, 2005, regarding your organization's tax-exempt status.

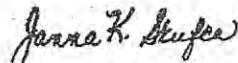
In August 1992 we issued a determination letter that recognized your organization as exempt from federal income tax. Our records indicate that your organization is currently exempt under section 501(c)(3) of the Internal Revenue Code.

Our records indicate that your organization is also classified as a public charity under section 509(a)(2) of the Internal Revenue Code.

Our records indicate that contributions to your organization are deductible under section 170 of the Code, and that you are qualified to receive tax deductible bequests, devises, transfers or gifts under section 2055, 2106 or 2522 of the Internal Revenue Code.

If you have any questions, please call us at the telephone number shown in the heading of this letter.

Sincerely,



Janna K. Skufca, Director, TE/GE
Customer Account Services

BUDGET FOR FISCAL YEAR FY2016

REVENUES:	
In-Kind Contributions	30,000
Contributions	60,000
Contributions Benevon	80,000
Grants	118,000
Private Pay Services	31,000
Participant Act. Fees	2,400
Medicaid CUS	80,400
Personal Assistance	40,020
Medicaid Resp'te	27,000
Medicaid SE	6,000
Medicaid OT	0
Medicaid ST	0
Medicaid PT	0
Medicaid ADT On-Site	850,000
Vocational Rehab	209,000
Mail Revenue	90,000
Promotional Sales	1,250
KDD Spons. Revenue	85,000
KDD Duck Sales	85,000
KDD Merchandise	4,000
KDD Booth Sponsor	300
KDD Donation	15,000
Management Fees	40,000
Miscellaneous Income	250

TOTAL REVENUE

\$1,854,620.00

EXPENSES:	
PR/Marketing	80,000
HH Autos	10,000
Dues & Subscriptions	9,000
Equip Maint/Contracts	10,250
Liability Insurance	15,432
D & O Liability Ins.	2,340
Workers Comp	9,669
Interest Expense	500
Janitorial	5,000
Bank Charges	2,868
Depreciation	77,400
Provider Tax	30,700
Rent	3,700
Printing	7,250
Supplies	31,940

Income Statement
For The 11 Periods Ended 5/31/2015

Harbor House of Louisville (HH1)

Revenue	Period to Date	ORIGINAL		Variance	Var %	Year to Date	ORIGINAL		Variance	Var %
		PTD Budget	YTD Budget				YTD Budget	YTD Budget		
4030	In Kind Contributions	\$ 2,940	\$ 1,200	\$ 1,740	145.00	\$ 27,357	\$ 36,800	\$ -9,443	-25.66	
4100	Contributions	\$ 14,356	\$ 1,500	\$ 12,856	857.07	\$ 97,351	\$ 139,000	\$ -41,649	-29.96	
4110	Grants	\$ 0	\$ 6,444	\$ -6,444	-100.00	\$ 96,774	\$ 111,552	\$ -14,778	-13.25	
4200	Tuition Clients	\$ 3,888	\$ 725	\$ 3,163	436.28	\$ 21,008	\$ 7,975	\$ 13,033	163.42	
4205	Participant Fees	\$ 335	\$ 200	\$ 135	67.50	\$ 2,403	\$ 2,200	\$ 203	9.23	
4210	Medical	\$ 81,283	\$ 70,246	\$ 11,037	15.71	\$ 845,561	\$ 842,586	\$ 2,965	0.35	
4220	Vocational Rehab	\$ 5,000	\$ 15,416	\$ -10,416	-67.57	\$ 118,200	\$ 169,576	\$ -51,376	-30.30	
4300	Mail Sales Revenue	\$ 2,777	\$ 7,500	\$ -4,723	-62.97	\$ 58,532	\$ 82,500	\$ -23,968	-29.05	
4310	Mail Postage Revenue	\$ 378	\$ 0	\$ 378	0.00	\$ 15,472	\$ 0	\$ 15,472	0.00	
4410	Appreciation DEV SPON	\$ 0	\$ 0	\$ 0	0.00	\$ 700	\$ 0	\$ 700	0.00	
4440	Promotional Sales	\$ 0	\$ 250	\$ -250	-100.00	\$ 731	\$ 2,750	\$ -2,019	-73.42	
4450	KDD-Sponsor	\$ 0	\$ 0	\$ 0	0.00	\$ 85,053	\$ 68,000	\$ 17,053	25.08	
4451	Duck Sales	\$ 0	\$ 0	\$ 0	0.00	\$ 69,848	\$ 100,000	\$ -30,152	-30.15	
4452	KDD Merchandise	\$ 0	\$ 0	\$ 0	0.00	\$ 4,287	\$ 4,000	\$ 287	7.18	
4453	KDD Booth Fee	\$ 0	\$ 0	\$ 0	0.00	\$ 310	\$ 600	\$ -290	-48.33	
4455	KDD Donation	\$ 0	\$ 0	\$ 0	0.00	\$ 14,490	\$ 15,000	\$ -510	-3.40	
4480	Vending Machine Revenue	\$ 0	\$ 0	\$ 0	0.00	\$ 38	\$ 0	\$ 38	0.00	
4490	Management Fees	\$ 3,288	\$ 3,195	\$ 93	2.91	\$ 39,784	\$ 35,145	\$ 4,639	13.20	
4505	Miscellaneous Income	\$ 0	\$ 15	\$ -15	-100.00	\$ 638	\$ 335	\$ 303	90.45	
4510	Interest Income	\$ 2	\$ 0	\$ 2	0.00	\$ 48	\$ 0	\$ 48	0.00	
	Total Revenue:	\$ 114,247	\$ 106,691	\$ 7,556	7.08	\$ 1,498,585	\$ 1,618,029	\$ -119,444	-7.38	
	Gross Profit:	\$ 114,247	\$ 106,691	\$ 7,556	7.08	\$ 1,498,585	\$ 1,618,029	\$ -119,444	-7.38	
	Expenses									
6100	PR/Marketing	\$ 5,049	\$ 1,667	\$ -3,382	-202.88	\$ 88,519	\$ 78,335	\$ -10,184	-13.00	
6110	HH Auto PRO CH	\$ 100	\$ 400	\$ 300	75.00	\$ 4,417	\$ 9,600	\$ 5,183	53.99	
6120	Dues & Subscription	\$ 0	\$ 750	\$ -750	100.00	\$ 9,174	\$ 8,250	\$ 924	-11.20	
6130	Eqpt Maint/Contract	\$ 462	\$ 667	\$ 205	30.73	\$ 9,789	\$ 7,337	\$ 2,452	-33.42	
6135	MASS90 Main/Contracts	\$ 38	\$ 800	\$ 762	95.25	\$ 2,079	\$ 6,500	\$ 4,421	68.02	
6220	Liability Insurance	\$ 1,189	\$ 50	\$ -1,139	-2,278.00	\$ 15,336	\$ 16,700	\$ 1,364	8.17	
6230	Workmans Comp	\$ 574	\$ 681	\$ 107	15.71	\$ 11,545	\$ 8,000	\$ 3,545	-44.31	
6235	KY Unemployment Insurance	\$ 0	\$ 0	\$ 0	0.00	\$ -408	\$ 0	\$ 408	0.00	
6240	Interest Expense	\$ 0	\$ 125	\$ -125	100.00	\$ 49	\$ 1,375	\$ 1,326	96.44	
6250	Janitorial	\$ 742	\$ 250	\$ -492	-196.80	\$ 4,701	\$ 2,750	\$ 1,951	-70.95	
6260	Bank Service Charge	\$ 211	\$ 85	\$ -126	-148.24	\$ 2,968	\$ 1,915	\$ 1,053	-54.99	
6270	Depreciation Expense	\$ 6,449	\$ 5,833	\$ -616	-10.56	\$ 60,809	\$ 64,163	\$ 3,354	5.23	
6280	Health Care Provider Tax	\$ 2,175	\$ 2,500	\$ 325	13.00	\$ 27,074	\$ 27,500	\$ 426	1.55	
6300	Rent Expense	\$ 0	\$ 118	\$ -118	100.00	\$ 3,403	\$ 3,582	\$ 179	5.00	

Run Date: 6/30/2015 8:36:16AM

G/L Date: 6/30/2015

Income Statement
For The 11 Periods Ended 5/31/2015

Harbor House of Louisville (HH1)

	Period to Date				Year to Date			
	ORIGINAL PTD Budget	Variance	Var %	YTD Budget	Variance	Var %		
6310 Printing	\$ 2,940	\$ -2,940	0.00	\$ 7,650	\$ 4,350	36.25		
6320 Supplies	\$ 1,377	\$ 122	8.14	\$ 32,862	\$ -2,373	-7.78		
6321 Durable Medical Equipment	\$ 0	\$ 916	100.00	\$ 3,091	\$ 6,985	69.32		
6330 Postage & Delivery	\$ 475	\$ -475	0.00	\$ 7,490	\$ -3,490	-87.25		
6331 Postage Mail Sales	\$ 384	\$ 1,782	82.27	\$ 16,398	\$ 7,428	31.18		
6332 Delivery Charges	\$ 10	\$ 198	95.19	\$ 175	\$ 2,288	92.35		
6340 Building Maintenance	\$ 2,275	\$ -1,775	-355.00	\$ 7,222	\$ -1,722	-31.31		
6345 Office Equip/Maint	\$ 0	\$ 0	0.00	\$ 8,089	\$ -8,089	0.00		
6350 Building Supplies Respice Hous	\$ 0	\$ 0	0.00	\$ 16	\$ -16	0.00		
6390 Activities Expense	\$ 372	\$ -164	-78.85	\$ 3,296	\$ -2,288	-44.06		
6400 Employee Benefits	\$ 6,616	\$ 3,454	34.30	\$ 79,315	\$ 15,615	16.45		
6410 Payroll Salary Expense	\$ 83,344	\$ 7,796	8.55	\$ 888,706	\$ 55,883	5.92		
6420 Payroll Tax Expense	\$ 6,146	\$ 826	11.85	\$ 64,801	\$ 7,466	10.33		
6500 Telephone Expense	\$ 533	\$ 50	8.58	\$ 6,116	\$ 297	4.63		
6510 Utilities Expense	\$ 1,287	\$ 13	1.00	\$ 13,774	\$ 1,426	9.38		
6530 Training	\$ 16	\$ 276	94.52	\$ 3,373	\$ -165	-5.14		
6540 Travel	\$ 2,201	\$ -351	-18.97	\$ 18,531	\$ 1,819	8.94		
6550 Meal & Entertainment	\$ 256	\$ 268	51.15	\$ 23,253	\$ 1,113	4.57		
6560 Professional Fees	\$ 4,958	\$ 1,837	27.03	\$ 98,195	\$ -19,490	-24.76		
6570 Licenses & Permits	\$ 250	\$ 0	0.00	\$ 5,535	\$ 415	6.97		
6580 Bad Debu/Wrie Off	\$ 0	\$ 0	0.00	\$ 347	\$ -347	0.00		
6581 Contractual Medicaid	\$ 0	\$ 0	0.00	\$ 1,943	\$ -1,943	0.00		
Total Expenses:	\$ 130,429	\$ 8,770	6.30	\$ 1,529,633	\$ 62,819	3.94		
Net Income from Operations:	\$ -16,182	\$ 16,326	50.22	\$ -31,048	\$ -56,625	-221.39		
Earnings Before Income Tax:	\$ -16,182	\$ 16,326	50.22	\$ -31,048	\$ -56,625	-221.39		
Net Income (Loss):	\$ -16,182	\$ 16,326	50.22	\$ -31,048	\$ -56,625	-221.39		

**Harbor House of Louisville, Inc.
and
Harbor House Home Sweet Home, Inc.**

**Consolidated Financial Statements
and
Supplementary Information**

Year Ended June 30, 2014

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Smith Financial Services, PLLC

David L. Smith, CPA
408 Blankenbaker Parkway
Suite 201
Louisville, Kentucky 40243

Telephone: 502-882-2708
Fax: 1-866-655-0739
E-mail: david@dlsmithcpa.com
Website: dlsmithcpa.com

Independent Auditor's Report

To the Board of Directors
Harbor House of Louisville, Inc.
Harbor House Home Sweet Home, Inc.
Louisville, Kentucky

We have audited the accompanying consolidated financial statements of Harbor House of Louisville, Inc. and Harbor House Home Sweet Home, Inc. (not-for-profit organizations), which comprise the consolidated statement of financial position as of June 30, 2014 and the related consolidated statements of activities, functional expenses, and cash flows for the year then ended, and the related notes to the consolidated financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these consolidated financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these consolidated financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the consolidated financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the consolidated financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the consolidated financial statements, whether due to fraud or error.

(continued)

In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the consolidated financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the consolidated financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the consolidated financial statements referred to above present fairly, in all material respects, the financial position of Harbor House of Louisville, Inc. and Harbor House Home Sweet Home, Inc. as of June 30, 2014, and the changes in their net assets and cash flows for the year then ended, in accordance with accounting principles generally accepted in the United States of America.

Other Matters

The summarized comparative information presented as of and for the year ended June 30, 2013 was derived from the financial statements of Harbor House of Louisville, Inc. (not consolidated) that were audited by other auditors whose report dated September 16, 2013, expressed an unmodified opinion.

Smith Financial Services, PLLC

Louisville, Kentucky
September 15, 2014

Harbor House of Louisville, Inc.
and
Harbor House Home Sweet Home, Inc.

Consolidated Statement of Financial Position
June 30, 2014
(with comparative totals as of June 30, 2013)

Assets

	<u>2014</u>	<u>2013</u>
Current Assets		
Cash (Note B)	\$ 123,600	\$ 23,416
Accounts receivable (Note C)	155,000	152,486
Unconditional promises to give:		
Pledges receivable (Note D)	55,631	53,389
Contributions and grants receivable (Note E)	46,250	20,500
Prepaid expenses	13,268	20,170
Total current assets	<u>393,749</u>	<u>269,961</u>
Unconditional promises to give--long term		
Pledges receivable (Note D)	<u>44,294</u>	<u>67,054</u>
Property and Equipment (Notes F)		
Equipment, furniture and vehicles	377,138	354,354
Building and leasehold improvements	1,347,454	1,329,148
	<u>1,724,592</u>	<u>1,683,502</u>
Less accumulated depreciation	669,330	603,990
	<u>1,055,262</u>	<u>1,079,512</u>
Total assets	<u>\$ 1,493,305</u>	<u>\$ 1,416,527</u>

Liabilities and Net Assets

Current Liabilities		
Accounts payable	\$ 16,565	\$ 1,102
Accrued and withheld payroll taxes	23,876	18,307
Accrued expenses	54,441	51,796
Current portion of long-term debt	-	61,972
Deferred revenue (Note A)	28,885	27,480
Total current liabilities	<u>123,767</u>	<u>160,657</u>
Net Assets (Notes A and G)		
Unrestricted	1,139,608	1,129,927
Temporarily restricted	229,930	125,943
Total net assets	<u>1,369,538</u>	<u>1,255,870</u>
Total liabilities and net assets	<u>\$ 1,493,305</u>	<u>\$ 1,416,527</u>

See Notes to Consolidated Financial Statements

Harbor House of Louisville, Inc.
and
Harbor House Home Sweet Home, Inc.

Consolidated Statement of Activities
Year Ended June 30, 2014
(with comparative totals for 2013)

	2014			2013 Total
	Unrestricted	Temporarily Restricted	Total	
Revenues and Support:				
Program service income (Notes A,B,C)	\$ 1,224,784	\$ -	\$ 1,224,784	\$ 1,211,923
Contributions and grants (Notes A,D,E)	88,445	305,220	393,665	301,581
Fund-raising events	171,763	-	171,763	157,633
Interest income	9	-	9	23
	<u>1,485,001</u>	<u>305,220</u>	<u>1,790,221</u>	<u>1,671,160</u>
Net assets released from restrictions	201,233	(201,233)	-	-
Total revenues and support	<u>1,686,234</u>	<u>103,987</u>	<u>1,790,221</u>	<u>1,671,160</u>
Expenses: (Note A)				
Program services	1,348,947	-	1,348,947	1,285,422
Management and general	187,214	-	187,214	160,223
Fund-raising (Note J)	140,392	-	140,392	136,333
	<u>1,676,553</u>	<u>-</u>	<u>1,676,553</u>	<u>1,581,978</u>
Total expenses	<u>1,676,553</u>	<u>-</u>	<u>1,676,553</u>	<u>1,581,978</u>
Increase in net assets	9,681	103,987	113,668	89,182
Net assets at beginning of year	1,129,927	125,943	1,255,870	1,166,688
Net assets at end of year (Note G)	<u>\$ 1,139,608</u>	<u>\$ 229,930</u>	<u>\$ 1,369,538</u>	<u>\$ 1,255,870</u>

See Notes to Consolidated Financial Statements

Harbor House of Louisville, Inc.
and
Harbor House Home Sweet Home, Inc.

Consolidated Statement of Functional Expenses
Year Ended June 30, 2014
(with comparative totals for 2013)

	2014				2013 Total
	Program Services	Management and general	Fund raising	Total	
Wages and salaries	\$ 894,222	\$ 68,039	\$ 9,719	\$ 971,980	\$ 888,391
Payroll taxes	66,766	5,080	726	72,572	64,719
Employee benefits	86,096	6,551	936	93,583	79,331
Postage & delivery	24,735	1,579	-	26,314	24,930
Rent	1,416	-	-	1,416	1,908
Depreciation	65,340	-	-	65,340	62,119
Supplies	16,350	10,900	-	27,250	40,644
Repairs and maintenance	28,497	582	-	29,079	33,488
Professional fees	30,016	30,784	-	60,800	69,104
Printing and production	5,205	2,603	2,602	10,410	8,341
Utilities	12,937	2,392	202	15,531	14,083
Advertising	4,821	-	7,231	12,052	14,907
Janitorial services	2,121	299	7	2,427	4,351
Interest	3,377	-	-	3,377	5,881
Telephone	5,427	1,737	72	7,236	7,706
Insurance	19,084	8,179	-	27,263	26,698
Travel	20,246	5,191	520	25,957	29,396
Meals and entertainment	15,555	3,889	-	19,444	19,687
Training	2,974	368	-	3,342	6,175
Bank charges	1,518	-	-	1,518	2,034
Licenses and fees	303	270	-	573	297
Miscellaneous	2,638	199	-	2,837	3,009
Dues and subscriptions	1,413	6,802	619	8,834	7,774
Uncollectible fees	4,000	-	-	4,000	14,804
Provider tax	33,890	-	-	33,890	33,627
Uncollectible pledges	-	31,770	-	31,770	5,000
	<u>1,348,947</u>	<u>187,214</u>	<u>22,634</u>	<u>1,558,795</u>	<u>1,468,404</u>
Fund-raising events direct expenses (Note J)			<u>117,758</u>	<u>117,758</u>	<u>113,574</u>
	<u>\$ 1,348,947</u>	<u>\$ 187,214</u>	<u>\$ 140,392</u>	<u>\$ 1,676,553</u>	<u>\$ 1,581,978</u>

See Notes to Consolidated Financial Statements

Harbor House of Louisville, Inc.
and
Harbor House Home Sweet Home, Inc.

Consolidated Statement of Cash Flows
Year Ended June 30, 2014
(with comparative totals for 2013)

	2014	2013
Cash flows from operating activities:		
Cash received from program services	\$ 1,218,270	\$ 1,139,938
Cash received from contributions and grants	321,441	285,527
Cash received from special events and fundraising activities	171,763	157,633
Interest received	9	23
Cash paid for payroll, employee benefits, and payroll taxes	(1,129,972)	(1,023,397)
Cash paid to suppliers	(379,388)	(429,025)
Cash paid for interest	(3,377)	(5,881)
Net cash provided by operating activities	198,746	124,818
Cash flows from investing activities:		
Purchase of property and equipment	(36,590)	(23,693)
Net cash used in investing activities	(36,590)	(23,693)
Cash flows from financing activities:		
Net repayments on line of credit	-	(47,066)
Principal payments on long-term debt	(61,972)	(41,750)
Net cash used in financing activities	(61,972)	(88,816)
Net increase in cash	100,184	12,309
Cash at beginning of year	23,416	11,107
Cash at end of year (Note A,B)	\$ 123,600	\$ 23,416
 Reconciliation of increase in net assets to net cash provided by operating activities:		
Increase in net assets	\$ 113,668	\$ 89,182
 Adjustments to reconcile increase in net assets to net cash provided by operating activities:		
Depreciation	65,340	62,119
Allowance for doubtful accounts	(4,000)	(4,500)
Allowance for uncollectible pledges	(4,000)	3,000
Donation of equipment	(4,500)	-
Changes in assets and liabilities:		
(Increase) decrease in:		
Accounts receivable	1,486	(52,681)
Pledges receivable	24,518	(14,874)
Grants receivable	(25,750)	10,442
Prepaid expenses	6,902	3,432
Increase (decrease) in:		
Accounts payable	15,463	(7,351)
Accrued and withheld payroll taxes	5,569	2,937
Accrued expenses	2,645	6,107
Deferred revenue	1,405	27,005
Total adjustments	85,078	35,636
Net cash provided by operating activities	\$ 198,746	\$ 124,818

See Notes to Consolidated Financial Statements

**Harbor House of Louisville, Inc.
and
Harbor House Home Sweet Home, Inc.**

**Notes to Consolidated Financial Statements
June 30, 2014**

Note A Nature of Operations and Significant Accounting Policies

Nature of Operations:

Harbor House of Louisville, Inc. (Harbor House), a not-for-profit organization located in Louisville, Kentucky, is a certified training and development center for individuals with developmental disabilities and other mental limitations that can affect their ability to find suitable employment opportunities. Harbor House was founded by a group of parents of adult children with disabilities who recognized the importance of having a place where their adult children and others could receive the training and confidence to become involved in community activities that would enhance the lives of the individuals served. The individuals served are given employment opportunities through the onsite Mail Fulfillment Services program or through employers in the community identified by Harbor House staff.

Harbor House Home Sweet Home, Inc. (Home Sweet Home), a not-for-profit organization also located in Louisville, Kentucky, was incorporated in the state of Kentucky on February 25, 2014, and seeks to provide employment opportunities to individuals with developmental disabilities and other mental limitations. This is done by operating a home senior care service company focused on providing cleaning services; grocery shopping; and laundry services to the elderly population of the community.

Both entities have been established to serve individuals who are from every zip code within Jefferson County, Kentucky. The individuals receive not only training intended to improve their job skills, but also training that teaches them how to improve their specific life skills by being involved in activities such as creative arts projects, serving as community volunteers, and participating in various recreational activities. The intent is to give these individuals the same opportunities to have the life experiences that are available for everyone in the community.

**Harbor House of Louisville, Inc.
and
Harbor House Home Sweet Home, Inc.**

**Notes to Consolidated Financial Statements
June 30, 2014**

The primary sources of revenue are payments from the Kentucky Medicaid program for providing training and development services to the aforementioned individuals; revenue from customers of the Mail Fulfillment Services program; and donations from the general public.

Significant Accounting Policies:

Principles of consolidation

The consolidated financial statements include the accounts of Harbor House and Home Sweet Home. Home Sweet Home is consolidated since Harbor House has both an economic interest in Home Sweet Home and control through a majority voting interest in its governing board. All material intra-entity transactions have been eliminated.

Basis of Accounting

The financial statements have been prepared on the accrual basis of accounting and, accordingly, reflect all significant receivables, payables, and other liabilities.

Basis of Presentation

Financial information regarding financial position and activities is reported according to three classes of net assets:

Unrestricted: net assets that are not subject to donor-imposed restrictions; available for purposes deemed necessary by management.

Temporarily restricted: net assets restricted by donors or grantors to a specific time period or purpose. When the restriction expires, that is, when a stipulated restriction ends or a purpose restriction is accomplished, these net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions.

Permanently restricted: Net assets that are permanently restricted by the donor, such as endowment funds.

**Harbor House of Louisville, Inc.
and
Harbor House Home Sweet Home, Inc.**

**Notes to Consolidated Financial Statements
June 30, 2014**

Accounts Receivable

Accounts receivable are stated at unpaid balances, less an allowance for doubtful accounts. The allowance is based on experience, third-party contracts, analysis of past due accounts, and other circumstances which may affect the collectability of the amount receivable. Uncollectible accounts receivable are written-off when management determines the receivable will not be collected.

Property and Equipment

Property and equipment is stated at cost if purchased. Donations of property and equipment are recorded as contributions at estimated fair value on the date of the donation and are recorded as increases in unrestricted net assets unless the donor has restricted the donated asset to a specific purpose. Items in excess of \$1,000 are capitalized and depreciated using the straight-line method over their estimated useful lives, which range from three to thirty-nine years. Items not meeting this threshold are charged to expense accounts as incurred.

Deferred Revenue

Harbor House conducts an annual fund-raising event. Cash is sometimes received from sponsors and other participants in this event prior to the fiscal year in which the event is held. These receipts are not recorded as revenue when received since the sponsors and other participants receive advertising space and other ancillary benefits in return for their payment. These amounts received are recorded as deferred revenue and will be recognized as revenue in the fiscal year in which the event is held.

Promises to Give

Unconditional promises to give (pledges) are recognized as revenues or gains in the period received and as assets, decreases of liabilities, or expenses, depending on the form of the benefits received. Unconditional promises to give that are receivable within one year are reported at net realizable value (gross amount less an allowance), which approximates fair value. Unconditional promises that are expected to be collected in more than one year are reported at fair value initially and in subsequent periods using an appropriate discount rate.

**Harbor House of Louisville, Inc.
and
Harbor House Home Sweet Home, Inc.**

**Notes to Consolidated Financial Statements
June 30, 2014**

Conditional promises to give are recognized only when the conditions on which they depend are substantially met and the promises become unconditional.

Contributions

Contributions received are recorded as increases in unrestricted, temporarily restricted, or permanently restricted net assets, depending on the existence and nature of any donor restrictions. Contributions other than cash are recorded at fair value on the date of the donation. Many individuals volunteer their time and perform a variety of tasks. Contributed services are recognized as revenue if the services create or enhance nonfinancial assets or require specialized skills, are provided by individuals possessing those skills, and typically need to be purchased if not provided by donation. Contributed services that do not meet the above criteria are not recognized as revenues and are not reported in the financial statements.

Advertising Costs

Advertising costs are expensed as incurred.

Functional Expense Allocation

Directly identifiable expenses are charged to programs and supporting services. Expenses related to more than one function are charged to programs and supporting services on the basis of periodic time and expense studies; square footage of space occupied; and miscellaneous other objective methods. Management and general expenses include those expenses that are not directly identifiable with any other specific function but provide overall support and direction.

Shipping and Handling Costs

Shipping and handling costs related to the mail fulfillment program are expensed as incurred and are included in the postage and delivery amount on the statement of functional expenses.

Exemption From Income Taxes

Harbor House is exempt from federal income taxes under Section 501(c)(3) of the Internal Revenue Code.

**Harbor House of Louisville, Inc.
and
Harbor House Home Sweet Home, Inc.**

**Notes to Consolidated Financial Statements
June 30, 2014**

In addition, Harbor House qualifies for the charitable contribution deduction and has been classified as an organization other than a private foundation under the applicable provisions of the Internal Revenue Code. However, net income from certain activities not directly related to Harbor House's tax-exempt purpose is subject to taxation as unrelated business income. Management believes that it has appropriate support for any tax positions taken, and as such, does not have any uncertain tax positions that are material to the financial statements, including any net income from activities that could be subject to taxation as unrelated business income. Therefore, no provision for income taxes has been made in the financial statements.

The statute of limitations for the examination of tax returns is generally three years from the due date of the return, including extensions. The following years ended were open under the statute at June 30, 2014:

Fiscal year ended June 30: 2014, 2013, 2012, and 2011

Home Sweet Home has applied for exemption from federal income taxes under Section 501(c)(3) of the Internal Revenue Code, but approval by the Internal Revenue Service is still pending.

Estimates

Management uses estimates and assumptions in preparing financial statements. Those estimates and assumptions affect the reported amounts of assets and liabilities, the disclosure of contingent assets and liabilities, and the reported revenues and expenses. Actual results could differ from those estimates.

Fair Value Measurements

Assets and liabilities subject to fair value measurements are valued using a hierarchy that defines three levels of inputs. Level 1 inputs are based on quoted market prices within active markets. Level 2 inputs are based on observable market-based inputs other than quoted prices within active markets. Level 3 inputs are based on management's assumptions and unobservable inputs.

**Harbor House of Louisville, Inc.
and
Harbor House Home Sweet Home, Inc.**

**Notes to Consolidated Financial Statements
June 30, 2014**

Reclassifications

Certain amounts in the prior year financial statements have been reclassified for comparative purposes to conform to the presentation in the current year financial statements.

Prior year summarized comparative information

The financial statements include certain prior-year summarized comparative information in total but not by net asset class. Such information does not include sufficient detail to constitute a presentation in conformity with generally accepted accounting principles. Accordingly, such information should be read in conjunction with the financial statements for the year ended June 30, 2013 from which the summarized information was derived.

Note B Concentrations

Harbor House maintains bank accounts at a bank located in Louisville, Kentucky. These accounts are insured by the Federal Deposit Insurance Corporation up to \$250,000 per depositor. In the normal course of business, there may be deposits in excess of the insured balance. There were no deposits in excess of the insured balance at June 30, 2014.

Harbor House receives payments from the Kentucky Medicaid program for providing services to eligible participants. Net service revenue from Medicaid was approximately \$942,000 for the year ended June 30, 2014. This amount was approximately 55% of total support and revenue for the year ended June 30, 2014.

Also see Notes C, D, and E for additional concentrations.

**Harbor House of Louisville, Inc.
and
Harbor House Home Sweet Home, Inc.**

**Notes to Consolidated Financial Statements
June 30, 2014**

Note C Accounts Receivable

Accounts receivable consists primarily of amounts due from the Kentucky Medicaid program; from customers for providing mail fulfillment services, and from program participants:

Kentucky Medicaid program	\$ 129,129
Less: contractual allowances	<u>2,500</u>
	126,629
 Mail fulfillment customers	 5,795
 Program participants (less \$4,000 allowance for doubtful accounts)	 <u>22,576</u>
	 <u>\$ 155,000</u>

Note D Pledges Receivable

Pledges receivable are as follows:

Gross unconditional promises to give	\$ 102,925
Less: allowance for uncollectible amounts	<u>3,000</u>
 Carrying amount	 <u>\$ 99,925</u>

Future collections are as follows:

Less than one year (net realizable value):	\$ 55,631
One to five years (fair value):	<u>44,294</u>
	<u>\$ 99,925</u>

Future collections in the one to five years category are based on Level 3 inputs.

**Harbor House of Louisville, Inc.
and
Harbor House Home Sweet Home, Inc.**

**Notes to Consolidated Financial Statements
June 30, 2014**

Changes in unconditional promises to give for the year ended June 30, 2014 are as follows:

Beginning balance	\$ 127,443
New promises	38,145
Collections	(26,943)
Management write-offs	<u>(35,595)</u>
Ending balance	<u>\$ 102,925</u>

Note E Grants and Contributions Receivable

Grants and contributions receivable consist of amounts receivable from entities and individuals for sponsorships of past fund-raising events and for general operations. Approximately 65% of the grants and contributions receivable amount reported on the statement of financial position at June 30, 2014 was due from one entity and consisted of a \$30,000 grant to be used for the purchase of a van.

Note F Line of Credit

Harbor House has a \$100,000 line of credit expiring on November 21, 2014. Interest on the outstanding balance is computed at the prime rate. However, the minimum interest rate on borrowings will not be less than 4.25%. The interest rate at June 30, 2014 was 4.25%. The line of credit is secured by the building.

Note G Temporarily Restricted Net Assets

Temporarily restricted net assets are available for the following purposes or periods:

For subsequent years operating activities and purchase of fixed assets	<u>\$ 143,175</u>
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**Harbor House of Louisville, Inc.
and
Harbor House Home Sweet Home, Inc.**

**Notes to Consolidated Financial Statements
June 30, 2014**

Note H In-Kind Contributions

Included in the contributions and grants caption on the statement of activities are in-kind contributions of approximately \$37,000 for the year ended June 30, 2014.

Note I Retirement Plan

Harbor House sponsors a Simple IRA plan. Employees are eligible to participate in the plan after 90 days of full-time employment and the earning of at least \$5,000 in compensation during a calendar year. Harbor House contributes \$100 on behalf of an employee once the employee makes an initial contribution.

Harbor House also matches employee contributions. The match is the lesser of employee contributions or 3% of the employee's gross wages. Total contributions and employer matches recognized as expense was \$9,230 for the year ended June 30, 2014.

Note J Fund-Raising Events Direct Expenses

Fund-raising events direct expenses are primarily for an annual fund-raising event and consist of the following:

Rent	\$ 1,903
Supplies	13,334
Professional fees	14,015
Advertising and marketing	69,742
Travel	1,560
Meals and entertainment	12,459
Licenses and fees	4,317
Miscellaneous	<u>428</u>
	<u>\$ 117,758</u> =

Included in the above are donated amounts recognized as in-kind contributions of approximately \$21,000 for the year ended June 30, 2014.

Harbor House of Louisville, Inc.
and
Harbor House Home Sweet Home, Inc.

Notes to Consolidated Financial Statements
June 30, 2014

Note K

Subsequent Events

Management has evaluated subsequent events through September 15, 2014 which is the date the financial statements were available to be issued.

Independent Auditor's Report on Supplementary Information

To the Board of Directors
Harbor House of Louisville, Inc.
Harbor House Home Sweet Home, Inc.
Louisville, Kentucky

We have audited the consolidated financial statements of Harbor House of Louisville, Inc. and Harbor House Home Sweet Home as of and for the year ended June 30, 2014, and issued our report thereon dated September 15, 2014, which contained an unmodified opinion on those consolidated financial statements. Our audit was performed for the purpose of forming an opinion on the consolidated financial statements as a whole.

The consolidating financial statements presented on pages 18-21 are for the purpose of additional analysis and are not a required part of the consolidated financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the consolidated financial statements. The information has been subjected to the auditing procedures applied in the audit of the consolidated financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the consolidated financial statements or to the consolidated financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the consolidated financial statements as a whole.

Smith Financial Services, PLLC

Louisville, Kentucky
September 15, 2014

Harbor House of Louisville, Inc.
and
Harbor House Home Sweet Home, Inc.
Consolidating Statement of Financial Position
June 30, 2014

Assets				
	<u>Harbor House of Louisville, Inc.</u>	<u>Harbor House Home Sweet Home, Inc.</u>	<u>Eliminations</u>	<u>Consolidated</u>
Current Assets				
Cash	\$ 123,600	\$ -	\$ -	\$ 123,600
Accounts receivable	155,000	-	-	155,000
Due from affiliate	29,818	102,000	(131,818)	-
Unconditional promises to give:				
Pledges receivable	55,631	-	-	55,631
Contributions and grants receivable	36,250	10,000	-	46,250
Prepaid expenses	13,268	-	-	13,268
Total current assets	<u>413,567</u>	<u>112,000</u>	<u>(131,818)</u>	<u>393,749</u>
Unconditional promises to give--long term				
Pledges receivable	44,294	-	-	44,294
Property and Equipment				
Equipment, furniture and vehicles	377,138	-	-	377,138
Building and leasehold improvements	1,344,881	2,573	-	1,347,454
	<u>1,722,019</u>	<u>2,573</u>	<u>-</u>	<u>1,724,592</u>
Less accumulated depreciation	669,330	-	-	669,330
	<u>1,052,689</u>	<u>2,573</u>	<u>-</u>	<u>1,055,262</u>
Total assets	<u>\$ 1,510,550</u>	<u>\$ 114,573</u>	<u>\$ (131,818)</u>	<u>\$ 1,493,305</u>
Liabilities and Net Assets				
Current Liabilities				
Accounts payable	\$ 16,565	\$ -	\$ -	\$ 16,565
Accrued and withheld payroll taxes	23,876	-	-	23,876
Accrued expenses	54,441	-	-	54,441
Due to affiliate	102,000	29,818	(131,818)	-
Current portion of long-term debt	-	-	-	-
Deferred revenue	28,885	-	-	28,885
Total current liabilities	<u>225,767</u>	<u>29,818</u>	<u>(131,818)</u>	<u>123,767</u>
Net Assets				
Unrestricted	1,139,608	-	-	1,139,608
Temporarily restricted	145,175	84,755	-	229,930
Total net assets	<u>1,284,783</u>	<u>84,755</u>	<u>-</u>	<u>1,369,538</u>
Total liabilities and net assets	<u>\$ 1,510,550</u>	<u>\$ 114,573</u>	<u>\$ (131,818)</u>	<u>\$ 1,493,305</u>

See Independent Auditor's Report on Supplementary Information

Harbor House of Louisville, Inc.
and
Harbor House Home Sweet Home, Inc.

Consolidating Statement of Activities
Year Ended June 30, 2014

	Harbor House of Louisville, Inc.		Harbor House Home Sweet Home, Inc.		Consolidated	
	Unrestricted	Temporarily Restricted	Unrestricted	Temporarily Restricted	Unrestricted	Temporarily Restricted
Revenues and Support:						
Program service income	\$ 1,224,784	\$ -	\$ -	\$ -	\$ 1,224,784	\$ -
Contributions and grants	88,445	193,220	-	112,000	88,445	305,220
Fund-raising events	171,763	-	-	-	171,763	-
Management fees	23,920	-	-	-	-	-
Interest income	9	-	-	-	9	-
Net assets released from restrictions	1,508,921	193,220	-	112,000	1,485,001	305,220
	173,888	(173,988)	27,245	(27,245)	201,233	(201,233)
Total revenues and support	1,682,909	19,232	27,245	84,755	1,686,234	103,987
Expenses:						
Program services	1,348,947	-	-	-	1,348,947	-
Management and general	183,889	-	27,245	-	187,214	-
Fund-raising	140,392	-	-	-	140,392	-
Total expenses	1,673,228	-	27,245	-	1,676,553	-
Increase in net assets	9,681	19,232	-	84,755	9,681	103,987
Net assets at beginning of year	1,129,927	125,943	-	-	1,129,927	125,943
Net assets at end of year	\$ 1,139,608	\$ 145,175	\$ -	\$ 84,755	\$ 1,139,608	\$ 229,930

See Independent Auditor's Report on Supplementary Information

Harbor House of Louisville, Inc.
and
Harbor House Home Sweet Home, Inc.

Consolidating Statement of Functional Expenses
Year Ended June 30, 2014

	Harbor House of Louisville, Inc.			Harbor House Home Sweet Home, Inc.			Consolidated Total			
	Program Services	Management and general	Fund raising	Total	Program Services	Management and general	Fund raising	Total	Eliminations	
Wages and salaries	\$ 894,222	\$ 68,039	\$ 9,719	\$ 971,980	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 971,980
Payroll taxes	66,766	5,080	726	72,572	-	-	-	-	-	72,572
Employee benefits	86,096	6,551	936	93,583	-	-	-	-	-	93,583
Postage & delivery	24,735	1,579	-	26,314	-	-	-	-	-	26,314
Rent	1,416	-	-	1,416	-	-	-	-	-	1,416
Depreciation	65,340	-	-	65,340	-	-	-	-	-	65,340
Supplies	16,350	10,900	-	27,250	-	-	-	-	-	27,250
Repairs and maintenance	28,497	582	-	29,079	-	-	-	-	-	29,079
Professional fees	30,016	27,707	-	57,723	-	3,077	-	3,077	-	60,800
Printing and production	5,205	2,603	2,602	10,410	-	-	-	-	-	10,410
Utilities	12,937	2,392	202	15,531	-	-	-	-	-	15,531
Advertising	4,821	-	7,231	12,052	-	-	-	-	-	12,052
Janitorial services	2,121	299	7	2,427	-	-	-	-	-	2,427
Interest	3,377	-	-	3,377	-	-	-	-	-	3,377
Telephone	5,427	1,737	72	7,236	-	-	-	-	-	7,236
Insurance	19,084	8,179	-	27,263	-	-	-	-	-	27,263
Travel	20,246	5,191	520	25,957	-	-	-	-	-	25,957
Meals and entertainment	15,555	3,889	-	19,444	-	-	-	-	-	19,444
Training	2,974	368	-	3,342	-	-	-	-	-	3,342
Bank charges	1,518	-	-	1,518	-	-	-	-	-	1,518
Licenses and fees	303	22	-	325	-	248	-	248	-	573
Miscellaneous	2,638	199	-	2,837	-	-	-	-	-	2,837
Dues and subscriptions	1,413	6,802	619	8,834	-	-	-	-	-	8,834
Uncollectible fees	4,000	-	-	4,000	-	-	-	-	-	4,000
Provider tax	33,890	-	-	33,890	-	-	-	-	-	33,890
Uncollectible pledges	-	31,770	-	31,770	-	-	-	-	-	31,770
Management fees	-	-	-	-	-	23,920	-	23,920	(23,920)	-
Fund-raising events direct expenses	1,348,947	183,889	22,634	1,555,470	-	27,245	-	27,245	(23,920)	1,558,795
			117,758	117,758						117,758
	\$ 1,348,947	\$ 183,889	\$ 140,392	\$ 1,673,228	\$ -	\$ 27,245	\$ -	\$ 27,245	\$ (23,920)	\$ 1,676,553

See Independent Auditor's Report on Supplementary Information

Harbor House of Louisville, Inc.
and
Harbor House Home Sweet Home, Inc.

Consolidating Statement of Cash Flows
Year Ended June 30, 2014

	Harbor House of Louisville, Inc.	Harbor House Home Sweet Home, Inc.	Eliminations	Consolidated
Cash flows from operating activities:				
Cash received from program services	\$ 1,218,270	\$ -	\$ -	\$ 1,218,270
Cash received from contributions and grants	321,441	-	-	321,441
Cash received from special events and fundraising activities	171,763	-	-	171,763
Interest received	9	-	-	9
Cash paid for payroll, employee benefits, and payroll taxes	(1,129,972)	-	-	(1,129,972)
Cash paid to suppliers	(379,388)	-	-	(379,388)
Cash paid for interest	(3,377)	-	-	(3,377)
Net cash provided by operating activities	<u>198,746</u>	<u>-</u>	<u>-</u>	<u>198,746</u>
Cash flows from investing activities:				
Purchase of property and equipment	(36,590)	-	-	(36,590)
Net cash used in investing activities	<u>(36,590)</u>	<u>-</u>	<u>-</u>	<u>(36,590)</u>
Cash flows from financing activities:				
Principal payments on long-term debt	(61,972)	-	-	(61,972)
Net cash used in financing activities	<u>(61,972)</u>	<u>-</u>	<u>-</u>	<u>(61,972)</u>
Net increase in cash	100,184	-	-	100,184
Cash at beginning of year	23,416	-	-	23,416
Cash at end of year (Note A,B)	<u>\$ 123,600</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 123,600</u>
Reconciliation of increase in net assets to net cash provided by operating activities:				
Increase in net assets	\$ 28,913	\$ 84,755	\$ -	\$ 113,668
Adjustments to reconcile increase in net assets to net cash provided by operating activities:				
Depreciation	65,340	-	-	65,340
Allowance for doubtful accounts	(4,000)	-	-	(4,000)
Allowance for uncollectible pledges	(4,000)	-	-	(4,000)
Donation of equipment	(4,500)	-	-	(4,500)
Changes in assets and liabilities:				
(Increase) decrease in:				
Accounts receivable	1,486	-	-	1,486
Pledges receivable	24,518	-	-	24,518
Grants receivable	(15,750)	(10,000)	-	(25,750)
Prepaid expenses	6,902	-	-	6,902
Due from affiliate	(27,245)	(102,000)	131,818	2,573
Increase (decrease) in:				
Accounts payable	15,463	-	-	15,463
Accrued and withheld payroll taxes	5,569	-	-	5,569
Accrued expenses	2,645	-	-	2,645
Due to affiliate	102,000	27,245	(131,818)	(2,573)
Deferred revenue	1,405	-	-	1,405
Total adjustments	<u>169,833</u>	<u>(84,755)</u>	<u>-</u>	<u>85,078</u>
Net cash provided by operating activities	<u>\$ 198,746</u>	<u>\$ -</u>	<u>-</u>	<u>\$ 198,746</u>

See Independent Auditor's Report on Supplementary Information

HARBOR HOUSE OF LOUISVILLE, INC. STAFF AS OF JUNE 30, 2015

Corey Anthony	
Patti Ash	
Sarah Beck	
Brittany Cook	
Kaye Dalton	
Russell Deakins	
Peggy Edwards	
Larry Eisenbeis	
Demetrius Guy	
Diane Hunter	
Robin Lee	
Katie McWorter	
Celena Olliges	
Dee Pilkerton	
Beth Poole	
Torie Sanners	
Abigail Skaggs	
Tiffany Wiedeman	
Nancy Wissink	
Coletta Zernick	
Tom Evans *	\$73,800
Phil Kremer *	\$92,373
Sarah McIntee	
Laura Perdue	
Pat Pierce	
Maria Smith *	\$127,800
Terri Thomas	

*3 highest paid employees

Harbor House Board of Directors

Rick Farrar – Family Representative

Retired from IBM Corporation in Field Engineering, Management and COS

[REDACTED] Louisville, KY 40214

January 2010

Interim board - March 2006

Debbie Hamilton

Quality Assurance Technician

KY Manufacturing & Technology

4601 Commerce Crossing – Louisville, KY

[REDACTED] – Louisville, KY 40229

WK: 502/969-2305

[REDACTED]
November 2014

Alicia Heazlitt – Vice President

Signature Healthcare

12201 Bluegrass Parkway, Louisville, KY 40299

[REDACTED] Louisville, KY 40222

Wk: 502-569-0301

[REDACTED]
ahazlitt@signaturehealthcarellc.com

August 2012

Darrell Jarrett

JCPS Administrator

[REDACTED] – Louisville, KY 40204

[REDACTED]
November 2012

David Jett - Secretary

Sales-CI Agent Solutions

11760 Commonwealth Drive, Louisville, KY 40299

[REDACTED] Louisville, KY 40223

Wk: 502-267-0101

[REDACTED]
davej@ciagent.com

January 2010

Interim board – March 2008

Dr. Sam Kad
Physician Dept. of Corrections
KY State Reformatory
3001 West Highway 146, LaGrange, KY 40032
[REDACTED], Crestwood, KY 40014
[REDACTED]

May 2014

Heath Majors
Managing Director
Republic Bank
9600 Brownsboro Road – Louisville, KY 40241
[REDACTED] – Louisville, KY 40223
Wk: 502/420-1854
[REDACTED]

hmajors@republicbank.com

November 2014

Father Christian Moore
Pastor of Incarnation Catholic Church
2229 Lower Hunters Trace-Louisville, KY 40216
Wk: 502-448-6277
[REDACTED]

January 2010

Interim board – March 2006

Doug Morris
Director
Deming Malone Livesay & Ostroff, CPA's
9300 Shelbyville Road, Suite 1100, Louisville, KY 40222
[REDACTED] Louisville, KY 40291
Wk: 502/326-2346
[REDACTED]

demorris@DMLO.com

November 2014

Jim Morris – President
Retired Administrator at Jefferson Manor
[REDACTED] Louisville, KY 40205
[REDACTED]

January 2010

Interim board – August 2009

Phil Stuecker – Treasurer
Retired CFO of Thomas Industries
[REDACTED] – Louisville, KY 40220

January 2010
Interim board – March 2006

Diana Sumner
Manager – UPS
2200 Outer Loop, Bldg. 1, Louisville, KY 40219
[REDACTED] Louisville, KY 40258

Wk: 502-961-1234
[REDACTED]

dlbullen@ups.com
February 2014

Wayne Thieneman
Jefferson County Public School Bus Driver
[REDACTED] – Louisville, KY 40272

January 2010
Interim board – January 2007

Jacqueline (Jackie) Warner
Retired from Jefferson County Commonwealth's Attorney Office

[REDACTED]
jackie.warner@jco.ky.gov
June 2015

John Zoeller
CEO/President of Zoeller Company
3649 Cane Run Road – Louisville, KY 40211
[REDACTED] Louisville, KY 40205

Wk: 502-778-2731 ext: 8126
[REDACTED]

johnz@zoeller.com
May 2010

Standard board terms are three years. Each board member can serve two consecutive board terms.

Updated 6/15/15

HARBOR HOUSE OF LOUISVILLE, INC.

General Information

Organization Number	0297654
Name	HARBOR HOUSE OF LOUISVILLE, INC.
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
File Date	3/5/1992
Organization Date	3/5/1992
Last Annual Report	2/26/2015
Principal Office	2231 LOWER HUNTERS TRACE LOUISVILLE, KY 40216
Registered Agent	MARIA SMITH 2231 LOWER HUNTERS TRACE LOUISVILLE, KY 40216

Current Officers

President	<u>Jim Morris</u>
Vice President	<u>Alicia Heazlitt</u>
Treasurer	<u>Phil Stuecker</u>
Director	<u>David Jett</u>
Director	<u>Rick Farrar</u>
Director	<u>Pat Franc</u>
Director	<u>Pat MacDonald</u>
Director	<u>Father Christian Moore</u>
Director	<u>Jim Morris</u>
Director	<u>Michael Valenti</u>
Director	<u>Wayne Thieneman</u>
Director	<u>John Zoeller</u>
Director	<u>Phil Stuecker</u>
Director	<u>Diana Summer</u>
Director	<u>Darrell Jarrett</u>
Director	<u>Alicia Heazlitt</u>
Director	<u>Debbie Hamilton</u>
Director	<u>Doug Morris</u>
Director	<u>Dr. Sam Kad</u>
Director	<u>Heath Majors</u>
Director	<u>Matt Kampling</u>

Individuals / Entities listed at time of formation

Director	<u>DARLEEN BROWNING</u>
Director	<u>BOB LEMEN</u>

Director	LEO POLLOCK
Director	MARGIE EMBRY
Director	AUGUSTA SPENCER
Incorporator	DARLEEN BROWNING
Incorporator	RONALD L LEWIS
Incorporator	LEO POLLOCK
Incorporator	ALMA POLLOCK
Incorporator	BOB LEMEN

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

Annual Report	2/26/2015	1 page	PDF	
Annual Report	3/20/2014	1 page	PDF	
Annual Report	1/9/2013	1 page	PDF	
Annual Report	7/6/2012	1 page	PDF	
Annual Report	3/14/2011	1 page	PDF	
Amended and Restated Articles	11/18/2010	5 pages	tiff	PDF
Annual Report	6/11/2010	1 page	PDF	
Annual Report	6/12/2009	2 pages	tiff	PDF
Annual Report	6/26/2008	1 page	tiff	PDF
Annual Report	6/27/2007	1 page	PDF	
Annual Report	6/20/2006	1 page	PDF	
Statement of Change	11/30/2005	1 page	tiff	PDF
Statement of Change	10/11/2005	1 page	tiff	PDF
Annual Report	6/27/2005	1 page	tiff	PDF
Statement of Change	6/23/2005	1 page	tiff	PDF
Annual Report	9/17/2003	1 page	tiff	PDF
Annual Report	9/17/2003	1 page	tiff	PDF
Annual Report	5/22/2002	1 page	tiff	PDF
Statement of Change	4/12/2002	1 page	tiff	PDF
Annual Report	8/3/2001	1 page	tiff	PDF
Annual Report	8/15/2000	1 page	tiff	PDF
Annual Report	7/20/1999	1 page	tiff	PDF
Annual Report	6/1/1998	1 page	tiff	PDF
Annual Report	7/1/1997	1 page	tiff	PDF
Statement of Change	9/19/1996	1 page	tiff	PDF
Annual Report	7/1/1996	1 page	tiff	PDF
Annual Report	7/1/1995	1 page	tiff	PDF
Annual Report	7/1/1994	1 page	tiff	PDF
Statement of Change	5/4/1994	1 page	tiff	PDF
Annual Report	7/1/1993	1 page	tiff	PDF
Amendment	5/19/1992	5 pages	tiff	PDF
Articles of Incorporation	3/5/1992	7 pages	tiff	PDF

Assumed Names

Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	2/26/2015 4:27:02 PM	2/26/2015 4:27:02 PM	
Annual report	3/20/2014 1:32:57 PM	3/20/2014 1:32:57 PM	
Annual report	1/9/2013 9:38:44 AM	1/9/2013 9:38:44 AM	
Annual report	7/6/2012 9:58:32 AM	7/6/2012 9:58:32 AM	
Annual report	3/14/2011 9:23:25 AM	3/14/2011 9:23:25 AM	
Amendment - Amended and restated articles / CLP	11/18/2010 12:56:03 PM	11/18/2010	
Annual report	6/11/2010 4:13:31 PM	6/11/2010 4:13:31 PM	
Annual report	6/12/2009 2:32:25 PM	6/12/2009	
Annual report	6/26/2008 1:30:46 PM	6/26/2008	
Annual report	6/27/2007 11:09:24 AM	6/27/2007 11:09:24 AM	
Annual report	6/20/2006 12:02:07 PM	6/20/2006 12:02:07 PM	
Registered agent address change	11/30/2005 2:25:28 PM	11/30/2005	
Registered agent address change	10/11/2005 1:50:35 PM	10/11/2005	
Registered agent address change	6/23/2005 3:41:27 PM	6/23/2005	
Principal office change	6/15/2004 6:29:55 PM	6/15/2004	
Registered agent address change	4/12/2002 4:01:36 PM	4/12/2002	
Registered agent address change	9/19/1996	9/19/1996	
Principal office change	6/15/1996	6/15/1996	
Amendment - Change purpose	5/19/1992	5/19/1992	

Microfilmed Images

Microfilm images are not available online. They can be ordered by faxing a [Request For Corporate Documents](#) to the Corporate Records Branch at 502-564-5687.

Annual Report	8/6/2004	1 page
Annual Report	9/17/2003	1 page
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Annual Report	7/1/1994	1 page
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Amendment	5/19/1992	4 pages
Articles of Incorporation	3/5/1992	6 pages

Harbor House Board of Directors 2014-2015

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January 2010

Interim board - March 2006

Debbie Hamilton

Quality Assurance Technician

KY Manufacturing & Technology

November 2014

Alicia Heazlitt – Vice President

Innovate LTC Market Research Manager

August 2012

Darrell Jarrett

JCPS Administrator

November 2012

David Jett - Secretary

Sales-CIAgent Solutions

January 2010

Interim board – March 2008

Dr. Sam Kad

Physician Dept. of Corrections

KY State Reformatory

May 2014

Matthew J. Kampling

Chief Management Officer

Tri-Arrows Aluminum, Inc.

November 2014

Rene' Kesterson

August 2012

Pat MacDonald

Managing Partner- W. M. Holdings, LLC

January 2010

Interim board – March 2008

Heath Majors

Managing Director

Republic Bank

November 2014

Father Christian Moore
Pastor of Incarnation Catholic Church
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Manager – UPS
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Jefferson County Public School Bus Driver
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John Zoeller
CEO/President of Zoeller Company
May 2010

updated 10/17/14