

**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

Applicant/Program: City of Bellemeade Beautification Program

Executive Summary of Request:

Councilwoman Parker will use \$2,345.00 of District 18 's Neighborhood Development Funds to assist the City of Bellemeade with a tree planting project. The City of Bellemeade is working to remove dead and dangerous trees from the right-of-way on Shelbyville Rd. LG&E constantly has to cut the trees away from power lines. District 18's NDF will be used to grind the stumps of six trees and plant seven low flowering trees in the right-of-way.

Is this program/project a fundraiser?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Is this applicant a faith based organization?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Does this application include funding for sub-grantee(s)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

<u>18</u>		<u>\$2,345.00</u>	<u>3/10/14</u>
District #	Primary Sponsor Signature	Amount	Date

Primary Sponsor Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

There are no personal or business relationships with the City of Bellemeade.

Approved by:

_____ Date

Appropriations Committee Chairman

Clerk's Office Only:

Request Amount: _____ Committee Amended Appropriation: _____

NDF NON-PROFIT APPLICATION CHECKLIST

Legal Name of Applicant Organization: City of Bellemeade		
Program Name: Beautification Program	Request Amount: \$2,345.00	Yes/No/NA
Request form: Is the NDF request form signed by all Council Member(s) appropriating funding?		Yes
Request form: Is the funding proposed less than or equal to the request amount?		Yes
Request form: Have all known Council or Staff relationships to the Agency been adequately disclosed on the cover sheet?		Yes
Application Page 1: Has prior Metro funds committed/granted been disclosed?		Yes
Application Page 1: Is the application properly signed and dated by authorized signatory?		Yes
Application Page 3: Reimbursement funding – One or two boxes checked if any expenses are incurred before the grant award period. Is all required documentation included?		N/A
Application Pages 3 – 5: Is the proposed public purpose of the program well-documented?		Yes
Application 4: Is there adequate documentation of how the proceeds of the fundraiser will be spent?		N/A
Application Budget Page 6: Does the application budget reflect only the revenue and expenses of the project/program (page 6) if the request is not an operating budget request? Is all detail schedules included for “Metro, Non Metro and Total” expense funds for client assistance, community events & festivals and other expenses? And does the Non-Metro Revenue equal the Non-Metro expenses?		Yes
Faith Based Organizations: Is the signed Faith Based Form signed and included?		N/A
Jefferson County Only: Will all funding be spent in Louisville/Jefferson County?		Yes
Capital Project(s) request: Is the cost estimate(s) from proposed vendor(s) included?		Yes
Good Standing: Is the entity in good standing with: <ul style="list-style-type: none"> • Kentucky Secretary of State – include Secretary of State website information on organization • Louisville Metro Government – check OMB monthly report filed in Council Financial Reports • Internal Revenue Service – most recent Form 990 included 		Yes
Separate Taxing Districts: If Metro funding is for a separate taxing district, is the funding appropriated for a program outside the legal responsibility of that taxing district?		No
Small Cities: Is the resolution included agreeing to partner with Louisville Metro on the capital project? (IRS Determination letter not required, Form 990 not required, but KY SOS acknowledgement is)		Yes
Operating Requests: Is recommended operating funding less than or equal to 33% of total operating budget?		N/A
IRS Exempt Proof: Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?		Yes
Operating Budget: Is the organization’s current fiscal year operating budget included?		Yes
Ordinance Required: Is the amount committed by Council members greater than \$5,000 to any one project/program within an organization in this fiscal year.		No
Board Members: Is the entity’s board member list (with term length/term limits) included?		Yes
Staff: Is a list of the highest paid staff included with their expected annual personnel costs?		Yes
Annual Audit: Is the most recent annual audit (if required by organization) included?		Yes
Rent Requests: Is a copy of signed lease included?		N/A
Articles of Incorporation: Are the Articles of Incorporation of the organization included?		Yes
IRS Form W-9: Is the IRS Form W-9 included?		Yes
Evaluation Forms: Are the evaluation forms (if program participants are given evaluation forms) included?		N/A
Affirmative Action: Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required by the organization)?		N/A
Prepared by: Lisa Chapman	Date: 3/10/14	



LOUISVILLE METRO COUNCIL



NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 - APPLICANT INFORMATION

Legal Name of Applicant Organization:
(as listed on: <http://www.sos.ky.gov/business/records/>) *City of Bellemeade*

Main Office Street & Mailing Address: *206 Dorchester Road Louisville, KY 40223*

Website: *www.cityofbellemeade.org*

Application Contact: *Judi Sikes* **Title:** *Beautification Committee Chairperson*

Phone: *502-426-0581* **Email:** *judisikes@yahoo.com*

Financial Contact: *Ruby Sheridan* **Title:** *City of Bellemeade Treasurer*

Phone: *502-426-2468* **Email:** *rubysheridan@gmail.com*

GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED

Program Facility Location(s): *8505 Shelbyville Rd. + 8905 Shelbyville Rd.*

Council District(s): *18* **Zip Code(s):** *40222 + 40223*

SECTION 2 - PROGRAM REQUEST & FINANCIAL INFORMATION

Program Name: *City of Bellemeade Beautification Committee*

Total Request: \$ *2345.00* **Total Metro Award (this program) in previous year:** \$ *none*

The following are required attachments: *The following are attached to this page:*

- IRS Exempt Status Determination Letter - *1*
- Current Year Projected Budget - *2*
- List of Board of Directors (include term & term limits) - *3*
- Current financial statement - *4*
- Most recent IRS Form 990 or 1120-H
- Articles of Incorporation
- Cost estimates from proposed vendor if request is for *5a + 5b* capital expense
- Signed lease if rent costs are being requested
- IRS Form W9 - *6*
- Evaluation forms if used in the proposed program
- Annual audit (if required by organization) - *7*
- Faith Based Organization Certification Form, if required
- Staff including the 3 highest paid staff - *3*

Agency Fiscal Yr Start Date: *July 1, 2013*

For the current fiscal year ending June 30, list all funds received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.

Source: <i>none applicable</i>	Amount: \$
Source:	Amount: \$
Source:	Amount: \$

Has the applicant contacted the BBB Charity Review for participation? Yes No

Has the applicant met the BBB Charity Review Standards? Yes No

SECTION 3 - SIGNATURE

I certify under the penalty of law the information in this application (including, without limitation, the "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization.

* Signature of Legal Signatory: *Larry Lea Adam-Groh* * Date: *3-7-14*

* Legal Signatory (please print): *LARRY LEA ADAM-GROH* Title: *Mayor of the City of Bellemeade*

Phone: *502-295-5800* Extension: Email: *mayor.bellemeade@gmail.com*

SECTION 4 - AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

The Beautification Committee's vision for the City of Bellemeade is a neighborhood in which its increase in trees brings about the beauty, privacy, and well-being of its residents by reducing air pollution, noise, glare, wind, and soil erosion.

In order to accomplish this, the Committee encourages the residents of Bellemeade to maintain and/or remove hazardous trees and motivates them to plant new canopy trees in property easement and low growing ones under existing power lines.

The Beautification Committee provides its residents with the opportunity to maintain, remove, and plant trees by assisting them financially with \$130 from the Beautification budget. Because of the large number of hazardous trees along Bellemeade's Shelbyville Road border, the Beautification Committee has found it necessary to seek grant funding in order to financially help the residents that live along this corridor. This Committee also loans "Gator Bags" to residents who plant new trees for better irrigation during the warm months.

SECTION 5 - PROGRAM NARRATIVE

A: Purpose of Request (check all that apply):

- Operating Funds (generally cannot exceed 33% of agency's total operating budget)
- Programming/services/events for direct benefit to community or qualified individuals
- Capital Project of the organization (equipment, furnishing, building, etc)

B: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc):

The Beautification Committee began working on the Shelbyville Road Corridor Project at its September 12, 2013 committee meeting. It was decided that the first step in the process was notifying Bellemeade residents along Shelbyville Road of their opportunity to participate. Stamped postcards were mailed to 17 properties that extend from Wood Road to Shelbyhurst. Three residents responded – 2 yes and 1 no.

At the next meeting, October 14, 2013, the Committee members began work on the Louisville Metro Council NDF application for tree planting and stump grinding in the right-of-way at 8505 and 8905 Shelbyville Road. Completion and approval of the final draft occurred at the November 7, 2013 Beautification meeting. The Committee set the goal of December 2, 2013 as the filing date for the Louisville Metro Council NDF Grant. Since Spring, 2014 is the earliest date for tree planting, the grinding of three (3) trees at 8505 and three (3) trees at 8905 Shelbyville Road and the planting of four (4) trees at 8505 and three (3) trees at 8905 will take place then. No Metro Government Funds will be used for tree removal, they will only be used for the grinding of six (6) tree stumps and the planting of seven (7) low growing trees. Because weather is so unpredictable in the spring, specific dates can not be given, but the estimated date is April, 2014.

Attachments to this Section:

1. Resident's permission and design – 8505 Shelbyville Rd.
2. Resident's permission and design – 8905 Shelbyville Rd.
3. Interlocal agreement with the City of Louisville
- 4a & 4b. Tree planting proposal from Outdoor Solutions and stump grinding proposal from Sang Tree Service, LLC
5. KY Dept. of Highways Encroachment Permit

C: Describe specifically how the funding will be spent including identification of funding to subgrantee(s):

The funding from this grant will be spent as follows:

8505 Shelbyville Road – The cost of four (4) low growing trees - Little Gem Magnolia - and the cost of planting them.

8905 Shelbyville Road – The cost of three (3) low growing trees – Forest Pansy Redbud, Little Gem Magnolia, and Crape Myrtle – and the cost of planting them.

This work will be done by Outdoor Solutions.

8505 Shelbyville Road – The cost of three (3) tree stumps

8905 Shelbyville Road – The cost of three (3) tree stumps

This work will be done by Sang Tree Service, LLC

There is no subgrantee.

D: For Expenditure Reimbursement Only - The grant award period begins with the Metro Council Appropriation Committee approval date and ends on June 30 of the fiscal year in which the grant is approved. If any part of this funding request is for funds that will be spent before the grant award period, identify the applicable circumstances:

- The funding request is a reimbursement of the following expenditures that have occurred prior to the application date:
- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
 - ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

None Applicable

The funding request is a reimbursement of the following expenditures that will be incurred after the application date, but prior to the Metro Council approval date. This option will allow expenditures occurring within this time frame to be considered compliant with the grant agreement.

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.
- ✓ The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

None Applicable

E: If this request is for a fundraiser, please detail how the proceeds will be spent:

None Applicable

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program specifically.

None Applicable

G: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

The trees along the Shelbyville Road Corridor (Wood Rd. to Shelbyhurst) are in poor condition (attachment 1)). They have grown into the power lines above and, over the years, have been severely trimmed, become diseased, and are dying. At present, many are hazardous to anyone traveling west on Shelbyville Road because they tend to branch over the highway and often lose large sections.

Not only do their weak structures make them hazardous, but their interference with the power lines above often cause power outages during storms.

At present these trees are an eyesore. Over the years, the Beautification Committee has been able to assist Shelbyville Rd. residents at 8511 (1 tree), 8605 – Shelby House (12 trees), 8703 (3 trees), and 8901 (3 trees).



By removing these hazardous trees, Shelbyville Road drivers will be better protected from falling tree debris and power outages should be reduced. With the planting of low growing trees, the appearance of this highway corridor will be significantly improved.

SECTION 6 - PROGRAM BUDGET SUMMARY

The Program Budget should realistically estimate what amount is needed from Metro Government and what is expected from other sources. **Enter whole-dollar amounts.**

Program Expenses	Column 1	Column 2*	Column 3
	Proposed Metro Funds	Non-Metro Funds	Total Program Cost
A: Personnel Costs Including Benefits			
B: Rent/Utilities			
C: Office Supplies			
D: Telephone			
E: In-town Travel			
F: Client Assistance (Attach Detailed List)			
G: Professional Service Contracts			
H: Program Materials			
I: Community Events & Festivals (Attach Detailed List)			
J: Machinery & Equipment			
K: Capital Project	\$2345.00		
L: Other Expenses (Attach Detail List)			
SUBTOTAL			
% of Program Budget –	100 %	%	100%
Value of volunteer services and how computed:	N/A		
Value of in-kind assets, such as donated space, supplies, use of equipment, etc. <i>(Detail on Next Page)</i>	N/A		
Total Program Funds	\$2345.00		

*List funding sources in Column 2 (do not include individual donor names):

Other State, Federal or Local Government	NA
United Way	NA
Private Contributions	NA
Fees Collected from Program Participants	NA
Other (please specify)	NA
Total Revenues	

PROGRAM BUDGET SUMMARY (CONTINUED)

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
NA		
Total Value of In-Kind (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)		

* Donor information refers to who made the in kind contribution. Volunteers need not be listed individually, but grouped together on one line as a total noting how many hours per person per week)

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:

SECTION 7 - CERTIFICATIONS AND ASSURANCES

By signing the first page of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end
8. Provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.

Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

Relationship Disclosure

None of the above names has any relationship with any Councilperson, Councilperson's family, Councilperson's staff, or any Louisville Metro Government employee.

attachment 4b

SANG TREE SERVICE, LLC.

P.O. BOX 14185
4574 MELTON AVENUE
LOUISVILLE, KY 40214
502-368-0315 - FAX: 502-367-0256

QUOTE

11/12/13

CITY OF BELLEMEADE
206 DOORCHESTER RD.
LOUISVILLE, KY 40223
ATTN: JUDITH SIKES 426-0581
JUDISIKES@YAHOO.COM

SANG TREE SERVICE IS PLEASED TO QUOTE THE FOLLOWING JOB:

8505 & 8905 SHELBYVILLE RD. - GRIND SEVEN STUMPS @ \$75.00 EACH.

TOTAL PRICE: \$525.00

CHARLES SANG
SANG TREE SERVICE.

*Only six (6) stumps will need to be ground for
a total cost of \$450.00.*

attachment 5b

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attachment 2

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I

COMMONWEALTH OF KENTUCKY
REVENUE CABINET
Frankfort
09/05/91

BELLENEADE CITY OF
JOHN SALZMAN
3202 CHARING CROSS RD
LOUISVILLE KY 40222

Location Address:
212 WOODCLEFT RD
LOUISVILLE KY 40222

PURCHASE EXEMPTION NUMBER: CT-056-158

EFFECTIVE DATE: 01/09/76

SUBJECT: Purchases Exempt from Sales & Use Tax


Based on the information submitted in your "Application for Purchase Exemption", you are hereby authorized to purchase tangible personal property or services without paying or reimbursing the vendor for the sales or use tax with respect to such purchases. A copy of this letter should be presented to the vendor to be retained by him as authorization to make such sales.

Every vendor making such sales must require an official or an employee exercising comparable authority of the federal, state or local governmental unit to sign and acknowledge in writing on a copy of the invoice that the sale and delivery of the property was actually made to a unit of federal, state or local government. The invoice shall be retained by the vendor as documentary evidence in support of his deduction of the sale from gross receipts on his sales tax return. For proper reporting, the vendor must deduct receipts from these sales on line 6 of his return.

If any of the property or service is not used for an exempt purpose, the purchaser will be required to pay any tax due on purchases made tax free under this exemption.

Any official or employee of a unit of federal, state or local government who uses his position to make tax free purchases for his own personal use or that of any other person will be subject to the penalties provided in KRS 139.000 and other applicable laws.

In the event there is a change in your name, address or operations from the information submitted in your application, you must notify the Cabinet in writing of the change immediately.


Charlotte Quarles, Supervisor
Sales Tax Section
Division of Compliance
and Taxpayer Assistance
Tel. Number (502) 564-4581

*attachment 2***City of Bellemeade, Kentucky***City of Trees***Ordinances****CITY OF BELLEMEADE ORDINANCE NO. 2013- 14****AN ORDINANCE ADOPTING A BUDGET FOR THE GENERAL OPERATING PURPOSES OF AND THE CAPITAL EXPENDITURES FOR THE CITY OF BELLEMEADE FOR THE FISCAL YEAR 2013 – 2014 FROM JULY 1, 2013 THROUGH JUNE 30, 2014**

WHEREAS, the City of Bellemeade is authorized and empowered to expend money for the general operating purposes of and the capital expenditures for the City.

NOW, THEREFORE, THE CITY COMMISSIONERS OF THE CITY OF BELLEMEADE, KENTUCKY DOES ORDAIN AS FOLLOWS:

1. 1. City Revenues

The following budgeted revenues are hereby estimated for the fiscal year from July 1, 2013 through June 30, 2014:

General Fund

a. Property Taxes	\$56,000
b. Insurance Premium Taxes	50,000
c. Interest Income	1,750
d. Franchise Fees telecommunication	4,800
Subtotal	112,550
e. Reserves of cash and investments	\$429,000
Total	\$541,550

Road Fund

f. Municipal Aid Road Fund	21,000
g. Interest Income	700
Subtotal	21,700
h. Reserves of cash and investments	\$133,400
Total Road Fund	155,100

1. City Expenditures --

The following budget expenditures are hereby estimated for the City for the fiscal year from July 1, 2013 through June 30, 2014:

General Fund

a. Sanitation	\$ 63,200
b. Lawn Service & maintenance	6,750
* c. <u>Beautification</u>	<u>2,000</u>
d. Utilities – Street lights	12,000
e. Utilities – water entrances	850
f. Insurance	1,600
g. Attorney Fees	2,000
h. PVA and Audit fees	6,000
i. Salary and Payroll	4,000
j. Snow removal	2,000
k. Newsletters	1,600
l. Yard sale expenses	300
m. Miscellaneous	1,700
n. Police NSAP	8,400
o. Surplus to reserves	1750
Subtotal	112,400
p. Reserves not expended	429,000
Total General	\$541,550
Road Fund	
q. Road and sign repair	0
r. Reserves not expended	155,100
Total Road	155,100

This budget reflects a real estate tax rate of .075 per \$100 of property value and a 5% cash discount before January 31, 2014.

CITY OF BELLEMEADE COMMISSION:

<u>Office</u>	<u>Term</u>	<u>Expires</u>
Mayor – Larry Odom-Groh	4 years	12/31/14
Commissioner – Paula Ferriell	2 years	12/31/14
Commissioner – Brian Hill	2 years	12/31/14
Commissioner – John Miller	2 years	12/31/14
Commissioner – Margaret Wright	2 years	12/31/14

<u>Staff</u>	<u>Appointed</u>	<u>Salary</u>
Treasurer – Ruby Sheridan	by mayor	\$1800
City Clerk – Sharon Voelker	by mayor	\$1800

Relationship Disclosure

None of the above names has any relationship with any Councilperson, Councilperson’s family, Councilperson’s staff, or any Louisville Metro Government employee.

CITY OF BELLEMEADE
 FINANCIAL STATEMENT
 MONTH ENDING OCTOBER 31, 2013

	Gen. Ck	Gen. Inv.	Road	Total Mo.	Total Yr.	YTD Bud	Budget 2013-14
RECEIPTS:							
Taxes	0			0	226		56,000
Interest (Road Fund)			39	39	154	233	700
Interest (General)		104		104	436	583	1,750
Insurance Prem. Tax	12,425			12,425	28,805	25,000	50,000
State Road Funds			1,901	1,901	7,357	7,000	21,000
Telecom Distribut.	406			406	1,625	1,600	4,800
Other Income				0			
Total Rec.	12,831	104	1,940	14,876	38,603	34,416	134,250
DISBURSEMENTS:							
Attorney	100			100	963	667	2,000
Insurance	-40			-40	-40		1,600
Utilities	1,127			1,127	4,484	4,000	12,000
Water	312			312	666	283	850
PVA & Acct. Fees	1,800			1,800	1,800	1,900	6,000
Salaries	300			300	1,111	1,333	4,000
Sanitation	4,787			4,787	19,148	21,067	63,200
Grounds	790			790	2,205	2,250	6,750
Beautification Ordinanc	130			130	260	667	2,000
Police NSAP	630			630	2,730	2,800	8,400
Weather Maintenance				0			2,000
** Misc.	29			29	163	583	1,750
Newsletter Expense							1,600
Yard Sale Expense							300
Roads & Signs							
Total Disb.	9,965			9,965	33,490	35,550	112,450
RECEIPTS OVER/UNDER DISB	2,866	104	1,940	4,911	5,113		
BEGINNING BALANCES	5,249	419,697	139,594	564,533	564,333		
ENDING BALANCES	8,115	419,801	141,534	569,444	569,451		
GENERAL FUND	427,916						
ROAD FUND	141,534						
	569,451						

** BANK SC, LIGHT BULBS

**Request for Taxpayer
Identification Number and Certification**

Give form to the
requester. Do not
send to the IRS.

Print or type
See Specific Instructions on page 2.

Name (as shown on your income tax return) *N/A*
CITY OF BELLEMEADE
 Business name, if different from above
same

Check appropriate box: Individual/Sole proprietor Corporation Partnership
 Limited liability company. Enter the tax classification (D=disregarded entity, C=corporation, P=partnership) ▶ Exempt payee
 Other (see instructions) ▶ *City Government*

Address (number, street, and apt. or suite no.)
206 DORCHESTER RD
 City, state, and ZIP code
LOUISVILLE KY 40229

List account number(s) here (optional)

Requester's name and address (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on Line 1 to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Social security number

or

Employer identification number
61-0889050

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. citizen or other U.S. person (defined below).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. See the instructions on page 4.

Sign Here Signature of U.S. person ▶ *Larry Lee Oden* Date ▶ *10-19-13*

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

- The U.S. owner of a disregarded entity and not the entity,

- The U.S. grantor or other owner of a grantor trust and not the trust, and
- The U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

Foreign person. If you are a foreign person, do not use Form W-9. Instead, use the appropriate Form W-8 (see Publication 515, *Withholding of Tax on Nonresident Aliens and Foreign Entities*).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items:

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity not subject to backup withholding, give the requester the appropriate completed Form W-8.

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS 28% of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester,
2. You do not certify your TIN when required (see the Part II instructions on page 3 for details),
3. The IRS tells the requester that you furnished an incorrect TIN,

4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or

5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See the instructions below and the separate Instructions for the Requester of Form W-9.

Also see *Special rules for partnerships* on page 1.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Name

If you are an individual, you must generally enter the name shown on your income tax return. However, if you have changed your last name, for instance, due to marriage without informing the Social Security Administration of the name change, enter your first name, the last name shown on your social security card, and your new last name.

If the account is in joint names, list first, and then circle, the name of the person or entity whose number you entered in Part I of the form.

Sole proprietor. Enter your individual name as shown on your income tax return on the "Name" line. You may enter your business, trade, or "doing business as (DBA)" name on the "Business name" line.

Limited liability company (LLC). Check the "Limited liability company" box only and enter the appropriate code for the tax classification ("D" for disregarded entity, "C" for corporation, "P" for partnership) in the space provided.

For a single-member LLC (including a foreign LLC with a domestic owner) that is disregarded as an entity separate from its owner under Regulations section 301.7701-3, enter the owner's name on the "Name" line. Enter the LLC's name on the "Business name" line.

For an LLC classified as a partnership or a corporation, enter the LLC's name on the "Name" line and any business, trade, or DBA name on the "Business name" line.

Other entities. Enter your business name as shown on required federal tax documents on the "Name" line. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on the "Business name" line.

Note. You are requested to check the appropriate box for your status (individual/sole proprietor, corporation, etc.).

Exempt Payee

If you are exempt from backup withholding, enter your name as described above and check the appropriate box for your status, then check the "Exempt payee" box in the line following the business name, sign and date the form.

Generally, individuals (including sole proprietors) are not exempt from backup withholding. Corporations are exempt from backup withholding for certain payments, such as interest and dividends.

Note. If you are exempt from backup withholding, you should still complete this form to avoid possible erroneous backup withholding.

The following payees are exempt from backup withholding:

1. An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2),
 2. The United States or any of its agencies or instrumentalities,
 3. A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities,
 4. A foreign government or any of its political subdivisions, agencies, or instrumentalities, or
 5. An international organization or any of its agencies or instrumentalities.
- Other payees that may be exempt from backup withholding include:
6. A corporation,
 7. A foreign central bank of issue,
 8. A dealer in securities or commodities required to register in the United States, the District of Columbia, or a possession of the United States,
 9. A futures commission merchant registered with the Commodity Futures Trading Commission,
 10. A real estate investment trust,
 11. An entity registered at all times during the tax year under the Investment Company Act of 1940,
 12. A common trust fund operated by a bank under section 584(a),
 13. A financial institution,
 14. A middleman known in the investment community as a nominee or custodian, or
 15. A trust exempt from tax under section 664 or described in section 4947.

The chart below shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 15.

IF the payment is for . . .	THEN the payment is exempt for . . .
Interest and dividend payments	All exempt payees except for 9
Broker transactions	Exempt payees 1 through 13. Also, a person registered under the Investment Advisers Act of 1940 who regularly acts as a broker
Barter exchange transactions and patronage dividends	Exempt payees 1 through 5
Payments over \$600 required to be reported and direct sales over \$5,000 ¹	Generally, exempt payees 1 through 7 ²

¹ See Form 1099-MISC, Miscellaneous Income, and its instructions.

² However, the following payments made to a corporation (including gross proceeds paid to an attorney under section 6045(f), even if the attorney is a corporation) and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, and payments for services paid by a federal executive agency.

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-member LLC that is disregarded as an entity separate from its owner (see *Limited liability company (LLC)* on page 2), enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

Note. See the chart on page 4 for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local Social Security Administration office or get this form online at www.ssa.gov. You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/businesses and clicking on Employer Identification Number (EIN) under Starting a Business. You can get Forms W-7 and SS-4 from the IRS by visiting www.irs.gov or by calling 1-800-TAX-FORM (1-800-829-3676).

If you are asked to complete Form W-9 but do not have a TIN, write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note. Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

Caution: A disregarded domestic entity that has a foreign owner must use the appropriate Form W-8.

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if items 1, 4, and 5 below indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). Exempt payees, see *Exempt Payee* on page 2.

Signature requirements. Complete the certification as indicated in 1 through 5 below.

1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.

2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

3. Real estate transactions. You must sign the certification. You may cross out item 2 of the certification.

4. Other payments. You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account)	The actual owner of the account or, if combined funds, the first individual on the account ¹
3. Custodian account of a minor (Uniform Gift to Minors Act)	The minor ²
4. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee ¹
b. So-called trust account that is not a legal or valid trust under state law	The actual owner ¹
5. Sole proprietorship or disregarded entity owned by an individual	The owner ³
For this type of account:	Give name and EIN of:
6. Disregarded entity not owned by an individual	The owner
7. A valid trust, estate, or pension trust	Legal entity ⁴
8. Corporate or LLC electing corporate status on Form 8832	The corporation
9. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
10. Partnership or multi-member LLC	The partnership
11. A broker or registered nominee	The broker or nominee
12. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity

¹List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

²Circle the minor's name and furnish the minor's SSN.

³You must show your individual name and you may also enter your business or "DBA" name on the second name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

⁴List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships* on page 1.

Note. If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

Secure Your Tax Records from Identity Theft

Identity theft occurs when someone uses your personal information such as your name, social security number (SSN), or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

Call the IRS at 1-800-829-1040 if you think your identity has been used inappropriately for tax purposes.

Victims of identity theft who are experiencing economic harm or a system problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

Protect yourself from suspicious emails or phishing schemes.

Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to phishing@irs.gov. You may also report misuse of the IRS name, logo, or other IRS personal property to the Treasury Inspector General for Tax Administration at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at: spam@uce.gov or contact them at www.consumer.gov/idtheft or 1-877-IDTHEFT(438-4338).

Visit the IRS website at www.irs.gov to learn more about identity theft and how to reduce your risk.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons who must file information returns with the IRS to report interest, dividends, and certain other income paid to you, mortgage interest you paid, the acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA, or Archer MSA or HSA. The IRS uses the numbers for identification purposes and to help verify the accuracy of your tax return. The IRS may also provide this information to the Department of Justice for civil and criminal litigation, and to cities, states, the District of Columbia, and U.S. possessions to carry out their tax laws. We may also disclose this information to other countries under a tax treaty, to federal and state agencies to enforce federal nontax criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism.

You must provide your TIN whether or not you are required to file a tax return. Payers must generally withhold 28% of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to a payer. Certain penalties may also apply.

CITY OF BELLEMEADE
FINANCIAL STATEMENTS
AS OF JUNE 30, 2013

GOODMAN & COMPANY, P.S.C
Certified Public Accountants
Louisville, Kentucky

CITY OF BELLEMEADE

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GOODMAN & COMPANY, P.S.C.

Certified Public Accountants

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INDEPENDENT AUDITOR'S REPORT

Mayor and Commissioners
City of Bellemeade, Kentucky

We have audited the accompanying financial statements of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of City of Bellemeade, Kentucky, as of and for the year ended June 30, 2013, and the related notes to the financial statements, which collectively comprise the City's basic financial statements as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express opinions on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

Opinions

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the City of Bellemeade, Kentucky, as of June 30, 2013, and the respective changes in financial position and, where applicable, cash flows thereof for the year then ended in accordance with accounting principles generally accepted in the United States of America.


Other Information

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the City of Bellemeade, Kentucky's basic financial statements. The introductory section, combining and individual nonmajor fund financial statements, and statistical section are presented for purposes of additional analysis and are not a required part of the basic financial statements.

The combining and individual nonmajor fund financial statements are the responsibility of management and were derived from and relate directly to the underlying accounting and other records used to prepare the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance

with auditing standards generally accepted in the United States of America. In our opinion, the combining and individual nonmajor fund financial statements are fairly stated, in all material respects, in relation to the basic financial statements as a whole.

The introductory and statistical sections have not been subjected to the auditing procedures applied in the audit of the basic financial statements and, accordingly, we do not express an opinion or provide any assurance on them.


GOODMAN & COMPANY, PSC
Certified Public Accountants
Louisville, Kentucky
September 20, 2013

GOVERNMENT-WIDE FINANCIAL STATEMENTS

BASIC FINANCIAL STATEMENTS

City of Bellemeade

Statement of Net Assets June 30, 2013

Assets	6/30/2013	6/30/2012
Current Assets		
Cash and Cash Equivalents	\$ 284,616	\$ 406,931
Investments	279,718	277,939
Accounts Receivable	<u>1,064</u>	<u>641</u>
Total Current Assets	\$ 565,398	\$ 685,511
Noncurrent Assets		
Capital Assets	\$ 681,543	\$ 523,775
Less: Accumulated Depreciation	<u>(348,080)</u>	<u>(322,166)</u>
Total Noncurrent Assets	\$ 333,463	\$ 201,609
Total Assets	<u>\$ 898,861</u>	<u>\$ 887,120</u>
Current Liabilities		
Accounts Payable	<u>\$ 5,896</u>	<u>\$ 5,609</u>
Net Assets		
Invested in Capital Assets, Net of Related Debt	\$ 333,463	\$ 201,609
Restricted for: Capital Projects	134,023	269,286
Unrestricted	<u>425,479</u>	<u>410,616</u>
Total Net Assets	<u>\$ 892,965</u>	<u>\$ 881,511</u>

(See accompanying notes to financial statements.)

City of Bellemeade

Statement of Activities For the Fiscal Year Ended June 30, 2013

	Program Revenues			<u>Net Expenses</u>
	<u>Expenses</u>	<u>Charges For Services</u>	<u>Operating Grants & Contributions</u>	
Functions/Programs				
Sanitation	\$ 62,364	\$ -	\$ -	\$ (62,364)
General Government	63,037	-	-	(63,037)
Streets & Signs	-	-	-	21,868
	<u>\$ 125,401</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ (103,533)</u>
General Revenues				
Property Taxes				\$ 56,552
Insurance Premium Tax				50,968
Investment Income				2,593
All Other				4,874
Total General Revenues				<u>\$ 114,987</u>
Change in Net Assets				\$ 11,454
Net Assets – Beginning				<u>881,511</u>
Net Assets – Ending				<u>\$ 892,965</u>

(See accompanying notes to financial statements.)

FUND FINANCIAL STATEMENTS

City of Bellemeade

Balance Sheet Governmental Funds June 30, 2013

	<u>General Fund</u>	<u>Capital Projects Fund</u>	<u>Total Government Funds</u>
Assets and Resources:			
Cash and cash equivalents	\$ 150,593	\$ 134,023	\$ 284,616
Investments	279,718	-	279,718
Accounts Receivable	<u>1,064</u>	<u>-</u>	<u>1,064</u>
Total Assets and Resources	<u>\$ 431,375</u>	<u>\$ 134,023</u>	<u>\$ 565,398</u>
Liabilities and Fund Balances:			
Liabilities			
Accounts Payable	<u>\$ 5,896</u>	<u>\$ -</u>	<u>\$ 5,896</u>
Fund Balances			
Restricted	\$ -	\$ 134,023	\$ 134,023
Unassigned	<u>425,479</u>	<u>-</u>	<u>425,479</u>
Total Fund Balances	<u>\$ 425,479</u>	<u>\$ 134,023</u>	<u>\$ 559,502</u>
Total Liabilities and Fund Balances	<u>\$ 431,375</u>	<u>\$ 134,023</u>	<u>\$ 565,398</u>

(See accompanying notes to financial statements.)

City of Bellemeade

Reconciliation of the Balance Sheet – Governmental Funds to the Statement of Net Assets

June 30, 2013

Total fund balance per fund financial statement	\$ 559,502
---	------------

Amounts reported for governmental activities in the
statement of net assets are different because:

Capital assets are not reported in this fund financial statement because they are not current financial resources, but they are reported in the statement of net assets.	<u>333,463</u>
--	----------------

Net Assets for Governmental Activities	<u>\$ 892,965</u>
--	-------------------

City of Bellemeade

Statement of Revenues, Expenditures, and Changes in Fund Balances

Governmental Funds For the Fiscal Year Ended June 30, 2013

	<u>General Fund</u>	<u>Capital Projects Fund</u>	<u>Total Governmental Funds</u>
Revenues:			
Property Taxes	\$ 56,552	\$ -	\$ 56,552
Insurance Premium Taxes	50,968	-	50,968
Interest	1,955	638	2,593
Municipal Road Aid	-	21,868	21,868
Other	<u>4,874</u>	<u>-</u>	<u>4,874</u>
Total	\$114,349	\$ 22,506	\$ 136,855
Expenditures:			
Sanitation	\$ 62,364	\$ -	\$ 62,364
General Government	37,121	-	37,121
Streets & Signs	-	157,768	157,768
Total	<u>\$ 99,485</u>	<u>\$ 157,768</u>	<u>\$ 257,253</u>
Net Change in Fund Balances	\$ 14,864	\$(135,262)	\$(120,398)
Beginning Fund Balances	<u>410,615</u>	<u>269,285</u>	<u>679,900</u>
Ending Fund Balances	<u>\$ 425,479</u>	<u>\$ 134,023</u>	<u>\$ 559,502</u>

(See accompanying notes to financial statements.)

City of Bellemeade

Reconciliation of the Statement of Revenues, Expenditures, and Changes in Fund Balances of Governmental Funds to the Statement of Activities

For the year ended June 30, 2013

Net change in total fund balances per fund statements \$ (120,398)

Amounts reported for governmental activities in the statement of activities are different because:

Capital outlays are reported as expenditures in this fund financial statement because they use current financial resources, but they are presented as assets in the statements of activities and depreciated over their estimated economic lives. The difference in the amount by which depreciation expense exceeds capital outlays for the year.

131,852

Change in Net Assets of Governmental Activities

\$ 11,454

REQUIRED SUPPLEMENTARY INFORMATION

City of Bellemeade

Notes to Financial Statements June 30, 2013

NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

GENERAL STATEMENT

The accounting and reporting policies of the City of Bellemeade (City) relating to the activities and funds included in the accompanying basic financial statements conform to generally accepted accounting principles applicable to state and local governments. Generally accepted accounting principles for state and local governments include those principles prescribed by the Governmental Accounting Standards Board (GASB), the American Institute of Certified Public Accountants in the publication entitled Audits of State and Local Governmental Units and by the Financial Accounting Standards Board (when applicable). As allowed in Section P80 of GASB's Codification of Governmental Accounting and Financial Reporting Standards, the City has elected not to apply to its proprietary activities Financial Accounting Standards Board Statements and Interpretations, Accounting Principles Board Opinions, and Accounting Research Bulletins of the Committee of Accounting Procedure issued after November 30, 1989. The more significant accounting policies of the City are described below.

FINANCIAL REPORTING ENTITY

COMPONENT UNITS

The City of Bellemeade was founded in 1955 and incorporated on September 24, 1956, under the laws of the Commonwealth of Kentucky. The City operates as a sixth class city and provides the following services as authorized by its charter: streets, sanitation, public improvements, planning and zoning and general administrative services. The City's citizens elect the mayor at large and four council members at large. The accompanying financial statements present the various governmental activities and each major.

The City's basic financial statements include the accounts of all City operations. The criteria for including organizations as component units within the City's reporting entity, as set forth in Section 2100 of GASB's Codification of Governmental Accounting and Financial Reporting Standards, include whether:

- The organization is legally separate (can sue/be sued in own name)
- The City appoints a voting majority of the organization's board
- There is fiscal dependency by the organization on the City
- The City Council holds the corporate powers of the organization
- The City is able to impose its will on the organization
- The organization has the potential to impose a financial benefit/burden on the City.

Based on the aforementioned criteria, there are no component units included in the City's basic financial statements.

City of Bellemeade

Notes to Financial Statements June 30, 2013

BASIS OF PRESENTATION – FUND ACCOUNTING

The accounting system is organized and operated on a fund basis. A fund is defined as a fiscal and accounting entity with a self-balancing set of accounts, which are segregated for the purpose of carrying on specific activities or attaining certain objectives in accordance with special regulations, restrictions or limitations.

The City's funds are ordered into three major categories: governmental, proprietary and fiduciary. Governmental funds include the general and capital projects funds.

BASIS OF ACCOUNTING

GOVERNMENT-WIDE FINANCIAL STATEMENTS

The government-wide financial statements (i.e., the Statement of Net Assets and the Statement of Activities) report information on all of the non-fiduciary activities of the primary government. Governmental activities, which normally are supported by taxes and intergovernmental revenues, are reported separately from business-type activities, which primarily rely on fees and charges for support. Internal service fund activity is eliminated to avoid "doubling up" revenues and expenses. Fiduciary funds are also excluded from the government-wide financial statements.

The government-wide statements are prepared using the economic resources measurement focus and the accrual basis of accounting. This is the same approach used in the preparation of the proprietary fund financial statements but differs from the manner in which governmental fund financial statements are prepared. Therefore, governmental fund financial statements include reconciliations with brief explanations to better identify the relationship between the government-wide statements and the statements for governmental funds. The primary effect of internal activity has been eliminated from the government-wide financial statements.

The government-wide Statement of Activities presents a comparison between expenses and program revenues for each segment of the business-type activities of the City and for each governmental program. Program revenues include charges paid by the recipients of the goods or services offered by the programs and grants and contributions that are restricted to meeting the operational or capital requirements of a particular program. Revenues not classified as program revenues are presented as general revenues. The comparison of program revenues and expenses identifies the extent to which each program or business segment is self-financing or draws from the general revenues of the City.

Net assets should be reported as restricted when constraints placed on net asset use are either externally imposed by creditors (such as through debt covenants), grantors, contributors, or laws or regulations of other governments or imposed by law through constitutional provisions or enabling legislation.

Major individual governmental funds are reported as separate columns in the fund financial statements.

City of Bellemeade

Notes to Financial Statements

June 30, 2013

FUND FINANCIAL STATEMENTS

Fund financial statements report detailed information about the City. The focus of governmental and enterprise fund financial statements is on major funds rather than reporting funds by type. Each major fund is presented in a separate column. All funds are considered major.

GOVERNMENTAL FUNDS

All governmental funds are accounted for using modified accrual basis of accounting and the current financial resources measurement focus. Under this basis, revenues are recognized in the accounting period in which they become measurable and available. Expenditures are recognized in the accounting period in which the fund liability is incurred, if measurable.

The following are the City's Governmental Fund types:

(a) **General Fund** – The General Fund is the general operating fund of the City. It is used to account for all financial resources except those required to be accounted for in another fund. This is a major fund.

(b) **Capital Projects Funds** – Capital Projects Funds are used to account for and report financial resources that are restricted, committed, or assigned to expenditure for capital outlays, including the acquisition or construction of capital facilities and other capital assets. This fund is used for road repair/construction. This is a major fund.

Revenue Recognition

In applying the susceptible to accrual concept under the modified accrual basis, the following revenue sources are deemed both measurable and available (i.e., collectible within the current year or within one month of year-end and available to pay obligations of the current period). The revenues susceptible to accrual are property taxes, franchise taxes, special assessments, licenses, charges for services, interest income and intergovernmental revenues. All other Governmental revenues are recognized when received. Reimbursements due for federally funded projects are accrued as revenue at the time the expenditures are made, or when received in advance, deferred until expenditures are made.

Nonexchange transactions, in which the City receives value without directly giving equal value in return, include property taxes, grants, entitlements and donations. Property taxes levied to finance fiscal year 2012 are recorded when there is an enforceable legal claim and when the revenue is measurable and available. Revenue from grants, entitlements and donations is recognized in the fiscal year in which all eligibility requirements have been satisfied.

Eligibility requirements include timing requirements, which specify the year when the resources are required to be used or the year when use is first permitted, matching requirements, in which the City must provide local resources to be used for a specified purpose. On a modified accrual basis, revenue from nonexchange transactions must be available before it can be recognized.

City of Bellemeade

Notes to Financial Statements

June 30, 2013

Expenditure Recognition

The measurement focus of governmental fund accounting is on decreases in net financial resources (expenditures) rather than expenses. Most expenditures are measurable and are recorded when the related fund liability is incurred. Allocations of costs, such as depreciation and amortization, are not recognized in the governmental funds.

The City Treasurer and Mayor prepare the budget for the current fiscal year. The budget is presented to the Commissioners in the form of an ordinance and must comply with all requirements of an ordinance. The budget contains a detail of the expected revenue from all money for specific programs, functions, activities or objectives of the City.

The City considers all outstanding property tax bills to be collectible. Consequently, no allowance for uncollectible accounts is deemed necessary.

Note 2 - AD VALOREM TAXES

The City of Bellemeade adopted a tax rate of 7.5 cents per \$100 of property assessed for taxation.

Property tax revenues are recognized when they become available. Available includes those property tax receivables expected to be collected within sixty days after year-end. Delinquent taxes are considered fully collectible and therefore no allowance for uncollectible taxes is provided. Taxes are levied annually on January 1 and are due by February 28 with a June 30 lien date for delinquent taxes. The City allows a discount of 5% for taxes paid prior to January 31.

Note 3 - INSURANCE PREMIUM TAXES

The City levies an insurance premium tax on non-health and worker's compensation insurance products sold to City residents. The assessed tax is 5% of gross premiums payable on a quarterly basis.

Note 4 - ENCUMBRANCES

Encumbrance accounting is used for the General Fund and the capital projects fund. Encumbrances are recorded when purchase orders are issued but are not considered expenditures until liabilities for payments are incurred. Encumbrances are reported as a reservation of fund balance on the balance sheet. Encumbrances do not lapse at the close of the fiscal year but are carried forward as reserved fund balance until liquidated.

Note 5 - DEPOSITS

It is the City's objective for deposits to be 100 percent secured by collateral valued at market or par, whichever is lower, less the amount of the Federal Deposit Insurance Corporation insurance. The City's policy is to confine all investments to certificates of deposit. The City's pooled and non-pooled deposits are categorized to give an indication of the level of risk assumed by the City at fiscal year-end. The categories are described as follows:

City of Bellemeade

Notes to Financial Statements June 30, 2013

Category 1 – Insured or collateralized with securities held by the City or by its agent in the City’s name.

Category 2 – Collateralized with securities held by the pledging financial institution’s trust department or agent in the City’s name.

Category 3 – Uncollateralized.

Note 5 - DEPOSITS CONTINUED

Deposits, categorized by level of risk, are:

Carrying	<u>Bank Balance</u>	<u>Category</u>			<u>Amount</u>
		<u>1</u>	<u>2</u>	<u>3</u>	
POOLED DEPOSITS					
Pooled cash and cash equivalents	\$ -	\$ -	\$ -	\$ -	\$ -
NON-POOLED DEPOSITS					
Non-pooled cash and cash equivalents:					
Primary Government					
General Fund	\$ 55,275	\$ 55,275	\$ -	\$ -	\$ 55,275
Capital Projects Fund	<u>134,023</u>	<u>134,023</u>	<u>-</u>	<u>-</u>	<u>134,023</u>
Total	\$189,298	\$189,298	\$ -	\$ -	\$ 189,298
Non-pooled certificates of deposit:					
Primary Government					
General Fund	\$375,036	\$375,036	\$ -	\$ -	\$ 375,036
Capital Projects Fund	<u>375,036</u>	<u>375,036</u>	<u>-</u>	<u>-</u>	<u>375,036</u>
Total	<u>\$375,036</u>	<u>\$375,036</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 375,036</u>
Total Deposits	<u><u>\$564,334</u></u>	<u><u>\$564,334</u></u>	<u><u>\$ -</u></u>	<u><u>\$ -</u></u>	<u><u>\$ 564,334</u></u>

City of Bellemeade

Notes to Financial Statements

June 30, 2013

Note 6 - COMMITMENTS

The City maintains contractual relationships relating to services provided for the benefit of the City. These commitments include annual contractual obligations for sanitation and maintenance services. All contacts are current.

Note 7 - COMPENSATED ABSENCES

Employees are all on a part-time basis; no employees are eligible for sick days.

Note 8 - RELATED PARTY TRANSACTIONS

There were no related party transactions.

Note 9 - CAPITAL, ASSETS, DEPRECIATION, AND AMORITIZATION

The City's property, plant, equipment, and infrastructure with useful lives of more than one year are stated at historical cost and comprehensively reported in the government-wide financial statements. The City maintains infrastructure asset records consistent with all other capital assets. Donated assets are started at fair value on the date donated. The City generally capitalizes assets with cost of \$1,500 or more as purchase and construction outlays occur. The costs of normal maintenance and repairs that do not add to the asset value or materially extend useful lives are not capitalized. Capital assets are depreciated using the straight-line method. When capital assets are disposed, the cost and applicable accumulated depreciation are removed from respective accounts, and the resulting gain or loss is recorded in operations.

Estimated useful lives, in years, for depreciable assets are as follows:

	<u>Years</u>
Machinery and Equipment	5
Resurfacing	12
Roads	25

City of Bellemeade

Notes to Financial Statements June 30, 2013

Capital Assets on June 30, 2013 were as follows:

	<u>Balance</u> <u>July 1, 2012</u>	<u>Additions</u>	<u>Deductions</u>	<u>Balance</u> <u>June 30, 2013</u>
Original Cost:				
Original Road	\$ 172,491	\$ -	\$ -	\$ 172,491
Resurfacing	320,056	157,768	-	477,824
Street Lights	9,501	-	-	9,501
Speed Humps	11,200	-	-	11,200
Excavation	<u>10,527</u>	<u>-</u>	<u>-</u>	<u>10,527</u>
	<u>\$ 523,775</u>	<u>\$ 157,768</u>	<u>\$ -</u>	<u>\$ 681,543</u>
Less: Accumulated Depreciation				
Original Road	\$ -	\$ -	\$ -	\$ -
Resurfacing	283,976	22,967	-	306,943
Street Lights	25,690	950	-	26,640
Speed Humps	9,430	1,120	-	10,550
Excavation	<u>3,070</u>	<u>877</u>	<u>-</u>	<u>3,947</u>
	<u>\$ 322,166</u>	<u>\$ 25,914</u>	<u>\$ -</u>	<u>\$ 348,080</u>
Government Activities Capital Net	<u>\$ 201,609</u>	<u>\$ 131,854</u>	<u>\$ -</u>	<u>\$ 333,463</u>

Depreciation expense charged to governmental functions as follows:

Streets, Signs & Entrance \$ 25,914

Note 10 - DEFICIT OPERATING BALANCES

There are not funds of the City that currently have a deficit fund balance.

Note 11 - CASH EQUIVALENTS

The City considers funds temporarily invested in securities with maturity of 90 days or less to be cash equivalents.

City of Bellemeade

Schedule of Revenues, Expenditures, and Changes in Fund Balances – Budget and Actual

General Fund For the Year Ended June 30, 2013

	<u>Budget</u>		<u>Actual</u>
	<u>Original</u>	<u>Final</u>	
Revenues:			
Property Taxes	\$ 55,000	\$ 55,000	\$ 56,552
Insurance Premium Taxes	35,000	35,000	50,968
Interest	3,750	3,750	1,955
Other	<u>4,800</u>	<u>4,800</u>	<u>4,874</u>
Total	\$ 98,550	\$ 98,550	\$ 114,349
Expenditures:			
Sanitation	\$ 67,500	\$ 67,500	\$ 62,364
General Government	<u>40,750</u>	<u>40,750</u>	<u>37,121</u>
Total	\$ 108,250	\$ 108,250	\$ 99,485
Net Change in Fund Balances	\$ (9,700)	\$ (9,700)	\$ 14,864
Beginning Fund Balances	<u>410,615</u>	<u>410,615</u>	<u>410,615</u>
Ending Fund Balances	<u>\$ 400,915</u>	<u>\$ 400,915</u>	<u>\$ 425,479</u>

City of Bellemeade

Schedule of Revenues, Expenditures, and Changes in Fund Balances – Budget and Actual

Capital Projects Fund For the Year Ended June 30, 2013

	<u>Budget</u>		<u>Actual</u>
	<u>Original</u>	<u>Final</u>	
Revenues:			
Interest	\$ 1,750	\$ 1,750	\$ 638
Municipal Road Aid	<u>19,000</u>	<u>19,000</u>	<u>21,868</u>
Total	\$ 20,750	\$ 20,750	\$ 22,506
Expenditures:			
General Government	\$ -	\$ -	\$ -
Streets & Signs	<u>-</u>	<u>-</u>	<u>157,768</u>
Total	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 157,768</u>
Net Change in Fund Balances	\$ 20,750	\$ 20,750	\$ (135,262)
Beginning Fund Balances	<u>269,285</u>	<u>269,285</u>	<u>269,285</u>
Ending Fund Balances	<u>\$ 290,035</u>	<u>\$ 290,035</u>	<u>\$ 134,023</u>

**INDEPENDENT AUDITOR'S REPORT
ON COMPLIANCE AND ON INTERNAL CONTROL
OVER FINANCIAL REPORTING BASED ON AN
AUDIT OF FINANCIAL STATEMENTS PERFORMED
IN ACCORDANCE WITH
*GOVERNMENT AUDITING STANDARDS***

GOODMAN & COMPANY, P.S.C.
Certified Public Accountants

10357 Linn Station Road
Louisville, KY 40223
(502) 339-0342 Fax (502) 339-0794

William H. Goodman, CPA, MBA
Daniel A. Weber, CPA, MBA

www.goodmanandcompanypsc.com
whgoodman@att.net

**INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND
ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS
PERFORMED IN ACCORDANCE WITH GOVERNMENT AUDITING STANDARDS**

To the Mayor and Commissioners
City of Bellemeade, Kentucky

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of City of Bellemeade, Kentucky, as of and for the year ended June 30, 2013, and the related notes to the financial statements, which collectively comprise City of Bellemeade, Kentucky's basic financial statements, and have issued our report thereon date September 20, 2013.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered City of Bellemeade, Kentucky's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of City of Bellemeade, Kentucky's internal control. Accordingly, we do not express an opinion on the effectiveness of City of Bellemeade, Kentucky's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.


Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or, significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether City of Bellemeade, Kentucky's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.


GOODMAN & COMPANY, PSC
Certified Public Accountants
Louisville, KY
September 20, 2013

CITY OF BELLEMEADE BEAUTIFICATION COMMITTEE

PROPERTY OWNER'S PERMISSION TO REMOVE AND PLANT TREES

I Cyrus Abhari, owner of the property at 8505 Shelbyville Road, Louisville, KY 40222

give permission to the City of Bellemeade and

Sang Tree Service, LLC to remove 3

hazardous trees in the front easement that are interfering with the power lines. Permission is also given to Outdoor

Solutions to plant 4 low growing

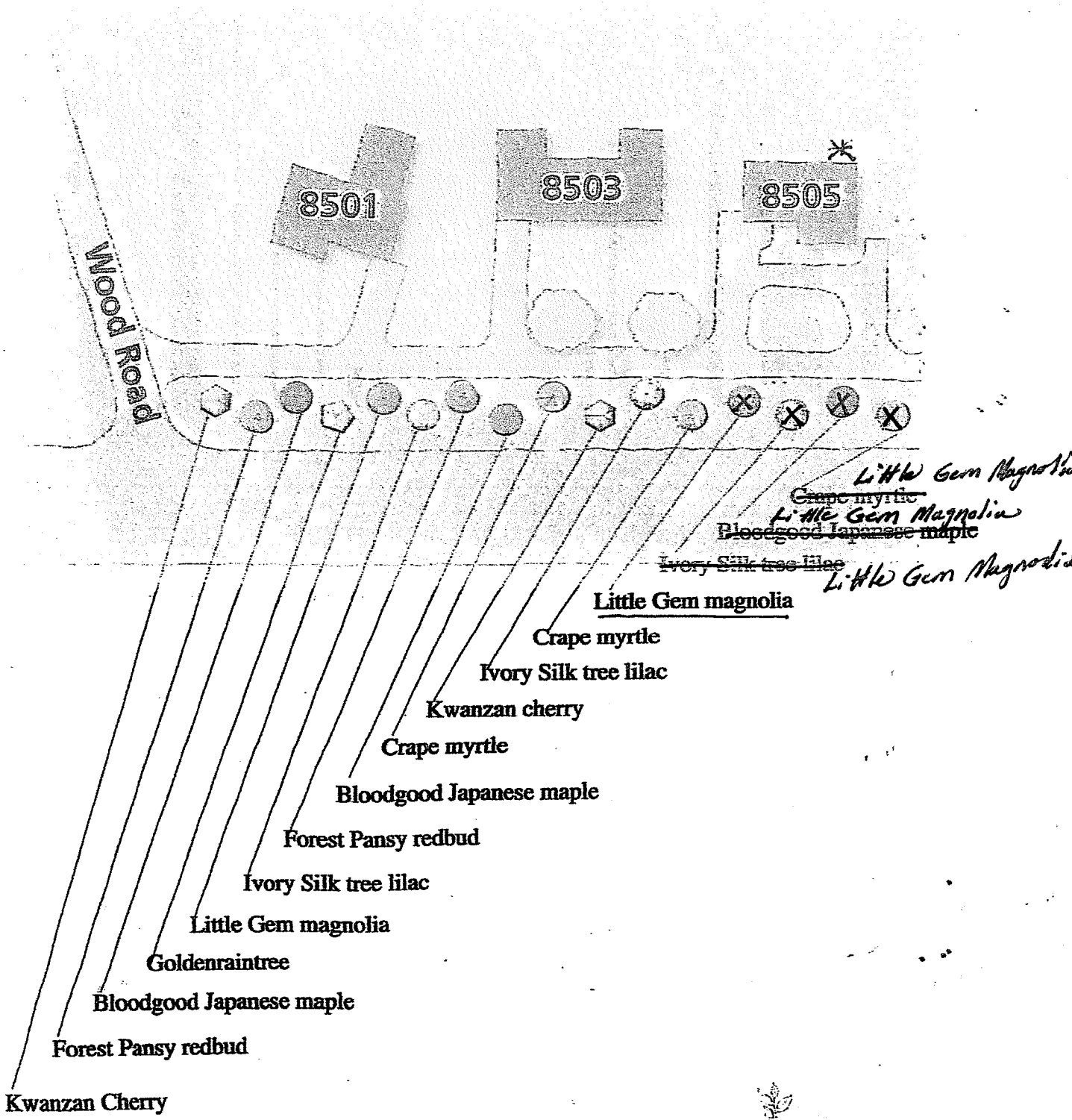
trees to enhance the appearance of the US 60 Corridor. A copy of the design affecting my home's easement is attached and one has been give to me.

In consideration of the work done in removing and replanting trees in front of my home, I agree to keep the new trees watered, by using the "Gator Bags" loaned to me by the Beautification Committee and I agree to keep these bags filled, by garden hose weekly.

Cyrus Abhari 10-19-13
Signature of Property Owner Date

8505-SHELBYVILLE RD- 40222
Address of Property Owner

Bellemeade US 60 Corridor Beautification Plan



Sec. 5B attachment 2

CITY OF BELLEMEADE BEAUTIFICATION COMMITTEE

PROPERTY OWNER'S PERMISSION TO REMOVE AND PLANT TREES

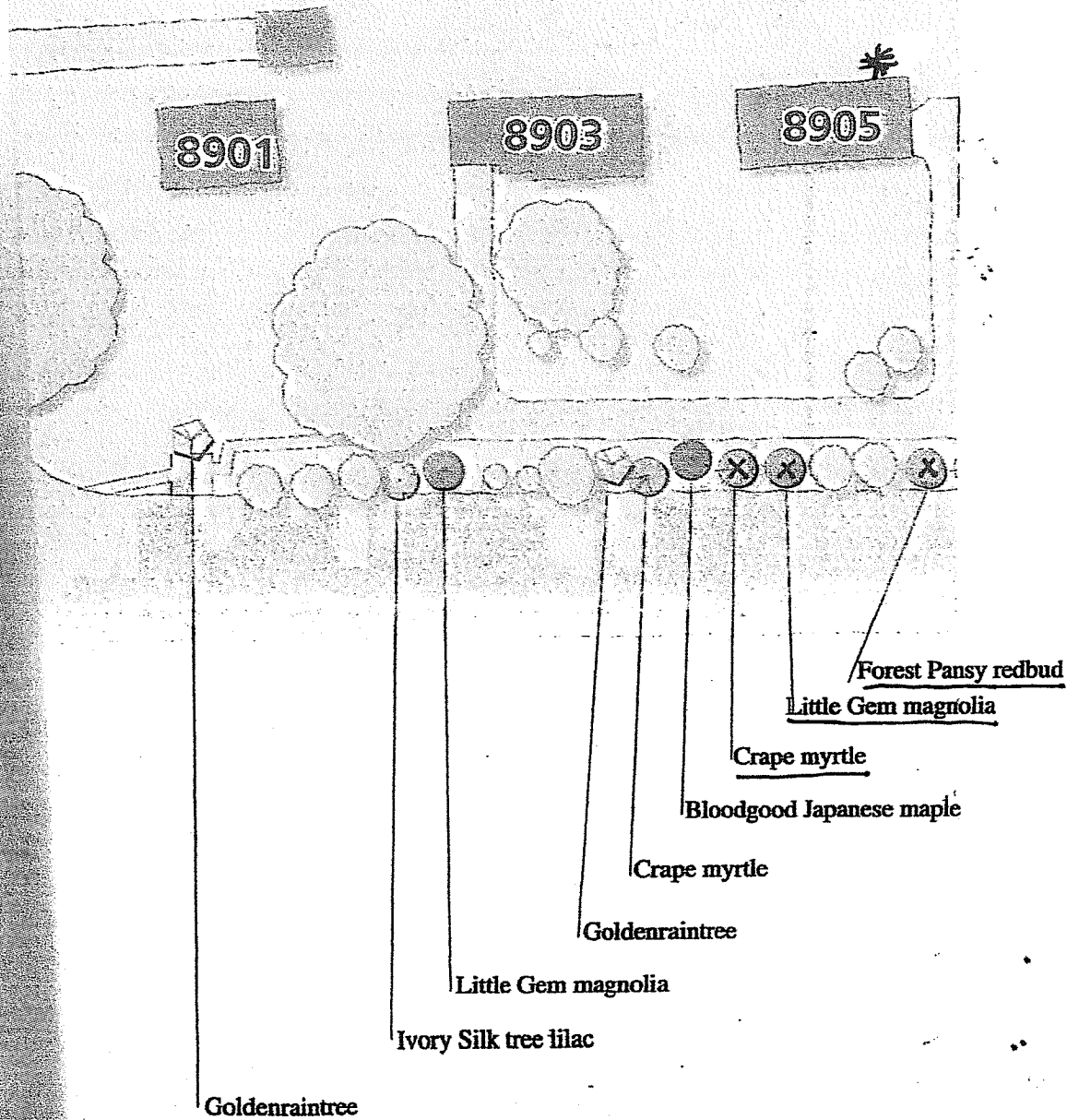
I Vioulett Hunn, owner of the property at 8905 Shelbyville Road, Louisville, KY 40223 give permission to the City of Bellemeade and Sang Tree Service, LLC to remove 4 hazardous trees in the front easement that are interfering with the power lines. Permission is also given to Outdoor Solutions to plant 3 low growing trees to enhance the appearance of the US 60 Corridor. A copy of the design affecting my home's easement is attached and one has been give to me.

In consideration of the work done in removing and replanting trees in front of my home, I agree to keep the new trees watered, by using the "Gator Bags" loaned to me by the Beautification Committee and I agree to keep these bags filled, by garden hose weekly.

Vioulett Hunn 10/19/13
Signature of Property Owner Date

8905 Shelbyville Rd.
Address of Property Owner

Bellemeade US 60 Corridor Beautification Plan



**CITY OF BELLEMEADE
LOUISVILLE, KENTUCKY**

WHEREAS, the Louisville, Jefferson County Metro Government Council has enacted its Ordinance No. 110, Series 2005, Section 97.100 of the Louisville/Jefferson County Metro Government Code of Ordinances (LMCO) establishing a Metro Partnership Program with Suburban Cities for a Capital Improvement Program (the "Program"); and

WHEREAS, the City of Bellemeade, Kentucky wishes to participate in the Program pursuant to LMCO 97.100(F).

NOW, THEREFORE BE IT RESOLVED BY THE CITY AS FOLLOWS:

- 1. The City hereby elects to participate in the Program for capital projects which are authorized by LMCO 97.100.**
- 2. The City agrees to construct projects funded in whole or in part by the Program in accordance with standards established in compliance with LMCO 97.100.**


Larry Odom-Groh, Mayor

10-19-13
Date

Sec. 5B Attachment 5



TRANSPORTATION CABINET

Department of Highways District 5 Office
8310 Westport Road
Louisville, KY 40242-3042
(502) 210-5400

Steven L. Beshear
Governor

Mike Hancock
Secretary

November 4, 2013

City of Bellemeade
206 Dorchester Road
Louisville, KY 40223
Attn: Judy Sikes

Permit #05-2013-00881

Your application for an encroachment permit has been approved by the Department of Highways. We are returning two (2) copies of the approved permit. One copy of the permit is for your record and files, the other is to be on the work site at all times. Failure to have this permit at the site could result in a stop-work order by the Department of Highways.

The "Manual on Uniform Traffic Control Devices" (MUTCD) is the accepted national standard for all traffic control. All traffic control measures used must be in compliance with the MUTCD.

Please contact this office prior to beginning the work and also when the work has been completed. Please see that work is done in strict conformity with the permit and any other applicable conditions (see form TC99-21 and any other attached documents, conditions, or specifications). The permit will be released when the permitted work and any necessary restoration has been completed.

Please contact this office if you have any questions.

Sincerely,

Jason Richardson
District 5 Permits Supervisor
Traffic Engineering and Permits



An Equal Opportunity Employer M/F/D



Kentucky Transportation Cabinet
Department of Highways
Permits Branch

TC 99-1 (A)
8/2012
Page 1 of 4

APPLICATION FOR ENCROACHMENT PERMIT

Permittee Information		KYTC No. 05-2013-00881	
Name	City of Bellemeade	Permit Information	
Address	206 Dorchester Road	Address	8505 + 8905 Shelbyville Rd.
City	Louisville	City	Louisville
State	KY	State	KY
Zip	40223	Zip	40222 + 40223
Phone#	502-295-5800	County	Jefferson
Contact	Judi Sikes	Route No.	US 60
Phone	502-426-0581 Cell	Mile-Point	8505-6.796 8905-7.353
Email	judisikes@yahoo.com	Longitude (X)	8505 -85.596317 8905 -85.586124
Contact		Latitude (Y)	8505 38.248390 8905 38.247583
Phone		Information below to be filled out by KYTC	
Email		<input type="checkbox"/> Air Right	<input type="checkbox"/> Entrance
		<input type="checkbox"/> Utilities	<input type="checkbox"/> Other:
		<input type="checkbox"/> Left	<input type="checkbox"/> Right
		<input type="checkbox"/> Full	<input type="checkbox"/> Partial
		<input type="checkbox"/> X-ing	<input type="checkbox"/> by Permit

RECEIVED
OCT 23 2013
D-5
Traffic & Permits

General Description of Work:

8505 Shelbyville Rd. - Three (3) hazardous trees that interfere with above power lines will be removed and replaced with four (4) low growing trees: Little Gem Magnolia

8905 Shelbyville Rd. - Four (4) hazardous trees that interfere with above power lines will be removed and replaced with three (3) low growing trees: 1. Forest Pansy Redbud, 2. Little Gem Magnolia, 3. Crape Myrtle

THE UNDERSIGNED PERMITTEE(S) (being duly authorized representative(s) or owner(s)) DO AGREE TO ALL TERMS AND CONDITIONS ON THE TC 99-1 (A).

Signature: *Larry Lea O'Donoghue, Mayor* Date: *Oct 21, 2013*

This is not a permit unless and until the permittee(s) receives an approved TC 99-1(B) from KYTC. This application will become void if not approved by the cancellation date. The cancellation date will be one year from the date the permittee submits their

City of Bellemeade Commission

Resolution

Augusts, 2010

WHEREAS, the Louisville, Jefferson County Metro Government Council has enacted its Ordinance No. 110, Series 2006, Section 97.100 of the Louisville/Jefferson County Metro Government Code of Ordinances [LMCO] establishing a Metro Partnership Program with Suburban Cities for a Capital Improvement Program [the "Program"; and

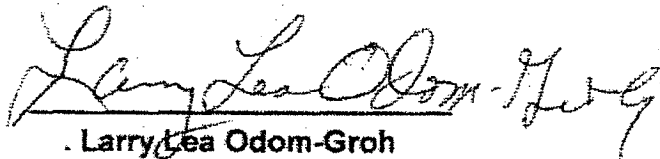
WHEREAS, the City of Bellemeade, Kentucky wishes to participate in the Program pursuant to LMCO 97.100(F).

NOW, THEREFORE, BE IT RESOLVED BY THE CITY AS FOLLOWS:

1. The City hereby elects to participate in the Program for capital projects which are authorized by LMCO 97.100.
2. The City agrees to construct projects funded in whole or in part by the Program in accordance with standards established in compliance with LMCO 97.100.

Dr

Mayor



Larry Lea Odom-Groh

Larry Lea Odom-Groh