

**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

Applicant/Program: Warheim Park Association, INC.

Executive Summary of Request:
Warheim Park is requesting \$2400.00 NDF from 8th District for the repair of a leaking water valve in park water feature. This is an open to the public pocket park located in the 8th District. This park is open to the public year around and has various metro announced activities a year.

Is this program/project a fundraiser?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Is this applicant a faith based organization?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Does this application include funding for sub-grantee(s)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

<u>8th</u>	<u><i>Francis L. Brown</i></u>	<u>\$2400.00</u>	<u>4-11-2016</u>
District #	Council Member Signature	Amount	Date

Primary Sponsor Disclosure
List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

Approved by:

_____ Date _____
Appropriations Committee Chairman

Clerk's Office Only:

Request Amount: _____ Committee Amended Appropriation: _____
Original Appropriation: _____ Council Amended Appropriation: _____

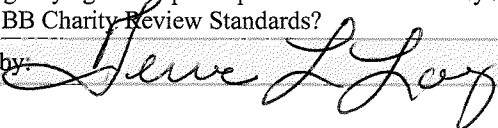
**LOUISVILLE METRO COUNCIL
NEIGHBORHOOD DEVELOPMENT FUND APPLICATION CHECKLIST**

Legal Name of Applicant Organization: Warheim Park Association, Inc.

Program Name and Request Amount: Warheim Park Association, Inc. funds for repair of water feature valve.

	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	<input type="checkbox"/> Yes
Is the funding proposed by Council Member(s) less than or equal to the request amount?	<input type="checkbox"/> Yes
Is the proposed public purpose of the program viable and well-documented?	<input type="checkbox"/> Yes
Will all of the funding go to programs specific to Louisville/Jefferson County?	<input type="checkbox"/> Yes
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	<input type="checkbox"/> Yes
Has prior Metro Funds committed/granted been disclosed?	<input type="checkbox"/> Yes
Is the application properly signed and dated by authorized signatory?	<input type="checkbox"/> Yes
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	<input type="checkbox"/> ...
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	<input type="checkbox"/> No
Is the entity in good standing with: <ul style="list-style-type: none"> • Kentucky Secretary of State? • Louisville Metro Revenue Commission? • Louisville Metro Government? • Internal Revenue Service? • Louisville Metro Human Relations Commission? 	<input type="checkbox"/> Yes
Is the current Fiscal Year Budget included?	<input type="checkbox"/> Yes
Is the entity's board member list (with term length/term limits) included?	<input type="checkbox"/> Yes
Is recommended funding less than 33% of total agency operating budget?	<input type="checkbox"/> Yes
Does the application budget reflect only the revenue and expenses of the project/program?	<input type="checkbox"/> Yes
Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?	<input type="checkbox"/> No
Is the most recent annual audit (if required by organization) included?	<input type="checkbox"/> No
Is a copy of Signed Lease (if rent costs are requested) included?	<input type="checkbox"/> N/A
Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?	<input type="checkbox"/> N/A
Are the Articles of Incorporation of the Agency included?	<input type="checkbox"/> Yes
Is the IRS Form W-9 included?	<input type="checkbox"/> N/A
Is the IRS Form 990 included?	<input type="checkbox"/> No
Are the evaluation forms (if program participants are given evaluation forms) included?	<input type="checkbox"/> No
Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?	<input type="checkbox"/> N/A
Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?	<input type="checkbox"/> N/A

Prepared by:



Date:

4-11-2016

WARHEIM PARK ASSOCIATION, INC.**General Information**

Organization Number	0525875
Name	WARHEIM PARK ASSOCIATION, INC.
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
File Date	11/21/2001
Organization Date	11/21/2001
Last Annual Report	6/29/2015
Principal Office	P O BOX #5561 LOUISVILLE, KY 40255 0561
Registered Agent	S&H LOUISVILLE LLC 400 WEST MARKET ST STE 1800 LOUISVILLE, KY 40202-3352

Current Officers

President	<u>Russ Neill</u>
Vice President	<u>Katie Gaughan</u>
Secretary	<u>David Hoefer</u>
Treasurer	<u>Nancy Carrington</u>
Director	<u>Hal M. Warheim</u>
Director	<u>Jim Clements</u>
Director	<u>Jim Watson</u>
Director	<u>Emily Pohn</u>
Director	<u>Melissa Firestone</u>
Director	<u>Richard Perkins</u>
Director	<u>Jonathan Rayman</u>
Director	<u>Larry Lenahan</u>

Individuals / Entities listed at time of formation

Director	<u>JANET C HARRINGTON</u>
Director	<u>SARA KUHN</u>
Director	<u>CHRIS MAGUIRE</u>
Director	<u>JAMES O WATSON IV</u>
Director	<u>HAL M WARHEIM</u>
Director	<u>LARRY LENAHAN</u>
Incorporator	<u>HAL M WARHEIM</u>

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

Annual Report	10/18/2002	2 pages
Articles of Incorporation	11/21/2001	4 pages



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
Legal Name of Applicant Organization:		Warheim Park Association, Inc.	
<i>(as listed on: http://www.sos.ky.gov/business/records)</i>			
Main Office Street & Mailing Address: PO Box 5561, Louisville, KY 40255			
Website: www.warheimpark.org			
Applicant Contact:	Katie Gaughn	Title:	President
Phone:	502-905-3813	Email:	katie@reachpointc.com
Financial Contact:	Jonathan Raymon	Title:	Treasurer
Phone:	502-219-2773	Email:	jonathan.raymon@me.com
Organization's Representative who attended NDF Training: Nancy Carrington			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s):	1832 Overlook Terrace, Louisville, KY 40205		
Council District(s):	8	Zip Code(s):	40205
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: Warheim Park			
Total Request: (\$)	2,400	Total Metro Award (this program) in previous year: (\$)	0
Purpose of Request (check all that apply):			
<input checked="" type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget) <input type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
The Following are Required Attachments:			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current Year Projected Budget <input checked="" type="checkbox"/> List of Board of Directors (include term & term limits) <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if required <input type="checkbox"/> Staff including the 3 highest paid staff	
For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
Source:	None	Amount: (\$)	
Source:		Amount: (\$)	
Source:		Amount: (\$)	
Has the applicant contacted the BBB Charity Review for participation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input type="checkbox"/> Yes <input type="checkbox"/> No			



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

MISSION: To perpetuate a natural setting for recreations, aesthetic, and educational pleasures for present and future generations.

VISION: Warheim Park will provide a much appreciated site for rest, play, and the congregations of children and adults.

SERVICES: Warheim Park is a hidden jewel in the Metro Parks galaxy of splendid parks which provides a meditative bench, quiet pavilion, "troll" bridge, and children's playground set among lush and native trees. Over 35,000 children have visited the park over the past 10 years.

Warheim provides nearly an acre of green space with art sculptures created from fallen trees, a dry wash of rocks, benches, and gardens for the public to enjoy. Adults can relax in the swing as the children play among mature trees, providing a safe and tranquil neighborhood park.

"We really like the park. We love going high in the swings. We saw a rabbit too." Nick, Annalia, and Cheyenne are quoted from the notebook kept in the pavilion.

The Park is available for free, non-restrictive public use. That included such events as birthday parties, weddings, Girl Scout ceremonies, Easter egg hunts, Arbor Day tree-give-aways, to name a few. These are scheduled by the WPA Board and public park policies are discussed with participants.

Warheim Park Association is maintained exclusively through donations and volunteer efforts.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

Warheim Park is an ongoing program open to the general public. Attendance is greatest during the summer months, but receives visitors throughout the year.

The majority of the visitors are Louisville citizens residing in the 40205 zip code. However, the Park has been publicized city-wide and has been featured in the Leo as the best hidden park. Most recently, the Park has received city-wide press in the Courier Journal and Insider Louisville announcing specialty tree sculptures designed by a local artist. A comment book housed in the pavilion provides anecdotal evidence that the Park has received guests from all over Louisville and even guests from around the country.

In addition to general public use and collaborative partnerships, the Park holds an annual picnic open to the public.

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):

In 2016, new plantings, additional play equipment, repairs and maintenance, and artwork which is blended with nature will be evaluated to maintain the beauty of the Park.

Current projects include replacing various plantings that have not survived well and completing repairs to the Park. The lushness and upkeep are constantly reviewed with various ideas to create and maintain future playful, meditative, and green spaces.

In 2015, a leak was detected in the pipes that serve Warheim Park. Although water is supplied without charge by the Louisville Water Company, repairs and maintenance are the Park Board's responsibility. Currently there are leaking water valves that need to be replaced. Various Board members have discussed these with John Hamilton of Metro Parks who extended the deadline from January 1st to May 1st, 2016. This is when the water will be turned on for the warmer months. Partial (approximately one half) payment is requested since the two estimates from plumbers are for approximately \$2,400.

Insurance is a yearly necessity with the premiums costing \$1,200. This covers the Park for any liability.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

NOT APPLICABLE

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

- Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):
- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
 - ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

NOT APPLICABLE

- The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:
- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

NOT APPLICABLE



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

Warheim Park has continued to serve a growing number of neighborhood residents and groups. The estimated annual visits to the Park has grown from approximately 1,600 in 2006 to over 4,000 in 2015. In addition, the number of requested small group events, such as weddings and birthday parties, continues to grow and now exceeds over 15 annually.

Outcomes are as follows:

1. Warheim Park will continue to increase Park attendance by 5%.
2. There will be an increase in the request of use for birthday parties, weddings, Belknap Neighborhood Association events, Girls Scouts use, and others as needed.
3. An increase in community collaborations will occur.
4. A comment books will be kept in the Park for visitors to post comments.

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

Warheim Park continues to partner with the Belknap Neighborhood Association in publishing a newsletter that is distributed to approximately 1,600 households twice a year. The Park also partners with the Neighborhood Association in hosting a booth at the annual Belknap Fall Festival. The Park serves as the site for the Belknap Easter Egg Hunt. The Park also serves as the site for a number of Girl Scout Badge ceremonies. Various birthday parties and occasional weddings are held at the Park.

Board members bring various collaborations with community partners adding their expertise to the efforts to maintain and support the Park.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
A: Personnel Costs Including Benefits	0	0	0
B: Rent/Utilities	0	0	0
C: Office Supplies	0	\$1009	\$1009
D: Telephone	0	0	0
E: In-town Travel	0	0	0
F: Client Assistance (Attach Detailed List)	0	0	0
G: Professional Service Contracts	\$1200	\$3000	\$4200
H: Program Materials	0	\$1800	\$1800
I: Community Events & Festivals (Attach Detail List)	0	\$ 700	\$ 700
J: Machinery & Equipment	0	0	0
K: Capital Project	0	0	0
L: Other Expenses (Attach Detail List)	\$1200	0	\$1200
*TOTAL PROGRAM/PROJECT FUNDS	\$2400	\$6519	\$8919
% of Program Budget	27 %	73 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	0
United Way	0
Private Contributions (do not include individual donor names)	\$6519
Fees Collected from Program Participants	0
Other (please specify)	\$2400 (proposed funds)
Total Revenue for Columns 2 Expenses **	\$8919

**Total of Column 1 MUST match "Total Request on Page 1, Section 2"*

***Must equal or exceed total in column 2.*



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
WPA Board volunteers	\$9090	24 hours of meetings x 12 20 hours of maintenance x 8
Neighborhood volunteers	\$4058	20 hours of volunteers x 10
Louisville Water Company	\$300	Plant and garden watering along with drinking fountain
Supplies -- food for events	\$500	February Fundraiser and community events
<i>Total Value of In-Kind (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)</i>	\$13,948	Volunteer hours calculated using \$20.29 p/h Bureau of Labor Statistics indexed by Independent Sector, March 2015

*** DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK**

Agency Fiscal Year Start Date: January - December fiscal year

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.

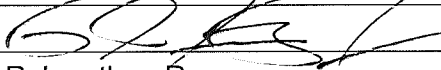
Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

SECTION 7 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory: 		Date: 3/14/16
Legal Signatory: (please print): R Jonathan Raymon		Title: TREASURER
Phone: 502-219-2773	Extension:	Email: jonathan.raymon@me.com

INTERNAL REVENUE SERVICE
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: **OCT 27 2014**

WARHEIM PARK ASSOCIATION
PO BOX 5561
LOUISVILLE, KY 40255-0561

Employer Identification Number:

DLN:

26053672003654

Contact Person:

CUSTOMER SERVICE

ID# 31954

Contact Telephone Number:

(877) 829-5500

Accounting Period Ending:

December 31

Public Charity Status:

509(a)(2)

Form 990/990-EZ/990-N Required:

Yes

Effective Date of Exemption:

September 26, 2014

Contribution Deductibility:

Yes

Addendum Applies:

No

Dear Applicant:

We're pleased to tell you we determined you're exempt from federal income tax under Internal Revenue Code (IRC) Section 501(c)(3). Donors can deduct contributions they make to you under IRC Section 170. You're also qualified to receive tax deductible bequests, devises, transfers or gifts under Section 2055, 2106, or 2522. This letter could help resolve questions on your exempt status. Please keep it for your records.

Organizations exempt under IRC Section 501(c)(3) are further classified as either public charities or private foundations. We determined you're a public charity under the IRC Section listed at the top of this letter.

Based on the information you submitted on your application, we approved your request for reinstatement under Section 7 of Revenue Procedure 2014-11. Your effective date of exemption, as listed at the top of this letter, is the submission date of your application.

If we indicated at the top of this letter that you're required to file Form 990/990-EZ/990-N, our records show you're required to file an annual information return (Form 990 or Form 990-EZ) or electronic notice (Form 990-N, the e-Postcard). If you don't file a required return or notice for three consecutive years, your exempt status will be automatically revoked.

If we indicated at the top of this letter that an addendum applies, the enclosed addendum is an integral part of this letter.


For important information about your responsibilities as a tax-exempt organization, go to www.irs.gov/charities. Enter "4221-PC" in the search bar

Letter 5436

WARHEIM PARK ASSOCIATION

to view Publication 4221-PC, Compliance Guide for 501(c)(3) Public Charities, which describes your recordkeeping, reporting, and disclosure requirements.

Sincerely,

A handwritten signature in black ink that reads "Tamara Rippanda". The signature is written in a cursive style with a large initial 'T' and 'R'.

Director, Exempt Organizations

Warheim Park
Profit & Loss Budget Overview
 January through December 2016

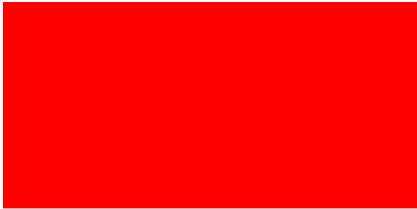
	Jan - Dec 16
Ordinary Income/Expense	
Income	
43400 · Direct Public Support	
43450 · Individual Contributions	6,525.00
Total 43400 · Direct Public Support	6,525.00
44800 · Metro Louisville Contributions	2,378.00
Total Income	8,903.00
Gross Profit	8,903.00
Expense	
60900 · Landscape Services	
60910 · Mulch	150.00
60930 · Maintenance Supplies	460.00
60950 · Plantings	425.00
60960 · Trimmer Repairs	750.00
60970 · Paver Stones	550.00
60980 · Memorial Signs	250.00
Total 60900 · Landscape Services	2,585.00
62100 · Office Supplies	
62110 · Paper	50.00
62140 · Envelopes	50.00
62150 · Postage	200.00
62160 · Printing and Copying	300.00
Total 62100 · Office Supplies	600.00
62800 · Professional Services	
62810 · Insurance	1,200.00
62830 · Plumbers	100.00
62840 · Tree Care	1,300.00
62890 · Banner	100.00
Total 62800 · Professional Services	2,700.00
65000 · Paid Personnel	
65010 · Lawn care/mowing	1,200.00
65020 · Picnic Entertainment	500.00
Total 65000 · Paid Personnel	1,700.00
65100 · Fees and Donations	
65120 · PO Box Rental	130.00
65160 · License Fees	79.00
65170 · Internet Fees	100.00
65180 · HCM Donation	100.00
Total 65100 · Fees and Donations	409.00
66000 · Promotional Materials	
66010 · Website	300.00
66020 · Newsletter	300.00
66030 · Fall Festival booth	100.00
66040 · Events	200.00
Total 66000 · Promotional Materials	900.00
Total Expense	8,894.00
Net Ordinary Income	9.00
Net Income	9.00

**Warheim Park Association
Board of Directors (Mar. 2016)**

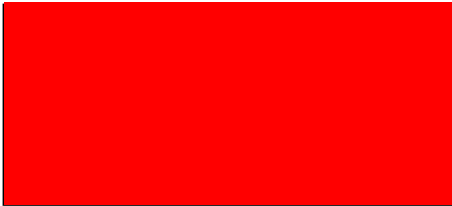
No current term limits apply.

Officers:

Katie Gaughan, President



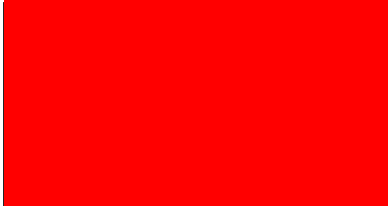
Nancy Carrington, Vice President



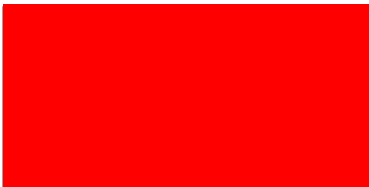
David Hoefler, Secretary



Jonathan Raymon, Treasurer



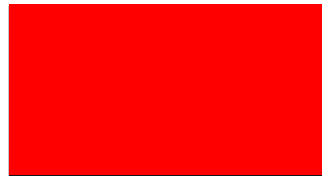
Jim Clements



Melissa Firestone



Larry Lenahan



Russ Neill



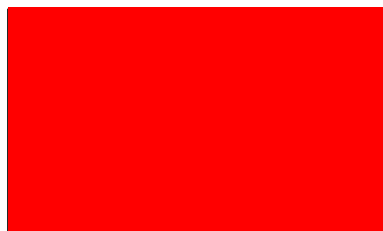
Richard Perkins



Hal Warheim



Jim Watson



Warheim Park
Balance Sheet
As of December 31, 2015

	<u>Dec 31, 15</u>
ASSETS	
Current Assets	
Checking/Savings	
12000 · Community Foundation Requests	11,610.33
Total Checking/Savings	<u>11,610.33</u>
Total Current Assets	<u>11,610.33</u>
TOTAL ASSETS	<u><u>11,610.33</u></u>
LIABILITIES & EQUITY	
Equity	
30000 · Opening Balance Equity	10,008.14
32000 · Unrestricted Net Assets	-3,313.06
Net Income	4,915.25
Total Equity	<u>11,610.33</u>
TOTAL LIABILITIES & EQUITY	<u><u>11,610.33</u></u>

Warheim Park Profit & Loss January 2014 through December 2015

	Jan - Dec 14	Jan - Dec 15	TOTAL
Ordinary Income/Expense			
Income			
43400 · Direct Public Support			
43440 · Business Contributions	145.00	0.00	145.00
43450 · Individual Contributions	5,050.00	10,655.00	15,705.00
Total 43400 · Direct Public Support	5,195.00	10,655.00	15,850.00
44800 · Metro Louisville Contributions	2,418.00	0.00	2,418.00
46400 · Other Types of income			
46430 · Miscellaneous Revenue	10,400.00	0.00	10,400.00
Total 46400 · Other Types of Income	10,400.00	0.00	10,400.00
Total Income	18,013.00	10,655.00	28,668.00
Gross Profit	18,013.00	10,655.00	28,668.00
Expense			
60900 · Landscape Services			
60910 · Mulch	126.00	42.00	168.00
60930 · Maintenance Supplies	0.00	349.88	349.88
60940 · Repairs/additions/replacements	5,465.75	0.00	5,465.75
60950 · Plantings	0.00	310.80	310.80
60970 · Paver Stones	650.00	850.00	1,500.00
60980 · Memorial Signs	77.88	63.60	141.48
Total 60900 · Landscape Services	6,319.63	1,616.28	7,935.91
62100 · Office Supplies			
62110 · Paper	95.40	0.00	95.40
62100 · Office Supplies - Other	91.24	0.00	91.24
Total 62100 · Office Supplies	186.64	0.00	186.64
62800 · Professional Services			
62810 · Insurance	1,062.80	1,193.93	2,256.73
62840 · Tree Care	10,400.00	900.00	11,300.00
62870 · Legal Services	400.00	0.00	400.00
Total 62800 · Professional Services	11,862.80	2,093.93	13,956.73
65000 · Paid Personnel			
65010 · Lawn care/mowing	1,321.00	820.00	2,141.00
65020 · Picnic Entertainment	300.00	300.00	600.00
Total 65000 · Paid Personnel	1,621.00	1,120.00	2,741.00
66000 · Promotional Materials			
66010 · Website	0.00	77.88	77.88
66040 · Events	0.00	831.66	831.66
66050 · Miscellaneous	497.95	0.00	497.95
Total 66000 · Promotional Materials	497.95	909.54	1,407.49
Total Expense	20,488.02	5,739.75	26,227.77
Net Ordinary Income	-2,475.02	4,915.25	2,440.23
Net Income	-2,475.02	4,915.25	2,440.23

**Exempt Organizations Select Check**[Exempt Organizations Select Check Home](#)990-N (*e-Postcard*) filer Information**Tax Period:**

2014 (01/01/2014 - 12/31/2014)

Employer Identification Number (EIN):

[REDACTED]

Legal Name:

WARHEIM PARK ASSOCIATION

Mailing Address:PO Box 5561
Louisville, KY 40255
United States**Doing Business As:****Gross receipts not greater than:**
\$50,000**Organization has terminated:**

No

Principal Officer's Name and Address:Russ Neill
PO Box 5561
Louisville, KY 40255
United States**Website URL:**<http://www.warheimpark.com/>**Related 990-N (*ePostcard*) Filings:**

If the organization has filed additional Forms 990-N (*e-Postcards*), link(s) to additional *e-Postcard* filings are displayed below. Click on the link(s) to see the information included in those filing(s).

No related filings available for this EIN.

[Return to Search Results](#) [Return to Search Page](#)

525875

**ARTICLES OF INCORPORATION
OF
WARHEIM PARK ASSOCIATION, INC.**

The undersigned, acting as incorporator of a corporation organized pursuant to Chapter 273 of the Kentucky Revised Statutes, hereby adopts the following Articles of Incorporation.

0525875.09
John Y. Brown III
Secretary of State
Received and Filed
11/21/2001 12:24 PM
Fee Receipt: \$8.00
mpayne - ACE

ARTICLE I

The name of the corporation is Warheim Park Association, Inc. (the "Corporation").

ARTICLE II

The purpose or purposes for which the Corporation is organized is the operation and maintenance of the public park (as used herein or in the By Laws of the Corporation, the "Park") created pursuant to that certain Gift Agreement dated October 12, 2001, (whether referred to herein or in the Bylaws of the Corporation, the "Gift Agreement") by and between Hal Missouri Warheim and the City of Louisville, Kentucky and the transaction of any and all lawful business in connection therewith for which a corporation may be incorporated under Kentucky Revised Statutes Chapter 273.

ARTICLE III

The mailing address of the corporation's principal office is 1853 Overlook Terrace, Louisville, Kentucky 40205. The street address of the Corporation's initial registered office shall be 400 West Market Street, Suite 1800, Louisville, Kentucky 40202-3352. The name of the Corporation's initial registered agent at that office shall be S&H Louisville, LLC.

ARTICLE IV

The name and address of the sole incorporator is:

<u>Name</u>	<u>Address</u>
Hal M. Warheim	1853 Overlook Terrace, Louisville, Kentucky 40205

ARTICLE V

The corporation shall have no members. The affairs of the corporation shall be managed and conducted by its duly appointed directors.

The appointment of directors shall be as prescribed by the by-laws. Directors may make and adopt by-laws not inconsistent with the provisions of these Articles or the laws of the Commonwealth of Kentucky.

The initial Board of Directors shall consist of six directors. The following persons shall serve as the initial directors:

<u>Name</u>	<u>Address</u>
Janet C. Harrington	1864 Overlook Terrace, Louisville, Kentucky 40205
Sara Kuhn	1814 Overlook Terrace, Louisville, Kentucky 40205
Chris Maguire	1841 Overlook Terrace, Louisville, Kentucky 40205
James O. Watson, IV	2316 Boulevard Napoleon, Louisville, Kentucky 40205
Hal M. Warheim	1853 Overlook Terrace, Louisville, Kentucky 40205
Larry Lenahan	1825 Overlook Terrace, Louisville, Kentucky 40205

ARTICLE VI

Section 1. No director of the corporation shall be personally liable to the corporation for monetary damages for any breach of his duties as a director, except for liability (i) for any transaction in which the director's personal financial interest is in conflict with the financial interests of the corporation; (ii) for acts or omissions not in good faith or which involve intentional misconduct or are known to the director to be a violation of law; or (iii) for any transaction from which the director derived an improper personal benefit.

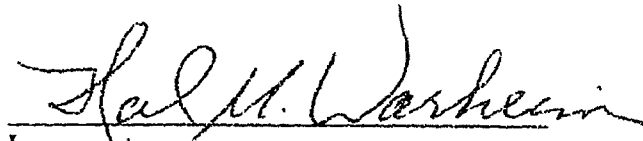
If the Kentucky Business Corporation Act is amended after the date of the filing of these Articles of Incorporation to authorize corporate action further eliminating or limiting the personal liability of directors, then the liability of a director of the corporation shall be eliminated or limited to the fullest extent permitted by the Kentucky Business Corporation Act, as so amended, and without the necessity for further shareholder action in respect thereof.

Any repeal or modification of this Article by the directors of the corporation shall not adversely affect any right or protection of a director or officer of the corporation hereunder in respect of any act or omission occurring prior to the time of such repeal or modification.

Section 2. The corporation shall, to the fullest extent permitted by Kentucky law, indemnify any director or officer of the corporation from and against any and all reasonable costs and expenses (including, but not limited to, attorneys' fees) and any liabilities including, but not limited to, judgments, fines, penalties and reasonable settlements) paid by or on behalf of, or imposed against, such person in connection with any threatened, pending or completed claim, action, suit or proceeding, whether civil, criminal, administrative, investigative or other (including any appeal relating thereto), whether formal or informal, and whether made or brought by or in the right of the corporation or otherwise, in which such person is, was or at any time becomes a party or witness, or is threatened to be made a party or witness, or otherwise, by reason of the fact that such person is, was or at any time becomes a director, officer, employee or agent of the corporation or, at the corporation's request, a director, officer, partner, trustee, employee or agent of another corporation, partnership, joint venture, trust, employee benefit plan or other enterprise.

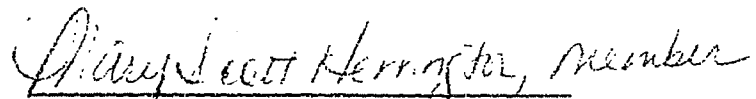
The indemnification authorized by this Section 2 shall not be exclusive of any other right of indemnification which any such person may have or hereafter acquire under any provision of these Articles or the Bylaws of the corporation, agreement, or disinterested directors or otherwise. The corporation may take such steps as may be deemed appropriate by the board of directors to provide and secure indemnification to any such person, including, without limitation, the execution of agreements for indemnification between the corporation and individual directors, officers, employees or agents which may provide rights to indemnification which are broader or otherwise different than the rights authorized by this Section 2.

Dated: 11.16.2001

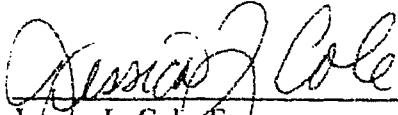

Incorporator

CONSENT OF REGISTERED AGENT

The undersigned hereby consents to serve as the registered agent on behalf of Warheim Park Association, Inc.


S&H Louisville, LLC, Registered Agent

THIS INSTRUMENT PREPARED BY:

A handwritten signature in cursive script, appearing to read "Jessica L. Cole", is written over a horizontal line.

Jessica L. Cole, Esq.
STITES & HARBISON, PLLC
400 West Market Street
Suite 1800
Louisville, KY 40202-3352