

**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

Applicant/Program: Kentuckiana Blues Society

Executive Summary of Request:

The annual Germantown Schnitzelburg Blues Festival takes place the first Friday and Saturday of June. This year's festival is June 3 and 4. The festival is free and open to the public. It is an outdoor festival and takes place at the intersection of Burnett Ave and Hickory St. 2016 is the seventh consecutive year for the festival. None of the proceeds will go to the Kentuckiana Blues Society. Each year, a portion of the proceeds from the festival go to benefit a local, non-profit organization. The organization benefited by the festival changes from year to year and this year's recipient is the Germantown-Schnitzelburg Business Association. Similar to other outdoor festivals held in Louisville during the course of the year, the Germantown Schnitzelburg Blues Festival seeks to provide the residents of the city with a native form of American music that has deep roots in the Kentuckiana area. The Kentuckiana Blues Society sets up a booth at the festival and works to educate members of the community on the importance and history of blues music. Since the festival is free and open to the public, members of our community who may not otherwise be able to attend a blues show, can come and enjoy the music at no cost. Funds will be used for paying bands at the festival.

Is this program/project a fundraiser?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Is this applicant a faith based organization?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Does this application include funding for sub-grantee(s)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

<u>10</u>		<u>\$745</u>	<u>05/03/2012</u>
District #	Council Member Signature	Amount	Date

Primary Sponsor Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

Approved by:

_____ Date

Appropriations Committee Chairman

Clerk's Office Only:

Request Amount: _____ Committee Amended Appropriation: _____

Original Appropriation: _____ Council Amended Appropriation: _____

**LOUISVILLE METRO COUNCIL
NEIGHBORHOOD DEVELOPMENT FUND APPLICATION CHECKLIST**

Legal Name of Applicant Organization: Kentuckiana Blues Society

Program Name and Request Amount: Germantown Schnitzelburg Blues Festival

	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	<input type="checkbox"/> Yes
Is the funding proposed by Council Member(s) less than or equal to the request amount?	<input type="checkbox"/> Yes
Is the proposed public purpose of the program viable and well-documented?	<input type="checkbox"/> Yes
Will all of the funding go to programs specific to Louisville/Jefferson County?	<input type="checkbox"/> Yes
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	<input type="checkbox"/> Yes
Has prior Metro Funds committed/granted been disclosed?	<input type="checkbox"/> Yes
Is the application properly signed and dated by authorized signatory?	<input type="checkbox"/> Yes
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	<input type="checkbox"/> Yes
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	<input type="checkbox"/> N/A
Is the entity in good standing with: <ul style="list-style-type: none"> • Kentucky Secretary of State? • Louisville Metro Revenue Commission? • Louisville Metro Government? • Internal Revenue Service? • Louisville Metro Human Relations Commission? 	<input type="checkbox"/> Yes
Is the current Fiscal Year Budget included?	<input type="checkbox"/> Yes
Is the entity's board member list (with term length/term limits) included?	<input type="checkbox"/> Yes
Is recommended funding less than 33% of total agency operating budget?	<input type="checkbox"/> Yes
Does the application budget reflect only the revenue and expenses of the project/program?	<input type="checkbox"/> Yes
Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?	<input type="checkbox"/> Yes
Is the most recent annual audit (if required by organization) included?	<input type="checkbox"/> Yes
Is a copy of Signed Lease (if rent costs are requested) included?	<input type="checkbox"/> N/A
Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?	<input type="checkbox"/> N/A
Are the Articles of Incorporation of the Agency included?	<input type="checkbox"/> Yes
Is the IRS Form W-9 included?	<input type="checkbox"/> Yes
Is the IRS Form 990 included?	<input type="checkbox"/> Yes
Are the evaluation forms (if program participants are given evaluation forms) included?	<input type="checkbox"/> N/A
Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?	<input type="checkbox"/> N/A
Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?	<input type="checkbox"/> No

Prepared by:  Date: 05/02/2016



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
Legal Name of Applicant Organization:		Kentuckiana Blues Society	
<i>(as listed on: http://www.sos.ky.gov/business/records)</i>			
Main Office Street & Mailing Address: PO Box 755, Louisville, KY 40201-0755			
Website: http://kbsblues.org/			
Applicant Contact:	Gary Sampson	Title:	KBS Board Member
Phone:	502/724-9971	Email:	gary@kbsblues.org
Financial Contact:	Chris Grube	Title:	KBS Treasurer
Phone:	812/944-2468	Email:	cgrube@aye.net
Organization's Representative who attended NDF Training: Gary Sampson			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s):	Schnitzelburg		
Council District(s):	District 10	Zip Code(s):	40217
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: Germantown Schnitzelburg Blues Festival			
Total Request: (\$)	745	Total Metro Award (this program) in previous year: (\$)	3,000
Purpose of Request (check all that apply):			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget)			
<input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals			
<input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
The Following are Required Attachments:			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current Year Projected Budget <input checked="" type="checkbox"/> List of Board of Directors (include term & term limits) <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if required <input type="checkbox"/> Staff including the 3 highest paid staff	
For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
Source:		Amount: (\$)	
Source:		Amount: (\$)	
Source:		Amount: (\$)	
Has the applicant contacted the BBB Charity Review for participation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

The Kentuckiana Blues Society, founded in 1988, is dedicated to the preservation, promotion and perpetuation of the Blues Tradition in all of its forms

Preservation: Through extensive research and documentation, the KBS has made major inroads into the documentation of the blues tradition in Louisville, KY. This research has led to the discovery of many rare photos, interviews and the location of many early blues related sites. An archive has been established by the KBS to centrally locate all of these materials and make them available to members at the University of Louisville Archives & Special Collections. We have also located the grave sites of blues greats Sylvester Weaver, Sara Martin and Bill Gaither and arranged for the placement of headstones to preserve their memory.

Promotion: From its' inception, the KBS has been actively involved with the local blues scene. Many local and regional talents have been showcased in local venues under either direct sponsorship or contributed support of the KBS. Such artists as Albert Collins, Jimmy Hall, Pinetop Perkins and Henry Townsend were all able to appear in Louisville under the auspices of KBS.

Since 1988, the KBS has been involved with and supportive of local blues festivals including the Garvin Gate Blues Festival, held the second weekend of October. The Germantown-Schnitzelburg Blues Festival began in 2010 and is held the first weekend of June at the intersection of Hickory & Burnett Streets. The KBS is also a sponsor of the Louisville Blues-n-Barbecue Festival held at the Water Tower.

The KBS Blues Challenge garners recognition for local/regional blues acts, and, due to our affiliation with The Blues Foundation, the winners are eligible to compete in the finals of the International Blues Talent Competition in Memphis, TN. Categories include Band Winner, Solo/Duo Winner, Best Self-Produced CD and Youth Representative.

The KBS produces a monthly printed newsletter for members that includes a calendar, show and CD reviews, local event photos and more. In addition, KBS members receive discounts to local venues, shows and festivals.

Perpetuation: Probably the strongest commitment that KBS has is its efforts to perpetuate the Blues Tradition so that generations to come may be able to come to a knowledge and appreciation of the Blues. In a way this perpetuation encompasses the other two "p"s of our mission: it is through the preservation and presentation of the blues that we can begin to perpetuate it.

One of the ways in which KBS perpetuates the blues is through the annual presentation of the Sylvester Weaver Award to an individual in recognition of their efforts in representing the mission of the KBS. Sylvester Weaver, an early blues pioneer, is a native of Louisville.

Additionally, we seek to perpetuate the blues with formal and informal presentations about the blues to various groups, and through our own presence at festivals and concerts.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

The annual Germantown Schnitzelburg Blues Festival takes place the first Friday and Saturday of June. This year's festival is June 3 and 4. The festival is free and open to the public. It is an outdoor festival and takes place at the intersection of Burnett Ave and Hickory St. 2016 is the seventh consecutive year for the festival.

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):
Funds will be used for paying bands at the festival.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

None of the proceeds will go to the Kentuckiana Blues Society. Each year, a portion of the proceeds from the festival go to benefit a local, non-profit organization. The organization benefited by the festival changes from year to year and this year's recipient is the Germantown-Schnitzelburg Business Association.

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

Similar to other outdoor festivals held in Louisville during the course of the year, the Germantown Schnitzelburg Blues Festival seeks to provide the residents of the city with a native form of American music that has deep roots in the Kentuckiana area. The Kentuckiana Blues Society sets up a booth at the festival and works to educate members of the community on the importance and history of blues music. Since the festival is free and open to the public, members of our community who may not otherwise be able to attend a blues show, can come and enjoy the music at no cost.

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

The Kentuciana Blues Society works with several other organizations and businesses, both non-profit and for-profit, in the Kentuckiana area. Those organizations and events include:

- Pitt Academy and the Pitt Academy Annual Barbecue
- The Bisig Impact Group and the Louisville Blues-n-Barbecue Festival
- The Olmsted Parks Conservancy and the Big Rock Jazz and Blues Festival
- The Garvin Gate Neighborhood Association and the Garvin Gate Blues Festival



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
A: Personnel Costs Including Benefits			
B: Rent/Utilities			
C: Office Supplies			
D: Telephone			
E: In-town Travel			
F: Client Assistance (Attach Detailed List)			
G: Professional Service Contracts			
H: Program Materials			
I: Community Events & Festivals (Attach Detail List)	745	11,301.66	12,046.66
J: Machinery & Equipment			
K: Capital Project			
L: Other Expenses (Attach Detail List)			
*TOTAL PROGRAM/PROJECT FUNDS			
% of Program Budget	6.18 %	93.82 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	11,301.66
Fees Collected from Program Participants	
Other (please specify)	
Total Revenue for Columns 2 Expenses **	

**Total of Column 1 MUST match "Total Request on Page 1, Section 2"*

***Must equal or exceed total in column 2.*



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
<i>Total Value of In-Kind</i> (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)		

*** DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK**

Agency Fiscal Year Start Date:

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.

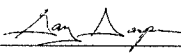
Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

SECTION 7 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:		Date:	4/28/16
Legal Signatory: (please print):	Gary Sampson	Title:	KBS Board Member
Phone:	502/724-9971	Extension:	
Email:	gary@kbsblues.org		

Germantown Schnitzelburg Blues Festival

Supported by District 10
Metro Councilman
Pat Mulvihill

Friday, June 3

6:30 . . . 100 Proof Blues Band
8:30 . . . Sheryl Rouse &
The Bluez Brothers
10:30 . . . Wallace & The
Groove Hounds

Saturday, June 4

6:30 . . . 10th Street Blues Band
8:30 . . . The Stella Vees
10:30 . . . Tee Dee Young

FREE ADMISSION

1101 E. Burnett Ave, Louisville, KY
502/724-9971 or visit kbsblues.org

A Portion of the Proceeds
to Benefit the
Germantown Schnitzelburg
Business Association





Louisville-Jefferson County Metro Government

Department of Codes & Regulations

444 S. 5th Street, Suite 200 - Louisville, KY 40202

Phone: 502.574.3591 Web Site: <https://louisvilleky.gov/government/codes-regulations>

TEMPORARY ALCOHOLIC BEVERAGE LICENSE

License No: 16TAB1155

Issue Date: April 13, 2016

Event Name: GERMANTOWN-SCHNITZELBURG BLUES FESTIVAL

Location: 1101 E BURNETT AVE LOUISVILLE - BACK YARD OF CHECK'S CAFE
LOUISVILLE, KY 40217

Licensee: CHECK'S INC
1101 E. BURNETT
LOUISVILLE KY 40217

License Type: Combine Malt Beverage-Liquor

Effective Date: June 02, 2016

Expiration Date: June 05, 2016

GERMANTOWN-SCHNITZELBURG BLUES FESTIVAL: BEER, WINE, LIQUOR SALES AND CONSUMPTION JUNE 3RD & 4TH 5:00PM - MIDNIGHT

Your request for a Louisville Metro Temporary Alcoholic Beverage License has been granted with the following conditions.

- 1.) All approved premises are secured so that patrons drinking alcoholic beverages cannot enter or leave these areas with any alcoholic beverages.
- 2.) Extra steps must be taken to ensure the sale of alcoholic beverages to minors does not occur.
- 3.) The use of "go-cups" or allowing customers to leave the premises with any container, open or closed, containing alcoholic beverages is not allowed unless auctioned.
- 4.) Customers and staff must remain orderly at all times. Any noise levels generated by your activities, customers and staff must remain at a level not to exceed the customary for the neighborhood and surrounding area and fully comply with Metro Ordinances.
- 5.) Alcohol sales, drinking and or auctioning may only occur on the specified event date and times permitted.

Issued By: _____

Administrator

Date: April 13, 2016

This license does not constitute a property or vested right and may be revoked at any time pursuant to law. The above named licensee is authorized to sell alcoholic beverages subject to the laws, rules and regulations of the Commonwealth of Kentucky and the Louisville/Jefferson County Metro Government. The licensee is hereby authorized to make only the types of alcoholic beverages sales listed above on the licensed premises during the period this license is in effect. Not transferable (except as provided in sections 243.630, 243.640 and 243.650 of the Kentucky Revised Statutes.

Germantown Schnitzelburg Blues Festival 2016 Budget

Friday, June 3

100 Proof Blues	450.00
Sheryl Rouse and The Bluez Brothers -	650.00
Wallace & The Groove Hounds	700.00

Saturday, June 4

10th Street Blues Band	200.00
The Stella Vees	500.00
Tee Dee Young	1,500.00

DJ	100
Tommy Kent (Sound and Stage)	1600.00
Drum Kit Rental	100.00
Bass Rig Rental	100.00

Permits and License

Liquor License

City

266.66

State

90.00

Permit

40.00

Insurance (Liquor Liability)	800.00
-------------------------------------	---------------

Security

2 officers per night

700.00

1 Overnight Security

100.00

Picnic Tables	450.00
----------------------	---------------

Advertising

WFPK (9 spots week of festival)

350.00

ITEX

2000.00

Voluforms (200 Posters)

150.00

T-Shirts (200)	1200.00
-----------------------	----------------

Total	12046.66
--------------	-----------------

Organization: KYANA BLUES SOCIETY INC
EIN: 61-1182141
Submission Type: Form 990-N
Year: 2014
Submission ID: 7800582015166de39702
e-File Postmark: 6/15/2015 4:12:10 PM
Accepted Date: 6/15/2015

The IRS has accepted the e-Postcard described above. Please save this receipt for your records.

Thank you for filing.

e-Postcard technical support
Phone: [866-255-0654](tel:866-255-0654) (toll free)
[email:ePostcard@urban.org](mailto:ePostcard@urban.org)

KYANA BLUES SOCIETY INC
PO Box 755
Louisville, KY 40201

INTERNAL REVENUE SERVICE
DISTRICT DIRECTOR
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: MAY 18 1994

THE KYANA BLUES SOCIETY INC
C/O R. ALAN ADCOCK
835 SOUTH 7TH ST.
LOUISVILLE, KY 40201-0755

Employer Identification Number:
61-1182141
Case Number:
314096103
Contact Person:
DONNA ABNER
Contact Telephone Number:
(513) 684-3578
Our Letter Dated:
August 15, 1990
Addendum Applies:
No

Dear Applicant:

This modifies our letter of the above date in which we stated that you would be treated as an organization that is not a private foundation until the expiration of your advance ruling period.

Your exempt status under section 501(a) of the Internal Revenue Code as an organization described in section 501(c)(3) is still in effect. Based on the information you submitted, we have determined that you are not a private foundation within the meaning of section 509(a) of the Code because you are an organization of the type described in section 509(a)(2).

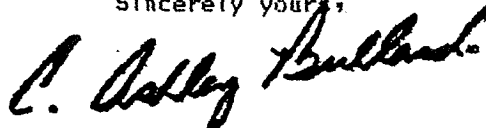
Grantors and contributors may rely on this determination unless the Internal Revenue Service publishes notice to the contrary. However, if you lose your section 509(a)(2) status, a grantor or contributor may not rely on this determination if he or she was in part responsible for, or was aware of, the act or failure to act, or the substantial or material change on the part of the organization that resulted in your loss of such status, or if he or she acquired knowledge that the Internal Revenue Service had given notice that you would no longer be classified as a section 509(a)(2) organization.

If we have indicated in the heading of this letter that an addendum applies, the addendum enclosed is an integral part of this letter.

Because this letter could help resolve any questions about your private foundation status, please keep it in your permanent records.

If you have any questions, please contact the person whose name and telephone number are shown above.

Sincerely yours,



C. Ashley Bullard
District Director

Kentuckiana Blues Society Financial Statement

As Of: 1-Apr-2016		2016 to Date	2015	2014
Income	Interest	0.00	0.00	16.10
	Membership Dues	425.00	7,259.00	7,463.92
	Donations / Grants	0.00	14.00	275.00
	Event Proceeds	0.00	1,001.00	2,179.15
	Contest Entry Fees	0.00	175.00	340.72
	Reimbursements	227.50	200.00	0.00
	Merchandise Sold	0.00	2,975.19	2,749.27
	Advertising Income	60.00	0.00	0.00
	Sponsorships	7,250.00	8,000.00	3,940.00
	TOTAL REVENUE	7,962.50	19,624.19	16,964.16
Expenses	Printing	(1,624.79)	(5,402.25)	(5,655.84)
	US Postal Service	(102.00)	(317.00)	(533.39)
	KY Sales & Use Tax / Fees	(127.08)	(156.56)	(133.57)
	Supplies	(174.93)	(225.38)	(438.80)
	Membership Dues / Grant Disbursa	0.00	(125.00)	(4,674.80)
	Advertising Expense	(30.00)	(1,712.25)	(1,397.70)
	Contest Expenses	0.00	(2,550.00)	(3,100.00)
	Merchandise Expense	0.00	(183.85)	(1,358.01)
	Graphic Design	0.00	0.00	0.00
	Bank Charges	0.00	(4.50)	0.00
	Event Expense	(3,000.00)	(8,807.44)	(5,940.14)
	TOTAL EXPENSES	(5,058.80)	(19,484.23)	(23,232.25)
	Beginning Cash Balance	8,247.54	8,107.58	14,375.67
	Revenue	7,962.50	19,624.19	16,964.16
	Expenses	(5,058.80)	(19,484.23)	(23,232.25)
	Ending Balance	11,151.24	8,247.54	8,107.58
	Total Profit or Loss	2,903.70	139.96	-6,268.09

© KBS

2016 to Date

2015

2014

Contact: Money@kbsblues.org

KENTUCKIANA BLUES SOCIETY
OFFICERS AND BOARD OF DIRECTORS
April 2016
(Short List)

Officers

Mark Sneed	President	mark@KBSBlues.org
Debbie Wilson	Vice President	deb@KBSBlues.org
Chris Grube	Treasurer	chris@KBSBlues.org
Elaine Hertweck	Secretary	elaine@KBSBlues.org

Directors

Bob Brown	bobbblues@KBSBlues.org
Danny Henderson	danny@KBSBlues.org
David Scoggin	no e-mail
Gary Sampson	gary@KBSBlues.org
Joe DeBow	joedebow@KBSBlues.org
Keith Clements	keith@KBSBlues.org
Les Reynolds	les@KBSBlues.org
Matt Floyd	matt@KBSBlues.org
Natalie Carter	nat@KBSBlues.org
Nelson Grube	nelson@KBSBlues.org
Steve Walls	steve@KBSBlues.org
Phillip Phillips	phillip@KBSBlues.org
Marjorie Marshall	marjorie@KBSBlues.org

Directors Emeritus

Rocky Adcock	rocky@KBSBlues.org
Roger J. Wolford	roger@KBSBlues.org

Board members and officers are elected annually. There are no term limits and no KBS board members or officers receive any financial compensation.
The current members and officers were elected at the November 2015 Annual Meeting. Their one-year term runs from January through December 2016.

General Information

Organization Number	0259451
Name	KENTUCKIANA BLUES SOCIETY, INC.
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
File Date	6/7/1989
Organization Date	6/7/1989
Last Annual Report	4/1/2015
Principal Office	P.O. BOX 755 LOUISVILLE, KY 402010755
Registered Agent	KEITH S. CLEMENTS 835 S. 7TH. ST. P. O. BOX 755 LOUISVILLE, KY 402010755

Current Officers

President	<u>Gary Sampson</u>
Vice President	<u>Debbie Wilson</u>
Secretary	<u>Les Reynolds</u>
Treasurer	<u>Chris Grube</u>
Director	<u>Natalie Carter</u>
Director	<u>Bob Brown</u>
Director	<u>Nelson Grube</u>
Director	<u>Danny Henderson</u>
Director	<u>Lamont Gillespie</u>
Director	<u>Joe DeBow</u>
Director	<u>Keith Clements</u>
Director	<u>Rocky Adcock</u>
Director	<u>Mark Sneed</u>
Director	<u>Matt Floyd</u>
Director	<u>Ray Current</u>
Director	<u>Mindy Current</u>
Director	<u>Roger Wolford</u>
Director	<u>David Scroggin</u>
Director	<u>Elaine Hertweck</u>
Director	<u>Steve Walls</u>

Individuals / Entities listed at time of formation

Director	<u>SUE O'NEIL</u>
Director	<u>DALLAS EMBRY</u>
Director	<u>PERRY ABERI.I</u>

Director	<u>SUE ONEIL</u>
Director	<u>DALLAS EMBRY</u>
Director	<u>PERRY ABERLI</u>
Director	<u>BARRY HARRIS</u>
Director	<u>SCOTT MULLINS</u>
Incorporator	<u>R ALAN ADCOCK</u>
Incorporator	<u>A/K/A ROCKY AMARETTO</u>

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

<u>Amendment</u>	10/27/2015	2 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	4/1/2015	1 page	<u>PDF</u>	
<u>Annual Report</u>	6/6/2014	1 page	<u>PDF</u>	
<u>Annual Report</u>	7/9/2013	1 page	<u>PDF</u>	
<u>Annual Report</u>	5/1/2012	1 page	<u>PDF</u>	
<u>Annual Report Amendment</u>	5/1/2012	1 page	<u>PDF</u>	
<u>Annual Report</u>	5/9/2011	1 page	<u>PDF</u>	
<u>Annual Report</u>	5/3/2010	1 page	<u>PDF</u>	
<u>Annual Report</u>	5/26/2009	1 page	<u>PDF</u>	
<u>Annual Report</u>	3/6/2008	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	4/3/2007	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	4/27/2006	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	4/21/2005	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/17/2003	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	3/28/2002	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	5/16/2001	3 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	5/1/2000	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/7/1999	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	4/22/1998	3 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1997	3 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1996	3 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1995	3 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1994	3 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1993	3 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1992	3 pages	<u>tiff</u>	<u>PDF</u>
<u>Statement of Change</u>	6/18/1992	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1991	3 pages	<u>tiff</u>	<u>PDF</u>
<u>Articles of Incorporation</u>	6/7/1989	7 pages	<u>tiff</u>	<u>PDF</u>

Assumed Names

Activity History

Filing	File Date	Effective Date	Org. Referenced
Amendment - Change name	10/27/2015 1:26:43 PM	10/27/2015	<u>THE KYANA BLUES SOCIETY, INC.</u>



The Kentuckiana Blues Society
PO Box 755
Louisville, KY 40201-0755
<http://kbsblues.org>

KBS Tax and ID Numbers

Commonwealth of Kentucky
Revenue Cabinet
Frankfort KY 40620

Date of Incorporation or Date Authorized to Transact Business: June 07, 1989

Articles of Incorporation Date: June 07, 1989

Annual Report Organization ID Number: 0259451

Federal Employer ID Number (FEIN): 61 1182141

Purchase Tax Exemption Number: D-17040

Sales & Use Tax Number: 204818

"... to preserve, promote and perpetuate the blues tradition..."

An Affiliate Member of The Blues Foundation

RECEIVED AND FILED

DATE JUN 7 1989
TIME 2:10 pm
AMOUNT \$8.00

ARTICLES OF INCORPORATION

OF

THE KYANA BLUES SOCIETY, INC.

BREMER EHRLER
SECRETARY OF STATE
COMMONWEALTH OF KENTUCKY

BY TS

KNOW ALL MEN BY THESE PRESENTS:

That the undersigned, for the purpose of forming a non-stock non-profit corporation without capital stock or stockholders under the provisions of Chapter 273 of the Kentucky Revised Statutes, hereby certifies as follows:

ARTICLE I

The name of this corporation is THE KYANA BLUES SOCIETY, INC.

ARTICLE II

The duration of this corporation shall be perpetual.

ARTICLE III

a) The address of the corporation's initial registered office and the name of its initial registered agent at such address is:

R. Alan Adcock (a/k/a Rocky Amaretto)
Route 3, Box 557 A
Highway 395
Shelbyville, Kentucky 40065

b) The address of the principal office is:

1436 Bardstown Road
Louisville, Kentucky 40204

Other places of business in said city or elsewhere may be designated by resolution of the Board of Directors.

ARTICLE IV

This Corporation is organized and shall be operated exclusively for charitable and educational purposes as described in Section 501 (c) (3) of the Internal Revenue Code (or the corresponding provision of any future United States Internal Revenue Law), including but not limited to the development and

promotion of an appreciation of blues music and culture by presenting public concerts, memorializing and otherwise honoring blues musicians and advancing aspiring students of blues music and culture by awarding of merit scholarships, and including for such purposes the making of distributions to organizations for the purposes of engaging in activity falling within the purposes of the Corporation and permitted for organizations that qualify as exempt organizations under Section 501(c) (3) of the Internal Revenue Code of 1954 (or the corresponding provision of any future United States Internal Revenue Law). It may transact only such business as is authorized under the provisions of Chapter 273 of the Kentucky Revised Statutes.

ARTICLE V

The Corporation shall be irrevocably dedicated to and operated exclusively for non-profit purposes. No part of the net earnings of the Corporation shall inure to the benefit of or be distributable to its members, directors, officers, or other private persons, except that the Corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article IV hereof.

ARTICLE VI

In carrying out the corporate purposes described in Article IV, the Corporation shall have all the powers granted by the laws of the State of Kentucky, including in particular those listed in KRS 273.171 (or corresponding provision of any later State statute) except as follows and as otherwise stated in these Articles:

a. The Corporation and its agents and representatives shall not engage directly or indirectly in any activity involving discrimination against any person by reason of age, sex, race, color, religion, national origin or economic status.

b. No substantial part of the activities of the Corporation shall be the carrying on of propoganda, or otherwise attempting to influence legislation, and the Corporation shall not participate in, or intervene in (including the publishing or distribution of statements), any political campaign on behalf of any candidate for public office.

c. Notwithstanding any other provision of these Articles the Corporation shall not carry on any other activities not permitted to be carried on:

1) by a corporation exempt from Federal income tax under Section 501(c) (3) of the Internal revenue Code, or the corresponding provisions of any subsequent Federal tax law; or,

2) by a corporation, contributions to which are deductible under Section 170(c) (2) of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws.

ARTICLE VII

The name and address of the incorporator of the Corporation is R. Alan Adcock (a/k/a Rocky Amaretto) Route 3, Box 557A, Highway 395, Shelbyville, Kentucky 40065.

ARTICLE VIII

The initial Board of Directors shall consist of eight (8) Directors and the names and addresses of the persons who are to serve as said Directors until the first annual meeting of the corporation or until their successors be elected and qualified are as follows:

R. Alan Adcock, President (a/k/a Rocky Amaretto)	Rt. 3, Box 557A Hwy 395 Shelbyville, KY 40065
Scott Mullins, Vice-President	2062 Eastern Parkway Louisville, KY 40204
Keith Clements, Secretary	2010 Village Drive Louisville, KY 40205
Foree Wells, Treasurer	825 South 33rd Street Louisville, KY 40211

Sue O'Neil, Director	1020 Walter Avenue Louisville, KY 40215
Dallas Embry, Director	216 North 30th Street Louisville, KY 40212
Perry Aberli, Director	1729 Greentree Blvd #34 Clarksville, IN 47130
Barry Harris, Director	1005 New Moody Lane LaGrange, KY 40031

ARTICLE IX

a) The initial By-Laws shall be adopted by the initial Board of Directors. Thereafter, the Corporation shall be governed by the By-Laws.

b) The affairs of the Corporation shall be conducted by a Board of Directors of not less than three (3) in number and by the officers who are elected and accept office in the manner provided by the Corporation's By-Laws. Except as herein otherwise specifically provided, elections to the Board of Directors shall be held at such time and place as may be fixed in the Corporations By-Laws.

c) A Director may be removed from office for cause pursuant to any procedure therefore provided in the Corporation's By-Laws.

d) It shall be competent for the Board of Directors, by an appropriate By-Law or resolution, to provide for an Executive Committee and for such other committees as may appear necessary for the effective management of the business of the Corporation, and to give such committees such powers and duties as may seem proper, and to provide when and how often any such committee shall meet, how its meetings shall be called, and at what times and places these meetings may be held.

ARTICLE X

a) The directors, officers, employees and members of this Corporation shall not be held personally liable for any debt or obligation of the Corporation solely because of their position in the Corporation.

b) Any person serving on the Board of Directors of this Corporation shall not be held personally liable for monetary

damages resulting from the breach of his or her duties as a director unless such act, omission or breach:

- 1) concerned or concerns a transaction in which the director's personal financial interest was or is in conflict with the financial interests of the Corporation;
 - 2) was not in good faith or involved or involves intentional misconduct on the part of the director;
 - 3) was known by the director to be a violation of law;
- or
- 4) resulted in an improper personal benefit to the director.

This paragraph b) applies only to acts or omissions or breaches of duty occurring after July 15, 1988.

ARTICLE XI

The Board of Directors shall have the authority to indemnify any director or officer or former director or officer of the corporation, against expenses actually and reasonably incurred by him or her in connection with the defense of any action, suit or proceeding, civil or criminal, in which he is made a party by reason of having been such director or officer, except in relation to matters as to which he shall be adjudged in such action, suit or proceeding to be liable for negligence or misconduct in the performance of his or her duty to the Corporation, and to make any other indemnification that shall be authorized by the By-Laws or by resolution adopted after notice to the members entitled to vote.

ARTICLE XII

In the event of dissolution of the Corporation, the Board of Directors after paying or making provisions for the payment of all of the liabilities of the Corporation, shall distribute all remaining assets of the Corporation, if any, exclusively to such organization or organizations, organized and operated exclusively for charitable, religious, educational or scientific purposes as

shall at the time qualify as an exempt organization or organizations under Section 501(c) (3) of the Internal Revenue Code of 1954 (or the corresponding provision of any future United States Internal Revenue Law), as the Board of Directors shall determine, or shall be distributed to the federal government, the Commonwealth of Kentucky or the City of Louisville as the Board of Directors shall determine, to be used for the public purposes for which the Corporation is organized. Any assets not so disposed of shall be disposed of by the Jefferson County Circuit Court, exclusively for such purposes or to such organization or organizations, as said Court shall determine, which are organized and operated exclusively for such purposes.

ARTICLE XIII

Amendments to these Articles shall be made pursuant to the provisions of Kentucky Revised Statute 273.263 (or corresponding provision of any later State statute).

IN TESTIMONY WHEREOF, witness the signaure of the Incorporator of this Corporation, this 6th day of JUNE, 1989.

R. Alan Adcock aka Rocky Amaretto
R. Alan Adcock (a/k/a Rocky Amaretto),
Incorporator

STATE OF KENTUCKY)
)
COUNTY OF JEFFERSON)

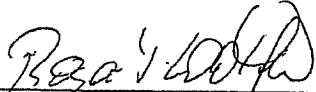
The foregoing Articles of Incorporation were acknowledged before me this 6th day of JUNE, 1989, by R. Alan Adcock (a/k/a Rocky Amaretto).

Witness my signature and seal of office this 6th day of JUNE, 1989.

My commission expires: May 21, 1990.

Robert [Signature]
NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

This Document Prepared By:



Roger J. Welford
ROBERT, MILLER, THOMAS & DODSON
200 Whittington Parkway, Suite 101
Louisville, Kentucky 40222
(502) 425-2802

THE KYANA BLUES SOCIETY, INC.

RESOLUTION TO

ADOPT AMENDED ARTICLES OF INCORPORATION

AND

ADOPT REVISED BY-LAWS

WHEREAS, the Board of Directors desires to memorialize in writing its vote to adopt Amended Articles of Incorporation adopted at its meeting on September 2, 2015 which by its amendments changes its formal corporate name and to ratify all actions taken by the President since its last meeting to effectuate these changes; and

WHEREAS, the Board of Directors desires to adopt Amended By-Laws for the Corporation to enable the smooth operation of its affairs; and

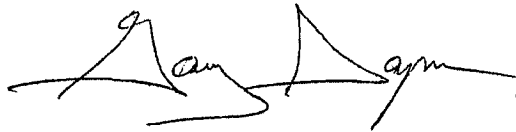
NOW THEREFORE, BE IT RESOLVED, THAT:

FIRST: Be it resolved that by a majority vote of the Board of Directors on this date, September 2, 2015, the corporate name of this organization presently "KYANA Blues Society, Inc." shall now be "Kentuckiana Blues Society, Inc." and the Board of Directors directs the President or his appointee to effectuate this change including filing Articles of Amendment to the Articles of Incorporation with the Commonwealth of Kentucky's Secretary of State's Office and recording a copy of these Articles of Amendment to the Articles of Incorporation in the Office of the Jefferson

County Clerk, as required by Kentucky statute.

SECOND: Be it resolved that on this date, SEPTEMBER 2, 2015 the By-Laws of the Kentuckiana Blues Society, Inc. are hereby adopted by a majority vote of the Board of Directors, and a confirmed copy of the corporate By-Laws shall be attached hereto;

THIRD: Upon approval by the Board of Directors this resolution shall be spread among the minutes of the Corporation.

 RESIDENT

Bo Reynolds, Secretary

Request for Taxpayer Identification Number and Certification

**Give Form to the
 requester. Do not
 send to the IRS.**

Print or type See Specific Instructions on page 2.	Name (as shown on your income tax return) Kentuckiana Blues Society, Inc.	
	Business name/disregarded entity name, if different from above FKA Kyana Blues Society, Inc.	
	Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input checked="" type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ <input type="checkbox"/> Other (see instructions) ▶ _____	Exemptions (see instructions): Exempt payee code (if any) D17040 Exemption from FATCA reporting code (if any) _____
	Address (number, street, and apt. or suite no.) Post Office Box 755 City, state, and ZIP code Louisville, KY 40201-0755	Requester's name and address (optional)
List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)																																						
Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see <i>How to get a TIN</i> on page 3. Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="9" style="text-align: center;">Social security number</td> </tr> <tr> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> </tr> </table> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="9" style="text-align: center;">Employer identification number</td> </tr> <tr> <td style="width: 20px; height: 20px; text-align: center;">6</td> <td style="width: 20px; height: 20px; text-align: center;">1</td> <td style="width: 20px; height: 20px; text-align: center;">-</td> <td style="width: 20px; height: 20px; text-align: center;">1</td> <td style="width: 20px; height: 20px; text-align: center;">1</td> <td style="width: 20px; height: 20px; text-align: center;">8</td> <td style="width: 20px; height: 20px; text-align: center;">2</td> <td style="width: 20px; height: 20px; text-align: center;">1</td> <td style="width: 20px; height: 20px; text-align: center;">4</td> <td style="width: 20px; height: 20px; text-align: center;">1</td> </tr> </table>	Social security number																		Employer identification number									6	1	-	1	1	8	2	1	4	1
Social security number																																						
Employer identification number																																						
6	1	-	1	1	8	2	1	4	1																													

Part II Certification	
Under penalties of perjury, I certify that:	
1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and 2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and 3. I am a U.S. citizen or other U.S. person (defined below), and 4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.	
Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.	

Sign Here	Signature of U.S. person ▶	Date ▶
------------------	----------------------------	--------

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. The IRS has created a page on www.irs.gov/w9 for information about Form W-9, at www.irs.gov/w9. Information about any future developments affecting Form W-9 (such as legislation enacted after we release it) will be posted on that page.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, payments made to you in settlement of payment card and third party network transactions, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the

withholding tax on foreign partners' share of effectively connected income, and

4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct.

Note. If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.