



**Applicant/Program:**

Legal Aid Society, Inc. Brush, Bottle and Barrel of the Bluegrass

**Additional Disclosure and Signatures**

**Additional Council Office Disclosure**

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

**Council Member Signature and Amount**

District 1	<u>Jessica [Signature]</u>	\$ <u>250.00</u>
District 2	<u>[Signature] Barbara Shoultier</u>	\$ <u>250.00</u>
District 3	_____	\$ _____
District 4	_____	\$ _____
District 5	_____	\$ _____
District 6	<u>Paul [Signature]</u>	\$ <u>250.00</u>
District 7	_____	\$ _____
District 8	_____	\$ _____
District 9	<u>Roll Hollander</u>	\$ <u>250.00</u>
District 10	<u>Lawrence P. Marshall</u>	\$ <u>250.00</u>
District 11	_____	\$ _____
District 12	<u>Rid Belwell</u>	\$ <u>250</u>
District 13	<u>Yicki Aubrey Welch</u>	\$ <u>250.00</u>
District 14	<u>Cindi Fowler</u>	\$ <u>250-</u>
District 15	_____	\$ _____

**Applicant/Program:**

Legal Aid Society, Inc. Brush, Bottle and Barrel of the Bluegrass

**Additional Disclosure and Signatures**

**Additional Council Office Disclosure**

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

District 16 \_\_\_\_\_ \$ \_\_\_\_\_

District 17 \_\_\_\_\_ \$ \_\_\_\_\_

District 18 \_\_\_\_\_ \$ \_\_\_\_\_

District 19 \_\_\_\_\_ \$ \_\_\_\_\_

District 20 \_\_\_\_\_ \$ \_\_\_\_\_

District 21 *D. Johns* \_\_\_\_\_ \$ *500*

District 22 \_\_\_\_\_ \$ \_\_\_\_\_

District 23 \_\_\_\_\_ \$ \_\_\_\_\_

District 24 *Machina Ford* \_\_\_\_\_ \$ *250.00*

District 25 *D. Johns* \_\_\_\_\_ \$ *250.00*

District 26 \_\_\_\_\_ \$ \_\_\_\_\_

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**Legal Name of Applicant Organization** Legal Aid Society, Inc.

**Program Name and Request Amount** Brush, Bottle and Barrel of the Bluegrass

	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	<input type="checkbox"/> Yes
Is the funding proposed by Council Member(s) less than or equal to the request amount?	<input type="checkbox"/> Yes
Is the proposed public purpose of the program viable and well-documented?	<input type="checkbox"/> Yes
Will all of the funding go to programs specific to Louisville/Jefferson County?	<input type="checkbox"/> Yes
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	<input type="checkbox"/> Yes
Has prior Metro Funds committed/granted been disclosed?	<input type="checkbox"/> Yes
Is the application properly signed and dated by authorized signatory?	<input type="checkbox"/> Yes
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	<input type="checkbox"/> Yes
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	<input type="checkbox"/> N/A
Is the entity in good standing with: <ul style="list-style-type: none"> <li>▶ Kentucky Secretary of State?</li> <li>▶ Louisville Metro Revenue Commission?</li> <li>▶ Louisville Metro Government?</li> <li>▶ Internal Revenue Service?</li> <li>▶ Louisville Metro Human Relations Commission?</li> </ul>	<input type="checkbox"/> Yes
Is the current Fiscal Year Budget included?	<input type="checkbox"/> Yes
Is the entity's board member list (with term length/term limits) included?	<input type="checkbox"/> Yes
Is recommended funding less than 33% of total agency operating budget?	<input type="checkbox"/> Yes
Does the application budget reflect only the revenue and expenses of the project/program?	<input type="checkbox"/> Yes
Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?	<input type="checkbox"/> N/A
Is the most recent annual audit (if required by organization) included?	<input type="checkbox"/> N/A
Is a copy of Signed Lease (if rent costs are requested) included?	<input type="checkbox"/> N/A
Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?	<input type="checkbox"/> N/A
Are the Articles of Incorporation of the Agency included?	<input type="checkbox"/> Yes
Is the IRS Form W-9 included?	<input type="checkbox"/> Yes
Is the IRS Form 990 included?	<input type="checkbox"/> Yes
Are the evaluation forms (if program participants are given evaluation forms) included?	<input type="checkbox"/> N/A
Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?	<input type="checkbox"/> N/A
Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?	<input type="checkbox"/> N/A

Prepared by: shughes

Date: 2.8.17

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
<b>Legal Name of Applicant Organization:</b>		Legal Aid Society, Inc.	
<i>(as listed on: <a href="http://www.sos.ky.gov/business/records">http://www.sos.ky.gov/business/records</a>)</i>			
<b>Main Office Street &amp; Mailing Address:</b> 416 W. Muhammad Ali Blvd., Ste. 300			
<b>Website:</b> www.laslou.org			
<b>Applicant Contact:</b>	Neva-Marie Polley	<b>Title:</b>	Executive Director
<b>Phone:</b>	502-614-3105	<b>Email:</b>	npolley@laslou.org
<b>Financial Contact:</b>	Kelly Krucki	<b>Title:</b>	Controller
<b>Phone:</b>	502-614-3108	<b>Email:</b>	kkrucki@laslou.org
<b>Organization's Representative who attended NDF Training:</b> Julia Leist, Development Director			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
<b>Program Facility Location(s):</b>	416 W. Muhammad Ali Blvd., Ste. 300 Louisville, KY 40202		
<b>Council District(s):</b>	All Council Districts	<b>Zip Code(s):</b>	All Jefferson County Zip Codes
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
<b>PROGRAM/PROJECT NAME:</b> Legal Aid's Fourteenth Annual Brush, Bottle and Barrel of the Bluegrass			
<b>Total Request: (\$)</b>	5,000	<b>Total Metro Award (this program) in previous year: (\$)</b>	4,450
<b>Purpose of Request (check all that apply):</b>			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget) <input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
<b>The Following are Required Attachments:</b>			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current year projected budget <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation (current & signed) Cost estimates from proposed vendor if request is for capital expense		<input checked="" type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 Evaluation forms if used in the proposed program <input checked="" type="checkbox"/> Annual audit (if required by organization) Faith Based Organization Certification Form, if applicable	
<b>For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.</b>			
<b>Source</b>	External Agency Funds	<b>Amount (\$)</b>	59,400
<b>Source:</b>	NDF	<b>Amount: (\$)</b>	14,201.23
<b>Source:</b>	CDBG, HOPWA, ESG	<b>Amount (\$)</b>	129,200
Has the applicant contacted the BBB Charity Review for participation? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Has the applicant met the BBB Charity Review Standards? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			

Applicant's Initials

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 3 – AGENCY DETAILS

**Describe Agency's Vision, Mission and Services:**

The Legal Aid Society is a non-profit organization that helps clients with civil legal problems that threaten basic human needs, such as safety, access to health care, shelter, and economic stability. Last year, Legal Aid provided legal counsel, representation or information to over 4,000 individuals and/or groups whose incomes were at or below 125% of the poverty guideline; however, most of our clients live well below the poverty level. The Legal Aid Society delivers free civil legal services to people living in Jefferson and the fourteen surrounding Kentucky counties. This request for funding is for services provided to Jefferson County residents.

Legal Aid Society helps clients who fall in the following priority areas: (1) Support for Families: addressing the issues related to domestic violence and kinship care; (2) Preserving the Home: addressing the issues related to eviction, foreclosure, disruption of a child's education due to relocation, community development, and tenants' rights; (3) Promoting Economic Stability: helping families escape poverty and achieve financial security by obtaining government benefits, expungements, and resolving consumer debt issues; (4) Safety, Stability, and Health: offering legal advice and protection clients need to feel stable and secure; (5) Populations with Special Vulnerabilities: offering programs addressing issues related to the rights of people diagnosed with HIV/AIDS, seniors and end-of-life planning, children in the juvenile justice system, and foster children attending school in low-income neighborhoods.





# LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

## SECTION 5 – PROGRAM/PROJECT NARRATIVE

**A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):**

Project Start and End Date: April 28, 2017 from 6:00 pm until 8:30 pm

Description of Project: The Neighborhood Development Funds will be used to support Legal Aid Society's annual fund raising event The Brush, Bottle and Barrel of the Bluegrass. This year's event will be held on April 28th from 6:00 pm until 8:30 pm at the downtown corporate office of Atria Senior Living.

The Brush, Bottle and Barrel of the Bluegrass is in its fourteenth year. The event is the official preview party for the Cherokee Triangle Art Fair. Ten select artists who participate in the Cherokee Triangle Art Fair will be on hand to display and sell their art in advance of the fair. The event also feature tastings of Kentucky wines, bourbons and beers, great food, and a silent auctions.

All proceeds from the Brush, Bottle and Barrel benefit Legal Aid Society's general program services. Last year, over 550 people attended raising over \$60,000.

**B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):**

The funding will be used to offset some of the expense of putting on the fund raising event. The expenses include:

\$1,000	Louisville Magazine - Advertising
\$800	Elite Valet - Valet Parking
\$900	Nationwide - Event Insurance
\$500	LA Promotions - Cupware
\$500	Musicians
\$500	Atria Senior Living - Event Space Rental
\$800	Printing and Supplies
\$5000	TOTAL REQUEST





## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**C: If this request is a fundraiser, please detail how the proceeds will be spent:**

All funds raised by the Brush, Bottle and Barrel of the Bluegrass are used to support the mission of the Legal Aid Society, which is to "pursue justice for people in poverty." In 2016, Legal Aid Society assisted over 3,130 citizens of Jefferson County, additionally, over 2,947 children were impacted by our services. We provide the needed legal intervention when individuals or families face a crisis that threatens their safety, income, home, health or stability. We ensure that all Louisvillians have access to the court system, not just those who can afford it. Our services are always free to those who qualify.

The Neighborhood Development Funds will be used to off-set costs associated with the event. Legal Aid strives to keep the cost of the event low. In 2016 the Legal Aid Society netted \$55,000 from the Brush, Bottle and Barrel of the Bluegrass - 100% of the funds went to support our mission of pursuing justice for people in poverty.

General funds are critical to our success. They allow Legal Aid Society to leverage more funding from federal and private funders that require matching resources. Additionally, when government funds stall or shrink, unrestricted general funds provide the necessary resource to off-set costs.

**D: For Expenditure Reimbursement Only** – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

- The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:
- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

- Reimbursements should not be made before application date unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):
- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
  - ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:**

One of Legal Aid Society's program priorities is "Preserving the Home." Legal Aid provides free legal services to qualifying residents of Jefferson County in order to prevent loss of home or homelessness. Measurable outcomes, which we will report at the end of the grant, include:

- the number of Jefferson County residents who receive legal advice regarding a housing crisis situation in order to prevent homelessness;
- the number of Jefferson County residents who receive extended legal service to avoid a foreclosure;
- the number of Jefferson County residents who receive extended legal service to avoid or delay eviction.

Legal Aid Society collects program data through the uses of a case management system (KEMPS) capable of recording demographic information and case specific data and outcomes. The case management system generates reports to document this information. This information also helps guide staff in the delivery of services by allowing staff to review, during the year, unanticipated deviations from the expected outcomes.

**F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.**

Legal Aid Society collaborates with numerous community partners in obtaining outcomes for our various priority areas. These collaborations help produce lasting outcomes for our clients. Specifically for our priority area of "Preserving the Home," Legal Aid partners with:

- Metropolitan Housing Coalition
- Louisville Metro Housing Authority
- Louisville Metro Community Services and Revitalization
- Jefferson County District/Eviction Court
- St. John's Center for Homeless Men
- Coalition for the Homeless
- Volunteers of America
- Jefferson County Public Schools



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 6 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non- Metro Funds	Total Funds
<b>A: Personnel Costs Including Benefits</b>			
<b>B: Rent/Utilities</b>			
<b>C: Office Supplies</b>			
<b>D: Telephone</b>			
<b>E: In-town Travel</b>			
<b>F: Client Assistance (See Detailed List on Page 8)</b>			
<b>G: Professional Service Contracts</b>			
<b>H: Program Materials</b>			
<b>I: Community Events &amp; Festivals (See Detailed List on Page 8)</b>	5000	12716.57	17716.57
<b>J: Machinery &amp; Equipment</b>			
<b>K: Capital Project</b>			
<b>L: Other Expenses (See Detailed List on Page 8)</b>			
<b>*TOTAL PROGRAM/PROJECT FUNDS</b>			
<b>% of Program Budget</b>	28 %	72 %	100%

**List funding sources for total program/project costs in Column 2, Non-Metro Funds:**

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	
Fees Collected from Program Participants	
Other (please specify) Sponsorships, Ticket Sales, Inkind	12716.57
<b>Total Revenue for Columns 2 Expenses **</b>	12716.57

*\*Total of Column 1 MUST match "Total Request on Page 1, Section 2"*

*\*\*Must equal or exceed total in column 2.*



**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

Detail for Client Assistance, Community Events & Festivals or Other Expenses shown on Page 7 (circle one and use multiple sheets if necessary)	Column 1	Column 2	Column (1 + 2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
Louisville Magazine	1,000	140	
Flame Run (Spirit of Justice Award)		300	
Elite Valet	800		
Nationwide (Ditsler Insurance) - Event Insurance	900	67.95	
LA Promotions (Cupware)	500	18.62	
Musicians (Hank Evans and UofL Graduate Students)	500		
Atria Senior Living (Event Rental Fee)	500		
Atria Hospitality (Rentals, Spirits, Food, etc.)		11,940	
Printing and Other Supplies (Invites, etc.)	800	250	
<b>Total</b>	5,000	12,716.57	

Applicant's Initials 

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**Detail of In-Kind Contributions for this PROGRAM only:** Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

<b>Donor*/Type of Contribution</b>	<b>Value of Contribution</b>	<b>Method of Valuation</b>
Atria Senior Living	7500	Donated Food
<i>Total Value of In-Kind (to match Program Budget Line Item. Volunteer Contribution &amp; Other In Kind)</i>	7500	

**\* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK**

Agency Fiscal Year Start Date: January 1

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO  YES

If YES, please explain:

Applicant's Initials 

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 7 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

#### Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked and the funds will not be disbursed to our organization.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

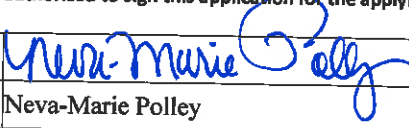
#### Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

**Relationship Disclosure:** List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

### SECTION 8 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

<b>Signature of Legal Signatory:</b>		<b>Date:</b>	2/3/2017
<b>Legal Signatory: (please print):</b>	Neva-Marie Polley	<b>Title:</b>	Executive Director
<b>Phone:</b>	502-614-3100	<b>Extension:</b>	
<b>Email:</b>	npolley@laslou.org		





Department of the Treasury  
Internal Revenue Service

P.O. Box 2508  
Cincinnati OH 45201

In reply refer to: 0248421964  
May 25 2011 LTR 4168C E0  
000000 00

00021710  
BODC: TE

LEGAL AID SOCIETY INC  
416 W MUHAMMAD ALI BLVD  
LOUISVILLE KY 40202-3368



035501

Employer Identification Number: [REDACTED]  
Person to Contact: MS. MITCHELL  
Toll Free Telephone Number: 1-877-829-5500

Dear TAXPAYER:

This is in response to your May 16, 2011, request for information regarding your tax-exempt status.

Our records indicate that you were recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in JANUARY 1972.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Please refer to our website [www.irs.gov/eo](http://www.irs.gov/eo) for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.

<b>LEGAL AID SOCIETY, INC.</b>	
<b>2017 BUDGET</b>	
	<b>2017 BUDGET</b>
<b>FEDERAL</b>	
LEGAL SERVICES CORPORATION - Field	1,253,350
INTERNAL REVENUE SERVICE - LITC	50,000
RIGHT TURN/FACE FORWARD	8,000
DEPARTMENT OF JUSTICE - CASE (UL/CWF)	164,043
VOCA	104,687
LSC TIG 14002	31,000
LSC TIG 14003	28,000
VOA VETERANS PROGRAM	5,000
CHILD SUPPORT HOTLINE	115,200
PRO BONO INNOVATION FUND	62,622
<b>STATE OR LOCAL GOVERNMENT</b>	
FILING FEES - COMMONWEALTH OF KY	490,500
KY GENERAL FUND (ACCESS TO JUSTICE)	144,840
KY JUSTICE & PUBLIC SAFETY CABINET - VAWA	109,863
KIPDA	49,000
LTADD	3,000
LOUISVILLE METRO GOVERNMENT	150,100
HIV/AIDS-HOPWA	38,500
<b>FOUNDATIONS, GRANTS, CHARITABLE GIFT</b>	
KEJC-BOOTS ON THE GROUND	5,000
EQUAL JUSTICE WORKS	72,000
MEDICAL LEGAL PARTNERSHIP	90,000
LOUISVILLE METRO HOUSING AUTHORITY	5,000
METRO UNITED WAY	197,600
TRICOUNTY UNITED WAY	2,500
UNITED WAY OF CENTRAL KENTUCKY	3,000
KY IOLTA FUND	120,700
IOLTA BANK OF AMERICA- 2015	-
PRIOR YEAR TEMPORARILY RESTRICTED CARRYOVER	15,000
IOLTA BANK OF AMERICA- 2016	266,210
PRIOR YEAR TEMPORARILY RESTRICTED CARRYOVER	199,650
PNC FOUNDATION	10,000
LOUISVILLE BAR FOUNDATION	36,000
GHEENS FOUNDATION	10,000
HUMANA FOUNDATION	10,000
BARTH FOUNDATION	3,000
WYATT, TARRANT, COMBS - FELLOW	67,500
SKADDEN FELLOW	75,700
HIV/AIDS - WALK	20,000
HIV/AIDS - RYAN WHITE FUNDING	30,000
ANNUAL CAMPAIGN	275,000
<b>OTHER</b>	
SPECIAL EVENTS (NET)	50,000
RENT INCOME	15,072
INTEREST INCOME	3,000
MISCELLANEOUS INCOME	10,000
<b>TOTAL REVENUES FOR THE YEAR</b>	<b>4,399,637</b>
<b>OPERATING EXPENSES</b>	
PERSONNEL EXPENSES	3,493,112
NON-PERSONNEL EXPENSES	902,089
CAPITAL BUDGET EXPENDITURES	45,000
<b>TOTAL OPERATING EXPENSES</b>	<b>4,440,201</b>
<b>OPERATING INCOME LESS EXPENSES</b>	<b>(40,564)</b>
<b>CASH CARRYOVER FROM PRIOR YEAR</b>	<b>1,198,244</b>
<b>ESTIMATED AMOUNT TO CARRYOVER TO NEXT YEAR</b>	<b>1,157,680</b>
<b>BOARD MANDATED RESERVES</b>	<b>655,682</b>



<b>LEGAL AID SOCIETY, INC.</b>			
<b>STATEMENT OF FINANCIAL POSITION (BALANCE SHEET)</b>			
<b>AT DECEMBER 31, 2016</b>			
<b>ASSETS</b>			
<b>Cash and investments</b>			
Eclipse Bank - checking	285,978		
Fifth Third Bank - Business Checking	326,841		
Chase Bank	200,384		
Petty Cash	100		
PNC Bank	402,520		
Republic Bank matures 4-5-17	59,235		
Republic Bank matures 1-29-18	79,212		
Stock Yards Bank matures 10-29-17	51,078		
Stock Yards Bank matures 4-29-18	51,812		
King Southern Bank matures 10-13-17	53,670	1,510,829	
Account Receivable		506,945	
Cash in Escrow, Clients Deposits		72,078	
Cash in LAS Flex Benefit Plan		9,531	
Prepaid Expenses and Other Assets		22,769	
<b>TOTAL CURRENT ASSETS</b>			2,122,152
Fixed Assets Less Depreciation			59,503
<b>ENDOWMENTS &amp; RESTRICTED FUNDS</b>			
Louisville Community Foundation (Diversity Intern)		23,224	
Marshall Eldred Excellence in Advocacy Award		23,863	
<b>Board Mandated Reserves</b>			
Republic Bank matures 1-29-18	21,749		
US Bank matures 5-17-17	103,528		
Stock Yards Bank matures 11-5-17	102,792		
First Capital Bank matures 8-22-17	103,861		
First Capital Bank matures 6-18-18	117,003		
King Southern Bank matures 8-7-17	101,618		
PBI Bank matures 7-8-17	105,250	655,802	
<b>TOTAL RESTRICTED FUNDS</b>			702,889
<b>TOTAL ASSETS</b>			<b>2,884,543</b>
<b>LIABILITIES</b>			
Current Liabilities		136,424	
Other Liabilities		221,794	
Long-Term Liabilities		35,541	
<b>TOTAL LIABILITIES</b>			<b>393,760</b>
<b>NET ASSETS</b>			
Net Assets at Beginning of Year		2,364,688	
Operating Income Over/Under Expenses	126,096		
Temporarily Restricted Carryforward	-		
<b>TOTAL NET ASSETS</b>			<b>2,490,783</b>
<b>TOTAL LIABILITIES AND NET ASSETS</b>			<b>2,884,543</b>

LEGAL AID SOCIETY, INC.				
2016 BUDGET/STATEMENT OF ACTIVITIES				
	ADOPTED	2016	ADJUSTED	YTD
	2016 BUDGET	ADJUSTMENTS	2016 BUDGET	ACTUAL THRU
				12/31/2016
<b>REVENUES</b>				
<b>FEDERAL</b>				
LEGAL SERVICES CORPORATION - Field	1,253,350		1,253,350	1,288,392
INTERNAL REVENUE SERVICE - LITC	50,000		50,000	50,000
RIGHT TURN/FACE FORWARD	18,000	(10,000)	8,000	7,100
DEPARTMENT OF JUSTICE - CASE (UL/CWF)	182,040		182,040	152,929
LSC TIG 14002	39,200	(10,539)	28,661	28,661
LSC TIG 14003	21,800	25,200	47,000	47,000
VOA VETERANS PROGRAM		7,150	7,150	5,890
PRO BONO INNOVATION FUND	166,991	(41,747)	125,244	125,243
<b>STATE OR LOCAL GOVERNMENT</b>				
FILING FEES - COMMONWEALTH OF KY	600,000	(50,000)	550,000	624,627
KY GENERAL FUND (ACCESS TO JUSTICE)	144,840		144,840	133,465
KY JUSTICE & PUBLIC SAFETY CABINET - VAWA	109,863		109,863	109,863
KIPDA	49,000		49,000	43,359
LTADD	22,330	(6,705)	15,625	15,631
LOUISVILLE METRO GOVERNMENT	150,100		150,100	153,560
HIV/AIDS-HOPWA	36,000	2,500	38,500	48,576
<b>FOUNDATIONS, GRANTS, CHARITABLE GIFT</b>				
KEJC-BOOTS ON THE GROUND	5,000		5,000	5,000
EQUAL JUSTICE WORKS	54,450	17,550	72,000	70,398
MEDICAL LEGAL PARTNERSHIP	50,000	40,000	90,000	108,541
LOUISVILLE METRO HOUSING AUTHORITY	5,000	(2,000)	3,000	1,380
METRO UNITED WAY	204,408		204,408	199,242
TRICOUNTY UNITED WAY	1,500	1,000	2,500	2,500
UNITED WAY OF CENTRAL KENTUCKY	5,000	(2,000)	3,000	3,000
KY IOLTA FUND	120,700		120,700	78,017
IOLTA BANK OF AMERICA- 2015	-		-	-
PRIOR YEAR TEMPORARILY RESTRICTED CARRYOVER	125,046		125,046	-
IOLTA BANK OF AMERICA- 2016	-	266,210	266,210	266,210
TEMPORARILY RESTRICTED CARRYOVER		(199,650)	(199,650)	
PNC FOUNDATION	10,000		10,000	10,000
LOUISVILLE BAR FOUNDATION	25,000	11,000	36,000	36,000
MILDRED V HORN FOUNDATION		3,000	3,000	3,000
ALDEN FELLOWS FUND	-	5,000	5,000	5,000
GHEENS FOUNDATION	10,000		10,000	10,000
HUMANA FOUNDATION	10,000	10,000	20,000	10,000
BARTH FOUNDATION	5,000	(2,000)	3,000	3,000
SISTERS OF CHARITY OF NAZARETH	10,000	5,000	15,000	15,000
WYATT, TARRANT, COMBS - FELLOW	68,580		68,580	68,525
SKADDEN FELLOW		17,071	17,071	33,372
HIV/AIDS - WALK	27,000	(7,000)	20,000	-
HIV/AIDS - RYAN WHITE FUNDING	20,000		20,000	19,040
WOMEN 4 WOMEN	10,000	(10,000)	-	-
ANNUAL CAMPAIGN	275,000		275,000	313,000
<b>OTHER</b>				
SPECIAL EVENTS (NET)	45,000		45,000	56,032
RENT INCOME	15,072		15,072	15,075
INTEREST INCOME	3,000		3,000	3,148
MISCELLANEOUS INCOME	10,000		10,000	10,858
<b>TOTAL REVENUES FOR THE YEAR</b>	<b>3,958,270</b>	<b>69,040</b>	<b>4,027,310</b>	<b>4,179,635</b>
<b>OPERATING EXPENSES</b>				
PERSONNEL EXPENSES	2,971,299	203,501	3,174,800	3,081,632
NON-PERSONNEL EXPENSES	907,394	(17,500)	889,894	935,519
CAPITAL BUDGET EXPENDITURES	45,000		45,000	36,387
<b>TOTAL OPERATING EXPENSES</b>	<b>3,923,693</b>	<b>186,001</b>	<b>4,109,694</b>	<b>4,053,539</b>
<b>OPERATING INCOME LESS EXPENSES</b>	<b>34,577</b>	<b>(116,961)</b>	<b>(82,384)</b>	<b>126,096</b>
<b>CASH CARRYOVER FROM PRIOR YEAR</b>	<b>1,528,136</b>	<b>90,438</b>	<b>1,618,574</b>	
<b>ESTIMATED AMOUNT TO CARRYOVER TO NEXT YEAR</b>	<b>1,597,290</b>	<b>(26,523)</b>	<b>1,536,190</b>	



(d) The Corporation shall not participate or intervene in (including the publishing or distributing of statements) any political campaign on behalf of any candidate for public office.

(e) The Corporation shall distribute its income for each taxable year at such time and in such manner as not to become subject to the tax on undistributed income imposed by Section 4942 of the Code or by any corresponding provision of any Successor Code.

(f) The Corporation shall not engage in any act of self-dealing as defined in Section 4941(d) of the Code or in any corresponding provision of any Successor Code.

(g) The Corporation shall not retain any excess business holdings as defined in Section 4943(c) of the Code or in any corresponding provision of any Successor Code.

(h) The Corporation shall not make any investments in such a manner as to subject it to tax under Section 4944 of the Code or under any corresponding provision of any Successor Code.

(i) The Corporation shall not make any taxable expenditures as defined in Section 4945(d) of the Code or in any corresponding provision of any Successor Code.

(j) Upon the dissolution of the Corporation, assets shall be distributed for one or more exempt purposes within the meaning of Section 501(c)(3) of the Code or of any corresponding provision of any Successor Code, or shall be distributed to the Federal government, or to a state or local government, for a public purpose, in any case as determined by the Corporation's board of directors.

4. No Members.

The Corporation shall have no members.

5. Directors.

The affairs of the Corporation shall be governed by a board of directors.

(a) The current number of directors constituting the Corporation's board of directors is thirty. The names, addresses and appointing organization of the persons who are currently serving as the Corporation's directors are:

Community Action Agency (CAA)

Lealer Barney -- Client Representative

██████████  
Louisville, KY 40211

Multi-County Clients Council

Charlene Cole -- Client Representative  
[REDACTED]  
Louisville, KY 40211

John Peacock -- Client Representative  
[REDACTED]  
Louisville, KY 40211

Jane Grady -- Client Representative  
[REDACTED]  
Louisville, KY 40210

Hollie Tischendorf -- Client Representative  
[REDACTED]  
Louisville, KY 40228

Louisville Bar Association

Robert J. DeAngelis, Jr., Esq.  
Ackerson, Yann & Miller  
1800 One Riverfront Plaza  
Louisville, KY 40202

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Tilford, Dobbins, Alexander &  
Buckaway  
1406 One Riverfront Plaza  
Louisville, KY 40202

Marshall P. Eldred, Jr., Esq.  
Brown, Todd & Heyburn  
3200 Providian Center  
Louisville, KY 40202-3363

Michael H. Sims, Esq.  
Providian Corp.  
P.O. Box 32830  
Louisville, KY 40232

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Wyatt, Tarrant & Combs  
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Louisville, KY 40202

Joan L. Byer, Esq.  
Rogers, Fuller & Pitt  
825 Brown & Williamson Tower  
Louisville, KY 40202

Stephen P. Imhoff, Esq.  
Borowitz & Goldsmith  
1825 Meidinger Tower  
Louisville, KY 40202

R. James Straus, Esq.  
Brown, Todd & Heyburn  
3200 Providian Center  
Louisville, KY 40202-3363

Martha J. Hasselbacher, Esq.  
Stites & Harbison  
1800 Providian Center  
Louisville, KY 40202

Douglas C. Ballentine  
Ogden, Newell & Welch  
1200 One Riverfront Plaza  
Louisville, KY 40202

Kentucky National Bar Association

Algernon W. Tinsley, Esq.  
835 W. Jefferson Street  
Suite 205  
Louisville, KY 40202-2639

Louisville Tenants Association (LTA)

Mary Green -- Client Representative  
[REDACTED]

Louisville, KY 40211

ACLU of Kentucky

Professor Robert L. Stenger  
U of L School of Law  
Louisville, KY 40292

University of Louisville School of Law

Professor Richard H. Nowka  
[REDACTED]

Louisville, KY 40207

National Association  
for the Advancement of Colored People (NAACP)

Anita Lawless -- Client Representative  
[REDACTED]

Louisville, KY 40212

Women Lawyers Association of Jefferson County

Teresa C. Buchheit, Esq.  
Ogden, Newell & Welch  
1200 One Riverfront Plaza  
Louisville, KY 40202

Elizabethtown District

Bullitt County Bar Association

Maureen Sullivan, Esq.  
P.O. Box 65  
Shepherdsville, KY 40165

Hardin County Bar Association

Kelly M. Easton, Esq.  
Lewis, Preston & Easton  
102 W. Dixie Avenue  
Elizabethtown, KY 42701

Grayson/Breckinridge/Meade Counties Bar Association

Thomas C. Brite, Esq.  
Brite & Butler  
P.O. Box 309  
Hardinsburg, KY 40143

Hardin County Clients Council

Linda M. Frye -- Client Representative  
[REDACTED]  
vine Grove, KY 40175

Karen Glover -- Client Representative  
[REDACTED]  
Radcliff, KY 40160

Shelbyville District

Nelson County Bar Association

Anne Penn Hardy, Esq.  
P.O. Box 92  
Bardstown, KY 40004

Oldham/Henry Counties Bar Association

D. Berry Baxter, Esq.  
Berry & Floyd, P.S.C.  
409 N. Main Street  
New Castle, KY 40050

Marion County NAACP

Norman Moore — Client Representative

Lebanon, KY 40033

Shelby County Bar Association

Hart T. Megibben, Esq.  
Ballard & Shelburne  
P.O. Box 515  
Shelbyville, KY 40066-0515

(b) The number of members of the Corporation's board of directors from time-to-time, the manner for election or appointment of directors and the terms of directors shall be as provided in the Corporation's bylaws. Any of the Corporation's directors may be removed from office by a majority vote of all the directors of the Corporation whenever in those directors' judgement the best interest of the Corporation will be served thereby.

(c) Except as otherwise provided by Article 5(d) below, no director of the Corporation shall have any personal liability to the Corporation for monetary damages for breach of his or her duties as a director.

(d) Nothing in Article 5(c) above shall be deemed or construed to eliminate or limit the liability of a director for:

(1) Any transaction in which the director's personal financial interest is in conflict with the financial interest of the Corporation;

(2) Acts or omissions not in good faith or which involve intentional misconduct or are known to the director to be a violation of law; or

(3) Any transaction from which the director derived an improper personal benefit.

6. Principal Office.

The principal office shall be in Louisville, Kentucky. The current mailing address of the Corporation's principal office is 425 West Muhammad Ali Blvd., Louisville, Kentucky 40202. The Corporation's duration shall be perpetual.



The Amended and Restated Articles of Incorporation correctly set forth the provisions of the Articles of Incorporation as theretofore amended and were duly adopted as required by law. The Amended and Restated Articles of Incorporation supersede the original Articles of Incorporation and all amendments thereto.



Dennis E. Bricking, Executive Director

070\articles\amended.las

# Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Print or type  
See Specific Instructions on page 2.

**1** Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.  
**Legal Aid Society**

**2** Business name/disregarded entity name, if different from above

**3** Check appropriate box for federal tax classification; check only one of the following seven boxes:  
 Individual/sole proprietor or single-member LLC  
 C Corporation  
 S Corporation  
 Partnership  
 Trust/estate  
 Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ \_\_\_\_\_  
 Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.  
 Other (see instructions) ▶ \_\_\_\_\_

**4** Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):  
 Exempt payee code (if any) \_\_\_\_\_  
 Exemption from FATCA reporting code (if any) \_\_\_\_\_  
(Applies to accounts maintained outside the U.S.)

**5** Address (number, street, and apt. or suite no.)  
**416 W. Muhammad Ali Blvd., Suite 300**

**6** City, state, and ZIP code  
**Louisville, KY 40202**

**7** List account number(s) here (optional)

Requester's name and address (optional)

## Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

**Note.** If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

**Social security number**

			-				-			
--	--	--	---	--	--	--	---	--	--	--

or

**Employer identification number**

## Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

**Sign Here** Signature of U.S. person ▶ *W. Muhammad Ali*

Date ▶ *8/23/2016*

## General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.  
**Future developments.** Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at [www.irs.gov/fw9](http://www.irs.gov/fw9).






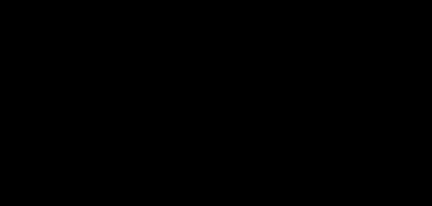

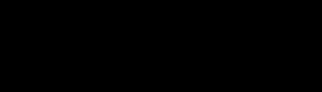
### Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

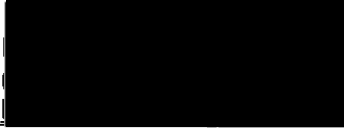
- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
  - Form 1099-C (canceled debt)
  - Form 1099-A (acquisition or abandonment of secured property)
- Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.
- If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.
- By signing the filled-out form, you:
- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
  - Certify that you are not subject to backup withholding, or
  - Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
  - Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.

LEGAL AID SOCIETY  
BOARD OF DIRECTORS 2017 (rev. 1/2017)

Appointing Group/Board Member(s)	Term of Office	Current Term Expires
<b>Community Action Agency:</b>  Rose Robinson 	2-year term beginning in odd-numbered years	December 31, 2018
<b>Multi-County Clients Council:</b>  George Bey   Louis Cook   Rev. John Henderson   Chris Johnson   George Lee, Jr. (Second Vice-Chair)   Rashid McClain (alternate representative)   Thomas Philpott 		

Roosevelt Smith III



2-year term beginning in even-numbered years

December 31, 2017

**Louisville Bar Association:**

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& Bowman  
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Martha Hasselbacher, Esq.



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& Bowman  
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Stoll Keenon Ogden PLLC  
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Louisville, KY 40202  
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(502) 333-6099 (fax)  
[Kendrick.riggs@skofirm.com](mailto:Kendrick.riggs@skofirm.com)

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(502) 581-1087 (fax)  
[jstraus@fbtlaw.com](mailto:jstraus@fbtlaw.com)

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Humana, Inc.  
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Louisville, KY 40202  
(502) 580-3707  
(502) 508-3707 (fax)  
[ctodoroff@humana.com](mailto:ctodoroff@humana.com)

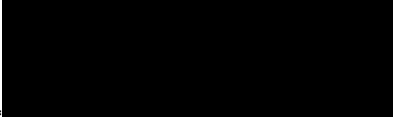


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(502) 587-6391 (fax)  
[mward@stites.com](mailto:mward@stites.com)



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Louisville, KY 40202  
(502) 562-7292  
(502) 589-0309 (fax)  
[cyoung@wyattfirm.com](mailto:cyoung@wyattfirm.com)

2-year term beginning in odd-  
numbered years

December 31, 2018



<p><b>Louisville Black Lawyers Association:</b></p> <p>Tanisha A. Hickerson, Esq.  Quintairos, Prieto, Wood &amp; Boyer, P.A.  9300 Shelbyville Road, Suite 400  Louisville, KY 40222  (502) 423-6390  (502) 423-6391 (fax)  <a href="mailto:thickerson@qpwbllaw.com">thickerson@qpwbllaw.com</a></p>	<p>2-year term beginning in even-numbered years</p>	<p>December 31, 2017</p>
<p><b>ACLU of Kentucky:</b></p> <p>Rebecca O'Neill, Esq.  Kentucky Refugee Ministries  969B Cherokee Road  Louisville, KY 40204  (502) 479-9180 x10  <a href="mailto:boneill@kyrm.org">boneill@kyrm.org</a></p>	<p>2-year term beginning in even-numbered years</p>	<p>December 31, 2017</p>
<p><b>Brandeis School of Law:</b></p> <p>Professor Richard H. Nowka  113 Spruce Lane  Louisville, KY 40207  (502) 896-2749  (502) 852-0862 (fax)  <a href="mailto:rick.nowka@louisville.edu">rick.nowka@louisville.edu</a></p>	<p>2-year term beginning in odd-numbered years</p>	<p>December 31, 2018</p>
<p><b>NAACP:</b></p> <p>Michael Brooks  </p>	<p>2-year term beginning in odd-numbered years</p>	<p>December 31, 2018</p>
<p><b>Women Lawyers Association of Jefferson County:</b></p> <p>Patricia Van Houten  </p>	<p>2-year term beginning in even-numbered years</p>	<p>December 31, 2017</p>
<p><b>Bullitt County Bar Association:</b></p> <p>J. Scott Wantland, Esq.  P.O. Box 515  Shepherdsville, KY 40165  </p>	<p>2-year term beginning in even-numbered years</p>	<p>December 31, 2017</p>

<b>Hardin County Bar Association:</b>  Cassie Yates Clagett Aldridge & Aldridge 600 W. Main Street, Ste. 500 Louisville, KY 40202 (502) 855-7580 cassie@aldridgeandaldridge.com	2-year term beginning in even-numbered years	December 31, 2017
<b>Grayson/Breckinridge/Meade Counties Bar Association:</b>  J. Brannon Dupree Stone Law Office, PLLC 469 E. Broadway Brandenburg, KY 40108 	2-year term beginning in even-numbered years	December 31, 2017
<b>Wilson County Bar Association:</b>  Shelly Ann Kamei Shelly Ann Kamei Law Offices, PLLC P.O. Box 1427 Bardstown, KY 40004 (502) 348-3198 shelly@kameilaw.com	2-year term beginning in even-numbered years	December 31, 2017
<b>Oldham/Henry Counties Bar Association:</b>  Robert A. Riley, Esq. (Secretary) James & Wells, P.S.C. 113 W. Main Street LaGrange, KY 40031 (502) 225-4770 (502) 225-4746 (fax) rrileylaw@yahoo.com	2-year term beginning in even-numbered years	December 31, 2017
<b>Shelby County Bar Association:</b>  William F. Stewart, Esq. William F. Stewart, PLLC Jason D. Fowler, PLLC 310 Main Street Shelbyville, KY 40065 (502) 633-0035 (502) 647-0095 (fax) wfstewartlaw@bellsouth.net	2-year term beginning in even-numbered years	December 31, 2017
<b>A Group Whose Mission is to Assist and Support Immigrants:</b>  Pavel Reyes Valdes 	2-year term beginning in odd-numbered years	December 31, 2018



**A Group Whose Mission is to Assist and Support Seniors:**

Jo Ann Orr



2-year term beginning in odd-numbered years

December 31, 2018

**Legal Aid Society, Inc.**

**Legal Services Corporation  
Grant Recipient No. 618010**

**Year Ended December 31, 2015**

**Legal Aid Society, Inc.**

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December 31, 2015

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## **Independent Auditor's Report**

Board of Directors  
**Legal Aid Society, Inc.**

### **Report on the Financial Statements**

We have audited the accompanying financial statements of Legal Aid Society, Inc. (the "Society") which comprise the statement of financial position as of December 31, 2015, and the related statements of activities and changes in net assets, functional expenses and cash flows for the year then ended, and the related notes to the financial statements.

### **Management's Responsibility for the Financial Statements**

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### **Auditor's Responsibility**

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

**Kentucky**  
**Indiana**  
**Ohio**

**Mountjoy Chilton Medley LLP**

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## **Independent Auditor's Report (Continued)**

### **Opinion**

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Society as of December 31, 2015, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

### **Report on Summarized Comparative Information**

We have previously audited the Legal Aid Society, Inc.'s 2014 financial statements, and our report dated April 30, 2015, expressed an unmodified opinion on those financial statements. In our opinion, the summarized comparative information presented herein as of and for the year ended December 31, 2014, is consistent, in all material respects, with the audited financial statements from which it has been derived.

### **Other Matters**

#### *Other Information*

Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The additional information on pages 12 through 14 is presented for the purpose of additional analysis and is not a required part of the financial statements. The schedule of expenditures of federal awards is also presented for purposes of additional analysis as required by the audit requirements of Title 2 U.S. Code of Federal Regulations (CFR) Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance), and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

### **Other Reporting Required by *Government Auditing Standards***

In accordance with *Government Auditing Standards*, we have also issued our report dated April 28, 2016, on our consideration of the Society's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering Legal Aid Society, Inc.'s internal control over financial reporting and compliance.



Jeffersonville, Indiana  
April 28, 2016

**Legal Aid Society, Inc.**  
**Statement of Financial Position**  
**December 31, 2015**

	<u>2015</u>	<u>Comparative Only 2014</u>
<b>Assets</b>		
Assets		
Cash and cash equivalents	\$ 1,128,325	\$ 765,379
Cash in escrow, client deposits	65,004	47,818
Certificates of deposit	949,902	967,942
Investments	27,008	26,801
Grants and contracts receivable	492,884	478,163
Prepaid expenses and other assets	46,157	56,295
Property and equipment, net	59,504	71,296
Total Assets	<u>\$ 2,768,784</u>	<u>\$ 2,413,694</u>
<b>Liabilities and Net Assets</b>		
Liabilities		
Accounts payable	\$ 57,127	\$ 30,216
Client deposits	65,004	47,818
Accrued rent	35,541	65,604
Accrued vacation and benefits	191,619	193,231
Other current liabilities	54,806	26,275
Total Liabilities	404,097	363,144
Net Assets		
Unrestricted		
Available for operations	1,582,939	1,257,855
Invested in property and equipment	59,504	71,296
Board designated - operating reserve	649,110	649,110
Total Unrestricted Net Assets	2,291,553	1,978,261
Temporarily restricted		
Legal Services Corporation	21,717	44,984
Other	28,138	4,070
Total Temporarily Restricted Net Assets	49,855	49,054
Permanently restricted	23,279	23,235
Total Net Assets	<u>2,364,687</u>	<u>2,050,550</u>
Total Liabilities and Net Assets	<u>\$ 2,768,784</u>	<u>\$ 2,413,694</u>

See accompanying notes.

**Legal Aid Society, Inc.**  
**Statement of Activities and Changes in Net Assets**  
**Year Ended December 31, 2015**

	2015					Comparative
	Unrestricted	Temporarily Restricted		Permanently Restricted	Total	Only 2014 Total
		LSC	Other			
<b>Support, Revenue, and Gains</b>						
Grants and contracts	\$ 144,840	\$ 1,324,022	\$ 1,326,415	\$ -	\$ 2,795,277	\$ 2,656,885
Contributions	342,154	-	-	-	342,154	304,011
Special event revenue	59,587	-	-	-	59,587	50,677
Filing fees	627,804	-	-	-	627,804	630,575
Interest on Lawyer Trust Accounts	173,706	-	-	-	173,706	96,560
Donated legal services	-	443,770	-	-	443,770	431,150
Interest income	8,131	-	-	44	8,175	7,368
(Loss) gain on investments	(402)	-	-	-	(402)	188
Gain on disposal of assets	110	-	-	-	110	-
Rent income	15,075	-	-	-	15,075	15,075
Miscellaneous	4,149	-	46,668	-	50,817	30,447
Net assets released from restrictions	3,140,074	(1,791,059)	(1,349,015)	-	-	-
<b>Total Support, Revenue, and Gains</b>	<b>4,515,228</b>	<b>(23,267)</b>	<b>24,068</b>	<b>44</b>	<b>4,516,073</b>	<b>4,222,936</b>
<b>Expenses and Losses</b>						
Program services	3,685,116	-	-	-	3,685,116	3,444,936
Management and general	390,582	-	-	-	390,582	381,438
Fund-raising	126,238	-	-	-	126,238	109,698
<b>Total Expenses and Losses</b>	<b>4,201,936</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>4,201,936</b>	<b>3,936,072</b>
<b>Changes in Net Assets</b>	<b>313,292</b>	<b>(23,267)</b>	<b>24,068</b>	<b>44</b>	<b>314,137</b>	<b>286,864</b>
<b>Net Assets, Beginning of Year</b>	<b>1,978,261</b>	<b>44,984</b>	<b>4,070</b>	<b>23,235</b>	<b>2,050,550</b>	<b>1,763,686</b>
<b>Net Assets, End of Year</b>	<b>\$ 2,291,553</b>	<b>\$ 21,717</b>	<b>\$ 28,138</b>	<b>\$ 23,279</b>	<b>\$ 2,364,687</b>	<b>\$ 2,050,550</b>

See accompanying notes.

**Legal Aid Society, Inc.**  
**Statement of Functional Expenses**  
**Year Ended December 31, 2015**

	2015				Comparative Only 2014
	Program Services	Management and General	Fundraising	Total	Total
<b>Personnel Expenses</b>					
Lawyers	\$ 1,312,095	\$ 101,441	\$ 16,143	\$ 1,429,679	\$ 1,368,442
Paralegals	266,930	-	48,520	315,450	241,688
Other	146,218	140,766	9,248	296,232	339,764
Employee benefits	747,661	104,965	32,030	884,656	853,731
<b>Total Personnel Expenses</b>	<b>2,472,904</b>	<b>347,172</b>	<b>105,941</b>	<b>2,926,017</b>	<b>2,803,625</b>
<b>Non-personnel Expenses</b>					
Rent, utilities, and building maintenance	238,771	24,017	8,457	271,245	264,752
Equipment expense	16,518	1,661	585	18,764	20,126
Office supplies and expense	28,234	2,840	1,000	32,074	26,939
Telephone	18,278	1,838	647	20,763	18,650
Travel	13,566	1,365	-	14,931	17,333
Training	20,876	-	-	20,876	24,595
Insurance	25,566	2,572	905	29,043	27,376
Library	22,720	2,285	805	25,810	25,366
Dues and fees	16,259	1,635	576	18,470	19,333
Litigation	20,634	-	-	20,634	29,033
Audit and payroll processing	16,141	1,623	572	18,336	19,401
Consultants and contract services	240,433	-	-	240,433	118,967
Advertising and public relations	6,447	-	5,492	11,939	6,973
Donated services expense	443,770	-	-	443,770	431,150
Depreciation	22,735	-	-	22,735	25,890
Office of Kentucky Legal Services Programs	46,532	-	-	46,532	42,741
Bank and investment fees	-	2,092	736	2,828	2,839
Other	14,732	1,482	522	16,736	10,983
<b>Total Non-personnel Expenses</b>	<b>1,212,212</b>	<b>43,410</b>	<b>20,297</b>	<b>1,275,919</b>	<b>1,132,447</b>
<b>Total Functional Expenses</b>	<b>\$ 3,685,116</b>	<b>\$ 390,582</b>	<b>\$ 126,238</b>	<b>\$ 4,201,936</b>	<b>\$ 3,936,072</b>

See accompanying notes.



**Legal Aid Society, Inc.**  
**Statement of Cash Flows**  
**Year Ended December 31, 2015**

	<u>2015</u>	<u>Comparative Only 2014</u>
<b>Cash Flows from Operating Activities</b>		
Cash received from grants, contracts, rent, and filing fees	\$ 3,597,141	\$ 3,169,094
Cash paid to suppliers, employees, and other	(3,701,526)	(3,481,047)
Contributions received	342,154	304,011
Interest income received	8,175	7,368
Other income received	110,404	81,124
	<u>356,348</u>	<u>80,550</u>
<b>Net Cash Provided by Operating Activities</b>		
<b>Cash Flows from Investing Activities</b>		
Purchases of property and equipment	(10,943)	(11,507)
Sale (purchase) of certificates of deposit	18,040	(14,825)
(Purchases) sale of investments	(499)	3,386
	<u>6,598</u>	<u>(22,946)</u>
<b>Net Cash Provided (Used) by Investing Activities</b>		
<b>Net Increase in Cash and Cash Equivalents</b>	362,946	57,604
<b>Cash and Cash Equivalents, Beginning of Year</b>	<u>765,379</u>	<u>707,775</u>
<b>Cash and Cash Equivalents, End of Year</b>	<u>\$ 1,128,325</u>	<u>\$ 765,379</u>
<b>Reconciliation of Changes in Net Assets to Net Cash Provided by Operating Activities</b>		
Changes in net assets	\$ 314,137	\$ 286,864
Adjustments to reconcile changes in net assets to net cash provided by operating activities		
Depreciation	22,735	25,890
Loss (gain) on investments	402	(188)
Gain on disposal of assets	(110)	-
(Increase) decrease in:		
Grants and contracts receivable	(14,721)	(230,001)
Other current assets	10,138	18,308
Increase (decrease) in:		
Accounts payable	26,911	(7,818)
Accrued rent	(30,063)	(24,420)
Accrued vacation and benefits	(1,612)	10,990
Other current liabilities	28,531	925
	<u>\$ 356,348</u>	<u>\$ 80,550</u>
<b>Net Cash Provided by Operating Activities</b>		

See accompanying notes.

**Legal Aid Society, Inc.**  
**Notes to Financial Statements**  
**December 31, 2015**

**Note A - Nature of Organization and Operations**

Legal Aid Society, Inc. (the "Society"), is a non-profit corporation organized for the purpose of providing legal assistance in non-criminal proceedings or matters to persons financially unable to afford such assistance in Louisville, Kentucky and the surrounding areas. The Society is primarily funded through grants from Legal Services Corporation ("LSC"), other grants and other contributions.

**Note B - Summary of Significant Accounting Policies**

1. Basis of Accounting and Presentation: The financial statements are prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America ("GAAP"). The Accounting Codification ("ASC") as produced by the Financial Accounting Standards Board ("FASB") is the sole source of authoritative GAAP for non-governmental entities. Additionally, the Society has reported information regarding its financial position and activities according to three classes of net assets: unrestricted net assets, temporarily restricted net assets, and permanently restricted net assets, when applicable. A description of the three net asset categories follows:

*Unrestricted Net Assets* include the following:

Available for Operations - These net assets represent the portion of expendable funds available for support of the operation of the Society.

Invested in Property and Equipment - These net assets represent cumulative resources expended for property and equipment, less the accumulated depreciation recorded on the property and equipment and net of related debt.

Board Designated - These unrestricted net assets have board imposed limitations on their use. Although the board could release or review the limitations on their use in the future to the extent not externally restricted, there is no intent to do so. The board passed a resolution to maintain a cash reserve of a minimum of two months current operating expenses for fiscal responsibility. See Note H for additional information.

*Temporarily Restricted Net Assets* include grants for which grantor imposed restrictions or time restrictions have not been met.

*Permanently Restricted Net Assets* include amounts for which the donor has stipulated that the corpus be invested in perpetuity and only the income be made available for program operations in accordance with donor restrictions.

2. Use of Estimates: The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities (and disclosure of contingent assets and liabilities, if any) at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.
3. Subsequent Events: The Society has evaluated events and transactions for potential recognition or disclosure through the date of the Independent Auditor's Report, which represents the date the financial statements were available to be issued.
4. Sources of Revenue: The Society receives revenue from state and county governments, public campaigns, direct contributions, and work contract services. All contributions are considered to be available for unrestricted use unless specifically restricted by the donor.

**Note B - Summary of Significant Accounting Policies (Continued)**

5. Cash and Cash Equivalents: The Society considers all short-term investments with an original maturity of three months or less to be cash equivalents. The Society places its cash with financial institutions, and at times cash deposits may exceed the coverage provided by the Federal Deposit Insurance Corporation ("FDIC"). The Society has not experienced any losses in such accounts and believes it is not exposed to any credit risk on bank deposits.
6. Certificates of Deposit: The Society invested in certificates of deposits with initial maturities exceeding three months. These short-term deposits are stated at cost plus accrued interest.
7. Investments: Investments consist of assets held by the Community Foundation of Louisville. The net change in unrealized gains or losses as well as interest income is included in the Statements of Activities and Changes in Net Assets. Donated securities, if any, are recorded as contributions at their fair value at date of gift. See Note C for additional information.
8. Grants and Contracts/Allowance: The Society recognizes grant funds from LSC as support on a straight-line basis over the grant period. In accordance with the terms of the grant agreement with LSC, the Society may, in future periods, use unspent funds limited to 10% of the previous year's LSC grant, or 25% with a LSC waiver under certain special circumstances, provided that expenses incurred are in compliance with the specified terms of the LSC grant. Unspent funds in excess of the 25% limit may be required to be returned to the LSC. LSC may, at its discretion, request reimbursement for expenses or return of funds, or both, as a result of noncompliance by the Society with the terms of the LSC grant. In addition, if the Society terminates its LSC contract activities, all unused funds are to be returned to LSC.

All other material grants are recognized as support when eligible costs are incurred. Grants and contracts receivable represent amounts billed to the grant providers, based upon either eligible costs incurred or units of service provided.

Provisions for doubtful grant and contract receivables are recorded to the extent management estimates reimbursement requests may be denied based upon historical trends. At December 31, 2015, management determined all accounts were collectible; therefore, there is no allowance for doubtful accounts. Receivables are charged to the allowance when the reimbursement required is denied. Amounts are considered past due based upon the grant agreement or contract.

The Society does not have access to collateral and does not charge interest on receivables.

9. Property and Equipment: Property and equipment are recorded at cost, or if donated, at fair value at the date of donation. Maintenance and repairs are charged to expense when incurred. Major expenditures and those which substantially increase useful lives are capitalized. Gain or loss on the retirement or disposition of assets is credited or charged to operations and the respective costs and accumulated depreciation are eliminated from the accounts.

The cost of property and equipment is depreciated over the estimated useful lives of the related assets using the straight-line method. For purposes of computing depreciation and amortization, the estimated useful lives of the assets range from 3 to 7 years. Amortization of leasehold improvements are computed on the straight-line method over the shorter of the estimated useful lives ranging from 7 to 10 years or the remaining term of the lease.

Property and equipment are considered to be owned by the Society while used in current programs. However, LSC maintains a reversionary interest in all non-expendable property purchased in whole or in part with LSC funds, as well as the right to determine the use of any proceeds from the sale of such assets.

**Legal Aid Society, Inc.**  
**Notes to Financial Statements (Continued)**  
**December 31, 2015**

**Note B - Summary of Significant Accounting Policies (Continued)**

10. Court Awarded Attorney Fees: Attorney fees awarded to the Society are allocated to the programs which incurred the litigation costs and expenses. The fees are recognized as support upon collection. No attorney fees were collected in 2015.
11. Functional Allocation of Expenses: The costs of providing the various programs and other activities have been summarized on a functional basis in the statements of activities and functional expenses. Accordingly, certain costs have been allocated among the programs and supporting services benefited.
12. Donated Services: Donated legal services are reflected as support and revenue with a corresponding expense of the same amount. The amounts are computed at hourly rates established by the volunteering lawyer.
13. Advertising: The Society expenses advertising costs as incurred. Total advertising expense for the year ended December 31, 2015 was \$11,939.
14. Income Taxes: The Society has received a determination letter from the Internal Revenue Service indicating that it qualifies as a tax-exempt organization under section 501(c)(3) of the Internal Revenue Code. Accordingly, no provision for income taxes is included in the financial statements.

The Society recognizes uncertain income tax positions using the "more-likely-than-not" approach as defined in the ASC. No liability for uncertain income tax positions has been recorded in the accompanying financial statements.

**Note C - Fair Value Measurements**

FASB ASC 820, *Fair Value Measurements and Disclosures*, provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurements) and the lowest priority to unobservable inputs (level 3 measurements).

The three levels of the fair value hierarchy under FASB ASC 820 are described as follows:

- Level 1 - Quoted market prices in active markets for identical assets or liabilities. An active market for the asset or liability is a market in which the transaction for the asset or liability occurs with sufficient frequency and volume to provide pricing information on an ongoing basis.
- Level 2 - Observable market-based inputs or unobservable inputs that are corroborated by market data, such as quoted prices for similar assets or liabilities or model-derived valuations.
- Level 3 - Unobservable inputs that are supported by little or no market activity and are significant to the fair value of the asset or liabilities. Level 3 includes values determined using pricing models or similar techniques reflecting the Society's own assumptions.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Society believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

**Legal Aid Society, Inc.**  
**Notes to Financial Statements (Continued)**  
**December 31, 2015**

**Note C - Fair Value Measurements (Continued)**

Following is a description of the valuation methodologies used for the assets and liabilities measured at fair value. There have been no changes in the methodologies used to determine fair value at December 31, 2015.

*Investments held by the Community Foundation of Louisville:* These investments are valued using the Net Asset Value ("NAV") provided by the Foundation. The NAV is based on the value of the underlying investment assets.

Fair values of financial assets measured on a recurring basis at December 31, 2015 are as follows:

	<u>Fair Value</u>	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>
Investments	<u>\$ 27,008</u>	<u>\$ -</u>	<u>\$ 27,008</u>	<u>\$ -</u>

**Note D - Property and Equipment**

Property and equipment of the Society at December 31, 2015 are summarized as follows:

	<u>2015</u>
Law library	\$ 9,130
Leasehold improvements	16,200
Equipment	480,496
Furniture and fixtures	<u>84,381</u>
Total	590,207
Less accumulated depreciation	<u>(530,703)</u>
Total Property and Equipment	<u>\$ 59,504</u>

**Note E - Legal Services Corporation Grants**

The Society's operations are funded partially through a grant from LSC (grant 618010) in the amount of \$1,407,518, for the year ended December 31, 2015 which includes \$83,496 for the Pro Bono Innovation Fund. In accordance with LSC regulations, no less than 12.5% of the basic LSC award is designated for Private Attorney Involvement ("PAI").

**Note F - Lease Commitments**

The Society leases office space under an operating lease. The original lease expires October 2016, with monthly lease payments ranging from \$14,513 to \$20,559. The Society accrues expense on the lease in an amount such that the total rent expense under the lease will be recognized ratably over the original ten-year term. The Society has the option to renew the lease for three additional five-year terms. In August 2013, the Society entered into an agreement to extend the lease through October 2023, with monthly payments beginning November 2016, ranging from \$20,963 to \$23,881. The addendum to the lease also provides the Society with a \$25,000 allowance for renovation and refurbishment of the office space. The lease may be terminated in the event funding of the Society is discontinued by LSC.

**Legal Aid Society, Inc.**  
**Notes to Financial Statements (Continued)**  
**December 31, 2015**

**Note F - Lease Commitments (Continued)**

On July 1, 2008, the Society commenced subleasing a portion of the office space to another institution under a noncancelable agreement, which expires June 30, 2016. The agreement requires annual rentals of \$15,075 per year.

The Society also leases office equipment under operating lease agreements. Monthly rentals of these leases range from approximately \$250 to \$650. The leases expire on various dates through September 2017.

The following is a schedule by year of future minimum lease payment required under operating leases, net of sublease income.

	<u>Rental Payments</u>	<u>Sublease Income</u>	<u>Net Rentals</u>
Year Ending December 31,			
2016	\$ 247,519	\$ 7,538	\$ 239,981
2017	252,356	-	252,356
2018	257,194	-	257,194
2019	262,031	-	262,031
2020	266,869	-	266,869
Thereafter	<u>792,900</u>	<u>-</u>	<u>792,900</u>
Total	<u>\$ 2,078,869</u>	<u>\$ 7,538</u>	<u>\$ 2,071,331</u>

Rent expense for office space totaled \$208,587 for the year ended December 31, 2015, and net rent expense, after deducting rental income of \$15,075 for the year ended December 31, 2015, from subleases, was \$193,512.

**Note G - Retirement Plan**

The Society has elected to participate in the County Employees Retirement System (CERS), pursuant to KRS 78.530 administered by the Board of Trustees of the Kentucky Retirement Systems. This is a cost sharing, multiple employer defined benefit pension plan, which covers all eligible full-time employees and provides for retirement, disability and death benefits to plan members. Benefit contributions and provisions are established by statute.

Covered employees are required to contribute 5.00% of their salary to the plan. Covered employees who begin participation on or after September 1, 2008 are required to contribute 6.00% of their salary to be allocated as follows: 5.00% to the member's account and 1.00% to the KRS insurance fund. The Society's contribution rate for the employees was 17.67% and decreased to 17.06% beginning July 2015.

The Society's contribution to CERS for the year ended December 31, 2015 amounted to \$311,819.

**Note H - Board Mandated Cash Operating Reserve**

The Board of Legal Aid Society mandates that the Society maintain an operating reserve at a minimum of two months operating expenses (less non-cash expenses such as donated services and depreciation), but no less than the prior year calculation. At December 31, 2015, the mandated operating reserve was \$649,110. This reserve is included in the certificates of deposit of the Society.

## **Additional Information**

**Legal Aid Society, Inc.**  
**Schedules of Support, Revenue, and Gains, and Expenses**  
**and Losses by Funding Source - Unrestricted Net Assets**  
**Year Ended December 31, 2015**

	2015					Comparative
	Unrestricted					Only
	Access to Justice	Annual Campaign	Filing Fees	Miscellaneous Unrestricted	Total	Total
<b>Support, Revenue, and Gains</b>						
Grants and contracts	\$ 144,840	\$ -	\$ -	\$ -	\$ 144,840	\$ 144,840
Contributions	-	342,154	-	-	342,154	303,927
Special event revenue	-	59,587	-	-	59,587	50,677
Filing fees	-	-	627,804	-	627,804	630,575
Interest on Lawyers Trust Accounts	-	-	-	173,706	173,706	96,560
Interest income	-	-	-	8,131	8,131	7,333
(Loss) Gain on investments	-	-	-	(402)	(402)	188
Gain on sale of investments	-	-	-	110	110	-
Rent income	-	-	-	15,075	15,075	15,075
Miscellaneous	-	-	-	4,149	4,149	2,490
<b>Total Support, Revenue, and Gains</b>	<b>144,840</b>	<b>401,741</b>	<b>627,804</b>	<b>200,769</b>	<b>1,375,154</b>	<b>1,251,665</b>
<b>Expenses and Losses</b>						
Due and fees	-	-	3,691	-	3,691	3,780
Depreciation	-	-	22,735	-	22,735	25,890
Office of KY Legal Services Program	-	-	46,532	-	46,532	42,741
Miscellaneous office expense	-	-	-	-	-	75
<b>Total Expenses and Losses</b>	<b>-</b>	<b>-</b>	<b>72,958</b>	<b>-</b>	<b>72,958</b>	<b>72,486</b>
<b>Support, Revenue, and Gains Over Expenses and Losses</b>	<b>144,840</b>	<b>401,741</b>	<b>554,846</b>	<b>200,769</b>	<b>1,302,196</b>	<b>1,179,179</b>
<b>Subsidy from unrestricted funds for excess expenditures</b>	<b>(144,840)</b>	<b>(401,741)</b>	<b>(241,554)</b>	<b>(200,769)</b>	<b>(988,904)</b>	<b>(898,678)</b>
<b>Total Support, Revenue, and Gains Over Expenses and Losses and Subsidy</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 313,292</b>	<b>\$ -</b>	<b>\$ 313,292</b>	<b>\$ 280,501</b>



**Legal Aid Society, Inc.**  
**Schedules of Support and Revenue, Expenses,**  
**and Changes in Net Assets for LSC Funds**  
**Year Ended December 31, 2015**

	2015				Comparative	
	Basic	Private Attorney Involvement	Technology Grant 14002	Technology Grant 14003	Total	Only 2014
<b>Support and Revenue</b>						
Legal Services Corporation	\$ 1,158,519	\$ 165,503	\$ -	\$ -	\$ 1,324,022	\$ 1,344,263
Donated legal services	-	443,770	-	-	443,770	431,150
<b>Total Support and Revenue</b>	<b>1,158,519</b>	<b>609,273</b>	<b>-</b>	<b>-</b>	<b>1,767,792</b>	<b>1,775,413</b>
<b>Expenses</b>						
Personnel	671,744	113,359	4,968	6,098	796,169	675,367
Fringe benefits	291,111	49,126	2,153	2,643	345,033	295,698
Rent, utilities, and building maintenance	82,089	18,215	406	744	101,454	87,424
Equipment Expenses	5,513	1,223	47	50	6,833	6,646
Office Supplies and expense	7,766	4,489	38	70	12,363	10,254
Telephone	6,108	1,355	30	55	7,548	5,973
Travel	4,061	916	27	37	5,041	4,827
Training	2,743	984	323	2,961	7,011	7,372
Insurance	8,789	1,950	43	80	10,862	9,039
Library	7,599	1,686	38	69	9,392	8,123
Dues and fees	-	1,322	18	33	1,373	310
Litigation	591	2,254	3	5	2,853	7,432
Audit and payroll services	5,549	1,231	27	50	6,857	6,406
Consultants and contract services	23,892	25,847	118	19,250	69,107	23,770
Advertising and public relations	3,613	802	18	33	4,466	2,336
Donated services expenses	-	443,770	-	-	443,770	431,150
Bank Fees	856	190	4	8	1,058	938
Miscellaneous office expense	4,228	2,048	22	38	6,336	3,558
<b>Total Expenses</b>	<b>1,126,252</b>	<b>670,767</b>	<b>8,283</b>	<b>32,224</b>	<b>1,837,526</b>	<b>1,586,623</b>
<b>Support and Revenue Over (Under) Expenses</b>	<b>32,267</b>	<b>(61,494)</b>	<b>(8,283)</b>	<b>(32,224)</b>	<b>(69,734)</b>	<b>188,790</b>
Subsidy from unrestricted funds for excess expenditures	(32,267)	61,494	-	17,240	46,467	(143,806)
<b>Support and Revenue Over (Under) Expenses and Subsidy</b>	<b>-</b>	<b>-</b>	<b>(8,283)</b>	<b>(14,984)</b>	<b>(23,267)</b>	<b>44,984</b>
Net Assets, Beginning of Year	-	-	30,000	14,984	44,984	-
<b>Net Assets, End of Year</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 21,717</b>	<b>\$ -</b>	<b>\$ 21,717</b>	<b>\$ 44,984</b>

Legal Aid Society, Inc.  
Schedules of Support and Revenue, and Expenses  
by Funding Source - Other Restricted Net Assets  
Year Ended December 31, 2015

	Temporarily Restricted - Other							Comparative Only	
	Support for Families	Preserving the Home	Maintaining Economic Stability	Safety, Stability & Health	Populations with Special Vulnerabilities	Rural	Community Development	Total	2014 Total
<b>Support and Revenue</b>									
Grants									
Louisville Metro Government	\$ -	\$ 72,651	\$ -	\$ -	\$ 46,274	\$ -	\$ -	\$ 118,925	\$ 247,405
IOLTA Bank of America	-	125,108	-	-	-	-	-	125,108	-
KIPDA	-	-	-	40,020	-	-	-	40,020	52,588
LTADD	-	-	-	22,130	-	-	-	22,130	4,998
Metro United Way	136,541	-	36,654	-	32,840	-	-	206,035	212,033
Norton Foundation	-	-	-	-	-	-	-	-	10,000
Internal Revenue Service	-	-	50,000	-	-	-	-	50,000	50,476
PNC Foundation	-	10,000	-	-	-	-	-	10,000	10,000
Barth Foundation	-	5,000	-	-	-	-	-	5,000	5,000
Gheens Foundation	-	-	-	-	10,000	-	-	10,000	10,000
Louisville Bar Foundation	-	-	-	-	34,352	-	-	34,352	25,000
Humana Foundation	-	-	-	10,000	-	-	-	10,000	10,000
Department of Human Services	-	-	63,650	-	-	-	-	63,650	-
Doctors and Lawyers for Kids	-	-	-	-	51,942	-	-	51,942	62,416
Wyatt, Tarrant, Combs	66,888	-	-	-	-	-	-	66,888	62,966
CHIPRA	-	-	-	16,063	-	-	-	16,063	80,377
VAWA	109,863	-	-	-	-	-	-	109,863	109,863
Verizon	10,000	-	-	-	-	-	-	10,000	-
Sisters of Charity/Nazareth	-	-	10,000	-	-	-	-	10,000	10,000
Equal Justice Works- Americorps	-	-	24,251	-	48,500	-	-	72,751	35,968
Pro Bono Innovation Fund	-	-	-	-	83,496	-	-	83,496	-
Department of Justice Case	148,142	-	-	-	-	-	-	148,142	123,872
HIV/AIDS legal project funds	-	-	-	-	62,050	-	-	62,050	44,820
Other	19,999	-	7,019	5,000	7,150	7,500	-	46,668	32,027
<b>Total Support and Revenue</b>	<b>491,433</b>	<b>212,759</b>	<b>191,574</b>	<b>93,213</b>	<b>376,604</b>	<b>7,500</b>	<b>-</b>	<b>1,373,083</b>	<b>1,199,809</b>
<b>Expenses</b>									
Personnel	278,077	201,613	230,154	51,650	298,625	125,749	59,325	1,245,193	1,274,527
Fringe benefits	120,509	87,372	99,741	22,383	129,414	54,495	25,709	539,623	558,033
Rent, utilities, and building maintenance	42,570	23,597	29,637	9,480	44,282	14,812	5,412	169,790	177,328
Equipment expense	2,859	1,585	2,518	637	2,974	995	363	11,931	13,480
Office supplies and expense	4,127	2,232	3,062	897	7,479	1,401	512	19,710	16,685
Telephone	3,167	1,756	2,205	705	3,877	1,102	403	13,215	12,677
Travel	2,106	1,167	1,642	938	2,653	733	650	9,889	12,506
Training	6,940	789	2,230	317	2,914	495	181	13,866	17,223
Insurance	4,558	2,527	3,173	1,015	4,741	1,586	579	18,179	18,337
Library	4,643	2,184	2,743	877	4,099	1,371	501	16,418	17,243
Dues and fees	3,742	2,179	1,990	425	4,171	664	243	13,414	15,243
Litigation	12,149	251	4,225	68	943	107	39	17,782	21,601
Audit and payroll services	2,878	1,595	2,003	641	2,993	1,001	366	11,477	12,995
Consultants and contract services	77,299	6,868	8,626	2,759	69,888	4,311	1,575	171,326	95,197
Advertising and public relations	1,874	1,039	1,304	417	1,949	652	238	7,473	4,637
Bank Fees	444	246	309	99	462	154	56	1,770	1,901
Miscellaneous office expense	3,203	1,215	2,047	528	2,361	763	279	10,396	7,350
<b>Total Expenses</b>	<b>571,145</b>	<b>338,215</b>	<b>397,609</b>	<b>93,836</b>	<b>583,825</b>	<b>210,391</b>	<b>96,431</b>	<b>2,291,452</b>	<b>2,276,963</b>
<b>Support and Revenue Under Expenses</b>	<b>(79,712)</b>	<b>(125,456)</b>	<b>(206,035)</b>	<b>(623)</b>	<b>(207,221)</b>	<b>(202,891)</b>	<b>(96,431)</b>	<b>(918,369)</b>	<b>(1,077,154)</b>
<b>Subsidy from unrestricted funds for excess expenditures</b>	<b>79,712</b>	<b>125,456</b>	<b>230,103</b>	<b>623</b>	<b>207,221</b>	<b>202,891</b>	<b>96,431</b>	<b>942,437</b>	<b>1,042,568</b>
<b>Support and Revenue Under Expenses and Subsidy</b>	<b>-</b>	<b>-</b>	<b>24,068</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>24,068</b>	<b>(34,586)</b>
<b>Net Assets, Beginning of Year</b>	<b>-</b>	<b>-</b>	<b>4,070</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>4,070</b>	<b>38,656</b>
<b>Net Assets, End of Year</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 28,138</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 28,138</b>	<b>\$ 4,070</b>

## **Supplementary Information**

**Legal Aid Society, Inc.**  
**Schedule of Expenditures of Federal Awards**  
**Year Ended December 31, 2015**

Federal Grantor/Pass Through Grantor/Program or Cluster Title	Pass- Through Number	Federal CFDA Number	Federal Grant Award	Federal Expenditures
<b>Federal Government Grant:</b>				
Legal Services Corporation		09.618010	\$ 1,407,518	\$ 1,407,518
Legal Services Corporation (technology - 14002)			89,661	-
Legal Services Corporation (technology - 14003)			89,984	-
Total from Legal Services Corporation			1,587,163	1,407,518
<b>Department of Treasury</b>				
Low Income Taxpayer Clinics		21.008	50,000	50,000
Total from Department of Treasury			50,000	50,000
<b>Department of Housing and Urban Development:</b>				
Passed through Louisville/Jefferson County Metro Government	1713-515			
Community Development Block Grant	2101-515			
Housing Opportunities for person with AIDS		14.241		
July 1, 2014 to June 30, 2015			38,500	14,607
July 1, 2014 to June 30, 2015			23,878	15,104
July 1, 2015 to June 30, 2016			36,000	16,563
Community Development Block Grant				
Emergency Shelter Grant		14.231		
July 1, 2014 to June 30, 2015			42,200	4,053
July 1, 2015 to June 30, 2016			45,000	16,100
Community Development Block Grant				
Tenant Counseling and Prevention		14.218		
July 1, 2014 to June 30, 2015			19,400	7,746
July 1, 2015 to June 30, 2016			19,000	8,715
Community Development Block Grant				
Foreclosure Clinics and Assistance		14.218		
July 1, 2014 to June 30, 2015			26,700	14,850
July 1, 2015 to June 30, 2016			26,700	11,387
Total passed through the Louisville/ Jefferson County Metro Government			277,378	109,125
Total from Department of Housing and Urban Development			277,378	109,125
<b>Department of Health and Human Services:</b>				
Passed through the Kentuckiana Regional Planning and Development Agency	PON2-725-13000002009-1			
Special Programs for Aging, Title III-B		93.044		
July 1, 2014 to June 30, 2015			21,900	15,000
July 1, 2015 to June 30, 2016			30,000	15,000
National Family Caregiver Support, Title III-E		93.052		
July 1, 2014 to June 30, 2015			7,500	1,197
July 1, 2015 to June 30, 2016			7,500	1,103
Total passed through the Kentuckiana Regional Planning and Development Agency			66,900	32,300
Passed through the Lincoln Trail Area Development District	725 1200001048 1			
Special Programs for Aging, Title VII Ombudsman		93.042		
July 1, 2014 to June 30, 2015			21,330	16,494
July 1, 2015 to June 30, 2016			21,330	5,636
Total passed through the Lincoln Trail Area Development District			42,660	22,130

**Legal Aid Society, Inc.**  
**Schedule of Expenditures of Federal Awards (Continued)**  
**Year Ended December 31, 2015**

Federal Grantor/Pass Through Grantor/Program or Cluster Title	Pass- Through Number	Federal CFDA Number	Federal Grant Award	Federal Expenditures
<b>Passed through Volunteers of America</b>				
HIV Care Formula Grant		93.917		
July 1, 2014 to June 30, 2015			7,500	-
July 1, 2015 to June 30, 2016			20,000	15,050
Supportive Services for Veteran Families		64.03		
July 1, 2015 to December 31, 2015			7,150	7,150
<b>Total passed through the Volunteers of America</b>			<u>34,650</u>	<u>22,200</u>
<b>Total from Department of Health and Human Services</b>			144,210	76,630
<b>Department of Justice:</b>				
Legal Assistance for Victims		16.524		
October 1, 2014 to September 30, 2017			496,430	148,142
<b>Passed through the Commonwealth of Kentucky Justice and Public Safety Cabinet</b>				
Violence Against Women Formula Grant		16.588		
VAWA-2013-LegalAi-00313				
January 1, 2015 to December 31, 2015			109,863	109,863
<b>Total passed through the Commonwealth of Kentucky Justice and Public Safety Cabinet</b>			109,863	109,863
Passed through the Equal Justice Works-Americorps		13NDHDC003	94.006	
August 1, 2014 to September 30, 2015			72,600	53,204
October 1, 2015 to September 30, 2016			72,600	19,547
<b>Total passed through Equal Justice Works-Americorps</b>			<u>145,200</u>	<u>72,751</u>
<b>Total from Department of Justice</b>			<u>751,493</u>	<u>330,756</u>
<b>Department of Labor:</b>				
Passed through Kentuckiana Works Employment and Training Grant				
Right Turn Face Forward		17.270		
September 1, 2014 to June 30, 2015			128,123	2,100
July 1, 2015 to June 30, 2016			128,123	1,915
<b>Total passed through Kentuckiana Works Employment and Training Grant</b>			256,246	4,015
<b>Total from Department of Labor</b>			<u>256,246</u>	<u>4,015</u>
<b>Total</b>			<u>\$ 3,066,490</u>	<u>\$ 1,978,044</u>

**Notes to Schedule of Expenditures of Federal Awards**

**Note 1 - Basis of Presentation**

**Reporting Entities:**

The accompanying schedule of expenditures of federal awards includes the federal grant activity of Legal Aid Society, Inc. and is presented on the accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of the Uniform Guidance. Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the basic financial statements.



**Independent Auditor's Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with *Government Auditing Standards***

Board of Directors  
**Legal Aid Society, Inc.**

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, the financial statements of Legal Aid Society, Inc., which comprise the statement of financial position as of December 31, 2015, and the related statements of activities and changes in net assets, functional expenses and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated April 28, 2016.

**Internal Control over Financial Reporting**

In planning and performing our audit, we considered Legal Aid Society, Inc.'s internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of Legal Aid Society, Inc.'s internal control. Accordingly, we do not express an opinion on the effectiveness of Legal Aid Society, Inc.'s internal control.

*A deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. *A material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. *A significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

**Independent Auditor's Report on Internal Control over Financial Reporting and on  
Compliance and Other Matters Based on an Audit of Financial Statements Performed  
in Accordance with *Government Auditing Standards* (Continued)**

**Compliance and Other Matters**

As part of obtaining reasonable assurance about whether Legal Aid Society, Inc.'s financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

**Purpose of this Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.



Jeffersonville, Indiana  
April 28, 2016



**Independent Auditor's Report on Compliance for Each Major Federal Program and  
Report on Internal Control over Compliance in Accordance with the Uniform Guidance**

Board of Directors  
**Legal Aid Society, Inc.**

**Report on Compliance for Each Major Federal Program**

We have audited Legal Aid Society, Inc.'s compliance with the types of compliance requirements described in the U.S. *Office of Management and Budget (OMB) Compliance Supplement* that could have a direct and material effect on each of Legal Aid Society, Inc.'s major federal programs for the year ended December 31, 2015. Legal Aid Society, Inc.'s major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

**Management's Responsibility**

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal programs.

**Auditor's Responsibility**

Our responsibility is to express an opinion on compliance for each of Legal Aid Society, Inc.'s major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. Code of Federal Regulations (CFR) Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about Legal Aid Society, Inc.'s compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of Legal Aid Society, Inc.'s compliance.

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**Kentucky**  
**Indiana**  
**Ohio**

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**Independent Auditor's Report on Compliance for Each Major Federal Program and  
Report on Internal Control over Compliance in Accordance with the Uniform Guidance (Continued)**

**Opinion on Each Major Federal Program**

In our opinion, Legal Aid Society, Inc. complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended December 31, 2015.

**Report on Internal Control over Compliance**

Management of Legal Aid Society, Inc. is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered Legal Aid Society, Inc.'s internal control over compliance with the types of requirements that could have a direct and material effect on each of the major federal programs to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each of the major federal programs and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of Legal Aid Society, Inc.'s internal control over compliance.

*A deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.



Jeffersonville, Indiana  
April 28, 2016

**Legal Aid Society, Inc.  
Schedule of Findings and Questioned Costs  
Year Ended December 31, 2015**

**A. Summary of Audit Results**

1. The auditor's report expresses an unmodified opinion on the financial statements of Legal Aid Society, Inc. for the year ended December 31, 2015.
2. No material weaknesses or significant deficiencies relating to the audit of the financial statements are reported in the Independent Auditor's Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with *Government Auditing Standards*.
3. No instances of noncompliance material to the financial statements of Legal Aid Society, Inc. that would be required to be reported in accordance with *Government Auditing Standards* were disclosed during the audit.
4. No material weaknesses or significant deficiencies relating to the audit of the major federal award programs are reported in the Independent Auditor's Report on Compliance for Each Major Federal Program and Report on Internal Control over Compliance in Accordance with the Uniform Guidance.
5. The auditor's report on compliance for the major federal award programs for Legal Aid Society, Inc. expresses an unmodified opinion.
6. The audit disclosed no audit findings required to be reported in accordance with 2 CFR 200.516(a).
7. The program tested as a major program was:

<u>Program Title</u>	<u>CFDA Number</u>
Legal Services Corporation (LSC)	09.618010

8. The threshold used for distinguishing between Type A and B programs was \$750,000.
9. Legal Aid Society, Inc. qualified as a low-risk auditee.

**B. Summary of Financial Statement Audit Results**

None

**C. Findings and Questioned Costs - Major Federal Awards Programs Audit**

None

**Legal Aid Society, Inc.**  
**Summary Schedule of Prior Audit Findings**  
**Year Ended December 31, 2015**

None.

**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

**2015**  
Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.  
Information about Form 990 and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**A For the 2015 calendar year, or tax year beginning**

**and ending**

**B** Check if applicable:

- Address change
- Name change
- Initial return
- Final return/terminated
- Amended return
- Application pending

**C** Name of organization

**LEGAL AID SOCIETY, INC.**

Doing business as

Number and street (or P.O. box if mail is not delivered to street address) Room/suite  
**416 W. MUHAMMAD ALI BLVD. 300**

City or town, state or province, country, and ZIP or foreign postal code  
**LOUISVILLE, KY 40202**

**F** Name and address of principal officer: **NEVA-MARIE POLLEY  
SAME AS C ABOVE**

**D** Employer identification number

**\*\* - \*\*\*\*\***

**E** Telephone number

**502-584-1254**

**G** Gross receipts \$

**4,110,195.**

**H(a)** Is this a group return

for subordinates?  Yes  No

**H(b)** Are all subordinates included?  Yes  No

If "No," attach a list. (see instructions)

**H(c)** Group exemption number

**I** Tax-exempt status:  501(c)(3)  501(c)( ) (insert no.)  4947(a)(1) or  527

**J** Website: **WWW.LASLOU.ORG**

**K** Form of organization:  Corporation  Trust  Association  Other

**L** Year of formation: **1921**

**M** State of legal domicile: **KY**

**Part I Summary**

**1** Briefly describe the organization's mission or most significant activities: **TO PROVIDE FREE CIVIL LEGAL HELP TO INDIGENT RESIDENTS OF BRECKENRIDGE, BULLITT, GRAYSON, HARDIN,**

**2** Check this box  if the organization discontinued its operations or disposed of more than 25% of its net assets.

<b>3</b> Number of voting members of the governing body (Part VI, line 1a)	<b>3</b>	<b>33</b>
<b>4</b> Number of independent voting members of the governing body (Part VI, line 1b)	<b>4</b>	<b>33</b>
<b>5</b> Total number of individuals employed in calendar year 2015 (Part V, line 2a)	<b>5</b>	<b>54</b>
<b>6</b> Total number of volunteers (estimate if necessary)	<b>6</b>	<b>244</b>
<b>7a</b> Total unrelated business revenue from Part VIII, column (C), line 12	<b>7a</b>	<b>0.</b>
<b>7b</b> Net unrelated business taxable income from Form 990-T, line 34	<b>7b</b>	<b>0.</b>

		Prior Year	Current Year
<b>Revenue</b>	<b>8</b> Contributions and grants (Part VIII, line 1h)	<b>3,735,598.</b>	<b>3,997,559.</b>
	<b>9</b> Program service revenue (Part VIII, line 2g)	<b>0.</b>	<b>0.</b>
	<b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d)	<b>7,879.</b>	<b>8,302.</b>
	<b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	<b>48,633.</b>	<b>66,861.</b>
	<b>12</b> Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	<b>3,792,110.</b>	<b>4,072,722.</b>
<b>Expenses</b>	<b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1-3)	<b>0.</b>	<b>142,323.</b>
	<b>14</b> Benefits paid to or for members (Part IX, column (A), line 4)	<b>0.</b>	<b>0.</b>
	<b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	<b>2,803,625.</b>	<b>2,926,016.</b>
	<b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e)	<b>0.</b>	<b>0.</b>
	<b>b</b> Total fundraising expenses (Part IX, column (D), line 25)	<b>126,238.</b>	
	<b>17</b> Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	<b>701,297.</b>	<b>689,827.</b>
<b>18</b> Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	<b>3,504,922.</b>	<b>3,758,166.</b>	
<b>19</b> Revenue less expenses. Subtract line 18 from line 12	<b>287,188.</b>	<b>314,556.</b>	
<b>Net Assets or Fund Balances</b>	<b>20</b> Total assets (Part X, line 16)	<b>Beginning of Current Year</b> <b>2,413,694.</b>	<b>End of Year</b> <b>2,768,784.</b>
	<b>21</b> Total liabilities (Part X, line 26)	<b>363,144.</b>	<b>404,097.</b>
	<b>22</b> Net assets or fund balances. Subtract line 21 from line 20	<b>2,050,550.</b>	<b>2,364,687.</b>

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

**Sign Here** Signature of officer: **NEVA-MARIE POLLEY, EXECUTIVE DIRECTOR** Date: \_\_\_\_\_  
Type or print name and title

**Paid Preparer Use Only** Print/Type preparer's name: **JOHN C. PIEPER, CPA** Preparer's signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Check if self-employed  PTIN: **P00085833**  
Firm's name: **MOUNTJOY CHILTON MEDLEY LLP** Firm's EIN: **\*\* - \*\*\*\*\***  
Firm's address: **702 NORTH SHORE DRIVE, # 500 JEFFERSONVILLE, IN 47130-3104** Phone no.: **(812) 670-3400**

May the IRS discuss this return with the preparer shown above? (see instructions)  Yes  No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

X

1 Briefly describe the organization's mission:

TO PURSUE JUSTICE FOR PEOPLE IN POVERTY.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

If "Yes," describe these new services on Schedule O.

X Yes No

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?

If "Yes," describe these changes on Schedule O.

Yes X No

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses \$ 571,145. including grants of \$ 85,322.) (Revenue \$ ) PROVIDE FREE LEGAL SERVICES TO INDIGENT INDIVIDUALS ON CIVIL PROBLEMS THAT STRENGTHEN FAMILY SUPPORTS AND PERSONAL SAFETY. IN 2015, WE SERVED 1,592 INDIVIDUALS ON ISSUES SUCH AS DIVORCE, CUSTODY, CHILD SUPPORT, AND PROTECTIVE ORDERS.

4b (Code: ) (Expenses \$ 583,825. including grants of \$ 57,000.) (Revenue \$ ) PROVIDE FREE LEGAL SERVICES TO INDIGENT INDIVIDUALS ON CIVIL LEGAL PROBLEMS THAT MAY POSE BARRIERS TO ECONOMIC STABILITY OR ACCESS TO SERVICES FOR SPECIAL POPULATIONS, E.G. VETERANS, CHILDREN, SENIORS, PERSONS LIVING WITH A DISABILITY, HOMELESS. IN 2015, WE SERVED 435 INDIVIDUALS FROM THESE SPECIAL POPULATIONS.

4c (Code: ) (Expenses \$ 397,609. including grants of \$ ) (Revenue \$ ) PROVIDE FREE LEGAL SERVICES TO INDIGENT INDIVIDUALS ON CIVIL LEGAL PROBLEMS THAT STRENGTHENS THE ECONOMIC STABILITY OF INDIVIDUALS AND FAMILIES. IN 2015, WE SERVED 607 INDIVIDUALS ON ISSUES INVOLVING DEBT COLLECTION, GOVERNMENT BENEFITS, OR TAXES.

4d Other program services (Describe in Schedule O.)

(Expenses \$ 1,688,768. including grants of \$ )

4e Total program service expenses 3,241,347. (Revenue \$ 50,817.)

**Part IV Checklist of Required Schedules**

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4 <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>	X	
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	X	
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>		X
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>		X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X

**Part IV Checklist of Required Schedules** (continued)

	Yes	No
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		X
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	X	
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>		X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		X
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
24a		
24b		
24c		
24d		
25a <b>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		X
25b		X
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i>		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	X	
28c	X	
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		X
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>		X
35b		
36 <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?		X
<b>Note.</b> All Form 990 filers are required to complete Schedule O	X	
38	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable		
1a	14		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		
1b	0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	X	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return		
2a	54		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	X	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?		X
b	If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		X
b	If "Yes," enter the name of the foreign country: See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7	<b>Organizations that may receive deductible contributions under section 170(c).</b>		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?		X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		X
d	If "Yes," indicate the number of Forms 8282 filed during the year		
7d			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		X
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		
7g			
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		
7h			
8	<b>Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?		
8			
9	<b>Sponsoring organizations maintaining donor advised funds.</b>		
a	Did the sponsoring organization make any taxable distributions under section 4966?		
9a			
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?		
9b			
10	<b>Section 501(c)(7) organizations.</b> Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12		
10a			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities		
10b			
11	<b>Section 501(c)(12) organizations.</b> Enter:		
a	Gross income from members or shareholders		
11a			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)		
11b			
12a	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?		
12a			
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year		
12b			
13	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>		
a	Is the organization licensed to issue qualified health plans in more than one state? <b>Note.</b> See the instructions for additional information the organization must report on Schedule O.		
13a			
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans		
13b			
c	Enter the amount of reserves on hand		
13c			
14a	Did the organization receive any payments for indoor tanning services during the tax year?		X
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O		
14b			



**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

**Section A. Governing Body and Management**

	1a	1b	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	33			
b Enter the number of voting members included in line 1a, above, who are independent		33		
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?				X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?				X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?				X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?				X
6 Did the organization have members or stockholders?				X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?				X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?				X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:				
a The governing body?			X	
b Each committee with authority to act on behalf of the governing body?			X	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O				X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?		X
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
10b		
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	X	
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
11b		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	X	
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
12b		
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	X	
12c		
13 Did the organization have a written whistleblower policy?	X	
14 Did the organization have a written document retention and destruction policy?	X	
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	X	
b Other officers or key employees of the organization	X	
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
15b		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		
16b		

**Section C. Disclosure**

- 17 List the states with which a copy of this Form 990 is required to be filed **► KY**
- 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.  
 Own website     Another's website     Upon request     Other (explain in Schedule O)
- 19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
- 20 State the name, address, and telephone number of the person who possesses the organization's books and records: **►**  
**NEVA-MARIE POLLEY - 502-614-3100**  
**416 MUHAMMAD ALI BLVD., SUITE 300, LOUISVILLE, KY 40202**

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

- 1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.
- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
  - List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
  - List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
  - List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
  - List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.
- List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) R. JAMES STRAUS, ESQ. CHAIR	0.20	X		X				0.	0.	0.
(2) ROBERT A. RILEY, ESQ. SECRETARY	0.20	X		X				0.	0.	0.
(3) GEORGE LEE, JR. SECOND VICE-CHAIR	0.20	X		X				0.	0.	0.
(4) DELORES PREGLIASCO, ESQ. FIRST VICE-CHAIR	0.20	X		X				0.	0.	0.
(5) WILLIAM F. STEWART, ESQ. BOARD MEMBER	0.20	X						0.	0.	0.
(6) CYNTHIA W. YOUNG, ESQ. BOARD MEMBER	0.20	X						0.	0.	0.
(7) AKEWEYA NICOBİ TIRHAKAH BEY BOARD MEMBER	0.20	X						0.	0.	0.
(8) GEORGE BEY BOARD MEMBER	0.20	X						0.	0.	0.
(9) LOUIS COOK BOARD MEMBER	0.20	X						0.	0.	0.
(10) LAUREL S. DOHENY, ESQ. BOARD MEMBER	0.20	X						0.	0.	0.
(11) MARSHALL P. ELDRED, ESQ. BOARD MEMBER	0.20	X						0.	0.	0.
(12) REV. ERNEST P. EMERY BOARD MEMBER	0.20	X						0.	0.	0.
(13) ROBERT C. EWALD, ESQ. BOARD MEMBER	0.20	X						0.	0.	0.
(14) MARIA A. FERNANDEZ, ESQ. BOARD MEMBER	0.20	X						0.	0.	0.
(15) MARTHA HASSELBACHER, ESQ. BOARD MEMBER	0.20	X						0.	0.	0.
(16) CHRISTOPHER M. TODOROFF, ESQ. BOARD MEMBER	0.20	X						0.	0.	0.
(17) T. MORGAN WARD, JR., ESQ. BOARD MEMBER	0.20	X						0.	0.	0.

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) RICHARD H. NOWKA BOARD MEMBER	0.20	X					0.	0.	0.	
(19) MICHAEL BROOKS BOARD MEMBER	0.20	X					0.	0.	0.	
(20) DEENA G. OMBRES, ESQ. BOARD MEMBER	0.20	X					0.	0.	0.	
(21) J. SCOTT WANTLAND, ESQ. BOARD MEMBER	0.20	X					0.	0.	0.	
(22) CASSIE YATES CLAGETT BOARD MEMBER	0.20	X					0.	0.	0.	
(23) J. BRANNON DUPREE BOARD MEMBER	0.20	X					0.	0.	0.	
(24) SHELLY ANN KAMEI BOARD MEMBER	0.20	X					0.	0.	0.	
(25) PAVEL REYES VALDES BOARD MEMBER	0.20	X					0.	0.	0.	
(26) AQUEELAH S. HALEEM BOARD MEMBER	0.20	X					0.	0.	0.	
<b>1b Sub-total</b>							0.	0.	0.	
<b>c Total from continuation sheets to Part VII, Section A</b>							101,855.	0.	37,973.	
<b>d Total (add lines 1b and 1c)</b>							101,855.	0.	37,973.	

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **1**

	Yes	No
<b>3</b> Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		X
<b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual		X
<b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		X

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
NONE		

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **0**

SEE PART VII, SECTION A CONTINUATION SHEETS



**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII

		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	<b>1 a</b> Federated campaigns	<b>1a</b> 213,535.				
	<b>b</b> Membership dues	<b>1b</b>				
	<b>c</b> Fundraising events	<b>1c</b> 49,796.				
	<b>d</b> Related organizations	<b>1d</b>				
	<b>e</b> Government grants (contributions)	<b>1e</b> 3,101,381.				
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above	<b>1f</b> 632,847.				
	<b>g</b> Noncash contributions included in lines 1a-1f: \$	8,822.				
	<b>h Total.</b> Add lines 1a-1f	▶ 3,997,559.				
	<b>Program Service Revenue</b>	<b>2 a</b> _____	<b>Business Code</b>			
<b>b</b> _____						
<b>c</b> _____						
<b>d</b> _____						
<b>e</b> _____						
<b>f</b> All other program service revenue						
<b>g Total.</b> Add lines 2a-2f		▶				
<b>Other Revenue</b>	<b>3</b> Investment income (including dividends, interest, and other similar amounts)	▶	8,175.		8,175.	
	<b>4</b> Income from investment of tax-exempt bond proceeds	▶				
	<b>5</b> Royalties	▶				
	<b>6 a</b> Gross rents	(i) Real	15,075.			
		(ii) Personal				
		<b>b</b> Less: rental expenses	0.			
		<b>c</b> Rental income or (loss)	15,075.			
	<b>d</b> Net rental income or (loss)	▶	15,075.		15,075.	
	<b>7 a</b> Gross amount from sales of assets other than inventory	(i) Securities	18,040.			
		(ii) Other	110.			
		<b>b</b> Less: cost or other basis and sales expenses	18,023.			
		<b>c</b> Gain or (loss)	17.			
	<b>d</b> Net gain or (loss)	▶	127.		127.	
	<b>8 a</b> Gross income from fundraising events (not including \$ 49,796. of contributions reported on line 1c). See Part IV, line 18	<b>a</b>	20,419.			
		<b>b</b> Less: direct expenses	19,450.			
<b>c</b> Net income or (loss) from fundraising events		▶	969.		969.	
<b>9 a</b> Gross income from gaming activities. See Part IV, line 19	<b>a</b>					
	<b>b</b> Less: direct expenses					
	<b>c</b> Net income or (loss) from gaming activities	▶				
<b>10 a</b> Gross sales of inventory, less returns and allowances	<b>a</b>					
	<b>b</b> Less: cost of goods sold					
	<b>c</b> Net income or (loss) from sales of inventory	▶				
<b>Miscellaneous Revenue</b>		<b>Business Code</b>				
<b>11 a</b> MISCELLANEOUS	900099	50,817.	50,817.			
<b>b</b> _____						
<b>c</b> _____						
<b>d</b> All other revenue						
<b>e Total.</b> Add lines 11a-11d	▶	50,817.				
<b>12 Total revenue.</b> See instructions.	▶	4,072,722.	50,817.	0.	24,346.	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).  
 Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
<b>1</b> Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	142,323.	142,323.		
<b>2</b> Grants and other assistance to domestic individuals. See Part IV, line 22				
<b>3</b> Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16				
<b>4</b> Benefits paid to or for members				
<b>5</b> Compensation of current officers, directors, trustees, and key employees	139,827.	41,948.	76,905.	20,974.
<b>6</b> Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
<b>7</b> Other salaries and wages	1,901,534.	1,683,295.	165,302.	52,937.
<b>8</b> Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	311,819.	263,532.	36,997.	11,290.
<b>9</b> Other employee benefits	427,221.	361,063.	50,690.	15,468.
<b>10</b> Payroll taxes	145,615.	123,066.	17,277.	5,272.
<b>11</b> Fees for services (non-employees):				
<b>a</b> Management				
<b>b</b> Legal				
<b>c</b> Accounting	18,336.	16,141.	1,623.	572.
<b>d</b> Lobbying				
<b>e</b> Professional fundraising services. See Part IV, line 17				
<b>f</b> Investment management fees				
<b>g</b> Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	98,110.	98,110.		
<b>12</b> Advertising and promotion	11,939.	6,447.		5,492.
<b>13</b> Office expenses	74,429.	63,030.	8,431.	2,968.
<b>14</b> Information technology	822.	723.	73.	26.
<b>15</b> Royalties				
<b>16</b> Occupancy	271,245.	238,771.	24,017.	8,457.
<b>17</b> Travel	14,931.	13,566.	1,365.	
<b>18</b> Payments of travel or entertainment expenses for any federal, state, or local public officials				
<b>19</b> Conferences, conventions, and meetings	21,195.	21,157.	28.	10.
<b>20</b> Interest				
<b>21</b> Payments to affiliates				
<b>22</b> Depreciation, depletion, and amortization	22,735.	22,735.		
<b>23</b> Insurance	29,043.	25,566.	2,572.	905.
<b>24</b> Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
<b>a</b> OFFICE OF KENTUCKY LEGAL	46,532.	46,532.		
<b>b</b> LIBRARY	25,810.	22,720.	2,285.	805.
<b>c</b> LITIGATION	20,634.	20,634.		
<b>d</b> DUES AND FEES	18,470.	16,259.	1,635.	576.
<b>e</b> All other expenses	15,596.	13,729.	1,381.	486.
<b>25</b> Total functional expenses. Add lines 1 through 24e	3,758,166.	3,241,347.	390,581.	126,238.
<b>26</b> Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here  if following SOP 98-2 (ASC 958-720)

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X

		(A) Beginning of year		(B) End of year	
Assets	1	Cash - non-interest-bearing	152,685.	1	283,122.
	2	Savings and temporary cash investments	1,628,454.	2	1,860,109.
	3	Pledges and grants receivable, net	478,163.	3	492,884.
	4	Accounts receivable, net		4	
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use		8	
	9	Prepaid expenses and deferred charges	56,295.	9	46,157.
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 590,207.		
	b	Less: accumulated depreciation	10b 530,703.	10c	59,504.
	11	Investments - publicly traded securities		11	
	12	Investments - other securities. See Part IV, line 11	26,801.	12	27,008.
	13	Investments - program-related. See Part IV, line 11		13	
	14	Intangible assets		14	
	15	Other assets. See Part IV, line 11		15	
16	<b>Total assets.</b> Add lines 1 through 15 (must equal line 34)	2,413,694.	16	2,768,784.	
Liabilities	17	Accounts payable and accrued expenses	289,051.	17	287,896.
	18	Grants payable		18	
	19	Deferred revenue		19	
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D	47,818.	21	65,004.
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23	Secured mortgages and notes payable to unrelated third parties		23	
	24	Unsecured notes and loans payable to unrelated third parties		24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	26,275.	25	51,197.
	26	<b>Total liabilities.</b> Add lines 17 through 25	363,144.	26	404,097.
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.				
	27	Unrestricted net assets	1,982,331.	27	2,291,553.
	28	Temporarily restricted net assets	44,984.	28	49,855.
	29	Permanently restricted net assets	23,235.	29	23,279.
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building, or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
33	<b>Total net assets or fund balances</b>	2,050,550.	33	2,364,687.	
34	<b>Total liabilities and net assets/fund balances</b>	2,413,694.	34	2,768,784.	

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	4,072,722.
2	Total expenses (must equal Part IX, column (A), line 25)	2	3,758,166.
3	Revenue less expenses. Subtract line 2 from line 1	3	314,556.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	2,050,550.
5	Net unrealized gains (losses) on investments	5	-419.
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	2,364,687.

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

	Yes	No
1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
b Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	X	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	X	
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	X	

Form 990 (2015)



**SCHEDULE A**  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2015**

Open to Public Inspection

Name of the organization

**LEGAL AID SOCIETY, INC.**

Employer identification number

\*\*-\*\*\*\*\*

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

- The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)
- 1  A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
  - 2  A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ).)
  - 3  A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
  - 4  A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: \_\_\_\_\_
  - 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
  - 6  A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
  - 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
  - 8  A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)
  - 9  An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
  - 10  An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
  - 11  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g.
    - a  **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
    - b  **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
    - c  **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
    - d  **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
    - e  Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

f Enter the number of supported organizations .....

g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
<b>Total</b>						

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**  
 (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....	4,026,338.	2,718,486.	2,775,735.	3,735,598.	3,997,559.	17,253,716.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
3 The value of services or facilities furnished by a governmental unit to the organization without charge .....						
4 <b>Total.</b> Add lines 1 through 3 .....	4,026,338.	2,718,486.	2,775,735.	3,735,598.	3,997,559.	17,253,716.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) .....						
6 <b>Public support.</b> Subtract line 5 from line 4.						17,253,716.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
7 Amounts from line 4 .....	4,026,338.	2,718,486.	2,775,735.	3,735,598.	3,997,559.	17,253,716.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources .....	34,338.	28,286.	25,573.	22,442.	23,250.	133,889.
9 Net income from unrelated business activities, whether or not the business is regularly carried on .....						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) .....	6,031.	254,883.	21,399.	30,447.	50,817.	363,577.
11 <b>Total support.</b> Add lines 7 through 10 .....						17,751,182.
12 Gross receipts from related activities, etc. (see instructions) .....					12	1,592,549.
13 <b>First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here .....						<input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2015 (line 6, column (f) divided by line 11, column (f)) .....	14	97.20 %
15 Public support percentage from 2014 Schedule A, Part II, line 14 .....	15	97.31 %
16a <b>33 1/3% support test - 2015.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization .....		<input checked="" type="checkbox"/>
b <b>33 1/3% support test - 2014.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization .....		<input type="checkbox"/>
17a <b>10% -facts-and-circumstances test - 2015.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization .....		<input type="checkbox"/>
b <b>10% -facts-and-circumstances test - 2014.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization .....		<input type="checkbox"/>
18 <b>Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions .....		<input type="checkbox"/>

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose .....						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513 .....						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge .....						
<b>6 Total.</b> Add lines 1 through 5 .....						
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons .....						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year .....						
<b>c</b> Add lines 7a and 7b .....						
<b>8 Public support.</b> (Subtract line 7c from line 6.)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
<b>9</b> Amounts from line 6 .....						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources .....						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 .....						
<b>c</b> Add lines 10a and 10b .....						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on .....						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) .....						
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.)						

**14 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2015 (line 8, column (f) divided by line 13, column (f)) .....	<b>15</b>	%
<b>16</b> Public support percentage from 2014 Schedule A, Part III, line 15 .....	<b>16</b>	%

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for 2015 (line 10c, column (f) divided by line 13, column (f)) .....	<b>17</b>	%
<b>18</b> Investment income percentage from 2014 Schedule A, Part III, line 17 .....	<b>18</b>	%

**19a 33 1/3% support tests - 2015.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

**b 33 1/3% support tests - 2014.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

**Part IV Supporting Organizations**

(Complete only if you checked a box in line 11 on Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

- 1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No" describe in **Part VI** how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.
- 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in **Part VI** how the organization determined that the supported organization was described in section 509(a)(1) or (2).
- 3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.
  - b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in **Part VI** when and how the organization made the determination.
  - c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in **Part VI** what controls the organization put in place to ensure such use.
- 4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked 11a or 11b in Part I, answer (b) and (c) below.
  - b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in **Part VI** how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.
  - c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in **Part VI** what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.
- 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in **Part VI**, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).
  - b **Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?
  - c **Substitutions only.** Was the substitution the result of an event beyond the organization's control?
- 6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in **Part VI**.
- 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in **Part VI**.
  - b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in **Part VI**.
  - c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in **Part VI**.
- 10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer 10b below.
  - b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)

	Yes	No
1		
2		
3a		
3b		
3c		
4a		
4b		
4c		
5a		
5b		
5c		
6		
7		
8		
9a		
9b		
9c		
10a		
10b		

**Part IV Supporting Organizations** (continued)

	Yes	No
<b>11</b> Has the organization accepted a gift or contribution from any of the following persons?		
<b>a</b> A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
<b>b</b> A family member of a person described in (a) above?		
<b>c</b> A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.		
<b>11a</b>		
<b>11b</b>		
<b>11c</b>		

**Section B. Type I Supporting Organizations**

	Yes	No
<b>1</b> Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
<b>2</b> Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.		
<b>1</b>		
<b>2</b>		

**Section C. Type II Supporting Organizations**

	Yes	No
<b>1</b> Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		
<b>1</b>		

**Section D. All Type III Supporting Organizations**

	Yes	No
<b>1</b> Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
<b>2</b> Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).		
<b>3</b> By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.		
<b>1</b>		
<b>2</b>		
<b>3</b>		

**Section E. Type III Functionally-Integrated Supporting Organizations**

<b>1</b> Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):			
<b>a</b> <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.			
<b>b</b> <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.			
<b>c</b> <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).			
<b>2</b> Activities Test. Answer (a) and (b) below.			
<b>a</b> Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.		Yes	No
<b>b</b> Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.			
<b>3</b> Parent of Supported Organizations. Answer (a) and (b) below.			
<b>a</b> Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.			
<b>b</b> Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.			
<b>2a</b>			
<b>2b</b>			
<b>3a</b>			
<b>3b</b>			

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

1  Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970. See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

<b>Section A - Adjusted Net Income</b>		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	<b>Adjusted Net Income</b> (subtract lines 5, 6 and 7 from line 4)	8	

<b>Section B - Minimum Asset Amount</b>		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	<b>Total</b> (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI):		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	<b>Minimum Asset Amount</b> (add line 7 to line 6)	8	

<b>Section C - Distributable Amount</b>			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions).		

**Part V** Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions.	
7 <b>Total annual distributions.</b> Add lines 1 through 6.	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.	
9 Distributable amount for 2015 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2015	(iii) Distributable Amount for 2015
1 Distributable amount for 2015 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2015 (reasonable cause required-see instructions)			
3 Excess distributions carryover, if any, to 2015:			
a			
b			
c			
d From 2013			
e From 2014			
f <b>Total of lines 3a through e</b>			
g Applied to underdistributions of prior years			
h Applied to 2015 distributable amount			
i Carryover from 2010 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2015 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
b Applied to 2015 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4.			
5 Remaining underdistributions for years prior to 2015, if any. Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions).			
6 Remaining underdistributions for 2015. Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions).			
7 <b>Excess distributions carryover to 2016.</b> Add lines 3j and 4c.			
8 Breakdown of line 7:			
a			
b			
c Excess from 2013			
d Excess from 2014			
e Excess from 2015			





**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2015**

Name of the organization

**LEGAL AID SOCIETY, INC.**

Employer identification number

\*\*-\*\*\*\*\*

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)( 3 ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ..... ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

Name of organization

Employer identification number

LEGAL AID SOCIETY, INC.

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**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	LOUISVILLE/JEFFERSON COUNTY METRO GOVERNMENT  601 W. JEFFERSON STREET  LOUISVILLE, KY 40202	\$ 182,575.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	METRO UNITED WAY  334 E. BROADWAY  LOUISVILLE, KY 40202	\$ 206,035.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	LEGAL SERVICES CORPORATION  3333 K STREET NW  WASHINGTON, DC 20007	\$ 1,407,518.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	DEPARTMENT OF JUSTICE  950 PENNSYLVANIA AVE, NW  WASHINGTON, DC 20530	\$ 148,142.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	COMMONWEALTH OF KENTUCKY JUSTICE & PUBLIC SAFETY CABINET  125 HOLMES STREET  FRANKFORT, KY 40601	\$ 109,863.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	KENTUCKY IOLTA FUND  514 W. MAIN STREET  FRANKFORT, KY 40601	\$ 298,814.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

LEGAL AID SOCIETY, INC.

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**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	ACCESS TO JUSTICE 300 E. MAIN STREET, SUITE 110 LEXINGTON, KY 40507	\$ 144,840.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
8	KENTUCKY DEPARTMENT OF TREASURY US HIGHWAY 127, SUITE 100 FRANKFORT, KY 40601	\$ 627,804.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

**LEGAL AID SOCIETY, INC.**

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**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____

Name of organization

Employer identification number

**LEGAL AID SOCIETY, INC.**

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**Part III**

*Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

**SCHEDULE D**  
(Form 990)

Department of the Treasury  
Internal Revenue Service

**Supplemental Financial Statements**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.  
▶ Attach to Form 990.

▶ Information about Schedule D (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2015**

Open to Public Inspection

Name of the organization

**LEGAL AID SOCIETY, INC.**

Employer identification number  
\*\*-\*\*\*\*\*

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year .....		
2 Aggregate value of contributions to (during year) .....		
3 Aggregate value of grants from (during year) .....		
4 Aggregate value at end of year .....		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? .....		<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? .....		<input type="checkbox"/> Yes <input type="checkbox"/> No

**Part II Conservation Easements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of a historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements .....	2a
b Total acreage restricted by conservation easements .....	2b
c Number of conservation easements on a certified historic structure included in (a) .....	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register .....	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ .....

4 Number of states where property subject to conservation easement is located ▶ .....

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? .....

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ .....

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$ .....

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? .....

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 .....

(ii) Assets included in Form 990, Part X .....

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included on Form 990, Part VIII, line 1 .....

b Assets included in Form 990, Part X .....

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a  Public exhibition
- b  Scholarly research
- c  Preservation for future generations
- d  Loan or exchange programs
- e  Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
c Beginning balance	
d Additions during the year	
e Distributions during the year	
f Ending balance	

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?  Yes  No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII

**Part V Endowment Funds.** Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	26,801.	29,999.	29,351.	30,024.	38,629.
b Contributions					
c Net investment earnings, gains, and losses	509.	884.	4,740.	3,417.	-725.
d Grants or scholarships		3,783.	3,783.	3,783.	7,567.
e Other expenditures for facilities and programs					
f Administrative expenses	302.	299.	309.	307.	313.
g End of year balance	27,008.	26,801.	29,999.	29,351.	30,024.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment  .00 %
- b Permanent endowment  86.19 %
- c Temporarily restricted endowment  13.81 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

	Yes	No
(i) unrelated organizations	<input checked="" type="checkbox"/>	
(ii) related organizations		<input checked="" type="checkbox"/>
b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R?		<input checked="" type="checkbox"/>
3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		16,200.	12,167.	4,033.
d Equipment		405,967.	355,146.	50,821.
e Other		168,040.	163,390.	4,650.
<b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				59,504.

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.)		

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.)		

**Part IX Other Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)	

**Part X Other Liabilities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) FLEXIBLE BENEFIT PLAN	9,531.
(3) REFURBISHMENT ALLOWANCE	41,666.
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)	51,197.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII



**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	4,516,073.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
a	Net unrealized gains (losses) on investments	2a		-419.
b	Donated services and use of facilities	2b		443,770.
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII.)	2d		
e	Add lines 2a through 2d	2e		443,351.
3	Subtract line 2e from line 1	3		4,072,722.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b	4c		0.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5		4,072,722.

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	4,201,936.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
a	Donated services and use of facilities	2a		443,770.
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII.)	2d		
e	Add lines 2a through 2d	2e		443,770.
3	Subtract line 2e from line 1	3		3,758,166.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b	4c		0.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5		3,758,166.

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**PART IV, LINE 2B:**

THE ORGANIZATION HOLDS FUNDS FOR THEIR CLIENTS FOR VARIOUS PAYMENTS TO BE MADE ON BEHALF OF CLIENTS IN AN ESCROW ACCOUNT.

**PART V, LINE 4:**

THE BOARD DESIGNATED FUND WAS SETUP TO SUPPORT THE DIVERSITY INTERN HIRED EACH SUMMER BY THE SOCIETY.

**PART X, LINE 2:**

THE SOCIETY RECEIVED A DETERMINATION LETTER FROM THE INTERNAL REVENUE SERVICE INDICATING THAT IT QUALIFIES AS A TAX-EXEMPT ORGANIZATION UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE. ACCORDINGLY, NO PROVISION

**Part XIII** Supplemental information (continued)

FOR INCOME TAXES IS INCLUDED IN THE FINANCIAL STATEMENTS.

THE SOCIETY RECOGNIZES UNCERTAIN INCOME TAX POSITIONS USING THE  
"MORE-LIKELY-THAN-NOT" APPROACH AS DEFINED IN THE ASC. NO LIABILITY FOR  
UNCERTAIN INCOME TAX PROVISIONS HAS BEEN RECORDED IN THE ACCOMPANYING  
FINANCIAL STATEMENTS.



**Part II Fundraising Events.** Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events (add col. (a) through col. (c))
		DINNER AND AUCTION		NONE	
Revenue		(event type)	(event type)	(total number)	
Revenue	<b>1</b> Gross receipts .....	70,215.			70,215.
	<b>2</b> Less: Contributions .....	49,796.			49,796.
	<b>3</b> Gross income (line 1 minus line 2) .....	20,419.			20,419.
Direct Expenses	<b>4</b> Cash prizes .....				
	<b>5</b> Noncash prizes .....	8,822.			8,822.
	<b>6</b> Rent/facility costs .....	2,208.			2,208.
	<b>7</b> Food and beverages .....	2,608.			2,608.
	<b>8</b> Entertainment .....	500.			500.
	<b>9</b> Other direct expenses .....	5,312.			5,312.
	<b>10</b> Direct expense summary. Add lines 4 through 9 in column (d) .....				19,450.
<b>11</b> Net income summary. Subtract line 10 from line 3, column (d) .....				969.	

**Part III Gaming.** Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	<b>1</b> Gross revenue .....				
Direct Expenses	<b>2</b> Cash prizes .....				
	<b>3</b> Noncash prizes .....				
	<b>4</b> Rent/facility costs .....				
	<b>5</b> Other direct expenses .....				
	<b>6</b> Volunteer labor .....	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	<b>7</b> Direct expense summary. Add lines 2 through 5 in column (d) .....				
	<b>8</b> Net gaming income summary. Subtract line 7 from line 1, column (d) .....				

**9** Enter the state(s) in which the organization conducts gaming activities: \_\_\_\_\_  
**a** Is the organization licensed to conduct gaming activities in each of these states?  Yes  No  
**b** If "No," explain: \_\_\_\_\_  
 \_\_\_\_\_  
**10a** Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?  Yes  No  
**b** If "Yes," explain: \_\_\_\_\_  
 \_\_\_\_\_





**SCHEDULE I  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.

OMB No. 1545-0047

**2015**

Open to Public  
Inspection

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

**LEGAL AID SOCIETY, INC.**

Employer identification number  
\*\*-\*\*\*\*\*

**Part I General Information on Grants and Assistance**

- 1** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2** Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
LEGAL AID OF THE BLUEGRASS 104 EAST 7TH ST. COVINGTON, KY 41011	**-*****	501(C)(3)	19,000.	0.			TO CREATE A COORDINATED, STATEWIDE TEAM OF PRO BONO ATTORNEYS THAT WILL REACH LOW-INCOME VETERANS
KENTUCKY LEGAL AID 1700 DESTINY LANE BOWLING GREEN, KY 42104	**-*****	501(C)(3)	19,000.	0.			TO CREATE A COORDINATED, STATEWIDE TEAM OF PRO BONO ATTORNEYS THAT WILL REACH LOW-INCOME VETERANS
APPALACHIAN RESEARCH AND DEFENSE FUND OF KENTUCKY, INC. - 120 NORTH FRONT AVENUE - PRESTONBURG, KY 41653	**-*****	501(C)(3)	19,000.	0.			TO CREATE A COORDINATED, STATEWIDE TEAM OF PRO BONO ATTORNEYS THAT WILL REACH LOW-INCOME VETERANS
UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATION - 300 EAST MARKET ST., SUITE 300 - LOUISVILLE, KY 40202-1959	**-*****	501(C)(3)	34,041.	0.			TO PROVIDE FREE LEGAL SERVICES TO VICTIMS OF DOMESTIC AND OTHER FORMS OF PERSONAL VIOLENCE IN
THE CENTER FOR WOMEN AND FAMILIES 927 SOUTH 2ND STREET LOUISVILLE, KY 40203	**-*****	501(C)(3)	49,156.	0.			TO PROVIDE FREE LEGAL SERVICES TO VICTIMS OF DOMESTIC AND OTHER FORMS OF PERSONAL VIOLENCE IN
KENTUCKY ASSOCIATION OF SEXUAL ASSAULT PROGRAMS, INC. - 83 C MICHAEL DAVENPORT BLVD, - FRANKFORT, KY 40604	**-*****	501(C)(3)	2,125.	0.			TO PROVIDE FREE LEGAL SERVICES TO VICTIMS OF DOMESTIC AND OTHER FORMS OF PERSONAL VIOLENCE IN

- 2** Enter total number of section 501(c)(3) and government organizations listed in the line 1 table
- 3** Enter total number of other organizations listed in the line 1 table

\* \* \* \* \*

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance

**Part IV** Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

**PART I, LINE 2:**

**IT IS THE RESPONSIBILITY OF LEGAL AID SOCIETY TO ENSURE ALL SUBGRANTEES ARE IN COMPLIANCE WITH FEDERAL GUIDELINES. IN ORDER TO ENSURE THIS, THE CONTROLLER WILL REQUEST THE FOLLOWING ITEMS FROM ALL SUBGRANTEES:**

- YEARLY AUDITED FINANCIAL STATEMENTS
- MONITORING REPORTS FOR ANY AUDITS, ON-SIGHT REVIEWS OR OTHER MEANS INDICATING DEFICIENCIES WITH COMPLIANCE
- UPDATES TO LEGAL AID SOCIETY FOR ANY CHANGE IN PERSONNEL WORKING ON THE APPLICABLE GRANTS



Part IV Supplemental Information

- TIMESHEET BACKUP FOR ALL INVOICES SENT TO LEGAL AID SOCIETY

THE CONTROLLER WILL HAVE ANNUAL DISCUSSIONS WITH THE EXECUTIVE DIRECTOR TO DETERMINE IF THERE IS ANY ADDITIONAL RISK OF NONCOMPLIANCE WITH ANY SUBGRANTEE AND PROCEDURES WILL BE ADJUSTED ACCORDINGLY. IF NECESSARY, A SITE VISIT WILL BE DONE BY THE CONTROLLER TO REVIEW ADDITIONAL BACKUP DOCUMENTATION NEEDED.

PART II, LINE 1, COLUMN (H):

NAME OF ORGANIZATION OR GOVERNMENT: LEGAL AID OF THE BLUEGRASS

(H) PURPOSE OF GRANT OR ASSISTANCE: TO CREATE A COORDINATED, STATEWIDE TEAM OF PRO BONO ATTORNEYS THAT WILL REACH LOW-INCOME VETERANS AT THEIR POINT OF NEED REGARDLESS OF LOCATION OR ISSUE.

NAME OF ORGANIZATION OR GOVERNMENT: KENTUCKY LEGAL AID

(H) PURPOSE OF GRANT OR ASSISTANCE: TO CREATE A COORDINATED, STATEWIDE TEAM OF PRO BONO ATTORNEYS THAT WILL REACH LOW-INCOME VETERANS AT THEIR POINT OF NEED REGARDLESS OF LOCATION OR ISSUE.

NAME OF ORGANIZATION OR GOVERNMENT:

APPALACHIAN RESEARCH AND DEFENSE FUND OF KENTUCKY, INC.

(H) PURPOSE OF GRANT OR ASSISTANCE: TO CREATE A COORDINATED, STATEWIDE TEAM OF PRO BONO ATTORNEYS THAT WILL REACH LOW-INCOME VETERANS AT THEIR POINT OF NEED REGARDLESS OF LOCATION OR ISSUE.

NAME OF ORGANIZATION OR GOVERNMENT:

UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATION

(H) PURPOSE OF GRANT OR ASSISTANCE: TO PROVIDE FREE LEGAL SERVICES TO

Part IV Supplemental Information

VICTIMS OF DOMESTIC AND OTHER FORMS OF PERSONAL VIOLENCE IN ORDER TO INCREASE THEIR SAFETY AND PROVIDE THE SUPPORT NEEDED TO LEAD SUPPORTED AND SAFE LIVES. THE PROJECT IS OPEN TO CLIENTS WHO LIVE IN POVERTY AND HAVE FAMILY LAW ISSUES AND OTHER CIVIL LEGAL NEEDS THAT, IF ADDRESSED, WILL INCREASE THEIR SAFETY AND END THE CYCLE OF VIOLENCE FOR BOTH THEM AND THEIR CHILDREN.

NAME OF ORGANIZATION OR GOVERNMENT: THE CENTER FOR WOMEN AND FAMILIES (H) PURPOSE OF GRANT OR ASSISTANCE: TO PROVIDE FREE LEGAL SERVICES TO VICTIMS OF DOMESTIC AND OTHER FORMS OF PERSONAL VIOLENCE IN ORDER TO INCREASE THEIR SAFETY AND PROVIDE THE SUPPORT NEEDED TO LEAD SUPPORTED AND SAFE LIVES. THE PROJECT IS OPEN TO CLIENTS WHO LIVE IN POVERTY AND HAVE FAMILY LAW ISSUES AND OTHER CIVIL LEGAL NEEDS THAT, IF ADDRESSED, WILL INCREASE THEIR SAFETY AND END THE CYCLE OF VIOLENCE FOR BOTH THEM AND THEIR CHILDREN.

NAME OF ORGANIZATION OR GOVERNMENT:

KENTUCKY ASSOCIATION OF SEXUAL ASSAULT PROGRAMS, INC.

(H) PURPOSE OF GRANT OR ASSISTANCE: TO PROVIDE FREE LEGAL SERVICES TO VICTIMS OF DOMESTIC AND OTHER FORMS OF PERSONAL VIOLENCE IN ORDER TO INCREASE THEIR SAFETY AND PROVIDE THE SUPPORT NEEDED TO LEAD SUPPORTED AND SAFE LIVES. THE PROJECT IS OPEN TO CLIENTS WHO LIVE IN POVERTY AND HAVE FAMILY LAW ISSUES AND OTHER CIVIL LEGAL NEEDS THAT, IF ADDRESSED, WILL INCREASE THEIR SAFETY AND END THE CYCLE OF VIOLENCE FOR BOTH THEM AND THEIR CHILDREN.



**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
JEFFREY BEEN & MARTHA HASSELBACHER	ENTITY THAT HAS COMMON BOARD MEMBERS	51,942.	JEFFREY BEEN		X

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions).

SCH L, PART IV, BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS:

(A) NAME OF PERSON: JEFFREY BEEN & MARTHA HASSELBACHER

(B) RELATIONSHIP BETWEEN INTERESTED PERSON AND ORGANIZATION:

ENTITY THAT HAS COMMON BOARD MEMBERS

(D) DESCRIPTION OF TRANSACTION: JEFFREY BEEN, EXECUTIVE DIRECTOR, AND

MARTHA HASSELBACHER, BOARD MEMBER, ALSO SERVE ON THE BOARD OF LOUISVILLE

MEDICAL/LEGAL COMMUNITY HEALTH PARTNERSHIP. LOUISVILLE MEDICAL/LEGAL

COMMUNITY HEALTH PARTNERSHIP ENGAGES LEGAL AID SOCIETY TO PROVIDE LEGAL

SERVICES TO HELP FURTHER THEIR EXEMPT PURPOSE.

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.  
▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2015**

Open to Public  
Inspection

Name of the organization

LEGAL AID SOCIETY, INC.

Employer identification number  
\*\*-\*\*\*\*\*

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

HENRY, JEFFERSON, LARUE, MARION, MEADE, NELSON, OLDHAM, SHELBY,  
SPENCER, TRIMBLE AND WASHINGTON COUNTIES OF THE COMMONWEALTH OF  
KENTUCKY.

FORM 990, PART III, LINE 2, NEW PROGRAM SERVICES:

PROJECT HELP: PROJECT H.E.L.P. (HOMELESS EXPERIENCE LEGAL PROTECTION)  
MATCHES VOLUNTEER ATTORNEYS WITH MEMBERS OF THE COMMUNITY WHO ARE  
HOMELESS. PROJECT H.E.L.P. LAUNCHED IN SEPTEMBER 2015 AND PROVIDES  
FREE CIVIL LEGAL ASSISTANCE TO CLIENTS OF ST. JOHN CENTER FOR HOMELESS  
MEN WITH AN ONGOING CLINIC THAT TAKES PLACE EVERY OTHER TUESDAY AT ST.  
JOHN CENTER. IN 2016, THE PROGRAM WILL EXPAND TO OTHER LOCATIONS,  
INCLUDING LOCATIONS THAT SERVE WOMEN AND CHILDREN.

ECONOMIC DEVELOPMENT PROGRAM: THE ECONOMIC DEVELOPMENT PROGRAM  
PROVIDES FREE CIVIL LEGAL SERVICES TO SMALL BUSINESS OWNERS WHOSE  
INCOME IS WITHIN THE POVERTY GUIDELINES. FREE CIVIL LEGAL SERVICES ARE  
PROVIDED FOR THE PURPOSE OF HELPING THE BUSINESS OWNER ACHIEVE ECONOMIC  
STABILITY AND INDEPENDENCE.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

A VARIETY OF LEGAL SERVICES RELATED TO THE ORGANIZATION'S MISSION MAKE  
UP THE OTHER EXPENSES AMOUNT.

EXPENSES \$ 1,688,768. INCLUDING GRANTS OF \$ 0. REVENUE \$ 50,817.

FORM 990, PART VI, SECTION B, LINE 11:

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.  
532211  
09-02-15

Schedule O (Form 990 or 990-EZ) (2015)

Name of the organization

LEGAL AID SOCIETY, INC.

Employer identification number

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THE EXECUTIVE DIRECTOR REVIEWS THE FORM 990 BEFORE FILING. THE BOARD AND FINANCE COMMITTEE ARE PROVIDED A COPY OF THE FORM 990 BEFORE IT IS FILED.

FORM 990, PART VI, SECTION B, LINE 12C:

THE POLICY IS SENT ANNUALLY TO ALL BOARD MEMBERS FOR REVIEW AND SIGNATURE. ANY DISCLOSURES SUGGESTING A POTENTIAL CONFLICT ARE PRESENTED TO THE BOARD CHAIR FOR REVIEW BY THE BOARD.

FORM 990, PART VI, SECTION B, LINE 15:

AFTER A REVIEW OF COMPARABLE STUDIES, THE BOARD OF DIRECTORS DETERMINES AND APPROVES THE COMPENSATION FOR THE EXECUTIVE DIRECTOR. THE EXECUTIVE DIRECTOR DETERMINES AND APPROVES THE COMPENSATION FOR KEY EMPLOYEES. THE EXECUTIVE DIRECTOR REVIEWS COMPARABILITY STUDIES FOR KEY EMPLOYEES. THE PROCESS AND DECISIONS ARE DOCUMENTED.

FORM 990, PART VI, SECTION C, LINE 19:

THE ORGANIZATION'S GOVERNING DOCUMENTS, FINANCIAL STATEMENTS, AND CONFLICT OF INTEREST POLICY ARE AVAILABLE UPON REQUEST.

FORM 990, PART XII, LINE 2C

THE PROCESS HAS NOT CHANGED FROM PRIOR YEAR. THE FINANCE AND AUDIT COMMITTEE ASSUME RESPONSIBILITY FOR OVERSIGHT OF THE AUDIT OF ITS FINANCIAL STATEMENTS AND SELECTION OF THEIR INDEPENDENT ACCOUNTANT.

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**LEGAL AID SOCIETY, INC.**


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**General Information**


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<b>Organization Number</b>	0145306
<b>Name</b>	LEGAL AID SOCIETY, INC.
<b>Profit or Non-Profit</b>	N - Non-profit
<b>Company Type</b>	KCO - Kentucky Corporation
<b>Status</b>	A - Active
<b>Standing</b>	G - Good
<b>State</b>	KY
<b>File Date</b>	12/17/1921
<b>Organization Date</b>	12/17/1921
<b>Last Annual Report</b>	1/24/2017
<b>Principal Office</b>	416 WEST MUHAMMAD ALI BLVD LOUISVILLE, KY 40202
<b>Registered Agent</b>	NEVA-MARIE POLLEY 416 W. MUHAMMAD ALI BLVD. STE. 300 LOUISVILLE, KY 40202

**Current Officers**


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<b>Chairman</b>	<u>R. JAMES STRAUS</u>
<b>Vice President</b>	<u>GEORGE LEE, JR.</u>
<b>Vice President</b>	<u>KENDRICK RIGGS</u>
<b>Secretary</b>	<u>ROB RILEY</u>
<b>Director</b>	<u>RICHARD NOWKA</u>
<b>Director</b>	<u>LOUIS COOK</u>
<b>Director</b>	<u>LAUREL DOHENY</u>

**Individuals / Entities listed at time of formation**


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<b>Director</b>	.
<b>Director</b>	.
<b>Director</b>	.
<b>Incorporator</b>	<u>CHAS STRULL</u>
<b>Incorporator</b>	<u>A H BOWMAN</u>
<b>Incorporator</b>	<u>CHAS W MORRIS</u>
<b>Incorporator</b>	<u>ROBT F VAUGHAN</u>
<b>Incorporator</b>	<u>O A WEHLE</u>

**Images available online**


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Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

[Annual Report](#)

1/24/2017

1 page

[PDF](#)

<a href="#">Registered Agent name/address change</a>	1/12/2016 2:37:12 PM	1 page	<a href="#">PDF</a>
<a href="#">Annual Report</a>	1/12/2016	1 page	<a href="#">PDF</a>
<a href="#">Annual Report</a>	2/13/2015	1 page	<a href="#">PDF</a>
<a href="#">Annual Report</a>	1/23/2014	1 page	<a href="#">PDF</a>
<a href="#">Annual Report</a>	1/15/2013	1 page	<a href="#">PDF</a>
<a href="#">Annual Report</a>	1/17/2012	1 page	<a href="#">PDF</a>
<a href="#">Annual Report</a>	5/17/2011	1 page	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Annual Report</a>	6/29/2010	1 page	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Annual Report</a>	7/7/2009	1 page	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Annual Report</a>	5/30/2008	1 page	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Statement of Change</a>	4/24/2007	1 page	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Annual Report</a>	4/11/2007	1 page	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Principal Office Address Change</a>	11/6/2006	1 page	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Annual Report</a>	5/2/2006	1 page	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Statement of Change</a>	5/12/2005	1 page	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Annual Report</a>	4/21/2005	1 page	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Annual Report</a>	8/15/2003	1 page	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Annual Report</a>	8/26/2002	5 pages	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Annual Report</a>	6/26/2001	5 pages	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Annual Report</a>	6/19/2000	5 pages	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Annual Report</a>	8/23/1999	5 pages	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Annual Report</a>	6/16/1998	5 pages	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1997	5 pages	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1996	5 pages	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Amended and Restated Articles</a>	12/28/1995	8 pages	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1995	4 pages	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1994	4 pages	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1993	1 page	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1992	6 pages	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1991	4 pages	<a href="#">tiff</a> <a href="#">PDF</a>
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<a href="#">Annual Report</a>	7/1/1989	4 pages	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Statement of Change</a>	5/29/1985	2 pages	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Amendment</a>	3/19/1980	4 pages	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Statement of Change</a>	6/25/1976	2 pages	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Statement of Change</a>	6/27/1975	2 pages	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Letters</a>	6/27/1975	1 page	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Statement of Change</a>	3/23/1972	3 pages	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Amendment</a>	3/3/1972	5 pages	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Amendment</a>	9/16/1966	4 pages	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1941	29 pages	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Statement of Change</a>	4/25/1927	1 page	<a href="#">tiff</a> <a href="#">PDF</a>
<a href="#">Articles of Incorporation</a>	12/17/1921	6 pages	<a href="#">tiff</a> <a href="#">PDF</a>

## Assumed Names



**Activity History**

Filing	File Date	Effective Date	Org. Referenced
Annual report	1/24/2017 3:35:10 PM	1/24/2017 3:35:10 PM	
Annual report	1/12/2016 3:03:11 PM	1/12/2016 3:03:11 PM	
Registered agent address change	1/12/2016 2:37:12 PM	1/12/2016 2:37:12 PM	
Annual report	2/13/2015 11:15:02 AM	2/13/2015 11:15:02 AM	
Annual report	1/23/2014 11:17:56 AM	1/23/2014 11:17:56 AM	
Annual report	1/15/2013 8:29:59 AM	1/15/2013 8:29:59 AM	
Annual report	1/17/2012 12:20:42 PM	1/17/2012 12:20:42 PM	
Annual report	5/17/2011 12:23:31 PM	5/17/2011	
Annual report	6/29/2010 11:09:02 AM	6/29/2010	
Annual report	7/7/2009 3:13:09 PM	7/7/2009	
Annual report	5/30/2008 10:48:42 AM	5/30/2008	
Registered agent address change	4/24/2007 1:52:48 PM	4/24/2007	
Principal office change	4/12/2007 8:17:35 AM	4/12/2007	
Annual report	4/11/2007 12:15:02 PM	4/11/2007	
Principal office change	11/6/2006 8:49:46 AM	11/6/2006	
Annual report	5/2/2006 9:17:02 AM	5/2/2006	
Registered agent address change	5/12/2005 1:18:39 PM	5/12/2005	
Amendment - Amended and restated articles / CLP	12/28/1995	12/28/1995	
Amendment - Miscellaneous amendments	12/28/1995	12/28/1995	
Amendment previous name	3/19/1980	3/19/1980	<u>LEGAL AID</u> <u>SOCIETY OF</u> <u>LOUISVILLE</u>

**Microfilmed Images**

**Microfilm images are not available online. They can be ordered by faxing a Request For Corporate Documents to the Corporate Records Branch at 502-564-5687.**

Registered Agent name/address change	5/12/2005	1 page
Annual Report	3/31/2005	1 page
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