

NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form

Applicant/Program: Neighborhood House Improvements
Applicant Requested Amount: \$50,000
Appropriation Request Amount: \$13,000

Executive Summary of Request

Request to appropriate \$13,000 towards the Neighborhood House (201 N. 25th Street) for roof and gutter repairs

Is this program/project a fundraiser? Yes No
Is this applicant a faith based organization? Yes No
Does this application include funding for sub-grantee(s)? Yes No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

5 District # Chris B. Hamelton Primary Sponsor Signature \$10,000 Amount 09/21/2018 Date

Primary Sponsor Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

Approved by:

Appropriations Committee Chairman Date

Final Appropriations Amount: _____

Department/Project: Neighborhood House, Inc. Improvements

Additional Signatures

I have reviewed this request for an expenditure of city tax dollars, and have determined the funds will be used for a public purpose.

Council Member Signature and Amount

District 1	_____	\$ _____
District 2	_____	\$ _____
District 3	<i>Mary Stedley</i>	\$ <i>2,000⁰⁰</i> <i>MCU</i>
District 4	_____	\$ _____
District 5	_____	\$ _____
District 6	_____	\$ _____
District 7	_____	\$ _____
District 8	_____	\$ _____
District 9	_____	\$ _____
District 10	_____	\$ _____
District 11	_____	\$ _____
District 12	_____	\$ _____
District 13	_____	\$ _____
District 14	_____	\$ _____
District 15	<i>Theraine Butler</i>	\$ <i>1,000 -</i>
District 16	_____	\$ _____
District 17	_____	\$ _____
District 18	_____	\$ _____
District 19	_____	\$ _____
District 20	_____	\$ _____
District 21	_____	\$ _____
District 22	_____	\$ _____
District 23	_____	\$ _____
District 24	_____	\$ _____
District 25	_____	\$ _____
District 26	_____	\$ _____

**LOUISVILLE METRO COUNCIL
NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

Legal Name of Applicant Organization Neighborhood House, Inc.

Program Name and Request Amount Neighborhood House, Inc. ; \$50,000 Improvements

	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	<input type="checkbox"/> Yes
Is the funding proposed by Council Member(s) less than or equal to the request amount?	<input type="checkbox"/> Yes
Is the proposed public purpose of the program viable and well-documented?	<input type="checkbox"/> Yes
Will all of the funding go to programs specific to Louisville/Jefferson County?	<input type="checkbox"/> Yes
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	<input type="checkbox"/> Yes
Has prior Metro Funds committed/granted been disclosed?	<input type="checkbox"/> Yes
Is the application properly signed and dated by authorized signatory?	<input type="checkbox"/> Yes
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	<input type="checkbox"/> Yes
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	<input type="checkbox"/> N/A
Is the entity in good standing with: <ul style="list-style-type: none"> ▶ Kentucky Secretary of State? ▶ Louisville Metro Revenue Commission? ▶ Louisville Metro Government? ▶ Internal Revenue Service? ▶ Louisville Metro Human Relations Commission? 	<input type="checkbox"/> Yes
Is the current Fiscal Year Budget included?	<input type="checkbox"/> Yes
Is the entity's board member list (with term length/term limits) included?	<input type="checkbox"/> Yes
Is recommended funding less than 33% of total agency operating budget?	<input type="checkbox"/> Yes
Does the application budget reflect only the revenue and expenses of the project/program?	<input type="checkbox"/> Yes
Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?	<input type="checkbox"/> Yes
Is the most recent annual audit (if required by organization) included?	<input type="checkbox"/> Yes
Is a copy of Signed Lease (if rent costs are requested) included?	<input type="checkbox"/> N/A
Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?	<input type="checkbox"/> N/A
Are the Articles of Incorporation of the Agency included?	<input type="checkbox"/> Yes
Is the IRS Form W-9 included?	<input type="checkbox"/> Yes
Is the IRS Form 990 included?	<input type="checkbox"/> Yes
Are the evaluation forms (if program participants are given evaluation forms) included?	<input type="checkbox"/> N/A
Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?	<input type="checkbox"/> N/A
Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?	<input type="checkbox"/> Yes

Prepared by: **Chase Sanders**

Date: 09/21/2018

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 - APPLICANT INFORMATION			
Legal Name of Applicant Organization: Neighborhood House <i>(as listed on: http://www.sos.ky.gov/business/records)</i>			
Main Office Street & Mailing Address: 201 North 25th Street, Louisville, KY 40212			
Website: www.nhky.org			
Applicant Contact:	Martha Fuson or Cindy Frazier	Title:	Director of Operations/Assistant
Phone:	502-774-2322	Email:	mfuson@nhky.org/cfrazier@nhky.org
Financial Contact:	Beverly Jones	Title:	Director of Finance
Phone:	502-774-2322 ext. 229	Email:	bjones@nhky.org
Organization's Representative who attended NDF Training: Yvette Livers			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s):	201 North 25th Street		
Council District(s):	5, 1,3, 4,6,7,10, 12, 15	Zip Code(s):	40212, 03, 04, 08,10, 12, 15
SECTION 2 - PROGRAM ISSUES & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: Neighborhood House Improvements			
Total Request: (\$)	50,000	Total Metro Award (this program) in previous year: (\$)	50,000
Purpose of Request (check all that apply):			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget)			
<input type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals			
<input checked="" type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
The Following are Required Attachments:			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <i>Addendum A</i> <input checked="" type="checkbox"/> Current year projected budget <i>Addendum B</i> <input checked="" type="checkbox"/> Current financial statement <i>Addendum C</i> <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <i>Addendum D</i> <input checked="" type="checkbox"/> Articles of Incorporation (current & signed) <i>Addendum E</i> <input checked="" type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense <i>Addendum F</i>		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <i>Addendum G</i> <input type="checkbox"/> Evaluation forms if used in the proposed program <input checked="" type="checkbox"/> Annual audit (if required by organization) <i>Addendum H</i> <input type="checkbox"/> Faith Based Organization Certification Form, if applicable	
For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
Source:	Art	Amount: (\$)	9,000
Source:	Youth	Amount: (\$)	20,000
Source:	Seniors	Amount: (\$)	13,000
Has the applicant contacted the BBB Charity Review for participation? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

Neighborhood House

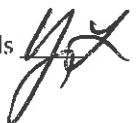
Vision: Our vision is to break the cycle of poverty for our children and families.

Mission: Our mission is to provide individuals with opportunities to enhance the quality of their lives.

Neighborhood House has provided vital services to families since 1896. Today, we serve infants through senior adults in Portland and surrounding communities. The communities we serve are some of the most impoverished areas in Louisville.

The core programs are:

- Child Development Center cares for children 6 weeks to 12 years old and prepares preschoolers to enter kindergarten ready to succeed.
- Youth Development Program prepares children and teens for success in college, work, and life by providing academic support, life skills training, social and cultural enrichment.
- Family Services operates an emergency food bank, provides financial coaching, advocacy, family engagement, and wellness activities.
- Four Seasons Program (Seniors) improves the quality of life for senior adults by supporting health, socialization, and independence.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 - BOARD OF DIRECTORS AND PAID STAFF

Board Member	Term End Date
Celia Manlove (President)	Dec 31, 2020
Ted Nixon (Vice President)	Dec 31, 2018
Debbie Held (Treasurer)	Dec 31, 2018
Vicki Hines-Martin (Secretary)	Dec 31, 2020
Kelly Bryant (at large)	Dec 31, 2018*
Jim Rogers (at large)	Dec 31, 2018*
Wendy Dowd	Dec 31, 2019
Kathi Stearman	Dec 31, 2019
Kevin Fuqua	Dec 31, 2018
Christi Lanier-Robinson	Dec 31, 2020
Carol Coldiron	Dec 31, 2018
Tom Kokai	Dec 31, 2020
Kate Ward	Dec 31, 2020
Celia Catlett	Dec 31, 2020
Keisha Deonarine	Dec 31, 2020
Dustin Bell	Dec 31, 2020

Describe the Board term limit policy:

The terms of office for each Director shall be three (3) years beginning in January of the calendar year in which he or she is elected. No Director shall serve more than three (3) consecutive terms (nine years). Currently, two members-at-large with the asterisks by their name have served 3 consecutive terms. All other board members are eligible for another term.

Three Highest Paid Staff Names	Annual Salary
Yvette Livers - Executive Director	87,000
Beverly Jones - Finance Director	55,000
Jeff Alston - Program Director	55,000



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

Capital needs for Neighborhood House. Our building is now 15 years old. The services since opening the new building have expanded and we now average being open 6 days a week for the community. As building ages and we experience more "wear and tear, we need help to cover the cost of the building repair and updates to be able to continue to provide these vital services for the community.

Projects to start as soon as funding is approved. We will plan to complete the projects by June 1, 2019.

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):

Funding will be spent on capital project for the organization. There are no subgrantees, but we will be paying various contractors or vendors to assist with the various capital projects.

Capital projects include (by priority)

Gutters and roof repairs to stop leakage in several areas of the building especially the leaks in Child Development Center.

Energy efficient lighting

Replace Computers

HVAC Repairs

Boiler Repairs

Install plumbing, sink and drainage in senior activity room for sanitary reasons

Painters to update teen, senior and child development areas



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

N/A

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

Reimbursements should not be made before application date unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served: Neighborhood House's vision is to provide individuals with the opportunities to enhance the quality of their lives. We do this through are core programs of Child Development Center, Youth Development, Family Services, and our Four Seasons Seniors Program.

The Child Development Children provides children from ages six weeks through twelve years old with a safe, nurturing learning environment. We utilize Reggio Amelia Curriculum in the classroom. This curriculum along with age's and stages questionnaires let us know it children are meeting their developmental milestones at the appropriate time.

Our Youth Development Program provides children and teens with a positive alternative to risky situations and environments prevalent in low-income neighborhoods. Our educationally focused program is preparing youth for success in college, work and life. We track our progress through a variety of tools including internal assessments to gage their emotional well-being and their academic performance. Also, we utilize the Jefferson County Public School Cascades system that monitors grades, attendance and test scores.

Through Family Services, we provide a variety of resources and activities to support adults and families in our neighborhood. Our Family Services Manager works with individuals to help the develop and achieve goals to improve the quality of their lives. The program provides family engagement opportunities and wellness activities.

The Four Seasons Senior Program improves the quality of life for some of our neighborhood's most vulnerable citizens-senior adults. Our program supports physical and emotional health as well as independence for our senior adults. We monitor this process through the use of self reporting, reduction in hospitalizations and quality of life

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

Metro Government Senior Nutrition Program-This partnership feeds our seniors adults a hot meal daily.

Excellence Academy-Partnership for training and resources for our Child Development Center

Metro United Way-Partners in support of our Child Development Center, Seniors Program and our Youth Development Program.

Portland NOW-Neighborhood Association meets at Neighborhood House every month.

Dare to Care-Provides food for our Emergency Food Band and meals for our youth 6 days a week.

BLOCS (Metro Gov/MUW) Our Youth Development Program is a "BLOC STAR" through our partnership our workers receive training and support.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 - PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
A: Personnel Costs (including Benefits)			
B: Rent/Utilities			
C: Office Supplies			
D: Telephone			
E: In-town Travel			
F: Client Assistance (See Detailed List on Page 8)			
G: Professional Service Contracts			
H: Program Materials			
I: Community Events & Festivals (See Detailed List on Page 8)			
J: Machinery & Equipment			
K: Capital Project	50,000	49,374	99,374
L: Other Expenses (See Detailed List on Page 8)			
*TOTAL PROGRAM/PROJECT FUNDS	50,000	49,374	99,374
% of Program Budget	50 %	50 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	
Fees Collected from Program Participants	
Other (please specify) <i>Donations</i>	<i>\$49,374</i>
	<i>\$49,374</i>

*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

**Must equal or exceed total in column 2.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail for Client Assistance, Community Events & Festivals or Other Expenses shown on Page 7 (circle one and use multiple sheets if necessary)	Column 1	Column 2	Column (1 + 2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
Total	50,000		

Applicant's Initials 

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
Volunteers-Building and Grounds Committee	\$6,000	12 mtgs-8 volunteers/\$62.50mtg
Volunteers for various daily projects	\$28,800	3v daily @ \$200/day x48wks
<i>Total Value of In-Kind (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)</i>	\$34,000	

*** DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK**

Agency Fiscal Year Start Date: July 1, 2018 thru June 30, 2019

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 7 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked and the funds will not be disbursed to our organization.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

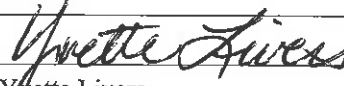
Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

SECTION 8 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:		Date:	9-12-2018
Legal Signatory: (please print):	Yvette Livers	Title:	Executive Director
Phone:	(502) 774-2322	Extension:	222
Email:	ylivers@nhky.org		

Neighborhood House has provided vital services to families since 1896. Today we serve infants through senior adults in and around Portland, one of the most impoverished communities in Louisville.



Education is the key to breaking the cycle of poverty. Our educationally focused programs are providing our children and families with the skills and support they need to realize a brighter future.

Our VISION is to break the cycle of poverty for our children and families.

Our MISSION is to provide individuals with opportunities to enhance the quality of their lives.



Neighborhood House
Serving Families Since 1896

201 North 25th Street,
Louisville, KY 40212
502.774.2322

www.nhky.org

Neighborhood House is a 501 (c) non-profit agency.

Paid for by:



Meeting Life Needs
Teaching Life Skills
Inspiring Life Dreams



THE CHILD DEVELOPMENT CENTER cares for children 6 weeks to 12 years old and prepares preschoolers to enter kindergarten ready to succeed. We work with our families to engage them in their child's learning and development.

THE YOUTH DEVELOPMENT PROGRAM prepares our children and teens for success in college, work and life by providing academic support, life skills training, and social and cultural enrichment.

THE FOUR SEASONS PROGRAM improves quality of life for senior adults by supporting health, socialization and independence.

OUR FAMILY SERVICES operates an emergency food bank and provides life and financial coaching, advocacy, family engagement and wellness activities.



Neighborhood House is breaking the cycle of poverty for children and families in the Portland community and surrounding neighborhoods in West Louisville.

By meeting *Life Needs*, teaching *Life Skills* and inspiring *Life Dreams*, we are changing lives and the future of our community!




OUR COMMUNITY NEEDS US

- 55% of our children live below the federal poverty level
- 46% of adults in Portland do not have a high school degree
- 48% of our families make less than \$15,000 per year

TOGETHER WE CAN MAKE A DIFFERENCE

- 100% of our preschoolers are graduating ready to succeed in kindergarten.
- Our youth are improving academically, gaining a broader view of the world and developing college or career goals.
- Parents are strengthening their families and building greater stability at home.
- Senior adults are experiencing improved health and enhanced quality of life.

Addendum A

 **IRS** Department of the Treasury
Internal Revenue Service
Cincinnati Service Center
CINCINNATI OH 45999-0038

In reply refer to: 0752161033
Feb. 11, 2013 LTR 4168C 0
[REDACTED] 000000 00
00014734
BODC: TE

NEIGHBORHOOD HOUSE
201 N 25TH ST
LOUISVILLE KY 40212



46127

Employer Identification Number: [REDACTED]
Person to Contact: Mr. Perkins
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your Jan. 31, 2013, request for information regarding your tax-exempt status.

Our records indicate that you were recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in October 1972.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Please refer to our website www.irs.gov/eo for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.

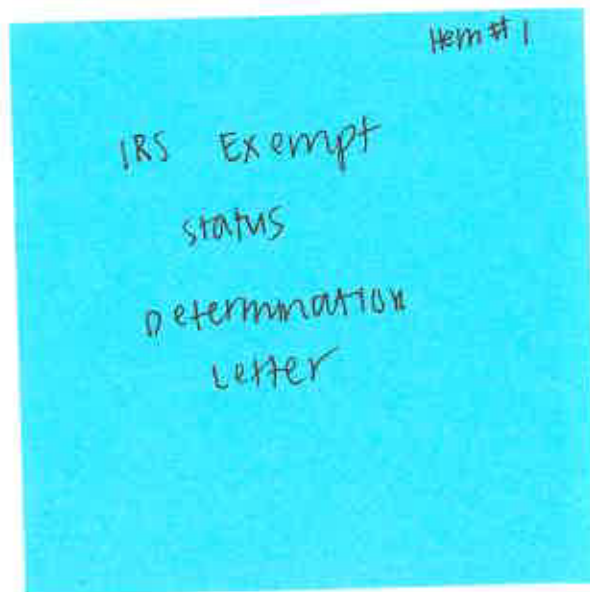
NEIGHBORHOOD HOUSE
201 N 25TH ST
LOUISVILLE KY 40212

If you have any questions, please call us at the telephone number shown in the heading of this letter.

Sincerely yours,



Stephen E. Paxton
Operations Manager, AM Operations 3



Item #1
IRS Exempt
status
determination
letter



Neighborhood House
 1000 14th Street, NW
 Washington, DC 20005

Organization Budget Summary

INCOME

Contributions	\$	430,160
Special Events	\$	150,000
Grants	\$	281,000
MUW	\$	200,143
Memberships	\$	18,220
Childcare Tuition	\$	479,211
"STARS" Funding	\$	8,246
Nutrition Reimbursement	\$	63,617
Miscellaneous Income	\$	2,370
Rental Income	\$	70,308
TOTAL INCOME	\$	1,703,275

EXPENSE

Program Salaries & Benefits	\$	1,235,929
Administrative Salaries & Benefits	\$	97,159
Program Activities & Supplies	\$	73,105
Staff Hiring & Development	\$	13,350
Professional Fees	\$	16,410
Office Expense	\$	11,140
Board Training & Meetings	\$	400
Food & Nutrition Supplies	\$	78,780
Facilities Maintenance	\$	120,382
Development Support	\$	17,060
Taxes & Fees	\$	1,500
Legal & Accounting	\$	12,600
Association Fees	\$	2,500
IT Support	\$	22,960
TOTAL EXPENSES	\$	1,703,275

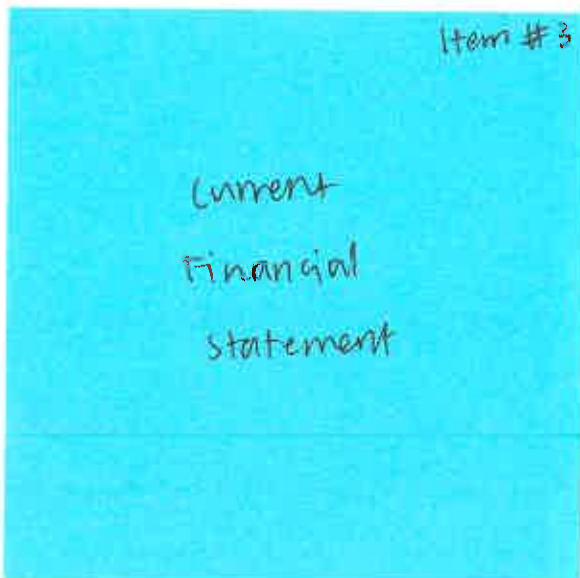
Neighborhood House Income Statement

July 1, 2017-June 30, 2018

Ordinary Income/Expense

Income

4000 · Contributions	\$ 228,802
4001 · Fundraising Activity Other	\$ 9,382
4002 · Special Events	\$ 139,911
4003 · Grants	\$ 427,500
4004 · Child / Family Support	\$ 2,020
4007 · Staff Support	\$ 1,855
4010 · Metro United Way	\$ 185,673
4015 · Memberships / Fees	\$ 11,059
4025 · Childcare Tuition	\$ 379,257
4026 · "STARS" Funding	\$ 8,246
4030 · Nutrition Reimbursement	\$ 59,337
4055 · Investment Income	\$ 27
4065 · Vending	\$ 561
4110 · Miscellaneous Income	\$ 10
4111 · Rental Income	\$ 70,483
Total Income	\$ 1,524,122
Gross Profit	\$ 1,524,122





Neighborhood House Income Statement July 1, 2017-June 30, 2018

Expense

6000 · Gross Wages	\$	822,259
6005 · Contract Labor (Programs)	\$	19,634
6007 · Contract Labor (Non-Program)	\$	54,488
6010 · Program Events/Activities	\$	14,675
6011 · Program Special Events	\$	11,694
6015 · Health Insurance	\$	40,913
6016 · Retirement Plan	\$	12,709
6018 · Unemployment Cost	\$	2,874
6025 · FICA & Medicare	\$	60,711
6026 · Workers Comp Insurance	\$	9,467
6027 · Payroll Fees	\$	5,484
6029 · Professional Development	\$	3,679
6030 · Recruitment/Hiring	\$	9,850
6040 · Professional Fees	\$	11,211
6045 · Miscellaneous	\$	1,725
6055 · Office Expenses	\$	3,913
6056 · Printing	\$	1,198
6057 · Postage	\$	1,538
6058 · IT Support & Maintenance	\$	6,583
6059 · Business Exp	\$	666
6060 · Program Supplies	\$	13,129
6061 · Food	\$	64,145
6062 · Cheers for Careers	\$	4,924
6063 · Board Training/Mtgs	\$	649
6064 · Nutrition Supplies	\$	5,886
6066 · Program Incentives	\$	202
6080 · Building & Ground Supplies	\$	13,103
6085 · Telephone	\$	8,134
6095 · Small Equipment Purchases	\$	4,110
6100 · Utilities	\$	46,816
6105 · Property Repairs & Maintenance	\$	22,312
6110 · Property & Casualty Insurance	\$	27,292



Neighborhood House Income Statement

July 1, 2017-June 30, 2018

6120 · Licensing Expense	\$	265
6121 · Vehicle/Transportation	\$	5,665
6122 · Mileage Reimbursement	\$	138
6130 · Staff Support Expense	\$	1,936
6140 · Association Fees	\$	430
6150 · Management Fee Expense	\$	99,338
6155 · Legal and Accounting Fees	\$	12,600
6160 · Donor Development	\$	4,238
6176 · Marketing	\$	1,537
6180 · Bank Charges	\$	886
6185 · Interest Expense	\$	638
6189 · Amortization Expense	\$	-
6300 · Child/Family Support	\$	1,519
6500 · Taxes and Fees	\$	-
Total Expense	\$	1,435,163
Net Ordinary Income	\$	88,958
Other Income/Expense		
Other Income		
4607 · Other Incomes	\$	53,181
Total Other Income	\$	53,181
Other Expense		
6607 · Other Expenses	\$	(83)
6999 · Capital Expenditure	\$	41,708
Total Other Expense	\$	41,624
Net Other Income	\$	11,556
Net Income	\$	100,515

Addendum D

Form **990**

Return of Organization Exempt From Income Tax

OMB No. 1545-0047

Department of the Treasury
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.
Information about Form 990 and its instructions is at www.irs.gov/form990.

2016
Open to Public Inspection

A For the 2016 calendar year, or tax year beginning 07/01/16, and ending 06/30/17

B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final return/terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	C Name of organization Neighborhood House, Inc.		D Employer identification number [REDACTED]
	Doing business as		E Telephone number 502-774-2322
	Number and street (or P.O. box if mail is not delivered to street address) 201 N. 25th St.	Room/suite	G Gross receipts \$ <u>1,631,722</u>
	City or town, state or province, country, and ZIP or foreign postal code Louisville KY 40212		
F Name and address of principal officer: Pam Rice 201 N. 25th St. Louisville KY 40212			H(a) Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions)
I Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527			
J Website: <u>www.nhky.org</u>			
K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other			L Year of formation: <u>1896</u>
			M State of legal domicile: <u>KY</u>

Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: The mission of Neighborhood House is to provide individuals with opportunities to enhance the quality of their lives.			
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.			
	3 Number of voting members of the governing body (Part VI, line 1a)	3	<u>16</u>	
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	<u>16</u>	
	5 Total number of individuals employed in calendar year 2016 (Part V, line 2a)	5	<u>75</u>	
	6 Total number of volunteers (estimate if necessary)	6	<u>425</u>	
	7a	<u>0</u>		
	7b	<u>0</u>		
Revenue			Prior Year	Current Year
	8 Contributions and grants (Part VIII, line 1h)	<u>1,051,876</u>	<u>986,706</u>	
	9 Program service revenue (Part VIII, line 2g)	<u>404,143</u>	<u>447,655</u>	
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	<u>1,448</u>	<u>56</u>	
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	<u>169,173</u>	<u>158,017</u>	
12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	<u>1,626,640</u>	<u>1,592,434</u>		
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)		<u>0</u>	
	14 Benefits paid to or for members (Part IX, column (A), line 4)		<u>0</u>	
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)		<u>975,603</u>	
	16a Professional fundraising fees (Part IX, column (A), line 11e)		<u>0</u>	
	b Total fundraising expenses (Part IX, column (D), line 25) <u>175,825</u>			
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)		<u>847,910</u>	
18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)		<u>1,823,513</u>	<u>1,886,954</u>	
19 Revenue less expenses. Subtract line 18 from line 12		<u>-196,873</u>	<u>-294,520</u>	
Net Assets or Fund Balances			Beginning of Current Year	End of Year
	20 Total assets (Part X, line 16)	<u>2,559,672</u>	<u>2,244,702</u>	
	21 Total liabilities (Part X, line 26)	<u>95,456</u>	<u>75,006</u>	
22 Net assets or fund balances. Subtract line 21 from line 20		<u>2,464,216</u>	<u>2,169,696</u>	

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer <u>Pam Rice</u> Type or print name and title		Date Executive Director	
	Print/Type preparer's name <u>Barbara Lasky</u>	Preparer's signature <u>Barbara Lasky</u>	Date <u>01/26/18</u>	Check <input type="checkbox"/> if self-employed <input type="checkbox"/> if PTIN P00015280
Paid Preparer Use Only	Firm's name <u>Baldwin CPAs, PLLC</u>		Firm's EIN <u>20-1416603</u>	
	Firm's address <u>943 S 1st Street Louisville, KY 40203</u>		Phone no. <u>502-584-9793</u>	

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

For Paperwork Reduction Act Notice, see the separate instructions.

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1 Briefly describe the organization's mission:

See Schedule O

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? Yes No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? Yes No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ **780,956** including grants of\$) (Revenue \$)

Our Child Development Center makes a lifelong difference by impacting children the first five years of their lives as well as engaging their parents. Our children can continue with us through age 12 in an out-of-school-time class or they can transition to our Youth Development Program. Our focus is to ensure children meet their developmental milestones, are ready to succeed when they start kindergarten, and develop a lifelong love for learning. We strengthen the impact for our children by engaging their parents in the learning process, recognizing parents are the first teachers for their children. Our Center is a part of the elite Excellence Academy and Stars for Kids Now program.

4b (Code:) (Expenses \$ **427,332** including grants of\$) (Revenue \$)

Our Youth Development Program provides activities to 1st - 12th graders to help them succeed in school and life. We provide academic support, character development and life skills training, cultural and recreational activities, and college and career readiness services. Parents provide leadership and support for our program through their Council, which helps them support their children and provide additional family engagement activities. We provide nutritious meals 6 days/ week and a safe, nurturing learning focused environment to help our youth learn to dream, to set goals and to develop the skills and confidence to achieve their dreams.

4c (Code:) (Expenses \$ **222,882** including grants of\$) (Revenue \$)

Our Family Services Department engages with many other community partners to provide a variety of services to our community including young adults, college students, parents and senior citizens. We know that strengthening the entire family and community is key to having a great impact on the future of their children. Four Seasons provides senior adults with supports, workshops, physical and recreational activities, and meals to address their physical, social and emotional well-being and improve the quality of their lives. Our Emergency Food Bank provides for immediate, basic needs as well as providing referrals for other services. Partner agencies help provide health services, workshops and basic needs. Parents and young adults can participate in financial workshops, life coaching,

4d Other program services (Describe in Schedule O.)

(Expenses \$ **16,636** including grants of\$) (Revenue \$)

4e Total program service expenses **1,447,806**

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> (see instructions)?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>		X
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	X	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>		X
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>		X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>		X
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> (see instructions)		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X

Part IV Checklist of Required Schedules (continued)

	Yes	No
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>		X
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>		X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		X
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i>		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>		X
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		X
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, or IV, and Part V, line 1</i>		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>		
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O.	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable		
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		
1a	12		
1b	0		
1c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	X	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return		
2a	75		
2b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <i>Note.</i> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	X	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?		X
3b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		X
b	If "Yes," enter the name of the foreign country: See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
5b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
5c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		X
6b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	X	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	X	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		X
d	If "Yes," indicate the number of Forms 8282 filed during the year		
7d			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		X
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		X
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		X
7g			
7h			
8	Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?		
8			
9	Sponsoring organizations maintaining donor advised funds.		
9a	Did the sponsoring organization make any taxable distributions under section 4966?		
9b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?		
10	Section 501(c)(7) organizations. Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
11	Section 501(c)(12) organizations. Enter:		
a	Gross income from members or shareholders	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
a	Is the organization licensed to issue qualified health plans in more than one state? <i>Note.</i> See the instructions for additional information the organization must report on Schedule O.	13a	
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b	
c	Enter the amount of reserves on hand	13c	
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a	X
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b	

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Check if Schedule O contains a response or note to any line in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.		
b	Enter the number of voting members included in line 1a, above, who are independent		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?		X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		X
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		X
6	Did the organization have members or stockholders?		X
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		X
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a	The governing body?	X	
b	Each committee with authority to act on behalf of the governing body?	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?		X
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	X	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	X	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	X	
13	Did the organization have a written whistleblower policy?	X	
14	Did the organization have a written document retention and destruction policy?	X	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official	X	
b	Other officers or key employees of the organization If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		X
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		

Section C. Disclosure

- 17** List the states with which a copy of this Form 990 is required to be filed **▶ KY**
- 18** Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
 Own website Another's website Upon request Other (explain in Schedule O)
- 19** Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
- 20** State the name, address, and telephone number of the person who possesses the organization's books and records: **▶**
Neighborhood House, Inc. 201 N. 25th St.
Louisville **KY 40212** **502-774-2322**

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's **five current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) Kevin Fuqua	1.00									
President	0.00	X		X			0	0	0	
(2) Kelly Bryant	1.00									
At Large	0.00	X		X			0	0	0	
(3) Celia Manlove	1.00									
Vice President	0.00	X		X			0	0	0	
(4) Vicki Hines-Martin	1.00									
Secretary	0.00	X		X			0	0	0	
(5) Debbie Held	1.00									
Treasurer	0.00	X		X			0	0	0	
(6) Jim Rogers	1.00									
Past President	0.00	X		X			0	0	0	
(7) Peter Thurman, Jr.	1.00									
Board Member	0.00	X					0	0	0	
(8) Hollis Smith	1.00									
Board Member	0.00	X					0	0	0	
(9) Mike LaVera	1.00									
Board Member	0.00	X					0	0	0	
(10) Nancy Johnson	1.00									
Board Member	0.00	X					0	0	0	
(11) Wendy Dowd	1.00									
Board Member	0.00	X					0	0	0	

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(12) Kathi Stearman	1.00									
Board Member	0.00	X					0	0	0	
(13) Rob Givens	1.00									
Board Member	0.00	X					0	0	0	
(14) Ted Nixon	1.00									
Board Member	0.00	X					0	0	0	
(15) Christi Lanier-Robinson	1.00									
Board Member	0.00	X					0	0	0	
(16) Carol Coldiron	1.00									
Board Member	0.00	X					0	0	0	
(17) Pam Rice	40.00									
Executive Director	0.00			X			86,068	0	0	
1b Sub-total							86,068			
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)							86,068			

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **0**

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>		X
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **0**

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns	1a 201,842				
	b Membership dues	1b				
	c Fundraising events	1c 31,914				
	d Related organizations	1d				
	e Government grants (contributions)	1e				
	f All other contributions, gifts, grants, and similar amounts not included above	1f 752,950				
	g Noncash contributions included in lines 1a-1f: \$	118,633				
	h Total. Add lines 1a-1f		986,706			
Program Service Revenue	2a Day Care Client Fees	Busn. Code	380,900	380,900		
	b Food Program Fees		55,398	55,398		
	c Other program fees		11,357	11,357		
	d					
	e					
	f All other program service revenue					
	g Total. Add lines 2a-2f		447,655			
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		56		56	
	4 Income from investment of tax-exempt bond proceeds					
	5 Royalties					
	6a Gross rents	(i) Real	70,459			
		(ii) Personal				
	b Less: rental exps.					
	c Rental inc. or (loss)	70,459				
	d Net rental income or (loss)		70,459		70,459	
	7a Gross amount from sales of assets other than inventory	(i) Securities				
		(ii) Other				
	b Less: cost or other basis & sales exps.					
	c Gain or (loss)					
d Net gain or (loss)						
8a Gross income from fundraising events (not including \$ 31,914 of contributions reported on line 1c). See Part IV, line 18	a 126,376					
b Less: direct expenses	b 39,288					
c Net income or (loss) from fundraising events		87,088		87,088		
9a Gross income from gaming activities. See Part IV, line 19	a					
b Less: direct expenses	b					
c Net income or (loss) from gaming activities						
10a Gross sales of inventory, less returns and allowances	a					
	b Less: cost of goods sold	b				
c Net income or (loss) from sales of inventory						
Miscellaneous Revenue		Busn. Code				
11a Other Income		470	470			
b						
c						
d All other revenue						
e Total. Add lines 11a-11d		470				
12 Total revenue. See instructions.		1,592,434	448,125	0	157,603	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21				
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees				
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	962,271	771,103	121,030	70,138
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	14,992	12,013	1,886	1,093
9 Other employee benefits	34,309	21,795	12,187	327
10 Payroll taxes	72,937	56,026	11,473	5,438
11 Fees for services (non-employees):				
a Management	102,848	35,997	35,996	30,855
b Legal				
c Accounting	11,500		11,500	
d Lobbying				
e Professional fundraising services. See Part IV, line 7				
f Investment management fees				
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)	34,708		3,501	31,207
12 Advertising and promotion				
13 Office expenses	9,831	2,559	2,090	5,182
14 Information technology	12,164	6,957	5,090	117
15 Royalties				
16 Occupancy	76,064	60,952	9,568	5,544
17 Travel	4,406	2,716	1,563	127
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	6,991	3,116	3,785	90
20 Interest	305	245	38	22
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	158,421	126,949	19,925	11,547
23 Insurance	31,288	25,072	3,935	2,281
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a Program Expenses	269,969	269,969		
b Contract Labor	55,341	38,355	14,219	2,767
c Other Expenses	18,301	7,675	2,646	7,980
d Telephone	7,686	6,159	967	560
e All other expenses	2,622	148	1,924	550
25 Total functional expenses. Add lines 1 through 24e	1,886,954	1,447,806	263,323	175,825
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)				

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

		(A) Beginning of year		(B) End of year	
Assets	1	135,185	1	106,323	
	2		2		
	3	355,561	3	176,918	
	4	39,878	4	27,648	
	5		5		
	6		6		
	7		7		
	8		8		
	9		9		
	10a	4,152,300			
	10b	2,250,540	1,987,297	10c	1,901,760
	11		11		
	12		12		
	13		13		
	14		14		
	15	41,751	15	32,053	
16	2,559,672	16	2,244,702		
Liabilities	17	82,152	17	75,006	
	18		18		
	19		19		
	20		20		
	21		21		
	22		22		
	23	13,304	23		
	24		24		
	25		25		
	26	95,456	26	75,006	
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.				
	27	1,948,799	27	1,874,726	
	28	515,417	28	294,970	
	29		29		
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.				
	30		30		
	31		31		
	32		32		
33	2,464,216	33	2,169,696		
34	2,559,672	34	2,244,702		

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	1,592,434
2	Total expenses (must equal Part IX, column (A), line 25)	2	1,886,954
3	Revenue less expenses. Subtract line 2 from line 1	3	-294,520
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	2,464,216
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	2,169,696

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
2c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	X	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.		

SCHEDULE A
(Form 990 or 990-EZ)

Public Charity Status and Public Support

OMB No. 1545-0047

2016

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Name of the organization

Neighborhood House, Inc.

Employer identification number

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ).)
- 3 A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state:
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture (see instructions). Enter the name, city, and state of the college or university:
- 10 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 11 An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 12 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
 - a **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
 - b **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
 - c **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
 - d **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
 - e Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
 - f Enter the number of supported organizations
 - g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1--10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
(A)						
(B)						
(C)						
(D)						
(E)						
Total						

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
 (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	956,775	1,238,565	922,469	1,051,876	986,706	5,156,391
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	956,775	1,238,565	922,469	1,051,876	986,706	5,156,391
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						255,437
6 Public support. Subtract line 5 from line 4.						4,900,954

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
7 Amounts from line 4	956,775	1,238,565	922,469	1,051,876	986,706	5,156,391
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	71,604	71,066	71,749	71,756	70,515	356,690
9 Net income from unrelated business activities, whether or not the business is regularly carried on					0	
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)	77,085	60,817	72,977	127,814	126,376	465,069
11 Total support. Add lines 7 through 10						5,978,150
12 Gross receipts from related activities, etc. (see instructions)					12	2,215,487

13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶

Section C. Computation of Public Support Percentage

14 Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f))	14	81.98%
15 Public support percentage from 2015 Schedule A, Part II, line 14	15	85.08%

16a 33 1/3% support test—2016. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶

b 33 1/3% support test—2015. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶

17a 10%-facts-and-circumstances test—2016. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶

b 10%-facts-and-circumstances test—2015. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶

18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

Section C. Computation of Public Support Percentage

15 Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2015 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2016 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2015 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests—2016. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

b 33 1/3% support tests—2015. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

		Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i>		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
b	Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c	Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
b	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
c	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer 10b below.</i>		
b	Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>		

Part IV Supporting Organizations (continued)

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?	11a	
b A family member of a person described in (a) above?	11b	
c A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.	11c	

Section B. Type I Supporting Organizations

	Yes	No
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.	1	
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.	2	

Section C. Type II Supporting Organizations

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).	1	

Section D. All Type III Supporting Organizations

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?	1	
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).	2	
3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.	3	

Section E. Type III Functionally-Integrated Supporting Organizations

- 1** Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).
- a** The organization satisfied the Activities Test. Complete line 2 below.
 - b** The organization is the parent of each of its supported organizations. Complete line 3 below.
 - c** The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).

2 Activities Test. Answer (a) and (b) below.

	Yes	No
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.	2a	
b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.	2b	
3 Parent of Supported Organizations. Answer (a) and (b) below.		
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.	3a	
b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.	3b	

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1 Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3.	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	Adjusted Net Income (subtract lines 5, 6 and 7 from line 4).	8	

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):			
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	Total (add lines 1a, 1b, and 1c)	1d	
e Discount claimed for blockage or other factors (explain in detail in Part VI):			
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d.	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035.	6	
7	Recoveries of prior-year distributions	7	
8	Minimum Asset Amount (add line 7 to line 6)	8	

Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1.	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3.	4	
5	Income tax imposed in prior year	5	
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).	6	

7 Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).



Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations *(continued)*

Section D - Distributions		Current Year		
1	Amounts paid to supported organizations to accomplish exempt purposes			
2	Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity			
3	Administrative expenses paid to accomplish exempt purposes of supported organizations			
4	Amounts paid to acquire exempt-use assets			
5	Qualified set-aside amounts (prior IRS approval required)			
6	Other distributions (describe in Part VI). See instructions.			
7	Total annual distributions. Add lines 1 through 6.			
8	Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.			
9	Distributable amount for 2016 from Section C, line 6			
10	Line 8 amount divided by Line 9 amount			
Section E - Distribution Allocations (see instructions)		(i) Excess Distributions	(ii) Underdistributions Pre-2016	(iii) Distributable Amount for 2016
1	Distributable amount for 2016 from Section C, line 6			
2	Underdistributions, if any, for years prior to 2016 (reasonable cause required-explain in Part VI). See instructions.			
3	Excess distributions carryover, if any, to 2016:			
a				
b				
c	From 2013			
d	From 2014			
e	From 2015			
f	Total of lines 3a through e			
g	Applied to underdistributions of prior years			
h	Applied to 2016 distributable amount			
i	Carryover from 2011 not applied (see instructions)			
j	Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4	Distributions for 2016 from Section D, line 7: \$			
a	Applied to underdistributions of prior years			
b	Applied to 2016 distributable amount			
c	Remainder. Subtract lines 4a and 4b from 4.			
5	Remaining underdistributions for years prior to 2016, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions.			
6	Remaining underdistributions for 2016. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions.			
7	Excess distributions carryover to 2017. Add lines 3j and 4c.			
8	Breakdown of line 7:			
a				
b	Excess from 2013			
c	Excess from 2014			
d	Excess from 2015			
e	Excess from 2016			



Part VI Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

Part II, Line 10 - Other Income Detail

Special events revenue \$ 465,069

SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. Attach to Form 990.

Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016

Open to Public Inspection

Name of the organization

Employer identification number

Neighborhood House, Inc.

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

Table with 2 columns: (a) Donor advised funds, (b) Funds and other accounts. Rows include: 1 Total number at end of year, 2 Aggregate value of contributions to (during year), 3 Aggregate value of grants from (during year), 4 Aggregate value at end of year, 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?, 6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

Table with 2 columns: Held at the End of the Tax Year. Rows include: 1 Purpose(s) of conservation easements held by the organization (check all that apply), 2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year. (a) Total number of conservation easements, (b) Total acreage restricted by conservation easements, (c) Number of conservation easements on a certified historic structure included in (a), (d) Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register, 3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year, 4 Number of states where property subject to conservation easement is located, 5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?, 6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year, 7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year, 8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?, 9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

Table with 2 columns: Revenue, Assets. Rows include: 1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items. b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items: (i) Revenue included on Form 990, Part VIII, line 1, (ii) Assets included in Form 990, Part X, 2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items: a Revenue included on Form 990, Part VIII, line 1, b Assets included in Form 990, Part X



Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a Public exhibition
 - b Scholarly research
 - c Preservation for future generations
 - d Loan or exchange programs
 - e Other
- 4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No
- b If "Yes," explain the arrangement in Part XIII and complete the following table:
- | | Amount |
|---------------------------------|--------|
| c Beginning balance | 1c |
| d Additions during the year | 1d |
| e Distributions during the year | 1e |
| f Ending balance | 1f |
- 2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? Yes No
- b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII

Part V Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

- 2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
- a Board designated or quasi-endowment %
 - b Permanent endowment %
 - c Temporarily restricted endowment %
- The percentages on lines 2a, 2b, and 2c should equal 100%.
- 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:
- | | Yes | No |
|--|--------|----|
| (i) unrelated organizations | 3a(i) | |
| (ii) related organizations | 3a(ii) | |
| b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? | 3b | |
- 4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		525,193		525,193
b Buildings		3,214,280	1,926,643	1,287,637
c Leasehold improvements				
d Equipment		412,827	323,897	88,930
e Other				
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				1,901,760



Part VII Investments—Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

Part VIII Investments—Program Related.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX Other Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

Part X Other Liabilities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value	
(1) Federal income taxes		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶		

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	1,592,434
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
a	Net unrealized gains (losses) on investments	2a		
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII.)	2d		
e	Add lines 2a through 2d	2e		
3	Subtract line 2e from line 1		3	1,592,434
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b	4c		
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)		5	1,592,434

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

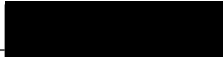
1	Total expenses and losses per audited financial statements		1	1,886,954
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII.)	2d		
e	Add lines 2a through 2d	2e		
3	Subtract line 2e from line 1		3	1,886,954
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b	4c		
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)		5	1,886,954

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Part X - FIN 48 Footnote

Management has concluded that any tax positions that would not meet the more-likely-than-not criterion of FASB ASC 740-10 would be immaterial to the financial statements taken as a whole. Accordingly, the accompanying financial statements do not include any provision for uncertain tax positions, and no related interest or penalties have been recorded in the statement of activities or accrued in the statement of financial position.



Part XIII Supplemental Information *(continued)*

Area with horizontal dotted lines for supplemental information.

**SCHEDULE G
(Form 990 or 990-EZ)**

Supplemental Information Regarding Fundraising or Gaming Activities

OMB No. 1545-0047

2016

Department of the Treasury
Internal Revenue Service

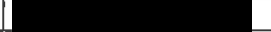
Complete if the organization answered "Yes" on Form 990, Part IV, line 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
 Attach to Form 990 or Form 990-EZ.
 Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Open to Public Inspection

Name of the organization

Neighborhood House, Inc.

Employer identification number



Part I Fundraising Activities. Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a Mail solicitations
- b Internet and email solicitations
- c Phone solicitations
- d In-person solicitations
- e Solicitation of non-government grants
- f Solicitation of government grants
- g Special fundraising events

2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees, or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? Yes No

b If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
Total						

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

.....

.....

.....

.....

.....

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		<u>Race for Succes</u>	<u>Golf Scramble</u>	<u>None</u>	(add col. (a) through col. (c))
		(event type)	(event type)	(total number)	(col. (c))
Revenue	1	Gross receipts	135,612	22,678	158,290
	2	Less: Contributions	20,790	11,124	31,914
	3	Gross income (line 1 minus line 2)	114,822	11,554	126,376
Direct Expenses	4	Cash prizes			
	5	Noncash prizes			
	6	Rent/facility costs	4,055	7,146	11,201
	7	Food and beverages	10,549		10,549
	8	Entertainment			
	9	Other direct expenses	17,148	390	17,538
	10	Direct expense summary. Add lines 4 through 9 in column (d)			
11	Net income summary. Subtract line 10 from line 3, column (d)				87,088

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))	
Revenue	1	Gross revenue				
Direct Expenses	2	Cash prizes				
	3	Noncash prizes				
	4	Rent/facility costs				
	5	Other direct expenses				
	6	Volunteer labor	<input type="checkbox"/> Yes % <input type="checkbox"/> No	<input type="checkbox"/> Yes % <input type="checkbox"/> No	<input type="checkbox"/> Yes % <input type="checkbox"/> No	
	7	Direct expense summary. Add lines 2 through 5 in column (d)				
	8	Net gaming income summary. Subtract line 7 from line 1, column (d)				

9 Enter the state(s) in which the organization conducts gaming activities: _____
 a Is the organization licensed to conduct gaming activities in each of these states? Yes No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year? Yes No

b If "Yes," explain: _____

- 11 Does the organization conduct gaming activities with nonmembers? Yes No
- 12 Is the organization a grantor, beneficiary or trustee of a trust, or a member of a partnership or other entity formed to administer charitable gaming? Yes No
- 13 Indicate the percentage of gaming activity conducted in:

13a		%
13b		%

 - a The organization's facility
 - b An outside facility
- 14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶

Address ▶

- 15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? Yes No
- b If "Yes," enter the amount of gaming revenue received by the organization ▶\$ and the amount of gaming revenue retained by the third party ▶\$
- c If "Yes," enter name and address of the third party:

Name ▶

Address ▶

16 Gaming manager information:

Name ▶

Gaming manager compensation ▶\$

Description of services provided ▶

- Director/officer
- Employee
- Independent contractor

17 Mandatory distributions:

- a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? Yes No
- b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶\$

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information.
See instructions

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**SCHEDULE M
(Form 990)**

Noncash Contributions

OMB No. 1545-0047

2016

**Open To Public
Inspection**

Department of the Treasury
Internal Revenue Service

- ▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
- ▶ **Attach to Form 990.**
- ▶ **Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990.**

Name of the organization

Employer identification number

Neighborhood House, Inc.

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art — Works of art				
2 Art — Historical treasures				
3 Art — Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities — Publicly traded				
10 Securities — Closely held stock				
11 Securities — Partnership, LLC, or trust interests				
12 Securities — Miscellaneous				
13 Qualified conservation contribution — Historic structures				
14 Qualified conservation contribution — Other				
15 Real estate — Residential				
16 Real estate — Commercial				
17 Real estate — Other				
18 Collectibles				
19 Food inventory	X	1	116,905	Fair Market Value
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ (Other)	X	1	1,728	Fair Market Value
26 Other ▶ ()				
27 Other ▶ ()				
28 Other ▶ ()				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?

	Yes	No
30a		X
31		X
32a		X
33		

b If "Yes," describe the arrangement in Part II.

31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

b If "Yes," describe in Part II.

33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.



Part II Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

A series of horizontal dotted lines for entering supplemental information.

SCHEDULE O
(Form 990 or 990-EZ)

Supplemental Information to Form 990 or 990-EZ

OMB No. 1545-0047

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

2016

Department of the Treasury
Internal Revenue Service

▶ Attach to Form 990 or 990-EZ.

Open to Public Inspection

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Name of the organization

Employer identification number

Neighborhood House, Inc.

Form 990 - Organization's Mission

The mission of Neighborhood House is to provide individuals with opportunities to enhance the quality of their lives. Our vision is to break the cycle of poverty for the children and families with whom we serve. We know the key is through education and that by strengthening the parents/ family leaders, we have a greater impact on the child. So our programs are educationally focused, which is broader than just the academic component. And our work is to engage the parents/ grandparents in order to strengthen the future for our children. We serve infants through senior adults, approximately 220 people/ day from Portland and surrounding areas of West Louisville. We work toward our mission and vision by addressing daily needs as well as making a lifelong impact in meeting Life Needs, teaching Life Skills and inspiring Life Dreams for our children and families.

Form 990, Part III, Line 4c - Third Accomplishment

parenting support and leadership training. We also provide family and intergenerational activities such as cultural events, cooking and exercise classes, and recreation and holiday activities.

Form 990, Part III, Line 4d - All Other Accomplishment

Our Four Seasons Senior Adult Program improves the quality of life for some of our city's most vulnerable citizens. Our seniors receive a nutritious lunch each day as well as recreation, socialization and opportunities for developing new skills and engaging in new activities they wouldn't have

Name of the organization

Employer identification number

Neighborhood House, Inc.



otherwise. Activities vary including exercise and cooking classes, field trips, holiday events, health screenings and intergenerational opportunities.

Form 990, Part VI, Line 11b - Organization's Process to Review Form 990

The 990 tax return is prepared by an independent CPA firm based on the information obtained from the audit and inquiries of management. Before the return is filed, a draft of the return is reviewed by the Finance Committee. The Finance Committee reports their approval to the Board of Directors. A copy is provided to all board members, then the tax return is filed with the IRS.

Form 990, Part VI, Line 12c - Enforcement of Conflicts Policy

On an annual basis each member of the board of directors are required to sign a conflict of interest form. If the form indicates a possible conflict of interest, the incident is thoroughly investigated. If there is a perceived conflict, the member will not be able to participate (including serving on a committee) on any decision relating to the conflict. If a major conflict is noted the Board Member will be asked to resign. Periodically throughout the year possible conflicts are investigated and resolved as necessary.

Form 990, Part VI, Line 15a - Compensation Process for Top Official

The salary of the Executive Director is reviewed annually and approved by the President/CEO of Maryhurst, Inc. The review includes a number of factors such as performance, compensation as compared to peers, and the financial condition of Neighborhood House, Inc. After determining the

Name of the organization

Employer identification number

Neighborhood House, Inc.



compensation, the President/CEO of Maryhurst, Inc. meets with the Chairman of the Board of Neighborhood House, Inc. to discuss the compensation.

Form 990, Part VI, Line 19 - Governing Documents Disclosure Explanation
Governing documents are available upon request. The Form 990 is available via Guidestar's website or upon request.

Form **4562**

Depreciation and Amortization
(Including Information on Listed Property)

OMB No. 1545-0172

2016

Department of the Treasury
Internal Revenue Service (99)

▶ Attach to your tax return.

▶ Information about Form 4562 and its separate instructions is at www.irs.gov/form4562.

Attachment Sequence No. **179**

Name(s) shown on return

Neighborhood House, Inc.

Identifying number

Business or activity to which this form relates

Indirect Depreciation

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	500,000
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	2,010,000
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2015 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction. Add lines 9 and 10, but don't enter more than line 11	12	
13	Carryover of disallowed deduction to 2017. Add lines 9 and 10, less line 12	▶ 13	

Note: Don't use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Don't include listed property.) (See instructions.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	30,205
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	124,061

Part III MACRS Depreciation (Don't include listed property.) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2016	17	3,144
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here	▶ <input type="checkbox"/>	

Section B—Assets Placed in Service During 2016 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property		4,325	7.0	MQ	200DB	492
d 10-year property						
e 15-year property		29,337	15.0	HY	150DB	519
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property			27.5 yrs.	MM	S/L	
			27.5 yrs.	MM	S/L	
i Nonresidential real property			39 yrs.	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2016 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs.		S/L	
c 40-year			40 yrs.	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations—see instructions	22	158,421
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

For Paperwork Reduction Act Notice, see separate instructions.

Form **4562** (2016)

Federal Statements

FYE: 6/30/2017

Cash - EOY

<u>Description</u>	<u>Amount</u>
PNC	\$ 2,985
Charitable Gaming-Stock Yards	5,579
Stock Yards Bank	48,312
Money Market-Stock Yards	49,330
Petty cash	117
Total	<u>\$ 106,323</u>

Accounts receivable - EOY

<u>Description</u>	<u>Amount</u>
Accounts receivable	\$ 27,648
Total	<u>\$ 27,648</u>

Accounts payable - EOY

<u>Description</u>	<u>Amount</u>
Accrued Payroll	\$ 42,113
Accrued Vacation	20,455
Accounts payable	11,815
FSA WH	167
Vision Insurance WH	
Short Term Disability WH	
Gap Insurance WH	
Critical Illness Ins WH	
United Way WH	384
Ganishment	1,440
Payroll Tax W/H	-1,367
Total	<u>\$ 75,007</u>

AMENDED ARTICLES OF INCORPORATION.

The undersigned, Lucy Belknap, Melchon B. Barret, Bertha K. Sachs, Emily F. Kinnigerode, Emil S. Tachau, Henry Klauber, Lafon Allen, Edward Sachs, Bernard Flexner, Leon P. Lewis, Bernard Selligman and Percy E. Booth, being all of the members of the Board of Trustees or Managers of "Neighborhood House," a corporation heretofore established in conformity to section 879 of the Kentucky Statutes, do hereby amend their articles of incorporation which have been filed in the office of the County Clerk of Jefferson County and in the office of the Secretary of State of Kentucky by adding thereto the following provisions and by giving said corporation the additional powers hereinafter enumerated.

Said corporate body shall have power to borrow money with which to pay for property obtained by purchase or with which to carry on its purposes generally and shall have power to pledge or mortgage its property, real or personal, to secure the payment of the money so borrowed or to secure the fulfillment of any of its contracts. No pledgee or mortgagee or other creditor of said corporation shall be bound to look to the application of the proceeds of any loan made to said corporation. The incorporators above named and their associates and successors shall be and remain the Board of Trustees of Neighborhood House.

In witness of these purposes we have affixed our signatures to these articles of incorporation in duplicate on this 19th day of January, 1912, that they may be filed in the office

of the Secretary of State of Kentucky and recorded in the office of the County Clerk of Jefferson County.

Lucy Belknap
Melchen B. Barret
Bertha E. Sachs
Emily R. Minnigerode
Emil S. Ebban
Henry Klauber
Lafon Allen
Bernard Flemer
Leon P. Lewis
Bernard Selligman
Percy N. Booth

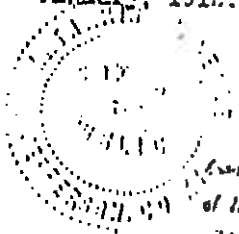
STATE OF KENTUCKY : SCT.
COUNTY OF JEFFERSON :

I, Katherine M. Neale, a notary public in and for the county and state aforesaid, do certify that the foregoing instrument of writing was on the 18th day of January, 1912, produced to me in my office and acknowledged and delivered by Lucy Belknap, Melchen B. Barret, Bertha E. Sachs, Emily R. Minnigerode, Emil S. Ebban, Henry Klauber, Lafon Allen, Bernard Flemer, Leon P. Lewis, Bernard Selligman and Percy N. Booth, parties thereto, to be their act and deed and the act and deed of each of them, and that said instrument of writing was also produced to me in my office on the 20th day of January, 1912, and acknowledged and delivered by Edward Sachs, party thereto, to be his act and deed.

My commission expires on the 18th day of March 1912, Witness my hand and notarial seal this 20th day of

January, 1912.

Katherine M. Neale
N. P. J. C., Ky.



I, P. S. Ray, Clerk of the County Court of Jefferson County in the State of Kentucky, do certify that on this day at 10:55 o'clock ^{amended} A.M. the foregoing articles of incorporation were produced to me in my Office and that I have recorded them, this

and the foregoing certificate in my said office.
Witness my hand this 20 day of Jan 1912
P. S. Ray Clerk

A Copy Attest
P. S. Ray Clerk
By W. H. R. Shivers A.D.

A M E N D M E N T
TO
ARTICLES OF INCORPORATION
OF
NEIGHBORHOOD HOUSE

KNOW ALL MEN BY THESE PRESENTS:

That the undersigned, MARSHALL P. ELDRED, MRS. THOMAS A. BALLANTINE, WILLIAM M. COTTON, MRS. CARL BERG, MRS. KERVIN BULLITT, MRS. GEORGE COHN, MRS. J. DONALD DINNING, ASA W. FULLER, A. READ HENRY, RUTH HIGGINS, WILLIAM H. LANGLEY, FRANCIS J. PEAK, P. EUGENE SANFORD and MRS. HUGH SHWAB, JR., being members of the Board of Trustees of NEIGHBORHOOD HOUSE, 428 South First Street, Louisville, Kentucky, a charitable corporation heretofore organized under the laws of the Commonwealth of Kentucky, do hereby amend the Articles of Incorporation of said NEIGHBORHOOD HOUSE in the following particular, to-wit:

The affairs of this Corporation shall be conducted by a Board of Directors which shall consist of not less than seven (7) nor more than twenty-five (25), to be determined in the discretion of said Board. Said Board of Directors shall have the power and authority heretofore vested in the Board of Trustees or Board of Managers of the said NEIGHBORHOOD HOUSE, together with such other power and authority as may be given by law.

IN WITNESS WHEREOF, the undersigned have set their hands
this 21 day of March, 1955

Marshall P. Eldred
Marshall P. Eldred

Mrs. Thomas A. Ballantine
Mrs. Thomas A. Ballantine

William M. Cotton
William M. Cotton

Mrs. Carl Berg
Mrs. Carl Berg

Mrs. Kervin Bullitt
Mrs. Kervin Bullitt

Mrs. George Cohn
Mrs. George Cohn

Mrs. J. Donald Dinning
Mrs. J. Donald Dinning

Asa W. Fuller
Asa W. Fuller

A. Read Henry
A. Read Henry

Ruth Higgins
Ruth Higgins

(R.W.) William H. Langley
William H. Langley

Francis J. Peak
Francis J. Peak

F. Eugene Sanford
F. Eugene Sanford

Mrs. Hugh Schwab, Jr.
Mrs. Hugh Schwab, Jr.

COMMONWEALTH OF KENTUCKY : SS
COUNTY OF JEFFERSON

I, the undersigned Notary Public in and for the State and County aforesaid, do hereby certify that the foregoing AMENDMENT TO ARTICLES OF INCORPORATION of NEIGHBORHOOD HOUSE was this day produced before me in my county by MARSHALL P. ELDRID; MRS. THOMAS A. BALLANTINE, WILLIAM M. COTTON, MRS. CARL BERG, MRS. KERVIN BULLITT, MRS. GEORGE COHN, MRS. J. DONALD DINNING, ASA W. FULLER, A. READ HENRY, RUTH HIGGINS, WILLIAM H. LANGLEY, FRANCIS J. PEAK, F. EUGENE SANFORD and MRS. HUGH SHWAB, JR., who acknowledged same to be their true act and deed for the uses and purposes therein set out.

WITNESS my hand and notarial seal, this 21 day of March, 1955.

My commission expires Feb 4 - 1958

ORIGINAL COPY
FILED AND RECORDED

OCT 3 - 1955

Elizabeth M. Ruse
Notary Public
Jefferson County
Kentucky

Charles R. ...
Raymond ...

ARTICLES OF AMENDMENT
TO
THE ARTICLES OF INCORPORATION
OF
NEIGHBORHOOD HOUSE

SECRETARY OF STATE

SEP 28 1973

CA 4.00

Commonwealth of Kentucky

THIS AMENDMENT, dated 26 September 1972, to the Articles of
Incorporation of Neighborhood House,

WITNESSETH:

WHEREAS, it has become necessary and proper to amend the
Articles of Incorporation of Neighborhood House, a charitable and educational
corporation of Louisville, Kentucky, in the manner hereinafter set forth, and

WHEREAS, there are no shareholders in the corporation and no members
entitled to vote upon such amendments;

NOW, THEREFORE, we, the President and Secretary, respectively,
of Neighborhood House, do hereby certify that by a vote of and by resolution of
the majority of the directors in office at their regular meeting held on 19 September
1972, Neighborhood House duly amended its Articles of Incorporation in the follow-
ing respects:

1. The word, "exclusively," is added to the description of the purposes
of the corporation, so that they now read "... form a corporation for charitable
and educational purposes exclusively...";

2. A new paragraph is added to the said Articles, which provides:

Upon dissolution or liquidation of the corporation, the directors
shall, after paying or making provision for the payment of all the liabilities of
the corporation, dispose of all of the assets of the corporation exclusively for
the purposes of the corporation and in such a manner or to such organization(s)
as shall at the time qualify as exempt under section 501(c)(3) of the Internal
Revenue Code of 1954 (or the corresponding provision of any future United States'
Internal Revenue Law). Any assets not so disposed of shall be disposed of by
any court having proper jurisdiction in accordance with the purposes of the
corporation and exclusively to such organizations as are described in the pre-
ceding sentence.

IN TESTIMONY WHEREOF, the President and Secretary of Neighbor-
hood House have hereunto signed their names; and Neighborhood House has caused
these Articles of Amendment to be signed by its President and Secretary, all this

28th day of September 1972.

John E. Lawrence
President

Ruth C. Higgins
Secretary

NEIGHBORHOOD HOUSE
By *John E. Lawrence*
President

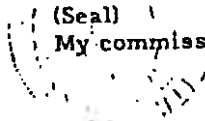
Attest: *Ruth C. Higgins*
Secretary

COMMONWEALTH OF KENTUCKY)
COUNTY OF Jefferson) ss

I, Mary M. Keith, a Notary Public in and for the County and Commonwealth aforesaid, do hereby certify that the foregoing Articles of Amendment to the Articles of Incorporation of Neighborhood House were produced before me in the County and Commonwealth aforesaid by John St. Lawrence and Ruth Higgins, President and Secretary, respectively, of Neighborhood House, and were acknowledged by them to be their act and deed; and to be the act and deed of Neighborhood House.

Witness, my hand and seal, this 21 day of September, 1972.

Mary M. Keith
Notary Public
Jefferson County, Kentucky



(Seal)
My commission expires: August 17, 1974

ORIGINAL COPY
FILED
SECRETARY OF STATE OF KENTUCKY

MAY 18 1973

Thomas L. Hoover
Secretary of State
LH

This document was prepared by Charles M. Hassett, Attorney at Law, whose address is 2114 Edgehill Road, Louisville, Kentucky 40205.

Charles M. Hassett
Charles M. Hassett

BUNDLE *12*
BOX *85*

Jefferson County

Amended Articles of Incorporation

OF
Wilmington

Increasing its Capital Stock from
Twenty Dollars

Organization Tax on increase
Issued and Certificate Issued *24th* day of
June 19*10*
Recording Fee *PAID*

C. FBECRELIUS

Secretary of State
By *C. F. B. C.*
CHIEF CLERK CORPORATION DEPT.

Recorded in Corporation
Book No. *116* Page *1108*

Recorded *J. N. ...*

**Cost Estimates - Capital Expenses**

Cost Estimates	Amount
Roof/Gutters repairs	\$ 13,000
Energy efficient lighting	\$ 14,000
Replace computers	\$ 4,000
HVAC/Boilers repairs	\$ 6,000
Install plumbing, sink, and drainage	\$ 11,000
Painting and wall repair	\$ 2,000
	Total \$ 50,000

Please note: Priority items may cost more when contractor starts work if further damage is determined that was not visible when assessing for bid.

Addendum B

Request for Taxpayer Identification Number and Certification

Give Form to the
requester. Do not
send to the IRS.

▶ Go to www.irs.gov/FormW9 for instructions and the latest information.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.

Neighborhood House, Inc.

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.

- Individual/sole proprietor or single-member LLC
- C Corporation
- S Corporation
- Partnership
- Trust/estate
- Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ _____
- Other (see instructions) ▶ **501 (c) (3)**

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):

Exempt payee code (if any) **D03686**

Exemption from FATCA reporting code (if any) _____

(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.) See instructions.

201 N. 25th St.

6 City, state, and ZIP code

Louisville, KY 40212

7 List account number(s) here (optional)

Requester's name and address (optional)

Print or type.
See Specific Instructions on page 3.

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number								
			-					

or

Employer identification number									
6	1	-	0	4	4	5	8	4	2

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here

Signature of U.S. person ▶

Beverly T. Jones

Date ▶

2/23/18.

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding*, later.



Neighborhood House, Inc.
Financial Statements and
Independent Auditors' Report
For the Years Ended
June 30, 2017 and 2016

Contents

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Statements of Activities	3
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Independent Auditors' Report

To the Board of Directors of
Neighborhood House, Inc.

We have audited the accompanying financial statements of Neighborhood House, Inc., (the Organization) (a not-for-profit organization) which comprise the statements of financial position as of June 30, 2017 and 2016, and the related statements of activities, functional expenses and cash flows for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a **basis** for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Neighborhood House, Inc. as of June 30, 2017 and 2016, and the changes in its net assets and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

Baldwin CPAs, PLLC

Louisville, Kentucky
December 6, 2017

Neighborhood House, Inc.
 Statements of Financial Position
 June 30, 2017 and 2016

	2017	2016
ASSETS		
Cash	\$ 106,323	\$ 135,185
Accounts receivable	27,648	39,878
Promises to give	176,918	355,561
Prepaid expenses	32,053	32,733
Loan costs, net	-	9,018
Land, building and equipment, net	1,901,761	1,987,297
Total assets	\$ 2,244,703	\$ 2,559,672
LIABILITIES AND NET ASSETS		
LIABILITIES		
Accounts payable and accrued expenses	\$ 11,815	\$ 23,156
Payroll accruals and withholding	63,192	58,996
Bonds payable	-	13,304
Total liabilities	75,007	95,456
NET ASSETS		
Unrestricted	1,874,726	1,948,799
Temporarily restricted	294,970	515,417
Total net assets	2,169,696	2,464,216
Total liabilities and net assets	\$ 2,244,703	\$ 2,559,672

The accompanying notes are an integral part of these financial statements.

Neighborhood House, Inc.
Statements of Activities
For the Years Ended June 30, 2017 and 2016

	2017		2016		
	Unrestricted	Temporarily Restricted	Total	Temporarily Restricted	Total
Revenue and support:					
Contributions and grants	\$ 647,774	\$ 307,018	\$ 954,792	\$ 440,105	\$ 1,038,489
Program fees	447,655	-	447,655	-	404,143
Rental income	70,459	-	70,459	-	70,308
Interest and miscellaneous income	526	-	526	-	17,964
Special event income	158,290	-	158,290	-	127,814
Special event expense	(39,288)	-	(39,288)	-	(32,078)
	1,285,416	307,018	1,592,434	440,105	1,626,640
Net assets released from restrictions					
Restrictions satisfied by payments	527,465	(527,465)	-	(515,855)	-
	1,812,881	(220,447)	1,592,434	(75,750)	1,626,640
Total revenue and support					
Expenses:					
Program services	1,447,806	-	1,447,806	-	1,417,101
Management and general	263,323	-	263,323	-	210,840
Fund raising	175,825	-	175,825	-	195,572
	1,886,954	-	1,886,954	-	1,823,513
Change in net assets	(74,073)	(220,447)	(294,520)	(75,750)	(196,873)
Net assets at beginning of year	1,948,799	515,417	2,464,216	591,167	2,661,089
Net assets at end of year	\$ 1,874,726	\$ 294,970	\$ 2,169,696	\$ 515,417	\$ 2,464,216

The accompanying notes are an integral part of these financial statements.

Neighborhood House, Inc.
Statement of Functional Expenses
For the Year Ended June 30, 2017

	Program Services					Support Services		
	Child Development Center	Youth Development Program	Four Seasons Program		Total Program Services	Management and General	Fund Raising	Total
			Family Services	Program				
Salaries	\$ 440,251	\$ 191,824	\$ 9,061	\$ 129,967	\$ 771,103	\$ 121,030	\$ 70,138	\$ 962,271
Payroll taxes	31,401	14,277	681	9,667	56,026	11,473	5,438	72,937
Employee benefits	27,037	4,580	262	1,929	33,808	14,073	1,420	49,301
Total salaries and related expenses	498,689	210,681	10,004	141,563	860,937	146,576	76,996	1,084,509
Professional fees	-	-	-	-	-	15,001	31,207	46,208
Office supplies	1,821	6,013	594	1,236	9,664	9,103	5,849	24,616
Program events and supplies	132,955	113,668	1,414	10,013	258,050	-	-	258,050
Contract services	369	19,397	982	17,607	38,355	14,219	2,767	55,341
Management fee	15,427	15,427	1,028	4,114	35,996	35,997	30,855	102,848
Insurance	14,315	6,237	295	4,226	25,073	3,935	2,281	31,289
Staff development	1,271	1,271	-	574	3,116	3,785	90	6,991
Utilities	16,666	7,262	343	4,920	29,191	4,582	2,655	36,428
Interest	140	61	3	41	245	38	22	305
Transportation	759	1,224	36	697	2,716	1,563	127	4,406
Telephone	3,516	1,532	72	1,038	6,158	967	560	7,685
Property maintenance	18,134	7,901	373	5,353	31,761	4,986	2,889	39,636
Other expenses	4,113	1,951	-	1,611	7,675	2,646	7,980	18,301
Client support	301	3,127	-	8,492	11,920	-	-	11,920
Depreciation and amortization	72,480	31,580	1,492	21,397	126,949	19,925	11,547	158,421
Total expenses	\$ 780,956	\$ 427,332	\$ 16,636	\$ 222,882	\$ 1,447,806	\$ 263,323	\$ 175,825	\$ 1,886,954

The accompanying notes are an integral part of these financial statements.

Neighborhood House, Inc.
Statement of Functional Expenses
For the Year Ended June 30, 2016

	Program Services				Support Services			
	Child Development Center	Youth Development Program	Four Seasons Program	Family Services	Total Program Services	Management and General	Fund Raising	Total
Salaries	\$ 406,276	\$ 176,864	\$ 11,832	\$ 91,330	\$ 686,302	\$ 91,110	\$ 98,467	\$ 875,879
Payroll taxes	30,972	13,658	899	7,197	52,726	7,253	7,728	67,707
Employee benefits	22,421	3,798	217	1,600	28,036	11,671	1,178	40,885
Total salaries and related expenses	459,669	194,320	12,948	100,127	767,064	110,034	107,373	984,471
Professional fees	-	-	-	-	-	11,000	7,000	18,000
Office supplies	497	2,408	160	843	3,908	14,479	12,992	31,379
Program events and supplies	167,717	143,387	1,784	12,631	325,519	-	-	325,519
Contract services	1,800	20,426	10,378	3,861	36,465	100	240	36,805
Management fee	15,000	15,000	1,000	4,000	35,000	35,000	30,000	100,000
Insurance	10,298	4,483	300	2,315	17,396	2,309	2,496	22,201
Staff development	1,923	2,223	-	1,447	5,593	3,013	1,302	9,908
Utilities	18,605	8,100	542	4,182	31,429	4,172	4,509	40,110
Interest	783	341	23	176	1,323	175	190	1,688
Transportation	821	688	775	570	2,854	3,096	317	6,267
Telephone	6,524	2,840	190	1,466	11,020	1,463	1,581	14,064
Property maintenance	22,702	9,883	661	5,103	38,349	5,091	5,502	48,942
Other expenses	1,782	971	-	616	3,369	4,906	4,776	13,051
Client support	2,595	-	-	14,681	17,276	-	-	17,276
Depreciation and amortization	71,355	31,063	2,078	16,040	120,536	16,002	17,294	153,832
Total expenses	\$ 782,071	\$ 436,133	\$ 30,839	\$ 168,058	\$ 1,417,101	\$ 210,840	\$ 195,572	\$ 1,823,513

The accompanying notes are an integral part of these financial statements.

Neighborhood House, Inc.
 Statements of Cash Flows
 For the Years Ended June 30, 2017 and 2016

	2017	2016
CASH FLOWS FROM OPERATING ACTIVITIES:		
Change in net assets	\$ (294,520)	\$ (196,873)
Adjustments to reconcile change in net assets to net cash provided (used) by operating activities:		
Depreciation and amortization	158,421	153,832
Donated vehicle	-	(9,847)
(Increase) decrease in operating assets:		
Accounts receivable	12,230	(19,285)
Promises to give	178,643	57,345
Prepaid expenses	680	9,029
Increase (decrease) in operating liabilities:		
Accounts payable and accrued payroll	(7,145)	33,234
Net cash provided (used) by operating activities	48,309	27,435
CASH FLOWS FROM INVESTING ACTIVITIES:		
Purchase of improvements and equipment	(63,867)	(17,427)
Net cash provided (used) by investing activities	(63,867)	(17,427)
CASH FLOWS FROM FINANCING ACTIVITIES:		
Payments on bonds payable	(13,304)	(37,500)
Net increase (decrease) in cash	(28,862)	(27,492)
Cash at beginning of year	135,185	162,677
Cash at end of year	\$ 106,323	\$ 135,185
SUPPLEMENTAL DISCLOSURES:		
Cash paid for interest	\$ 90	\$ 1,688
Donated vehicle	\$ -	\$ 9,847

The accompanying notes are an integral part of these financial statements.

Neighborhood House, Inc.
Notes to Financial Statements
June 30, 2017 and 2016

Note 1 – Summary of Significant Accounting Policies

The Neighborhood House, Inc. (the Organization) is a not-for-profit community center serving the Portland neighborhood of Louisville, Kentucky. The Organization's mission is to provide individuals with opportunities to enhance their lives. The Organization offers four core program areas:

Child Development Center – provides children ages six weeks through twelve years with a safe, nurturing learning environment.

Youth Development Program – provides a safe place for children and teens to develop positive and meaningful relationships.

Four Seasons Program – provides services to improve the quality of life for senior adults.

Family Services - provides community activities and an Emergency Food Bank.

Funds to provide these services are provided by individuals, corporations, foundations, private and government grants and earned income.

Basis of Accounting

The Organization prepares its financial statements on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America.

Basis of Presentation

Financial statement presentation follows the recommendations of the Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) with regards to financial statements of Not-for-Profit Organizations. Under this guidance, the Organization is required to report information regarding its financial position and activities according to three classes of net assets: unrestricted net assets, temporarily restricted net assets, and permanently restricted net assets. A description of the three net assets categories follows:

Unrestricted Net Assets: include the portion of expendable funds that are not subject to donor-imposed stipulations.

Temporarily Restricted Net Assets: include gifts for which donor-imposed restrictions have not been met.

Permanently Restricted Net Assets: include amounts which the donor has stipulated that the corpus be invested in perpetuity and only the income be made available for program operations in accordance with donor restrictions.

Estimates

Management uses estimates and assumptions in preparing financial statements. Those estimates and assumptions affect the reported amounts of assets and liabilities, the disclosure of contingent assets and liabilities, and the reported revenues and expenses. Actual results could differ from those estimates.

Neighborhood House, Inc.
Notes to Financial Statements - Continued
June 30, 2017 and 2016

Cash

The Organization considers all checking accounts and money market accounts to be cash equivalents. Cash received with donor-imposed restrictions limiting its use to long-term purposes is not considered cash for the purposes of the statement of cash flows.

Accounts Receivable

Accounts receivable consist primarily of amounts due from the Kentucky Child Care Assistance Program, a food reimbursement program, private pay child care clients and grants where the service has already been performed and reimbursement has been requested or billed. Management considers all accounts receivable to be collectible; therefore no allowance for doubtful accounts is necessary. Bad debts are written off as they occur. Bad debt expense was \$0 for the years ended June 30, 2017 and 2016, respectively.

Promises to Give

Promises to give are recognized when the donor makes a promise to give to the Organization that is, in substance, unconditional. Promises to give becoming due in the next year are recorded at net realizable value. Promises to give in subsequent years are reported at the present value of their net realizable value, using risk free interest rates applicable to the years in which the promises are recognized. Conditional promises to give are recognized when the conditions on which they depend are substantially met. Management considers all promises to give to be collectible; therefore no allowance for doubtful accounts is necessary.

Land, Building and Equipment

Land, building and equipment is recorded at cost, or if donated, at the approximate fair value at the date of donation. The cost of property and equipment purchased in excess of \$500 is capitalized. Depreciation is computed using primarily the straight-line method over the estimated lives of the assets from 3 to 40 years.

Loan Costs

Loan costs of \$19,157 were amortized on a straight-line basis over the life of the bonds payable. The bonds were paid off during 2017 and fully amortized. Amortization expense for each of the years ended June 30, 2017 and 2016 was \$9,018 and \$959, respectively. Loan costs are reported on the statements of financial position net of accumulated amortization of \$19,157 and \$10,139 as of June 30, 2017 and 2016, respectively.

Contributions

Contributions received are recorded as unrestricted, temporarily restricted, or permanently restricted support, depending on the existence and/or nature of any donor restrictions.

Support that is restricted by the donor is reported as an increase in unrestricted net assets if the restriction expires in the reporting period in which the support is recognized. All other donor-restricted support is reported as an increase in temporarily or permanently restricted net assets, depending on the nature of the restriction. When a restriction expires (that is, when a stipulated time restriction ends or purpose restriction is accomplished), temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions.

Neighborhood House, Inc.
Notes to Financial Statements - Continued
June 30, 2017 and 2016

In-Kind Donations

The Organization receives various types of in-kind donations including services and food. FASB ASC 958-605-25 requires recognition of professional services received if those services (a) create or enhance long-lived assets or (b) require specialized skills, are provided by individuals possessing those skills, and would typically need to be purchased if not provided by donation. Most of the services received by the Organization do not meet these criteria. Contributions of tangible assets are recognized at fair value when received and are recorded as part of public support on the statements of activities. The amounts reflected as in-kind support are offset by like amounts included in expenses or assets.

Expense Allocation

Directly identifiable expenses are charged to program and supporting services. Expenses related to more than one function are charged to programs and supporting services based on time studies or square footage.

Income Tax Status

The Organization is exempt from federal income tax under Section 501(c)(3) of the Internal Revenue Code. In addition, the Organization qualifies for the charitable contribution deduction under Section 170(b)(1)(A) and has been classified as an organization other than a private foundation under Section 509(a)(2).

Management has concluded that any tax positions that would not meet the more-likely-than-not criterion of FASB ASC 740-10 would be immaterial to the financial statements taken as a whole. Accordingly, the accompanying financial statements do not include any provision for uncertain tax positions, and no related interest or penalties have been recorded in the statement of activities or accrued in the statement of financial position.

Note 2 – Concentrations of Credit Risk

Accounts receivable and promises to give - Financial instruments that are exposed to credit risk consist of accounts receivable and promises to give. Accounts receivable and promises to give are principally with the State of Kentucky, foundations and corporations based in the Louisville area. Realization of these accounts is dependent on various economic conditions.

Cash - The Organization maintains its cash balance in several financial institutions in Louisville, KY. The cash balances are insured by the Federal Deposit Insurance Corporation. At various times during the year, the cash balance may exceed amounts federally insured. Risk is mitigated by the high quality of the financial institution.

Neighborhood House, Inc.
Notes to Financial Statements - Continued
June 30, 2017 and 2016

Note 3 – Promises to Give

Promises to give are all current and consist of the following at June 30:

	2017	2016
Metro United Way for operations	\$ 164,168	\$ 215,561
Programs	12,750	140,000
	\$ 176,918	\$ 355,561

Promises to give due in more than one year are recognized at fair value, using present value techniques and a discount rate ranging from 1-2%. No allowance for doubtful accounts is necessary, as management believes that all amounts are collectible.

Note 4 – Land, Building, and Equipment

Land, building and equipment consist of the following at June 30:

	2017	2016
Land	\$ 525,193	\$ 525,193
Building and improvements	3,055,729	3,019,964
Furniture and equipment	353,885	333,490
Vehicles	60,358	60,358
	3,995,165	3,939,005
Less accumulated depreciation	(2,093,404)	(1,951,708)
	1,901,761	1,987,297
Building under lease	157,136	157,136
Less accumulated depreciation	(157,136)	(157,136)
	-	-
Land, building and equipment, net	\$ 1,901,761	\$ 1,987,297
Depreciation expense	\$ 149,403	\$ 152,873

Neighborhood House, Inc.
Notes to Financial Statements - Continued
June 30, 2017 and 2016

Note 5 – Bonds Payable

In 2005, the City of Shively issued bonds on behalf of the Organization. The bonds are reported as a liability of the Organization. The bonds payable are secured by a promissory note issued by a local bank which is in turn secured by a first mortgage on real estate. The bonds payable have an annual interest rate of 3.98%, with a maturity date of December 1, 2025. Each year the Organization signs a letter of agreement with the bank to pay interest only. The interest rate is subject to change beginning December 1, 2010, and on each five year anniversary thereafter based on a variable rate. The bonds were paid off in September 2016 and principal payments of \$13,304 and \$37,500 were made for the years ended June 30, 2017 and 2016, respectively.

Note 6 – Line of Credit

The Organization has available a \$50,000 line of credit, expiring October 15, 2017, none of which was outstanding on June 30, 2017 or 2016. Advances on the line of credit carry an interest rate of 3.25%. The credit line is secured by an interest in the property located at 201 North 25th Street, Louisville, KY. As of October 15, 2017, the credit line was increased to \$100,000 with a maturity date of October 15, 2018.

Note 7 – Restrictions of Assets

Temporarily restricted net assets consist of the following at June 30:

	2017	2016
Programs	\$ 294,970	\$ 515,417

Note 8 – Leasing Arrangements

The Organization is the property owner of a building under an operating lease that expires May 31, 2018, with a monthly rent of \$5,859. The building is fully depreciated. The Organization also receives other rental income for room rentals for events. Net rental income for the years ended June 30, 2016 and 2015 is as follows:

	2017	2016
Gross rental income	\$ 70,459	\$ 70,308

The following is a schedule by years of future minimum rental income under all leases as of June 30, 2016:

June 30, 2018	\$ 64,449
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Neighborhood House, Inc.
Notes to Financial Statements - Continued
June 30, 2017 and 2016

Note 9 – Defined Contribution Pension Plan

The Organization has a defined contribution pension plan which covers all employees who have met certain age and service requirements. The Organization contributed \$14,992 and \$9,912 for the years ended June 30, 2017 and 2016, respectively.

Note 10 – Management Contract

The Organization has a management agreement with Maryhurst, Inc. that renews annually. Under this agreement, Maryhurst, Inc. provides to the Organization a range of management services including general operations management, financial management and program operations management. Under the terms of the contract, the Organization paid Maryhurst, Inc. \$8,333 per month through June 30, 2017. This agreement is renewed annually. Total management fees were \$100,000 and \$100,000 for the years ended June 30, 2017 and 2016, respectively.

Note 11 – In-Kind Donations

Contributions of tangible assets are recognized at fair market value when received. The amounts are reflected in the accompanying financial statements as support and are offset by like amounts included in expenses or assets. In-kind donations consisting of food and supplies for the years ended June 30, 2017 and 2016 were \$118,633 and \$206,703, respectively. In June 30, 2016, a donated vehicle was also received.

Note 12 - Accounting Standards Updates

Accounting Standards Update 2014-09, Revenue from Contracts with Customers (Topic 606)

In May 2014, the Financial Accounting Standards Board (FASB) issued Accounting Standards Update (ASU) 2014-09, *Revenue from Contracts with Customers (Topic 606)*, requiring an entity to recognize the amount of revenue to which it expects to be entitled for the transfer of promised goods or services to customers. The core principle of ASU 2014-09 is to recognize revenues when a customer obtains control of a good or service, in an amount that reflects the consideration to which an entity is expected to be entitled for those goods or services. The standard will replace most existing revenue recognition guidance in GAAP when it becomes effective and permits the use of either a full retrospective or retrospective with cumulative effect transition method. In August 2015, the FASB issued ASU 2015-14, which deferred the effective date of ASU 2014-09 by one year. The updated standard will be effective for the year ending June 30, 2020. The Organization has not yet selected a transition method and is currently evaluating the effect that the new standard will have on its combined financial statements.

Neighborhood House, Inc.
Notes to Financial Statements - Continued
June 30, 2017 and 2016

Accounting Standards Update 2016-02, Leases (Topic 842)

In February 2016, the FASB issued ASU 2016-02, *Leases (Topic 842)*, requiring all leases to be recognized on the Organization's balance sheet as a right-of-use asset and a lease liability, unless the lease is a short term lease (generally a lease with a term of twelve months or less). At the commencement date of the lease, the Organization will recognize: 1) a lease liability for Organization's obligation to make payments under the lease agreement, measured on a discounted basis; and 2) a right-of-use asset that represents the Organization's right to use, or control the use of, the specified asset for the lease term. Upon adopting the ASU, the Organization will be required to recognize and measure its leases at the beginning of the earliest period presented using a modified retrospective approach.

ASU 2016-02 will be effective for the Organization for the year ending June 30, 2021, with early adoption permitted. The Organization is currently evaluating the effect that the new standard will have on its combined financial statements.

Accounting Standards Update 2016-14, Not-for-Profit Entities (Topic 958)

In August 2016, the FASB issued ASU No. 2016-14, *Not-for-Profit Entities (Topic 958): Presentation of Financial Statements of Not-for-Profit Entities*, that changes how a not-for-profit organization classifies its net assets, as well as the information it presents in the financial statements and notes about its liquidity, financial performance, and cash flows. The ASU includes a reduction in the number of net asset categories from three to two, conforming requirements on releases of capital restrictions, several new requirements related to expense presentation and disclosure (including investment expenses), and new required disclosures communicating information useful in assessing liquidity. The ASU will be effective for the Organization for the year ending June 30, 2019. Early adoption is permitted. The Organization is currently evaluating the effect that the new standard will have on its combined financial statements.

Note 13 – Subsequent Events

Management has evaluated subsequent events for recognition or disclosure in the financial statements through December 6, 2017 which was the date at which the financial statements were available to be issued.

NEIGHBORHOOD HOUSE

General Information

Organization Number	0037626
Name	NEIGHBORHOOD HOUSE
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
File Date	5/9/1902
Organization Date	5/9/1902
Last Annual Report	4/10/2018
Principal Office	201 NORTH 25TH. ST. LOUISVILLE, KY 40212-1401
Registered Agent	PAM J. RICE 201 NORTH 25TH STREET LOUISVILLE, KY 40212

Current Officers

President	Celia Manlove
Vice President	Ted Nixon
Secretary	Vicki Hines-Martin
Treasurer	Debbie Held
Director	James Rogers
Director	Kevin Fuqua
Director	Kelly Bryant

Individuals / Entities listed at time of formation

Director	MARSHALL P ELDRED
Director	MRS THOMAS A BALLANTIN
Director	WM M COTTON
Director	MRS CARL BERG
Director	MRS KERVIN BULLITT
Incorporator	LUCY BELKNAP
Incorporator	LANA DUPONT
Incorporator	PATTY B SEMPLE
Incorporator	REBECCA R JUDAH
Incorporator	PATTY S HILL

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

Annual Report	4/10/2018	1 page	PDF
Annual Report	4/19/2017	1 page	PDF

Annual Report	3/8/2016	1 page	PDF	
Annual Report	3/31/2015	1 page	PDF	
Annual Report	1/23/2014	1 page	PDF	
Annual Report	1/14/2013	1 page	PDF	
Annual Report	2/9/2012	1 page	PDF	
Annual Report	2/18/2011	1 page	PDF	
Annual Report	2/26/2010	1 page	PDF	
Annual Report	2/16/2009	1 page	PDF	
Annual Report	2/13/2008	1 page	PDF	
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Annual Report	7/19/1999	2 pages	tiff	PDF
Annual Report	7/23/1998	2 pages	tiff	PDF
Annual Report	7/1/1997	2 pages	tiff	PDF
Annual Report	7/1/1996	3 pages	tiff	PDF
Annual Report	7/1/1995	3 pages	tiff	PDF
Annual Report	7/1/1994	3 pages	tiff	PDF
Statement of Change	10/5/1993	1 page	tiff	PDF
Annual Report	7/1/1993	2 pages	tiff	PDF
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Annual Report	7/1/1991	5 pages	tiff	PDF
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Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	4/10/2018 12:37:57 PM	4/10/2018 12:37:57 PM	
Annual report	4/19/2017 10:11:20 AM	4/19/2017 10:11:20 AM	
Annual report	3/8/2016 11:49:57 AM	3/8/2016 11:49:57 AM	
Annual report	3/31/2015 3:12:30 PM	3/31/2015 3:12:30 PM	
Annual report	1/23/2014 11:35:40 AM	1/23/2014 11:35:40 AM	
Annual report	1/14/2013 1:02:07 PM	1/14/2013 1:02:07 PM	
Annual report	2/9/2012 11:17:22 AM	2/9/2012 11:17:22 AM	

Annual report	2/18/2011 7:28:56 AM	2/18/2011 7:28:56 AM
Annual report	2/26/2010 2:04:17 PM	2/26/2010 2:04:17 PM
Annual report	2/16/2009 10:00:30 AM	2/16/2009 10:00:30 AM
Annual report	2/13/2008 2:44:40 PM	2/13/2008 2:44:40 PM
Annual report	1/16/2007 11:46:23 AM	1/16/2007 11:46:23 AM
Annual report	4/6/2006 8:40:27 AM	4/6/2006
Annual report	5/23/2005	5/23/2005
Annual report	7/6/2004	7/6/2004
Registered agent address change	2/19/2004 11:30:45 AM	2/19/2004
Amendment - Change purpose	5/18/1973	5/18/1973
Amendment - Miscellaneous amendments	10/3/1955	10/3/1955
Amendment - Miscellaneous amendments	1/24/1912	1/24/1912

Microfilmed Images

Microfilm images are not available online. They can be ordered by faxing a [Request For Corporate Documents](#) to the Corporate Records Branch at 502-564-5687.

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