

### NEIGHBORHOOD DEVELOPMENT FUND Not-for-Profit Transmittal and Approval Form

**Applicant/Program:** Wilt Elementary Playaround  
**Applicant Requested Amount:** \$12,279  
**Appropriation Request Amount:** \$12,279

**Executive Summary of Request**  
Funds will be used to install playground equipment at Wilt Elementary. The playground will be accessible during non-school hours to the public. These funds will be used in addition what the PTA has raised.

Is this program/project a fundraiser?  Yes  No  
Is this applicant a faith based organization?  Yes  No  
Does this application include funding for sub-grantee(s)?  Yes  No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

23                      James Peden                       12,279                      1-4-18  
District #                      Primary Sponsor Signature                      Amount                      Date

**Primary Sponsor Disclosure**  
List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.  
Councilman Peden and his wife are teachers with Jefferson County Public Schools. Both his daughters also attend Jefferson County Public Schools.

**Approved by:**  
\_\_\_\_\_  
Appropriations Committee Chairman                      Date  
Final Appropriations Amount: \_\_\_\_\_

**Applicant/Program:**

### **Additional Disclosure and Signatures**

#### **Additional Council Office Disclosure**

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

#### **Council Member Signature and Amount**

District 1	_____	\$ _____
District 2	_____	\$ _____
District 3	_____	\$ _____
District 4	_____	\$ _____
District 5	_____	\$ _____
District 6	_____	\$ _____
District 7	_____	\$ _____
District 8	_____	\$ _____
District 9	_____	\$ _____
District 10	_____	\$ _____
District 11	_____	\$ _____
District 12	_____	\$ _____
District 13	_____	\$ _____
District 14	_____	\$ _____
District 15	_____	\$ _____

**Applicant/Program:**

**Additional Disclosure and Signatures**

**Additional Council Office Disclosure**

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

District 16 \_\_\_\_\_ \$ \_\_\_\_\_

District 17 \_\_\_\_\_ \$ \_\_\_\_\_

District 18 \_\_\_\_\_ \$ \_\_\_\_\_

District 19 \_\_\_\_\_ \$ \_\_\_\_\_

District 20 \_\_\_\_\_ \$ \_\_\_\_\_

District 21 \_\_\_\_\_ \$ \_\_\_\_\_

District 22 \_\_\_\_\_ \$ \_\_\_\_\_

District 23 \_\_\_\_\_ \$ \_\_\_\_\_

District 24 \_\_\_\_\_ \$ \_\_\_\_\_

District 25 \_\_\_\_\_ \$ \_\_\_\_\_

District 26 \_\_\_\_\_ \$ \_\_\_\_\_

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**Legal Name of Applicant Organization** Jefferson Conty Public Education Foundation

**Program Name and Request Amount** Wilt Elementary Playground

	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	Yes▼
Is the funding proposed by Council Member(s) less than or equal to the request amount?	Yes▼
Is the proposed public purpose of the program viable and well-documented?	Yes▼
Will all of the funding go to programs specific to Louisville/Jefferson County?	Yes▼
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	Yes▼
Has prior Metro Funds committed/granted been disclosed?	Yes▼
Is the application properly signed and dated by authorized signatory?	Yes▼
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	Yes▼
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	Yes▼
Is the entity in good standing with: <ul style="list-style-type: none"> <li>▶ Kentucky Secretary of State?</li> <li>▶ Louisville Metro Revenue Commission?</li> <li>▶ Louisville Metro Government?</li> <li>▶ Internal Revenue Service?</li> <li>▶ Louisville Metro Human Relations Commission?</li> </ul>	N/A▼
Is the current Fiscal Year Budget included?	Yes▼
Is the entity's board member list (with term length/term limits) included?	Yes▼
Is recommended funding less than 33% of total agency operating budget?	Yes▼
Does the application budget reflect only the revenue and expenses of the project/program?	Yes▼
Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?	Yes▼
Is the most recent annual audit (if required by organization) included?	N/A▼
Is a copy of Signed Lease (if rent costs are requested) included?	N/A▼
Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?	N/A▼
Are the Articles of Incorporation of the Agency included?	Yes▼
Is the IRS Form W-9 included?	Yes▼
Is the IRS Form 990 included?	Yes▼
Are the evaluation forms (if program participants are given evaluation forms) included?	N/A▼
Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?	N/A▼
Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?	N/A▼

Prepared by: John Torsky

Date: 1-4-18

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
<b>Legal Name of Applicant Organization:</b> Jefferson County Public Education Foundation <i>(as listed on: <a href="http://www.sos.ky.gov/business/records">http://www.sos.ky.gov/business/records</a>)</i>			
<b>Main Office Street &amp; Mailing Address:</b> Vanhoose Education Center, 3332 Newburg Road			
<b>Website:</b> <a href="https://www.jefferson.kyschools.us/about/support/foundation/about">https://www.jefferson.kyschools.us/about/support/foundation/about</a>			
<b>Applicant Contact:</b>	Kristin Wingfeld	<b>Title:</b>	Coord Bus School Partnerships
<b>Phone:</b>	508.485.2791	<b>Email:</b>	kristin.wingfeld@jefferson.kyschools.us
<b>Financial Contact:</b>	Denise Dewitt	<b>Title:</b>	Coordinator Grants & Awards
<b>Phone:</b>	502.485.3734	<b>Email:</b>	denise.dewitt@jefferson.kyschools.us
<b>Organization's Representative who attended NDF Training:</b>			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
<b>Program Facility Location(s):</b>	Wilt Elementary School, 6700 Price Lane		
<b>Council District(s):</b>	23	<b>Zip Code(s):</b>	40229
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
<b>PROGRAM/PROJECT NAME:</b> School Playground			
<b>Total Request: (\$)</b>	12,279	<b>Total Metro Award (this program) in previous year: (\$)</b>	0
<b>Purpose of Request (check all that apply):</b>			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget) <input type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input checked="" type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
<b>The Following are Required Attachments:</b>			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current year projected budget <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation (current & signed) <input checked="" type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input checked="" type="checkbox"/> Evaluation forms if used in the proposed program Annual audit (if required by organization) Faith Based Organization Certification Form, if applicable	
<b>For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.</b>			
<b>Source:</b>	Neighborhood Dev Funds	<b>Amount: (\$)</b>	12,279
<b>Source:</b>		<b>Amount: (\$)</b>	
<b>Source:</b>		<b>Amount: (\$)</b>	
Has the applicant contacted the BBB Charity Review for participation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**SECTION 3 – AGENCY DETAILS**

**Describe Agency's Vision, Mission and Services:**

The Jefferson County Public Education Foundation (JCPEF) works to advance the education of the county's citizens by providing financial support for JCPS and its initiatives. The foundation was incorporated in 1983 as a nonprofit organization under IRS 502(c)(3). JCPEF is making this request on behalf of Jefferson County public Schools (JCPS) specifically for Wilt Elementary School.

The mission of Wilt Elementary School is to educate and provide a safe learning environment for all students. We believe all students will experience positive growth in social/emotional behaviors and attitudes, and will be challenged to reach high levels of academic achievement. We believe that parents, students, and educators, working with the community can create a safe and equitable learning environment in which all children can learn and become productive members of society. We see a well-rounded, challenging learning environment where children will be responsible for their learning and success.

**Vision Statement-**

We believe that parents, students, educators, and community members contribute to the academic and personal success of all children. We envision a community of learners and positive role models who work together for the benefit of our children. The students we serve will become self-assured, productive, and responsible members of our society.

We are a PreK-5th grade elementary school located in south central Jefferson County near McNeely Lake Park. We serve 520 students with 67% free-reduced lunch. We are a community eligible school and provide a healthy breakfast and lunch free of charge to all students. Our goal is to promote a healthy lifestyle while providing an excellent education.




# LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

## SECTION 4 - BOARD OF DIRECTORS AND PAID STAFF

Board Member	Term End Date
Jim Allen (Chair), Frank Jelsma (Vice Chair), Joe Seiler (Treasurer)	Dec 31, 2019
Henry Heuser, Jr. (Chair, Nominating committee)	Dec 31, 2018
Robert Arnold, Vik Chadha, Kevin Joynt, Carl Thomas	Dec 31, 2019
Mike Brown, Joe Hardesty, Tanja Oquendo, Mark Shirkness	Dec 31, 2017
Carol Timmons, Jeff Uligan	Dec 31, 2017
Al Cornish, Alex Gerassimides, Audwin Helton, Lynn Heather	Dec 31, 2018
Mitch Rue, Ken Selvaggi	Dec 31, 2018

**Describe the Board term limit policy:**  
there are no term limits

Three Highest Paid Staff Names	Annual Salary
Sam Corbett, Executive Director - salary & fringes	152,400

Applicant's Initials 

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**SECTION 5 – PROGRAM/PROJECT NARRATIVE**

**A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):**

This project will provide playground equipment for all students and families at Wilt Elementary and will be accessible to the surrounding neighborhood because it is not a fenced in area. At this time primary aged students and younger have difficulty utilizing Wilt's current playground facility. The addition would provide equal access to all students at Wilt Elementary. It has been the Wilt PTA's mission to fundraise for this objective and the PTA has raised \$12,280.

**B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):**

The school has received the following estimates for new playground equipment:

2-12 Play Structure	\$17,999.00
6' Long 12' Tall Surface Timbers	\$1,560.00
Installation	\$5,000.00
Total	\$24,559.00





## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**C: If this request is a fundraiser, please detail how the proceeds will be spent:**

The request is not a fundraiser.

**D: For Expenditure Reimbursement Only** – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

Reimbursements should not be made before application date unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

Invoices and documentation will be provided.

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:**

Having a functional playground for all students will increase physical activity. At this time, we do not plan to track outcomes for use of the playground, however we will continue to schedule physical activity for every classroom every day, weather permitting.

We anticipate that having a playground with age appropriate equipment for all students will increase physical activity.

The playground will not be fenced in or locked in any way.

**F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.**

We have not received any community support outside of school based PTA community. Many fundraisers conducted by PTA have incorporated area businesses (Texas Roadhouse, Qdoba, Chick Fil a, Chili's).

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 6 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non- Metro Funds	Total Funds
<b>A: Personnel Costs Including Benefits</b>			
<b>B: Rent/Utilities</b>			
<b>C: Office Supplies</b>			
<b>D: Telephone</b>			
<b>E: In-town Travel</b>			
<b>F: Client Assistance (See Detailed List on Page 8)</b>			
<b>G: Professional Service Contracts</b>			
<b>H: Program Materials</b>			
<b>I: Community Events &amp; Festivals (See Detailed List on Page 8)</b>			
<b>J: Machinery &amp; Equipment</b>	\$12,279.00	\$12,280.00	\$24,559.00
<b>K: Capital Project</b>			
<b>L: Other Expenses (See Detailed List on Page 8)</b>			
<b>*TOTAL PROGRAM/PROJECT FUNDS</b>			
Total Program Budget	50 %	50 %	100%

**List funding sources for total program/project costs in Column 2, Non-Metro Funds:**


Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	
Fees Collected from Program Participants	
Other (please specify)	\$12,280.00
Total Revenue for Column 2 Expenses **	

*\*Total of Column 1 MUST match "Total Request on Page 1, Section 2"*

*\*\*Must equal or exceed total in column 2.*

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

Detail for Client Assistance, Community Events & Festivals or Other Expenses shown on Page 7 (circle one and use multiple sheets if necessary)	Column 1	Column 2	Column (1 + 2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
Parent Teacher Association		12,280	12,280
<b>Total</b>			12,280

Applicant's Initials 

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**Detail of In-Kind Contributions for this PROGRAM only:** Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).


Donor*/Type of Contribution	Value of Contribution	Method of Valuation
N/A		
<p align="center"><i>Total Value of In-Kind</i>                      (to match Program Budget Line Item.                      Volunteer Contribution &amp; Other In Kind)</p>		

\* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK

Agency Fiscal Year Start Date: July 1, 2017

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO  YES

If YES, please explain:

Applicant's Initials 

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 7 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

**Standard Assurances**

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked and the funds will not be disbursed to our organization.

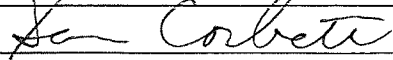
**Standard Certifications**

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

**Relationship Disclosure:** List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

### SECTION 8 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

<b>Signature of Legal Signatory:</b>		<b>Date:</b>	11/16/17
<b>Legal Signatory: (please print):</b>	Sam Corbett	<b>Title:</b>	Executive Director
<b>Phone:</b>	502-599-8650	<b>Extension:</b>	
<b>Email:</b>	samc@cflouisville.org		



931 Commodore Leitchfield, KY 40329  
 Leitchfield, KY 40329  
 Phone: 1-800-251-5578  
 Cell: 270-570-1239  
 Fax: 270-230-0210  
 Email: josh@miraclekytn.com

# Quotation

Quote # 17-0926/11

To	Wilt Elementary	Date	09/26/2017
	6700 Price Lane Rd.	Contact	Denits Spies
	Louisville, KY 40229	Project	Playground
		Email	wiltelementarypta@yahoo.com

We propose to furnish the following described materials.

Qty	Model #	Description	Price Total
1	718-S173J	2-12 Play Structure	\$17,999.00
30		6' Long 12" Tall Surface Timbers	\$1,560.00
70 yds.		Certified Wood Playground Mulch Contract Through JCPS	
		Installation	\$5,000.00
		<b>Total</b>	<b>\$24,559.00</b>

Tax Exemption Certificate must be provided before order can be processed. Thank you.


Quote good for 30 days, due to freight cost. Installation prices will assume normal soil conditions and does not include rock excavation \$75 per foot for rock break minimum. Unless otherwise noted quote does not include prevailing wages, taxes, freight, permits, state or local approvals, performance bond, engineering seals, testing, site preparation, borders, safety surfacing, unloading, storage, security, fencing, landscaping, trash removal or soils (We are not responsible for the seed and straw of disturbed areas.) Must have clear pathway to site for concrete trucks and mulch trucks. Not responsible for damage to sidewalks if we must cross to gain access. \_\_\_\_\_ Initial

This quotation, after acceptance by the buyer and when thereafter approved by an authorized official of the seller, in writing, will become a contract. Until so approved, is not a contract and is not binding on the seller in any way. If buyer does not give acceptance within 30 days, this quotation is subject to possible price revision.

Miracle Recreation of KY & TN

Accepted

Name of Buyer

 **IRS** Department of the Treasury  
Internal Revenue Service  
P.O. Box 2508  
Cincinnati OH 45201

In reply refer to: 0248164841  
June 14, 2011 LTR 4168C E0  
[REDACTED] 000000 00  
00015796  
BODC: TE

JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION  
502 WOOD RD RM 201  
LOUISVILLE KY 40222



34020

Employer Identification Number: [REDACTED]  
Person to Contact: B. HALL  
Toll Free Telephone Number: 1-877-829-5538

Dear TAXPAYER:

This is in response to your June 03, 2011, request for information regarding your tax-exempt status.

Our records indicate that you were recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in JULY 1983.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Please refer to our website [www.irs.gov/eo](http://www.irs.gov/eo) for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.



EXTENDED TO MAY 16, 2016

Return of Organization Exempt From Income Tax

OMB No. 1545-0047

Form 990

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

2014

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Information about Form 990 and its instructions is at www.irs.gov/form990.

A For the 2014 calendar year, or tax year beginning JUL 1, 2014 and ending JUN 30, 2015

Header section containing organization name (JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.), address (2500 EASTPOINT PARKWAY, LOUISVILLE, KY 4022), principal officer (JOSEPH SEILER), and financial information (Gross receipts \$1,073,266).

Part I Summary


Summary table with columns for Revenue, Expenses, and Net Assets or Fund Balances. Rows include mission statement (SUPPORT OF JEFFERSON COUNTY, KENTUCKY PUBLIC SCHOOLS), revenue breakdown, expense breakdown, and total net assets of 1,358,972.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature block containing signatures of the officer (JOSEPH SEILER, SECRETARY/TREASURER) and the preparer (JEREMY M FINN, CPA), along with firm information (MONROE SHINE & CO., INC. CPA'S).

May the IRS discuss this return with the preparer shown above? (see instructions) [X] Yes [ ] No

 **IRS** Department of the Treasury  
Internal Revenue Service  
P.O. Box 2508  
Cincinnati OH 45201

In reply refer to: 0248164841  
June 14, 2011 LTR 4168C E0  
[REDACTED] 000000 00  
00015796  
BODC: TE

JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION  
502 WOOD RD RM 201  
LOUISVILLE KY 40222



34020

Employer Identification Number: [REDACTED]  
Person to Contact: B. HALL  
Toll Free Telephone Number: 1-877-829-5568

Dear TAXPAYER:

This is in response to your June 03, 2011, request for information regarding your tax-exempt status.

Our records indicate that you were recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in JULY 1983.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Please refer to our website [www.irs.gov/eo](http://www.irs.gov/eo) for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.

Form **990**

**Return of Organization Exempt From Income Tax**

OMB No. 1545-0047

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

**2014**  
Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Information about Form 990 and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**A** For the 2014 calendar year, or tax year beginning **JUL 1, 2014** and ending **JUN 30, 2015**

<b>B</b> Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final return/terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	<b>C</b> Name of organization <b>JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.</b>		<b>D</b> Employer identification number [REDACTED]
	Doing business as		<b>E</b> Telephone number <b>502-585-5347</b>
	Number and street (or P.O. box if mail is not delivered to street address)	Room/suite	
	City or town, state or province, country, and ZIP or foreign postal code <b>LOUISVILLE, KY 40202</b>		<b>G</b> Gross receipts \$ <b>1,073,266.</b>
	<b>F</b> Name and address of principal officer: <b>JOSEPH SEILER</b> <b>2500 EASTPOINT PARKWAY, LOUISVILLE, KY 4022</b>		<b>H(a)</b> Is this a group return for subordinates? ..... <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>H(b)</b> Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions)
<b>I</b> Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c)( ) (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527			<b>H(c)</b> Group exemption number ▶
<b>J</b> Website: ▶ <b>N/A</b>			
<b>K</b> Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶		<b>L</b> Year of formation: <b>1983</b>	<b>M</b> State of legal domicile: <b>KY</b>

**Part I Summary**

Activities & Governance	1	Briefly describe the organization's mission or most significant activities: <b>SUPPORT OF JEFFERSON COUNTY, KENTUCKY PUBLIC SCHOOLS</b>		
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3	Number of voting members of the governing body (Part VI, line 1a)	3	25
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	25
	5	Total number of individuals employed in calendar year 2014 (Part V, line 2a)	5	0
	6	Total number of volunteers (estimate if necessary)	6	0
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
	b Net unrelated business taxable income from Form 990-T, line 34	7b	0.	
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9	Program service revenue (Part VIII, line 2g)	870,702.	1,073,124.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	0.	0.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	159.	142.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	870,861.	1,073,266.
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	818,114.	1,291,623.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0.	36,860.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.
		b Total fundraising expenses (Part IX, column (D), line 25) ▶	0.	
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	9,584.	11,325.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	827,698.	1,339,808.
19	Revenue less expenses. Subtract line 18 from line 12	43,163.	<266,542.>	
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	Beginning of Current Year	End of Year
	21	Total liabilities (Part X, line 26)	1,625,514.	1,358,972.
	22	Net assets or fund balances. Subtract line 21 from line 20	0.	0.
			1,625,514.	1,358,972.

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer	Date		
	<b>JOSEPH SEILER, SECRETARY/TREASURER</b> Type or print name and title			
Paid Preparer Use Only	Print/Type preparer's name <b>JEREMY M FINN, CPA</b>	Preparer's signature	Date	Check if self-employed <input type="checkbox"/>
	Firm's name ▶ <b>MONROE SHINE &amp; CO., INC. CPA'S</b>	Firm's EIN ▶ [REDACTED]	Firm's address ▶ <b>PO BOX 22039 LOUISVILLE, KY 40252-9804</b>	Phone no. <b>502-423-0311</b>

May the IRS discuss this return with the preparer shown above? (see instructions)  Yes  No

# MEMORANDUM

**TO:** Linda Miller/Julie Klayko/Sherry Fields

**FROM:** Becky Crump – 3290

**DATE:** November 27, 2017

**RE:** KDE Equipment Assistance Grant – due Dec. 4, 2017

---

**Linda:**

Nutrition Services is requesting funding for new equipment at five elementary schools through this grant.

**Julie:**

After Cordelia approves the budget, please take folder to Sherry. Applications require signature from Dr. Pollio.

**Sherry:**

Please let me know when signed.

Thank you.

# Corporate Business Account Statement



For the period 12/31/2016 to 01/31/2017

004213



JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION INC  
JOE SEILER  
2500 EASTPOINT PKWY  
LOUISVILLE KY 40223-4156

Account number: [REDACTED]

Page 1 of 4

Number of enclosures: 0

Tax ID Number: [REDACTED]

For Client Services:  
Call 1-800-669-1518

Visit us at PNC.com/treasury

Write to: Treas Mgmt Client Care  
One Financial Parkway  
Locator Z1-Yb42-03-1  
Kalamazoo, MI 49009

## Account Summary Information

### Balance Summary

Beginning balance	Deposits and other credits	Checks and other debits	Ending balance
0.00	169,303.70	169,303.70	0.00

### Deposits and Other Credits

Description	Items	Amount
Deposits	1	121,320.00
Automated Lockbox	0	0.00
CH Credits	0	0.00
Funds Transfers In	20	18.67
Trade Services	0	0.00
Investments	4	47,965.03
Zero Balance Transfers	0	0.00
Adjustments	0	0.00
Other Credits	0	0.00
<b>Total</b>	<b>25</b>	<b>169,303.70</b>

### Checks and Other Debits

Description	Items	Amount
Checks	7	48,970.33
Returned Items	0	0.00
ACH Debits	0	0.00
Funds Transfers Out	0	0.00
Trade Services	0	0.00
Investments	16	120,073.42
Zero Balance Transfers	0	0.00
Adjustments	0	0.00
Other Debits	1	259.95
<b>Total</b>	<b>24</b>	<b>169,303.70</b>

### Ledger Balance

Date	Ledger balance	Date	Ledger balance	Date	Ledger balance
12/31	0.00	01/11	0.00	01/23	0.00
12/03	0.00	01/12	0.00	01/24	0.00
12/04	0.00	01/13	0.00	01/25	0.00
12/05	0.00	01/17	0.00	01/26	0.00
12/06	0.00	01/18	0.00	01/27	0.00
12/09	0.00	01/19	0.00	01/30	86,370.00
12/10	0.00	01/20	0.00	01/31	0.00

### Deposits and Other Credits

#### Deposits

1 transaction for a total of \$ 121,320.00

Date	Amount	Transaction description	Reference number
12/30	121,320.00	Deposit	036892081



# Corporate Business Account Statement

JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION INC

For the period 12/31/2016 to 01/31/2017

Account number: [REDACTED]

Page 2 of 4

## Deposits and Other Credits - continued

### Funds Transfers In

**20 transactions for a total of \$ 18.67**

Date posted	Amount	Transaction description	Reference number
01/03	2.39	Interest For Repo Sweep	
01/04	0.59	Interest For Repo Sweep	00000000000120
01/05	0.58	Interest For Repo Sweep	00000000000120
01/06	0.58	Interest For Repo Sweep	00000000000120
01/09	1.75	Interest For Repo Sweep	00000000000122
01/10	0.58	Interest For Repo Sweep	00000000000122
01/11	0.58	Interest For Repo Sweep	00000000000121
01/12	0.58	Interest For Repo Sweep	00000000000121
01/13	0.58	Interest For Repo Sweep	00000000000122
01/17	2.33	Interest For Repo Sweep	00000000000121
01/18	0.58	Interest For Repo Sweep	00000000000121
01/19	0.58	Interest For Repo Sweep	00000000000118
01/20	0.58	Interest For Repo Sweep	00000000000119
01/23	1.75	Interest For Repo Sweep	00000000000119
01/24	0.58	Interest For Repo Sweep	00000000000120
01/24	0.58	Interest For Repo Sweep	00000000000121
01/25	0.58	Interest For Repo Sweep	00000000000122
01/26	0.58	Interest For Repo Sweep	00000000000120
01/27	0.58	Interest For Repo Sweep	00000000000121
01/30	1.74	Interest For Repo Sweep	00000000000121
01/31	0.58	Interest For Repo Sweep	00000000000120

### Investments

**4 transactions for a total of \$ 47,965.03**

Date posted	Amount	Transaction description	Amount
1/03	44,123.06	Repo Sweep/Investment Position	2,096,946.20
1/06	60.40	Repo Sweep/Investment Position	2,096,886.97
1/09	2,782.15	Repo Sweep/Investment Position	2,094,104.82
1/26	999.42	Repo Sweep/Investment Position	2,093,114.70

## Checks and Other Debits

### Checks and Substitute Checks

**7 transactions for a total of \$ 48,970.33**

Date posted	Check number	Amount	Reference number	Date posted	Check number	Amount	Reference number	Date posted	Check number	Amount	Reference number
1/03	180	375.45	095655714	01/09	195	2,500.00	090349420	01/30	191	1,000.00	096000096
1/03	189	43,750.00	095656461	01/09	196	283.90	031451645				
1/06	198	60.98	090201109	01/26	191	1,000.00	090022116				

### Investments

**16 transactions for a total of \$ 120,073.42**

Date posted	Amount	Transaction description	Amount
1/04	0.59	Repo Sweep/Investment Position	2,096,946.79
1/05	0.58	Repo Sweep/Investment Position	2,096,947.37
1/10	0.58	Repo Sweep/Investment Position	2,094,105.40
1/11	0.58	Repo Sweep/Investment Position	2,094,105.98
1/12	0.58	Repo Sweep/Investment Position	2,094,106.56
1/13	0.58	Repo Sweep/Investment Position	2,094,107.14
1/17	2.33	Repo Sweep/Investment Position	2,094,109.47

Investments continued on next page



# Corporate Business Account Statement

JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION INC



For the period 12/31/2016 to 01/31/2017

Account number: [REDACTED]

Page 3 of 4

## Checks and Other Debits - continued

### Investments - continued

**16 transactions for a total of \$ 120,073.42**

Date posted	Amount	Transaction description	
1/18	0.58	Repo Sweep/Investment Position	2,094,110.05
1/19	0.58	Repo Sweep/Investment Position	2,094,110.63
1/20	0.58	Repo Sweep/Investment Position	2,094,111.21
1/23	1.75	Repo Sweep/Investment Position	2,094,112.96
1/24	0.58	Repo Sweep/Investment Position	2,094,113.54
1/25	0.58	Repo Sweep/Investment Position	2,094,114.12
1/27	0.58	Repo Sweep/Investment Position	2,093,115.28
1/30	33,951.74	Repo Sweep/Investment Position	2,127,067.02
1/31	86,110.63	Repo Sweep/Investment Position	2,213,177.65

### Other Debits

**1 transaction for a total of \$ 259.95**

Date posted	Amount	Transaction description	Reference number
1/31	259.95	Corporate Account Analysis Charge	000000000000050962

## Check and Substitute Check Summary

Gap in check sequence

Check number	Amount	Date paid	Reference number	Check number	Amount	Date paid	Reference number	Check number	Amount	Date paid	Reference number
0	375.45	01/03	095655714	194	1,000.00	01/30	096000096	198	60.98	01/06	090201109
3	43,750.00	01/03	095656461	195	2,500.00	01/09	090349420				
1	1,000.00	01/26	090022116	196	283.90	01/09	031451645				



## Reviewing Your Statement

Please review this statement carefully and reconcile it with your records. Call the telephone number on the upper right side of the first page of this statement if:

- you have any questions regarding your account(s);
- your name or address is incorrect;
- you have a business account and your tax identification number is missing or incorrect;
- you have any questions regarding interest paid to an interest-bearing account.

## Balancing Your Account

### Update Your Account Register

**Compare:**

The activity detail section of your statement to your account register.

**Check Off:**

All items in your account register that also appear on your statement. Remember to begin with the ending date of your last statement. (An asterisk {\*} will appear in the Checks section if there is a gap in the listing of consecutive check numbers.)

**Add to Your Account Register Balance:**

Any deposits or additions including interest payments and ATM or electronic deposits listed on the statement that are not already entered in your register.

**Subtract From Your Account Register Balance:**

Any account deductions including fees and ATM or electronic deductions listed on the statement that are not already entered in your register.

## Update Your Statement Information

**Step 1:**

Add together deposits and other additions listed in your account register but not on your statement.

Date of Deposit	Amount
<b>Total A</b>	

**Step 2:**

Add together checks and other deductions listed in your account register but not on your statement.

Check Number or Deduction Description	Amount
<b>Total B</b>	

**Step 3:**

Enter the ending balance recorded on your statement \$ \_\_\_\_\_  
 Add deposits and other additions not recorded Total A + \$ \_\_\_\_\_  
 Subtotal= \$ \_\_\_\_\_  
 Subtract checks and other deductions not recorded Total B - \$ \_\_\_\_\_  
 The result should equal your account register balance = \$ \_\_\_\_\_

## Verification of Direct Deposits

To verify whether a direct deposit or other transfer to your account has occurred, call us Monday - Friday: 7 AM - 10 PM ET and Saturday - Sunday: 8 AM - 5 PM ET at the customer service number listed on the upper right side of the first page of this statement.

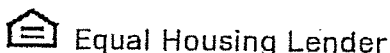
## 1 Case of Errors or Questions about Your Electronic Transfers

Telephone us at the customer service number listed on the upper right side of the first page of this statement or write us at PNC Bank Check and Services, 500 First Avenue, 4th Floor, Mailstop P7-PPSC-04-M, Pittsburgh, PA 15219 as soon as you can, if you think your statement receipt is wrong or if you need more information about a transfer on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number (if any).
- (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will provisionally credit your account for the amount you think is in error, so that you will have use of the money during the time it takes us to complete our investigation.

Member FDIC





FY17 Budget

**Revenue**

Corporate Total	\$250,000
Foundation Total	\$1,000,000
Government Total	\$10,000
Individual Total	\$200,000

\$1,460,000

**Expenses**

Student Scholarships	\$25,000
School-Based Support	\$586,000
Teacher Recognition Programs	\$49,000
Ford Next Generation Learning	\$100,000
Kindergarten Readiness Efforts	\$500,000
Operating Expenses	\$200,000

\$1,460,000

**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

**FINANCIAL STATEMENTS**

**YEARS ENDED  
JUNE 30, 2015 AND 2014**

**JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.**

**CONTENTS**

	<b>Page</b>
Independent Auditor's Report .....	<b>3</b>
STATEMENTS OF ASSETS AND NET ASSETS – CASH BASIS .....	<b>4</b>
STATEMENTS OF REVENUES AND SUPPORT, EXPENSES, AND CHANGES IN NET ASSETS – CASH BASIS .....	<b>5-6</b>
NOTES TO FINANCIAL STATEMENTS .....	<b>7-9</b>



# MONROE SHINE

Certified Public Accountants

KNOWLEDGE FOR TODAY . . . VISION FOR TOMORROW

1200 FOREST BRIDGE ROAD, SUITE 700 LOUISVILLE, KY 40223 • PO BOX 22039 LOUISVILLE, KY 40252 • PHONE: 502.423.0311 • FAX: 502.335.7103

## Independent Auditor's Report

Board of Directors  
**Jefferson County Public Education Foundation, Inc.**  
Louisville, Kentucky

We have audited the accompanying financial statements of **Jefferson County Public Education Foundation, Inc.**, which comprise the statements of assets and net assets – cash basis as of June 30, 2015 and 2014, and the related statements of revenues and support, expenses, and changes in net assets - cash basis for the years then ended, and the related notes to the financial statements.

### Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with the cash basis of accounting as described in Note 1; this includes determining that the cash basis of accounting is an acceptable basis for the preparation of the financial statements in the circumstances. Management is also responsible for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

### Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of **Jefferson County Public Education Foundation, Inc.** as of June 30, 2015 and 2014, and the changes in its net assets for the years then ended in accordance with the cash basis of accounting as described in Note 1.

### Basis of Accounting

We draw attention to Note 1 of the financial statements, which describes the basis of accounting. The financial statements are prepared on the cash basis of accounting, which is a basis of accounting other than accounting principles generally accepted in the United States of America. Our opinion is not modified with respect to that matter.

*Monroe Shine*

Louisville, Kentucky  
May 11, 2016

**JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.**  
**STATEMENTS OF ASSETS AND NET ASSETS - CASH BASIS**  
**JUNE 30, 2015 AND 2014**

**ASSETS**

	<u>2015</u>	<u>2014</u>
Cash and cash equivalents	\$ 1,358,972	\$ 1,625,514
<b>NET ASSETS</b>		
Unrestricted	13,598	17,279
Unrestricted - board designated	69,035	103,765
Temporarily restricted	1,276,339	1,504,470
<b>TOTAL NET ASSETS</b>	<u>\$ 1,358,972</u>	<u>\$ 1,625,514</u>

See notes to financial statements.

**JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.**  
**STATEMENTS OF REVENUES AND SUPPORT, EXPENSES, AND**  
**CHANGES IN NET ASSETS - CASH BASIS**  
**YEAR ENDED JUNE 30, 2015**

	Every One Reads	CE&S Kindergarten Program	Vogt Scholars	Youth Achievement
<b>TEMPORARILY RESTRICTED NET ASSETS</b>				
<b>Revenue and support:</b>				
Contributions	\$ 4,000	\$ 250,000	\$ -	\$ -
Transfers to unrestricted	(17,580)	-	-	(3,000)
<b>Changes In Temporarily Restricted Net Assets</b>	<b>(13,580)</b>	<b>250,000</b>	<b>-</b>	<b>(3,000)</b>
<b>UNRESTRICTED NET ASSETS</b>				
<b>Revenue and support:</b>				
Contributions	-	-	-	-
Net investment income	-	-	-	-
Transfers from temporarily restricted	17,580	-	-	3,000
	<u>17,580</u>	<u>-</u>	<u>-</u>	<u>3,000</u>
<b>Expenses:</b>				
Educational grants and expenses	17,580	-	-	3,000
General expenses	-	-	-	-
	<u>17,580</u>	<u>-</u>	<u>-</u>	<u>3,000</u>
<b>Changes In Unrestricted Net Assets</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Changes In Total Net Assets</b>	<b>(13,580)</b>	<b>250,000</b>	<b>-</b>	<b>(3,000)</b>
<b>Total Net Assets, Beginning of Year</b>	<b>222,445</b>	<b>-</b>	<b>69,778</b>	<b>75,768</b>
<b>Total Net Assets, End of Year</b>	<b>\$ 208,865</b>	<b>\$ 250,000</b>	<b>\$ 69,778</b>	<b>\$ 72,768</b>

See notes to financial statements.

	Other Temporarily Restricted	Temporarily Restricted Total	Board Designated Programs	Other Unrestricted	Total
\$	812,876	\$ 1,066,876	\$ -	\$ -	\$ 1,066,876
	(1,274,431)	(1,295,011)	-	-	(1,295,011)
	(461,555)	(228,135)	-	-	(228,135)
	-	-	-	6,248	6,248
	4	4	-	138	142
	1,274,431	1,295,011	-	-	1,295,011
	1,274,435	1,295,015	-	6,386	1,301,401
	1,274,431	1,295,011	34,730	-	1,329,741
	-	-	-	10,067	10,067
	1,274,431	1,295,011	34,730	10,067	1,339,808
	4	4	(34,730)	(3,681)	(38,407)
	(461,551)	(228,131)	(34,730)	(3,681)	(266,542)
	1,136,479	1,504,470	103,765	17,279	1,625,514
\$	674,928	\$ 1,276,339	\$ 69,035	\$ 13,598	\$ 1,358,972

JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.  
 STATEMENTS OF REVENUES AND SUPPORT, EXPENSES, AND  
 CHANGES IN NET ASSETS - CASH BASIS  
 YEAR ENDED JUNE 30, 2014

	Every One Reads	Innovation I3 Grants	Metro Government Grants	Western High School Early College
<b>TEMPORARILY RESTRICTED NET ASSETS</b>				
<b>Revenue and support:</b>				
Contributions	\$ 3,000	\$ 212,500	\$ 87,731	\$ 97,261
Net investment income	-	-	-	-
Transfers to unrestricted	(5,987)	(219,554)	(15,988)	(43,536)
<b>Changes In Temporarily Restricted Net Assets</b>	<b>(2,987)</b>	<b>(7,054)</b>	<b>71,743</b>	<b>53,725</b>
<b>UNRESTRICTED NET ASSETS</b>				
<b>Revenue and support:</b>				
Contributions	-	-	-	-
Net investment income	-	-	-	-
Board designated transfers	-	-	-	-
Transfers between programs	-	-	-	-
Transfers from temporarily restricted	5,987	219,554	15,988	43,536
	<u>5,987</u>	<u>219,554</u>	<u>15,988</u>	<u>43,536</u>
<b>Expenses:</b>				
Educational grants and expenses	5,987	219,554	15,988	43,536
General expenses	-	-	-	-
	<u>5,987</u>	<u>219,554</u>	<u>15,988</u>	<u>43,536</u>
<b>Changes In Unrestricted Net Assets</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Changes In Total Net Assets</b>	<b>(2,987)</b>	<b>(7,054)</b>	<b>71,743</b>	<b>53,725</b>
<b>Total Net Assets, Beginning of Year</b>	<b>225,432</b>	<b>294,591</b>	<b>11,100</b>	<b>24,306</b>
<b>Total Net Assets, End of Year</b>	<b>\$ 222,445</b>	<b>\$ 287,537</b>	<b>\$ 82,843</b>	<b>\$ 78,031</b>

See notes to financial statements.



	Other Temporarily Restricted	Temporarily Restricted Total	Board Designated Programs	Other Unrestricted	Total
\$	468,211	\$ 868,703	\$ -	\$ -	\$ 868,703
	4	4	-	-	4
	(461,814)	(746,879)	-	-	(746,879)
	6,401	121,828	-	-	121,828
	-	-	-	1,999	1,999
	-	-	-	155	155
	100	100	-	(100)	-
	-	-	-	-	-
	461,814	746,879	-	-	746,879
	461,914	746,979	-	2,054	749,033
	461,814	746,879	71,235	-	818,114
	-	-	-	9,584	9,584
	461,814	746,879	71,235	9,584	827,698
	100	100	(71,235)	(7,530)	(78,665)
	6,501	121,928	(71,235)	(7,530)	43,163
	827,113	1,382,542	175,000	24,809	1,582,351
\$	833,614	\$ 1,504,470	\$ 103,765	\$ 17,279	\$ 1,625,514

**JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.**  
**NOTES TO FINANCIAL STATEMENTS**  
**JUNE 30, 2015 AND 2014**

(1) **SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES AND NATURE OF ACTIVITIES**

**Nature of Operations**

The Jefferson County Public Education Foundation, Inc. (the "Foundation") is engaged in the solicitation and receipt of gifts, grants, and contributions from individuals, groups, corporations, and other sources, public and private, to assist and support the public school system of Jefferson County, Kentucky. The Foundation also engages in other activities to advance the education of citizens of Louisville and Jefferson County, Kentucky. The Foundation qualifies as a non-profit organization under Section 501(c)(3) of the Internal Revenue Code and is, therefore, exempt from federal and state income taxes.

**Basis of Presentation**

The accompanying financial statements are presented on the cash basis of accounting which is a comprehensive basis of accounting other than accounting principles generally accepted in the United States of America. Consequently, revenues are recognized when received rather than when earned and expenses and purchases of assets are recognized when cash is disbursed rather than when the obligation is incurred. This basis differs from accounting principles generally accepted in the United States of America primarily because the Foundation has not recognized donor pledges or in-kind donations.

The Foundation reports net assets and revenues, expenses, gains, and losses based on the existence or absence of donor-imposed restrictions. Accordingly, net assets of the Foundation and changes therein are classified and reported as follows:

Unrestricted net assets – Represent the portion of expendable funds available for support in the operation of the Foundation.

Temporarily restricted net assets – Net assets subject to donor-imposed stipulations that may or will be met either by actions of the Foundation and/or the passage of time.

Permanently restricted net assets – Net assets subject to donor-imposed stipulations that they be maintained permanently by the Foundation. At June 30, 2015 and 2014, the Foundation had no permanently restricted net assets.

Certain prior year accounts have been reclassified to conform to current year presentations.

**Cash and Cash Equivalents**

The Foundation considers all highly liquid investments with an initial maturity of three months or less to be cash equivalents.

**Contributions**

All contributions are considered to be available for unrestricted use unless specifically restricted by the donor. Amounts received that are designated for future periods or restricted by the donor for specific purposes are reported as temporarily restricted or permanently restricted support that increases those net asset classes. However, if a restriction is fulfilled in the same time period in which the contribution is received, the entity reports the support as unrestricted.

**JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.**  
**NOTES TO FINANCIAL STATEMENTS - CONTINUED**  
**JUNE 30, 2015 AND 2014**

(1 - continued)

**Income Taxes**

The Foundation is exempt from federal and state income taxes under Section 501(c)(3) of the Internal Revenue Code. Accordingly, the financial statements do not provide for income taxes.

The Foundation has implemented the accounting guidance for uncertainty in income taxes. Under that guidance, tax positions need to be recognized in the financial statements when it is more-likely-than-not the position will be sustained upon examination by the tax authorities. As of June 30, 2015, The Foundation has no uncertain tax positions that qualify for either recognition or disclosure in the financial statements. The Foundation files federal income tax returns. Returns filed for the tax years ended on or after June 30, 2012 are subject to examination. The Foundation is not currently being examined and management believes its tax-exempt status would be upheld under examination.

**(2) CASH AND CASH EQUIVALENTS**

Cash and cash equivalents included in the accompanying statements of assets and net assets consist of the following as of June 30:

	<u>2015</u>	<u>2014</u>
Money market account	\$ 1,346,172	\$ 1,606,737
Checking accounts	<u>12,800</u>	<u>18,777</u>
Total	<u>\$ 1,358,972</u>	<u>\$ 1,625,514</u>

The Foundation maintains its cash in bank deposit accounts which, at times, may exceed FDIC limits. However, the amounts in excess of the FDIC limits exposed to credit risk are secured by United States Treasury repurchase agreements pledged by the Foundation's bank and held in the Foundation's name.

**(3) CONTRIBUTIONS**

The Foundation's revenue consists primarily of donor contributions. The Foundation records multi-year pledges in the period in which the contribution is received under the cash basis of accounting.

**(4) BOARD DESIGNATED NET ASSETS**

Unrestricted net assets include an amount designated by the board of directors of \$69,035 and \$103,765 as of June 30, 2015 and 2014, respectively. This designation is to fund advanced teacher training and an outreach program called ACT Now to improve students' qualifications for higher education enrollment opportunities.

**JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.**  
**NOTES TO FINANCIAL STATEMENTS - CONTINUED**  
**JUNE 30, 2015 AND 2014**

**(5) OTHER TEMPORARILY RESTRICTED NET ASSETS**

Other programs consisted of the following as of June 30:

	<u>2015</u>	<u>2014</u>
ZEON Chemicals	\$ 43,448	\$ 63,783
America's Promise	42,418	-
National Board Certified Teacher Training	40,500	-
Gheens Foundation Executive Director	36,882	-
Western High School	33,199	33,199
Western High School Early College	29,161	78,031
Oliver Daniel Winn HOSA	25,000	-
Excel Program Fund	24,515	11,515
David Jones Vocal Scholarship	22,368	22,316
Gordon Food Service	21,788	19,336
All others	<u>355,649</u>	<u>605,434</u>
	<u>\$ 674,928</u>	<u>\$ 833,614</u>

Temporarily restricted net assets amounting to \$1,276,339 and \$1,504,470 for 2015 and 2014, respectively, are available for various programs conducted by the Jefferson County Public Schools and include amounts for early childhood development, student scholarships, teacher recognition and awards, and various other special projects.

**(6) CONCENTRATION**

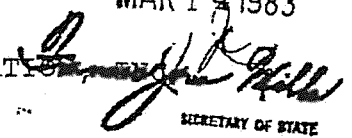
During the year ended June 30, 2015, the Foundation received a total of \$277,079 from the CE&S Foundation and \$181,043 from WHAS Crusade from Children, which represents approximately 26% and 17% of contributions, respectively. During the year ended June 30, 2014, the Foundation received \$100,000 from the Lift a Life Foundation and \$87,731 from the Louisville Metro Government, which represents approximately 11% and 10% of contributions, respectively.

**(7) SUBSEQUENT EVENTS**

The Foundation has evaluated whether any subsequent events that require recognition or disclosure in the accompanying financial statements and related notes thereto have taken place through May 11, 2016, the date these financial statements were available to be issued. The Foundation has determined that there are no such subsequent events.

ORIGINAL COPY FILED  
SECRETARY OF STATE OF KENTUCKY  
FRANKFORT, KENTUCKY

MAR 14 1983



SECRETARY OF STATE

ARTICLES OF INCORPORATION  
OF  
JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION

The undersigned Incorporator, Malcolm B. Chancey, Jr., executes these Articles of Incorporation for the purpose of forming and does hereby form a nonprofit corporation under the laws of the Commonwealth of Kentucky (KRS 273.160 et seq.), with all the rights, privileges and immunities of a corporation organized for civic, charitable, cultural and educational purposes within the meaning of Section 501(c)(3) of the Internal Revenue Code in accordance with the following provisions:

ARTICLE I

Name

The name of the corporation is Jefferson County Public Education Foundation, Inc.

ARTICLE II

Duration

The corporation shall have perpetual existence.

ARTICLE III

Purposes and Powers

A. The corporation is organized and operated exclusively for public, charitable and educational purposes within the meaning of Section 501(c)(3) of the Internal Revenue Code, as amended. The corporation shall receive

contributions and fees, and shall distribute its funds for public, charitable, educational and/or scientific purposes, as hereinafter set forth. In carrying out its corporate purposes, the corporation shall have all the powers allowed corporations by Chapter 273 of the Kentucky Revised Statutes; provided, however, the corporation shall not have or exercise any power prohibited by the provisions of Paragraphs B and C.

B. It is expressly not the purpose of the corporation to carry on propaganda or otherwise attempt to influence legislation, nor to participate or intervene in (including the publication or distributing of statements) any political campaign on behalf of any candidate for public office.

C. Any other provision of these articles to the contrary notwithstanding, the corporation shall have no capital stock and no power to issue certificates of stock nor to declare dividends; no part of the net earnings of the corporation shall inure to the benefit of any private individual or member; and the corporation shall not carry on any activities denied to: [i] a corporation described in Section 501(c)(3) of the Internal Revenue Code of 1954, as amended, including prohibited transactions defined in Section 503 of the Code; or [ii] a corporation, contributions to which are deductible under Section 170(c)(2) of the Internal Revenue Code of 1954, as amended.

D. Any other provisions of these articles to the contrary notwithstanding, this corporation shall, if the following provisions of law are ever applicable to it: [i] distribute its income for each fiscal year at such time and in such manner as not to be subject to the tax under Section 4942 of the Internal Revenue Code of 1954, as amended; [ii] not engage in any act of self dealing as defined in Section 4941(d) of the Internal Revenue Code, as amended; [iii] not retain any excess business holdings as defined in Section 4943(c) of the Internal Revenue Code of 1954, as amended; [iv] not make any investments in such manner as to subject the corporation to tax under Section 4944 of the Internal Revenue Code of 1954, as amended; and [v] not make any taxable expenditures as defined in Section 4945(d) of the Internal Revenue Code of 1954, as amended.

E. In furtherance of the general purposes in Paragraph A, the particular purposes of the corporation are: the solicitation and receipt of gifts, grants and contributions from individuals, groups, corporations and other sources, public and private, to assist and support financially and otherwise the public school system of Jefferson County, Kentucky; to engage in any and all activities which advance education of the citizens of Louisville and Jefferson County, Kentucky through the support of the Jefferson County Public Schools.

ARTICLE IVMembers

The corporation shall have no members.

ARTICLE VDirectors

The corporation shall be governed by a Board of Directors consisting of not less than five (5) members and not more than fifteen (15), the exact number and the terms of each to be set in the manner provided for in the Bylaws. The initial Board of Directors of the corporation shall consist of nine (9) persons who shall serve until the first annual election of Directors or until their successors are elected and qualify. The names and addresses of said directors are: Mary Helen Byck, Byck's, Louisville Galleria, Louisville, Kentucky 40202; Joan Riehm, Humana, Inc. Riverfront Plaza, Louisville, Kentucky 40202; I.W. Hughes, Brown & Williamson Tobacco Corporation, 1600 W. Hill Street, Louisville, Kentucky 40210; Orson Oliver, Bank of Louisville, 500 W. Broadway, Louisville, Kentucky 40202; Woodford R. Porter, Porter's Funeral Home, 1300 W. Chestnut, Louisville, Kentucky 40203; John Gray, Citizens Fidelity Bank and Trust Company, Citizens Plaza, Louisville, Kentucky 40202; William E. Summers, III, W.L.O.U. Radio Station, 2549 S. 3rd Street, Louisville, Kentucky 40208; Paul Best, First National Bank of Louisville, First National Tower, Louisville,



Kentucky 40202; Malcolm B. Chancey, Jr., Liberty National Bank & Trust Co. of Louisville, 416 W. Jefferson Street, Louisville, Kentucky 40202.

#### ARTICLE VI

##### Officers

The officers of the corporation will be composed of a chairman, vice-chairman, secretary and treasurer; provided, however, except for the office of chairman, any or all of the other offices may be combined in one person. The directors may create such other offices and committees as they deem necessary for the proper administration of the corporation's business. The officers of the corporation shall be elected for such term and in such manner as is provided in the Bylaws.

#### ARTICLE VII

##### Bylaws

The Bylaws for the corporation shall be adopted, and may be amended or repealed, by the Board of Directors.

#### ARTICLE VIII

##### Registered Office and Registered Agent

The street address of the initial registered office of the corporation is 416 West Jefferson, Louisville, Kentucky 40202.

The name of the initial registered agent at that address is Malcolm B. Chancey, Jr.

ARTICLE IX

Exemption From Liability and Indemnification

The private property of the directors of the corporation shall be exempt from liability for any and all debts of the corporation.

The corporation shall have the power to indemnify any person who was or is a party, or is threatened to be made a party, to any threatened, pending or completed action, suit or proceedings, whether civil, criminal, administrative or investigative (other than an action by or on behalf of the corporation) by reason of the fact that he is or was a director, officer, employee, or agent of the corporation, against expenses (including attorney's fees) judgments, fines and amounts paid in settlement, actually and reasonably incurred by him in connection with such action, suit or proceeding. Further provisions for indemnification of officers and directors shall be specified in the Bylaws.

ARTICLE X

Dissolution

Dissolution shall be accomplished in accordance with Chapter 273 of the Kentucky Revised Statutes or its successor.

Upon dissolution of the corporation, the Board of Directors shall, after paying or making provisions for the payment of, all liabilities of the corporation, dispose of all corporate assets to such organizations organized and operated exclusively for charitable, educational, or scientific purposes as shall at the time qualify as an exempt organization or organizations under Section 501(c)(3) of the Internal Revenue Code of 1954, or its successor, or to such organizations described under Section 170(c)(1) of the Internal Revenue Code of 1954, or its successor, as the Board of Directors shall determine. Any such assets not disposed of by the Board of Directors shall be disposed of by the Circuit Court of the County in which the principal office of the corporation is then located, to such organization or organizations organized and operated exclusively for charitable, educational, religious or scientific purposes as shall, at that time, qualify as an exempt organization or organizations under Section 501(c)(3) of the Internal Revenue Code of 1954, or its successor.

ARTICLE XI

Incorporator

The name and address of the Incorporator is:

Malcolm B. Chancey Jr.  
Liberty National Bank & Trust Company  
416 West Jefferson Street  
Louisville, Kentucky 40202

Signed and acknowledged by the Incorporator at Louisville, Kentucky, this 4<sup>th</sup> day of March, 1984.

[Handwritten Signature]

COMMONWEALTH OF KENTUCKY )

COUNTY OF Jefferson )

: SS

I, the undersigned Notary Public in and for the Commonwealth and County aforesaid, do hereby certify that personally appeared before me and, after having been duly sworn, declared, acknowledged and verified the foregoing to be the Articles of Incorporation of Jefferson County Public Education Foundation, Inc. this 4<sup>th</sup> day of March, 1983.

My commission expires: Sept 30, 1984.

[Handwritten Signature: Patricia A. Webb]  
Notary Public

THIS INSTRUMENT WAS PREPARED BY

[Handwritten Signature: G. Alexander Hamilton]  
G. Alexander Hamilton  
WYATT, TARRANT & COMBS  
Citizens Plaza  
Louisville, Kentucky 40202

[Handwritten Signature]  
1503 MAR 21 AM 10:33  
A 14108

Commonwealth of Kentucky

OFFICE OF SECRETARY OF STATE

FRANCES JONES MILLS Secretary



FRANKFORT, KENTUCKY

CERTIFICATE OF INCORPORATION OF NON-STOCK, NON-PROFIT CORPORATION

I, FRANCES JONES MILLS, Secretary of State of the Commonwealth of Kentucky certify that there has been delivered to my office articles of incorporation of JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.

The name and address of the registered agent of this corporation is

MALCOLM B. CHANCEY, JR.

NAME

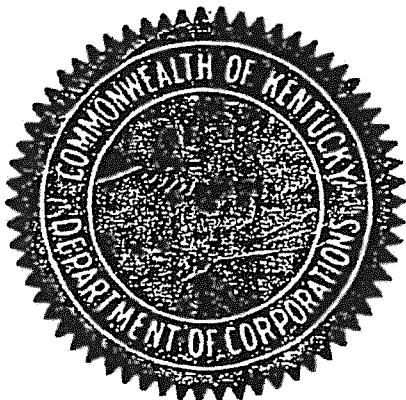
416 WEST JEFFERSON

STREET ADDRESS

LOUISVILLE, KENTUCKY

CITY, STATE

NOW, THEREFORE, finding that these articles of incorporation conform to law and that all fees therefore having been paid as prescribed by law, I, FRANCES JONES MILLS, Secretary of State, issue this Certificate of Incorporation.



Issued this 14TH day of MARCH, 1983, at Frankfort, Kentucky.

Signature of Frances Jones Mills, Secretary of State

SECRETARY OF STATE

ASSISTANT SECRETARY OF STATE

BYLAWS OF THE  
JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION

December 10, 2008

ARTICLE I

PURPOSES

The particular purposes of the corporation are the solicitation and receipt of gifts, grants and contributions from individuals, groups, corporations and other sources, public and private, to assist and support financially and otherwise the public school system of Jefferson County, Kentucky; to engage in any and all activities which advance the education of the citizens of Louisville and Jefferson County, Kentucky through the support of the Jefferson County Public Schools.

The core purpose of the Jefferson County Public Education Foundation is to improve student outcomes and the learning of every student in every school, in collaboration with district leadership, by engaging the support of business and the community.

The vision of the Jefferson County Public Education Foundation will be a creative catalyst for change that improves school leadership, teaching and learning. As advocates for excellence and facilitators of collaboration, the Jefferson County Public Education Foundation will champion high quality education, increase community understanding of and support for great public schools, and gain involvement of diverse constituencies in helping Jefferson County Public School students be successful in learning and in life.

It is the policy of the 501(c)(3) corporation that no restricted donations be accepted not any expenditure made by the corporation except upon the recommendation of the Superintendent of the Board of Education of Jefferson County, Kentucky that is consistent with the policies and priorities of the Board of Education of Jefferson County , Kentucky.

ARTICLE II

OFFICES

The principal office of the corporation in the State of Kentucky and its registered office under the laws of Kentucky shall be located (in care of Joe Seiler, Secretary/Treasurer) at National City Bank, 31T09B, 101 S. 5<sup>th</sup> Street, 9<sup>th</sup> Floor,

Louisville, Kentucky 40202. The corporation may have such other offices, either within or without the State of Kentucky, as the business of the corporation may require from time to time.

### ARTICLE III

#### DIRECTORS

SECTION 1. GENERAL POWERS. The business and affairs of the corporation shall be managed by its Board of Directors.

#### SECTION 2. QUALIFICATIONS, TENURE AND NUMBER.

A director shall be chosen to serve on the board based on his or her ability to bring financial resources to the corporation for the purpose of enhancing Jefferson County Public Schools. Financial resources may be in the form of personal gifts, grants, and contributions from individuals, groups, corporations and other sources, public or private to support financially and otherwise the public school system of Jefferson County, Kentucky. A director will engage a leadership role for special fund raising projects during his or her term(s). A director's term is three years.

The number of directors of the corporation shall be no less than nine (9) but up to twenty-four (24). The number of directors above nine (9) shall be determined by the Board when appropriate candidates are eligible to serve on the Board. The members of the Board of Directors shall be divided into three (3) classes as nearly equal in number as may be practicable with the term of office of one class expiring each year. At the annual meeting of the directors in 1983, three (3) classes of directors shall be elected. The directors of the first class shall be elected to hold office for a term expiring at the next succeeding annual meeting; directors of the second class shall be elected to hold office for a term expiring at the second succeeding annual meeting; and directors of the third class shall be elected to hold office for a term expiring at the third succeeding annual meeting. At each annual meeting of directors, the successors to the class of directors whose term shall then expire as set forth above shall be elected to hold office for a term expiring at the third succeeding annual meeting from the annual meeting of their election. When the number of directors is changed, any newly created directorships or any decrease in directorships shall be so apportioned among the classes as to make all classes as nearly equal in number as possible. Each director shall hold office for the term for which he is elected or until his successor shall have been elected and qualifies for the office, whichever period is longer. Directors need not be residents of Kentucky.

SECTION 3. NOMINATING COMMITTEE. There shall be a Nominating Committee made up of a minimum of three directors appointed by the chairperson. The Nominating Committee shall develop a list of candidates to fill vacant positions on the Board of Directors. The nominees shall be considered by the full Board and voted on as described in Section 8. The Nominating Committee shall also present a slate of officers for election at the annual June meeting.

SECTION 4. REGULAR MEETINGS. A regular meeting of the Board of Directors shall be held without other notice than this bylaw. The Board of Directors may provide, by resolution, the time and place, within or without the State of Kentucky, for the holding of additional regular meetings without other notice than such resolution. There shall be an annual meeting of the Board of Directors in June of each year.

SECTION 5. SPECIAL MEETINGS. Special meetings of the Board of Directors may be called by or at the request of the chairman or any two directors. The person or persons authorized to call special meetings of the Board of Directors may fix any place, either within or without the State of Kentucky, as the place for holding any special meeting of the Board of Directors called by them.

SECTION 6. NOTICE. Notice of any special meeting shall be given at least two days previously thereto by written notices delivered personally or mailed to each director at his business address, or by telegram. If mailed, such notice shall be deemed to be delivered when deposited in the United States mail in a sealed envelope so addressed, with postage thereon prepaid. If notice were given by telegram, such notice shall be deemed to be delivered when the telegram is delivered to the telegraph company. Any director may waive notice of any meeting. The attendance of a director at any meeting shall constitute a waiver of notice of such meeting, except where a director attends a meeting for the express purpose of objecting to the transaction of any business because the meeting is not lawfully called or convened. Neither the business to be transacted at, nor the purpose of, any regular or special meeting of the Board of Directors need be specified in the notice or waiver of notice of such meeting.

SECTION 7. QUORUM. A majority of the Board of Directors shall constitute a quorum for the transaction of business at any meeting of the Board of Directors, provided that if less than a majority of the directors are present at said meeting, a majority of the directors present may adjourn the meeting from time to time without further notice.



SECTION 8. MANNER OF ACTING. The act of the majority of the directors present at a meeting at which a quorum is present shall be the act of the Board of Directors; provided, however, that the Board of Directors, by resolution adopted by a majority of the full Board of Directors, may designate from among its members an executive committee and one or more other committees, each of which, to the extent provided in such resolution, shall have and may exercise all the authority of the Board of Directors, but no such committee shall have the authority of the Board of Directors in reference to amending the articles of incorporation, adopting a plan of merger or consolidation, recommending the sale, lease, exchange or other disposition of all or substantially all the property and assets of the corporation otherwise than in the usual and regular course of business, recommending a voluntary dissolution of the corporation or a revocation thereof, or amending these bylaws.

SECTION 9. VACANCIES. Any vacancy occurring in the Board of Directors may be filled by the affirmative vote of a majority of the remaining directors though less than a quorum of the Board of Directors. A director elected to fill a vacancy shall be elected for the unexpired term of his predecessor in office. Any directorship to be filled by reason of an increase in the number of directors may be filled by the Board of Directors for a term of office continuing only until the next election of directors.

SECTION 10. COMPENSATION. No director shall receive compensation for his or her services as director; however, any expenses incurred by any director by reason of his or her duties or responsibilities as such may be paid by the corporation.

SECTION 11. INFORMAL ACTION. Any action required by law to be taken at a meeting of the Board of Directors, or any action which may be taken at a meeting of the Board of Directors or of a committee, may be taken without a meeting if a consent, in writing, setting forth the action so taken shall be signed by all of the directors, or all of the members of the committee, as the case may be. Such consent shall have the same effect as a unanimous vote.

#### ARTICLE IV

##### OFFICERS

SECTION 1. CLASSES. The officers of the corporation shall be a chairman, a vice chairman, a treasurer, a secretary, and such other officers, whose duties may be fixed from time to time by the Board of Directors, as may be provided by the Board of Directors and elected in accordance with the provisions of this article. The Board of Directors may also create the offices of one

or more assistant treasurers and assistant secretaries, all of whom shall be elected by the Board of Directors. The same person may hold any two or more offices, except that of chairman.

SECTION 2. ELECTION AND TERM OF OFFICE. The officers of the corporation shall be elected annually by the Board of Directors at the first meeting of the Board of Directors. If the election of officers shall not be held at such meeting, such election shall be held as soon thereafter as conveniently may be. Vacancies may be filled or new offices created and filled at any meeting of the Board of Directors. Each officer shall hold office until his successor shall have been duly elected and shall have qualified or until his death or until he shall resign or shall have been removed in the manner hereinafter provided.

SECTION 3. REMOVAL. Any officer or agent elected or appointed by the Board of Directors may be removed by the Board of Directors whenever in its judgment the best interest of the corporation would be served thereby, but such removal shall be without prejudice to the contract rights, if any, of the person so removed. Election or appointment of an officer or agent shall not of itself create contract rights. A director will be considered for removal from the Board if the director misses two meetings in one calendar year period.

SECTION 4. VACANCIES. A vacancy in any office because of death, resignation, removal, disqualification or otherwise may be filled by the Board of Directors for the unexpired portion of the term.

SECTION 5. CHAIRMAN. The chairman shall be the principal executive officer of the corporation and shall in general supervise and control all of the business and affairs of the corporation. The chairman shall preside at all meetings of the Board of Directors. The chairman may sign, with the secretary, or any other proper officer of the corporation thereunto authorized by the Board of Directors, any deeds, mortgages, bonds, contracts, or other instruments which the Board of Directors has authorized to be executed except in cases where the signing and execution thereof shall be expressly delegated by the Board of Directors or by these bylaws to some other officer or agent of the corporation, or shall be required by law to be otherwise signed or executed; and in general shall perform all duties incident to the office of chairman and such other duties as may be prescribed by the Board of Directors from time to time.

SECTION 6. VICE CHAIRMAN. In the absence of the chairman or in the event of his inability or refusal to act, the vice chairman shall perform the duties of the chairman and, when so acting, shall have all the powers of and be subject to all the restrictions upon the chairman. The vice chairman shall perform

such other duties as from time to time may be assigned by the chairman or by the Board of Directors.

SECTION 7. TREASURER. If required by the Board of Directors, the treasurer shall give a bond for the faithful discharge of his duties in such sum and with such surety or sureties as the Board of Directors shall determine. The treasurer shall: [a] have charge and custody of and be responsible for all funds and securities of the corporation; receive and give receipts for moneys due and payable to the corporation from any source whatsoever, and deposit all such moneys in the name of the corporation in such banks, trust companies or other depositories as shall be selected in accordance with the provisions of Article IV of these bylaws; [b] in general, perform all the duties incident to the office of treasurer and such other duties as from time to time may be assigned by the chairman or the Board of Directors.

SECTION 8. SECRETARY. The secretary shall: [a] keep the minutes of the Board of Directors' meetings in one or more books provided for that purpose; [b] see that all notices are duly given in accordance with the provisions of these bylaws or as required by law; [c] be custodian of the corporate records and of the seal of the corporation and see that the seal of the corporation is affixed to all documents, the execution of which on behalf of the corporation under its seal is duly authorized in accordance with the provisions of these bylaws; [d] in general, perform all duties incident to the office of secretary and such other duties as from time to time may be assigned by the chairman or by the Board of Directors.

SECTION 9. ASSISTANT TREASURERS AND ASSISTANT SECRETARIES. The assistant treasurers shall respectively, if required by the Board of Directors, give bonds for the faithful discharge of their duties in such sums and with such sureties as the Board of Directors shall determine. The assistant treasurers and assistant secretaries in general shall perform such duties as shall be assigned to them by the treasurer or the secretary, respectively, or by the chairman or the Board of Directors.

#### ARTICLE V

#### CONTRACTS, LOANS, CHECKS, AND DEPOSITS

SECTION 1. CONTRACTS. The Board of Directors may authorize any officer or officers, agent or agents, to enter into any contract or execute and deliver any instruments in the name of and on behalf of the corporation, and such authority may be general or confined to specific instances.

SECTION 2. LOANS. No loans shall be contracted on behalf of the corporation, and no evidences of indebtedness shall be issued in its name unless authorized by a resolution of the Board of Directors. Such authority may be general or confined to specific instances.

SECTION 3. CHECKS, DRAFTS, ORDERS, ETC. All checks, drafts, or other orders for the payment of money, notes or other evidences of indebtedness issued in the name of the corporation shall be signed by such officer or officers, agent or agents, of the corporation and in such manner as shall from time to time be determined by resolution of the Board of Directors.

SECTION 4. DEPOSITS. All funds of the corporation not otherwise employed shall be deposited from time to time to the credit of the corporation in such banks, trust companies, or other depositories as the Board of Directors may select.

#### ARTICLE VI

##### INVESTMENT REPORTS

The corporation shall furnish reports at least annually to the Superintendent of the Board of Education of Jefferson County for the purpose of assisting the Board of Education of Jefferson County to insure that the corporation has invested its assets at a reasonable rate of return.

#### ARTICLE VII

##### FISCAL YEAR

The fiscal year of the corporation shall begin on the 1st day of July and end on the 30th day of June of each calendar year.

#### ARTICLE VIII

##### WAIVER OF NOTICE

Whenever any notice whatever is required to be given under the provisions of these bylaws, or under the provisions of the Articles of Incorporation, or under the provisions of the corporation laws of the State of Kentucky, waiver thereof in writing, signed by the person, or persons, entitled to such notice, whether before or after the time stated therein, shall be deemed equivalent to the giving of such notice.

ARTICLE IX

INDEMNIFICATION OF OFFICERS AND DIRECTORS

The corporation may indemnify and may advance expenses to all directors, officers, employees or agents of the corporation who are, were or are threatened to be made a defendant or respondent to any threatened, pending or completed action, suit or proceeding (whether civil, criminal, administrative or investigative) by reason of the fact that he is or was a director, officer, employee or agent of the corporation, to the fullest extent that is expressly permitted or required by the statutes of the Commonwealth of Kentucky and all other applicable law.

ARTICLE X

AMENDMENT OF BYLAWS

The Board of Directors may alter, amend or rescind the bylaws.

CERTIFICATE

It is hereby certified that on this date I am, the duly elected and qualified Chairman of the Board of Jefferson County Public Education Foundation, and that on this 10th day of December, 2008, the foregoing Bylaws were adopted by unanimous action of the Board of Directors.

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Chairman

# JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.

## General Information

<b>Organization Number</b>	0175787
<b>Name</b>	JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.
<b>Profit or Non-Profit</b>	N - Non-profit
<b>Company Type</b>	KCO - Kentucky Corporation
<b>Status</b>	A - Active
<b>Standing</b>	G - Good
<b>State</b>	KY
<b>File Date</b>	3/14/1983
<b>Organization Date</b>	3/14/1983
<b>Last Annual Report</b>	4/20/2017
<b>Principal Office</b>	JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION JAEGER EDUCATION CENTER- ATTN: SAM CORBETT EXEC 3332 NEWBURG RD LOUISVILLE, KY 40218
<b>Registered Agent</b>	WT&C CORPORATE SERVICES, INC. 500 W. JEFFERSON STREET SUITE 2800 LOUISVILLE, KY 40202

## Current Officers

<b>Chairman</b>	<a href="#">James Allen</a>
<b>Vice President</b>	<a href="#">Franklin Jelsma</a>
<b>Treasurer</b>	<a href="#">Joseph Seiler</a>
<b>Director</b>	<a href="#">Audwin Helton</a>
<b>Director</b>	<a href="#">JEFF ULIGIAN</a>
<b>Director</b>	<a href="#">Henry Heuser</a>
<b>Executive</b>	<a href="#">Samuel Corbett</a>

## Individuals / Entities listed at time of formation

<b>Director</b>	<a href="#">MARY HELEN BYCK</a>
<b>Director</b>	<a href="#">JOAN RIEHM</a>
<b>Director</b>	<a href="#">I W HUGHES</a>
<b>Director</b>	<a href="#">ORSON OLIVER</a>
<b>Director</b>	<a href="#">WOODFORD R PORTOR</a>
<b>Incorporator</b>	<a href="#">MALCOLM B CHANCEY JR</a>

## Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

<a href="#">Annual Report</a>	4/20/2017	1 page	<a href="#">PDF</a>
<a href="#">Principal Office Address</a>	5/12/2016 2:58:46 PM	1 page	<a href="#">PDF</a>

<a href="#">Change</a>				
<a href="#">Annual Report</a>	5/12/2016	1 page	<a href="#">PDF</a>	
<a href="#">Annual Report</a>	5/15/2015	1 page	<a href="#">PDF</a>	
<a href="#">Principal Office Address Change</a>	2/11/2014 10:18:20 AM	1 page	<a href="#">PDF</a>	
<a href="#">Annual Report</a>	2/11/2014	1 page	<a href="#">PDF</a>	
<a href="#">Annual Report</a>	6/18/2013	1 page	<a href="#">PDF</a>	
<a href="#">Registered Agent name/address change</a>	3/8/2013	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	6/28/2012	1 page	<a href="#">PDF</a>	
<a href="#">Annual Report</a>	7/19/2011	1 page	<a href="#">PDF</a>	
<a href="#">Annual Report</a>	5/28/2010	1 page	<a href="#">PDF</a>	
<a href="#">Annual Report</a>	1/13/2009	1 page	<a href="#">PDF</a>	
<a href="#">Annual Report</a>	3/4/2008	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	1/8/2007	1 page	<a href="#">PDF</a>	
<a href="#">Annual Report</a>	3/7/2006	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	3/11/2005	1 page	<a href="#">PDF</a>	
<a href="#">Annual Report</a>	6/5/2002	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	5/21/2001	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	10/3/2000	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	7/16/1999	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	4/24/1998	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1997	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1996	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1995	3 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1994	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1992	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1991	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1990	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<a href="#">Annual Report</a>	7/1/1989	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>

## Assumed Names

## Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	4/20/2017 2:09:23 PM	4/20/2017 2:09:23 PM	
Annual report	5/12/2016 3:06:17 PM	5/12/2016 3:06:17 PM	
Principal office change	5/12/2016 2:58:46 PM	5/12/2016 2:58:46 PM	
Annual report	5/15/2015 12:24:37 PM	5/15/2015 12:24:37 PM	
Annual report	2/11/2014 10:23:31 AM	2/11/2014 10:23:31 AM	
Principal office change	2/11/2014 10:18:20 AM	2/11/2014 10:18:20 AM	
Annual report	6/18/2013 10:48:04 PM	6/18/2013 10:48:04 PM	

Registered agent address change	3/8/2013 2:47:46 PM	3/8/2013
Annual report	6/28/2012 4:10:51 PM	6/28/2012 4:10:51 PM
Annual report	7/19/2011 9:24:22 AM	7/19/2011 9:24:22 AM
Annual report	5/28/2010 9:56:56 AM	5/28/2010 9:56:56 AM
Annual report	1/13/2009 10:11:05 AM	1/13/2009 10:11:05 AM
Annual report	3/4/2008 8:28:00 AM	3/4/2008
Annual report	1/8/2007 4:01:51 PM	1/8/2007 4:01:51 PM
Annual report	3/7/2006 10:38:23 AM	3/7/2006
Annual report	3/11/2005	3/11/2005
Annual report	3/18/2004	3/18/2004

## Microfilmed Images

Microfilm images are not available online. They can be ordered by faxing a [Request For Corporate Documents](#) to the Corporate Records Branch at 502-564-5687.

Annual Report	12/31/2004 2:10:42 PM	2 pages
Annual Report	6/11/2003	2 pages
Annual Report	6/5/2002	2 pages
Annual Report	5/21/2001	2 pages
Annual Report	10/3/2000	2 pages
Annual Report	7/16/1999	2 pages
Annual Report	4/24/1998	2 pages
Annual Report	7/1/1997	2 pages
Annual Report	7/1/1996	2 pages
Annual Report	7/1/1995	3 pages
Annual Report	7/1/1994	2 pages
Annual Report	7/1/1993	2 pages
Annual Report	7/1/1992	2 pages
Annual Report	7/1/1991	1 page
Annual Report	7/1/1990	2 pages
Annual Report	7/1/1989	2 pages
Statement of Change	7/15/1986	1 page
Articles of Incorporation	3/14/1983	9 pages