NEIGHBORHOOD DEVELOPMENT FUND Not-for-Profit Transmittal and Approval Form

Applicant/Program: Highview Business Owners Assocation/Highview Community Applicant Requested Amount: \$7,331.90 Appropriation Request Amount: \$7.331.90	
Executive Summary of Request	
Expenses related to Highview Fall Festival, Highview Holiday Fest and website maintenance.	
Is this program/project a fundraiser?	
Is this applicant a faith based organization? Yes No	
Does this application include funding for sub-grantee(s)? Yes No	
I have reviewed the attached Neighborhood Development Fund Application and have found it within Metro Council guidelines and request approval of funding in the following amount(s). organization's statement of public purpose to be furthered by the funds requested and I agree the purpose is legitimate. I have also completed the disclosure section below, if required. 23 District # Primary Sponsor Signature Amount Date	I have read the nat the public
Primary Sponsor Disclosure List below any personal or business relationship you, your family or your legislative assistant lorganization, its volunteers, its employees or members of its board of directors.	nave with this
Approved by:	
Appropriations Committee Chairman Date	
Final Appropriations Amount:	
- rem - thy absence - remains	

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Applicant/Program:			
Highview Businiess Owner's Assocation Inc/Highview Community			
Additional Council Office Disclosure List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.			
District 1	<u>\$</u>		
District 2	\$		
District 3	<u> </u>		
District 4	\$		
District 5	\$		
District 6	\$		
District 7	\$		
District 8	\$		
District 9	\$		
District 10	\$\$		
District 11	<u>\$</u>		

District 12 \$_____

District 13 ______ \$_____

District 14 \$_____

District 15 ______ \$_____

Applicant/Program:				
Highview Business Owner's Assoca	Highview Business Owner's Assocation Inc/Highview Community			
Add	litional Disclosure and Sig	natures		
Additional Council Office Disc List below any personal or busines	closure	our legislative assistant have with this		
District 16	\$\$			
District 17	\$\$			
District 18	\$			
District 19	\$			
District 20	\$			
District 21	\$			
District 22	\$			
District 23	\$			
District 24	\$			

District 25 ______ \$_____

District 26 _______\$_____

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Legal Name of Applicant Organization Highview Business Owner's Assocation Inc

Program Name and Request Amount Highview Comminity \$7,331.90

Is the NDF Transmittal Sheet Signed by all Carry 1144	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding? Is the funding proposed by Council Member(s) Issued	Yes▼
Is the funding proposed by Council Member(s) less than or equal to the request amount?	Yes⊾
Is the proposed public purpose of the program viable and well-documented?	Yes♥
Will all of the funding go to programs specific to Louisville/Jefferson County?	Yes⊽
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	Yes≢
Has prior Metro Funds committed/granted been disclosed?	Yeş≖
Is the application properly signed and dated by authorized signatory?	Ye₅ਯ
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	N/A 🗔
Is the entity in good standing with: Kentucky Secretary of State? Louisville Metro Revenue Commission? Louisville Metro Government? Internal Revenue Service? Louisville Metro Human Relations Commission?	Yesu
Is the current Fiscal Year Budget included?	Yes
s the entity's board member list (with term length/term limits) included?	Yes▼
s recommended funding less than 33% of total agency operating budget?	No 🗷
Does the application budget reflect only the revenue and expenses of the project/program?	Yes 🖾
s the cost estimate(s) from proposed vendor (if request is for capital expense) included?	
the most recent annual audit (if required by organization) included?	N/A
a copy of Signed Lease (if rent costs are requested) included?	N/A⊠
s the Supplemental Questionnaire for churches/religious organizations (if requesting organization is aith-based) included?	N/A⊠ N/A⊠
re the Articles of Incorporation of the Agency included?	
the IRS Form W-9 included?	Yes . Yes .
the IRS Form 990 included?	
e the evaluation forms (if program participants are given evaluation forms) included?	Yes
firmative Action/Equal Employment Opportunity plan and/or policy statement included (if quired to do so)?	N/A.⊋
is the Agency agreed to participate in the BBB Charity review program? If so, has the applicant at the BBB Charity Review Standards?	N/A

SECTION 1 – APPLICANT INFORMATION					
Legal Name of Applicant Organization:					
(as listed on: http://www.sos.ky.gov/business/records					
Main Office Street & Mailing Address: PO BOX 91797 LOUISVILLE, KY 40291					
Website: HIGHVIEW	BUSINE	SS.ORG			
Applicant Contact:	KIMBE	RLY ROSENBLATT	Title:	PRESIDENT	
Phone:	502-664	1-4555	Email:	KIMBERLYROSENBLATT@@	GMail COM
Financial Contact:	SAME		Title:	SAME	
Phone:	SAME		Email:	SAME	
Organization's Repres	entative	who attended NDF Trair	ning: KIMBERLY R	OSENBLATT	
GEOG	RAPHICA	L AREA(S) WHERE PROG	RAM ACTIVITIES AF	RE (WILL BE) PROVIDED	
Program Facility Locat	ion(s):	HIGHVIEW NEIGHBO	RHOOD		1
Council District(s): 23	3	HIGHVIEW	Zip Code(s):	40228	Annual transfer
	SECTI	ON 2 – PROGRAM REQU	EST & FINANCIAL IN	IFORMATION	
PROGRAM/PROJECT N	AME:HI	GHVIEW COMMUNITY	ſ		
Total Request: (\$)	1.331.	90 Total Metro A	ward (this program) in previous year: (\$) 8,909.18	
Purpose of Request (ch	neck all t	hat apply):			
Operating Fu	nds (gen	erally cannot exceed 33%	6 of agency's total o	perating budget)	Philip and the second
Programming	s/service:	s/events for direct benef	it to community or o	qualified individuals	
Capital Projec	t of the	organization (equipment	, furnishing, building	g, etc)	
The Following are Requ	ired Att	achments:			
IRS Exempt Status Determine	ermination	Letter	Signed lease if re	nt costs are being requested	
K Current year projected	budget -		✓IRS Form W9		
\swarrow Current financial stater	ment -		Evaluation forms	if used in the proposed program	
Most recent IRS Form S	Most recent IRS Form 990 or 1120-H Annual audit (if required by organization)		equired by organization)		
Articles of Incorporation (current & signed) . Faith Based Organization Certification Form, if applicable					
Cost estimates from pro capital expense	oposed ve	endor if request is for			
Government for this or	any othe	r program or expense, in	cluding funds receiv	received from Louisville Metro red through Metro Federal Grants, opment Funds). Attach additional	
sheet if necessary.					
Source:	·		Amount: (\$)		
Source:			Amount: (\$)		
Source:			Amount: (\$)		
		BBB Charity Review for p		es No	
Has the applicant met th	ne BBB C	harity Review Standards	Yes No		

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Applicant's Initial

SECTION 3 – AGENCY DETAILS
Describe Agency's Vision, Mission and Services:
THE HIGHVIEW BUSINESS ASSOCIATION HAS BEEN ESTABLISHED IN ORDER TO PROMOTE AND NSURE A HEALTHY, PROSPEROUS COMMUNITY FOR THOSE WHO LIVE, WORK AND WORSHIP IN THE BOUNDED AREA. THE PURPOSE IS TO ACT COHESIVELY FOR THE GOOD OF OUR REIGHBORHOOD IN CREATING AND MAINTAINING A SAFE, HARMONIOUS AND BALANCED ENVIRONMENT BENEFICIAL TO ALL.

SECTION 4 - BOARD OF DIRECTORS AND PAID STAFF **Board Member** Term End Date PRESIDENT-KIMBERLY ROSENBLATT 01/2021 VICE PRESIDENT-CAMILLE ANDERSON-LINTON 01/2021 SECRETARY - RENEE BRYANT 01/2021 **ALL BOARD MEMBERS ARE VOLUNTARY. HIGHVIEW BUSINESS OWNERS ASSOCIATION DOES NOT HAVE ANY PAID STAFF** Describe the Board term limit policy: **SECTION 3- TERM OF OFFICE** EACH DIRECTOR SHALL SERVE A ONE YEAR TERM AND UNTIL HIS/HER SUCCESSOR SHALL BE ELECTED AND QUALIFIED. DIRECTORS MAY SERVE AN UNLIMITED NUMBER OF TERMS. **Three Highest Paid Staff Names Annual Salary**

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Applicant's Initials KOK

SECTION 5 - PROGRAM/PROJECT NARRATIVE A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.): HIGHVIEW FALL FESITVAL IS SCHEDULED FOR SATURDAY OCTOBER 2, 2021. THIS EVENT IS FREE TO THE COMMUNITY. THERE WILL BE A CAR SHOW, MUSIC, BOUNCE HOUSES, AND CHILDRENS ACTIVITIES. HIGHVIEW HOLIDAY FEST IS SCHEDULED FOR FRIDAY DECEMBER 3, 2021. THIS EVENT IS FREE TO THE COMMUNITY. THERE WILL BE CHILDRENS ACTIVITIES, HORSE CARRIAGE RIDES, REFRESHMENTS, AND SANTA CLAUS. B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s): \$299290 FALL FESTIVAL (VARIOUS EQUIPMENT, RENTAL, SUPPLIES) \$3,340.00-HORSE CARRIAGE RENTAL FOR HOLIDAYFEST \$600.00-REFRESHMENTS FOR THE HOLIDAYFEST (DRINKS, CHILI, HOT DOGS, COOKIES) \$394.00-WEBSITE ANNUAL MAINTENANCE TOTAL-\$7,331.90

C: If this request is a fundraiser, please detail how the proceeds will be spent:	
D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date	
and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for	
funds to be spent before the grant award period, identify the applicable circumstances:	
The funding request is a reimbursement of the following expenditures that will probably be incurred after the	
application date, but prior to the execution of the grant agreement: ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this	
application.	
The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the	
grant agreement.	
Reimbursements should not be made before application date unless an emergency can be demonstrated by the primary council spansor. The funding request is a reimbursement of the following expanditures (attach	
by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):	
✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan	
identified in this application.	
 Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application. 	
рын мениней илиз аррисации.	-
	-
	1

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:
BRINGING THE COMMUNITY TOGETHER TO HELP PROMOTE LOCAL BUSINESSES.
F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.
PARTNERSHIP WITH THE FERN CREEK HIGHVIEW UNITED MINISTRIES, HIGHVIEW FIRE DEPARTMENT, AND LOCAL POLICE AGENCIES.

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SECTION 6 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

	Column 1	Column 2	Column (1+2)=3
Program/Project Expenses	Proposed Metro Funds	Non- Metro Funds	Total Funds
A: Personnel Costs Including Benefits			
B: Rent/Utilities			
C: Office Supplies			
D: Telephone			
E: In-town Travel			
F: Client Assistance (See Detailed List on Page 8)			
G: Professional Service Contracts			
H: Program Materials			
I: Community Events & Festivals (See Detailed List on Page 8)	7331.90	0	7.\$31.90
J: Machinery & Equipment			
K: Capital Project			
L: Other Expenses (See Detailed List on Page 8)			
*TOTAL PROGRAM/PROJECT FUNDS	7,331.90		7,331.90
The partition of the state of t	100 %	%	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	
Fees Collected from Program Participants	
Other (please specify)	
Tana Brooks, and Brown Brown Brown	

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^{*}Total of Column 1 MUST match "Total Request on Page 1, Section 2"

^{**}Must equal or exceed total in column 2.

Detail for Client Assistance, Community Events & Festivals or Other Expenses shown on Page 7	Column 1	Column 2	Column (1 + 2)=3
(circle one and use multiple sheets if necessary)	Proposed Metro Funds	Non- Metro Funds	Total Funds
DIGITALBG (DOUG LANDERS)	394	0	394
LOUISVILLE HORSE TRAMS	3,340	0	3,340
VALUMARKET	600	0	600
WASTENOW	238.9	0	238.9
RUMPKE	159	0	159
LOUISVILLE INFLATIBLES	1,500	0	1,500
COPY PALACE	600	0	600
VISTA PRINT	500	0	500
	1444-44104-1441	***************************************	
		A MARKETONIA	
Total	7,331.40	0	7,331.40

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Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency). **Donor*/Type of Contribution** Value of Contribution Method of Valuation Total Value of In-Kind (to match Program Budget Line Item. Volunteer Contribution & Other In Kind) * DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK Agency Fiscal Year Start Date: July 1 Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO 🔳 YES 🗍 If YES, please explain:

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SECTION 7 - CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

- Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of
 expenditure is subject to Kentucky's open records law.
- Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the
 approval is automatically revoked and the funds will not be disbursed to our organization.
- 3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
- 4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
- 5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
- Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
- 7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
- 8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
- 9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
- 10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
- 11. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

Standard Certifications

- 1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
- 2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
- The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
- 4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
- 5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

SECTION 8 - CERTIFICATIONS & ASSURANCES I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application. black Date: 08/10/2021 Signature of Legal Signatory: Title: Legal Signatory: (please print): KIMBERLY ROSENBLATT PRESIDENT KIMBERLYROSENBLATT@GMAIL Phone: 502-664-4555 Extension:

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From: <u>Torsky, John N</u>

To: Bell, LaTonya J.; Harward, Sonya
Subject: FW: HBA Board Members

Date: Wednesday, September 1, 2021 11:48:30 AM

Ms. Harward,

Please add this email to the NDF packet for the Highview Business Association.

Thank you, John Torsky

From: Kimberly Rosenblatt <kimberlyrosenblatt@gmail.com>

Sent: Wednesday, September 1, 2021 11:32 AM **To:** Torsky, John N < John. Torsky@louisvilleky.gov>

Subject: RE: HBA Board Members

CAUTION: This email came from outside of Louisville Metro. Do not click links or open attachments unless you recognize the sender and know the content is safe

Typically they are 1 year terms but due to covid we just started so they will go to 12/31/22.

Kimberly Rosenblatt
Realtor, EXP Realty
(502)664-4555
www.LovelyLouisvilleHomes.com

From: Torsky, John N < John. Torsky@louisvilleky.gov>
Sent: Wednesday, September 1, 2021 11:21 AM

To: Kimberly Rosenblatt < <u>kimberlyrosenblatt@gmail.com</u>>

Subject: RE: HBA Board Members

When do the terms expire? That is the #1 question our finance staff has. It wasn't clear on the application.

Thank you, John

From: Kimberly Rosenblatt < <u>kimberlyrosenblatt@gmail.com</u>>

Sent: Wednesday, September 1, 2021 10:05 AM **To:** Torsky, John N < John. Torsky@louisvilleky.gov>

Subject: RE: HBA Board Members

CAUTION: This email came from outside of Louisville Metro. Do not click links or open attachments unless you recognize the sender and know the content is safe

Kimberly Rosenblatt – President Camille Anderson-Linton – Vice President Renee Bryant - Secretary

Kimberly Rosenblatt
Realtor, EXP Realty
(502)664-4555
www.LovelyLouisvilleHomes.com

From: Torsky, John N < John. Torsky@louisvilleky.gov>

Sent: Tuesday, August 31, 2021 3:19 PM

To: Kimberly Rosenblatt < <u>kimberlyrosenblatt@gmail.com</u>>

Subject: HBA Board Members

Ms. Rosenblatt.

Can you please send us a list of the current Highview Business Association board members and when do their term expires.

Thank you, John Torsky

John Torsky Legislative Assistant District 23 Metro Councilman James Peden

502-574-1123 - Office 502-574-3468 - Direct

The information contained in this communication from the sender is confidential. It is intended solely for use by the recipient and others authorized to receive it. If you are not the recipient, you are hereby notified that any disclosure, copying, distribution or taking action in relation of the contents of this information is strictly prohibited and may be unlawful.



Louisville Metro Government Office of Management and Budget

Neighborhood Development Fund Training Attestation

Grant	ee Organization Name:	Highview Business	Duno	asitaisoza u
Grante	ee Representative Name	Kunberly Ros	eublait	<u> </u>
		,		
having requir	g viewed the Neighbo	d representative and/or signatory of the rhood Development Fund training pr nood Development Fund grant. Addition questions.	esentation. I	understand the reporting
Please	check:			
l	I viewed the N	DF training material on the website		
Answe	er the following question	s before signing (Circle or write in the co	rrect answer).	
1.	The NDF funding your	agency received is a gift from LMG? True	orFalse	
		t categories that require a detail list.		
		fance community events/	Finding kand	Other Expensis
3.	If your agency charged	gross pay to NDF, you are required to pe	rovide addition	al documentation to
	satisfy reporting requi			
4.	Which four questions s	hould your financial support documenta	tion answer at	all times?
	who _ l	what when a	nd <u>whe</u>	<u>re</u>
5.	Your agency is conside	red noncompliant if you do not account	for funds receiv	ved and/or your financial
	report is missing suppo	rt documentation? True or False		
6.		tatement, invoice and receipt are consid	lered proof of p	payment. True or False.
)	\sim	2 2 64		1 1
Du	uberly tope	ublati	_8/	15/01
Grante	e Representative Signat	ıre	Date	
	U			
NOTE	Please return to Roxani	na Staala		
HOIL.	E-mail address:	Roxanne.Steele@louisvilleky.gov	Fax:	502-574-3219
	Mailing Address:	Louisville Metro Government		
		ATTN: NDF Coordinator		
		611 West Jefferson St.		
		Louisville, KY 40202		



Confirmation

Home | Security Profile | Logout

Your Form 990-N(e-Postcard) has been submitted to the IRS

· Organization Name: HIGHVIEW BUSINESS OWNERS ASSOCIATION INC

EIN: 453062755Tax Year: 2020

Tax Year Start Date: 07-01-2020Tax Year End Date: 06-30-2021

• Submission ID: 10065520212214849357

• Filing Status Date: 08-09-2021

· Filing Status: Pending

Note: Print a copy of this filing for your records. Once you leave this page, you will not be able to do so.

MANAGE FORM 990-N SUBMISSIONS

NARP

Commonwealth of Kentucky Michael G. Adams, Secretary of Sta

0641559 Michael G. Adams KY Secretary of State Received and Filed 2/11/2021 2:17:58 PM

Fee receipt: \$15.00

Michael G. Adams Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

Annual Report Online Filing

ARP

Company:

HIGHVIEW BUSINESS OWNERS ASSOCIATION INC

Company ID: State of origin:

0641559 Kentucky

Formation date:
Date filed:

6/26/2006 12:00:00 AM 2/11/2021 2:17:58 PM

Fee:

\$15.00

Principal Office

7812 APPLEVIEW LANE LOUISVILLE, KY 40291

Registered Agent Name/Address

DAVID STEFF

7812 APPLEVIEW LANE LOUISVILLE, KY 40291

Current Officers

President Secretary Vice President DARRELL FRANKLIN KIMBERLY ROSENBLATT 7519 OUTER LOOP LOUISVILLE KY 40228 6614 MOORHAVEN DR LOUISVILLE, KY 40228 7812 APPLEVIEW LANE LOUISVILLE, KY 40228

Directors

Director Director Director DARRELL FRANKLIN

DAVID STEFF

DAVID STEFF KIMBERLY ROSENBLATT 7519 OUTER LOOP LOUISVILLE, KY 40228 7812 APPLEVIEW LANE LOUISVILLE, KY 40228 6614 MOOREHAVEN DR LOUISVILLE, KY 40228

County: Business size: Jefferson Small

Business type:

Other

Signatures

Signature Title David Steff Vice President Multi-page document. Select page: 1 2 3 4

0641559.09

AMCREY NAO!

Trey Grayson Secretary of State Received and Filed 06/26/2006 11:33:35 AM Fea Receipt: \$8.00

ARTICLES OF INCORPORATION

HIGHVIEW BUSINESS OWNERS ASSOCIATION

The undersigned, desiring to organize a non-stock, non-profit corporation under the laws of the Commonwealth of Kentucky, specifically the Kentucky Non-profit Corporation Act, hereby certifies:

> ARTICLE I Name

The name of the Corporation is the Highview Business Owners Association. INC

ARTICLE II
Duration

The period of duration of the Corporation shall be perpetual.

ARTICLE III
Purposes

The Highview Business Owners Association has been established in order to promote and insure a healthy, prosperous community for those who live, work and worship in the bounded area. The purpose is to act cohesively for the good of our neighborhood in creating and maintaining a safe, harmonious, and balanced environment beneficial to all.

To further define this purpose, the following guidelines are set forth:

- I. To recognize the Highview Business Owners Association as a distinctive neighborhood of businesses, residents, churches, and social service organizations.
- II. To facilitate communication and understanding between area members, defining common problems and developing strategies to solve these problems.
- III. To insure that property values and neighborhood aesthetics of the area are maintained, promoting safety, crime prevention, and economic development.
- IV. To serve as a liaison with government agencies as issues arise affecting our business community.

ARTICLE IV Powers

No part of the net earnings of the Corporation shall inure to the benefit of any member, director, officer or employee of the Corporation. No member, director, officer, or employee of the Corporation shall receive or be lawfully entitled to receive any pecuniary benefit of any kind, except reasonable compensation for services in effecting one or more purposes of the Corporation. The Corporation shall not participate in, or

Multi-page document. Select page: 1 2 3 4

Multi-page document. Select page: 1 2 3 4

intervene in (including the publishing or distributing of statements) any political campaign on behalf of any candidate for public office.

ARTICLE V Membership

Section 1: Class Members: The Chamber shall have two classes of members, voting and non-voting.

Section 2: Election of Members: Membership shall be open to those persons, entities, and associations described in Article V of the Articles of Incorporation and shall be open to any individual, corporation, or other entity which pays the annual dues as set forth in the Bylaws of the Corporation.

Sections 3: Termination of Membership: The Board of Directors by affirmative vote of two-thirds (2/3) of all of the members of the Board, may suspend or expel a member for cause after an appropriate written notice, and may, by a majority vote of those present at any regularly constituted meeting, terminate the membership of any member who becomes ineligible for membership, or suspend or expel any member who is in default in the payment of dues for the period fixed by prior Board resolution.

Section 4: Resignation: Any member may resign by filing a written resignation with the Secretary, but such resignation will not relieve the member so resigning from the obligation to pay any dues, assessments, or other charges theretofore accrued and not paid.

Section 5: Reinstatement: Upon written request or submission of an application signed by a former member and filed with the Secretary, the Board of Directors may, by the affirmative vote of two-thirds (2/3) of the members of the Board, reinstate a former member to membership upon such terms as the Board of Directors may deem appropriate.

Section 6: Transfer of Membership: Membership in this Association is not transferable or assignable.

Section 7: Voting Rights: Voting rights of members shall be in accordance with the Bylaws of the Corporation.

ARTICLE VI Directors

The Board of Directors shall consist of five (5) members. The initial Board shall consist of the following organizing members:

> President: Kim Faulkner Vice-President: Sherri Richter Secretary: David Watkins Treasurer: Janice Lawrence

The initial members shall serve until the first annual meeting of the Corporation, at which time officers and directors will be elected in accordance with the Bylaws and Articles of the Corporation.

Multi-page document. Select page: 1234

Multi-page document. Select page: 1234

ARTICLE VII Bylaws and Amendments

Bylaws for the Corporation shall be adopted, and may be amended or repealed by the Board of Directors. Amendment to the Articles of Incorporation shall require the affirmative vote of two-thirds (2/3) of the members of the Corporation voting at a regular meeting or a special meeting called for that purpose.

ARTICLE VIII Registered Office and Agent

The registered and principal office is 7309 Fegenbush Lane, Louisville, KY 40228.

The registered agent is Kim Faulkner.

ARTICLE IX Dissolution

The Corporation may be dissolved by the affirmative vote of two-thirds (2/3) of the members of the Board of Directors, then in office, taken at a special meeting of the Board of Directors called for that purpose, or upon the written consent of all the members of the Board of Directors. Upon the dissolution of other termination of the Corporation, no part of the property of the Corporation, nor any of the proceeds thereof, shall be distributed to, or inure to the benefit of any of the members, officers, or directors of the Corporation, but all such property and proceeds shall, subject to the discharge of valid obligations of the Corporation and to applicable provisions of law, be distributed, as directed by the Board of Directors, to or among any one or more domestic non-profit corporations, societies or organizations engaged in activities substantially similar to those of the dissolving Corporation, pursuant to a plan of distribution adopted as provided by state statute.

ARTICLE X No Personal Liability

No member, director, officer, employee or agent of the Corporation shall be personally liable for the debts or liabilities of the Corporation.

ARTICLE XI Incorporator

The name address of the incorporator is Kim Faulkner, 7309 Fegenbush Lane, Louisville, KY, 40228.

IN WITNESS WHEREOF, for the purposes of forming the Highview Business Owners Association, under the laws of the Commonwealth of Kentucky, the undersigned,

Multi-page document. Select page: 1234

Multi-page document. Select page: $\underline{1} \ \underline{2} \ \underline{3} \ 4$

constituting the incorporator of the Highvic these Articles of Incorporation this 12th day	w Business Owners Association has executed of June, 2006.	
STATE OF KENTUCKY)	Kym M. Daulbren Kym Faulkner, President	
COUNTY OF JEFFERSON)		
	his 12 th day of Tene, 2006, by	
My Commission expires: 8/6	108	
	Motary Public, State at Large, KY	
The foregoing instrument was prepared by:		
David D. Watkins Ir., Secretary		
·		
·		
Multi-page document. Select page: <u>1</u> <u>2</u> <u>3</u> 4		
http://apps.sos.ky.gov/ImageWebViewer/(S(1f4	tvz552iwussztir4rvoef))/obdbdisplayimage	9/7/2018

orm W-9

(Rev. October 2018)
Department of the Treasury

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

	Revenue Service	>	Go to www.irs.go	v/FormW9 for inst	tructions and the lat	est infor	mat	tion	١.							
	1 Name (as shown	on your income t	ax retum). Name is re	quired on this line; do	not leave this line blank											
	1		name, if different from													
က်			IERS ASSOCIAT						Т					annh	only to	
page (3 Check approprise following seven		tax classification of tr	ne person whose nam	e is entered on line 1. C	neck only	one	OT T	ne	certa	emptio in entiti uctions	es,	not inc	dividua		
s. Is on	Individual/sole proprietor or							e	Exempt payee code				any)			
type	Limited liabili	ty company. Enter	the tax classification	(C=C corporation, S=	S corporation, P=Partne	ership) 🏲 _			_							
Print or type.	following seven boxes. Individual/sole proprietor or single-member LLC Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is oldergarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner. Other (see instructions) Scorporation Partnership Trust/estate Exempt payee code (if any) Exempt payee code (if any) Exemption from FATCA report code (if any) Code (if any) Other (see instructions) Scorporation Partnership Trust/estate Exempt payee code (if any) Exempt payee code (if any) Exemption from FATCA report code (if any) Code (if any) Scorporation Partnership Trust/estate Exempt payee code (if any) Exempt payee code (if any) Exemption from FATCA report code (if any) Code (if any) Scorporation Partnership Trust/estate Exempt payee code (if any) Exempt payee code (if any)							orting								
eci	Other (see in:					,					to accou			l cutside	the U.S.)
နှင့်	5 Address (numbe	er, street, and apt.	or suite no.) See Instru	uctions.		Reques	ter's	nai	ne ar	nd ad	dress (c	ptic	inai)			
See	PO BOX 91797 6 City, state, and					1										
	LOUISVILLE, P	(Y 40291														
	7 List account nun	nber(s) here (option	nal)													
divining and the second																
Par			ation Number	·			160	امتما		reitre r	umber					_
					e given on line 1 to a ber (SSN). However,		30	T	Sect	7	umber	$\overline{}$		_	T	ᅥ
reside	nt alien, sole prop	rietor, or disrega	arded entity, see th	e instructions for F	Part I, later. For other					-			-			Ì
entities, it is your employer identification number (ÉIN). If you do not have a number, see How to get a TIN, later.							J			L	لــــــا					
		n more than one	name, see the inst	tructions for line 1.	Also see What Name	and		plo	yer ic	dentif	ication	nu	mber			
			elines on whose nu				Ī.	T,	7			T	7	5	5	
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	• .	•	on (defined below);	and												
					t from FATCA reportir	ng is con	ect.									
Certifi	cation instruction	s. You must cros	s out item 2 above i	f vou have been no	tified by the IRS that ve	ou are cu	rrent	tly s	subje	ct to	backu	o w	ithholi	ding t	ecaus	se
acquis	ition or abandonme	ent of secured pro	operty, cancellation	of debt, contribution	ate transactions, item 2 ns to an individual reti at you must provide you	rement a	rang	gem	ient (IRA),	and ge	ener	rally, p	oayme	ents ter.	
Sign Here	Signature of U.S. person ▶	Kun	beely 7	Soseep	cett	Date ►	8	1/	0/	21						
Ger	neral Instr	uctions	0)	• Form 1099-DIV (di funds)	vidends,	incl	ludi	ng th	nose	from s	toc	ks or	mutu	al	
Section references are to the Internal Revenue Code unless otherwise noted. • Form 1099-MISC (various types of income, prizes, awards, or giproceeds)					ross											
Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted transactions by brokers)																
after they were published, go to www.irs.gov/FormW9. • Form 1099-S (proceeds from real estate transactions)																
Purpose of Form • Form 1099-K (merchant card and third party network transactions)																
An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer 5098-T (tuition)				-E (Stu	gen	it loar	1 inte	rest),								
identification number (TIN) which may be your social security number For			• Form 1099-C (canceled debt)													
(SIN), Individual taxpayer identification multiple (Tin), adoption number (ATIN), or employer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other		 Form 1099-A (acquisition or abandonment of secured property) Use Form W-9 only if you are a U.S. person (including a resident 						nt								
amour	it reportable on ar	information retu	um. Examples of in	you, or other formation	alien), to provide you	ur correc	t Tir	N.								
returns include, but are not limited to, the following. • Form 1099-INT (interest earned or paid) If you do not return Form W-9 to the requester with a TIN, you be subject to backup withholding. See What is backup withholding.			noldir	ng,												



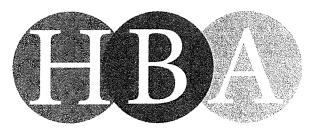
PRESENTED BY THE HIGHVIEW BUSINESS ASSOCIATION

06T0BER2ND, 2021

11AM-4PM ~ VALUMARKET OUTER LOOP PLAZA

DON'T LET THIS OPPORTUNITY TO INTERACT WITH THE HIGHVIEW COMMUNITY PASS YOU BY!

If your church, school, club, group or business wishes to participate or have a booth at the festival contact Kim Rosenblatt at 502-664-4555 or email kimberlyrosenblatt@gmail.com. We will forward information to you along with a sign up sheet. The festival will have many informational booths, craft booths and area businesses represented along with great food and games and amusement for children. Be part of this annual event and take advantage of the audience of adults and children of Highview and surrounding areas!



Highview Business Association



9115 Smyrna Parkway Louisville, KY 40229

RETURN SERVICE REQUESTED

514141 16609 1/2 UNQ 08-01-21 CLT 000016608 1

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HIGHVIEW BUSINESS OWNERS ASSOCIATION INC 7812 APPLEVIEW LN LOUISVILLE KY 40228-1772

Account Statement

800-292-2905 | www.LNFCU.com

Member Number XXXXXX8200 Statement For 07/01/2021 - 07/31/2021 Page 1 of 1

Save big on your next auto loan with no payment for 90 days, up to \$400.00 cash back and competitive rates and terms. Visit www.LNFCU.com to apply!

Your Account Balances as of	Dividend YTD	
2 Basic Business Checking	\$2,554.22	\$0.00
Account Balance Total	\$2,554.22	\$0.00
Total Dividends Paid Year-To-Date		\$0.00

Need a Loan? Call 800-292-2905 or apply online www.LNFCU.com

BASIC BUSINESS CHECKING ID 2	Beginning Balance	\$2,554.22
	0 Total Deposits for	0.00
Dividends Paid in 2021 \$0.00	0 Total Withdrawals for	0.00
	Ending Balance	\$2,554.22

Fees Paid

Description	Current	YTD	Description	Current	YTD
Acct-2 Total Return Item Fees	\$0.00	\$0.00	Acct-2 Total Overdraft Fees	\$0.00	\$0.00
Acct-2 Refunded Return Item Fees	\$0.00	\$0.00	Acct-2 Refunded Overdraft Fees	\$0.00	\$0.00





HOW TO BALANCE YOUR CHECKING ACCOUNT

IS YOUR CHECK BOOK BALANCE IN AGREEMENT WITH THE BALANCE SHOWN ON THIS STATEMENT? IF NOT, THIS SIMPLE FORM MAY HELP YOU BRING THEM INTO AGREEMENT.

ENTER NEW BALANCE AS SHOWN ON THE STATEMEN	т. \$
DEPOSITS YOU MAY HAVE MADE DURING THE 2. CURRENT PERIOD AND ENTER IN THIS SPACE ANY WHICH HAVE NOT BEEN CREDITED ON THIS STATEMENT.	\$
3. TOTAL OF LINES 1 AND 2.	\$
LIST IN 4a BELOW ANY OUTSTANDING ITEMS (CHECKS & DEBIT CARD) YOU HAVE ISSUED WHICH HAVE NOT BEEN LISTED ON THIS STATEMENT AND ENTER THE TOTAL HERE.	\$
5. SUBTRACT LINE 4 FROM LINE 3. THIS SHOULD BE YOUR PRESENT CHECK BOOK BALANCE.	\$

4a.

OUTSTANDING ITEM	AMOUNT		
	\$		
	\$		
	\$		
	\$		
	\$		
	\$		
	\$		
	\$		
	\$		
	\$		
	\$		
TOTAL TO BE ENTERED IN 4 ABOVE	\$		

NOTE:

IF YOUR STATEMENT DOES NOT BALANCE, PLEASE CHECK TO BE SURE YOU HAVE ENTERED IN YOUR CHECK BOOK ALL AUTOMATIC TRANSACTIONS SHOWN ON THE FRONT OF YOUR STATEMENT.

YOU SHOULD HAVE ADDED IF THESE OCCURRED:

- 1. AUTOMATIC LOAN ADVANCES
- 2. CREDIT MEMOS
- 3. DIVIDENDS CREDITED
- 4. PRE-AUTHORIZED DEPOSITS
- 5. ATM DEPOSITS

YOU SHOULD HAVE SUBTRACTED IF THESE OCCURRED:

- 1. AUTOMATIC LOAN PAYMENTS
- 2. PRE-AUTHORIZED DEDUCTIONS
- 3. SERVICE CHARGES
- 4. DEBIT MEMOS
- 5. ATM WITHDRAWALS
- 6. DEBIT CARD PURCHASES

In Case of Errors or Questions About Your Electronic Transfer or Statement

Telephone:

Write:

(502) 368-5858 (800) 292-2905 9115 Smyrna Parkway Louisville, KY 40229

As soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- 1. Tell us your name and account number (if any).
- Describe the error or the transfer you are unsure about and explain clearly why you believe there is an error or why you need more information.
- 3. Tell us the dollar amount of the suspected error.

We will tell you the results of the investigation within 10 business days after hearing from you and will correct any error promptly. If more time is needed, however, we may take up to 45 days to investigate your complaint or question. If this decision is made, we will re-credit your account within 10 business days for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete the investigation. If you have been asked to put your complaint in writing and we do not receive it within 10 business days, the account may not be re-credited.

LOUISVILLE AREA LOCATIONS

CRESTWOOD (Walmart) 6501 Veterans Memorial Pkwy Crestwood, KY 40014

DIXIE

7412 Dixie Highway Louisville, KY 40258

DOWNTOWN

200 West Chestnut Street Louisville, KY 40202

HIKES POINT

3099 Breckenridge Ln, Ste. 109 Louisville, KY 40220

JEFFERSONTOWN

12629 Taylorsville Road Louisville, KY 40299

MIDDLETOWN (Walmart)

12981 Shelbyville Road Louisville, KY 40243

MT. WASHINGTON

129 Davis Drive

Mt. Washington, KY 40047

OLD BROWNSBORO CROSSING

9731 Von Allmen Court Louisville, KY 40241

SMYRNA

9201 Smyrna Parkway Louisville, KY 40229

SOUTHERN PARKWAY

4700 Southern Parkway Louisville, KY 40214

STONYBROOK

2601 S. Hurstbourne Pkwy Louisville, KY 40220

SOUTHERN INDIANA LOCATIONS

JEFFERSONVILLE

1450 Veterans Pkwy, Ste. 100 Jeffersonville, IN 47130

NEW ALBANY

2865 Charlestown Road New Albany, IN 47150

NORTHERN KENTUCKY LOCATIONS

ERLANGER

822 Donaldson Highway Erlanger, KY 41018

FORT WRIGHT (Walmart) 3450 Valley Plaza Pkwy

3450 Valley Plaza Pkwy Fort Wright, KY 41017

SOUTHEAST KENTUCKY LOCATIONS

CORBIN

1498 W. Cumberland Gap Pkwy Corbin, KY 40701

LONDON DOWNTOWN

101 Spring Street London, KY 40741

LONDON SOUTH

120 Wendon Way London, KY 40741

SOMERSET

2599 US Hwy 27S Ste. 116 Somerset, KY 42501

WILLIAMSBURG (Walmart) 589 Hwy 92 West

Williamsburg, KY 40769

502-368-5858 • 800-292-2905 www.LNFCU.com

Highview Business Association 2021 Budget

• PO Box Rental: \$134

Web Maintenance: \$394

Secretary of State: \$15

• Holiday Refreshments: \$600

Holiday Fest Carriage Rides: \$3340

• Fall Festival: \$ 2,997.90

• Halloween Festival: \$500

Marketing/Printing: \$500

INTERNAL REVENUE SERVICE P. O. BOX 2508 CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

ID# 31217

Date: NOV 1 9 2011.

HIGHVIEW BUSINESS OWNERS ASSOCIATION INC PO BOX 91767 LOUISVILLE, KY 40291 Employer Identification Number:

DLN: 17053263319021 Contact Person: JOAN C KISER' Contact Telephone Number: (977) 829-5500 Accounting Period Ending: June 30 Form 990 Required: Yes Bffective Date of Exemption: June 26, 2006 Contribution Deductibility: No Addendum Applica: No

Dear Applicant:

We are pleased to inform you that upon review of your application for tax-exempt status we have determined that you are exempt from Federal income tax under section 501(c)(6) of the Internal Revenue Code. Because this letter could help resolve any questions regarding your exempt status, you should keep it in your permanent records.

Please see enclosed Publication 4221-NC, Compliance Guida for Tax-Exempt Organizations (Other than 501(c)(3) Public Charities and Private Foundations), for some helpful information about your responsibilities as an exempt organization.

We have sent a copy of this letter to your representative as indicated in your power of attorney.

sincercly,

Lois G. berner Director, Exempt Organizations

Enclosure: Publication 4221-NC

Letter 948 (DO/CG)



Kentucky Secretary of State Michael G. Adams

HIGHVIEW BUSINESS OWNERS ASSOCIATION INC

File Annual Report

File Statement of Change of Principal Office

File Statement of Change of registered Agent / Registered Address

Printable Forms

Additional Services

Certificates

General Information

Organization Number

0641559

Name

HIGHVIEW BUSINESS OWNERS ASSOCIATION INC

Profit or Non-Profit

N - Non-profit

Company Type

KCO - Kentucky Corporation

Status

A - Active

Standing

G - Good

State

KY 6/26/2006

File Date
Organization Date

6/26/2006

Last Annual Report

2/11/2021

Principal Office

7812 APPLEVIEW LANE LOUISVILLE, KY 40291

Registered Agent

DAVID STEFF

7812 APPLEVIEW LANE LOUISVILLE, KY 40291

Current Officers

President

DARRELL FRANKLIN

Vice President

DAVID STEFF

Secretary

KIMBERLY ROSENBLATT

Director

DARRELL FRANKLIN

Director

DAVID STEFF

Director

KIMBERLY ROSENBLATT

Principal office change	12/30/2020 10:46:08	12/30/2020 10:46:08
r fincipal office change	AM	AM
Annual report	6/5/2020 11:23:22	6/5/2020 11:23:22
Annual Toport	AM	AM
Annual report	5/25/2019 8:55:01	5/25/2019 8:55:01
, umadi ropo.	AM	AM
Annual report	4/26/2018 3:56:31	4/26/2018 3:56:31
•	PM	PM
Annual report		6/2/2017 1:23:24 PM
Amendment to annual report	9/20/2016 3:03:14	9/20/2016 3:03:14
•	PM	PM
Registered agent address chang	8/11/2016 10:29:02 e	8/11/2016 10:29:02
	AM	AM
Principal office change	8/11/2016 10:23:53	8/11/2016 10:23:53 AM
	AM 3/18/2016 1:31:51	3/18/2016 1:31:51
Annual report	3/16/2016 1.31.31 PM	PM
	4/24/2015 2:04:13	4/24/2015 2:04:13
Annual report	PM	PM
Annual report		4/3/2014 9:58:28 AM
Annual report	1/11/2013 4:21:10	1/11/2013 4:21:10
Annual report	PM	PM
	6/11/2012 10:54:13	6/11/2012 10:54:13
Annual report	AM	AM
	6/27/2011 3:23:43	6/27/2011 3:23:43
Annual report	PM	PM
Annual report	6/7/2010 4:49:44 PM	6/7/2010
·	8/19/2009 3:09:46	8/19/2009 3:09:46
Principal office change	PM	PM
5 11 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	8/5/2009 12:52:18	8/5/2009 12:52:18
Registered agent address change	PM	PM
A	7/17/2009 10:25:58	7/17/2009 10:25:58
Annual report	AM	AM
Deinstatement	11/6/2008 11:24:58	11/6/2008
Reinstatement	AM	11/0/2000
Admin Dis. A. report not in	12/1/2007	12/1/2007
Admin Dis. A. report not in	12/1/2007	12/1/2007
Add	6/26/2006 11:33:35	6/26/2006
/ idd	AM	

 AM

Microfilmed Images

Contact Site Map

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