Print Form

NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form

Applicant/Program: Fairdale Lions Club, Inc.
Applicant Requested Amount: $3,000
Appropriation Request Amount: $3,000

Executive Summary of Request

District 13 NDF to the Fairdale Lions Club, Inc. in support of their Scholarship Program, awarded to qualified JCPS students, to be used towards college tuition and expenses. Students will qualify based on scholastic achievement, attendance and community service.

Is this program/project a fundraiser?	☐ Yes	☒ No
Is this applicant a faith based organization?	☐ Yes	☒ No
Does this application include funding for sub-grantee(s)?	☐ Yes	☒ No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

13	Vicki Aubrey Welch	$3,000	5/22/2017
District #	Primary Sponsor Signature	Amount	Date

Primary Sponsor Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

Approved by:

_____

Appropriations Committee Chairman Date

Final Appropriations Amount: _____

LOUISVILLE METRO COUNCIL
NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Legal Name of Applicant Organization Fairdale Lions Club, Inc.

Program Name and Request Amount Fairdale Lions Club Scholarship Program

	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	Yes
Is the funding proposed by Council Member(s) less than or equal to the request amount?	Yes
Is the proposed public purpose of the program viable and well-documented?	Yes
Will all of the funding go to programs specific to Louisville/Jefferson County?	Yes
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	Yes
Has prior Metro Funds committed/granted been disclosed?	Yes
Is the application properly signed and dated by authorized signatory?	Yes
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	Yes
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	N/A
Is the entity in good standing with: ▸ Kentucky Secretary of State? ▸ Louisville Metro Revenue Commission? ▸ Louisville Metro Government? ▸ Internal Revenue Service? ▸ Louisville Metro Human Relations Commission?	Yes
Is the current Fiscal Year Budget included?	Yes
Is the entity's board member list (with term length/term limits) included?	Yes
Is recommended funding less than 33% of total agency operating budget?	Yes
Does the application budget reflect only the revenue and expenses of the project/program?	Yes
Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?	Yes
Is the most recent annual audit (if required by organization) included?	Yes
Is a copy of Signed Lease (if rent costs are requested) included?	N/A
Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?	Yes
Are the Articles of Incorporation of the Agency included?	Yes
Is the IRS Form W-9 included?	Yes
Is the IRS Form 990 included?	Yes
Are the evaluation forms (if program participants are given evaluation forms) included?	N/A
Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?	N/A
Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?	N/A

Prepared by: Kevin Triplett, Legislative Assistant Date: May 22, 2017

Print Form

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
Legal Name of Applicant Organization: *(as listed on: http://www.sos.ky.gov/business/records* Fairdale Lions Club, Inc.			
Main Office Street & Mailing Address: 10101 Mitchell Hill Rd, fairdale, KY 40118			
Website: N/A			
Applicant Contact:	Pam Shofner-Daniels	**Title:**	Past President/Scholarship Chair
Phone:	502-387-4375	**Email:**	PShofner12@gmail.com
Financial Contact:	Brenda Powell	**Title:**	Treasurer
Phone:	502-955-8238	**Email:**	BPowell30@aol.com
Organization's Representative who attended NDF Training: Pam Shofner-Daniels			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s):	10101 Mitchell Road, Fairdale, KY		
Council District(s):	13	**Zip Code(s):**	40118

SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: 2017 Fairdale Lions Club/Metro Govt Scholarships			
Total Request: ($)	3,000	**Total Metro Award (this program) in previous year: ($)**	3,000

Purpose of Request (check all that apply):

- ☐ Operating Funds (generally cannot exceed 33% of agency's total operating budget)
- ☒ Programming/services/events for direct benefit to community or qualified individuals
- ☐ Capital Project of the organization (equipment, furnishing, building, etc)

The Following are Required Attachments:

IRS Exempt Status Determination Letter	Signed lease if rent costs are being requested
Current year projected budget	IRS Form W9
Current financial statement	Evaluation forms if used in the proposed program
Most recent IRS Form 990 or 1120-H	Annual audit (if required by organization)
Articles of Incorporation (current & signed)	Faith Based Organization Certification Form, if applicable
Cost estimates from proposed vendor if request is for capital expense	

For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.

Source:	Louisville Metro Govt	Amount: ($)	3,000
Source:		Amount: ($)	
Source:		Amount: ($)	

Has the applicant contacted the BBB Charity Review for participation? ☐ Yes ☒ No

Has the applicant met the BBB Charity Review Standards? ☐ Yes ☒ No

Applicant's Initials PS PS

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

The Lion's Club is dedicated to services for the blind and visually impared. We support the world's eye banks, clinics, hospitals and eye research centers. We also provide charitable services in the community such as food baskets, scholarships, quality eye care and testing, eye glasses, braill writers, guide dogs, glacoma screening and other community oriented events for education and socialization.

Applicant's Initials PS PS

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 – BOARD OF DIRECTORS AND PAID STAFF

Board Member	Term End Date
We do not have any paid Board Members or employees!	

Describe the Board term limit policy:

Three Highest Paid Staff Names	Annual Salary

Applicant's Initials PS PS

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT NARRATIVE
A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.): Services for direct benefit to the community for qualified individuals. Scholarships will be awarded, typically, in the month of May and the actual payment to the colleges typically occur in August or September.
B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s): We will be awarding $4,500 worth ($3,000 of that will come from this grant) of scholarships to qualified JCPS students to be used for their college costs. Individuals will qualify base on their scholastic achievementss, attendence, community service, etc. to help further their education.

Applicant's Initials PS PS

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

N/A

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

☒ The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

☐ Reimbursements should not be made before application date unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

Applicant's Initials PS

PS

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

We request each recipient of our scholarships to keep us informed of their progress as they continue through college. However, if they choose not to communicate their progress we have no way to moitor them.

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

We are affiliate with several local community organizations such as the Fairdale Community Club, Fairdale Ministries, Fairdale Business Assocation, etc. We are also part of th International Lions Club Organization as our parent.

Applicant's Initials PS

PS

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1 Proposed Metro Funds	Column 2 Non-Metro Funds	Column (1+2)=3 Total Funds
A: Personnel Costs Including Benefits	0	0	0
B: Rent/Utilities	0	0	0
C: Office Supplies	0	0	0
D: Telephone	0	0	0
E: In-town Travel	0	0	0
F: Client Assistance (See Detailed List on Page 8)	0	0	0
G: Professional Service Contracts	0	0	0
H: Program Materials	0	0	0
I: Community Events & Festivals (See Detailed List on Page 8)	0	0	0
J: Machinery & Equipment	0	0	0
K: Capital Project	0	0	0
L: Other Expenses (See Detailed List on Page 8)	3,000	1,500	4,500
***TOTAL PROGRAM/PROJECT FUNDS**	3,000	1,500	4,500
% of Program Budget	66.667 %	33.333 %	**100%**

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	0
United Way	0
Private Contributions (do not include individual donor names)	0
Fees Collected from Program Participants	0
Other (please specify)	1,500 (Club donation)
Total Revenue for Columns 2 Expenses **	1,500

**Total of Column 1 MUST match "Total Request on Page 1, Section 2"*

***Must equal or exceed total in column 2.*

Applicant's Initials PS PS

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail for Client Assistance, Community Events & Festivals or Other Expenses shown on Page 7 (circle one and use multiple sheets if necessary)	Column 1	Column 2	Column (1 + 2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
Scholarships	3,000	1,500`	4,500
Total	3,000	1,500	4,500

Applicant's Initials PS PS

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
Scholarships	N/A	N/A
Total Value of In-Kind **(*to match Program Budget Line Item.*** Volunteer Contribution &Other In Kind)		

*** DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK**

Agency Fiscal Year Start Date: July 1st

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? **NO** ☒ **YES** ☐

If YES, please explain:

Applicant's Initials PS PS

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 7 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked and the funds will not be disbursed to our organization.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

SECTION 8 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:	Pam Shofner-Daniels	**Date:**	5/1/17 5/19/17
Legal Signatory: (please print):	Pam Shofner-Daniels	**Title:**	Past President/Scholarship

Phone:	502-387-4375	**Extension:**	N/A	**Email:**	PShofner12@gmail.com

Pam Shofner Daniels

Applicant's Initials PS

PS

Officers of Fairdale Lions Club, Inc.

2016 – 2017

Officer	Member Name	Length of Office
President	Anna Daniels	1 year
Secretary	Bob Warren	1 year
Treasurer	Brenda Powell	1 year
1st Vice President	Kathy Neagle	1 year
2nd Vice President	Pam Shofner-Daniels	1 year
3rd Vice President	Sue Collins	1 year
Lion Tamer	William Collins	1 year
Tail Twister	Karen Hayse	1 year
3rd Year Director	Brenda Simpson	1 year
3rd Year Director	Kim Durden	1 year
2nd Year Director	Carolyn Hobart	1 year
2nd Year Director	Juanita Giltner	1 year
1st Year Director	Tammy Skinner	1 year
1st Year Director	Scott Skinner	1 year
Membership Chair	Bill Neagle	1 year
Co-Membership Chair	Kathy Neagle	1 year
Past President	Sue Collins	1 year
Past President	Pam Shofner-Daniels	1 year
Past President	Kathy Neagle	1 Year

Note: All are volunteers. We have no paid staff.

TREASURY DEPARTMENT

WASHINGTON

OFFICE OF
COMMISSIONER OF INTERNAL REVENUE

ADDRESS REPLY TO
COMMISSIONER OF INTERNAL REVENUE
AND REFER TO

DEC 4 1940

RECEIVED
LIONS INTERNATIONAL
DEC - 6 1940

AN'S

IT:P:T:1
LLA

International Association of Lions Clubs,
332 South Michigan Avenue,
Chicago, Illinois.

Sirs:

Reference is made to evidence submitted for use in determining your status and the status of your districts and subordinate clubs for Federal income and employment tax purposes.

The records of the Bureau disclose that under date of December 20, 1928, you were held exempt from Federal income tax under the provisions of section 231(9) of the Revenue Act of 1926 and the corresponding provisions of prior revenue acts.

It is the opinion of this office, based upon the evidence presented, that you and your districts and subordinate clubs appearing in four revised copies of the "Annual Directory Lions International", of August, 1940, are exempt from Federal income tax under the provisions of section 101(9) of the Internal Revenue Code and the corresponding provisions of prior revenue acts.

Accordingly, you and your districts and subordinate clubs appearing in the "Annual Directory Lions International" will not be required to file returns of income unless there is a change in the character, purposes, or method of operation of your organization or of your districts and subordinate clubs. Any such changes should be immediately reported by you to this Bureau in order that the effect of the changes upon your present exempt status may be determined.

You should furnish the Bureau annually on the calendar-year basis lists in quadruplicate, showing only the names and addresses of any districts and subordinate clubs which were chartered during the calendar year and the names and addresses of any districts and clubs which for any reason ceased to exist. Such annual lists should be accompanied by a statement sworn to by one of your principal officers as to whether or not the information heretofore submitted by you, and on which this ruling is based, is applicable in all respects to the new districts and clubs appearing on the lists, and should be forwarded so as to reach this office not later than February 15 of the following year.

Louisville Metro Government
Office of Management and Budget

Neighborhood Development Fund Training Attestation

Organization Name: Fairdale Lions Club

Participant Name: Pam Shofner-Daniels

I agree that I am an authorized signatory of the organization named above and attest to having participated in reviewing the PowerPoint and the NDF financial reporting examples. In addition, I understand the requirements of the Neighborhood Development Fund grant process and the financial reporting documentation guidelines.

Pam Shofner-Daniels
Participant Signature

4/12/2016
Date

Form **990-N**

Department of the Treasury
Internal Revenue Service

Electronic Notice (e-Postcard)

for Tax-Exempt Organization not Required to File Form 990 or 990-EZ

OMB No. 1545-2085

2015

Open to Public Inspection

A For the **2015** Calendar year, or tax year beginning **2015-07-01** and ending **2016-06-30**

B Check if available
- ☐ **Terminated for Business**
- ☑ **Gross receipts are normally $50,000 or less**

C Name of Organization: **INTERNATIONAL ASSOCIATION OF LIONS CLUBS**
PO BOX 528, FAIRDALE, KY, US, 40118

D Employer Identification Number [redacted]

E Website:

F Name of Principal Officer: **PAM SHOFNER**
8900 BROWN AUSTIN ROAD, FAIRDALE, KY, US, 40118

Internal Copy Do not send to IRS

Privacy Act and Paperwork Reduction Act Notice: We ask for the information on this form to carry out the Internal Revenue laws of the United States. You are required to give us the information. We need it to ensure that you are complying with these laws.

The organization is not required to provide information requested on a form that is subject to the Paperwork Reduction Act unless the form displays a valid OMB control number. Books or records relating to a form or its instructions must be retained as long as their contents may become material in the administration of any Internal Revenue law. The rules governing the confidentiality of the Form 990-N is covered in code section 6104.

The time needed to complete and file this form and related schedules will vary depending on the individual circumstances. The estimated average times is 15 minutes.

Note: This image is provided for your records only. Do Not mail this page to the IRS. The IRS will not accept this filing via paper. You must file your Form 990-N (e-Postcard) electronically.

FAIRDALE LIONS CLUB, INC.

General Information

Organization Number	0181426
Name	FAIRDALE LIONS CLUB, INC.
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
File Date	9/8/1983
Organization Date	9/8/1983
Last Annual Report	3/24/2017
Principal Office	P O BOX 528 FAIRDALE, KY 40118
Registered Agent	ROBERT M. KAERCHER 1410 KENTUCKY HOME LIFE BLDG. LOUISVILLE, KY 40202

Current Officers

President	ANNA DANIELS
Vice President	KATHY NEAGLE
Secretary	Robert Warren
Treasurer	Brenda Powell
Director	BRENDA SIMPSON
Director	CAROLYN HOLBERT
Director	JUANITA GILTNER
Director	SCOTT SKINNER

Individuals / Entities listed at time of formation

Director	GARY PARKS
Director	CHARLES TERRY
Director	EDWARD LIMBER
Director	DANNY S JONES
Incorporator	GARY PARKS
Incorporator	CHARLES TERRY
Incorporator	EDWARD LIME
Incorporator	DANNY S JONES

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are crea

Annual Report	3/24/2017	1 page	tiff	PDF
Annual Report	3/9/2016	2 pages	tiff	PDF
Annual Report	4/16/2015	1 page	tiff	PDF
Annual Report	2/27/2014	1 page	tiff	PDF
Annual Report	2/28/2013	1 page	tiff	PDF
Annual Report	2/17/2012	1 page	tiff	PDF
Annual Report	2/22/2011	1 page	tiff	PDF
Annual Report	4/1/2010	1 page	tiff	PDF
Annual Report	2/27/2009	2 pages	tiff	PDF
Annual Report	1/18/2008	1 page	tiff	PDF
Annual Report	1/22/2007	1 page	tiff	PDF
Annual Report	3/24/2006	1 page	tiff	PDF
Annual Report	3/24/2005	1 page	tiff	PDF
Annual Report	10/30/2003	1 page	tiff	PDF
Annual Report	5/2/2002	1 page	tiff	PDF
Annual Report	5/15/2001	1 page	tiff	PDF
Annual Report	6/19/2000	1 page	tiff	PDF
Annual Report	7/8/1999	1 page	tiff	PDF
Annual Report	4/28/1998	1 page	tiff	PDF
Annual Report	7/1/1997	1 page	tiff	PDF
Annual Report	7/1/1996	1 page	tiff	PDF
Annual Report	7/1/1995	1 page	tiff	PDF
Annual Report	7/1/1994	1 page	tiff	PDF
Annual Report	7/1/1993	1 page	tiff	PDF
Annual Report	7/1/1992	1 page	tiff	PDF
Annual Report	7/1/1991	1 page	tiff	PDF
Statement of Change	10/29/1990	1 page	tiff	PDF
Annual Report	10/2/1990	1 page	tiff	PDF
Sixty Day Notice	9/1/1990	1 page	tiff	PDF
Annual Report	7/1/1989	1 page	tiff	PDF
Annual Report	7/1/1984	1 page	tiff	PDF
Articles of Incorporation	9/8/1983	5 pages	tiff	PDF

Assumed Names

Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	3/24/2017 9:55:16 AM	3/24/2017	

Annual report	3/9/2016 3:41:21 PM	3/9/2016
Annual report	4/16/2015 10:41:55 AM	4/16/2015
Annual report	2/27/2014 4:56:56 PM	2/27/2014
Annual report	2/28/2013 1:47:45 PM	2/28/2013
Annual report	2/17/2012 10:53:07 AM	2/17/2012
Annual report	2/22/2011 3:59:42 PM	2/22/2011
Annual report	4/1/2010 3:06:34 PM	4/1/2010
Annual report	2/27/2009 10:26:31 AM	2/27/2009
Annual report	1/18/2008 9:38:27 AM	1/18/2008
Annual report	1/22/2007 11:51:20 AM	1/22/2007
Annual report	3/24/2006 9:15:55 AM	3/24/2006
Principal office change	9/15/2003 12:05:36 PM	9/15/2003

Microfilmed Images

Microfilm images are not available online. They can be ordered by faxing a Request For Corporate Documents to the Corporate Records Branch at 502-564-5687.

Annual Report	3/9/2005	1 page
Annual Report	3/24/2004	1 page
Annual Report	10/30/2003	1 page
Annual Report	5/2/2002	1 page
Annual Report	5/15/2001	1 page
Annual Report	6/19/2000	1 page
Annual Report	7/8/1999	1 page
Annual Report	4/28/1998	1 page
Annual Report	7/1/1997	1 page
Annual Report	7/1/1996	1 page
Annual Report	7/1/1995	1 page
Annual Report	7/1/1994	1 page
Annual Report	7/1/1993	1 page
Annual Report	7/1/1992	1 page
Annual Report	7/1/1991	1 page

Statement of Change	10/29/1990	1 page
Annual Report	10/2/1990	1 page
Sixty Day Notice	9/1/1990	1 page
Annual Report	7/1/1989	1 page
Annual Report	7/1/1984	1 page
Articles of Incorporation	9/8/1983	4 pages

Form **W-9**
(Rev. December 2014)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Print or type
See Specific Instructions on page 2.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.
Fairdale Lions Club, Inc.

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification; check only one of the following seven boxes:
☐ Individual/sole proprietor or single-member LLC ☐ C Corporation ☐ S Corporation ☐ Partnership ☐ Trust/estate
☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶
Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.
☑ Other (see instructions) ▶

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
Exempt payee code (if any)
Exemption from FATCA reporting code (if any)
(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.)
10101 Mitchell Hill Rd.,

Requester's name and address (optional)

6 City, state, and ZIP code
Fairdale, Ky 40118

7 List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number
☐☐☐ – ☐☐ – ☐☐☐☐

or

Employer identification number
[redacted]

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here | **Signature of U.S. person ▶** Pam Shffer-Daniels | **Date ▶** 4/19/2017

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at *www.irs.gov/fw9*.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.

ORIGINAL
FILED
SEP 18 1983

ARTICLES OF INCORPORATION

OF

FAIRDALE LIONS CLUB, INC.

KNOW ALL MEN BY THESE PRESENTS THAT:

The undersigned, GARY PARKS, CHARLES TERRY, EDWARD LINER, and DARRY S. JONES, do hereby form a nonprofit corporation under the laws of the Commonwealth of Kentucky.

ARTICLE I

The name of the corporation shall be:

"FAIRDALE LIONS CLUB, INC."

ARTICLE II

The duration of the corporation shall be perpetual.

ARTICLE III

The purpose of the corporation shall be to promote, sustain, aid, and assist civic and charitable activities in the Fairdale Community, and to do any and all lawful business for which corporations may be incorporated under KRS 273.

ARTICLE IV

The address of the registered office shall be 715 Marion E. Taylor Building, Louisville, Kentucky 40202; and the resident agent will be [illegible] H. [illegible], at such address.

ARTICLE V

The initial Board of Directors of the corporation shall consist of four (4) directors; and the directors who are to serve until the first annual meeting of the members of the corporation, or until their successors are elected and qualified are:

GARY PARKS,	7408 King Williams Ct., Louisville, KY 40214
CHARLES TERRY,	608 Kirsch Way, Fairdale, Kentucky 40118
EDWARD LINER,	8407 Fox Ridge Court, Louisville, KY 40272
DARRY S. JONES,	11202 Holsclaw Hill Rd., Fairdale, KY 40118

The number of directors thereafter shall be as the By-Laws of the

corporation may, from time to time, provide.

ARTICLE VI

The names and addresses of the incorporates are:

GARY PARKS,	7408 King Williams Ct., Louisville, KY 40214
CHARLES TERRY,	608 Kirsch Way, Fairdale, Kentucky 40118
EDWARD LIMER,	8407 Fox Ridge Court, Louisville, KY 40272
DANNY S. JONES,	11202 Bolsclaw Hill Rd., Fairdale, KY 40118

ARTICLE VII

Under the name of the corporation it may adopt a corporate seal, and it has the power to contract and be contracted with, to sue and be sued, and it may receive, accept, purchase or acquire and hold in any other lawful manner, real and personal property, and it may dispose of same by gift, deed, or in any other lawful manner, for the benefit of the corporation, its members, associates, or any other cause or causes or a civic, educational or charitable nature.

ARTICLE VIII

The corporation is not organized for pecuniary profit nor shall it have any power to issue certificates of stock or declare dividends, and no part of its net earnings shall inure to the benefit of any member of director. The balance, if any, of all money received by the corporation from its operations after the payment in full of all debts and obligations of the corporation, of whatsoever kind and nature, shall be used and distributed exclusively for charitable, civic and educational purposes.

ARTICLE IX

The corporation formed hereby shall have no capital stock, and shall be composed of members rather than shareholders.

ARTICLE X

Members, Directors, and Officers of the corporation shall

not be personally liable for any debts or obligations of the corporation.

IN WITNESS WHEREOF, we, the incorporators, have hereunto set our hands this 1st day of SEPTEMBER, 1983.

GARY PARKS

CHARLES TERRY

EDWARD LINER

DANNY S. JONES

STATE OF KENTUCKY }
COUNTY OF JEFFERSON } SS

I, a Notary Public in and for the State and County aforesaid, hereby certify that the foregoing persons, GARY PARKS, CHARLES TERRY, EDWARD LINER, and DANNY S. JONES, all of Jefferson County, Kentucky, appeared before me personally and acknowledged that they and each of them signed the foregoing Articles of Incorporation as their free act and deed for the purposes there stated.

Witness my signature and seal of office this 7th day of SEPTEMBER, 1983.

My commission expires: 3/13/87

NOTARY PUBLIC, KY./STATE AT LARGE

This Instrument Prepared By:

CONNELLY, KAERCHER & STAMPER

ROBERT M. KAERCHER
Attorney at Law
715 Marion E. Taylor Bldg.
Louisville, Kentucky 40202
Phone: (502) 589-2100

A 55357

SS-36-1-1-76

Commonwealth of Kentucky

OFFICE OF
SECRETARY OF STATE

FRANCES JONES MILLS
Secretary

FRANKFORT,
KENTUCKY

CERTIFICATE OF INCORPORATION
OF NON-STOCK, NON-PROFIT CORPORATION

I, FRANCES JONES MILLS, Secretary of State of the Commonwealth of Kentucky certify that there has been delivered to my office articles of incorporation of FAIRDALE LIONS CLUB, INC.

The name and address of the registered agent of this corporation is

ROBERT M. KAERCHER

NAME

715 MARION E. TAYLOR BUIDLING

STREET ADDRESS

LOUISVILLE, KENTUCKY 40202

CITY, STATE

NOW, THEREFORE, finding that these articles of incorporation conform to law and that all fees therefore having been paid as prescribed by law, I, FRANCES JONES MILLS, *Secretary of State, issue this Certificate of Incorporation.*

Issued this 8TH *day of* SEPTEMBER, *19* 83,

at Frankfort, Kentucky.

Frances Jones Mills

SECRETARY OF STATE

SECRETARY OF STATE

ASSISTANT SECRETARY OF STATE

Fairdale Lions Club, Inc.

Operating Budget
2015-2016

A. Personnel Costs:	(All volunteers. No paid Staff)	$	0.00
B. Rent/Utilities:			
• Gas & Electric			3,877.00
• Water & Sewers			1,162.00
• Garbage			540.00
• Cable/Phone			1,598.00
• PO Box Rental			58.00
	Total:	**$**	**7,235.00**
C. Office Supplies:			
• PO Stamps		$	98.00
• Misc Office Supplies			00.00
	Total:	**$**	**98.00**
D. In-Town Travel: (Volunteers pay own expenses)		$	0.00
	Total:	**$**	**0.00**
E. Client Assistance:			
• Eye Glasses purchased by Club for Needy		$	560.00
• Scholarships to FHS Students			5,500.00
• Flowers Sick/Funeral			170.00
	Total:	**$**	**6,230.00**
F. Professional Service Contracts:			
• CPA – Form 990 filing		$	00.00
G. Program Materials:		$	0.00
	Total:	**$**	**0.00**
H. Community Events & Festivals: (See "Fundraiser Expenses" sheet)		$	10,485.00
	Total:	**$**	**10,485.00**

Fairdale Lions Club, Inc.
Operating Budget
2015-2016

I. Small Equipment:

• Lawn Mower Service	$	285.00
• Lowes for Bulbs & Filters		61.00
• Office Supplies		63.00
• Snow Removal		00.00
• Hall Maintenance		00.00
• Kitchen Supplies & Equipment		00.00
• Furnace Repairs		508.00
• Copier Service		302.00
• Gas for Mower		100.00
• Electrical/Concrete Work/Parking lot		1,650.00
• Hardware Supplies		22.00
Total:	**$**	**2,991.00**

J. Capital Equipment:

• No Capital Equipment	$	0.00
Total:	**$**	**0.00**

K. Other Expenses:

• See detailed list "Club Ongoing Expenses" (Designated with an "O" beside amount)	$	9,277.00
Total:	**$**	**9,277.00**

Fairdale Lions Club, Inc.
Income & Expenses
2015-2016

Income:

• Regular Income	$	20,816.00
• Fundraiser Income		30,868.00
Total Gross Income:	**$**	**51,684.00**

Expenses:

• Ongoing Expenses	$	9,277.00
• Fundraiser Expenses		10,485.00
Total Gross Expenses:	**$**	**19,762.00**

Gross Income:	**$**	**51,684.00**
Gross Expenses:	**$**	**19,762.00**
Net Income:	**$**	**31,922.00**

2015-2016 ADMINISTRATION, CHARITY, [redacted] AND BUILDING ACCOUNTS

REASON (CLUB OPERATIONS)	DEBIT	CREDIT
GAS & ELECTRIC Util	$ 3,876.93	
TELEPHONE & CABLE Util	$ 1,598.11	
WATER & SEWERS Util	$ 1,162.04	
GARBAGE PICK-UP Util	$ 540.00	
HALL INSURANCE	$ 1,921.48	
PROPERTY TAX	$ 1,496.26	
BANK CHECKS ORDERED	$ -	
P.O. BOX RENTAL Util	$ 60.00	
P.O. STAMPS OFF supplies	$ 98.00	
CLUB DUES To Intr'l	$ 2,094.50	$ 1,625.00
BD OF HEALTH PERMIT/INSPEC.	$ 255.00	
ASSOCIATE MEMBERSHIP FEES	$ 120.00	
INCORPORATION FEES	$ 15.00	
TREASURER'S BOND	$ 152.70	
TAXS FILED	$ -	
CHECKS WRITTEN UN CLAIMED	$ -	$ 245.19
CHARGES ON NSF CHECKS	$ -	
LION'S CATALOG ORDERS	$ 34.00	
CLUB AWARDS (DINNER) 2015	$ 343.18	$ 159.30
CLUB AWARDS/MERCHANDICE	$ -	
SHIPPING FOR CATALOG ORDER	$ -	
CLUB SHIRTS & HATS	$ -	$ 7.00
DISTRICT CONVENTION	$ 240.00	$ 135.00
CLUB BADGES	$ -	
TOTALS	$ – 14,007.20	$ + 2,171.49

Net: $ – 11,835.71

REASON (DONATIONS GIVEN)	DEBIT	CREDIT
EYEGLASSES (14 EXAMS & PAIRS) Client Assist to other	$ 560.00	
KID SIGHT (GC)	$ 200.00	
YOUTH CAMP (GC)	$ -	
CAMP CRESENDO DEBIT	$ -	
CAMP CRESENDO (GC)	$ 500.00	
ALL STATE BAND (GC)	$ 300.00	
YOUTH SPORTS	$ 300.00	
SCHOLORSHIPS (JCPS students)	$ 5,500.00	$ 3,000.–
CANDY DAYS (GC)		
KLEF (GC)	$ 100.00	
LCIF (MELVIN JONES)	$ 500.00	
PATRON FUND (GC)	$ 100.00	
LEADERDOG FOUNDATION (GC)	$ 200.00	
VISION VAN (GC)	$ 250.00	
HI TECH (GC)	$ -	
DRUG/DIABETES (CG)		
TAPPS PHOOH CORNER	$ 100.00	
CHRISTIAN ACADAMY		
NEW CLUB DONATIONS	$ 25.00	
FAIRDALE MINISTRIES		
SENIOR CITIZENS	$ 200.00	
SPECIAL OLYMPICS		
CRUSADE FOR CHILDREN	$ 200.00	
FOOD BASKET	$ 81.69	
FLOWERS FOR SICK -Client Assist	$ 160.69	
TOTALS	$ – 9,277.38	$ +3,000.–

– 6,277.38

O = Other expenses
$ 6,311.07

$ 11,835.71
6,277.38
Total ongoing expenses → $ 18,113.09

BUILDING MAITANCE/SUPPLIES 2015-2016

REASON (CLUB OPERATIONS)	DEBIT	CREDIT
PARKING LOT	$ 1,650.00	
FURNACE REPAIRS	$ 507.77	
LOEWS BULBS/FILTERS	$ 61.48	Hardware
CHOATES FIRE PROT.	$ -	
REFRIGERATOR/ICE MAKER REP	$ -	
LAWN EQUIPMENT	$ 285.37	Small equipment
DIRT,GRAVEL & SPREADING	$ -	
SNOW REMOVAL	$ -	
HALL EQUIPMENT	$ -	
HALL MAINTANCE	$ -	
DINES(KIT. SUPPLIES & EQUIP.	$ -	
HOOD CLEANING	$ -	
COPIER /SERVICE	$ 302.23	Small equipment
GAS FOR MOWER	$ 100.00	"
ELECTRICAL WORK	$ -	
HARDWARE SUPPLIES	$ 21.96	"
OFFICE SUPPLIES	$ 63.04	"
TOTALS	$ ~~2,891.85~~ 2,991.85	$ ~~2,891.85~~ 2,991.85

FUND RAISER AND DONATIONS RECEIVED

INCOME	DEBIT	CREDIT	
PROPERTY EASMENT SOLD		$ 13,925.00	→ New Road Took property
LION BANK/ XMAS JAN. 16TO DEC. 16		$ 248.54	
(TIPS)/XMAS JAN. 16 -DEC. 16		$ 345.98	
HALL RENTALS		$ 4,630.00	
FISH FRY	$ 5,942.32	$ 11,241.82	
GUM BALL MACHINES		$ 353.10	
PRP BANK INTEREST		$ 31.15	
BUNCO PARTY	$ 7.25	$ 701.00	
QUILT GIVE AWAY		$ 442.63	
FAIRDALE FAIR	$ 1,484.84	$ 5,363.40	
EASTER	$ 1,499.18	$ 1,545.00	
CHRISTMAS	$ 2,029.05	$ 548.50	
DONATIONS	$ 580.00		
HOLLOWEEN STREET			
TOTALS	$ 11,542.64	$ + 39,376.12	$ + 27,833.48

GROSS NET TOTAL	$ 18,533.71	$ + 27,833.48	$ + 9,299.77
NET PROFIT			

FISH FRY BREAK DOWN

DRINK FUND	$ 113.50	$ 113.50	
ADMINISTRATION		$ 13,462.28	
CHARITY		$ 2,440.50	
SCHOLARSHIP		$ 750.50	
TIPS		$ 417.36	
EXSPENSE	$ 5,828.82		
	$ 5,942.32	$ 17,184.14	$ 11,241.82

SCHOLARSHIP BREAK DOWN

SCHOLARSHIPS	DEBIT	CREDIT	
FISH FRY SWEETS		$ 750.50	
TV GIVE AWAY		$ 217.00	
DONATIONS		$ 1,000.00	
SCHOLARSHIPS GIVEN (4)	$ 5,500.00		
GRANT		$ 3,000.00	
FORCHT BANK INTEREST		$ 3.73	
TOTALS	$ 5,500.00	$ 4,971.23	$ 528.77

7/1/15-6/1/16	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	
	FUND RAISERS					EXPENSES							
FISH FRY	$ 862.63	$ 360.21		$ 481.43	$ 470.72	$ 84.00	$ 764.93	$ 481.81	$ 732.50	$ 540.83	$ 328.39	$ 721.37	$ 5,828.82
DRINKS FOR FISH FRY	$ 11.25	$ 12.00		$ 11.00	$ 15.25	$ -	$ 13.50	$ -	$ 15.00	$ 12.75	$ 13.50	$ 9.25	$ 113.50
MISC/FISH FRY													
FISH FRY SIGNS													
CHRISTMAS PRESENTS					$ 515.46	$ 473.47							$ 988.93
CHRISTMAS FRUIT						$ 235.00							$ 235.00
FOOD BASKETS						$ 95.36	$ 52.00						$ 147.36
VOLUNTEER DINNER						$ 127.20	$ 52.92						$ 180.12
CLUB DINNER ENTREES													$ -
CLUB DINNER DRAWING													$ -
FAIRDALE FAIR		$ 32.93	$ 1,284.91										$ 1,317.84
FAIRDALE FAIR ADS	$ 100.00												$ 100.00
DRINKS FOR FAIR			$ 67.00										$ 67.00
BUNCO PARTY													
DRINKS FOR BUNCO				$ 7.25									$ 7.25
QUILT GIVE AWAY													$ -
EGG HUNT								$ 199.90	$ 1,231.60	$ 67.68			$ 1,499.18
YARD SALE/CAR WASH													
HOLOWEEN STREET													$ -
TOTALS	$ 973.88	$ 405.14	$ 1,351.91	$ 499.68	$ 1,001.43	$ 1,015.03	$ 883.35	$ 681.71	$ 1,979.10	$ 621.26	$ 341.89	$ 730.62	$ 10,485.00

JULY AUG SEPT OCT NOV DEC JAN FEB MAR APR MAY JUNE