

RESOLUTION NO. _____, SERIES 2018

A RESOLUTION REQUESTING THE OFFICE OF INTERNAL AUDIT OF THE LOUISVILLE/JEFFERSON COUNTY METRO GOVERNMENT TO CONDUCT AN AUDIT OF THE LEGAL CLAIMS FILED AGAINST LOUISVILLE METRO GOVERNMENT BETWEEN AUGUST 2013 AND AUGUST 2018. (AMENDMENT BY SUBSTITUTION.)

SPONSORED BY: PRESIDENT JAMES

WHEREAS, KRS § 67C.103 grants the Legislative Council of the Louisville/Jefferson County Metro Government (“Metro Council”) the power to request the Office of Internal Audit (the “Internal Auditor”) to perform an audit concerning the affairs of Louisville/Jefferson County Metro Government (“Louisville Metro Government”);

WHEREAS, the Internal Auditor’s Policies and Procedures and IIA Standards require a formal request when Metro Council desires to define the scope and objective of an audit; and

WHEREAS, Metro Council, pursuant to KRS § 67C.103, formally requests the Internal Auditor to perform an assurance audit of the claims filed against Louisville Metro Government between August 2013 and August 2018, with the recommended objective and scope described in the attached engagement request form; and

WHEREAS, Metro Council understands the Internal Auditor may need to slightly modify the recommended objective and scope based on additional information received regarding the program/process under review and the availability, adequacy, and sensitivity of required data;

WHEREAS, if Metro Council’s objective and scope are impractical or unfeasible, the Internal Auditor will either (1) provide a mid-audit status report or testimony regarding the challenges encountered to give Metro Council the opportunity to amend the objective and scope of the audit or (2) the Internal Auditor will detail the limitations encountered in

her final report to explain why the objective and scope of the audit could not be accomplished.

NOW, THEREFORE, BE IT RESOLVED BY THE LEGISLATIVE COUNCIL OF THE LOUISVILLE/JEFFERSON COUNTY METRO GOVERNMENT (“METRO COUNCIL”) AS FOLLOWS:

SECTION I: Metro Council formally requests the Internal Auditor to conduct an assurance audit of the legal claims filed against Louisville Metro Government between August 2013 and August 2018, as more particularly defined in the attached engagement request form.

SECTION II: Upon completion, the Internal Auditor will report the findings to Metro Council.

SECTION III: This Resolution shall take effect upon its passage and approval.

H. Stephen Ott
Metro Council Clerk

David James
President of the Council

Greg Fischer
Mayor

Approval Date

APPROVED AS TO FORM AND LEGALITY:

Michael J. O’Connell
Jefferson County Attorney

BY: _____