

NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form

OFFICE OF METRO COUNCIL CLERK

RECEIVED
DATE 11-5-14 TIME: 4:00p

Applicant/Program: 2Not1: Fatherhood & Families, Inc.

Executive Summary of Request: 2Not1: Fatherhood & Families, Inc. is a non-profit organization that promotes the safety and wellbeing of children by implementing strategies to keep families together and to encourage the involvement of fathers, with the primary focus on low income communities. The organization is requesting funding for their annual Fatherhood Conference and Mother's Forum, both of which will take place in November. The organization is requesting \$6000 to cover the cost 60 participant for the fatherhood conference and 30 participants for the motherhood conference.

Is this program/project a fundraiser?

☐ Yes ☒ No

Is this applicant a faith based organization?

☐ Yes ☒ No

Does this application include funding for sub-grantee(s)?

☐ Yes ☒ No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

4
District #

[Signature]
Primary Sponsor Signature

\$3,500
Amount

10.29.14
Date

Primary Sponsor Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

N/A

Approved by:

Appropriations Committee Chairman

Date

Clerk's Office Only:

Request Amount: _____

Committee Amended Appropriation: _____

Original Appropriation: _____

Council Amended Appropriation: _____

OFFICE OF METRO COUNCIL CLERK

REVIEWED

DATE 11-25-14 TIME 9:10am

Applicant/Program:

Additional Disclosure and Signatures

Additional Council Office Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

<u>2</u> District #	<u>Barbara Shanklin</u> Council Member Signature	<u>\$250</u> Amount	<u>9/18/14</u> Date
<u>15</u> District #	<u>Marianne Butler</u> Council Member Signature	<u>\$250</u> Amount	<u>10/9/14</u> Date
<u> </u> District #	<u> </u> Council Member Signature	<u> </u> Amount	<u> </u> Date
<u> </u> District #	<u> </u> Council Member Signature	<u> </u> Amount	<u> </u> Date
<u> </u> District #	<u> </u> Council Member Signature	<u> </u> Amount	<u> </u> Date
<u> </u> District #	<u> </u> Council Member Signature	<u> </u> Amount	<u> </u> Date
<u> </u> District #	<u> </u> Council Member Signature	<u> </u> Amount	<u> </u> Date

NDF NON-PROFIT APPLICATION CHECKLIST

Legal Name of Applicant Organization: 2Not1: Fatherhood & Families, Inc.	
Program Name: 6th Annual Fatherhood Conference & 8th Annual Mother's Forum Request Amount: 6,000	Yes/No/NA
Request form: Is the NDF request form signed by all Council Member(s) appropriating funding?	Yes
Request form: Is the funding proposed less than or equal to the request amount?	Yes
Request form: Have all known Council or Staff relationships to the Agency been adequately disclosed on the cover sheet?	Yes
Application Page 1: Has prior Metro funds committed/granted been disclosed?	Yes
Application Page 1: Is the application properly signed and dated by authorized signatory?	Yes
Application Page 3: Reimbursement funding – One or two boxes checked if any expenses are incurred before the grant award period. Is all required documentation included?	Yes
Application Pages 3 – 5: Is the proposed public purpose of the program well-documented?	Yes
Application 4: Is there adequate documentation of how the proceeds of the fundraiser will be spent?	N/A
Application Budget Page 6: Does the application budget reflect only the revenue and expenses of the project/program (page 6) if the request is not an operating budget request? Is all detail schedules included for "Metro, Non Metro and Total" expense funds for client assistance, community events & festivals and other expenses? And does the Non-Metro Revenue equal the Non-Metro expenses?	Yes
Faith Based Organizations: Is the signed Faith Based Form signed and included?	NA
Jefferson County Only: Will all funding be spent in Louisville/Jefferson County?	Yes
Capital Project(s) request: Is the cost estimate(s) from proposed vendor(s) included?	NA
Good Standing: Is the entity in good standing with: <ul style="list-style-type: none"> ✓ Kentucky Secretary of State – include Secretary of State website information on organization ✓ Louisville Metro Government – check OMB monthly report filed in Council Financial Reports ✓ Internal Revenue Service – most recent Form 990 included 	Yes
Separate Taxing Districts: If Metro funding is for a separate taxing district, is the funding appropriated for a program outside the legal responsibility of that taxing district?	NA
Small Cities: Is the resolution included agreeing to partner with Louisville Metro on the capital project? (IRS Determination letter not required, Form 990 not required, but KY SOS acknowledgement is)	NA
Operating Requests: Is recommended operating funding less than or equal to 33% of total operating budget?	NA
IRS Exempt Proof: Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	Yes
Operating Budget: Is the organization's current fiscal year operating budget included?	Yes
Ordinance Required: Is the amount committed by Council members greater than \$5,000 to any one project/program within an organization in this fiscal year.	No
Board Members: Is the entity's board member list (with term length/term limits) included?	Yes
Staff: Is a list of the highest paid staff included with their expected annual personnel costs?	Yes
Annual Audit: Is the most recent annual audit (if required by organization) included?	yes
Rent Requests: Is a copy of signed lease included?	NA
Articles of Incorporation: Are the Articles of Incorporation of the organization included?	Yes
IRS Form W-9: Is the IRS Form W-9 included?	Yes
Evaluation Forms: Are the evaluation forms (if program participants are given evaluation forms) included?	yes
Affirmative Action: Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required by the organization)?	NA
Prepared by: Keidra King	
Date: 11/5/2014	



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
Legal Name of Applicant Organization:		2NOT1: Fatherhood & Families, Inc.	
(as listed on: http://www.sos.ky.gov/business/records)			
Main Office Street & Mailing Address: 1626 West Chestnut Street, Louisville, KY 40203			
Website: 2NOT1.org			
Applicant Contact:	Shawn Gardner	Title:	President & Founder
Phone:	(502) 627-0356	Email:	shawn@2not1.org
Financial Contact:	Dr. Georgia Turner	Title:	Board Chair
Phone:	(502) 396-9630	Email:	snoony45@twc.com
Organization's Representative who attended NDF Training:			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s):	Russell Avea, West End, South Louisville		
Council District(s):	1,2,3,4,5,6,15	Zip Code(s):	40203, 40202, 40210, 40212, 40215
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: 2NOT1: Fatherhood & Families, Inc. 6th Annual Fatherhood Conference and 8th Annual Mother's Forum			
Total Request: (\$)	6,000	Total Metro Award (this program) in previous year: (\$)	2,500
Purpose of Request (check all that apply):			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget) <input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
The Following are Required Attachments:			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current Year Projected Budget <input checked="" type="checkbox"/> List of Board of Directors (include term & term limits) <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input checked="" type="checkbox"/> Evaluation forms if used in the proposed program <input type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if required <input type="checkbox"/> Staff including the 3 highest paid staff	
For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
Source:	Mayor's Healthy Hometown Grant	Amount: (\$)	3,835.00
Source:	Healthy Start Fatherhood Discussions	Amount: (\$)	2,831.00
Source:		Amount: (\$)	
Has the applicant contacted the BBB Charity Review for participation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

Mission: The purposes of 2NOT1 will be to promote the safety and well-being of children by implementing strategies to keep families together and to encourage the involvement of fathers, with a primary focus on low income communities, through the following:

1. Educate low income individuals and communities on the subject of fatherhood and the barriers that impede the successful interaction of father and child;
2. Enhance and safeguard the interests of children and to protect and improve their relationships with their fathers and other significant males in their lives, within and outside the family;
3. Generate a pool of positive male role models to mentor other youth and fathers that can benefit from the support;
4. Serve as an educational and networking vehicle for men's, father's, and children's organizations with a focus on family through an annual conference on fatherhood;
5. Serve as a repository and clearinghouse for all information relating to fatherhood issues;
6. Engage in other charitable and educational activities consistent with the above purposes.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

The 6th Annual Fatherhood Conference will bring the community together to focus on barriers that hinders responsible fathering. The conference will allow fathers and fatherhood practitioners to network and build support systems. The conference will allow community leaders, organizations, and stakeholders the opportunity to address responsible fatherhood. Additionally, the conference will promote responsible fatherhood and will allow fathers and those serving fathers to meet experts in the field. The conference is a one-day event proposed to be held on Friday, November 14, 2014. See attached flier.

The project is the 8th Annual Mother's Forum. The forum is a one-day event proposed to be held on Saturday, November 22, 2014. It will bring together mothers, grandmothers, aunts, and any woman raising children to address the barriers associated with raising children as a single parent. The attendees will fellowship with each other while receiving support and resources to assist them in their efforts. The activity will address the struggles of being a single parent (mother). See attached flier.

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):

Fatherhood Conference: The funding will be used to pay for participant scholarships to cover registration fees. Funds will be used to provide \$75 scholarships for 60 Fatherhood Conference participants to attend the event.

Success will be evaluated by the number of participants, the resources provided, assessments and evaluations and networking opportunities for the attendees. Success will be gaged by an ongoing commitment to the work with the mothers various times throughout the year.

Mother's Forum: The funding will be used to pay for participant scholarships to cover registration fees. Funds will be used to provide \$50 scholarships for 30 Mother's Forum participants.

Success will be evaluated by the number of participants, the resources provided, assessments and evaluations and networking opportunities for the attendees. Success will be gaged by an ongoing commitment to the work with the mothers various times throughout the year.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

This request is not for a fundraiser.

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

☐ Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

☒ The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:
The 6th Annual Fatherhood Conference will be a venue for fathers and fatherhood practitioners to network and build support systems. The conference will allow community leaders, organizations, and stakeholders the opportunity to address responsible fatherhood. Additionally, the conference will promote responsible fatherhood and will allow fathers and those serving fathers to meet experts in the field.

The 8th Annual Mother's Forum will be a venue for mother's and others who care for children to will gain knowledge from peer parenting. At the forum, these caregivers will have access to resources from presenters, vendors, and service providers.

There will be workshops "knowledge sessions". At the end of the forum and the conference, participants will be asked to complete an evaluation form. The evaluation form will seek feedback on the usefulness of the forum and the effectiveness of the presenter. The evaluations will also ask general questions about barriers faced, support and services needed, and suggestions for next year's forum.

The evaluations will be gathered by a volunteer and submitted to a University of Louisville professor who has agreed to review and analysis the data gathered. After which, a report will be generated and email/mailed to event participants, supporters, and community stakeholders.

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

New Legacy Reentry Corporation is a faith based community organization that is committed to breaking the cycle of chronic recidivism for ex-offenders (men and women) who were previously incarcerated for non violent, nonsexual crimes. The organization will assist with recruitment and conference coordination

Plymouth is a community-based organization located in the Russell neighborhood. Plymouth provides services to address the needs of individuals, families, and youth. Plymouth allows 2NOT1 to hold community meetings and will assist with recruitment efforts. The 7th Annual Mother's Forum will be held at Plymouth Community Center.

Louisville Metro Health and Wellness Healthy Start program seeks to reduce infant mortality in targeted areas of west Louisville. Infant mortality rates in these areas range between 1.5 and 2 times higher than those of Metro Louisville as a whole. Healthy Start primarily works with mothers and has recently started working with fathers. Healthy Start will facilitate a workshop, recruit participants, and assist with the coordination of the events.

The Catholic Enrichment Center is a community gathering place where people are able to find the answers to many of their questions concerning enhancing one's life from a holistic perspective.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non- Metro Funds	Total Funds
A: Personnel Costs Including Benefits			
B: Rent/Utilities			
C: Office Supplies		\$ 735	\$735
D: Telephone			
E: In-town Travel			
F: Client Assistance (Attach Detailed List)	\$6,000	See Section C	\$6,000
G: Professional Service Contracts		\$2,400	\$2,400
H: Program Materials		\$1,000	\$1,000
I: Community Events & Festivals (Attach Detail List)			
J: Small Equipment			
K: Capital Equipment			
L: Other Expenses (Attach Detail List)			
*TOTAL PROGRAM/PROJECT FUNDS	\$6,000	\$4,135	\$10,135
% of Program Budget	59.2 %	40.8 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	\$4,135
Fees Collected from Program Participants	
Other (please specify)	4,470 (in-kind services/space)
Total Revenue for Columns 2 Expenses **	\$8,605

*Total of Column 1 MUST match "Total Request on Page 1, Section 2"



**Must equal or exceed total in column 2.

SK



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
(2) spaces and utilities	\$3,170	Statement from Center
10 volunteers X 10 per hour @ 6 h 	\$ 600	invoices
7 facilitators/volunteer x \$25 per hr 	\$ 700	invoices
<i>Total Value of In-Kind</i> (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)	\$4,470	Statements and invoices

* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK

Agency Fiscal Year Start Date:

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO ☒ YES ☐

If YES, please explain:



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.

Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

MA

SECTION 7 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:	Shawn L. Gardner	Date:	09-16-2014
Legal Signatory: (please print):	Shawn L. Gardner	Title:	President and Founder
Phone:	Extension:	Email:	

SH

2NOT1 Board of Directors Terms

Name	Title	Terms	Term Limit
Shawn Gardner	President/Founder	Continual	Continual
Georgia Turner	Board Chair	2 years	October 31, 2013 – October 31, 2015
Galen Demus	Board Member	2 years	October 31, 2013 – October 31, 2015
Herlon Robinson	Board Member	1 year	October 31, 2013 – October 31, 2014
Kevin Cosby	Board Member	1 year	October 31, 2013 – October 31, 2014
William Samuels	Board Member	1 year	October 31, 2013 – October 31, 2014
Nicole Compton	Board Member	1 year	October 31, 2013 – October 31, 2014
Brandon Lawrence	Board Member	1 year	October 31, 2013 – October 31, 2014

Motherhood

The Joys and Pains of Motherhood!

Location: Hotel Louisville

Address: 120 W Broadway, Louisville, KY

Email: 2NOT1info@2NOT1.org

Phone: 502.627.0356

9:00
am



Nov
22nd

The forum will offer co-parenting workshops, entertainment, invaluable resources, relaxation exercises, affirmations, food activities for children, and fabulous gifts.



2NOT1 Fatherhood & Families, Inc.

FATHERHOOD

6TH ANNUAL FATHERHOOD CONFERENCE

WWW.2NOT1.ORG



FATHERS AND CONFERENCE ATTENDEES
AT THE INAUGURAL CONFERENCE IN 2008

SAVE THE DATE!

11.14.14

MUHAMMAD ALI CENTER

144 N 6TH ST. LOUISVILLE, KY 40202

TIME OF EVENT 8:30AM TO 4:30PM

\$75 CONFERENCE COST COVERS CONFERENCE MATERIAL AND MEALS
EMAIL: 2NOT1INFO@2NOT1.ORG PHONE: 502.627.0356

SPECIAL APPRECIATION TO THE SPONSORS OF THIS YEARS CONFERENCE: PLYMOUTH COMMUNITY CENTER, MUHAMMAD ALI CENTER, COUNCILMAN DAVID TANDY



Evaluation Form

Annual 2NOT1: Fatherhood and Families Fatherhood Conference

Date: _____ Workshop #/ Panel Title: _____

Please check all that apply.

SEX: F M

PARENT ☐

COUNSELOR ☐

ADMINISTRATOR ☐

_____ ☐

PROFESSIONAL ☐

LOCAL RESIDENT ☐

1. List each presenter's name and rate the delivery for the panel/workshop.
(Circle the appropriate one)

	High				Low	
A. _____	5	4	3	2	1	N/A
B. _____	5	4	3	2	1	N/A
C. _____	5	4	3	2	1	N/A
D. _____	5	4	3	2	1	N/A
E. _____	5	4	3	2	1	N/A

2. Rate the quality of this symposium/panel/workshop based on the following:

A. Knowledge of the subject matter	5	4	3	2	1	N/A
B. Appropriateness	5	4	3	2	1	N/A
C. Length	5	4	3	2	1	N/A
D. Interest	5	4	3	2	1	N/A

3. What future themes would you like to have the conference address?

4. Please make other comments and suggestions. Thank you very much for your cooperation!!

ann

Date: **SEP 29 2009**

Employer Identification Number:

DLN:

17053237339039

Contact Person:

LING YEE

ID# 95201

Contact Telephone Number:

(877) 829-5500

Accounting Period Ending:

December 31

Public Charity Status:

170 (b) (1) (A) (vi)

Form 990 Required:

Yes

Effective Date of Exemption:

February 2, 2007

Contribution Deductibility:

Yes

Addendum Applies:

No

2 NOT 1 FATHERHOOD & FAMILIES INC
C/O SHAWN GARDNER
1635 PLYMOUTH CT STE 11
LOUISVILLE, KY 40203

Dear Applicant:

We are pleased to inform you that upon review of your application for tax exempt status we have determined that you are exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code. Contributions to you are deductible under section 170 of the Code. You are also qualified to receive tax deductible bequests, devises, transfers or gifts under section 2055, 2106 or 2522 of the Code. Because this letter could help resolve any questions regarding your exempt status, you should keep it in your permanent records.

Organizations exempt under section 501(c)(3) of the Code are further classified as either public charities or private foundations. We determined that you are a public charity under the Code section(s) listed in the heading of this letter.

Please see enclosed Publication 4221-PC, Compliance Guide for 501(c)(3) Public Charities, for some helpful information about your responsibilities as an exempt organization.

Letter 947 (DO/CG)

We have sent a copy of this letter to your representative as indicated in your power of attorney.

Sincerely,



Robert Choi
Director, Exempt Organizations
~~Rulings and Agreements~~

Enclosure: Publication 4221-PC

Letter 947 (DO/CG)

2NOT1 Operating Budget

2014 Projected Annual Budget

Staff	Estimated	Actual
President Salary	\$50,000.00	\$0.00
Administrative Asst	\$18,000.00	\$0.00
VP Programs (part-time)	\$10,000.00	\$0.00
Case Manager (part-time)	\$15,000.00	\$0.00
Total	\$93,000.00	\$0.00

Other	Estimated	Actual
Total	\$0.00	\$0.00

Publicity	Estimated	Actual
Graphics work	\$2,400.00	\$0.00
Photocopying/Printing	\$1,500.00	\$0.00
Total	\$3,900.00	\$0.00

Miscellaneous	Estimated	Actual
Telephone	\$2,400.00	\$0.00
Transportation	\$2,000.00	\$0.00
Stationery supplies	\$1,000.00	\$0.00
Insurance	\$2,400.00	\$0.00
Total	\$7,800.00	\$0.00

Board Meetings	Estimated	Actual
Food	\$300.00	\$0.00
Drinks	\$0.00	\$0.00
Total	\$300.00	\$0.00

Program	Estimated	Actual
Conference	\$6,450.00	\$0.00
Mother's Forum	\$3,685.00	\$0.00
Father's Day Picnic	\$3,500.00	\$0.00
TFU	\$15,000.00	\$0.00
Other		
Total	\$28,635.00	\$0.00

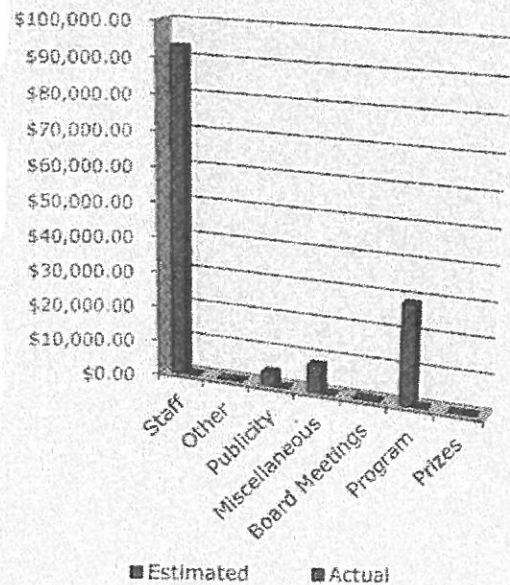
Prizes	Estimated	Actual
	\$0.00	\$0.00
Total	\$0.00	\$0.00

Total Expenses	Estimated	Actual
	\$133,635.00	\$0.00

Actual Cost Breakdown

- Staff
- Publicity
- Board Meetings
- Prizes
- Other
- Miscellaneous
- Program

Estimated vs. Actual



2NOT1 Operating |

2014 Projected Annual Budget: INCOME

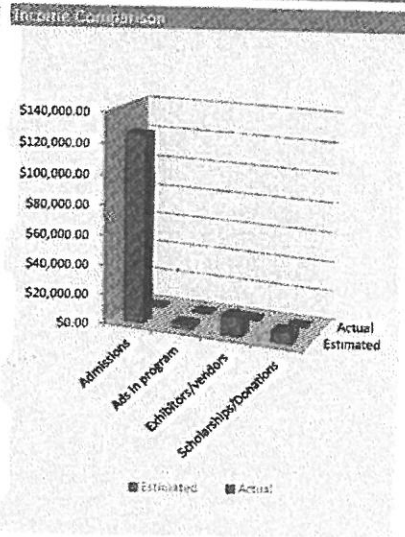
Admission		Estimated		Actual
Estimated	Actual			
10	0	Adults @ \$10,000.00	\$100,000.00	\$0.00
5	0	Children @ \$5,000.00	\$25,000.00	\$0.00
1	0	Other @ \$875.00	\$875.00	\$0.00
			\$125,875.00	\$0.00

Ads in program		Estimated		Actual
Estimated	Actual			
100	0	Covers @ \$20.00	\$2,000.00	\$0.00
100	0	Half-pages @ \$10.00	\$1,000.00	\$0.00
50	0	Quarter-pages @ \$5.00	\$250.00	\$0.00
			\$3,250.00	\$0.00

Exhibitors/Vendors		Estimated		Actual
Estimated	Actual			
200	0	Large booths @ \$40.00	\$8,000.00	\$0.00
200	0	Med. booths @ \$25.00	\$5,000.00	\$0.00
		Small booths @	\$0.00	\$0.00
			\$13,000.00	\$0.00

Sponsorships/Donations		Estimated		Actual
Estimated	Actual			
45	0	Items @ \$100.00	\$4,500.00	\$0.00
50	0	Items @ \$30.00	\$1,500.00	\$0.00
50	0	Items @ \$25.00	\$1,250.00	\$0.00
0	0	Items @ \$5.00	\$0.00	\$0.00
			\$7,250.00	\$0.00

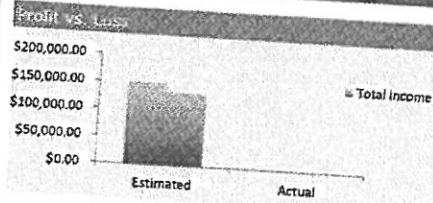
Total Income		Estimated	Actual
		\$149,375.00	\$0.00



2NOT1 Operating Budget

2014 PROFIT/LOSS SUMMARY

	Estimated	Actual
Total income	\$149,375.00	\$0.00
Total expenses	\$133,635.00	\$0.00
Total profit (or loss)	\$15,740.00	\$0.00



Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

NARP
0656609
Alison Lundergan Grimes
KY Secretary of State
Received and Filed
6/30/2014 6:51:21 PM
Fee receipt: \$15.00

Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

Annual Report
Online Filing

ARP

Company: 2 NOT 1: FATHERHOOD & FAMILIES, INC.
Company ID: 0656609
State of origin: Kentucky
Formation date: 2/2/2007 12:00:00 AM
Date filed: 6/30/2014 6:51:21 PM
Fee: \$15.00

Principal Office

1626 WEST CHESTNUT STREET
ATTN: 2NOT1
1626 W CHESTNUT ST
LOUISVILLE, KY 40203

Registered Agent Name/Address

SHAWN GARDNER
1635 PLYMOUTH COURT
APT. 11
LOUISVILLE, KY 40203

Current Officers

Chairman Georgia Turner 1626 West Chestnut St. Louisville, KY 40203

Directors

Director Galen Demus
Director Nicole Compton
Director Kevin Cosby
Director William Samuels
Director Brandon Lawrence
Director Herlon Robinson

Signatures

Signature Georgia Turner
Title Chairman

Financial Statement

2NOT1: Fatherhood & Families, Inc.

	Historical 2013	Projected 2014
Asset/Income		
Grants	\$ 5,000	\$ 125,875
Program Ads	\$ 500	\$ 3,250
Exhibitors/Vendors	\$ 1,300	\$ 13,000
Scholarships/Donations	\$ 5,000	\$ 7,250
Total Assets	\$ 11,800	\$ 149,375
Liabilities		
Staff	\$ 0	\$ 93,000
Publications	\$ 600	\$ 3,900
Telephones	\$ 1,500	\$ 2,400
Transportation	\$ 0	\$ 2,000
Stationery Supplies	\$ 500	\$ 1,000
Board Meetings	\$ 0	\$ 300
Conference	\$ 3,200	\$ 6,450
Mother's Forum	\$ 2,500	\$ 3,685
Father's Day Picnic	\$ 2,000	\$ 3,500
TFU	\$ 1,300	\$ 15,000
Insurance	\$ 2,000	\$ 2,400
Total Liabilities	\$ 13,600	\$ 133,635
Total Assets	\$ 11,800	\$ 149,375
Total Liabilities	\$ 13,600	\$ 133,635
Year End Balance	\$ (1,800)	\$ 15,740

This document was reviewed and approved by the 2NOT1 Board of Directors on January 23, 2014.

George Turner
2NOT1 Board Member

1/23/14
Date

Shawn L. Gardner
Shawn Gardner, President and Founder

1/23/14
Date

0656609.09

Doornish
NARI

Trey Grayson
Secretary of State
Received and Filed

02/07/2008 2:53:55 PM

Fee Receipt: \$16.00

AMENDED AND RESTATED ARTICLES OF INCORPORATION
OF

2 NOT 1: FATHERHOOD & FAMILIES, INC.

THE UNDERSIGNED, duly elected President of 2 NOT 1: Fatherhood & Families, Inc. hereby certifies that said corporation is a non-profit, non-stock corporation incorporated on February 2, 2007, under the laws of the Commonwealth of Kentucky, and more particularly Chapter 273, Kentucky Revised Statutes (KRS).

I further certify that Articles II through XI incorporate amendments to the Articles of Incorporation and that, except for these amendments, the Amended and Restated Articles of Incorporation currently set forth, without change, the corresponding provisions of the Articles of Incorporation as theretofore amended and that these Amended and Restated Articles of Incorporation together with the designated amendments supersede the original Articles of Incorporation and all amendments thereto.

I further certify that there were no members entitled to vote thereon, that the Board of Directors adopted the following Amended and Restated Articles of Incorporation at a meeting held on July 21, 2007 and that said Amended and Restated Articles of Incorporation received the vote of a majority of the directors in office.

ARTICLE I

The name of the Corporation shall be:

2 NOT 1: FATHERHOOD & FAMILIES, INC.

ARTICLE II

The duration of the Corporation shall be perpetual.

ARTICLE III

The principal office of the Corporation is located at:

1635 Plymouth Court, Apt.11, Louisville, Kentucky 40203

Other places of business in said city or elsewhere may be designated by resolution of the Board of Directors.

ARTICLE IV

The Corporation is organized and shall be operated exclusively for charitable and educational purposes as described within Section 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws), including for such purposes the making of distributions to organizations and individuals for the purpose of engaging in activity falling within the purposes of the Corporation and permitted for an organization exempt under said Section 501(c)(3).

The purposes of the Corporation shall be more specifically stated as follows:

To promote the safety and wellbeing of children by implementing strategies to keep families together and to encourage the involvement of fathers, with a primary focus on low income communities, through the following:

1. Educate low income individuals and communities on the subject of fatherhood and the barriers that impede the successful interaction of father and child;
2. Enhance and safeguard the interests of children and to protect and improve their relationships with their fathers and other significant males in their lives, within and outside the family;
3. Generate a pool of positive male role models to mentor other youth and fathers that can benefit from the support;
4. Serve as an educational and networking vehicle for men's, father's, and children's organizations with a focus on family through an annual conference on fatherhood;
5. Serve as a repository and clearinghouse for all information relating to fatherhood issues;
6. Engage in other charitable and educational activities consistent with the above purposes.

ARTICLE V

The Corporation shall be irrevocably dedicated to and operated exclusively for non-profit purposes. No part of the net earnings of the Corporation shall inure to the benefit of or be distributable to its members, directors, officers, or other private persons, except that the Corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article IV hereof.

ARTICLE VI

In carrying out the corporate purposes described in Article IV, the Corporation shall have all powers granted by the laws of the Commonwealth of Kentucky, including in particular those listed in KRS 273.171 (or corresponding provision of any later State statute), except as follows and as otherwise stated in these Articles:

a) No substantial part of the activities of the Corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation, and the Corporation shall not participate in, or intervene in (including the publishing or distribution of statements), any political campaign on behalf of any candidate for public office.

b) Notwithstanding any other provision of these Articles, the Corporation shall not carry on any other activities not permitted to be carried on:

1) by a corporation exempt from Federal income tax under Section 501(c)(3) of the Internal Revenue Code, or the corresponding provisions of any subsequent Federal tax laws.

2) by a corporation, contributions to which are deductible under Section 170(c)(2) of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws.

c) If and so long as the Corporation is a private foundation as defined in Section 509(a) of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws:

1) The Corporation shall distribute its income for each taxable year at such time and in such manner as not to become subject to the tax on undistributed income imposed by Section 4942 of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws.

2) The Corporation shall not engage in any act of self-dealing as defined in Section 4941(d) of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws.

3) The Corporation shall not retain any excess business holdings as defined in Section 4943(c) of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws.

4) The Corporation shall not make any investments in such manner as to subject it to tax under Section 4944 of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws.

5) The Corporation shall not make any taxable expenditures as defined in Section 4945(d) of the Internal Revenue

Code, or corresponding provisions of any later Federal tax laws.

ARTICLE VII

The Corporation shall be governed by the By-Laws.

Any director may be removed for cause pursuant to By-Laws provisions regarding grounds and procedures for such removal.

ARTICLE VIII

a) The directors, officers, employees and members of this Corporation shall not be held personally liable for any debt or obligation of the Corporation solely because of their position in the Corporation.

b) Any person serving on the Board of Directors of this Corporation shall not be held personally liable for monetary damages resulting from the breach of his or her duties as a director unless such act, omission or breach:

- 1) concerned or concerns a transaction in which the director's personal financial interest was or is in conflict with the financial interests of the Corporation;
- 2) was not in good faith or involved or involves intentional misconduct on the part of the director;
- 3) was known by the director to be a violation of law; or
- 4) resulted in an improper personal benefit to the director.

ARTICLE IX

Any director or officer or former director or officer of the Corporation may be indemnified by the Corporation against any expenses actually and reasonably incurred by him or her in connection with the defense of any action, suit or proceeding, civil or criminal, in which she or he is made a party by reason of being or having been such director or officer, except in relation to matters as to which she or he shall be adjudged in such action, suit or proceeding to be liable for negligence or misconduct in the performance of duty to the Corporation. The Corporation may make any other indemnification permitted by law and authorized by its Articles of Incorporation, or its By-laws or a resolution adopted after notice to members entitled to vote.

ARTICLE X

In the event of dissolution of the Corporation, the Board of

Directors shall, after paying or making provision for the payment of all liabilities of the Corporation, dispose of all assets of the Corporation exclusively for the purposes of the Corporation, in such manner, or to such organizations organized and operated exclusively for charitable or educational purposes as shall at the time qualify as an exempt organization under Section 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws), or to the Federal government or to a State or local government for a public purpose as the Board of Directors shall determine.

The remaining assets, if any, shall be disposed of by the Circuit Court of the county in which the principal office for the Corporation is then located, exclusively for such purposes or to such organizations as said Court shall determine are organized and operated exclusively for such purposes.

ARTICLE XI

Amendments to these Articles shall be made pursuant to the provisions of KRS 273.263(2) (or corresponding provisions of any later State statute).

IN TESTIMONY WHEREOF, witness the signature of the President of the Board of Directors of this Corporation, this 5th day of February, 2008.



ANTHONY SMITH
PRESIDENT

STATE OF KENTUCKY)
)
COUNTY OF JEFFERSON)

The foregoing Amended and Restated Articles of Incorporation were acknowledged before me this 5th day of February, 2008, by Anthony Smith, President of 2 NOT 1: Fatherhood & Families, Inc. Witness my signature and seal of office.

My Commission Expires: January 8, 2009



NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

This Document Prepared By:

Lisa Kil Kelly
LISA KILKELLY
Attorney at Law
LEGAL AID SOCIETY, INC.
416 West Muhammad Ali Blvd. Suite 300
Louisville, Kentucky 40202
(502) 584-1254

Request for Taxpayer Identification Number and Certification

Give Form to the
requester. Do not
send to the IRS.

Name (as shown on your income tax return)
2NOT1: Fatherhood & Families, Inc.

Business name/disregarded entity name, if different from above

Check appropriate box for federal tax classification:
☐ Individual/sole proprietor ☐ C Corporation ☐ S Corporation ☐ Partnership ☐ Trust/estate
☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ ☐ Exempt payee
☒ Other (see instructions) ▶

Address (number, street, and apt. or suite no.) **Non-Profit**
3237 Schneider Avenue
City, state, and ZIP code
Louisville, KY 40215

List account number(s) here (optional)

Requester's name and address (optional)

Print or type
See Specific Instructions on page 2.

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.

Sign
Here

Signature of
U.S. person

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued).
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

21

Evaluation Form

2NOT1: Fatherhood and Families Mother's Forum

Date: _____ Workshop #/ Panel Title: _____

Please check all that apply.

SEX: F M
 PARENT ☐ COUNSELOR ☐ ADMINISTRATOR ☐
 _____ ☐ PROFESSIONAL ☐ LOCAL RESIDENT ☐

1. List each presenter's name and rate the delivery for the panel/workshop.
 (Circle the appropriate one)

	High				Low	
A. _____	5	4	3	2	1	N/A
B. _____	5	4	3	2	1	N/A
C. _____	5	4	3	2	1	N/A
D. _____	5	4	3	2	1	N/A
E. _____	5	4	3	2	1	N/A

2. Rate the quality of this symposium/panel/workshop based on the following:

A. Knowledge of the subject matter	5	4	3	2	1	N/A
B. Appropriateness	5	4	3	2	1	N/A
C. Length	5	4	3	2	1	N/A
D. Interest	5	4	3	2	1	N/A

3. What future themes would you like to have the conference address?

4. Please make other comments and suggestions. Thank you very much for your cooperation!!

2014-07-16
FATHERHOOD & FAMILIES

Year: 2013

990-N

Submission ID: [REDACTED]

e-File Postmark: 7/16/2014 12:29:15 PM
Accepted Date: 7/16/2014

The IRS has accepted the e-Postcard described above. Please save this receipt for your records.
Thank you for filing.

e-Postcard technical support
Phone: 866-255-0654 (toll free)
email: ePostcard@urban.org

2NOT1 FATHERHOOD & FAMILIES
1626 W Chestnut Street
Louisville, KY 40203

No virus found in this message.
Checked by AVG - www.avg.com
Version: 2014.0.4716 / Virus Database: 3986/7857 - Release Date: 07/15/14

2 NOT 1: FATHERHOOD & FAMILIES, INC.**General Information**

Organization Number	0656609
Name	2 NOT 1: FATHERHOOD & FAMILIES, INC.
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
File Date	2/2/2007 3:15:43 PM
Organization Date	2/2/2007
Last Annual Report	6/30/2014
Principal Office	1626 WEST CHESTNUT STREET ATTN: 2NOT1 1626 W CHESTNUT ST LOUISVILLE, KY 40203
Registered Agent	SHAWN GARDNER 1635 PLYMOUTH COURT APT. 11 LOUISVILLE, KY 40203

Current Officers

Chairman	Georgia Turner
Director	Galen Demus
Director	Nicole Compton
Director	Kevin Cosby
Director	William Samuels
Director	Brandon Lawrence
Director	Herlon Robinson

Individuals / Entities listed at time of formation

Director	ANTHONY SMITH
Director	ROBERT CLAYTON
Director	NIKKI GARDNER
Incorporator	SHAWN GARDNER

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

Annual Report	6/30/2014	1 page	PDF
Annual Report Amendment	10/14/2013	1 page	PDF
Annual Report	6/27/2013	1 page	PDF

Hughes, Susan

NDF

\$12.50

2 for 1

From: Hughes, Susan
Sent: Thursday, October 09, 2014 11:18 AM
To: King, Keidra
Subject: 2Not1

Keidra, Councilwoman Butler will sign for \$250 for the 2Not1 NDF request. Please note this contribution may not be used to pay salaries.

Thanks, Susan

Susan W. Hughes

Legislative Assistant to
Councilwoman Marianne Butler
District 15
502-574-1115

Sign X 