

**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

Kentuckyana Blues Society, Inc
Applicant/Program: Germantown Schnitzelburg Blues Festival
Applicant Requested Amount: \$2,000
Appropriation Request Amount: \$2,000

Executive Summary of Request
Annual Germantown Schnitzelburg Blues Festival request for programming expenses.

Is this program/project a fundraiser? Yes No
Is this applicant a faith based organization? Yes No
Does this application include funding for sub-grantee(s)? Yes No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

10 *Samuel P. Mulvihill* \$2,000 5/21/2018
District # Councilman Pat Mulvihill Amount Date
Primary Sponsor Signature

Primary Sponsor Disclosure
List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

Approved by:

Appropriations Committee Chairman Date
Final Appropriations Amount: _____

**LOUISVILLE METRO COUNCIL
NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

Legal Name of Applicant Organization *Kentuckiana Blues Society, Inc*
Program Name and Request Amount *Germanatown Schnitzelburg Blues Festival \$2,000*

	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	Yes ▾
Is the funding proposed by Council Member(s) less than or equal to the request amount?	Yes ▾
Is the proposed public purpose of the program viable and well-documented?	Yes ▾
Will all of the funding go to programs specific to Louisville/Jefferson County?	Yes ▾
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	Yes ▾
Has prior Metro Funds committed/granted been disclosed?	Yes ▾
Is the application properly signed and dated by authorized signatory?	Yes ▾
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	Yes ▾
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	N/A ▾
Is the entity in good standing with: <ul style="list-style-type: none"> ▶ Kentucky Secretary of State? ▶ Louisville Metro Revenue Commission? ▶ Louisville Metro Government? ▶ Internal Revenue Service? ▶ Louisville Metro Human Relations Commission? 	Yes ▾
Is the current Fiscal Year Budget included?	Yes ▾
Is the entity's board member list (with term length/term limits) included?	Yes ▾
Is recommended funding less than 33% of total agency operating budget?	Yes ▾
Does the application budget reflect only the revenue and expenses of the project/program?	Yes ▾
Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?	N/A ▾
Is the most recent annual audit (if required by organization) included?	N/A ▾
Is a copy of Signed Lease (if rent costs are requested) included?	N/A ▾
Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?	N/A ▾
Are the Articles of Incorporation of the Agency included?	Yes ▾
Is the IRS Form W-9 included?	Yes ▾
Is the IRS Form 990 included?	Yes ▾
Are the evaluation forms (if program participants are given evaluation forms) included?	N/A ▾
Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?	N/A ▾
Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?	No ▾

Prepared by: Geoff Wohl D10 LA

Date: 5/21/2018

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
Legal Name of Applicant Organization:		Kentuckiana Blues Society	
<i>(as listed on: http://www.sos.ky.gov/business/records)</i>			
Main Office Street & Mailing Address: PO Box 755, Louisville, KY 40201-0755			
Website: kbsblues.org			
Applicant Contact:	Gary Sampson	Title:	Board Member
Phone:	502/724-9971	Email:	gary@kbsblues.org
Financial Contact:	Chris Grube	Title:	Treasurer
Phone:	812/944-2468	Email:	cgrube@aye.net
Organization's Representative who attended NDF Training: Gary Sampson			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s):	Schnitzelburg		
Council District(s):	District 10	Zip Code(s):	40217
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: Germantown Schnitzelburg Blues Festival			
Total Request: (\$)	2,000	Total Metro Award (this program) in previous year: (\$)	1,300
Purpose of Request (check all that apply):			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget)			
<input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals			
<input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
The Following are Required Attachments:			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current year projected budget <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation (current & signed) Cost estimates from proposed vendor if request is for capital expense		Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 Evaluation forms if used in the proposed program Annual audit (if required by organization) Faith Based Organization Certification Form, if applicable	
For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
Source:		Amount: (\$)	
Source:		Amount: (\$)	
Source:		Amount: (\$)	
Has the applicant contacted the BBB Charity Review for participation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

The Kentuckiana Blues Society, founded in 1988, is dedicated to the preservation, promotion and perpetuation of the Blues Tradition in all of its forms

Preservation: Through extensive research and documentation, the KBS has made major inroads into the documentation of the blues tradition in Louisville, KY. This research has led to the discovery of many rare photos, interviews and the location of many early blues related sites. An archive has been established by the KBS to centrally locate all of these materials and make them available to members at the University of Louisville Archives & Special Collections. We have also located the grave sites of blues greats Sylvester Weaver, Sara Martin and Bill Gaither and arranged for the placement of headstones to preserve their memory.

Promotion: From its' inception, the KBS has been actively involved with the local blues scene. Many local and regional talents have been showcased in local venues under either direct sponsorship or contributed support of the KBS. Such artists as Albert Collins, Jimmy Hall, Pinetop Perkins and Henry Townsend were all able to appear in Louisville under the auspices of KBS.

Since 1988, the KBS has been involved with and supportive of local blues festivals including the Garvin Gate Blues Festival, held the second weekend of October. The Germantown-Schnitzelburg Blues Festival began in 2010 and is held the first weekend of June at the intersection of Hickory & Burnett Streets. The KBS is also a sponsor of the Louisville Blues-n-Barbecue Festival held at the Water Tower.

The KBS Blues Challenge garners recognition for local/regional blues acts, and, due to our affiliation with The Blues Foundation, the winners are eligible to compete in the finals of the International Blues Talent Competition in Memphis, TN. Categories include Band Winner, Solo/Duo Winner, Best Self-Produced CD and Youth Representative.

The KBS produces a monthly printed newsletter for members that includes a calendar, show and CD reviews, local event photos and more. In addition, KBS members receive discounts to local venues, shows and festivals.

Perpetuation: Probably the strongest commitment that KBS has is its efforts to perpetuate the Blues Tradition so that generations to come may be able to come to a knowledge and appreciation of the Blues. In a way this perpetuation encompasses the other two "p"s of our mission: it is through the preservation and presentation of the blues that we can begin to perpetuate it.

One of the ways in which KBS perpetuates the blues is through the annual presentation of the Sylvester Weaver Award to an individual in recognition of their efforts in representing the mission of the KBS. Sylvester Weaver, an early blues pioneer, is a native of Louisville.

Additionally, we seek to perpetuate the blues with formal and informal presentations about the blues to various groups, and through our own presence at festivals and concerts.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 - BOARD OF DIRECTORS AND PAID STAFF

Board Member	Term End Date
Mark Sneed (president)	December 31, 2018
Debbie Wilson (vice-president)	December 31, 2018
Chris Grube (treasurer)	December 31, 2018
Matt Floyd (secretary)	December 31, 2018
Bob Brown	December 31, 2018
Natalie Carter	December 31, 2018
Keith Clements	December 31, 2018
Joe DeBow	December 31, 2018
Nelson Grube	December 31, 2018
Danny Henderson	December 31, 2018
Cheryl Jagers	December 31, 2018
Marjorie Marshall	December 31, 2018
Susan O'Neil	December 31, 2018
Les Reynolds	December 31, 2018
Jack Sacksteder	December 31, 2018
Gary Sampson	December 31, 2018
Steve Walls	December 31, 2018

Describe the Board term limit policy:

Kentuckiana Blues Society board members are elected every November and serve one calendar year after the election. There is no limit on the number of years a board member can serve. All officers and board members of the KBS are volunteers. No one gets paid a salary or other compensation to be on the board

Three Highest Paid Staff Names	Annual Salary
N/A	
N/A	
N/A	

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

The annual Germantown Schnitzelburg Blues Festival takes place the first Friday and Saturday of June. This year's festival is June 1 and 2. The festival is free and open to the public. It is an outdoor festival and takes place at the intersection of Burnett Ave and Hickory St. 2017 is the ninth consecutive year for the festival.

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):

Funds will be used for paying bands at the festival.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

None of the proceeds will go to the Kentuckiana Blues Society. Each year, a portion of the proceeds from the festival go to benefit a local, non-profit organization. The organization benefited by the festival changes from year to year and this year's recipient is Blessings in a Backpack.

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

Reimbursements should not be made before application date unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

Similar to other outdoor festivals held in Louisville during the course of the year, the Germantown Schnitzelburg Blues Festival seeks to provide the residents of the city with a native form of American music that has deep roots in the Kentuckiana area. The Kentuckiana Blues Society sets up a booth at the festival and works to educate members of the community on the importance and history of blues music. Since the festival is free and open to the public, members of our community who may not otherwise be able to attend a blues show, can come and enjoy the music at no cost.

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

The Kentuckiana Blues Society works with several other organizations and businesses, both non-profit and for-profit, in the Kentuckiana area. Those organizations and events include:

- The Bisig Impact Group and the Louisville Blues-n-Barbecue Festival
- The Olmsted Parks Conservancy and the Big Rock Jazz and Blues Festival
- The Garvin Gate Neighborhood Association and the Garvin Gate Blues Festival

In addition, the KBS supports, and receives support, from other community non-profit organizations including the Musicians Emergency Resource Foundation (MERF) and 100.9 FM (WCHQ). MERF is a 501(c)3 organization that supports musicians in times of need such as an accident or illness. WCHQ is a 501(c)3 organization that provides community programming including events, music from local/regional artists and support of other non-profit organizations at no cost.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non- Metro Funds	Total Funds
A: Personnel Costs Including Benefits			
B: Rent/Utilities			
C: Office Supplies			
D: Telephone			
E: In-town Travel			
F: Client Assistance (See Detailed List on Page 8)			
G: Professional Service Contracts			
H: Program Materials			
I: Community Events & Festivals (See Detailed List on Page 8)	2,000	10,284.16	12,284.16
J: Machinery & Equipment			
K: Capital Project			
L: Other Expenses (See Detailed List on Page 8)	2,000		
*TOTAL PROGRAM/PROJECT FUNDS	2,000	10,284.16	12,284.16
<i>Total Program Budget</i>	16.3 %	83.7 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	10,284.16
Fees Collected from Program Participants	
Other (please specify)	
<i>Total Revenue for Column 2 Expenses</i>	10,284.16

*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

**Must equal or exceed total in column 2.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail for Client Assistance, Community Events & Festivals or Other Expenses shown on Page 7 (circle one and use multiple sheets if necessary)	Column 1	Column 2	Column (1 + 2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
Band Performance Fees	2,000	4,475	6,475
Sound System and Stage Rental	0	3,200	3,200
Permits, Licensing and Insurance	0	1,196.66	1,196.66
Security	0	800	800
Advertising	0	612.5	612.5
Total	2,000	10,284.16	12,284.16

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
<p style="text-align: center;"><i>Total Value of In-Kind</i> (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)</p>		

*** DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK**

Agency Fiscal Year Start Date:

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 7 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked and the funds will not be disbursed to our organization.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

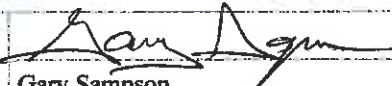
Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

SECTION 8 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:		Date:	5/20/18
Legal Signatory: (please print):	Gary Sampson	Title:	KBS Board Member
Phone:	502/724-9971	Extension:	
Email:	gary@kbsblues.org		

Germantown Schnitzelburg Blues Festival 2018 Budget

Friday, June 1		
Jimmy G & the Sidewinders	\$600.00	
The Tyrone Cotton & Screamin' John Project	\$800.00	
Johnny Fink & The Intrusion	\$1,000.00	
Saturday, June 2		
Erlin Coburn	\$600.00	
One Shot Johnny	\$600.00	
The Revelators	\$875.00	
Gough-Martin Blues Band & Tramp	\$1,000.00	
Boscoe France Band	\$1,000.00	
Tommy Kent		
Sound and Stage	\$3,000.00	
Drum Kit Rental	\$100.00	
Bass Rig Rental	\$100.00	
Permits and License		
Liquor License		
City Liquor License	\$266.66	paid
State Liquor License	\$90.00	paid
City Small Event Permit	\$40.00	paid
Insurance (Liquor Liability)	\$800.00	paid
Security		
2 officers per night	\$700.00	
1 Overnight Security	\$100.00	
Advertising		
WFPK (9 spots week of festival)	\$350.00	
502 Print & Copy	\$112.50	Paid
Drone Photography	\$150.00	
Total	\$12,284.16	

0259451

Allison Lundergan Grimes
KY Secretary of State

Received and Filed

5/24/2017 3:59:19 PM

Fee receipt: \$15.00

Commonwealth of Kentucky

Alison Lundergan Grimes, Secretary of State

Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

Annual Report Online Filing

ARP

Company: KENTUCKIANA BLUES SOCIETY, INC.
Company ID: 0259451
State of origin: Kentucky
Formation date: 6/7/1989 12:00:00 AM
Date filed: 5/24/2017 3:59:19 PM
Fee: \$15.00

Principal Office

P.O. BOX 755
LOUISVILLE, KY 402010755

Registered Agent Name/Address

KEITH S. CLEMENTS
835 S. 7TH. ST.
P. O. BOX 755
LOUISVILLE, KY 402010755

Current Officers

President	Mark Sneed	PO Box 755 Louisville KY 40201-0755
Secretary	Matt Floyd	PO Box 755 Louisville KY 40201-0755
Treasurer	Chris Grube	PO Box 755 Louisville KY 40201-0755
Vice President	Debbie Wilson	PO Box 755 Louisville KY 40201-0755

Directors

Director	Natalie Carter	PO Box 755 Louisville KY 40201-0755
Director	Bob Brown	PO Box 755 Louisville KY 40201-0755
Director	Nelson Grube	PO Box 755 Louisville KY 40201-0755
Director	Danny Henderson	PO Box 755 Louisville KY 40201-0755
Director	Joe DeBow	PO Box 755 Louisville KY 40201-0755
Director	Keith Clements	PO Box 755 Louisville KY 40201-0755
Director	Susan O'Neil	PO Box 755 Louisville KY 40201-0755
Director	Marjorie Marshall	PO Box 755 Louisville KY 40201-0755
Director	Roger Wolford	PO Box 755 Louisville KY 40201-0755
Director	Steve Walls	PO Box 755 Louisville KY 40201-0755
Director	Les Reynolds	PO Box 755 Louisville KY 40201-0755
Director	Gary Sampson	PO Box 755 Louisville KY 40201-0755
Director	Elaine Hertweck	PO Box 755 Louisville KY 40201-0755

Business size:	Small
Business type:	Other

Signatures

Signature	Chris Grube
Title	Treasurer

Request for Taxpayer Identification Number and Certification

**Give Form to the
 requester. Do not
 send to the IRS.**

Print or type See Specific Instructions on page 2.	Name (as shown on your income tax return) Kentuckiana Blues Society, Inc.		
	Business name/disregarded entity name, if different from above FKA Kyana Blues Society, Inc.		
	Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input checked="" type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ <input type="checkbox"/> Other (see instructions) ▶ _____		Exemptions (see instructions): Exempt payee code (if any) D17040 Exemption from FATCA reporting code (if any) _____
	Address (number, street, and apt. or suite no.) Post Office Box 755		Requester's name and address (optional)
City, state, and ZIP code Louisville, KY 40201-0755			
List account number(s) here (optional)			

Part I Taxpayer Identification Number (TIN)												
Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see <i>How to get a TIN</i> on page 3.												
Social security number	<table border="1" style="width: 100%; height: 20px;"> <tr> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> </tr> </table>											
Note: If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.												
Employer identification number												
<table border="1" style="width: 100%; height: 20px;"> <tr> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> </tr> </table>												

Part II Certification	
Under penalties of perjury, I certify that:	
1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and 2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and 3. I am a U.S. citizen or other U.S. person (defined below), and 4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.	
Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.	

Sign Here	Signature of U.S. person ▶ <i>Chris Brube</i>	Date ▶ <i>9/11/2017</i>
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. The IRS has created a page on www.irs.gov/w9 for information about Form W-9, at www.irs.gov/w9. Information about any future developments affecting Form W-9 (such as legislation enacted after we release it) will be posted on that page.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, payments made to you in settlement of payment card and third party network transactions, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and

4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct.

Note. If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

Kentuckiana Blues Society Financial Statement

As Of: 31-Dec-2017		2017	2016	2015	2014
Income	Interest	0.00	0.00	0.00	16.10
	Membership Dues	4,762.82	5,629.31	7,259.00	7,463.92
	Donations / Grants	2,970.23	1,547.86	14.00	275.00
	Event Proceeds	6,375.36	1,327.00	1,001.00	2,179.15
	Contest Entry Fees	75.00	586.53	175.00	340.72
	Reimbursements	638.31	227.50	200.00	0.00
	Merchandise Sold	47.80	1,529.45	2,975.19	2,749.27
	Advertising Income	0.00	120.00	0.00	0.00
	Sponsorships	11,050.00	15,250.00	8,000.00	3,940.00
	TOTAL REVENUE	25,919.52	26,217.65	19,624.19	16,964.16
Expenses	Printing	(4,822.56)	(5,486.79)	(5,402.25)	(5,655.84)
	US Postal Service	(223.85)	(476.60)	(317.00)	(533.39)
	KY Sales & Use Tax / Fees	(88.58)	(127.08)	(156.56)	(133.57)
	Supplies	(99.02)	(453.93)	(225.38)	(438.80)
	Membership Dues / Grant Disbursal	(192.40)	(1,095.00)	(125.00)	(4,674.80)
	Advertising Expense	(695.00)	(625.00)	(1,712.25)	(1,397.70)
	Contest Expenses	(3,000.00)	(2,503.00)	(2,550.00)	(3,100.00)
	Merchandise Expense	(1,791.89)	(1,237.00)	(183.85)	(1,358.01)
	Graphic Design	0.00	0.00	0.00	0.00
	Bank Charges	(52.26)	0.00	(4.50)	0.00
	Event Expense	(15,765.93)	(12,568.19)	(8,807.44)	(5,940.14)
	TOTAL EXPENSES	(26,731.49)	(24,572.59)	(19,484.23)	(23,232.25)
	Beginning Cash Balance	11,934.71	8,247.54	8,107.58	14,375.67
	Revenue	25,919.52	26,217.65	19,624.19	16,964.16
	Expenses	(26,731.49)	(24,572.59)	(19,484.23)	(23,232.25)
	Ending Balance	11,122.74	9,892.60	8,247.54	8,107.58
	Total Profit or Loss	(811.97)	1,645.06	139.96	(6,268.09)
	© KBS	2017	2016	2015	2014

Contact: Money@kbsblues.org

Kentuckiana Blues Society 2018 Projected Budget

Income	Membership Dues	6,099.00
	Donations / Grants	1,269.00
	Event Proceeds	3,319.00
	Contest Entry Fees	347.00
	Reimbursements	294.00
	Merchandise Sold	1,786.00
	Advertising Income	198.00
	Sponsorships	6,358.00
	TOTAL REVENUE	19,670.00
Expenses	Printing	(5,548.00)
	US Postal Service	(385.00)
	KY Sales & Use Tax / Fees	(135.00)
	Supplies	(345.00)
	Membership Dues / Grant Disbursal	(1,350.00)
	Advertising Expense	(915.00)
	Contest Expenses	(2,363.00)
	Merchandise Expense	(1,191.00)
	Graphic Design	(72.00)
	Bank Charges	(71.00)
	Event Expense	(6,633.00)
	TOTAL EXPENSES	(19,008.00)
Total Profit or Loss		662.00

© KBS

Contact: Money@kbsblues.org



Louisville-Jefferson County Metro Government
Department of Codes & Regulations

444 S. 5th Street, Suite 200 - Louisville, KY 40202
Phone: 502.574.3591 Web Site: <https://louisvilleky.gov/government/codes-regulations>

TEMPORARY ALCOHOLIC BEVERAGE LICENSE

License No: TAB925052

Issue Date: April 23, 2018

Event Name: GERMANTOWN SCHNITZELBURG BLUES FESTIVAL 2018

Location: 1101 E BURNETT AVE LOUISVILLE - CHECK'S CAFE
LOUISVILLE, KY 40217

Licensee: CHECKS CAFE
1101 E BURNETT AVE
LOUISVILLE KY 40217

License Type: Malt Beverage License

Effective Date: May 31, 2018

Expiration Date: June 03, 2018

Booth 2: Alcoholic Beverage drink sells and consumption in permitted area only for event to be held on:06/01/2018-06/02/2018 Fr
12 p.m.-12 a.m.

Event area is from the corner of Burnett ND hickory St. (to east Hickory) to the corner of Milton St.

Your request for a Louisville Metro Temporary Alcoholic Beverage License has been granted with the following conditions.

- 1.) All approved premises are secured so that patrons drinking alcoholic beverages cannot enter or leave these areas with any alcoholic beverages.
- 2.) Extra steps must be taken to ensure the sale of alcoholic beverages to minors does not occur.
- 3.) The use of "go-cups" or allowing customers to leave the premises with any container, open or closed, containing alcoholic beverages is not allowed unless auctioned.
- 4.) Customers and staff must remain orderly at all times. Any noise levels generated by your activities, customers and staff must remain at a level not to exceed the customary for the neighborhood and surrounding area and fully comply with Metro Ordinances.
- 5.) Alcohol sales, drinking and or auctioning may only occur on the specified event date and times permitted.

Issued By: _____ Date: April 23, 2018
Administrator

This license does not constitute a property or vested right and may be revoked at any time pursuant to law. The above named licensee is authorized to sell alcoholic beverages subject to the laws, rules and regulations of the Commonwealth of Kentucky and the Louisville/Jefferson County Metro Government. The licensee is hereby authorized to make only the types of alcoholic beverages sales listed above on the licensed premises during the period this license is in effect. Not transferable (except as provided in sections 243.630, 243.640 and 243.650 of the Kentucky Revised Statutes.

License No: TAB925052



Louisville-Jefferson County Metro Government
Department of Codes & Regulations
 444 S. 5th Street, Suite 200 - Louisville, KY 40202
 Phone: 502.574.3591 Web Site: <https://louisvilleky.gov/government/codes-regulations>

TEMPORARY ALCOHOLIC BEVERAGE LICENSE

License No: TAB925051

Issue Date: April 23, 2018

Event Name: GERMANTOWN SCHNITZELBURG BLUES FESTIVAL 2018

Location: 1101 E BURNETT AVE LOUISVILLE - Checks Café 1101 E Burnett Ave
 LOUISVILLE, KY 40217

Licensee: CHECKS CAFE
 1101 E BURNETT AVE
 LOUISVILLE KY 40217

License Type: Liquor - Wine

Effective Date: May 31, 2018

Expiration Date: June 03, 2018

Alcoholic Beverage drink sells and consumption in permitted area only for event to be held on: 06/01/2018-06/02/2018 From: 12 p.m.-12 a.m.

Event area is from the corner of Burnett ND hickory St. (to east Hickory) to the corner of Milton St.

Your request for a Louisville Metro Temporary Alcoholic Beverage License has been granted with the following conditions.

- 1.) All approved premises are secured so that patrons drinking alcoholic beverages cannot enter or leave these areas with any alcoholic beverages.
- 2.) Extra steps must be taken to ensure the sale of alcoholic beverages to minors does not occur.
- 3.) The use of "go-cups" or allowing customers to leave the premises with any container, open or closed, containing alcoholic beverages is not allowed unless auctioned.
- 4.) Customers and staff must remain orderly at all times. Any noise levels generated by your activities, customers and staff must remain at a level not to exceed the customary for the neighborhood and surrounding area and fully comply with Metro Ordinances.
- 5.) Alcohol sales, drinking and or auctioning may only occur on the specified event date and times permitted.

Issued By:  Date: April 23, 2018
 Administrator

This license does not constitute a property or vested right and may be revoked at any time pursuant to law. The above named licensee is authorized to sell alcoholic beverages subject to the laws, rules and regulations of the Commonwealth of Kentucky and the Louisville/Jefferson County Metro Government. The licensee is hereby authorized to make only the types of alcoholic beverages sales listed above on the licensed premises during the period this license is in effect. Not transferable (except as provided in sections 243.630, 243.640 and 243.650 of the Kentucky Revised Statutes.

INTERNAL REVENUE SERVICE
DISTRICT DIRECTOR
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: MAY 18 1994

THE KYANA BLUES SOCIETY INC
C/O R. ALAN ADCOCK
835 SOUTH 7TH ST.
LOUISVILLE, KY 40201-0755

Employer Identification Number:

Case number:

314096103

Contact Person:

DONNA ABNER

Contact Telephone Number:

(513) 684-3578

Our Letter Dated:

August 15, 1990

Addendum Applies:

No

Dear Applicant:

This modifies our letter of the above date in which we stated that you would be treated as an organization that is not a private foundation until the expiration of your advance ruling period.

Your exempt status under section 501(a) of the Internal Revenue Code as an organization described in section 501(c)(3) is still in effect. Based on the information you submitted, we have determined that you are not a private foundation within the meaning of section 509(a) of the Code because you are an organization of the type described in section 509(a)(2).

Grantors and contributors may rely on this determination unless the Internal Revenue Service publishes notice to the contrary. However, if you lose your section 509(a)(2) status, a grantor or contributor may not rely on this determination if he or she was in part responsible for, or was aware of, the act or failure to act, or the substantial or material change on the part of the organization that resulted in your loss of such status, or if he or she acquired knowledge that the Internal Revenue Service had given notice that you would no longer be classified as a section 509(a)(2) organization.

If we have indicated in the heading of this letter that an addendum applies, the addendum enclosed is an integral part of this letter.

Because this letter could help resolve any questions about your private foundation status, please keep it in your permanent records.

If you have any questions, please contact the person whose name and telephone number are shown above.

Sincerely yours,



C. Ashley Bullard
District Director



The Kentuckiana Blues Society
PO Box 755
Louisville, KY 40201-0755
<http://kbsblues.org>

KBS Tax and ID Numbers

Commonwealth of Kentucky
Revenue Cabinet
Frankfort KY 40620

Official registered organization name: The Kyana Blues Society, Inc.
Change submitted for: Kentuckiana Blues Society, Inc.

Date of Incorporation or Date Authorized to Transact Business: June 07, 1989
Articles of Incorporation Date: June 07, 1989
IRS Letter of Determination issued: Aug 15, 1990

Annual Report Organization ID Number: [REDACTED]

Federal Employer ID Number (FEIN): [REDACTED]

Purchase Tax Exemption Number: [REDACTED]

Sales & Use Tax Number: [REDACTED]

Louisville Revenue Commission Number: [REDACTED]

"... to preserve, promote and perpetuate the blues tradition..."

An Affiliate Member of The Blues Foundation

KENTUCKIANA BLUES SOCIETY, INC.

General Information

Organization Number	0259451
Name	KENTUCKIANA BLUES SOCIETY, INC.
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
File Date	6/7/1989
Organization Date	6/7/1989
Last Annual Report	5/24/2017
Principal Office	P.O. BOX 755 LOUISVILLE, KY 402010755
Registered Agent	KEITH S. CLEMENTS 835 S. 7TH. ST. P. O. BOX 755 LOUISVILLE, KY 402010755

Current Officers

President	<u>Mark Sneed</u>
Vice President	<u>Debbie Wilson</u>
Secretary	<u>Matt Floyd</u>
Treasurer	<u>Chris Grube</u>
Director	<u>Natalie Carter</u>
Director	<u>Bob Brown</u>
Director	<u>Nelson Grube</u>
Director	<u>Danny Henderson</u>
Director	<u>Joe DeBow</u>
Director	<u>Keith Clements</u>
Director	<u>Susan O'Neil</u>
Director	<u>Marjorie Marshall</u>
Director	<u>Roger Wolford</u>
Director	<u>Steve Walls</u>
Director	<u>Les Reynolds</u>
Director	<u>Gary Sampson</u>
Director	<u>Elaine Hertweck</u>

Individuals / Entities listed at time of formation

Director	<u>SUE O'NEIL</u>
Director	<u>DALLAS EMBRY</u>

Director	<u>PERRY ABERLI</u>
Director	<u>BARRY HARRIS</u>
Director	<u>SCOTT MULLINS</u>
Incorporator	<u>R ALAN ADCOCK</u>
Incorporator	<u>A/K/A ROCKY AMARETTO</u>

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

<u>Annual Report</u>	5/24/2017	1 page	<u>PDF</u>	
<u>Annual Report</u>	2/18/2016	1 page	<u>PDF</u>	
<u>Amendment</u>	10/27/2015	2 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	4/1/2015	1 page	<u>PDF</u>	
<u>Annual Report</u>	6/6/2014	1 page	<u>PDF</u>	
<u>Annual Report</u>	7/9/2013	1 page	<u>PDF</u>	
<u>Annual Report</u>	5/1/2012	1 page	<u>PDF</u>	
<u>Annual Report Amendment</u>	5/1/2012	1 page	<u>PDF</u>	
<u>Annual Report</u>	5/9/2011	1 page	<u>PDF</u>	
<u>Annual Report</u>	5/3/2010	1 page	<u>PDF</u>	
<u>Annual Report</u>	5/26/2009	1 page	<u>PDF</u>	
<u>Annual Report</u>	3/6/2008	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	4/3/2007	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	4/27/2006	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	4/21/2005	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/17/2003	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	3/28/2002	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	5/16/2001	3 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	5/1/2000	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/7/1999	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	4/22/1998	3 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1997	3 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1996	3 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1995	3 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1994	3 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1993	3 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1992	3 pages	<u>tiff</u>	<u>PDF</u>
<u>Statement of Change</u>	6/18/1992	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1991	3 pages	<u>tiff</u>	<u>PDF</u>
<u>Articles of Incorporation</u>	6/7/1989	7 pages	<u>tiff</u>	<u>PDF</u>

Assumed Names

Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	5/24/2017 3:59:19 PM	5/24/2017 3:59:19 PM	

Annual report	2/18/2016 1:58:28 PM	2/18/2016 1:58:28 PM
Amendment - Change name	10/27/2015 1:26:43 PM	10/27/2015

THE KYANA BLUES SOCIETY,
INC.

Microfilmed Images

0259451.09

balimonos
AMD

Alison Lundergan Grimes
Kentucky Secretary of State
Received and Filed:
10/27/2015 1:26 PM
Fee Receipt: \$8.00

**ARTICLES OF AMENDMENT
OF
ARTICLES OF INCORPORATION
OF
KYANA BLUES SOCIETY, INC.**

KNOW ALL MEN BY THESE PRESENTS:

That pursuant to the provisions of Chapter 273 of the Kentucky Revised Statutes the undersigned certifies that at a meeting of the Board of Directors an Amendment to the Articles of Incorporation was approved and adopted and is now submitted to the Office of the Secretary of State.

ARTICLE I

The current name of the corporation is "KYANA BLUES SOCIETY, INC."

ARTICLE II

Article I was amended in its entirety and the following was adopted and substituted in its place and stead:

"ARTICLE I - NAME

The name of the Corporation is the "Kentuckiana Blues Society, Inc."

ARTICLE III

There being no members of the Kentuckiana Blues Society, Inc. entitled to vote in respect to this action, the Board of Directors adopted this amendment to the Articles of Incorporation by a

THE KYANA BLUES SOCIETY, INC.

RESOLUTION TO

ADOPT AMENDED ARTICLES OF INCORPORATION

AND

ADOPT REVISED BY-LAWS

WHEREAS, the Board of Directors desires to memorialize in writing its vote to adopt Amended Articles of Incorporation adopted at its meeting on September 2, 2015 which by its amendments changes its formal corporate name and to ratify all actions taken by the President since its last meeting to effectuate these changes; and

WHEREAS, the Board of Directors desires to adopt Amended By-Laws for the Corporation to enable the smooth operation of its affairs; and

NOW THEREFORE, BE IT RESOLVED, THAT:

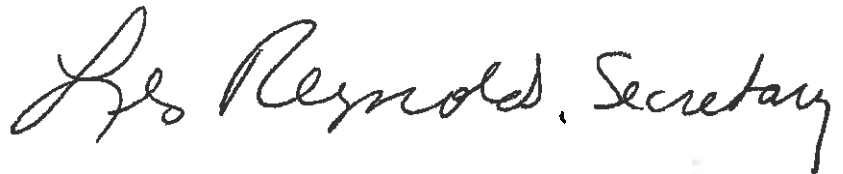
FIRST: Be it resolved that by a majority vote of the Board of Directors on this date, September 2, 2015, the corporate name of this organization presently "KYANA Blues Society, Inc." shall now be "Kentuckiana Blues Society, Inc." and the Board of Directors directs the President or his appointee to effectuate this change including filing Articles of Amendment to the Articles of Incorporation with the Commonwealth of Kentucky's Secretary of State's Office and recording a copy of these Articles of Amendment to the Articles of Incorporation in the Office of the Jefferson

County Clerk, as required by Kentucky statute.

SECOND: Be it resolved that on this date, SEPTEMBER 2, 2015 the By-Laws of the Kentuckiana Blues Society, Inc. are hereby adopted by a majority vote of the Board of Directors, and a confirmed copy of the corporate By-Laws shall be attached hereto;

THIRD: Upon approval by the Board of Directors this resolution shall be spread among the minutes of the Corporation.

 PRESIDENT

 SECRETARY

AMENDED
BY-LAWS
OF
THE KENTUCKIANA BLUES SOCIETY, INC.

ARTICLE I - PURPOSES

As stated in the Articles of Corporation, the purpose of the Kentuckiana Blues Society, Inc. (Herein known as the "Society") is to develop and promote blues music and culture as a non-stock, non-profit and charitable corporation consistent with Section 501(c) of the Internal Revenue Code.

ARTICLE II - OFFICES

The registered office of the Society shall be located in the city and state designated in the Articles of Incorporation. The Society may also maintain offices at such other places as the Board of Directors may determine from time to time.

ARTICLE III - MEMBERS

Section 1 - Membership (Class, Levels, Qualifications, Rights)

a. There shall be one class of members. Any individual, organization, or corporation who subscribes to the purposes and policies of the Society may become a member of the Society subject to compliance with these By-Laws. All members agree to abide by all of the rules, regulations and By-Laws of the Society, or as may be hereafter determined by amendment or by resolution of the Board of Directors. All members shall have full voting rights and privileges as determined by the Board of Directors.

b. There may be several different levels of membership as designated by the Board each of which shall include a set number of members. Types of membership may include, by way of example, single, dual, band, corporate and any other level or type as the Board may determine.

c. Any person may become a member of the Society by simply filling out a membership form and by paying the dues as may be set from time to time by the Board of Directors.

Section 2-Membership Fee

The Society may require an annual membership fee to be paid by its members. The amount of said dues shall be determined by the Board of Directors and may be changed by the Board of Directors from time to time. Dues shall be payable not later than the end of the anniversary month of becoming a member of each year unless otherwise determined by the Board of Directors. If any member shall be in default in a payment of dues for a period of two months, the member shall be considered not in good standing and their membership shall be terminated. The Board of Directors may elect to waive the membership dues of certain individuals if approved by a quorum.

Section 3-Annual Meetings

The Board of Directors shall cause to be held annually, on or about November 15, or at any other time during the year determined by the Board of Directors, a meeting of the membership of the Society for the purpose of informing the members of the progress and current status of the Society.

Section 4-Special Meetings

Special meetings of the membership may be called at any time by the President, and shall be called by the President or the Secretary at the written request of two-thirds (2/3) of the membership of the Board of Directors.

Section 5-Place of Meetings

All meetings of the membership shall be held at such places that may be designated in the notices or waivers of such meetings.

Section 6-Notice of Meetings

Written notice of membership meetings, stating the place, day, and hour of such meeting, shall be served by mail or available electronic methods not less than five days before the meeting, to each member of record, and to any other person to whom the giving of notice may be required by law. Notice of a special meeting shall also state the purpose or purposes of such meeting.

Section 7-Waiver of Notice

Whenever any notice is required to be given to any member of the Society, a waiver in writing signed by the member or members entitled to said notice, whether signed before or after the time stated in the notice, shall be equivalent to the giving of such notice.

Section 8-Quorum

There shall be no quorum requirement for a meeting of the members.

Section 9-Voting Rights

The membership shall have no voting rights regarding the affairs of the Society, including but not limited to any matter that affects the mission, goals, operation, or termination related to or affecting the Society.

ARTICLE IV - BOARD OF DIRECTORS

Section 1: Governing Body, Powers

The affairs of the Society shall be governed by a Board of Directors. The Board of Directors shall have all powers necessary for the administration of the affairs of the Society and may do all such acts and things as are not prohibited by law, the Articles of Incorporation or these By-Laws directed to be exercised or done only by the members.

Section 2-Eligibility

A member of the Board of Directors must be a member of the Society in good standing, and such member shall be at least eighteen (18) years of age. A Director need not be a resident of the Commonwealth of Kentucky.

Section 3: Number, Election and Term of Offices

(a) The number of directors of the Society shall be not more than 20 unless and until otherwise determined by a vote of a majority of the entire Board of Directors. The number of directors shall not be less than three. The number of Directors Emeritus shall not be limited unless by special vote of the Board.

(b) The members of the Board of Directors of the Society shall be elected by the Board of Directors by the majority of the

votes cast at each annual meeting.

(c) Each director shall hold office for a period of one year until the next Board of Directors annual meeting, and until his/her or his/her successor is elected and qualified, subject to removal as herein provided.

(d) All newly elected Directors and officers shall serve effective January 1 of the year following the election.

Section 4: Duties and Powers

The Board of Directors shall be responsible for the control and management of the affairs, property and interests of the Society and may exercise all powers of the Society.

Section 5: Annual, Regular, Special Meetings; Notice

(a) The Board of Directors shall meet at least six times a year. One of such regular meeting shall be the annual meeting.

(b) The annual meeting of the Board of Directors shall be on or about November 15. No notice shall be necessary to any Director in order to validly constitute the annual meeting, provided that a majority of the whole Board shall be present.

(c) The Board of Directors, from time to time, may provide by resolution for the holding of other regular meetings of the Board of Directors, and may fix the time and place thereof. Notice of regular meetings other than the annual meeting shall be given to each director, personally or by mail, telephone or other available electronic method, at least two days prior to the date of such meeting.

(d) Special meetings of the Board of Directors may be called

by the President on at least eight hours notice to each director, given personally, by telephone, electronic transmission or otherwise, which notice shall state the time, place and purpose of such meeting. Special meetings of the Board shall also be called by the President or Secretary in like manner and with like notice on the written request of at least two directors.

(e) Before, at, or after any meeting of the Board of Directors any director may in writing waive notice of such meeting, and such waivers shall be deemed equivalent to the giving of such notice. Attendance by a director at any meeting of the Board shall constitute a waiver of notice to him or her of such meeting. If all the Board members are present at any meeting of the Board, no notice thereof shall be required, and any business may be transacted at such meeting.

(f) At all meetings each member shall exhibit the decorum, courtesy and respect for the President, other officers or for whomever has the floor.

Section 6: Quorum and Adjournments

(a) At all meetings of the Board of Directors, the presence of a majority of the directors shall be necessary and sufficient to constitute a quorum for the transaction of business.

(b) A majority of the directors present and voting at the time and place of any regular or special meeting, although less than a quorum, may adjourn the same from time to time without notice, until a quorum shall be present.

Section 7: Manner of Acting

(a) At all meetings of the Board of Directors, each director shall have one vote.

(b) The action of a majority of directors present and voting at any meeting at which a quorum is present shall be the act of the Board of Directors. Any action which may be or is required to be taken at a meeting of the Board of Directors may be taken without a meeting if a consent in writing setting forth the action so taken shall be signed by all of the directors entitled to vote with respect to the subject matter. Such consent shall be the act of the Board of Directors and shall have the same force and effect as if the same had been passed by unanimous vote at a duly called meeting of the Board.

Section 8: Vacancies

Any vacancy in the Board of Directors occurring by reason of an increase in the number of directors or by reason of death, resignation, disqualification, removal or inability to act of any director, or otherwise, shall be filled for the unexpired portion of the term by an individual elected by a majority vote of those remaining directors present at a meeting, even though they may constitute less than a quorum.

Section 9: Resignation

Any director may resign at any time by giving written notice to the Board of Directors, the President or Secretary of the Society. Unless otherwise specified in such written notice, such resignation shall take effect upon receipt thereof by the Board of Directors for such officer, and the acceptance of such resignation

shall not be necessary to make it effective.

Section 10: Removal

Any director may be removed from office for cause by the affirmative vote of two-thirds (2/3) of all directors at any regular or special meeting called for that purpose whenever, in their judgment, the best interest of the Society will be served thereby. Nonfeasance, malfeasance or misfeasance, conduct detrimental to the interest of the Society, lack of sympathy with the Society's objectives, refusal to render reasonable assistance in the carrying out of its business or unexcused absences from the majority of regular meetings of the Board of Directors within one calendar year, while not exclusive, shall each be considered as good cause for removal. Any director proposed to be removed shall be entitled to at least five days notice in writing by mail of the meeting of the Board of Directors at which such removal is to be voted upon and shall be entitled to appear before and be heard by the Board of Director at such meeting. The determination of an absence from a meeting of the Board of Directors as excused or unexcused shall be made by the Board of Directors.

Section 11: Salary

There shall be no salary paid to the directors.

Section 12: Contract

(a) No contract or other transaction between this Society and any other corporation shall be impaired, affected or invalidated, nor shall any director be liable in any way by reason of the fact that any one or more of the directors of this Society

is or are interested in, or is a director or officer, or are directors or officers of such other corporation, provided that such facts are disclosed or made known to the Board of Directors.

(b) Any director may be a party to or may be interested in any contract or transaction of this Society, and no director shall be liable in any way by reason of such interest, provided that the fact of such interest be disclosed or made known to the Board of Directors and the Board of Directors shall authorize, approve or ratify such contract or transaction by the vote of a majority of a quorum (not counting the vote of any such director), notwithstanding the presence of any such director at the meeting at which such action is taken. Such director or directors may be counted in determining the presence of a quorum at such meeting. This section shall not be construed to impair or invalidate or in any way affect any contract or other transaction which would otherwise be valid under the law (common, statutory or otherwise) applicable thereto.

(c) Notwithstanding the provisions of this section, no contract or transaction shall be approved if said contract or transaction would result in a conflict with a director's personal financial interest and the financial interest of the Society or if said contract or transaction would result in an improper personal benefit to a director.

Section 13: Executive Board

An Executive Board, composed of the officers of the Society, and chaired by the President or in the President's absence such

other officer as would otherwise succeed the President, and also composed of such other members or persons as may be deemed appropriate by the President, may meet prior to each meeting of the Board of Directors for the purpose of organizing an agenda for the Board of Directors meeting or for such other purposes as set forth by the President. Notice of such meeting shall be given as in the case of meetings of the Board of Directors as hereinabove set forth in these By Laws of the Society.

Section 14: Committees

The Board of Directors may, by majority vote in quorum, at a meeting, subject to Section 6 of this Article, from time to time designate a committee or committees. The President shall appoint at least two directors to such committee. Each committee shall serve at the pleasure of the Board. Said committee or committees shall exercise all the authority of the Board of Directors except no such committee shall have the authority of the Board of Directors in reference to those powers specifically stated in Kentucky Revised Statutes 273.221. The designation and appointment and delegation of authority to any such committee shall not operate to relieve the Board of Directors or any individual director of any of the responsibilities imposed upon it or him/her by law.

ARTICLE V - OFFICERS

Section 1: Number, Qualifications, Election and Term of Office

(a) The officers of the Society shall consist of a President, Vice President, Treasurer and Secretary, and such other offices,

as the Board of Directors may from time to time deem advisable. No person shall be permitted to hold more than one office at a time, except that the offices of Secretary and Treasurer may be combined in one person.

(b) The officers of the Society shall be elected by and from the Board of Directors at an annual meeting of the Board.

(c) Each officer shall hold office until the annual meeting of the Board of Directors next succeeding his election, and until his successor shall have been elected and duly qualified, or until his/her death, resignation or removal.

Section 2-Removal, Resignation, Vacancies

(a) Any officer may be removed either with or without cause by vote of a majority of the members of the Board of Directors whenever in their judgment the best interest of the Society will be served thereby.

(b) Any officer may resign at any time by giving written notice to the Board of Directors, the President, or the Secretary of the Society (but, in the case of the resigning President or Secretary written notice must be given to a party other than himself or herself). Unless otherwise specified in such written notice, such resignation shall take effect upon the receipt thereof, and the acceptance shall not be necessary to make it effective.

(c) Any vacancy of any office shall be filled for the unexpired term by a majority vote of directors, present and voting, at any regular or special meeting of the Board of

Directors.

Section 3-President and Vice-President

The President shall be the chief executive officer of the Society and shall preside at all meetings of the membership and the Board of Directors. In his absence the Vice-President, Treasurer and Secretary shall preside in the foregoing pattern of succession. Subject to the control of the Board, the President shall exercise general supervision and direction over the management and conduct of the business and affairs of the Society. These duties shall include but shall not be limited to setting the agenda of the Board of Directors, presiding over meetings and such other duties as the President may deem necessary. The President shall also have such other powers and duties as may be provided by these By-Laws or assigned to him from time to time by the Board.

Section 4 -Secretary

The Secretary shall attend and keep the minutes of all meetings of the Board of Directors, give all notices thereof as provided by these By-Laws, maintain and keep a continuous and accurate record of the membership, authenticate all records of the Society, and have charge of such books, documents and records of the Society as the Board may direct, including Articles of Incorporation, By-Laws, IRS Letter of Approval of 501(c)(3) tax status, rosters of Board of Directors and its committees, the Society's membership, the minutes of the meetings of the Board of Directors and other such Society documents as deemed worth safekeeping by the President, Secretary or the Board of Directors.

Membership records may be kept by a separate Membership Chairperson as designated by the Board.

Section 5-Treasurer

The Treasurer shall maintain and keep the financial records and books of accounts of the Society, prepare regular reports thereof and be responsible for the proper deposit and custody, in the name of the Society, of all its funds and securities. All monies from membership dues or other income shall be delivered to the Treasurer for proper disposition of funds.

Section 6-Sureties and Bonds

In case the Board of Directors shall so require, any officer, employee or agent of the Society shall execute to the Society a bond in such sum and with such surety or sureties as the Board of Directors may direct, conditioned upon the faithful performance of his duties of the office, including responsibility for negligence and for accounting for all property, funds or securities of the Society which may come into his hands. The premiums on such bonds shall be paid by the Society.

ARTICLE VI - INDEMNIFICATION OF DIRECTORS AND OFFICERS

Every past, present and future director and officer of this Society, and their respective heirs, executors, administrators, and estates, shall be indemnified by this Society against all costs, expenses and amounts of liability therefor, including counsel fees, reasonably incurred by or imposed upon them in connection with or resulting from any action, suit, proceeding or claim to which they may be a party, or in which they may be or

become involved by reason of their acts of omission or commission, or alleged acts of omission or commission as such director or officer, and, subject to the provisions hereof, any settlement thereof, whether or not they continue to be such director or officer at the time of incurring such costs, expenses or amounts; provided, however, that such indemnification shall not apply with respect to any matter as to which such director or officer shall be finally adjudged in such action, suit or proceeding to have been individually guilty of willful misfeasance or malfeasance in the performance of his or her duty as such member, director or officer; and provided, further that the indemnification herein provided shall, with respect to any settlement of any such suit, action, proceeding or claim, include reimbursement of any amounts paid and expenses reasonably incurred in settling any such suit, action, proceeding or claim, when in the judgment of the Board of Directors of this Society, such settlement and reimbursement appear to be for the best interests of this Society. The foregoing right of indemnification shall be in addition to and not exclusive of any and all other rights as to which any such director or officer may be entitled under the Society's Articles of Incorporation, By-Laws, or under any agreement, vote of the Board of Directors or otherwise.

The Board of Directors may, in the Society's name or in the name of any officer or director, obtain and pay for such insurance as will protect the Society from the obligations and expenses herein assumed.

ARTICLE VII - FISCAL YEAR

The fiscal year of the Society shall be fixed by the Board of Directors from time to time, subject to applicable law.

ARTICLE VIII - AMENDMENT

These By-Laws may be amended by the affirmative vote of a majority of all directors.

At a meeting of the Board of Directors held on 9-2, 2015 the Board of Directors adopted this amendment by a majority of directors then in office.

IN TESTIMONY HEREOF witness the President and Secretary of the Kentuckiana Blues Society, Inc. this 2nd day of September, 2015.

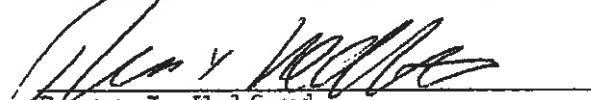


President



Secretary

This instrument prepared by:



Roger J. Wolford
THOMAS, DODSON & WOLFORD PLLC
9200 Shelbyville Road, Suite 611
Louisville, Kentucky 40222
(502) 426-1402

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Department of the Treasury Internal Revenue Service

Electronic Notice (e-Postcard)

for Tax-Exempt Organization not Required to File Form 990 or 990-EZ

OMB No. 1545-2085

2016

Open to Public Inspection

A For the **2016** Calendar year, or tax year beginning **2016-01-01** and ending **2016-12-31**

B Check if available

- Terminated for Business
- Gross receipts are normally \$50,000 or less

C Name of Organization: **KYANA BLUES SOCIETY INC**
PO Box 755, Louisville, KY, US, 40201

D Employee Identification Number **61-1182141**

E Website:

kbsblues.org

F Name of Principal Officer: **Mark Sneed**
PO Box 755, Louisville, KY, US, 40201

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Germantown Schnitzelburg Blues Festival

A portion of
the proceeds
to benefit

Louisville Chapter
**Blessings in a
Backpack**
Feeding The Future Of America®

Supported by District 10
Metro Councilman
Pat Mulvihill

Free Admission

**1101 E. Burnett Ave
Louisville, KY
kbsblues.org**

Friday, June 1

6:30 ... Jimmy G and the Sidewinders

8:30 ... The Tyrone Cotton & Screamin' John Project

10:30 ... Johnny Fink and the Intrusion

Saturday, June 2

4:00 ... Erin Coburn

5:30 ... One Shot Johnny

7:00 ... The Revelators

8:30 ... Gough-Martin Blues Band and Tramp

10:30 ... Boscoe France Band

Laurie Jane Duggins at the 2017
Germantown Schnitzelburg Blues Festival.
Photo by Cheryl Jagers

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2018



SCHNITZELBURG AREA COMMUNITY COUNCIL

HEINE BROTHERS' COFFEE