NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form

Applicant/Program: Old Louisville Neighborhood Council/ SpringFest

Executive Summary of Request:
SpringFest is a Street Festival for the entirety of Louisville Metro. The festival is a time for the East side of Old Louisville to be showcased. The festival offers a Kid Zone, food, vendor booths, music and fun. It is free to attend

Is this program/project a fundraiser?	X Yes	No
Is this applicant a faith based organization?	☐ Yes	X No
Does this application include funding for sub-grantee(s)?	☐ Yes	X No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

6		$4,500.00	2-19-2015
District #	Primary Sponsor Signature	Amount	Date

Primary Sponsor Disclosure
List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

Approved by:

_____
Appropriations Committee Chairman Date

Clerk's Office Only:

Request Amount: _____ Committee Amended Appropriation: _____

Original Appropriation: _____ Council Amended Appropriation: _____

OFFICE OF METRO COUNCIL CLERK
REVIEWED
DATE 2/20/15 TIME 12:55

Applicant/Program: **Old Louisville Neighborhood Council/ SpringFest**

Additional Disclosure and Signatures

Additional Council Office Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

District #	Council Member Signature	Amount	Date
District #	Council Member Signature	Amount	Date
District #	Council Member Signature	Amount	Date
District #	Council Member Signature	Amount	Date
District #	Council Member Signature	Amount	Date
District #	Council Member Signature	Amount	Date
District #	Council Member Signature	Amount	Date

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
Legal Name of Applicant Organization: *(as listed on: http://www.sos.ky.gov/business/records)*	Old Louisville Neighborhood Council		
Main Office Street & Mailing Address: 1340 South 4th Street Louisville Ky 40208			
Website: oldlouisvillespringfest.org			
Applicant Contact:	Joan Stewart	**Title:**	Springfest committee--logistics coordinator
Phone:	5025931242	**Email:**	jstewartklingctr@att.net
Financial Contact:	Eric Cowley	**Title:**	Treasurer OLNC
Phone:	5026355244/5029949009	**Email:**	ecowley@gmx.com
Organization's Representative who attended NDF Training: Joan Stewart			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s):	Old Louisville---1st Street btw Oak and Magnolia as well as Ormsby btw Brook and 2nd		
Council District(s):	6	**Zip Code(s):**	40208, 40203

SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: Springfest (Neighborhood Festival)			
Total Request: ($)	4900.00	**Total Metro Award (this program) in previous year: ($)**	5000.00

Purpose of Request (check all that apply):

- ■ Operating Funds (generally cannot exceed 33% of agency's total operating budget)
- ☐ Programming/services/events for direct benefit to community or qualified individuals
- ☐ Capital Project of the organization (equipment, furnishing, building, etc)

The Following are Required Attachments:

■ IRS Exempt Status Determination Letter	☐ Signed lease if rent costs are being requested
■ Current Year Projected Budget	■ IRS Form W9
■ List of Board of Directors (include term & term limits	☐ Evaluation forms if used in the proposed program
■ Current financial statement	☐ Annual audit (if required by organization)
■ Most recent IRS Form 990 or 1120-H	☐ Faith Based Organization Certification Form, if required
■ Articles of Incorporation	■ Staff including the 3 highest paid staff
☐ Cost estimates from proposed vendor if request is for capital expense	■ BYLAWS → Mission, Vision

For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.

Source:	NDF	Amount: ($)	5000.00
Source:		Amount: ($)	
Source:		Amount: ($)	

Has the applicant contacted the BBB Charity Review for participation? ☐ Yes ■ No

Has the applicant met the BBB Charity Review Standards? ☐ Yes ■ No

Applicant's Initials JSS

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS
Describe Agency's Vision, Mission and Services: See Attached

Applicant's Initials JSS

REVISED BYLAWS
THE OLD LOUISVILLE NEIGHBORHOOD COUNCIL 2009

MISSION STATEMENT

The mission of the Old Louisville Neighborhood Council is to be the official voice and advocate for Old Louisville.

CORE VALUES

We, the Old Louisville Neighborhood Council, are committed to:

- The preservation and protection of the historic architecture for present and future generations
- Pedestrian friendly and historically correct streetscapes
- Respect for, and encouragement of, diversity.
- The protection of Old Louisville from encroachments deemed to be detrimental to the neighborhood.
- Supporting and fostering activities which will help maintain a high quality of life in Old Louisville

ARTICLE ONE
Name and Area

1.1 The name of this organization is The Old Louisville Neighborhood Council.

1.2 The boundaries of the Council are: Broadway on the North; Eastern Parkway on the South; I-65 on the East; Ninth Street and along the CSX railroad to Eastern Parkway on the West with certain deviations along the Eastern and Western Boundary according to the Old Louisville Neighborhood Plan 1977. (See attached)

ARTICLE TWO
Purposes and Goals

2.1 The Council, a non-profit corporation, will serve as the recognized organizational voice and representative of Old Louisville residents, property owners, business persons, and others interested in the goals of the Council; and will sponsor and carry out activities to further the goals of the Council.

2.2 The goals of the Council include:

a. Monitoring the effectiveness of services, programs, and land use in the neighborhood (including, but not limited to, planning, preservation,

transportation, zoning, social services) and advocating change as deemed necessary.

b. Encouraging maintenance, improvement, and preservation of residential and business properties in order to protect the historic atmosphere of the neighborhood.

c. Educating residents, property owners, business persons, and others concerning the goals of the Council and uniting these persons in activities which promote the conservation, improvement, and cohesiveness of Old Louisville.

d. Promoting pride and sense of neighborhood among all residents, property owners, and businesses.

ARTICLE THREE
General Provisions

3.1 The Council subscribes to equal opportunity in all its activities, policies, and programs and will not discriminate on the basis of race, sex, age, religion, disability, national origin, sexual orientation or level of income.

ARTICLE FOUR
Finances

4.1 The dates of the fiscal year are January 1 through December 31.

4.2 All checks issued must be co-signed by at least two of the officers of the Council.

4.3 The Treasurer shall be bonded.

4.4 Neighborhood Associations and individual continuing dues are payable by April of each year.

ARTICLE FIVE
Membership

The Council has the following membership classifications:

5.1 Individual Member:

a. A resident or property owner in Old Louisville who pays dues directly to the Council; or

b. A member of a Neighborhood Association, which is a member of the Council.

5.2 Neighborhood Association members whose Association pay dues to the Council

a. Residential Neighborhood Associations who represent at least one block in Old Louisville.

5.3 Institutional members: Organizations, businesses or institutions located within the boundaries of Old Louisville that pay dues directly to the Council

ARTICLE SIX
Officers

6.1 Any individual, neighborhood association member, or institutional member of the Council is eligible to serve as an elected officer. Officers are elected at the November meeting for a two-year term that runs from January 1 though December 31 of each calendar year.

6.2 The officers of the Council are the Chair, Vice-Chair, Secretary, Treasurer, and the most recent past Chair. The immediate past Chair serves as an ex-officio member of the Executive Committee.

6.3 The duties of the officers include the following:

a. The Chair: Is the executive officer and presides over the Executive Committee, Board of Directors (the Officers and each Neighborhood Representative), and Council meetings; appoints committee chairs and is a voting, ex-officio member of all committees; appoints representatives to appropriate metro committees; provides guidance for interpreting these Bylaws; performs other duties common to the office specified or implied by these Bylaws and by other Council-endorsed policies, statements, and actions; may authorize expenditures of up to $1000; speaks as the voice of the Council determined by 60% approval of the OLNC Board (representatives and Exec. Com.)

b. The Vice-Chair: Performs all duties and exercises all powers of the office of Chair in the absence of the Chair; assists the Chair and performs other duties as the Chair delegates.

c. The Secretary: Is responsible for recording, emailing to Administrative Assistant, and preserving the minutes of the Council meetings and other official proceedings of the Council.

d. The Treasurer: Is responsible for recording, publishing, and preserving the documentation of all financial transactions of the Council; provides financial reports at each meeting of the OLNC Board and the Council; provides a five year summary of year end statements for budget and presents the summary by January 10 of each year; and prepares an annual financial statement subject to audit.

e. The Immediate Past Chair: Carries out duties as assigned by the Chair and acts as a liaison and communication bridge from previous OLNC Board activities.

ARTICLE SEVEN
Executive Committee

7.1 a. The Executive Committee is composed of the elected officers of the Council.

b. The Executive Committee may authorize action in the name of the Council when issues arise which require prompt response and an OLNC Board meeting cannot be convened. Such actions may include authorization for expenditures up to a maximum of $1000. The Council and OLNC Board will be notified of such actions by email immediately.

c. The Executive Committee provides leadership of the OLNC Board by issuing agendas and minutes of meetings held for the betterment of the Old Louisville neighborhood (e-mail distribution, written, etc.).

ARTICLE EIGHT
Board of Directors

8.1 a. The Board of Directors (OLNC Board) is composed of the Executive Committee, one representative from each member Neighborhood Association located within the boundaries of Old Louisville. Members shall serve without compensation.

b. Each Neighborhood Association shall designate its representative and alternate by submitting those names in writing via e-mail to the Administrative Assistant at the Information Center.

c. The OLNC Board reviews activities of committees and may take action in the name of the Council.

d. The Executive Committee will present a budget proposal at the January meeting of the OLNC Board with voting to be completed at the February meeting of the OLNC Board.

e. The OLNC Board will set dues for membership.

f. The OLNC Board may authorize legal action with a 60% vote of board members.

g. The OLNC Board, with input from the Executive Committee, is empowered to conduct the business affairs of the Council, including but not limited to the hiring and firing of staff, the acquisition and disposal of property, and is entitled to all other rights provided by statute.

h. Any decisions or actions approved by the OLNC Board shall be disseminated to the general membership through OLNC Board minutes and representatives from each neighborhood.

i. The OLNC Board will establish committees deemed necessary to fulfill the objectives and purposes of the Council.

j. If vacancies occur among the elected officers, the OLNC Board will elect officers to serve out the remainders of any terms.

k. Conflict of Interest Provision

No member of the OLNC Board of Directors shall participate in any discussion or vote on any matter in which he or she or a member of his or her immediate family has potential conflict of interest due to having material economic involvement regarding the matter being discussed. When such a situation presents itself, the director must announce his or her potential conflict, disqualify himself or herself, and be excused from the meeting until discussion is over on the matter involved. The President of the meeting is expected to make inquiry if such conflict appears to exist and the board member has not made it known.

ARTICLE NINE
Meetings

9.1 Council membership meetings:

a. Membership meetings of the Council will be held at least four times each year. The OLNC Board shall set the dates for the regular meetings of the Council, in January of each year. The November meeting is the annual meeting.

b. Special meetings can be called by the Chair, or upon written request of the majority of the OLNC Board, or upon written request of ten percent of the Council members eligible to vote. The purpose of special meetings shall be stated in the call/email. Except in emergencies, at least forty-eight hours notice of a special meeting shall be given to each voting member of the Council.

c. The Council may reconsider any actions of the OLNC Board or Executive Committee.

d. A quorum is five percent of the members of record of the member Neighborhood Associations.

9.2 Board of Directors' (OLNC BOARD) meetings:

a. Regular meetings will be held at least eight times per year. The incoming and outgoing Executive Committee will meet prior to the January OLNC Board meeting to provide continuity of information.

b. Special meetings of the OLNC Board may be called by the Chair or by written request of the majority of the OLNC Board.

c. Meetings are open to the general membership. Voting on issues before the OLNC Board is limited to the OLNC Board members.

d. A quorum consists of one-third of the members, providing that at least three Neighborhood Association representatives are present.

9.3 Executive Committee meetings:

a. Meetings will be called by the Chair as needed, or upon written request of two other officers.

b. A quorum consists of three members.

ARTICLE TEN
Voting

10.1 At any Council membership meeting, members are entitled to vote on any issue if they are individual (dues paid 30 days prior) or a member of a dues paying Neighborhood Association (30 days prior).

10.2 At any OLNC Board meeting, the following are entitled to vote on any issue.

a. Officers of the Council: one vote each, except for the Chair who votes only in the event of a tie.

b. Representatives of each member Neighborhood Association (dues paid and a designated representative): One vote each.

10.3 At any Executive Committee meeting, each officer has one vote.

10.4 Neither proxy nor absentee votes are allowed at any meeting.

ARTICLE ELEVEN
Elections and Removal from Office

11.1 Elections:

a. Each year, at the annual, November meeting, the Neighborhood Council shall elect its officers.

b. Each year, of an Election, by September 30th, the Chair will appoint a Nominating Committee consisting of at least five voting members of the Neighborhood Council. The Nominating Committee will recommend qualified persons for offices and present nominations to the OLNC Board at the October meeting. Following the Nominating Committee's report, nominations may be made from the floor.

c. The Nominating Committee will provide the Secretary with a list of nominees. The Secretary is responsible for distributing the list to the voting members of the OLNC Board in October who will then distribute via e-mail, through the Journal and designated representatives to voting members of the Neighborhood Council.

d. Elections are supervised by the Nominating Committee. A simple majority will elect officers. If no candidate for an office receives a majority of the votes, a run-off election shall be conducted between the two highest vote earners. Winners of the elections are announced at the November meeting. They take office on January 1 of the following year.

11.2 Removal:

a. An elected officer may be removed for neglect of duty or violation of trust in the performance of duties.

b. A petition stating the reasons for removal and bearing signatures of at least ten percent of the voting members of the Neighborhood Council, or of at least 75 percent of the Board, shall be presented to any member of the Executive Committee. Such petitions shall be delivered to the next OLNC Board meeting.

c. The OLNC Board will certify that the signers are voting members of the Neighborhood Council.

d. The certified petition shall be presented for a vote at the next Council meeting. A two-thirds vote of the membership (dues paid) in good standing is required for removal.

ARTICLE TWELVE
Committees

12.1 Membership on committees is open to any Neighborhood Council member as defined in Article **5.** Neighborhood Associations are encouraged to have representatives on each committee.

12.2 Each committee shall be responsible for maintaining appropriate documentation (including minutes of meetings and records of expenditures) of its activities and may select officers to assist the committee chair with committee's duties.

12.3 Each committee shall make reports to the OLNC Board as necessary or as requested by the OLNC Board.

12.4 Committees relating to issues of importance to the Council may include: Land use and zoning; St. James Art Show; rehabilitation/revitalization; code enforcement; transportation; parks; and safety.

12.5 When an issue merits input from ZALU and PIC, the OLNC Chair may appoint representatives from both groups to review the issue and bring recommendations and proposed actions back the OLNC for review and consideration.

ARTICLE THIRTEEN
Rules of Procedure

13.1 Robert's Rules of Order, latest edition, shall govern the procedure of all meetings of the Neighborhood Council. In the case of conflict, these Bylaws take precedence.

13.2 A parliamentarian may be appointed by the Chair.

ARTICLE FOURTEEN
Amendments to the Bylaws

14.1 Proposed amendments to these Bylaws may be presented by any member at a Neighborhood Council membership meeting or OLNC Board meeting for the first reading.

14.2 Voting on proposed amendments will be held no earlier than four weeks after the first reading, at a regular or special Neighborhood Council meeting. Amendments to these Bylaws require a majority vote of Neighborhood Council Representatives (The Board).

ARTICLE FIFTEEN
Dissolution

15.1 Should the dissolution of the Neighborhood Council become necessary, the OLNC Board shall distribute the Neighborhood Council's assets to organizations

having similar objectives. This must be with a 75% approval vote of the entire OLNC Board (not just those present).

Changes to these Bylaws were approved by the Neighborhood Council membership on October 26 of 2010.

Chair_____Joan S. Stewart_____

Secretary___Erika Wolfe_____

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

SpringFest is a Street Festival for the entirety of Louisville Metro. This is the 4th year for the Festival. Heretofore the Festival has been hosted by and controlled by the Old Louisville Neighborhood Council...this year The Toonerville Trolley Neighborhood Association with major help from The Ouerbacker Court Arts and Crafts Neighborhood Association has taken ownership of the Festival.

The Festival is a time for the East side of Old Louisville to be showcased. The Festival offers a KidZone (free), food/food trucks, vendor booths, music and fun on May 15 and 16, 2015 from 6-9pm on the 15th and noon to 9pm on the 16th for those in Louisville Metro who choose to attend. In that all in the Metro are invited to participate...participants will be a microcosm of Louisville Metro. It is a free to attend festival. The booths will vary from Art to a dunking booth and everything in-between. In other words, something for everyone.

For the three previous years, the event has been well attended.

The event permit is in the works...Ms Knigge is being most helpful. Our flyers and banners are in the process of being planned and designed.

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):

The funds being requested will be spent as follows:

Security.....$1500.00
Electrician.....$1200.00
Saw Horses for traffic control..$100.00
Portopots $350.00
Golf Carts(2).....$165.00
Boy-Scouts (cleanup).....$550.00
LG&E $150.00
Picnic Tables/Tablecloths.....$382.50
Saw Horses for traffic control...$100.00
Advertising/Contingcy.....$277.50
The Event Permit.....$75.00
Emergency Medical Kit.....$50.00

Total----------$4900.00

Applicant's Initials JSS

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

If there is a profit ...the funds will be earmarked in The Toonerville Trolley Neighborhood Association Bank Account/Budget for the Beautification (flowers, flower pots, trees, benches, period lighting, banners..and so on)of the area of Old Louisville bounded by Floyd Street and 1st Street going East/West AND Hill Street and Kentucky Street going South/North.

This Festival is PR for the Neighborhood as well as a fund-raiser for those Neighborhood Associations providing the members of the planning committee and doing the work of organizing and logistics for a special Festive SPRING Event in Old Louisville.

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

☐ Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

☐ The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

Applicant's Initials

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

In that this is a free to attend festival with a free to attend KidZone, it will serve the segment of the Louisville Metro population who are monetarily challenged in the same way it serves those who are not.
This Festival is Neighborhood building as well as City building. The Festival introduces the East side of Old Louisville to those who have never see or paid attention to it...the area of smaller more affordable homes in Old Louisville...primarily single family homes! Attending SpringFest is a history lesson! Hopefully this year guided tours will happen during SpringFest for a modest fee. SpringFest is a place to have fun for the populace and a venue for Neighborhood Associations and other non-profits for fundraising.

If there is good attendance...it will be deemed a success. If the people act as though they are having fun...SUCCESS!
As far as vendors...if the vendors return for the 2016 SpringFest, that will be measurable Data which can be analyzed...further, there is a survey for each vendor to complete on the website so that improvements can be made to the festival in succeeding years.

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

The SpringFest Committee works with all twelve Old Louisville Neighborhood Associations , The OLNC Executive Board and Officers, all of our Sponsors...and really important...our Councilman, David James.

The Committee does all the planning and logistics as well as the majority of the set up and tear down...so, the Sponsors donate, the Associations (some) donate and members volunteer as docents, The Executive Board of the OLNC and Officers offer support and expertise with bookkeeping...

Applicant's Initials

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1 Proposed Metro Funds	Column 2 Non-Metro Funds	Column (1+2)=3 Total Funds
A: Personnel Costs Including Benefits			
B: Rent/Utilities			
C: Office Supplies			
D: Telephone			
E: In-town Travel			
F: Client Assistance (Attach Detailed List)			
G: Professional Service Contracts			
H: Program Materials			
I: Community Events & Festivals (Attach Detail List)	4900	13100	18000
J: Machinery & Equipment	See section 4 part b for the budget		
K: Capital Project			
L: Other Expenses (Attach Detail List)			
TOTAL PROGRAM/PROJECT FUNDS	4900	13100	18000
% of Program Budget	27.2 %	72.8 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	
Fees Collected from Program Participants	9750
Other (please specify)	Sponsors 3350
Total Revenue for Column 2 Expenses **	13100

**Total of Column 1 MUST match "Total Request on Page 1, Section 2"*

***Must equal or exceed total in column 2.*

Applicant's Initials EAC FOR MR

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
Planning meeting space Kling Center	$500.00	10 meetings @$50 per meeting
Leo (media Sponsor)	$1800.00	For $1200.00 we get $3000.00 worht of Media
Banners and yard signs	$5000.00	Anhauser Busch donating, banners yard signs, a large Tent,
Docents	$800.00	40 volunteers at $20 per hour
Total Value of In-Kind (***to match Program Budget Line Item.*** Volunteer Contribution &Other In Kind)	$8100.00	

*** DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK**

Agency Fiscal Year Start Date: January 1

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO ☒ YES ☐

If YES, please explain:

Applicant's Initials

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.

Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

SECTION 7 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:	Howard Rosenberg, Chair, OLNC Joan Scaggs Stewart	**Date:**	January 23, 2015
Legal Signatory: (please print):	Joan Scaggs Stewart	**Title:**	SFCommittee – Logistics person
Phone:	5022593042	**Extension:**	
Email:	jagsare great@yahoo.com		

Applicant's Initials JSS

IRS Department of the Treasury
Internal Revenue Service
P.O. Box 2508
Cincinnati OH 45201

In reply refer to: 0248153327
Jan. 27, 2015 LTR 4168C 0
[redacted] 000000 00
00021940
BODC: TE

THE OLD LOUISVILLE NEIGHBORHOOD
COUNCIL INC
1340 S 4TH ST IN CENTRAL PARK
LOUISVILLE KY 40208

30796

Employer Identification Number: [redacted]
Person to Contact: Ms. Espelage
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your Jan. 15, 2015, request for information regarding your tax-exempt status.

Our records indicate that you were recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in October 1984.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Please refer to our website www.irs.gov/eo for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.

Old Louisville Neighborhood Council
SpringFest, ~~2012 2013~~ 2015

	Jan - Dec 15	Budget	
Ordinary Income/Expense			
Income			Dan's Notes for 2015
43400 · Direct Public Support			(1) 2014 data is currently being re
43440 · Gifts in Kind - Goods	0.00	0.00	(2) No band sponsorships or band
43450 · Individ, NA, Business Contribs	0.00	0.00	(3) Programs will not be used thu:
43460 · Sponsorships			
43460.1 · Band Sponsor	0.00	0.00	
43460.2 · Bronze Sponsor - $250	1,000.00	1,000.00	
43460.3 · Gold Sponsor - $1000	1,000.00	1,000.00	
43460.4 · Patron Sponsor - $25	500.00	500.00	
43460.5 · Platinum Sponsor - $2500	5,000.00	5,000.00	
43460.7 · Program Sponsor - $10,000	10,000.00	10,000.00	
43460.8 · Silver Sponsor - $500	1,000.00	1,000.00	
43460.9 · Yard Sign Sponsor - $50	1,000.00	1,000.00	
43460.a · Supporting - $100	500.00	500.00	
44000 · Grants	0.00	0.00	
47000 · Program Income			
47210 · Advertising Income	0.00	2,500.00	
47240 · Booth Rental	0.00	0.00	
47240.1 · Flat Fee	9,750.00	9,750.00	Booth fees ($85-20 for permit)*15
47240.2 · % of Sales	8,500.00	8,500.00	percent of sales from Festival fooc
47250 · Food/Alcohol Sales			
47250.1 · Alcohol Sales	12,500.00		
47250.2 · Cost of Goods Sold - Alcohol	2,500.00		
47250.3 · Food Sales	2,000.00		
47400 · T-shirts			
47400.1 · Sales	0.00	0.00	* consider asking Ouerbacker to o
47400.2 · Cost of Goods Sold	0.00	0.00	
Total 47400 · T-shirts	0.00	0.00	
Total Income	55,250.00	40,750.00	
Expense			
50000 · Support of Other Organizations	500.00	500.00	Dismas, donation to Kosair, etc.
60000 · Program Expenses			
60075 · Bad Debt Expense	0.00		
60050 · Amort. of Start-Up Costs	0.00	711.13	
60100 · Bands	0.00	0.00	
60150 · Bank Fees			
60150.1 · MC/VISA Charges	50.00	50.00	
60150 · Bank Fees - Other	0.00		
60200 · Cleaning/Maintenance	550.00	550.00	
60250 · Depreciation Expense	0.00	115.74	
60300 · Equipment Rental	3,500.00	3,500.00	electrical, golf carts, etc.

Old Louisville Neighborhood Council
SpringFest, 2012 2013

	Jan - Dec 15	Budget	
60400 · KidsZone	2,900.00	2,900.00	
60500 · Labor	250.00	250.00	
60700 · License & Fees	3,000.00	3,000.00	metro and vendor permits
60800 · Marketing			
60800.1 · Ads	2,500.00	2,500.00	Leo, etc.
60800.2 · Banners & Posters	0.00	0.00	AB will print
60800.3 · Brochures	0.00	0.00	Not applicable in 2015
60800.6 · Radio	1,250.00	1,250.00	will work on sponsorships / donati
60800.7 · Signs	250.00	250.00	misc signage
60800.9 · Web Related	500.00	500.00	Facebook campaign
60800 · Marketing - Other	500.00	500.00	work with hotels, newsletters, etc
61100 · Postage & Mail Processing	50.00	50.00	DAN I ADDED SOME POSTAGE
61150 · Printing and Copying	0.00	0.00	supplies will be donated
61200 · Professional Fees	2,250.00	2,250.00	security
61300 · Prizes	0.00	0.00	no applicable in 2015
61301 · Prize (Gift-In-Kind)	0.00	0.00	
61400 · Refreshments	150.00	150.00	waters for vendors
61700 · Supplies	250.00	250.00	misc suppolies for event
61800 · Uniform	0.00	0.00	
61900 · Utilities	300.00	300.00	
70000 · Operating Expenses			
71000 · Insurance			
71000.1 · Insurance - Liability, D and O	500.00	500.00	TTNA has GL insurance, but will ne
71000 · Insurance - Other	0.00	0.00	
Total Expense	19,250.00	20,076.87	
Net Income	36,000.00	20,673.13	

Budget OLNC 2015

OLNC 2015 Budget For Approval

Howard Rosenberg

Revenue

Victorian Ghost Walk	18,000
Holiday Home Tour	40,000
Executive Direct from NA[1]	28,000
Grants/Public Support [2]	16,500
Central Park Improvement[3]	3,500
Domine Tours & Books	14,000
SpringFest[3]	40,750
NA Dues	325
Community Garden[3]	1,500

Total Revenue **162,575**

Expenses

Victorian Ghost Walk

Gift Shop	825	
Marketing	450	
Refreshments	900	
Square Fees	500	
Supplies	2,175	
		4,850

Holiday Home Tour

Equipment Rental	1,450	
Labor	240	
Marketing	6,075	
Postage	900	
Printing & Copying	375	
Professional Fees	300	
Refreshments	900	
Square Fees	775	
Supplies	475	
		11,490

Central Park Improvement[3]

Facilities & Equipment	1,000	
Operations	1,200	
Refreshments	1,300	
		3,500

Community Garden[3]

Facilities & Equipment	1,000	
Operations	150	
Utilities	350	
		1,500

Domine Tours & Books

DD Book Split	1,400	
DD Tour Split	3,450	
Square Fees	225	
Tour Guide Fees	4,450	
		9,525

SpringFest[3]			
Support of Organizations	500		
Amortization of Startup	711		
Processing Fees	50		
Cleaning & Maintenance	550		
Depreciation Expense	116		
Equipment Rental	3,500		
Kids Zone	2,900		
Labor	250		
License & Fees	3,000		
Marketing	5,000		
Professional Fees	2,250		
Refreshments	150		
Misc Supplies	250		
Utilities	300		
Insurance	500		
SpringFest 2016 Grant[4]	20,723		
		40,750	
Admin			
Cleaning	1,170		
Computer	500		
CPA	2,500		
Equipment Projector	1,500		
Insurance	1,000		
Leasehold Improvements[5]	13,000		
Memberships	150		
Misc	1,890		
Payroll - Admin	14,560		
Payroll - Executive Dir	35,000		
Payroll - Tax & Insurance	12,390		
Phones & Internet	3,000		
Postage	250		
Printing	1,050		
Supplies	2,500		
Website	500		
		90,960	
Total Expenses			**162,575**
Net Income[6]			-

Footnotes:

1 Income is a 3 year declining grant from neighborhood associations for the ED Salary
2 This is a milestone for the ED of 10,000 plus a donation to open last 2 OLIC windows
3 These 3 programs shall be fully self supporting and may not spend more than generated
4 2016 Grant shall transfer 2015 revenues less expenses to Toonerville NA
5 Leasehold improvement will be a balance sheet adjustment and will not track on P&L
6 Net Income for 2016 as shown is balanced

Old Louisville Neighborhood Council

Old Louisville
Information Center
1340 South Fourth Street
in Central Park
Louisville, Kentucky 40208
Telephone 502 635-5244
E-mail: olnc@bellsouth.net

January 27, 2015

Re: The Old Louisville Neighborhood Council current board members with expiration dates

The following list is the current 2015 board members of the Old Louisville Neighborhood Council:

Association	Board Member	Expiration
Belgravia Court Association	Peggy Heimerdinger	12/31/2015
Central Park West Association	Kate Meador	12/31/2015
Garvin Gate Association	Ken Maguire	12/31/2015
Limerick Association	Stephen Peterson	12/31/2015
Ouerbacker Court Association	Roz Fishman	12/31/2015
St James Court Association	Darlene Metts	12/31/2015
Second Street Association	Jean Christensen	12/31/2015
South Fourth Street Association	Jim Brooks	12/31/2015
1400 Block South Third Street Association	Marcus Riggs	12/31/2015
The 1300 Association	Chuck Anderson	12/31/2015
Toonerville Trolley Association	Doug Humble	12/31/2015
Treyton Oak Towers Association	Irene Spicer	12/31/2015
West St Catherine Association	Scott Gilbertson	12/31/2015

Title	Board Member	Expiration
Chairman	Howard Rosenberg	12/31/2015
Vice-Chairman	Jason Scott	12/31/2015
Secretary	Leah Weisman	12/31/2015
Treasurer	Eric Cowley	12/31/2015

Sincerely,

Eric A. Cowley
Treasurer

Old Louisville Neighborhood Council

Old Louisville
Information Center
1340 South Fourth Street
in Central Park
Louisville, Kentucky 40208
Telephone 502 635-5244
E-mail: olnc@bellsouth.net

February 05, 2015

RE: Financial Reports

To Whom It May Concern,

Enclosed with this correspondence are the 2014 Balance Sheet and Income Statement as of 12/31/2014 for the Old Louisville Neighborhood Council, Inc. These statements have been prepared by me and the reports were accepted by the OLNC Board of Directors on January 27, 2015. I have initialed and dated each page of both reports to ensure validity. Please contact me with any questions or concerns regarding the enclosed information.

Sincerely,

Eric A. Cowley
Treasurer

Old Louisville Neighborhood Council INC
Balance Sheet
As of December 31, 2014

	Dec 31, 14
ASSETS	
Current Assets	
Checking/Savings	
10000 · Checking/Savings	
10500 · Square Account	440.54
10030 · Chase Checking	52,359.86
10031 · Chase Savings	27,454.94
10020 · Friends SYB Checking	8,536.00
10050 · Petty Cash	35.00
Total 10000 · Checking/Savings	88,826.34
Total Checking/Savings	88,826.34
Accounts Receivable	
11000 · Accounts Receivable	5,638.70
Total Accounts Receivable	5,638.70
Other Current Assets	
12000 · Undeposited Funds	6,154.17
Total Other Current Assets	6,154.17
Total Current Assets	100,619.21
Fixed Assets	
16000 · Accumulated Depreciation	-595.52
13000 · Legacy Leasehold Improvements	50,779.32
15000 · Furniture and Equipment	2,228.70
Total Fixed Assets	52,412.50
Other Assets	
17500 · Amortization	-1,422.26
17000 · New Program Startup Costs	3,555.65
18650 · Pre-Paid Asset	2,150.74
Total Other Assets	4,284.13
TOTAL ASSETS	157,315.84

EAC
2/5/15

Old Louisville Neighborhood Council INC
Balance Sheet
As of December 31, 2014

	Dec 31, 14
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Accounts Payable	
19000 · Accounts Payable	1,683.02
Total Accounts Payable	1,683.02
Credit Cards	
20000 · Chase Credit Card	3,588.71
Total Credit Cards	3,588.71
Other Current Liabilities	
21000 · Clearing Account	681.00
24000 · Payroll Liabilities	154.36
25500 · Sales Tax Payable	613.42
Total Other Current Liabilities	1,448.78
Total Current Liabilities	6,720.51
Long Term Liabilities	
27200 · Other Liabilities	50.00
Total Long Term Liabilities	50.00
Total Liabilities	6,770.51
Equity	
31500 · Temp. Restricted Net Assets	
31501 · Tennis Courts	8,536.00
Total 31500 · Temp. Restricted Net Assets	8,536.00
32000 · Unrestricted Net Assets	113,490.54
Net Income	28,518.79
Total Equity	150,545.33
TOTAL LIABILITIES & EQUITY	157,315.84

EAC
2/5/15

Old Louisville Neighborhood Council INC
Profit & Loss
January through December 2014

	Jan - Dec 14
Ordinary Income/Expense	
Income	
43400 · Direct Public Support	
43450 · Individ, NA, Business Cntrbtns	15,075.55
Total 43400 · Direct Public Support	15,075.55
43460 · Sponsorships	
43460.3 · Gold - $1000	2,000.00
43460.4 · Patron - $25	25.00
43460.8 · Silver - $500	500.00
43460.b · Yard Sign - $50	350.00
Total 43460 · Sponsorships	2,875.00
44000 · Grant Income	5,850.00
45000 · Investments	
45030 · Interest	1.87
Total 45000 · Investments	1.87
46400 · Other Types of Income	
46420 · Credit Card Rewards	18.81
46415 · Membership Dues	0.00
46430 · Miscellaneous Revenue	141.06
Total 46400 · Other Types of Income	159.87
47000 · Program Income	
47210 · Advertising	192.00
47240 · Booth Rental	7,110.00
47250 · Food/Alcohol Sales	
47250.1 · Alcohol Sales	7,851.32
47250.2 · Cost of Goods Sold - Alcohol	-1,621.70
Total 47250 · Food/Alcohol Sales	6,229.62
47260 · Gift Shop	1,509.45
47400 · T-shirt Sales	
47400.1 · Sales	1,330.16
Total 47400 · T-shirt Sales	1,330.16
47500 · Ticket Sales	55,611.01
47600 · Program Service Fees	620.00
Total 47000 · Program Income	72,602.24
47100 · Event Income	
47110 · Holiday House Tour	
47111 · Gift Shop	
47111.1 · Gift Shop Sales	94.34

EAC 2/5/15

Old Louisville Neighborhood Council INC
Profit & Loss
January through December 2014

	Jan - Dec 14
Total 47111 · Gift Shop	94.34
47113 · Sponsorship	5,050.00
Total 47110 · Holiday House Tour	5,144.34
Total 47100 · Event Income	5,144.34
Total Income	101,708.87
Expense	
67000 · Payroll Tax Expense	39.97
60000 · Program Expenses	
60151 · Square Processing Fee	1,762.12
61800 · Tours	3,376.84
60100 · Bands	3,700.00
60150 · Bank Fees	14.02
60200 · Cleaning and Maintenance	550.00
60300 · Equipment Rental	8,319.20
60700 · License and Fees	3,055.80
60800 · Marketing	
60800.1 · Ads	2,558.00
60800 · Marketing - Other	3,979.24
Total 60800 · Marketing	6,537.24
61100 · Postage and Mail Processing	934.83
61200 · Professional Fees	2,472.00
61400 · Refreshments	2,530.50
61700 · Supplies	3,461.03
Total 60000 · Program Expenses	36,713.58
66900 · Reconciliation Discrepancies	126.85
60900 · Business Expenses	
60920 · Registration/Permit Fees	266.66
60930 · Bank Fees	133.91
60950 · Processing Fees	
60950.3 · Square Fees	3.84
Total 60950 · Processing Fees	3.84
60990 · Discrepancies	344.58
Total 60900 · Business Expenses	748.99
62100 · Contract Services	
62110 · Accounting Fees	470.00
62150 · Computer Support Fees	939.99
62160 · Outside Contract/Labor Services	1,000.00

EAC 2/5/15

Old Louisville Neighborhood Council INC
Profit & Loss
January through December 2014

	Jan - Dec 14
Total 62100 · Contract Services	2,409.99
62800 · Facilities and Equipment	
62886 · Tools, Material, and Equipment	806.80
62805 · Building Renovations	41.01
62808 · Community Garden	3,170.36
62840 · Equip Rental and Maintenance	802.44
62885 · Park Grounds	585.26
Total 62800 · Facilities and Equipment	5,405.87
65000 · Operations	
60510 · Finance Charge	40.74
65006 · Appreciation Gifts	251.76
65010 · Books, Subscriptions, Reference	50.00
65011 · Cleaning & Maintenance	710.00
65014 · Computer/Tech Supplies	104.78
65015 · Program Event Expense	2,783.14
65017 · Gift Shop	876.13
65025 · Postage, Mailing Service	279.23
65030 · Printing and Copying	1,779.77
65032 · Professional Fees	380.00
65035 · Refreshments	1,659.01
65040 · Supplies	1,528.42
65060 · Telephone, Telecommunications	1,492.12
65090 · Utilities	386.09
65100 · Marketing	
65100.9 · Web Related	1,750.00
Total 65100 · Marketing	1,750.00
65000 · Operations - Other	44.97
Total 65000 · Operations	14,116.16
65200 · Other Types of Expenses	
65222 · Special Other Insurances	1,492.43
Total 65200 · Other Types of Expenses	1,492.43
66000 · Admin Payroll Expenses	12,118.94
68300 · Travel and Meetings	17.30
Total Expense	73,190.08
Net Ordinary Income	28,518.79
Net Income	28,518.79

EAC
2/5/15

Forms 990 / 990-EZ Return Summary

For calendar year 2013, or tax year beginning , and ending

OLD LOUISVILLE INFORMATION CENTER [redacted]

Net Asset / Fund Balance at Beginning of Year			27,751
Revenue			
Contributions	14,518		
Program service revenue	545		
Investment income	5		
Capital gain / loss			
Fundraising / Gaming:			
Gross revenue			
Direct expenses			
Net income			
Other income			
Total revenue		15,068	
Expenses			
Program services			
Management and general			
Fundraising			
Total expenses		20,137	
Excess / (deficit)			-5,069
Changes			
Net Asset / Fund Balance at End of Year			22,682

Reconciliation of Revenue

Total revenue per financial statements	
Less:	
Unrealized gains	
Donated services	
Recoveries	
Other	
Plus:	
Investment expenses	
Other	
Total revenue per return	

Reconciliation of Expenses

Total expenses per financial statements	
Less:	
Donated services	
Prior year adjustments	
Losses	
Other	
Plus:	
Investment expenses	
Other	
Total expenses per return	

Balance Sheet

	Beginning	Ending	Differences
Assets	27,790	23,010	
Liabilities	39	328	
Net assets	27,751	22,682	-5,069

Miscellaneous Information

Amended return	
Return / extended due date	11/15/14
Failure to file penalty	

Form **8879-EO**

IRS e-file Signature Authorization for an Exempt Organization

OMB No. 1545-1878

2013

For calendar year 2013, or fiscal year beginning , 2013, and ending , 20

Department of the Treasury
Internal Revenue Service

u **Do not send to the IRS. Keep for your records.**
u **Information about Form 8879-EO and its instructions is at www.irs.gov/form8879eo.**

Name of exempt organization

OLD LOUISVILLE INFORMATION CENTER

Employer identification number

[redacted]

Name and title of officer

ERIC COWLEY
TREASURER

Part I Type of Return and Return Information (Whole Dollars Only)

Check the box for the return for which you are using this Form 8879-EO and enter the applicable amount, if any, from the return. If you check the box on line **1a, 2a, 3a, 4a,** or **5a,** below, and the amount on that line for the return being filed with this form was blank, then leave line **1b, 2b, 3b, 4b,** or **5b,** whichever is applicable, blank (do not enter -0-). But, if you entered -0- on the return, then enter -0- on the applicable line below. **Do not** complete more than 1 line in Part I.

1a	Form 990 check here ▶ ☐	**b**	**Total revenue,** if any (Form 990, Part VIII, column (A), line 12)	**1b**
2a	Form 990-EZ check here ▶ ☒	**b**	**Total revenue,** if any (Form 990-EZ, line 9)	**2b** 15,068
3a	Form 1120-POL check here ▶ ☐	**b**	**Total tax** (Form 1120-POL, line 22)	**3b**
4a	Form 990-PF check here ▶ ☐	**b**	**Tax based on investment income** (Form 990-PF, Part VI, line 5)	**4b**
5a	Form 8868 check here ▶ ☐	**b**	**Balance Due** (Form 8868, Part I, line 3c or Part II, line 8c)	**5b**

Part II Declaration and Signature Authorization of Officer

Under penalties of perjury, I declare that I am an officer of the above organization and that I have examined a copy of the organization's 2013 electronic return and accompanying schedules and statements and to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the organization's electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the organization's return to the IRS and to receive from the IRS **(a)** an acknowledgement of receipt or reason for rejection of the transmission, **(b)** the reason for any delay in processing the return or refund, and **(c)** the date of any refund. If applicable, I authorize the U.S. Treasury and its designated Financial Agent to initiate an electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the organization's federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment. I have selected a personal identification number (PIN) as my signature for the organization's electronic return and, if applicable, the organization's consent to electronic funds withdrawal.

Officer's PIN: check one box only

☒ I authorize Mary Morrow & Associates to enter my PIN [redacted] as my signature
ERO firm name — Enter five numbers, but do not enter all zeros

on the organization's tax year 2013 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I also authorize the aforementioned ERO to enter my PIN on the return's disclosure consent screen.

☐ As an officer of the organization, I will enter my PIN as my signature on the organization's tax year 2013 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I will enter my PIN on the return's disclosure consent screen.

Officer's signature } Date } 08/05/14

Part III Certification and Authentication

ERO's EFIN/PIN. Enter your six-digit electronic filing identification number (EFIN) followed by your five-digit self-selected PIN.

[redacted]
do not enter all zeros

I certify that the above numeric entry is my PIN, which is my signature on the 2013 electronically filed return for the organization indicated above. I confirm that I am submitting this return in accordance with the requirements of **Pub. 4163,** Modernized e-File (MeF) Information for Authorized IRS e-file Providers for Business Returns.

ERO's signature } Date } 08/05/14

ERO Must Retain This Form—See Instructions
Do Not Submit This Form To the IRS Unless Requested To Do So

Form **990-EZ**

Department of the Treasury
Internal Revenue Service

Short Form
Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

} Do not enter Social Security numbers on this form as it may be made public.

} Information about Form 990-EZ and its instructions is at www.irs.gov/form990.

OMB No. 1545-1150

2013

Open to Public Inspection

A For the 2013 calendar year, or tax year beginning , and ending

B Check if applicable:
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Terminated
- ☐ Amended return
- ☐ Application pending

C Name of organization
OLD LOUISVILLE INFORMATION CENTER

Number and street (or P.O. box, if mail is not delivered to street address) | Room/suite
1340 SOUTH FOURTH ST-IN CENTRAL PAR

City or town, state or province, country, and ZIP or foreign postal code
LOUISVILLE KY 40208

D Employer identification number
[redacted]

E Telephone number
502-635-5244

F Group Exemption Number u

G Accounting Method: ☐ Cash ☒ Accrual Other (specify) u

H Check u ☒ if the organization is **not** required to attach Schedule B (Form 990, 990-EZ, or 990-PF).

I **Website:** u N/A

J **Tax-exempt status** (check only one) — ☒ 501(c)(3) ☐ 501(c)( ) (insert no.) ☐ 4947(a)(1) or ☐ 527

K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other

L Add lines 5b, 6c, and 7b, to line 9 to determine gross receipts. If gross receipts are $200,000 or more, or if total assets (Part II, column (B) below) are $500,000 or more, file Form 990 instead of Form 990-EZ u $ 15,068

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (see the instructions for Part I)

Check if the organization used Schedule O to respond to any question in this Part I ☒

Revenue	1	Contributions, gifts, grants, and similar amounts received		1	14,518
	2	Program service revenue including government fees and contracts		2	545
	3	Membership dues and assessments		3	
	4	Investment income		4	5
	5a	Gross amount from sale of assets other than inventory	5a		
	b	Less: cost or other basis and sales expenses	5b		
	c	Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a)		5c	
	6	Gaming and fundraising events			
	a	Gross income from gaming (attach Schedule G if greater than $15,000)	6a		
	b	Gross income from fundraising events (not including $ of contributions from fundraising events reported on line 1) (attach Schedule G if the sum of such gross income and contributions exceeds $15,000)	6b		
	c	Less: direct expenses from gaming and fundraising events	6c		
	d	Net income or (loss) from gaming and fundraising events (add lines 6a and 6b and subtract line 6c)		6d	
	7a	Gross sales of inventory, less returns and allowances	7a		
	b	Less: cost of goods sold	7b		
	c	Gross profit or (loss) from sales of inventory (Subtract line 7b from line 7a)		7c	
	8	Other revenue (describe in Schedule O)		8	
	9	**Total revenue.** Add lines 1, 2, 3, 4, 5c, 6d, 7c, and 8	▶	9	15,068
Expenses	10	Grants and similar amounts paid (list in Schedule O)		10	
	11	Benefits paid to or for members		11	
	12	Salaries, other compensation, and employee benefits		12	
	13	Professional fees and other payments to independent contractors		13	2,816
	14	Occupancy, rent, utilities, and maintenance		14	
	15	Printing, publications, postage, and shipping		15	
	16	Other expenses (describe in Schedule O)		16	17,321
	17	**Total expenses.** Add lines 10 through 16	▶	17	20,137
Net Assets	18	Excess or (deficit) for the year (Subtract line 17 from line 9)		18	-5,069
	19	Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)		19	27,751
	20	Other changes in net assets or fund balances (explain in Schedule O)		20	
	21	Net assets or fund balances at end of year. Combine lines 18 through 20	▶	21	22,682

Part II Balance Sheets (see the instructions for Part II)
Check if the organization used Schedule O to respond to any question in this Part II [X]

	(A) Beginning of year		(B) End of year
22 Cash, savings, and investments	27,460	22	22,812
23 Land and buildings	0	23	
24 Other assets (describe in Schedule O)	330	24	198
25 **Total assets**	27,790	25	23,010
26 **Total liabilities** (describe in Schedule O)	39	26	328
27 **Net assets or fund balances** (line 27 of column (B) **must** agree with line 21)	27,751	27	22,682

Part III Statement of Program Service Accomplishments (see the instructions for Part III)
Check if the organization used Schedule O to respond to any question in this Part III [X]

What is the organization's primary exempt purpose?
See Schedule O

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. In a clear and concise manner, describe the services provided, the number of persons benefited, and other relevant information for each program title.

Expenses (Required for section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts; optional for others.)

28 VARIOUS NEIGHBORHOOD EVENTS TO ENHANCE QUALITY OF LIFE AND SHOWCASE THE NEIGHBORHOODS UNIQUE HISTORY AND ARCHITECTURE INCUDING SPRING FEST, HOLIDAY HOUSE TOUR AND EDUCATIONAL PROGRAMS
(Grants $) If this amount includes foreign grants, check here u [ ] 28a 2,928

29 OPERATION OF OLD LOUISVILLE INFORMATION CENTER
(Grants $) If this amount includes foreign grants, check here u [ ] 29a 2,694

30 CENTRAL PARK IMPROVEMENTS, MAINTENANCE AND TENNIS COURT IMPROVEMENTS.
(Grants $) If this amount includes foreign grants, check here u [ ] 30a 13,061

31 Other program services (describe in Schedule O)
(Grants $) If this amount includes foreign grants, check here u [ ] 31a 132

32 **Total program service expenses** (add lines 28a through 31a) u 32 18,815

Part IV List of Officers, Directors, Trustees, and Key Employees (list each one even if not compensated — see the instructions for Part IV)
Check if the organization used Schedule O to respond to any question in this Part IV [ ]

(a) Name and title	(b) Average hours per week devoted to position	(c) Reportable compensation (Forms W-2/1099-MISC) (if not paid, enter -0-)	(d) Heath benefits, contributions to employee benefit plans, and deferred compensation	(e) Estimated amount of other compensation
JOAN STEWART PRESIDENT	0.00	0	0	0
JASON SCOTT VICE -PRESIDENT	0.00	0	0	0
MONA JACKSON TREASURER	0.00	0	0	0
JENA BLYTHE SECRETARY	0.00	0	0	0

Part V **Other Information** (Note the Schedule A and personal benefit contract statement requirements in the instructions for Part V) Check if the organization used Schedule O to respond to any question in this Part V ☐

			Yes	No
33	Did the organization engage in any significant activity not previously reported to the IRS? If "Yes," provide a detailed description of each activity in Schedule O	33		X
34	Were any significant changes made to the organizing or governing documents? If "Yes," attach a conformed copy of the amended documents if they reflect a change to the organization's name. Otherwise, explain the change on Schedule O (see instructions)	34		X
35a	Did the organization have unrelated business gross income of $1,000 or more during the year from business activities (such as those reported on lines 2, 6a, and 7a, among others)?	35a		X
b	If "Yes," to line 35a, has the organization filed a Form 990-T for the year? If "No," provide an explanation in Schedule O	35b		
c	Was the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization subject to section 6033(e) notice, reporting, and proxy tax requirements during the year? If "Yes," complete Schedule C, Part III	35c		X
36	Did the organization undergo a liquidation, dissolution, termination, or significant disposition of net assets during the year? If "Yes," complete applicable parts of Schedule N	36		X
37a	Enter amount of political expenditures, direct or indirect, as described in the instructions u 37a			
b	Did the organization file **Form 1120-POL** for this year?	37b		X
38a	Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee **or** were any such loans made in a prior year and still outstanding at the end of the tax year covered by this return?	38a		X
b	If "Yes," complete Schedule L, Part II and enter the total amount involved 38b			
39	Section 501(c)(7) organizations. Enter:			
a	Initiation fees and capital contributions included on line 9 39a			
b	Gross receipts, included on line 9, for public use of club facilities 39b			
40a	Section 501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under: section 4911 u ; section 4912 u ; section 4955 u			
b	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year, or did it engage in an excess benefit transaction in a prior year that has not been reported on any of its prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	40b		X
c	Section 501(c)(3) and 501(c)(4) organizations. Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 u			
d	Section 501(c)(3) and 501(c)(4) organizations. Enter amount of tax on line 40c reimbursed by the organization u			
e	All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T	40e		X

41 List the states with which a copy of this return is filed u None

42a The organization's books are in care of u ERIC COWLEY Telephone no. u 502-291-9471

Located at u 1340 SOUTH FOURTH ST LOUISVILLE KY ZIP + 4 u 40208

			Yes	No
b	At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? If "Yes," enter the name of the foreign country: u See the instructions for exceptions and filing requirements for **Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.**	42b		X
c	At any time during the calendar year, did the organization maintain an office outside the U.S.? If "Yes," enter the name of the foreign country: u	42c		X

43 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of **Form 1041** — Check here u ☐ and enter the amount of tax-exempt interest received or accrued during the tax year u 43

			Yes	No
44a	Did the organization maintain any donor advised funds during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ	44a		X
b	Did the organization operate one or more hospital facilities during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ	44b		X
c	Did the organization receive any payments for indoor tanning services during the year?	44c		X
d	If "Yes" to line 44c, has the organization filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	44d		
45a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	45a		X
45b	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," Form 990 and Schedule R may need to be completed instead of Form 990-EZ (see instructions)	45b		X

		Yes	No
46 Did the organization engage, directly or indirectly, in political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	46		X

Part VI Section 501(c)(3) organizations only

All section 501(c)(3) organizations must answer questions 47–49b and 52, and complete the tables for lines 50 and 51.

Check if the organization used Schedule O to respond to any question in this Part VI ☐

		Yes	No
47 Did the organization engage in lobbying activities or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	47		X
48 Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	48		X
49a Did the organization make any transfers to an exempt non-charitable related organization?	49a		X
b If "Yes," was the related organization a section 527 organization?	49b		

50 Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees and key employees) who each received more than $100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and title of each employee	(b) Average hours per week devoted to position	(c) Reportable compensation (Forms W-2/1099-MISC)	(d) Health benefits, contributions to employee benefit plans, and deferred compensation	(e) Estimated amount of other compensation
None				

f Total number of other employees paid over $100,000 ▶

51 Complete this table for the organization's five highest compensated independent contractors who each received more than $100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and business address of each independent contractor	(b) Type of service	(c) Compensation
None		

d Total number of other independent contractors each receiving over $100,000 ▶

52 Did the organization complete Schedule A? **Note.** All section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A ▶ ☒ Yes ☐ No

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer ERIC COWLEY Date TREASURER

Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Mary C Morrow		11/06/14		[redacted]

Firm's name } Mary Morrow & Associates Firm's EIN } [redacted]

Firm's address } 1347 S 3rd St Ste 304 Louisville, KY 40208-3300 Phone no. 502-419-8025

May the IRS discuss this return with the preparer shown above? See instructions ▶ ☐ Yes ☐ No

SCHEDULE A (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

u **Attach to Form 990 or Form 990-EZ.**

u Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2013

Open to Public Inspection

Name of the organization

OLD LOUISVILLE INFORMATION CENTER

Employer identification number

[redacted]

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E.)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state:

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)

10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a ☐ Type I **b** ☐ Type II **c** ☐ Type III–Functionally integrated **d** ☐ Type III–Non-functionally integrated

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i)	A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	11g(i)	
(ii)	A family member of a person described in (i) above?	11g(ii)	
(iii)	A 35% controlled entity of a person described in (i) or (ii) above?	11g(iii)	

h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1–9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
(A)									
(B)									
(C)									
(D)									
(E)									
Total									

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) u	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	29,522	16,646	16,250	13,047	14,518	89,983
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3	29,522	16,646	16,250	13,047	14,518	89,983
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 **Public support.** Subtract line 5 from line 4.						89,983

Section B. Total Support

Calendar year (or fiscal year beginning in) u	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4	29,522	16,646	16,250	13,047	14,518	89,983
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 **Total support.** Add lines 7 through 10						89,983

12 Gross receipts from related activities, etc. (see instructions) — 12: 550

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f)) — 14: 100.00 %

15 Public support percentage from 2012 Schedule A, Part II, line 14 — 15: 100.00 %

16a **33 1/3% support test—2013.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☒

b **33 1/3% support test—2012.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

17a **10%-facts-and-circumstances test—2013.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐

b **10%-facts-and-circumstances test—2012.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) u	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support** (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) u	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 **Total support.** (Add lines 9, 10c, 11, and 12.)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15	Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	%
16	Public support percentage from 2012 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17	Investment income percentage for **2013** (line 10c, column (f) divided by line 13, column (f))	17	%
18	Investment income percentage from **2012** Schedule A, Part III, line 17	18	%

19a **33 1/3% support tests—2013.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support tests—2012.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Part IV **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

SCHEDULE O (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

u Attach to Form 990 or 990-EZ.

u Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2013

Open to Public Inspection

Name of the organization

OLD LOUISVILLE INFORMATION CENTER

Employer identification number

[redacted]

Form 990-EZ, Part I, Line 16 - Other Expenses

Description	Amount
Expenses	
REFERENCE	$ 98
POSTAGE ETC	$ 114
POSTAGE	$ 201
PRINTING	$ 394
REFRESHMENTS	$ 1,192
SUPPLIES	$ 601
TELEPHONE	$ 1,567
UTILITIES	$ 113
	$ 36
BUILDING RENOVATIONS	$ 608
PARK GROUNDS	$ 5,773
EQUIPMENT RENTAL	$ 191
COMMUNITY GARDEN	$ 6,301
Non-investment Depreciation	$ 132
Total	$ 17,321

Form 990-EZ, Part I, Line 20 - Other Changes in Net Assets or Fund Balances

Description	Amount
PRIOR YEAR ADJUSTMENT	$ 0

Form 990-EZ, Part II, Line 24 - Other Assets

Description	Beg. of Year	End of Year

		$	825	$ 825
Less Accumulated Depreciation		$	495	$ 627
	Total	$	330	$ 198

Form 990-EZ, Part II, Line 26 - Other Liabilities

Description	Beg. of Year	End of Year
Accounts Payable and Accrued Expenses	$ 39	$ 328
CLEARING ACCOUNT	$ 0	$ 0
PAYROLL LIABILITIES	$ 0	$ 0
SALES TAX PAYABLE	$ 0	$ 0

Form 990-EZ, Part III - Primary Exempt Purpose

THE OLD LOUISVILLE INFORMATION CENTER IS COMMITTED TO PROMOTING THE OLD LOUISVILLE NIGHBORHOOD AND ITS UNIQUE ARCHITECTURAL AND HISTORICAL CHARACTERISTICS BY SERVING AS A SOURCE OF INFORMATION, REFERENCE AND PROJECT SUPPORT

Form 990-EZ, Part III, Line 31 - All Other Accomplishment

OTHER PROGRAMS INCLUDE THE FRIEND OF CENTRAL PARK FOR THE BENEFIT OF THE TENNIS COURTS), EDUCATIONAL FORUMS AND A COMMUNITY GARDEN

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization
(Including Information on Listed Property)

u See separate instructions. u Attach to your tax return.

OMB No. 1545-0172
2013
Attachment Sequence No. 179

Name(s) shown on return

OLD LOUISVILLE INFORMATION CENTER [redacted]

Business or activity to which this form relates

Indirect Depreciation

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	500,000
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	2,000,000
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	

6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost

7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the **smaller** of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2014. Add lines 9 and 10, less line 12 ▶	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	132
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here u ☐		

Section B—Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

	(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only–see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a	3-year property						
b	5-year property						
c	7-year property						
d	10-year property						
e	15-year property						
f	20-year property						
g	25-year property			25 yrs.		S/L	
h	Residential rental property			27.5 yrs.	MM	S/L	
				27.5 yrs.	MM	S/L	
i	Nonresidential real property			39 yrs.	MM	S/L	
					MM	S/L	

Section C—Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20a	Class life					S/L	
b	12-year			12 yrs.		S/L	
c	40-year			40 yrs.	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations—see instructions	22	132
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

There are no amounts for Page 2

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[redacted]

Federal Asset Report

FYE: 12/31/2013

Form 990, Page 1

Asset	Description	Date In Service	Cost	Bus %	Sec 179	Bonus	Basis for Depr	Per	Conv	Meth	Prior	Current
Prior MACRS:												
1	COPIER	5/11/12	825			X	412	5	HY	200DB	495	132
			825				412				495	132
	Grand Totals		825				412				495	132
	Less: Dispositions and Transfers		0				0				0	0
	Less: Start-up/Org Expense		0				0				0	0
	Net Grand Totals		825				412				495	132

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[redacted]

KY Asset Report

FYE: 12/31/2013

Form 990, Page 1

Asset	Description	Date In Service	Cost	Basis for Depr	KY Prior	KY Current	Federal Current	Difference Fed - KY
Prior MACRS:								
1	COPIER	5/11/12	825	825	165	264	132	-132
			825	825	165	264	132	-132
	Grand Totals		825	825	165	264	132	-132
	Less: Dispositions		0	0	0	0	0	0
	Less: Start-up/Org Expense		0	0	0	0	0	0
	Net Grand Totals		825	825	165	264	132	-132

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[redacted]

AMT Asset Report

FYE: 12/31/2013

Form 990, Page 1

Asset	Description	Date In Service	Cost	Bus %	Sec 179	Bonus	Basis for Depr	Per	Conv	Meth	Prior	Current
Prior MACRS:												
1	COPIER	5/11/12	825			X	412	5	HY	200DB	495	132
			825				412				495	132
	Grand Totals		825				412				495	132
	Less: Dispositions and Transfers		0				0				0	0
	Net Grand Totals		825				412				495	132

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[redacted]

Bonus Depreciation Report

FYE: 12/31/2013

Asset	Property Description	Date In Service	Tax Cost	Bus Pct	Tax Sec 179 Exp	Current Bonus	Prior Bonus	Tax - Basis for Depr
Activity: Form 990, Page 1								
1	COPIER	5/11/12	825		0	0	413	412
	Form 990, Page 1		825		0	0	413	412
	Grand Total		825		0	0	413	412

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[redacted]

Depreciation Adjustment Report

FYE: 12/31/2013

All Business Activities

Form	Unit	Asset	Description	Tax	AMT	AMT Adjustments/ Preferences
MACRS Adjustments:						
Page 1	1	1	COPIER	132	132	0
				132	132	0

OLIC OLD LOUISVILLE INFORMATION CENTER 11/06/2014 4:26 PM

[redacted]

Future Depreciation Report FYE: 12/31/14

FYE: 12/31/2013 **Form 990, Page 1**

Asset	Description	Date In Service	Cost	Tax	AMT
Prior MACRS:					
1	COPIER	5/11/12	825	79	79
			825	79	79
	Grand Totals		825	79	79

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[redacted]

KY Future Depreciation Report FYE: 12/31/14

FYE: 12/31/2013 **Form 990, Page 1**

Asset	Description	Date In Service	Cost	KY
Prior MACRS:				
1	COPIER	5/11/12	825	158
			825	158
	Grand Totals		825	158

Form 990T	Two Year Comparison Report	2012 & 2013
	For calendar year 2013, or tax year beginning , ending	

Name

OLD LOUISVILLE INFORMATION CENTER

Taxpayer Identification Number [redacted]

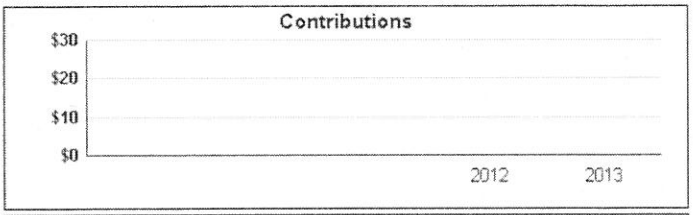
			2012	2013	Differences
Revenue	1. Gross profit/loss on business activities	1.			
	2. Capital gains/losses	2.			
	3. Income/loss from partnerships and S corporations	3.			
	4. Rental income (net of expense)	4.			
	5. Unrelated debt-financed income (net of expense)	5.			
	6. Interest, and other income from controlled organizations (net of expense)	6.			
	7. Investment income of specific organizations (net of expense)	7.			
	8. Exploited exempt activity income (net of expense)	8.			
	9. Advertising income (net of expense)	9.			
	10. Other income	10.			
	11. **Total trade or business income.** Combine lines 1 through 10	11.			
Expenses	12. Compensation of officers, directors, and trustees	12.			
	13. Other salaries and wages	13.			
	14. Repairs and maintenance	14.			
	15. Bad debts	15.			
	16. Interest	16.			
	17. Taxes and licenses	17.			
	18. Charitable contributions	18.			
	19. Depreciation and Depletion	19.			
	20. Contributions to deferred compensation plans	20.			
	21. Employee benefit programs	21.			
	22. Other deductions	22.			
	23. **Total deductions.** Add lines 12 through 22	23.			
	24. **Taxable income before NOL.** Subtract line 23 from 11	24.			
	25. Net operating loss deduction	25.			
	26. Specific deduction	26.	1,000	1,000	
	27. **Unrelated business taxable income.**	27.	-1,000	-1,000	
Tax & Credits	28. Income tax (corporate or trust)	28.			
	29. Proxy tax	29.			
	30. Alternative minimum tax	30.			
	31. **Total taxes**	31.			
	32. Other credits	32.			
	33. General business credit	33.			
	34. Credit for prior year minimum tax	34.			
	35. **Total credits**	35.			
	36. **Net tax after credits**	36.			
	37. Recapture taxes	37.			
	38. **Total Taxes**	38.			
Due/Refund	39. Prior year overpayment and estimated tax payments	39.			
	40. Payment made with extension	40.			
	41. Backup withholding and foreign withholding	41.			
	42. Other payments	42.			
	43. **Total payments**	43.			
	44. **Balance due/(Overpayment)**	44.			
	45. Overpayment applied to next year	45.			
	46. Penalties	46.			
	47. **Total due/(Refund)**	47.			

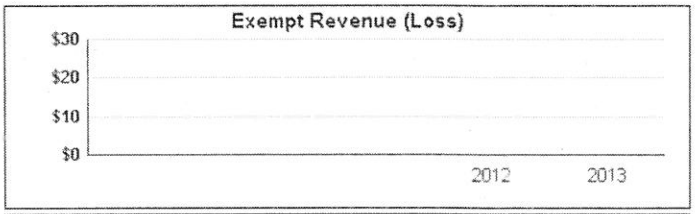
Form **990T**	Tax Return History	**2013**

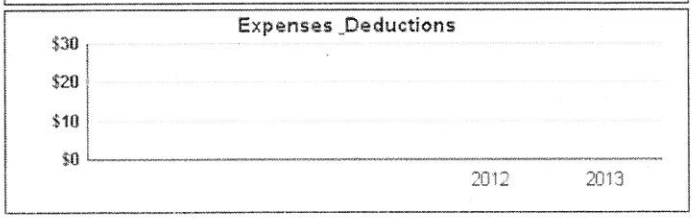
Name: OLD LOUISVILLE INFORMATION CENTER

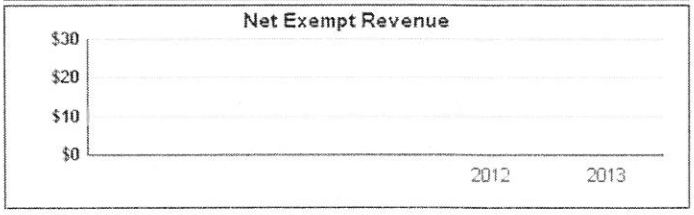
Employer Identification Number: [redacted]

	2009	2010	2011	2012	2013	2014
Business activity profit/loss						
Capital gains/losses						
Partner and S Corp gain/loss						
Rental income*						
Debt-financed income*						
Controlled organizations income/interest*						
Investment income, specific organizations*						
Exploited exempt activity income*						
Other income						
Total trade or business income.						
Compensation of officers, ect.						
Other salaries and wages						
Repairs and maintenance						
Bad debts						
Interest						
Taxes and licenses						
Charitable contributions						
Depreciation and Depletion						
Deferred compensation plans						
Employee benefit programs						







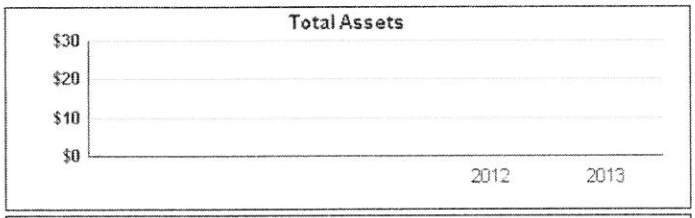


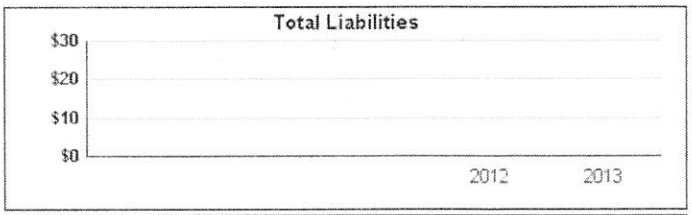
Form **990T**	Tax Return History	**2013**

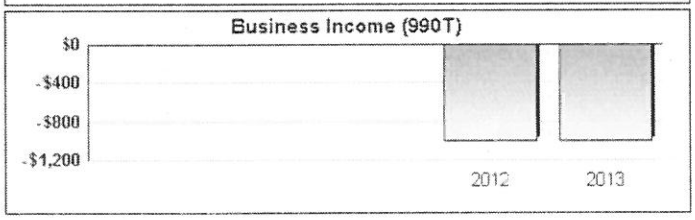
Name OLD LOUISVILLE INFORMATION CENTER | [redacted] Number

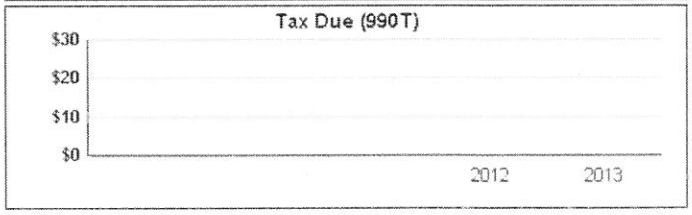
	2009	2010	2011	2012	2013	2014
Other deductions						
Net operating loss deduction						
Specific deduction				1,000	1,000	
Income after expense and deductions				-1,000	-1,000	
Income tax (corporate or trust)						
Other taxes						
Total taxes						
General business credit						
Other credits						
Net tax after credits						
Estimated tax payments						
Other payments						
Balance due/Overpayment						

* Income shown net of expenses









Schedule A, Part II, Line 1(e)

Description	Amount
1300 ASSOCIATION	$
3RD ST N A	
TOONERVILLE	
ST JAMES CT	
MAURICE BYRNE	
SIGN - VARIOUS	
ST CATHERINE	
FRANKLIN LAW GROUP	
KENTUCKY SHAKESPEARE	
BELGRAVIA COURT	
ST JAMES COURT	
LOUISVILLE OLMSTEAD	
SOUTH FIURTH ST	
CARDINAL TOWNE	
OTHER OPUBLIC SUPPORT	
LOUISVILLE /JEFFERSON COUNTY	3,262
RALPH KNOLLENBERG	1,918
3RD ST DEVELOPMENT	250
BELGRAVIA	750
CENTRAL PARK WEST	300
GARVIN GATE	350
2ND ST NEIGHBORHOOD	900
4TH ST NEIGHBORHOOD	400
ST JAMES ASSOC	950
1300 BLOCK	300
3RD ST ASSOC	300
TOONERVILLE	300
OTHER INDIVIDUALS/ORGANIZATIONS	4,538
Total	$ 14,518

Schedule A, Part II, Line 12

Description	Amount
JOURNAL ADS	$ 365
GIFT SHOP SALES	
PROGRAM SERVICE FEES	180
BANK INTEREST	5
MISC	
HOLIDAY HOUSE TOUR	
OLD LOUISVILLE INFO CTR	
CENTRAL PARK MAINTENANCE	
EDUCATION	
FRIENDS OF CENTRAL PARK	
COMMUNITY GARDEN	
Total	$ 550

Articles of Incorporation

The Old Louisville Neighborhood Council, Inc

On July 22, 2014, the board of directors for the Old Louisville Neighborhood Council (Federal EIN # [redacted], a 501(c)4 tax-exempt nonprofit organization, unanimously voted to dissolve the corporation. On August 22, 2014 the Articles of Dissolution were filed with the Commonwealth of Kentucky. All assets previously controlled by The Old Louisville Neighborhood Council were transferred to the Old Louisville Information Center, a 501(c)3 tax-exempt nonprofit organization.

On July 22, 2014, the board of directors for the Old Louisville Information Center (Federal EIN # 31-1106357) adopted an amendment of Article I, effectively changing the corporation's name to the Old Louisville Neighborhood Council, Inc. On August 22, 2014, articles of amendment were filed with the Commonwealth of Kentucky.

Old Louisville Neighborhood Council, INC Executive Committee as of January 2015:

Howard Rosenberg, Chairman

Jason Scott, Vice-Chairman

Leah Weisman, Secretary

Eric Cowley, Treasurer

Phone: (502) 635-5244

Address: The Old Louisville Neighborhood Council, Inc.
1340 S 4TH ST IN CENTRAL PARK
LOUISVILLE KY 40208-2350

Email: olnc@bellsouth.net

Website: www.oldlouisville.org

0177929.09	amcray AMD
Alison Lundergan Grimes **Kentucky Secretary of State** Received and Filed: 8/19/2014 11:15 AM Fee Receipt: $8.00	

COMMONWEALTH OF KENTUCKY
ALISON LUNDERGAN GRIMES, SECRETARY OF STATE

Division of Business Filings **Business Filings** PO Box 718 Frankfort, KY 40602 (502) 564-3490 www.sos.ky.gov	Articles of Amendment (Domestic Nonprofit Corporation) NPA

Pursuant to the provisions of KRS 14A and KRS Chapter 273, the undersigned applies to amend articles and, for that purpose, submits the following statements:

1. The name of the corporation on record with the Office of the Secretary of State is:

The Old Louisville Information Center, Inc.

(The name must be identical to the name on record with the Secretary of State.)

2. The text of each amendment adopted: Article I: The name of the corporation shall be: The Old Louisville Neighborhood Council, Inc.

3. The date of adoption of each amendment was July 22, 2014.

4. Check either a, b or c **(whichever is applicable)**:

a. ✓ The amendment(s) was (were) duly adopted by a quorum present at such meeting and that such amendment received at least two-thirds (2/3) of the votes which members present at such meeting or represented by proxy were entitled to cast.

b. _____ The amendment(s) was (were) duly adopted by consent in writing and was (were) signed by all members entitled to vote with respect thereto.

c. _____ The amendment(s) was (were) duly adopted by the board of directors and such amendment(s) received the vote of a majority of the directors in office since there are no members or members entitled to vote.

5. This application will be effective upon filing, unless a delayed effective date and/or time is provided. The effective date or the delayed effective date cannot be prior to the date the application is filed. The date and/or time is [redacted].
(Delayed effective date and/or time)

I declare under penalty of perjury under the laws of Kentucky that the forgoing is true and correct.

Signature of Officer or Chairman of the Board	Howard Rosenberg Printed Name	President Title	July 22, 2014 Date

(01/12)

FILED IN OFFICE
A29567

Not the right ones

JUN 2 1983

DREMER [illegible], Clerk
BY [illegible] D.C.

ORIGINAL COPY FILED
SECRETARY OF STATE OF KENTUCKY,
FRANKFORT, KENTUCKY

MAY 16 1983

SECRETARY OF STATE

ARTICLES OF INCORPORATION

OF

THE OLD LOUISVILLE INFORMATION CENTER, INC.

I, THE UNDERSIGNED, for the purpose of forming a non-profit, non-stock corporation, under and pursuant to the laws of the Commonwealth of Kentucky, and more particularly Chapter 273 of the Kentucky Revised Statutes, hereby certify as follows:

ARTICLE I

The name of the Corporation shall be:

THE OLD LOUISVILLE INFORMATION CENTER, INC.

ARTICLE II

The duration of the Corporation shall be perpetual.

ARTICLE III

The principal place of business of the Corporation is to be located at 1340 So. Fourth Street (in Central Park), Louisville, Kentucky, 40208 and such other places in said city or elsewhere as its Board of Directors may by resolution designate.

The name and address of the registered agent for service of process is:

Richard L. Janes
1340 So. 4th Street (in Central Park)
Louisville, Kentucky 40208

ARTICLE IV

The Corporation is organized and shall be operated exclusively for charitable and educational purposes within the meaning of Section 501(c)(3) of the Internal Revenue Code of 1954 (or corresponding provisions of any later Federal tax laws), including

for such purposes the making of distributions to organizations and individuals for the purpose of engaging in activity falling within the purposes of the Corporation and permitted for an organization exempt under said Section 501(c)(3).

The purposes of the Corporation shall be more specifically stated as follows:

1) To operate a resource center for the residents of the Old Louisville neighborhood which will provide a wide variety of educational material, information, and other services to help them meet social, health, welfare, educational and cultural needs.

2) To engage in educational and charitable activity designed to lessen neighborhood tensions and to encourage and promote community cooperation and pride.

3) To engage in educational and charitable activity designed to combat neighborhood deterioration and to promote community revitalization and development.

4) To encourage, promote, and provide activity for neighborhood youth designed to instill in them a friendly and cooperative spirit and to advance their educational and cultural development.

ARTICLE V

The Corporation shall be irrevocably dedicated to and operated exclusively for, non-profit purposes. No part of the net earnings of the Corporation shall inure to the benefit of or be distributable to its members, directors, officers, or other private persons, except that the Corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article IV hereof.

ARTICLE VI

The Corporation shall be empowered to do all acts reasonable and necessary and within the laws of the State of Kentucky, in particular those enumerated in KRS 273.171, to further its purposes set out in Article IV, except as follows and as otherwise stated in these Articles:

a) No substantial part of the activities of the Corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation, and the Corporation shall not participate in, or intervene in (including the publishing or distribution of statements), any political campaign on behalf of any candidate for public office.

b) Notwithstanding, any other provision of these Articles, the Corporation shall not carry on any other activities not permitted to be carried on by a corporation exempt from Federal income tax under Section 501(c)(3) of the Internal Revenue Code of 1954 or the corresponding provisions of any subsequent Federal tax laws. If and so long as the Corporation is a private foundation as defined in Section 509(a) of the Internal Revenue Code of 1954, or corresponding provisions of any later Federal tax laws:

1) The Corporation shall distribute its income for each taxable year at such time and in such manner as not to become subject to the tax on undistributed income imposed by Section 4942 of the Internal Revenue Code of 1954, or corresponding provisions of any later Federal tax laws.

2) The Corporation shall not engage in any act of self-dealing as defined in Section 4941(d) of the Internal Revenue Code of 1954, or corresponding provisions of any later Federal tax laws.

3) The Corporation shall not retain any excess business holdings as defined in Section 4943(c) of the Internal Revenue Code of 1954, or corresponding provisions of any later Federal tax laws.

4) The Corporation shall not make any investments in such manner as to subject it to tax under Section 4944 of the Internal Revenue Code of 1954, or corresponding provisions of any later Federal tax laws.

5) The Corporation shall not make any taxable expenditures as defined in Section 4945(d) of the Internal Revenue Code of 1954, or corresponding provisions of any later Federal tax laws.

ARTICLE VII

The names and addresses of the incorporators are:

INCORPORATOR	MAILING ADDRESS
Richard L. Janes	1409 So. Brook Street Louisville, Kentucky 40208

ARTICLE VIII

The names and addresses of the members of the initial Board of Directors are:

Richard L. Janes
1409 So. Brook Street
Louisville, Kentucky 40208

Carolyn Beall
1216 So. Floyd Street
Louisville, Kentucky 40203

Rose Greenough Nett
940 So. 6th Street
Louisville, Kentucky 40203

ARTICLE IX

The initial By-Laws shall be adopted by the initial Board of Directors. Thereafter, the Corporation shall be governed by the By-Laws.

ARTICLE X

The officers and members of this Corporation shall not be held personally liable for any debt or obligation of the Corporation solely because of their position as officers and members of the Corporation.

ARTICLE XI

In the event of dissolution of the Corporation, the Board of Directors shall, after paying or making provision for the payment of all liabilities of the Corporation, dispose of all assets of the Corporation exclusively for the purposes of the Corporation, in such manner, or to such organizations organized and operated exclusively for charitable or educational purposes as shall at the time qualify as an exempt organization under Section 501(c)(3) of the Internal Revenue Code of 1954 (or corresponding provisions of any later Federal tax laws), as the Board of Directors shall determine.

The remaining assets, if any, shall be disposed of by the Circuit Court of the county in which the principal office for the Corporation is then located, exclusively for such purposes or to such organizations as said Court shall determine are organized and operated exclusively for such purposes.

ARTICLE XII

Amendments to these Articles shall be made pursuant to the provisions of K.R.S. 273.263.

IN TESTIMONY WHEREOF, witness the signatures of the Incorporator of this Corporation on this 2 day of May, 1983.

Before me, the undersigned authority, personally appeared RICHARD L. JANES and being first duly sworn, acknowledged that he was an incorporator of the aforementioned Corporation, and that he signed the foregoing Articles of Incorporation as his free act and deed.

Witness my signature and seal of office this 2 day of May, 1983.

My Commission Expires: 8-16-86

NOTARY PUBLIC, STATE-AT-LARGE, KENTUCKY

This Document Prepared By:

JEFFREY B. SEGAL
ATTORNEY AT LAW
LEGAL AID SOCIETY, INC.
425 W. Muhammad Ali Blvd.
Louisville, Kentucky 40202
(502) 584-1254

ARTICLES OF AMENDMENT

WE, THE UNDERSIGNED, duly elected President and Secretary of the Old Louisville Information Center, Inc., hereby certify that said Corporation is a nonstock, nonprofit corporation incorporated on May 2, 1983 under the laws of the Commonwealth of Kentucky, Chapter 273 of the Kentucky Revised Statutes more particularly.

We further certify that the following Amendment was adopted at a duly constituted meeting of the Board of Directors held on June 11, 1984, pursuant to K.R.S. 273.261, that a quorum was present, and that said amendment received at least two-thirds of the votes which directors present were entitled to cast.

We further certify that the following is the Amendment so adopted which shall be filed with the Secretary of State and other such necessary and proper parties.

That Article IV, Section I of this Corporation as presently filed with the Secretary of State shall be changed to read:

FROM: "To operate a resource center for the residents of the Old Louisville neighborhood which will provide a wide variety of educational material, information, and other services to help them meet social, health, welfare, educational and cultural needs."

TO: "To operate a resource center, open to the public, which will provide a wide variety of educational material, information, and other services to help people meet social, health, welfare, educational and cultural needs.

That the following be added as a new article, Article XIII:

"The Board of Directors shall consist of nine (9) Directors. They shall be the Chairperson, Vice-Chairperson, Secretary and Treasurer of the Old Louisville Neighborhood Council, plus five (5) other Directors elected by the Old Louisville Neighborhood Council and who shall be members in good standing of one of the consistuent block clubs of the Old Louisville Neighborhood Council."

RICHARD T. CALLAWAY, PRESIDENT

MARTHA CHISM, SECRETARY

STATE OF KENTUCKY)
)
COUNTY OF JEFFERSON)

The foregoing Articles of Amendment were acknowledged before me this 10th day of SEPTEMBER, 1985, by Richard T. Callaway, President, and Martha Chism, Secretary, of the Old Louisville Information Center, Inc., a Kentucky corporation, on behalf of the Corporation.

Witness my hand and official seal this 10th day of SEPTEMBER, 1985.

My Commission Expires: Notary Public, State at Large, KY
My commission expires Apr. 23, 1989

NOTARY PUBLIC, KENTUCKY, STATE-AT-LARGE

This Document Prepared By:

JEFFREY B. SEGAL,
ATTORNEY-AT-LAW
LEGAL AID SOCIETY, INC.
425 W. Muhammad Ali Blvd.
Louisville, Kentucky 40202
(502)-584-1254

LODGED BY Segal AND RECORDED
1985 NOV 19 PM 12:58
PAID $ 3.00
JIM "POP" MALONE J.C.C.
ORIGINAL COPY FILED
SECRETARY OF STATE OF KENTUCKY
FRANKFORT, KENTUCKY
OCT 24 1985
Dwayne P. Davis
SECRETARY OF STATE

4743

Form **W-9**
(Rev. December 2014)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Print or type
See Specific Instructions on page 2.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.
THE OLD LOUISVILLE NEIGHBORHOOD COUNCIL, INC

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification; check only **one** of the following seven boxes:
☐ Individual/sole proprietor or single-member LLC ☐ C Corporation ☐ S Corporation ☐ Partnership ☐ Trust/estate
☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶
Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.
☑ Other (see instructions) ▶ Nonprofit Organization exempt under IRS Code 501(c)(3)

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
Exempt payee code (if any)
Exemption from FATCA reporting code (if any)
(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.)
1340 S 4TH ST (IN CENTRAL PARK)

Requester's name and address (optional)

6 City, state, and ZIP code
LOUISVILLE KY 40208-2350

7 List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number
[ ][ ][ ] - [ ][ ] - [ ][ ][ ][ ]

or

Employer identification number
[redacted]

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here Signature of U.S. person ▶ [signature], TREASURER Date ▶ 23-JAN-2015

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at www.irs.gov/fw9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.

Old Louisville Neighborhood Council

Old Louisville
Information Center
1340 South Fourth Street
in Central Park
Louisville, Kentucky 40208
Telephone 502 635-5244
E-mail: olnc@bellsouth.net

February 05, 2015

RE: List of Employees

To Whom It May Concern,

As required by the NDF grant application and as of the date of this correspondence, the Old Louisville Neighborhood Council, Inc. employs one person, David Williams. Mr. Williams is employed at a part-time, non-exempt status receiving hourly compensation of ten ($10.00) dollars per hour at an average of twenty-eight (28) hours each work week.

Sincerely,

Eric A. Cowley
Treasurer

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY): 1/28/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER	CONTACT NAME: Deb Hagan
HYLAND, BLOCK & HYLAND, INC. 1250 S. Third St. Louisville KY 40203	PHONE (A/C, No, Ext): (502)400-3586 FAX (A/C, No): (502)400-2822 E-MAIL ADDRESS: debh@hylandins.net
	INSURER(S) AFFORDING COVERAGE — NAIC #
	INSURER A: Secura Insurance-Appleton, WI — 22543
INSURED Associated Enterprises, Inc. DBA Festival Cusine & Spirits 38 Warren Road Louisville KY 40206	INSURER B: INSURER C: INSURER D: INSURER E: INSURER F:

COVERAGES **CERTIFICATE NUMBER:** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	GENERAL LIABILITY [X] COMMERCIAL GENERAL LIABILITY [ ] CLAIMS-MADE [X] OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: [X] POLICY [ ] PRO-JECT [ ] LOC			20CP0032017525	2/1/2015	2/1/2016	EACH OCCURRENCE DAMAGE TO RENTED PREMISES (Ea occurrence) MED EXP (Any one person) PERSONAL & ADV INJURY GENERAL AGGREGATE PRODUCTS - COMP/OP AGG	$ 1,000,000 $ 100,000 $ Excluded $ 1,000,000 $ 2,000,000 $ 2,000,000
A	AUTOMOBILE LIABILITY [X] ANY AUTO [ ] ALL OWNED AUTOS [ ] SCHEDULED AUTOS [ ] HIRED AUTOS [ ] NON-OWNED AUTOS			20A0032017535	2/1/2015	2/1/2016	COMBINED SINGLE LIMIT (Ea accident) BODILY INJURY (Per person) BODILY INJURY (Per accident) PROPERTY DAMAGE (Per accident) UnderInsured motorist	$ 1,000,000 $ $ $ $ 1,000,000
A	[X] UMBRELLA LIAB [X] OCCUR [ ] EXCESS LIAB [ ] CLAIMS-MADE [ ] DED [X] RETENTION $ 10,000			20CU0032017545	2/1/2015	2/1/2016	EACH OCCURRENCE AGGREGATE	$ 1,000,000 $ 1,000,000
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY Y/N ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? [ ] (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	N/A					WC STATU-TORY LIMITS / OTH-ER E.L. EACH ACCIDENT E.L. DISEASE - EA EMPLOYEE E.L. DISEASE - POLICY LIMIT	$ $ $
A	Liquor Liability			20CP0032017525	2/1/2015	2/1/2016	Each Common Cause Aggregate	$1,000,000 $2,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

CERTIFICATE HOLDER

Old Louisville Neighborhood Council
1340 South Fourth Street
Louisville, KY 40208

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Terry Hyland/DEBH

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Telephone 502 635-5244
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February 05, 2015

RE: Affirmative Action | Equal Opportunity Policy

To Whom It May Concern,

The Old Louisville Neighborhood Council, INC. employs one, part-time employee and therefore is generally exempted from the requirements of the EEOC and Affirmative Action. However, the Council is responsible for the hiring and management of employees, and Article Three (General Provisions) of our by-laws states the following:

> *3.1 The Council subscribes to equal opportunity in all its activities, policies, and programs and will not discriminate on the basis of race, sex, age, religion, disability, national origin, sexual orientation or level of income.*

Sincerely,

Eric A. Cowley
Treasurer

NDF NON-PROFIT APPLICATION CHECKLIST

Legal Name of Applicant Organization: Old Louisville Neighborhood Council

Program Name: SpringFest Request Amount: $4,900.00	Yes/No/NA
Request form: Is the NDF request form signed by all Council Member(s) appropriating funding?	yes
Request form: Is the funding proposed less than or equal to the request amount?	yes
Request form: Have all known Council or Staff relationships to the Agency been adequately disclosed on the cover sheet?	yes
Application Page 1: Has prior Metro funds committed/granted been disclosed?	yes
Application Page 1: Is the application properly signed and dated by authorized signatory?	yes
Application Page 3: Reimbursement funding – One or two boxes checked if any expenses are incurred before the grant award period. Is all required documentation included?	yes
Application Pages 3 – 5: Is the proposed public purpose of the program well-documented?	yes
Application 4: Is there adequate documentation of how the proceeds of the fundraiser will be spent?	yes
Application Budget Page 6: Does the application budget reflect only the revenue and expenses of the project/program (page 6) if the request is not an operating budget request? Is all detail schedules included for "Metro, Non Metro and Total" expense funds for client assistance, community events & festivals and other expenses? And does the Non-Metro Revenue equal the Non-Metro expenses?	yes
Faith Based Organizations: Is the signed Faith Based Form signed and included?	no
Jefferson County Only: Will all funding be spent in Louisville/Jefferson County?	yes
Capital Project(s) request: Is the cost estimate(s) from proposed vendor(s) included?	yes
Good Standing: Is the entity in good standing with: • Kentucky Secretary of State – include Secretary of State website information on organization • Louisville Metro Government – check OMB monthly report filed in Council Financial Reports • Internal Revenue Service – most recent Form 990 included	yes
Separate Taxing Districts: If Metro funding is for a separate taxing district, is the funding appropriated for a program outside the legal responsibility of that taxing district?	n/a
Small Cities: Is the resolution included agreeing to partner with Louisville Metro on the capital project? (IRS Determination letter not required, Form 990 not required, but KY SOS acknowledgement is)	n/a
Operating Requests: Is recommended operating funding less than or equal to 33% of total operating budget?	yes
IRS Exempt Proof: Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	yes
Operating Budget: Is the organization's current fiscal year operating budget included?	Yes
Ordinance Required: Is the amount committed by Council members greater than $5,000 **to any one project/program within an organization** in this fiscal year.	No
Board Members: Is the entity's board member list (with term length/term limits) included?	Yes
Staff: Is a list of the highest paid staff included with their expected annual personnel costs?	Yes
Annual Audit: Is the most recent annual audit (if required by organization) included?	n/a
Rent Requests: Is a copy of signed lease included?	n/a
Articles of Incorporation: Are the Articles of Incorporation of the organization included?	**Yes**
IRS Form W-9: Is the IRS Form W-9 included?	**Yes**
Evaluation Forms: Are the evaluation forms (if program participants are given evaluation forms) included?	n/a
Affirmative Action: Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required by the organization)?	yes

Prepared by: Allison Oliver Date: 2/12/15

THE OLD LOUISVILLE NEIGHBORHOOD COUNCIL, INC.

General Information

Organization Number	0177929
Name	THE OLD LOUISVILLE NEIGHBORHOOD COUNCIL, INC.
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
File Date	5/16/1983
Organization Date	5/16/1983
Last Annual Report	1/24/2014
Principal Office	1340 S. 4TH ST.(IN CENTRAL PARK) LOUISVILLE, KY 40208
Registered Agent	OLD LOUISVILLE NEIGHBORHOOD COUNCIL INC. 1340 S. 4TH. ST. IN CENTRAL PARK LOUISVILLE, KY 40208

Current Officers

President	Howard Rosenberg
Vice President	Jason Scott
Secretary	Leah Weisman
Treasurer	MONA JACKSON
Director	BOB BAJANDAS
Director	ROSALIND FISHMAN
Director	Victor Marquardt Marquardt
Director	Ron Harris

Individuals / Entities listed at time of formation

Director	RICHARD L. JANES
Director	COROLYN BEALL
Director	ROSE GREENOUGH NETT
Incorporator	RICHARD L. JANES

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

Amendment	8/19/2014	1 page	tiff	PDF
Annual Report	1/24/2014	1 page	PDF	
Annual Report	1/10/2013	1 page	PDF	
Annual Report	1/3/2012	1 page	PDF	

Annual Report	1/13/2011	1 page	PDF	
Annual Report	1/26/2010	1 page	PDF	
Annual Report	1/21/2009	1 page	PDF	
Annual Report	8/5/2008	1 page	PDF	
Annual Report	6/14/2007	1 page	tiff	PDF
Annual Report	6/16/2006	2 pages	tiff	PDF
Annual Report	4/21/2005	1 page	tiff	PDF
Statement of Change	7/12/2004	1 page	tiff	PDF
Annual Report	6/10/2003	1 page	tiff	PDF
Annual Report	7/2/2002	1 page	tiff	PDF
Annual Report	11/29/2001	1 page	tiff	PDF
Statement of Change	10/1/2001	1 page	tiff	PDF
Annual Report	10/30/2000	1 page	tiff	PDF
Sixty Day Notice Return	9/1/2000	1 page	tiff	PDF
Statement of Change	8/31/1999	1 page	tiff	PDF
Annual Report	8/3/1999	1 page	tiff	PDF
Annual Report	5/14/1998	1 page	tiff	PDF
Annual Report	7/1/1997	1 page	tiff	PDF
Annual Report	7/1/1996	1 page	tiff	PDF
Annual Report	7/1/1995	1 page	tiff	PDF
Annual Report	7/1/1994	1 page	tiff	PDF
Annual Report	3/22/1993	1 page	tiff	PDF
Annual Report	3/27/1992	1 page	tiff	PDF
Annual Report	7/1/1991	1 page	tiff	PDF
Annual Report	7/1/1991	1 page	tiff	PDF
Annual Report	7/1/1990	1 page	tiff	PDF
Annual Report	7/1/1989	1 page	tiff	PDF

Assumed Names

Activity History

Filing	File Date	Effective Date	Org. Referenced
Amendment - Change name	8/19/2014 11:15:23 AM	8/19/2014	THE OLD LOUISVILLE INFORMATION CENTER, INC.

Microfilmed Images