AUG 17 2018 AK 10:47 A. CA

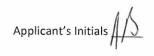
NEIGHBORHOOD DEVELOPMENT FUND Not-for-Profit Transmittal and Approval Form

Applicant/Program: Schnitzelburg Area Community Council, Inc/Annual Programming				
Applicant Requested Amount: \$2,959.60				
Appropriation Request Amount: \$2,959.60				
Executive Summary of Request				
Schnitzelburg Area Community Council, Inc Annual Prgramming Expenses				
Is this program/project a fundraiser?				
Is this applicant a faith based organization?				
Does this application include funding for sub-grantee(s)? Yes No				
I have reviewed the attached Naighborh - I D				
I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following and the following in the fo				
within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public				
purpose is legitimate. I have also completed the disclosure section below, if required.				
/ / / / / / / / / / / / / / / / / / /				
in a man and in the				
O camon & Milounlest 1 Gta (2)				
District # Councilman Pat Mulvihill # 475 9. 60 8/6/2018 Primary Sponsor Signature Amount Date				
District # Fillinary Sponsor Signature Amount Date				
Primary Sponsor Disclosure				
List below any personal or business relationship you, your family or your legislative assistant have with this				
organization, its volunteers, its employees or members of its board of directors.				
, and a state of the state of directors.				
Approved by:				
Appropriations Committee Chairman Date				
Appropriations Committee Chairman Date Final Appropriations Amount:				
1 mai Appropriations Amount:				

Legal Name of Applicant Organization Schniftelburg Area Commanity	Quae 115A
Program Name and Request Amount Annual Programming / \$ 2,95	9.60
The state of the s	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	Yes☑
Is the funding proposed by Council Member(s) less than or equal to the request amount?	Yes
Is the proposed public purpose of the program viable and well-documented?	Yes
Will all of the funding go to programs specific to Louisville/Jefferson County?	Yes▼
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	Yes▼
Has prior Metro Funds committed/granted been disclosed?	Yes✓
Is the application properly signed and dated by authorized signatory?	
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	Yes▼
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the	Yes▼
legal responsibility of that taxing district?	N/A
Is the entity in good standing with:	
▶ Kentucky Secretary of State?	
▶ Louisville Metro Revenue Commission?	V
Louisville Metro Government?	Yes▼
▶ Internal Revenue Service?	
▶ Louisville Metro Human Relations Commission?	
s the current Fiscal Year Budget included?	Yes▼
s the entity's board member list (with term length/term limits) included?	Yes
s recommended funding less than 33% of total agency operating budget?	Yes▼
Does the application budget reflect only the revenue and expenses of the project/program?	Yes⊡
s the cost estimate(s) from proposed vendor (if request is for capital expense) included?	No 🗷
s the most recent annual audit (if required by organization) included?	N/A.▼
s a copy of Signed Lease (if rent costs are requested) included?	N/A
s the Supplemental Questionnaire for churches/religious organizations (if requesting organization is aith-based) included?	N/A
re the Articles of Incorporation of the Agency included?	Yes▼
s the IRS Form W-9 included?	Yeş✓
the IRS Form 990 included?	Yes▼
re the evaluation forms (if program participants are given evaluation forms) included?	N/A 🔻
affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if equired to do so)?	N/A
las the Agency agreed to participate in the BBB Charity review program? If so, has the applicant net the BBB Charity Review Standards?	No 💌
repared by: Geoff wohl D10 LA Date: 8/6/2018	al or a personal con-

		SE	CTION 1 - APPLI	CANT INFORMATION	
Legal Name of Applica	-		Schnitzelbur	g Area Community Co	ouncil
(as listed on: http://www.so			ords		
Main Office Street & N	/lailing A	ddress:	PO BOX 17306	Louisville, KY 40217	
Website: Schnitzelbur	g.org				
Applicant Contact:	Ronald	Bolton Jr	•	Title:	President
Phone:	502-338	3-7581		Email:	Schnitzeling278@gmail.com
Financial Contact:	Alan Gi	risanti		Title:	Treasurer
Phone:	502-938	3-9314		Email:	alan.grisanti@gmail.com
Organization's Represe	entative	who atte	ended NDF Train	ing: Ronald Bolton Jr	
GEOGI	RAPHICA	L AREA(S	S) WHERE PROGE	RAM ACTIVITIES ARE (WILL BE) PROVIDED
Program Facility Locati	ion(s):	Schnitze	elburg Neighborh	ood and businesses ac	cross the street
Council District(s):		10, 4		Zip Code(s):	40217
	SECTI	ON 2 – P	ROGRAM REQUE	ST & FINANCIAL INFO	DRMATION
PROGRAM/PROJECT N	AME: A	nnual Co	mmunity Event E	xpenses	
Total Request: (\$)	2,959.6		Total Metro Av	vard (this program) ir	previous year: (\$) 2342.30
Purpose of Request (ch	neck all t	hat appl	y):		
Operating Fu	nds (gen	erally car	nnot exceed 33%	of agency's total open	rating budget)
Programming	g/service	s/events	for direct benefit	t to community or qua	alified individuals
☐ Capital Project	ct of the	organiza	tion (equipment,	furnishing, building, e	etc)
The Following are Requ	uired Att	tachment	ts:		
■ IRS Exempt Status Dete	erminatio	n Letter		Signed lease if rent	costs are being requested
■ Current year projected	budget			■ IRS Form W9	
■ Current financial states	ment			■ Evaluation forms if	used in the proposed program
■ Most recent IRS Form S	990 or 11	20-H		Annual audit (if requ	uired by organization)
Articles of Incorporation	n (curre	nt & signe	d)	■ Faith Based Organiz	ation Certification Form, if applicable
Cost estimates from pr capital expense	oposed v	endor if re	equest is for		
Government for this or	any oth	er progra	m or expense, in	cluding funds received	ceived from Louisville Metro d through Metro Federal Grants, ment Funds). Attach additional
Source:				Amount: (\$)	
Source:		×		Amount: (\$)	
Source:				Amount: (\$)	
Has the applicant conta	cted the	BBB Cha	rity Review for p	articipation? Yes	■ No
Has the applicant met t	he BBB (Charity Re	eview Standards?	Yes No	

Page 1 Effective May 2016



SECTION 3 – AGENCY DETAILS
Describe Agency's Vision, Mission and Services: The Schnitzelburg Area Community Council is a neighborhood association within the Germantown meganeighborhood. We seek to engage the residents and businesses of the area in building a healthy and functioning community. Over the course of a year we facilitate several events that fall outside the scope of business run festivals or are long held traditions.

SECTION 4 - BOARD OF DIRECTORS AND PAID STAFF

Board Member	Term End Date
Ronald Bolton Jr	Dec 31, 2018
Kara Underwood	Dec 31, 2018
Alan Grisanti	Dec 31, 2018
Mike Morris	Dec 31, 2018
Gary Allen	Dec 31, 2018
Gary Liebert	Dec 31, 2018
Shane Thompson	Dec 31, 2018
Jennifer Chappell	Dec 31, 2018
Kimberly Curran	Dec 31, 2018
Haggai Ariel-Joel	Dec 31, 2018
Amber Burrus	Dec 31, 2018
Nicole Krider	Dec 31, 2018
Rebecca Hollenbach	Dec 31, 2018
Sylvia Walters	Dec 31, 2018
Andrea Riegling	Dec 31, 2018

Describe the Board term limit policy:

Members serve a one year term. Officers are selected from returning members.

Three Highest Paid Staff Names	Annual Salary
N/A	0
N/A	0
N/A	0

SECTION 5 – PROGRAM/PROJECT NARRATIVE
A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.): Running several public events in the Schnitzelburg Neighborhood. See attached list for details.
B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s): Funds will help cover expenses for running these public events.

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C: If this request is a fundraiser, please detail how the proceeds will be spent:
A portion of the request helps raise funds to be spent offsetting the cost of the #1 Citizen Dinner.
D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for
funds to be spent before the grant award period, identify the applicable circumstances:
The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:
✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.
The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.
Reimbursements should not be made before application date unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):
✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan
identified in this application. ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

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	_
E: Describe the program's benefits to those being served (measurable outcomes). Include the program's brocess for collecting data and the indicators that will be tracked to measure the benefits to those being served: but many activities give the area residents and business owners of sense of pride and identity in the neighborhood. In a many activities give the area residents and business owners in open dialog creates a more cohesive community.	
F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this orogram/project specifically. We are currently working with the German/Paristown Neighborhood Association (GPNA) on a Goss Avenue eautification Project and we meet with presidents of Shelby Park Neighborhood Association (SPNA), George Rogers lark Neighborhood Association (GRCNA) and St. Joseph's Area Association to discuss area projects and common oncerns.	7>
	-

Page 6 Effective May 2016

SECTION 6 - PROGRAM/PROJECT BUDGET SUMMARY

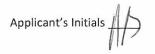
THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

	Column 1	Column 2	Column (1+2)=3	
Program/Project Expenses	Proposed Metro Funds	Non- Metro Funds	Total Funds	
A: Personnel Costs Including Benefits	0	0	0	
B: Rent/Utilities	0	0	0	
C: Office Supplies	0	0	0	
D: Telephone	0	0	0	
E: In-town Travel	0	0	0	
F: Client Assistance (See Detailed List on Page 8)	0	0	0	
G: Professional Service Contracts	0	0	0	
H: Program Materials	0	0	0	
I: Community Events & Festivals (See Detailed List on Page 8)	2959.60	3080.40	6040.00	
J: Machinery & Equipment	0	0	0	
K: Capital Project	0	0	0	
L: Other Expenses (See Detailed List on Page 8)	0	0	0	
*TOTAL PROGRAM/PROJECT FUNDS	2959.60	3080.40	6040.00	
% of Program Budget	49 %	51 %	100%	

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Total Revenue for Columns 2 Expenses **	3080.40	
Other (please specify)	Dues and Donations \$3080.40	
Fees Collected from Program Participants	0	
Private Contributions (do not include individual donor names)	0	
United Way	0	
Other State, Federal or Local Government	0	

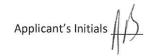
^{*}Total of Column 1 MUST match "Total Request on Page 1, Section 2"



^{**}Must equal or exceed total in column 2.

Detail for Client Assistance, Community Events & Festivals or Other Expenses shown on Page 7	Column 1	Column 2	Column (1 + 2)=3
(circle one and use multiple sheets if necessary)	Proposed Metro Funds	Non- Metro Funds	Total Funds
Dainty Contest	602.7	627.3	1,230
Movie Night	676.2	703.8	1,380
#1 Citizens Dinner	1,323	1,377	1,380
Light Up Schnitzelburg	357.7	372.3	730
N/A	0	0	0
N/A	0	0	0
N/A	0	0	0
N/A	0	0	0
N/A	0	0	0
N/A	0	0	0
N/A	0	0	0
N/A	0	0	0
N/A	0	0	0
N/A	0	0	0
N/A	0	0	0
N/A	0	0	0
N/A	0	0	0
Total	2,959.6	3,080.4	6,040

Page 8 Effective May 2016



Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
N/A	0	0
Total Value of In-Kind (to match Program Budget Line Item. Volunteer Contribution &Other In Kind)	0	0

* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK

Agency Fiscal Year Start Date: June 1							
Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the							
budget projected for next fiscal year?	NO 🔳	YES 🗌					
If YES, please explain:							

Applicant's Initials

SECTION 7 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

- Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
- 2. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked and the funds will not be disbursed to our organization.
- Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
- 4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
- 5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
- Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
- Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal
 year end.
- 8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
- 9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
- 10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
- 11. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

Standard Certifications

- 1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
- 2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
- 3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
- 4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
- The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

SECTION 8 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signatur	e of Legal Signatory:		hlywo			Date:	8/16/18	
Legal Sig	natory: (please print):	Rona	ald J Bolton Ji	r		Title:	President /	
Phone:	502-338-7581	-1.60041	Extension:	N/A	Email:	schnitzeling2	78@gmail.com	

Page 10 Effective May 2016 Applicant's Initials

Form 990-N

Electronic Notice (e-Postcard)

OMB No. 1545-2085

Department of the Treasury Internal Revenue Service for Tax-Exempt Organization not Required to File Form 990 or 990-EZ

2017

Open to Public Inspection

A For the 2017 Calendar year, or tax year beginning 2017-01-01 and ending 2017-12-31					
B Check if available Terminated for Business Gross receipts are normally \$50,000 or less	C Name of Organization: SCHNITZELBURG AREA COMMUNITY COUNCIL 17306, Louisville, KY, US, 40217	D Employee Identification Number			
E Website: Schnitzelburg.org	F Name of Principal Officer: Alan Grisanti 1047 Charles St, Louisville, KY,				

Privacy Act and Paperwork Reduction Act Notice: We ask for the information on this form to carry out the Internal Revenue laws of the United States. You are required to give us the information. We need it to ensure that you are complying with these laws.

The organization is not required to provide information requested on a form that is subject to the Paperwork Reduction Act unless the form displays a valid OMB control number. Books or records relating to a form or its instructions must be retained as long as their contents may become material in the administration of any Internal Revenue law. The rules governing the confidentiality of the Form 990-N is covered in code section 6104.

The time needed to complete and file this form and related schedules will vary depending on the individual circumstances. The estimated average times is 15 minutes.

Note: This image is provided for your records only. Do Not mail this page to the IRS. The IRS will not accept this filing via paper. You must file your Form 990-N (e-Postcard) electronically.



Trey Grayson Secretary of State

Certificate of Existence

I, Trey Grayson, Secretary of State of the Commonwealth of Kentucky, do hereby certify that according to the records in the Office of the Secretary of State,

THE SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.

has eliminated all the grounds for dissolution, paid all fees and penalties owed to the Secretary of State, and met all other requirements for reinstatement. The effective date of reinstatement is October 7, 2004.

I further certify that THE SCHNITZELBURG AREA COMMUNITY COUNCIL, INC. is a corporation duly organized and existing under the laws of the Commonwealth of Kentucky, whose date of incorporation is February 7, 1977, and whose period of duration is perpetual.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my Official Seal at Frankfort, Kentucky, this 7th day of October, 2004.



Trey Grayson
Secretary of State
Commonwealth of Kentucky
MMcCulloh/0078158

Commonwealth of Kentucky Trey Grayson Secretary of State

0078158.09 MMcCulloh

Trey Grayson
Secretary of State
Received and Filed
10/07/2004 10:01:44 AM
Fee Receipt: \$56.00

Application for Reinstatement (Nonprofit)

THE SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.

was administratively dissolved under KRS 273.3181 or revoked under KRS 273.367 on **November 2, 1993** because the corporation did not:

File its annual report for the year 1993.

The undersigned hereby applies for reinstatement on behalf of the above named corporation and further states as follows:

- 1. the grounds for dissolution either did not exist or have been eliminated;
- 2. the corporation's name satisfies the requirements of KRS 273.177.

The following documents and fees are enclosed with this application:

- (1) A certificate from the Kentucky Revenue Cabinet reciting that all taxes owed by the corporation have been paid;
- (2) Reinstatement Annual Report;
- (3) A check in the amount of \$56.00 which includes:
 - (a) \$8.00 reinstatement penalty fee;
 - (b) \$48.00 Annual report fee for 12 years.
- (4) Form SSC-107 Complete this form if a change has occurred to the current registered office or agent, or both. Add \$5.00 to the total listed in section (3) if the form is being filed as part of this reinstatement.
- (5) Articles of Amendment to the Articles of Incorporation -If the corporation's name is unavailable for use at the time of reinstatement, add \$8.00 to the total in section (3) if an amendment is being filed as part of this reinstatement.
- (6) Articles of Amendment to the Articles of Incorporation. -If changing the corporation's period of duration due to expiration, add \$8.00 to the total in section (3) if an amendment is being filed as part of this reinstatement.

/

Signature

Title

Cornorate Name

Before filing an application for reinstatement, each corporation should call the office of the Secretary of State (502.564.3490) to make certain that its corporate name is still available for use. If a corporation's name is not available for use at the time of reinstatement, articles of amendment changing the name must accompany this application for reinstatement.

COMMONWEALTH OF KENTUCKY DEPARTMENT OF REVENUE

DIVISION OF COMPLIANCE & TAXPAYER ASSISTANCE 200 FAIR OAKS LANE FRANKFORT, KENTUCKY 40620

September 7, 2004

SCHNITZELBURG AREA COMMUNITY COUNCIL INC ATTENTION: WILLIAM W TINKER JR 1343 HICKORY AVE LOUISVILLE, KY 40217

Dear Mr. Tinker Jr.:

The Department of Revenue acknowledges receipt of your August 28, 2004 request for a letter of good standing for SCHNITZELBURG AREA COMMUNITY COUNCIL INC. Department records indicate that the corporation has not filed Kentucky Corporation Income and License Tax returns.

Based on the information submitted and the information on file with this Department, this office has determined that returns are not required as of the date of this letter. The Department requests that the corporation's charter be reinstated providing all other requirements of the Secretary of State have been met by the corporation.

This letter must be submitted to the office of the Secretary of State by October 7, 2004 to be accepted for reinstatement.

Sincerely,

Carmen M. Wills, Revenue Auditor III

armen M. Wills

Corporation Tax Branch

200 Fair Oaks Lane, Second Floor

P O Box 181, Station 52 Frankfort, KY 40602

(502) 564-8139, Ext. 4762

Fax (502) 564-3392

Commonwealth of Kentucky Trey Grayson, Secretary of State Reinstatement Annual Report

Record Number: 0078158	Report for years of 1993 through 2004
(1) Exact Corporate name and Principal Office Address:	(4) Total filing fee: \$48.00
THE SCHNITZELBURG AREA COMMUNITY COUNCIL, INC. 1232 MILTON ST. LOUISVILLE, KY 40217	
(2) Principal Office Address is hereby changed to:	(5) State of Incorporation: Kentucky
1343 HICKORY STRIET	
1343 HICKORY STREET LOUISVILLE, KENTUCKY 40217	(6) Date of Incorporation: 2/7/1977
(3) Registered Agent and Registered Office Address:	
WILLIS B. EWING, JR. 1232 MILTON ST. LOUISVILLE, KY 40217	Please note: Changes made to the registered agent or registered office address in #3 cannot be made on this form. Please complete form #SSC-107.
Please type or print the names and business addresses of t check:	he corporation's current principal officers. If sole officer, please
President 4AR HARN	1050 WAGNER ST. LOUISVILLE KY
Vice President BEN SYNDER	1253 BURNETT LOUISVILLE KY
Secretary 1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/	
Treasurer WIIIIAM IN 7 INKER	1343 HILKORY ST LOUISUILLE ISY
Please type or print the names and business address of the Directors are required to be listed. No listing of directors is verific directors. Non-profit corporations must list three or more directors.	cation that the corporation has dispersed with the board of
I verify that the information in this annual report is current.	as of the date this report is executed.
Authorized Signature Ullian Man North	Title reasure Date 1/27/2001
Professional Serv	rice Corporations only:
In addition to the annual report content requirement, a list of names corporation must be included, and the president must sign the certification.	
Certificate of Profess	ional Services Corporation
I president of said corporation, do certify that all of the shareholders, not less the	an one half of the directors, and all officers other than secretary and treasurer of

the professional service corporation are duly qualified as provided in KRS chapter 274, and a copy of such annual report has been filed with the regulating board

President's signature

that licenses the shareholders described in this statement.

0078158.09 MMcCulloh N601

Trey Grayson
Secretary of State
Received and Filed
10/07/2004 10:03:51 AM
Fee Receipt: \$5.00

Commonwealth of Kentucky Trey Grayson Secretary of State

Statement of Change of Registered Office, Agent, or Both

Pursuant to the provisions of Chapters 271B or 273 of the Kentucky Revised Statutes, the undersigned hereby applies to change the registered office or registered agent, or both on behalf of

THE SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.

which is organized in the state of Kentucky, and for that purpose submits the following statements:

Name of current registered agent WILLIS B. EWING, JR.	2. Registered agent is hereby changed to WIKKIAM WINKER JR
3. Address of current registered office 1232 MILTON ST. LOUISVILLE, KY 40217	4. Registered office is hereby changed to 1343 HILKORY LOUIS VILLE, KENTUCK 40217
X GAR- AND Signature and little X GAR- AND Signature and little Type or print name and little Date up 27, 2002	I consent of new agent I consent to serve as the new registered agent on behalf of this corporation. Signature of registered agent WILLIAM W TINKER JR TREASURER Type or print name and title, if applicable

* S.A.C.C. *



Oct 6, 2004

Dear Jim;

We have selected, and the report that follows is whom it will be. Look forward to reading the plaques and addressing this person. The letters are as follows. For the Number One Citizen of Schnitzelburg.

This is faxed to your office in Frankfort as well.

Please check on our status with Frankfort as I have sent the proper forms and money again to Mr. Trey Grayson, at Rm. # 152 in the Capital Building. And I have also sent the money for the Historical Plaque on Goss Ave honoring Geo. Hauck and Schnitzelburg as well.

Respectfully;

Gary Allen.Pres. Schnitzelburg

1050 Wagner St, 40217

Home 634-9130, Cell 551-2403

Work 634-4845

- Anna Carantina - Anna	(Horassan) (Horassan)	7777	water autoreanier autor	OU COMPANY WITH THE PARTY OF TH
	URG AREA COMMUNI 1343 HICKORY ST. LOUISVILLE, KY 40217	STATE OF THE PROPERTY OF THE P	21-131 830 15 DATE 9/29/6	1019 2004
PAY TO THE ECONOMICS ORDER OF THE SECOND PROPERTY OF THE SECOND PROP	RETART OF	STATE		\$61.00
REPUBLIC BANK & Trust Company Republic Corporate Center Louisville, Kentucky 40202-2700		768WY /	10)	_DOLLARS I SAME
Louisville, Kentucky 40202-2700 Member FDIC MEMORY JUST	OTE GRP+Giran	GE WI	llians	Winkuk
~				Manufacture - Ma

0078158.09

Ghance NAOA

Trey Grayson
Secretary of State
Received and Filed
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ARTICLES OF

AMENDMENT

OF

SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.

ARTICLE XIII: Amendment

Section 1

Pursuant to a meeting of the members of this corporation held on Monday, September 26, 2005, of which a quorum was present at said meeting, the following amendment was received and unanimously adopted and accepted by the quorum present. The amendment adopted is set forth below:

Section 2: The original Articles of Incorporation for the Schnitzelburg Area Community Council, Inc., are hereby amended to reflect that Article 3, Section I, is hereby amended to read that:

To support any activities which advance the common good and general of the community and its people unless these activities are excluded by IRS Sec. 501(c)(3).

APPROVED AND SUBMITTED by William W. Tinker, Jr. Treasurer and Director for the Schnitzelburg Area Community Council, Inc.

William W. Tinker, Jr.

Director

APPROVED: September 26, 2005

William W. Tinker

Commonwealth of Fentucial Secretary of state

DREXELL R. DAVIS Secretary



FRANKFORT.

CERTIFICATE OF INCORPORATION OF NON-STOCK, NON-PROFIT CORPORATION

1. DREXELL R. DAVIS, Secretary of State of the Commonwealth of Kentucky certify that there has been delivered to my office articles of incorporation of THE SCHUTTZPIRURG AREA COUNTRITY COUNCIL, INC.

The name and address of the registered agent of this corporation is VIILLIAM KPPLY

319 RESWICK

LOWIEVILLE, KENTYCKY 40217

NOW . THEREFORE, finding that these articles of incorporation conform to law and that all fees therefore having been paid as prescribed by law. 1. DREXELL R. DAVIS, Secretary of State, issue this Certificate of Incorporation.



SECRETARY OF STATE

Issued this 7th

GECRETARY OF STATE

ASSISTANT SECRETARY OF STATE

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ARTICLES OF INCORPORATION

FOR

MENT SCHNITZELBURG AREA COMMUNITY COUNCIL, INC. Commonwealth of Kentucky

The undersigned, the majority of whom are citizens of the United States of America, desiring to form a non-profit corporation law of the Commonwealth of Kentucky, do hereby certify:

46536

SECRETARY OF STATE

ARTICLE I

The name of the corporation shall be The Schnitzelburg Area Community Council. Inc.

ARTICLE II

Unless sooner terminated as provided by law, the Area Community Council shall have perpetual existence from the time the certificate of incorporation has been issued by the Secretary of the State of Kentucky.

ARTICLE III

The objects and purposes of the Area Community Council shall be:

- a. To unite property owners, tenants, business people and others interested in the area.
- b. To encourage civic improvements and betterments in the area.
- c. To promote community activities and interests of an educational or civic nature.
- d. To encourage residential and business property upkeep in the area, and to eliminate vandalism and littering.
- e. To encourage better fire and police protection, traffic flow and traffic law enforcement in the area.
- f. To be concerned with youth problems of the area.
- g. To encourage reasonable and adequate zoning, and to ensure uniform enforcement of codes.
- h. To encourage a spirit of friendliness and cooperative community spirit in the area and in relations with other groups in the Schnitzelburg Area and throughout the City of Louisville.
- i. To support any other activities which advance the common good and general welfare of the community and its people unless these activities are excluded by IRC Sec. 501 (c) (4) or IRS regulation.

ARTICLE IV

- (4.1) The said Area Community Council is organized exclusively for the promotion of social and civic welfare as described in IRC Sec. 501 (c) (4). In view of that fact, no part of the net earnings of the Council shall be distributable to its members, directors, officers, and other private persons as income; however, the corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article Three hereof.
- (4.2) No substantial part of the activities of the Area Community Council shall be the carrying on of propaganda, or otherwise attempting to influence legislation, unless the social welfare and civic objective require legislation as per the regulations concerning IRC Sec. 501 (c) (4) or intervene in any political campaign on behalf of any candidate for public office.
- (4.3) Notwithstanding any other provision of these articles, the Area Community Council shall not carry on any other activities not permitted to be carried on by a corporation exempt from Federal Income Tax under Sec. 501 (c) (4) of the Internal Revenue Code of 1954.
- (4.4) Upon dissolution of the Area Community Council, the Board of directors shall, after paying or making provision for the payment of all the liabilities of the Area Community Council, dispose of all the assets of the Area Community Council exclusively for the purposes of the Area Community Council in such maner, or to such organization or organizations established and operated exclusively for social welfare or civic purposes as shall at the time qualify as exempt organization or organizations under Sec. 501 (c) (4) Internal Revenue Code of 1954 as the Board of Directors shall determine. Any such assets not so disposed of shall be disposed of by the Court of Common Pleas of the county in which the principal office of the Corporation is then located, exclusively for such purposes or to such organization or organizations, as said Court shall determine, which are organized and operated exclusively for such purposes.

ARTICLE V

- (5.1) The registered office and place of business of the corporation shall be: William Keely, 819 Meswick, Lauisville, Jefferson County, Kentucky 10217.
- (5.2) The name and address of its resident agent for the mervice of process shall be: William Keely, President, 819 Keswick, Louisville, Kentucky 40217.

ARTICLE VI

The officers, directors, or members of the Area Community Conucil shall not be personally liable for payment of debts, liabilities, or obligations of the Council to any extent whatsoever.

ARTICLE VII

- (7.1) The initial board of directors shall consist of thirteen members on the board and four officers selected from the board.
- (7.2) The following individuals will serve in the capacity of officers until the selection of their successors:

 President: William Keely, 819 Keswick, Louisville, Ky., 40217

 Vice-President: Gregory Sarjent, 942 Mulberry, Louisville, Ky., 40217

 Secretary: James Peak, 1021 Wagner, Louisville, Ky., 40217

 Treasurer: William Tinker, 1245 Milton, Louisville, Ky., 40217

My Schwind.

William Keely Wom W. inker Jame Peak Began Hayer

Commonwealth of Kentucky Alison Lundergan Grimes, Secretary of State

Alison Lundergan Grimes Secretary of State P. O. Box 718 Frankfort, KY 40602-0718 (502) 564-3490 http://www.sos.ky.gov

Certificate of Existence

Authentication number: 205890

Visit https://app.sos.ky.gov/ftshow/certvalidate.aspx to authenticate this certificate.

I, Alison Lundergan Grimes, Secretary of State of the Commonwealth of Kentucky, do hereby certify that according to the records in the Office of the Secretary of State,

THE SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.

is a corporation duly incorporated and existing under KRS Chapter 14A and KRS Chapter 273, whose date of incorporation is February 7, 1977 and whose period of duration is perpetual.

I further certify that all fees and penalties owed to the Secretary of State have been paid; that Articles of Dissolution have not been filed; and that the most recent annual report required by KRS 14A.6-010 has been delivered to the Secretary of State.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my Official Seal at Frankfort, Kentucky, this 16th day of August, 2018, in the 227th year of the Commonwealth.



Alison Lundergan Grimes

Secretary of State

Commonwealth of Kentucky

205890/0078158

Corporation KCO-Kentucky

Corporation

FastTrack Business Organization Search

Enter name (minimum of 4 characters required) or organization number below

A maximum of 250 names will be returned

Schnitzelburg

Please note: Searches may be performed by keying the entire business name or a portion of the business name.

Continue

Search Results (3 matches)

SCHNITZELBURG INVESTMENTS, INC.

Company Name	Organization Number	Status	Туре
THE SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.	0078158	A-Active - Good	KCO-Kentucky Corporation
Schnitzelhurg Community Farmore Market Corn	0070000	X-Pending Dissolution -	KCO-Kentucky

0314147

Bad

I-Inactive

Republic Bank Balance as of	6/1/20	18		\$11,571.70	
Deposits in June					
6/12/18 M	emberships/	Deposits		\$308.00	
	emberships/			\$200.00	
Cleared Checks in J	une				
6/11/18	1887	Pedro Flores	Name Badges	\$5.28	
6/18/18	1895	Ernie's Print Shop	Newsletters	\$108.08	
6/29/18	1898	Metro Gov't	Dainty Permit	\$40.00	
6/1/18	Card	Facebook	Promotion	\$3.00	
6/4/18	Card	USPS	Stamps	\$20.00	
6/12/18	Card	KY Sec. of State	Annual State Filing	\$10.00	
6/12/18	Card	KY Sec. of State	Annual State Filing	\$15.00	
6/29/18	=	Online Banking Fee		\$2.95	
Balance as of 7/1/20)18			\$11,875.39	
Uncleared Checks					
None					
Actual Balance as of	f 7/1/2018			\$11,875.39	
Budget Breakdown					June Change
	n	General Fund		\$10,789.81	\$303.69
		Emerson Gardens		\$387.05	\$0.00
		Scholarship		\$698.53	\$0.00
		Three Points (pass-throu	gh NDF)	\$0.00	\$0.00
			2592 2592		

DEPARTMENT OF THE TREASURY

INTERNAL REVENUE SERVICE P. O. BOX 2508 CINCINNATI, OH 45201

Date:

FEB 23 2007

SCHNITZELBURG AREA COMMUNITY COUNCIL 1343 HICKORY ST LOUISVILLE, KY 40217 Hardwar Identification Number:

307044022

Contact Person: EDWARD S SCHLAACK

ID# 31536

Contact Telephone Number: (877) 829-5500

Accounting Period Ending:

December 31

Form 990 Required:

Yes

Effective Date of Exemption:

February 7, 1977

Contribution Deductibility:

No

Dear Applicant:

We are pleased to inform you that upon review of your application for tax-exempt status we have determined that you are exempt from Federal income tax under section 501(c)(4) of the Internal Revenue Code. Because this letter could help resolve any questions regarding your exempt status, you should keep it in your permanent records.

Please see enclosed Information for Organizations Exempt Under Sections Other Than 501(c)(3) for some helpful information about your responsibilities as an exempt organization.

Sincerely

Lois G. berner

Director, Exempt Organizations Rulings and Agreements

Enclosure: Information for Organizations Exempt Under Sections Other Than 501(c)(3)

(Rev. December 2014) Department of the Treasury

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

mitorina			
	Name (as shown on your income tax return). Name is required on this Schnitzelburg Area Community Council	ine; do not leave this line blank.	
8	2 Business name/disregarded entity name, if different from above		
Print or type Specific Instructions on page	Check appropriate box for federal tax classification; check only one of	the following seven boxes:	4 Exemptions (codes apply only to
e ns on	☐ Individual/sole proprietor or ☐ C Corporation ☐ S Corsingle-member LLC	rust/estate certain entities, not individuals; see instructions on page 3):	
tion to	Limited liability company. Enter the tax classification (C=C corporati	on, S=S corporation, P=partnership) ▶	Exempt payee code (if any)
Print or type	Note. For a single-member LLC that is disregarded, do not check L the tax classification of the single-member owner.	e above for Code (if any)	
F	✓ Other (see instructions) ➤ Nonprofit corporation exem	pt under IRS Code Section 5	01(c)(4) (Applies to accounts maintained outside the U.S.)
S.	5 Address (number, street, and apt. or suite no.)	Reque	ester's name and address (optional)
be	PO Box 17306		
ø	6 City, state, and ZIP code		
See	Louisville, KY 40217		
	7 List account number(s) here (optional)		
Par	Taxpayer Identification Number (TIN)		
	your TIN in the appropriate box. The TIN provided must match th		Social security number
	p withholding. For individuals, this is generally your social securit		
	ent alien, sole proprietor, or disregarded entity, see the Part I instr es, it is your employer identification number (EIN). If you do not ha		
	n page 3.	vo a nambor, odo novi to got a	or
Note.	If the account is in more than one name, see the instructions for	line 1 and the chart on page 4 for	Employer identification number
guidel	lines on whose number to enter.		
Par	t II Certification		
Under	penalties of perjury, I certify that:		
1. Th	e number shown on this form is my correct taxpayer identification	number (or I am waiting for a num	ber to be issued to me); and
Se	m not subject to backup withholding because: (a) I am exempt from rvice (IRS) that I am subject to backup withholding as a result of a longer subject to backup withholding; and	om backup withholding, or (b) I hav a failure to report all interest or divi	e not been notified by the Internal Revenue dends, or (c) the IRS has notified me that I am
3. I a	m a U.S. citizen or other U.S. person (defined below); and		
4. The	e FATCA code(s) entered on this form (if any) indicating that I am	exempt from FATCA reporting is co	prrect.
becau intere	fication instructions. You must cross out item 2 above if you have use you have failed to report all interest and dividends on your taxe st paid, acquisition or abandonment of secured property, cancellar ally, payments other than interest and dividends, you are not requestions on page 3.	return. For real estate transactions ation of debt, contributions to an in	s, item 2 does not apply. For mortgage dividual retirement arrangement (IRA), and
Sign Here		Date▶	6/11/2015
Ger	neral Instructions	• Form 1098 (home mortgage	interest), 1098-E (student loan interest), 1098-T

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at www.irs.gov/fw9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- · Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- . Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- · Form 1099-K (merchant card and third party network transactions)

- (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding? on page 2.

By signing the filled-out form, you:

- 1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued).
- 2. Certify that you are not subject to backup withholding, or
- 3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
- 4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See What is FATCA reporting? on page 2 for further information.

Schnitzelburg Area Community Council budget 2018-19:

General Meetings – \$300 (\$50 each)

Newsletter – \$1200 (print edition)

Dainty - \$1230

Movie Night - \$1380

T-Shirts - \$1200

#1 Citizen Dinner - \$2700

Light up Schnitzelburg - \$730

Office Supplies - \$150

Miscellaneous – \$110

Total - \$9000

NDF Request - \$2960

SACC expenditure – \$6040

Section 5 (A): Community Events & Festivals

Event Expenditures are as follows:

Item	Metro	NonMetro	Total
Dainty Contest	\$602.70	\$627.30	\$1,230.00
Movie Night	\$676.20	\$703.80	\$1,380.00
#1 Citizens Dinner	\$1,323.00	\$1,377.00	\$2,700.00
Light Up Schnitzelburg	\$357.70	\$372.30	\$730.00
Total	\$2,959.60	\$3,080.40	\$6,040.00

July 30, 2018 - Dainty contest

Security - \$350.00

Music performance - \$350.00

Dainty bats - \$50.00

Paint - 100.00

Plastic fencing – \$100.00

Permits - \$50.00

Advertising - \$30.00

Toilets - \$200.00

Total: \$1230.00

October 13, 2018 - Movie Night

Screen Rental - \$500.00

Movie Rights – \$300.00

Decoration - \$150.00

Advertising – \$30.00

Toilets - \$200.00

Face Painting - \$200.00

Total: \$1380.00

Section 5 (A): Community Events & Festivals

Event Expenditures are as follows:

November 8, 2018 - #1 Citizen Dinner

Catering - \$1,800.00

Personalized Baseball Bat and winner's jacket - \$200.00

Music performance - \$200.00

Event Program/flyers - \$300.00

Help for set-up and cleaning/breakdown - \$100.00

Hall rental - \$100.00

Total: \$2,700.00

December 2018 - Light Up Schnitzelburg

Advertising - \$30.00

Decoration contest prizes – \$200.00

Snacks - \$300.00

Hall Rental - \$200.00

Total - \$730.00

THE SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.

General Information

Organization Number

0078158

Name

THE SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.

Profit or Non-Profit

N - Non-profit

Company Type

KCO - Kentucky Corporation

Status

A - Active

Standing

G - Good

State

KY

File Date

2/7/1977

Organization Date Last Annual Report 2/7/1977 6/11/2018

Principal Office

P.O. BOX 17306

LOUISVILLE, KY 40217

Registered Agent

KARA UNDERWOOD

876 FETTER AVE

LOUISVILLE, KY 40217

Current Officers

President

Ronald Bolton

Vice President

Kara Underwood

Secretary

Michael Morris Alan Grisanti

Treasurer Director

Kara Underwood

Director

Michael Morris

Director

Ronald Bolton

Director

Alan Grisanti

Individuals / Entities listed at time of formation

Director

WILLIAM KEELY

Director

GREGORY SARJENT

Director

JAMES PEAK

Director

WILLIAM TINKER WILLIAM KEELY

Incorporator Incorporator

JAMES PEAK

Incorporator

WILLIAM TINKER

Incorporator

GREGORY SARJENT

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

Registered Agent name/address change 6/11/2018 10:10:28

1 page

PDF

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