

NDF022323WPPA16
(as amended)

**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

Applicant/Program: Wolf Pen Preservation Association, Inc. / Wolf Pen Springdale Landscaping Project
Applicant Requested Amount: ~~\$2,470.00~~ \$2,696.50
Appropriation Request Amount: ~~\$2,470.00~~ \$2,696.50

Executive Summary of Request
Landscaping/beautification of the southeast corner at Springdale Rd. and Wolf Pen Branch Rd. The total cost is ~~\$4,940.00~~ ^{\$5,393.00}. This is a match funding with the Wolf Pen Preservation Association funding 50% in the amount of ~~\$2,470.00~~ \$2,696.50.

Is this program/project a fundraiser? Yes No
Is this applicant a faith based organization? Yes No
Does this application include funding for sub-grantee(s)? Yes No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

16 District #  Primary Sponsor Signature \$2,696.50 Amount Jan 31, 2023 Date
~~\$2,470.00~~

Primary Sponsor Disclosure
List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.
None

Approved by:

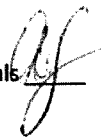
Appropriations Committee Chairman Date
Final Appropriations Amount: _____

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Legal Name of Applicant Organization Wolf Pen Preservation Association, Inc.	
Program Name and Request Amount Wolf Pen Springdale Landscaping Project \$2,470 \$2,696.50	
	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	<input type="checkbox"/> Yes
Is the funding proposed by Council Member(s) less than or equal to the request amount?	<input type="checkbox"/> Yes
Is the proposed public purpose of the program viable and well-documented?	<input type="checkbox"/> Yes
Will all of the funding go to programs specific to Louisville/Jefferson County?	<input type="checkbox"/> Yes
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	<input type="checkbox"/> Yes
Has prior Metro Funds committed/granted been disclosed?	<input type="checkbox"/> Yes
Is the application properly signed and dated by authorized signatory?	<input type="checkbox"/> Yes
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	<input type="checkbox"/> Yes
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	<input type="checkbox"/> N/A
Is the entity in good standing with: <ul style="list-style-type: none"> ▶ Kentucky Secretary of State? ▶ Louisville Metro Revenue Commission? ▶ Louisville Metro Government? ▶ Internal Revenue Service? ▶ Louisville Metro Human Relations Commission? 	<input type="checkbox"/> Yes
Is the current Fiscal Year Budget included?	<input type="checkbox"/> Yes
Is the entity's board member list (with term length/term limits) included?	<input type="checkbox"/> Yes
Is recommended funding less than 33% of total agency operating budget?	<input type="checkbox"/> Yes
Does the application budget reflect only the revenue and expenses of the project/program?	<input type="checkbox"/> Yes
Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?	<input type="checkbox"/> Yes
Is the most recent annual audit (if required by organization) included?	<input type="checkbox"/> N/A
Is a copy of Signed Lease (if rent costs are requested) included?	<input type="checkbox"/> N/A
Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?	<input type="checkbox"/> N/A
Are the Articles of Incorporation of the Agency included?	<input type="checkbox"/> Yes
Is the IRS Form W-9 included?	<input type="checkbox"/> Yes
Is the IRS Form 990 included?	<input type="checkbox"/> Yes
Are the evaluation forms (if program participants are given evaluation forms) included?	<input type="checkbox"/> N/A
Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?	<input type="checkbox"/> N/A
Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?	<input type="checkbox"/> No
Prepared by: D. Carroll	Date: Jan 31, 2023

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 - APPLICANT INFORMATION			
Legal Name of Applicant Organization:			
<i>(as listed on: http://www.sos.ky.gov/business/records Wolf Pen Preservation Association, Inc.</i>			
Main Office Street & Mailing Address: P.O. Box 45, Harrods Creek, KY 40027			
Website: N/A			
Applicant Contact:	Alice Gunnison	Title:	President
Phone:	502 558 5568	Email:	agunnison@aol.com
Financial Contact:	Tammy Switow	Title:	bookkeeper
Phone:	502-819-7220	Email:	pianotam@twc.com
Organization's Representative who attended NDF Training: Alice Gunnison			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s):	Springdale Rd at Wolf Pen Branch Rd, southeast corner		
Council District(s):	16	Zip Code(s):	40059
SECTION 2 - PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: Landscape, replant approx 30' x 60' turf area /Wolf Pen Springdale Landscaping Project			
Total Request: (\$)	\$2470	Total Metro Award (this program) in previous year: (\$)	-0-
Purpose of Request (check all that apply):			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget)			
<input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals			
<input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
The Following are Required Attachments:			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current year projected budget <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation (current & signed) <input checked="" type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if applicable	
For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
Source:		Amount: (\$)	0
Source:		Amount: (\$)	
Source:		Amount: (\$)	
Has the applicant contacted the BBB Charity Review for participation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			

Applicant's Initials 

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

Wolf Pen Preservation Association is an IRS 501 (c) (3) organization which has no members. The board of directors consists of volunteers and there are no paid employees. We are not a neighborhood association in the traditional sense used by subdivisions or residential developments.

The purposes and objectives of WPPA are to engage in activities and programs designed to preserve, maintain, protect and enhance the scenic character and historic integrity of the Wolf Pen Branch corridor located in eastern Jefferson County, Kentucky. This includes the preservation or adaptive re-use of historic structures whenever possible and protection of indigenous flora and fauna as well as wetland and water sources. We hold an annual open meeting for neighbors to educate them on our purposes and update them on projects which might threaten the viewshed.

As part of our goal of maintaining the scenic charm of this semi-rural corridor, we have used some of our funds to replace or add trees along the corridor to preserve the natural beauty of the roadway which has been in its present location- essentially unaltered- since the mid 1800's. We paid for a State Historic Marker (located at Chamberlain and Wolf Pen Branch Rds) which describes the early settlement of this area as well as a tribute to the founder of the WPPA over fifty years ago. An historic African-American cemetery dated back to the late 1800's was restored by several Board members who attended a hands-on workshop in 2019 to learn how to restore historic cemeteries. We are currently in the process of designing and placing four historic roadway markers to be erected at the four entry points to the Wolf Pen corridor as defined by our Neighborhood Plan, which was updated in 2012. These will further educate neighbors and passers-by about the unique area this represents.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 - BOARD OF DIRECTORS AND PAID STAFF

Board Member	Term End Date
Mark Foster	12/24
Alice Gunnison	12/24
Mary Kannapell	12/24
Barbara Kelly	12/23
Renee Major	12/24
Naomi Scheirich	12/23
Seth Singleton	12/24
Marsha Weinstein	12/24
Mark Worley	12/24
Arnold Zegart	12/23

Describe the Board term limit policy:
 Directors shall serve for a two-year term or until their successors shall be elected. Directors may serve an unlimited number of terms.

Three Highest Paid Staff Names	Annual Salary
n/a	

Applicant's Initials 

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT NARRATIVE

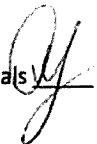
A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

Project will begin approximately March 1, 2023, weather permitting, should be completed no later than April 30, 2023.

This is a beautification project of the southeast corner of Springdale Road and Wolf Pen Branch Road that will include landscaping, et. al. Project will include removing dead planting, relocating some existing, amending soil and installation of new trees/shrubs, et. al.

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):

A landscaping contractor will be hired by the WPPA to provide the services outlined in the attached proposal. This includes consideration for the overhead and underground utilities as well as sight lines and distance from roadways.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

n/a

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

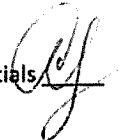
✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

Reimbursements should not be made before application date unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.

✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

Benefits to the project are:

- 1) remove unsightly dead plant material
- 2) place new plant material to improve visual quality of the intersection

Outcome will be measured by the visual quality of the installation itself as well as feedback from neighborhood organizations located around the project and individuals passing through the area.

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

This project lies between the neighborhoods of Green Spring and Wolf Pen Corridor. Both neighborhoods will benefit from this beautification. When the East End bridge was completed the maintenance of this corner was turned over to the city of Green Spring. They have mowed the corner in the past and have agreed to continue to mow going forward, at no cost. Many of the past plantings that were installed by INDOT after the bridge completion have not survived.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
A: Personnel Costs Including Benefits			\$ 0.00
B: Rent/Utilities			\$ 0.00
C: Office Supplies			\$ 0.00
D: Telephone			\$ 0.00
E: In-town Travel			\$ 0.00
F: Client Assistance (See Detailed List on Page 8)			\$ 0.00
G: Professional Service Contracts	\$2470 ^{\$2,696.50}	\$2470 ^{\$2,696.50}	\$4940 ^{\$5,393.00}
H: Program Materials			\$ 0.00
I: Community Events & Festivals (See Detailed List on Page 8)			\$ 0.00
J: Machinery & Equipment			\$ 0.00
K: Capital Project			\$ 0.00
L: Other Expenses (See Detailed List on Page 8)			\$ 0.00
*TOTAL PROGRAM/PROJECT FUNDS	\$2470 ^{\$2,696.50}	\$2470 ^{\$2,696.50}	\$4940 ^{\$5,393.00}
% of Program Budget	50%	50%	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:


Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	\$2470 ^{\$2,696.50}
Fees Collected from Program Participants	
Other (please specify)	
Total Revenue for Columns 2 Expenses **	\$2470 ^{\$2,696.50}

*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

**Must equal or exceed total in column 2.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail for Client Assistance, Community Events & Festivals or Other Expenses shown on Page 7 (circle one and use multiple sheets if necessary)	Column 1	Column 2	Column (1 + 2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
n/a			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
			\$ 0.00
Total	\$ 0.00	\$ 0.00	\$ 0.00

Applicant's Initials 

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).


Donor*/Type of Contribution	Value of Contribution	Method of Valuation
<i>Total Value of In-Kind</i> (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)	\$ 0.00	

*** DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK**

Agency Fiscal Year Start Date: January 1

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:

Applicant's Initials 

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 7 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked and the funds will not be disbursed to our organization.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

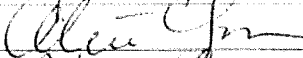
Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

SECTION 8 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:		Date:	1/6/23
Legal Signatory: (please print):	Alice Gunnison	Title:	President WPPA
Phone:	502 558-5568	Extension:	
Email:	agunnison@aol.com		

Carroll, Debbie

From: agunnison@aol.com
Sent: Wednesday, January 11, 2023 5:25 PM
To: Carroll, Debbie
Subject: NDF for WPPA

CAUTION: This email came from outside of Louisville Metro. Do not click links or open attachments unless you recognize the sender and know the content is safe

Debbie--

Please add to our application that Mark Foster, owner/contractor of the company providing an estimate for the proposed work on Springdale at Wolf Pen Branch, is a member of the WPPA Board of Directors. We want to be completely transparent.

Thank you,

Alice Gunnison

INTERNAL REVENUE SERVICE
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date:

10/10/2013

WOLF PEN PRESERVATION ASSOCIATION
INC
PO BOX 45
HARRODS CREEK, KY 40027

Employer Identification Number:
13-4359120
DLN:
17053331357002
Contact Person:
TERRY L MILLER ID# 31222
Contact Telephone Number:
(877) 829-5500
Accounting Period Ending:
December 31
Public Charity Status:
170(b)(1)(A)(vi)
Form 990 Required:
Yes
Effective Date of Exemption:
May 15, 2010
Contribution Deductibility:
Yes
Addendum Applies:
Yes

Dear Applicant:

We are pleased to inform you that upon review of your application for tax exempt status we have determined that you are exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code. Contributions to you are deductible under section 170 of the Code. You are also qualified to receive tax deductible bequests, devises, transfers or gifts under section 2055, 2106 or 2522 of the Code. Because this letter could help resolve any questions regarding your exempt status, you should keep it in your permanent records.

Organizations exempt under section 501(c)(3) of the Code are further classified as either public charities or private foundations. We determined that you are a public charity under the Code section(s) listed in the heading of this letter.

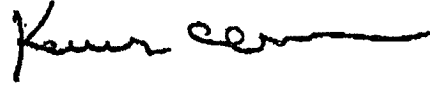
Please see enclosed Publication 4221-PC, Compliance Guide for 501(c)(3) Public Charities, for some helpful information about your responsibilities as an exempt organization.

Letter 947 (DO/CG)

WOLF PEN PRESERVATION ASSOCIATION

We have sent a copy of this letter to your representative as indicated in your power of attorney.

Sincerely,

A handwritten signature in cursive script, appearing to read "Kaur", followed by a long horizontal flourish.

Director, Exempt Organizations

Enclosure: Publication 4221-PC

WOLF PEN PRESERVATION ASSOCIATION

ADDENDUM

Based on the information submitted with your application, you meet the requirements for retroactive reinstatement under Notice 2011-43.

COMMONWEALTH OF KENTUCKY
FINANCE AND ADMINISTRATION CABINET
DEPARTMENT OF REVENUE
FRANKFORT
40601-2103

May 15, 2014

WOLF PEN PRESERVATION ASSN
ATTN: ALICE GUNNISON
P O BOX 45
HARRODS CREEK, KY 40059 40027

LOCATION ADDRESS

7849 WOLF PEN BRANCH ROAD
PROSPECT, KY 40059-

PURCHASE EXEMPTION NUMBER: B26808

EFFECTIVE DATE: 05/15/2014

Dear Sir or Madam:

Based on the information submitted in your Application for Purchase Exemption -- Sales and Use Tax, you are hereby authorized to purchase tangible personal property or services, including utilities, without paying or reimbursing the vendor for the sales or use tax with respect to such purchases.

Your vendor is hereby authorized to sell tangible personal property or services, including accommodations and utilities, to you without receipt of sales or use tax, provided the vendor receives a copy of your purchase exemption certificate, Revenue Form 51A126, in good faith and retains the copy in the business's records. Every invoice should show that delivery was made to you and should bear the exemption permit number shown above. The vendor may deduct receipts from these sales on Line 4 of his/her return.

If any of the property purchased is not used within the exempt function of your charitable, educational, or religious institution, you will immediately report and pay the required tax measured by the purchase price of the property (you must contact the Department of Revenue immediately if such a situation arises). Any official or employee of the institution who uses official position to make tax-free purchases for personal use, or that of any other person, will be subject to the penalties provided in KRS 139.990 and other applicable laws.

This exemption authorization applies only to PURCHASES made by your organization. If you are a nonprofit charitable, educational, or religious institution making retail sales of tangible personal property, a sales and use tax permit must be obtained for reporting and remitting the tax on such sales. For further information refer to the enclosed fact sheet. In addition, this authorization does not exempt your agency/organization from motor vehicle usage tax when purchasing a vehicle or u-drive-it tax when renting a motor vehicle.

In the event there is a change in your name, address, or operations from the information submitted in your application, you must notify the Department of Revenue in writing of the change immediately.

If you require additional information or assistance in this matter, please contact the undersigned at: Department of Revenue, Division of Sales and Use Tax, Station 67, PO Box 181, Frankfort, KY 40602-0181, or call 502-564-5170.

Connie Rodgers

Connie Rodgers, Supervisor
Certification Section
Division of Sales and Use Tax

Enclosure

WPPA Projected budget 2023

Income balance brought forward from 1/1/22 (\$10,827.)

Projected expenses:

Postage for newsletter, invitations	\$ 250.
Printing newsletter, invitations	700.
Misc Office supplies	300.
US Post Office box rental fee	70.
Annual KY filing fee	15.
Food, audio equipment rental for annual neighborhood meeting	600.
Directors' Insurance	1,092.
Website design, maintenance (in process)	500.
Four historic markers to delineate Neighborhood Plan area	7,300.
	<hr/>
TOTAL	\$ 10,827.

** Note: On occasion WPPA requires legal representation at Planning Commission and related committee meetings. That cost may be anywhere from \$2,000 to approx. \$5-6,000. We do not know at this time if that will occur in 2023 but we must keep financial reserves for this possibility.



Wolf Pen Preservation Association, Inc.
Treasurers' Report
For Period Ending 11/30/2022

Starting Balance from 01/01/2022 \$ 22,890.25

Sources of Income:

Contributions from 2022 appeals	\$	4075.00
Down the Road Funding Support		
Amazon Smile Contribution	\$	7.99
Memorial Contributions	\$	285.00

TOTAL INCOME	\$	4,367.99
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Expenditures:

Annual KY filing fee (\$15,\$25)	\$	15.00
US Postal Box Rental	\$	
Newsletter printing	\$	
Newsletter postage	\$	108.00
Printed envelopes		
WPPA Check reorder	\$	
Printed donation cards	\$	
Food, Invites for AG	\$	628.65
Legal Fees (ChambWoodsOffc)	\$	
Gift card TY for Reidling	\$	
Officers Insurance	\$	
Website costs	\$	187.50
Memorial gift expenses	\$	285.00

TOTAL EXPENSES	\$	1,224.15
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Ending Balance from 11/30/2022 \$ 26,034.09

Fund Balance as of 11/30/2022

Down the Road Fund	\$	16,836.97
General Purpose Fund	\$	<u>9,197.12</u>
TOTAL	\$	26,034.09

PROJECTED BUDGET FOR 2023 indicates income/expenses to remain the same which will yield a surplus of roughly \$3000.00

Electronic Notice (e-Postcard)

Department of the Treasury
Internal Revenue Service

for Tax-Exempt Organization not Required to File Form 990 or 990-EZ

2021

Open to Public Inspection

A For the 2021 Calendar year, or tax year beginning 2021-01-01 and ending 2021-12-31

B Check if available
Terminated for Business
Gross receipts are normally \$50,000 or less

C Name of Organization: WOLF PEN PRESERVATION
ASSOCIATION INC
PO Box 45, Harrods Creek,
KY, US, 40027

D Employee Identification
Number 13-4359120

E Website:

F Name of Principal Officer: Alice Gunnison
7849 Wolf Pen Branch Road,
Prospect, KY, US, 40059

Privacy Act and Paperwork Reduction Act Notice: We ask for the information on this form to carry out the Internal Revenue laws of the United States. You are required to give us the information. We need it to ensure that you are complying with these laws.

The organization is not required to provide information requested on a form that is subject to the Paperwork Reduction Act unless the form displays a valid OMB control number. Books or records relating to a form or its instructions must be retained as long as their contents may become material in the administration of any Internal Revenue law. The rules governing the confidentiality of the Form 990-N is covered in code section 6104.

The time needed to complete and file this form and related schedules will vary depending on the individual circumstances. The estimated average times is 15 minutes.

Note: This image is provided for your records only. Do Not mail this page to the IRS. The IRS will not accept this filing via paper. You must file your Form 990-N (e-Postcard) electronically.

Filed 4/18/22 7:50pm
TS

AMENDED AND RESTATED ARTICLES OF INCORPORATION

Trey Grayson
Secretary of State
Received and Filed
01/30/2008 2:23:33 PM
Fee Receipt: \$16.00

OF

WOLF PEN PRESERVATION ASSOCIATION, INC.

THE UNDERSIGNED, duly elected Secretary of the Wolf Pen Preservation Association, Inc. hereby certifies that said corporation is a non-stock, non-profit corporation incorporated on April 20th 1992, under the laws of the Commonwealth of Kentucky, and Chapter 273 of the Kentucky Revised Statutes more particularly.

I further certify that Articles I, II, III, IV, V, VI, VII, VIII, IX, X, XI, XII, XIII all incorporate amendments to the Articles of Incorporation and that, except for these amendments, these Restated Articles of Incorporation set forth without change the corresponding provisions of the Articles of Incorporation and that they supersede said Articles of Incorporation.

I further certify that the following Amended and Restated Articles of Incorporation were adopted at a regular meeting of the corporation's Board of Directors held on 8 January 2008, that a quorum was present, and that said Articles received the vote of a majority of the Directors in office.

ARTICLE I

The name of the Corporation is the Wolf Pen Preservation Association, Inc.

ARTICLE II

The duration of the Corporation shall be perpetual.

ARTICLE III

The principal office of the Corporation is located at:

PO Box 45
Harrods Creek, Ky. 40027

Other places of business in said city or elsewhere may be designated by resolution of the Board of Directors.

ARTICLE IV

The Corporation is organized and shall be operated exclusively for charitable, scientific, and educational purposes as described within Section 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws), including for such purposes the making of distributions to organizations and individuals for the purpose of engaging in activity falling within the purposes of the Corporation and permitted for an organization exempt under said Section 501(c)(3).

The purposes of the Corporation shall be more specifically stated as follows:

- 1) To engage in activities and programs designed to preserve, maintain, protect, and enhance the character of the rural residential areas of Jefferson County, Kentucky, and, the integrity of such areas, including the preservation and protection of the indigenous flora and fauna and it's wetlands and water sources
- 2) To engage in educational activities and programs that foster physical and ecological improvements, develop useful resources, to cooperate with other organizations, and other charitable, scientific, and educational activities consistent with the above purposes.

ARTICLE V

The Corporation shall be irrevocably dedicated to, and operated exclusively for, non-profit purposes. No part of the net earnings of the Corporation shall inure to the benefit of or be distributable to its members, directors, officers, or other private persons, except that the Corporation shall be authorized

and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article IV hereof.

ARTICLE VI

In carrying out the corporate purposes described in Article IV, the Corporation shall have all the powers granted by the laws of the State of Kentucky, including in particular those listed in K.R.S 273.171 (or corresponding provision of any later State statute), except as follows and as otherwise stated in these Articles:

- (a) No substantial part of the activities of the Corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation, and the Corporation shall not participate in, or intervene in (including the publishing or distribution of statements), any political campaign on behalf of any candidate for public office.
- (b) Notwithstanding, any other provision of these Articles, the Corporation shall not carry on any other activities not permitted to be carried on:
 - (1) by a corporation exempt from Federal income tax under Section 501(c)(3) of the Internal Revenue Code, or the corresponding provisions of any subsequent Federal tax laws.
 - (2) by a corporation, contributions to which are deductible under Section 170(c)(2) of the Internal Revenue Code, or corresponding provision of any later Federal tax laws.
- (c) If and so long as the Corporation is a private foundation as defined in Section 509(a) of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws:
 - (1) The Corporation shall distribute its income for each taxable year at such time and in such manner as not to become subject to the tax on undistributed income imposed by Section 4942 of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws.

- (2) The Corporation shall not engage in any act of self-dealing as defined in Section 4941(d) of the Internal Revenue Code or corresponding provisions of any later Federal tax laws.
- (3) The Corporation shall not retain any excess business holdings as defined in Section 4943© of the Internal Revenue Code or corresponding provisions of any later Federal tax laws.
- (4) The Corporation shall not make any investments in such manner as to subject it to tax under Section 4944 of the Internal Revenue Code or corresponding provisions of any later Federal tax laws.
- (5) The Corporation shall not make any taxable expenditures as defined in Section 4945(d) of the Internal Revenue Code or corresponding provisions of any later Federal tax laws.

ARTICLE VII

The Corporation shall be governed by the By-Laws.

Any director may be removed for cause pursuant to By-Laws provisions regarding grounds and procedures for such removal.

ARTICLE VIII

- (a) The directors, officers, employees and members of this Corporation shall not be held personally liable for any debt or obligation of the Corporation solely because of their position in the Corporation.
- (b) Any person serving on the Board of Directors of this Corporation shall not be held personally liable for monetary damages resulting from the breach of his/her duties as director unless such act, omission or breach:
 - (1) concerned or concerns a transaction in which the director's personal financial interest was or is in conflict with the financial interests of the Corporation;

- (2) was not in good faith or involved or involves intentional misconduct on the part of the director;
- (3) was known by the director to be a violation of law; or
- (4) resulted in an improper personal benefit to the director.

ARTICLE IX

The Corporation may indemnify any director or officer or former director or officer of the Corporation against any expenses actually and reasonably incurred by him/her in connection with the defense of any action, suit or proceeding, civil or criminal, in which s/he is made a party by reason of being or having been such director or officer, except in relation to matters as to which s/he shall be adjudged in such action, suit or proceeding to be liable for negligence or misconduct in the performance of duty to the Corporation. The Corporation may make any other indemnification permitted by law and authorized by its Articles of Incorporation, or its By-laws or a resolution adopted after notice to members entitled to vote.

ARTICLE X

In the event of dissolution of the Corporation, the Board of Directors shall, after paying or making provision for the payment of all liabilities of the Corporation, dispose of all assets of the Corporation exclusively for the purposes of the Corporation, in such manner, or to such organizations organized and operated exclusively for charitable or educational purposes as shall at the time qualify as an exempt organization under Section 501(c)(3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws), or to a state or local government for a public purpose as the Board of Directors shall determine.

The remaining assets, if any, shall be disposed of by the Circuit Court of the county in which the principal office for the Corporation is then located, exclusively for such purposes or to such organizations as said Court shall determine are organized and operated exclusively for such purposes.

ARTICLE XI

Amendments to these Articles shall be made pursuant to the provisions of K.R.S. 273.263 (or corresponding provision of any later State statute).

IN TESTIMONY WHEREOF, witness the signature of the Secretary of this Corporation this 22nd day of January, 2008.

Marsha Weinstein

MARSHA WEINSTEIN

STATE OF KENTUCKY)
)
COUNTY OF JEFFERSON)

The foregoing Amended and Restated Articles of Incorporation were acknowledged before me this 22nd day of January, 2008, by **MARSHA WEINSTEIN**, Secretary of Wolf Pen Preservation Association, Inc., on behalf of the Corporation.

Witness my signature and seal of office this 22nd day of January, 2008.

My Commission Expires: 2 January 2011.

Jeffrey B. Segal

NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

This Document Prepared By:

Jeffrey B. Segal

JEFFREY B. SEGAL
Attorney at Law
3509 Cotter Dr.
Louisville, Kentucky 40211
(502) 774-4499

Document No.: DN2008016430
Lodged By: WOLF PEN PRESERVATION
Recorded On: 02/04/2008 11:10:55
Total Fees: 20.00
Transfer Tax: .00
County Clerk: BOBBIE HOLSCLOW-JEFF CO KY
Deputy Clerk: NICSCD

END OF DOCUMENT

Wolf Pen Preservation Association
c/o Alice Gunnison, president
email

As per the planting plan (8/30/22) and the scope of work (12/13/22), I propose to furnish all materials and labor for the southeast corner of Springdale and Wolf Pen Branch Roads planting project for the sum of \$ 4,940.00

terms: I carry workman's compensation and general liability and will continue to do so throughout the project. Payment: In full upon completion.

Mark Foster

MARK FOSTER DESIGN BUILD, inc.
markfosterdb@gmail.com 502.396.3852
p.o. box 212, harrods creek, ky 40027

Mark Daniel Foster, ltd dba as mark foster design build, inc

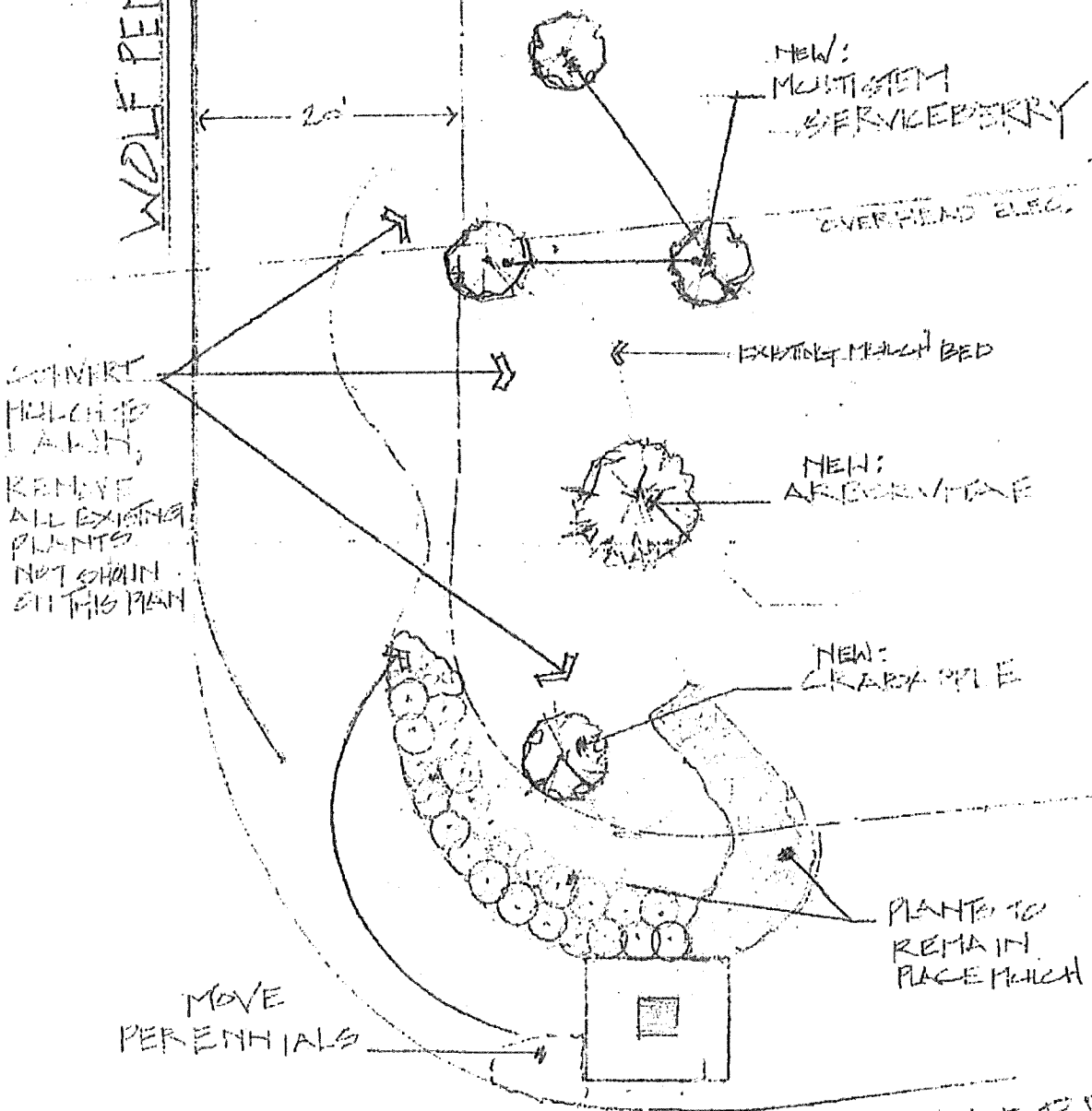
New and Existing Plantings
Southeast corner of Wolf Pen Branch and Springdale Roads

Scope of Work

1. Contact BUD to locate underground utilities before work begins.
2. Remove existing vegetation (approx. 20 shrubs) and re-locate selected shrubs and perennials. Establish new plant beds and tree dishes to reflect all new and relocated plantings.
3. Place new plantings:
 - 1, Arborvitae sp. 8' tall
 - 3, Multi-stem Serviceberry sp., multi stem 6-8' tall
 - 1, Crabapple "Royal Rain" or equivalent, 1.5" caliper
4. Place shredded hardwood mulch on exposed tree bases and planting beds.
5. All new plant beds and existing planting areas that are to be converted to lawn will be tilled, aerated, and amended as necessary. New lawn areas will be seeded and covered with loose wheat straw.
5. Place mesh protection around Crabapple trunk, to deter deer rutting.
6. Remove all debris associated with all implementation.

I-265

WOLF PEN BRANCH RD



SPRINGDALE RD

Planting Plan

Corner of Wolf Pen Branch and Springdale Roads

11/30/22

This plan is conceptual and not intended as a complete construction document. Contractor is to check all given dimensions for accuracy, and to adhere to Metro Louisville planting requirements.

Scale: 1" = 10'-00"

Carroll, Debbie

From: agunnison@aol.com
Sent: Tuesday, February 14, 2023 1:56 PM
To: Carroll, Debbie
Subject: Fw: WPPA new estimates
Attachments: Abrams quote trees.jpg; Perez planting quote.jpg; water estimate.pdf

CAUTION: This email came from outside of Louisville Metro. Do not click links, open attachments, or give away private information unless you recognize the sender's email address and know the content is safe.

Hi, Debbie--

As we discussed Mark Foster is unable to submit an estimate for the plantings at Springdale. I have attached a new estimate. The design, scope of work and trees/bushes to be moved or purchased remain the same as Mark's descriptions on his 2nd and 3rd pages so those can be reused (but remove Mark's name at the bottom of his 3rd page.

Perez N Cortez will do the scope of work (as outlined on those two pages of Mark's estimate.) \ We will need to purchase trees separately (Mark had included cost of trees) and we have added an estimate for watering since it such a severely dry, sunny area. We feel up to 10 waterings over the initial few months should suffice if we get the usual amount of rain.

Is it possible for you to insert these 3 estimates (now attached on bottom of this email) without having to get an initial review again? Would we still be on time for the March 9 review?

I still have to get Board approval to make the changes but just want to sound you out on this plan.

Alice
558-5568

Carroll, Debbie

From: agunnison@aol.com
Sent: Friday, February 17, 2023 10:13 AM
To: Carroll, Debbie
Subject: Go ahead for WPPA
Attachments: Est_17920_from_Unlimited_Landscapes_Inc_16676.pdf

CAUTION: This email came from outside of Louisville Metro. Do not click links, open attachments, or give away private information unless you recognize the sender's email address and know the content is safe.

Debbie--Here's the estimate for the 20 waterings. Our board has approved asking for \$5393, which covers \$583 for new plants, \$1,910 for labor and \$2900 for 20 waterings, and we understand that if approved, we would only receive 1/2 of that amount.

Thanks bunches,

Alice

-----Original Message-----

From: Steve Butler <sbutler@unlimitedlandscapes.com>
To: agunnison@aol.com <agunnison@aol.com>
Cc: Info <info@unlimitedlandscapes.com>
Sent: Thu, Feb 16, 2023 3:12 pm
Subject: Estimate 17920 from Unlimited Landscapes Inc.

Dear Alice,

Please review the attached estimate- 17920. Feel free to contact us if you have any questions.

We look forward to talking with you soon.

Sincerely,

Steve Butler

Owner, Unlimited Landscapes Inc.

Office 502-254-1201

Website www.unlimitedlandscapes.com



502-254-1201

unlimitedlandscapes.com

Abrams Nursery
PO Box 41
Buckner, KY 40010 US
(502) 241-4996
abramsnursery@att.net

Estimate



ADDRESS
House Account
P.O. Box 41
Buckner, KY 40010

ESTIMATE # DATE
4883 02/13/2023

P.O. NUMBER
Wof Pen Preservation Assoc.

ACTIVITY	QTY	RATE	AMOUNT
Thuja, Green Giant 7-8'	1	135.00	135.00T
Amelanchier, Autumn Brilliance 7' Clump	3	111.00	333.00T
Malus 1.5" Royal Raindrop	1	115.00	115.00T
		SUBTOTAL	583.00
		TAX (6%)	34.98
		TOTAL	\$583.00 \$617.98

Accepted By

Accepted Date



QUOTE

Date: February 7, 2023

Perez N Cortez Landscaping LLC
 1717 Midland Trail
 Shelbyville Ky, 40065
 (502)257-4377
PascualPerez1311@gmail.com
WWW.PerezNCortezLandscapingLLC.Com

Renee Major - (502) 843-9917
 Alice Gunnison - (502) 228-1752

Salesperson	Job	Payment Terms	Due Date
Ashley Perez	Springdale @ Wolf Pen Branch Rd	Due on receipt	

Qty	Description	Unit Price	Line Total
4 Yards	Shredded Hardwood Mulch	\$40.00	\$160.00
3 Yard	Top Soil	\$50.00	\$150.00
1 Yard	Sand	\$50.00	\$50.00
4 Bales	Straw	\$10.00	\$40.00
40 Lbs.	Seed	\$60.00	\$60.00
	Labor - Replace & relocate selected shrub & perennials, plant 5 tree, establish new plant beds, add topsoil, and hardwood mulch.		\$1,450.00
	** Customer will provide trees**		
		Subtotal	\$1,910.00
		Sales Tax	\$111.00
		Total	\$2,024.00 \$1,910.00

Make all checks payable to **Perez N Cortez Landscaping LLC**

Venmo @PascualPerez

Cash App \$PascualPerez1311

Thank you for your business!

Like us on Facebook!



Estimate

Date	Estimate #
2/16/2023	17920

Unlimited Landscapes Inc.
 502 N English Station Rd
 Louisville, KY 40223

Name / Address
Wolfpen Preservation Assoc agunnison@aol.com

P.O. No.	Terms
	Billed monthly

Description	Qty	Rate	Total
Watering of landscaping per visit, as previous estimated Lawn/Landscape	20	145.00 6.00%	2,900.00T 174.00
Now Accepting American Express Mastercard Visa and Discover		Total	2900.00 3,074.00

Signature _____

Phone #
502-254-1201

E-mail
sbutler@unlimitedlandscapes.com

Request for Taxpayer Identification Number and Certification

**Give Form to the
 requester. Do not
 send to the IRS.**

▶ Go to www.irs.gov/FormW9 for instructions and the latest information.

Print or type.
 See Specific Instructions on page 3.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank. Wolf Pen Preservation Association, Inc	
2 Business name/disregarded entity name, if different from above Wolf Pen Preservation Association, Inc	
3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes. <input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ _____ <small>Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.</small> <input checked="" type="checkbox"/> Other (see instructions) ▶ 501c3, charitable	
4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <small>(Applies to accounts maintained outside the U.S.)</small>	
5 Address (number, street, and apt. or suite no.) See instructions. P.O. Box 45	Requester's name and address (optional)
6 City, state, and ZIP code Harrods Creek, KY 40059	
7 List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)											
Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see <i>How to get a TIN</i> , later.											
Social security number	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30px; height: 20px;"></td> <td style="width: 30px; height: 20px;"></td> <td style="width: 30px; height: 20px;"></td> <td style="width: 30px; height: 20px;"></td> <td style="width: 30px; height: 20px;"></td> <td style="width: 30px; height: 20px;"></td> <td style="width: 30px; height: 20px;"></td> <td style="width: 30px; height: 20px;"></td> <td style="width: 30px; height: 20px;"></td> <td style="width: 30px; height: 20px;"></td> </tr> </table>										
or											
Employer identification number	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30px; height: 20px;"></td> <td style="width: 30px; height: 20px;"></td> <td style="width: 30px; height: 20px;"></td> <td style="width: 30px; height: 20px;"></td> <td style="width: 30px; height: 20px;"></td> <td style="width: 30px; height: 20px;"></td> <td style="width: 30px; height: 20px;"></td> <td style="width: 30px; height: 20px;"></td> <td style="width: 30px; height: 20px;"></td> <td style="width: 30px; height: 20px;"></td> </tr> </table>										
<small>Note: If the account is in more than one name, see the instructions for line 1. Also see <i>What Name and Number To Give the Requester</i> for guidelines on whose number to enter.</small>											

Part II Certification	
Under penalties of perjury, I certify that:	
1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and	
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and	
3. I am a U.S. citizen or other U.S. person (defined below); and	
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.	
<small>Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.</small>	

Sign Here	Signature of U.S. person ▶	Date ▶ Jan 10, 2023
------------------	----------------------------	----------------------------

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.



Kentucky Secretary of State Michael G. Adams

WOLF PEN PRESERVATION ASSOCIATION, INC.

File Annual Report	File Certificate of Assumed Name (DBA)	
Change Address or Registered Agent	File Dissolution	File Registered Agent Resignation
Printable Forms	Subscribe to changes made to this entity	Certificates

General Information

Organization Number	0299623
Name	WOLF PEN PRESERVATION ASSOCIATION, INC.
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
File Date	4/20/1992
Organization Date	4/20/1992
Last Annual Report	3/4/2022
Principal Office	P.O. BOX 45 HARRODS CREEK, KY 40027
Registered Agent	ALICE GUNNISON 7849 WOLF PEN BRANCH RD PROSPECT, KY 40059

Current Officers

President	ALICE GUNNISON
Vice President	MARK FOSTER
Treasurer	MARSHA WEINSTEIN
Director	ALICE GUNNISON
Director	MARK FOSTER
Director	MARSHA WEINSTEIN

Show Individuals / Entities listed at time Of formation

Director	PETER GRAVES
Director	MARTHA KANNAPPEL
Director	NOAMI SCHEIRICH
Director	RICHARD KELLY
Director	JACK HAFNER
Incorporator	AMELIA FRANKLIN ADAMS

[Hide Images](#)

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

Annual Report	3/4/2022	1 page	PDF	
Certificate of Assumed Name	6/14/2021	1 page	tiff	PDF
Annual Report	4/1/2021	1 page	PDF	
Annual Report	3/16/2020	1 page	PDF	
Annual Report	4/17/2019	1 page	PDF	
Annual Report	4/23/2018	1 page	PDF	
Annual Report	5/24/2017	1 page	tiff	PDF
Annual Report	3/21/2016	1 page	PDF	
Annual Report	2/21/2015	1 page	PDF	
Annual Report	1/23/2014	1 page	PDF	
Annual Report	1/20/2013	1 page	PDF	
Annual Report	6/28/2012	1 page	PDF	
Annual Report	3/22/2011	1 page	PDF	
Annual Report	7/28/2010	1 page	tiff	PDF
Annual Report	4/28/2009	1 page	tiff	PDF
Annual Report	6/24/2008	1 page	tiff	PDF
Registered Agent name/address change	5/20/2008	1 page	tiff	PDF
Amended and Restated Articles	1/30/2008	6 pages	tiff	PDF
Annual Report	3/1/2007	1 page	tiff	PDF
Annual Report	6/20/2006	1 page	tiff	PDF
Statement of Change	6/28/2005	1 page	tiff	PDF
Annual Report	6/28/2005	1 page	tiff	PDF
Annual Report	7/23/2003	1 page	tiff	PDF
Annual Report	8/28/2002	1 page	tiff	PDF
Statement of Change	8/14/2002	1 page	tiff	PDF
Annual Report	6/28/2001	1 page	tiff	PDF
Annual Report	6/9/2000	1 page	tiff	PDF
Annual Report	6/10/1999	1 page	tiff	PDF
Annual Report	5/29/1998	1 page	tiff	PDF
Annual Report	7/1/1997	2 pages	tiff	PDF
Annual Report	7/1/1996	2 pages	tiff	PDF
Annual Report	7/1/1995	2 pages	tiff	PDF
Reinstatement	12/20/1994	2 pages	tiff	PDF
Statement of Change	12/20/1994	1 page	tiff	PDF
Administrative Dissolution	11/1/1994	1 page	tiff	PDF
Annual Report	7/1/1994	1 page	tiff	PDF
Annual Report	7/1/1993	1 page	tiff	PDF
Articles of Incorporation	4/20/1992	6 pages	tiff	PDF

Hide Assumed Names

WPPA

Active

Hide Activities

Filing	File Date	Effective Date	Org. Referenced
Annual report	3/4/2022 6:18:16 PM	3/4/2022 6:18:16 PM	
Added assumed name	6/14/2021 3:10:15 PM	6/14/2021	WPPA
Annual report	4/1/2021 11:48:35 AM	4/1/2021 11:48:35 AM	
Annual report	3/16/2020 3:22:30 PM	3/16/2020 3:22:30 PM	
Annual report	4/17/2019 2:29:18 PM	4/17/2019 2:29:18 PM	
Annual report	4/23/2018 9:00:29 PM	4/23/2018 9:00:29 PM	
Annual report	5/24/2017 3:35:20 PM	5/24/2017	
Annual report	3/21/2016 2:41:40 PM	3/21/2016 2:41:40 PM	
Annual report	2/21/2015 3:58:36 PM	2/21/2015 3:58:36 PM	
Annual report	1/23/2014 3:28:38 PM	1/23/2014 3:28:38 PM	
Annual report	1/20/2013 8:23:48 PM	1/20/2013 8:23:48 PM	
Annual report	6/28/2012 11:28:56 AM	6/28/2012 11:28:56 AM	
Annual report	3/22/2011 2:08:47 PM	3/22/2011 2:08:47 PM	
Annual report	7/28/2010 10:45:12 AM	7/28/2010	
Annual report	4/28/2009 1:18:13 PM	4/28/2009	
Annual report	6/24/2008 10:51:20 AM	6/24/2008	
Registered agent address change	5/20/2008 2:25:24 PM	5/20/2008	
Amendment - Amended and restated articles / CLP	1/30/2008 2:23:33 PM	1/30/2008	
Annual report	3/1/2007 7:55:10 AM	3/1/2007	
Annual report	6/20/2006 3:20:15 PM	6/20/2006	
Registered agent address change	6/28/2005 6:27:14 PM	6/28/2005	
Annual report	6/3/2004	6/3/2004	
Principal office change	5/1/2003 3:40:46 PM	5/1/2003	

Harward, Sonya

From: Bell, LaTonya J.
Sent: Monday, January 30, 2023 1:47 PM
To: Brown, Jeffrey
Cc: Andrews, Al; Sparks, Stuart; Johnson, Patrick; Steele, Roxanne; Harward, Sonya
Subject: RE: D16 - Wolf Pen Presentation Association, Inc. NDF Request

Thank you

From: Brown, Jeffrey <Jeffrey.Brown@louisvilleky.gov>
Sent: Monday, January 30, 2023 5:24 AM
To: Bell, LaTonya J. <LaTonya.Bell2@louisvilleky.gov>
Cc: Andrews, Al <Al.Andrews@louisvilleky.gov>; Sparks, Stuart <Stuart.Sparks@louisvilleky.gov>; Johnson, Patrick <Pat.Johnson@louisvilleky.gov>; Steele, Roxanne <Roxanne.Steele@louisvilleky.gov>
Subject: RE: D16 - Wolf Pen Presentation Association, Inc. NDF Request

I'm OK with the request

Jeffrey Brown, PE

From: Bell, LaTonya J. <LaTonya.Bell2@louisvilleky.gov>
Sent: Wednesday, January 18, 2023 10:17 AM
To: Brown, Jeffrey <Jeffrey.Brown@louisvilleky.gov>
Cc: Andrews, Al <Al.Andrews@louisvilleky.gov>; Sparks, Stuart <Stuart.Sparks@louisvilleky.gov>; Johnson, Patrick <Pat.Johnson@louisvilleky.gov>; Steele, Roxanne <Roxanne.Steele@louisvilleky.gov>
Subject: RE: D16 - Wolf Pen Presentation Association, Inc. NDF Request

Good morning,

Based on your response below, it appears PW&A does not have any issues with the \$2,470.00 Wolf Pen Preservation Association, Inc. NDF Request, and it is okay for that NDF Request to be considered by the Budget and Appropriations Committee for NDF funding. Is my interpretation of the below response correct?

Thank you

From: Brown, Jeffrey <Jeffrey.Brown@louisvilleky.gov>
Sent: Tuesday, January 17, 2023 4:16 PM
To: Bell, LaTonya J. <LaTonya.Bell2@louisvilleky.gov>
Cc: Andrews, Al <Al.Andrews@louisvilleky.gov>; Sparks, Stuart <Stuart.Sparks@louisvilleky.gov>; Johnson, Patrick <Pat.Johnson@louisvilleky.gov>
Subject: RE: D16 - Wolf Pen Presentation Association, Inc. NDF Request

The proposed location is Metro right-of-way and Metro Public Works & Assets will issue the encroachment permit to grant permission to the neighborhood association to perform work within the right-of-way. City of Green Spring has historically maintained this area under an agreement with KYTC as part of the Gene Snyder sound wall & widening project. PWA's oversight will be during the permitting phase of the project but ongoing maintenance will be with the City of Green Spring.

LaTonya,
Let me know if that doesn't answer your questions.

Jeffrey Brown, PE

From: Bell, LaTonya J. <LaTonya.Bell2@louisvilleky.gov>
Sent: Tuesday, January 17, 2023 12:57 PM
To: Brown, Jeffrey <Jeffrey.Brown@louisvilleky.gov>
Subject: D16 - Wolf Pen Presentation Association, Inc. NDF Request

Good afternoon,

Please review the attached Wolf Pen Presentation Association, Inc. NDF Request.

I already noticed the NDF City Agency Request and Checklist are the wrong documents for this NDF request. I will be informing D16 to complete the correct Not for Profit NDF Transmittal Request Form and NDF Checklist, and provide the missing IRS Determination Letter that consists of the IRS representative's signature.

Please refer to the NDF request purpose recorded on page 4 of the NDF Application, Section 5. A. & B.

Does Metro Government own the property or have a public right of way to the property located at the southeast corner of Springdale Road and Wolf Pen Branch Road (neighborhoods of Green Spring and Wolf Pen Corridor)? Should Metro Government be conducting or managing this beautification project? Based on page 6 of the NDF Application, Section 5.F., the City of Green Spring has agreed to continue mowing the project location at no cost. Please advise.

Based on the Louisville Metro Council Policies and Procedures page 17-18, "NDF funds shall not be provided to Neighborhood Associations, Homeowners Associations or similar citizen groups for lawn maintenance or mowing private, nongovernment owned property unless all the following criteria are met: • The property has been assigned or referred for cutting by either Department of Codes and Regulations or Metro Public Works. A lien has been or shall be filed. • Anyone paid for mowing grass or maintaining property must provide proof of insurance directly from their insurance agent, and proof that they are registered with the Louisville Revenue Commission. In the event that the use of chemicals is necessary in performing grass mowing or property maintenance, any person(s) who uses such chemicals shall be certified to use such chemicals as required by the Kentucky Department of Agriculture's Division of Environmental Services. • Must keep records of all properties maintained, service dates and person(s) paid for work for the entire year. Documents must be available for review by Metro Government and its Internal Auditor and must be part of any future grant requests sought from Metro Government within the next four years."



LaTonya J. Bell | Council Financial Analyst
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