

**NEIGHBORHOOD DEVELOPMENT FUND  
Not-for-Profit Transmittal and Approval Form**

**Applicant/Program:** Kosair charities committee, Inc. / The magic & the wonder  
**Applicant Requested Amount:** \$2,000  
**Appropriation Request Amount:** \$2,000

**Executive Summary of Request** Funds requested will be used toward the Kosair charities magic show, which benefits families in need of medical assistance.

Is this program/project a fundraiser?  Yes  No  
Is this applicant a faith based organization?  Yes  No  
Does this application include funding for sub-grantee(s)?  Yes  No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

... 6 District #      [Signature] Primary Sponsor Signature      \$2,000 Amount      8/6/21 Date

**Primary Sponsor Disclosure**  
List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

**Approved by:**  
\_\_\_\_\_  
Appropriations Committee Chairman      Date  
Final Appropriations Amount: \_\_\_\_\_

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**Legal Name of Applicant Organization** Kosair Charities Committee, Inc.

**Program Name and Request Amount** The magic & the wonder

\$ 2,000

Yes/No/NA

Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?  .. Yes

Is the funding proposed by Council Member(s) less than or equal to the request amount?  .. Yes

Is the proposed public purpose of the program viable and well-documented?  .. Yes

Will all of the funding go to programs specific to Louisville/Jefferson County?  .. Yes

Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?  .. Yes

Has prior Metro Funds committed/granted been disclosed?  .. Yes

Is the application properly signed and dated by authorized signatory?  .. Yes

Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?  .. Yes

If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?  .. NA

Is the entity in good standing with:  
     ▶ Kentucky Secretary of State?  
     ▶ Louisville Metro Revenue Commission?  
     ▶ Louisville Metro Government?  
     ▶ Internal Revenue Service?  
     ▶ Louisville Metro Human Relations Commission?  
 .. Yes

Is the current Fiscal Year Budget included?  .. Yes

Is the entity's board member list (with term length/term limits) included?  .. Yes

Is recommended funding less than 33% of total agency operating budget?  .. Yes

Does the application budget reflect only the revenue and expenses of the project/program?  .. Yes

Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?  .. NA

Is the most recent annual audit (if required by organization) included?  .. NA

Is a copy of Signed Lease (if rent costs are requested) included?  .. NA

Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?  .. NA

Are the Articles of Incorporation of the Agency included?  .. Yes

Is the IRS Form W-9 included?  .. Yes

Is the IRS Form 990 included?  .. Yes

Are the evaluation forms (if program participants are given evaluation forms) included?  .. NA

Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?  .. Yes/NA

Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?  .. Yes

Prepared by: Shelanna Indye

Date: 8/6/21

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

SECTION 1 – APPLICANT INFORMATION			
<b>Legal Name of Applicant Organization:</b> <i>(as listed on: <a href="http://www.sos.ky.gov/business/records">http://www.sos.ky.gov/business/records</a>)</i> Kosair Charities Committee, Inc.			
<b>Main Office Street &amp; Mailing Address:</b> 982 Eastern Parkway, Louisville, KY 40217			
<b>Website:</b> www.kosair.org			
<b>Applicant Contact:</b>	Amy Deering	<b>Title:</b>	VP of Finance
<b>Phone:</b>	(502) 814-9914	<b>Email:</b>	adeering@kosair.org
<b>Financial Contact:</b>	Amy Deering	<b>Title:</b>	VP of Finance
<b>Phone:</b>	(502) 814-9914	<b>Email:</b>	adeering@kosair.org
<b>Organization’s Representative who attended NDF Training:</b> Amy Deering			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
<b>Program Facility Location(s):</b>	Louisville, KY		
<b>Council District(s):</b>	6 & 10	<b>Zip Code(s):</b>	40217, 40202
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
<b>PROGRAM/PROJECT NAME:</b> The Magic & The Wonder			
<b>Total Request: (\$)</b>	\$ 2,000.00	<b>Total Metro Award (this program) in previous year: (\$)</b>	\$ 1,000.00
<b>Purpose of Request (check all that apply):</b>			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency’s total operating budget) <input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
<b>The Following are Required Attachments:</b>			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current year projected budget <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation (current & signed) <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input checked="" type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if applicable	
<b>For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.</b>			
<b>Source:</b>		<b>Amount: (\$)</b>	
<b>Source:</b>		<b>Amount: (\$)</b>	
<b>Source:</b>		<b>Amount: (\$)</b>	
Has the applicant contacted the BBB Charity Review for participation? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 3 – AGENCY DETAILS

#### **Describe Agency's Vision, Mission and Services:**

Kosair Charities enhances the health and well-being of children by delivering financial support for healthcare, research, education, social services, and child advocacy. Kosair Charities envisions a world in which children in need live life to the fullest. Kosair Charities strives to help children realize their full potential while overcoming obstacles. We do that based on the following values:

1. Trust
2. Honesty and Integrity
3. Collaboration
4. Prudent Financial Stewardship
5. Inclusion



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 5 – PROGRAM/PROJECT NARRATIVE

**A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):**

The Magic & The Wonder is a Vegas-style magic show taking place on October 16, 2021 at the Kentucky Center for the Performing Arts. The event serves as a fundraiser for Kosair Charities and net proceeds (revenue less operating expenses) of the one day event will be used to assist families with medical bills and/or treatment of children in need. While the specific event is in October, client needs are served throughout the fiscal year of Kosair Charities.

**B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):**

Net Event Proceeds from The Magic & the Wonder are split 50/50 with Kid's Center for Pediatric Therapies. Kosair Charities' portion of Metro funds and non-Metro funds will be used to provide financial assistance with medical bills and/or treatment for children. Remuneration goes directly to the service provider (Kid's Center for Pediatric Therapies, U of L Center for Pediatric Neurorecovery, etc.) and not to the clients themselves.

The portion of net proceeds benefiting Kids Center for Pediatric Therapies will support their mission of providing physical therapy, speech therapy and other services to children in need.

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**C: If this request is a fundraiser, please detail how the proceeds will be spent:**

The Magic & The Wonder is a Vegas-style magic show whose purpose is to raise funds for Kosair Charities. Revenues come primarily from program ad sales and ticket sales. Expenses include rental of the Kentucky Center for the Arts, costs associated with the talent (magicians), and promotional printing. All net proceeds will be directed to Kosair Charities for the express purpose of adhering to their company mission of serving children with medical needs. More specifically, 50% of the net proceeds will go to the Kid's Center for Pediatric Therapies. Kosair's 50% will be used to provide financial assistance with medical bills and/or treatment for children. Remuneration goes directly to the service provider (Kid's Center for Pediatric Therapies, U of L Center for Pediatric Neurorecovery, etc.) and not to the clients themselves.

**D: For Expenditure Reimbursement Only –** The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

Reimbursements should not be made before application date unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:**

Kosair Charities receives hundreds of requests each year from families with children, for financial assistance. During the current fiscal year (October 1 2020-June 30, 2021) Kosair Charities paid \$369,099 in medical assistance for qualified families. The Magic & The Wonder's net proceeds will directly assist with the continuation of the supportive funds listed above.

**F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.**

N/A

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 6 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non- Metro Funds	Total Funds
<b>A: Personnel Costs Including Benefits</b>			\$ 0.00
<b>B: Rent/Utilities</b>		\$ 9,000.00	\$ 9,000.00
<b>C: Office Supplies</b>			\$ 0.00
<b>D: Telephone</b>			\$ 0.00
<b>E: In-town Travel</b>		\$ 2,000.00	\$ 2,000.00
<b>F: Client Assistance (See Detailed List on Page 8)</b>			\$ 0.00
<b>G: Professional Service Contracts</b>	\$ 2,000.00	\$ 17,000.00	\$ 19,000.00
<b>H: Program Materials</b>		\$ 2,000.00	\$ 2,000.00
<b>I: Community Events &amp; Festivals (See Detailed List on Page 8)</b>			\$ 0.00
<b>J: Machinery &amp; Equipment</b>			\$ 0.00
<b>K: Capital Project</b>			\$ 0.00
<b>L: Other Expenses (See Detailed List on Page 8)</b>			\$ 0.00
<b>*TOTAL PROGRAM/PROJECT FUNDS</b>	\$ 2,000.00	\$ 30,000.00	\$ 32,000.00
% of Program Budget	6.25%	93.75%	<b>100%</b>

**List funding sources for total program/project costs in Column 2, Non-Metro Funds:**

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	\$ 45,000.00
Fees Collected from Program Participants	
Other (please specify) Ticket Sales	\$ 10,000.00
Total Revenue for Columns 2 Expenses **	\$ 55,000.00

*\*Total of Column 1 MUST match "Total Request on Page 1, Section 2"*

*\*\*Must equal or exceed total in column 2.*



**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**Detail of In-Kind Contributions for this PROGRAM only:** Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
<p align="center"><i>Total Value of In-Kind</i>  <b>(to match Program Budget Line Item.</b>                      Volunteer Contribution &amp; Other In Kind)</p>	<p align="center">\$ 0.00</p>	

**\* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK**

**Agency Fiscal Year Start Date:** 10/01/2021

**Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year?**    NO     YES

**If YES, please explain:**

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 7 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

#### Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked and the funds will not be disbursed to our organization.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

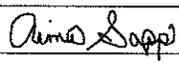
#### Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

**Relationship Disclosure:** List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

### SECTION 8 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

<b>Signature of Legal Signatory:</b>		<b>Date:</b>	07/23/2021
<b>Legal Signatory: (please print):</b>	Aimee Sapp	<b>Title:</b>	CFO
<b>Phone:</b>	(502) 814-9906	<b>Extension:</b>	
<b>Email:</b>	asapp@kosair.org		

Internal Revenue Service

District  
Director

Kosair Charities Committee, Inc.  
982 Eastern Parkway  
Louisville, KY 40217

Department of the Treasury

P.O. Box 2508  
Cincinnati, Ohio 45201

Person to Contact:

Bea Eith

Telephone Number:

513-684-2634

Refer Reply to:

CSB:EO

Date: JAN 10 1983

Dear Sir or Madam:

In response to your inquiry, Kosair Charities Committee, Inc., is exempt by virtue of an individual ruling dated December 1939 under section 501(c)(3) of the Internal Revenue Code. Form 990 is your present filing requirement. Your correct ID number is: 61-0514703.

Contributions to you are deductible as provided in section 170 of the Internal Revenue Code. Bequests, legacies, devises, transfers or gifts are deductible for Federal, estate and gift tax purposes under sections 2055, 2106 and 2522 of the Code.

We have further determined that you are not a private foundation within the meaning of section 509(a) of the Code, because you are an organization described in section 170(b)(1)(A)(iii).

Sincerely yours,



James J. Ryan  
District Director



FY21 Budget

		<u>2021</u>
		<u>Budget</u>
<b>Revenues</b>		
Public Support	Contributions	\$1,450,000
	Special Events	\$246,000
	Legacies and Bequests	\$350,000
	<u>Total</u>	<u>\$2,046,000</u>
Program Services Revenue	Program Services Revenue	\$941,017
	<u>Total</u>	<u>\$941,017</u>
Other Revenues	Interest & Dividends, net of fees	\$3,600,000
	Realized Gain/(Loss) on Investments	\$1,200,000
	Unrealized Gain/(Loss) on Investments	\$8,400,000
	Other Revenue	\$0
	<u>Total</u>	<u>\$13,200,000</u>
	<u>Total Revenue</u>	<u>\$16,187,017</u>
<b>Expenses</b>		
Personnel Expenses	Salaries and Employee Benefits	\$2,792,257
	<u>Total personnel expenses</u>	<u>\$2,792,257</u>
Other Expenses	Advertising and Publicity	\$473,300
	Conferences, Meetings, and Travel	\$164,648
	Depreciation	\$381,314
	Development Programs	\$31,050
	Dues and Subscriptions	\$42,588
	Events Expense	\$262,650
	Grants	\$10,058,732
	Insurance	\$116,548
	Bank & Credit Card Fees	\$29,100
	Other Nonpersonnel Expenses	\$0
	Outside Computer Services	\$67,500
	Printing, Shipping, and Postage	\$168,325
	Professional Fees	\$239,884
	Repairs and Maintenance	\$170,141
	Staff Development	\$14,000
	Supplies	\$26,735
	Telephone	\$44,280
	Utilities	\$123,012
	Contingency Budget	\$650,000
	Other Taxes	\$574
	<u>Total other expenses</u>	<u>\$13,064,382</u>
	<u>Total expenses</u>	<u>\$15,856,639</u>
	<b>NET SURPLUS/(DEFICIT)</b>	<b><u>\$330,378</u></b>



**Kosair Charities Committee, Inc.**  
**Statement of Activity - Unaudited**  
 For the Period Ending May 31, 2021

	Actual	Budget	Variance	% Variance	YTD Actual	YTD Budget	YTD Variance	YTD % Variance	Prior Year - M	Prior Year - YTD
<b>Revenues</b>										
Public Support										
Contributions	\$60,263.30	\$120,000.00	(\$59,736.70)	(49.78%)	\$918,444.05	\$1,125,000.00	(\$206,555.95)	(18.36%)	\$75,900	\$1,148,354.17
Special Events	\$21,575.00	\$0.00	\$21,575.00	0.00%	\$128,738.97	\$216,000.00	(\$87,261.03)	(40.40%)	(\$1,790)	\$187,960.69
Legacies and Bequests	\$7,050.77	\$30,000.00	(\$22,949.23)	(76.50%)	\$824,137.87	\$220,000.00	\$604,137.87	274.61%	\$2,259,643	\$2,676,720.70
Total Public Support	\$88,889.07	\$150,000.00	(\$61,110.93)	(40.74%)	\$1,871,320.89	\$1,561,000.00	\$310,320.89	19.88%	\$2,333,754	\$4,013,035.56
Program Services Revenue										
Program Services Revenue	\$84,169.69	\$82,250.00	\$1,919.69	2.33%	\$671,436.52	\$658,000.00	\$13,436.52	2.04%	\$101,784	\$712,607.05
Total Program Services	\$84,169.69	\$82,250.00	\$1,919.69	2.33%	\$671,436.52	\$658,000.00	\$13,436.52	2.04%	\$101,784	\$712,607.05
Other Revenues										
Interest & Dividends, net of advisory fees										
Realized Gain/(Loss) on Investments	\$194,882.19	\$300,000.00	(\$105,117.81)	(35.04%)	\$2,149,887.10	\$2,400,000.00	(\$250,112.90)	(10.42%)	\$78,097	\$2,441,009.56
Unrealized Gain/(Loss) on Investments	\$765,561.39	\$100,000.00	\$665,561.39	665.56%	\$2,499,673.85	\$800,000.00	\$1,699,673.85	212.46%	\$64,085	\$6,336,071.28
Other Revenue	\$1,962,173.86	\$700,000.00	\$1,262,173.86	180.31%	\$5,464,462.72	\$5,600,000.00	(\$48,664,462.72)	872.58%	\$9,222,915	(\$3,042,906.78)
	(\$828.38)	\$0.00	(\$828.38)	0.00%	\$308,251.59	\$0.00	\$308,251.59	0.00%	(\$7,369)	(\$519,472.34)
Total Other Revenue, Net of Fees	\$2,921,789.06	\$1,100,000.00	\$1,821,789.06	165.62%	\$5,422,275.26	\$8,800,000.00	(\$50,622,275.26)	575.25%	\$9,357,728	\$5,214,701.72
Total Revenue	\$3,094,847.82	\$1,332,250.00	\$1,762,597.82	132.30%	\$61,965,032.67	\$11,019,000.00	\$50,946,032.67	462.35%	\$11,793,266	\$9,940,344.33
<b>Expenses</b>										
Personnel Expenses										
Salaries and Employee Benefits	\$207,460.93	\$215,066.77	\$7,605.84	3.54%	\$1,578,496.23	\$1,831,353.03	\$252,856.80	13.81%	\$182,565	\$1,515,706.55
Total Personnel Expenses	\$207,460.93	\$215,066.77	\$7,605.84	3.54%	\$1,578,496.23	\$1,831,353.03	\$252,856.80	13.81%	\$182,565	\$1,515,706.55
Other Expenses										
Advertising and Publicity	\$54,560.43	\$31,110.00	(\$23,450.43)	(75.38%)	\$428,039.04	\$359,480.00	(\$68,559.04)	(19.07%)	\$41,003	\$378,775.61
Conferences, Meetings, and Travel	\$13,196.21	\$10,530.50	(\$2,665.71)	(25.31%)	\$69,223.21	\$80,171.00	\$10,947.79	13.66%	\$1,766	\$59,241.87
Depreciation	\$31,940.17	\$31,628.31	(\$311.86)	(0.99%)	\$317,974.97	\$252,859.55	(\$65,115.42)	(25.75%)	\$30,375	\$243,000.72
Development Programs	\$0.00	\$3,500.00	\$3,500.00	100.00%	\$2,469.96	\$20,000.00	\$17,530.04	87.65%	\$37	\$2,433.78
Dues and Subscriptions	\$615.57	\$25,350.00	\$24,734.43	97.57%	\$65,469.45	\$65,318.00	(\$151.45)	(0.23%)	\$2,875	\$37,171.07
Events Expense	\$23,638.67	\$15,310.00	(\$8,328.67)	(54.40%)	\$90,005.92	\$167,160.00	\$77,154.08	46.16%	(\$1,580)	\$127,979.42
Grants	\$163,431.36	\$247,828.00	(\$84,396.64)	(34.05%)	\$1,313,462.40	\$1,566,422.24	\$252,959.84	16.15%	\$95,943	\$7,133,729.36
Insurance	\$6,442.34	\$6,000.00	(\$442.34)	(7.37%)	\$74,096.42	\$86,457.50	\$12,361.08	14.30%	\$1,990	\$64,278.59
Bank & Credit Card Fees	\$2,053.40	\$2,321.00	\$267.60	11.53%	\$17,396.05	\$18,575.00	\$1,178.95	6.35%	\$2,171	\$17,820.17
Outside Computer Services	\$0.00	\$0.00	\$0.00	0.00%	\$60,746.69	\$60,950.00	\$203.31	0.33%	\$1,301	\$20,115.37
Printing, Shipping, and Postage	\$3,504.99	\$650.00	(\$2,854.99)	(439.23%)	\$144,815.62	\$149,150.00	\$4,334.38	2.91%	\$2,039	\$109,811.86
Professional Fees	\$13,863.26	\$21,632.00	\$7,768.74	35.91%	\$174,778.14	\$226,921.32	\$52,143.18	22.98%	\$14,569	\$122,567.13
Repairs and Maintenance	\$9,338.48	\$9,729.00	\$390.52	4.01%	\$105,655.29	\$109,770.00	\$4,114.71	3.75%	\$5,334	\$96,690.55
Staff Development	\$480.00	\$810.00	\$330.00	40.74%	\$4,898.00	\$9,870.00	\$4,972.00	50.37%	(\$299)	\$3,158.61
Supplies	\$1,432.09	\$1,889.00	\$456.91	24.19%	\$18,760.00	\$22,692.00	\$3,932.00	17.33%	\$1,018	\$20,534.86
Telephone	\$3,572.54	\$3,690.00	\$117.46	3.18%	\$24,302.12	\$29,520.00	\$5,217.88	17.68%	\$4,218	\$26,918.05
Utilities	\$5,734.20	\$11,801.00	\$6,066.80	51.41%	\$74,692.73	\$70,708.00	(\$3,984.73)	(5.64%)	\$6,875	\$63,741.95
Other Taxes	\$22.00	\$0.00	(\$22.00)	0.00%	\$52.00	\$553.00	\$501.00	90.60%	\$0	\$564.01
Other Expenses	\$0.00	\$0.00	\$0.00	0.00%	\$0.00	\$650,000.00	\$650,000.00	100.00%	(\$121)	\$0.00
Total Other Expenses	\$333,825.71	\$423,778.81	\$89,953.10	21.23%	\$2,986,638.01	\$3,946,577.61	\$959,739.60	24.32%	\$209,513	\$8,528,532.98
Total Expenses	\$541,286.64	\$638,845.58	\$97,558.94	15.27%	\$4,565,334.24	\$5,777,930.64	\$1,212,596.40	20.99%	\$392,078	\$10,044,239.53
<b>NET SURPLUS/(DEFICIT)</b>	<b>\$2,553,561.18</b>	<b>\$693,404.42</b>	<b>\$1,860,156.76</b>	<b>268.26%</b>	<b>\$57,399,698.43</b>	<b>\$55,241,069.36</b>	<b>\$2,158,629.07</b>	<b>995.19%</b>	<b>\$11,401,188</b>	<b>(\$103,895.20)</b>

Form **8879-EO**

# IRS e-file Signature Authorization for an Exempt Organization

OMB No. 1545-1878

For calendar year 2019, or fiscal year beginning OCT 1, 2019, and ending SEP 30, 2020

# 2019

Department of the Treasury  
Internal Revenue Service

▶ Do not send to the IRS. Keep for your records.  
▶ Go to [www.irs.gov/Form8879EO](http://www.irs.gov/Form8879EO) for the latest information.

Name of exempt organization

Employer identification number

**KOSAIR CHARITIES COMMITTEE, INC.**

**61-0514703**

Name and title of officer  
**KEITH INMAN**  
**PRESIDENT**

### Part I Type of Return and Return Information (Whole Dollars Only)

Check the box for the return for which you are using this Form 8879-EO and enter the applicable amount, if any, from the return. If you check the box on line 1a, 2a, 3a, 4a, or 5a, below, and the amount on that line for the return being filed with this form was blank, then leave line 1b, 2b, 3b, 4b, or 5b, whichever is applicable, blank (do not enter -0-). But, if you entered -0- on the return, then enter -0- on the applicable line below. Do not complete more than one line in Part I.

1a Form 990 check here	▶ <input checked="" type="checkbox"/>	b Total revenue, if any (Form 990, Part VIII, column (A), line 12)	1b	<u>16,350,128.</u>
2a Form 990-EZ check here	▶ <input type="checkbox"/>	b Total revenue, if any (Form 990-EZ, line 9)	2b	
3a Form 1120-POL check here	▶ <input type="checkbox"/>	b Total tax (Form 1120-POL, line 22)	3b	
4a Form 990-PF check here	▶ <input type="checkbox"/>	b Tax based on investment income (Form 990-PF, Part VI, line 5)	4b	
5a Form 8868 check here	▶ <input type="checkbox"/>	b Balance Due (Form 8868, line 3c)	5b	

### Part II Declaration and Signature Authorization of Officer

Under penalties of perjury, I declare that I am an officer of the above organization and that I have examined a copy of the organization's 2019 electronic return and accompanying schedules and statements and to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the organization's electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the organization's return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) the reason for any delay in processing the return or refund, and (c) the date of any refund. If applicable, I authorize the U.S. Treasury and its designated Financial Agent to initiate an electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the organization's federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment. I have selected a personal identification number (PIN) as my signature for the organization's electronic return and, if applicable, the organization's consent to electronic funds withdrawal.

Officer's PIN: check one box only

I authorize \_\_\_\_\_ to enter my PIN    
ERO firm name Enter five numbers, but do not enter all zeros

as my signature on the organization's tax year 2019 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I also authorize the aforementioned ERO to enter my PIN on the return's disclosure consent screen.

As an officer of the organization, I will enter my PIN as my signature on the organization's tax year 2019 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I will enter my PIN on the return's disclosure consent screen.

Officer's signature ▶ Keith Inman Date ▶ 2/11/21

### Part III Certification and Authentication

ERO's EFIN/PIN. Enter your six-digit electronic filing Identification number (EFIN) followed by your five-digit self-selected PIN.

**35628835628**  
Do not enter all zeros

I certify that the above numeric entry is my PIN, which is my signature on the 2019 electronically filed return for the organization indicated above. I confirm that I am submitting this return in accordance with the requirements of Pub. 4163, Modernized e-File (MeF) Information for Authorized IRS e-file Providers for Business Returns.

ERO's signature ▶ BLUE & CO., LLC Date ▶ 02/09/21

**ERO Must Retain This Form - See Instructions**  
**Do Not Submit This Form to the IRS Unless Requested To Do So**

**Return of Organization Exempt From Income Tax**  
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)  
▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

**A** For the 2019 calendar year, or tax year beginning **OCT 1, 2019** and ending **SEP 30, 2020**

**B** Check if applicable:  
 Address change  
 Name change  
 Initial return  
 Final return/terminated  
 Amended return  
 Application pending

**C** Name of organization  
**KOSAIR CHARITIES COMMITTEE, INC.**  
Doing business as  
Number and street (or P.O. box if mail is not delivered to street address) Room/suite  
**PO BOX 37370**  
City or town, state or province, country, and ZIP or foreign postal code  
**LOUISVILLE, KY 40233**

**D** Employer identification number  
**61-0514703**

**E** Telephone number  
**502-637-7696**

**G** Gross receipts \$ **68,146,328.**

**H(a)** Is this a group return for subordinates?  Yes  No  
**H(b)** Are all subordinates included?  Yes  No  
If "No," attach a list. (see instructions)

**F** Name and address of principal officer: **KEITH INMAN**  
**SAME AS C ABOVE**

**I** Tax-exempt status:  501(c)(3)  501(c) ( ) (insert no.)  4947(a)(1) or  527

**J** Website: ▶ **WWW.KOSAIR.ORG**

**H(c)** Group exemption number ▶

**K** Form of organization:  Corporation  Trust  Association  Other ▶

**L** Year of formation: **1923** **M** State of legal domicile: **KY**

**Part I Summary**

<b>1</b> Briefly describe the organization's mission or most significant activities: <b>TO PROTECT THE HEALTH &amp; WELL-BEING OF CHILDREN IN KENTUCKY AND SOUTHERN INDIANA BY PROVIDING</b>	
<b>2</b> Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.	
<b>Activities &amp; Governance</b>	<b>3</b> Number of voting members of the governing body (Part VI, line 1a) <b>22</b>
	<b>4</b> Number of independent voting members of the governing body (Part VI, line 1b) <b>22</b>
	<b>5</b> Total number of individuals employed in calendar year 2019 (Part V, line 2a) <b>25</b>
	<b>6</b> Total number of volunteers (estimate if necessary) <b>106</b>
	<b>7a</b> Total unrelated business revenue from Part VIII, column (C), line 12 <b>0.</b>
	<b>7b</b> Net unrelated business taxable income from Form 990-T, line 39 <b>0.</b>
	<b>8</b> Contributions and grants (Part VIII, line 1h) <b>4,906,616.</b>
<b>9</b> Program service revenue (Part VIII, line 2g) <b>0.</b>	
<b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d) <b>12,523,533.</b>	
<b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) <b>302,751.</b>	
<b>12</b> Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) <b>17,732,900.</b>	
<b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1-3) <b>10,754,769.</b>	
<b>14</b> Benefits paid to or for members (Part IX, column (A), line 4) <b>0.</b>	
<b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) <b>2,082,530.</b>	
<b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e) <b>0.</b>	
<b>b</b> Total fundraising expenses (Part IX, column (D), line 25) <b>224,709.</b>	
<b>17</b> Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) <b>2,543,217.</b>	
<b>18</b> Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) <b>15,380,516.</b>	
<b>19</b> Revenue less expenses. Subtract line 18 from line 12 <b>2,352,384.</b>	
<b>Net Assets or Fund Balances</b>	<b>20</b> Total assets (Part X, line 16) <b>270,604,259.</b>
	<b>21</b> Total liabilities (Part X, line 26) <b>12,355,777.</b>
	<b>22</b> Net assets or fund balances. Subtract line 21 from line 20 <b>258,248,482.</b>

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

**Sign Here** Signature of officer **KEITH INMAN, PRESIDENT** Date

**Paid Preparer Use Only** Print/Type preparer's name **GREG JACKSON, CPA** Preparer's signature **GREG JACKSON, CPA** Date **02/09/21** Check if self-employed  PTIN **P00077314**  
Firm's name ▶ **BLUE & CO., LLC** Firm's EIN ▶ **35-1178661**  
Firm's address ▶ **2650 EASTPOINT PKWY, SUITE 300**  
**LOUISVILLE, KY 40223** Phone no. **502-992-3500**

May the IRS discuss this return with the preparer shown above? (see instructions)  Yes  No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III [X]

1 Briefly describe the organization's mission: KOSAIR CHARITIES' MISSION IS TO PROTECT THE HEALTH & WELL-BEING OF CHILDREN IN KENTUCKY AND SOUTHERN INDIANA BY PROVIDING FINANCIAL SUPPORT FOR CLINICAL SERVICES, RESEARCH, PEDIATRIC HEALTHCARE EDUCATION, AND CHILD ADVOCACY.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [ ] Yes [X] No

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [ ] Yes [X] No

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses.

4a (Code: ) (Expenses \$ 1,970,863. including grants of \$ 1,970,863. ) (Revenue \$ ) UNIVERSITY OF LOUISVILLE PEDIATRICS, LOUISVILLE, KY. FUNDS PROVIDED FOR BUT NOT LIMITED TO THE FOLLOWING: PEDIATRIC FORENSIC MEDICINE. KOSAIR CHARITIES HAS TAKEN A LEADERSHIP ROLE TO ADDRESS THE PROBLEM OF CHILD ABUSE IN THE COMMONWEALTH OF KENTUCKY. WITH THIS SUPPORT, U OF L HAS TWO BOARD-CERTIFIED CHILD ABUSE PEDIATRICIANS. U OF L AUTISM CENTER: CONTINUED SUPPORT WILL HELP THE CENTER EXPAND SERVICES TO ADDITIONAL CHILDREN AND FAMILIES WHO STRUGGLE WITH MEDICAL PROBLEMS RELATED TO AUTISM SPECTRUM DISORDER. PEDIATRIC INFECTIOUS DISEASE FELLOWSHIP: THIS FELLOWSHIP HAS PROVIDED TRAINING TO AN ACCOMPLISHED GROUP OF PHYSICIANS IN THIS CRITICAL SUBSPECIALTY OF PEDIATRICS.

4b (Code: ) (Expenses \$ 2,550,000. including grants of \$ 2,550,000. ) (Revenue \$ ) KENTUCKY YOUTH ADVOCATES. FUNDS PROVIDED TO ASSIST THE KYA'S MISSION TO IMPROVE A CHILD'S WELL BEING AND TO PURSUE PUBLIC POLICIES THAT INFLUENCE THE LIVES OF CHILDREN. ADDITIONAL FUNDING WAS PROVIDED TO SUPPORT THE FACE IT CAMPAIGN, AN INITIATIVE LED BY KOSAIR CHARITIES. FACE IT DIRECTLY ADDRESSES THE UNACCEPTABLE INCIDENCES OF CHILD ABUSE AND NEGLECT IN KENTUCKY WITH THE PROMOTION OF BEST PRACTICES IN CHILD ABUSE PREVENTION AND INTERVENTION.

4c (Code: ) (Expenses \$ 1,409,618. including grants of \$ 1,409,618. ) (Revenue \$ ) KIDS CENTER FOR PEDIATRIC THERAPIES, LOUISVILLE, KY. PEDIATRIC TREATMENT CENTER FOR CHILDREN HANDICAPPED DUE TO CEREBRAL PALSY AND RELATED DISEASES. THE GRANT FUNDS A TREATMENT TEAM TO CARE FOR AND TREAT CHILDREN OF INDIGENT AND LOW INCOME FAMILIES. KOSAIR CHARITIES ALSO PROVIDES SPACE AT 982 EASTERN PARKWAY FOR THIS AGENCY.

4d Other program services (Describe on Schedule O.) (Expenses \$ 14,352,117. including grants of \$ 11,397,094. ) (Revenue \$ )

4e Total program service expenses 20,282,598.

**Part IV Checklist of Required Schedules**

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4 <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>	X	
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10 Did the organization, directly or through a related organization, hold assets in donor-restricted endowments or in quasi endowments? <i>If "Yes," complete Schedule D, Part V</i>	X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	X	
b Did the organization report an amount for investments - other securities in Part X, line 12, that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>	X	
c Did the organization report an amount for investments - program related in Part X, line 13, that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		X
d Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>		X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	X	

**Part IV Checklist of Required Schedules** (continued)

	Yes	No
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	X	
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a <b>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		X
26 Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part II</i>		X
27 Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions, for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? <i>If "Yes," complete Schedule L, Part IV</i>	X	
b A family member of any individual described in line 28a? <i>If "Yes," complete Schedule L, Part IV</i>		X
c A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? <i>If "Yes," complete Schedule L, Part IV</i>		X
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		X
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	X	
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>		
36 <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	X	
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note:</b> All Form 990 filers are required to complete Schedule O	X	

**Part V Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response or note to any line in this Part V

	Yes	No
1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable		
b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		
c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	X	

**Part V** Statements Regarding Other IRS Filings and Tax Compliance (continued)

		Yes	No
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return		
	2a 25		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <i>Note:</i> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	X	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?		X
b	If "Yes," has it filed a Form 990-T for this year? <i>If "No" to line 3b, provide an explanation on Schedule O</i>		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		X
b	If "Yes," enter the name of the foreign country See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7	<b>Organizations that may receive deductible contributions under section 170(c).</b>		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	X	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	X	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		X
d	If "Yes," indicate the number of Forms 8282 filed during the year		
	7d		
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		
8	<b>Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?		
9	<b>Sponsoring organizations maintaining donor advised funds.</b>		
a	Did the sponsoring organization make any taxable distributions under section 4966?		
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?		
10	<b>Section 501(c)(7) organizations.</b> Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
11	<b>Section 501(c)(12) organizations.</b> Enter:		
a	Gross income from members or shareholders	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b	
12a	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	
13	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>		
a	Is the organization licensed to issue qualified health plans in more than one state? <i>Note:</i> See the instructions for additional information the organization must report on Schedule O.	13a	
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b	
c	Enter the amount of reserves on hand	13c	
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a	X
b	If "Yes," has it filed a Form 720 to report these payments? <i>If "No," provide an explanation on Schedule O</i>	14b	
15	Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? <i>If "Yes," see instructions and file Form 4720, Schedule N.</i>	15	X
16	Is the organization an educational institution subject to the section 4968 excise tax on net investment income? <i>If "Yes," complete Form 4720, Schedule O.</i>	16	X

**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

**Section A. Governing Body and Management**

		1a	1b	2	3	4	5	6	7a	7b	8a	8b	9	Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	22													
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain on Schedule O.															
b	Enter the number of voting members included on line 1a, above, who are independent		22												
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?														X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, trustees, or key employees to a management company or other person?														X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?														X
5	Did the organization become aware during the year of a significant diversion of the organization's assets?														X
6	Did the organization have members or stockholders?								X						
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?								X						
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?														X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:														
a	The governing body?										X				
b	Each committee with authority to act on behalf of the governing body?										X				
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses on Schedule O														X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

		10a	10b	11a	12a	12b	12c	13	14	15a	15b	16a	16b	Yes	No
10a	Did the organization have local chapters, branches, or affiliates?														X
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?														
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?			X											
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.														
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13				X										
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?				X										
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done						X								
13	Did the organization have a written whistleblower policy?							X							
14	Did the organization have a written document retention and destruction policy?							X							
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?														
a	The organization's CEO, Executive Director, or top management official														X
b	Other officers or key employees of the organization														X
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).															
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?														X
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?														

**Section C. Disclosure**

- 17 List the states with which a copy of this Form 990 is required to be filed **►KY**
- 18 Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.  
 Own website  Another's website  Upon request  Other (explain on Schedule O)
- 19 Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
- 20 State the name, address, and telephone number of the person who possesses the organization's books and records **►**  
**KEITH INMAN - 502-637-7696**  
**982 EASTERN PARKWAY, LOUISVILLE, KY 40217**

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
  - List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
  - List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
  - List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
  - List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.
- See instructions for the order in which to list the persons above.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) RON CAUGHON BOARD MEMBER	3.00	X					0.	0.	0.	
(2) H. I. STROTH CHAIRMAN	3.00	X		X			0.	0.	0.	
(3) KIRK CARTER TREASURER	3.00	X		X			0.	0.	0.	
(4) JOHN B. HITT BOARD MEMBER	3.00	X					0.	0.	0.	
(5) DAVID OWEN TRUSTEE	3.00	X					0.	0.	0.	
(6) KENNETH E. REISS VICE-CHAIRMAN	3.00	X		X			0.	0.	0.	
(7) LARRY CRAIG BOARD MEMBER	3.00	X					0.	0.	0.	
(8) ROBERT FLOWERS BOARD MEMBER	3.00	X					0.	0.	0.	
(9) RICK LAIRD BOARD MEMBER	3.00	X					0.	0.	0.	
(10) TOM LARIMORE BOARD MEMBER	3.00	X					0.	0.	0.	
(11) HARRY LUSK BOARD MEMBER	3.00	X					0.	0.	0.	
(12) DWIGHT MADDOX SECRETARY	3.00	X		X			0.	0.	0.	
(13) PATRICK MILLER BOARD MEMBER	3.00	X					0.	0.	0.	
(14) ROBERT HART BOARD MEMBER	3.00	X					0.	0.	0.	
(15) DAVID NICHOLSON BOARD MEMBER	3.00	X					0.	0.	0.	
(16) DAVID L. SCHEU BOARD MEMBER	3.00	X					0.	0.	0.	
(17) GLEN E. STUCKEL BOARD MEMBER	3.00	X					0.	0.	0.	

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) MARTIN WALTERS BOARD MEMBER	3.00	X						0.	0.	0.
(19) GEORGE YOUNGER BOARD MEMBER	3.00	X						0.	0.	0.
(20) C. BROWN ALLEN BOARD MEMBER EMERITUS	3.00	X						0.	0.	0.
(21) JOHN BURGIN BOARD MEMBER	3.00	X						0.	0.	0.
(22) TROY ROYALTY BOARD MEMBER	3.00	X						0.	0.	0.
(23) KEITH INMAN PRESIDENT	40.00			X				314,125.	0.	62,426.
(24) AIMEE SAPP SR VICE PRESIDENT, CFO	40.00			X				167,365.	0.	35,012.
(25) LINDSAY WEHR SENIOR VP OF STRATEGY	40.00					X		119,976.	0.	23,457.
(26) ALLISON SAMBLANET SENIOR VP OF DEVELOPMENT	40.00					X		103,302.	0.	22,571.
<b>1b Subtotal</b>								704,768.	0.	143,466.
<b>c Total from continuation sheets to Part VII, Section A</b>								0.	0.	0.
<b>d Total (add lines 1b and 1c)</b>								704,768.	0.	143,466.

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **4**

	Yes	No
<b>3</b> Did the organization list any former officer, director, trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		X
<b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	X	
<b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		X

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
LUSK MECHANICAL CONTRACTORS, INC 820 S DIXIE HWY, MULDRAUGH, KY 40155	MECHANICAL CONTRACTOR	238,979.
PNC INSTITUTIONAL ASSET MANAGEMENT 116 ALLEGHENY CTR, PITTSBURGH, PA 15212	INVESTMENT MANAGEMENT FEES	107,542.

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **2**

**Part VIII** Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

				(A)	(B)	(C)	(D)	
				Total revenue	Related or exempt function revenue	Unrelated business revenue	Revenue excluded from tax under sections 512 - 514	
Contributions, Gifts, Grants and Other Similar Amounts	1 a	Federated campaigns	1a					
	b	Membership dues	1b					
	c	Fundraising events	1c	43,536.				
	d	Related organizations	1d					
	e	Government grants (contributions)	1e					
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	4,497,283.				
	g	Noncash contributions included in lines 1a-1f	1g	\$				
	<b>h Total. Add lines 1a-1f</b>				<b>4,540,819.</b>			
Program Service Revenue				<b>Business Code</b>				
	2 a							
	b							
	c							
	d							
	e							
	f	All other program service revenue						
<b>g Total. Add lines 2a-2f</b>								
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)			4,555,393.		4,555,393.	
	4	Income from investment of tax-exempt bond proceeds						
	5	Royalties						
	6 a			(i) Real				
				(ii) Personal				
		6a	Gross rents		1,029,848.			
	b	Less: rental expenses	6b	756,836.				
	c	Rental income or (loss)	6c	273,012.				
	d	Net rental income or (loss)			273,012.	273,012.		
	7 a			(i) Securities				
				(ii) Other				
		7a	Gross amount from sales of assets other than inventory		57,551,513.			
	b	Less: cost or other basis and sales expenses		7b	50,788,478.			
	c	Gain or (loss)		7c	6,763,035.			
d	Net gain or (loss)			6,763,035.		6,763,035.		
8 a								
	8a	Gross income from fundraising events (not including \$ 43,536. of contributions reported on line 1c). See Part IV, line 18		460,178.				
b	Less: direct expenses		8b	250,886.				
c	Net income or (loss) from fundraising events			209,292.		209,292.		
9 a								
	9a	Gross income from gaming activities. See Part IV, line 19						
b	Less: direct expenses		9b					
c	Net income or (loss) from gaming activities							
10 a								
	10a	Gross sales of inventory, less returns and allowances						
b	Less: cost of goods sold		10b					
c	Net income or (loss) from sales of inventory							
Miscellaneous Revenue				<b>Business Code</b>				
	11 a	MISCELLANEOUS		900099	8,577.	8,577.		
	b							
	c							
	d	All other revenue						
<b>e Total. Add lines 11a-11d</b>				<b>8,577.</b>				
<b>12 Total revenue. See instructions</b>				<b>16,350,128.</b>	<b>281,589.</b>	<b>0.</b>	<b>11,527,720.</b>	

**Part IX** Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	16,874,935.	16,874,935.		
2 Grants and other assistance to domestic individuals. See Part IV, line 22	452,640.	452,640.		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	578,927.		578,927.	
6 Compensation not included above to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	1,328,916.	1,011,129.	157,610.	160,177.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	176,817.	140,275.	16,873.	19,669.
9 Other employee benefits	158,884.	118,014.	24,824.	16,046.
10 Payroll taxes	136,594.	81,702.	43,093.	11,799.
11 Fees for services (nonemployees):				
a Management				
b Legal	59,249.	4,392.	54,857.	
c Accounting	40,400.		40,400.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees	891,119.	891,119.		
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	138,320.	72,489.	65,831.	
12 Advertising and promotion	493,651.	479,969.	13,682.	
13 Office expenses	169,758.	90,983.	66,122.	12,653.
14 Information technology	22,996.		21,298.	1,698.
15 Royalties				
16 Occupancy	25,447.	39.	25,408.	
17 Travel	5,511.	3,661.	938.	912.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	66,337.		66,337.	
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	18,590.		18,590.	
23 Insurance	50,228.		50,228.	
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a <b>DUES AND SUBSCRIPTIONS</b>	51,026.	44,267.	6,639.	120.
b <b>DEVELOPMENT PROGRAMS</b>	11,329.	10,271.	71.	987.
c <b>STAFF DEVELOPMENT</b>	7,548.	4,754.	2,332.	462.
d <b>OTHER EXPENSES</b>	3,503.	1,959.	1,358.	186.
e All other expenses				
25 <b>Total functional expenses.</b> Add lines 1 through 24e	21,762,725.	20,282,598.	1,255,418.	224,709.
26 <b>Joint costs.</b> Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here  if following SOP 98-2 (ASC 958-720)

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X

		(A) Beginning of year		(B) End of year	
<b>Assets</b>	1	Cash - non-interest-bearing	2,732.	1	13,908.
	2	Savings and temporary cash investments	177,385.	2	278,644.
	3	Pledges and grants receivable, net	14,997.	3	13,997.
	4	Accounts receivable, net	117,745.	4	56,819.
	5	Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use		8	
	9	Prepaid expenses and deferred charges	23,732.	9	91,904.
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 15,717,428.		
	b	Less: accumulated depreciation	10b 8,901,220.	10c 6,816,208.	
	11	Investments - publicly traded securities	246,197,703.	11	255,042,582.
	12	Investments - other securities. See Part IV, line 11	15,849,499.	12	15,763,221.
	13	Investments - program-related. See Part IV, line 11		13	
	14	Intangible assets		14	
	15	Other assets. See Part IV, line 11	1,538,355.	15	1,373,495.
16	<b>Total assets.</b> Add lines 1 through 15 (must equal line 33)	270,604,259.	16	279,450,778.	
<b>Liabilities</b>	17	Accounts payable and accrued expenses	548,476.	17	344,838.
	18	Grants payable	11,673,538.	18	14,302,570.
	19	Deferred revenue		19	42,554.
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		22	
	23	Secured mortgages and notes payable to unrelated third parties		23	
	24	Unsecured notes and loans payable to unrelated third parties		24	368,800.
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	133,763.	25	123,712.
	26	<b>Total liabilities.</b> Add lines 17 through 25	12,355,777.	26	15,182,474.
<b>Net Assets or Fund Balances</b>	Organizations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 27, 28, 32, and 33.				
	27	Net assets without donor restrictions	104,405,772.	27	102,881,151.
	28	Net assets with donor restrictions	153,842,710.	28	161,387,153.
	Organizations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 29 through 33.				
	29	Capital stock or trust principal, or current funds		29	
	30	Paid-in or capital surplus, or land, building, or equipment fund		30	
	31	Retained earnings, endowment, accumulated income, or other funds		31	
32	<b>Total net assets or fund balances</b>	258,248,482.	32	264,268,304.	
33	<b>Total liabilities and net assets/fund balances</b>	270,604,259.	33	279,450,778.	

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	16,350,128.
2	Total expenses (must equal Part IX, column (A), line 25)	2	21,762,725.
3	Revenue less expenses. Subtract line 2 from line 1	3	-5,412,597.
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	258,248,482.
5	Net unrealized gains (losses) on investments	5	11,432,419.
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain on Schedule O)	9	0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (B))	10	264,268,304.

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

	Yes	No
1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
b Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O.		X
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audits		



**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....	3366327.	4076713.	3633107.	4906616.	4540819.	20523582.
<b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
<b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge .....						
<b>4 Total.</b> Add lines 1 through 3 .....	3366327.	4076713.	3633107.	4906616.	4540819.	20523582.
<b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) .....						
<b>6 Public support.</b> Subtract line 5 from line 4. ....						20523582.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
<b>7</b> Amounts from line 4 .....	3366327.	4076713.	3633107.	4906616.	4540819.	20523582.
<b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources .....	5244856.	5026110.	6518828.	6356217.	5585241.	28731252.
<b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on .....						
<b>10</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) .....					8,577.	8,577.
<b>11 Total support.</b> Add lines 7 through 10 .....						49263411.
<b>12</b> Gross receipts from related activities, etc. (see instructions) .....					12	
<b>13 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here .....						<input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

<b>14</b> Public support percentage for 2019 (line 6, column (f) divided by line 11, column (f)) .....	<b>14</b>	41.66 %
<b>15</b> Public support percentage from 2018 Schedule A, Part II, line 14 .....	<b>15</b>	43.55 %
<b>16a 33 1/3% support test - 2019.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization .....	<input checked="" type="checkbox"/>	
<b>b 33 1/3% support test - 2018.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization .....	<input type="checkbox"/>	
<b>17a 10% -facts-and-circumstances test - 2019.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization .....	<input type="checkbox"/>	
<b>b 10% -facts-and-circumstances test - 2018.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization .....	<input type="checkbox"/>	
<b>18 Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions .....	<input type="checkbox"/>	

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge						
<b>6 Total.</b> Add lines 1 through 5						
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
<b>c</b> Add lines 7a and 7b						
<b>8 Public support.</b> (Subtract line 7c from line 6.)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
<b>9</b> Amounts from line 6						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
<b>c</b> Add lines 10a and 10b						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.)						

**14 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2019 (line 8, column (f), divided by line 13, column (f))	<b>15</b>	%
<b>16</b> Public support percentage from 2018 Schedule A, Part III, line 15	<b>16</b>	%

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for 2019 (line 10c, column (f), divided by line 13, column (f))	<b>17</b>	%
<b>18</b> Investment income percentage from 2018 Schedule A, Part III, line 17	<b>18</b>	%

**19a 33 1/3% support tests - 2019.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

**b 33 1/3% support tests - 2018.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

**Part IV Supporting Organizations**

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i>		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
b <b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c <b>Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer 10b below.</i>		
b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>		

**Part IV Supporting Organizations** (continued)

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
b A family member of a person described in (a) above?		
c A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI.</i>		

**Section B. Type I Supporting Organizations**

	Yes	No
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.</i>		

**Section C. Type II Supporting Organizations**

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		

**Section D. All Type III Supporting Organizations**

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		

**Section E. Type III Functionally Integrated Supporting Organizations**

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).		
a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).		
2 Activities Test. Answer (a) and (b) below.		
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>		
b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>		
3 Parent of Supported Organizations. Answer (a) and (b) below.		
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i>		
b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>		

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

1  Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3.	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	<b>Adjusted Net Income</b> (subtract lines 5, 6, and 7 from line 4)	8	

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	<b>Total</b> (add lines 1a, 1b, and 1c)	1d	
e	<b>Discount</b> claimed for blockage or other factors (explain in detail in Part VI):		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d.	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035.	6	
7	Recoveries of prior-year distributions	7	
8	<b>Minimum Asset Amount</b> (add line 7 to line 6)	8	

Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1.	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3.	4	
5	Income tax imposed in prior year	5	
6	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).	6	
7	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).		

**Part V** Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions.	
7 <b>Total annual distributions.</b> Add lines 1 through 6.	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.	
9 Distributable amount for 2019 from Section C, line 6	
10 Line 8 amount divided by line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2019	(iii) Distributable Amount for 2019
1 Distributable amount for 2019 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2019 (reasonable cause required- explain in Part VI). See instructions.			
3 Excess distributions carryover, if any, to 2019			
a From 2014			
b From 2015			
c From 2016			
d From 2017			
e From 2018			
f <b>Total</b> of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2019 distributable amount			
i Carryover from 2014 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2019 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
b Applied to 2019 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4.			
5 Remaining underdistributions for years prior to 2019, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions.			
6 Remaining underdistributions for 2019. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions.			
7 <b>Excess distributions carryover to 2020.</b> Add lines 3j and 4c.			
8 Breakdown of line 7:			
a Excess from 2015			
b Excess from 2016			
c Excess from 2017			
d Excess from 2018			
e Excess from 2019			



**Schedule B**

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

**2019**

Name of the organization

**KOSAIR CHARITIES COMMITTEE, INC.**

Employer identification number

**61-0514703**

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)( 3 ) (enter number) organization

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ..... ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2019)

Name of organization <b>KOSAIR CHARITIES COMMITTEE, INC.</b>	Employer identification number <b>61-0514703</b>
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**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	EMOGENE CARTER TRUST 982 EASTERN PKWY LOUISVILLE, KY 40214	\$ 2,241,810.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	COLONEL HARLAND SANDERS FOUNDATION 982 EASTERN PKWY LOUISVILLE, KY 40214	\$ 250,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	HOWARD NEWTON TINSLEY ESTATE 982 EASTERN PKWY LOUISVILLE, KY 40214	\$ 142,811.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	FRANCES ROGERS JEFFRESS ESTATE 982 EASTERN PKWY LOUISVILLE, KY 40214	\$ 131,105.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	CHARITABLE TRUST OF BELL B NEEL 982 EASTERN PKWY LOUISVILLE, KY 40214	\$ 100,372.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization <b>KOSAIR CHARITIES COMMITTEE, INC.</b>	Employer identification number <b>61-0514703</b>
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**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____

Name of organization <b>KOSAIR CHARITIES COMMITTEE, INC.</b>	Employer identification number <b>61-0514703</b>
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**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) ▶ \$ \_\_\_\_\_  
 Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. Attach to Form 990.

Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019 Open to Public Inspection

Name of the organization

KOSAIR CHARITIES COMMITTEE, INC.

Employer identification number

61-0514703

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

Table with 2 columns: (a) Donor advised funds, (b) Funds and other accounts. Rows include Total number at end of year, Aggregate value of contributions to (during year), Aggregate value of grants from (during year), and Aggregate value at end of year.

- 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?

Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

- 1 Purpose(s) of conservation easements held by the organization (check all that apply).
2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.
3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year.
4 Number of states where property subject to conservation easement is located.
5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?
6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year.
7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year.
8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?
9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

- 1a If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide in Part XIII the text of the footnote to its financial statements that describes these items.
b If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:
2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under FASB ASC 958 relating to these items:

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

- 3 Using the organization's acquisition, accession, and other records, check any of the following that make significant use of its collection items (check all that apply):
- a  Public exhibition
  - b  Scholarly research
  - c  Preservation for future generations
  - d  Loan or exchange program
  - e  Other \_\_\_\_\_
- 4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No
- b If "Yes," explain the arrangement in Part XIII and complete the following table:
- |                                 | Amount |
|---------------------------------|--------|
| c Beginning balance             | 1c     |
| d Additions during the year     | 1d     |
| e Distributions during the year | 1e     |
| f Ending balance                | 1f     |
- 2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?  Yes  No
- b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII

**Part V Endowment Funds.** Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	246,293,943.	252,552,630.	239,360,557.	233,176,805.	178,084,849.
b Contributions	3,151,617.	3,534,337.	4,663,483.	4,964,114.	5,225,870.
c Net investment earnings, gains, and losses	22,014,103.	5,548,527.	24,533,513.	23,757,668.	71,416,185.
d Grants or scholarships	13,811,378.	13,893,534.	13,550,791.	20,415,996.	18,889,430.
e Other expenditures for facilities and programs	375,686.	265,647.	1,286,550.	1,232,492.	1,573,755.
f Administrative expenses	1,255,418.	1,182,370.	1,167,582.	889,542.	1,086,914.
g End of year balance	256,017,181.	246,293,943.	252,552,630.	239,360,557.	233,176,805.

- 2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
- a Board designated or quasi-endowment  43.00 %
  - b Permanent endowment  50.00 %
  - c Term endowment  7.00 %
- The percentages on lines 2a, 2b, and 2c should equal 100%.
- 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:
- |  | Yes | No |
|--|-----|----|
| (i) Unrelated organizations  | X   |    |
| (ii) Related organizations   |     | X  |
| b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? |     |    |

4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		752,316.		752,316.
b Buildings		11,897,757.	7,896,387.	4,001,370.
c Leasehold improvements				
d Equipment		3,067,355.	1,004,833.	2,062,522.
e Other				
<b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				6,816,208.

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely held equity interests		
(3) Other		
(A) INVESTMENTS HELD IN TRUST		
(B) BY OTHERS	14,702,120.	END-OF-YEAR MARKET VALUE
(C) INVESTMENTS HELD IN TRUST		
(D) FOR OTHERS	1,061,101.	END-OF-YEAR MARKET VALUE
(E)		
(F)		
(G)		
(H)		
<b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 12.)	15,763,221.	

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
<b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 13.)		

**Part IX Other Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 15.)	

**Part X Other Liabilities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) ANNUITIES PAYABLE	123,712.
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.)	123,712.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FASB ASC 740. Check here if the text of the footnote has been provided in Part XIII

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	27,648,264.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
a	Net unrealized gains (losses) on investments	2a	11,432,419.	
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII.)	2d	756,836.	
e	Add lines 2a through 2d	2e		12,189,255.
3	Subtract line 2e from line 1	3		15,459,009.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b	891,119.	
c	Add lines 4a and 4b	4c		891,119.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5		16,350,128.

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	21,879,328.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII.)	2d	1,007,722.	
e	Add lines 2a through 2d	2e		1,007,722.
3	Subtract line 2e from line 1	3		20,871,606.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b	891,119.	
c	Add lines 4a and 4b	4c		891,119.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5		21,762,725.

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**PART V, LINE 4:**

THE CORPUS OF THE ENDOWMENT FUND, WHICH IS DERIVED FROM BEQUESTS AND WILLS NOT OTHERWISE DESIGNATED BY THE DONOR, SHALL NOT BE EXPENDED FOR ANY PURPOSE OTHER THAN REINVESTMENT. THE REINVESTMENT INCOME SHALL BE USED FOR GRANTS AND SERVICES RELATED TO CHILDREN DEVELOPMENT AND OTHER SUCH CHARITABLE PURPOSES AS ARE IN ACCORDANCE WITH KOSAIR CHAIRITIES COMMITTEE INC'S ARTICLES OF INCORPORATION.

**PART X, LINE 2:**

ACCOUNTING PRINCIPLES GENERALLY ACCEPTED IN THE UNITED STATES OF AMERICA REQUIRE MANAGEMENT TO EVALUATE TAX POSITIONS TAKEN BY THE COMMITTEE AND RECOGNIZE A TAX LIABILITY IF THE COMMITTEE HAS TAKEN AN UNCERTAIN POSITION

Part XIII Supplemental Information (continued)

THAT MORE LIKELY THAN NOT WOULD NOT BE SUSTAINED UPON EXAMINATION BY VARIOUS FEDERAL AND STATE TAXING AUTHORITIES. MANAGEMENT HAS ANALYZED THE TAX POSITIONS TAKEN BY THE COMMITTEE, AND HAS CONCLUDED THAT AS OF SEPTEMBER 30, 2020 AND 2019, THERE ARE NO UNCERTAIN POSITIONS TAKEN OR EXPECTED TO BE TAKEN THAT WOULD REQUIRE RECOGNITION OF A LIABILITY OR DISCLOSURE IN THE ACCOMPANYING FINANCIAL STATEMENTS. THE COMMITTEE IS SUBJECT TO ROUTINE AUDITS BY TAXING JURISDICTIONS; HOWEVER, THERE ARE CURRENTLY NO AUDITS FOR ANY TAX PERIODS IN PROGRESS.

PART XI, LINE 2D - OTHER ADJUSTMENTS:

IMPUTED RENTAL EXPENSE 756,836.

PART XI, LINE 4B - OTHER ADJUSTMENTS:

INVESTMENT FEES NETTED WITH REVENUE 891,119.

PART XII, LINE 2D - OTHER ADJUSTMENTS:

IMPUTED RENTAL EXPENSE 756,836.

SPECIAL EVENTS EXPENSE NETTED WITH REVENUE 250,886.

TOTAL TO SCHEDULE D, PART XII, LINE 2D 1,007,722.

PART XII, LINE 4B - OTHER ADJUSTMENTS:

INVESTMENT FEES NETTED WITH REVENUE 891,119.



**Part II Fundraising Events.** Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		MILLER HIGH BALL (event type)	JUST FORE KIDS (event type)	4 (total number)	(add col. (a) through col. (c))
Revenue	1	107,379.	186,110.	210,225.	503,714.
	2	16,656.	6,920.	19,960.	43,536.
	3	90,723.	179,190.	190,265.	460,178.
Direct Expenses	4				
	5				
	6	11,200.	2,050.	12,495.	25,745.
	7	22,720.	12,510.	3,252.	38,482.
	8	4,200.		1,436.	5,636.
	9	32,060.	117,954.	31,009.	181,023.
	10	Direct expense summary. Add lines 4 through 9 in column (d) ▶			
11	Net income summary. Subtract line 10 from line 3, column (d) ▶				209,292.

**Part III Gaming.** Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
		1			
Direct Expenses	2				
	3				
	4				
	5				
	6	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
7	Direct expense summary. Add lines 2 through 5 in column (d) ▶				
8	Net gaming income summary. Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization conducts gaming activities: \_\_\_\_\_

a Is the organization licensed to conduct gaming activities in each of these states?  Yes  No

b If "No," explain: \_\_\_\_\_  
 \_\_\_\_\_

10a Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year?  Yes  No

b If "Yes," explain: \_\_\_\_\_  
 \_\_\_\_\_

11 Does the organization conduct gaming activities with nonmembers?  Yes  No

12 Is the organization a grantor, beneficiary or trustee of a trust, or a member of a partnership or other entity formed to administer charitable gaming?  Yes  No

13 Indicate the percentage of gaming activity conducted in:  
a The organization's facility 

13a		%
13b		%

  
b An outside facility

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:  
Name ▶ \_\_\_\_\_  
Address ▶ \_\_\_\_\_

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?  Yes  No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ▶ \$ \_\_\_\_\_

c If "Yes," enter name and address of the third party:  
Name ▶ \_\_\_\_\_  
Address ▶ \_\_\_\_\_

16 Gaming manager information:  
Name ▶ \_\_\_\_\_  
Gaming manager compensation ▶ \$ \_\_\_\_\_  
Description of services provided ▶ \_\_\_\_\_  
\_\_\_\_\_  
 Director/officer       Employee       Independent contractor

17 Mandatory distributions:  
a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?  Yes  No  
b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ \_\_\_\_\_

**Part IV Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



**SCHEDULE I  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.

OMB No. 1545-0047

**2019**

Open to Public  
Inspection

Name of the organization

**KOSAIR CHARITIES COMMITTEE, INC.**

Employer identification number  
**61-0514703**

- Part I** General Information on Grants and Assistance
- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.  Yes  No

**Part II** Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
METRO LOUISVILLE ROTARY CLUB CHARITIES - 1 RIVERFRONT PLAZA - LOUISVILLE, KY 40202		501C3	5,000.	0.			SEE SCHEDULE O
CENTERSTONE							
101 W. MUHAMMAD ALI BLVD LOUISVILLE, KY 40202		501C3	125,000.	0.			SEE SCHEDULE O
MARYHURST							
1015 DORSEY LANE LOUISVILLE, KY 40223		501C3	50,787.	0.			SEE SCHEDULE O
ST. VINCENT DEPAUL							
1015C PRESTON HWY LOUISVILLE, KY 40203		501C3	32,856.	0.			SEE SCHEDULE O
THE HEALING PLACE							
1020 W. MARKET STREET LOUISVILLE, KY 40202		501C3	18,221.	0.			SEE SCHEDULE O
THE MORTON CENTER							
1028 BARRET AVE LOUISVILLE, KY 40204		501C3	20,000.	0.			SEE SCHEDULE O

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table
- 3 Enter total number of other organizations listed in the line 1 table

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2019)

**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CONVENTUAL FRANCISCAN FRIARS 103 ST FRANCIS BLVD MOUNT ST FRANCIS, IN 47146	501C3		5,000.	0.			SEE SCHEDULE O
LOUISVILLE TKO, INC. 104 E BRECKINRIDGE ST LOUISVILLE, KY 40203	501C3		23,000.	0.			SEE SCHEDULE O
SHRINERS HOSPITAL FOR CHILDREN 110 CONN TERRACE LEXINGTON, KY 40508	501C3		495,923.	0.			SEE SCHEDULE O
IMPERIAL SHRINE HOSPITAL - LEXINGTON - 110 CONN TERRACE - LEXINGTON, KY 40508	501C3		8,150.	0.			SEE SCHEDULE O
HOME OF THE INNOCENTS 1100 E. MARKET STREET LOUISVILLE, KY 40206	501C3		370,458.	0.			SEE SCHEDULE O
CARE FOR CHILDREN 1100 WALNUT ST OWENSBORO, KY 42301	501C3		200,000.	0.			SEE SCHEDULE O
KENTUCKY YOUTH ADVOCATES 11011 BLUEGRASS PARKWAY LOUISVILLE, KY 40217	501C3		2,550,000.	0.			SEE PT III, LINE 4B
HEUSER HEARING INSTITUTE 111 E. KENTUCKY STREET LOUISVILLE, KY 40203	501C3		460,144.	0.			SEE SCHEDULE O
SUMMIT ACADEMY 11508 MAIN STREET LOUISVILLE, KY 40243	501C3		108,835.	0.			SEE SCHEDULE O

Schedule I (Form 990)

**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FIRST GETHSEMANE CENTER 1159 ALGONQUIN PKWY LOUISVILLE, KY 40201		501C3	10,000.	0.			SEE SCHEDULE O
JUVENILE DIABETES RESEARCH FOUNDATION - 11902 BRINLEY AVE - LOUISVILLE, KY 40243		501C3	15,000.	0.			SEE SCHEDULE O
LINCOLN HERITAGE COUNCIL 12001 SYCAMORE STATION PLACE LOUISVILLE, KY 40299		501C3	10,000.	0.			SEE SCHEDULE O
SPECIAL OLYMPICS OF KENTUCKY 1230 LIBERTY BANK LANE LOUISVILLE, KY 40222		501C3	15,000.	0.			SEE SCHEDULE O
MAKE A WISH FOUNDATION 1230 LIBERTY BANK LANE LN LOUISVILLE, KY 40222		501C3	5,000.	0.			SEE SCHEDULE O
CARRIAGE HOUSE 1301 EASTPOINT PARK BLVD LOUISVILLE, KY 40223		501C3	59,600.	0.			SEE SCHEDULE O
THE TIGER FOUNDATION 1304 S 28TH ST LOUISVILLE, KY 40210		501C3	125,000.	0.			SEE SCHEDULE O
GREEN HILL THERAPY 1410 LONG RUN ROAD LOUISVILLE, KY 40245		501C3	400,000.	0.			SEE SCHEDULE O
EXPLOITED CHILDREN'S HELP ORGANIZATION - 1411 ALGONQUIN PKWY - LOUISVILLE, KY 40210		501C3	62,000.	0.			SEE SCHEDULE O

Schedule I (Form 990)

**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States. (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ROCKCASTLE REGIONAL HOSPITAL AND RESPIRATORY CARE - 145 NEWCOMB AVE - MOUNT VERNON, KY 40456	501C3		26,000.	0.			SEE SCHEDULE O
BIG BROTHERS BIG SISTERS 1519 GARDINER LANE LOUISVILLE, KY 40218	501C3		37,000.	0.			SEE SCHEDULE O
MERCY HEALTH LOURDES 1530 LONE OAK RD PADUCAH, KY 42003	501C3		28,500.	0.			SEE SCHEDULE O
LOUISVILLE URBAN LEAGUE 1535 W BROADWAY LOUISVILLE, KY 40203	501C3		111,000.	0.			SEE SCHEDULE O
DREAMS WITH WINGS 1579 BARDSTOWN LANE LOUISVILLE, KY 40205	501C3		50,000.	0.			SEE SCHEDULE O
2NOT1 FATHERHOOD AND FAMILIES, INC 1600 W SAINT CATHERINE ST LOUISVILLE, KY 40210	501C3		71,574.	0.			SEE SCHEDULE O
ST GEORGES SCHOLAR INSTITUTE 1600 WEST ST CATHERINE ST LOUISVILLE, KY 40210	501C3		30,000.	0.			SEE SCHEDULE O
KING SOLOMON MISSIONARY BAPTIST CHURCH - 1620 ANDERSON STREET - LOUISVILLE, KY 40210	501C3		10,000.	0.			SEE SCHEDULE O
KENTUCKY DIABETES CAMP FOR CHILDREN - 1640 LYNDON FARM CT - LOUISVILLE, KY 40223	501C3		20,000.	0.			SEE SCHEDULE O

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE CHILDREN'S ADVOCACY CENTER OF THE BLUEGRASS - 183 WALTON AVE - LEXINGTON, KY 40508		501C3	10,000.	0.			SEE SCHEDULE O
FLOYD MEMORIAL FOUNDATION 1850 STATE ST NEW ALBANY, IN 47150		501C3	220,000.	0.			SEE SCHEDULE O
KENTUCKY HEMOPHILIA FOUNDATION 1850 TAYLOR BLVD LOUISVILLE, KY 40213		501C3	100,000.	0.			SEE SCHEDULE O
VISUALLY IMPAIRED PRESCHOOL 19006 GOLDSMITH LANE LOUISVILLE, KY 40218		501C3	530,000.	0.			SEE SCHEDULE O
CYSTIC FIBROSIS FOUNDATION 1941 BISHOP LANE LOUISVILLE, KY 40218		501C3	8,310.	0.			SEE SCHEDULE O
ST. MATTHEWS AREA MINISTRIES 201 BILTMORE ROAD LOUISVILLE, KY 40207		501C3	13,000.	0.			SEE SCHEDULE O
NEIGHBORHOOD HOUSE 201 N 25TH ST LOUISVILLE, KY 40212		501C3	30,000.	0.			SEE SCHEDULE O
FAMILY ARK, INC 215 RAINBOW WAY JEFFERSONVILLE, IN 47130		501C3	25,000.	0.			SEE SCHEDULE O
SCHOOL CHOICE SCHOLARSHIPS 2200 DUNDEE RD LOUISVILLE, KY 40205		501C3	10,000.	0.			SEE SCHEDULE O

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FATHER MALONEY'S BOYS HAVEN 2221 GOLDSMITH WAY LOUISVILLE, KY 40218		501C3	50,000.	0.			SEE SCHEDULE O
LA CASITA CENTER 223 E. MAGNOLIA AVE LOUISVILLE, KY 40208		501C3	20,000.	0.			SEE SCHEDULE O
FAMILY & CHILDREN'S PLACE 2303 RIVER ROAD LOUISVILLE, KY 40206		501C3	66,045.	0.			SEE SCHEDULE O
SCOTTISH RITE CLUB OF BULLITT COUNTY - 254 CHALET ROAD - LEBANON JUNCTION, KY 40150		501C3	31,000.	0.			SEE SCHEDULE O
KENTUCKY HANDS AND VOICES 2724 MARTINIQUE LN LOUISVILLE, KY 40509		501C3	5,000.	0.			SEE SCHEDULE O
THE FORCINI FARMER CHILDRENS FOUNDATION - 2730 FRANKFORT AVE - LOUISVILLE, KY 40206		501C3	6,320.	0.			SEE SCHEDULE O
JEWISH FAMILY & CAREER SERVICES 2821 KLEMPNER WAY LOUISVILLE, KY 40205		501C3	10,000.	0.			SEE SCHEDULE O
ST. JOSEPH CHILDREN'S HOME 2823 FRANKFORT AVE LOUISVILLE, KY 40206		501C3	94,251.	0.			SEE SCHEDULE O
SHRINERS INTERNATIONAL 2900 ROCKY POINT DR TAMPA, FL 33607		501C3	100,000.	0.			SEE SCHEDULE O

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF LOUISVILLE DEPT. OF PEDIATRICS - 300 E. MARKET STREET - LOUISVILLE, KY 40202		501C3	1,970,863.	0.			SEE PT III, LINE 4A
MEREDITH-DUNN SCHOOL 3005 RIVER ROAD LOUISVILLE, KY 40203		501C3	108,835.	0.			SEE SCHEDULE O
THE GLOBAL GAME CHANGERS 304 MOCKINGBIRD VALLEY RD LOUISVILLE, KY 40207		501C3	25,320.	0.			SEE SCHEDULE O
BELLWOOD AND BROOKLAWN 3121 BROOKLAWN CAMPUS DR LOUISVILLE, KY 40210		501C3	125,000.	0.			SEE SCHEDULE O
CITY SCHOOLHOUSE 3126 PORTLAND AVE LOUISVILLE, KY 40212		501C3	83,000.	0.			SEE SCHEDULE O
SOWING SEEDS WITH FAITH 3146 W. BROADWAY LOUISVILLE, KY 40211		501C3	25,000.	0.			SEE SCHEDULE O
STAGE ONE FAMILY THEATRE 315 W MARKET ST LOUISVILLE, KY 40202		501C3	5,000.	0.			SEE SCHEDULE O
INSIDE THE LINES 321 N SHAWNEE TERRACE LOUISVILLE, KY 40212		501C3	30,000.	0.			SEE SCHEDULE O
CENTER FOR NONPROFIT EXCELLENCE 323 W. BROADWAY LOUISVILLE, KY 40202		501C3	55,000.	0.			SEE SCHEDULE O

Schedule I (Form 990)

**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COMMUNITY FOUNDATION OF LOUISVILLE 325 W MAIN ST LOUISVILLE, KY 40202		501C3	100,000.	0.			SEE SCHEDULE O
RIVER CITY DRUM CORPORATION 3308 CHAUNCEY AVE LOUISVILLE, KY 40211		501C3	30,000.	0.			SEE SCHEDULE O
WORKWELL INDUSTRIES 3401 JEWEL AVE LOUISVILLE, KY 40212		501C3	20,000.	0.			SEE SCHEDULE O
HOSPARUS 3532 EPHRAIM MCDOWELL LOUISVILLE, KY 40202		501C3	100,000.	0.			SEE SCHEDULE O
JEWISH COMMUNITY OF LOUISVILLE 3600 DUTCHMANS LN LOUISVILLE, KY 40205		501C3	250,000.	0.			SEE SCHEDULE O
SPROUTLINGS PEDIATRIC DAYCARE 3701 FRANKFORT AVE LOUISVILLE, KY 40207		501C3	27,807.	0.			SEE SCHEDULE O
BOYS AND GIRLS CLUBS 3900 CRITTENDEN DRIVE LOUISVILLE, KY 40209		501C3	380,000.	0.			SEE SCHEDULE O
FAMILY SCHOLAR HOUSE 403 REG SMITH CIRCLE LOUISVILLE, KY 40208		501C3	25,000.	0.			SEE SCHEDULE O
GREATER LOUISVILLE FELLOWSHIP OF CHRISTIAN ATHLETES - 406 BLAKENBAKER PKWY - LOUISVILLE, KY 40243		501C3	60,000.	0.			SEE SCHEDULE O

Schedule I (Form 990)

**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SOUTH LOUISVILLE COMMUNITY MINISTRIES - 415 W. ASHLAND AVE - LOUISVILLE, KY 40214		501C3	10,000.	0.			SEE SCHEDULE O
SOUTHWEST FAMILY MINISTRIES 4208 LAKE DREAMLAND DR LOUISVILLE, KY 40216		501C3	5,000.	0.			SEE SCHEDULE O
WALDEN SCHOOL 4238 WESTPORT RD LOUISVILLE, KY 40207		501C3	25,000.	0.			SEE SCHEDULE O
DOORS TO HOPE 4333 HAZELWOOD AVE LOUISVILLE, KY 40215		501C3	5,000.	0.			SEE SCHEDULE O
SHIVELY AREA MINISTRIES 4415 DIXIE HWY LOUISVILLE, KY 40216		501C3	30,000.	0.			SEE SCHEDULE O
THE FIRST TEE OF LOUISVILLE 460 NORTHWESTERN PKWY LOUISVILLE, KY 40212		501C3	77,000.	0.			SEE SCHEDULE O
AMERICANA COMMUNITY CENTER 4801 SOUTHSIDE DRIVE LOUISVILLE, KY 40214		501C3	69,119.	0.			SEE SCHEDULE O
DOWN SYNDROME OF LOUISVILLE 5001 S. HURSTBOURNE PKWY LOUISVILLE, KY 40291		501C3	200,000.	0.			SEE SCHEDULE O
HOLY TRINITY 501 CHERRYWOOD RD LOUISVILLE, KY 40207		501C3	50,000.	0.			SEE SCHEDULE O

Schedule I (Form 990)

**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BRIDGE KIDS INTERNATIONAL, INC 501 W KENWOOD DR LOUISVILLE, KY 40214		501C3	30,000.	0.			SEE SCHEDULE O
WESLEY HOUSE COMMUNITY SERVICES 5114 PRESTON HWY LOUISVILLE, KY 40213		501C3	35,500.	0.			SEE SCHEDULE O
PURPOSE, INC. 5208 WOLFEN WOODS DR PROSPECT, KY 40059		501C3	42,000.	0.			SEE SCHEDULE O
NATIVITY ACADEMY AT ST. BONIFACE 529 E LIBERTY ST LOUISVILLE, KY 40202		501C3	26,000.	0.			SEE SCHEDULE O
LIGHTHOUSE PROMISES, INC 5312 OLD SHEPHERDSVILLE ROAD LOUISVILLE, KY 40228		501C3	30,000.	0.			SEE SCHEDULE O
RONALD MCDONALD HOUSE 550 S. 1ST STREET LOUISVILLE, KY 40202		501C3	172,045.	0.			SEE SCHEDULE O
COMMUNITY FUND FOR KET 560 COOPER DRIVE LEXINGTON, KY 40502		501C3	5,000.	0.			SEE SCHEDULE O
VOLUNTEERS OF AMERICA 570 S. 4TH STREET LOUISVILLE, KY 40202		501C3	245,678.	0.			SEE SCHEDULE O
DARE TO CARE 5803 FERN VALLEY ROAD LOUISVILLE, KY 40228		501C3	13,419.	0.			SEE SCHEDULE O

Schedule I (Form 990)

**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HOUSE OF RUTH 607 E. ST. CATHERINE STREET LOUISVILLE, KY 40203		501C3	10,000.	0.			SEE SCHEDULE O
OLDHAM COUNTY EDUCATIONAL FOUNDATION - 6165 W HIGHWAY 146 - CRESTWOOD, KY 40014		501C3	5,000.	0.			SEE SCHEDULE O
FUND FOR THE ARTS 623 W. MAIN STREET LOUISVILLE, KY 40202		501C3	23,161.	0.			SEE SCHEDULE O
GILDA'S CLUB LOUISVILLE 533 BAXTER LOUISVILLE, KY 40204		501C3	21,819.	0.			SEE SCHEDULE O
THE REATH CENTER 65 HERITAGE DR CAMBELLSVILLE, KY 42718		501C3	15,000.	0.			SEE SCHEDULE O
SOUTH EAST ASSOCIATED MINISTRIES 6500 SIX MILE LANE LOUISVILLE, KY 40218		501C3	5,000.	0.			SEE SCHEDULE O
SOUTH JEFFERSON NEIGHBORHOOD PLACE 701 W ORMSBY AVE LOUISVILLE, KY 40203		501C3	5,000.	0.			SEE SCHEDULE O
EDUCATIONAL JUSTICE 737 S THIRD ST LOUISVILLE, KY 40202		501C3	5,000.	0.			SEE SCHEDULE O
PITT ACADEMY 7515 WESTPORT ROAD LOUISVILLE, KY 40222		501C3	6,335.	0.			SEE SCHEDULE O

Schedule I (Form 990)

**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
KENTUCKY HARVEST 7705 NATIONAL TURNPIKE LOUISVILLE, KY 40214		501C3	26,431.	0.			SEE SCHEDULE O
YOUTHBUILD LOUISVILLE 812 S. PRESTON STREET LOUISVILLE, KY 40201		501C3	241,000.	0.			SEE SCHEDULE O
DUCHENNE RESEARCH 8216 LIMEHOUSE LANE LOUISVILLE, KY 40220		501C3	50,000.	0.			SEE SCHEDULE O
DREAM FOUNDATION 8216 LIMEHOUSE LANE LOUISVILLE, KY 40220		501C3	50,000.	0.			SEE SCHEDULE O
SPALDING UNIVERSITY 845 S. 3RD STREET LOUISVILLE, KY 40203		501C3	535,600.	0.			SEE SCHEDULE O
SOUTHWEST COMMUNITY MINISTRIES 8504 TERRY RD LOUISVILLE, KY 40258		501C3	70,500.	0.			SEE SCHEDULE O
PORTLAND CHRISTIAN SCHOOL 8509 WESTPORT ROAD LOUISVILLE, KY 40222		501C3	56,335.	0.			SEE SCHEDULE O
THE FOOD LITERACY PROJECT 9001 LIMEHOUSE LANE LOUISVILLE, KY 40223		501C3	30,000.	0.			SEE SCHEDULE O
JOY MINISTRIES 9105 KINGDOM WAY LOUISVILLE, KY 40291		501C3	26,000.	0.			SEE SCHEDULE O

Schedule I (Form 990)

**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PIKEVILLE MEDICAL CENTER 911 BYPASS RD PIKEVILLE, KY 41501		501C3	80,000.	0.			SEE SCHEDULE O
HARDIN MEMORIAL HEALTH FOUNDATION 913 NORTH DIXIE AVENUE ELIZABETHTOWN, KY 42701		501C3	400,000.	0.			SEE SCHEDULE O
FERNCREEK/HIGHVIEW UNITED MINISTRIES - 9300 BEUHLAH CHURCH ROAD - LOUISVILLE, KY 40291		501C3	8,000.	0.			SEE SCHEDULE O
ORCHID HOUSE 945 EASTERN PKWY LOUISVILLE, KY 40217		501C3	59,426.	0.			SEE SCHEDULE O
BLUEGRASS AUTISM CENTER 9810 BLUEGRASS PKWY LOUISVILLE, KY 40299		501C3	360,103.	0.			SEE SCHEDULE O
LOUISVILLE METRO POLICE FOUNDATION 982 EASTERN PARKWAY LOUISVILLE, KY 40217		501C3	183,670.	0.			SEE SCHEDULE O
KIDS CENTER FOR PEDIATRIC THERAPIES - 982 EASTERN PARKWAY - LOUISVILLE, KY 40217		501C3	1,409,618.	0.			SEE PT III, LINE 4C
KENTUCKY TRUST FOR LIFE 982 EASTERN PARKWAY LOUISVILLE, KY 40217		501C3	336,966.	0.			SEE SCHEDULE O
EPILEPSY FOUNDATION 982 EASTERN PARKWAY LOUISVILLE, KY 40217		501C3	165,371.	0.			SEE SCHEDULE O

Schedule I (Form 990)

**Part I** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SPINA BIFIDA 982 EASTERN PARKWAY LOUISVILLE, KY 40217		501C3	76,457.	0.			SEE SCHEDULE O
HUNTINGTON'S DISEASE SOCIETY 982 EASTERN PARKWAY LOUISVILLE, KY 40217		501C3	27,295.	0.			SEE SCHEDULE O
CASA 982 EASTERN PARKWAY LOUISVILLE, KY 40217		501C3	257,044.	0.			SEE SCHEDULE O
CASA PROGRAM FOR BULLITT COUNTY P.O. BOX 1025 SHEPHERDSVILLE, KY 40165		501C3	18,000.	0.			SEE SCHEDULE O
SALVATION ARMY P.O. BOX 1149 LOUISVILLE, KY 40201		501C3	35,000.	0.			SEE SCHEDULE O
SILVER HEIGHTS CAMP P.O. BOX 1733 NEW ALBANY, IN 47150		501C3	15,000.	0.			SEE SCHEDULE O
THE CENTER FOR WOMEN AND FAMILIES P.O. BOX 2048 LOUISVILLE, KY 40201		501C3	19,244.	0.			SEE SCHEDULE O
KIDS CANCER ALLIANCE P.O. BOX 24337 LOUISVILLE, KY 40224		501C3	50,000.	0.			SEE SCHEDULE O
CAMP QUALITY OF KENTUCKY P.O. BOX 35474 LOUISVILLE, KY 40232		501C3	50,000.	0.			SEE SCHEDULE O

**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

**KOSAIR CHARITIES COMMITTEE, INC.**

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CASA OF THE HEARTLAND P.O. BOX 6065 ELIZABETHTOWN, KY 42702		501C3	17,000.	0.			SEE SCHEDULE O
WE CARE CUMBERLAND P.O. BOX 695 BURKEVILLE, KY 42717		501C3	5,000.	0.			SEE SCHEDULE O
DORMAN PRESCHOOL CENTER P.O. BOX 853 SHELBYVILLE, KY 40065		501C3	75,000.	0.			SEE SCHEDULE O
KENDYL AND FRIENDS FOUNDATION PO BOX 298 BURGIN, KY 40310		501C3	100,000.	0.			SEE SCHEDULE O
CRITICALLY LOVED PO BOX 43047 LOUISVILLE, KY 40253		501C3	15,000.	0.			SEE SCHEDULE O
UNIVERSITY OF KENTUCKY SOUTH LIMESTONE LEXINGTON, KY 40506		501C3	550,000.	0.			SEE SCHEDULE O

**Part III** Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
GRANTS FOR CHILDREN WITH MEDICAL PROBLEMS NOT COVERED BY INSURANCE	143	452,640.	0.		

**Part IV** Supplemental Information. Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

**PART I, LINE 2:**

**ALL ORGANIZATIONS RECEIVING GRANTS MUST COMPLETE A FINAL REPORT AND SUBMIT, DISCLOSING THE USE OF THE FUNDS. THE GRANTS COMMITTEE FOLLOWS UP TO ENSURE THESE FORMS ARE RECEIVED. THE BOARD REVIEWS THE FINAL REPORTS ONCE RECEIVED TO VERIFY GRANTS ARE USED APPROPRIATELY.**

**SCHEDULE J  
(Form 990)**

**Compensation Information**

OMB No. 1545-0047

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees  
 ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.  
 ▶ Attach to Form 990.  
 ▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

**2019**  
 Open to Public Inspection

Department of the Treasury  
 Internal Revenue Service

Name of the organization

**KOSAIR CHARITIES COMMITTEE, INC.**

Employer identification number

**61-0514703**

**Part I Questions Regarding Compensation**

	Yes	No
<b>1a</b> Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. <input type="checkbox"/> First-class or charter travel <input type="checkbox"/> Travel for companions <input type="checkbox"/> Tax indemnification and gross-up payments <input type="checkbox"/> Discretionary spending account <input type="checkbox"/> Housing allowance or residence for personal use <input type="checkbox"/> Payments for business use of personal residence <input type="checkbox"/> Health or social club dues or initiation fees <input type="checkbox"/> Personal services (such as maid, chauffeur, chef)		
<b>b</b> If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	<b>1b</b>	
<b>2</b> Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?	<b>2</b>	
<b>3</b> Indicate which, if any, of the following the organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. <input type="checkbox"/> Compensation committee <input type="checkbox"/> Independent compensation consultant <input type="checkbox"/> Form 990 of other organizations <input type="checkbox"/> Written employment contract <input type="checkbox"/> Compensation survey or study <input type="checkbox"/> Approval by the board or compensation committee		
<b>4</b> During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:		
<b>a</b> Receive a severance payment or change-of-control payment?	<b>4a</b>	X
<b>b</b> Participate in, or receive payment from, a supplemental nonqualified retirement plan?	<b>4b</b>	X
<b>c</b> Participate in, or receive payment from, an equity-based compensation arrangement?	<b>4c</b>	X
If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.		
<b>Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.</b>		
<b>5</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:		
<b>a</b> The organization?	<b>5a</b>	X
<b>b</b> Any related organization? If "Yes" on line 5a or 5b, describe in Part III.	<b>5b</b>	X
<b>6</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:		
<b>a</b> The organization?	<b>6a</b>	X
<b>b</b> Any related organization? If "Yes" on line 6a or 6b, describe in Part III.	<b>6b</b>	X
<b>7</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III	<b>7</b>	X
<b>8</b> Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	<b>8</b>	X
<b>9</b> If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	<b>9</b>	

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2019







**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
HARRY LUSK	BOARD MEMBER	238,979.	BOARD MEMBE		X

**Part V Supplemental Information.**

Provide additional information for responses to questions on Schedule L (see instructions).

SCH L, PART IV, BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS:

(A) NAME OF PERSON: HARRY LUSK

(D) DESCRIPTION OF TRANSACTION: BOARD MEMBER IS AN OWNER IN A MECHANICAL CONTRACTING BUSINESS WHICH PERFORMED WORK FOR THE ORGANIZATION DURING THE TAX YEAR.

**SCHEDULE O**  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

**2019**

Open to Public  
Inspection

Name of the organization

KOSAIR CHARITIES COMMITTEE, INC.

Employer identification number

61-0514703

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

FINANCIAL SUPPORT FOR CLINICAL SERVICES, RESEARCH, PEDIATRIC  
HEALTHCARE, AND CHILD ADVOCACY.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

SPALDING UNIVERSITY, LOUISVILLE, KY. FUNDS WILL GO TO ENTECH CENTER TO  
PROVIDE ASSISTIVE TECHNOLOGY SERVICES TO KOSAIR KIDS.

EXPENSES \$ 535,600. INCLUDING GRANTS OF \$535,600. REVENUE \$ 0.

HEUSER HEARING, LOUISVILLE, KY. FUNDS PROVIDED TO OFFER POSTOPERATIVE  
MONITORING, DEVICE MAPPING, SPEECHLANGUAGE THERAPY AND SUPPORT GROUP  
PROGRAMS TO LOWINCOME FAMILIES AND UNDERINSURED CHILDREN WITH HEARING  
LOSS.

EXPENSES \$ 460,144. INCLUDING GRANTS OF \$ 460,144. REVENUE \$ 0.

BLUEGRASS CENTER FOR AUTISM, LOUISVILLE, KY. FUNDS PROVIDED TO ASSIST  
BCA WITH THEIR MISSION TO PROVIDE INDIVIDUALIZED EDUCATION TO HELP  
CHILDREN WITH AUTISM. KOSAIR CHARITIES ALSO PROVIDES SPACE AT 9810  
BLUEGRASS PARKWAY FOR THE ENTITY.

EXPENSES \$ 360,103. INCLUDING GRANTS OF \$ 360,103 REVENUE \$ 0.

CASA, LOUISVILLE, KY. COURT ORDERED SPECIAL ADVOCATES (CASA). CASA  
RECRUITS, TRAINS, AND SUPPORTS VOLUNTEERS TO REPRESENT THE BEST  
INTERESTS OF ABUSED AND NEGLECTED CHILDREN IN THE COURTROOM. FUNDING  
WAS ALSO PROVIDED FOR THEIR KOSAR CENTRE LOCATION AT 982 EASTERN  
PARKWAY, LOUISVILLE, KY.

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EXPENSES \$ 257,044. INCLUDING GRANTS OF \$ 257,044. REVENUE \$ 0.

VISUALLY IMPAIRED PRESCHOOL (VIPS). TO PROVIDE SERVICES TO INFANTS, TODDLERS, AND PRESCHOOLERS WHO ARE VISUALLY IMPAIRED TO MAXIMIZE EACH CHILD'S DEVELOPMENT.

EXPENSES \$ 530,000. INCLUDING GRANTS OF \$ 530,000. REVENUE \$ 0.

VOLUNTEERS OF AMERICA, LOUISVILLE, KY. FUNDS ARE IN SUPPORT OF VOA'S CAPITAL CAMPAIGN TO EXPAND SERVICES PROVIDED BY THEIR FREEDOM HOUSE WOMEN'S ADDICTION RECOVERY PROGRAM.

EXPENSES \$ 245,678 INCLUDING GRANTS OF \$ 245,678. REVENUE \$ 0.

FAMILY AND CHILDREN'S PLACE. WORKS TO RESOLVE THE CHALLENGES CHILDREN AND FAMILIES FACE IN THE COMMUNITY DUE TO ABUSE, NEGLECT AND VIOLENCE.

EXPENSES \$ 66,045. INCLUDING GRANTS OF \$ 66,045. REVENUE \$ 0.

EPILEPSY FOUNDATION. FUNDS USED TO SUPPORT A "COORDINATION OF COMMUNITY OUTREACH" POSITION. KOSAIR CHARITIES ALSO PROVIDES SPACE AT 982 EASTERN PARKWAY FOR THE ENTITY.

EXPENSES \$ 165,371. INCLUDING GRANTS OF \$ 165,371. REVENUE \$ 0.

GREEN HILL THERAPY. FUNDS PROVIDED FOR THERAPY OR CLINICAL SERVICES TO SPECIAL NEEDS CHILDREN.

EXPENSES \$ 400,000. INCLUDING GRANTS OF \$ 400,000. REVENUE \$ 0.

DOWN SYNDROME OF LOUISVILLE. FOR RESEARCH AND ASSISTANCE FOR INDIVIDUALS WITH DOWN SYNDROME.

EXPENSES \$ 200,000. INCLUDING GRANTS OF \$ 200,000. REVENUE \$ 0.

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LOUISVILLE METRO POLICE FOUNDATION. FUNDS USED TO SUPPORT THE SHOP WITH  
 A COP, OFFICER IN DISTRESS AND OTHER INITIATIVES. FUNDS ALSO INCLUDE A  
 SPECIAL HOLIDAY GRANT AND OFFICE SPACE AT 982 EASTERN PARKWAY.  
 EXPENSES \$ 183,670. INCLUDING GRANTS OF \$ 183,670. REVENUE \$ 0.

RONALD MCDONALD HOUSE, LOUISVILLE, KY. FUNDS WERE FOR PLATINUM  
 SPONSORSHIPS OF MCDAZZLE AND SHAREANIGHT.  
 EXPENSES \$ 172,045. INCLUDING GRANTS OF \$ 172,045. REVENUE \$ 0.

THE CENTER FOR WOMEN AND FAMILIES, LOUISVILLE, KY. FUNDS ARE PROVIDED  
 TO DEVELOP THE KOSAIR CHARITIES CHILDREN AND YOUTH VIOLENCE PREVENTION  
 CENTER.  
 EXPENSES \$ 19,244. INCLUDING GRANTS OF \$ 19,244. REVENUE \$ 0.

ST. JOSEPH CHILDREN'S HOME, LOUISVILLE, KY. FUNDS ARE PROVIDED TO  
 ASSIST IN BUILDING FOUR RESIDENTIAL "COTTAGES" TO REPLACE OLD FACILITY.  
 EXPENSES \$ 94,251. INCLUDING GRANTS OF \$ 94,251. REVENUE \$ 0.

SPINA BIFIDA. AGENCY TO EDUCATE AND SUPPORT FAMILIES OF CHILDREN WITH  
 SPINA BIFIDA. FUNDING WAS ALSO PROVIDED FOR THEIR FACILITY ON THE  
 KOSAIR CENTRE CAMPUS.  
 EXPENSES \$ 76,457. INCLUDING GRANTS OF \$ 76,457. REVENUE \$ 0.

KENTUCKY HEMOPHILIA FOUNDATION. FUNDS PROVIDED FOR EDUCATION, RESEARCH,  
 AND ADVOCACY ON BEHALF OF PEOPLE WITH BLEEDING DISORDERS.  
 EXPENSES \$ 100,000. INCLUDING GRANTS OF \$ 100,000. REVENUE \$ 0.

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FIRST GETHSEMANE CENTER. FUNDS WERE USED FOR THE BACK-TO-SCHOOL DELIVERY GIVEAWAY.

EXPENSES \$ 10,000. INCLUDING GRANTS OF \$ 10,000. REVENUE \$ 0.

THE SALVATION ARMY. FUNDS ARE USED TO SUPPORT THE "PATHWAY TO HOPE" PROGRAM. BECAUSE OF THIS PROGRAM FAMILIES MAINTAIN SAFE AND IN SUITABLE HOUSING. ALSO 27 SCHOOL AGED CHILDREN REMAINED IN SCHOOL.

EXPENSES \$ 35,000. INCLUDING GRANTS OF \$ 35,000. REVENUE \$ 0.

DREAMS WITH WINGS. FUNDS WILL ASSIST IN THE RENOVATION OF FACILITY SPACE TO EXPAND CLIENT SERVICES.

EXPENSES \$ 50,000. INCLUDING GRANTS OF \$ 50,000. REVENUE \$ 0.

MARYHURST, LOUISVILLY, KY. FUNDS PROVIDED FOR HEALTH CARE TO NEGLECTED AND ABUSED TEENAGE GIRLS.

EXPENSES \$ 50,787. INCLUDING GRANTS OF \$ 50,787. REVENUE \$ 0.

THE HEALING PLACE. THE FUNDS ARE TO HELP THE HEALING PLACE COMPLETE THE CONSTRUCTION AND EXPANSION OF THE WOMEN AND CHILDREN'S PROGRAM LOCATED AT SOUTH 15TH STREET. THE EXPANSION WILL ALLOW THE HEALING PLACE TO SERVE TWICE THE NUMBER OF WOMEN AND CHILDREN.

EXPENSES \$ 18,221. INCLUDING GRANTS OF \$ 18,221. REVENUE \$ 0.

SUMMIT ACADEMY, LOUISVILLE, KY. FUNDS ARE TO ASSIST WITH ONSITE SPEECH AND OCCUPATIONAL THERAPY FOR THE STUDENTS.

EXPENSES \$ 108,835. INCLUDING GRANTS OF \$ 108,835. REVENUE \$ 0.

THE FOOD LITERACY PROJECT. FUNDS WILL BE USED TO SUPPORT FIELD TO FORK

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PROGRAM.

EXPENSES \$ 30,000. INCLUDING GRANTS OF \$ 30,000. REVENUE \$ 0.

YOUTHBUILD LOUISVILLE. FUNDS WILL HELP PROVIDE AN ON CAMPUS HEALTH  
CLINIC FOR YOUTHBUILD STUDENTS AND THEIR CHILDREN.

EXPENSES \$ 241,000. INCLUDING GRANTS OF \$ 241,000. REVENUE \$ 0.

ST. VINCENT DE PAUL. FUNDS WERE USED TO ASSIST WITH EXPANSION OF THE  
FAMILY SUCCESS CENTER.

EXPENSES \$ 32,856. INCLUDING GRANTS OF \$ 32,856. REVENUE \$ 0.

HOSPARUS. FUNDS PROVIDED TO SUPPORT APPROX 500 CHILDREN AND ADOLESCENTS  
RECEIVING BEREAVEMENT PROGRAMS/COUNSELING OR MEDICAL SERVICES THROUGH  
THE KORAGEOUS KIDS PROGRAM.

EXPENSES \$ 100,000. INCLUDING GRANTS OF \$ 100,000. REVENUE \$ 0.

AMERICANA COMMUNITY CENTER. AGENCY WORKS TO PROVIDE EDUCATIONAL,  
HEALTH, RECREATIONAL AND COMMUNITY BUILDING SERVICES TO REFUGEE  
IMMIGRANT AND LOW INCOME RESIDENTS OF METRO LOUISVILLE.

EXPENSES \$ 69,119. INCLUDING GRANTS OF \$ 69,119. REVENUE \$ 0.

BOYS AND GIRLS CLUB, LOUISVILLE, KY. FUNDS PROVIDED TO ASSIST ENTITY IN  
ITS MISSION TO INSPIRE AND ENABLE YOUNG PEOPLE TO REALIZE THEIR  
POTENTIAL.

EXPENSES \$ 380,000 INCLUDING GRANTS OF \$ 380,000. REVENUE \$ 0.

SILVER HEIGHTS CAMP. FUNDS USED TO PROVIDE A SPIRITUAL RETREAT WHERE  
GOD IS CHANGING THE LIVES OF THE YOUTH IN OUR COMMUNITIES.

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EXPENSES \$ 15,000. INCLUDING GRANTS OF \$ 15,000. REVENUE \$ 0.

PORTLAND CHRISTIAN SCHOOL. FUNDS WERE A COMMITMENT TO IMPROVE ON THE SERVICES OFFERED BY THE SCHOOL.

EXPENSES \$ 56,335. INCLUDING GRANTS OF \$ 56,335. REVENUE \$ 0.

LOUISVILLE URBAN LEAGUE. FUNDS WERE PROVIDED TO SUPORT PROJECT READY.

EXPENSES \$ 111,000. INCLUDING GRANTS OF \$ 111,000. REVENUE \$ 0.

BIG BROTHERS BIG SISTERS. FUNDS ARE USED TO SUPPORT "LINKS FOR LITTLES" AND "THE BIG MASQUARADE."

EXPENSES \$ 37,000. INCLUDING GRANTS OF \$ 37,000. REVENUE \$ 0.

KIDS CANCER ALLIANCE. FUNDS ARE TO HELP FIGHT AGAINST CANCER.

EXPENSES \$ 50,000. INCLUDING GRANTS OF \$ 50,000. REVENUE \$ 0.

MEREDITHDUNN SCHOOL, LOUISVILLE, KY. FUNDS ARE USED TO PROVIDE PRESCRIPTIVE INSTRUCTION IN A NUTURING ENVIRONMENT.

EXPENSES \$ 108,835. INCLUDING GRANTS OF \$ 108,835. REVENUE \$ 0.

PITT ACADEMY. FUNDS WILL BE USED TO RENOVATE A NEW BUILDING TO ALLOW PITT ACADEMY TO CONTINUE TO SERVE THEIR STUDENTS AND TEACH THEM TO BE AS INDEPENDENT AS POSSIBLE.

EXPENSES \$ 6,335 INCLUDING GRANTS OF \$ 6,335. REVENUE \$ 0.

WORKWELL INDUSTRIES. FUNDS ARE TO ASSIST THEIR MISSION OF CREATING JOBS FOR PEOPLE WITH DISABILITIES.

EXPENSES \$ 20,000. INCLUDING GRANTS OF \$ 20,000. REVENUE \$ 0.

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CAMP QUALITY OF KENTUCKY. FUNDS PROVIDED TO ASSIST THE ENTITY WITH THE MISSION OF SERVING CHILDREN WITH CANCER AND THEIR FAMILIES.

EXPENSES \$ 50,000. INCLUDING GRANTS OF \$ 50,000. REVENUE \$ 0.

DORMAN PRESCHOOL CENTER. FUNDS PROVIDED TO HELP THE CENTER WITH THEIR FIRST STEP PROGRAM TO HELP YOUNG CHILDREN WITH DELAYS IN COGNITIVE SKILLS.

EXPENSES \$ 75,000. INCLUDING GRANTS OF \$ 75,000. REVENUE \$ 0.

HOME OF THE INNOCENTS. GRANT MONEY WILL FUND THE BRIDGE TO THE FUTURE INITIATIVE, WHICH WILL STRENGTHEN EXISTING PROGRAMS AND PROVIDE NEW SERVICES TO MEET THE NEEDS OF THE REGION'S VULNERABLE CHILDREN.

EXPENSES \$ 370,458. INCLUDING GRANTS OF \$ 370,458. REVENUE \$ 0.

LINCOLN HERITAGE COUNCIL, BSA. FUNDS USED TO CONTINUE BSA'S MISSION OF PROVIDING A PROGRAM FOR YOUNG PEOPLE THAT BUILDS CHARACTER, TRAINS THEM IN THE RESPONSIBILITIES OF PARTICIPATING CITIZENSHIP, AND DEVELOPS PERSONAL FITNESS.

EXPENSES \$ 10,000. INCLUDING GRANTS OF \$ 10,000. REVENUE \$ 0.

HUNTINGTON'S DISEASE SOCIETY. FUNDS USED TO ASSIST HD FAMILIES AND EDUCATE THE PUBLIC AND HEALTH PROFESSIONALS ABOUT HD.

EXPENSES \$ 27,295. INCLUDING GRANTS OF \$ 27,295. REVENUE \$ 0.

CASA OF THE HEARTLAND. GRANT PROVIDED TO ASSIST IN THE ORGANIZATION'S MISSION TO PROVIDE TRAINED VOLUNTEERS TO ADVOCATE THE BEST INTERESTS OF ABUSED AND NEGLECTED CHILDREN INVOLVED IN THE COURT SYSTEM.

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EXPENSES \$ 17,000. INCLUDING GRANTS OF \$ 17,000. REVENUE \$ 0.

KING SOLOMON MISSIONARY BAPTIST CHURCH. FUNDS ARE TO ASSIST WITH  
PROPERTY RENOVATION.

EXPENSES \$ 10,000. INCLUDING GRANTS OF \$ 10,000. REVENUE \$ 0.

CARRIAGE HOUSE, LOUISVILLE, KY. THIS ADVISORY BOARD GRANT ASSISTS  
CARRIAGE HOUSE IN ITS MISSION OF PROVIDING AN ENVIRONMENT THAT IS  
STIMULATING AND NURTURING TO HELP PRESCHOOL CHILDREN LEARN.

EXPENSES \$ 59,600. INCLUDING GRANTS OF \$ 59,600. REVENUE \$ 0.

CENTER FOR NONPROFIT EXCELLENCE. FUNDS ASSIST ENTITY IN COCREATING A  
VIBRANT, EXEMPLARY NONPROFIT COMMUNITY IN LOUISVILLE THROUGH  
COLLABORATION, SHARED LEARNING, ADVOCACY, AND THE PROMOTION OF  
INNOVATION AND EXCELLENCE.

EXPENSES \$ 55,000. INCLUDING GRANTS OF \$ 55,000. REVENUE \$ 0.

CENTERSTONE. FUNDS WERE PART OF A COMMUNITY COLLABORATION FOR CHILDREN.  
EXPENSES \$ 125,000. INCLUDING GRANTS OF \$ 125,000. REVENUE \$ 0.

JEWISH FAMILY & CAREER SERVICES. GRANT PROVIDED COUNSELING SCHOLARSHIPS  
FOR CHILDREN FACING TRAUMA AND TRANSLATION HOURS FOR REFUGEE CHILDREN  
AND THEIR FAMILIES SEEKING COUNSELING.

EXPENSES \$ 10,000. INCLUDING GRANTS OF \$ 10,000. REVENUE \$ 0.

KENTUCKY TRUST FOR LIFE. FUNDS ARE USED TO EDUCATE KENTUCKIANS ABOUT  
THE LIFE SAVING MISSION OF ORGAN DONATIONS.

EXPENSES \$ 336,966. INCLUDING GRANTS OF \$ 336,966. REVENUE \$ 0.

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HOUSE OF RUTH. FUNDS USED TO ASSIST ENTITY WITH PROVIDING CARE AND SERVICES TO FAMILIES AND CHILDREN WITH OR AFFECTED BY HIV AND AIDS. EXPENSES \$ 10,000. INCLUDING GRANTS OF \$ 10,000. REVENUE \$ 0.

SOUTH EAST ASSOCIATED MINISTRIES (SEAM). FUNDS WERE USED TO PURCHASE A BACKUP GENERATOR. EXPENSES \$ 5,000. INCLUDING GRANTS OF \$ 5,000. REVENUE \$ 0.

SOWING SEEDS WITH FAITH. FUNDS USED TO SUPPORT "PATHWAY TO SUCCESS" PROGRAMS. EXPENSES \$ 25,000. INCLUDING GRANTS OF \$ 25,000. REVENUE \$ 0.

LA CASITA CENTER. LA CASITA CENTER ENHANCES THE WELL-BEING OF LOUISVILLE'S LATINO COMMUNITY THROUGH EDUCATION, EMPOWERMENT, ADVOCACY, AND WELLNESS. LA CASITA CENTER WORKS INTENTIONALLY TO BUILD A THRIVING COMMUNITY BASED ON MUTUAL SUPPORT AND RESPECT. EXPENSES \$ 20,000. INCLUDING GRANTS OF \$ 20,000. REVENUE \$ 0.

NEIGHBORHOOD HOUSE. ESTABLISHED IN 1896, NEIGHBORHOOD HOUSE IS A COMMUNITY CENTER SERVING PORTLAND AND THE SURROUNDING NEIGHBORHOODS IN LOUISVILLE'S WEST END. OUR MISSION IS TO PROVIDE INDIVIDUALS WITH THE OPPORTUNITIES AND EXPERIENCES THEY NEED TO ENHANCE THE QUALITY OF THEIR LIVES. OUR VISION IS TO BREAK THE CYCLE OF POVERTY FOR OUR CHILDREN AND FAMILIES. EXPENSES \$ 30,000. INCLUDING GRANTS OF \$ 30,000. REVENUE \$ 0.

ORCHID HOUSE. MARTY'S ORCHID HOUSE IS A THERAPEUTIC AND MEDICAL DAY

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CENTER FOR YOUNG CHILDREN FACING BEHAVIOR/EMOTIONAL AND HEALTH CHALLENGES TO ALLOW INTENSE INTERVENTION AND SOCIALIZATION WITH PEERS. JUST AS AN ORCHID IS VERY SENSITIVE TO ITS ENVIRONMENT AND REQUIRES SPECIFIC CONDITIONS TO THRIVE, MANY CHILDREN REQUIRE A POSITIVE AND SUPPORTIVE ENVIRONMENT TO REACH THEIR FULL POTENTIAL. WE ARE COMMITTED IN ADVOCATING FOR HIGH-RISK CHILDREN TO INCREASE THE POSSIBILITIES OF A BRIGHTER FUTURE.

EXPENSES \$ 59,426. INCLUDING GRANTS OF \$ 59,426. REVENUE \$ 0.

SCHOOL CHOICE SCHOLARSHIPS. SCHOOL CHOICE SCHOLARSHIPS GRANTS SCHOLARSHIPS TO CHILDREN IN KINDERGARTEN THROUGH 8TH GRADE FROM LOW-INCOME HOUSEHOLDS TO ATTEND THE SCHOOL OF THEIR CHOICE.

EXPENSES \$ 10,000. INCLUDING GRANTS OF \$ 10,000. REVENUE \$ 0.

LOUISVILLE TKO, INC. LOUISVILLE TKO EMPOWERS YOUTH TO BUILD SUCCESSFUL FUTURES THROUGH A STRUCTURED BOXING PROGRAM, POSITIVE REINFORCEMENT, ACADEMIC SUPPORT, AND CONNECTION TO THE COMMUNITY.

EXPENSES \$ 23,000. INCLUDING GRANTS OF \$ 23,000. REVENUE \$ 0.

ST GEORGE'S SCHOLAR INSTUTUTE. OUR MISSION IS TO EMBRACE, EDUCATE AND EMPOWER YOUTH IN LOUISVILLE.

EXPENSES \$ 30,000. INCLUDING GRANTS OF \$ 30,000. REVENUE \$ 0.

IMPERIAL SHRINE HOSPITAL LEXINGTON. SHRINERS HOSPITALS FOR CHILDREN HAS A MISSION TO: PROVIDE THE HIGHEST QUALITY CARE TO CHILDREN WITH NEUROMUSCULOSKELETAL CONDITIONS, BURN INJURIES AND OTHER SPECIAL HEALTHCARE NEEDS WITHIN A COMPASSIONATE, FAMILY-CENTERED AND COLLABORATIVE CARE ENVIRONMENT; PROVIDE FOR THE EDUCATION OF PHYSICIANS

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AND OTHER HEALTHCARE PROFESSIONALS; AND CONDUCT RESEARCH TO DISCOVER  
 NEW KNOWLEDGE THAT IMPROVES THE QUALITY OF CARE AND QUALITY OF LIFE OF  
 CHILDREN AND FAMILIES. THIS MISSION IS CARRIED OUT WITHOUT REGARD TO  
 RACE, COLOR, CREED, SEX OR SECT, DISABILITY, NATIONAL ORIGIN, OR  
 ABILITY OF A PATIENT OR FAMILY TO PAY.

EXPENSES \$ 8,150. INCLUDING GRANTS OF \$ 8,150. REVENUE \$ 0.

LIGHTHOUSE PROMISE, INC. FUNDS PROVIDED TO ASSIST WITH THE  
 ORGANIZATIONS, MISSION TO PROVIDE YOUTH IN THE COMMUNITY A SAFE PLACE  
 TO LEARN AND GROW THROUGH EDUCATIONAL, SPIRITUAL, AND ECONOMIC PROGRAMS  
 EXPENSES \$ 30,000. INCLUDING GRANTS OF \$ 30,000. REVENUE \$ 0.

CASA PROGRAM FOR BULLITT COUNTY. FUNDS WERE A MATCHING GRANT FOR  
 "SUMMERS NIGHT OUT."

EXPENSES \$ 18,000. INCLUDING GRANTS OF \$ 18,000. REVENUE \$ 0.

WESLEY HOUSE COMMUNITY SERVICES. GRANTS PROVIDED TO PURCHASE COMPUTERS  
 AND SUPPORT THE FASHION SHOW FUNDRAISER.

EXPENSES \$ 35,500. INCLUDING GRANTS OF \$35,500. REVENUE \$ 0.

ST. MATTHEWS AREA MINISTRIES. FUNDS USED TO ADDRESS UNMET NEEDS THROUGH  
 COLLABORATIVE PARTNERSHIPS.

EXPENSES \$ 13,000. INCLUDING GRANTS OF \$ 13,000. REVENUE \$ 0.

SPECIAL OLYMPICS OF KENTUCKY. FUNDS ARE PROVIDED TO ASSIST GRANTEE IN  
 MISSION OF PROVIDING YEARROUND SPORTS TRAINING AND COMPETITION FOR  
 CHILDREN AND ADULTS WITH INTELLECTUAL DISABILITIES.

EXPENSES \$ 15,000. INCLUDING GRANTS OF \$ 15,000. REVENUE \$ 0.

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SHIVELY AREA MINISTRIES. FUNDS PROVIDED FOR EXPANSION OF FOOD PANTRY  
AND EMERGENCY ASSISTANCE PROGRAM.

EXPENSES \$ 30,000. INCLUDING GRANTS OF \$ 30,000. REVENUE \$ 0.

WE CARE CUMBERLAND. SUPPORTS SHOP WITH A SHIRINER PROGRAM.

EXPENSES \$ 5,000. INCLUDING GRANTS OF \$ 5,000. REVENUE \$ 0.

JUVENILE DIABETES RESEARCH FOUNDATION. FUNDS WERE A "FINDACURE"  
DONATION.

EXPENSES \$ 15,000. INCLUDING GRANTS OF \$ 15,000. REVENUE \$ 0.

THE CHILDREN'S ADVOCACY CENTER OF THE BLUEGRASS (CACBG). CACBG IS A  
NON-PROFIT ORGANIZATION DEDICATED TO REDUCING THE TRAUMA EXPERIENCED BY  
CHILD VICTIMS OF SEXUAL ABUSE.

EXPENSES \$ 10,000. INCLUDING GRANTS OF \$ 10,000. REVENUE \$ 0.

CRITICALLY LOVED. PROVIDING EDUCATION AND RESOURCES TO SUPPORT  
FAMILIES OF CHRONICALLY AND CRITICALLY ILL CHILDREN.

EXPENSES \$ 15,000. INCLUDING GRANTS OF \$ 15,000. REVENUE \$ 0.

EDUCATIONAL JUSTICE. EDUCATIONAL JUSTICE IS A NON-PROFIT IN  
LOUISVILLE, KY THAT STRIVES TO END EDUCATIONAL INEQUALITY BY PAIRING A  
5TH AND 8TH GRADE STUDENT WITH A HIGH ACHIEVING HIGH SCHOOL STUDENT FOR  
LONG-TERM ACADEMIC MENTORSHIP AIMED AT BOTH IMPROVING ACADEMIC  
PERFORMANCE AND PROVIDING A MEANINGFUL STUDENT LEADERSHIP EXPERIENCE.

EXPENSES \$ 5,000. INCLUDING GRANTS OF \$ 5,000. REVENUE \$ 0.

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EXPLOITED CHILDREN'S HELP ORGANIZATION. ECHO IS DEDICATED TO PREVENTING AND REDUCING THE INCIDENCE AND IMPACT OF CHILD ABUSE BY PROVIDING EDUCATION, ADVOCACY, AND SUPPORT SERVICES TO THE CHILDREN AND FAMILIES OF METRO LOUISVILLE.

EXPENSES \$ 62,000. INCLUDING GRANTS OF \$ 62,000. REVENUE \$ 0.

FAMILY ARK, INC. FAMILY ARK PROVIDES QUALITY HUMAN SERVICES WHICH PROMOTE POSITIVE CHANGE IN THE LIVES OF CHILDREN AND FAMILIES IN THE COMMUNITIES WE SERVE.

EXPENSES \$ 25,000. INCLUDING GRANTS OF \$ 25,000. REVENUE \$ 0.

FATHER MALONEY'S BOY'S HAVEN. BOYS AND GIRLS HAVEN EXISTS TO SHELTER, HEAL AND TEACH VULNERABLE YOUTH TO BECOME PRODUCTIVE MEMBERS OF SOCIETY.

EXPENSES \$ 50,000. INCLUDING GRANTS OF \$ 50,000. REVENUE \$ 0.

GREATER LOUISVILLE FELLOWSHIP OF CHRISTIAN ATHLETES. "TO LEAD EVERY COACH AND ATHLETE INTO A GROWING RELATIONSHIP WITH JESUS CHRIST AND HIS CHURCH."

EXPENSES \$ 60,000. INCLUDING GRANTS OF \$ 60,000. REVENUE \$ 0.

FERN CREEK/HIGHVIEW UNITED MINISTRIES. INDIVIDUAL AND FAMILY ASSISTANCE CENTER'S MISSION IS TO PROVIDE A CARING, RESPONSIVE ENVIRONMENT TO WHICH FAMILIES IN CRISIS COME TO RECEIVE ASSISTANCE IN RESOLVING THEIR CRISIS.

EXPENSES \$ 8,000. INCLUDING GRANTS OF \$ 8,000. REVENUE \$ 0.

FLOYD MEMORIAL FOUNDATION. THE MISSION OF FLOYD MEMORIAL FOUNDATION IS

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TO PROVIDE PHILANTHROPIC SUPPORT TO BAPTIST HEALTH FLOYD AND SOUTHERN  
INDIANA HEALTHCARE INITIATIVES THAT ENHANCE THE QUALITY OF CARE  
PROVIDED TO OUR COMMUNITY.

EXPENSES \$ 220,000. INCLUDING GRANTS OF \$ 220,000. REVENUE \$ 0.

HOLY TRINITY. HOLY TRINITY CLIFTON CAMPUS IN PARTNERSHIP WITH PARENTS,  
SEEKS TO

BUILD A STRONG SPIRITUAL AND ACADEMIC FOUNDATION FOR ALL STUDENTS.

EXPENSES \$ 50,000. INCLUDING GRANTS OF \$ 50,000. REVENUE \$ 0.

JEWISH COMMUNITY OF LOUISVILLE. THE MISSION OF THE JEWISH COMMUNITY OF  
LOUISVILLE IS TO BRING PEOPLE OF ALL BELIEFS TOGETHER TO DISCOVER,  
CONNECT, AND FLOURISH.

EXPENSES \$ 250,000. INCLUDING GRANTS OF \$ 250,000. REVENUE \$ 0.

JOY MINISTRIES. JOY MINISTRIES WORKS TO IMPROVE THE LIVES OF ADAIR  
COUNTIES AND SURROUNDING COUNTIES NEEDIEST RESIDENTS, PARTICULARLY  
YOUTH.

EXPENSES \$ 26,000. INCLUDING GRANTS OF \$ 26,000. REVENUE \$ 0.

KENDYL AND FRIENDS FOUNDATION. TO PROVIDE BETTER AND SAFER  
OPPORTUNITIES FOR SPECIAL NEEDS/DISABLED CHILDREN THAT ALLOW THEM TO BE  
MORE ACTIVE IN THEIR COMMUNITY.

EXPENSES \$ 100,000. INCLUDING GRANTS OF \$ 100,000. REVENUE \$ 0.

KENTUCKY DIABETES CAMP FOR CHILDREN. THE MISSION OF CAMP HENDON IS TO  
GIVE CHILDREN WITH DIABETES LIFE-CHANGING EXPERIENCES, EMPOWERING EACH  
OF THEM TO TAKE CONTROL OF THEIR UNIQUE JOURNEY.

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EXPENSES \$ 20,000. INCLUDING GRANTS OF \$ 20,000. REVENUE \$ 0.

KENTUCKY HANDS AND VOICES. KENTUCKY HANDS AND VOICES IS A PARENT-DRIVEN ORGANIZATION DEDICATED TO SUPPORTING FAMILIES OF CHILDREN WHO ARE DEAF OR HARD OF HEARING.

EXPENSES \$ 5,000. INCLUDING GRANTS OF \$ 5,000. REVENUE \$ 0.

KENTUCKY HARVEST. KENTUCKY HARVEST HAS A MISSION TO END LOCAL HUNGER BY CONNECTING FOOD DONORS AND ENGAGING VOLUNTEERS TO RESCUE EXCESS FOOD AND MOVE IT FROM THOSE WHO HAVE TO THOSE IN NEED.

EXPENSES \$ 26,431. INCLUDING GRANTS OF \$ 26,431. REVENUE \$ 0.

MERCY HEALTH LOURDES. THE MISSION OF LOURDES IS TO EXTEND HEALTHY MINISTRY OF JESUS BY IMPROVING THE HEALTH OF THE COMMUNITY WITH EMPHASIS ON THE POOR AND UNDER-SERVED.

EXPENSES \$ 28,500. INCLUDING GRANTS OF \$ 28,500. REVENUE \$ 0.

DUCHENNE RESEARCH MIRACLE LEAGUE OF LOUISVILLE. DREAM WAS ORGANIZED IN 1998 TO CREATE AWARENESS, RAISE FUNDS FOR DUCHENNE MUSCULAR DYSTROPHY AND DESIGN, RAISE FUNDS, FOR FULLY ACCESSIBLE PLAYGROUNDS IN THE AREA FOR SPECIAL NEEDS YOUTH.

EXPENSES \$ 50,000. INCLUDING GRANTS OF \$ 50,000. REVENUE \$ 0.

NATIVITY ACADEMY AT ST. BONIFACE. NATIVITY ACADEMY TRANSFORMS THE LIVES OF STUDENTS WHO HAVE A COMMITMENT TO ACHIEVEMENT AND WHOSE FAMILIES DEMONSTRATE FINANCIAL NEED.

EXPENSES \$ 26,000. INCLUDING GRANTS OF \$ 26,000. REVENUE \$ 0.

Name of the organization

KOSAIR CHARITIES COMMITTEE, INC.

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61-0514703

PIKEVILLE MEDICAL CENTER. MISSION IS TO PROVIDE WORLD-CLASS HEALTH CARE IN A CHRISTIAN ENVIRONMENT.

EXPENSES \$ 80,000. INCLUDING GRANTS OF \$ 80,000. REVENUE \$ 0.

ROCKCASTLE REGIONAL HOSPITAL & RESPIRATORY CARE. A FAMILY-ORIENTED TEAM OF HEALTHCARE PROFESSIONALS DEDICATED TO DELIVERING THE HIGHEST QUALITY OF CARE TO THOSE WE SERVE.

EXPENSES \$ 26,000. INCLUDING GRANTS OF \$ 26,000. REVENUE \$ 0.

SOUTH LOUISVILLE COMMUNITY MINISTRIES. MISSION IS TO EMPOWER OUR NEIGHBORS IN CRISIS TO MOVE FORWARD TOWARD STABILITY AND SELF-SUFFICIENCY.

EXPENSES \$ 10,000. INCLUDING GRANTS OF \$ 10,000. REVENUE \$ 0.

CARE FOR CHILDREN, FORMERLY THE CENTER OF OWENSBORO-DAVIESS COUNTY, INC. OUR MISSION IS TO PROVIDE CARING CONNECTIONS FOR CHILDREN AND FAMILIES IN OWENSBORO AND DAVIESS COUNTY TO ENSURE THAT EVERYONE IN OUR COMMUNITY HAS ACCESS TO THE RESOURCES THEY NEED TO THRIVE.

EXPENSES \$ 200,000. INCLUDING GRANTS OF \$ 200,000. REVENUE \$ 0.

THE MORTON CENTER. THE MISSION OF THE MORTON CENTER IS TO PROVIDE HELP AND SUPPORT TO INDIVIDUALS AND LOVED ONES IN BREAKING THE CYCLE OF ADDICTION.

EXPENSES \$ 20,000. INCLUDING GRANTS OF \$ 20,000. REVENUE \$ 0.

THE REATH CENTER. THE REATH CENTER ENVISIONS MEETINGS WITH RIDERS NEEDS THROUGH SAFE RIDING EXPERIENCES AND TO EXPAND THE FUNCTION OF CHILDREN WITH SPECIAL NEEDS BY PROVIDING THEM WITH CHALLENGING AND

Name of the organization

KOSAIR CHARITIES COMMITTEE, INC.

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EDUCATIONAL ACTIVITIES THROUGH THE USE OF THERAPEUTIC HORSES.

EXPENSES \$ 15,000. INCLUDING GRANTS OF \$ 15,000. REVENUE \$ 0.

THE TIGER FOUNDATION. TO PROVIDE RESPONSIVE SERVICES (PROGRAMS) IN PARTNERSHIP WITH FAMILIES AND COMMUNITIES ACROSS THE COMMONWEALTH TO STRENGTHEN THE ECONOMIC, EDUCATIONAL, SOCIAL, AND EMOTIONAL WELL-BEING OF YOUTH AND PROMOTE THEIR HEALTHY DEVELOPMENT.

EXPENSES \$ 125,000. INCLUDING GRANTS OF \$ 125,000. REVENUE \$ 0.

THE FIRST TEE OF LOUISVILLE'S MISSION IS TO ENRICH THE LIVES OF YOUNG PEOPLE IN THE LOUISVILLE METROPOLITAN AREA BY PROVIDING EDUCATIONAL PROGRAMS AND AFFORDABLE FACILITIES THAT BUILD CHARACTER, INSTILL POSITIVE LIFE SKILLS, AND PROMOTE HEALTHY CHOICES THROUGH THE GAME OF GOLF.

EXPENSES \$ 77,000. INCLUDING GRANTS OF \$ 77,000. REVENUE \$ 0.

STAGE ONE FAMILY THEATRE. STAGE ONE FAMILY THEATRE ENGAGES CHILDREN AND THEIR COMMUNITIES WITH PROFESSIONAL THEATRE PRODUCTIONS AND EDUCATIONAL PROGRAMS.

EXPENSES \$ 5,000. INCLUDING GRANTS OF \$ 5,000. REVENUE \$ 0.

SOUTHWEST FAMILY MINISTRIES. BUILDING STRONGER COMMUNITIES THROUGH RELATIONSHIPS, KNOWLEDGE AND LOVE.

EXPENSES \$ 5,000. INCLUDING GRANTS OF \$ 5,000. REVENUE \$ 0.

WALDEN SCHOOL. WALDEN'S SCHOOLS MISSION IS IN PARTNERSHIP WITH FAMILIES, WALDEN SCHOOL PROVIDES AN EDUCATIONAL ENVIRONMENT BOTH ACADEMIC AND SOCIAL THAT FOSTERS A LIFELONG ENTHUSIASM FOR LEARNING

Name of the organization

KOSAIR CHARITIES COMMITTEE, INC.

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AND CHALLENGES INDIVIDUAL STUDENTS TO REACH THEIR FULL POTENTIAL SO THEY MAY FLOURISH IN A DIVERSE AND CHANGING WORLD.

EXPENSES \$ 25,000. INCLUDING GRANTS OF \$ 25,000. REVENUE \$ 0.

2NOT1 FATHERHOOD AND FAMILIES, INC. THE MISSION IS TO PROMOTE THE SAFETY AND WELL-BEING OF CHILDREN BY IMPLEMENTING STRATEGIES TO HELP FATHERS INVOLVED IN FAMILIES TOGETHER.

EXPENSES \$ 71,574. INCLUDING GRANTS OF \$ 71,574. REVENUE \$ 0.

BRIDGE KIDS INTERNATIONAL, INC. BRIDGE KIDS INTERNATIONAL USES THE POWER OF AFRICAN HERITAGE CULTURE TO CREATE COMMUNITIES THAT SUPPORT THE WELLBEING OF YOUNG PEOPLE.

EXPENSES \$ 30,000. INCLUDING GRANTS OF \$ 30,000. REVENUE \$ 0.

BELLWOOD AND BROOKLAWN. MISSION IS RESTORING HOPE, INSTILLING CONFIDENCE, FURTHERING EDUCATION, ENCOURAGING SPIRITUALITY AND FAITH THROUGH A COMPREHENSIVE CONTINUUM OF CARE FOR VULNERABLE YOUTH AND FAMILIES.

EXPENSES \$ 125,000. INCLUDING GRANTS OF \$ 125,000. REVENUE \$ 0.

CITY SCHOOLHOUSE WORKS TO STRENGTHEN CHILDREN AND FAMILIES BY EMPOWERING THEM THROUGH ACTIVE AND INDIVIDUALIZED EDUCATION, FOSTERING A LOVE OF LEARNING, AND RENEWING THEIR COMMUNITY THROUGH ITS PEOPLE.

EXPENSES \$ 83,000. INCLUDING GRANTS OF \$ 83,000. REVENUE \$ 0.

SHRINER'S HOSPITAL FOR CHILDREN. PROVIDE THE HIGHEST QUALITY CARE TO CHILDREN WITH NEUROMUSCULOSKEDITAL CONDITIONS, BURN INJURIES, AND OTHER SPECIAL HEALTHCARE NEEDS WITHIN A COMPASSIONATE, FAMILY-CENTERED AND

Name of the organization

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COLLABORATIVE CARE ENVIRONMENT.

EXPENSES \$ 495,923. INCLUDING GRANTS OF \$ 495,923. REVENUE \$ 0.

SPROUTLINGS. SPROUTLINGS PEDIATRIC DAY CARE AND PRESCHOOL PROVIDES AN EXTRAORDINARY PLACE WITH STATE-OF-THE-ART RESOURCES, WHERE EVERY CHILD CAN FEEL CARED FOR, COMFORTABLE, SAFE AND STIMULATED. OUR UNIQUE APPROACH BEGINS WITH OUR DESIGNATION AS A REFERRAL-BASED PRESCRIBED PEDIATRIC EXTENDED CARE (PEEC) CENTER FOR MEDICALLY FRAGILE CHILDREN.

EXPENSES \$ 27,807. INCLUDING GRANTS OF \$27,807. REVENUE \$ 0.

GILDA'S CLUB. FUNDS PROVIDED FOR A CLUB FOR CHILDREN IMPACTED BY CANCER WHETHER IT'S A CHILD WITH CANCER, ONE WHO HAS A FAMILY MEMBER WITH CANCER, OR A SURVIVOR OF SOMEONE WITH CANCER.

EXPENSES \$ 21,819. INCLUDING GRANTS OF \$ 21,819. REVENUE \$ 0.

DARE TO CARE. FUNDS ARE USED FOR THE BACKPACK BUDDY PROGRAM WHICH PROVIDES NUTRITIOUS MEALS OVER THE WEEKEND.

EXPENSES \$ 13,419. INCLUDING GRANTS OF \$ 13,419. REVENUE \$ 0.

FUND FOR THE ARTS. TO MAXIMIZE THE IMPACT OF THE ARTS ON THE ECONOMIC DEVELOPMENT, EDUCATION AND THE QUALITY OF LIFE FOR EVERYONE BY GENERATING RESOURCES, INSPIRING EXCELLENCE, AND CREATING COMMUNITY CONNECTIONS.

EXPENSES \$ 23,161. INCLUDING GRANTS OF \$ 23,161. REVENUE \$ 0.

UNIVERSITY OF KENTUCKY. THE UNIVERSITY OF KENTUCKY IS DEDICATED TO IMPROVING PEOPLE'S LIVES THROUGH EXCELLENCE IN EDUCATION, RESEARCH AND CREATIVE WORK, SERVICE AND HEALTH CARE.

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EXPENSES \$ 550,000. INCLUDING GRANTS OF \$ 550,000. REVENUE \$ 0.

SHIRNERS INTERNATIONAL. SHRINERS INTERNATIONAL SERVES MANKIND THROUGH  
THE RESOURCES OF PHILANTHROPY AND SHRINERS HOSPITAL FOR CHILDREN.

EXPENSES \$ 100,000. INCLUDING GRANTS OF \$ 100,000. REVENUE \$ 0.

METRO LOUISVILLE ROTARY CLUB. TO PROVIDE A FELLOWSHIP OF INSPIRED  
BUSINESS, PROFESSIONAL AND CIVIC LEADERS WITH EXCEPTIONAL OPPORTUNITIES  
FOR HUMANITARIAN AND CIVIC SERVICE.

EXPENSES \$ 5,000. INCLUDING GRANTS OF \$ 5,000. REVENUE \$ 0.

MAKE-A-WISH FOUNDATION. MAKE A WISH'S VISION IS TO GRANT THE WISH OF  
EVERY CHILD DIAGNOSED WITH A CRITICAL ILLNESS.

EXPENSES \$ 5,000. INCLUDING GRANTS OF \$ 5,000. REVENUE \$ 0.

HARDIN MEMORIAL HEALTH FOUNDATION. TO PROVIDE LEADERSHIP AND RESOURCES  
TO STRENGTHEN HARDIN MEMORIAL HOSPITAL AND DRASTICALLY IMPROVE HEALTH  
IN OUR LOCAL COMMUNITIES.

EXPENSES \$ 400,000. INCLUDING GRANTS OF \$ 400,000. REVENUE \$ 0.

COMMUNITY FUND FOR KET. FUNDING TO HELP KET PROVIDE PROGRAMMING FROM  
PBS AND OTHER SOURCES.

EXPENSES \$ 5,000. INCLUDING GRANTS OF \$ 5,000. REVENUE \$ 0.

FAMILY SCHOLAR HOUSE. THE MISSION OF FAMILY SCHOLAR HOUSE IS TO END  
THE CYCLE OF POVERTY AND TRANSFORM OUR COMMUNITY BY EMPOWERING FAMILIES  
AND YOUTH TO SUCCEED IN EDUCATION AND ACHIEVE LIFE-LONG  
SELF-SUFFICIENCY.

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EXPENSES \$ 25,000. INCLUDING GRANTS OF \$ 25,000. REVENUE \$ 0.

DREAM FOUNDATION SERVES TERMINALLY ILL ADULTS AND THEIR FAMILIES BY PROVIDING END-OF-LIFE DREAMS THAT OFFER INSPIRATION, COMFORT, AND CLOSURE.

EXPENSES \$ 50,000. INCLUDING GRANTS OF \$ 50,000. REVENUE \$ 0.

INSIDE THE LINES. OUR MISSION IS TO ENRICH STUDENT-ATHLETES WITH TOOLS TO EXCEL ACADEMICALLY; TO DEVELOP CHARACTERISTICS OF LEADERSHIP, WHILE WE ENHANCE THEIR ATHLETIC FOUNDATION.

EXPENSES \$ 30,000. INCLUDING GRANTS OF \$ 30,000. REVENUE \$ 0.

OLDHAM COUNTY EDUCATIONAL FOUNDATION'S MISSION IS TO FOSTER PARENT, COMMUNITY AND BUSINESS SUPPORT FOR THE STUDENTS, TEACHERS, AND PARENTS OF OLDHAM COUNTY SCHOOLS.

EXPENSES \$ 5,000. INCLUDING GRANTS OF \$ 5,000. REVENUE \$ 0.

PURPOSE, INC. OUR MISSION IS TO INSPIRE AND EQUIP AT-RISK YOUTH TO FIND THEIR PURPOSE THROUGH MUSIC, ART, TECHNOLOGY, AND SPORTS TO TAKE AN ACTIVE ROLE IN RESHAPING THE CULTURE.

EXPENSES \$ 42,000. INCLUDING GRANTS OF \$ 42,000. REVENUE \$ 0.

RIVER CITY DRUM CORPORATION'S PROGRAMS ARE DESIGNED TO ENHANCE THE DEVELOPMENT OF AFRICAN AMERICAN FAMILIES AND CHILDREN THROUGH EDUCATION, ARTS, AND CULTURE.

EXPENSES \$ 30,000. INCLUDING GRANTS OF \$ 30,000. REVENUE \$ 0.

SOUTH JEFFERSON NEIGHBORHOOD PLACE WORKS WITH COMMUNITIES TO PROVIDE

Name of the organization <b>KOSAIR CHARITIES COMMITTEE, INC.</b>	Employer identification number <b>61-0514703</b>
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**BLENDDED AND ACCESSIBLE HEALTH, EDUCATION, EMPLOYMENT, AND HUMAN SERVICES THAT SUPPORT CHILDREN AND FAMILIES IN THEIR PROGRESS TOWARD SELF-SUFFICIENCY.**

**EXPENSES \$ 5,000. INCLUDING GRANTS OF \$ 5,000. REVENUE \$ 0.**

**CONVENTUAL FRANCISCAN FRIARS PROVIDE SPIRITUAL DIRECTION TO YOUTH GROUPS AND PEOPLE IN 12-STEP PROGRAMS.**

**EXPENSES \$ 5,000. INCLUDING GRANTS OF \$ 5,000. REVENUE \$ 0.**

**CYSTIC FYBROSIS FOUNDATION'S MISSION IS TO CURE CYSTIC FIBROSIS AND TO PROVIDE ALL PEOPLE WITH CF THE OPPORTUNITY TO LEAD LONG, FULFILLING LIVES BY FUNDING RESEARCH AND DRUG DEVELOPMENT, PARTNERING WITH THE CF COMMUNITY, AND ADVANCING HIGH-QUALITY SPECIALIZED CARE.**

**EXPENSES \$ 8,310. INCLUDING GRANTS OF \$ 8,310. REVENUE \$ 0.**

**DOORS TO HOPE OPENS LEARNING OPPORTUNITIES, ENCOURAGES COMMUNITY INVOLVEMENT AND ENGAGES IN ADVOCACY FOR WOMEN AND IMMIGRANT FAMILIES.**

**EXPENSES \$ 5,000. INCLUDING GRANTS OF \$ 5,000. REVENUE \$ 0.**

**SOUTHWEST COMMUNITY MINISTRIES'S MISSION IS TO UNITE AREA CHURCHES, SCHOOLS, BUSINESSES, AND INDIVIDUALS TO MINISTER TO THE NEEDS OF OUR NEIGHBORS WHO FIND THEMSELVES IN POVERTY AND/OR CRISIS.**

**EXPENSES \$ 70,500. INCLUDING GRANTS OF \$ 70,500. REVENUE \$ 0.**

**THE GLOBAL GAME CHANGERS' MISSION IS TO PROVIDE ENRICHING EDUCATIONAL PROGRAMS THAT EMPOWER ALL CHILDREN TO DISCOVER THEIR INNER SUPERPOWER TO MAKE THE WORLD A BETTER PLACE.**

**EXPENSES \$ 25,320. INCLUDING GRANTS OF \$ 25,320. REVENUE \$ 0.**

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THE PORCINI FARMERS CHILDREN FOUNDATION'S MISSION IS TO SUPPORT ORGANIZATIONS THAT HELP UNDERPRIVILEGED AND DISABLED CHILDREN. EXPENSES \$ 6,320. INCLUDING GRANTS OF \$ 6,320. REVENUE \$ 0.

COMMUNITY FOUNDATION OF LOUISVILLE CONNECTS DONORS, NONPROFITS, AND CIVIC PARTNERS TO CREATE LASTING IMPACT IN COMMUNITY SO PEOPLE AND PLACES THRIVE.

EXPENSES \$ 100,000. INCLUDING GRANTS OF \$ 100,000. REVENUE \$ 0.

SCOTTISH RITE CLUB OF BULLIT COUNTY. THE MISSION IS TO FUND THE CHILDHOOD LANGUAGE DEVELOPMENT PROGRAM WHICH IS FOR PRE-SCHOOL CHILDREN BETWEEN THE AGES OF 2 AND 5 THAT HAVE BEEN DIAGNOSED AS HAVING A TREATABLE LANGUAGE DISORDER.

EXPENSES \$ 31,000. INCLUDING GRANTS OF \$ 31,000. REVENUE \$ 0.

SPECIAL PROGRAMS FOR INDIVIDUAL ASSISTANCE. FUNDS ARE USED FOR CHILDREN WITH CORRECTABLE MEDICAL PROBLEMS NOT COVERED BY INSURANCE OR PUBLIC ASSISTANCE PROGRAMS AND FOR WHOM THE FAMILIES COULD NOT OTHERWISE AFFORD TREATMENT.

EXPENSES \$ 452,640. INCLUDING GRANTS OF \$ 452,640. REVENUE \$ 0.

EXPENSES \$ 14,352,117. INCLUDING GRANTS OF \$ 11,397,094. REVENUE \$ 0.

FORM 990, PART VI, SECTION A, LINE 6:

ALL MEMBERS OF KOSAIR SHRINE ARE ALSO IPSO FACTO MEMBERS OF KOSAIR CHARITIES COMMITTEE, INC.

FORM 990, PART VI, SECTION A, LINE 7A:

Name of the organization

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THE ORGANIZATION HAS MEMBERS.

FORM 990, PART VI, SECTION B, LINE 11B:

KOSAIR'S PROCESS OF REVIEWING THE FORM 990 IS TO EMAIL A COPY OF THE FINAL PRODUCT BEFORE SIGNING TO EACH MEMBER OF THE BOARD OF DIRECTORS FOR REVIEW AND COMMENT. ONCE COMMENTS ARE RECEIVED FROM THE BOARD OF DIRECTORS, APPROPRIATE REVISIONS ARE MADE BEFORE SIGNING AND SUBMISSION TO THE IRS.

FORM 990, PART VI, SECTION B, LINE 12C:

BOARD MEMBERS ARE REQUIRED TO ANNUALLY READ AND SIGN THE CONFLICT OF INTEREST POLICY, AS WELL AS TO DISCLOSE ANY CONFLICTS OF INTEREST.

FORM 990, PART VI, SECTION C, LINE 19:

KOSAIR MAKES THE AFOREMENTIONED DOCUMENTS AVAILABLE TO THE PUBLIC UPON REQUEST.





**Part V Transactions With Related Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
<b>a</b> Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity		X
<b>b</b> Gift, grant, or capital contribution to related organization(s)		X
<b>c</b> Gift, grant, or capital contribution from related organization(s)		X
<b>d</b> Loans or loan guarantees to or for related organization(s)		X
<b>e</b> Loans or loan guarantees by related organization(s)		X
<b>f</b> Dividends from related organization(s)		X
<b>g</b> Sale of assets to related organization(s)		X
<b>h</b> Purchase of assets from related organization(s)		X
<b>i</b> Exchange of assets with related organization(s)		X
<b>j</b> Lease of facilities, equipment, or other assets to related organization(s)		X
<b>k</b> Lease of facilities, equipment, or other assets from related organization(s)		X
<b>l</b> Performance of services or membership or fundraising solicitations for related organization(s)	X	
<b>m</b> Performance of services or membership or fundraising solicitations by related organization(s)		X
<b>n</b> Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	X	
<b>o</b> Sharing of paid employees with related organization(s)		X
<b>p</b> Reimbursement paid to related organization(s) for expenses	X	
<b>q</b> Reimbursement paid by related organization(s) for expenses		
<b>r</b> Other transfer of cash or property to related organization(s)		
<b>s</b> Other transfer of cash or property from related organization(s)		

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
<b>(1)</b> SHRINERS INTERNATIONAL	P	36,466	CASH
<b>(2)</b>			
<b>(3)</b>			
<b>(4)</b>			
<b>(5)</b>			
<b>(6)</b>			





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mstratton  
AMD

Elaine N. Walker, Secretary of State  
Received and Filed:  
4/19/2011 11:24 AM  
Fee Receipt: \$16.00

**AMENDED AND RESTATED  
ARTICLES OF INCORPORATION  
OF  
KOSAIR CHARITIES COMMITTEE, INC.**

**ARTICLE I**

The name of this Corporation shall be KOSAIR CHARITIES COMMITTEE, INC. (the "Corporation").

**ARTICLE II**

The duration of the Corporation shall be perpetual.

**ARTICLE III**

Section 3.1. Purposes. The purpose of this Corporation is to administer for charitable, benevolent, eleemosynary, educational and civic purposes primarily in, adjacent to and near the Commonwealth of Kentucky all funds turned over to the Corporation by Kosair Shriners, A.A.O.N.M.S., its members, the general public, and others, which may be received from any source at any time and from time to time.

(a) In the discretion of the Board of Directors of the Corporation, financial support in an amount determined by it may be granted to charitable organizations for a number of uses and purposes including but not limited to the following:

(i) To provide for the operation and conduct of services and programs which complement and aid the operation of pediatric health care facilities, such as Kosair Children's Hospital.

(ii) To provide for the operation and conduct of programs and services for the pediatric care and treatment of children.

(iii) To provide for medical education pertaining to pediatric care and treatment of children including, but not limited to, medical education, clinical study, publication of papers and articles; and teaching the knowledge of chronic diseases and physical disabilities of children and the application of such knowledge to the prevention and treatment of such conditions.

(iv) To promote and provide scientific research in the pediatric field of medicine.

(v) To provide social services and counseling and advice in connection with the pediatric care and treatment of children.

(vi) To provide social services and counseling and advice in connection with other issues facing children, including issues related to poverty and domestic violence.

(b) In addition to the purposes set forth above, the Corporation may, at the discretion of the Board of Directors, provide restricted or unrestricted financial support to Shriners Hospitals for Children, a Colorado corporation, or Kosair Children's Hospital for their related activities and pediatric patient referrals.

Section 3.2. Powers. In addition to the powers enumerated above, the Corporation shall have all of the powers granted to non-stock, non-profit corporations under Chapter 273 of the Kentucky Revised Statutes in connection with the foregoing purposes. By way of example and not by way of limitation, the Corporation may:

- (a) Solicit gifts for its charitable purposes as set forth herein; and,
- (b) Solicit inter vivos and testamentary gifts in order to further develop its Endowment Fund.

Section 3.3. Nonprofit Purposes. The Corporation is irrevocably dedicated to and operated exclusively for non-profit purposes; and no part of its income nor assets of the Corporation shall be distributed to, or inure to, the benefit of any individual, except that the Corporation shall be authorized and empowered to pay reasonable compensation for services rendered.

(a) The Corporation is organized exclusively for charitable, educational, and scientific purposes as defined by §501(c)(3) of the Internal Revenue Code of 1986; and the stated purpose of the Corporation shall be so construed, and the operations of the Corporation so conducted, as to qualify the Corporation as an exempt organization within the meaning of that section. The Corporation shall be so conducted as to qualify contributions to it as fully deductible under §170(b)(1)(a) of the Internal Revenue Code of 1986. Notwithstanding the generality of the foregoing, the Corporation shall not:

- (i) Devote more than an insubstantial part of its activities to attempting to influence legislation by propaganda or otherwise; or,
- (ii) Directly or indirectly participate in or intervene in (including the publishing or distributing of statements) any political campaign on behalf of or in opposition to any candidate for public office; or
- (iii) Have objectives or engage in activities which characterize it as an "action" organization as defined in the Internal Revenue Code.

#### ARTICLE IV

Section 4.1. Endowment Fund. Unless the donor of any gift specifies otherwise, all gifts made to this Corporation shall be added to the Endowment Fund, the principal of which shall not be expended for any purpose other than reinvestment. The current income derived from the Endowment Fund shall be available for use by the Corporation for the support of such charitable purposes of the Corporation as its Board of Directors may determine from time to time. The Endowment Fund shall be under the management and control of four (4) trustees who shall be selected and shall have the duties and powers as specified in the Bylaws of the Corporation.

## ARTICLE V

The principal office and place of business of the Corporation shall be at Louisville, Jefferson County, Kentucky.

## ARTICLE VI

All members in good standing of Kosair Shriners, A.A.O.N.M.S., located at Louisville, Jefferson County, Kentucky, shall be *ipso facto* members of the Corporation and have those rights and duties as required by Kentucky law and as provided in the Corporation's Bylaws; provided, further, that if Kosair Shriners, A.A.O.N.M.S. is dissolved, liquidated, or merged or consolidated with another temple, or sells or distributes substantially all of its assets, then the membership class shall be constituted as outlined in the Corporation's Bylaws.

## ARTICLE VII

Section 7.1. Board of Directors. The affairs of this Corporation shall be conducted under the supervision and direction of a Board of Directors. The Board shall consist of twenty (20) Directors.

Section 7.2. Qualifications. Each director shall have such qualifications as may be specified from time to time in the Bylaws of the Corporation or as required by law.

## ARTICLE VIII

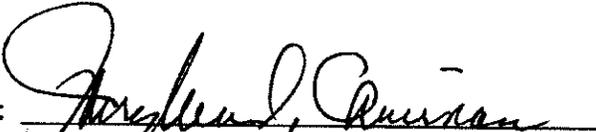
Section 8.1. Distribution of Assets on Dissolution. In the event of the complete liquidation, dissolution of the Corporation, or the winding up of its affairs, the Board of Directors shall, after paying or making provision for the payment of all the liabilities of the Corporation, distribute all the assets of the Corporation exclusively for the purposes of the Corporation in such manner, or to such organization or organizations organized and operated exclusively for charitable, educational, religious or scientific purposes as shall at the time qualify as an exempt organization or organizations under Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, or corresponding provisions of any subsequent Federal tax laws, as the Board of Directors shall determine. By way of example and not of limitation, the Board of Directors may choose to distribute assets to Kosair Children's Hospital or Shriners Hospitals for Children. Any such assets not so disposed of shall be disposed of by the Judge of the Circuit Court of Jefferson County, Kentucky, exclusively for such purposes or to such organization or organizations, as said Court shall determine, which are organized and operated exclusively for such purposes.

### Certificate of Adoption

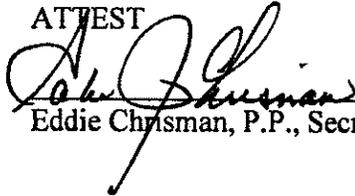
The foregoing Amended and Restated Articles of Incorporation of Kosair Charities Committee, Inc. was adopted by a meeting of the members of the Corporation held in Louisville, Kentucky, on the 8<sup>th</sup> day of April, 2011, at which meeting a quorum was present and the Amended and Restated Articles of Incorporation received at least two-thirds of the votes that members present at such meeting or represented by proxy were entitled to cast.

IN TESTIMONY WHEREOF, witness the signatures of the Chairman and Secretary of the Corporation this 8<sup>th</sup> day of April, 2011.

KOSAIR CHARITIES COMMITTEE, INC.

BY:   
Jerry Ward, Chairman

ATTEST

  
Eddie Chrisman, P.P., Secretary

# Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9) for instructions and the latest information.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.

**Kosair Charities Committee, Inc**

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.

- Individual/sole proprietor or single-member LLC
- C Corporation  S Corporation  Partnership  Trust/estate
- Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ \_\_\_\_\_
- Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.
- Other (see instructions) ▶ \_\_\_\_\_

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):

Exempt payee code (if any) \_\_\_\_\_

Exemption from FATCA reporting code (if any) \_\_\_\_\_

(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.) See instructions.

**982 Eastern Parkway, Suite #3**

6 City, state, and ZIP code

**Louisville, KY 40217**

7 List account number(s) here (optional)

**501(c)3**

Requester's name and address (optional)

Print or type. See Specific Instructions on page 3.

## Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number								
				-				

or

Employer identification number									
6	1	-	0	5	1	4	7	0	3

## Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here

Signature of U.S. person ▶

*Annex Lopez*

Date ▶ 1/1/2021

## General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments.** For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9).

## Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.



**FINANCIAL STATEMENTS**

**AND**

**SUPPLEMENTARY INFORMATION**

**SEPTEMBER 30, 2020 AND 2019**

**KOSAIR CHARITIES COMMITTEE, INC.**

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SEPTEMBER 30, 2020 AND 2019

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blueandco.com

## REPORT OF INDEPENDENT AUDITORS

To the Board of Directors  
Kosair Charities Committee, Inc.  
Louisville, Kentucky

### Report on the Financial Statements

We have audited the accompanying financial statements of Kosair Charities Committee, Inc. (the "Committee") (a nonprofit organization), which comprise the statement of financial position as of September 30, 2020, and the related statements of activities, functional expenses, and cash flows for the year then ended, and the related notes to the financial statements.

### Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

#### Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Committee as of September 30, 2020, and the results of its operations and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

#### Report on Summarized Comparative Information

We have previously audited the Committee's 2019 financial statements, and we expressed an unmodified audit opinion on those audited financial statements in our report dated January 23, 2020. In our opinion, the summarized comparative information presented herein as of and for the year ended September 30, 2019, is consistent, in all material respects, with the audited financial statements from which it has been derived.

#### Change in Accounting Principle

As discussed in Note 2 to the financial statements, effective October 1, 2019, the Committee adopted Financial Accounting Standards Board (FASB) Accounting Standards Update (ASU) No. 2018-08, *Not-for-Profit Entities – Clarifying the Scope and the Accounting Guidance for Contributions Received and Contributions Made*. Our opinion is not modified with respect to this matter.

#### Report on Supplementary Information

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The Schedules of Investments, Cash equivalents, and Cash are presented for purposes of additional analysis and are not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

*Blue & Co., LLC*

Louisville, Kentucky  
January 13, 2021

**KOSAIR CHARITIES COMMITTEE, INC.**

STATEMENT OF FINANCIAL POSITION

SEPTEMBER 30, 2020

(WITH SUMMARIZED FINANCIAL INFORMATION FOR SEPTEMBER 30, 2019)

	2020		2019
	Without Donor Restrictions	With Donor Restrictions	Total
<b>ASSETS</b>			
Cash and cash equivalents	\$ 10,702,336	\$ 0	\$ 10,702,336
Bequests and accounts receivable	56,819	0	56,819
Accrued interest and dividends receivable	0	0	0
Pledges receivable, net	13,997	0	13,997
Prepaid expenses	91,904	0	91,904
Investments	97,947,765	146,685,033	244,632,798
Investments held in trust for others	1,061,101	0	1,061,101
Investments held in trust by others	0	14,702,120	14,702,120
Property and equipment, net	6,816,208	0	6,816,208
Real estate - donated & held for sale	1,373,495	0	1,373,495
Total assets	<u>\$ 118,063,625</u>	<u>\$ 161,387,153</u>	<u>\$ 279,450,778</u>
<b>LIABILITIES AND NET ASSETS</b>			
Accounts payable and accrued expenses	\$ 344,838	\$ 0	\$ 344,838
Grants payable	13,241,469	0	13,241,469
Investments held in trust for others	1,061,101	0	1,061,101
Note payable	368,800	0	368,800
Annuities payable	123,712	0	123,712
Unearned revenue	42,554	0	42,554
Total liabilities	15,182,474	0	15,182,474
Net assets	<u>102,881,151</u>	<u>161,387,153</u>	<u>264,268,304</u>
Total liabilities and net assets	<u>\$ 118,063,625</u>	<u>\$ 161,387,153</u>	<u>\$ 279,450,778</u>

See accompanying notes to financial statements.

## KOSAIR CHARITIES COMMITTEE, INC.

### STATEMENT OF ACTIVITIES YEAR ENDED SEPTEMBER 30, 2020 (WITH SUMMARIZED FINANCIAL INFORMATION FOR THE YEAR ENDED SEPTEMBER 30, 2019)

	2020		2019	
	Without Donor Restrictions	With Donor Restrictions	Total	Total
<b>Revenues, gains (losses), and other support:</b>				
Public support received directly:				
Contributions	\$ 1,465,692	\$ 0	\$ 1,465,692	\$ 1,430,229
Special events, less direct costs of \$250,886 and \$362,218, respectively	132,802	0	132,802	143,673
Legacies and bequests	<u>0</u>	<u>3,151,617</u>	<u>3,151,617</u>	<u>3,534,337</u>
Total public support received directly	1,598,494	3,151,617	4,750,111	5,108,239
Program service revenues	1,029,848	0	1,029,848	1,009,165
Other revenues (losses):				
Interest and dividends from investments, net of fees	1,816,690	1,847,584	3,664,274	4,388,059
Net gain on investments	9,097,559	9,252,270	18,349,829	1,160,468
Change in value of investments held in trust by others	0	(134,420)	(134,420)	(248,725)
Change in value of split-interest agreement	(19,955)	0	(19,955)	57,972
Other losses	<u>8,577</u>	<u>0</u>	<u>8,577</u>	<u>(2,529)</u>
Total other revenues (losses)	10,902,871	10,965,434	21,868,305	5,355,245
Appropriation of endowment assets for expenditure	<u>6,572,608</u>	<u>(6,572,608)</u>	<u>0</u>	<u>0</u>
Total revenues, gains (losses), and other support	20,103,821	7,544,443	27,648,264	11,472,649
<b>Expenses and changes in net assets:</b>				
Program services:				
Child care services and other grants	19,997,338	0	19,997,338	13,893,534
Supporting services expense:				
Management and general	1,255,418	0	1,255,418	1,181,002
Fundraising	<u>375,686</u>	<u>0</u>	<u>375,686</u>	<u>253,656</u>
Total supporting services	<u>1,631,104</u>	<u>0</u>	<u>1,631,104</u>	<u>1,434,658</u>
Total expenses	<u>21,628,442</u>	<u>0</u>	<u>21,628,442</u>	<u>15,328,192</u>
Change in net assets	(1,524,621)	7,544,443	6,019,822	(3,855,543)
<b>Net assets, beginning of year</b>	<u>104,405,772</u>	<u>153,842,710</u>	<u>258,248,482</u>	<u>262,104,025</u>
<b>Net assets, end of year</b>	<u>\$ 102,881,151</u>	<u>\$ 161,387,153</u>	<u>\$ 264,268,304</u>	<u>\$ 258,248,482</u>

*See accompanying notes to financial statements.*

**KOSAIR CHARITIES COMMITTEE, INC.**

**STATEMENT OF FUNCTIONAL EXPENSES  
YEAR ENDED SEPTEMBER 30, 2020  
(WITH SUMMARIZED FINANCIAL INFORMATION FOR THE YEAR ENDED SEPTEMBER 30, 2019)**

	2020			2019	
	Program Services	Management and General	Fundraising	Total	Total
<b>Expenses</b>					
Personnel expenses:					
Salaries and employee benefits	\$ 1,346,017	\$ 746,013	\$ 188,022	\$ 2,280,052	\$ 1,996,360
Retirement plan	<u>140,275</u>	<u>75,315</u>	<u>19,669</u>	<u>235,259</u>	<u>202,855</u>
Total personnel expenses	1,486,292	821,328	207,691	2,515,311	2,199,215
Other expenses:					
Advertising and publicity	479,969	13,682	0	493,651	698,646
Bank and credit card fees	4,211	18,207	4,995	27,413	15,123
Conferences, meetings, and travel	4,746	67,275	912	72,933	131,932
Depreciation	364,254	18,590	0	382,844	390,307
Development programs	10,271	71	987	11,329	24,577
Dues and subscriptions	44,267	6,639	120	51,026	28,777
Event expense	0	0	250,886	250,886	362,218
Grants to children's health agencies and other non-profit organizations	17,176,598	0	150,977	17,327,575	10,754,769
Insurance	38,982	50,228	0	89,210	92,081
Other nonpersonnel expenses	1,950	0	83	2,033	5,880
Outside computer services	0	21,298	1,698	22,996	61,959
Printing, shipping and postage	121,672	7,071	4,409	133,152	117,021
Professional fees	76,881	161,088	0	237,969	348,999
Repairs and maintenance	103,675	11,511	0	115,186	162,310
Staff development	4,754	2,332	462	7,548	12,889
Supplies	4,122	17,680	1,463	23,265	19,591
Telephone	17,822	23,164	1,786	42,772	48,922
Utilities	56,872	13,898	0	70,770	206,203
Other	0	1,356	103	1,459	8,991
Total other expenses	<u>18,511,046</u>	<u>434,090</u>	<u>418,881</u>	<u>19,364,017</u>	<u>13,491,195</u>
Total expenses	19,997,338	1,255,418	626,572	21,879,328	15,690,410
Less expenses included with revenues on the statement of activities	0	0	(250,886)	(250,886)	(362,218)
Total expenses included in the expense section of the statement of activities	<u>\$ 19,997,338</u>	<u>\$ 1,255,418</u>	<u>\$ 375,686</u>	<u>\$ 21,628,442</u>	<u>\$ 15,328,192</u>

See accompanying notes to financial statements.

## KOSAIR CHARITIES COMMITTEE, INC.

### STATEMENTS OF CASH FLOWS YEARS ENDED SEPTEMBER 30, 2020 AND 2019

	2020	2019
<b>Operating activities:</b>		
Change in net assets	\$ 6,019,822	\$ (3,855,543)
Adjustments to reconcile change in net assets to net cash flows from operating activities:		
Depreciation	382,844	390,307
Amortization to fair value of grants payable	216,223	339,931
Change in value of split-interest agreement	19,955	(57,972)
Net gain on investments	(18,349,829)	(1,160,468)
Contributions restricted for permanent investment	(3,151,617)	(3,534,337)
Change in value of investments held in trust by others	134,420	248,725
(Depreciation) appreciation of investments held in trust for others	48,142	(146,172)
Changes in operating assets and liabilities:		
Bequests and accounts receivable	60,926	(62,492)
Accrued interest and dividends receivable	164,860	(112,275)
Pledges receivable	1,000	21,412
Prepaid expenses and other assets	(68,172)	(22,910)
Accounts payable and accrued expenses	(203,638)	(320,020)
Grants and annuities payable	2,334,661	(2,765,761)
Unearned revenue	42,554	0
Net cash flows from operating activities	(12,347,849)	(11,037,575)
<b>Investing activities:</b>		
Purchase of equipment and leasehold improvements	(516,941)	(470,361)
Proceeds from sale of investments	57,551,513	54,220,347
Purchase of investments	(45,588,882)	(44,285,473)
Net cash flows from investing activities	11,445,690	9,464,513
<b>Financing activities:</b>		
Proceeds from note payable	368,800	0
Contributions restricted for permanent investment	3,151,617	3,534,337
Net cash flows from financing activities	3,520,417	3,534,337
Net change in cash and cash equivalents	2,618,258	1,961,275
<b>Cash and cash equivalents, beginning of year</b>	8,084,078	6,122,803
<b>Cash and cash equivalents, end of year</b>	\$ 10,702,336	\$ 8,084,078

*See accompanying notes to financial statements.*

# KOSAIR CHARITIES COMMITTEE, INC.

## NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

---

### 1. NATURE OF OPERATIONS AND SIGNIFICANT ACCOUNTING POLICIES

#### Nature of Business and Operations

The summary of significant accounting policies of Kosair Charities Committee, Inc. (the "Committee") (a nonprofit organization), is presented to assist in understanding the Committee's financial statements. The financial statements and notes are representations of the Committee's management who is responsible for their integrity and objectivity. These accounting policies conform to accounting principles generally accepted in the United States of America and have been consistently applied in the preparation of the financial statements.

The purpose of the Committee is to administer for charitable, benevolent, eleemosynary, educational, and civic purposes all funds turned over to it. The Committee is located in Louisville, Kentucky and provides assistance to organizations and individuals throughout Kentucky and Southern Indiana.

#### Use of Estimates in the Preparation of Financial Statements

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

#### Basis of Financial Statement Presentation

The accompanying financial statements are presented on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America and have been prepared to focus on the Committee as a whole and to present balances and transactions according to the existence or absence of donor imposed restrictions.

Net assets without donor restrictions – Net assets that are currently available for operating purposes under the direction of the board or designated by the board for specific use.

Net assets with donor restrictions – Net assets subject to donor stipulations for specific operating purposes or time restrictions. These include donor restrictions requiring the net assets be held in perpetuity or for a specified term with investment return available for operations or specific purposes.

## KOSAIR CHARITIES COMMITTEE, INC.

### NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

---

The financial statements include certain prior-year summarized comparative information in total but not by net asset class. Such information does not include sufficient detail to constitute a presentation in conformity with accounting principles generally accepted in the United States of America. Accordingly, such information should be read in conjunction with the entity's financial statements for the year ended September 30, 2019 from which the summarized information was derived.

#### Cash and Cash Equivalents

For purposes of the statements of cash flows, the Committee considers all highly liquid investments with an initial maturity of three months or less to be cash equivalents.

#### Property and Equipment

Property and equipment is stated at cost less accumulated depreciation computed on the straight-line method over the estimated useful lives of the assets ranging from three to fifty years. Donated property is valued at fair value at time of donation and depreciated over its estimated useful life.

#### Real Estate – Donated

Real estate donated is valued at fair value at the date of the gift and is held for sale.

#### Annuities Payable

Annuities payable represent actuarially determined liabilities for contractual obligations of gift annuity funds.

#### Donated Services

Various volunteers have donated substantial amounts of time toward the annual campaign and the various special events; however, no value of in-kind amounts have been included in the financial statements as there is no basis upon which to measure the value of these services and they require no special expertise.

#### Allocation of Expenses

Operating expenses directly identifiable with a functional area are charged to that area and, where expenses affect more than one area, they are allocated on the basis of ratios determined by management. The expenses that are allocated include salaries, employee benefits and retirement benefits which are allocated based on estimates of time and effort, and occupancy costs which are allocated based on square footage. While the methods of allocation are considered appropriate, other methods could produce different results.

## KOSAIR CHARITIES COMMITTEE, INC.

### NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

---

#### Investments

Investments in debt securities and equity securities having readily determinable fair values are stated at fair value based on quoted prices or dealer quotes. Alternative equity securities are valued at estimated fair value at their net asset value per share as determined by the fund managers. Fair value of investments is subject to significant fluctuations due to market changes. Unrealized and realized gains and losses are recorded in the statements of activities as incurred.

The Committee believes that the carrying amount of its alternative equity securities is a reasonable estimate of fair value as of September 30, 2020 and 2019. Because alternative investments are not readily marketable, the estimated value is subject to uncertainty and, therefore, may differ from the value that would have been used had a ready market for the investments existed. Such differences could be material.

#### Financial Instruments and Credit Risk

The Committee invests in various types of investment securities which are exposed to various risks, such as interest rate, market, and credit risk. Due to the level of risk associated with certain investment securities, it is at least reasonably possible changes in the values of investment securities will occur in the near term and that such change could materially affect the amounts reported in the statements of financial position.

#### Contributions and Bequests

Contributions and bequests received are recorded as increases in net assets without donor restrictions or net assets with donor restrictions, depending on the existence and/or nature of any donor restrictions.

Support that is restricted by the donor is reported as an increase in net assets without donor restrictions if the restriction expires in the reporting period in which the support is recognized. All other donor restricted support is reported as an increase in net assets with donor restrictions, depending on the nature of the restriction. When a restriction expires (that is, when a stipulated time restriction ends or purpose restriction is accomplished), net assets with donor restrictions are reclassified to net assets without donor restrictions and reported in the statements of activities as appropriation of endowment assets for expenditure.

#### Going Concern Evaluation

Management evaluates whether there are conditions or events that raise substantial doubt about the entity's ability to continue as a going concern for a period of one year from the date the financial statements are available to be issued.

## KOSAIR CHARITIES COMMITTEE, INC.

### NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

---

#### Date of Management's Review

The Committee has evaluated all subsequent events through January 13, 2021, the date the financial statements were available to be issued.

#### **2. CHANGE IN ACCOUNTING PRINCIPLE**

Effective October 1, 2019, the Committee adopted the FASB's ASU 2018-08, *Not-for-Profit Entities – Clarifying the Scope and the Accounting Guidance for Contributions Received and Contributions Made*. The amendments in this ASU require that an entity determine whether a contribution is conditional on the basis of whether an agreement includes a barrier that must be overcome and either a right of return of assets transferred or a right of release of a promisor's obligation to transfer assets. In accordance with ASU 2018-08, the Committee has adopted the requirements using the modified prospective approach, which applies to the portion of agreements at the effective date that had not previously been recognized and any new agreements after the effective date. Since grants made do not contain a barrier to overcome, short-term grants of \$7,748,000 as of September 30, 2020, have been recognized and are included in grants payable.

#### **3. INCOME TAXES**

Kosair Charities Committee, Inc. is a charitable, nonprofit organization and is exempt from income taxes under Section 501(c)(3) of the Internal Revenue Code.

Accounting principles generally accepted in the United States of America require management to evaluate tax positions taken by the Committee and recognize a tax liability if the Committee has taken an uncertain position that more likely than not would not be sustained upon examination by various federal and state taxing authorities. Management has analyzed the tax positions taken by the Committee, and has concluded that as of September 30, 2020 and 2019, there are no uncertain positions taken or expected to be taken that would require recognition of a liability or disclosure in the accompanying financial statements. The Committee is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

As such, the Committee is generally exempt from income taxes. However, the Committee is required to file Federal Form 990 – Return of Organization Exempt from Income Tax which is an informational return only.

## KOSAIR CHARITIES COMMITTEE, INC.

### NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

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#### 4. PLEDGES RECEIVABLE

Unconditional promises to give that are expected to be collected within one year are recorded at net realizable value. Unconditional promises to give that are expected to be collected after one year are recorded at the present value of their estimated cash flows. The discounts on those amounts are computed using risk-free interest rates ranging from 2% to 2.4%. Amortization of the discounts is included in contribution revenue.

	<u>2020</u>	<u>2019</u>
Amounts due in:		
One year or less	\$ 13,997	\$ 0
One to five years	<u>0</u>	<u>14,997</u>
Total	<u>\$ 13,997</u>	<u>\$ 14,997</u>

No allowance for uncollectible pledges was considered necessary at September 30, 2020 and 2019.

## KOSAIR CHARITIES COMMITTEE, INC.

### NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

#### 5. INVESTMENTS

Total investments are comprised of Endowment Investments and Board Designated Investments.

The Endowment Fund receives all bequests and wills made to Kosair Charities Committee, Inc. unless otherwise specified by the donor. As stipulated in the Articles of Incorporation of Kosair Charities Committee, Inc., the corpus of the Endowment which is derived from bequests and wills, not otherwise designated by the donor, shall not be expended for any purpose other than reinvestment. The reinvestment income shall be used for grants for services related to children, development, general and administrative expenses attributable to these net assets without donor restrictions, and such other charitable purposes as are in accordance with Kosair Charities Committee, Inc.'s Articles of Incorporation.

The Endowment Investments are presented in the financial statements in the aggregate, at fair value, and are composed of the following:

	September 30, 2020		September 30, 2019	
	Cost	Fair Value	Cost	Fair Value
Stocks, bonds, mutual funds and alternative investments	\$ 173,929,127	\$ 241,509,592	\$ 176,277,203	\$ 235,178,462
U.S. government obligations	<u>2,929,098</u>	<u>3,161,782</u>	<u>3,047,074</u>	<u>3,127,773</u>
	176,858,225	244,671,374	179,324,277	238,306,235
Cash equivalents	5,606,717	5,606,717	2,552,427	2,552,427
Cash	<u>4,685,658</u>	<u>4,685,658</u>	<u>5,002,898</u>	<u>5,002,898</u>
Total	<u>\$ 187,150,600</u>	<u>\$ 254,963,749</u>	<u>\$ 186,879,602</u>	<u>\$ 245,861,560</u>

The Endowment investments consist of:

	2020	2019
Donor Restricted Endowment investments	\$ 146,685,033	\$ 139,006,170
Board Designated investments	<u>97,986,341</u>	<u>99,300,065</u>
Total	<u>\$ 244,671,374</u>	<u>\$ 238,306,235</u>

## KOSAIR CHARITIES COMMITTEE, INC.

### NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

The following tabulation summarizes the relationship between cost and fair value of the Endowment investments:

	Year ended September 30, 2020		
	Cost	Fair Value	Excess of Fair Value Over Cost
Balance, end of year	\$ 176,858,225	\$ 244,671,374	\$ 67,813,149
Balance, beginning of year	\$ 179,324,277	\$ 238,306,235	\$ 58,981,958
Increase in unrealized appreciation			8,831,191
Realized net gain for the year			<u>6,801,095</u>
Net unrealized and realized gain for the year			<u>\$ 15,632,286</u>

	Year ended September 30, 2019		
	Cost	Fair Value	Excess of Fair Value Over Cost
Balance, end of year	\$ 179,324,277	\$ 238,306,235	\$ 58,981,958
Balance, beginning of year	\$ 181,884,595	\$ 246,782,274	\$ 64,897,679
Decrease in unrealized appreciation			(5,915,721)
Realized net gain for the year			<u>7,094,019</u>
Net unrealized and realized gain for the year			<u>\$ 1,178,298</u>

	Total Return	
	2020	2019
Realized gains	\$ 6,801,095	\$ 7,094,019
Unrealized gains (losses)	8,831,191	(5,915,721)
Interest and dividends, net of fees	<u>3,631,276</u>	<u>4,344,359</u>
Total	<u>\$ 19,263,562</u>	<u>\$ 5,522,657</u>

Fees netted with interest and dividends for 2020 and 2019 were \$886,823 and \$954,751, respectively.

## KOSAIR CHARITIES COMMITTEE, INC.

### NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

The Board Designated investments are presented in the financial statements in the aggregate, at fair value, and are composed of the following:

	September 30, 2020		September 30, 2019	
	Cost	Fair Value	Cost	Fair Value
Corporate stocks and bonds:				
Kendall Agency	\$ 626,386	\$ 665,906	\$ 658,228	\$ 673,002
Nurses trust	<u>280,145</u>	<u>356,619</u>	<u>283,670</u>	<u>327,464</u>
	906,531	1,022,525	941,898	1,000,466
Cash:				
Kendall Agency	440	440	0	0
Nurses trust	72	72	0	0
Donor advised	<u>0</u>	<u>0</u>	<u>41</u>	<u>41</u>
	512	512	41	41
Cash equivalents:				
Kendall Agency	16,049	16,049	17,518	17,518
Nurses trust	14,346	14,346	4,735	4,735
Donor advised	<u>0</u>	<u>0</u>	<u>154,071</u>	<u>154,071</u>
	<u>30,395</u>	<u>30,395</u>	<u>176,324</u>	<u>176,324</u>
Total	<u>\$ 937,438</u>	<u>\$ 1,053,432</u>	<u>\$ 1,118,263</u>	<u>\$ 1,176,831</u>

## KOSAIR CHARITIES COMMITTEE, INC.

### NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

The following tabulation summarizes the relationship between cost and fair value of the Board Designated investments:

	Year ended September 30, 2020		
	Cost	Fair Value	Excess of Fair Value Over Cost
	Balance, end of year	\$ 906,531	\$ 1,022,525
Balance, beginning of year	\$ 941,898	\$ 1,000,466	<u>\$ 58,568</u>
Increase in unrealized appreciation			57,426
Realized net loss for the year			<u>(38,060)</u>
Net unrealized and realized gain for the year			<u>\$ 19,366</u>

	Year ended September 30, 2019		
	Cost	Fair Value	Excess of Fair Value Over Cost
	Balance, end of year	\$ 941,898	\$ 1,000,466
Balance, beginning of year	\$ 838,812	\$ 998,833	<u>\$ 160,021</u>
Decrease in unrealized appreciation			(101,453)
Realized net gain for the year			<u>83,623</u>
Net unrealized and realized loss for the year			<u>\$ (17,830)</u>

	Total Return	
	2020	2019
Realized gains	\$ (38,060)	\$ 83,623
Unrealized losses	57,426	(101,453)
Interest and dividends, net of fees	<u>32,998</u>	<u>43,700</u>
Total	<u>\$ 52,364</u>	<u>\$ 25,870</u>

Fees netted with interest and dividends for 2020 and 2019 were \$4,296 and \$4,242 respectively.

## KOSAIR CHARITIES COMMITTEE, INC.

### NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

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#### 6. FAIR VALUE MEASUREMENTS

The framework for measuring fair value provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1) and the lowest priority to unobservable inputs (level 3). The three levels of the fair value hierarchy are described as follows:

- Level 1: Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Committee has the ability to access.
- Level 2: Inputs to the valuation methodology include quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar assets or liabilities in inactive markets; inputs other than quoted prices that are observable for the asset or liability; inputs that are derived principally from or corroborated by observable market data by correlation or other means. If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.
- Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques maximize the use of relevant observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at September 30, 2020 and 2019.

- *Equities*: Valued at the closing price reported on the active market on which the individual securities are traded.
- *Fixed income*: Valued using pricing models maximizing the use of observable inputs for similar securities. This includes basing value on yields currently available on comparable securities of issuers with similar credit ratings.

## KOSAIR CHARITIES COMMITTEE, INC.

### NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

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- *Mutual funds:* Valued at the daily closing price as reported by the fund. Mutual funds held by the Committee are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value (NAV) and to transact at that price. The mutual funds held by the Committee are deemed to be actively traded.
- *Hedge funds and proprietary funds:* Valued at estimated fair value at their net asset value per share as determined by the fund managers.
- *Exchange traded funds:* Valued at the closing price reported on the active market on which the individual securities are traded.
- *Investments held in trust by others:* As described in Note 8, the Committee is the beneficiary of numerous perpetual trusts established by donors and managed by banks. The fair value of the beneficial interests in these investments is estimated using the fair value of the assets held in the trusts reported by the trustees as of September 30, 2020 and 2019.
- *Investments held in trust for others:* As described in Note 9, the Committee is the custodian of funds received from another organization. These funds are included as part of the Committee's investment portfolio. The fair value of the interest held in trust for others is estimated using the fair value of the assets held in the investments as of September 30, 2020 and 2019.

The investments in hedge funds generally may be redeemed at the net asset value at specified dates. However, it is possible that these redemption rights may be restricted or eliminated by the funds in the future in accordance with the underlying fund agreements. Due to the nature of the investments held by the funds, changes in market conditions and the economic environment may significantly impact the net asset value of the funds and, consequently, the fair value of the Committee's interests in the funds. Although a secondary market exists for these investments, it is not active and individual transactions are typically not observable. When transactions do occur in this limited secondary market, they may occur at discounts to the reported net asset value. It is therefore reasonably possible that if the Committee were to sell these investments in the secondary market, a buyer may require a discount to the reported net asset value, and the discount could be significant.

## KOSAIR CHARITIES COMMITTEE, INC.

### NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

The following table sets forth by level, within the hierarchy, the Committee's assets measured at fair value on a recurring basis as of September 30, 2020:

<b>Assets at Fair Value as of September 30, 2020</b>				
	<i>Level 1</i>	<i>Level 2</i>	<i>Level 3</i>	<i>Total</i>
<b>Mutual funds:</b>				
Large cap growth	\$ 2,396,221	\$ 0	\$ 0	\$ 2,396,221
Large cap blend	36,022,149	0	0	36,022,149
Mid-cap blend	8,852,823	0	0	8,852,823
Small cap blend	6,584,250	0	0	6,584,250
Other	1,107,225	0	0	1,107,225
Foreign large blend	<u>5,374,633</u>	<u>0</u>	<u>0</u>	<u>5,374,633</u>
Total mutual funds	60,337,301	0	0	60,337,301
<b>Equities:</b>				
Industrials	6,450,939	0	0	6,450,939
Transportation and distribution	4,541,444	0	0	4,541,444
Consumer goods	21,544,169	0	0	21,544,169
Energy	2,303,432	0	0	2,303,432
Financial	21,997,136	0	0	21,997,136
Materials	2,690,759	0	0	2,690,759
Real estate	8,712,095	0	0	8,712,095
Utilities	1,142,170	0	0	1,142,170
Health care	11,066,728	0	0	11,066,728
Technology	34,751,522	0	0	34,751,522
Other	<u>5,295,452</u>	<u>0</u>	<u>0</u>	<u>5,295,452</u>
Total equities	120,495,846	0	0	120,495,846
<b>Fixed income:</b>				
Government bonds	0	2,248,463	0	2,248,463
Mortgage backed securities	0	913,291	0	913,291
Corporate bonds	0	4,596,377	0	4,596,377
Municipal bonds	0	2,585,211	0	2,585,211
Other	<u>0</u>	<u>1,997,576</u>	<u>0</u>	<u>1,997,576</u>
Total fixed income	0	12,340,918	0	12,340,918
Investments held in trust by others	0	0	14,702,120	14,702,120
Exchange traded funds	<u>13,366,317</u>	<u>0</u>	<u>0</u>	<u>13,366,317</u>
Total assets in fair value hierarchy	<u>\$ 194,199,464</u>	<u>\$ 12,340,918</u>	<u>\$ 14,702,120</u>	221,242,502
Hedge funds and proprietary funds*				<u>39,153,517</u>
Total assets at fair value				<u>\$ 260,396,019</u>

## KOSAIR CHARITIES COMMITTEE, INC.

### NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

The following table sets forth by level, within the hierarchy, the Committee's assets measured at fair value on a recurring basis as of September 30, 2019:

<b>Assets at Fair Value as of September 30, 2019</b>				
	<i>Level 1</i>	<i>Level 2</i>	<i>Level 3</i>	<i>Total</i>
<b>Mutual funds:</b>				
Large cap growth	\$ 2,537,498	\$ 0	\$ 0	\$ 2,537,498
Large cap blend	17,552,926	0	0	17,552,926
Mid-cap blend	8,440,773	0	0	8,440,773
Small cap blend	6,484,507	0	0	6,484,507
Other	971,385	0	0	971,385
Foreign large blend	5,345,001	0	0	5,345,001
Total mutual funds	41,332,090	0	0	41,332,090
<b>Equities:</b>				
Industrials	7,359,423	0	0	7,359,423
Transportation and distribution	7,624,500	0	0	7,624,500
Consumer goods	21,078,610	0	0	21,078,610
Energy	8,377,707	0	0	8,377,707
Financial	29,385,663	0	0	29,385,663
Materials	3,942,174	0	0	3,942,174
Real estate	12,120,976	0	0	12,120,976
Utilities	3,258,409	0	0	3,258,409
Health care	13,947,006	0	0	13,947,006
Technology	31,187,722	0	0	107,094,468
Other	3,136,653	0	0	199,205,013
Total equities	141,418,843	0	0	141,418,843
<b>Fixed income:</b>				
Government bonds	0	2,300,017	0	2,300,017
Mortgage backed securities	0	1,732,442	0	1,732,442
Corporate bonds	0	4,597,813	0	4,597,813
Municipal bonds	0	2,479,435	0	2,479,435
Other	0	1,272,340	0	1,272,340
Total fixed income	0	12,382,047	0	12,382,047
Investments held in trust by others	0	0	14,836,540	14,836,540
Exchange traded funds	7,920,318	0	0	7,920,318
Total assets in fair value hierarchy	\$ 190,671,251	\$ 12,382,047	\$ 14,836,540	217,889,838
Hedge funds and proprietary funds*				36,253,403
Total assets at fair value				\$ 254,143,241

\* In accordance with Subtopic 820-10, certain investments that were measured at net asset value per share (or its equivalent) have not been classified in the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the line items presented at fair value in the statement of financial position.

## KOSAIR CHARITIES COMMITTEE, INC.

### NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

The following table sets forth the change in investments held in trust measured at fair value on a recurring basis using significant unobservable inputs (level 3) for the years ended September 30:

	2020	2019
Beginning balance	\$ 14,836,540	\$ 15,085,265
Change in present value	(134,420)	(248,725)
Ending balance	\$ 14,702,120	\$ 14,836,540

#### Fair Value of Investments in Entities that Use Net Asset Value

The following table summarizes investments measured at fair value based on net asset value (NAV) per share as of September 30, 2020 and 2019, respectively.

	Fair Value	Unfunded Commitments	Redemption Frequency (if currently eligible)	Redemption Notice Period
<u>September 30, 2020</u>				
Invesco US High Quality Core Fund	\$ 20,357,836	Not applicable	Daily	Over 90 days
CREP II Institutional L.P. Fund	664,933	708,750	Daily	Over 90 days
Hamilton Lane Private Equity Offshore Fund VI L.P.	366,832	283,244	Daily	Over 90 days
Goldman Sachs Vintage Fund V	334,003	643,412	Daily	90 days
The Weatherlow Offshore Fund I Ltd.	16,745,037	Not applicable	Daily	90 days
Golden Tree Offshore Fund, Ltd.	649,554	Not applicable	Daily	90 days
Catahoula Co.	35,322	Not applicable	Daily	90 days
Total	\$ 39,153,517			

	Fair Value	Unfunded Commitments	Redemption Frequency (if currently eligible)	Redemption Notice Period
<u>September 30, 2019</u>				
Invesco US High Quality Core Fund	\$ 18,850,214	Not applicable	Daily	Over 90 days
CREP II Institutional L.P. Fund	879,111	708,750	Daily	Over 90 days
Hamilton Lane Private Equity Offshore Fund VI L.P.	605,452	283,244	Daily	Over 90 days
Goldman Sachs Vintage Fund V	448,414	643,412	Daily	90 days
The Weatherlow Offshore Fund I Ltd.	14,414,323	Not applicable	Daily	90 days
Golden Tree Offshore Fund, Ltd.	1,020,567	Not applicable	Daily	90 days
Catahoula Co.	35,322	Not applicable	Daily	90 days
Total	\$ 36,253,403			

## 7. INVESTMENTS HELD IN TRUST BY OTHERS

Investments held in trust by others represent resources neither in the possession nor under the control of the Committee, but held and administered by outside trustees, with the Committee deriving only income from such funds. Such investments are recorded in the statements of financial position at the fair value of the principal amounts. The income, including the fair value adjustments, is recorded in the statements of activities. The fair value measurements are classified within Level 3 of the fair value hierarchy.

## KOSAIR CHARITIES COMMITTEE, INC.

### NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

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#### 8. INVESTMENTS HELD IN TRUST FOR OTHERS

Investments held in trust for others represent funds placed on deposit with the Committee by another organization. The Committee accounts for these funds as a liability in accordance with standards set forth by the Financial Accounting Standards Board. Income is added to these funds periodically in accordance with the Committee's investment allocation policies. Distributions to the organization are reflected as adjustments to the liability account and are not reflected in the Statement of Activities.

#### 9. ENDOWMENT FUNDS

The Committee's endowment consists of donor-restricted endowment funds. As required by accounting principles generally accepted in the United States of America, net assets associated with endowment funds are classified and reported based on the existence or absence of donor-imposed restrictions.

FASB ASC 958-205, *Not-for-Profit Organizations Financial Statement Presentation* provides guidance on the net asset classification of donor-restricted endowment funds for a nonprofit organization that is subject to an enacted version of the Uniform Prudent Management of Institutional Funds Act of 2006 (UPMIFA). FASB ASC 958-205 also requires additional disclosures about an organization's endowment funds (both donor-restricted endowment funds and board-designated endowment funds). The Committee has adopted the net asset classification provisions of FASB ASC 958-205 for the years ending September 30, 2020 and 2019. The Commonwealth of Kentucky enacted UPMIFA effective July 15, 2010, the provisions of which apply to endowment funds existing on or established after that date.

The Board of Directors of the Committee has interpreted UPMIFA as requiring the preservation of the fair value of the original gift as of the gift date of the donor-restricted endowment funds unless there are explicit donor stipulations to the contrary. As a result of this interpretation, the Committee classifies as net assets with donor restrictions (a) the original value of gifts donated to the permanent endowment, (b) the original value of subsequent gifts to the permanent endowment, and (c) accumulations to the permanent endowment made in accordance with the direction of the applicable donor gift instrument at the time the accumulation is added to the fund. The remaining portion of the donor-restricted endowment fund is classified as net assets with donor restrictions until those amounts are appropriated for expenditure by the Committee in a manner consistent with the standard of prudence prescribed by UPMIFA. In accordance with UPMIFA, the Committee considers the following factors in making a determination to appropriate or accumulate donor-restricted endowment funds:

1. The duration and preservation of the fund
2. The purposes of the Committee and the donor-restricted endowment fund
3. General economic conditions
4. The possible effect of inflation and deflation

## KOSAIR CHARITIES COMMITTEE, INC.

### NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

5. The expected total return from income and the appreciation of investments
6. Other resources of the Committee
7. The investment policies of the Committee

As of September 30, 2020 and 2019, the Committee had the following endowment asset composition by type of fund:

	Without Donor Restrictions	With Donor Restrictions	Total
<b>September 30, 2020</b>			
Board-designated endowment funds	\$ 109,332,148	\$ 0	\$ 109,332,148
Donor-restricted endowments funds			
Original donor-restricted gift amount and amounts required to be maintained in perpetuity by donor	0	127,712,225	127,712,225
Accumulated investment gains	0	18,972,808	18,972,808
	\$ 109,332,148	\$ 146,685,033	\$ 256,017,181

	Without Donor Restrictions	With Donor Restrictions	Total
<b>September 30, 2019</b>			
Board-designated endowment funds	\$ 108,032,221	\$ 0	\$ 108,032,221
Donor-restricted endowments funds			
Original donor-restricted gift amount and amounts required to be maintained in perpetuity by donor	0	124,560,607	124,560,607
Accumulated investment gains	0	14,445,563	14,445,563
	\$ 108,032,221	\$ 139,006,170	\$ 247,038,391

## KOSAIR CHARITIES COMMITTEE, INC.

### NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

The changes in endowment assets for the years ended September 30, 2020 and 2019 are as follows:

	Without Donor Restrictions	With Donor Restrictions	Total
Endowment assets, October 1, 2018	\$ 115,007,253	\$ 138,612,368	\$ 253,619,621
Investment return			
Investment income	2,294,095	2,093,964	4,388,059
Net appreciation (realized and unrealized)	606,697	553,771	1,160,468
Total investment return	2,900,792	2,647,735	5,548,527
Contributions	0	3,534,337	3,534,337
Appropriation of endowment assets for expenditure	(9,875,824)	(5,788,270)	(15,664,094)
Endowment assets, September 30, 2019	108,032,221	139,006,170	247,038,391
Investment return			
Investment income	1,816,690	1,847,584	3,664,274
Net appreciation (realized and unrealized)	9,097,559	9,252,270	18,349,829
Total investment return	10,914,249	11,099,854	22,014,103
Contributions	0	3,151,617	3,151,617
Appropriation of endowment assets for expenditure	(9,614,322)	(6,572,608)	(16,186,930)
Endowment assets, September 30, 2020	\$ 109,332,148	\$ 146,685,033	\$ 256,017,181

*Return objectives and risk parameters:* The Committee has adopted investment and spending policies for endowment assets that attempt to provide a predictable stream of funding to programs supported by its endowment while seeking to maintain the purchasing power of the endowment assets. Endowment assets include those assets of donor-restricted funds that the Committee must hold in perpetuity or for a donor-specified period. Under this policy, as approved by the Board, the endowment assets are invested in a manner that is intended to produce results that exceed the spending rate, aggregate costs of portfolio management, the long-term inflation rate and any growth factor the Board may, from time to time, determine appropriate while assuming a moderate level of investment risk. The Committee expects its endowment funds, over time, to provide an average rate of return that exceeds the distribution rate plus inflation as measured by the CPI.

## KOSAIR CHARITIES COMMITTEE, INC.

### NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

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*Strategies employed for achieving objectives:* To satisfy its long-term rate-of-return objectives, the Committee relies on a total return strategy in which investment returns are achieved through both capital appreciation (realized and unrealized) and current yield (interest and dividends). The Committee targets a diversified asset allocation that places a greater emphasis on equity-based investments to achieve its long-term objectives within prudent risk constraints.

*Spending policies and how the investment objectives relate to spending policy:* The Committee has a policy of appropriating for distribution each year the greater of 5% of its endowment fund's average fair value over the prior 12 quarters through the calendar year end preceding the fiscal year in which the distribution is planned, or the fair value at the end of the fiscal year per the last audited financial statement. In establishing this policy, the Committee considered the long-term expected return on its endowment. Accordingly, over the long-term, the Committee expects the current spending policy to allow its endowment to grow at an average of 9% annually. This is consistent with the Committee's objective to maintain the purchasing power of the endowment assets held in perpetuity or for a specified term as well as to provide additional real growth through new gifts and investment return.

#### 10. PROPERTY AND EQUIPMENT, NET

A summary of property and equipment and accumulated depreciation is as follows:

	Estimated Useful Lives	2020	2019
Land		\$ 752,316	\$ 752,316
Buildings and improvements	3 - 50 Years	11,897,757	11,074,418
Equipment, furniture and fixtures	3 - 10 Years	3,067,355	2,932,932
Construction in progress		<u>0</u>	<u>440,822</u>
		15,717,428	15,200,488
Less accumulated depreciation		<u>8,901,220</u>	<u>8,518,377</u>
Property and equipment, net		<u>\$ 6,816,208</u>	<u>\$ 6,682,111</u>

## KOSAIR CHARITIES COMMITTEE, INC.

### NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

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#### 11. GRANTS PAYABLE

Grants authorized but unpaid at year-end are reported as liabilities on the statement of financial position. Grants to be paid in more than one year are discounted using rates ranging from 1.20% to 3.40%. The following is a summary of grants authorized and payable at September 30:

	<u>2020</u>	<u>2019</u>
To be paid in less than one year	\$ 11,406,333	\$ 5,383,333
To be paid in one to five years	2,075,000	5,633,333
To be paid in more than five years	<u>0</u>	<u>100,000</u>
Gross grants authorized but unpaid	13,481,333	11,116,666
Less discount on long term grants	<u>239,864</u>	<u>456,087</u>
Grants payable	<u>\$ 13,241,469</u>	<u>\$ 10,660,579</u>

#### 12. LINE OF CREDIT

The Committee has a \$10,675,784 revolving line of credit available from a financial institution. The line is secured by certain securities of the Committee which had an approximate net book value of \$16,000,000 as of September 30, 2020 and has no expiration date. Borrowings under the line of credit bear interest at a variable rate (2.39613% at September 30, 2020). There was no outstanding balance on the line of credit at September 30, 2020 and 2019.

#### 13. RETIREMENT PLAN

The Committee maintains a defined contribution 401(k) retirement plan and a money purchase profit sharing plan for all eligible employees. Employees may elect to defer up to \$19,500 (\$26,000 if the employee is age 50 or older) of their compensation. The plan includes an employer's match of 100% of the first 3% of an employee's contributions and 50% of the next 2% of the employee's contributions up to four percent of total compensation. The Committee also contributes additional non-elective contributions (NEC) and qualified non-elective contributions (QNEC) to eligible employees' accounts. Committee contributions were \$235,259 in 2020 and \$202,855 in 2019.

## KOSAIR CHARITIES COMMITTEE, INC.

### NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

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#### 14. LEASES

The Committee entered into various leases, as lessor, with charitable organizations occupying the Kosair Charities Centre Building. Minimum future rentals receivable under these leases at September 30, 2020 are as follows:

Year ending September 30,	
2021	\$ 969,295
2022	632,786
2023	<u>420,714</u>
Total minimum future rental income	<u>\$ 2,022,795</u>

Rent income for fiscal years 2020 and 2019 was \$1,029,848 and \$1,009,165, respectively, and is listed as program service revenues. The majority of rent income is in-kind and \$985,848 and \$965,165 has been included in grant expense for 2020 and 2019, respectively.

Following is a summary of property leased at September 30:

	<u>2020</u>	<u>2019</u>
Buildings and improvements	\$ 11,833,602	\$ 11,010,263
Less accumulated depreciation	<u>7,833,307</u>	<u>7,453,278</u>
Buildings and improvements, net	<u>\$ 4,000,295</u>	<u>\$ 3,556,985</u>

#### 15. CONCENTRATIONS OF CREDIT RISK

The Committee has cash with financial institutions at several locations. These accounts are insured by the Federal Deposit Insurance Corporation up to \$250,000 per institution. In the normal course of business, the Committee may have deposits that exceed the insured limits.

Investments are maintained in multiple investment firms. Such balances exceed the Securities Investor Protection Corporation insured limits of up to \$500,000.

## KOSAIR CHARITIES COMMITTEE, INC.

### NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

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#### 16. ADVERTISING EXPENSE

Advertising costs are expensed as incurred and are included in management and general expenses and program services in the accompanying statements of functional expenses. Total advertising expenses for the years ended September 30, 2020 and 2019 were \$493,651 and \$698,646, respectively.

#### 17. RECENTLY ISSUED ACCOUNTING STANDARDS

On February 25, 2016, the FASB issued ASU No. 2016-02, *Leases (Topic 842)*. This new standard is intended to improve financial reporting about leasing transactions by requiring entities that lease assets to recognize on their balance sheet the assets and liabilities for the rights and obligations created by those leases, and to provide additional disclosures regarding the leases. Leases with terms (as defined in the ASU) of twelve months or less are not required to be reflected on an entity's statement of financial position.

On June 3, 2020, the FASB further amended this guidance and issued ASU 2020-05, *Revenue from Contracts with Customers (ASC 606) and Leases (ASC 842) Effective Dates for Certain Entities*, which deferred the effective date for all entities. This guidance delayed the adoption of ASU 2016-02 until the year ending September 30, 2023.

The Committee is presently evaluating the effects that this ASU will have on its future financial statements, including related disclosures.

#### 18. CONTINGENCY

On March 11, 2020, the World Health Organization declared coronavirus (COVID-19) a pandemic. The continued spread of COVID-19, or any similar outbreaks in the future, may adversely impact the local, regional, national and global economies. The extent to which COVID-19 impacts the Committee's operating results is dependent on the breadth and duration of the pandemic and could be affected by other factors management is not currently able to predict. Potential impacts include, but are not limited to, additional costs for responding to COVID-19, shortages of personnel, shortages of supplies, and delays, loss of, or reduction to, revenue and contributions. Management believes the Committee is taking appropriate actions to respond to the pandemic; however, the full impact is unknown and cannot be reasonably estimated at the date the financial statements were available to be issued.

## KOSAIR CHARITIES COMMITTEE, INC.

### NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

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#### 19. LIQUIDITY AND AVAILABILITY

The Committee's financial assets available within one year of the Statement of Financial Position date for general expenditure are as follows:

	<u>2020</u>	<u>2019</u>
<b>Financial assets</b>		
Cash and cash equivalents	\$ 10,702,336	\$ 8,084,078
Bequests and accounts receivable	56,819	117,745
Accrued interest and dividends receivable	0	164,860
Pledges receivable	<u>13,997</u>	<u>0</u>
	<u>\$ 10,773,152</u>	<u>\$ 8,366,683</u>

The Committee's endowment funds consist of donor-restricted endowments and a quasi-endowment. As described in Note 9, the endowment has a spending rate of 5 percent. Approximately \$13 million of appropriations from the endowment will be available within the next 12 months.

As part of the Committee's liquidity management, it has a policy to structure its financial assets to be available as its general expenditures, liabilities, and other obligations come due. In addition, the Committee invests cash in excess of daily requirements in short-term investments. To help manage unanticipated liquidity needs, the Committee has a committed line of credit in the amount of \$10,765,784, which it could draw upon. Additionally, the Committee has a quasi-endowment of \$97,947,765. Although the Committee does not intend to spend from its quasi-endowment other than amounts appropriated for general expenditure as part of its annual budget approval and appropriation process, amounts from its quasi-endowment could be made available if necessary. However, both the quasi-endowment and donor-restricted endowments contain investments with lock-up provisions that would reduce the total investments that could be made available (See Note 5 for disclosures about investments).

**SUPPLEMENTARY INFORMATION**

**KOSAIR CHARITIES COMMITTEE, INC.**

**SCHEDULE OF INVESTMENTS, CASH EQUIVALENTS, AND CASH  
SEPTEMBER 30, 2020**

	U.S. Government Bonds		Other Bonds		Stocks, Bonds, Mutual Funds and Alternative Investments		Total Investments		Cash		Total Fair Value
	Cost	Fair Value	Cost	Fair Value	Cost	Fair Value	Cost	Fair Value	Equivalents	Cash	
<b>Endowment Investments</b>											
426 Ameriprise Financial	\$ 0	\$ 0	\$ 0	\$ 6,191,609	\$ 4,933,295	\$ 6,191,609	\$ 4,933,295	\$ 6,191,609	\$ 0	\$ 108,824	\$ 6,300,433
414 Clearac	0	0	0	8,442,214	7,457,525	8,442,214	7,457,525	8,442,214	0	163,128	8,605,342
431 Commonwealth	2,922,525	3,150,869	7,945,321	8,398,795	10,867,846	11,549,684	10,867,846	11,549,684	418,472	0	11,968,156
446 SB-Advisor	0	0	0	62,272,982	47,866,398	62,272,982	47,866,398	62,272,982	0	0	62,272,982
443 Equity Index	0	0	0	19,597,255	20,762,400	19,597,255	20,762,400	19,597,255	818	0	19,598,073
441 Goldman Sachs	0	0	0	334,003	63,312	334,003	63,312	334,003	0	0	334,003
403 Hays	0	0	0	943,186	943,186	943,186	943,186	943,186	16,103	661	1,016,381
444 Hilliard Lyons	0	0	0	44,540,351	20,894,021	44,540,351	20,894,021	44,540,351	1,071,162	0	45,611,513
422 Invesco Fixed	0	0	9,353,183	20,357,836	9,353,183	20,357,836	9,353,183	20,357,836	0	0	20,357,836
402 Merrifield	0	0	0	1,625,861	1,625,861	1,625,861	1,625,861	1,625,861	41,852	1,147	1,771,722
424 PNC - Kosair Charities APCO	0	0	0	18,559,340	12,928,492	18,559,340	12,928,492	18,559,340	134,388	12,614	18,706,342
437 SB-Citi Real Estate	0	0	0	664,933	2,827,062	664,933	2,827,062	664,933	0	0	664,933
439 SB-Contributions/Distribution	6,573	10,893	0	0	6,573	10,893	6,573	10,893	3,194,544	3,845,997	7,051,434
432 SB-Evanston	0	0	0	16,745,037	8,500,000	16,745,037	8,500,000	16,745,037	0	0	16,745,037
436 SB-GoldenTree	0	0	0	827,770	827,770	827,770	827,770	827,770	0	0	827,770
429 SB-Hamilton Lane	0	0	0	366,832	366,832	366,832	366,832	366,832	0	0	366,832
418 SB-Invesco REIT	0	0	0	7,245,243	7,245,243	7,245,243	7,245,243	7,245,243	0	191,541	7,436,784
425 Short Term Investment	0	0	0	35,322	32,400	35,322	32,400	35,322	729,378	21	764,721
421a Todd Investment Advisors	0	0	0	7,353,285	7,132,723	7,353,285	7,132,723	7,353,285	0	63,954	7,417,239
405 Todd-Vareduis Int'l Intrinsic	0	0	0	15,774,830	12,384,434	15,774,830	12,384,434	15,774,830	0	271,179	16,046,009
413 Miller Family Fund	0	0	0	74,037	70,443	74,037	70,443	74,037	0	17,777	91,814
614 Juergens Endowment Fund	0	0	0	13,107	13,107	13,107	13,107	13,107	0	8,815	21,922
<b>Totals</b>	<b>\$ 2,929,098</b>	<b>\$ 3,161,782</b>	<b>\$ 17,298,504</b>	<b>\$ 28,756,631</b>	<b>\$ 156,630,623</b>	<b>\$ 212,752,961</b>	<b>\$ 176,858,225</b>	<b>\$ 244,671,374</b>	<b>\$ 5,606,717</b>	<b>\$ 4,685,658</b>	<b>\$ 254,953,749</b>
<b>Board Designated</b>											
PNC Bank:											
600 Kendall	\$ 0	\$ 0	\$ 0	\$ 626,366	\$ 626,366	\$ 626,366	\$ 626,366	\$ 626,366	\$ 16,049	\$ 440	\$ 642,855
602 Nurses Alumni	0	0	0	280,145	280,145	280,145	280,145	280,145	14,346	72	314,563
<b>Totals</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 1,022,525</b>	<b>\$ 906,531</b>	<b>\$ 1,022,525</b>	<b>\$ 906,531</b>	<b>\$ 1,022,525</b>	<b>\$ 30,395</b>	<b>\$ 512</b>	<b>\$ 1,053,432</b>

See report of independent auditors.

**KOSAIR CHARITIES COMMITTEE, INC.**

**SCHEDULE OF INVESTMENTS, CASH EQUIVALENTS, AND CASH  
SEPTEMBER 30, 2019**

	U.S. Government Bonds		Other Bonds		Stocks, Bonds, Mutual Funds and Alternative Investments		Total Investments		Cash		Total Fair Value
	Cost	Fair Value	Cost	Fair Value	Cost	Fair Value	Cost	Fair Value	Equivalents	Cash	
<b>Endowment Investments</b>											
426 Ameriprise Financial	\$ 0	\$ 0	\$ 0	\$ 0	\$ 9,425,998	\$ 11,150,382	\$ 9,425,998	\$ 11,150,382	\$ 0	\$ 169,833	\$ 11,320,215
414 Cleararc	0	0	0	0	4,475,850	5,446,717	4,475,850	5,446,717	0	5,039	5,451,756
431 Commonwealth	3,038,833	3,115,565	7,325,418	7,541,366	0	0	10,364,251	10,656,931	78,479	0	10,735,430
445 Connor Debt Fund	0	0	2,000,000	2,231,016	0	0	2,000,000	2,231,016	0	0	2,231,016
443 Equity Index	0	0	0	0	27,847,163	27,813,901	27,847,163	27,813,901	810	0	27,814,711
441 Goldman Sachs	0	0	0	0	63,312	448,414	63,312	448,414	0	0	448,414
403 Hays	0	0	0	0	988,896	1,008,140	988,896	1,008,140	18,321	0	1,026,461
444 Hilliard Lyons	0	0	0	0	18,241,073	39,373,484	18,241,073	39,373,484	983,017	0	40,356,501
422 Invesco Fixed	0	0	9,353,183	18,850,214	0	0	9,353,183	18,850,214	0	0	18,850,214
402 Merrifield	0	0	0	0	1,705,003	1,743,553	1,705,003	1,743,553	48,912	0	1,792,465
424 PNC - Kosair Charities APCO	0	0	0	0	32,942,359	32,942,359	32,942,359	32,942,359	202,229	44,333	33,188,921
437 SB-Citi Real Estate	0	0	0	0	2,942,456	879,111	2,942,456	879,111	0	0	879,111
432 SB-Evanston	8,241	12,208	0	0	7,594,187	9,533,133	7,602,428	9,545,341	1,070,197	3,695,005	14,310,543
436 SB-GoldenTree	0	0	0	0	8,500,000	14,414,323	8,500,000	14,414,323	0	0	14,414,323
429 SB-Hamilton Lane	0	0	0	0	1,243,871	1,020,567	1,243,871	1,020,567	0	0	1,020,567
418 SB-Invesco REIT	0	0	0	0	218,245	605,452	218,245	605,452	0	0	605,452
425 Short Term Investment	0	0	843,125	843,125	8,098,392	9,670,676	8,098,392	9,670,676	37,816	225,641	9,938,133
421a Todd Investment Advisors	0	0	0	0	32,400	35,322	875,325	878,447	112,646	0	991,093
405 Todd-Veredus Int'l Intrinsic	0	0	0	0	22,970,340	30,358,259	22,970,340	30,358,259	0	596,502	30,955,161
413 Miller Family Fund	0	0	0	0	17,812,517	19,198,030	17,812,517	19,198,030	0	261,723	19,459,753
	0	0	0	0	69,566	70,918	69,566	70,918	0	42	71,340
<b>Totals</b>	<b>\$ 3,047,074</b>	<b>\$ 3,127,773</b>	<b>\$ 19,521,726</b>	<b>\$ 29,465,721</b>	<b>\$ 156,755,477</b>	<b>\$ 205,712,741</b>	<b>\$ 179,324,277</b>	<b>\$ 238,306,235</b>	<b>\$ 2,552,427</b>	<b>\$ 5,002,898</b>	<b>\$ 245,861,560</b>
<b>Board Designated</b>											
<b>PNC Bank:</b>											
600 Kendall	\$ 0	\$ 0	\$ 0	\$ 0	\$ 658,228	\$ 673,002	\$ 658,228	\$ 673,002	\$ 17,518	\$ 0	\$ 680,520
602 Nurses Alumni	0	0	0	0	283,670	327,464	283,670	327,464	4,735	0	332,199
625 Denver Advised	0	0	0	0	0	0	0	0	154,071	41	154,112
<b>Totals</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 941,898</b>	<b>\$ 1,000,466</b>	<b>\$ 941,898</b>	<b>\$ 1,000,466</b>	<b>\$ 176,324</b>	<b>\$ 41</b>	<b>\$ 1,176,831</b>

See report of independent auditors.



## 2021 Kosair Charities Board of Directors

- **John C. Burgin** (January 2023)
- **Kirk E. Carter** (January 2022)
- **Ron Caughron** (January 2025)
- **Larry J. Craig** (January 2026)
- **Jon Dawson** (January 2023)
- **Robert W. Flowers** (January 2025)
- **Timothy E. (Tim) Hall** (January 2025)
- **Robert L. Hart** (January 2022)
- **Arvin D. (Dwayne) Holthouser** (January 2024)
- **William A. (Bill) Kinman, III** (January 2026)
- **V. Thomas (Tom) Larimore, Jr.** (January 2022)
- **Harry L. Lusk** (January 2023)
- **Dwight Maddox, Jr.** (January 2022)
- **Patrick P. Miller** (January 2024)
- **David L. Nicholson** (January 2024)
- **David L. Owen** (January 2022)
- **Kenneth E. Reiss** (January 2024)
- **David L. Scheu** (January 2025)
- **John Shofner** (January 2025)
- **H. I. Stroth, Jr.** (January 2025)
- **Glen E. Stuckel** (January 2024)
- **James E. (Jim) Szofer** (January 2026)
- **Martin Walters** (January 2022)
- **Richard L. (Rich) Williams** (January 2026)

### Board Member Emeritus

- **C. Brown Allen**

### President

- **Keith Inman**



# Kentucky Secretary of State

## Michael G. Adams

### KOSAIR CHARITIES COMMITTEE, INC.

<a href="#">File Annual Report</a>	<a href="#">File Statement of Change of Principal Office</a>	
<a href="#">File Statement of Change of registered Agent / Registered Address</a>		
<a href="#">Printable Forms</a>	<a href="#">Additional Services</a>	<a href="#">Certificates</a>

#### General Information

<b>Organization Number</b>	0029434
<b>Name</b>	KOSAIR CHARITIES COMMITTEE, INC.
<b>Profit or Non-Profit</b>	N - Non-profit
<b>Company Type</b>	KCO - Kentucky Corporation
<b>Status</b>	A - Active
<b>Standing</b>	G - Good
<b>State</b>	KY
<b>File Date</b>	11/13/1923
<b>Organization Date</b>	11/13/1923
<b>Last Annual Report</b>	4/16/2021
<b>Principal Office</b>	P.O. BOX 37370 LOUISVILLE, KY 40233
<b>Registered Agent</b>	AIMEE SAPP 982 EASTERN PARKWAY LOUISVILLE, KY 40217

#### Current Officers

<b>Chairman</b>	Kenneth Reiss
<b>Vice Chairman</b>	John Burgin
<b>Secretary</b>	Dwight Maddox
<b>Treasurer</b>	Kirk Carter
<b>Director</b>	C. Brown Allen
<b>Director</b>	David Owen
<b>Director</b>	Robert Flowers

<b>Director</b>	Harry Lusk
<b>Director</b>	Virgil Tom Larimore
<b>Director</b>	David L. Nicholson
<b>Director</b>	H. I. Stroth
<b>Director</b>	Glen E. Stuckel
<b>Director</b>	Kenneth Reiss
<b>Director</b>	Patrick P. Miller
<b>Director</b>	Martin R Walters
<b>Director</b>	David L Scheu
<b>Director</b>	Larry Craig
<b>Director</b>	John C. Burgin
<b>Director</b>	Dwight Maddox
<b>Director</b>	Robert Hart
<b>Director</b>	Ron Caughron
<b>Director</b>	Kirk Carter
<b>Director</b>	Timothy Hall
<b>Director</b>	Jon Dawson
<b>Director</b>	Arvin Dwayne Holthouser
<b>Director</b>	William A Kinman
<b>Director</b>	John Shofner
<b>Director</b>	Richard Williams
<b>Director</b>	James Szofer

### Individuals / Entities listed at time of formation

<b>Director</b>	LAWRENCE B CRAIG
<b>Director</b>	JOHN T BARRICKMAN
<b>Director</b>	J G L HAGMAN
<b>Director</b>	A H MORRIS
<b>Director</b>	WM C BROWN
<b>Incorporator</b>	LAWRENCE B CRAIG
<b>Incorporator</b>	W R R LAVIELLE
<b>Incorporator</b>	LEE G ZINSMEISTER
<b>Incorporator</b>	CHAS A WILSON
<b>Incorporator</b>	WM C BROWN

### Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

Annual Report	4/16/2021	1 page	PDF	
Amendment	10/13/2020	2 pages	tiff	PDF
Certificate of Assumed Name	7/14/2020	1 page	tiff	PDF
Annual Report	6/1/2020	1 page	PDF	
Annual Report	4/22/2019	1 page	PDF	
Annual Report	4/10/2018	1 page	PDF	
Registered Agent name/address change	4/18/2017 3:07:01 PM	1 page	PDF	
Annual Report	4/18/2017	1 page	PDF	
Annual Report	3/8/2016	1 page	PDF	
Annual Report	3/30/2015	1 page	PDF	
Name Renewal	9/19/2014 11:57:11 AM	1 page	PDF	

Name Renewal	9/19/2014 11:53:06 AM	1 page	PDF	
Name Renewal	9/19/2014 11:50:58 AM	1 page	PDF	
Name Renewal	9/19/2014 11:48:45 AM	1 page	PDF	
Annual Report	1/22/2014	1 page	PDF	
Registered Agent name/address change	6/24/2013 12:50:22 PM	1 page	PDF	
Annual Report	6/24/2013	1 page	PDF	
Certificate of Assumed Name	3/26/2012	1 page	tiff	PDF
Certificate of Assumed Name	3/26/2012	1 page	tiff	PDF
Certificate of Assumed Name	3/26/2012	1 page	tiff	PDF
Certificate of Assumed Name	3/26/2012	1 page	tiff	PDF
Certificate of Assumed Name	3/26/2012	1 page	tiff	PDF
Annual Report	2/9/2012	1 page	PDF	
Unknown	4/19/2011	4 pages	tiff	PDF
Annual Report	2/8/2011	1 page	PDF	
Amendment	11/17/2010	4 pages	tiff	PDF
Certificate of Assumed Name	3/16/2010	1 page	tiff	PDF
Certificate of Assumed Name	3/16/2010	1 page	tiff	PDF
Certificate of Assumed Name	3/16/2010	1 page	tiff	PDF
Certificate of Assumed Name	3/16/2010	1 page	tiff	PDF
Annual Report	3/4/2010	1 page	PDF	
Annual Report	1/15/2009	1 page	PDF	
Annual Report	1/24/2008	1 page	PDF	
Annual Report	1/30/2007	1 page	PDF	
Statement of Change	2/14/2006	1 page	tiff	PDF
Annual Report	1/25/2006	1 page	PDF	
Annual Report	2/21/2005	1 page	PDF	
Annual Report	2/25/2004	1 page	PDF	
Annual Report	6/10/2003	1 page	tiff	PDF
Name Renewal	2/18/2003	1 page	tiff	PDF
Name Renewal	2/18/2003	1 page	tiff	PDF
Name Renewal	2/18/2003	1 page	tiff	PDF
Name Renewal	2/18/2003	1 page	tiff	PDF
Annual Report	12/13/2002	1 page	tiff	PDF
Annual Report	4/22/2002	1 page	PDF	
Annual Report	10/31/2001	1 page	tiff	PDF
Statement of Change	5/9/2001	1 page	tiff	PDF
Annual Report	4/19/2001	1 page	PDF	
Annual Report	6/29/2000	1 page	tiff	PDF
Statement of Change	5/23/2000	1 page	tiff	PDF
Annual Report	7/22/1999	1 page	tiff	PDF
Annual Report	4/24/1998	1 page	tiff	PDF
Annual Report	7/1/1997	1 page	tiff	PDF
Statement of Change	8/23/1996	1 page	tiff	PDF
Annual Report	7/1/1996	2 pages	tiff	PDF
Annual Report	7/1/1995	1 page	tiff	PDF
Annual Report	7/1/1994	2 pages	tiff	PDF
Annual Report	7/1/1993	2 pages	tiff	PDF
Amendment	3/19/1993	4 pages	tiff	PDF
Statement of Change	10/22/1992	2 pages	tiff	PDF
Annual Report	7/1/1992	2 pages	tiff	PDF

Annual Report	7/1/1991	2 pages	tiff	PDF
Statement of Change	2/5/1990	1 page	tiff	PDF
Annual Report	7/1/1989	2 pages	tiff	PDF
Annual Report	7/1/1989	2 pages	tiff	PDF
Annual Report	7/1/1988	1 page	tiff	PDF
Annual Report	3/14/1983	1 page	tiff	PDF
Certificate of Assumed Name	8/2/1982	2 pages	tiff	PDF
Annual Report	3/10/1982	1 page	tiff	PDF
Statement of Change	3/1/1982	2 pages	tiff	PDF
Amendment	5/5/1981	5 pages	tiff	PDF
Annual Report	3/23/1981	1 page	tiff	PDF

## Assumed Names

KOSAIR CHARITIES	Active
KOSAIR CHILDREN CHARITIES	Inactive
KOSAIR CHILDRENS HOSPITAL FOUNDATION	Inactive
KOSAIR CHILDREN'S HOSPITAL FOUNDATION	Inactive
KOSAIR CHILDREN HOSPITAL FOUNDATION	Inactive
KOSAIR CHILDRENS CHARITIES	Inactive
KOSAIR CHARITIES	Inactive
KOSAIR CHILDREN'S CHARITIES	Inactive
KOSAIR CHARITIES FOR CHILDREN	Inactive
KOSAIR CRIPPLED CHILDREN HOSPITAL	Inactive
KOSAIR CRIPPLED CHILDREN HOSPITAL	Inactive
KOSAIR CHILDREN'S CHARITIES	Inactive
KOSAIR CHARITIES COMMITTEE	Inactive
KOSAIR CHARITIES	Inactive

## Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	4/16/2021 11:40:47 AM	4/16/2021 11:40:47 AM	
Amendment - Miscellaneous amendments	10/13/2020 2:55:01 PM	10/13/2020	
Added assumed name	7/14/2020 9:57:30 AM	7/14/2020	KOSAIR CHARITIES
Annual report	6/1/2020 8:35:24 AM	6/1/2020 8:35:24 AM	
Annual report	4/22/2019 11:14:04 AM	4/22/2019 11:14:04 AM	
Annual report	4/10/2018 12:02:04 PM	4/10/2018 12:02:04 PM	
Annual report	4/18/2017 3:23:08 PM	4/18/2017 3:23:08 PM	
Registered agent address change	4/18/2017 3:07:01 PM	4/18/2017 3:07:01 PM	
Annual report	3/8/2016 1:53:21 PM	3/8/2016 1:53:21 PM	
Annual report	3/30/2015 3:07:39 PM	3/30/2015 3:07:39 PM	

Annual report	1/22/2014 11:52:39 AM	1/22/2014 11:52:39 AM	
Annual report	6/24/2013 1:06:34 PM	6/24/2013 1:06:34 PM	
Registered agent address change	6/24/2013 12:50:22 PM	6/24/2013 12:50:22 PM	
Added assumed name	3/26/2012 1:22:57 PM	3/26/2012	KOSAIR CHILDREN CHARITIES
Added assumed name	3/26/2012 1:22:03 PM	3/26/2012	KOSAIR CHILDRENS CHARITIES
Added assumed name	3/26/2012 1:20:40 PM	3/26/2012	KOSAIR CHILDREN HOSPITAL FOUNDATION
Added assumed name	3/26/2012 1:19:31 PM	3/26/2012	KOSAIR CHILDREN'S HOSPITAL FOUNDATION
Added assumed name	3/26/2012 1:18:17 PM	3/26/2012	KOSAIR CHILDRENS HOSPITAL FOUNDATION
Annual report	2/9/2012 9:46:30 AM	2/9/2012 9:46:30 AM	
Amendment - Amended and restated articles / CLP	4/19/2011 11:24:40 AM	4/19/2011	
Annual report	2/8/2011 12:08:54 PM	2/8/2011 12:08:54 PM	
Amendment - Amended and restated articles / CLP	11/17/2010 3:18:58 PM	11/17/2010	
Added assumed name	3/16/2010 3:59:14 PM	3/16/2010	KOSAIR CHARITIES
Added assumed name	3/16/2010 3:58:15 PM	3/16/2010	KOSAIR CHARITIES COMMITTEE
Added assumed name	3/16/2010 3:57:20 PM	3/16/2010	KOSAIR CHILDREN'S CHARITIES
Added assumed name	3/16/2010 3:55:58 PM	3/16/2010	KOSAIR CRIPPLED CHILDREN HOSPITAL
Annual report	3/4/2010 5:30:56 PM	3/4/2010 5:30:56 PM	
Annual report	1/15/2009 3:31:30 PM	1/15/2009 3:31:30 PM	
Annual report	1/24/2008 10:28:04 AM	1/24/2008 10:28:04 AM	
Annual report	1/30/2007 1:40:13 PM	1/30/2007 1:40:13 PM	
Registered agent address change	2/14/2006 12:47:43 PM	2/14/2006	
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Annual report	2/21/2005	2/21/2005	
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Annual report	4/22/2002	4/22/2002	
Registered agent address change	5/9/2001 3:08:13 PM	5/9/2001	
Annual report	4/19/2001	4/19/2001	

Registered agent address change	5/23/2000 4:05:55 PM	5/23/2000
Registered agent address change	8/23/1996	8/23/1996
Amendment - Miscellaneous amendments	3/19/1993	3/19/1993
Amendment - Change duration	10/30/1985	10/30/1985
Amendment - Miscellaneous amendments	5/5/1981	5/5/1981
Amendment - Change purpose	6/29/1973	6/29/1973
Amendment - Miscellaneous amendments	10/4/1963	10/4/1963
Amendment previous name	3/1/1960	3/1/1960
Amendment - Miscellaneous amendments	3/1/1960	3/1/1960
Amendment - Miscellaneous amendments	10/5/1948	10/5/1948
Amendment - Change purpose	3/9/1929	3/9/1929

KOSAIR CHARITIES  
COMMITTEE

## Microfilmed Images

**Microfilm images are not available online. They can be ordered by faxing a Request For Corporate Documents to the Corporate Records Branch at 502-564-5687.**

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