

NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form

received
8-7-14

Applicant/Program: Petersburg Estates Homeowners Association

Executive Summary of Request:

To fund the Petersburg Estates Jazz in the Park Festival which includes inflatables and entertainment and printing.

Is this program/project a fundraiser? Yes X No
Is this applicant a faith based organization? Yes X No
Does this application include funding for sub-grantee(s)? Yes X No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

10 District # Jim King Primary Sponsor Signature 1908.48 Amount 8/5/14 Date

Primary Sponsor Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

Approved by:

Appropriations Committee Chairman Date

Clerk's Office Only:

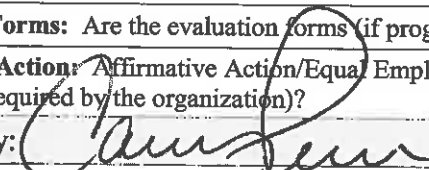
Request Amount: _____ Committee Amended Appropriation: _____
Original Appropriation: _____ Council Amended Appropriation: _____

OFFICE OF METRO COUNCIL CLERK

REVIEWED

DATE 8-25-14 TIME 2:30pm

NDF NON-PROFIT APPLICATION CHECKLIST

Legal Name of Applicant Organization: Petersburg Estates Homeowners Association	
Program Name: Jazz in the Park Request Amount: \$1,908.48	Yes/No/NA
Request form: Is the NDF request form signed by all Council Member(s) appropriating funding?	Yes
Request form: Is the funding proposed less than or equal to the request amount?	Yes
Request form: Have all known Council or Staff relationships to the Agency been adequately disclosed on the cover sheet?	Yes
Application Page 1: Has prior Metro funds committed/granted been disclosed?	Yes
Application Page 1: Is the application properly signed and dated by authorized signatory?	Yes
Application Page 3: Reimbursement funding – One or two boxes checked if any expenses are incurred before the grant award period. Is all required documentation included?	Yes
Application Pages 3 – 5: Is the proposed public purpose of the program well-documented?	Yes
Application 4: Is there adequate documentation of how the proceeds of the fundraiser will be spent?	n/a
Application Budget Page 6: Does the application budget reflect only the revenue and expenses of the project/program (page 6) if the request is not an operating budget request? Is all detail schedules included for “Metro, Non Metro and Total” expense funds for client assistance, community events & festivals and other expenses? And does the Non-Metro Revenue equal the Non-Metro expenses?	Yes
Faith Based Organizations: Is the signed Faith Based Form signed and included?	n/a
Jefferson County Only: Will all funding be spent in Louisville/Jefferson County?	Yes
Capital Project(s) request: Is the cost estimate(s) from proposed vendor(s) included?	n/a
Good Standing: Is the entity in good standing with: <ul style="list-style-type: none"> • Kentucky Secretary of State – include Secretary of State website information on organization • Louisville Metro Government – check OMB monthly report filed in Council Financial Reports • Internal Revenue Service – most recent Form 990 included 	Yes
Separate Taxing Districts: If Metro funding is for a separate taxing district, is the funding appropriated for a program outside the legal responsibility of that taxing district?	n/a
Small Cities: Is the resolution included agreeing to partner with Louisville Metro on the capital project? (IRS Determination letter not required, Form 990 not required, but KY SOS acknowledgement is)	n/a
Operating Requests: Is recommended operating funding less than or equal to 33% of total operating budget?	n/a
IRS Exempt Proof: Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	Yes
Operating Budget: Is the organization’s current fiscal year operating budget included?	Yes
Ordinance Required: Is the amount committed by Council members greater than \$5,000 to any one project/program within an organization in this fiscal year.	No
Board Members: Is the entity’s board member list (with term length/term limits) included?	Yes
Staff: Is a list of the highest paid staff included with their expected annual personnel costs?	n/a
Annual Audit: Is the most recent annual audit (if required by organization) included?	n/a
Rent Requests: Is a copy of signed lease included?	n/a
Articles of Incorporation: Are the Articles of Incorporation of the organization included?	Yes
IRS Form W-9: Is the IRS Form W-9 included?	Yes
Evaluation Forms: Are the evaluation forms (if program participants are given evaluation forms) included?	n/a
Affirmative Action: Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required by the organization)?	n/a
Prepared by: 	Date: 8/18/14



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION

Legal Name of Applicant Organization: Petersburg Estates Homeowners Association
(as listed on: <http://www.sos.ky.gov/business/records>)

Main Office Street & Mailing Address: P.O Box 39474

Website: chayas4402@twc.com

Applicant Contact:	Carolyn Hayes	Title:	President
Phone:	502.458.6124	Email:	chayas4402@twc.com
Financial Contact:	Roderick Allen	Title:	Treasurer
Phone:	502.458.6124	Email:	n/a

Organization's Representative who attended NDF Training: *Carolyn Hayes*

GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED

Program Facility Location(s): 46111/2 Unsel Boulevard, Louisville, Kentucky

Council District(s): 10 Zip Code(s): 40218

SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION

PROGRAM/PROJECT NAME: Petersburg Estates Jazz In the Park Festival

Total Request: (\$) \$1908.48 Total Metro Award (this program) in previous year: (\$)

Purpose of Request (check all that apply):

- Operating Funds (generally cannot exceed 33% of agency's total operating budget)
- Programming/services/events for direct benefit to community or qualified individuals
- Capital Project of the organization (equipment, furnishing, building, etc)

The Following are Required Attachments:

- | | |
|--|---|
| <input type="checkbox"/> IRS Exempt Status Determination Letter | <input type="checkbox"/> Signed lease if rent costs are being requested |
| <input checked="" type="checkbox"/> Current Year Projected Budget | <input type="checkbox"/> IRS Form W9 |
| <input checked="" type="checkbox"/> List of Board of Directors (include term & term limits) | <input type="checkbox"/> Evaluation forms if used in the proposed program |
| <input checked="" type="checkbox"/> Current financial statement | <input type="checkbox"/> Annual audit (if required by organization) |
| <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H | <input type="checkbox"/> Faith Based Organization Certification Form, if required |
| <input checked="" type="checkbox"/> Articles of Incorporation | <input type="checkbox"/> Staff including the 3 highest paid staff |
| <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense | |

For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.

Source:	not applicable	Amount: (\$)
Source:		Amount: (\$)
Source:		Amount: (\$)

Has the applicant contacted the BBB Charity Review for participation? Yes No

Has the applicant met the BBB Charity Review Standards? Yes No

CH



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

Petersburg Estates Homeowners Association (PEHA)

Vision Statement: To sustain successful growth and development of Petersburg Estates for the 21st Century

Mission Statement: To provide cohesive, fiscally sound, safe and clean community for raising families in the Petersburg Estates Community

Services:

1. Provide two newsletters a year
2. Hold bi-annual meetings for updates and discussions
3. Establish a suggestion box for new ideas
4. Develop a plan for the PEHA dues which will allow for an increase in the assessment to sustain and move the Petersburg Community forward
5. Refine the Neighborhood Block Watch Program
6. Renew Voter Registration Drive
7. Establish a working relationship with our District 10 Metro-Councilman
8. Continue to provide Jazz in the Park Festival, junk pick-up, lawn maintenance and seasonal activities



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

The Petersburg Estates Community is located in District 10, in the Newburg area and surrounded by two other communities Buechel and Bashford Manor in Southeastern Jefferson County. This year the "Jazz In the Park Festival" will be held on Saturday, July 12, 2014 at 4611 1/2 Unseld Boulevard, Louisville, Kentucky 40218. Each year the "Jazz In the Park Festival" sponsored by the Petersburg Estates Community is held as a way to "give back" to all the Community. It manifests itself by working through social connectiveness in reaching our goals, providing an ear to listen, arranging activities for children, raising community awareness on issues which impact our lives, and serves as a recruitment vehicle for Blockwatch, mentoring and tutoring programs. Additionally, this intergenerational approach gives senior citizens, young adults, teens and community leaders an opportunity to observe as well as contribute in a relaxed atmosphere.

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):

All monies requested for the Petersburg Estates "Jazz In the Park Festival" through Metro Government will be spent on providing an entertaining and knowledgeable evening in the community spirit. Please see PEHA Budget for the festival.

GA



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

Not Applicable

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

- Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):
- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
 - ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

- The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:
- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

CA



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

The only data to be collected will be an exit interview of two questions:

1. What did you enjoy about the Jazz In the Park Festival?
2. Do you have any recommendations for next year?

We will meet and critique this information as well as any additional information from PEHA committee members.

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

The PEHA has been working with two other organizations over the past year by meeting and planning for the celebrations which take place during the month of July involving the Petersburg, Newburg and Buechel communities. The organizations are the Newburg/Petersburg Historical Society and Unity.

We met to confirm which week of July each of our celebrations would take place and agreed to a "Kick off" with a motorcade. We, also, agreed advertise, to attend and support each others celebration.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
A: Personnel Costs Including Benefits			
B: Rent/Utilities			
C: Office Supplies			
D: Telephone			
E: In-town Travel			
F: Client Assistance (Attach Detailed List)			
G: Professional Service Contracts			
H: Program Materials			
I: Community Events & Festivals (Attach Detail List)	1908.48	1796.00	3704.48
J: Small Equipment			
K: Capital Equipment			
L: Other Expenses (Attach Detail List)			
*TOTAL PROGRAM/PROJECT FUNDS			
% of Program Budget	51.5 %	48.4 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	
Fees Collected from Program Participants	550.00
Other (please specify)	1246.00
Total Revenue for Columns 2 Expenses **	1796.00

*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

**Must equal or exceed total in column 2.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
Volunteers	18hrs. x13	Set up, planning, implementation, break down
Pavilion	free space	
Rental Equipment	n/a	
<i>Total Value of In-Kind (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)</i>	234 hrs.	set up, planning, implementation, break down

* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK

Agency Fiscal Year Start Date: May 1st thru April 30th

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.

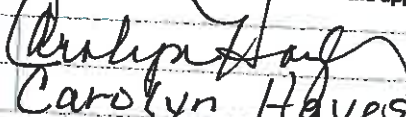
Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

SECTION 7 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory: 		Date: 7/10/2014
Legal Signatory: (please print) Carolyn Hayes		Title: President
Phone: 502.458.6124chayes4402@twc.com	Extension:	Email: chayes4402@twc.com

Petersburg Estates Annual Budget, approved at February 19, 2013 Board Meeting

Petersburg Estates Budget

	<u>Budget Yr 12-13</u>	<u>Budget Yr 13-14</u>	<u>Difference</u>
Utilities	\$13,000.00	\$14,000.00	\$1,000.00
Contracted Services			
Computer Services	\$3,000.00	\$3,000.00	\$0.00
Deliveries	\$300.00	\$300.00	\$0.00
Audit Expenses	\$100.00	\$100.00	\$0.00
Grass Cutting	\$8,000.00	\$9,000.00	\$1,000.00
Snow Removal	\$1,300.00	\$1,000.00	-\$300.00
Beautification	\$1,600.00	\$100.00	-\$1,500.00
Building Fund	\$3,000.00	\$0.00	-\$3,000.00
Miscellaneous & Contingency			
Berivement	\$60.00	\$60.00	\$0.00
Grounds Maintanance	\$500.00	\$2,100.00	\$1,600.00
Travel	\$0.00	\$0.00	\$0.00
Welcome Comm	\$75.00	\$75.00	\$0.00
Miscellaneous	\$1,010.00	\$1,010.00	\$0.00
TOTAL Miscellaneous & Contingency	\$1,645.00	\$3,245.00	\$1,600.00
Home Owner Activities			
Christmas	\$500.00	\$800.00	\$300.00
Easter	\$0.00	\$0.00	\$0.00
Family Picnic Arts & Crafts	\$0.00	\$0.00	\$0.00
Committees (blockwatch, etc)	\$50.00	\$50.00	\$0.00
Summer Event	\$2,500.00	\$2,500.00	\$0.00
Administrative			
Legal	\$3,000.00	\$4,000.00	\$1,000.00
Office Supply & Printing	\$600.00	\$500.00	-\$100.00
Postage	\$400.00	\$400.00	\$0.00
Insurance	\$240.00	\$300.00	\$60.00
P.O. Box	\$80.00	\$80.00	\$0.00
Taxes	\$350.00	\$350.00	\$0.00
Building Rentals	\$60.00	\$0.00	-\$60.00
Total Budget	\$39,725.00	\$39,725.00	\$0.00

\$39,725 divided by 227 lot owners equals \$175.00 per home owner

Peterburg Estates Homeowners Association Board of Directors Roster
2014

<u>Name</u>	<u>Address</u>	<u>Term</u>	<u>Term limit</u>
1. Roderick Allen Treasurer	[REDACTED]	1 year	2 years
2. Sue Arnold Member	[REDACTED]	1 year	2 years
3. Carletta Bell Secretary	[REDACTED]	2 years	2 years
4. Collette Caldwell Member	[REDACTED]	1 year	2 years
5. Carolyn Hayes President	[REDACTED]	1 year	2 years
6. Hal Hill Vice President	[REDACTED]	2 years	2 years
7. Anthony Perry Member	[REDACTED]	2 years	2 years
8. Samuel Streeter Member	[REDACTED]	2 years	2 years

Business Interest Checking

PNC Bank

Section 2 

For the period 05/07/2014 to 06/05/2014

000951



PETERSBURG ESTATES HOMEOWNERS
PO BOX 39474
LOUISVILLE KY 40233-9474

Primary account number: 

Page 1 of 5

Number of enclosures: 0

For 24-hour banking sign on to
PNC Bank Online Banking on pnc.com
FREE Online Bill Pay

For customer service call 1-877-BUS-BNK
Monday - Friday: 7 AM - 10 PM ET
Saturday & Sunday: 8 AM - 5 PM ET

Para servicio en español, llame al 1-877-BUS-BNK

Moving? Please contact your local branch.

Write to: Customer Service

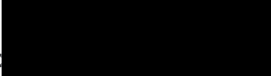

PO Box 609

Pittsburgh, PA 15230-9738

Visit us at PNC.com/mybusiness/

TDD terminal: 1-800-531-1648
For hearing impaired clients only

Business Interest Checking Summary

Account number 
Overdraft Protection  for this account.
Please contact us if you would like to set up this service.

Petersburg Estates Homeowners

Balance Summary

Beginning balance	16,082.50	Deposits and other additions	7,793.41	Checks and other deductions	3,083.16	Ending balance	20,792.75
				Average ledger balance	19,568.37	Average collected balance	19,204.87

Interest Summary

Annual Percentage Yield Earned (APYE)	0.01%	Number of days in interest period	30	Average collected balance for APYE	19,204.87	Interest paid this period	0.16	Interest paid year-to-date	0.64
---------------------------------------	-------	-----------------------------------	----	------------------------------------	-----------	---------------------------	------	----------------------------	------

Deposits and Other Additions

Description	Items	Amount
Deposits	4	7,793.25
Other Additions	1	0.16
Total	5	7,793.41

Checks and Other Deductions

Description	Items	Amount
Checks	3	1,135.00
Check Card Purchases	1	10.90
ACH Deductions	4	1,759.26
Service Charges and Fees	1	3.00
Other Deductions	1	175.00
Total	10	3,083.16

Daily Balance

05/07	Ledger balance	15,904.50	Date	05/08	Ledger balance	18,804.50	Date	05/12	Ledger balance	18,704.50
-------	----------------	-----------	------	-------	----------------	-----------	------	-------	----------------	-----------

Daily Balance continued on next page

PNDMLT01-JOB99877-I50-NNNNNN-003-002819



Business Interest Checking

For 24-hour account information, sign on to pnc.com/mybusiness/

For the period **05/07/2014 to 06/05/2014**
 PETERSBURG ESTATES HOMEOWNERS
 Primary account number: [REDACTED]
 Page 2 of 5

Business Interest Checking Account number [REDACTED]

Daily Balance - continued

Date	Ledger balance	Date	Ledger balance	Date	Ledger balance
05/16	19,666.74	05/23	19,837.49	06/02	20,792.5
05/22	18,666.74	05/30	20,803.49	06/05	20,792.7

Activity Detail

Deposits and Other Additions

Deposits

Date posted	Amount	Transaction description	Referer numl
05/08	2,900.00	Deposit	034168C
05/16	2,712.50	Deposit	0356881
05/23	1,170.75	Deposit	0379889
05/30	1,010.00	Deposit	

Other Additions

Date posted	Amount	Transaction description	Reference number
06/05	0.16	Interest Payment	1-GEN11406050000135

Checks and Other Deductions

Checks and Substitute Checks

* Gap in check sequence

Date posted	Check number	Amount	Reference number	Date posted	Check number	Amount	Reference number	Date posted	Check number	Amount	Reference number
05/12	1340	100.00	033423752	05/16	1341	35.00	074538845	05/22	7198 *	1,000.00	073646427

Check Card Purchases

Date posted	Amount	Transaction description	Reference number
06/02	10.90	7514 Check Card Purchase Tracfone Tracfone.Co	46966870033607514153

ACH Deductions

Date posted	Amount	Transaction description	Reference number
05/16	1,265.30	ACH Web-Single Online Pmt Louisville G & E Ckf668851185POS	00014136007345046
05/16	449.96	ACH Web-Single Online Pmt Ohio Casualty Ckf668851185POS	00014136007347084
05/30	22.00	ACH Web-Single Online Pmt Louisville G & E Ckf668851185POS	00014150003906197
05/30	22.00	ACH Web-Single Online Pmt Louisville G & E Ckf668851185POS	00014150003906192

Service Charges and Fees

Date posted	Amount	Transaction description	Reference number
05/07	3.00	Service Charge Period Ending 05/06/2014	



Business Interest Checking

For 24-hour account information, sign on to pnc.com/mybusiness/



For the period **05/07/2014 to 06/05/2014**
PETERSBURG ESTATES HOMEOWNERS ASSOCIATION
 Primary account number [REDACTED]
 Page 3 of 5

Business Interest Checking Account [REDACTED]

Other Deductions

Date posted	Amount	Transaction description	Reference Number
05/07	175.00	Ret Dep Item	102035

Reference Number

0502040197415NSI

Detail of Services Used During Current Period

Note: The total charge for the following services will be posted to your account on 06/06/2014 and will appear on your next statement as a single line item entitled Service Charge Period Ending 06/05/2014.

Description	Volume	Amount	Required Balance Met
Account Maintenance Charge		.00	Included in Account
Returning Check Images Monthly Charge		3.00	Included in Account
Combined Transactions	1	.00	Included in Account
ACH Debits	59	.00	Included in Account
Checks Paid	4	.00	Included in Account
Deposited Item - Consolidated	5	.00	Included in Account
Deposit Tickets Processed	48	.00	Included in Account
Branch - Consolidated Cash Deposited	4	.00	Included in Account
Return Of Deposited Item Charge	3	.00	Included in Account
Total For Services Used This Period	1	12.00	
Total Service Charge		15.00	



U.S. Income Tax Return for Homeowners Associations

Information about Form 1120-H and its separate instructions is at www.irs.gov/form1120h.

Section 2

2013

For calendar year 2013 or tax year beginning

2013, and ending

Name: PETERSBURG ESTATES HOMEOWNERS ASSOCIATION
Employer identification number: [redacted]
Date association formed: 05/17/78

Check if: (1) Final return (2) Name change (3) Address change (4) Amended return
A Check type of homeowner's association: [X] Condominium management association [X] Residential real estate association [] Timeshare association

Table with 2 columns: Description and Amount. Rows include Total exempt function income (34,494), Total expenditures (39,194), and Tax-exempt interest (45,352).

Table with 2 columns: Description and Amount. Rows include Dividends, Taxable interest, Gross rents, Gross royalties, Capital gain net income, Net gain (or loss) from Form 4797, and Other income.

Table with 2 columns: Description and Amount. Rows include Deductions (Salaries and wages, Repairs and maintenance, Rents, Taxes and licenses, Interest, Depreciation, Other deductions) and Total deductions (175).

Table with 2 columns: Description and Amount. Rows include Taxable income before specific deduction (5,983), Specific deduction of \$100 (6,158), and Taxable income (3,823).

Table with 2 columns: Description and Amount. Rows include Tax and Payments (Total tax 0, Amount owed 0, Overpayment 0).

Table with 2 columns: Description and Amount. Rows include Total tax (0) and Amount owed (0).

Table with 2 columns: Description and Amount. Rows include 2012 overpayment credited to 2013 (23a), 2013 estimated tax payments (23b), Tax deposited with Form 7004 (23c), Credit for tax paid on undistributed capital gains (23d), Credit for federal tax paid on fuels (23e), and Add lines 23c through 23f (23f).

Table with 2 columns: Description and Amount. Rows include Amount owed (0) and Overpayment (0).

Table with 2 columns: Description and Amount. Row includes Enter amount of line 25 you want: Credited to 2014 estimated tax (0).

Sign Here: Signature of officer Earl William Hemingway, Date 02/12/14, Title. Preparer's signature, Date 02/12/14, Firm's name Hemingway Bookkeeping & Tax Service, Firm's address 3201 Fern Valley Rd Ste 110 Louisville KY 40213, Firm's EIN [redacted], Phone no. (502) 968-1892.

Supporting Statement of:

Form 1120-H/Line b

Description	Amount
ASSESSMENT DUES INCOME	34,494.
Total	<u>34,494.</u>

Supporting Statement of:

Form 1120-H/Line c

Description	Amount
OFFICE SUPPLIES & PRINTING	431.
PO BOX & POSTAGE	487.
NEIGHBORHOOD BEAUTIFICATION	537.
GRASS CUTTING	8,650.
SNOW REMOVAL	1,350.
CHRISTMAS DECORATIONS	1,322.
COMMITTEE ACTIVITIES	36.
SUMMER NEIGHBORHOOD EVENTS	2,975.
BEREAVEMENT	18.
GROUNDS MAINTENANCE	8,743.
UTILITIES FOR STREETLIGHTS & SIGN LIGHTING	14,645.
Total	<u>39,194.</u>

Supporting Statement of:

Form 1120-H/State taxes

Description	Amount
KY CORPORATE ENTITY TAX	175.
Total	<u>175.</u>

COPY

Form 1120H, Page 1, Line 7
Other Income Statement

FUNDRAISING EVENTS	2,333.
Total	<u>2,333.</u>

Form 1120H, Page 1, Line 15
Other Deductions Statement

BOND INSURANCE	449.
OUTSIDE TAX SERVICE	300.
BANK CHARGES	66.
CELL PHONE EXPENSE	168.
OUTSIDE COMPUTER SERVICE	5,000.
Total	<u>5,983.</u>

Section 2

ARTICLES OF AMENDMENT TO
ARTICLE OF INCORPORATION
OF
PETERSBURG HOMEOWNERS ASSOCIATION, INC.

The undersigned, being the President and Secretary of Petersburg Homeowners Association, Inc., does hereby state the following:

1. The name of the corporation is Petersburg Homeowners Association, Inc.
2. At a duly called and noticed Special Meeting held on October 29, 1990, at which a quorum of the Association's members were present in person or represented by proxy, the following amendments to the Articles of Incorporation received at least two thirds of the votes which members present at the meeting or represented by proxy were entitled to cast:
 - A. The preamble to the Articles of Incorporation is hereby amended to read as follows:

WHEREAS, the Petersburg Estates Subdivision as described per plat thereof, recorded in Plat and Subdivision Book 11, Page 84, in the Jefferson County Court Clerk's Office, is a residential development consisting of single family residences; and

WHEREAS, the Petersburg Estates Homeowners Association, Inc. was organized on May 22, 1978 as a duly incorporated non-profit corporation without capital stock for the purpose of fostering a sense of community cooperation; encouraging upkeep of residential property; eliminating vandalism and litter; encouraging better police protection; improving traffic flow, traffic law enforcement and road conditions; insuring a reasonable and adequate zoning scheme, and encouraging reasonable future development in the area; cooperating with all area churches and school programs to

insure total community involvement; encouraging a spirit of friendliness; working with other neighborhood groups in Jefferson County to eliminate common problems; and undertaking other activities to promote the common good and general welfare of the people in the community; and

WHEREAS, a majority of the homeowners in Petersburg Estate Subdivision have approved amendments to the original Declaration of Restrictions filed of record with the Office of the County Court Clerk of Jefferson County, Kentucky in Deed Book 4808, Page 572, in order to secure the advantages of, and provide for the creation of, a property owners association vested with the authority and responsibility of promoting the quality and value of the property in Petersburg Estates, and in preserving the health, safety and general welfare of the residents thereof; and

WHEREAS, it is the desire of the Petersburg Estates Homeowners Association, Inc. to undertake the duties, powers and responsibilities set forth in the Amended Declaration of Restrictions filed of record with the Office of the County Court Clerk of Jefferson County, Kentucky in Deed Book 5015, Page 451.

NOW, THEREFORE, the members of Petersburg Homeowners Association, Inc. do hereby set forth the following as the Articles of Incorporation for Petersburg Homeowners Association, Inc.

B. Article I is hereby amended to read as follows:

The name of the Corporation shall be Petersburg Estates Homeowner's Association, Inc.

C. Former Article III, IV and VII are deleted. New

Articles III, IV and VII read as follows:

ARTICLE III

The general objects and purposes and powers of the Association are:

1. To exercise the powers and functions granted to it in the Amended Declaration of

Restrictions for Petersburg Estates Subdivision, as recorded in Deed Book 5015, Page 451, in the office of the Clerk of the Jefferson County Court, Jefferson County, Kentucky, and in other restrictive covenants which have heretofore or may hereafter be recorded in respect of the Subdivision, and to exercise all such powers in respect of Petersburg Estate Subdivision and any other real property which may hereafter, through the operation of conditions, covenants, restrictions, reservation, or charges pertaining to the same, be placed under or submitted to the jurisdiction of this Association, and be accepted as within the jurisdiction of this Association by resolution of the Board of Directors of this Association.

2. To care for, maintain, and repair vacant, and unimproved and unkept lots, and the common areas of the subdivision, and to repair, maintain and rebuild all paved areas, sidewalks, etc., which are in the public right-of-ways of the subdivision and not subject to maintenance by Jefferson County.

3. To pay taxes and assessments, if any, which may be levied by proper governmental authority upon any common areas in the subdivision.

4. To enforce charges, restrictions, conditions and covenants existing upon and created for the benefit of said property over which this Association has jurisdiction; to pay all expenses incidental thereto to enforce the decisions and rulings of this Association having jurisdiction over any of said property, to pay all of the expenses in connection therewith; and to reimburse any declarant under any declaration of conditions, covenants, restrictions, assessments or charges affecting said property, or any part thereof, for all costs and expenses incurred or paid by it in connection with the enforcement, or attempted enforcement, of any of the conditions, covenants, restrictive charges, assessments or terms set forth in any declaration.

5. To provide for the maintenance of recreational facilities and other community

features on land set aside for the general use of the members of said Association.

6. To assess each lot in the subdivision or under the jurisdiction of the Association, a charge to pay the general expenses of the Association; and, special assessments fixed at a uniform rate for all lots subject to the assessment which may be enacted from time to time. Both general and special assessments may be collected on a monthly or other basis as determined by the Board of Directors of the Association. Assessments levied by the Association shall be used exclusively to promote the recreation, health, safety and welfare of the owners and residents of the subdivision and the other specific purposes enumerated under this Article.

a. The maximum general assessment shall be equal to an annual rate of \$175.00, and may be changed by a majority vote of 51% of the eligible voting members of the Association who are voting in person or by proxy at a meeting duly called for this purpose pursuant to notice. The Board of Directors may fix the general assessment in an amount less than the maximum permitted herein.

b. In addition to the assessments authorized above, the Association may levy a special assessment for the purpose of defraying, in whole or in part, the cost of any construction, reconstruction, repair, or replacement of a capital improvement upon the common areas, including but not limited to fixtures and personal property related thereto, provided that any such assessment shall have the consent of two-thirds (2/3) of the votes of the eligible voting members who are voting in person or by proxy at a meeting duly called for this purpose.

c. Written notice of any meeting called for the purpose of taking any action authorized under subsection a or b above shall be sent to all members of record not less than ten (10) days nor more than thirty-five (35) days in advance of the meeting. At any meeting called here-

under, the presence of members or of proxies entitled to cast fifty percent (50%) of all the votes of the membership shall constitute a quorum.

7. To acquire by gift, purchase, or otherwise to own, hold, enjoy, lease, operate, maintain, and to convey, sell, lease, transfer, mortgage, or otherwise encumber, dedicate for public use, or otherwise dispose of real or personal property in connection with the business of this Association.

8. To expend the monies collected by this Association from assessments or charges and other sums received by this Association for the payment and discharge of all proper costs, expenses and obligations incurred by this Association in carrying out any or all of the purposes for which this Association is formed.

9. To borrow money, and to give as security therefore a mortgage, pledge, deed of trust, or other security interest in any or all of its real or personal property, and/or to assign and pledge its right to make assessments against the lots in the subdivision and its right to claim a lien therefore.

10. To do any and all lawful things and acts, and to have any and all lawful powers which a corporation organized under and by virtue of the Kentucky Revised Statute 273.100, et. seq., may do and have, and in general to do all things necessary and proper to accomplish the foregoing purposes including the specific power to appoint any person or corporation as its fiscal agent or collecting all assessments levied against the property in the subdivision and enforcing the Association's liens for unpaid assessments or any other liens owned by the Association.

ARTICLE IV

The members of this Association shall be:

1. All persons or corporations who are owners of record of any building site in such subdivision; provided, however, that no person or corporation taking title as security for

the payment of money or the performance of any obligation shall hereby become entitled to membership.

2. All persons who reside on a building site under a contract or agreement of purchase.

3. Membership in this Association shall lapse and terminate when any member shall cease to be the owner of record of a building site, or upon any member ceasing to be a bona fide resident on a building site in the said subdivision and a purchaser thereof under a contract or agreement of purchase.

4. A building site for the purpose of this Article of Incorporation shall mean a lot as shown on the recorded plat of the subdivision.

5. The voting power of members of this Association shall be limited to one vote for each building site. Members shall be fully empowered to exercise their vote by proxy or voting trust.

6. The By-laws may provide that persons residing upon building sites in the subdivision under a landlord-tenant agreement may be admitted as non-voting, associate members of the Association.

7. Each member of this Association shall have such interest in all the property owned by this Association as is represented by the ratio of the total number of votes in this Association. Such interest is and shall be appurtenant to the building sites which qualify such person for membership in this Association.

ARTICLE VII

The affairs and business of the Association shall be managed by a Board of Directors consisting of not less than three nor more than seven members. The Board of Directors shall serve until the next annual meeting of the members of the Association, which annual meeting shall be held at a time and place specified in the By-laws. The Board of Directors of the Association shall have the

power to adopt By-laws of the Association not inconsistent with these Articles or with the laws of the Commonwealth of Kentucky; provided, however, that the membership of the Association may revise, amend or revoke any By-laws at any annual meeting of the Association. Pursuant to the By-laws, the Board of Directors may elect a President, a Vice-President, a Secretary and a Treasurer. The office of Secretary and Treasurer may be filled by one person. They shall have such qualifications, power, and duties, and shall serve for such term as may be provided in the By-laws of the Association.

D. New Articles VIII, IX, X and XI read as follows:

ARTICLE VIII

This corporation is organized without capital stock and no part of the income of the corporation shall inure to the benefit of any member, director or officer of the corporation.

ARTICLE IX

In the event of a dissolution of the corporation, then and in such event the title to the property then owned by the Association shall revert to the owners of the legal to the improved building sites.

ARTICLE X

No member may be expelled from membership in the Association for any reason whatsoever, provided, however, that the voting power of any member shall be suspended during any time that such member shall be in arrears in paying the assessments due to the Association or in the event that any member has failed to comply with any notice from the Association to bring his building site into conformity with the restrictive covenants and the Articles of Incorporation and By-laws of the Association to take such steps as necessary in this

THIS INSTRUMENT PREPARED BY:



MICHAEL G. BRATCHER
SEILER & HANDMAKER
7200 Meldinger Tower
Louisville, Kentucky 402
Telephone: (502) 584-400
FAX: (502) 583-2100

mcb\peterart.amd-wp

INDEXED BY *Bratcher*
A 115527
90 DEC -71 PM 12:42
1450
REC'D

END OF INSTRUMENT
BOOK 416-423

AMENDED DECLARATION OF RESTRICTIONS
FOR INDIAN TRAIL AREA SECTION 2,
PROJECT NO. KY. R-69 (PETERSBURG ESTATES SUBDIVISION)

PLAINTIFF'S
EXHIBIT
3

WITNESSETH: WHEREAS, the Declaration of Restrictions for Indian Trail Area, Section 2, Project No. Ky. R-169 (now known and subsequently referred to herein as "Petersburg Estates") filed of record with the office of the County Court Clerk of Jefferson County, Kentucky in Deed Book 4804, Page 572, provides that the restrictions and covenants therein may be changed in whole or in part by a vote of the owners of the majority of the land area subject to said restrictions and covenants; and

WHEREAS, the undersigned are owners of a majority of the land area in Petersburg Estates and are desirous of changing the original Declaration of Restrictions in order to enhance and preserve the value and character of property in Petersburg Estates; and

WHEREAS, each of the undersigned owners is mutually desirous of securing the advantages of a property owners association vested with the authority and responsibility of promoting the quality and value of the property in Petersburg Estates, and in preserving the health, safety and general welfare of the residents thereof;

NOW, THEREFORE, the undersigned do hereby change the original Declaration of Restrictions as follows:

I.

The following paragraphs of Part A are deleted: 1, 2, 4, 7, 8 and 11.

93XX0013

JEFFERSON CIRCUIT COURT
DIVISION THREE (3)

fed
opunct
1/26/93
OR

(6)

(12)

The following paragraphs are hereby inserted into Part A:

1. No building or dwelling shall be erected on any lot until the building specifications and plot plans showing the location of such building have been approved in writing by the Petersburg Estates Homeowners Association, Inc., or by a designated committee thereof.

2. No lot shall be used except for residential purposes. No building shall be erected, altered or placed on any lot other than one single family dwelling not to exceed two and one-half stories in height and a private garage for not more than two cars. No lot shall be re-subdivided into smaller parcels for the purpose of erecting any dwelling thereon.

4. The following building requirements are imposed for all residences, and structures incident thereto, erected subsequent to the filing of this Amended Declaration of Restrictions with the County Court Clerk of Jefferson County, Kentucky excepting only: 1) structures erected as of the date of filing; and 2) structures to be erected upon lots for which the Jefferson County Department of Community Development has as of the date of filing accepted an offer of purchase, and in conjunction therewith, has approved the building plans for said structures:

(a) The ground floor level of the main residential structure as measured on the exterior, from wall to wall, shall not be less than 1,100 square feet for a single story home, nor shall it be less than 900 square feet for a bi-level, one and one-half story or two story home. The total floor area of a tri-level shall

13

not be less than 1400 square feet. All residential structures shall be built of at least 50% brick, brick veneer, stone or stone veneer. The facing of each garage shall be constructed of the same material as the residence which it serves. All new structures shall meet HUD and FHA requirements for single family residences with the exception that no structure shall be built on a slab foundation.

(b) The front wall of each residence, exclusive of any projection open porch or bay, must set back at least twenty-five (25) feet from the front property line of the lot. On corner lots bounded by two streets, the improvements must set back at least twenty-five (25) feet from the side street line. No building shall be located nearer than five (5) feet to an interior lot line, except no side yard shall be required for a garage located twenty-five (25) feet or more from the minimum building set-back line. No dwelling shall be located on any interior lot nearer than twenty-five (25) feet to the rear lot line. For purposes of this restriction, eaves, steps, open porches and open carports shall not be considered a part of the building, provided, however, that this shall not be construed to permit any portion of a building on a lot to encroach upon another lot.

7. No structure of a temporary character, trailer, basement, tent, shack, garage, barn or other outbuilding shall be used on any lot at any time as a residence or for residential purposes, either temporarily or permanently. No structure shall be moved onto any lot at any time unless it shall conform to the

14

restrictions herein set out and no house shall be moved from any other location to any lot in the subdivision.

8. No animals, livestock or poultry shall be raised, bred or kept on any lot except that dogs, cats or other household pets may be kept provided that they are not kept, bred or maintained for any commercial purpose. Barns or any other unsightly structures are not permitted. Dog houses shall not be kept in front or side yards. Dog runs will not be permitted. All dogs, when outside their owners' backyards, shall be restrained on a leash.

11. The overhauling of automobiles and other vehicles, storage of junk or small trailers or other unsightly objects in plain view is prohibited. No vehicle shall be regularly or habitually parked on any street and every lot shall contain adequate facilities for off-street parking for all vehicles kept on the premises.

12. No fences or hedges used as fences shall extend beyond the front building line of any interior lot or beyond the front and side building lines of any corner lot. No solid fences which prevent the free passage of air shall be erected and no fence shall be higher than six (6) feet.

II.

Part B is hereby changed by being deleted in its entirety and by the following being inserted in its place:

(15)

Except for the lots presently utilized by Forest Baptist Church (lots 95-100) and Newburg Apostolic Church (lots 128-130), no lot(s) shall hereafter be used except for residential purposes. However, residences may be used by their owners as in-home offices or businesses, provided:

- a) No signs, displays or other demarcations are erected or used on the exterior of the residence to advertise or identify the office or business; and
- b) the operation and/or existence of the office or business does not unreasonably interfere with the quiet and beneficial enjoyment or adversely affect the residential character of the surrounding properties.

There is specifically reserved to the Petersburg Homeowners Association, a successor thereof, or to a neighborhood committee or organization consisting of lot owners in Petersburg Estates, the right to construct and erect upon the common areas structures and facilities for the communal use and enjoyment of the Petersburg Subdivision residents.

III.

Part C is hereby changed by being deleted in its entirety and by the following paragraphs being inserted in its place:

- 1. The Petersburg Homeowners Association, Inc. ("Association"), upon satisfaction of the conditions set forth in Part C 2, below, shall forthright be vested with, and granted the


(16)

authority to exercise, the following powers with respect to the property located in Petersburg Estates:

(a) The power and authority to enforce all restrictions and covenants of record affecting property in Petersburg Estates including the restrictions and covenants set out in the Declaration of Restrictions filed of record in Deed Book 4804, Page 72; the restrictions and covenants set forth in this Amended Declaration of Restrictions; and, those covenants and restrictions as may subsequently be enacted by the Association in accordance with its Articles of Incorporation and Bylaws.

(b) The maintenance of common areas and paved areas located in Petersburg Estates which are not maintained by Jefferson County, the City of Louisville or other governmental entity.

(c) The installation, implementation or maintenance of any utilities or services which substantially affect property in Petersburg Estates, which are not provided by the City of Louisville, Jefferson County or other governmental entity.

 (d) Power to levy assessments in accordance with its Articles of Incorporation and Bylaws for the operation of Petersburg Homeowners Association, Inc. and to carry out its duties and responsibilities in accordance with this Amended Declaration of Restrictions. Each lot and/or dwelling owner may be subject to an improvement and maintenance assessment to be paid by the lot and/or dwelling owner to the Association at times and in amounts to be determined in accordance with the Association's Articles of Incorporation and Bylaws. Every assessment so made shall be

(17)

applied equally to each lot and shall constitute a lien against the real property and improvements thereon, if any, but said lien shall be subordinate in priority to the lien of any first mortgage or ad valorem taxes on any property which is subject to such assessment. The lien against such assessment shall be enforceable against the real property as other liens against real estate by foreclosure or may be collected as other claims for money due.

(e) Power, subject to the Association's Articles of Incorporation and Bylaws, to perform necessary property maintenance upon any property subject to this Amended Declaration of Restrictions in order to prevent the occurrence of blight and the depreciation of property values of other property in Petersburg Estates. Property maintenance shall include but not be limited to the mowing of grass, removal of dead trees and shrubbery, removal of trash, painting and tuck pointing the exterior of residences, maintenance and repair of gutters, downspouts and roofs. The Association may, as prescribed by its Bylaws, give notice in writing to a lot or dwelling owner to correct any deficiency in the maintenance of such owner's property and in the event that such owner shall default in correcting any deficiency the Association may make such corrections. In undertaking to make the necessary corrections the Association shall have the status of a contractor under KRS 376.010 et seq. The cost for any corrections so made shall become a lien upon the owner's property upon the filing of a mechanic's lien statement.

18

(f) Such additional powers granted to Petersburg Homeowners Association, Inc. by its Articles of Incorporation or later adopted by amendment to its Articles.

2. The power and authority of the Petersburg Homeowners Association, Inc. to exercise those powers enumerated in section C 1, above, shall be contingent upon, and subject to, the Petersburg Homeowners Association, Inc. performing the following by January 1, 1991:

(a) Amendment of its Articles of Incorporation and Bylaws to admit as voting members of the Association all owners holding by deed or under contract for sale real property in Petersburg Estates, and to permit all persons residing upon said property pursuant to a lease agreement to join as non-voting members. Each property shall be entitled to one vote cast by its owners.

(b) Amendment of its Articles of Incorporation whereby the Association undertakes and assumes the responsibility of exercising the powers and authority granted to it in this Amended Declaration of Restrictions and in other restrictive covenants which may hereafter be enacted with respect to Petersburg Estates, and of exercising all such power and authority which may hereafter, through the operation of conditions, covenants, restrictions, reservations, or charges pertaining to the same, be placed upon or submitted to the jurisdiction of Petersburg Homeowners Association, Inc. and which are determined to be within

(19)

the jurisdiction of the Association by resolution of the Board of Directors of the Association.

(c) Ratification or approval by a majority of the Association's members, i.e. a majority of the owners of Petersburg Estates with each lot entitled to cast one vote, of the amendments and resolutions adopted by the Association in order to undertake compliance with subparagraphs (a) and (b) above.

IV.

The following paragraphs of Part D are deleted: 1 and 3.

The following paragraphs are hereby inserted into Part D:

1. Term. The restrictions and covenants set forth in the original Declaration of Restrictions which survive, and the restrictions and covenants contained in, this Amended Declaration of Restrictions, are hereby declared to be covenants running with the land until January 1, 1999, at which time said restrictions and covenants shall be automatically extended for successive periods of ten (10) years each, unless deleted, amended, or supplemented by an instrument signed by a majority of the then owners of the dwellings and/or lots in Petersburg Estates.

3. Severability. Invalidation of any one of these covenants by judgment or court order shall in no wise affect any of the other provisions which shall remain in full force and effect. The changes undertaken herein to the original Declaration of Restrictions shall be deemed dependent upon the validity of the changes. In the event that any change hereunder is determined to

20

be invalid, the most closely corresponding provision, in whole or in part, of the original Declaration of Restrictions, if any, shall be revived.

4. These amendments to the Declaration of Restrictions, having been approved by the owners of the majority of the land area situated in Petersburg Estates Subdivision shall run with the land, and shall be binding upon all owners of property located in Petersburg Estates, to wit:

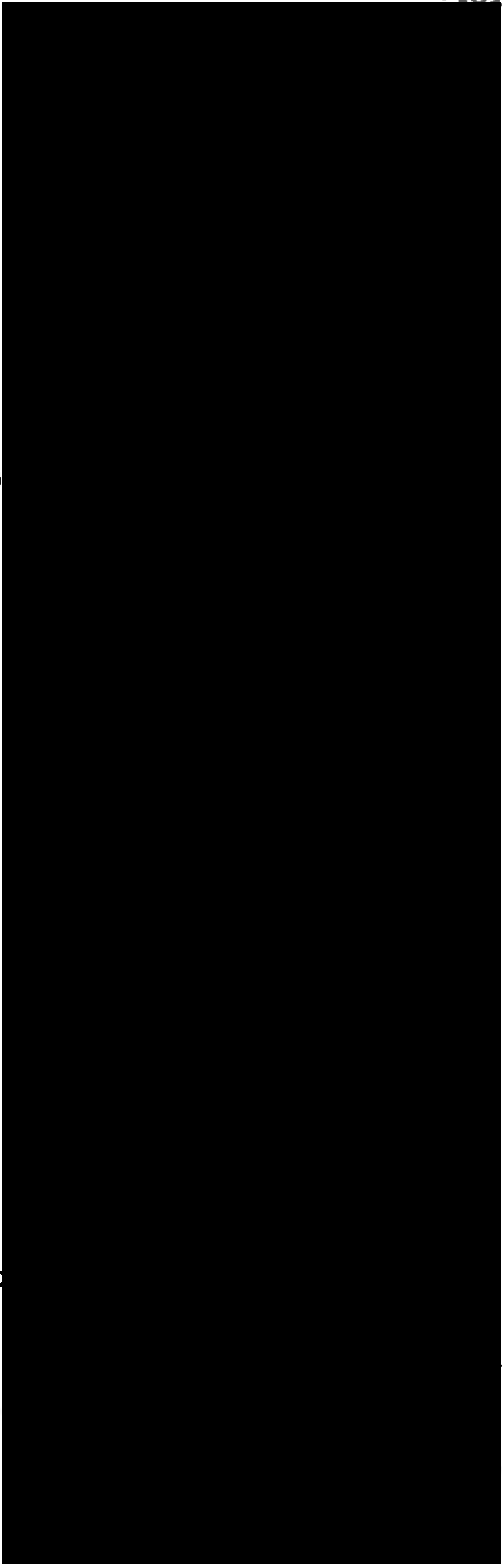
A tract of land subdivided into a subdivision, and known as Indian Trail Area, Section 2, Project No. Ky. R-69, plat of which is recorded in Plat and Subdivision Book 31, Page 84, of record in the Office of the Clerk of the County Court of Jefferson County, Kentucky; said tract having been further subdivided into lots owned by the below listed individuals and entities

Owner's Name

- Louis & Cynthia Lusco
- Chenoweth Construction Co.
- Norma J. Glass
- Jefferson County, Kentucky
- Yolanda L. Wood
- Jefferson County, Kentucky
- Bale Construction, Inc.
- Kenneth & Mary Goldring
- Spencer Allgeier Builders, Inc.
- Eddie & Paula Byrd
- Leonard & P.L. Bland
- Anthony & Bonita Slaughter
- Edward L. Anthony
- Charles & Deborah Coleman
- Josephine Thompson
- Wendell & Angela Livingston
- Countryside Builders
- Jefferson County, Kentucky
- Dolton & Lillian Sobers
- Henry R. & Avis L. Grady, Jr.
- Jefferson County, Kentucky
- Billy R. & Eugenia Sisk

(21)

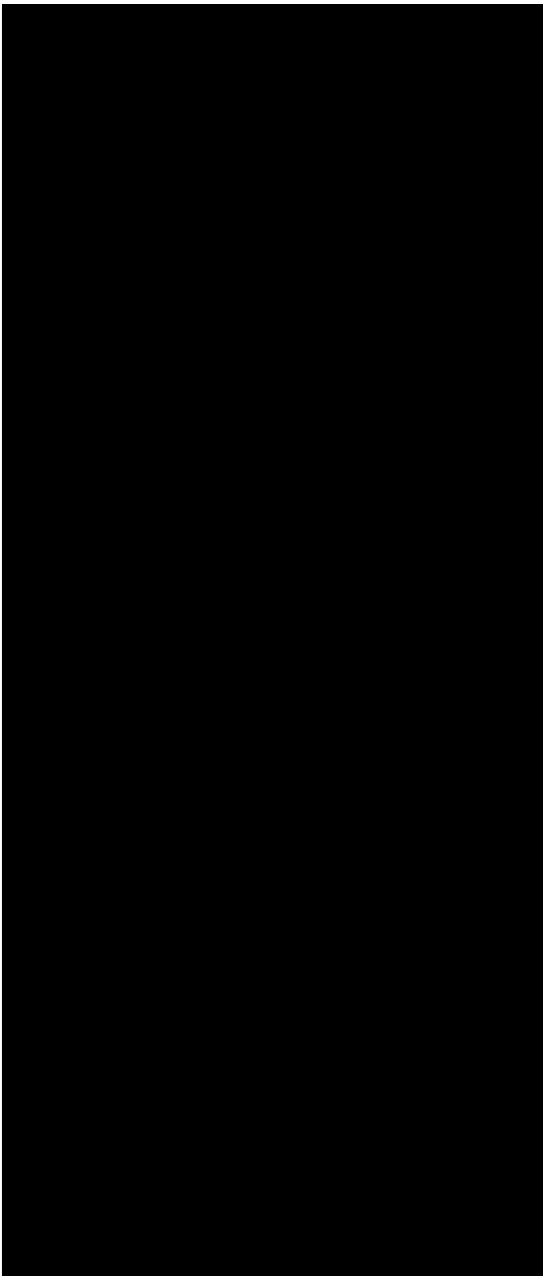
Jefferson County, Kentucky
 George & Sonya Allen
 Dewey & Eva Franklin
 Jefferson County, Kentucky
 Fred & Vickie Dillon
 James & Margie Bartlett
 Mildred Green & Sharron Green
 Jefferson County, Kentucky
 Bale Construction, Inc.
 Al & Vera Phillips
 Jefferson County, Kentucky
 PHH Homequity
 Jefferson County, Kentucky
 Dr. E. Lee Wiley
 Thomas & Brenda Swearingen
 Cambridge & Shirley Kirtley, III
 Herbert & Connie Duerson
 Waymon & Wilma Lowe
 Denise Jackson (Thompkins)
 Roy & Carolyn Hayes (Witherspoon)
 Johnathan & Phyllis Wilson
 Roosevelt & Nancy Jones
 Jefferson County, Kentucky
 Hector & K.O. Santiago
 Dwight & Colene Betts
 Carl & Gloria Payne
 D. L. & Rose Evans
 Marvin & Rondella Swope
 Charles & Mildren Beason
 James & Mary Terry
 Lloyd & Ay White
 Michael & L. L. Founder
 Jasper & Geneva Price, Jr.
 Lester & Doris Booker
 Don S. & Monica Brown
 Charles & Blanchet Calloway
 Jimmie & Martha Story
 Mark Saunders
 George & Brenda Cox
 Roosevelt & Mary Lightsey
 John & T.A. Avery
 Cecil & Vanessa Goodwin
 George & Carolyn Bruce
 Anna L. Hughes
 John & Linda Thompson
 Arthur & Deborah J. Mitchell
 Richard & Diann Betts
 Alonzo & Sheila Majors
 Margaret Elaine Bullard
 Donald & Mindy Walker
 Charles Stone
 Roy & V.M. Simpson



Yusef & Ann Alim
Newburg Apostolic Church
Newburg Apostolic Church
Newburg Apostolic Church
Richard & Martha A. Harris
Colonel & Judy Fuqua, Jr.
Jefferson County, Kentucky
Thomas & Carlett Caldwell
Richard & Deborah Johnson
Grover L. Harton
Carletta D. Ball
John Q. & Loretta Adams
Jo Ann Shelton
William & Dorothy DeGraffenried
Allen & Mamie Brathwaite
John & Anna M. Wilson
Charles & Wanda Hogue
Lorraine Howard, Jr.
Rev. William & Elizabeth White
Rev. Perry A. & Patricia Daniels
Clarence & Mary L. Kimbrough
Clarence Kimbrough
Darlene Pepper
Jefferson County, Kentucky
Morris & Michelle Duckworth, Jr.
Jefferson County, Kentucky
Rafael & Janet Reyes
Andrew & Earlene Turner
Joel & Lavern Whitehurst
Jefferson County, Kentucky
Jefferson County, Kentucky
Jefferson County, Kentucky
Jefferson County, Kentucky
Jefferson County, Kentucky
Jefferson County, Kentucky
Jefferson County, Kentucky
Jefferson County, Kentucky
Jefferson County, Kentucky
Jefferson County, Kentucky
Jefferson County, Kentucky
Jefferson County, Kentucky
Charles T. Barlow
Sherman & Deborah Alexander
Jefferson County, Kentucky
Jefferson County, Kentucky
Ronald J. Shelton
Jefferson County, Kentucky
Jefferson County, Kentucky
Jefferson County, Kentucky
Jefferson County, Kentucky
Jefferson County, Kentucky
Jefferson County, Kentucky

(24)

Jefferson County, Kentucky
 Jefferson County, Kentucky
 CDM Development Corp.
 CDM Development Corp.
 Henry & Francine Reese
 John & Deborah Walker
 Donald & Vickie Henderson
 Renee Dixon
 Joseph Smith
 Jefferson County, Kentucky
 Carver D. & Sherrie Ealy
 Countryside Builders, Inc. x
 Jefferson County, Kentucky
 Jesse & Angela Allen
 Howard & Rose Patrick
 Kevin & Valarie Bell
 Jefferson County, Kentucky
 Jefferson County, Kentucky
 Jefferson County, Kentucky
 Jefferson County, Kentucky
 Countryside Builders, Inc.
 Jefferson County, Kentucky
 Jefferson County, Kentucky
 Glenn T. Harris
 Jefferson County, Kentucky
 Teresa Wheaten
 Juan & Lutitia Patterson
 Dennis & Bette Hughes
 Arthur Smith
 William & Barbara Trice
 Jefferson County, Kentucky
 Jefferson County, Kentucky
 Philodendron Green
 Bonnie Mitchell
 Wayne Graham
 Walter & Sylvia Pinchbeck
 Paul D. & Gloria Battle
 James E. Ray
 Jefferson County, Kentucky
 Jefferson County, Kentucky
 Phillip & Sharon Bizer
 Ira G. & Agatha V. Hatchett
 Jefferson County, Kentucky
 Jefferson County, Kentucky
 Jefferson County, Kentucky
 Jefferson County, Kentucky
 Donald & Shirley Harris
 Theresa Spaulding
 David Shawn English
 James & Ruth Green
 Jefferson County, Kentucky
 George & Gwendylon Jewell

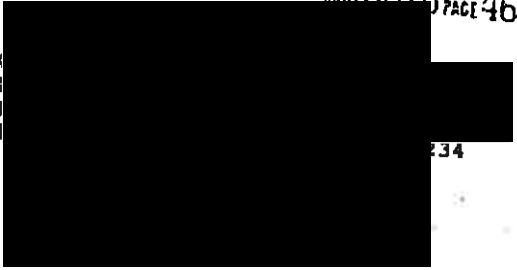


25

Jefferson County, Kentucky
 Jefferson County, Kentucky
 CDM Development Corp.
 CDM Development Corp.
 Henry & Francine Reese
 John & Deborah Walker
 Donald & Vickie Henderson
 Renee Dixon
 Joseph Smith
 Jefferson County, Kentucky
 Carver D. & Sherrie Ealy
 Countryside Builders, Inc. X
 Jefferson County, Kentucky
 Jesse & Angela Allen
 Howard & Rose Patrick
 Kevin & Valarie Bell
 Jefferson County, Kentucky
 Jefferson County, Kentucky
 Jefferson County, Kentucky
 Jefferson County, Kentucky
 Countryside Builders, Inc.
 Jefferson County, Kentucky
 Jefferson County, Kentucky
 Glenn T. Harris
 Jefferson County, Kentucky
 Teresa Wheaten
 Juan & Lutitia Patterson
 Dennis & Bette Hughes
 Arthur Smith
 William & Barbara Trice
 Jefferson County, Kentucky
 Jefferson County, Kentucky
 Philodendron Green
 Bonnie Mitchell
 Wayne Graham
 Walter & Sylvia Pinchbeck
 Paul D. & Gloria Battle
 James E. Ray
 Jefferson County, Kentucky
 Jefferson County, Kentucky
 Phillip & Sharon Bizer
 Ira G. & Agatha V. Hatchett
 Jefferson County, Kentucky
 Jefferson County, Kentucky
 Jefferson County, Kentucky
 Jefferson County, Kentucky
 Donald & Shirley Harris
 Theresa Spaulding
 David Shawn English
 James & Ruth Green
 Jefferson County, Kentucky
 George & Gwendylon Jewell

76

Jefferson County, Kentucky
Jefferson County, Kentucky
New Ventures, Inc.
William Dorsey



234

(27)

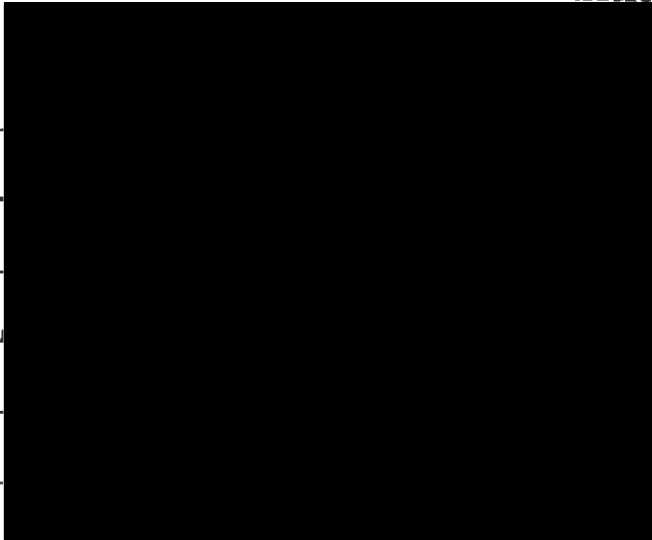
WHEREFORE, we, the undersigned, owners of the below described tracts of real property in Petersburg Estates Subdivision, said tracts together constituting a majority of the land area in Petersburg Estates Subdivision, hereby approve and adopt the foregoing Amended Declaration of Restrictions.

Owner's Name

Ernie Franklin

Dewey Franklin
Robert [unclear]

Nancy S. Jones
Rafael Reyes



COMMONWEALTH OF KENTUCKY)
COUNTY OF JEFFERSON) SS.

Subscribed and sworn to before me this 3rd day of June, 1990, by EVA Franklin, Robert Jones, Rafael Reyes, (Nancy S. Jones), Dewey Franklin, and (Nancy S. Jones), to be their voluntary act and deed.

My commission expires: 2-27-92

[Signature]
NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

(28)

WHEREFORE, we, the undersigned, owners of the below describe tracts of real property in Petersburg Estates Subdivision, said tract: together constituting a majority of the land area in Petersburg Estate: Subdivision, hereby approve and adopt the foregoing Amended Declaration of Restrictions.

Owner's Name

X Billy Ray Sisk

Kenneth O. Melring

Mary Solding

T. Richard Betts

X Deanna Betts

Joseph DeBow

Jawanna DeBow

COMMONWEALTH OF KENTUCKY)

COUNTY OF JEFFERSON)

Subscribed and sworn to before me this 7th day of June, 1990, by Billy Ray Sisk, Kenneth O. Melring, Mary Solding, Richard Betts, Joseph DeBow, and Jawanna DeBow, to be their voluntary act and deed.

My commission expires: 9-27-90 - 2-27-9

[Signature]
NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

22
17

BOOK 6016 PAGE 467

(29)

WHEREFORE, we, the undersigned, owners of the below described tracts of real property in Petersburg Estates Subdivision, said tracts together constituting a majority of the land area in Petersburg Estates Subdivision, hereby approve and adopt the foregoing Amended Book of Restrictions.

Owner's Name

Linda D Thompson

Gladys Harris

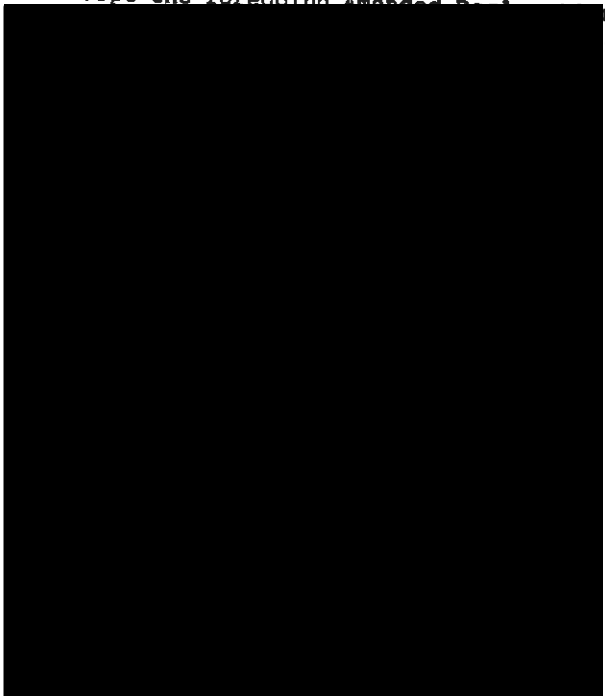
Rita Harris

Doris H Lester

x Gladys E Brown

x Dale Bowen

x Carlene Turner



COMMONWEALTH OF KENTUCKY)
COUNTY OF JEFFERSON) SS.

Subscribed and sworn to before me this 27th day of June, 1990, by Linda D. Thompson, Earlene Turner, Gladys Harris, Rita Harris, Doris H Lester, and Dale Bowen, and Stahed E Bowen, to be their voluntary act and deed.

My commission expires: 2-27-92

Paul Whitman
NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

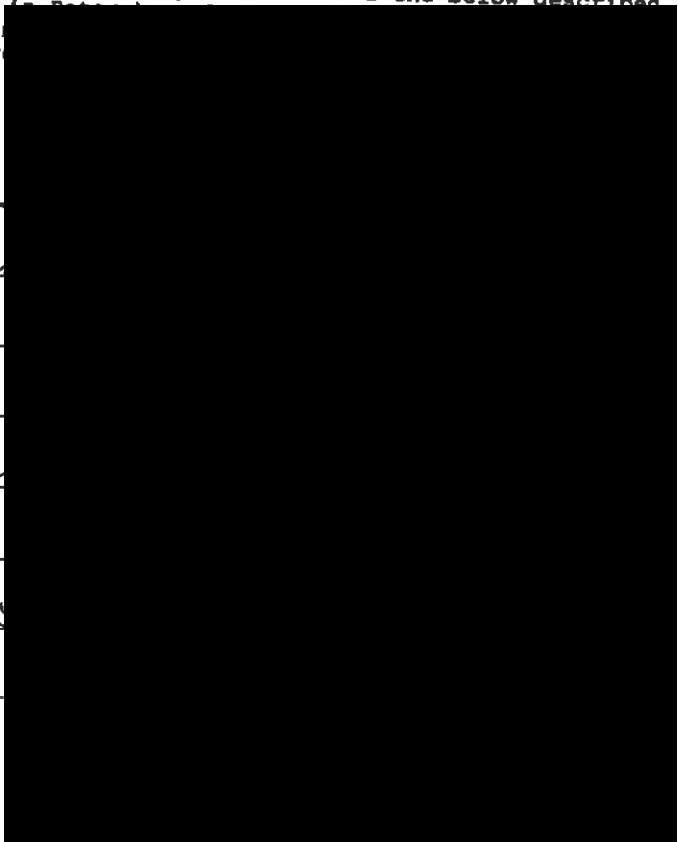
~~23~~
18

30

WHEREFORE, we, the undersigned, owners of the below described tracts of real property together constituting a Subdivision, hereby approve of Restrictions.

Owner's Name

x Albert W. Bowman
x Ella Mae Bowman
Dennis Hughes
x Bette A. Hughes
Grover L. Harton
Arthur S. Smith
R. Elizabeth Smith



COMMONWEALTH OF KENTUCKY
COUNTY OF JEFFERSON

Subscribed and sworn to before me this 14 day of June, 1990, by ALBERT BOWMAN, ELLA MAE BOWMAN, DENNIS HUGHES, BETTE A. HUGHES, GROVER L. HARTON, and _____, to be their voluntary act and deed.

My commission expires: 6/8/94

Victoria Habers
NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

~~20~~
19

BOOK 6016 PAGE 469

(31)

WHEREFORE, we, the undersigned, owners of the below described tracts of real property in Petersburg Estates Subdivision, said tracts together constituting a majority of the land area in Petersburg Estates Subdivision, hereby approve and adopt the foregoing Amended Declaration of Restrictions.

Owner's Name

X William G. White

John W. Thompson

Andrew Turner

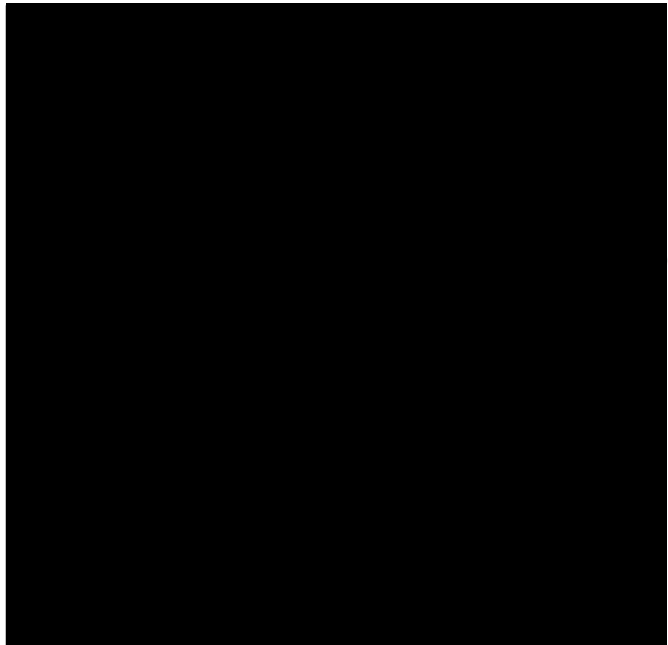
+ Vera A. Phillips

+ Alphonso Phillips

Ronald J. Shelton

Richard Harris

X Carretta S. Bell



COMMONWEALTH OF KENTUCKY)
COUNTY OF JEFFERSON) SS.

Subscribed and sworn to before me this 19 day of June, 1990, by WILLIAM J WHITE, JOHN W THOMPSON, ANDREW TURNER, VERA A. PHILLIPS, ALPHONSO PHILLIPS, RONALD J. SHELTON, RICHARD HARRIS, and _____, to be their voluntary act and deed.

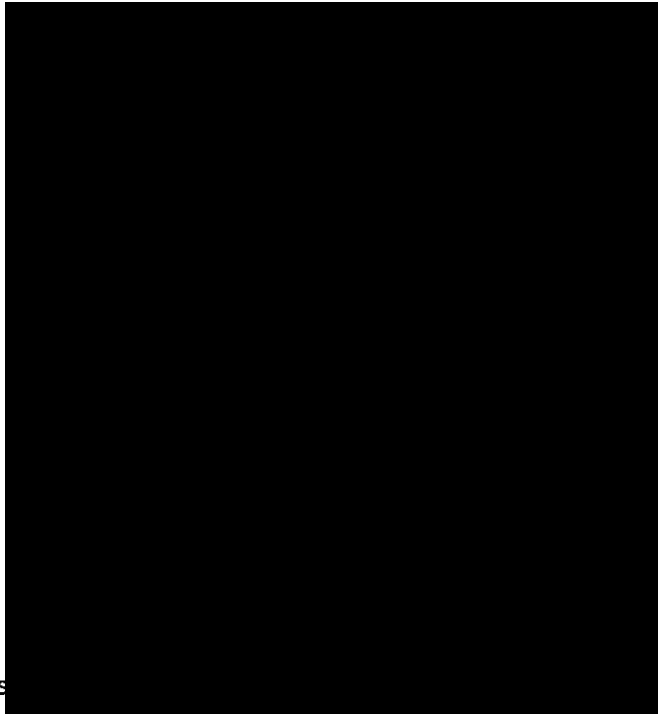
My commission expires: 6/8/94

Victoria Haberst
NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

(32)

WHEREFORE, we, the undersigned, owners of the below described tracts of real property in Petersburg Estates Subdivision, said tracts together constituting a majority of the land area in Petersburg Estates Subdivision, hereby approve and adopt the foregoing Amended Declaration of Restrictions.

Owner's Name
X Arden Johnson
X Tomie Johnson
X Francis Reese
Benny Reese
Wayman C. Lowe
Wesley S. Lowe
Eugenia L. Sisk
X Rev. Mattie M. Holland



COMMONWEALTH OF KENTUCKY)
COUNTY OF JEFFERSON)

Subscribed and sworn to before me this 19 day of June, 1990, by ARLENE JOHNSON, LANNIE JOHNSON, FRANSINE REESE, HENRY REESE, WAYMAN C. LOWE, WILMA L. LOWE, EUGENIA L. SISK, and REV. MATTIE M. HOLLAND, to be their voluntary act and deed.

My commission expires: 6/8/94

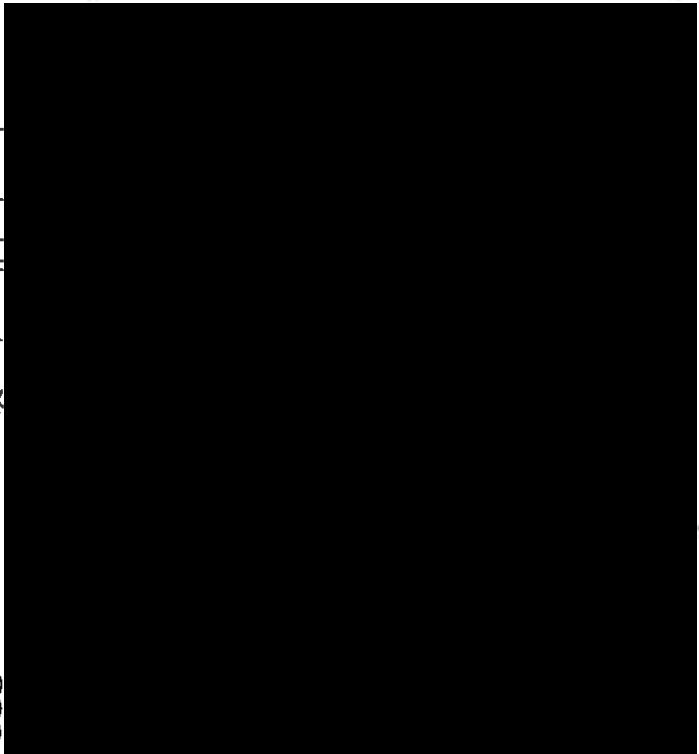
Victoria Habernat
NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

33

WHEREFORE, we, the undersigned, owners of the below described tracts of real property in Petersburg Estates Subdivision, said tracts together constituting a majority of the land area in Petersburg Estates Subdivision, hereby approve and adopt the foregoing Amended Declaration of Restrictions.

Owner's Name

x Sherril L. Ealy
Lawrence Whitehurst
Booker Lester
Patricia L. Daniels
Francis J. Bland
Benny Donald



COMMONWEALTH OF KENTUCKY)
COUNTY OF JEFFERSON)

Subscribed and sworn to before me this 19 day of June, 1990, by SHERRIE EALY, LAYERNE WHITEHORST, P.L. BLAND, LEONARD BLAND, PATRICIA L. DANIELS, PERRY A DANIELS, BOOKER LESTER, and CLARENCE KIMBROUGH, to be their voluntary act and deed.

My commission expires: 6/8/94

Victoria Whitehurst
NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

mcb\petersbu.one-wp

16
22

(34)

WHEREFORE, we, the undersigned, owners of the below describe tracts of real property in Petersburg Estates Subdivision, said tract together constituting a majority of the land area in Petersburg Estates Subdivision, hereby approve and consent to the removal of the said tracts of Restrictions.

Owner's Name

x Mary L. Kimbrough
x Draine Howard, Jr.
x Loraine Howard
x Janet Re
x Carver D. Paly
Buddy Reed
Jasper Price, Jr.
Anna L. Hughes

COMMONWEALTH OF KENTUCKY
COUNTY OF JEFFERSON

Subscribed and
1990, by MARY L. KIMBROUGH
DRRAINE HOWARD, JR.
CARVER D. PALY
JASPER PRICE
their voluntary act and de

My commission exp

Victoria Haper at
NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

17
23

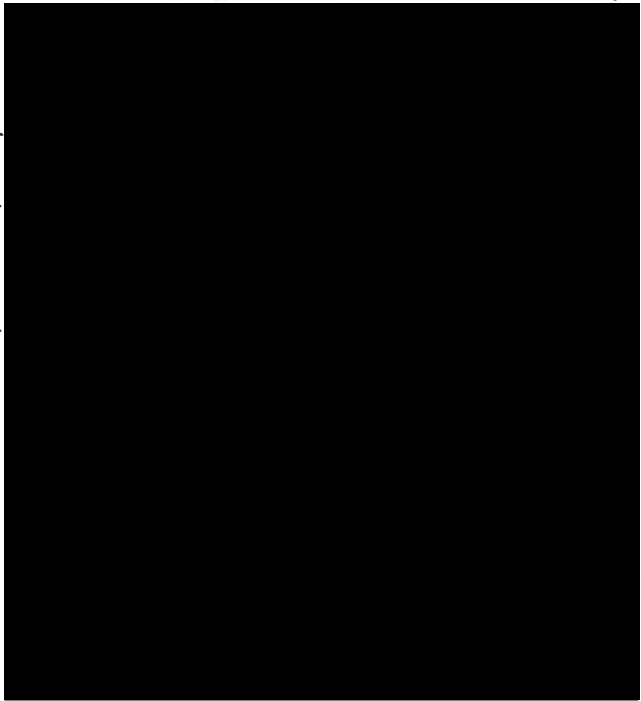
BOOK 6016 PAGE 473

(35)

WHEREFORE, we, the undersigned, owners of the below described tracts of real property in Petersburg Estates Subdivision, said tracts together constituting a majority of the land area in Petersburg Estates Subdivision, hereby approve and adopt the foregoing Amended Declaration of Restrictions.

Owner's Name

Thomas M. Chiles
Berita Chiles
Walter P. Pritchett
Sylvia P. Pritchett
James H. Terry
Ella Hall



COMMONWEALTH OF KENTUCKY)
COUNTY OF JEFFERSON) SS.

Subscribed and sworn to before me this 7 day of July, 1990, by Thomas M. Chiles, Berita Chiles, Walter P. Pritchett, Sylvia P. Pritchett, James H. Terry, and Ella Hall, to be their voluntary act and deed.

My commission expires: 2-27-1992.

Paul Whitehurst
NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

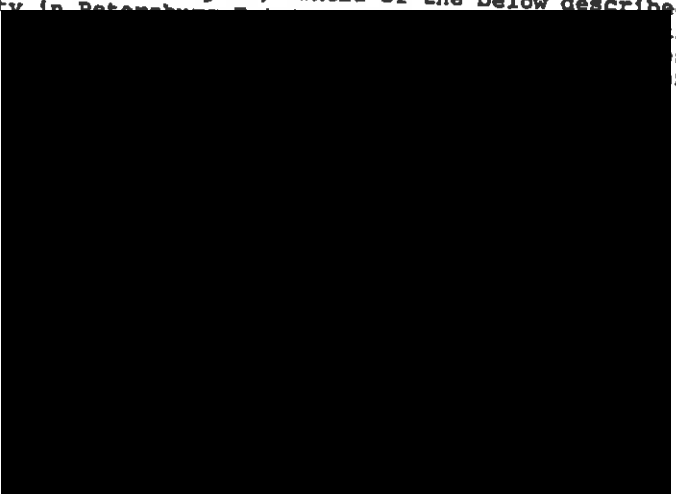
24

24

(36)

WHEREFORE, we, the undersigned, owners of the below described tracts of real property in Petersburg, Kentucky, together constituting a Subdivision, hereby agree to the following of Restrictions.

Owner's Name
Deborah H. Alexander
Sherman Alexander



COMMONWEALTH OF KENTUCKY)
COUNTY OF JEFFERSON) SS.

Subscribed and sworn to before me this 14 day of June, 1990, by Deborah H. Alexander, Sherman Alexander,
_____, and _____, to be
their voluntary act and deed.

My commission expires: 2-27-1992

Paul Whipple
NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

mcb\petersbu.cha-wp

13
25

BOOK 6016 PAGE 475

(37)

WHEREFORE, we, the undersigned, owners of the following tracts of real property in P... together constituting a major Subdivision, hereby approve of Restrictions.

Owner's Name

Remona Barbaw
Lucian Carlisle

Barbara Wilson
Olivia L. ...
Robert L. Richardson
Dee L. Richardson
Mary A. Terry

COMMONWEALTH OF KENTUCKY)
COUNTY OF JEFFERSON) S

Subscribed and sworn to
1990, by Remona Barbaw

Olivia P. ..., Dee L. Richardson, and Mary A. Terry, to be their voluntary act and deed.

My commission expires: 2-27-92

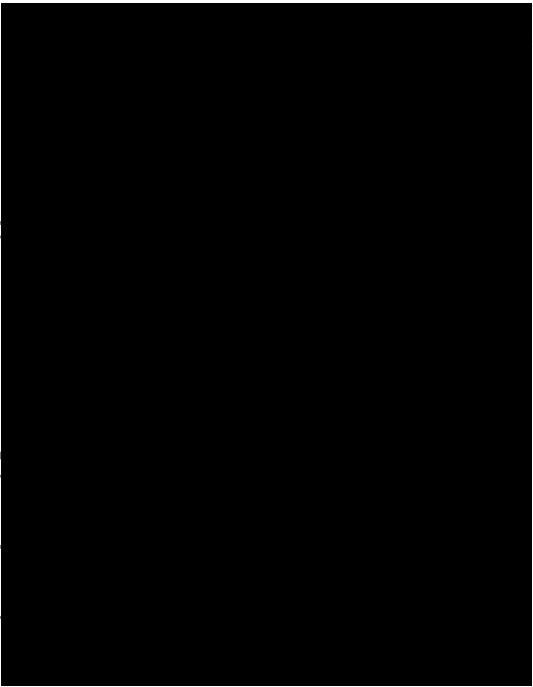
[Signature]
NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

(38)

WHEREFORE, we, the undersigned, owners of the below described tracts of real property in Petersburg Estates Subdivision, said tracts together constituting a majority of the land area in Petersburg Estates Subdivision, hereby approve and adopt the foregoing Amended Declaration of Restrictions.

Owner's Name

Charles E. Downs
Marie Downs
X Fern Ligon
Lynette Ligon
Mike Ligon
Mr. Raddella Swope
X John Shelton
X



COMMONWEALTH OF KENTUCKY)
COUNTY OF JEFFERSON) SS.

Subscribed and sworn to before me this 15 day of July, 1990, by Charles E. Downs, Diann Downs, Kentia Dixon, Lynette Ligon, (Mike) Michael Ligon, Raddella Swope, John Shelton, and their voluntary act and deed.

My commission expires: 2-27-1992.

Paul White
NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

17
27

BOOK 6016 PAGE 477

(39)

WHEREFORE, we, the undersigned, owners of the described tracts of real property in Part of the [redacted] together constituting a major subdivision, hereby approve of the proposed Subdivision of Restrictions.

Owner's Name

- Monica L. Brown
- Mark A. Saunders
- George D. Cox
- Alonzo Major
- Charles E. Stone
- Emma Smith
- Bennie Earl Smith Jr.
- Denise D. Jackson

COMMONWEALTH OF KENTUCKY)
COUNTY OF JEFFERSON) S

Subscribed and sworn to before me this 15 day of July, 1990, by Monica L. Brown, Mark Saunders, George D. Cox, Alonzo Major, Charles E. Stone, Emma Smith, Bennie Earl Smith Jr., and Denise D. Jackson, to be their voluntary act and deed.

My commission expires: 2-27-1992.

[Signature]
NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

15

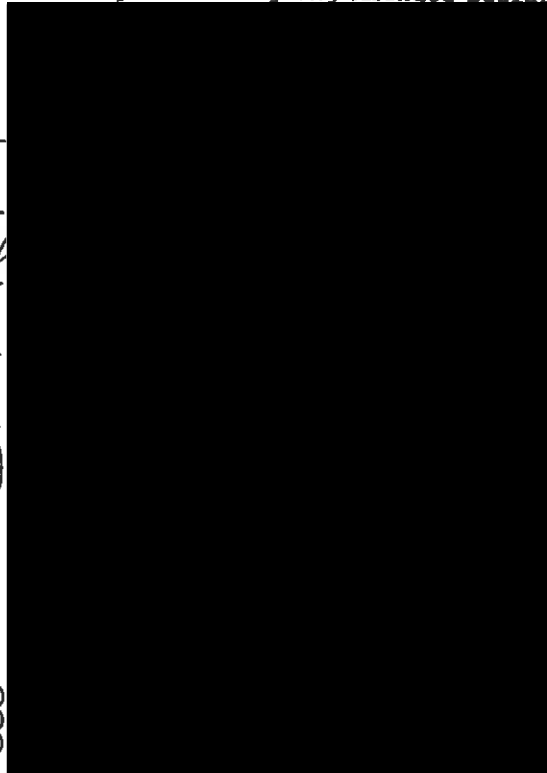
2P

(40)

WHEREFORE, we, the undersigned, owners of the below described tracts of real property in Petersburg Estates Subdivision, said tract: together constituting a majority of the land area in Petersburg Estate: Subdivision, hereby approve and adopt the foregoing Amended Declaration of Restrictions.

Owner's Name

- ✓ Don Brown
- ✓ Carl B Payne
- ✓ Arthur Lee Mitchell
- ✓ Debra Mitchell
- ✓ Jessie Avery
- Margaret E Ballard
- ~~Sheila Majors~~
- Sheila Majors



COMMONWEALTH OF KENTUCKY)
COUNTY OF JEFFERSON)

Subscribed and sworn to before me this 15 day of June, 1990, by Don S. Brown, Carl B Payne, Arthur Lee Mitchell, Debra Mitchell, Jessie Avery, Margaret E Ballard, ~~Sheila Majors~~, and Sheila Majors, to be their voluntary act and deed.

My commission expires: 2-27-1992

Paul Whitehead
NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

15
29

BOOK 6016 PAGE 479

(41)

WHEREFORE, we, the undersigned, owners of the below described tracts of real property in Petersburg Estates Subdivision, said tracts together constituting a majority of the land area in Petersburg Estates Subdivision, hereby approve and adopt the foregoing Amended Declaration of Restrictions.

Owner's Name

Harper L. Fulton

Bonnie Mitchell

Barbara Trice

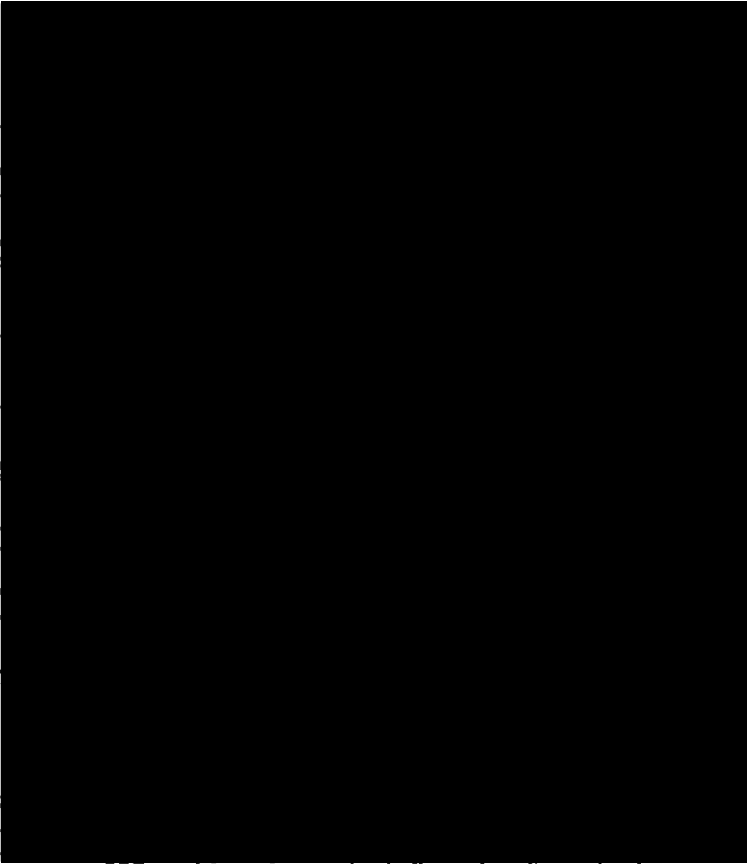
William V. Trice

Yolanda L. Wood

Suzanne L. Fulton

Theresa Fulton

Theresa J. Wheaton



COMMONWEALTH OF KENTUCKY
COUNTY OF JEFFERSON

Subscribed and sworn to before me on this 15 day of April 1990, by Barbara Trice, Yolanda L. Wood, Theresa Fulton and Theresa J. Wheaton, to be their voluntary act and deed.

My commission expires: 2-27-92

Theresa J. Wheaton
NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

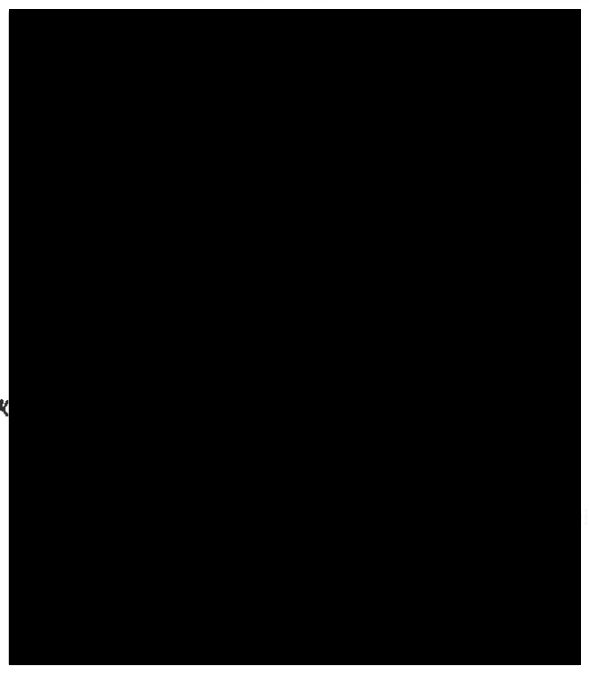
mcb\petersbu.two-wp

(212)

WHEREFORE, we, the undersigned, owners of the below described tracts of real property in Petersburg Estates Subdivision, said tracts together constituting a majority of the land area in Petersburg Estates Subdivision, hereby approve and adopt the foregoing Amended Declaration of Restrictions.

Owner's Name

Joseph Thompson
Eddie Bummer
Jesse Alford
Angela Allen
Michaela ~~Allen~~ Allen
Sharon Allen



COMMONWEALTH OF KENTUCKY)
COUNTY OF JEFFERSON) SS.

Subscribed and sworn to before me this 15 day of June, 1990, by Josephine Thompson, Eddie Bummer, Jesse Alford, Angela Allen, Michaela Allen, and Sharon Allen, to be their voluntary act and deed.

My commission expires: 2-27 1992

Paul Whitehurst
NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

44
31

BOOK 6016 PAGE 481

(43)

WHEREFORE, we, t
tracts of real property in
together constituting a ma
Subdivision, hereby approv
of Restrictions.

Owner's Name

George E. Allen

Joseph L. Allen

David P. Kirby

Henry Kirby

Robt D. Sebels

Lillian J. Sebels

William E. Sebels

William D. Sebels

COMMONWEALTH OF KENTUCKY

COUNTY OF JEFFERSON

Subscribed and sw
1990, by George E. Allen, Joseph L. Allen,
Henry R. Kirby, David P. Kirby,
Robt D. Sebels, Lillian J. Sebels,
William E. Sebels, and William D. Sebels, to be
their voluntary act and deed.

My commission expires: 2-27-1992

[Signature]
NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

BOOK 6016 PAGE 482

40
32

(44)

WHEREFORE we the undersigned
tracts of real p
together constit
Subdivision, her
of Restrictions.

Owner's Name

Jonathan A. D

Philip J. W

Bonita S. S

Arthur J. S

Theresa B. S

Dwight B. S

Rosemary L. S

Hugh K. L

COMMONWEALTH OF KE
COUNTY OF JEFFERSO

Subscrib
1990, by John
Bonita S. S
Theresa S. S
Hugh K. L
their voluntary act

My commis 8-27-1992

[Signature]
NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

-16

33

BOOK 6016 PAGE 483

(45)

PE Jazz Festival Meeting Minutes

May 24, 2014

1:00 p.m.

Members Present: Roderick Allen, Sue Arnold, Collette Caldwell, Tyra Cole, Larry Dunn, Debra Fluellen, Carolyn Hayes, Hal (Buster) Hill, Rudy Jones, Anthony Perry, Bettye Sutherland, Donald Sutherland, and Samuel Streeter

Guest:

Meeting Called to Order: 1:00 p.m. by Carolyn Hayes

Rides: We anticipate funding coming from the Community Development Corporation as one of our sponsors. Barbara Shanklin has been contacted about rides as a Plan B.

Entertainment: The DJ will begin at 3:30 p.m. Collette is making contact with two musical groups for evening entertainment at Jazz Festival. Donnie made suggestion about renting equipment for music (DJ). The total cost for entertainment will be \$800.00.

Raffle Gifts and Tickets: Gifts will be a 50 in. flat screen television an airline ticket up to \$200.00, 1- \$100.00 Kroger and gas card each. Othr donated gifts will be available for drawings throughout the evening.

Roderick Allen will oversee distribution of tickets and collection of funds.

Children: Face painting, balloons and cotton candy will be free for children. Carolyn Hayes has volunteers to do the face painting; however, the Association will purchase the paint.

Corn Hole Tournament: Free

Water, Ice, Delivery: Rudy Jones will take care of this. Letter of request for donation of water will be written to Busch/Anhauser.

Security: We recommend having two police officers. This will be arranged by Bettye Sutherland through Officer Byrd.

Refreshments for Crew: Breakfast will be provided for volunteers on the morning of July 12th.

Tents and Umbrellas: Will need to do inventory.

Porta Potty: Two porta potties will be rented. Bettye Sutherland will take care of this.

Beautification: Officer Bell will take care of trimming bushes and other needs.

Signage: Rudy Jones and Carletta Bell are responsible for parking.

Marquee:

Meeting Adjourned at 2:20 p.m.

JAZZ-N-The-Park

Saturday, July 12th
Petersburg Estates

4611 $\frac{1}{2}$ Unseld Boulevard
(At the pavilion)
Rain Date July 26th

- Food Court (pay)
- Live Band Starring Miss Carri
- Hot Air Balloon Ride
- Vendors
- Inflateables
- Face Painting
- Spin The Wheel (6-9 Year olds)
- DJ "Big Don"



3:30 pm - 8:30 pm
*Come with a big appetite, lawn chairs,
and big smile*

Contact Carolyn Hayes at 458-6124 or Collette Cauldwell at 451-3038

JAZZ-N-THE-PARK

Saturday, July 12th

Petersburg Estates Pavilion

Festival Food Court Choices

Fish Plates

Soft Drinks

Water

Polish Sausage/Peppers, Onions

Chicken Wings

Ribs

Shrimp "n" Grits

Assorted Deserts

Funnel Cakes

and More!



Festival Activities



3:30-4:50	DJ "Big Don"	free
3:30-7:15	Inflateables, Face Painting, Balloon Man, & Spin The Wheel (6-9 Years old)	free
3:30-8:30	Food Court Open	
5:00-8:30	Music Starring Miss Carri	free
7:15	Raffle Drawing	\$2.00

FREE

JAZZ-N-The-Park

Saturday, July 12th

Petersburg Estates

4611 ½ Unseld Boulevard
(At the pavilion)

Can you believe that this year's Jazz In The Park Festival is just 1 1/2 months away?



Your PE Board is already in gear with this year's Raffle ticket fund raiser. You might not be aware but the proceeds from the raffle are used to defray the costs of the festival. The raffle is just one of the initiatives your PE Board takes to pay for the festival. Per our president Carolyn Hayes, "we want to reach a point where 100% of the festival costs are paid for with non-association funds". We are very close to that now. The more you support this year's raffle, the closer we get to producing a cost free festival.

Please look for your PE Board and other association members at your doorstep over the weeks leading up to the event. This year's prizes are:

- 1st place - 50 inch flat screen TV
- 2nd place - Airline Ticket up to \$200.00
- 3rd place - \$100 Gas Card
- 4th place—\$100 Kroger card

Mark your calendars for July 12th. Tell all of your friends. See you in the Park.

**BLOWOUT
BARGAINS**

*Get Your Raffle
Tickets Now*

Vendors Encouraged to Register Early By Contacting Carolyn Hayes @ 458-6124 or Colette Caldwell @ 451-3038. Reserve your booth space for \$150.

JAZZ-N-THE-PARK

Saturday, July 12th
Petersburg Estates Pavilion

Festival Food Court Choices

Fish Plates
Soft Drinks
Water
Polish Sausage/Peppers, Onions
Chicken Wings
Ribs
Shrimp "n" Grits
Assorted Deserts
Funnel Cakes

and More!



Festival Activities



3:30-4:50	DJ "Big Don"	free
3:30-7:15	Inflateables, Face Painting, Balloon Man, & Spin The Wheel (6-9 Years old)	free
3:30-8:30	Food Court Open	
5:00-8:30	Music Starring Miss Carri	free
7:15	Raffle Drawing	\$2.00



Petersburg Estates Jazz In The Park
 The Jazz in the Park festivities begin at
 3:30PM at the pavilion.
 Food vendors, games for kids and adults
 Bring Your Lawn Chairs
 For Information Call 451-3038 or 458-6124

Sponsored by your
**Petersburg Estates
 Community**



Raffle Ticket Prizes

\$2 per chance donation

1st place - 50 inch TV

2nd place - Airline Ticket up to \$200.00

3rd place - \$100 Gas Card

4th place—\$100 Kroger Card

Jazz In The Park

Featuring

Miss Carri

&

UnExpected Band

Festival Activities

3:30-4:50	DJ "Big Don"	free
3:30-7:15	Inflateables, Face Painting, Balloon Man, & Spin The Wheel (6-9 Years old)	free
3:30-8:30	Food Court Open	cost
5:00-8:30	Music Starring Miss Carri & UnExpected Band	free
7:15	Raffle Drawing	\$2.00



Rain Date July 26th

The Areas Highlighted in Yellow is what we are asking Metro Gov't to fund

**2014 P. E. Jazz In The Park Festival Budget
July 12, 2014**

	Projected Cost	Actual cost	Projected Income
• Rides: Larry			
• Inflatables	450.00		
Total Rides	\$ 450.00		\$ 0.00
Entertainment: Collette			
• Band Deposit			
a. Singer/entertainment	500.00		
b. DJ	60.00	62.54	
Total Entertainment	\$ 560.00	562.54	\$ 0.00
Raffle Gifts: Donnie			
• Gift Cards	200.00		
• Airline Ticket up to \$200.00	200.00		
• 50 in. Television	450.00	423.99	
• Ticket purchase/printing(1000)	44.95		
Total Raffle Costs	\$ 894.95	868.94	\$ 2,000.00
Children: JoAnn/ Carolyn			
• Face Painting x2	20.00		
• Balloon Man	175.00		
• Fireworks	400.00		
• Prizes	182.44		
Total Children Act.	\$777.00		
Water, Ice, Storage & Delivery: Rudy			
• Water for Festival Workers and volunteers	20.00		
• Purchase Ice wholesale	50.00		
• Soft drinks x 150 assorted	75.00	**	
Total Ice Costs	\$145.00		
Pavilion Management			
• Security x 2	\$ 200.00	**	Byrd
• Tents	100.00		
• Umbrellas	0.00		
• Porta Potty x 2	191.00		Bettye

- Parking signage 0.00 Carletta
- Pavilion & both signage 75.00 Rudy

Total Pavilion Management \$566.00

Media: Carolyn

- Flyers x 5 200.00 \$118.94 Metro Gov't
- Contact Neighborhoods, Community Bulletin Board 0.00 81.06 PEHA
- Signs for entrance/PE Booths 50.00

Total Media 250.00

Misc

- Garbage Bags 50.00
 - Breakfast for volunteers p 35.00
- \$85.00**

Total Festival Costs \$ 3704.48p

Vendors: Pat Daniels/Collette

Jita Snacks	30.00
Ada's Kitchen and Desserts	150.00
Bird Caterer	150.00
Water	100.00
Other Vendors x 3	120.00
Approximate Total	\$550.00

Grand Total: \$550.00

Section-4D

The Areas Highlighted in Yellow is what we are asking Metro Gov't to fund

2014 P. E. Jazz In The Park Festival Budget
July 12, 2014

	Projected Cost	Actual cost	Projected Income
• Rides: Larry			
• Inflatables	450.00		
Total Rides	\$ 450.00		\$ 0.00
<u>Entertainment: Collette</u>			
• Band Deposit			
a. Singer/entertainment	500.00		
b. DJ	60.00	62.54	
Total Entertainment	\$ 560.00	562.54	\$ 0.00
<u>Raffle Gifts: Donnie</u>			
• Gift Cards	200.00		
• Airline Ticket up to \$200.00	200.00		
• 50 in. Television	450.00	423.99	
• Ticket purchase/printing(1000)	44.95		\$ 2,000.00
Total Raffle Costs	\$ 894.95	868.94	
<u>Children: JoAnn/ Carolyn</u>			
• Face Painting x2	20.00		
• Balloon Man	175.00		
• Fireworks	400.00		
• Prizes	182.44		
Total Children Act.	\$777.00		
<u>Water, Ice, Storage & Delivery: Rudy</u>			
• Water for Festival Workers and volunteers	20.00		
• Purchase Ice wholesale	50.00		
• Soft drinks x 150 assorted	75.00	**	
Total Ice Costs	\$145.00		
<u>Pavilion Management</u>			
• Security x 2	\$ 200.00	** Byrd	
• Tents	100.00		
• Umbrellas	0.00		
• Porta Potty x 2	191.00	Bettye	

- Parking signage 0.00 Carletta
- Pavilion & both signage 75.00 Rudy

Total Pavilion Management \$566.00

Media: Carolyn

- Flyers x 5 200.00 \$118.94 Metro Gov't
- Contact Neighborhoods, Community Bulletin Board 0.00 81.06 PEHA
- Signs for entrance/PE Booths 50.00

Total Media 250.00

Misc

- Garbage Bags 50.00
 - Breakfast for volunteers p 35.00
- \$85.00**

Total Festival Costs \$ 3704.48p

Vendors: Pat Daniels/Collette

Jita Snacks	30.00
Ada's Kitchen and Desserts	150.00
Bird Caterer	150.00
Water	100.00
Other Vendors x 3	120.00
Approximate Total	\$550.00

Grand Total: \$550.00

The Areas Highlighted in Yellow is what we are asking Metro Gov't to fund

**2014 P. E. Jazz In The Park Festival Budget
July 12, 2014**

	<u>Projected Cost</u>	<u>Actual cost</u>	<u>Projected Income</u>
• Rides: Larry			
• Inflatables	450.00		
Total Rides	\$ 450.00		\$ 0.00
 <u>Entertainment: Collette</u>			
• Band Deposit			
a. Singer/entertainment	500.00		
b. DJ	60.00	62.54	
Total Entertainment	\$ 560.00	562.54	\$ 0.00
 <u>Raffle Gifts: Donnie</u>			
• Gift Cards	200.00		
• Airline Ticket up to \$200.00	200.00		
• 50 in. Television	450.00	423.99	
• Ticket purchase/printing(1000)	44.95		
Total Raffle Costs	\$ 894.95	868.94	\$ 2,000.00
 <u>Children: JoAnn/ Carolyn</u>			
• Face Painting x2	20.00		
• Balloon Man	175.00		
• Fireworks	400.00		
• Prizes	182.44		
Total Children Act.	\$777.00		
 <u>Water, Ice, Storage & Delivery: Rudy</u>			
• Water for Festival Workers and volunteers	20.00		
• Purchase Ice wholesale	50.00		
• Soft drinks x 150 assorted	75.00	**	
Total Ice Costs	\$145.00		
 <u>Pavilion Management</u>			
• Security x 2	\$ 200.00	**	Byrd
• Tents	100.00		
• Umbrellas	0.00		
• Porta Potty x 2	191.00		Bettye

• Parking signage	0.00	Carletta
• Pavilion & both signage	75.00	Rudy
Total Pavilion Management	\$566.00	
Media: Carolyn		
• Flyers x 5	200.00	\$118.94 Metro Gov't
• Contact Neighborhoods, Community Bulletin Board	0.00	81.06 PEHA
• Signs for entrance/PE Booths	50.00	
Total Media	250.00	
Misc		
• Garbage Bags	50.00	
• Breakfast for volunteers	p 35.00	
	\$85.00	

Total Festival Costs \$ 3704.48p

Vendors: Pat Daniels/Collette

Jita Snacks	30.00
Ada's Kitchen and Desserts	150.00
Bird Caterer	150.00
Water	100.00
Other Vendors x 3	120.00
Approximate Total	\$550.00

Grand Total: \$550.00

PETERSBURG ESTATES HOMEOWNER'S ASSOCIATION, INC.**General Information**

Organization Number	0089281
Name	PETERSBURG ESTATES HOMEOWNER'S ASSOCIATION, INC.
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
File Date	5/22/1978
Organization Date	5/22/1978
Last Annual Report	7/16/2014
Principal Office	CARLETTA BELL 4803 BOLD CT LOUISVILLE, KY 40218
Registered Agent	CAROLYN HAYES 4402 BRIDGEMAN CT LOUISVILLE, KY 40218

Current Officers

President	<u>CAROLYN HAYES</u>
Vice President	<u>HAL HILL</u>
Secretary	<u>CARLETTA BELL</u>
Treasurer	<u>RODERICK ALLEN</u>
Director	<u>LAWRENCE DUNN</u>
Director	<u>SUE ARNOLD</u>
Director	<u>COLLETTE CALDWELL</u>
Director	<u>SAM STREETER</u>
Director	<u>ANTHONY PERRY</u>

Individuals / Entities listed at time of formation

Director	<u>DARRYL W. WILSON</u>
Director	<u>THERESA PAYNE</u>
Director	<u>GWENDOLYN JEWELL</u>
Director	<u>JAMES WASHINGTON, JR.</u>
Director	<u>PAUL D. BATTLE, SR.</u>
Incorporator	<u>PAUL D. BATTLE, SR.</u>

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

<u>Reinstatement Certificate of Existence</u>	7/16/2014 12:40:42 PM	2 pages	<u>PDF</u>
---	-----------------------	---------	------------

Reinstatement	7/16/2014 12:39:20 PM	2 pages	PDF
Reinstatement Approval Letter Revenue	7/16/2014 11:26:16 AM	1 page	PDF
Principal Office Address Change	7/16/2014	1 page	tiff PDF
Registered Agent name/address change	7/16/2014	1 page	tiff PDF
Administrative Dissolution	9/28/2013	1 page	PDF
Annual Report	2/3/2012	1 page	tiff PDF
Annual Report	6/8/2011	1 page	tiff PDF
Principal Office Address Change	6/7/2011	1 page	tiff PDF
Annual Report	3/25/2010	1 page	tiff PDF
Annual Report	3/9/2009	1 page	tiff PDF
Annual Report	4/18/2008	1 page	tiff PDF
Reinstatement	7/13/2007	3 pages	tiff PDF
Annual Report	7/13/2007	1 page	tiff PDF
Statement of Change	7/13/2007	1 page	tiff PDF
Administrative Dissolution Return	11/29/2006	2 pages	tiff PDF
Administrative Dissolution	11/2/2006	1 page	PDF
Sixty Day Notice Return	9/26/2006	2 pages	tiff PDF
Annual Report	8/10/2005	1 page	tiff PDF
Statement of Change	3/10/2005	1 page	tiff PDF
Annual Report	7/17/2003	1 page	tiff PDF
Annual Report	8/23/2002	1 page	tiff PDF
Annual Report	7/23/2001	1 page	tiff PDF
Annual Report	8/25/2000	1 page	tiff PDF
Annual Report	8/23/1999	1 page	tiff PDF
Statement of Change	7/28/1999	1 page	tiff PDF
Annual Report	5/6/1998	1 page	tiff PDF
Annual Report	7/1/1997	1 page	tiff PDF
Annual Report	7/1/1996	1 page	tiff PDF
Annual Report	7/1/1995	1 page	tiff PDF
Annual Report	7/1/1994	1 page	tiff PDF
Annual Report	3/30/1993	1 page	tiff PDF
Annual Report	7/1/1992	1 page	tiff PDF
Annual Report	7/1/1991	1 page	tiff PDF
Amendment	12/5/1990	10 pages	tiff PDF
Sixty Day Notice	9/1/1990	1 page	tiff PDF
Annual Report	7/1/1990	2 pages	tiff PDF
Sixty Day Notice	9/1/1989	1 page	tiff PDF
Annual Report	9/1/1989	2 pages	tiff PDF
Statement of Change	12/6/1988	1 page	tiff PDF
Annual Report	7/1/1988	1 page	tiff PDF
Annual Report	7/1/1979	2 pages	tiff PDF
Articles of Incorporation	5/22/1978	6 pages	tiff PDF

Assumed Names

Activity History

Filing	File Date	Effective Date	Org. Referenced
Registered agent address change	7/16/2014 12:43:19 PM	7/16/2014	
Principal office change	7/16/2014 12:41:58 PM	7/16/2014	
Reinstatement	7/16/2014 12:40:37 PM	7/16/2014	
Application For Reinstatement	7/16/2014 10:18:26 AM	7/16/2014	
Admin Dis. A. report not in	9/28/2013	9/28/2013	
Annual report	2/3/2012 12:01:15 PM	2/3/2012	
Annual report	6/8/2011 4:01:16 PM	6/8/2011	
Principal office change	6/7/2011 12:55:54 PM	6/7/2011	
Annual report	3/25/2010 1:19:39 PM	3/25/2010	
Annual report	3/9/2009 8:25:53 AM	3/9/2009	
Annual report	4/18/2008 10:08:53 AM	4/18/2008	
Registered agent address change	7/13/2007 9:54:52 AM	7/13/2007	
Annual report	7/13/2007 9:52:50 AM	7/13/2007	
Reinstatement	7/13/2007 9:51:28 AM	7/13/2007	
Admin Dis. A. report not in	11/2/2006	11/2/2006	
Registered agent address change	3/10/2005 1:24:12 PM	3/10/2005	
Principal office change	5/27/2004 2:15:20 PM	5/27/2004	
Principal office change	6/17/2002 9:46:24 AM	6/17/2002	
Annual report	7/19/2000 9:05:54 AM	7/19/2000	
Registered agent address change	7/28/1999	7/28/1999	
Principal office change	6/15/1999	6/15/1999	
Amendment previous name	12/5/1990	12/5/1990	<u>PETERSBURG HOMEOWNERS ASSOCIATION, INC.</u>

Microfilmed Images

Microfilm images are not available online. They can be ordered by faxing a Request For Corporate Documents to the Corporate Records Branch at 502-564-5687.

Statement of Change

3/10/2005

1 page

Annual Report	7/26/2004	1 page
Annual Report	7/17/2003	1 page
Annual Report	8/23/2002	1 page
Annual Report	7/23/2001	1 page
Annual Report	8/25/2000	1 page
Annual Report	8/23/1999	1 page
Statement of Change	7/28/1999	1 page
Annual Report	5/6/1998	1 page
Annual Report	7/1/1997	1 page
Annual Report	7/1/1996	1 page
Annual Report	7/1/1995	1 page
Annual Report	7/1/1994	1 page
Annual Report	3/30/1993	1 page
Annual Report	7/1/1992	1 page
Annual Report	7/1/1991	1 page
Amendment	12/5/1990	9 pages
Sixty Day Notice	9/1/1990	1 page
Annual Report	7/1/1990	2 pages
Annual Report	9/1/1989	1 page
Sixty Day Notice	9/1/1989	1 page
Statement of Change	12/6/1988	1 page
Annual Report	7/1/1988	1 page
Annual Report	7/1/1979	2 pages
Articles of Incorporation	5/22/1978	5 pages

Request for Taxpayer Identification Number and Certification

Give form to the
requester. Do not
send to the IRS.

Print or type
See Specific Instructions on page 2.

Name (as shown on your income tax return) Petersburg Estates Homeowners Association	
Business name, if different from above	
Check appropriate box: <input type="checkbox"/> Individual/Sole proprietor <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Limited liability company. Enter the tax classification (D=disregarded entity, C=corporation, P=partnership) ▶ C <input type="checkbox"/> Exempt payee <input type="checkbox"/> Other (see instructions) ▶	
Address (number, street, and apt. or suite no.) P. O. Box 39474	Requester's name and address (optional)
City, state, and ZIP code Louisville, Kentucky 40232-9474	
List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on Line 1 to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Social security number
OR

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. citizen or other U.S. person (defined below).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. See the instructions on page 4.

Sign Here	Signature of U.S. person ▶	Date ▶ 7/10/2014
------------------	----------------------------	-------------------------

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

- The U.S. owner of a disregarded entity and not the entity,