


**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

Applicant/Program: Metro United Way , Inc/ Black Male Achievement Innovation Awards

Executive Summary of Request:
 Metro United Way has joined the Black Male Achievement (BMA) initiative , a national movement to improve outcomes for all communities by addressing persistent opportunity gaps facing African American males. Through the BMA Innovation Awards,Metro United Way will award small grants to local programs seeking to improve life outcomes for African American males . Black males consistently live shorter, poorer quality lives with fewer opportunities than many of their counterparts across racial and ethnic groups. Research shows that only 12% of African American boys in the fourth grade are proficient in reading ,Also black males are far more likely to be suspended or expelled from high school than their peers,and students who are expelled or suspended are up to 10 times more likely to drop out of school .#1 Funding for this program will be used for qualified programs to achieve three results . Engage grassroots organizations that positively influence black males ages 0-18 within the Zones of Hope priority neighborhoods (California,Russell,Newburg,Parkland and Shawnee) through effective programming and supporting interventions that align with my brothers keepers initiative. #2 Provide opportunities for mutual learning #3 Uncover promising practices in our community that change the odds for African American males and produce tools that advance lessons learned .

Is this program/project a fundraiser?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Is this applicant a faith based organization?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Does this application include funding for sub-grantee(s)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

<u>1</u>		<u>\$ 5,000⁰⁰</u>	<u>8/29/2015</u>
District #	Council Member Signature	Amount	Date

Primary Sponsor Disclosure
 List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.
 Councilwoman Jessica Green (Board Member)

Approved by:

_____	_____
Appropriations Committee Chairman	Date

Clerk's Office Only:

Request Amount: _____ Committee Amended Appropriation: _____

Original Appropriation: _____ Council Amended Appropriation: _____

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION CHECKLIST

Legal Name of Applicant Organization: Metro United Way / Black Male Achievement Awards

Program Name and Request Amount: Black Male Achievement Awards \$15,000.00

	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	<input type="checkbox"/> Yes
Is the funding proposed by Council Member(s) less than or equal to the request amount?	<input type="checkbox"/> ...
Is the proposed public purpose of the program viable and well-documented?	<input type="checkbox"/> Yes
Will all of the funding go to programs specific to Louisville/Jefferson County?	<input type="checkbox"/> Yes
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	<input type="checkbox"/> Yes
Has prior Metro Funds committed/granted been disclosed?	<input type="checkbox"/> Yes
Is the application properly signed and dated by authorized signatory?	<input type="checkbox"/> Yes
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	<input type="checkbox"/> Yes
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	<input type="checkbox"/> No
Is the entity in good standing with: <ul style="list-style-type: none"> • Kentucky Secretary of State? • Louisville Metro Revenue Commission? • Louisville Metro Government? • Internal Revenue Service? • Louisville Metro Human Relations Commission? 	<input type="checkbox"/> Yes
Is the current Fiscal Year Budget included?	<input type="checkbox"/> Yes
Is the entity's board member list (with term length/term limits) included?	<input type="checkbox"/> Yes
Is recommended funding less than 33% of total agency operating budget?	<input type="checkbox"/> No
Does the application budget reflect only the revenue and expenses of the project/program?	<input type="checkbox"/> No
Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?	<input type="checkbox"/> No
Is the most recent annual audit (if required by organization) included?	<input type="checkbox"/> Yes
Is a copy of Signed Lease (if rent costs are requested) included?	<input type="checkbox"/> No
Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?	<input type="checkbox"/> No
Are the Articles of Incorporation of the Agency included?	<input type="checkbox"/> No
Is the IRS Form W-9 included?	<input type="checkbox"/> Yes
Is the IRS Form 990 included?	<input type="checkbox"/> Yes
Are the evaluation forms (if program participants are given evaluation forms) included?	<input type="checkbox"/> No
Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?	<input type="checkbox"/> Yes
Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?	<input type="checkbox"/> Yes

Prepared by:

Handwritten signature: Kelly K...

Date:

Handwritten date: 8/29/15

Applicant/Program: Metro United Way (BMA)

Additional Disclosure and Signatures

Additional Council Office Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

N/A

<u>District #</u>	<u>Council Member Signature</u>	<u>Amount</u>	<u>Date</u>
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<u>District #</u>	<u>Council Member Signature</u>	<u>Amount</u>	<u>Date</u>



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
Legal Name of Applicant Organization:		Metro United Way, Inc.	
<i>(as listed on: http://www.sos.ky.gov/business/records)</i>			
Main Office Street & Mailing Address: 334 East Broadway, Louisville, KY 40202			
Website: www.metrounitedway.org			
Applicant Contact:	Janet Boyd	Title:	Senior Grants Manager
Phone:	(502) 292-6229	Email:	Janet.Boyd@metrounitedway.org
Financial Contact:	Lisa Breit	Title:	Accounting Director
Phone:	(502) 292-6122	Email:	Lisa.Breit@metrounitedway.org
Organization's Representative who attended NDF Training: Lisa Breit			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s):	Louisville		
Council District(s):	All	Zip Code(s):	All
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: Black Male Achievement Innovation Awards			
Total Request: (\$)	\$15,000	Total Metro Award (this program) in previous year: (\$)	\$0
Purpose of Request (check all that apply):			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget) <input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
The Following are Required Attachments:			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current Year Projected Budget <input checked="" type="checkbox"/> List of Board of Directors (include term & term limits) <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input checked="" type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if required <input checked="" type="checkbox"/> Staff including the 3 highest paid staff	
For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
Source:	Partnership Grant (2-1-1)	Amount: (\$)	\$35,000
Source:		Amount: (\$)	
Source:		Amount: (\$)	
Has the applicant contacted the BBB Charity Review for participation? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

Metro United Way's vision is a community whose people achieve their fullest potential through education, financial stability, and healthy lives. Our mission is to improve lives and our community by engaging people to give, advocate, and volunteer. We use funding collected from our Louisville regional community and six other counties – Bullitt, Oldham, and Shelby counties in Kentucky, and Clark, Floyd, and Harrison counties in Southern Indiana – to support our four community aspirations.

1. All children enter kindergarten ready to learn.
2. All youth complete high school on time prepared for college, work, and life.
3. Parents and caregivers have the knowledge, skills, and abilities to maintain family stability and help their children succeed.
4. Sustain a network of services that address basic and core needs.

In addition to supporting programs operated by regional non-profit agencies, Metro United Way also conducts programs of its own, including 2-1-1 (a call center for basic needs); the Excellence Academy and Ages and Stages Questionnaire, both of which seek to increase the quality of early care and education; the Youth Program Quality Intervention (YPQI) initiative, which seeks to increase the quality of out-of-school time programs across the region; and the Black Male Achievement initiative which is designed to address opportunity gaps for African American males.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 - PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

The Black Male Achievement Innovation Awards request for proposals process began on July 22, 2015, with a deadline to submit proposals by August 24, 2015. The notification of awards will take place in October 2015. Grantees will then be asked to report their program results after three, six, and twelve months.

Metro United Way has joined the Black Male Achievement (BMA) initiative, a national movement to improve outcomes for all communities by addressing persistent opportunity gaps facing African American males. Through the BMA Innovation Awards, Metro United Way will award small grants to local programs seeking to improve life outcomes for African American males. Black males consistently live shorter, poorer quality lives with fewer opportunities than many of their counterparts across racial and ethnic groups. Research shows that only 12 percent of African American boys in the fourth grade are proficient in reading. Also, black males are far more likely to be suspended or expelled from high school than their peers, and students who are expelled or suspended are up to 10 times more likely to drop out of school.

By providing funding to qualified programs, the Black Male Achievement Innovation Awards will achieve three results:

1. Engage grassroots organizations that positively influence black males ages 0-18 within the Zones of Hope priority neighborhoods (California, Russell, Newburg, Parkland, and Shawnee) through effective programming and supporting interventions that align with the My Brother's Keeper initiative's six milestones:
 - a. Entering school ready to learn
 - b. Reading at grade level by third grade
 - c. Graduating from high school ready for college and career
 - d. Completing post-secondary education or training
 - e. Successfully entering the workforce
 - f. Reducing violence and providing a second chance
2. Provide opportunities for mutual learning to demonstrate the value and efficacy of grassroots programming while also addressing the gap in capacity-building support that exists throughout the Black Male Achievement field locally.
3. Uncover promising practices in our community that change the odds for African American males and produce tools that advance lessons learned.

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):

The specific use of the Metro Council funds will be to award sub-grants of up to \$5,000 to qualified organizations that show a desire to provide strong results for African American boys and men, but that may lack the organizational infrastructure to achieve these results on their own. Agencies with out-of-school time programs and performing arts organizations are examples of groups that will be applying for the BMA Innovation Awards grant. Organizations applying for the grant will be evaluated based on the following criteria:

- Level of experience serving African American males ages 0-18 in Zones of Hope priority neighborhoods (California, Russell, Newburg, Parkland, and Shawnee)
- Demonstration of critical distinguishing characteristics of a grassroots organization
- Existence of working agreements or partnerships with relevant stakeholders
- Power of measureable indicators that demonstrate clear progress towards the desired results
- Agency is an IRS certified nonprofit organization or has an agreement with a fiscal sponsor
- Agency has a proven service provision track record in the community and an operating budget of \$250,000 or less

Funds awarded by the Louisville Metro Council will be added to a pool of \$50,000 already secured by Metro United Way. Funds awarded to sub-grantees may be spent on:

- Instructional materials/program resources
- Trainings/certifications
- Recruitment efforts
- Rewards/incentives
- Professional services
- Staff – only if there is a direct connection to a youth outcome and that can be demonstrated (for example, new seasonal or summer employees, such as college students who directly assist with the educational programs for youth)

JB



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

N/A

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

Outcome 1: Community capacity to provide quality opportunities for young African American males increases.
Measure 1: Number of sub-grantees awarded.

Outcome 2: Funded grassroots organizations provide high quality positive experiences for black males ages 0-18.
Measure 2: Results of YPQI, SAYO, and ASQ participation

Outcome 3: Awarded sub-grantees benefit from opportunities for mutual learning.
Measure 3: Number of sub-grantees that regularly participate in cohort training and cross learning experiences.

Participants of the organizations that are awarded a grant will have access to helpful tools and resources throughout the length of the program. Organizations serving school age youth will have access to and be required to participate in the Survey of Academic Youth Outcomes (SAYO) and/or Youth Program Quality Intervention (YPQI). SAYO is used to measure and track the impact of the out-of-school time programs on the youth served. Using YPQI, organizations can benchmark their level of quality and are provided resources and technical assistance to make improvements based on results. Awardees serving pre-kindergarten youth will have access to and be required to utilize the Ages and Stages Questionnaire (ASQ). The ASQ helps parents and caregivers better understand how their child is developing by identifying strengths and discovering areas where a child might need assistance.

Once the grants are awarded, all awardees will be required to track, measure, and share results, as well as meet with other grantees as part of a cohort to share learning and best practices up to six times per year. Grantees will also be required to report back their results to Metro United Way after three, six, and twelve months.

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

Metro United Way brings the community together to change the odds for kids and families. We partner with other individuals and organizations from all across the area who bring the passion, expertise, and resources needed to change those odds. Some of our strategic partners include Jefferson County Public Schools (JCPS), Louisville Metro Government, YMCA, and Family and Children's Place.

Representatives from those organizations and others serve on Metro United Way's Black Male Achievement steering committee. Members of the BMA steering committee will serve as ambassadors for the Black Male Achievement Innovation Initiative in the community. The BMA steering committee will review all of the incoming grants and select the grant awardees.

Black Male Achievement Steering Committee Members:

- Rashaad Abdur-Rahman- Family and Children's Place
- Delquan Dorsey- Governor's Office of Minority Empowerment
- Whitney Franklin- Community Member
- Chanda Glover- Humana
- Jessica Green- Metro Council Member
- Audwin Helton- Spatial Data Integrations, Inc.
- Michele Hemenway- Community Member
- Jennifer Hurley- Neighborhood House
- John Marshall- Jefferson County Public Schools
- Paul McKim- YMCA Greater Louisville
- Josh Rose- Attorney with Hummel, Coan, Miller, Sage, and Rose
- Deborah Williams- PNC Bank
- Dr. Eddie Woods- Neighborhood House



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
A: Personnel Costs Including Benefits			
B: Rent/Utilities			
C: Office Supplies			
D: Telephone			
E: In-town Travel			
F: Client Assistance (Attach Detailed List)			
G: Professional Service Contracts			
H: Program Materials			
I: Community Events & Festivals (Attach Detail List)			
J: Machinery & Equipment			
K: Capital Project			
L: Other Expenses (Attach Detail List)	\$15,000	\$50,000	\$65,000
*TOTAL PROGRAM/PROJECT FUNDS	\$15,000	\$50,000	\$65,000
% of Program Budget	23 %	77 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	\$50,000
Private Contributions (do not include individual donor names)	
Fees Collected from Program Participants	
Other (please specify)	
Total Revenue for Column 2 Expenses **	\$50,000

***Total of Column 1 MUST match "Total Request on Page 1, Section 2"**

****Must equal or exceed total in column 2.**



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
<i>Total Value of In-Kind (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)</i>		

*** DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK**

Agency Fiscal Year Start Date: **May 1**

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO . YES

If YES, please explain:



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.

Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

SECTION 7 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:		Date:	8/13/2015
Legal Signatory: (please print):	Joseph P. Tolan	Title:	President & CEO
Phone:	(502) 292-6232	Extension:	
Email:	Joe.Tolan@metrounitedway.org		

Black Male Achievement Innovation Awards Program/Project Budget

L: Other Expenses

The requested funds from Metro Council (\$15,000) will be used to award sub-grants to community organizations that support Black Male Achievement. It will be added to the \$50,000 already secured by Metro United Way.

Return of Organization Exempt From Income Tax

OMB No. 1545-0047

2013

Open to Public Inspection

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990 and its instructions is at www.irs.gov/form990.

Department of the Treasury
Internal Revenue Service

A For the 2013 calendar year, or tax year beginning **05/01**, 2013, and ending **04/30**, 2014

B Check if applicable:
 Address change
 Name change
 Initial return
 Terminated
 Amended return
 Application pending

C Name of organization **METRO UNITED WAY INC**
 Doing Business As
 Number and street (or P.O. box if mail is not delivered to street address) Room/suite
334 East Broadway
 City or town, state or province, country, and ZIP or foreign postal code
Louisville, KY 40202

D Employer identification number
 [REDACTED]

E Telephone number
502-583-2821

F Name and address of principal officer: **Joseph Tolan**
334 East Broadway, Louisville, KY 40202

G Gross receipts \$ **28,492,741**

H(a) Is this a group return for subordinates? Yes No
H(b) Are all subordinates included? Yes No
 If "No," attach a list. (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status: 501(c)(3) 501(c) () ◀ (insert no.) 4947(a)(1) or 527

J Website: ▶ **www.metro unitedway.org**

K Form of organization: Corporation Trust Association Other ▶

L Year of formation: **1917**

M State of legal domicile: **KY**

Part I Summary

Activities & Governance	1	Briefly describe the organization's mission or most significant activities: Metro United Way's mission is to improve lives and our community by engaging people to give, advocate and volunteer.		
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3	Number of voting members of the governing body (Part VI, line 1a) :	3	31
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	31
	5	Total number of individuals employed in calendar year 2013 (Part V, line 2a)	5	87
	6	Total number of volunteers (estimate if necessary)	6	139,004
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0	
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year 30,702,470	Current Year 27,413,104
	9	Program service revenue (Part VIII, line 2g)	301,085	293,314
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	290,757	188,956
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	24,875	14,854
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	31,319,187	27,910,228
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	20,946,267	18,578,850
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	4,918,904	5,193,832
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0	0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 3,036,715		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	4,254,741	4,310,646
18	Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)	30,119,912	28,083,328	
19	Revenue less expenses. Subtract line 18 from line 12	1,199,275	-173,100	
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	Beginning of Current Year 29,072,877	End of Year 28,082,343
	21	Total liabilities (Part X, line 26)	14,829,820	12,526,771
	22	Net assets or fund balances. Subtract line 21 from line 20	14,243,057	15,555,572

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer: **Phillip Bond, CFO**
 Date: _____
 Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name: _____ Preparer's signature: _____ Date: _____ Check if self-employed PTIN: _____
 Firm's name ▶: _____ Firm's EIN ▶: _____
 Firm's address ▶: _____ Phone no.: _____

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1 Briefly describe the organization's mission:

Our mission is to improve lives and our community by engaging people to give, advocate and volunteer. Metro United Way's vision is a community whose people achieve their fullest potential through education, financial stability and healthy lives - the building blocks for a good quality of life. We believe we can reach our ambitious goals by being outcome-driven and focused on our
(Continued on Schedule O, Statement 1)

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? Yes No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? Yes No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 10,510,549 including grants of \$ 8,087,549) (Revenue \$ 0)

Education: When our children are ready for kindergarten and stay on track to graduation, they are better prepared for the future and contribute to our communities. Metro United Way's Excellence Academy early learning centers strengthen the skills of preschool teachers and improve their classroom environments so that our children learn to love learning. Recent findings suggest that students who participate in the Excellence Academy score higher on measures of physical, language cognitive and socio-emotional competencies compared to their peers. Families enrolled in our Ages & Stages Questionnaires program are helped to measure their child's developmental progress so that children who would benefit from early intervention are identified and connected with the resources to make sure they don't start school already behind; over 94% of parents reported the information they receive is helpful. The Louisville Out-of-School Time (OST) Coordinating Council partnership with Metro United Way, Louisville Metro Government, and Jefferson County Public Schools helped over 29,400 youth access quality OST programs that encourage them to stay in school, remain on grade level and graduate from high school on time.

4b (Code:) (Expenses \$ 4,072,885 including grants of \$ 2,755,384) (Revenue \$ 0)

Financial Stability: When families have the tools to be financially independent, neighborhoods become stronger, our schools improve and our businesses prosper. Through 25 Volunteer Income Tax Assistance sites, last year 18,000 families secured \$6.5 million in earned income tax credit and nearly \$25 million in total tax refunds, helping families with their financial stability with benefits that ripple throughout our community. Partnering with Bank On Louisville, over 6,500 individuals participated in financial education courses to enhance their ability to manage their finances. Over 4,500 individuals were helped in their ability to secure decent employment, supported through workforce development activities from our partnership with Louisville Urban League and other community services.

4c (Code:) (Expenses \$ 3,495,633 including grants of \$ 2,813,061) (Revenue \$ 0)

Healthy Lives: When our needs are met, we are healthier, more financially stable, our kids do better in school and our communities thrive. Overall, over 129,000 people volunteered through Metro United Way in the past year, giving over 466,488 hours of service to our community that represents over \$9 million in donated time. Our 2-1-1 "help" referral service assisted more than 70,000 people to find the help they needed for a wide range of services, including utility, rent and food assistance - many for the first time. Since 2006, 2-1-1 has helped over 375,000 people all across our community to be connected to the resources they need. We invested funds in over 60 service organizations working to improve the quality of lives for everyone in our community by providing assistance to meet their basic needs.

4d Other program services (Describe in Schedule O.) See Schedule O, Statement 2
(Expenses \$ 5,167,326 including grants of \$ 4,922,856) (Revenue \$ 308,168)

4e Total program service expenses **23,246,393**

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	<input type="checkbox"/>	<input checked="" type="checkbox"/>
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	<input type="checkbox"/>	<input checked="" type="checkbox"/>
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	<input type="checkbox"/>	<input checked="" type="checkbox"/>
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	<input type="checkbox"/>	<input checked="" type="checkbox"/>
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	<input type="checkbox"/>	<input checked="" type="checkbox"/>
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	<input type="checkbox"/>	<input checked="" type="checkbox"/>
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	<input checked="" type="checkbox"/>	<input type="checkbox"/>
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	<input type="checkbox"/>	<input checked="" type="checkbox"/>
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	<input type="checkbox"/>	<input checked="" type="checkbox"/>
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	<input type="checkbox"/>	<input checked="" type="checkbox"/>
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	<input checked="" type="checkbox"/>	<input type="checkbox"/>
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	<input checked="" type="checkbox"/>	<input type="checkbox"/>
12 a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	<input type="checkbox"/>	<input checked="" type="checkbox"/>
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	<input type="checkbox"/>	<input checked="" type="checkbox"/>
14 a Did the organization maintain an office, employees, or agents outside of the United States?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	<input type="checkbox"/>	<input checked="" type="checkbox"/>
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	<input type="checkbox"/>	<input checked="" type="checkbox"/>
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	<input type="checkbox"/>	<input checked="" type="checkbox"/>
20 a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	<input type="checkbox"/>	<input type="checkbox"/>

Part IV Checklist of Required Schedules (continued)

		Yes	No
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	✓	
22	Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	✓	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	✓	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>		✓
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		✓
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		✓
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If so, complete Schedule L, Part II</i>		✓
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		✓
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		✓
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		✓
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>		✓
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	✓	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		✓
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		✓
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		✓
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		✓
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>		✓
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?		✓
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>		
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		✓
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		✓
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	✓	

Part V **Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response or note to any line in this Part V

		Yes	No		
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a	61		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	✓		
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	87		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	2b	✓		
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a		✓	
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O	3b			
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		✓	
b	If "Yes," enter the name of the foreign country: ▶ _____ See Instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.				
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		✓	
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		✓	
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c			
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a		✓	
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b			
7	Organizations that may receive deductible contributions under section 170(c).				
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a		✓	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b			
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c		✓	
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		✓	
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		✓	
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g			
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h			
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8			
9	Sponsoring organizations maintaining donor advised funds.				
a	Did the organization make any taxable distributions under section 4966?	9a			
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b			
10	Section 501(c)(7) organizations. Enter:				
a	Initiation fees and capital contributions included on Part VIII, line 12	10a			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b			
11	Section 501(c)(12) organizations. Enter:				
a	Gross income from members or shareholders	11a			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b			
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a			
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b			
13	Section 501(c)(29) qualified nonprofit health insurance issuers.				
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O	13a			
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b			
c	Enter the amount of reserves on hand	13c			
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a		✓	
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b			

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Check if Schedule O contains a response or note to any line in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.		
	1a 31		
b	Enter the number of voting members included in line 1a, above, who are independent		
	1b 31		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		<input checked="" type="checkbox"/>
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?		<input checked="" type="checkbox"/>
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		<input checked="" type="checkbox"/>
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		<input checked="" type="checkbox"/>
6	Did the organization have members or stockholders?		<input checked="" type="checkbox"/>
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		<input checked="" type="checkbox"/>
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		<input checked="" type="checkbox"/>
7b			
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a	The governing body?	<input checked="" type="checkbox"/>	
8a			
b	Each committee with authority to act on behalf of the governing body?	<input checked="" type="checkbox"/>	
8b			
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		<input checked="" type="checkbox"/>
9			

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?		<input checked="" type="checkbox"/>
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
10b			
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	<input checked="" type="checkbox"/>	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	<input checked="" type="checkbox"/>	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	<input checked="" type="checkbox"/>	
12b			
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	<input checked="" type="checkbox"/>	
12c			
13	Did the organization have a written whistleblower policy?	<input checked="" type="checkbox"/>	
14	Did the organization have a written document retention and destruction policy?	<input checked="" type="checkbox"/>	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official	<input checked="" type="checkbox"/>	
15a			
b	Other officers or key employees of the organization		<input checked="" type="checkbox"/>
15b			
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		<input checked="" type="checkbox"/>
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		
16b			

Section C. Disclosure

- 17** List the states with which a copy of this Form 990 is required to be filed ► IN, KY
- 18** Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
 Own website Another's website Upon request Other (explain in Schedule O)
- 19** Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
- 20** State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ► Phillip Bond, (502)292-6121

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
Joseph L Brown	2									
Chair	0	✓					0	0	0	
Jane C Morreau	1									
Chair - Elect	0	✓					0	0	0	
Barry R Pennybaker	2									
Treasurer	0	✓					0	0	0	
J Barry Barker	1									
Director	0	✓					0	0	0	
Jeffery A Been	1									
Director	0	✓					0	0	0	
Marsha Biven	1									
Director	0	✓					0	0	0	
Kent Blake	1									
Director	0	✓					0	0	0	
Christopher L Bottorff	1									
Director	0	✓					0	0	0	
David L Bowling	1									
Director	0	✓					0	0	0	
Campbell Boyer	1									
Director	0	✓					0	0	0	
Robert W Bracy	1									
Director	0	✓					0	0	0	
Christopher S Burnside	1									
Director	0	✓					0	0	0	
Dr Julie Temes Ellis	1									
Director	0	✓					0	0	0	
Christine A Harbeson	1									
Director	0	✓					0	0	0	

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
Chris Hermann	1									
Director	0	✓					0	0	0	
Lynn Howard	1									
Director	0	✓					0	0	0	
Joni Jenkins	1									
Director	0	✓					0	0	0	
Thomas Johnson	1									
Director	0	✓					0	0	0	
Beverly Keepers	1									
Director	0	✓					0	0	0	
Gail Lytle	1									
Director	0	✓					0	0	0	
Anthony L Newberry	1									
Director	0	✓					0	0	0	
Alan D Shepard	1									
Director	0	✓					0	0	0	
Tim Snavely	1									
Director	0	✓					0	0	0	
Joel Stone	1									
Director	0	✓					0	0	0	
William D Stout	1									
Director	0	✓					0	0	0	
Justin M Suer	1									
Director	0	✓					0	0	0	
Robert L Taylor	1									
Director	0	✓					0	0	0	
Pattie Dale Tye	1									
Director	0	✓					0	0	0	

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations	
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former				
Vincent Walker Director	1 0	<input checked="" type="checkbox"/>						0	0	0	
Anne S Wilhelmus Director	1 0	<input checked="" type="checkbox"/>						0	0	0	
Deborah B Williams Director	1 0	<input checked="" type="checkbox"/>						0	0	0	
Joseph P Tolan President, CEO and Board Secretary	50 0			<input checked="" type="checkbox"/>				220,550	0	39,285	
Phillip Bond Vice President and CFO	50 0			<input checked="" type="checkbox"/>				118,728	0	52,765	
Gilbert Betz Chief Strategic Officer	50 0					<input checked="" type="checkbox"/>		116,710	0	29,609	
1b Sub-total								455,988	0	121,659	
c Total from continuation sheets to Part VII, Section A											
d Total (add lines 1b and 1c)								455,988	0	121,659	

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **▶ 3**

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		<input checked="" type="checkbox"/>
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	<input checked="" type="checkbox"/>	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		<input checked="" type="checkbox"/>

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **▶ 0**

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns	1a 937,751					
	b Membership dues	1b 0					
	c Fundraising events	1c 0					
	d Related organizations	1d 0					
	e Government grants (contributions)	1e 0					
	f All other contributions, gifts, grants, and similar amounts not included above	1f 26,475,353					
	g Noncash contributions included in lines 1a-1f: \$	231,522					
	h Total. Add lines 1a-1f		27,413,104				
Program Service Revenue	2a Rental income from affiliates		Business Code				
		531120	39,548	39,548	0	0	
	b Administrative and HR services	541200	253,766	253,766	0	0	
	c _____						
	d _____						
	e _____						
	f All other program service revenue		0	0	0	0	
g Total. Add lines 2a-2f		293,314					
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		197,095	0	0	197,095	
	4 Income from investment of tax-exempt bond proceeds		0	0	0	0	
	5 Royalties		0	0	0	0	
	6a Gross rents	(i) Real	0				
		(ii) Personal	0				
		b Less: rental expenses	0				
		c Rental income or (loss)	0				
	d Net rental income or (loss)		0	0	0	0	
	7a Gross amount from sales of assets other than inventory	(i) Securities	574,374				
		(ii) Other	0				
		b Less: cost or other basis and sales expenses	582,513				
		c Gain or (loss)	-8,139				
	d Net gain or (loss)		-8,139	0	0	-8,139	
	8a Gross income from fundraising events (not including \$ 0 of contributions reported on line 1c). See Part IV, line 18	a _____					
		b Less: direct expenses	b _____				
c Net income or (loss) from fundraising events							
9a Gross income from gaming activities. See Part IV, line 19	a _____						
	b Less: direct expenses	b _____					
	c Net income or (loss) from gaming activities						
10a Gross sales of inventory, less returns and allowances	a _____						
	b Less: cost of goods sold	b _____					
	c Net income or (loss) from sales of inventory						
Miscellaneous Revenue		Business Code					
11a Miscellaneous fees and reimburseme	900099	14,854	14,854	0	0		
b _____							
c _____							
d All other revenue		0	0	0	0		
e Total. Add lines 11a-11d		14,854					
12 Total revenue. See instructions.		27,910,228	308,168	0	188,956		

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.

	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	17,581,629	17,581,629		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22	997,221	997,221		
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16	0	0		
4 Benefits paid to or for members	0	0		
5 Compensation of current officers, directors, trustees, and key employees	433,605	91,479	250,647	91,479
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0	0	0	0
7 Other salaries and wages	3,674,229	1,552,244	667,558	1,454,427
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	504,785	187,425	100,507	216,853
9 Other employee benefits	296,546	105,463	76,801	114,282
10 Payroll taxes	284,667	103,332	61,444	119,891
11 Fees for services (non-employees):				
a Management	0	0	0	0
b Legal	1,921	1,720	201	0
c Accounting	44,300	0	34,150	10,150
d Lobbying	0	0	0	0
e Professional fundraising services. See Part IV, line 17	0			0
f Investment management fees	0	0	0	0
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)	1,885,410	1,883,400	103,767	98,243
12 Advertising and promotion	143,516	33,451	12,103	97,962
13 Office expenses	471,641	216,191	74,217	181,233
14 Information technology	381,492	116,340	108,773	156,379
15 Royalties	0	0	0	0
16 Occupancy	335,539	114,867	84,444	136,228
17 Travel	147,516	101,904	11,196	34,416
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0	0	0	0
19 Conferences, conventions, and meetings	266,476	171,278	28,985	66,213
20 Interest	0	0	0	0
21 Payments to affiliates	368,234	110,470	106,788	150,976
22 Depreciation, depletion, and amortization	219,801	65,940	63,743	90,118
23 Insurance	31,026	8,808	10,651	11,567
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a Membership dues	7,613	1,874	2,302	3,437
b Miscellaneous Expenses	6,161	1,357	1,943	2,861
c				
d				
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	28,083,328	23,246,393	1,800,220	3,036,715
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)				

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

		(A) Beginning of year		(B) End of year
Assets	1 Cash—non-interest-bearing	0	1	0
	2 Savings and temporary cash investments	3,799,198	2	3,454,481
	3 Pledges and grants receivable, net	14,079,431	3	13,307,058
	4 Accounts receivable, net	619,753	4	580,997
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L	0	5	0
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L	0	6	0
	7 Notes and loans receivable, net	0	7	0
	8 Inventories for sale or use	0	8	0
	9 Prepaid expenses and deferred charges	0	9	0
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	6,244,761		
	b Less: accumulated depreciation	3,610,463		
	11 Investments—publicly traded securities	7,852,405	11	8,105,509
	12 Investments—other securities. See Part IV, line 11	0	12	0
	13 Investments—program-related. See Part IV, line 11	0	13	0
	14 Intangible assets	0	14	0
	15 Other assets. See Part IV, line 11	0	15	0
16 Total assets. Add lines 1 through 15 (must equal line 34)	29,072,877	16	28,082,343	
Liabilities	17 Accounts payable and accrued expenses	3,638,036	17	3,045,381
	18 Grants payable	10,084,483	18	9,417,522
	19 Deferred revenue	0	19	0
	20 Tax-exempt bond liabilities	0	20	0
	21 Escrow or custodial account liability. Complete Part IV of Schedule D	0	21	0
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L	0	22	0
	23 Secured mortgages and notes payable to unrelated third parties	0	23	0
	24 Unsecured notes and loans payable to unrelated third parties	0	24	0
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	1,107,301	25	63,868
	26 Total liabilities. Add lines 17 through 25	14,829,820	26	12,526,771
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	8,074,843	27	9,365,481
	28 Temporarily restricted net assets	4,616,103	28	4,599,113
	29 Permanently restricted net assets	1,552,111	29	1,590,978
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	14,243,057	33	15,555,572
34 Total liabilities and net assets/fund balances	29,072,877	34	28,082,343	

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	27,910,228
2	Total expenses (must equal Part IX, column (A), line 25)	2	28,083,328
3	Revenue less expenses. Subtract line 2 from line 1	3	-173,100
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	14,243,057
5	Net unrealized gains (losses) on investments	5	519,306
6	Donated services and use of facilities	6	0
7	Investment expenses	7	0
8	Prior period adjustments	8	0
9	Other changes in net assets or fund balances (explain in Schedule O)	9	966,309
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	15,555,572

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

- 1** Accounting method used to prepare the Form 990: Cash Accrual Other _____
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant? . . .
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:
 Separate basis Consolidated basis Both consolidated and separate basis
- b** Were the organization's financial statements audited by an independent accountant?
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:
 Separate basis Consolidated basis Both consolidated and separate basis
- c** If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

	Yes	No
2a		✓
2b	✓	
2c	✓	
3a		✓
3b		

SCHEDULE A
(Form 990 or 990-EZ)

Public Charity Status and Public Support

OMB No. 1545-0047

2013

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

Department of the Treasury
Internal Revenue Service

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Open to Public Inspection

Name of the organization METRO UNITED WAY INC	Employer identification number [REDACTED]
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Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
- 3 A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: _____
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 A community trust described in **section 170(b)(1)(A)(vii)**. (Complete Part II.)
- 9 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 10 An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.
 - a Type I b Type II c Type III—Functionally integrated d Type III—Non-functionally integrated
 - e By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
 - f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
 - g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	Yes	No
(ii) A family member of a person described in (i) above?	11g(ii)	11g(iii)
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	11g(iii)	11g(iii)
 - h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions);	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
(A)									
(B)									
(C)									
(D)									
(E)									
Total									

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	24,615,241	27,600,487	27,764,717	30,702,470	27,413,104	138,096,019
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf	0	0	0	0	0	0
3 The value of services or facilities furnished by a governmental unit to the organization without charge	0	0	0	0	0	0
4 Total. Add lines 1 through 3	24,615,241	27,600,487	27,764,717	30,702,470	27,413,104	138,096,019
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						39,018,617
6 Public support. Subtract line 5 from line 4.						99,077,402

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4	24,615,241	27,600,487	27,764,717	30,702,470	27,413,104	138,096,019
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	225,511	214,820	178,405	152,789	197,195	968,720
9 Net income from unrelated business activities, whether or not the business is regularly carried on	0	0	0	0	0	0
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)	0	81,628	36,074	24,875	14,854	157,431
11 Total support. Add lines 7 through 10						139,222,170
12 Gross receipts from related activities, etc. (see instructions)					12	1,148,223
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ▶ <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f))	14	71.16 %
15 Public support percentage from 2012 Schedule A, Part II, line 14	15	72.26 %
16a 33 1/3% support test—2013. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶ <input checked="" type="checkbox"/>		
b 33 1/3% support test—2012. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>		
17a 10%-facts-and-circumstances test—2013. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>		
b 10%-facts-and-circumstances test—2012. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ <input type="checkbox"/>		

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to, or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2012 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2012 Schedule A, Part III, line 17	18	%
19a 33 1/3% support tests—2013. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
b 33 1/3% support tests—2012. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions <input type="checkbox"/>		

Part IV **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Schedule A, Part II, Line 10 - These amounts represent miscellaneous reimbursements that help offset expenses incurred for various Metro United Way events and other minor expenses, such as the use of Metro United Way postage and copier machines by others, and distribution of promotional T-shirts. They also represent health care insurance rebates that were used to offset expenses to increase awareness of healthy lifestyles for Metro United Way employees.

Lined area for supplemental information.

SCHEDULE D (Form 990)

Supplemental Financial Statements

OMB No. 1545-0047

2013

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Complete if the organization answered "Yes" to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. Attach to Form 990.

Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

Employer identification number

METRO UNITED WAY INC

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

Table with 2 columns: (a) Donor advised funds, (b) Funds and other accounts. Rows include: 1 Total number at end of year, 2 Aggregate contributions to (during year), 3 Aggregate grants from (during year), 4 Aggregate value at end of year, 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?, 6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?

Part II Conservation Easements.

Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

Table with 2 columns: Held at the End of the Tax Year. Rows include: 1 Purpose(s) of conservation easements held by the organization (check all that apply), 2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year. (a) Total number of conservation easements, (b) Total acreage restricted by conservation easements, (c) Number of conservation easements on a certified historic structure included in (a), (d) Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register, 3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year, 4 Number of states where property subject to conservation easement is located, 5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?, 6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year, 7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year, 8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?, 9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

Table with 2 columns: \$. Rows include: 1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items. b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items: (i) Revenues included in Form 990, Part VIII, line 1, (ii) Assets included in Form 990, Part X, 2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items: a Revenues included in Form 990, Part VIII, line 1, b Assets included in Form 990, Part X.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued).

- 3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a** Public exhibition
 - b** Scholarly research
 - c** Preservation for future generations
 - d** Loan or exchange programs
 - e** Other _____
- 4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5** During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No
- b** If "Yes," explain the arrangement in Part XIII and complete the following table:
- | | Amount |
|--|-----------|
| c Beginning balance | 1c |
| d Additions during the year | 1d |
| e Distributions during the year | 1e |
| f Ending balance | 1f |
- 2a** Did the organization include an amount on Form 990, Part X, line 21? Yes No
- b** If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

Part V Endowment Funds.

Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	2,201,551	1,742,015	1,469,353	1,312,982	1,074,008
b Contributions	23,000	261,395	250,200	0	0
c Net investment earnings, gains, and losses	288,933	234,822	59,611	191,151	298,418
d Grants or scholarships	0	0	0	0	0
e Other expenditures for facilities and programs	124,827	36,681	37,149	34,780	59,442
f Administrative expenses	0	0	0	0	0
g End of year balance	2,388,657	2,201,551	1,742,015	1,469,353	1,312,984

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a** Board designated or quasi-endowment 0 %
 - b** Permanent endowment 57 %
 - c** Temporarily restricted endowment 43 %
- The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

	Yes	No
(i) unrelated organizations	3a(i) <input checked="" type="checkbox"/>	<input type="checkbox"/>
(ii) related organizations	3a(ii) <input type="checkbox"/>	<input checked="" type="checkbox"/>
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b <input type="checkbox"/>	<input type="checkbox"/>

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land	0	403,218	0	403,218
b Buildings	0	4,365,266	2,245,748	2,119,518
c Leasehold improvements	0	22,688	22,688	0
d Equipment	0	1,372,384	1,260,822	111,562
e Other	0	81,205	81,205	0
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				2,634,298

Part VII Investments—Other Securities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

Part VIII Investments—Program Related.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX Other Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

Part X Other Liabilities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	0
(2) Amounts due custodian funds	63,868
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	63,868

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	22,917,555
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
a	Net unrealized gains on investments	2a	519,306	
b	Donated services and use of facilities	2b	0	
c	Recoveries of prior year grants	2c	0	
d	Other (Describe in Part XIII.)	2d	0	
e	Add lines 2a through 2d			2e 519,306
3	Subtract line 2e from line 1			3 22,398,249
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	0	
b	Other (Describe in Part XIII.)	4b	5,511,979	
c	Add lines 4a and 4b			4c 5,511,979
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.)			5 27,910,228

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	22,571,349
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
a	Donated services and use of facilities	2a	0	
b	Prior year adjustments	2b	0	
c	Other losses	2c	0	
d	Other (Describe in Part XIII.)	2d	0	
e	Add lines 2a through 2d			2e 0
3	Subtract line 2e from line 1			3 22,571,349
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	0	
b	Other (Describe in Part XIII.)	4b	5,511,979	
c	Add lines 4a and 4b			4c 5,511,979
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.)			5 28,083,328

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Schedule D, Part V, Line 4 - Metro United Way intends to use the net income from its endowment funds for purposes approved by its Board of Directors and in accordance with the intentions of the donors who provided the funds.

Schedule D, Part X, Line 2 - The Internal Revenue Service has ruled that the Organization is exempt from the payment of federal income tax (except on unrelated business income) under provisions of Section 501(c)(3) of the Internal Revenue Code of 1954. There were no taxes due for the years ended April 30, 2014 and 2013, as there was no unrelated business income for these years. Management evaluated the Organization's uncertain tax positions and concluded that the Organization had taken no uncertain tax positions that require adjustment to the financial statements. The Organization is subject to income tax examinations for its U.S. federal income taxes and state jurisdictions. The statute of limitations for assessment of federal and state income taxes expired with respect to tax returns through 2009.

Schedule D, Part XI, Line 4b - Donor designated contributions.

Schedule D, Part XII, Line 4b - Donor designated contributions

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

Department of the Treasury
Internal Revenue Service
Name of the organization

METRO UNITED WAY INC

Part I General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) Sch I, Strmt 1							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
2	Enter total number of section 501(c)(3) and government organizations listed in the line 1 table						140
3	Enter total number of other organizations listed in the line 1 table						0

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat. No. 50055P

Schedule I (Form 990) (2013)

Part III Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1 See Schedule I, Part IV, Statement 2					
2					
3					
4					
5					
6					
7					

Part IV Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Schedule I, Part I, Line 2 - Agencies are awarded grants to support specific programs or to accomplish specific objectives. Agencies are subjected to a pre-grant review of their finances and their track record in achieving their exempt purposes. Most agencies are also required to submit an annual or end-of-year grant report detailing the specific accomplishments of the supported program or initiative. Grants were also made as "specific assistance to individuals" to survivors of the tornado that struck Southern Indiana on March 2, 2012. All grants are approved by a committee of community volunteers. Metro United Way, as fiscal sponsor for funds directed to it for the recovery effort, delegated authority to the committee to determine eligibility and to recommend expenditures to be made on behalf of the eligible survivors. The actual expenditure of funds and recordkeeping are performed by Metro United Way.

Description of Grants and Other Assistance to Governments and Organizations in the United States

		Recipient EIN	Amt. of cash grant	Amt. of non- cash asst.
Name and address	American Cancer Society PO Box 1807 Louisville, KY 40201		271,740	
IRC code section	501(C)(3)			
Method of valuation				
Desc. of Non-Cash Asst.				
Purpose of grant	Dedicated to eliminating cancer as a major problem through research, education, advocacy and service.			
Name and address	American Heart Association 240 Whittington Parkway Louisville, KY 40222		42,035	
IRC code section	501(C)(3)			
Method of valuation				
Desc. of Non-Cash Asst.				
Purpose of grant	Strives to reduce disability and death from cardiovascular diseases and stroke.			
Name and address	American Heart Association PO BOX 4002907 Des Moines, IA 50340		10,000	
IRC code section	501(C)(3)			
Method of valuation				
Desc. of Non-Cash Asst.				
Purpose of grant	Donor designation			
Name and address	American Red Cross PO Box 1675 Louisville, KY 40201		1,858,424	
IRC code section	501(C)(3)			
Method of valuation				
Desc. of Non-Cash Asst.				
Purpose of grant	Fund raising partnership payments			
Name and address	American Red Cross 2025 E Street NW Washington, DC 20006		21,376	
IRC code section	501(C)(3)			
Method of valuation				
Desc. of Non-Cash Asst.				
Purpose of grant	Donor designation			
Name and address	Americana Community Center inc 4801 Southside Dr Louisville, KY 40214		88,527	
IRC code section	501(C)(3)			
Method of valuation				
Desc. of Non-Cash Asst.				
Purpose of grant	Americana Community Center strives to provide a spectrum of services to the diverse families of the Louisville Metro area, including refugees, immigrants and those born in the U.S.			
Name and address	America's Charities 14150 Newbrook Dr 110 Chantilly, VA 20151		46,139	
IRC code section	501(C)(3)			
Method of valuation				

Schedule I, Part IV, Statement 1

METRO UNITED WAY INC

Desc. of Non-Cash Asst.

Purpose of grant	Donor designation		
Name and address	Animal Charities of America PO Box 45754 San Francisco, CA 94145		38,798
IRC code section	501(C)(3)		
Method of valuation			
Desc. of Non-Cash Asst.			
Purpose of grant	Donor designation		
Name and address	Big Brothers Big Sisters 1519 Gardiner Ln B Louisville, KY 40218		371,380
IRC code section	501(C)(3)		
Method of valuation			
Desc. of Non-Cash Asst.			
Purpose of grant	Provides 1-to-1 relationship with a mature volunteer for youth in need of friendship.		
Name and address	Bingham Child Guidance 200 E Chestnut Street Louisville, KY 40202		141,882
IRC code section	501(C)(3)		
Method of valuation			
Desc. of Non-Cash Asst.			
Purpose of grant	Provides psychiatric mental health services to children and adolescents with emotional and behavioral problems.		
Name and address	Blue River Services PO Box 547 Corydon, IN 47112		46,624
IRC code section	501(C)(3)		
Method of valuation			
Desc. of Non-Cash Asst.			
Purpose of grant	Provides services, training and transportation for children and adults with disabilities and the general community.		
Name and address	Boy Scouts of America Lincoln Heritage Council Inc PO Box 36273 Louisville, KY 40233		216,503
IRC code section	501(C)(3)		
Method of valuation			
Desc. of Non-Cash Asst.			
Purpose of grant	Provides programming that builds strong families, develops leadership skills and instills values in young people.		
Name and address	Boys & Girls Clubs of Kentuckiana 1516 Story Ave Louisville, KY 40206		815,789
IRC code section	501(C)(3)		
Method of valuation			
Desc. of Non-Cash Asst.			
Purpose of grant	Provides social, vocational, cultural, spiritual and other programming for children ages 6-18		
Name and address	Bridgehaven Inc 950 South First St Louisville, KY 40203		210,778
IRC code section	501(C)(3)		
Method of valuation			
Desc. of Non-Cash Asst.			
Purpose of grant	Provides psychiatric rehabilitation, recovery and community integration services for adults with serious mental illnesses.		

Schedule I, Part IV, Statement 1

METRO UNITED WAY INC

Name and address	Bullitt County Public Schools 1040 Highway 44 East Shepherdsville, KY 40165		43,241
IRC code section			
Method of valuation			
Desc. of Non-Cash Asst.			
Purpose of grant	Support Teen Aged Parenting Program		
Name and address	Burks Branch Baptist Church 2023 Fox Run Road Sheibyville, KY 40065		7,874
IRC code section	501(C)(3)		
Method of valuation			
Desc. of Non-Cash Asst.			
Purpose of grant	Donor designation		
Name and address	California Area Family Development Center Inc 1705 South 13th Street Louisville, KY 40210		227,105
IRC code section	501(C)(3)		
Method of valuation			
Desc. of Non-Cash Asst.			
Purpose of grant	Offers childcare services and developmental programs for infants, toddlers, pre-school and school-aged children.		
Name and address	CancerCure of America PO Box 45754 San Francisco, CA 94145		29,778
IRC code section	501(C)(3)		
Method of valuation			
Desc. of Non-Cash Asst.			
Purpose of grant	Donor designation		
Name and address	CASA Bullitt County Inc PO Box 1025 Shepherdsville, KY 40165		13,285
IRC code section	501(C)(3)		
Method of valuation			
Desc. of Non-Cash Asst.			
Purpose of grant	Provides volunteer advocates who are committed to work on behalf of abused and neglected children.		
Name and address	Catholic Charities of Louisville Inc 2911 S Fourth St Louisville, KY 40208		12,327
IRC code section	501(C)(3)		
Method of valuation			
Desc. of Non-Cash Asst.			
Purpose of grant	Donor designation		
Name and address	Center for Lay Ministries 213 E Maple St Jeffersonville, IN 47131		29,585
IRC code section	501(C)(3)		
Method of valuation			
Desc. of Non-Cash Asst.			
Purpose of grant	Provides emergency services - such as a food pantry and funding assistance - for Clark County, Indiana residents.		
Name and address	Center for Non-Profit Excellence 323 W Broadway Suite 501 Louisville, KY 40202		25,237
IRC code section	501(C)(3)		
Method of valuation			

Desc. of Non-Cash Asst.

Purpose of grant	Central point to access information, best practices, professional development, and hands-on consultation to help local non-profits reach individual and collective aspirations	
Name and address	Center for Women & Families PO Box 2048 Louisville, KY 40201	487,197
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides programs and housing for people affected by domestic violence/rape/sexual assault and economic hardship.	
Name and address	Centro Latino of Shelbyville Inc 121 Main St Shelbyville, KY 40065	10,250
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Delivers services and educational programs to residents of Shelby, Spencer, Trimble and Henry counties in Kentucky.	
Name and address	Charities Under 1 Percent Overhead PO Box 45754 San Francisco, CA 94145	6,342
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Charities Under 5 Percent Overhead PO Box 45754 San Francisco, CA 94145	5,599
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Children First - America's Charities 14150 Newbrook Dr 110 Chantilly, VA 20151	10,449
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Children's Charities of America PO Box 45754 San Francisco, CA 94145	18,842
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Children's Medical Charities of America PO Box 45754 San Francisco, CA 94145	12,463
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Christian Charities USA PO Box 45754	31,765

Schedule I, Part IV, Statement 1

METRO UNITED WAY INC

	San Francisco, CA 94145	
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Christian Service Charities 44330 Premier Plaza 220 Ashburn, VA 20147	38,623
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Clark Co Youth Shelter PO Box 886 Clarksville, IN 47131	9,533
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides 24-hour residential care for adolescents in crisis situations.	
Name and address	Clark County Superior Court II Drug Court Inc 501 East Court Ave Rm 502 Jeffersonville, IN 47130	9,397
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	An alternative sentencing program for nonviolent felony drug offenders.	
Name and address	Coalition for the Homeless 1115 S Fourth Street Louisville, KY 40203	21,303
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Promotes collaboration in our community to best meet the needs of the homeless and the providers that serve them	
Name and address	Communities in School Clark County 1406 Fredrick St Jeffersonville, IN 47130	33,550
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Surrounds students with a community of support, empowering them to stay in school and achieve in life.	
Name and address	Community Chest of Oldham Co Kentucky Inc PO Box 556 LaGrange, KY 40031	45,947
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides assistance to families in Oldham County that need emergency aid to temporarily meet basic needs.	
Name and address	Community Coordinated Child Care Inc 960 South Third Street Louisville, KY 40203	191,235
IRC code section	501(C)(3)	
Method of valuation:		
Desc. of Non-Cash Asst.		
Purpose of grant	Assists parents in locating and paying for childcare; offers innovative	

Schedule I, Part IV, Statement 1

METRO UNITED WAY INC

training for childcare providers; provides childcare data to employers and policy makers; advocates for children and families on a national, state and local level.

Name and address	Community Foundation Of Louisville Inc 325 West Main ST Suite 1110 Louisville, KY 40202	15,425
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	15K degrees initiatives; refugee education funding; donor designations	
Name and address	Community Health Charities PO Box 75153 Baltimore, MD 21275	103,637
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Community Health Charities of Indiana PO Box 75799 Baltimore, MD 21275	8,359
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Community Health Charities of Kentucky Inc 310 W Liberty St 604 Louisville, KY 40202	50,687
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Community Living Inc 333 Guthrie Street 308 Louisville, KY 40202	15,062
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides support and assistance to meet the daily living needs of individuals with mental retardation.	
Name and address	Conservation & Preservation Charities of America PO Box 45754 San Francisco, CA 94145	6,930
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Council on Developmental Disabilities Inc 1151 South Third Street Louisville, KY 40203	189,957
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides information, support and advocacy services to children and adults with mental retardation and their families and other interested persons in the community.	
Name and address	Custom Quality Services Inc 3401 Jewell Ave	38,340

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METRO UNITED WAY INC

	Louisville, KY 40212	
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides employment for individuals with disabilities in normalized work environments.	
Name and address	Dare to Care 5803 Fern Valley Rd Louisville, KY 40228	1,066,888
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Works to end hunger in our community through partnerships, innovative programs, and community engagement	
Name and address	Do Unto Others Americas PO Box 45754 San Francisco, CA 94145	7,371
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Donaldina Cameron House 920 Sacramento ST San Francisco, CA 94108	8,829
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Dorman Preschool Center PO Box 853 Shelbyville, KY 40066	72,909
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides early intervention to at-risk children in need of multiple support services.	
Name and address	EarthShare CFC 355 Dept 4011 Washington, DC 20042	13,946
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Elderserve Inc 411 E Muhammad Ali Blvd Louisville, KY 40202	290,156
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides social services, senior companions, home care, adult daycare telephone reassurance, crime victims advocacy and other services for seniors throughout Jefferson County.	
Name and address	Family and Children's Place PO Box 3784 Louisville, KY 40201	1,346,851
IRC code section	501(C)(3)	
Method of valuation		

Schedule I, Part IV, Statement 1

METRO UNITED WAY INC

Desc. of Non-Cash Asst.

Purpose of grant	Provides services for children and families affected by abuse, neglect and violence.	
Name and address	Family Health Centers of Southern Indiana 1301 Akers Avenue Jeffersonville, IN 47130	44,969
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides primary and preventative care and education to low-income, uninsured Clark County residents.	
Name and address	Family Health Centers of Southern Indiana 1000 East Spring Street New Albany, IN 47150	5,387
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides primary and preventative care and education to low-income, uninsured Floyd County residents.	
Name and address	Family Scholar House Inc 403 Reg Smith Circle Louisville, KY 40208	102,055
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Support for single parents	
Name and address	Feeding America Kentucky's Heartland Inc PO Box 821 Elizabethtown, KY 42702	6,012
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Fund for the Arts Inc 623 West Main Street Louisville, KY 40202	24,769
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Global Impact PO Box 409616 Atlanta, GA 30384	15,689
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Goodwill of Southern Indiana PO Box 2488 Clarksville, IN 47131	174,679
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Offers rehabilitation, child development, family support, job placement: a array of programs under one roof.	
Name and address	Greater Louisville United Labor Picnic Inc 2005 Patricia Drive	7,806

Schedule I, Part IV, Statement 1

METRO UNITED WAY INC

	Louisville, KY 40272	
IRC code section	501(C)(4)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Emergency assistance	
Name and address	GuardiaCare Services Inc 215 W Breckinridge St Louisville, KY 40203	90,444
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides financial management, guardianship and adult day health services to seniors and others at risk.	
Name and address	Habitat for Humanity of Metro Louisville 1620 Bank Street Louisville, KY 40203	6,379
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Harrison Co Community Services PO Box 308 Corydon, IN 47112	68,300
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides programs in crisis assistance including a Food Pantry and Clothes Closet. Assists individuals and families in accessing services and building assets.	
Name and address	Health & Medical Research Charities of America PO Box 45754 San Francisco, CA 94145	48,520
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Health First - America's Charities 14150 Newbrook Dr 110 Chantilly, VA 20151	7,213
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	HJW Career and Financial Literacy Institute PO Box 71 Shelbyville, KY 40366	19,000
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Financial stability efforts in Shelby and Oldham Counties	
Name and address	Home of the Innocents 1100 E Market Street Louisville, KY 40203	353,225
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides emergency and long-term residential treatment and services to	

children with a variety of needs.

<p>Name and address Hoosier Hills PACT 35 N Public Square Salem, IN 47167</p> <p>IRC code section 501(C)(3)</p> <p>Method of valuation</p> <p>Desc. of Non-Cash Asst.</p> <p>Purpose of grant Provides advocacy, shelter, support and referral service to victims of domestic violence and other crimes. Provides Day Reporting Program and cognitive behavioral and educational groups for adult offenders.</p>		10,368
<p>Name and address Hope Southern Indiana 702 E Market Street New Albany, IN 47150</p> <p>IRC code section 501(C)(3)</p> <p>Method of valuation</p> <p>Desc. of Non-Cash Asst.</p> <p>Purpose of grant Provides emergency financial, seasonal and food assistance in Floyd County and provides the RSVP (Retired Senior Volunteer Program) in Clark, Floyd, Harrison, Jefferson and Scott counties. Collaborates in multiple initiatives and provides a Youth Development Program that serves the tri-county area in Southern Indiana.</p>		178,847
<p>Name and address Hosparus 2120 Newburg Rd 301 Louisville, KY 40205</p> <p>IRC code section 501(C)(3)</p> <p>Method of valuation</p> <p>Desc. of Non-Cash Asst.</p> <p>Purpose of grant Provides end-of-life care and bereavement services to the terminally ill and their families.</p>		106,273
<p>Name and address House of Ruth Inc 607 E St Catherine St Louisville, KY 40203</p> <p>IRC code section 501(C)(3)</p> <p>Method of valuation</p> <p>Desc. of Non-Cash Asst.</p> <p>Purpose of grant Provides housing and support services for individuals and families affected by HIV/AIDS.</p>		54,985
<p>Name and address Human Care Charities of America PO Box 45754 San Francisco, CA 94145</p> <p>IRC code section 501(C)(3)</p> <p>Method of valuation</p> <p>Desc. of Non-Cash Asst.</p> <p>Purpose of grant Donor designation</p>		7,110
<p>Name and address Human Service Charities of America 44330 Premier Plaza 220 Ashburn, VA 20147</p> <p>IRC code section 501(C)(3)</p> <p>Method of valuation</p> <p>Desc. of Non-Cash Asst.</p> <p>Purpose of grant Donor designation</p>		6,187
<p>Name and address Isaiah Alonso Foundation Inc 90 Rembrandt Drive Elizabethtown, KY 42701</p> <p>IRC code section 501(C)(3)</p> <p>Method of valuation</p> <p>Desc. of Non-Cash Asst.</p>		10,230

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METRO UNITED WAY INC

Purpose of grant	Donor designation	
Name and address	Jewish Community of Louisville 3600 Dutchmans Lane Louisville, KY 40205	205,895
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides health-related activities, cultural and educational programs to the community at large, including at-risk individuals.	
Name and address	Jewish Family and Career Services 3587 Dutchmans Lane Louisville, KY 40205	270,116
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Serves families and individuals facing emotional crises or seeking improvement in their lives.	
Name and address	Kentucky Youth Advocates Inc 11001 Bluegrass Pkwy Ste 100 Louisville, KY 40299	117,549
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Kentucky Youth Advocates is the independent voice for Kentucky's children through research; work toward national and state policy reform and, case advocacy/referral service.	
Name and address	Kids Center for Pediatric Therapies 982 Eastern Pkwy Box 6 Louisville, KY 40217	298,016
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides early intervention for children with cerebral palsy, traumatic head injuries and other developmental disabilities.	
Name and address	Kosair Charities Committee Inc 982 Eastern Parkway Louisville, KY 40217	27,088
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	LaGrange Baptist Church 1139 Commerce Pkwy LaGrange, KY 40031	20,000
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Hope Health Clinic	
Name and address	Lds Philanthropies At BYU 1450 N University Ave Provo, UT 84604	7,751
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Legal Aid Society Inc 425 W Muhammad Ali Blvd	230,353

Schedule I, Part IV, Statement 1

METRO UNITED WAY INC

	Louisville, KY 40202	
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Offers legal advice and representation to clients who meet poverty guidelines.	
Name and address	Lifespan Resources Inc PO Box 995 New Albany, IN 47151	52,050
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides a service network enabling older adults and the disabled to remain independent.	
Name and address	Louisville Central Community Ctrs 1300 W Muhammad Ali Blvd Louisville, KY 40203	551,352
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Promotes self-sufficiency in the Russell neighborhood through a variety of programs for people of all ages and provides childcare and developmental programs for infants and school-age children.	
Name and address	Louisville Education & Employment Program PO Box 34020 Louisville, KY 40202	94,443
IRC code section		
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Assist students, with the help of career planners at their schools, to remain in high school, to graduate, and to make a successful transition to employment and/or post-secondary education or military service	
Name and address	Louisville Urban League 1535 W Broadway Louisville, KY 40203	340,369
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Assists minorities and disadvantaged persons through workforce development, housing counseling, and youth development and education. Improving race relations by promoting a greater appreciation for diversity.	
Name and address	Louisville Asset Building Coalition 334 East Broadway Louisville, KY 40202	9,000
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Help people live better lives today and have brighter futures tomorrow by increasing the financial stability of families	
Name and address	Maryhurst Inc 1015 Dorsey Lane Louisville, KY 40223	224,880
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Offers treatment programs for emotionally disabled children who have been neglected, abandoned or abused.	

Schedule I, Part IV, Statement 1

METRO UNITED WAY INC

Name and address	Medical Research Charities 125 Washington Street 201 Salem, MA 01970-3521		12,882
IRC code section	501(C)(3)		
Method of valuation			
Desc. of Non-Cash Asst.			
Purpose of grant	Donor designation		
Name and address	Middletown Christian Church 500 N Wattersom Trail Louisville, KY 40243		7,914
IRC code section	501(C)(3)		
Method of valuation			
Desc. of Non-Cash Asst.			
Purpose of grant	Donor designation		
Name and address	Military Family & Veterans Service Organizations PO Box 45754 San Francisco, CA 94145		57,009
IRC code section	501(C)(3)		
Method of valuation			
Desc. of Non-Cash Asst.			
Purpose of grant	Donor designation		
Name and address	Military Support Groups of America PO Box 45754 San Francisco, CA 94145		10,484
IRC code section	501(C)(3)		
Method of valuation			
Desc. of Non-Cash Asst.			
Purpose of grant	Donor designation		
Name and address	Multi Purpose CAA PO Box 305 Shelbyville, KY 40065		49,672
IRC code section	501(C)(3)		
Method of valuation			
Desc. of Non-Cash Asst.			
Purpose of grant	Provides numerous services to the elderly, mentally retarded and others in need.		
Name and address	National Kidney Foundation of Kentucky 250 East Liberty St 710 Louisville, KY 40202		7,275
IRC code section	501(C)(3)		
Method of valuation			
Desc. of Non-Cash Asst.			
Purpose of grant	Provides a range of screening, patient assistance and educational programs.		
Name and address	National League Of Cities 1301 Pennsylvania Ave NW Washington, DC 20004		10,000
IRC code section	501(C)(3)		
Method of valuation			
Desc. of Non-Cash Asst.			
Purpose of grant	Reduce and prevent youth violence in the community		
Name and address	Neighborhood House 201 N 25th Street Louisville, KY 40212		316,918
IRC code section	501(C)(3)		
Method of valuation			
Desc. of Non-Cash Asst.			

Schedule I, Part IV, Statement 1

METRO UNITED WAY INC

Purpose of grant	Provides services which enhance the quality of life for individuals of all ages in the Portland area.	
Name and address	New Directions Housing Corp 1000 E Liberty Street Louisville, KY 40204	144,948
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides housing and support services for children and single-parent homeless families.	
Name and address	Northside Christian Church 4407 Charlestown Rd New Albany, IN 47150	9,547
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Oldham County 4-H Council Inc 1815 North Highway 393 LaGrange, KY 40031	16,153
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides opportunities for Oldham County youth to learn leadership, citizenship & life skills.	
Name and address	Oldham County Public Schools 6165 W HIGHWAY 146 Louisville, KY 40014	10,000
IRC code section		
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Increase reading skills of children from pre-kindergarten through 3rd grade	
Name and address	Oldham County Vipers Baseball Inc PO BOX 493 Goshen, KY 40026	5,563
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Operation Care Inc PO Box 1393 Shelbyville, KY 40066	43,726
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Offers women and children emergency assistance, including shelter, food, clothing and medical attention.	
Name and address	Operation Parent Inc 13029 Middletown Industrial Blvd Louisville, KY 40223	20,000
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Options Unlimited 205 Castlerock Drive	10,000

Shepherdsville, KY 40165	
IRC code section	501(C)(3)
Method of valuation	
Desc. of Non-Cash Asst.	
Purpose of grant	Student transition/employment programs
Name and address	6,211
Our Lady of Lourdes 508 Breckenridge Ln Louisville, KY 40207	
IRC code section	501(C)(3)
Method of valuation	
Desc. of Non-Cash Asst.	
Purpose of grant	Donor designation
Name and address	40,464
Our Place Drug & Alcohol Education Services Inc PO Box 8 Mount St Francis, IN 47146	
IRC code section	501(C)(3)
Method of valuation	
Desc. of Non-Cash Asst.	
Purpose of grant	Offers a variety of prevention, intervention and education services for youth, adults and family.
Name and address	33,655
Personal Counseling Services 1205 Applegate Lane Clarksville, IN 47129	
IRC code section	501(C)(3)
Method of valuation	
Desc. of Non-Cash Asst.	
Purpose of grant	Offers counseling and therapy to individuals, couples and families both on- and off-site.
Name and address	122,201
Presbyterian Community Center 701 So Hancock St Louisville, KY 40203	
IRC code section	501(C)(3)
Method of valuation	
Desc. of Non-Cash Asst.	
Purpose of grant	Provides preschool child development services, social services, recreation, health and community development programs in Smoketown Shelby Park and Phoenix Hill.
Name and address	62,234
Rauch Inc 845 Park Place New Albany, IN 47150	
IRC code section	501(C)(3)
Method of valuation	
Desc. of Non-Cash Asst.	
Purpose of grant	Provides employment, therapy, day and other services for adults and children with disabilities and the disenfranchised.
Name and address	32,600
Region 10 Workforce Board Inc 1613 8th Street Jeffersonville, IN 47130	
IRC code section	
Method of valuation	
Desc. of Non-Cash Asst.	
Purpose of grant	Out of school time programs for youth.
Name and address	6,994
Saint Bernadette 6500 Saint Bernadette Ave Prospect, KY 40059	
IRC code section	501(C)(3)
Method of valuation	

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METRO UNITED WAY INC

Desc. of Non-Cash Asst.

Purpose of grant	Donor designation	
Name and address	Salvation Army Louisville 216 W Chestnut Street Louisville, KY 40202	219,437
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides shelter, food, clothing and other essential services to families in need.	
Name and address	Salvation Army Southern Indiana 2300 Green Valley Rd New Albany, IN 47151	139,615
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Supports self-sufficiency and long-range stability through case management in emergency financial assistance.	
Name and address	Seven Counties Services 101 W Muhammad Ali Blvd Louisville, KY 40202	138,180
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	A 24-hour telephone hotline providing suicide prevention and intervention crisis counseling, and information and referral to health and human services.	
Name and address	Shelby County Public Schools 1155 Main Street Shelbyville, KY 40065	49,100
IRC code section		
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Early education programs	
Name and address	Shepherd's Shelter Inc 445 Highway 44 East Suite 7 Shepherdsville, KY 40165	15,000
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Emergency shelter and/or one month rental assistance vouchers.	
Name and address	Shively Baptist Church 1599 Sadie Lane Louisville, KY 40216	8,399
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Southeast Christian Church 920 Blankenbaker Rd Louisville, KY 40243	53,347
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	St Benedict Center 946 S 25th Street	152,082

	Louisville, KY 40210	
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Serves children (6 weeks-12 years) through a variety of programs, including after-school and summer programs.	
Name and address	St George Community Center 1205 South 26th Street Louisville, KY 40210	5,177
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Out of school time programs for youth.	
Name and address	Sunnyside Assembly of God 3200 Holman Lane Jeffersonville, IN 47130	5,713
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	The Arthur S Kling Center Inc 219 West Ormsby Ave Louisville, KY 40203	66,138
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	A senior center that serves older adults both directly and through links to other providers.	
Name and address	The Caring Place PO Box 945 Lebanon, KY 40033	10,000
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides family crisis services	
Name and address	Tri-County Community Action Agency 1015 Dispatchers Way LaGrange, KY 40031	48,295
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Serves elderly and low-income people in Oidham County; provides adult day care, meal delivery and other services.	
Name and address	United Negro College Fund 1805 7th Street NW Washington, DC 20001	6,795
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	United Service Organization Inc 2111 Wilson Blvd 1200 Arlington, VA 22201	8,663
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	

Schedule I, Part IV, Statement 1

		METRO UNITED WAY INC
Name and address	United Way Of Central Kentucky Inc 1111 N Dixie Hwy Elizabethtown, KY 42701	6,557
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	United Way Of Greater Cincinnati Inc 2400 Reading Rd Cincinnati, OH 45202	21,000
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	United Way Of Santa Fe County 440 Cerrillos Rd Santa Fe, NM 87501	10,000
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	United Way of Sarasota County 1445 Second St Sarasota, FL 34236	6,000
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Uspiritus Inc 2125 Goldsmith Lane Louisville, KY 40218	55,343
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides residential and outpatient treatment for children and youth with emotional disabilities.	
Name and address	Visually Impaired Preschool Services Inc 1906 Goldsmith Lane Louisville, KY 40218	83,222
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides early educational services to children (birth to school age) who are blind or visually impaired.	
Name and address	Wayside Christian Mission 432 E Jefferson St Louisville, KY 40202	17,293
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Wellspring PO Box 1927 Louisville, KY 40201	121,594
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		

Schedule I, Part IV, Statement 1

METRO UNITED WAY INC

Purpose of grant	Promotes the recovery of people with psychiatric disabilities; provides supportive housing with rehabilitation.	
Name and address	Wesley House Community Services 5114 Preston Highway Louisville, KY 40213	180,692
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Offers childcare, youth services, computer education, a gently used clothing store and volunteer income tax assistance.	
Name and address	WHAS Crusade for Children 520 W Chestnut St Louisville, KY 40202	7,949
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	Women Children & Family Service Charities PO Box 45754 San Francisco, CA 94145	7,796
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Donor designation	
Name and address	YMCA of Greater Louisville Inc 545 South 2nd Street Louisville, KY 40202	685,661
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Community-based programs that support young children, teens, adults and families in building healthy spirit, mind and body for all. Programs include leadership development, healthy actions, childcare and social services.	
Name and address	YMCA of Southern Indiana Inc PO Box 1525 Clarksville, IN 47131	79,523
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides developmental childcare to infants through school-age children and support services to at-risk girls ages 7-18.	
Name and address	Zoom Group 410 West Chestnut St 900 Louisville, KY 40202	14,181
IRC code section	501(C)(3)	
Method of valuation		
Desc. of Non-Cash Asst.		
Purpose of grant	Provides vocational support for adults with mental retardation through employment and day programs.	

Description of Grants and Other Assistance to Individuals in the United States

		Number of recipients	Amt. of cash grant	Amt. of non-cash asst.
Type of grant	Assistance for survivors of the tomado that hit Southern Indiana residents on March 02-2012	120	997,221	0
Method of valuation	Fair market value			
Desc. of Non-Cash Asst.				

**SCHEDULE J
(Form 990)**

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

OMB No. 1545-0047

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
▶ Attach to Form 990. ▶ See separate instructions.

▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

Employer identification number

METRO UNITED WAY INC

Part I Questions Regarding Compensation

	Yes	No
1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. <input type="checkbox"/> First-class or charter travel <input type="checkbox"/> Travel for companions <input type="checkbox"/> Tax indemnification and gross-up payments <input type="checkbox"/> Discretionary spending account <input type="checkbox"/> Housing allowance or residence for personal use <input type="checkbox"/> Payments for business use of personal residence <input type="checkbox"/> Health or social club dues or initiation fees <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)		
b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain		
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a?		
3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. <input checked="" type="checkbox"/> Compensation committee <input type="checkbox"/> Independent compensation consultant <input type="checkbox"/> Form 990 of other organizations <input type="checkbox"/> Written employment contract <input checked="" type="checkbox"/> Compensation survey or study <input checked="" type="checkbox"/> Approval by the board or compensation committee		
4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization: a Receive a severance payment or change-of-control payment? b Participate in, or receive payment from, a supplemental nonqualified retirement plan? c Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a–c, list the persons and provide the applicable amounts for each item in Part III.		
4a		✓
4b		✓
4c		✓
Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5–9.		
5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of: a The organization? b Any related organization? If "Yes" to line 5a or 5b, describe in Part III.		
5a		✓
5b		✓
6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of: a The organization? b Any related organization? If "Yes" to line 6a or 6b, describe in Part III.		
6a		✓
6b		✓
7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III		✓
8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III		✓
9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?		

Part III Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation						(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	(iv) Salary in lieu of vacation	(v) Other compensation	(vi) Other compensation				
1 Joseph P Tolan, President, CEO and Board Secretary	220,375	0	3,311	0	0	31,316	4,833	259,835	0	
2 Phillip Bond, Vice President and CFO	117,774	0	2,563	0	0	46,055	5,101	171,493	0	
3										
4										
5										
6										
7										
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12										
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15										
16										

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Schedule J, Part II - The majority of the amounts in Column (C) were not cash outlays in 2013. These amounts represent the increase in the actuarial estimate of total future retirement payments over the lives of these employees. This actuarial estimate is based on participation in Metro United Way's defined benefit retirement plan by these employees. The same methodology is used to calculate benefits for all participants.

Multiple horizontal dashed lines for supplemental information.

**SCHEDULE M
(Form 990)**

Noncash Contributions

OMB No. 1545-0047

2013

**Open To Public
Inspection**

- ▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
- ▶ Attach to Form 990.
- ▶ Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990.

Department of the Treasury
Internal Revenue Service

Name of the organization
METRO UNITED WAY INC

Employer identification number
[REDACTED]

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art				
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	✓	70	231,522	market value
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ ()				
26 Other ▶ ()				
27 Other ▶ ()				
28 Other ▶ ()				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement **29** **0**

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 - 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?		✓
b If "Yes," describe the arrangement in Part II.		
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?		✓
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	✓	
b If "Yes," describe in Part II.		
33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.		

Part II Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Schedule M, Part I, Line 32a - Metro United Way uses J.J.B. Hilliard, W.I. Lyons, LLC and Computershare Trust Company, N.A. to sell its donated stock.

Schedule M, Part I, Line 32b - Some donors contribute publicly traded stock to Metro United Way. Metro United Way's policy is to sell the contributed stock immediately and value the gift at the fair market value on the date ownership of the stock is transferred from the donor to Metro United Way.

**SCHEDULE O
(Form 990 or 990-EZ)**

Supplemental Information to Form 990 or 990-EZ

OMB No. 1545-0047

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

2013

Department of the Treasury
Internal Revenue Service

▶ Attach to Form 990 or 990-EZ.

Open to Public Inspection

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Name of the organization

Employer identification number

METRO UNITED WAY INC

Form 990, Part VI, Section B, Line 11b - The IRS Form 990 is reviewed by Harding, Shymanski & Company P.S.C., the independent accounting firm that conducted Metro United Way's most recent audit. It is also reviewed by the Metro United Way Finance and Administration Committee on behalf of the Board of Directors. A copy of the 990 is also provided to every member of the Board of Directors prior to filing with the IRS.

Form 990, Part VI, Section B, Line 12c - All members of the Metro United Way Board of Directors, and all employees of Metro United Way are required to disclose any potential conflicts of interest on an annual basis. In addition, all employees must disclose any outside boards or committees on which they serve. These disclosures are reviewed by both the Chief Executive Officer and the Vice President of Human Resources. Metro United Way employees are prohibited from serving on boards or committees of any not-for-profit organization either funded by or actively involved with Metro United Way without prior permission of the Chief Executive Officer. The Chief Executive Officer must receive permission from the Chair of the Metro United Way Board of Directors to serve on such boards or committees.

Form 990, Part VI, Section B, Line 15 - Compensation for Metro United Way's Chief Executive Officer is approved by independent persons on the Board of Directors, including the Board Chair, the Board Treasurer and the Chair of the Metro United Way Human Resources Committee. Prior to approving compensation, a review of compensation data from comparable sources is performed. Deliberation and discussions are contemporaneously documented.

Form 990, Part VI, Section C, Line 19 - Metro United Way makes its governing documents, conflict of interest policy and financial statements available to the public upon request.

Form 990, Part XI, Line 9 - Pension related changes other than net periodic pension expense. This represents the "Net Effect of Adoption of Recognition Provision of FAS 158".

Mission Description

Description

Community Aspirations that will lead to long-term sustainable change: All children enter kindergarten ready to learn. All youth will complete high school on time prepared for college, work and life. Parents and caregivers have the knowledge, skills and abilities to maintain family stability and help their children succeed. We continue to sustain a network of quality services that address basic needs. We know that together, we can impact the quality of life for everyone in our community.

Other Program Services Accomplishments

Activity Code	Description	Expense	Grants	Revenue
	Grants to not-for-profit organizations as a result of donor contributions to the Metro United Campaign that were designated to those organizations.	4,740,394	4,740,394	245,294
	Other programs targeting homelessness, substance abuse, and disabilities, foster care and other neighborhood issues.	426,932	182,462	62,874
Total:		5,167,326	4,922,856	308,168

**Metro United Way
Budget
Fiscal Year 2015-16**

Revenues	
Campaign Revenue	\$27,650,000
Budgeted Pledge Loss	(\$967,750)
Net Campaign Revenues	<u>\$26,682,250</u>
Other Revenues:	
Sponsorships and Grants	\$83,000
Other Fees and Miscellaneous Sales	\$123,000
Rental Income - from Leased Office Space	\$15,400
Fiscal Agent Fees	<u>\$900</u>
Total Revenues	\$26,904,550
Expenses	
Allocations to Agencies & Grants	\$16,774,520
Restricted by Donors for MUW managed programs	\$2,521,600
Regular Salaries	\$3,920,720
Temporary/Contract Salaries	\$74,900
Benefits/Taxes	\$1,077,828
Professional Fees	\$40,950
Other Contract Service Fees	\$716,345
UwPIC Services	\$303,000
Insurance	\$34,261
Office Supplies	\$25,080
Telephone & Data Circuit Connections	\$101,716
Postage & Special Mailings	\$38,225
Equip Repair/Rental	\$115,125
Campaign/Prom. Supplies	\$75,777
Printing & Duplicating	\$104,589
Occupancy	\$279,195
Travel	\$48,813
Conferences & Seminars	\$79,220
Meetings and Special Events	\$147,532
Dues to United Way of America	\$280,027
Dues to State U.W.'s	\$82,084
Membership Dues	\$11,650
Bank and Credit Card Fees	\$36,486
Miscellaneous Expenses	\$9,907
Operating Contingency	<u>\$5,000</u>
Total Expenses	\$26,904,550

**Metro United Way
Board of Directors
2015**

Metro United Way Board Officers

Ms. Jane C. Morreau, Chair

Sr. VP /Director of Finance Management/
Accounting and Technology
Brown-Forman Corporation
850 Dixie Highway - A5
Louisville KY 40210 USA
P: (502) 774-7165
jane_morreau@b-f.com

Mr. Shane Foley, Treasurer

Senior Manager - Audit
KPMG LLP
400 West Market Street, Ste 2600
Louisville KY 40202 USA
P: (502) 874-8462
shanefoley@kpmg.com

Mr. Joseph P. Tolan, Secretary

President and CEO
Metro United Way
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joe.tolan@metrounitedway.org

Metro United Way Board Members

Mr. Johnny Alse

Professor of Economics
Director, Center for Economic Education
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Mr. J. Barry Barker

Executive Director
Transit Authority of River City (TARC)
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Mr. Michael Betson

Crew Resources and I. E. Manager
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**Metro United Way
Board of Directors
2015**

Mr. Kent Blake

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President-S. Region
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Campbell Boyer

Principal
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Mr. Robert W. Bracy

Member
Greater Louisville Central Labor Council
1244 South 4th Street
Louisville KY 40203 USA
P: (502) 937-4351
[REDACTED]

Mr. Joseph L. Brown

Partner-Director of Audit-IN/KY
Rodefer Moss & Co.
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Member
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Chief of Immunology Laboratory
Norton Healthcare
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Attn: Director, Mission & Outreach
Louisville KY 40222-6363 USA
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[REDACTED]

**Metro United Way
Board of Directors
2015**

Ms. Katharine R. Dobbins, LCSW

Executive Director
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Ms. Lynn Howard

Louisville Metro Government, Retired

**Metro United Way
Board of Directors
2015**

Mr. Thomas Johnson

System VP, PR & Comm, CCO
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4967 US Highway 42, Ste 100
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Superintendent
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**Metro United Way
Board of Directors
2015**

Mr. Tim Snavely

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Mr. Justin M. Suer

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Ms. Pattie Dale Tye

President, Large Employee Group
Segment Vice President
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Mr. Vincent Walker

Executive Director
Chase
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P: (502) 566-2427
vincent.t.walker@chase.com

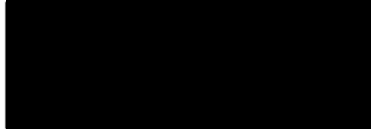
**Metro United Way
Board of Directors
2015**

Ms. Kelly Wathen

Director
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Ms. Anne S. Wilhelmus

Fifth Third Bank, Kentucky, Inc., Retired



Ms. Deborah B. Williams

Vice President
PNC
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EXCERPT FROM METRO UNITED WAY BYLAWS

ARTICLE II

BOARD OF DIRECTORS

Section 1-Number

The Board of Directors shall consist of not less than 30 or more than 60 elected members. The Board shall have the authority to increase its number in order to adequately represent any new communities or areas in which Metro United Way has expanded.

Section 2-Election and Term of Office

Election of Board members and Officers will take place at the Annual meeting of the Board of Directors, which shall be held each year prior to November 1, the specific time and date to be established by the Chairperson of the Board. Members of the Board shall be elected for three year terms and until their successors are elected and accept office. No Board member shall serve more than two consecutive three year terms, but may again serve as such after at least one year off the Board. At the discretion of the Nominating Committee, and elected by the Board of Directors at the annual meeting, up to three Board members may be asked to serve additional years on the Board of Directors to fulfill leadership roles in the organization.

Section 3-Responsibility, Authority and Expectations

A. Responsibility and Authority - The Board of Directors shall have responsibility for oversight of policy related to the property, affairs and finances of Metro United Way and elect members of the Board of Directors, Executive Committee and Nominating Committee as well as Board Officers upon recommendation of the Nominating Committee.

B. Expectations of Members

1. Members of the Board of Directors are expected to attend the majority of the duly scheduled meetings of the board each year.
2. The Nominating Committee will review the attendance of board members annually.
3. Members of the Board of Directors are required to participate as a member of a committee or cabinet of Metro United Way.
4. Board members are expected to participate in the annual campaign through a personal financial donation by August of each year.

Section 4-Annual Meeting

The Annual Meeting of the Board of Directors shall be held each year prior to November 1, the specific time and date to be established by the Chairperson of the Board. Directors may participate in any regular or special meeting by “any means of communication by which all directors participating may simultaneously hear each other during the meeting, “allowing directors to meet by conference call or Skype. Directors may not vote by proxy.

Section 5-Composition

The Nominating Committee is charged with the responsibility of insuring that the Board is broadly representative of the community, including both consumers and contributors.

Section 6-Vacancies

Vacancies on the Board of Directors shall be filled for the unexpired term by the Board, upon recommendation of the Nominating Committee.

Section 7-Meetings

The Board of Directors shall meet on a regular basis, as determined by the Chairperson of the Board, but not less than two times each calendar year. Special meetings shall be held as called by the Chairperson of the Board or upon request of 25% of the Board. The notice of such special meetings shall include the purpose for which the meeting is called, and any meeting called by the necessary number of Board members shall be held within seven days of such request. Directors may participate in any regular or special meeting by “any means of communication by which all directors participating may simultaneously hear each other during the meeting, “allowing directors to meet by conference call or Skype. Directors may not vote by proxy.

Section 8-Ex-Officio Members

A. Ex-officio members of the Board of Directors shall serve as regular members of the Board, shall have all rights and privileges thereto, shall be included in the total number of members allowed for Board membership as stated in Article II, Section 1 and shall include the chairpersons of the following: Metro United Way Council of Agency Executives, Labor Participation Committee.

As stipulated in the By Laws of the Metro United Way Southern Indiana Advisory Board there will be nine ex-officio board members with one of the members being the Chair of the Metro United Way Southern Indiana Advisory Board. Three of the nine members will also serve on the Executive Committee. The Metro United Way Southern Indiana Advisory Board will elect their ex-officio members in accordance with the Metro United Way Southern Indiana Advisory Board Bylaws.

B. By the definition applied, ex-officio shall mean only that the individual serving on the Board of Directors is seated by virtue of the position held as defined in Section 8 Paragraph A, and is not elected to the Board by the regular nominating process and is not subject to the term limitations set out in Article II, Section 2 above.

C. At no time shall the total membership of the Board exceed the limits established in Article II, Section 1, by the inclusion of ex-officio members.

Commonwealth of Kentucky

OFFICE OF
SECRETARY OF STATE

BREMER EHRLER
Secretary



FRANKFORT,
KENTUCKY

CERTIFICATE OF AMENDMENT TO ARTICLES OF INCORPORATION

I, BREMER EHRLER, Secretary of State of the Commonwealth of Kentucky, do hereby certify that Amended Articles of Incorporation of
**METRO UNITED WAY OF LOUISVILLE AND JEFFERSON COUNTY,
NEW ALBANY AND FLOYD COUNTY,
INC.**

CHANGING NAME TO

METRO UNITED WAY, INC.

amended pursuant to Kentucky Revised Statutes, ~~371.04~~ (273) duly signed and verified or acknowledged according to law, have been filed in my office by said corporation, and that all taxes, fees and charges payable upon the filing of said Articles of Amendment have been paid.



SECRETARY OF STATE

*Given under my hand and seal of Office as Secretary of State,
at Frankfort, Kentucky, this* 23RD
day of MAY, 19 88.

Bremer Ehler

SECRETARY OF STATE

ASSISTANT SECRETARY OF STATE

ORIGINAL COPY FILED
SECRETARY OF STATE OF KENTUCKY
FRANKFORT, KENTUCKY

MAY 23 1988

ARTICLES OF AMENDMENT
TO THE
ARTICLES OF INCORPORATION
OF
METRO UNITED WAY OF
LOUISVILLE AND JEFFERSON COUNTY, NEW ALBANY
AND FLOYD COUNTY, INC.

Brenton E. ...
SECRETARY OF STATE

Pursuant to the provisions of Sections 273.261 through 273.271, inclusive, of the Kentucky Revised Statutes, the undersigned not-for-profit corporation, organized and existing under the laws of the Commonwealth of Kentucky, adopts the following Articles of Amendment to its Articles of Incorporation.

FIRST: NAME OF CORPORATION

METRO UNITED WAY OF LOUISVILLE AND JEFFERSON
COUNTY, NEW ALBANY AND FLOYD COUNTY, INC.

SECOND: AMENDMENT

The following amendment to the Articles of Incorporation, changing the corporate name, was adopted by more than a two-thirds (2/3) affirmative vote of the members of the corporation present and entitled to vote thereon, at a meeting of members on April 19, 1988, at which a quorum was present, pursuant to and in the manner prescribed by the abovementioned provisions of the Kentucky Revised Statutes:

Article I of the Articles of Incorporation was amended to delete the following words, to-wit:

"METRO UNITED WAY OF LOUISVILLE AND JEFFERSON COUNTY, NEW ALBANY AND FLOYD COUNTY, INC."

and substitute therefor the following words, to-wit:

"METRO UNITED WAY, INC."

IN TESTIMONY WHEREOF, witness our signatures this 5th day of May, 1988.

METRO UNITED WAY OF LOUISVILLE AND JEFFERSON COUNTY, NEW ALBANY AND FLOYD COUNTY, INC.

By [Signature]
Daniel L. Ash, President

[Signature]
Robert C. Reifsnyder, Secretary

COMMONWEALTH OF KENTUCKY)
COUNTY OF JEFFERSON)

I, LISA BREIT, a Notary Public, do hereby certify that on this 5th day of May, 1988, personally appeared before me Daniel L. Ash and Robert C. Reifsnyder, who, being duly sworn, declared that they are

respectively the President and Secretary of Metro United Way of Louisville and Jefferson County, New Albany and Floyd County, Inc., and that each of them signed the foregoing Articles of Amendment in his respective capacity, and that the statements therein contained are true.

My Commission expires: MARCH 28, 1991.

Lisa Burt
Notary Public, State-at-Large,
Kentucky

This Instrument Was Prepared By:

Sherton R. Weber
SHERTON R. WEBER
Weber & Rose
2300 Citizens Plaza
Louisville, Kentucky 40202
(502) 589-2200

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William
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END OF DOCUMENT

ARTICLES OF AMENDMENT

TO THE

ARTICLES OF INCORPORATION

OF

THE UNITED WAY OF LOUISVILLE AND JEFFERSON COUNTY, INC.

The Articles of Incorporation of The United Way of Louisville and Jefferson County, Inc., as previously amended, are further amended so that as further amended those Articles of Incorporation shall read in their entirety as follows:

AMENDED ARTICLES OF INCORPORATION

OF

METRO UNITED WAY OF LOUISVILLE AND JEFFERSON COUNTY,

NEW ALBANY AND FLOYD COUNTY, INC.

1. Name. The Corporation's name shall be METRO UNITED WAY OF LOUISVILLE AND JEFFERSON COUNTY, NEW ALBANY AND FLOYD COUNTY, INC.

2. Duration. The Corporation's duration shall be perpetual.

3. Purposes. The Corporation is organized under the Kentucky Nonprofit Corporation Act and the purposes and objects for which and for any of which the Corporation

is formed are as follows:

(a) To operate a community fund exclusively for charitable, religious, educational and scientific purposes, and for the prevention of cruelty to children or animals.

(b) Notwithstanding the generality of the foregoing, the Corporation shall not (1) devote more than an insubstantial part of its activities to attempting to influence legislation by propaganda or otherwise, or (2) directly or indirectly participate in, intervene in (including the publishing or distributing of statements), any political campaign on behalf or in opposition to any candidate for public office.

4. Internal Affairs. Provisions for the regulation of the internal affairs of the Corporation, including provisions for the distribution of assets on dissolution or final liquidation, are:

(a) The Corporation shall have no members except and to the extent that members may be provided for in bylaws adopted by the Board of Directors and any members thus provided for shall have only those powers, rights and duties as may be prescribed by the bylaws.


(b) No part of the Corporation's net earnings shall inure to the benefit of any private shareholder or individual.

(c) Upon the dissolution or final liquidation of the Corporation any remaining assets of the Corporation shall be distributed to one or more charitable or educational organizations designated by the Board of Directors at that time, each of which is exempt from federal income tax under §501(c) (3) of the Internal Revenue Code of 1954, as amended, or under corresponding legislation if the Internal Revenue Code of 1954 is not in effect.

Certificate of Adoption

Philip M. Lanier, President, and A. Stevens Miles, Secretary, of the United Way of Louisville and Jefferson County, Inc., hereby certify that at the annual meeting of the members of The United Way of Louisville and Jefferson County, Inc., held on September 12, 1972, pursuant to general public notice to all members, a quorum being present, the foregoing Articles of Amendment to the Articles of Incorporation of The United Way of Louisville and Jefferson County, Inc., were adopted by at least two-thirds of the votes of the members present at such meeting.


Philip M. Lanier, President


A. Stevens Miles, Secretary

Commonwealth of Kentucky Department of State



Office of Secretary of State

Kenneth F. Harper
KENNETH F. HARPER, SECRETARY

ARTICLES OF AMENDMENT

KENNETH F. HARPER

I, ~~LELA F. BEGLEY~~, Secretary of State of the Commonwealth of Kentucky, do hereby certify that Articles of Incorporation of

THE COMMUNITY CHEST OF LOUISVILLE AND JEFFERSON COUNTY, KENTUCKY, Louisville, Kentucky changing name to: THE UNITED WAY OF LOUISVILLE AND JEFFERSON COUNTY INC.

have been amended pursuant to Articles of Amendment, duly signed and acknowledged according to law, this day filed in my office by said corporation, and that all taxes, fees and charges payable upon the filing of said Articles of Amendment have been paid.

Witness my official signature this 9th day

of February, 19 71

Kenneth F. Harper
Secretary of State

S. P. Lynn
Assistant Secretary of State



SECRETARY OF STATE

to file of [unclear]

STATE OF KENTUCKY
COUNTY OF JEFFERSON

The foregoing instrument was acknowledged before me
on September 13, 1972, by Philip M.
Lanier, President, and by A. Stevens Miles, Secretary of
The United Way of Louisville and Jefferson County, Inc.,
a Kentucky corporation, on behalf of the corporation.

My commission expires: December 31, 1974

David Council
Notary Public, Jefferson Co, Kentucky

This instrument prepared by:

BROWN, TODD & HEYBORN

BY Edward S. Bonnie
Edward S. Bonnie
1600 Citizens Plaza
Louisville, Kentucky 40202
502-289-5400

ARTICLES OF
 AMENDMENT TO ARTICLES OF INCORPORATION
 OF THE COMMUNITY CHEST
 of Louisville and Jefferson County


(1) The name of the corporation is THE COMMUNITY CHEST of Louisville and Jefferson County.


(2) The members of the said corporation have adopted the following amendment:

Article First of the Articles of Incorporation, which now reads "The name of this corporation shall be THE COMMUNITY CHEST of Louisville and Jefferson County." shall be amended to read "The name of this corporation shall be THE UNITED WAY of Louisville and Jefferson County, Inc."

(3) The foregoing amendment was submitted to the members of the corporation at a duly called meeting held on January 22, 1971, at which meeting a quorum was present, and at which meeting the aforesaid amendment received at least two-thirds of the votes which members present at such meeting or represented by proxy were entitled to cast.

This 25th day of January, 1971.



 President


 Secretary

STATE OF KENTUCKY
COUNTY OF JEFFERSON

SS

Peter Hirsch
This day appeared before me ~~Louis Hirsch~~, President
of THE COMMUNITY CHEST of Louisville and Jefferson County,
and acknowledged the foregoing Articles of Amendment this
27th day of January, 1971.

My Commission expires Oct 4, 1971

Philip ...
Notary Public, Jefferson County, Kentucky

Notary Public, Ky., State At Large
My Commission Expires Oct. 4, 1971.

ORIGINAL COPY
FILED AND RECORDED

John ...
FEB 4 1971

SECRETARY OF STATE OF KENTUCKY
...

Corp. #48 page 1

2/27/40

AMENDED ARTICLES OF INCORPORATION

OF

THE COMMUNITY CHEST OF LOUISVILLE
AND JEFFERSON COUNTY, KENTUCKY

KNOW ALL MEN BY THESE PRESENTS: That the Articles of Incorporation of the Community Chest of Louisville and Jefferson County, Kentucky, a Kentucky corporation, heretofore amended on November 30, 1926, are hereby further amended as follows:

(1) The Third Article is amended by substituting for the words "twenty-five" the word and figures "fifteen (15)"; so that said Article as amended shall read as follows:

"Third - The affairs of this Corporation shall be managed by a Board of Directors.

"The Board of Directors from time to time shall fix the qualification and manner of election and the number, not less than fifteen (15), of persons constituting the Board of Directors and what officers shall conduct the affairs of this Corporation and how such officers shall be elected and their respective qualifications."

(2) The Fourth Article is amended by substituting for the words "twenty-five" the word and figure "seven (7)"; so that said Article as amended shall read as follows:

"Fourth - A quorum of the Board of Directors shall be seven (7) members even though that number be less than a majority of the whole membership. The Board of Directors may, by by-law, fix the quorum of any committee at such number as it sees fit though less than a majority of the committee membership."

(3) There is added after the Eighth Article a Ninth Article which Ninth Article shall read as follows:

"Ninth - The private property of the members shall not be subject to the payment of the debts of this Corporation."

WITNESS the signatures of the undersigned, constituting two-thirds (2/3) of the Directors of The Community Chest of Louisville and Jefferson County, Kentucky, a Kentucky corporation, at Louisville, Kentucky, this 8 day of February, 1940.

Alvin Kahan Ruth Miller Edna P. Wood

Mrs. Bobie Robinson Mrs. Ralph Long J. J. [unclear]

A. M. Wheat Gerardine [unclear]

M. G. [unclear] Wanda [unclear]

L. L. Greenbaum J. V. [unclear]

[unclear] Living [unclear]

[unclear] [unclear]

Monta [unclear] Chambers [unclear]

Theresa Miller Barry [unclear]

STATE OF KENTUCKY :

COUNTY OF JEFFERSON :

I, Elizabeth H. Aard, a Notary Public
 in and for the State and County aforesaid, do certify that the
 foregoing Amended Articles of Incorporation were this day produced
 to me by Annabel Kahn, Mrs. Solin Robinson, K. M. West, W. G. Kunn,
 S. L. Greenbaum, John Little, Lawrence L. Koch, Martha L. Mumohrey,
 Matilda Willis, Ruth Mallor, Mrs. Ralph P. Long, Geraldine B. Graham,
 Honor Murphy, J. V. Harman, Jr., Deloy Jerome, Theodore S. Ledbetter,
 Chauncey Beeman, Barry Bingham, Edna T. Moss, J. Macaulay L. Smith
 to me known to be Directors of the Community Chest of Louisville and
 Jefferson County, Kentucky, a Kentucky corporation, each of whom
 acknowledged the same to be his/her act and deed.

WITNESS my hand and seal of office this 8th
 day of February, 1940.

My commission expires September 28-1940

Elizabeth H. Aard
 Notary Public, Jefferson County, Kentucky.

Walter Distelhorst Louise R. Wood

Jim Barr

James A. Ford

L. F. Norman

John J. Gallo

Edwards Higgins

William W. Hill

H. W. Jansen

Reed B. Pearson

F. W. Rodenheiser

Bessie M. & David

Anne J. Haines

STATE OF KENTUCKY :

COUNTY OF JEFFERSON :

I, Elizabeth G. Speer, a Notary public in and for the State and County aforesaid, do certify that the foregoing Amended Articles of Incorporation were this day produced to me by to me known to be directors of the Community Chest of Louisville and Jefferson County, Kentucky, a Kentucky corporation, each of whom acknowledged the same to be his/her act and deed.

WITNESS my hand and seal of office this 10th day of February, 1940.

My commission expires September 28-1940

Elizabeth G. Speer
Notary public, Jefferson County, Kentucky.

STATE OF KENTUCKY :

COUNTY OF JEFFERSON :

I, Elizabeth F. Spear, a Notary Public in and for the State and County aforesaid, do certify that the foregoing Amended Articles of Incorporation were this day produced to me by Walter Distelhorst, G. W. Barr, Thomas J. Wood, J. V. Norman, John V. Collins, Edward H. Hilliard, Arthur A. Will, K. W. Haun, Ruth B. Terrell, P. W. Rodenheber, Bettie W. McDonald, Anna J. Faines, Louise R. Wood to me known to be Directors of the Community Chest of Louisville and Jefferson County, Kentucky, a Kentucky corporation, each of whom acknowledged the same to be his/her act and deed.

WITNESS my hand and seal of office this 10th day of February, 1940.

My commission expires September 28-1940

Elizabeth F. Spear
Notary Public, Jefferson County, Kentucky

George W. Horton - Madeline G. ...

William ... M. ...

Victor ... G. ...

A. ... H. ...

G. Hardy - J. M. ...

... Mrs. C. P. ...

...

...

...

...

...

...

STATE OF KENTUCKY :

COUNTY OF JEFFERSON :

I, Elizabeth G. ... a Notary Public in and for the State of Kentucky do certify that the foregoing ... articles of incorporation were this day produced to me by ... Directors of the Community Chest of Louisville, Jefferson County, Kentucky, a Kentucky corporation each of whom acknowledged to me to be their act and deed.

WITNESS my hand and seal of office this 19th day of September 1940

My commission expires September 28, 1940

Elizabeth G. ...
Jefferson County - Kentucky.

STATE OF KENTUCKY :

COUNTY OF JEFFERSON :

I, Elyahut H. Speer, a Notary Public in and for the State and County aforesaid, do certify that the foregoing Amended Articles of Incorporation were this day produced to me by George W. Norton, W. B. Harriann, Victor J. Burger, A. Heyburn, J. E. Hardy, Chas. E. Price, Luther A. Stein, Esther M. Taylor, Alexander C. Erles, Mattie Coombs, Mathilda Mathisen, Mabel L. Kuebler, T. W. Bouterse, G. Leonard Pels, Harold F. Brigham, Jos. H. Frehling, Mrs. C. P. Brecher to me known to be Directors of the Community Chest of Louisville and Jefferson County, Kentucky, a Kentucky corporation, each of whom acknowledged the same to be his/her act and deed.

WITNESS my hand and seal of office this 14th day of February, 1940.

My commission expires September 26-1940

Elyahut H. Speer
 Notary Public, Jefferson County, Kentucky

Sarah W. A. Henton M.A. Perkins

Edna Brandeis Handford

Walter P. Sullivan

Robert L. ...

J. A. ...

...

Sanny Brandeis ...

...

...

Wood L. ...

Gloyd W. ...

STATE OF KENTUCKY

COUNTY OF JEFFERSON :

I, Elyse ... a Notary Public in and for the State and County aforesaid, do certify that the foregoing amended articles of incorporation were this day produced to me by to me known to be Directors of the Community Chest of Louisville and Jefferson County, Kentucky, a Kentucky corporation, each of whom acknowledged the same to be his/her act and deed.

WITNESS my hand and seal of office this 21st day of February, 1940.

My commission expires September 28 - 1940

Elyse ...
Notary Public, Jefferson County, Kentucky.

STATE OF KENTUCKY :

COUNTY OF JEFFERSON :

I, Elizabeth H. Apant, a Notary Public

in and for the State and County aforesaid do hereby certify that the foregoing amended articles of incorporation were this day produced to me by Sarah A. Atherton, Adele Brandeis, Daisy F. Seelbach, James W. Manning, J. A. Thomas, Pons McAdams, Fanny Brandeis, Lewis Tacksv, Nettie L. Smith, Harold L. Brigham, Lloyd T. Clarke, M. A. Brakine, Sanford Smith, Charles W. Allen, Andrew J. Brewer, Dan S. Byck, Preston P. Joyce, Mrs. W. W. Spradling to me known to be Directors of the Community Chest of Louisville and Jefferson County, Kentucky, a Kentucky corporation, each of whom acknowledged the same to be his/her act and deed.

WITNESS my hand and seal of office this 21st day of February, 1940.

My commission expires September 28-1940

Elizabeth H. Apant
Notary Public, Jefferson County, Kentucky.

F. C. P. THEISSER, Clerk of the County Court of Jefferson County in the State of Kentucky do hereby certify that on this day at 2:00 P.M. the foregoing articles of incorporation were produced to me in my office and that I have retained them, this and the foregoing certificate in my said office.

Witness my hand this 27 day of Feb 1940
F. C. P. Theisser

We, the undersigned, hereby associate ourselves together and become incorporated under the provisions of the Kentucky law providing for the incorporation of societies, associations and organizations for religious, charitable and educational purposes, and having no capital stock.

First - The name of this corporation shall be THE COMMUNITY CHEST of Jefferson County, Kentucky.

Second - The objects and purposes for which this corporation is organized are -

(a) To give advice and financial assistance to associations engaged in civic, educational, or philanthropic work in every field.

(b) To collect, receive, borrow and hold money and to acquire property, both real and personal, by purchase, gift or devise, to sell or mortgage any property, real or personal, and to disburse funds held and raised by it, in accordance with the provisions of the by-laws as adopted from time to time.

(c) To promote and co-ordinate the work of associations for civic, educational or philanthropic purposes, to eliminate waste in effort and expenditure, and to apply scientific principles, plans and methods approved by study and experience to social conditions under rules fixed by the by-laws from time to time.

In addition to the foregoing enumeration of purposes which shall not be construed as limitations, this corporation shall have every right and power which is or could be acquired by or granted to a corporation organized under the laws of the State of Kentucky relating to religious, charitable and educational institutions.

Third - The affairs of this corporation shall be managed by a Board of Directors.

The Board of Directors from time to time shall fix the qualification and manner of election and the number of persons constituting the Board of Directors and what officers shall conduct the affairs of the said corporation and how such officers shall be

selected and chosen and their respective qualification.

Fourth - There shall be an Advisory Council. The number of members thereof, the manner of selection, and term of office and duties shall be prescribed by the by-laws.

Fifth - The principal place of business of the corporation shall be in Jefferson County, Kentucky, with an office in Louisville.

Sixth - This corporation shall exist for a period of fifty (50) years unless sooner dissolved in the manner provided by law.

Seventh - This corporation shall have no capital stock and shall not be operated for private, pecuniary profit.

Eighth - The seal of the corporation shall be a circle containing the following words -

"Community Chest of Jefferson County, Kentucky."

with the year "1923".

In Testimony Whereof, witness the signatures of the incorporators this _____ day of December 1923.

State of Kentucky,
County of Jefferson, Not.

I, _____ a Notary Public in and for the State and County aforesaid, do hereby certify that the above and foregoing instrument in writing, was this day produced before me by _____

AND THEY and each of them acknowledged the same to be his and her respective act and deed.

My commission will expire on the day of _____ 19____
Witness my hand and official seal this _____ day of December, 1923.

Notary Public, Jefferson County, Kentucky.

HIGHLIGHTS TO THE MAY, 2015 INTERIM FINANCIAL STATEMENTS (UNAUDITED)

Page 2 – Statement of Activities:

- Current Year Activities – The principal campaign revenue shown on page 2 represents pledges from the 2015 campaign. During the fiscal year, MUW also receives pledges and collections from prior campaigns. The revenue from these pledges is being recognized during the current year
- Allocations – Allocation expenses of \$35,468 reflect special designated pledges received this year. Regular allocation expenses related to the 2015 campaign will be recognized after approval by MUW’s Board of Directors in the spring of 2016.
- Operating Revenue and Expenses – Represents MUW’s approved unrestricted operating budget. The fiscal year to date has a favorable variance of \$14,594.
- Long term investment income – The investment portfolio gained \$57,090 in May. Unrealized losses (market decreases) totaled \$70,284.

Page 4 – Temporarily Restricted Net Assets:

This page represents current year revenues and expenses for Metro United Way programs funded by restricted contributions and grants.

Page 5 – Statement of Financial Position:

- Investments – Consist of the following components:

	<u>5/31/15</u>	<u>5/31/15</u>	<u>4/30/15</u>	<u>Contributions (Distributions)</u>	<u>YTD Gain/(Loss)</u>
Undesignated	\$4,487,445	\$4,487,445	\$5,049,715	\$(600,000)	\$37,730
Conner estate funds – Clark County share	\$385,085	385,085	385,085		0
Total unrestricted, long-term investments	4,872,530	4,872,530	5,434,800	(600,000)	\$37,730
Planned giving endowment gifts	1,378,345	1,378,345	1,378,345		
Temporarily restricted investments	1,139,545	1,139,545	1,120,185		19,360
Other investments	10,521	10,521	3,066		0
Total investments	\$7,400,941	\$7,400,941	\$7,936,396	\$(600,000)	\$57,090
Assets held by the Comm. Foundation					
of Louisville	140,248	140,248	140,248		0
				\$(600,000)	\$57,090

Page 6 – Campaign Collection Summary Report:

- 2014 Campaign Collections – 58.1 % of the total 2014 campaign pledges have been collected through May 31, 2015. This amount is above the range – 49.5% to 53.4% - of collection rates from the prior three campaigns at comparable times of the year. The 2013, 2012, and 2011 campaigns experienced write-offs of 3.2%, 3.2%, and 2.7%, respectively.

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METRO UNITED WAY, INC.
UNRESTRICTED NET ASSETS
STATEMENT OF ACTIVITIES
For One Month Ended May 31, 2015
(Unaudited)

	One Month Ended 5/31/15				One Month Ended 5/31/14
	Annual Budget	Budget	Actual	Favorable (Unfavorable) Variance	Actual
<u>CAMPAIGN CONTRIBUTIONS</u>					
<i>2015 campaign (2014 campaign):</i>					
Pledges earned during the current year			70,919		28,568
Pledges earned during prior years			345,745		427,888
Total 2015 (2014) Campaign Contributions			416,664		456,456
Allowance for uncollectible pledges			(14,583)		(17,117)
<i>Prior year campaigns:</i>					
2014 campaign (2013 campaign):	1,422,815		556,896	(865,919)	282,742
Recoveries from closed campaigns			86,623		62,910
Total Prior year campaign contributions			643,591		345,652
Allowance for uncollectible pledges			(20,244)		(11,015)
TOTAL CAMPAIGN CONTRIBUTIONS, NET			1,025,429		773,976
<u>EXPENSES</u>					
Allocations to Agencies and Partners and Emergency Assistance			35,468		50,023
Operating Budget	7,386,130	615,511	600,917	14,594	530,995
TOTAL NET EXPENSES FUNDED FROM CAMPAIGNS			636,385		581,018
CAMPAIGN CONTRIBUTIONS OVER/(UNDER) EXPENSES			389,044		192,958
<u>ADDITIONAL REVENUE</u>					
Legacies and bequests			0		0
Other income			16,820		5,463
Short term investment income			57		50
Long term investment income - realized gains (losses)			127,079		854
Long term investment income - unrealized gains (losses)			(89,349)		64,653
TOTAL ADDITIONAL REVENUE/(LOSS)			54,607		71,020
<u>ADDITIONAL EXPENSES</u>					
Depreciation			17,588		17,636
Allocations Funded from Reserves			52,763		27,500
Expenditures from reserves			17,788		14,499
TOTAL ADDITIONAL EXPENSES			88,139		59,635
CHANGE IN UNRESTRICTED NET ASSETS			355,512		204,343
NET ASSETS, BEGINNING OF YEAR			7,042,847		8,678,249
NET ASSETS, END OF PERIOD			7,398,359		8,882,592

METRO UNITED WAY, INC.
UNRESTRICTED
OPERATING REVENUE AND EXPENSES
For One Month Ended May 31, 2015
(Unaudited)

		Year To-Date		
	Annual Budget	Budget	Actual	Favorable/ (Unfavorable) Variance
<u>Operating Revenue:</u>				
Sponsorships	93,000	7,750	7,500	(250)
Rental income	15,400	1,283	1,275	(8)
Fiscal agent fees	900	75	708	633
Other fees and miscellaneous sales	<u>147,363</u>	<u>12,280</u>	<u>16,869</u>	<u>4,589</u>
Total Operating Revenue	256,663	21,389	26,353	4,964
 <u>Operating Expenses:</u>				
Salaries	3,977,615	331,468	328,116	3,352
Benefits and payroll taxes	1,138,478	94,873	100,093	(5,220)
Professional fees	757,295	63,108	54,245	8,863
UPIC Services	303,000	25,250	22,268	2,982
Office supplies	25,080	2,090	3,337	(1,247)
Campaign and promotional supplies	95,777	7,981	6,994	988
Telephone	100,716	8,393	11,696	(3,303)
Postage and shipping	38,225	3,185	8,890	(5,704)
Printing and duplicating	81,089	6,757	3,521	3,236
Occupancy	279,195	23,266	18,424	4,842
Travel	48,813	4,068	1,881	2,188
Conferences, conventions, and meetings	221,970	18,497	21,286	(2,788)
Equipment repairs and rentals	116,125	9,677	12,771	(3,094)
Dues to state and national organizations	362,111	30,176	30,178	(0)
Insurance	34,261	2,855	2,626	229
Membership dues	11,850	971	440	531
Miscellaneous	<u>51,395</u>	<u>4,283</u>	<u>506</u>	<u>3,777</u>
Total Operating Expenses	7,642,793	636,899	627,269	9,630
Fiscal year 2015-16 Operating Budget	7,386,130	615,511	600,917	14,594

METRO UNITED WAY, INC.
TEMPORARILY RESTRICTED NET ASSETS
REVENUES AND RELATED EXPENSES
For One Month Ended May 31, 2016
(Unaudited)

	Excellence Academy	Other Early Childhood	Wallace Grant-OST	True Up	Other HS Graduation	211	Endowment Emines	Future Campaigns	Other Grants	Total
Operating Revenues:										
Campaign Contributions	0	2,000	0	16,500	0	0	0	0	3,000	21,500
Sponsorships & Grants	0	0	0	0	0	0	0	0	0	0
Endowment Fund-Realized gains (losses)	0	0	0	0	0	0	295	0	0	295
Endowment Fund-Unrealized gains (losses)	0	0	0	0	0	0	19,065	0	0	19,065
Other	0	25,882	0	0	0	0	0	0	0	25,882
Total Revenues	0	27,882	0	16,500	0	0	19,360	0	3,000	66,752
Operating Expenses:										
Salaries	0	1,667	0	0	3,833	0	0	0	0	5,000
Benefits and payroll taxes	0	127	0	0	1,119	0	0	0	0	1,247
Professional fees	50,417	0	599	4,498	722	4,045	0	2,000	0	62,280
Supplies	45,442	9,078	0	0	0	0	0	0	0	54,520
Equipment Purchases	0	30	0	0	0	0	0	0	0	30
Campaign and promotional supplies	500	0	0	0	0	0	0	0	0	500
Telephones	0	0	60	0	180	0	0	0	0	240
Postage and shipping	18	626	0	0	0	0	0	0	0	644
Printing and duplicating	7	689	0	0	0	0	0	0	0	706
Occupancy	0	0	0	0	0	0	0	0	0	0
Travel	8,674	458	457	0	64	455	0	1,255	0	10,195
Conferences, conventions, and meetings	7,763	0	37	0	218	0	0	0	0	8,018
Equipment repairs and rentals	0	0	0	0	0	0	0	0	0	0
Insurance	0	0	0	0	0	0	0	0	0	0
Assistance to Individuals	0	0	0	0	0	0	0	0	0	0
Grants	0	0	9,310	0	0	0	0	0	0	9,310
Miscellaneous expense	0	0	0	0	0	0	0	203	0	203
Total Expenses	113,620	12,686	10,463	4,497	5,636	4,500	0	0	3,458	155,060
Change in Net Assets	(113,620)	15,206	(10,463)	12,003	(5,636)	(4,500)	19,360	0	(458)	(86,309)
Net Assets, Beginning of Year	776,232	152,474	250,148	1,896,832	223,635	0	1,120,005	351,855	401,309	5,172,489
Net Assets Released-Current Campaign	0	0	0	0	0	0	0	(341,144)	0	(341,144)
Transfers from Board Reserves	0	0	0	0	0	4,500	0	0	0	4,500
Net Assets, End of Period	662,612	167,680	239,685	1,908,835	217,999	0	1,139,364	10,712	400,851	4,747,637

METRO UNITED WAY, INC.
STATEMENT OF FINANCIAL POSITION
May 31, 2015
(Unaudited)

	Balance <u>5/31/2015</u>	Balance <u>4/30/2015</u>	Balance <u>5/31/2014</u>
ASSETS:			
Cash and cash equivalents	\$ 3,459,828	\$ 3,043,808	\$ 2,659,903
Investments	7,400,940	7,936,396	7,702,155
<i>Pledges Receivable, net of allowance:</i>			
2013 campaign	0	0	11,651,654
2014 campaign	11,399,806	12,220,930	482,920
2015 campaign	414,267	367,212	365,000
Allowance for uncollectible pledges	<u>(971,792)</u>	<u>(948,677)</u>	<u>(1,006,592)</u>
	10,842,281	11,639,465	11,492,982
<i>Other Assets:</i>			
Accounts receivable	26,108	61,105	58,906
Other receivables and prepaid expenses	248,720	317,861	351,673
Due from other funds		0	116,739
Funds held in trust by others	246,557	245,006	243,204
Assets held by Community Fdn. of Louisville	<u>140,248</u>	<u>140,248</u>	<u>135,761</u>
	661,633	764,220	906,283
Land, building and equipment less accumulated depreciation	<u>2,530,385</u>	<u>2,543,342</u>	<u>2,629,902</u>
TOTAL ASSETS	\$ 24,895,067	\$ 25,927,232	\$ 25,391,225
LIABILITIES:			
Accounts payable and accrued expenses	\$ 4,381,548	\$ 4,425,311	\$ 3,163,300
Due to other funds	49,875	55,316	0
Agency allocations payable	<u>6,709,273</u>	<u>7,624,345</u>	<u>7,734,765</u>
Total liabilities	\$11,140,696	\$12,104,972	\$10,898,065
NET ASSETS:			
<i>Unrestricted:</i>			
Land, building and equipment	2,530,385	2,329,071	2,629,902
Undesignated	<u>4,867,974</u>	<u>4,713,776</u>	<u>6,252,690</u>
	7,398,359	7,042,847	8,882,592
Temporarily restricted	4,747,537	5,172,489	4,015,425
Permanently restricted (Endowment)	1,608,475	1,606,924	1,595,143
Total net assets	13,754,371	13,822,260	14,493,160
TOTAL LIABILITIES AND NET ASSETS	\$ 24,895,067	\$ 25,927,232	\$ 25,391,225

**METRO UNITED WAY, INC.
CAMPAIGN COLLECTION SUMMARY REPORT
05/31/15**

Pledges (In thousands)

CAMPAIGNS	2014	2013	2012	2011
Reported Campaign Results	27,650	28,100	28,900	27,800
Total Pledges Earned (Gross)	27,234	27,510	28,911	27,828
Less CFC Designations	0	(913)	(1,108)	(1,098)
Total MUW Pledges Earned	27,234	26,597	27,803	26,730

COLLECTIONS (In thousands)

	-----THIS TIME-----				GRAND TOTAL THROUGH	05/31/15	
	CURRENTLY 05/31/15	1 YEAR AGO	2 YEARS AGO	3 YEARS AGO			
CAMPAIGNS	2014	2013	2012	2011	2013	2012	2011
Collected:							
Dollars	15,834	14,192	14,515	13,241	25,746	26,916	25,981
As % of gross pledges	58.1%	53.4%	52.2%	49.5%	96.8%	96.8%	97.3%
Uncollected:							
Dollars	11,400	12,405	13,288	13,489	851	887	749
As % of gross pledges	41.9%	46.6%	47.8%	50.5%	3.2%	3.2%	2.7%
					FINAL PLEDGE LOSS		
Budgeted shrinkage:							
Dollars	953	997	1,043	1,069			
As % of gross pledges	3.50%	3.75%	3.75%	4.00%			

Metro United Way Key Staff Members

Joe Tolan, President and CEO

Phil Bond, Vice President and Chief Financial Officer

Gilbert Betz, Chief Strategy Officer

Maggie Elder, Vice President Community Building

Mary-Gwynne Daugherty, Vice President Human Relations

NOV 13 2007

Internal Revenue Service

Date: November 5, 2007

METRO UNITED WAY INC
PO BOX 4488
LOUISVILLE KY 40204-0488

Department of the Treasury
P. O. Box 2508
Cincinnati, OH 45201

Person to Contact:
Ms. K. Hilson ID# 17-57087
Customer Service Representative
Toll Free Telephone Number:
877-829-5500
Federal Identification Number:
[REDACTED]

Dear Sir or Madam:

This is in response to your request of November 5, 2007, regarding your organization's tax-exempt status.

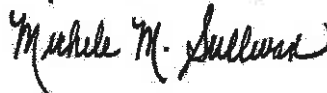
In April 1938 we issued a determination letter that recognized your organization as exempt from federal income tax. Our records indicate that your organization is currently exempt under section 501(c)(3) of the Internal Revenue Code.

Our records indicate that your organization is also classified as a public charity under sections 509(a)(1) and 170(b)(1)(A)(vi) of the Internal Revenue Code.

Our records indicate that contributions to your organization are deductible under section 170 of the Code, and that you are qualified to receive tax deductible bequests, devises, transfers or gifts under section 2055, 2106 or 2522 of the Internal Revenue Code.

If you have any questions, please call us at the telephone number shown in the heading of this letter.

Sincerely,



Michele M. Sullivan, Oper. Mgr.
Accounts Management Operations 1

METRO UNITED WAY, INC.
A Not-for-Profit Corporation

Financial Report

April 30, 2014 and 2013

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Independent Auditor's Report

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INDEPENDENT AUDITOR'S REPORT

Finance Committee
Metro United Way, Inc.
P.O. Box 4488
Louisville, Kentucky 40204

**HARDING, SHYMANSKI
& COMPANY, P.S.C.**

Certified Public Accountants
and Consultants

21 S.E. Third Street, Suite 500
P.O. Box 3677
Evansville, IN 47735-3677

(812) 464-9161
Fax (812) 465-7811

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www.hscpa.com

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Owned Member,
McGladrey Alliance

Report on the Financial Statements

We have audited the accompanying financial statements of Metro United Way, Inc., a Not-for-Profit Corporation, which comprise the statement of financial position as of April 30, 2014, the related statements of activities, cash flows, and functional expenses for the year then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a reasonable basis for our audit opinion.

-2-

Evansville, IN ■ Louisville, KY

INDEPENDENT AUDITOR'S REPORT (CONTINUED)

Opinion

In our opinion, the financial statements referred to on the previous page present fairly, in all material respects, the financial position of Metro United Way, Inc., as of April 30, 2014, and changes in its net assets and its cash flows for the year then ended, in accordance with accounting principles generally accepted in the United States of America.

Report on Summarized Comparative Information

We have previously audited Metro United Way, Inc.'s, a Not-for-Profit Corporation, April 30, 2013 financial statements, and we expressed an unmodified audit opinion on those audited financial statements in our report dated October 4, 2013. In our opinion, the summarized comparative information presented herein as of and for the year ended April 30, 2013, is consistent, in all material respects, with the audited financial statements from which it has been derived.

Harding, Shymanski & Company, P.S.C.

Louisville, Kentucky
August 19, 2014

METRO UNITED WAY, INC.
A Not-for-Profit Corporation

STATEMENTS OF FINANCIAL POSITION
 April 30, 2014 and 2013

	2014	2013
ASSETS		
Cash and Cash Equivalents	\$ 3,139,615	\$ 3,541,022
Investments	6,787,521	6,644,563
Pledges Receivable, Less Allowance for Uncollectible Pledges 2014 \$2,037,133; 2013 \$1,024,470	12,779,049	13,398,707
Other Receivables and Prepaid Expenses	538,229	451,352
Land, Building, and Equipment, Net	2,634,298	2,722,090
Assets Held for Custodian Funds	885,643	1,107,301
Investments Held by Others	1,317,988	1,207,842
Total assets	\$ 28,082,343	\$ 29,072,877
LIABILITIES AND NET ASSETS		
Allocations Payable	\$ 8,595,747	\$ 10,084,483
Accounts Payable and Accrued Expenses	552,613	872,156
Grant Funds Payable	444,058	0
Pension Liability	2,048,710	2,765,880
Amounts Due Custodian Funds	885,643	1,107,301
Total liabilities	12,526,771	14,829,820
Net Assets		
Unrestricted		
Invested in land, building, and equipment	2,634,298	2,722,090
Unappropriated	6,731,183	5,352,753
	9,365,481	8,074,843
Temporarily restricted	4,599,113	4,616,103
Permanently restricted	1,590,978	1,552,111
Total net assets	15,555,572	14,243,057
Total liabilities and net assets	\$ 28,082,343	\$ 29,072,877

See notes to financial statements.

METRO UNITED WAY, INC.
A Not-for-Profit Corporation

STATEMENTS OF ACTIVITIES
Years Ended April 30, 2014 and 2013

	April 30, 2014			Total	April 30, 2013
	Unrestricted Net Assets	Temporarily Restricted	Permanently Restricted		
Total 2013/2012 campaign results	\$ 24,814,169	\$1,648,279	\$ 0	\$26,462,448	\$27,660,108
Donor designations	(5,583,887)	0	0	(5,583,887)	(6,723,441)
Total 2013/2012 campaign contributions	<u>19,230,282</u>	<u>1,648,279</u>	<u>0</u>	<u>20,878,561</u>	<u>20,936,667</u>
Revenues, gains, and other support:					
Current campaign					
Contributions received in the current year	\$ 18,879,634	\$1,369,474	\$ 0	\$20,249,108	\$19,880,603
Contributions received in prior years released from restrictions	350,648	(350,648)	0	0	0
Total contributions from current campaign	<u>19,230,282</u>	<u>1,018,826</u>	<u>0</u>	<u>20,249,108</u>	<u>19,880,603</u>
Provision for uncollectible pledges	(759,342)	0	0	(759,342)	(742,883)
Future campaigns:					
Contributions received for future allocation periods	0	832,295	0	832,295	368,398
Provision for uncollectible pledges	0	(31,211)	0	(31,211)	(12,817)
Prior campaigns:					
Additional contributions	<u>571,596</u>	<u>768,027</u>	<u>0</u>	<u>1,339,623</u>	<u>1,404,560</u>
Total campaigns	<u>19,042,536</u>	<u>2,587,937</u>	<u>0</u>	<u>21,630,473</u>	<u>20,897,861</u>
Legacies and bequests	500	0	0	500	261,395
Grants and other income	131,532	115,620	23,000	270,152	2,891,258
Investment income, net	128,405	65,587	3,103	197,095	109,839
Rental income	39,478	70	0	39,548	42,950
Realized and unrealized gains on investments, net	384,668	24,140	0	408,808	431,849
Appreciation of investments held by others	13,423	76,172	12,764	102,359	88,695
Administrative fees for fundraising on behalf of others	245,294	0	0	245,294	240,498
Other fees and miscellaneous sales	21,566	1,760	0	23,326	42,512
Other net assets released from restrictions	<u>2,888,276</u>	<u>(2,888,276)</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total revenues, gains, and other support	<u>\$ 22,895,678</u>	<u>\$ (16,990)</u>	<u>\$ 38,867</u>	<u>\$22,917,555</u>	<u>\$25,006,857</u>

See notes to financial statements.

METRO UNITED WAY, INC.
A Not-for-Profit Corporation

STATEMENTS OF ACTIVITIES (CONTINUED)
Years Ended April 30, 2014 and 2013

	April 30, 2014			Total	April 30, 2013
	Unrestricted Net Assets	Temporarily Restricted	Permanently Restricted		
Allocations and other functional expenses:					
Gross allocations to agencies and partners	\$ 17,653,537	\$ 0	\$ 0	\$ 17,653,537	\$ 19,888,266
Less donor designations	(5,583,887)	0	0	(5,583,887)	(6,723,441)
Net allocations to agencies and partners	12,069,650	0	0	12,069,650	13,164,825
Program/community services	5,664,763	0	0	5,664,763	5,620,173
Supporting services					
Fundraising	3,036,716	0	0	3,036,716	2,976,493
Management and general	1,800,220	0	0	1,800,220	1,706,465
Total allocations and other functional expenses	22,571,349	0	0	22,571,349	23,467,956
Changes in net assets before pension related changes	324,329	(16,990)	38,867	346,206	1,538,901
Pension related changes other than net periodic pension costs	966,309	0	0	966,309	(559,832)
Change in net assets	1,290,638	(16,990)	38,867	1,312,515	979,069
Net assets at beginning of period	8,074,843	4,616,103	1,552,111	14,243,057	13,263,988
Net assets at end of period	\$ 9,365,481	\$ 4,599,113	\$ 1,590,978	\$ 15,555,572	\$ 14,243,057

See notes to financial statements.

METRO UNITED WAY, INC.
A Not-for-Profit Corporation

STATEMENTS OF CASH FLOWS
 Years Ended April 30, 2014 and 2013

	2014	2013
Cash Flows from Operating Activities		
Change in net assets	\$ 1,312,515	\$ 979,069
Adjustments to reconcile change in net assets to net cash provided by (used in) operating activities		
Depreciation	219,801	194,474
Provision for uncollectible pledges, total	790,553	755,700
Appreciation of investments held by others	(102,359)	(88,695)
Investment income for long-term investments, net	(197,095)	(80,860)
Realized and unrealized gains on investments, net	(408,808)	(431,849)
Contributions of stock	(119,216)	(247,975)
Changes in		
Pledges receivable	(170,895)	(310,158)
Other receivables and prepaid expenses	(86,877)	(106,573)
Allocations payable	(1,488,736)	(242,898)
Accounts payable and accrued expenses	(319,543)	217,338
Grant funds payable	444,058	0
Pension liability	(717,170)	749,652
Net cash provided by (used in) operating activities	\$ (843,772)	\$ 1,387,225
Cash Flows from Investing Activities		
Purchases of land, building, and equipment	\$ (132,009)	\$ (163,814)
Purchases of investments	0	(500,000)
Proceeds from sale of investments	574,374	560,043
Net cash provided by (used in) investing activities	\$ 442,365	\$ (103,771)
Net increase (decrease) in cash and cash equivalents	(401,407)	1,283,454
Cash and cash equivalents at beginning of year	3,541,022	2,257,568
Cash and cash equivalents at end of year	<u>\$ 3,139,615</u>	<u>\$ 3,541,022</u>

See notes to financial statements.

METRO UNITED WAY, INC.
A Not-for-Profit Corporation

STATEMENTS OF FUNCTIONAL EXPENSES
Years Ended April 30, 2014 and 2013

	Program/Community Services		Supporting Services			Totals	
	Community Investments and Fund Distribution	Community Services	Fundraising	Management and General	Supporting Services	Total	
						Program/Community Services	Supporting Services
Gross Allocations	\$ 17,653,537	\$ 0	\$ 0	\$ 0	\$ 0	\$ 17,653,537	\$ 19,888,266
Less donor designations	(5,583,887)	0	0	0	0	(5,583,887)	(6,723,441)
Net allocations	12,069,650	0	0	0	0	12,069,650	13,164,825
Salaries	239,528	1,391,536	1,533,247	856,273	2,389,520	4,020,584	3,817,916
Fringe benefits	54,913	250,634	343,794	239,240	583,034	888,581	816,824
Payroll taxes	17,090	86,242	119,891	61,444	181,335	284,667	284,164
Professional fees and contract services	26,922	1,770,893	317,983	235,845	553,828	2,351,643	2,381,579
Supplies	916	12,840	7,053	4,628	11,681	25,437	32,078
Telephone	6,755	68,113	41,636	27,717	69,353	144,221	129,901
Postage and shipping	1,020	12,623	14,253	10,478	24,731	38,374	33,578
Occupancy	25,824	89,043	136,228	84,444	220,672	335,539	315,851
Equipment repairs and rentals	5,433	40,057	50,607	31,381	81,988	127,478	93,374
Printing and duplicating	4,178	91,737	99,710	14,027	113,737	209,652	263,168
Travel	7,395	94,509	34,416	11,196	45,612	147,516	138,808
Conferences, conventions, and meetings	5,891	165,387	66,213	28,985	95,198	266,476	227,295
Membership dues	726	1,148	3,437	2,302	5,739	7,613	9,544
Dues to State United Ways	4,142	20,710	33,965	24,024	57,989	82,841	81,025
Insurance	2,922	5,886	11,567	10,651	22,218	31,026	30,172
Banking and processing fees	1,531	8,084	12,726	9,134	21,860	31,475	34,652
Specific assistance to individuals - March 2 Recovery	0	997,221	0	0	0	997,221	1,129,486
Miscellaneous	211	1,145	2,861	1,944	4,805	6,161	16,674
Depreciation	10,990	54,950	90,118	63,743	153,861	219,801	194,474
	416,387	5,162,758	2,919,705	1,717,456	4,637,161	10,216,306	10,030,563
United Way Worldwide dues	14,270	71,348	117,011	82,764	199,775	285,393	272,568
Total functional expenses	\$ 12,500,307	\$ 5,234,106	\$ 3,036,716	\$ 1,800,220	\$ 4,836,936	\$ 22,571,349	\$ 23,467,956

See notes to financial statements.

METRO UNITED WAY, INC.
A Not-for-Profit Corporation

NOTES TO FINANCIAL STATEMENTS
April 30, 2014 and 2013

Note 1 – Organization

Metro United Way, Inc. (Metro United Way or Organization) is a not-for-profit Organization managed by a Board of Directors and is not subject to federal or state income taxes. The Organization serves a seven-county area in Kentucky and Southern Indiana.

Metro United Way has a strong commitment to advance the common good by improving lives and the community. The Organization achieves this by addressing community-level issues and showing measurable progress in the areas of education, financial stability, and health, the building blocks for a good quality of life. The Organization brings the people and organizations with the passion, expertise, and resources together to realize a vision of a community whose people achieve their fullest potential through education, financial stability, and healthy lives.

The accounts in the financial statements include the following activities:

Annual Campaign: An annual campaign is conducted to raise support for programs and services of participating agencies and other partners. Two partners, Louisville Area Chapter of the American Red Cross and American Cancer Society, participate in the annual campaign. The Louisville Area Chapter of the American Red Cross and American Cancer Society receive campaign pledges in accordance with their respective agreements.

Combined Federal Campaign (CFC): The CFC results from the agreement between the Louisville Area Federal Executive Association (Local Federal Coordinating Committee) and Metro United Way is conducted in order to meet the wishes of Federal employees for a single campaign to reduce the costs to the U.S. Government and increase financial support to not-for-profit organizations through payroll giving. Metro United Way is the Principal Combined Fund Organization for the CFC.

The CFC is conducted simultaneously with Metro United Way's annual campaign. Metro United Way, along with participating agencies, receives a percentage of the campaign pledges collected, net of campaign expenses. Such funds are accounted for in a custodial fund and in accordance with the terms of the campaign agreement.

CFC is included in total campaign results and donor designations. Designations are made to each member organization by distributing a proportionate share of receipts based on donor designations to each member. In addition, assets related to CFC are included in "Assets Held for Custodian Funds" along with the corresponding liability included in "Amounts Due Custodian Funds."

METRO UNITED WAY, INC.
A Not-for-Profit Corporation

NOTES TO FINANCIAL STATEMENTS
April 30, 2014 and 2013

Note 2 – Summary of Significant Accounting Policies

The financial statements have been prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America for not-for profit organizations, and financial statement standards issued by United Way of America. Significant accounting policies follow:

Summarized Financial Information for Prior Year

The financial statements and notes include certain prior-year summarized comparative information. Such information does not include sufficient detail to constitute a presentation in conformity with accounting principles generally accepted in the United States of America. Accordingly, such information should be read in conjunction with the Metro United Way's financial statements for the year ended April 30, 2013, from which the summarized information was derived.

Concentration of Credit Risk

Financial instruments, which potentially subject the Organization to concentrations of credit risk, consist principally of cash, cash equivalents, certificates of deposit, and accounts receivable. At times, such cash, cash equivalents, and certificates of deposit in banks may be in excess of the Federal Deposit Insurance Corporation insurance limit.

Cash and Cash Equivalents

For purposes of reporting the statements of cash flows, the Organization considers all cash accounts, which are not subject to withdrawal restrictions or penalties, and all highly liquid debt instruments purchased with a maturity of three months or less to be cash equivalents.

Contributions

The Organization reports gifts of cash and other assets as restricted if they are received with donor stipulations that limit the use of the donated assets. When a donor restriction expires, that is, when a stipulated time restriction ends or purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions. Pledges received for which the time restriction has been met in the current period are recorded in unrestricted net assets.

Contributions received where the donor has restricted the use of the principal and allows only the income to be utilized to support activities of the Organization, are recorded as permanently restricted net assets.

Contributions received for investment in land, building, and equipment, unless restricted by the donor, are designated for that purpose by the Board and recorded as unrestricted net assets. Contributions specifically restricted by the donor are reported in temporarily restricted net assets.

METRO UNITED WAY, INC.
A Not-for-Profit Corporation

NOTES TO FINANCIAL STATEMENTS
April 30, 2014 and 2013

Note 2 – Summary of Significant Accounting Policies (Continued)

Contributions (Continued)

Contributions received, which have been designated by the donor to be given to another organization, are included in total campaign results, but are not included in revenues on the accompanying statements of activities. These contributions pass through Metro United Way as agency transactions to the designated recipient.

Functional Expenses

The Organization uses time allocation for the allocation of expenses between fundraising, management and general, and program services. Costs of items that can be specifically identified are charged directly to the respective category.

Pledges Receivable

Pledges, less an estimated provision for uncollectible amounts, are recorded as receivables in the year made. The provision for uncollectible pledges is based upon the Organization's collection policy, past experience, the length of time pledges have been outstanding, and current economic factors.

At the end of the collection period of each campaign, any differences between the original provision for uncollectible pledges and the actual uncollectible amount is recognized by the Organization and recorded with "Prior Campaigns-Additional Contributions" on the statement of activities.

Donated Services, Goods, and Property

Various volunteers have donated substantial amounts of time toward the annual campaign and the various community activities; however, no values of in-kind amounts have been included in the financial statements as there is no objective basis upon which to measure the value of these services.

Donated goods and property are recorded in the financial statement at their fair value when received.

Investments and Investments Held by Others

Investments and investments held by others are recorded at fair value based on quoted market value. Unrealized and realized gains and losses are recorded in the statements of activities as well as appreciation and depreciation of investments held by others. Investment earnings are reported net of related expenses, such as custodial and commission fees. Short-term investments consist of cash and cash equivalents held by investment firms.

METRO UNITED WAY, INC.
A Not-for-Profit Corporation

NOTES TO FINANCIAL STATEMENTS
April 30, 2014 and 2013

Note 2 – Summary of Significant Accounting Policies (Continued)

Fair Value Measurements

Under the Financial Accounting Standards Board's (FASB) authoritative guidance on fair value measurements, fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. In determining fair value, the Organization uses various methods including market, income, and cost approaches. Based on these approaches, the Organization often utilizes certain assumptions that market participants would use in pricing the asset or liability, including assumptions about risk and/or the risks inherent in the inputs to the valuation technique. These inputs can be readily observable, market corroborated, or generally unobservable inputs. The Organization utilizes valuation techniques that maximize the use of observable inputs and minimize the use of unobservable inputs. Based on the observability of the inputs used in the valuation techniques, the Organization is required to provide the following information according to the fair value hierarchy. The fair value hierarchy ranks the quality and reliability of the information used to determine fair values. Financial assets and liabilities carried at fair value will be classified and disclosed in one of the following three categories:

Level 1 – Valuations for assets and liabilities traded in active exchange markets, such as the New York Stock Exchange. Level 1 also includes U.S. Treasury and federal agency securities and federal agency mortgage-backed securities, which are traded by dealers or brokers in active markets. Valuations are obtained from readily available pricing sources for market transactions involving identical assets or liabilities.

Level 2 – Valuations for assets and liabilities traded in less active dealer or broker markets. Valuations are obtained from third party pricing services for identical or similar assets or liabilities.

Level 3 – Valuations for assets and liabilities that are derived from other valuations methodologies, including option pricing models, discounted cash flow models, and similar techniques, and not based on market exchange, dealer, or broker-traded transactions. Level 3 valuations incorporate certain assumptions and projections in determining the fair value assigned to such assets or liabilities.

While the Organization believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different estimate of fair value at the reporting date.

During the years ended April 30, 2014 and 2013, there were no changes to the Organization's valuation techniques that had, or are expected to have, a material impact on its financial position or results of operations.

The following is a description of the valuation methodology used for instruments measured at fair value:

METRO UNITED WAY, INC.
A Not-for-Profit Corporation

NOTES TO FINANCIAL STATEMENTS
April 30, 2014 and 2013

Note 2 – Summary of Significant Accounting Policies (Continued)

Investment Securities

The fair value of investment securities is the market value based on quoted market prices, if available, or market prices provided by recognized broker dealers. If listed prices or quotes are not available, fair value is based upon externally developed models that use unobservable inputs due to the limited market activity of the instrument.

Property, Equipment, and Depreciation

Property and equipment are stated at cost. Provisions for depreciation of property and equipment have been computed on the straight-line method over the estimated useful life.

Long-Lived Assets

Long-lived assets are reviewed for impairment in accordance with guidance issued by FASB. The Organization records impairment losses on long-lived assets used in operations when events and circumstances indicate that the assets might be impaired and the undiscounted cash flows estimated to be generated by those assets are less than the carrying amounts of those assets. Impairment losses are measured by comparing the estimated fair value of the assets to their carrying amount. There were no impairment losses for the years ended April 30, 2014 and 2013, respectively.

Allocations Payable

Allocations payable are recorded once the Board has made the commitment to the community of the amount that will be allocated for each particular campaign.

Grant Funds Payable

Grant funds payable relate to the unspent funds to be returned to the grantor at the end of the grant period for a one-time grant received for tornado relief efforts referred to as "March2Recovery." See additional details at Note 7.

Legacies and Bequests

Legacies and bequests are generally recognized when Metro United Way is notified and are accounted for separately from the annual campaigns.

Income Taxes

Metro United Way is exempt from federal income taxes pursuant to Section 501(c)(3) of the Internal Revenue Code and is not considered a private foundation.

METRO UNITED WAY, INC.
A Not-for-Profit Corporation

NOTES TO FINANCIAL STATEMENTS
April 30, 2014 and 2013

Note 2 – Summary of Significant Accounting Policies (Continued)

Subsequent Events Evaluation

The Organization has evaluated subsequent events through August 19, 2014, the date on which the financial statements were available to be issued.

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

Reclassification

Certain items in the April 30, 2013 financial statements have been reclassified to conform to the April 30, 2014 classifications.

Recently Issued Accounting Standards

Classification of the Sale Proceeds of Donated Financial Assets in the Statement of Cash Flows

In October 2012, FASB issued guidance which requires a not-for-profit entity to classify cash receipts from the sale of donated financial assets consistently with cash donations received in the statement of cash flows if those cash receipts were from the sale of donated financial assets that upon receipt were directed without any not-for-profit entity-imposed limitations for sale and were converted nearly immediately into cash. Accordingly, the cash receipts from the sale of those financial assets should be classified as cash inflows from operating activities, unless the donor restricted the use of the contributed resources to long-term purposes, in which case those cash receipts should be classified as cash flows from financing activities. Otherwise, cash receipts from the sale of donated financial assets should be classified as cash flows from investing activities. This guidance is effective prospectively for fiscal years, and interim periods within those years, beginning after June 15, 2013. The guidance primarily impacts the Organization's presentation of cash flows, but is otherwise not expected to have a material impact on the Organization's financial statements.

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NOTES TO FINANCIAL STATEMENTS
 April 30, 2014 and 2013

Note 3 – Investments

Investments are summarized as follows at April 30, 2014 and 2013:

	2014		2013	
	Cost	Fair Value	Cost	Fair Value
Corporate bonds	\$ 1,057,299	\$ 1,054,676	\$ 1,642,441	\$ 1,648,017
Common stock and mutual funds	3,307,344	4,091,130	2,971,164	3,329,804
Certificates of deposit	1,178,350	1,178,350	1,155,555	1,155,555
Money market funds	463,365	463,365	511,187	511,187
	<u>\$ 6,006,358</u>	<u>\$ 6,787,521</u>	<u>\$ 6,280,347</u>	<u>\$ 6,644,563</u>

At April 30, 2014 and 2013, the balances of assets and liabilities measured at fair value on a recurring basis are as follows:

	Fair Value	Level 1
<u>April 30, 2014</u>		
Money market funds	\$ 463,365	\$ 463,365
Certificates of deposit	1,178,350	1,178,350
Common stock and mutual funds	4,091,130	4,091,130
Corporate bonds	1,054,676	1,054,676
	<u>\$ 6,787,521</u>	<u>\$ 6,787,521</u>
<u>April 30, 2013</u>		
Money market funds	\$ 511,187	\$ 511,187
Certificates of deposit	1,155,555	1,155,555
Common stock and mutual funds	3,329,804	3,329,804
Corporate bonds	1,648,017	1,648,017
	<u>\$ 6,644,563</u>	<u>\$ 6,644,563</u>

Investment income for the years ended April 30, 2014 and 2013 has been presented net of related investment expenses of \$37,616 and \$20,732 in the statement of activities.

METRO UNITED WAY, INC.
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NOTES TO FINANCIAL STATEMENTS
 April 30, 2014 and 2013

Note 3 – Investments (Continued)

The Organization has beneficial interest in perpetual trusts of \$239,071 and \$223,204 as of April 30, 2014 and 2013, respectively. The Organization records the value at the stated value of their interest as determined by the trustee.

At April 30, 2014 and 2013, the balances of assets and liabilities of the Organization's investments held by others, excluding beneficial interest in perpetual trusts, measured at fair value on a recurring basis are as follows:

	<u>Fair Value</u>	<u>Level 1</u>
<u>April 30, 2014</u>		
Money market funds	\$ 10,707	\$ 10,707
Common stock and mutual funds	883,503	883,503
Corporate bonds	<u>184,707</u>	<u>184,707</u>
	<u>\$ 1,078,917</u>	<u>\$ 1,078,917</u>
<u>April 30, 2013</u>		
Money market funds	\$ 8,438	\$ 8,438
Common stock and mutual funds	787,855	787,855
Corporate bonds	<u>188,345</u>	<u>188,345</u>
	<u>\$ 984,638</u>	<u>\$ 984,638</u>

Note 4 – Pledges Receivable

A summary of the components of pledges receivable is as follows:

	<u>2014</u>	<u>2013</u>
2012 campaign	\$ 1,367,528	\$ 13,729,276
2013 campaign	12,610,374	557,662
Future campaigns	<u>838,280</u>	<u>136,239</u>
Total pledges receivable	14,816,182	14,423,177
Less allowance for uncollectible pledges	<u>(2,037,133)</u>	<u>(1,024,470)</u>
Net pledges receivable	<u>\$ 12,779,049</u>	<u>\$ 13,398,707</u>

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NOTES TO FINANCIAL STATEMENTS
 April 30, 2014 and 2013

Note 4 – Pledges Receivable (Continued)

Metro United Way receives pledges from corporations and individuals primarily located in Jefferson and adjoining counties in Kentucky and Southern Indiana. Although Metro United Way has many diverse pledges from donors, a substantial portion of its donors' abilities to perform is somewhat dependent on the economic conditions of the counties in which they operate.

Note 5 – Property and Equipment

Property and equipment at April 30, 2014 and 2013 consisted of the following:

	2014		2013	
	Cost	Accumulated Depreciation	Cost	Accumulated Depreciation
Land	\$ 403,218	\$ 0	\$ 403,218	\$ 0
Building and improvements	4,365,266	2,245,748	4,299,639	2,105,113
Equipment, furniture, and fixtures	1,372,384	1,260,822	1,306,609	1,182,263
Leasehold improvements	22,688	22,688	22,688	22,688
Automobiles	81,205	81,205	81,205	81,205
	<u>\$ 6,244,761</u>	<u>\$ 3,610,463</u>	<u>\$ 6,113,359</u>	<u>\$ 3,391,269</u>

Depreciation expense for the years ended April 30, 2014 and 2013 was \$219,801 and \$194,474, respectively.

Note 6 – Line of Credit

The Organization has an operating line of credit with maximum borrowings of \$1,000,000. Metro United Way has pledged not to, without prior written consent of the bank, voluntarily or involuntarily, sell, transfer, or convey any of its assets (except in the ordinary course of business) or pledge or grant a security interest in any assets, except for those permitted liens on specific equipment which have been granted to the bank. Interest is at 1.15 percent in excess of LIBOR rate. The line of credit matures on December 27, 2014. There were no borrowings on the line of credit at April 30, 2014 and 2013.

METRO UNITED WAY, INC.
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NOTES TO FINANCIAL STATEMENTS
 April 30, 2014 and 2013

Note 7 – Temporarily Restricted Net Assets and Net Assets Released From Restrictions and Commitments

Temporarily restricted net assets at April 30, 2014 and 2013 represent contributions restricted by donors for specific programs of Metro United Way, subsequent year allocations to Metro United Way agencies, restricted grants, and certain land, building, and equipment which are restricted for a specific program.

A summary of the components of temporarily restricted net assets is as follows:

	<u>2014</u>	<u>2013</u>
Success By Six	\$ 19,796	\$ 65,222
Early Childhood Excellence Academy	473,210	146,349
Other Early Care and Education Initiatives	137,400	28,613
Endowment Fund Earnings	1,036,750	872,644
Family Stability Initiatives	242,107	521
Future Campaigns	664,117	421,181
Foster Care Initiative	1,309,001	778,426
March2Recovery	5,000	1,245,473
Disaster Relief	31,618	47,297
Wallace OST Grant	490,056	680,596
IAUW INvest1213 Grant	7,185	139,979
Other High School Graduation Initiatives	161,768	103,902
Other Restricted Gifts	21,105	85,900
	<u>\$ 4,599,113</u>	<u>\$ 4,616,103</u>

As further discussed in Note 8, endowment fund earnings that have not been appropriated for expenditure by the Organization are classified as temporarily restricted net assets.

Net assets of \$350,648 for the year ended April 30, 2014 have been released from temporarily restricted net assets due to the expiration of time restrictions on campaign pledges. Purpose-based restrictions of \$2,888,276 have also been released from net assets for the year ended April 30, 2014.

METRO UNITED WAY, INC.
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NOTES TO FINANCIAL STATEMENTS
April 30, 2014 and 2013

***Note 7 – Temporarily Restricted Net Assets and Net Assets Released From Restrictions and Commitments
(Continued)***

March2Recovery (M2R):

The M2R is the official long-term recovery initiative providing disaster recovery services to individuals and families affected by the March 2, 2012 tornadoes in Southern Indiana. The M2R is funded through grants, donations of cash, and in-kind donations. Metro United Way expects the M2R to have approximately \$444,000 in unspent grant funds from Indiana Association of United Ways.

Because of the abundance of funds and volunteer time contributed from other generous partners, fewer funds were needed from the Indiana Association of United Ways than had been anticipated. In accordance with the terms of the grant, Metro United Way will return those funds to the grantor for their use in future disasters. Accordingly, Metro United Way has recorded a liability as of April 30, 2014 for the full amount expected to be returned.

Note 8 – Endowment Funds

Endowment Funds

As approved by the Board of Directors, Metro United Way's general endowment is invested through Fifth Third Bank's Foundation and Endowment Services. As directed by the donor, Metro United Way's Otis T. Turner Memorial Fund (Turner Fund) is invested through PNC Institutional Investments. As required by accounting principles generally accepted in the United States of America, net assets associated with endowment funds are classified and reported based on the existence or absence of donor-imposed restrictions.

Interpretation of Relevant Law

The Organization complies with the recognition and disclosure provisions of *Endowments of Not-for-Profit Organizations: Net Asset Classification of Funds Subject to an Enacted Version of the Uniform Management of Institutional Funds Act, and Enhanced Disclosures of All Endowments Funds*. The position provides guidance for a not-for-profit organization that is subject to an enacted version of the Uniform Prudent Management Institutional Funds Act (UPMIFA) and for improved disclosures about donor-restricted and Board-designated endowment funds, regardless of the applicability of UPMIFA. In March 2010, the Commonwealth of Kentucky enacted UPMIFA.

METRO UNITED WAY, INC.
A Not-for-Profit Corporation

NOTES TO FINANCIAL STATEMENTS
April 30, 2014 and 2013

Note 8 – Endowment Funds (Continued)

The Organization has interpreted the UPMIFA as requiring the preservation of the fair value of the original gift as of the date of the donor-restricted endowment funds absent explicit donor stipulations to the contrary. As a result of this interpretation, the Organization classifies as permanently restricted net assets the original value of gifts donated to the permanent endowment, the original value of subsequent gifts to the permanent endowment, and accumulations to the permanent endowment made in accordance with the direction of the applicable donor gift instrument at the time the accumulation is added to the fund. The remaining portion of the donor-restricted

Interpretation of Relevant Law (Continued)

endowment fund that is not classified as permanently restricted net assets is classified as temporarily restricted net assets until those amounts are appropriated for expenditure by the Organization in a manner consistent with the standard prudence prescribed by UPMIFA. In accordance with UPMIFA, the Organization considers the following factors in making a determination to appropriate or accumulate donor-restricted endowment funds:

- a. The duration and preservation of the fund
- b. The purposes of the Organization and the donor-restricted endowment fund
- c. General economic conditions
- d. The possible effects of inflation and deflation
- e. The expected total return from income and the appreciation of investments
- f. Other resources of the Organization
- g. The investment policies of the Organization

Investment Objectives and Spending Policy

Metro United Way's general endowment and the Turner Fund are managed according to the Board's investment and spending policies. These policies attempt to provide a consistent return on assets, preserve capital, and the purchasing power of the assets while also providing a predictable funding stream to support programs and the annual campaign. General endowment assets consist of donor-restricted funds that Metro United Way must hold in perpetuity. Under these policies, general endowment and Turner Fund assets are invested in a manner to maintain the real value of the principal over the long-term, provide a return that is greater than that for the simple preservation of capital, and shall avoid undue risk. The investment managers are reviewed based on absolute returns; returns relative to market indices; and the universe of investment managers.

To satisfy its rate-of-return objectives, Metro United Way relies on a total return strategy in which investment returns are achieved through both capital appreciation (realized and unrealized) and current yield (interest and dividends). The Organization targets a diversified asset allocation that places a greater emphasis on equity based investments to achieve its return objectives within prudent risk constraints.

METRO UNITED WAY, INC.
A Not-for-Profit Corporation

NOTES TO FINANCIAL STATEMENTS
April 30, 2014 and 2013

Note 8 – Endowment Funds (Continued)

Investment Objectives and Spending Policy (Continued)

The net income from the general endowment and the Turner Fund is used to support the annual campaign or is used for other Board approved purposes, in accordance with the donor's intentions. This spending policy is consistent with Metro United Way's objective to preserve capital and the purchasing power of the assets while also providing a predictable funding stream to support programs and the annual campaign.

In addition to the donor-restricted endowment funds, the Organization has beneficial interest in perpetual trusts. The investment policy and the spending policy of the trust is determined by the trustee rather than by the Organization.

Funds with Deficiencies

From time to time, the fair value of assets associated with individual donor-restricted endowment funds may fall below the level that the donor or UPMIFA requires the Organization to retain as a fund of perpetual duration. There were no such deficiencies as of April 30, 2014 and 2013.

Permanently Restricted Net Assets by Type

The composition of permanently restricted net assets by type at April 30, 2014 is as follows:

	<u>Temporarily Restricted</u>	<u>Permanently Restricted</u>
Donor-restricted endowment funds	\$ 1,036,751	\$ 1,351,907
Beneficial interest in trusts held by others	<u>0</u>	<u>239,071</u>
	<u>\$ 1,036,751</u>	<u>\$ 1,590,978</u>

METRO UNITED WAY, INC.
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NOTES TO FINANCIAL STATEMENTS
 April 30, 2014 and 2013

Note 8 – Endowment Funds (Continued)

The composition of permanently restricted net assets by type at April 30, 2013 is as follows:

	<u>Temporarily Restricted</u>	<u>Permanently Restricted</u>
Donor-restricted endowment funds	\$ 872,644	\$ 1,328,907
Beneficial interest in trusts held by others	<u>0</u>	<u>223,204</u>
	<u>\$ 872,644</u>	<u>\$ 1,552,111</u>

Change in permanently restricted net assets, excluding beneficial interest in trusts held by others, for the year ended April 30, 2014 was:

	<u>Unrestricted</u>	<u>Temporarily Restricted</u>	<u>Permanently Restricted</u>
Endowment net assets, beginning of the year	\$ 0	\$ 872,644	\$ 1,328,907
Investment return:			
Investment income	81,653	66,745	0
Net change in value (realized and unrealized)	<u>0</u>	<u>140,535</u>	<u>0</u>
Total investment return	81,653	207,280	0
Appropriation of endowment assets for expenditure	(81,653)	(43,174)	0
Contributions	<u>0</u>	<u>0</u>	<u>23,000</u>
Endowment net assets, end of year	<u>\$ 0</u>	<u>\$ 1,036,750</u>	<u>\$ 1,351,907</u>

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NOTES TO FINANCIAL STATEMENTS
 April 30, 2014 and 2013

Note 8 – Endowment Funds (Continued)

Change in permanently restricted net assets, excluding beneficial interest in trusts held by others, for the year ended April 30, 2013 was:

	<u>Unrestricted</u>	<u>Temporarily Restricted</u>	<u>Permanently Restricted</u>
Endowment net assets, beginning of the year	\$ 0	\$ 674,503	\$ 1,067,512
Investment return:			
Investment income	34,115	48,686	0
Net change in value (realized and unrealized)	<u>0</u>	<u>152,021</u>	<u>0</u>
Total investment return	34,115	200,707	0
Appropriation of endowment assets for expenditure	(34,115)	(2,566)	0
Contributions	<u>0</u>	<u>0</u>	<u>261,395</u>
Endowment net assets, end of year	<u>\$ 0</u>	<u>\$ 872,644</u>	<u>\$ 1,328,907</u>

Note 9 – Employee Benefit Plans

Metro United Way sponsors a defined benefit pension plan and a contributory defined contribution thrift plan that covers substantially all employees.

Thrift Plan

The thrift plan allows eligible employees to contribute a percentage of their annual compensation. Metro United Way will match up to three percent of the eligible employee contribution at a rate of 100 percent. Vesting for the employer contribution is 20 percent per year with full vesting in five years. Contributions to the thrift plan were \$80,344 and \$77,067 during the years ended April 30, 2014 and 2013, respectively.

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NOTES TO FINANCIAL STATEMENTS
 April 30, 2014 and 2013

Note 9 – Employee Benefit Plans (Continued)

Pension Plan

FASB Accounting Standards Codification (ASC) 715 “Compensation-Retirement Benefits” requires an employer without publicly traded equity securities to recognize the funded status of a defined benefit post-retirement plan and to provide the required disclosures under FASB ASC 715.

The changes in projected benefit obligation as of the years ended April 30, 2014 and 2013 are as follows:

	<u>2014</u>	<u>2013</u>
Projected benefit obligation at the beginning of the year	\$ 10,145,435	\$ 8,501,135
Service cost	389,974	312,884
Interest cost	416,779	403,365
Change due to assumption changes	(402,066)	751,360
Actuarial losses	7,395	266,785
Expense charges	(28,770)	(29,754)
Benefits disbursed	<u>(65,021)</u>	<u>(60,340)</u>
Projected benefit obligation at the end of the year	<u>\$ 10,463,726</u>	<u>\$ 10,145,435</u>

During the year ended April 30, 2014, Metro United Way changed its actuarial assumptions, presented later, which affected the calculation of the projected benefit obligation. The discount rate was changed to 4.25 percent in 2014 from 4.00 percent in 2013 in order to reflect an estimate of the current level of investment results in fixed income investments. The expected long-term rate of return on assets was the same rate for both 2014 and 2013.

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NOTES TO FINANCIAL STATEMENTS
 April 30, 2014 and 2013

Note 9 – Employee Benefit Plans (Continued)

The following table sets forth the defined benefit plan's funded status and amounts recognized in Metro United Way's financial statements.

	<u>2014</u>	<u>2013</u>
Projected benefit obligation	\$ 10,463,726	\$ 10,145,435
Fair value of plan assets	<u>8,415,016</u>	<u>7,379,555</u>
Funded status	<u>\$ (2,048,710)</u>	<u>\$ (2,765,880)</u>
Accumulated benefit obligation	<u>\$ 9,184,076</u>	<u>\$ 8,824,941</u>
Accrued cost recognized in the statement of financial position	<u>\$ 2,048,710</u>	<u>\$ 2,765,880</u>

There are no plan assets expected to be returned to Metro United Way during the next fiscal year.

Net periodic pension cost including the following components for the years ended April 30, 2014 and 2013 is as follows:

	<u>2014</u>	<u>2013</u>
Service cost	\$ 389,974	\$ 312,884
Interest cost	416,779	403,365
Actual return on plan assets	(875,600)	(733,842)
Amortization of unrecognized net loss	211,209	177,177
Asset gain deferred	<u>360,429</u>	<u>281,136</u>
Net periodic pension cost	<u>\$ 502,791</u>	<u>\$ 440,720</u>

The following table sets forth items not yet recognized as a component of net periodic pension cost as follows:

	<u>2014</u>	<u>2013</u>
Net losses	<u>\$ 1,266,594</u>	<u>\$ 2,232,903</u>

Unrestricted net assets have been accordingly reduced or increased by net actuarial changes other than net periodic pension costs that are reported separately on the statement of activities. These amounts were a gain of \$966,309 and a loss of \$559,832 for the years ended April 30, 2014 and 2013, respectively.

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NOTES TO FINANCIAL STATEMENTS
 April 30, 2014 and 2013

Note 9 – Employee Benefit Plans (Continued)

Estimated amounts that will be amortized from additional pension liability and reclassified as net periodic benefit cost over the next fiscal year are as follows:

	<u>2014</u>	<u>2013</u>
Net gain	\$ 45,879	\$ 243,627

Other information related to the plan for the years ended April 30, 2014 and 2013 is as follows:

	<u>2014</u>	<u>2013</u>
Benefit cost	\$ 502,791	\$ 440,720
Employer contribution	253,651	250,901
Benefits paid	65,021	60,340
Expense charges	28,770	29,754
Weighted-average assumptions used:		
Discount rate	4.25%	4.00%
Expected return on plan assets	7.00%	7.00%
Rate of compensation increase	5.50%	5.50%

The expected long-term rate of return on plan assets assumption of seven percent was selected using the "building block" approach described by the Actuarial Standards Board in Actuarial Standards of Practice No. 27 - Selection of Economic Assumptions for Measuring Pension Obligations. Based on Metro United Way's investment allocation for the pension plan in effect as of the beginning of the fiscal year, a best estimate range was determined for both the real rate of return (net of inflation) and for inflation based on historical 30-year period rolling averages. An average inflation rate within the range equal to three percent was selected and added to the real rate of return range to arrive at a best estimate range of 6.12 percent to 8.87 percent. A rate of seven percent which is near the low end of the best estimate range was selected. Metro United Way's investment objective is to invest plan assets in a manner that will assure sufficient resources to pay current and projected plan obligations over the life of the plan.

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NOTES TO FINANCIAL STATEMENTS
 April 30, 2014 and 2013

Note 9 – Employee Benefit Plans (Continued)

The following tables set forth by level, within the fair value hierarchy, Metro United Way's investment assets at fair value as of April 30, 2014 and 2013.

	<u>Fair Value</u>	<u>Level 1</u>
<u>April 30, 2014</u>		
Short-term investments	\$ 420,751	\$ 420,751
Common stock and mutual funds	5,133,160	5,133,160
Bond funds	<u>2,861,105</u>	<u>2,861,105</u>
	<u>\$ 8,415,016</u>	<u>\$ 8,415,016</u>
<u>April 30, 2013</u>		
Short-term investments	\$ 295,182	\$ 295,182
Common stock and mutual funds	4,575,324	4,575,324
Bond funds	<u>2,509,049</u>	<u>2,509,049</u>
	<u>\$ 7,379,555</u>	<u>\$ 7,379,555</u>

The weighted average asset allocation of the investment portfolio for Metro United Way at April 30, 2014 and 2013, and target allocations, are as follows:

	<u>Allowable Allocation Range</u>	<u>Plan Assets</u>	
		<u>2014</u>	<u>2013</u>
Equity	<u>30%-70%</u>	<u>61.0%</u>	<u>61.7%</u>
Bonds		33.6%	34.4%
Cash and general		<u>5.4%</u>	<u>3.9%</u>
Total fixed income	<u>30%-70%</u>	<u>39.0%</u>	<u>38.3%</u>
	<u>100.0%</u>	<u>100.0%</u>	<u>100.0%</u>

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NOTES TO FINANCIAL STATEMENTS
April 30, 2014 and 2013

Note 9 – Employee Benefit Plans (Continued)

Estimated pension benefit payments, which reflect future service, for the years ending April 2015 through 2024 are as follows:

<u>Years Ending April 30,</u>	
2015	\$ 230,000
2016	242,000
2017	300,000
2018	413,000
2019	472,000
2020-2024	<u>2,954,000</u>
	<u>\$ 4,611,000</u>

Metro United Way contributed approximately seven percent of plan compensation for eligible employees for the year ended April 30, 2014. For the fiscal year ended April 30, 2014, the Organization expects to continue to base its contribution percentage on the recommendation of the actuary after the actuarial valuation is completed. Based on this, the Organization estimates contributions of approximately \$250,000 for the next fiscal year.

Note 10 – Leasing Arrangements as Lessee

Metro United Way leases certain office space on a month-to-month basis and under noncancelable operating lease agreements which expire at various dates through 2015. Generally, the Organization is required to pay executory costs such as property taxes, maintenance, and insurance. At April 30, 2014, aggregate future minimum rental payments required under noncancelable operating leases are as follows:

<u>Year Ending April 30,</u>	
2015	<u>\$ 6,798</u>

Total rental expense under all operating leases was \$24,714 and \$22,489 for the years ended April 30, 2014 and 2013, respectively.

METRO UNITED WAY, INC.
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NOTES TO FINANCIAL STATEMENTS
April 30, 2014 and 2013

Note 11 – Leasing Arrangements as Lessor

Metro United Way leases office facilities on a month-to-month basis and under noncancelable operating leases which expire at various dates through 2016. The lessee pays executory costs such as property taxes, maintenance, and insurance. At April 30, 2014, aggregate future minimum rental payments to be received are as follows:

<u>Years Ending April 30,</u>	
2015	\$ 13,200
2016	<u>2,200</u>
	<u>\$ 15,400</u>

Note 12 – Commitments and Contingencies

In 1995, the Metro United Way Board of Directors changed the allocation payment cycle from a calendar year cycle to one beginning in July and ending in June of the following year. Each year thereafter, the Board has identified a portion of its services funding allocations as allocations to be paid from the next year's fundraising effort. Consistent with prior years, in the current year the Board identified \$6,124,171 to be paid as allocations from the funds that will be generated by the 2014 Metro United Way fundraising effort. That amount will be expensed during the subsequent period once certain conditions have been met.

Metro United Way's ability to pay the agency allocations that are approved by the Organization's Board of Directors is in part, contingent upon the success of the campaign that will be completed in the fall of 2014. It is also contingent upon Metro United Way's ability to collect the funds pledged in the 2013 campaign at the level the Organization projected. If either contingency were to occur, adjustments to 2014-2015 agency allocations would need to be made.

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NOTES TO FINANCIAL STATEMENTS
April 30, 2014 and 2013

Note 13 – Unemployment Services Trust

Metro United Way is a participating member of the Unemployment Services Trust, a revocable grantor trust composed of individual 501(c)(3) organizations. The Trust acts as a servicing agent for funds contributed by its participating members for payment of unemployment claims. As a participating member of the Trust, Metro United Way is able to take advantage of the benefits of directly reimbursing unemployment claims generally at a lower cost than paying state unemployment taxes.

Contributions to the Trust are recommended by the Trust's actuary, based on analyses of historical claims experience and current economic conditions in order to approximate future unemployment obligations of the Organization. Contributions totaled \$14,637 and \$16,754 for the fiscal years ended April 30, 2014 and 2013, respectively. Claims are paid by the Trust on behalf of Metro United Way to the state of Kentucky for unemployment claims paid to former Metro United Way employees. The obligation for the estimated future claim liabilities of each participating member is ultimately the responsibility of that member. Since contributions are based on actuarial estimates, the amounts held in the Trust at a given time may be less than the potential future unemployment obligations of the Organization. Estimated future claims for Metro United Way were \$45,258 and \$45,800 at April 30, 2014 and 2013, respectively. The Organization estimates that the amounts held in the Trust as of April 30, 2014 approximate the potential future unemployment obligations incurred as of April 30, 2014.

Note 14 – Tax Status

The Internal Revenue Service has ruled that the Organization is exempt from the payment of federal income tax (except on unrelated business income) under the provisions of Section 501(c)(3) of the Internal Revenue Code of 1954. There were no taxes due for the years ended April 30, 2014 and 2013, as there was no unrelated business income for these years.

Management evaluated the Organization's uncertain tax positions and concluded that the Organization had taken no uncertain tax positions that require adjustment to the financial statements.

The Organization is subject to income tax examinations for its U.S. federal income taxes and state jurisdictions. The statute of limitations for assessment of federal and state income taxes expired with respect to tax returns through 2009.

Request for Taxpayer Identification Number and Certification

**Give Form to the
 requester. Do not
 send to the IRS.**

Print or type
 See Specific instructions on page 2.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank. METRO UNITED WAY INC	
2 Business name/disregarded entity name, if different from above	
3 Check appropriate box for federal tax classification, check only one of the following seven boxes: <input type="checkbox"/> Individual/sole proprietor or single-member LLC <input checked="" type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner. <input type="checkbox"/> Other (see instructions) ▶ _____	
4 Exemptions (boxes apply only to certain entities, not individuals, see instructions on page 3). Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <i>(Applies to accounts maintained outside the US)</i>	
5 Address (number, street, and apt or suite no.) 334 EAST BROADWAY, PO BOX 4488	Requester's name and address (optional)
6 City, state, and ZIP code LOUISVILLE KY 40204-0488	
7 List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number				
<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%; border: 1px solid black; height: 20px;"></td> <td style="width: 25%; border: 1px solid black; height: 20px;"></td> <td style="width: 25%; border: 1px solid black; height: 20px;"></td> <td style="width: 25%; border: 1px solid black; height: 20px;"></td> </tr> </table>				
or				
Employer identification number				
<div style="background-color: black; width: 100%; height: 20px;"></div>				

Part II Certification

- Under penalties of perjury, I certify that:
- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
 - I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
 - I am a U.S. citizen or other U.S. person (defined below); and
 - The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here Signature of U.S. person ▶ *Lin Breit*, DIRECTOR OF ACCOUNTING, METRO UNITED WAY, INC. Date ▶ *2/4/2015*

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.
Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at www.irs.gov/irs9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.

By signing the filled-out form, you:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
- Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.

METRO UNITED WAY, INC.**General Information**

Organization Number	0035229
Name	METRO UNITED WAY, INC.
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
File Date	12/26/1923
Organization Date	12/26/1923
Last Annual Report	4/1/2015
Principal Office	P. O. BOX 4488 LOUISVILLE, KY 402040488
Registered Agent	JOSEPH TOLAN 334 EAST BDWY. LOUISVILLE, KY 40204

Current Officers

Chairman	<u>Jane C Morreau</u>
Secretary	<u>Jospeh P. Tolan</u>
Treasurer	<u>Shane Foley</u>
Director	<u>Barry Barker</u>
Director	<u>Beverly Keepers</u>
Director	<u>Joel Stone</u>

Individuals / Entities listed at time of formation

Director	<u>ARTHUR D ALLEN</u>
Director	<u>F H GREGG</u>
Director	<u>ANNETTE B COWLES</u>
Director	<u>JOSEPH D BURGE</u>
Director	<u>MARY E SPRADLING</u>
Incorporator	<u>EMBRY L SWEARINGEN</u>
Incorporator	<u>W M HANNAH</u>
Incorporator	<u>HUSTON TWIN</u>

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

<u>Annual Report</u>	4/1/2015	1 page	<u>PDF</u>
<u>Annual Report</u>	3/27/2014	1 page	<u>PDF</u>
<u>Annual Report</u>	1/8/2013	1 page	<u>PDF</u>

Annual Report	3/14/2012	1 page	PDF	
Annual Report	5/18/2011	1 page	PDF	
Annual Report	3/12/2010	1 page	PDF	
Annual Report	6/8/2009	1 page	PDF	
Annual Report	6/19/2008	1 page	PDF	
Annual Report	6/11/2007	1 page	PDF	
Annual Report	2/9/2006	1 page	PDF	
Name Renewal	11/2/2005	1 page	tiff	PDF
Name Renewal	11/2/2005	1 page	tiff	PDF
Name Renewal	11/2/2005	1 page	tiff	PDF
Name Renewal	11/2/2005	1 page	tiff	PDF
Annual Report	6/29/2005	1 page	PDF	
Annual Report	5/12/2003	3 pages	tiff	PDF
Annual Report	8/22/2002	3 pages	tiff	PDF
Annual Report	8/27/2001	6 pages	tiff	PDF
Statement of Change	7/14/2001	1 page	tiff	PDF
Certificate of Assumed Name	4/2/2001	1 page	tiff	PDF
Certificate of Assumed Name	4/2/2001	1 page	tiff	PDF
Certificate of Assumed Name	4/2/2001	1 page	tiff	PDF
Certificate of Assumed Name	4/2/2001	1 page	tiff	PDF
Certificate of Assumed Name	4/2/2001	1 page	tiff	PDF
Annual Report	8/25/2000	3 pages	tiff	PDF
Annual Report	8/11/1999	1 page	tiff	PDF
Annual Report	7/28/1998	5 pages	tiff	PDF
Annual Report	7/1/1997	5 pages	tiff	PDF
Annual Report	7/1/1996	7 pages	tiff	PDF
Annual Report	7/1/1995	3 pages	tiff	PDF
Annual Report	7/1/1994	3 pages	tiff	PDF
Annual Report	7/1/1993	3 pages	tiff	PDF
Annual Report	7/1/1992	1 page	tiff	PDF
Annual Report	7/1/1991	1 page	tiff	PDF
Annual Report	7/1/1990	4 pages	tiff	PDF
Annual Report	7/1/1989	4 pages	tiff	PDF
Annual Report	7/1/1988	1 page	tiff	PDF
Amendment	5/23/1988	4 pages	tiff	PDF
Statement of Change	7/14/1987	1 page	tiff	PDF

Assumed Names

METRO UNITED WAY OF SPENCER COUNTY	Inactive
METRO UNITED WAY OF SHELBY COUNTY	Inactive
METRO UNITED WAY OF OLDHAM COUNTY	Inactive
METRO UNITED WAY OF BULLITT COUNTY	Inactive
METRO UNITED WAY OF HARDIN COUNTY	Inactive

Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	4/1/2015 11:37:57 AM	4/1/2015 11:37:57 AM	

Annual report	3/27/2014 10:04:51 AM	3/27/2014 10:04:51 AM	
Annual report	1/8/2013 3:08:09 PM	1/8/2013 3:08:09 PM	
Annual report	3/14/2012 12:04:34 PM	3/14/2012 12:04:34 PM	
Annual report	5/18/2011 12:02:04 PM	5/18/2011 12:02:04 PM	
Annual report	3/12/2010 9:27:30 AM	3/12/2010 9:27:30 AM	
Annual report	6/8/2009 10:54:42 AM	6/8/2009 10:54:42 AM	
Annual report	6/19/2008 12:33:34 PM	6/19/2008 12:33:34 PM	
Annual report	6/11/2007 3:56:17 PM	6/11/2007 3:56:17 PM	
Annual report	2/9/2006 12:04:24 PM	2/9/2006 12:04:24 PM	
Annual report	6/29/2005	6/29/2005	
Annual report	6/30/2004	6/30/2004	
Registered agent address change	7/14/2001 9:46:02 AM	7/14/2001	
Annual report	6/28/2001 3:45:22 PM	6/28/2001	
Added assumed name	4/2/2001 9:38:08 AM	4/2/2001	<u>METRO UNITED WAY OF SPENCER COUNTY</u>
Added assumed name	4/2/2001 9:37:21 AM	4/2/2001	<u>METRO UNITED WAY OF SHELBY COUNTY</u>
Added assumed name	4/2/2001 9:36:32 AM	4/2/2001	<u>METRO UNITED WAY OF OLDHAM COUNTY</u>
Added assumed name	4/2/2001 9:35:39 AM	4/2/2001	<u>METRO UNITED WAY OF BULLITT COUNTY</u>
Added assumed name	4/2/2001 9:33:08 AM	4/2/2001	<u>METRO UNITED WAY OF HARDIN COUNTY</u>
Amendment previous name	5/23/1988	5/23/1988	<u>METRO UNITED WAY OF LOUISVILLE AND JEFFERSON COUNTY, NEW ALBANY AND FLOYD COUNTY, INC.</u>

Microfilmed Images

Microfilm images are not available online. They can be ordered by faxing a Request For Corporate Documents to the Corporate Records Branch at 502-564-5687.

Annual Report	12/31/2004 2:11:27 PM	1 page
Annual Report	5/12/2003	3 pages
Annual Report	8/22/2002	3 pages
Annual Report	8/27/2001	6 pages
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Amendment	5/23/1988	4 pages
Statement of Change	7/14/1987	1 page
Statement of Change	7/26/1982	2 pages
Statement of Change	3/8/1977	2 pages
Amendment	11/16/1972	5 pages
Statement of Change	7/30/1971	2 pages
Amendment	2/9/1971	3 pages
Annual Report	7/1/1941	39 pages
Statement of Change	4/1/1940	2 pages
Amendment	12/27/1926	8 pages
Articles of Incorporation	12/26/1923	4 pages