

**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

Applicant/Program: West St. Catherine Neighborhood Association, Inc. / Neighborhood Crime Prevention and Safety Initiative

Executive Summary of Request:

The WSCNA Neighborhood Crime Prevention and Safety Initiative seeks to increase the police presence in the area by contracting with off-duty LMPD officers to patrol the streets and alleys including immediate area surrounding the corner of 4th and Oak throughout the year.

The area's include ongoing program covers the area bounded by West Kentucky St, South 2nd St, West Ormsby Ave, and South 7th St

Is this program/project a fundraiser?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Is this applicant a faith based organization?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Does this application include funding for sub-grantee(s)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

6
District #


Primary Sponsor Signature

\$5,000⁰⁰
Amount

7-6-2015
Date

Primary Sponsor Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

Approved by:

Appropriations Committee Chairman

Date

Clerk's Office Only:

Request Amount: _____ Committee Amended Appropriation: _____

Original Appropriation: _____ Council Amended Appropriation: _____

**OFFICE OF METRO COUNCIL CLERK
REVIEWED**

DATE 7/16/15 TIME 10:07

NDF NON-PROFIT APPLICATION CHECKLIST

Legal Name of Applicant Organization: West St. Catherine Neighborhood Association, Inc.		
Program Name: Neighborhood Crime Prevention and Safety Initiative	Request Amount: 5,000	Yes/No/NA
Request form: Is the NDF request form signed by all Council Member(s) appropriating funding?		yes
Request form: Is the funding proposed less than or equal to the request amount?		yes
Request form: Have all known Council or Staff relationships to the Agency been adequately disclosed on the cover sheet?		n/a
Application Page 1: Has prior Metro funds committed/granted been disclosed?		yes
Application Page 1: Is the application properly signed and dated by authorized signatory?		yes
Application Page 3: Reimbursement funding – One or two boxes checked if any expenses are incurred before the grant award period. Is all required documentation included?		yes
Application Pages 3 – 5: Is the proposed public purpose of the program well-documented?		yes
Application 4: Is there adequate documentation of how the proceeds of the fundraiser will be spent?		n/a
Application Budget Page 6: Does the application budget reflect only the revenue and expenses of the project/program (page 6) if the request is not an operating budget request? Is all detail schedules included for "Metro, Non Metro and Total" expense funds for client assistance, community events & festivals and other expenses? And does the Non-Metro Revenue equal the Non-Metro expenses?		yes
Faith Based Organizations: Is the signed Faith Based Form signed and included?		n/a
Jefferson County Only: Will all funding be spent in Louisville/Jefferson County?		yes
Capital Project(s) request: Is the cost estimate(s) from proposed vendor(s) included?		n/a
Good Standing: Is the entity in good standing with: <ul style="list-style-type: none"> • Kentucky Secretary of State – include Secretary of State website information on organization • Louisville Metro Government – check OMB monthly report filed in Council Financial Reports • Internal Revenue Service – most recent Form 990 included 		yes
Separate Taxing Districts: If Metro funding is for a separate taxing district, is the funding appropriated for a program outside the legal responsibility of that taxing district?		n/a
Small Cities: Is the resolution included agreeing to partner with Louisville Metro on the capital project? (IRS Determination letter not required, Form 990 not required, but KY SOS acknowledgement is)		n/a
Operating Requests: Is recommended operating funding less than or equal to 33% of total operating budget?		no
IRS Exempt Proof: Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?		yes
Operating Budget: Is the organization's current fiscal year operating budget included?		yes
Ordinance Required: Is the amount committed by Council members greater than \$5,000 to any one project/program within an organization in this fiscal year.		no
Board Members: Is the entity's board member list (with term length/term limits) included?		yes
Staff: Is a list of the highest paid staff included with their expected annual personnel costs?		n/a
Annual Audit: Is the most recent annual audit (if required by organization) included?		n/a
Rent Requests: Is a copy of signed lease included?		n/a
Articles of Incorporation: Are the Articles of Incorporation of the organization included?		yes
IRS Form W-9: Is the IRS Form W-9 included?		yes
Evaluation Forms: Are the evaluation forms (if program participants are given evaluation forms) included?		n/a
Affirmative Action: Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required by the organization)?		n/a
Prepared by: <i>Allison Olives</i>		Date: <i>7/6/2015</i>



July 1, 2015

2015 Officers

President
Dennis Lally

Vice President
Betsy Aldrich

Secretary
Scott Gilbertson

Treasurer
Neal Nixon

2015 Board Members

Nancy Cogan

Dear Councilman James:

Enclosed is the 2015–2016 NDF application for The West Saint Catherine Neighborhood Association's *Crime Prevention and Safety Initiative*. Building on the success of this program in FY 2014–2015, we have continued this program, which to-date has allowed off-duty LMPD officers an additional 576.5 hours total to patrol our neighborhood.

Your support during the last fiscal year was key to our program's success and we hope that you will be able to continue your support during this fiscal year as we believe that this program is very valuable to the overall quality of life for our neighborhood.

If you have any questions, please don't hesitate to contact me.

Respectfully submitted,

Scott Gilbertson
Secretary
West Saint Catherine Neighborhood Association



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION					
Legal Name of Applicant Organization:		WEST ST. CATHERINE NEIGHBORHOOD ASSOCIATION, INC. <small>(as listed on: http://www.sos.ky.gov/business/records)</small>			
Main Office Street & Mailing Address: 1340 South 4th St., Louisville, KY 40208					
Website: wscna.org					
Applicant Contact:	Scott Gilbertson	Title:	secretary		
Phone:	502-491-1002	Email:	secretary@wscna.org		
Financial Contact:	Neal Nixon	Title:	treasurer		
Phone:	502-584-0919	Email:	nixon@louisville.edu		
Organization's Representative who attended NDF Training: Scott Gilbertson					
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED					
Program Facility Location(s):	Limerick neighborhood of Old Louisville				
Council District(s):	6	Zip Code(s):	40203		
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION					
PROGRAM/PROJECT NAME: WSCNA Neighborhood Crime Prevention and Safety Initiative					
Total Request: (\$)	5,000	Total Metro Award (this program) in previous year: (\$)	5,000		
Purpose of Request (check all that apply):					
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget) <input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)					
The Following are Required Attachments:					
<table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top; padding: 5px;"> <input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current Year Projected Budget <input checked="" type="checkbox"/> List of Board of Directors (include term & term limits) <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense </td> <td style="width: 50%; vertical-align: top; padding: 5px;"> <input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if required <input checked="" type="checkbox"/> Staff including the 3 highest paid staff </td> </tr> </table>				<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current Year Projected Budget <input checked="" type="checkbox"/> List of Board of Directors (include term & term limits) <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense	<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if required <input checked="" type="checkbox"/> Staff including the 3 highest paid staff
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For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.					
Source:		Amount: (\$)			
Source:		Amount: (\$)			
Source:		Amount: (\$)			
Has the applicant contacted the BBB Charity Review for participation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
Has the applicant met the BBB Charity Review Standards? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 -- AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

The West Saint Catherine Neighborhood Association (WSCNA) strives to make a difference for our neighbors and our community. Through our members' contributions of time and talent, the WSCNA hosts community-building events, enhances neighborhood safety/security, and undertakes initiatives to improve the "curb appeal" of our neighborhood.

Our past activities have included:

- Hosted many social events, including July 4th get-togethers, Christmas parties and potlucks
- Participated in Brightside clean-ups
- Instituted a monthly large trash haul-away for the neighborhood
- Instituted a monthly street-cleaning program for the neighborhood
- Hosted a candidate forum prior to the Nov. 2010 elections
- Worked with the city to aggressively address problem properties that have been abandoned, are dilapidated, or are drug houses
- Worked with the city to combat crime in the neighborhood

Our future activities will consist of continuing to work with the city to combat crime and problem properties, to promote community cohesion and to improve the "curb appeal" of our neighborhood.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

The WSCNA Neighborhood Crime Prevention and Safety Initiative seeks to increase the police presence in our neighborhood by contracting with off-duty LMPD officers to patrol our streets and alleys, including the immediate area surrounding the corner of 4th and Oak, throughout the year.

Our location between downtown and U of L, coupled with major city thoroughfares that bring a large volume of non-resident traffic to the heart of our neighborhood, introduce a disproportionate opportunity for crime. This program aims to increase the safety and well-being of the residents and businesses through increased police visibility, increasing public awareness and involvement, and enhancing police / community relationships.

This ongoing program covers the area bounded by West Kentucky St., South 2nd St., West Ormsby Ave. and South 7th St.

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):

The funding will be used to contract with off-duty LMPD officers who are participating in The WSCNA Neighborhood Crime Prevention and Safety Initiative.

We currently contract with two LMPD officers at a rate of \$30/hr plus \$50/month for their squad car fee. Each officer typically works 10 hours per month for a total of \$350 per month per officer.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

This program is not a fundraiser.

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

From 2009–2011, WSCNA raised and spent almost \$16,000 for this program. 100% of those monies were paid to off-duty LMPD officers for their time patrolling our neighborhood. These past efforts resulted in a noticeable decrease in crime and a definite improvement in the safety and quality of life in the community, based on the experiences of our neighbors before the program's beginning and during its life.

In FY 2013 (July 1, 2012–June 30, 2013) our program ran from November to June. This program provided off-duty LMPD officers 144.5 hours to patrol the West Saint Catherine neighborhood and the corner of 4th & Oak.

In FY 2014 (July 1, 2013–May 31, 2014) this program provided off-duty LMPD officers an additional 240.5 hours to patrol the West Saint Catherine neighborhood and the corner of 4th & Oak.

In FY 2015 (July 1, 2014–May 31, 2015) this program provided off-duty LMPD officers an additional 191.5 hours to patrol the West Saint Catherine neighborhood and the corner of 4th & Oak.

WSCNA is requesting \$5,000 in FY 2015-2016 to continue this beneficial program. We will collect data, as per CM James, from the officers to monitor and adjust the program to achieve maximum benefit for the Council's investment.

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

The West Saint Catherine Neighborhood Association (WSCNA) is an active member of the Old Louisville Neighborhood Council, working together to improve all of Old Louisville.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
A: Personnel Costs Including Benefits			
B: Rent/Utilities			
C: Office Supplies			
D: Telephone			
E: In-town Travel			
F: Client Assistance (Attach Detailed List)			
G: Professional Service Contracts	5000	3400	8400
H: Program Materials			
I: Community Events & Festivals (Attach Detail List)			
J: Machinery & Equipment			
K: Capital Project			
L: Other Expenses (Attach Detail List)			
*TOTAL PROGRAM/PROJECT FUNDS	5000	3400	8400
% of Program Budget	59.5 %	40.5 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	
Fees Collected from Program Participants	
Other (please specify)	
Total Revenue for Column 2 Expenses **	\$3,400 WSCNA funds

***Total of Column 1 MUST match "Total Request on Page 1, Section 2"**

****Must equal or exceed total in column 2.**



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
None		
<i>Total Value of In-Kind</i> (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)		

*** DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK**

Agency Fiscal Year Start Date: 1 January.

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.

Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

SECTION 7 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:	Scott Gilbertson	Date:	July 1, 2015
Legal Signatory: (please print):	Scott Gilbertson	Title:	secretary
Phone:	502-491-1002	Extension:	
Email:	secretary@wscna.org		

INTERNAL REVENUE SERVICE
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: **MAY 08 2012**

WEST ST CATHERINE NEIGHBORHOOD
ASSOCIATION INC
1340 SOUTH 4TH ST
LOUISVILLE, KY 40208

Employer Identification Number:

DLN:

17053208022001

Contact Person:

SHEENA L BREWER

ID# 31270

Contact Telephone Number:

(877) 829-5500

Accounting Period Ending:

December 31

Public Charity Status:

509(a)(2)

Form 990 Required:

Yes

Effective Date of Exemption:

April 11, 2012

Contribution Deductibility:

Yes

Addendum Applies:

Yes


Dear Applicant:

We are pleased to inform you that upon review of your application for tax exempt status we have determined that you are exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code. Contributions to you are deductible under section 170 of the Code. You are also qualified to receive tax deductible bequests, devises, transfers or gifts under section 2055, 2106 or 2522 of the Code. Because this letter could help resolve any questions regarding your exempt status, you should keep it in your permanent records.

Organizations exempt under section 501(c)(3) of the Code are further classified as either public charities or private foundations. We determined that you are a public charity under the Code section(s) listed in the heading of this letter.

Please see enclosed Publication 4221-PC, Compliance Guide for 501(c)(3) Public Charities, for some helpful information about your responsibilities as an exempt organization.

Sincerely,



Lois G. Lerner
Director, Exempt Organizations

Enclosure: Publication 4221-PC

Letter 947 (DO/CG)

WEST ST CATHERINE NEIGHBORHOOD

The effective date of your exemption is April 11, 2012, the date your organization stopped conducting activities that benefit specifically named individuals.

Required Attachments

Current Year (2015-2016) Projected Budget

Income		Expenses	
Donations	\$100	Security	\$8,400
Grants	\$5,000	Dues OLNC	\$25
Fund raising	\$3,900	Events	\$500
		Donations	\$50
		Postage/Supplies	\$25
Total	\$9,000	Total	\$9,000

List of Board of Directors (include term & term limits)

Dennis Lally President
Betsy Aldrich Vice-President
Scott Gilbertson Secretary
Neal Nixon Treasurer
Nancy Cogan Member-at-large

All terms expire in November. There are no term limits.

Current financial statement

Most recent banking statement included in this pdf.

Most recent IRS Form 990 or 1120-H

Included in this pdf.

Articles of Incorporation

Included in this pdf.

Cost Estimates

Not required – this request is not for capital expenses.

Signed lease if rent costs are being requested

Not applicable.

IRS Form W9

Included in this pdf.

Evaluation forms if used in the proposed program

Not applicable.

Annual audit (if required by organization)

Not required.

Faith Based Organization Certification Form, if required

Not applicable.

Staff including the 3 highest paid staff

The WSCNA is a volunteer based organization has no paid staff.

Staff including the 3 highest paid staff

The WSCNA is a volunteer based organization has no paid staff.

Affirmative Action policy

The West Saint Catherine Neighborhood Association does not and shall not discriminate on the basis of race, color, religion (creed), gender, gender expression, age, national origin (ancestry), disability, marital status, sexual orientation, or military status, in any of its activities or operations. These activities include, but are not limited to, hiring and firing of staff, selection of volunteers and vendors, and provision of services. We are committed to providing an inclusive and welcoming environment for all members of our staff, clients, volunteers, subcontractors, vendors, and clients.

Non-Profit Checking

PNC Bank



For the Period 05/15/2015 to 06/12/2015

Primary Account Number: [REDACTED]

Page 1 of 2

Number of enclosures: 0

WEST ST CATHERINE NEIGHBORHOOD ASSOC
605 W SAINT CATHERINE ST
LOUISVILLE KY 40203-3111

For 24-hour banking sign on to

PNC Bank Online Banking on pnc.com
FREE Online Bill Pay

For customer service call 1-877-BUS-BNKG
Monday - Friday: 7 AM - 10 PM ET
Saturday & Sunday: 8 AM - 5 PM ET

Para servicio en español, 1-877-BUS-BNKG

Moving? Please contact your local branch

Write to: Customer Service
PO Box 609
Pittsburgh, PA 15230-9738

Visit us at PNC.com/mybusiness/

TDD terminal: 1-800-531-1648
For hearing impaired clients only

Non-Profit Checking Summary

West St Catherine Neighborhood Assoc

Account number: [REDACTED]

Overdraft Protection has not been established for this account.
Please contact us if you would like to set up this service.

Balance Summary

Beginning balance	Deposits and other additions	Checks and other deductions	Ending balance
9,556.92	.00	385.87	9,171.05
		Average ledger balance	Average collected balance
		9,405.30	9,405.30

Deposits and Other Additions

Description	Items	Amount
Total	0	.00

Checks and Other Deductions

Description	Items	Amount
Checks	2	385.87
Total	2	385.87

Daily Balance

Date	Ledger balance	Date	Ledger balance	Date	Ledger balance
05/15	9,556.92	05/19	9,521.05	06/03	9,171.05

Activity Detail

Checks and Other Deductions

Checks and Substitute Checks

* Gap in check sequence

Date posted	Check number	Amount	Reference number	Date posted	Check number	Amount	Reference number
06/03	2288 *	350.00	077481476	05/19	2281 *	35.87	073840007

From: <epostcard@urban.org>

To: <secretary@wscna.org>

Date: January 27, 2015 at 1:43:04 PM EST

Subject: Form 990-N E-filing Receipt - IRS Status: Accepted

Organization: WEST ST CATHERINE NEIGHBORHOOD ASSOCIATION INC

EIN: [REDACTED]

Submission type: Form 990-N

Year: 2014

Submission ID: 7800582015027cj50678

e-File Postmark: 1/27/2015 1:37:01 PM

Accepted Date: 1/27/2015

The IRS has accepted the e-Postcard described above. Please save this receipt for your records.

Thank you for filing.

e-Postcard technical support
Phone: 866-255-0654 (toll free)
email:ePostcard@urban.org

WEST ST CATHERINE NEIGHBORHOOD
ASSOCIATION INC
% Scott Gilbertson
1340 South 4th St
Louisville, KY 40208

ARTICLES OF INCORPORATION
OF THE
WEST ST. CATHERINE NEIGHBORHOOD ASSOCIATION, INC.

RECEIVED & FILED
8:00
98 APR -6 PM 2:21

JOHN F. BROWN III
SECRETARY OF STATE
COMPT. OF KENTUCKY
BY JB

KNOW ALL MEN BY THESE PRESENCE:

We, Rhonda Williams, Laurie Bledsoe, Dennis Lally, and Neal Nixon, all over the age of twenty-one (21) and residents of the Commonwealth of Kentucky, do hereby associate to form a non-profit Corporation under the laws of the Commonwealth of Kentucky, and with all rights and privileges granted by the laws of the Commonwealth of Kentucky, and to that end do hereby adopt Articles of Incorporation as follows:

ARTICLE I

This non-profit Corporation shall be named and known as the West St. Catherine Neighborhood Association, Inc., by which name it may adopt its corporate seal, conduct its business, contract and be contracted with, sue and be sued.

ARTICLE II

Said Corporation shall continue in perpetual existence.

ARTICLE III

The principal place of business of the Corporation is to be located at 622 West St. Catherine, Louisville, Kentucky 40203 and such other places in said city or elsewhere, as its board of

directors by resolution shall designate.

The name and address of the initial registered agent for service of process is Rhonda Williams, 622 West St. Catherine, Louisville, Kentucky 40203.

ARTICLE IV

The Corporation is organized and shall be operated exclusively for charitable and educational purposes within the meaning of Section 501(c)(3) of the Internal Revenue Code of 1954 (or corresponding provisions of any later federal tax laws), including for such purposes the making of distributions to organizations and individuals for the purpose of engaging an activity falling within the purposes of the Corporation and permit a foreign organization exempt under said Section 501(c)(3).

ARTICLE V

The Corporation shall be irrevocably dedicated to and operated exclusively for non-profit purposes. No part of the net earnings of the Corporation shall enure to the benefit or be distributed to its members, directors, officers, or other private persons, except that the corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set for in Article IV hereof.

ARTICLE VI

In carrying out the corporate purposes described in Article IV, the Corporation shall have all the powers granted by the laws of the Commonwealth of Kentucky, including in particular those listed in Section 273.171 of the Kentucky Revised Statutes except as follows and those otherwise stated in these Articles:

A. Notwithstanding any other provision of these Articles, the Corporation shall not carry out any other activities not permitted to be carried on by a Corporation exempt from Federal Income Tax under Section 501(c)(3) of the Internal Revenue Code of 1954 or the corresponding provision of any subsequent federal tax laws.

B. If in so long as the Corporation is a private foundation as defined in Section 509(a) of the Internal Revenue Code of 1954, or corresponding provisions of any latter federal tax laws:

1. The Corporation shall distribute its income for each taxable year at such time and in such manner as not to become subject to the tax on undistributed income imposed by Section 4949 of the Internal Revenue Code of 1954, or corresponding provisions of any later federal tax laws.

2. The Corporation shall not engage in any

act of self dealing as defined in Section 4941(d) of the Internal Revenue Code of 1994, or corresponding provisions of any later federal tax laws.

3. The Corporation shall not retain any excess business holdings as defined in Section 4943(c) of the Internal Revenue Code of 1954, or corresponding provisions of any later federal tax laws.

4. The Corporation shall not make any investments in such manner as to subject it to tax under Section 4944 of the Internal Revenue Code of 1954, or corresponding provisions of any later federal tax laws.

5. The Corporation shall not make any taxable expenditures as defined in Section 4945(d) of the Internal Revenue Code of 1954, or corresponding provisions of any later federal tax laws.

ARTICLE VII

The names and addresses of the incorporators are Rhonda Williams, 622 West St. Catherine, Louisville, Kentucky; Laurie Bledsoe, 546 West St. Catherine, Louisville, Kentucky; Dennis Lally, 514 West St. Catherine, Louisville, Kentucky and Neal Nixon, 605 West St. Catherine, Louisville, Kentucky.

ARTICLE VIII

The initial Board of Directors shall consist of four (4)

directors. The name and addresses of the members of the initial Board of Directors are: Rhonda Williams, President, 622 West St. Catherine, Louisville, Kentucky; Laurie Bledsoe, Vice-President, 546 West St. Catherine, Louisville, Kentucky; Dennis Lally, Treasurer, 514 West St. Catherine, Louisville, Kentucky; and, Neal Nixon, Secretary, 605 West St. Catherine, Louisville, Kentucky.

ARTICLE IX

The nature and purpose of the business of the Corporation shall be to carry out the general objective and purposes as set forth in Article IV and through coordinated and conservative efforts to develop to the fullest objectives including, but not limited to:

A. To stimulate the development and betterment of the West St. Catherine Neighborhood, Louisville, Kentucky.

To carry out its purposes, the Corporation shall be authorized:

A. To receive, hold and disburse gifts, requests and other funds for said purposes; to own and maintain suitable real estate and buildings for its purposes, and to do all things necessary and incident thereto;

B. To make contracts and incur liabilities, borrow money at such rates of interest as a Corporation may determine, issue its notes, bonds and other obligations and secure

any of its obligations by mortgage or pledge of all or any of its property and income;

C. The foregoing purposes shall be construed both as objectives and powers and it is hereby expressly provided that the foregoing accumulations of the specific powers shall not be held to limit or restrict in any manner the general powers of this Corporation and are in furtherance of and in addition to and not in limitation of, the general powers conferred by the laws of the Commonwealth of Kentucky according to KRS 273.171.

ARTICLE X

The officers and members of this Corporation shall not be held personally liable for any debt or obligation of the Corporation solely because of their position as officers and members of said Corporation.

No director of the Corporation shall have personal liability for monetary damages for breach of his or her duties as a director; provided, however, this provision shall not eliminate the liability of a director for (a) any transaction in which the director's personal financial interest is in conflict with the financial interest of the Corporation; (b) acts or omissions not in good faith which involve intentional misconduct or are known to the director to be a violation of law; or (c) any transaction from which the director derives an improper personal benefit.

ARTICLE XI

The Corporation formed hereby shall have no capital stock and shall be composed of members rather than share holders.

ARTICLE XII

The Corporation is not organized for profit nor shall it have any power to issue certificates of stock or declare dividends and no part of its net earnings shall enure to the benefit of any member or officer.

ARTICLE XIII

In the event of dissolution of the Corporation, the Board of Directors shall, after paying or making provisions for the payment involved in liabilities of the Corporation, dispose of all the assets of the Corporation exclusively for the purposes of the Corporation, in such manner or to such organizations organized and operated exclusively for charitable and/or educational purposes as shall at the time qualify as an exempt organization under Section 501(c)(3) of the Internal Revenue Code of 1954 (or the corresponding provision of any latter federal tax laws), as the Board of Directors shall determine.

The remaining assets, if any, shall be disposed of by the Circuit Court of the county in which the principal office for the Corporation is then located, exclusively for such purposes or to such organizations as said Court shall determine, which are

organized and operated exclusively for such purposes.

ARTICLE XIV

Amendments to these Articles shall be made pursuant to the provisions of KRS 273.263.

Signed and hereby acknowledged by the incorporators at Louisville, Kentucky this 1st day of April, 1998.

Rhonda Williams
Rhonda Williams

Laurie Bledsoe
Laurie Bledsoe

Dennis Lally
Dennis Lally

Neal Nixon
Neal Nixon

Prepared By:

Robert T. Watson
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Document No: 1998058819
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Recorded On: Apr 23, 1998 03:24:34 P.M.
Total Fees: \$19.00
County Clerk: Rebecca Jackson
Deputy Clerk: TERHIG

END OF DOCUMENT

WEST ST. CATHERINE NEIGHBORHOOD ASSOCIATION, INC.

General Information

Organization Number	0454770
Name	WEST ST. CATHERINE NEIGHBORHOOD ASSOCIATION, INC.
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
File Date	4/6/1998
Organization Date	4/6/1998
Last Annual Report	4/6/2015
Principal Office	WEST SAINT CATHERINE NEIGHBORHOOD ASSOCIATION C/O OLD LOUISVILLE INFORMATION CENTER 1340 SOUTH 4TH ST. LOUISVILLE, KY 40208
Registered Agent	SCOTT GILBERTSON WEST SAINT CATHERINE NEIGHBORHOOD ASSOCIATION C/O OLD LOUISVILLE INFORMATION CENTER 1340 SOUTH 4TH ST. LOUISVILLE, KY 40208

Current Officers

President	<u>Dennis Lally</u>
Vice President	<u>Betsy Aldrich</u>
Secretary	<u>Scott Gilbertson</u>
Treasurer	<u>Neal Nixon</u>
Director	<u>Nancy McCormick-Cogan</u>
Director	<u>Dennis Lally</u>
Director	<u>Neal Nixon</u>

Individuals / Entities listed at time of formation

Director	<u>RHONDA WILLIAMS</u>
Director	<u>LAURIE BLEDSOE</u>
Director	<u>DENNIS LALLY</u>
Director	<u>NEAL NIXON</u>
Incorporator	<u>RHONDA WILLIAMS</u>
Incorporator	<u>LAURIE BLEDSOE</u>
Incorporator	<u>DENNIS LALLY</u>
Incorporator	<u>NEAL NIXON</u>

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned

images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

Annual Report	4/6/2015	1 page	PDF	
Annual Report	1/27/2014	1 page	PDF	
Annual Report	1/17/2013	1 page	PDF	
Annual Report	5/22/2012	1 page	PDF	
Annual Report	2/9/2011	1 page	PDF	
Annual Report	3/30/2010	1 page	PDF	
Registered Agent name/address change	11/27/2009 10:37:12 AM	1 page	PDF	
Registered Agent name/address change	11/27/2009 10:36:27 AM	1 page	PDF	
Principal Office Address Change	11/27/2009 10:32:51 AM	1 page	PDF	
Annual Report Amendment	11/27/2009	1 page	PDF	
Annual Report	2/26/2009	1 page	tiff	PDF
Principal Office Address Change	10/4/2008 12:55:18 PM	1 page	PDF	
Registered Agent name/address change	10/4/2008 12:38:00 PM	1 page	PDF	
Annual Report Amendment	10/4/2008	1 page	PDF	
Annual Report	3/3/2008	1 page	PDF	
Annual Report	1/19/2007	1 page	tiff	PDF
Annual Report	3/15/2006	1 page	tiff	PDF
Annual Report	3/22/2005	1 page	tiff	PDF
Annual Report	5/5/2003	1 page	tiff	PDF
Annual Report	4/10/2002	1 page	tiff	PDF
Annual Report	5/23/2001	1 page	tiff	PDF
Annual Report	5/8/2000	1 page	tiff	PDF
Annual Report	6/22/1999	1 page	tiff	PDF
Articles of Incorporation	4/6/1998	9 pages	tiff	PDF

Assumed Names

Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	4/6/2015 10:58:25 AM	4/6/2015 10:58:25 AM	
Annual report	1/27/2014 2:52:09 PM	1/27/2014 2:52:09 PM	
Annual report	1/17/2013 3:31:25 PM	1/17/2013 3:31:25 PM	
Annual report	5/22/2012 3:50:08 PM	5/22/2012 3:50:08 PM	
Annual report	2/9/2011 2:37:26 PM	2/9/2011 2:37:26 PM	
Annual report	3/30/2010 1:45:21 PM	3/30/2010 1:45:21 PM	
Amendment to annual report	11/27/2009 10:43:45 AM	11/27/2009 10:43:45 AM	

Registered agent address change	11/27/2009 10:37:12 AM	11/27/2009 10:37:12 AM
Registered agent address change	11/27/2009 10:36:27 AM	11/27/2009 10:36:27 AM
Principal office change	11/27/2009 10:32:51 AM	11/27/2009 10:32:51 AM
Annual report	2/26/2009 4:12:53 PM	2/26/2009
Principal office change	10/4/2008 12:55:18 PM	10/4/2008 12:55:18 PM
Amendment to annual report	10/4/2008 12:51:14 PM	10/4/2008 12:51:14 PM
Registered agent address change	10/4/2008 12:38:00 PM	10/4/2008 12:38:00 PM
Annual report	3/3/2008 8:45:22 AM	3/3/2008 8:45:22 AM
Annual report	1/19/2007 10:45:07 AM	1/19/2007
Annual report	3/15/2006 8:55:13 AM	3/15/2006
Add	4/6/1998	4/6/1998

Microfilmed Images

Microfilm images are not available online. They can be ordered by faxing a [Request For Corporate Documents](#) to the Corporate Records Branch at 502-564-5687.

Annual Report	3/7/2005	1 page
Annual Report	5/28/2004	1 page
Annual Report	5/5/2003	1 page
Annual Report	4/10/2002	1 page
Annual Report	5/23/2001	1 page
Annual Report	5/8/2000	1 page
Annual Report	6/22/1999	1 page
Articles of Incorporation	4/6/1998	8 pages