NEIGHBORHOOD DEVELOPMENT FUND Not-for-Profit Transmittal and Approval Form

FED 17 2014 FH 4:41

DATE:	February 12, 2014		
PRIMARY	SPONSOR (District to contact w	ith any questions): Tina Wa	rd-Pugh
·			
Name of Ap	plicant: Kentucky Waterway	s Alliance, Inc.	
and within M read the orga	eviewed the attached Neighborhoo Metro Council guidelines and requanization's statement of public puric purpose is legitimate. I/We have	est approval of funding in the pose to be furthered by the fu	following amount(s). I/We have nds requested and I/We agree
Is this applic	am/project a fundraiser? cant a faith based organization? plication include funding for sub-	☐ Yes ☐ Yes ☐ Yes ☐ Yes	✓ No ✓ No ✓ No
District #	Council Member Signature	≠1000 — Amount	2 1 2 14 Date
Bistrict #	Monas La Cuto Council Member Signature	Amount #1000	2/13/14 Date
District #	Council Member Signature	Amount	Date
District #	Council Member Signature	Amount	Date
List below a	ffice Disclosure any personal or business relationsh and its volunteers, its employees or r and area three, iess forming Beargnass Crieh Villes is a fersonal trund of	numbers of its board of direct	ore
Approved l	by:		
App	propriations Committee Chairman	Date	
Clerk's Off	fice Only:		
Request Am	nount:	Committee Amended Appro	opriation:
Original Ap	Original Appropriation: Council Amended Appropriation:		

OFFICE OF METRO COUNCIL CLERK
REVIEWED

DATE 2:21:14 TIME 2:35pm

NDF NON-PROFIT APPLICATION CHECKLIST	71 1 7 7 7 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4
Legal Name of Applicant Organization: Ventucky WHEVWAS ALLAMU	
Program Name: 1 AMMVal Wild & Genic Request Amount: \$5,000	Yes/No/NA
Request form: Is the NDF request form signed by all Council Member(s) appropriating funding?	405
Request form: Is the funding proposed less than or equal to the request amount?	105
Request form: Have all known Council or Staff relationships to the Agency been adequately disclosed on the cover sheet?	465
Application Page 1: Has prior Metro funds committed/granted been disclosed?	765
Application Page 1: Is the application properly signed and dated by authorized signatory?	465
Application Page 3: Reimbursement funding – One or two boxes checked if any expenses are incurred before the grant award period. Is all required documentation included?	105
Application Pages 3 – 5: Is the proposed public purpose of the program well-documented?	405
Application 4: Is there adequate documentation of how the proceeds of the fundraiser will be spent?	165
Application Budget Page 6: Does the application budget reflect only the revenue and expenses of the project/program (page 6) if the request is not an operating budget request? Is all detail schedules included for "Metro, Non Metro and Total" expense funds for client assistance, community events & festivals and other expenses? And does the Non-Metro Revenue equal the Non-Metro expenses?	Yes
Faith Based Organizations: Is the signed Faith Based Form signed and included?	
Jefferson County Only: Will all funding be spent in Louisville/Jefferson County?	tes
Capital Project(s) request: Is the cost estimate(s) from proposed vendor(s) included?	
 Good Standing: Is the entity in good standing with: Kentucky Secretary of State – include Secretary of State website information on organization Louisville Metro Government – check OMB monthly report filed in Council Financial Reports Internal Revenue Service – most recent Form 990 included 	Yes
Separate Taxing Districts: If Metro funding is for a separate taxing district, is the funding appropriated for a program outside the legal responsibility of that taxing district?	
Small Cities: Is the resolution included agreeing to partner with Louisville Metro on the capital project? (IRS Determination letter not required, Form 990 not required, but KY SOS acknowledgement is)	
Operating Requests: Is recommended operating funding less than or equal to 33% of total operating budget?	
IRS Exempt Proof: Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	Yes
Operating Budget: Is the organization's current fiscal year operating budget included?	105
Ordinance Required: Is the amount committed by Council members greater than \$5,000 to any one project/program within an organization in this fiscal year.	N0
Board Members: Is the entity's board member list (with term length/term limits) included?	Yes
Staff: Is a list of the highest paid staff included with their expected annual personnel costs?	705
Annual Audit: Is the most recent annual audit (if required by organization) included?	Yes
Rent Requests: Is a copy of signed lease included?	
Articles of Incorporation: Are the Articles of Incorporation of the organization included?	1es
IRS Form W-9: Is the IRS Form W-9 included?	Yes
Evaluation Forms: Are the evaluation forms (if program participants are given evaluation forms) included?	
Affirmative Action: Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required by the organization)?	
Prepared by: Date: 02/12/14	



LOUISVILLE METRO COUNCIL





SECTION 1 - APPLICANT INFORMATION			
Legal Name of Applicant Organization: (as listed on: http://www.sos.ky.gov/business/records/) Kentucky V	The state of the s		
Main Office Street & Mailing Add	vvalerways Alliance, Inc.		
Main Office Street & Mailing Address: 120 Webster St. Suite 217 Lo	ouisville, KY 40206		
Website: www.kwalliance.org	and the second s		
Application Contact: Angela Doyle	Title: Development Director		
Phone: (502) 589-8008	Email: angela@kwalliance.org		
Financial Contact: Angela Doyle	Title: Development Director		
Phone: (502) 500 0000	Email: angela@kwalliance		
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTI	TIVITIES ARE (WILL BE) PROVIDED		
Program Facility Location(s): Clifton Center 2117 Payne St, Louisville, KY 4	40206		
Council District/o), 00	de(s): 40206		
SECTION 2 – PROGRAM REQUEST & FIN.	VANCIAL INFORMATION		
Program Name: 6th Annual Wild & Scenic Film Festival	The second secon		
Total Request: \$5,000 Total Metro Award (this p	program) in previous year : \$1,800		
The following are required attachments:	7-1-7		
 ■ IRS Exempt Status Determination Letter ■ Current Year Projected Budget ■ List of Board of Directors (include term & term limits) ■ Current financial statement ■ Most recent IRS Form 990 or 1120-H ■ Articles of Incorporation □ Cost estimates from proposed vendor if request is for capital expense □ Signed lease if rent costs are being requested ■ IRS Form W9 ■ Evaluation forms if used in the proposed program ■ Annual audit (if required by organization) ■ Faith Based Organization Certification Form, if required ■ Staff including the 3 highest paid staff 			
Agency Fiscal Yr Start Date: January 1			
For the current fiscal year ending June 30, list all funds received from Louisville expense, including funds received through Metro Federal Grants, from any department Funds). Attach additional sheet if necessary.	e Metro Government for this or any other program or partment or Metro Council Appropriation (Neighborhood		
Source: Neighborhood Development Funds Source:	Amount: \$1,800		
Source:	Amount: \$		
Amount: \$			
Has the applicant contacted the BBB Charity Review for participation? ☐ Yes Has the applicant met the BBB Charity Review Standards? ☐ Yes ☐ No	; ■ No		
SECTION 3 - SIGNATUI	IRE		
I certify under the penalty of law the information in this application (including accurate to the best of my knowledge. I am aware my organization will not falsification. If falsification is shown after funding has been approved, any allow repaid. I further certify that I am legally authorized to sign this application for the	t be eligible for funding if investigation at any time shows		
Date: 1-9-14			
Legal Signatory (please print): Judith Petersen	Title: Executive Director		
Phone: Extension: (502) 589-8008	Email: judy@kwalliance.org		
	- J		

SECTION 4 - AGENCY DETAILS	AA 15
Describe Agency's Vision, Mission and Services:	47.0
Mission: Kentucky Waterways Alliance's mission is to protect and restore Kentucky's Waterways. Vision: Kentucky Waterways Alliance envisions healthy waterways throughout Kentucky. We strive to achieve the best protections possible from water pollution in our communities and work toward a healt future for all.	o thier
Strategic Goals: 1) Identify key places for protection on a watershed level. 2) Carry out a statewide movement to protect Kentucky's waterways through grassroots activism and large-scale awareness. 3) work on behalf of communities and the promises laid out in the Clean Water Act by influencing public policy.	ic
4) Build a strong and sustainable water network by promoting and supporting local watershed groups.	
SECTION 5 - PROGRAM NARRATIVE A: Purpose of Request (check all that apply): Operating Funds (generally cannot exceed 33% of agency's total operating budget)	
Programming/services/events for direct benefit to community or qualified individuals	
☐ Capital Project of the organization (equipment, furnishing, building, etc)	
B: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specifient population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc): The 6th Annual Wild & Scenic Film Festival will be held on Saturday, March 15, 2014 at the Clifton Cent The purpose of the event is to bring a national event to a local arena in order to increase public awareness about environmental issues and broaden KWANEs.	ter.
about environmental issues and broaden KWA's appeal by increasing our membership base. We are all going to use this event to outreach around our efforts in the Louisville area, and will highlight a film produced by a local filmmaker.	ess Iso
The previous 5 events each brought close to 300 participants and more than 20 organizational partners (both local nonprofits and local small businesses) together to learn more about what they can do to prote our environment, and specifically our waterways. The scope of this year's national event is renewable power sources, and we hope to bring films to the Louisville area that will inspire people to make real changes in their personal lives to help affect change on a community level, and beyond.	ect
We will be showing 6-8 short films from roughly 8p-11p on the date of the festival, and will hold a reception For the event where members may meet representatives from other like-minded organizations from the large.	on

Please see the attached information for further descriptions of the event.

C: Describe specifically how the funding will be spent including identification of funding to subgrantee(s):
Funding from the Neighborhood Development Fund would cover our costs associated with the festival, including:
Our program costs to the national festival (\$1,000), screen and equipment rental (\$300), venue rental (\$700), printing and postage (\$800), the fee for the emcee (\$500), advertising for the event (\$350), and miscellaneous items including linen rental, office supplies, in-town hotel stay for an out-of-town employee, etc. (\$350).
In addition, the funding would cover \$1,000 of staff time for the event, including portions of the Communications Director, Development Director, and Office Manager's salaries.
D: For Expenditure Reimbursement Only - The grant award period begins with the Metro Council Appropriation Committee approval date and ends on June 30 of the fiscal year in which the grant is approved. If any part of this funding request is for funds that will be spent before the grant award period, identify the applicable circumstances:
■ The funding request is a reimbursement of the following expenditures that have occurred prior to the application date: ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
 ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.
A deposit of \$350 was paid to the Clifton Cultural Center on 9/17/2013 toward the total fee of \$700. The invoice and cancelled check are attached.
The funding request is a reimbursement of the following expenditures that will be incurred after the application date, but prior to the Metro Council approval date. This option will allow expenditures occurring within this time frame to be considered compliant with the grant agreement.

- If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.
 - The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

We are unsure what expenditures will be incurred before the Council approval date. We do not have any expenses that will be incurred until closer to the event time period, but as we're not sure what the Council approval date will be, it is possible that some of our expenses will overlap this period.

E: If this request is for a fundraiser, please detail how the proceeds will be spent:
This event is more of an outreach event than a fundraiser for us, as we have never raised more than our expenses in the 5 years we've held the event. However, it is possible that if we were the sell out the event and bring in large sponsors, we could raise more funds than were expended in the process of holding the event. In such a case, the funds would be spent on our further outreach efforts, particularly in our Beargrass Creek Alliance program and our fledgling Harrods Creek program.
F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program specifically.
We have not yet determined most of the collaborative partners for this year's program, but in past years we've had approximately 20 partners for each event. Most are other local nonprofit organizations, such as The Nature Conservancy of Kentucky, the Olmsted Parks Conservancy of Louisville, and the Greater Louisville Sierra Club. We've also partnered with a number of small, local, for-profit businesses such as local outdoor outfitters and other like-minded businesses such as Heine Brothers Coffee, KY Ale, and Wiltshire Pantry. These partners typically provide in-kind services such as donations of food and
beverages, or provide other forms of sponsorship for the event in exchange for booth space to provide educational information about their organizations or businesses.

Outside of the event, we regularly collaborate with a number of community organizations on projects varying from watershed planning and educational events to policy discussion.

G: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served: The benefit to attendees of the film festival will be an increased knowledge of local and national issues regarding the environment, and a better understanding of what individuals can do to help. Our success will be determined by the number of people who attend the film event, the number of new members we gain from the event, and the results of a survey sent to attendees after the event. Our long-term goal is to successfully cultivate relationships with new activists, both young and old, so that they will want to participate in future clean-up work on local waterways including Beargrass Creek and Harrods Creek, and ultimately be better stewards of the environment.

SECTION 6 - PROGRAM BUDGET SUMMARY

The Program Budget should realistically estimate what amount is needed from Metro Government and what is expected from other sources. **Enter whole-dollar amounts.**

	Column 1	Column 2*	Column 3
Program Expenses	Proposed Metro Funds	Non- Metro Funds	Total Program Cost
A: Personnel Costs Including Benefits	\$1,000	\$9,200	\$10,200
B: Rent/Utilities	\$0	\$650	\$650
C: Office Supplies	\$0	\$0	\$0
D: Telephone	\$0	\$0	\$0
E: In-town Travel	\$0	\$0	\$0
F: Client Assistance (Attach Detailed List)	\$0	\$0	\$0
G: Professional Service Contracts	\$0	\$0	\$0
H: Program Materials	\$0	\$0	\$0
1: Community Events & Festivals (Attach Detailed List)	\$4,000	\$0	\$4,000
J: Machinery & Equipment	\$0	\$0	\$0
K: Capital Project	\$0	\$0	\$0
L: Other Expenses (Attach Detail List)	\$0	\$0	\$0
SUBTOTAL	\$5,000	\$9,850	\$14,850
% of Program Budget —	34 %	66 %	100%
Value of volunteer services and how computed:	N/A	\$1,700	\$1,700
Value of in-kind assets, such as donated space, supplies, use of equipment, etc. (Detail on Next Page)	N/A	\$3,300	\$3,300
Total Program Funds	\$5,000	\$14,850	\$19,850

^{*}List funding sources in Column 2 (do not include individual donor names):

Other State, Federal or Local Government	
United Way	
Private Contributions	¢6 050
Fees Collected from Program Participants	\$6,850
	\$3,000
Other (please specify)	
Total Revenues	\$9,850

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
Wiltshire Pantry (assumed)/Food	\$1,100	Donor Assigned Value
Ky Ale/Food and Spirits for Reception	\$700	Donor Assigned Value
Bloom Elem. Media Club/Promo Materials	\$1,500	Fair Market Value of Svs.
Volunteers	\$1,700	100 hrs. at \$17/hr.
Total Value of In-Kind	\$5,000	
(to match Program Budget Line Item. Volunteer Contribution &Other In Kind)		

^{*} Donor information refers to who made the in kind contribution. Volunteers need not be listed individually, but grouped together on one line as a total noting how many hours per person per week)

Does your Agency anticonext fiscal year?	ipate a signific NO 🖼	int increase or decrease in your budget from the current fiscal year to the budget projected YES \square	for
If YES, please explain:			
			.
			·
		,	

SECTION 7 - CERTIFICATIONS AND ASSURANCES

By signing the first page of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

- 1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
- 2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
- 3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
- 4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
- 5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
- **6.** Failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
- 7. Return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end
- **8.** Provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
- 9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
- **10.** Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.

Standard Certifications

And the Property of the State o

- 1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
- 2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
- 3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
- 4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
- 5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

To our knowledge, no member of our Board of Directors or employees has any relationship with any Councilperson, Coulcilperson's family, Councilperson's staff, or any Louisville Metro Government employee.

Proposed Expenditure of NDF Funds

Program Costs to National Festival (SYRCL)	\$1,000
Screen and Equipment Rental	\$300
Venue Rental	\$700
Printing and Postage	\$800
Emcee Fee	\$500
Advertising	\$350
Miscellaneous*	\$350
Staff Time**	\$1,000
Total	\$5,000

^{*}Misc. items include linen rental, office supplies, and in-town hotel stay for out-of-town employee

^{**} Staff time includes portions of the Communications Director, Development Director, and Office Manager's salaries.

Kentucky Waterways Alliance Staff

Judith Petersen, Executive Director (\$54,000/yr)
Tessa Edelen, Watershed Program Director (\$42,848/yr)
Tim Joice, Water Policy Director (\$41,775/yr)
Angela Doyle, Development Director (\$40,352/yr)
Will Willis, Communications Director (\$37,024/yr)
Jessica Kane, Office Manager (\$27,040/yr)

KENTUCKY WATERWAYS ALLIANCE, INC.

General Information

Organization Number 0313971

Name KENTUCKY WATERWAYS ALLIANCE, INC.

Profit or Non-Profit N - Non-profit

Company Type KCO - Kentucky Corporation

Status A - Active Standing G - Good

State ΚY

File Date 4/14/1993 **Organization Date** 4/14/1993 **Last Annual Report** 1/23/2014

Principal Office 120 WEBSTER ST., SUITE 217

LOUISVILLE, KY 40206

Registered Agent JUDITH D. PETERSEN

120 WEBSTER ST.

SUITE 217

LOUISVILLE, KY 40206

Current Officers

President Gordon Garner Vice President Ward Wilson Secretary **Hugh Archer** Director Robert Johnson Director **Beverly Juett** Director Dave Wimsatt

Individuals / Entities listed at time of formation

Director **BETH K. STEWART** Director **HELEN POWELL** Director **ED PUTERBAUGH** Incorporator BETH K. STEWART

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

Annual Report	1/23/2014	1 page	<u>PDF</u>	
Annual Report	2/11/2013	1 page	<u>PDF</u>	
Annual Report	5/11/2012	1 page	<u>PDF</u>	
Annual Report	5/24/2011	1 page	PDF	
Registered Agent name/address change	6/22/2010	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	6/22/2010	1 page	<u>tiff</u>	<u>PDF</u>
A	C 12 4 12 0 0 0	a	Lice	~~ r

	104.4			
Annual Keport	Welcome to Fasttrack O 6/24/2009			
Principal Office Address Cha		∠ pages	<u> TITT</u>	<u> PDF</u>
Annual Report		1 page	<u>tiff</u>	<u>PDF</u>
	5/23/2008	1 page	<u>PDF</u>	
Annual Report	5/24/2007	1 page	<u>PDF</u>	
<u>Annual Report</u>	5/25/2006	1 page	tiff	<u>PDF</u>
<u>Annual Report</u>	5/31/2005	1 page	tiff	PDF
<u>Annual Report</u>	4/29/2003	1 page	tiff	PDF
<u>Annual Report</u>	7/18/2002	1 page	tiff	PDF
<u>Annual Report</u>	7/23/2001	1 page	tiff	<u>PDF</u>
<u>Annual Report</u>	7/7/2000	1 page	tiff	<u>PDF</u>
<u>Annual Report</u>	6/21/1999	1 page	tiff	PDF
<u>Annual Report</u>	7/1/1997	1 page	<u>tiff</u>	<u>PDF</u>
Statement of Change	6/4/1997	1 page	<u>tiff</u>	
Reinstatement	7/26/1996	· -		<u>PDF</u>
Statement of Change	7/26/1996	2 pages	<u>tiff</u>	<u>PDF</u>
Administrative Dissolution		2 pages	<u>tiff</u>	<u>PDF</u>
	11/1/1995	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	7/1/1995	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	3/25/1994	1 page	<u>tiff</u>	<u>PDF</u>
Articles of Incorporation	4/14/1993	8 pages	tiff	PDF

Assumed Names

Activity History

· · · · · · · · · · · · · · · · · · ·			
Filing	File Date	Effective Date	Org. Referenced
Annual report	1/23/2014 10:52:09 AM	1/23/2014 10:52:09 AM	org, noronoru
Annual report	2/11/2013 11:43:12 AM	2/11/2013 11:43:12 AM	
Annual report	5/11/2012 5:56:53 PM	5/11/2012 5:56:53 PM	
Annual report	5/24/2011 4:38:41 PM	5/24/2011 4:38:41 PM	
Annual report	6/22/2010 10:10:11 AM	6/22/2010	
Registered agent address change	6/22/2010 10:09:28 AM	6/22/2010	
Principal office change	6/24/2009 8:52:24 AM	6/24/2009	
Annual report	6/24/2009 8:51:43 AM	6/24/2009	
Annual report	5/23/2008	5/23/2008	
	11:11:49 AM	11:11:49 AM	
Annual report	5/24/2007 12:16:59 PM	5/24/2007 12:16:59 PM	
Annual report	5/25/2006 3:45:09 PM	5/25/2006	
Registered agent address change	6/4/1997	6/4/1997	
	5/19/1997	5/19/1997	
Principal office change	7/26/1996	7/26/1996	

 Reinstatement
 7/26/1996
 7/26/1996

 Registered agent address change 7/26/1996
 7/26/1996

 Admin Dis. A. report not in
 11/1/1995

Microfilmed Images

Microfilm images are not available online. They can be ordered by faxing a Request For Corporate Documents to the Corporate Records Branch at 502-564-5687.

Annual Report	5/26/2005	1 page
Annual Report	5/25/2004	1 page
Annual Report	4/29/2003	1 page
Annual Report	7/18/2002	
Annual Report	7/23/2001	1 page
Annual Report		1 page
Annual Report	7/7/2000	1 page
·	6/21/1999	1 page
Annual Report	5/20/1998	1 page
Annual Report	7/1/1997	1 page
Statement of Change	6/4/1997	1 page
Statement of Change	7/26/1996	· -
Reinstatement		1 page
Administrative Dissolution	7/26/1996	2 pages
	11/1/1995	1 page
Annual Report	7/1/1995	1 page
Annual Report	3/25/1994	1 page
Articles of Incorporation	4/14/1993	· -
•	1/ = 1/ = 2/2	8 pages



For Office Use:
Sent
Ins
Dep
Setup
PIF

Theatre Rental Agreement

September 11, 2013

Kentucky Waterways Alliance Angela Doyle 120 Webster Street Suite 217 Louisville, KY 40206

`	02) 589-80	008 I	Email: angela		org			
Day	Date	Space(s)	Open	Time Start	End	Rehearsal	Show/ Event	Load In/ Load Ou
Saturday	3/15/14	Theatre	1:00 p.m.	1:00 p.m.	12 a.m.		Spalant Committee Const. 11 11 12 12 12 12 12 12 12 12 12 12 12	
Saturday	3/15/14	Rec. Hall	2 July 2 199 8					
		, Marine and a second of				Estim Attenda		
Event Des	scription:			e, element of a confidence	<u> </u>			rival
Servi	ce sava		Company		Phone/G	Contact		me
	ralenda.		Fee:	The Many of a Mark Mark Mark			and the second second second	e de la companya del la companya de
	Process of March					er yer geranjame i ser esser an se semmentelymen skulle arm, ver producesty sem en egenes.	Security of Security Control of the	and the special to the state of the special special control of the special special control of the special spec
	AV:		alana 1 may kanjina (1 m m m m m m m m m m m m m m m m m m		A lastic Michigan (a) Add Mill Address (A the free in places a black pressure in definition of	raging consistent controlled and activatives of the finance of the special controlled co	· hallowed and the second	The state of the s
			appear vers on a primitive specific is "American Specific Specific American Specific		and selection of the se	ka alkantakan kan arawaya ka kan dinga kananasan di saara ka dina kananasan ka sa sa ka di daa ka kananasan ka	 Prosper automorphis (PM) (PM) in a con- 	ales printed and the second
("č	atering:	The state of the second section of the second section is a second section of the second section of the second section is a second section of the section of the second section of the section of t	and the first assess to the extensive specific to the state of the sta	the transfer of the second section sec	The state of the s	a y page - il a processor, y e l'imparata differentia collection de l'estate forme en que se une definit	And and and a second	THE STATE OF THE S
	Other:	and the control of th	Audicia Person (1971) (1971) (1971) - Sperform Haddings (1971) (1971) (1971)	a a regulações selectiva de translações de translações	and the second s	en en grant en service de la monte de la companya d	e and a respective filter	
ech Retai ighting Te	iner: \$; echnician Fe	200.00	our - deducted	from the Tech	Retainer	negative and the second se		og video og
Tech Retai Lighting Te Payment In	iner: \$; echnician Fe nformation	200.00 e: \$25 per h			Retainer Total Fee: \$70	0		
Tech Retai lighting Te Payment In Rental fee	iner: \$: echnician Fe nformation : \$700	200.00 e: \$25 per h Tech R	our - deducted	d are due 7 days	Total Fee: \$70 after the date	of this contrac	:t.	
Tech Retaing Technique Technique In Rental feet 50% of the The balance	iner: \$ 2 echnician Fe nformation : \$700 e total rental ce of the fee	200.00 e: \$25 per h Tech R I fee and the s	our - deducted etainer: Waived	d are due 7 days e are due 21 d	Total Fee: \$70 after the date ays before the o	of this contrac	t.	
Tech Retaing Tech Reyment In Rental fee 50% of the Tallure to	iner: \$: echnician Fe information : \$700 e total rental ce of the fee o meet the	200.00 e: \$25 per h Tech R I fee and the s e and the insui se deadlines	our - deducted etainer: Waived igned contract rance certificat	d are due 7 days e are due 21 da his contract v	Total Fee: \$70 after the date ays before the o	of this contrac	t.	

- Technical Direction: Will be provided by Clifton Center staff. User tech needs, including hanging pieces, props, etc., to be approved by Center staff at least one week before first rehearsal.
 Sound System Center system may be operated by your sound tech from backstage or the sound booth.
 Lighting Technician will operate lights for rehearsals and performances at fee of \$25 per hour, billed after the event.
 Equipment the Center includes use of the house sound system and lighting systems with the rental, any additional equipment listed on the production data sheet is provided at our discretion, and is provided as available.
- Decorating: Nothing is to be affixed to the walls no tape of any kind is to be used on walls or tables. The user
 agrees to enforce the Clifton Center Usage Policies with its guests.



- 3. Notices: Text must be approved by Clifton Center. User will refer to facility as The Clifton Center. Clifton Center's location is "off Frankfort Avenue at the corner of Clifton and Payne". Text directions can be found at: www.cliftoncenter.org/directions.
- 4. Parking: The Clifton Center has two parking lots for use during your event. One is located off of Payne Street and the other is accessed using the alley between the Saint Frances of Rome church and Frankfort Avenue. There is no parking in the church lot off of Clifton Avenue. This lot is for church parking only and you will be towed.
- 5. Exculpation Clause: The undersigned organization shall indemnify and hold the Clifton Cultural Center, Inc. and St. Frances of Rome Church harmless for all loss, damage, liability or expense resulting from any act or omission of said organizations or any officer, agent employee, guest, invitee or visitor of said organizations in or about the facilities provided, however, it shall not be liable for injuries caused by the negligence of the Center or St. Frances of Rome Church. The Clifton Cultural Center, Inc. and/or St. Frances of Rome Church shall not be liable for any injury to or the death of any person, or any loss of or damage to property, sustained by said organizations, or by any other person whatsoever, arising out of its use of the facilities and which may be caused by the facilities or any appurtenance being out of repair, or by the bursting or the leakage of any water, gas, sewer or steam pipes, or by theft or by any act of neglect of any other tenant or occupant of the facilities or of any other person, or by any other cause of whatsoever nature, unless caused by the negligence of the Clifton Cultural Center, Inc. or of St. Frances of Rome Church.
- Repair: The undersigned shall maintain the rooms reserved or used by it, and the contents therein, in the same condition as delivered to it and shall promptly repair, at its expense, any damage to said rooms or contents occurring during the time said rooms are reserved or used by it, regardless of the cause of such damage.
- 7. Forfeiture of Deposit: The undersigned organization shall, upon execution of this form, deposit the amount of the rental fee as security for the performance of its obligations hereunder. In the event of a default by said organization in the performance of its obligations hereunder, the deposit may, at the option of the Clifton Cultural Center, Inc. and/or St. Frances of Rome Church be retained not as a penalty but as liquidated damages for the default by said organization; provided, however, that the forfeiture of the deposit shall not prevent the Clifton Cultural Center, Inc. or St. Frances of Rome from pursuing any other remedy available at law or equity for the default.
- 8. Liability Insurance: The user will provide the Center with a public liability insurance certificate naming the Center as an additional insured. In the case of an individual hosting an event, a copy of the summary page of their homeowner's policy is required. In addition, if alcoholic beverages are to be served during this event, the user or cateror will provide the Center with a liquor liability insurance certificate naming the Center as an additional insured.
- Confirmation: This reservation will be considered confirmed on our receipt of the executed contract and at least onehalf of the total rental fee.
- 10. Cancellation: Cancellation with less than 90 days' notice will result in forfeiture of rental deposit. If canceled in writing 90 days before the event, rental deposit will be refunded less \$25 handling fee.

The undersigned has read & agrees to be responsible for adherence to the terms of this contract by his/her group:

Date: 9-12-13
Signature: Judith Peterson
Drint Judith Peterson

Clifton Cultural Center, Inc.

John Harry

Eifler Theatre Rental Terms and Usage Policies

Use

There can be no construction (sawing, etc), or painting in the Theatre or on the premises.

Production Date

The Renting Party will complete and submit a Production Data Sheet with their reservation.

Smoking

 The Clifton Center is a smoke free building. Smoking is permitted outside the stage door & on the Theatre plaza.

Inspections and Cleaning

- A load-in and load out inspection may be conducted by the user with Center staff.
- Any items to be stored before or after the term of the contract must be approved in writing before lead-in.
- The Theatre will be cleaned before the opening performance and at the end of the run. Trash will be removed daily
- The user is to maintain a clean environment during rehearsals and performances.

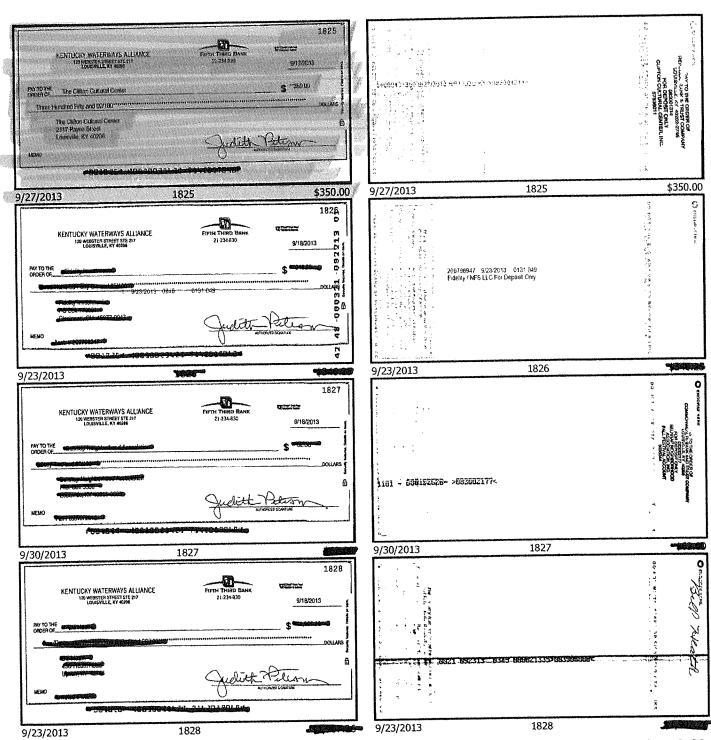
Technical Guidelines

- No duct or masking tape may be used anywhere in the Theatre. Only spike or gaifers tape may be used on the stage floor.
- · Hothing is to be affixed to the floor or hung without permission and supervision of the Tech Director.
- No additional tables may be placed in the lobby due to fire exit regulations.
- Cables in public areas must be taped down under the supervision of the Technical Director.

Event Name & Date: Wild + Scenic Film Festival, March 15, 2014

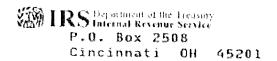
Date: 9-12-13 Signed: Qudith Petron





Page 6 of 6





In reply refer to: 0248162362 Apr. 13, 2010 LTR 4168C E0 61-1239766 000000 00 00016189

BODC: TE

KENTUCKY WATERWAYS ALLIANCE INC % JUDY PETERSEN 120 WEBSTER STREET STE 217 LOUISVILLE KY 40206

4446

Employer Identification Number: **-***9766
Person to Contact: Mr. McQueen
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your Apr. 02, 2010, request for information regarding your tax-exempt status.

Our records indicate that your organization was recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in October 1993.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Beginning with the organization's sixth taxable year and all succeeding years, it must meet one of the public support tests under section 170(b)(1)(A)(vi) or section 509(a)(2) as reported on Schedule A of the Form 990. If your organization does not meet the public support test for two consecutive years, it is required to file Form 990-PF, Return of Private Foundation, for the second tax year that the organization failed to meet the support test and will be reclassified as a private foundation.

If you have any questions, please call us at the telephone number shown in the heading of this letter.

0248162362 Apr. 13, 2010 LTR 4168C E0 61-1239766 000000 00 00016190

KENTUCKY WATERWAYS ALLIANCE INC % JUDY PETERSEN 120 WEBSTER STREET STE 217 LOUISVILLE KY 40206

Sincerely yours,

michile m. Saline

Michele M. Sullivan, Oper. Mgr. Accounts Management Operations 1

2014 Draft Budget Approved by Executive Committee 12.19.13

2014 deferred revenue/carry (McKnight \$24,750, other inc. Aveda \$28,900, UK WRF Earned Income		156,167
Special Appeals/Donations (does not count Harrods Cr)	36,000	
Membership	25,000	
Annual Meeting	1,000	
Interest from Money Market Account	250	
KARF	30,000	
AVEDA (total \$45K - 50% in 2014)	22,500	
Gala, major FR event	15,000	
Forecastle, other	7,500	
Wild & Scenic Film Festival Sponsors + tickets	6,000	442.250
Earned Income - subtotal		143,250
Grant Funds Secured	74.250	
McKnight Foundation	74,250	
NPS 09 Red River Gorge WBP	34,100	
NPS 13 Implement WBP Bacon Creek	37,500	
Red Bird River	8,280	
Harrods Creek WBP	30,000	104 120
Grant Funds secured subtotal		184,130
Grant Funds Anticipated	1 350	
Private Fnd, funds River Cleanups (\$2,500 req. est 50%)	1,250	
Norcross Wildlife (\$2k req, est 50%)	1,000	
Rockcastle River - Mohn Fnd (contract staff)	4,000	
Dentri Fnd., (\$25k req., est 20%)	5,000	
Walton Family Fund MRC Communications (KWA share, est 50%)	5,000	
Steele Reese Fnd (req. \$25K est 20%)	5,000	21 250
Total Grant Funds Anticipated		21,250 404,780
Total Income 2014		
Expenses		
Expenses Salaries and Benefits (Total Payroll)		281,544
Expenses Salaries and Benefits (Total Payroll) Subtotal Payroll		281,544
Expenses Salaries and Benefits (Total Payroll) Subtotal Payroll Professional Services	500	281,544
Expenses Salaries and Benefits (Total Payroll) Subtotal Payroll Professional Services Bookkeeping/payroll software	500 5,500	281,544
Expenses Salaries and Benefits (Total Payroll) Subtotal Payroll Professional Services Bookkeeping/payroll software CPA Accounting (Audit & IRS form 990)		281,544
Expenses Salaries and Benefits (Total Payroll) Subtotal Payroll Professional Services Bookkeeping/payroll software CPA Accounting (Audit & IRS form 990) CWA legal services	5,500	281,544
Expenses Salaries and Benefits (Total Payroll) Subtotal Payroll Professional Services Bookkeeping/payroll software CPA Accounting (Audit & IRS form 990)	5,500 1,000	281,544 9,000
Expenses Salaries and Benefits (Total Payroll) Subtotal Payroll Professional Services Bookkeeping/payroll software CPA Accounting (Audit & IRS form 990) CWA legal services Web Development and Maintenance Subtotal Professional Services	5,500 1,000	
Expenses Salaries and Benefits (Total Payroll) Subtotal Payroll Professional Services Bookkeeping/payroll software CPA Accounting (Audit & IRS form 990) CWA legal services Web Development and Maintenance	5,500 1,000	
Expenses Salaries and Benefits (Total Payroll) Subtotal Payroll Professional Services Bookkeeping/payroll software CPA Accounting (Audit & IRS form 990) CWA legal services Web Development and Maintenance Subtotal Professional Services Operating Expenses License, fees, bank charges etc.	5,500 1,000 2,000	
Expenses Salaries and Benefits (Total Payroll) Subtotal Payroll Professional Services Bookkeeping/payroll software CPA Accounting (Audit & IRS form 990) CWA legal services Web Development and Maintenance Subtotal Professional Services Operating Expenses	5,500 1,000 2,000	
Expenses Salaries and Benefits (Total Payroll) Subtotal Payroll Professional Services Bookkeeping/payroll software CPA Accounting (Audit & IRS form 990) CWA legal services Web Development and Maintenance Subtotal Professional Services Operating Expenses License, fees, bank charges etc. Insurance (Liability, Workers Comp, & event)	5,500 1,000 2,000 1,200 4,250	
Expenses Salaries and Benefits (Total Payroll) Subtotal Payroll Professional Services Bookkeeping/payroll software CPA Accounting (Audit & IRS form 990) CWA legal services Web Development and Maintenance Subtotal Professional Services Operating Expenses License, fees, bank charges etc. Insurance (Liability, Workers Comp, & event) Memberships,dues & subscriptions	1,200 4,250 1,250	
Expenses Salaries and Benefits (Total Payroll) Subtotal Payroll Professional Services Bookkeeping/payroll software CPA Accounting (Audit & IRS form 990) CWA legal services Web Development and Maintenance Subtotal Professional Services Operating Expenses License, fees, bank charges etc. Insurance (Liability, Workers Comp, & event) Memberships,dues & subscriptions Travel & Training total (project & administrative)	1,200 4,250 1,200	
Expenses Salaries and Benefits (Total Payroll) Subtotal Payroll Professional Services Bookkeeping/payroll software CPA Accounting (Audit & IRS form 990) CWA legal services Web Development and Maintenance Subtotal Professional Services Operating Expenses License, fees, bank charges etc. Insurance (Liability, Workers Comp, & event) Memberships,dues & subscriptions Travel & Training total (project & administrative) Equipment/software inc. repairs, maintenance	1,200 4,250 1,200 2,000	
Expenses Salaries and Benefits (Total Payroll) Subtotal Payroll Professional Services Bookkeeping/payroll software CPA Accounting (Audit & IRS form 990) CWA legal services Web Development and Maintenance Subtotal Professional Services Operating Expenses License, fees, bank charges etc. Insurance (Liability, Workers Comp, & event) Memberships,dues & subscriptions Travel & Training total (project & administrative) Equipment/software inc. repairs, maintenance Office Supplies	1,200 4,250 1,200 2,000 1,500	
Expenses Salaries and Benefits (Total Payroll) Subtotal Payroll Professional Services Bookkeeping/payroll software CPA Accounting (Audit & IRS form 990) CWA legal services Web Development and Maintenance Subtotal Professional Services Operating Expenses License, fees, bank charges etc. Insurance (Liability, Workers Comp, & event) Memberships,dues & subscriptions Travel & Training total (project & administrative) Equipment/software inc. repairs, maintenance Office Supplies Printing and copying	1,200 4,250 1,200 2,000 1,250 12,000 2,000 1,500 14,000	
Expenses Salaries and Benefits (Total Payroll) Subtotal Payroll Professional Services Bookkeeping/payroll software CPA Accounting (Audit & IRS form 990) CWA legal services Web Development and Maintenance Subtotal Professional Services Operating Expenses License, fees, bank charges etc. Insurance (Liability, Workers Comp, & event) Memberships,dues & subscriptions Travel & Training total (project & administrative) Equipment/software inc. repairs, maintenance Office Supplies Printing and copying Postage and delivery	1,200 4,250 1,250 12,000 2,000 1,500 14,000 4,000	
Expenses Salaries and Benefits (Total Payroll) Subtotal Payroll Professional Services Bookkeeping/payroll software CPA Accounting (Audit & IRS form 990) CWA legal services Web Development and Maintenance Subtotal Professional Services Operating Expenses License, fees, bank charges etc. Insurance (Liability, Workers Comp, & event) Memberships,dues & subscriptions Travel & Training total (project & administrative) Equipment/software inc. repairs, maintenance Office Supplies Printing and copying Postage and delivery KWA Gov/Exec Council, staff meeting Expenses	1,200 4,250 1,200 2,000 1,250 12,000 2,000 1,500 14,000 4,000 700	
Expenses Salaries and Benefits (Total Payroll) Subtotal Payroll Professional Services Bookkeeping/payroll software CPA Accounting (Audit & IRS form 990) CWA legal services Web Development and Maintenance Subtotal Professional Services Operating Expenses License, fees, bank charges etc. Insurance (Liability, Workers Comp, & event) Memberships,dues & subscriptions Travel & Training total (project & administrative) Equipment/software inc. repairs, maintenance Office Supplies Printing and copying Postage and delivery KWA Gov/Exec Council, staff meeting Expenses Office Costs, including rent, utilities, internet, phone	1,200 4,250 1,200 2,000 1,250 12,000 2,000 1,500 14,000 700 14,000	
Expenses Salaries and Benefits (Total Payroll) Subtotal Payroll Professional Services Bookkeeping/payroll software CPA Accounting (Audit & IRS form 990) CWA legal services Web Development and Maintenance Subtotal Professional Services Operating Expenses License, fees, bank charges etc. Insurance (Liability, Workers Comp, & event) Memberships,dues & subscriptions Travel & Training total (project & administrative) Equipment/software inc. repairs, maintenance Office Supplies Printing and copying Postage and delivery KWA Gov/Exec Council, staff meeting Expenses Office Costs, including rent, utilities, internet, phone River Clean-ups	1,200 4,250 1,200 2,000 2,000 1,250 12,000 2,000 1,500 14,000 4,000 700 14,000 1,500	
Expenses Salaries and Benefits (Total Payroll) Subtotal Payroll Professional Services Bookkeeping/payroll software CPA Accounting (Audit & IRS form 990) CWA legal services Web Development and Maintenance Subtotal Professional Services Operating Expenses License, fees, bank charges etc. Insurance (Liability, Workers Comp, & event) Memberships,dues & subscriptions Travel & Training total (project & administrative) Equipment/software inc. repairs, maintenance Office Supplies Printing and copying Postage and delivery KWA Gov/Exec Council, staff meeting Expenses Office Costs, including rent, utilities, internet, phone River Clean-ups KWA Annual Meeting	1,200 4,250 1,200 2,000 2,000 1,250 12,000 2,000 1,500 14,000 4,000 700 14,000 1,500	9,000

Net Balance 20	14 Income less Expenses (no unsecured grants)		(\$6,029)
	14 Income less Expenses		\$11,221
Total 2014 Expe			\$393,559
	Grant Sub-Contract Subtotal		27,215
	Bacon Creek BMP Implementation costs	8,000	
	Rockcastle River Program Director (Mohn Fnd)	4,000	
	Red Bird River Contractor	2,090	
	Ag Coordinator, Bacon Creek FFY13	13,125	
Grant	Sub-Contracts		
	Direct Project Costs Subtotal		18,400
	Fundraising parties/events	2,000	
	Gala expenses (not counting staff time)	5,000	
	Development & Marketing materials/ads/tabling	1,250	
	Room rentals/Refreshments (Red R & Harrods Cr, Misc events)	2,000	
	Beargrass Creek projects	1,000	
	Aveda expenses	4,000	
	Condo Fee	650	

Kentucky Waterways Alliance Governing Council Members 2014

Executive Committee

Gordon Garner, President
Ward Wilson, Vice-President
Bruce Scott, Treasurer
Hugh Archer, Secretary
Beverly Juett, Immediate Past-President

Basin Delegates

David Wimsatt, Salt River Basin Kenny Book, Kentucky River Basin Barry Tonning, Licking River Basin Ouida Meier, Upper Green River Basin Scott Vander Ploeg, Lower Green River Basin Thomas Vierheller, Big Sandy River Basin

Emeritus Board Member

Frank Elsen

At-Large Delegates

Doug Davis Virginia Lee Sandy Camargo

Kelly McKnight

All board members serve a one year term, and there are no term limits.

12:11 PM 01/28/14 Accrual Basis

Kentucky Waterways Alliance Balance Sheet

As of December 31, 2013

ASSETS	
Current Assets	
Checking/Savings	
1010 · Fifth Third Bank-Checking	73,726.49
1071 · Fifth Third Bank-Money Market	75,457.11
1073 · KARF Money Held in Trust	3,470,508.53
1074 · RF-Watershed GRP	16,973.57
Total Checking/Savings	3,636,665.70
Other Current Assets	
1310 · KARF Management Fee Receivable	-2,500.00
Total Other Current Assets	-2,500.00
Total Current Assets	3,634,165.70
Fixed Assets	
1600 · Computer Equipment	4,843.88
1610 · Accumulated Depreciation	-1,369.44
Total Fixed Assets	3,474.44
TOTAL ASSETS	3,637,640.14
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Other Current Liabilities	
Payroll Tax Payable	224.69
2110-01 · Compensated Absences Payable	10,256.50
2130 · Payroll Tax Payables	
2130-01 · FICA Income Tax Withholding	-3,283.48
2130-02 · Federal Income Tax Payable	-1,533.77
2130-03 · State Income Tax Payable	24.73
2130-04 · Lou/Jeff Income Tax Payable	1,000.58
2130-06 · Hart County Tax Payable	111.38
2130-07 · Simple IRA Payable	1,878.47
2130 Payroll Tax Payables - Other	-224.69
Total 2130 · Payroll Tax Payables	-2,026.78
24000 · Payroll Liabilities	5,762.66
2910 · Custodial Funds-KARF	3,470,508.53
Total Other Current Liabilities	3,484,725.60
Total Current Liabilities	3,484,725.60
Total Liabilities	3,484,725.60

12:11 PM 01/28/14 Accrual Basis

Kentucky Waterways Alliance Balance Sheet

As of December 31, 2013 Dec 31, 13

Equity	
3100 · Temporarily Restricted Funds	24,750.00
3200 · Restricted Funds Watershed Grp	16,973.57
32000 · Retained Earnings	37,129.95
Net Income	74,061.02
Total Equity	152,914.54
OTAL LIABILITIES & EQUITY	3,637,640.14

**** THIS IS NOT A FILEABLE COPY ****

IRS _{e-file} Signature Authorization

		for	an	Exempt	Organization	
					contract to the	

OMB No. 1545-1818

CONTINUES OF STATE OF	For calendar 798r 2012, or fiscal year beginning	, 2012, and ending	,20	2012
Department of the Treasury internal Revenue Service	Do not send to the	IRS. Keep for your records.	na postansko graneskornovori pilokoli ir bio plakoli koli postanskoj kilokoli koli postanskoj.	Anthonismo anticontraction for discussion strongly services in consistence and anticontractive by 1959 or 1970
Name of exempt organization			Employeriden	itification number
KENTUCKY WATE	RWAYS ALLIANCE, INC.		61-123	9766
Name and title of officer				
JUDITH PETERS				
EXECUTIVE DIR	ECTOR Return and Return Information (Who	жимники комперсия и подворя в применя подворя в применя подворя в	HANNESS EST MENT MENT AND	and the state of t
			from the veture	If you shock the hey
on line 1a. 2a. 3a. 4a. or 5	orn for which you are using this Form 8879 EO and the amount on that line for the related to not enter -0-). But, if you entered -0- or	eturn being filed with this form was blar	nk, then leave line	1b, 2b, 3b, 4b, or 5b,
1a Form 990 check here	b Total revenue, if any (Form 9	990, Part VIII, column (A), line 12)	1b	1891835
2a Form 990-EZ check he	ere 🔊 🔙 b Total revenue, if any (Fo	rm 990-EZ, line 9)	2b	
3a Form 1120-POL check	chere 🕨 🔲 b Total tax (Form 1120)-POL, line 22)	3b	
4a Form 990-PF check he	ere 🕨 🔲 b Tax based on investmen	<mark>nt income</mark> (Form 990-PF, Part VI, line 5)	4b	
5a Form 8868 check here	b Balance Due (Form 8868, Pa	art I, line 3c or Part II, line 8c)	5b	
Part II Declara	tion and Signature Authorization of	Officer	PREST, TOTAL PROSESSES AND ASSESSES AND ASSE	avazzancentera kristorizaten, preparjenen errakat keloka tillefa blefat pakjustehet esta tilletikan.
(a) an acknowledgement of the date of any refund. If a debit) entry to the financial return, and the financial in 1-888-353-4537 no later the processing of the electror payment. I have selected	der, transmitter, or electronic return originator of receipt or reason for rejection of the transmis applicable, I authorize the U.S. Treasury and its all institution account indicated in the tax prepaisitution to debit the entry to this account. To han 2 business days prior to the payment (settlatic payment of taxes to receive confidential information approach identification number (PIN) as my situation of the payment of the payment.	ssion, (b) the reason for any delay in prossions, (b) the reason for any delay in prossions of the organization software for payment of the organization and the Lement) date. I also authorize the financommation necessary to answer inquiries	ocessing the return an electronic function is a lectronic function is federal J.S. Treasury Finations invitations invitation invitations invitation invitation invitation invi	rn or refund, and (e) ds withdrawal (direct taxes owed on this uncial Agent at olved in the as related to the
	•			galandar protocologicy protocologica de el el Principles de estado de Sedendo
L I authorize	7205		to enter my P	Enter five numbers, bu
	ERO firm nai	me .		do not enter all zeros
is being filed wi	on the organization's tax year 2012 electronic th a state agency(ies) regulating charities as pa n the return's disclosure consent screen.	cally filed return. If I have indicated withit art of the IRS Fed/State program, I also	in this return that authorize the afo	a copy of the return rementioned ERO to
indicated withir program, I will e	the organization, I will enter my PIN as my sigr I this return that a copy of the return is being fi Inter my PIN on the return's disclosure consen	iled with a state agency(ies) regulating out screen.	charities as part o	f the IRS Fed/State
Officer's signature 🐌 🔭	*** THIS IS NOT A FILEA	BLE COPY *** Date		
Part III Certific:	ation and Authentication	with a drawning with the services of the context of the service entertainty when the context of the service entertainty with the service entertainty and the service enter	I ENALT PÁRTI SARALZI ESTAT, ESTA PARA EN PÁRTIS EN PÁRTIS EN PÁRTIS EN PARA E	o gregorijanska kritika i višenia iz progreti meta iz za okreča višeno pri kriti proveni i iz i delivišti divi
The state of the s	our six-digit electronic filing identification		rawwyspeperumpeterskalastets	The state of the s
	y your five-digit self-selected PIN.	615408458 de not enter all zei		
I certify that the above nu confirm that I am submitt e-file Providers for Busine	meric entry is my PIN, which is my signature o ing this return in accordance with the requirem ass Returns.	n the 2012 electronically filed return for rents of Pub. 4163, Modernized e-File (M	r the organization vleF) Information f	indicated above. I for Authorized IRS
ERO's signature 📂				
Continued 2005 designs on the end of the section of	ERO Must Retain Th	is Form - See Instructions	ACCUMULATION CONTINUES CON	egyvaterpenne nauthatinavery popysykännökönnökönökönökönökönökönökönökönökö

Do Not Submit This Form To the IRS Unless Requested To Do So

EHA For Paperwork Reduction Act Notice, see instructions. 273051 11-05-12

Form **8879-EO** (2012)

SCHEROLIE O (FORTI STO OF SELECTICATION CONTINUED CONTIN	Face 2 commentation consensus assessment as the consensus
Name of the organization KENTUCKY WATERWAYS ALLIANCE, INC.	Employer identification number 61-1239766
FORM 990, PART VI, SECTION C, LINE 19: THE BY-LAWS AND OU	R STRATEGIC PLAN
ARE MADE AVAILABLE BY REQUEST OR THROUGH OUR WEBSITE	
THE PROCESS HAS NOT CHANGED SINCE THE PRIOR YEAR.	
	The manufacture of the first and the second

SCHEDULE 0

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Serv≪

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

OMB No. 1545-9047

2012

Open to Public Inspection

Name of the organization

KENTUCKY WATERWAYS ALLIANCE, INC.

Employer identification number 61-1239766

如何可以在这个时间的一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个
FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:
HELPING TO SUPPORT WITH BEST MANAGEMENT PRACTICES FOR AGRICULTURAL AND
RESIDENTIAL NEEDS - SOLUTIONS THAT HELP THE COMMUNITY AND THE CREEK.
THE BEARGRASS CREEK WATERSHED IS THE MOST URBANIZED WATERSHED IN
KENTUCKY, AND KWA IS GETTING THE WORD OUT ABOUT STORMWATER POLLUTION
ISSUES AND WHAT ACTIONS EACH OF US CAN DO TO BE A PART OF THE SOLUTION.
FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:
SPONSOR RIVER CLEANUPS, RIVER RECREATIONAL PADDLE EVENTS AND OTHER
ACTIVITIES TO HELP CLEANUP AND EDUCATE THE PUBLIC ON THE BEAUTY AND
IMPORTANT OF OUR WATERWAYS.
EXPENSES \$ 77,392. INCLUDING GRANTS OF \$ 0. REVENUE \$ 0.
FORM 990, PART VI, SECTION B, LINE 11: THE EXECUTIVE AND AUDIT & FINANCE
COMMITTEES REVIEW THE 990 FOR ACCURACY AND COMPLETENESS BEFORE AUTHORIZING
THE EXECUTIVE DIRECTOR TO SIGN AND FILE FORM 990.
FORM 990, PART VI, SECTION B, LINE 12C: SIGNED ANNUALLY AT BORAD MEETINGS
- STAFF MONITORS
FORM 990, PART VI, SECTION B, LINE 15: THE EXECUTIVE COMMITTEE DETERMINES
THE COMPENSATION TO BE PAID TO THE EXECUTIVE DIRECTOR AND RELEVANT STAFF.
FORM 990, PART VI, SECTION C, LINE 18: FORM 990 IS AVAILABLE ON OUR
WEBSITE. OTHER DOCUMENTS ARE AVAILABLE BY REQUEST.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) (2012)

Schedule D (Form 990) 2012

20034/54 12 -16 -19

25

Schedule D (Form 990) 2012

Schedule D (Form 990) 2012

SCHEDULE D

(Form 990)

Lagrantment of the Trees my Internal Research Service

Supplemental Financial Statements > Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. Attach to Form 990. See separate instructions.

OME No. 35-15-0047 Open to Public Inspection

Name of the organization

KENTUCKY WATERWAYS ALLIANCE, INC.

Employer identification number 61-1239766

Pa	irt [Organizations Maintaining Donor Advise	d Funds or Other Similar Func	Is or Accounts. Complete if the
	organization are wered "Yes" to Form 990, Part IV, line		
		(a) Donor advised funds	(b) Funds and other accounts
4	Total number at and of year		
2	Aggregate contributions to (during year)		
3	Aggregate granto from (during year)		
4	Aggregate value at end of year		
5	Did the organization inform all donors and donor advisors in v	rriting that the assets held in donor adv	
	are the organization's property, subject to the organization's	exclusive legal control?	Yes No
6	Did the organization inform all grantees, donors, and donor a	dvisors in writing that grant funds can b	e used only
	for charitable purposes and not for the benefit of the donor or	donor advisor, or for any other purpos	e conferring
T Keep and	impermissible private benefit?	ризменны наменування пометом на может некомперент (на метом некомперент буль горь получения в учение на	Yes No
Remarkanian .	rt II Conservation Easements. Complete if the org		Part IV, line 7.
1	Purpose(s) of conservation easements held by the organization	processor as	
	Preservation of land for public use (e.g., recreation or ea	processor on a	istorically important land area
	Protection of natural habitat	Preservation of a cer	rtified historic structure
45	Preservation of open space		
.S.	Complete lines 2a through 2d if the organization held a qualifi	ed conservation contribution in the form	n of a conservation easement on the last
	day of the tax year,		
			Held at the End of the Tax Year
3			2a
b	3		2b
G	Number of conservation easements on a certified historic stru	eture included in (a)	2c
(J)	Number of conservation easements included in (c) acquired a		4 1
3	listed in the National Register		<u> </u>
1,11	Number of conservation easements modified, transferred, relevent	based, extinguished, or terminated by th	e organization during the tax
á.	Number of states where property subject to conservation eas		
5	Does the organization have a written policy regarding the peri-		
n _{in} a"	violations, and enforcement of the conservation easements it		processors, processors
6	Staff and volunteer hours devoted to monitoring, inspecting, a		Yes No
7	Amount of expenses incurred in monitoring, inspecting, and e	ntorping conservation economic during	or the results of
8	Does each conservation easement reported on line 2(d) above		
-	and section 170(h)(4)(B)(ii)?		
9	In Part XIII, describe how the organization reports conservatio	encaya bare amayar efi ni efnamesea n	a ctatoment and bekinns about and
	include, if applicable, the text of the footnote to the organization	on's financial statements that describes	the organizationic appounding for
	conservation easements.	on o manoid oratomono trae resombles	the organizations accounting to
[Pa	rt III Organizations Maintaining Collections of	Art. Historical Treasures, or C	Ther Similar Assets.
Metholystaphyseter	Complete if the organization answered "Yes" to Form S	©0, Part IV, line 8.	
1a	If the organization elected, as permitted under SFAS 116 (ASC		ment and balance sheet works of art
	historical treasures, or other similar assets held for public exhi	bition, education, or research in further	ance of public service, provide, in Part XIII
	the text of the footnote to its financial statements that describ	es these items.	provide the second seco
b	If the organization elected, as permitted under SFAS 116 (ASC		it and belance sheet works of art, historical
	treasures, or other similar assets held for public exhibition, edu	acation, or research in furtherance of pu	ablic service, provide the following amounts
	relating to these items:		
	(i) Revenues included in Form 990, Part VIII, line 1		* \$
	(iii) Assets included in Furth Sau, Fall A		3
2	If the organization received or held works of art, historical treas	sures, or other similar assets for financia	al gain, provide
	the following amounts required to be reported under SFAS 11	6 (ASC 958) relating to these items:	
a	Revenues included in Form 990, Part VIII, line 1		\$
b	Revenues included in Form 990, Part VIII, fine 1 Assets included in Form 990, Part X		\$
teromana e prime	2ELLINALISYNYYY NETHANIN'NY TYTENTANIA ARTONIA		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990. 2000 CT 12-16-7.1

Schedule D (Form 990) 2012

Schedule C (Form 990 or 990-EZ) 2012 KENTUCKY WATERWAYS ALLIANCE, INC. 61-1239766 Page 3 [Part II-B] Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of: a Volunteers?		3)	11)
local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of: a Volunteers?	Yes	No	Amo	ount
a Volunteers?				
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?				ting di manda Palamathatan ny mahai 1884.
c Media advertisements?				
d Mailings to members, legislators, or the public?	i		ļ	www.en.by.en/gov/comment press all survey
Publications, or published or broadcast statements?				an ang ang ang ang ang ang ang ang ang a
f Grants to other organizations for lobbying purposes?			 	*****************
g Direct contact with legislators, their staffs, government officials, or a legislative body?				
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?				
i Other activities?	1 1000000000000000000000000000000000000	AND THE PERSON NAMED IN COLUMN	MONTH METAL AND THE STORY (MATERIAL SANS)	odnika (zókanne) ródórog
j Total. Add lines 1c through 1i				
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?	1,000,000,000,000,000,000			
b If "Yes," enter the amount of any tax incurred under section 4912			ļ	
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			1	
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year? Part III-A Complete if the organization is exempt under section 501(c)(4), sec	ilas SAIA	15 nree	Lariner	angah dipangan pangan pagan pang
Part III-A Complete if the organization is exempt under section 501(c)(4), sec 501(c)(6).	reces no sini	ila), or a		
an (Ma).			Yes	No
Were substantially all (90% or more) dues received nondeductible by members?		1	 	
con and the contract of				
		3		
3 Did the organization agree to carry over lobbying and political expenditures from the prior year? Part III-B Complete if the organization is exempt under section 501(c)(4), sec	tion 501(c)		ection	den en e
501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answere answered "Yes."	ed "No," O	R (b) Par	t III-A, li	ne 3, is
Dues, assessments and similar amounts from members		1		an de l'anne de la compansa de la co
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of pol	itical			
expenses for which the section 527(f) tax was paid).				
a Current year		2a		
b Carryover from last year		2b	and management is make help to selection to the processing of	ikaa daagaraktismagagassirti
c Total		1		والمراجعة المراجعة ا
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues				
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the	excess			
does the organization agree to carryover to the reasonable estimate of nondeductible lobbying an				
expenditure next year?		4		
5 Taxable amount of lobbying and political expenditures (see instructions)		5		ar patrickie verzonnamen en er
Part IV Supplemental Information				
	Part II-A (affil	iated group	list); Part I	I-A, line 2
Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5:				
Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C. line 5: and Part II-B. line 1. Also, complete this part for any additional information.				
Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5: and Part II-B, line 1. Also, complete this part for any additional information.				
				and the second second second second second second

Schedule C (Form 990 or 990-EZ) 2012 Part II-A Complete If the or (election under se	ganization is	WATERWAYS ALI exempt under section	LIANCE, INC. on 501(c)(3) and fil	51-1 ed Form 5768	239766 Page 2
A Check if the filing organiz	ation belongs to a	n affiliated group (and list	in Part IV each affiliated	group member's nam	e, address, EIN,
	-	ying expenditures).			,,
B Check 🕨 🔲 if the filing organiz	ation checked box	A and "limited control" p	rovisions apply.		
Lin	its on Lobbying f	Ballaturiners de l'authorité de d'est de dédui des désignes est de l'Albanie de la Refléque de parque para est d'Auston		(a) Filing organization's totals	(b) Affiliated group totals
la Total lobbying expenditures to inf	fluence public onir	nion (arses roots lobbying)			
b Total lobbying expenditures to inf				557.	
c Total lobbying expenditures (add	~	5 4 57 -		557 ·	American de tréfé acus acteur propiese é sement établiques é, entratéries présent messantion
d Other exempt purpose expenditu				2,014,969.	
e Total exempt purpose exponditur	***************************************	ad 1 <i>d</i>)		2,015,526.	Party management of the special and the special and the special of the special and the special
f Lobbying nontaxable amount. En				250,776.	annother and plant in the track to be a section of the last and the la
li the amount on line 1e, column (a)	The state of the s	e lobbying nontaxable an			erestantusta universaterta mart estasti, a repuspente tempes, ster participamente imperiore esperante.
Not over \$500,000		% of the amount on line 1			
Over \$500,000 but not over \$1,00		00,000 plus 15% of the ex			
Over \$1,000,000 but not over \$1,		75,000 plus 10% of the ex			
Over \$1,500,000 but not over \$17		25,000 plus 5% of the exc			
Over \$17,000.000	terrorens and the second secon	000,000 pids 078 07 the 6x0	053 0761 01,000,000.		
000000000000000000000000000000000000000	41	000,000.			
g Grassroots nontaxable amount (e	ator 25% of line 1	4		62,694.	addaedir ar amirini karini ingan ka daadi merkintaran mahasa emakini daediri ingar amirinasi an
h Subtract line 1g from line 1a. If ze					
i Subtract line 1f from fine 1c. If zer					professional kanak as edensi en desembantan anka seuren ada selatu da edea ar aras aras aras e
j If there is an amount other than 2				Principal and an internal contract and a second contract and a sec	
reporting section 4911 tax for the		,		Jan-	Yes No
Roportally devotors for a text for the	anne de la companya del la companya de la companya	r Averaging Period Unde	to the second	Control of the Contro	
	zations that mad	e a section 501(h) election to the instructions for lin	on do not have to comp		
	Lobbying E	xpenditures During 4-Ye	ear Averaging Period	universitä 4 killinnin viin valitet Purus tähin kunsitti kuustaaniteis valitiin on valiteeti ja ja killinnin v	hrithitee i ee gaanifarah disempa siria milme manamadana manadah sarai disa hilikin aka ahaan sangga
Calondar year (or fiscal year beginning in)	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) Total
2a Lobbying nontaxable amount			81,845.	250,776.	332,621.
b Lobbying coiling amount					
(150% of line 2a, column(o))					498,932.
c Total lobbying expenditures			546.	557.	1,103.
d Grassroots nontaxable amount		der der schreiben der	20,461.	62,694.	83,155.
e Grassroots ceiling amount					مديده سند و پسر پير
(150% of line 2d, column (e))	and the second control of the contro		na hagaa kalaanan paraman maraman kalaan kalaan karaman karaman karaman karaman karaman karaman karaman karama	والمراواة المراواة المراواة والمراواة والمراوا	124,733.

Schedule C (Form 990 or 990-EZ) 2012

f Grassroots lobbying expenditures

SCHEDULE C (Form 990 or 990-EZ)

Political Campaign and Lobbying Activities For Organizations Exempt From Income Tax Under section 501(c) and section 527

See separate instructions.

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Peranue Service

Complete if the organization is described below. Attach to Form 990 or Form 990-EZ.

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

f the organization answered "Yes," to		y Tax), or Form 990-E.	Z, Part V, line 35c (Proxy 1	fax), then
 Section 501(c)(4), (5), or (6) organizate Vame of organization 	JOHS, COMPRESS PAREM.	YTDADH TOPON FOR FRYNSFY I FERBANDWYN CHEM FRY ANG DIE TOPON FRYN HEFFER FRY TOT FLYFAM	in the second se	oyer identification number
	Y WATERWAYS ALLI	ANCE INC.		61-1239766
Part I-A Complete if the org	anization is exempt und	ler section 501(c)	or is a section 527 o	rganization.
Parti-A Complete it me org	testiscular over the con-	and construction fal		
	and the second s		in Dort IV	
1 Provide a description of the organiz	ation's direct and indirect politic	campaign activities	ntraitiv.	
Political expenditures	,		@ @	
3 Volunteer hours				
Part I-B Complete if the org	anization is exempt und	ter section 501(c)	namenasaansa anamanintamen kenangapaka ay paorinsa cahakasa kenanasayan kenanaka palamparana mahar Kananasa da kananasa kenanasa	gicken in Aderty Adentification and State Control of the State Control o
1. Enter the amount of any excise tax	incurred by the organization un	der section 4955		
2 Enter the amount of any excise tax	incurred by organization manage	ers under section 4955	▶ \$	
3 If the organization incurred a section	n 4955 tax did it file Form 4720	for this vear?		Yes No
4a Was a correction made?				
In If IVan II departing in Dort IV				
Part I-C Complete if the org	janization is exempt un	der section 501(c)	, except section 501	(c)(ð).
Enter the amount directly expended	d by the filing organization for se	ection 527 exempt func	tion activities 📗 🖫 🕏	
2 Enter the amount of the filing organ	rization's funds contributed to o	ther organizations for s	ection 527	
exempt function activities			▶ \$	
3 Total exempt function expenditures	s. Add lines 1 and 2. Enter here	and on Form 1120-POL	-1	
line 17b			▶ ¶)
4 Did the filing organization file Form	1120-POL for this year?			YesIVU
5 Enter the names addresses and er	molover identification number (E	IN) of all section 527 pc	olitical organizations to whi	ch the filing organization
made navments. For each organiza	ation listed, enter the amount pa	iid from the filing organi	ization's funds. Also enter t	he amount of political
contributions received that were pr	omptly and directly delivered to	a separate political org	ganization, such as a separ	ate segregated fund or a
political action committee (PAC). If	additional space is needed, pro	vide information in Part	IIV.	
(a) Name	(b) Address	(c) EIN	(d) Amount paid from	(e) Amount of political
(22, 113.1112	* *	CALCEL STATE OF THE STATE OF TH	filing organization's	contributions received and promptly and directly
	of constraints		funds. If none, enter -0	delivered to a separate
				political organization.
	an infrared			If none, enter -0
	and the second			
Windows and the state of the st				
		The constitution of the co	Mana (ranare)	
		Lapon-block and the second sec		BY AND BY AND AND THE PROPERTY OF THE PROPERTY
For Paperwork Reduction Act Notice	, see the Instructions for Form	1990 or 990-EZ.	Schedule (C (Form 990 or 990-EZ) 2012

LHA

232041 01-07-13

Schedule A (Form 996 or 990-EZ) 2012

[Part III | Support Schedule for Organizations Described in Section 509(a)(2)

الب	341	
	(Complete only if you checked the box on line 9 of Part Lor if the	organization failed to qualify under Part II. If the organization fails to
ri ta	qualify under the tests listed below, please complete Part II.)	
ś	A. Public Support	
-	the bulletine bulletine and the control of the cont	

				A A STATE OF THE PROPERTY OF T	derintamentation that are entropy assessment put-last having assessment
(a) 2008	(b) 2009	(c) 2010	(d) 2011	/e) 2012	(f) Total
ga and prompted a selection of the field of the first the confidence of an accordance condition from the public and					
	444/2E		MAZIZIZIA		
			Polyments and the second secon		
			Date: And Annual Property of the Control of the Con	THE COURT IS NOT THE COURT IN THE COURT IS NOT THE COURT IN THE COURT	THE RESIDENCE OF THE PERSON OF
Soft time plants for the triver's throwing oil government papers, and an assemblants by by a					
The state of the s	WATER TO THE TOTAL THE TOTAL TO THE TOTAL TOTAL TO THE TO		THE TAX A PROPERTY OF TAX A PROPERTY		
Paper No. of the Principle of Principle Street and Associated Asso					
			- Population		
777					
Service of the paper restrict to relative the feet of the paper.	THE RESIDENCE AND SEMESTIMESTIMES OF THE OWN OF THE CONTROL OF		the statement of the property of the statement of the sta	okkowanie ministratory (ini benedici na primapina prindri populari popul	ika and and a bata karan sa aka sa ang karan sa aka sa
		THE PROPERTY OF THE PROPERTY O			
gradiente de de de la completa de l La completa de la completa del la completa de la completa del la completa de la completa del la compl	TO THE REPORT OF THE PERSON OF	ACTION OF THE PROPERTY OF THE	THE PROPERTY OF THE PROPERTY O	on the selection of the second section of the second section of the second section of the section of the section of the section of the second section of the	en en se execucios por en
generalise of the entropy of the design of the entropy of the end agree	Andrew Here Here Here in the second and the second second second second second	ANTONIO ESTA A INDIVIDUALENCIA DI PROGRAMA (CO., SERVICI DE CO.)	den filmenterie-laksooningspronterpronterpron gevangserierierie	terinan Manifer Dalife British distribution di provincia di agrango de della materia di agrando della constant	
Bartistics, and spotts to break barbanes of a community inchings or account	The state of the second section of the second section of the section of the second section of the section of th	duration of continuental order in an an appropriate se-	an Antonomica de Contrata de la Capación de Contrata de Capación d	erti-sa-ra sakti-tassaalirin-saktooli ilioo poissa, esa, alaparan topagahiini ay	A CONTRACTOR AND THE RESERVE OF THE PROPERTY O
(a) 2008	/b12009	(c)2010	1 (4) 2011	[6] 2012	(f) Total
		1071	1 (3/13/1	16/2012	(I) 1 (Fig.)
				of the last of the contract of	
:	energy Proposition Laboratory (Proposition Laboratory)				
	ACTURE TO GO TO ACTURE TO THE PARTY OF THE P	American management of the state of the stat	en jung garar nasik sali na ika ka k	Miller of Cooks and American Science (Science and American Cooks American Science American	al piloti i filotz i fikisizka su cistarazar non shiken idi karer nisson accessivanus
		Anthony (I) - (I) and a problem and the proble			
			The second secon		
free pour part out of the particular description is recognised by the con-	MATERIAL CONTROL OF A A A A A A A A A A A A A A A A A A	erannen programmen og fra primerionen er energen frem britan frem fra stren	al Majar Malaboro si an kanada Salabahar at salabahar kanada kanada kanada kanada kanada kanada kanada kanada k	engen kengantan pilotok kelanjan papan para masa retera periode se farit ber se farit ber se farit ber se fari	
the organization's	s first second thir	d fourth or fifth b	J Six Vewr as a sont		L
					. [
ic Support Pe	rcentage	entropologica de la constanta	(Намонический съем интенстиси и полити съем объем объ	249-мен Vone венеру Лись Тун-даныналуулын аңгер	The state of the s
Anti-remotes (anti-resource)	429	column (fi)	n of the Parties of the Control of t	15	A./
Schedule A. Part	III fine of E				9/ ₀
streent Incom	e Percentage	oli	พิโทธิ์ สหร้องเหลือเลือดเลือดเลือดเลือดเลือดเลือดเลือดเล	annonement announcement announc	%
		ie 13. column (fi)		147	0/
.011 Schedule A	Part III line 17	10 10, 00tattit (1)			
organization did r	ot check the box of	on line 14 and line	t 15 is more than		17 is not
organization did r	ot check a hox on	line 14 or line 10c	and line 16 is s	iona than 99 1 /284	arad
ck this box and st	top here. The orna	nization qualifies:	a, and more to tell set a nublich/euro	norted organization	and but
n did not check a	box on line 14 19:	Lor 19h check th	is howand soo in	ooned organization istructions	Bin.
ent net recent dimensi e que exponente a dimensa recentrad entra en	armonical and manager of the second state of the second se	All 100 (1) 11	- The state of the	en des brown de motoropologico de productiva de la franchista de la franch	0 or 990-F712012
	the organization's C Support Pe ne 8, column (f) d Schedule A, Part itment Incom 12 (line 10c, column) 12 (line 10c, column) 13 chedule A, organization did re	the organization's first, second, thin Support Percentage ne 8, column (f) divided by line 13, c Schedule A, Part III, line 15 Iment Income Percentage 12 (line 10c, column (f) divided by lire 11 Schedule A, Part III, line 17 organization did not check the box on class of the color of t	(a) 2008 (b) 2009 (c) 2010 (a) 2008 (b) 2009 (c) 2010 the organization's first, second, third, fourth, or fifth the organization (f) divided by line 13, column (f)	the organization's first, second, third, fourth, or fifth lax year as a sect c Support Percentage no 8, solumn (f) divided by line 13, column (f) Schedule A, Part III, line 15 Sment Income Percentage 12 (line 10c, column (f) divided by line 13, column (f) Ott Schedule A, Part III, line 17 organization did not check the box on line 14, and line 15 is more than cd stop here. The organization qualifies as a publicly supported organic genization did not check a box on line 14 or line 19a, and line 16 is more than close the column (f) the first organization did not check a box on line 14 or line 19a, and line 16 is more than close the column (f) the first organization did not check a box on line 14 or line 19a, and line 16 is more than close the column (f) the first organization did not check a box on line 14 or line 19a, and line 16 is more than close the column (f) the first organization did not check a box on line 14, 19a, or 19b, check this box and see in clid not check a box on line 14, 19a, or 19b, check this box and see in	the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organize Support Percentage no 8, column () divided by line 13, column (f) Schedulo A, Part III, line 15 Siment Income Percentage 12 (line 10c, column (f) divided by line 13, column (f)) 15

Schedule A (Form 990 or 990-EZ) 2012 KENTUCKY WATERWAYS ALLIANCE, INC. 61-12397 [Part II] Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Sec	tion A. Public Support						
Cale	ndar year (or fiscal year beginning in) 🕪	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1	Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	699,385.	278,333.	221,954.	415,646.	1,856,974,	3,472,292.
2	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf	0,00,000.	21073334	And dead after \$ and and and a		, , , , ,	
2	The value of services or facilities						
J	furnished by a governmental unit to the organization without charge						
4	Total. Add lines 1 through 3	699,385.	278,333.	221,954.	415,646.	1,856,974.	3,472,292.
	The portion of total contributions						
	by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11,						
	column (f)						3,472,292.
	Public support, Subtraction 5 from 100-4.	SCHOOL STATE STATE SECURIOR STATE ST	egelesses is the side of the s	yg. «Sacas militar att massymbolin 5 or a-militar kronoven i a tilskim å stilskindersk	angung sentangan siyar 5 m an 2 majadi siki si dan aktirika mengan di Arasi	and the first of the second	raneren (camana metro del esta de la Mesca de la descripció de la descripció de del constitue de la constitue
**********	ndar year (or fiscal year beginning in)	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
	Amounts from line 4	699,385	278,333.	221,954.	415,646.	1,856,974.	3,472,292.
8	Gross income from interest,						and the state of t
٥	dividends, payments received on				The fact of the fa	na and a dela dela dela dela dela dela dela d	
	securities loans, rents, royalties	FT decoration			NA LANDANGE COMMISSION OF THE PROPERTY COMMISSIO	And the state of t	
	and income from similar sources	2,693.	7,017.	1,055.	268.	170.	11,203.
9	Net income from unrelated business						
•	activities, whether or not the		MANAYA A America		alian managaran		
	business is regularly carried on		da da da como viv		P-GAMPIE	ALL LANGE OF THE PARTY OF THE P	
10	Other income. Do not include gain	The state of the s					
	or loss from the sale of capital	description for the second sec	ALL DESCRIPTION AND ADDRESS OF THE PROPERTY OF		AMELIAN DIRECTOR		
	assets (Explain in Part IV.)	174.	11,787.	39,387.	39,595.	34,691.	
11	Total support. Add lines 7 through 10						3,609,129.
	Gross receipts from related activities					12	
13	First five years. If the Form 990 is fo	r the organization's	s first, second, thir	d, fourth, or fifth t	ax year as a sectic	n 501(c)(3)	processing.
Se	organization, check this box and stoction C. Computation of Pub	p here IIC Support Pe	rcentage	THE CONTRACTOR AND PROPERTY AND	etwysiopasky poziani sie okolecky spara, ty przy drokiń sie do liek biolycky best	emongrasiona ne	
14	Public support percentage for 2012 ((line 6, column (f) o	livided by line 11,	column (f))		14	96.21 %
	Public support percentage from 201					15	94.71 %
16	33 1/3% support test - 2012. If the	organization did no	ot check the box o	n line 13, and line	14 is 33 1/3% or r	more, check this bo	x and
	stop here. The organization qualifies	as a publicly supp	orted organization	١			X
Ĭ	33 1/3% support test - 2011. If the	organization did n	ot check a box on	line 13 or 16a, and	d line 15 is 33 1/3%	6 or more, check th	nis box
	and stop here. The organization qua						
172	10% -facts-and-circumstances tes						
	and if the organization meets the "fa						
	meets the "facts and circumstances"						
1	o 10% -facts-and-circumstances tes						
	more, and if the organization meets t) h. [
	organization meets the "facts and cir						
18	Private foundation. If the organization	on did not check a	pox on line 13, 16	a. 100, 1/a, 0/1/	U. CHECK IIIS DOX	and see instruction edule A (Form 990	2 000 E 71 2012
					(11) (1)	Camp will and ago	or non-margaretta

SCHEDULE A (Form 990 or 990-EZ)

Emportment of the Tests bry Intomal Personnes Screen

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charifable trust.

➤ Attach to Form 990 or Form 990-EZ. ► See separate instructions.

OMB No. 3545 July 2

Open to Public Inspection

Name of the organization

REMITTICKY WATERWAYS ALLIANCE THE 61-1220766

and the second second	ny frysky at vince i Makeskiji kaladnich dydadyk i et albemynten i kaladning Makeski	lish d'A mazamenggawannigo	المساقيا لدالانا	regulation of the Fig. of	. 1314 9953, 1, 63	L.Z. L.A.A.C.Z. Servayanananananananananananananananananana	COLLY Sur EST procession	and Milalla Sections	E-laksult vivolity operational later in Science	ele atime in promptime establishmen	U Marinina and Marinina	alo "de da el I	2 IQQ	Meintonio responso.
Part I		and the second s	and the party of t	**********************	US (Ali organi		CONTRACTOR OF THE PARTY OF THE	PROPERTY AND PROPERTY OF THE PROPERTY OF	CONTRACTOR OF THE PARTY OF THE	tructions.	and animal land this transland are name around an experience.			
The orga	inization is not a	a private fo	eundation	because i	t is: (For lines	1 through	11, check	only one b	юх.)					
1	A church, co	nvention c	f churche	s, or assoc	ciation of chu	rches des	cribed in s e	ection 170	(b)(1)(A)(i).				
2] A school des	cribed in s	section 1.	70(b)(1)(A)	(ii). (Attach S	chedulo E.	.)							
з <u>С</u> .] A hospital or	a coopera	itive hosp	ital servico	organization	des aribec	l in section	170(b)(1)	(AXIII).					
4	-1 ·				in conjunction					KENTNANI	ii). Enter	the hospita	ıl's nam	10.
	city, and sta			,	.,		1			7 11 - 11/2 - 11/2				,,,,
5		THE REAL PROPERTY AND ADDRESS OF THE PARTY AND	ed for the	benefit of	a college or t	university o	owned or o	nerated hy	za dovern	mental un	it descrit	sert in		- Special Colored Special Spec
4	section 170					acin's costancy (214110 3 OF C	p-6/10/20/3/3/	, et Bosouri	sticinal an	it theresty is	20G 111		
6 L	4.				*			arrows to	# \$ Z 16 1					
1 44	I A HAUSTEII, SE				vernmental ur									
7 125					ıbstantial part	orits sup	port from a	. governm	ental unit d	or from the	geheral	public des	cribed i	ET.
, minute many many part	section 170													
8	⊥ A community	/ trust des	cribed in t	section 17	'0(b)(1)(A)(vi).	(Complete	e Part II.)							
9	⊥ An organizat	ion that no	rmally rec	aoives: (1) r	more than 33	1/3% of it	is support i	rom contr	butions, n	nombershi	ip fees, a	ınd gross re	eeipts	from
	activities rela	ited to its (exempt fu	nctions - s	ubject to cert	tain except	tions, and (2) no more	a than 33 ⁻	1/3% of its	suppor	t from gross	s invest	tment
	income and	unrelated I	ousiness t	axable inc	ome (less sed	ction 511 t	ax) from bu	isinesses :	acquired b	y the orga	anization	after June	30, 197	75.
	See section	509(a)(2).	(Complet	o Part III.)										
10] An organizad	ion organiz	ed and o	perated ex	clusively to b	ast for pub	olic safety.	See sectio	ın 509(a)(4	4).				
11					clusively for						v out the	s purposes	ofone	Of:
					cribed in sect									
					ion and comp				,,				· · · · · · · · · · · · · · · · · · ·	
	а 🔲 Түре		b T		ļ		unctionally		l e	Tve	o III . Na	n-functiona	lly into	aratod
0	1			- 1	mization is no			.,						
\$F 3														111
pr.					r more public						4(a)(1) or	section 50	년(a)(2).	
f	If the organiz				nination from	the IRS th	natitis a Ty	rpe I, Type	II, or Type	2 HI				p
	supporting o	-												
g	Since Augus	t 17, 2006	, has the d	organizatio	on accepted a	iny gift or o	contribution	n from any	of the foll	owing per	sons?		possessor	-
	(i) A perso	n who dire	etly or inc	lirectly cor	ntrols, either a	ilone or to	gether with	persons o	described.	in (ii) and (iii) below		Yes	No
					organization?								:	
	(ii) A family	member e	of a perso	n describe	id in (i) above	?			******			11g(ii))	
	(iii) A 35%	controlled	entity of a	i person de	escribed in (i)	or (ii) abov	/0?					11g(iii		
h					supported o							- Innestrations	Pro-Section and Association Contracts	- Parameter and a second constant
		Ü				9	. ,							
/il flam	ie of supported	(ii)	CIBI	Siil Tima	of organization	Viv1 Is the	organization	(v) Did vo	u notify the	(vi) Is	the	free A avaira		ka er Forma
	ganization	1193	1.111		or organization ad on lines 1-9		isted in vour	organizat	ion in col.	organizátic	on in col.	(vii) Amoun	n or moi pport	nerary
01	Secure				r IRC section	governing	document?		r support?	(i) organiz U.S	.? I	301	эрон	
				(see in	istructions))	Yes	No	Yes	No	Yes	No			
adasada associada estre adasada estre e	and and an extra and consequently a transport of the second and th		offertide looks to the or in the same beginning as				140	100	140	100	1457		elektronische Statelinge franzeit	Tylerad olderson of principle, before the
										ļ				the statement of the st
		1												
														and the state of t
		2-10-11-11-11												
									TATA PARTIES AND A STATE OF THE					
										Continuent				
					***************************************							and the second s		
								7						
	A har-matrix assessed to produce a transfer conductor to the state of	1		Transfer and the					Transmission and the second				harry and the adult of	One of the Continues of
Fotal														
Committee of the second	N/NE (Antonio National Antonio National Antonio National Antonio National Antonio National Antonio National An	American marine	he dominion de la del de la companya	which we consider the second	in the state of th	na vista umina e sereca	a lancaman commercia	Lamondonomera	Annual research annual con-		Large	MATERIAL PROPERTY OF THE PARTY	. Arraman a propagation of the Contraction of the C	Bertin Falletiniantennique

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2012

- 유선의사 : - 12로 대~15

b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit

or audits, explain why in Schedule O and describe any steps taken to undergo such audits

***		Check if Schedule O contains a response to an	y quest	ion in this Part X			
tradi halamakuli kemel elgeba	فالمنافئة والمنافضة والمنافضة		Principal Millians and August Care and August		(A) Beginning of year		(B) End of year
	1	Cash - non-interest-bearing			113,195		99,620.
	2	Savings and temporary cash investments			2,750,579		3,250,047.
	3	Pledges and grants receivable, net			160,416	3	51,376.
	4)	Accounts rec∉ivable, net				4	
ĺ	5	Loans and other receivables from current and f					
		frustees, key employees, and highest compens	ated en	rployees. Complete			
		Part II of Schedule L				5	
	6	Loans and other receivables from other disqual	-				
		section 4953(f)(1)), persons described in section					
		employers and sponsoring organizations of sec					
0		employees' beneficiary organizations (see instr)			aak alaa kirkahali jii jii ji kirkaakaa ka jirga gaa ji ji ji alaa ka ji ka ji j	6	
70000	3	Notes and loans receivable, net		***************************************		7	
2	ž\$	Inventories for sale or use			enterent with the control of the con	8	
	9			***************************************	let grand het let let de van de let gevan de let gewande de let de van de van de van de van de van de van de v	9	
in the second	10a	Land, buildings, and equipment: cost or other					
		basis. Complete Part VI of Schedule D		3,579.			
***************************************	b	Less: accumulated de-preciation		1,369.	2,031.	10c	2,210.
	11	Investments - publicly traded securities			والمراقب في المراقب ال	11	
- 1	12	Investments - other securities. See Part IV, line $$	I I		ar sara anang kanan bilan kanan salah kanan	12	
1	13	Investments - program-related, See Part IV, line			ar firefor at lander for what advantaments is regarded from the material shapes company on the constraint which	13	
1	14	Intangible assets			an hefer Nahabay kagaman jarga vaga kaja angadaja hefersi kakus Kalabania angan kagadi angan darawi hatan ya maham	14	
	15	Other assets. See Part IV, line 11				15	
ne words from	16	Total assets. Add lines 1 through 15 (must equ	al line 3	4) molecularis sumanem mentenamination and management	3,026,221		3,403,253.
- 1	17	Accounts payable and accrued expenses			28,237.	17	29,492.
- 1	18	Grants payablo			and according to the contract of the contract	18	
į	19	Deferred revenue			ahandarkan hayan darkan yang opport sahi mereni menangkan sahada kalan telah sahada saha saha sahada	19	
	20				The dead of the last	20	
0.01	21	Escrew or custodial account liability. Complete		ř.	2,750,579.	21	3,250,047.
	22	Loans and other payables to current and former		· · · · · · · · · · · · · · · · · · ·			
		key employees, highest compensuted employee					
-		Complete Part II of Schedule L			ore strategy, the laterary is harder for incoming the entry twitted in him importance in the script of the Delandor on Sunsaina.	22	
		Secured mortgages and notes payable to unrek				23	
- 1		Unsecured notes and loans payable to unrelate		F-		24	
	25	Other liabilities (including federal income tax, pa		i		Solito de Caración	
		parties, and other liabilities not included on lines	: 17-24).	Complete Part X of			
	ne	Schedule D			0 770 016	25	
nmannage.	26	Total liabilities. Add lines 17 through 25			2,778,816.	26	3,279,539
,		Organizations that follow SFAS 117 (ASC 958		k here 🕪 🔣 and 📗			
ğ		complete lines 27 through 29, and lines 33 an			00 919		00 885
	27 00	Unrestricted net assets			88,212. 159,193.	27	83,507. 40,207.
ă l		Temporarily restricted net assets Permanently restricted net assets		E Company	107,170.	28	40,407.
5						29	
-		Organizations that do not follow SFAS 117 (A	36 836	, check here			
		and complete lines 30 through 34.		sies.			
		Capital stock or trust principal, or current funds		b &	er in erform, for on fan for for the helpfande on a parternal for subsection, when every however or described	30	
		Paid-in or capital surplus, or land, building, or ed				31	
20		Betained earnings, endowment, accumulated in			247,405.	32	100 711
'	34	Total lightifies and not assats fund balances			3,026,221.	33	123,714.
mananan ka	annestations.	Total liabilities and net assets/fund balances	unional de la constitución de la c	The second control of	o Labala de la Caracana de La	34	3,403,253.

Part IX | Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A). Check if Schedule O contains a response to any question in this Part IX (D) (A) Total expenses Do not include amounts reported on lines 6b, Program service Managèment and Fundraising 7b, 8b, 9b, and 10b of Part VIII. general expenses expenses expenses Grants and other assistance to governments and organizations in the United States. See Part IV, line 21 Grants and other assistance to individuals in the United States. See Part IV, line 22 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16 Benefits paid to or for members Compensation of current officers, directors, 36,400. 18,200. 54,600. trustees, and key employees Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) 172,778. 5,961. 154,423. 12,394. Other salaries and wages 7 Pension plan accruals and contributions (include 754. 373. 2,386. 3,513. section 401(k) and 403(b) employer contributions) 9 Other employee benefits 2,096. 19,722. 13,394. 4,232. Payroll taxes Fees for services (non-employees): 653. 1,272 619. a Management b Legal 1,320. 5,500. 4,180. Accounting d Lobbying Professional fundraising services. See Part IV, line 17 Investment management fees Other, (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.) 516. 237. 379. 1,132. 12 Advertising and promotion 271. 1,116. 2,644. 1,257. Office expenses 13 512, 49. 876. 315. Information technology 14 15 Royalties 7,672. 1,099. 13,706. 4.935. 16 Occupancy 10,760. 510. 182. 11,452. 17 Payments of travel or entertainment expenses 18 for any federal, state, or local public officials 998. 2,041. 1,496. 4,535. Conferences, conventions, and meetings 19 20 Payments to affiliates 21 70. 141 659. 448. Depreciation, depletion, and amortization 22 689. 180. 3,227. 2,358. 23 Insurance Other expenses, Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.) 1,498,198. KARF SPONSORSHIP EXPENS 1,498,198. 199,744. 199,744. PROGRAM EXPENSES 1,749. 11,666. 3,593. 6,324. PRINTING 1,357. 4,038. 1,778. 903. POSTAGE & SHIPPING 1,247. 6,264. 3,972. 1,045. All other expenses 34,706. 74,630. 1.906,190. 2,015,526. Total functional expenses. Add lines 1 through 24e 25 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Ohack hore land it following SOP 98-2 (ASC 958-720)

				ERWAYS AL	LIANCE, IN		61-123	9766 Page \$
[Fa	rt V	instruction)						
	Berlin Berry (ser ariser)	Check if Schedule O cont	lains à response	to any question	in this Part VIII (A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	Revenue excluded from tax under sections 512, 513, or 514
Giffs, Grants ilar Amounts	1 6	1 Mar	1	and the control of th	Accessorated with property of the contract of	i Alfrida estro de cito de cito de cito de propos virilas, que lo escripcio estre de como estre de estre de es	AND THE CONTRACTOR OF THE STATE	air _{feire} nne-grae-p-rain an the annie an rich airt an an their
5 8		Membership dues						
इ.स.		Fundraising events						
ð E		d Belated organizations Government grants (contribut	-	er production and action of the second state of the second state of the second				
Section		F All other contributions, gifts, gran						
35	,	similar amounts not included abo		854,562.				
15 O	4	J Norses hie intributions include Lin lives	termentar pranticular pranticu					
Contributions, Giff and Other Similar		1 Total. Add lines 1a-1f			854,562.			
DECOMPOSITION N	in constraint finishing	an de la maria de la mera de la maria de la menocamente de la mente de la mente de la mente de la mente de la m	TETER BERGER DE LE PERE L'ENTRE DE MENULUE EN ENTRE L'ENTRE SONNE L'EN	Business Code	Persistent in the Section of the Community of the Communi	and Committee of the control of the proposition of the control ties and analysis of	na fantaletis (phonocionis is amos algaintaire popularis an algaintaire	a de la composition della comp
0	2 :			900099	1,002,412.	1,002,412.		
Program Service Revenue	t	, KARF MANAGEMENT	'FEB	900099	34,691.	34,691.		
0 5	¢		na eff a de antiferante physika af han th' an th' dh' dannaur (par ja dhaileach a dhaileach a saigheach agus		ny Marian di Santan'ny any ao			
Hey	(tendrope ethoristische Arbeit de Australian et einschaften au.		will had bloom in the begre black as a sum on a common or bloom in a sum of a control of the sum in common or a	and and such as the such as the contractive transmission and the c		
0	€		Makatalah dari bahar bahar 1 minus kacabas kamanan menganan dalam salam	***************************************				
July	ido		enus	CONTRACTOR AND MAINTAINS AND		all for the little of the second process of	tel M. Addresion of Allegham (Analysis and Analysis)	tra for the time the second court against proper court in a grant
Januaranan	Essannana Essannana Essannana	g Total Add lines 2a-2f		mentan mentan damar kelapatah mendalam perandah mendalam perandah disebat	1 0 3 7 , 1 0 3 ,	tia principal control	on francisco de calendar de la companya de la comp	enne Millionen er server ett skriver freger i frege ett flest eft er sen freje fen fen fen fen fen fen fen fen
	3	Investment income (including			170.			170
	4	other similar amounts)			L Shi to	and a state of the		1.10
	5	Royalties			antiferente hit med kantist anterpretjeng kentjeng kentjen te kommunisti genet bit en he kommunistiga ying ken		**************************************	
	408	эоуением	(i) Real	(ii) Personal				
	6 a	Gross rents	and the second s	Tas sacras				
	b	Less: rental exponses						
		: Rental income or (loss)	- Andrew Waste (Mark Control of C					
		1 Net rental income or (loss)		b	er of ground and gold never a section of the party of	Property and the Control of Section	State and the end a state state of the	Professional Action Control
	7 a	Gross amount from sales of	(i) Sepurities	(ii) Other				
		assets other than inventory						
	ł;	Less: cost or other basis						
		and sales expenses						
	C	Gain cr (loss)						
	10	l Net gain or (loss)		b				
95	8 8	Gross income from fundraisin	g events (not					December 1
Ver		including \$	O†					
æ		contributions reported on line		B0011 A A A A A A A A A A A A A A A A A A				
Other Revenue	ŀ	Part IV, line 18 Less: direct expenses						
Ŏ		Not income or (loss) from fund		<u> </u>			\$ 545 8540 0 9588 5 VF C 9700,	2000/04/2015/2015/0
		Gross income from gaming ac						
		Part IV, line 19						
	b	Less: direct expenses	d	1				
		: Net income or (loss) from gam		1			4-0-4-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-	A STATE OF THE STA
	10 a	Gross sales of inventory, less	returns					
		and allowances						
		Less: cost of goods sold		Laurence and the second				
	rithmentiment L	: Net income or (loss) from sale	DOMESTIC TO BE TO THE TOTAL PROPERTY OF THE PARTY OF THE	No. 1994 District of the Party	- Company of the state of the s	The and the third are the representation to the content of the con		n general and guide contract description of the management of the section of the
		Miscellaneous Revenu	10	Business Code				MARKET STATES
	11 a	The property of the state of the property of the state of			***************************************			
	b	White will not him the bright and which the brightest in the part of property and a description of the second section of the s		***************************************				
	G				na da ana ang ang ang ang ang ang ang ang an			
	Q Eq	I All other revenue Total: Add lines: 11a-11d		And the project which can also industrial test of account court and can be accounted by the	nanti. Anakana manguna mangulari katusa bet nia minina 1505 tanàt	Promision published to be being the state of	Constitution of the Consti	amenintal fabilitation interestations and the control of the contr
	12	Tetal revenue. See instructions.		· · · · · · · · · · · · · · · · · · ·	1,891.835.	1,037,103.	l	170.
2800 12-10-	Maria Visional Regional Region	BONGEON METATO DE FORMAN EN UNITERE ENTREMINIONE TERMENTERE ANTHONY CELLING TO UNITERAÇÃO, PRINCIPO, ELECTRALISTIC EN ENTREMENTA DE LA CONTRACTOR DE LA CONTRAC	BELLEGISTE OF THE STATE OF THE	manini ku ishli kirini ishli mashla an an i	การการคราม เกราะ เกร เกราะ เกราะ เก	Abrement from consequent and property and an arrangement of the consequences of the co	В может постои советствення почести по	Form 990 (2012)

Part VII	Section A. Officers, Directors, Trus	tees, Key Em _l	oloy	ees	, an	dHi	ghe	st C	ompensated Employe	es (continued)		
Insurance and a service of the second	(A)	(8)				C)			(D)	(E)		(F)
	Name and title	Average	(da			itior mare	l Lihan d	an≂	Reportable	Reportable		Estimated
		hours per	box	unie	58 pc	TSOR	is bot	h an	compensation	compensatio	1	amount of
		week		.C. (21)	lu a u	Песі	Titus	(00.5)	from	from related	- 1	other
		(list any hours for	- ACTO						the	organizations (W-2/1099-MIS	- 1	compensation from the
		related	50	92		and the same	100		organization (W-2/1099-MISC)	(44-5) 1099 448	(0)	organization
		organizations	ustea	(fig.)		13	*ade		(44-271099-141130)			and related
		below	adividual trustea or syyctor	maktanonal troxice		Kry Hrapilo, se	High ast Coleptor employee	٠.,				organizations
		line)	TANK T	124	GW.ST.	(A)	e Silvi	FC.0.25£				*
			<u> </u>		<u> </u>	1 -						
***************************************				 		-	†	 				
			-	-	 	\dagger	 	 				gygynny, panyny y nyamangandury, pyrydyny syrabon sy'n tron a stys try a nerfyr i driferiner a
			1			-						
				 	 	 	+	-				
			-	-	 	-	 					
		***************************************		The same								
				 		+	 					and the second s
					A PROPERTY OF THE PARTY OF THE		CALL DESIGNATION OF THE PERSON					
***************************************					-	ļ	-	 				
			 	-	-	+	-	╂				
			-									
			ļ	 	ļ	 		-				
			-	Cardination								
			<u></u>	<u></u>	<u></u>	<u></u>	<u></u>	<u></u>	FA COO		0.	1,638.
	-iotal								54,600.		- 6.	1,000. 0.
c Tota	ıl from continuation sheets to Part V	II, Section A							0.		0.	
d Tota	ıl (add lines 1b and 1c)						P		54,600.		0.	1,638.
2 Tota	I number of individuals (including but r	not limited to th	1086	list	ed a	ıbov	'ө) W	ho r	oceived more than \$100	000 of reportab,	le	£.
com	pensation from the organization 🕻 🔈	医克尔尔氏试验检 医克尔克氏试验检 医克克克氏 医克克克氏 医克克克氏 医二甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基	est signatura en co	nymanara	tatavatorniva	Methodom	ementskiete	HIPOTONIAN	gwynarannahait meatrafolkh erinkelejin golynnininin a gwyleficy folloc far a fib	van telestatiska program i strangen i sement de Gerbert Gerbert Gerbert Gerbert Gerbert Gerbert Gerbert Gerbert	wyweroush-stronsieth	O americania de la compressión de la c
											r	Yes No
3 Did t	the organization list any former officer	, director, or tr	uste	e, k	эу ө	mpk	оуөө	, or	highest compensated a	mployee on		
	1a? If "Yes," complete Schedule J for s											3 X
4 For	any individual listed on line 1a, is the s	um of reportab	le c	omp	ens	atio	n an	d ot	her compensation from	the organization		
and	related organizations greater than \$15	0,000? If "Yes	," ac	mpi	lete	Sch	edul	eJ:	for such individual			4 X
5 Did	any person listed on line 1a receive or	accrue compe	nsa	tion	fron	n an	y un	relat	ted organization or indiv	idual for services	.	
	dered to the organization? If "Yes," con											5 X
print Anthropology, and print the print out	B. Independent Contractors	ndethanteleid nie eintland an oatschare seem seemen	again commo	10 242 11311								
1 Con	plete this table for your five highest of	ompensated in	dep	end	ənt	cont	tract	ors	that received more than	\$100,000 of con	npens	ation from
the	organization. Report compensation for	the calendary	/ear	end	ing	with	orw	vithi	n the organization's tax	year.		
	(A)	an managaman adar asamada serbah kakeri da anamada da Madillari da Referenda		~					(B)			(C)
	Name and business	s address	N	ON	E				Description of	services	C	ompensation
										as properties		
											h	
		anne de primeiro de primeiro de la p										
										Para de la companya d		
									PER	and the same of th		

2 Tota	al number of independent contractors	(including but i	not	imite	ed to	o the	ose l	iste	d above) who received i	nore than		
	0,000 of compensation from the organ		1		_ ~		0		,			
D I C	CANO OF COMPANIONE HOME THE ORGAN	таки, бий, б. Стиг III. — <u>Берге</u> межданалык мерекерекере менерекетийнеге поче	VACOR INV	ilmi sahaina,iyagi	**********	p-contraperonce	ali emelogica e e	*******	populy i konskrinskinom je som se posletnom okon okon promo dan nej krejnej krejnej krejnej krejnej krejnej kr	ungunan berkentan menerakan kentangan berkentan kentangan pengkan menerakan di	NAME OF TAXABLE PARTY.	Farm 900 (0010)

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII

Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.
- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. List all of the organization's current oncers, elections, musices (which is the organization of the organization's current key employees, if any. See instructions for definition of "key employees."

 List all of the organization's current key employees, if any. See instructions for definition of "key employees."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- 🌞 List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

(A)	(B)				3)			(D)	(£3)	(F)
Name and Title	Average		note		reor.	than		Reportable	Reportable	Estimated
	hours per week	off	cerar	sw p∗ idad	#a⊳n lire-do	ic bei m/trus	h an See	compensation from	compensation from related	amount of other
	(list any	¥:	T		T -			the	organizations	compensation
	hours for	1				à		organization	(W-2/1099-MISC)	from the
	related	2,540	10000			No.		(W-2/1099-MISC)		organization
	organizations below	1	5 (6)		The faller	£ 2.				and related
	line)	100	State of	200	क्षेत्राच्या है	とは	7			organizations
(1) JUDITH PETERSEM	40.00	 	 		-	TE A.		at temperatura versi ang iga-pat pat a tahung at langgan gi Araba dah banar alamanan abanaman bahhanna amas bankan		
EXECUTIVE DIRECTOR		1		X				54,600.	0.	1,638
(2) GCRDON GARNER	1.50	1						A Proportion of the Control of the C	4.1	- 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
PRESIDENT	A	X		X				0,	0,	0
(3) WARD WILSON	1.50	1-			<u> </u>				***************************************	
VICE FRESIDENT	And the state of t	X	-	X				0.	0.	0
(4) FRUCE SCOTT	1.50		1			l		of the control of the first field of the following tention of the control of the	arana kananan angan arang	
TREASURER		X		X				0.	0.	0
(5) HUGH ARCHER	1.50		1							y desirabilitati mellen eksite i meneremen ere ereste proportion tradical disconsissasse.
SECRETARY	Proof Price of School And Price All Andread Committee Schools conductor to a committee School	X		X				0.	0.	0
(6) DAVE WIMSATT	1.00							akhittika tiri tiri kirinca ariseti etti etilekiki. Aisalianjilan katoonin ajenjudyn jajajama ettekaan ke yydynenyedyn yy		and the second for a few second secon
SALT RIVER BASIN DELEGATE		X						0.	0.	0
(7) KENNY BOOK	1.00									
KENTUCKY RIVER BASIN DELEG		X						0.	0.	0
(8) MARC HULT	1.00									
LICKING RIVER BASIN DELEGA		X						0.	0.	0
(9) DR, OULDA W. MEIER	1.00								_	
UFFER GREEN RIVER BASIN DE	4.50	X						0.	0.	0
(10) SCOTT VANDER PLOEG	1.00	-						,u.,		
LOWER GREEN/TRADEWATER BAS		X		**********				0.0	0.	0
(11) DOUG DAVIS	1.00	\ v.						are.	d.	.29
DELEGATE AT LARGE	1.00	X				ļ		0.	0.	0
(12) TIM GUILFOILE ORGANIZATIONAL DELEGATE	L. L. VV	-						<i>5</i> ~1,	_	0
(13) KELLY MCENTGHT	1.00	X.				ļ		0 .	0.	0
DELEGATE AT LARGE	L.UU	X	THE CONTRACTOR					0.	Δ.	Λ
(14) FRANK ELSEN	1.00							U e	0.	0
BOARD MEMBER EMERITUS	1	X						0.	0.	()
(15) BEVERLY JUETT	1.50	23		arii ya 8 8 1 a 10 fa 10 mar				U v	V *	V
IMMEDIATE PAST PRESIDENT	2 4 4 4	X		Х				0.	0.	0
(16) THOMAS VIERHELLER	1.00	4.4		41				4,7 0		T _u t
BIG SANDY BASIN DELEGATE		X						Ŭ.	0.	0
(17) ROBERT JOHNSON	1.00	ļ						U 0	7. 0	V
JACKSON PURCHASE DELEGATE		X	MASS CONTRACTOR					0.	0.	0

Form 990 (2012) KENTUCKY WATERWAYS ALLIANCE, INC. 61-1239766 Page
Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Section A. Governing Body and Management Yes No		Check if Schedule O contains a response to any question in this Part VI		nen reinerinanne	X
In Enter the number of tweing members of the governing body at the end of the tax year if the airs nativitidifice too is viding rights among marklors of the governing body, of the gravesting body ediperts that advalling the associated committed or similar committed to of the gravesting body, of the gravest and substitution of the gravesting body, of the gravest and substitution or similar committed or similar committed or the gravest product of the gravest product product product product product product product product p	200				
if there are instability differences in olding rights among members of the governing body, and the governing body deligible to cell anthority four accounts or mitted or distinct committee, explain it Salecatio 0. □ Did damy officer, dispector, the symphotypass of the mitted and committee, explain it Salecatio 0. □ Did damy officer, dispector, the symphotypass of the mitted of the committee of white process of the committee o		IVE The Wife Great & Good Committee of the Committee of t		Yes	No
## The are material differences in voting right ameng members of the growning body, or if the growning body and problem of the processing body dependence of the committee of the processing body and processing the processing of the processing body and processing the processing of t	-8	Extensible number of voting members of the governing body at the end of the tax year 16			
bedy delegated broad substancy for an accentive or animals committee, explain an Schedule 0. Either har promised or doing members included for into ital, above, who are independent 16 16	121	Hithere are material differences in voting rights among members of the governing body, or if the governing			
b Enter the number of voting members included in final as above, who are independent 15 16 2 Did any officer, diseach, buttons on levely employed have a family relationship or a business relationship with any other chicker, diseach in the chicky of the originated or diseasy and consistent over management duties customathy partitioned by or under the direct supervision of efficient diseases or hope on phonone or the person? 3 X 2 Did the origination between evene during the year of a significant diversion of the origination for a section of the person? 4 X 3 Did the origination have members or shockholdship? 5 X 4 Did the origination have members or shockholdship? 5 X 5 Did the origination have members or shockholdship? 7 3 X 5 Did the origination frame members of shockholdship? 7 3 X 5 Did the origination frame members of shockholdship? 7 3 X 5 Did the origination frame members of shockholdship? 7 7 X 5 Province the province of the origination of the origination of the province of the origination of the provinces of the origination of the origination of the provinces of the origination of the provinces of the origination of the provinces of the origination origination of the origination of the origination of the origination origination or the origination of the origination of the origination or the origination of the origination or origination origination origination or origination origination origination origi		had delegated bread authority to an executive committee or similar committee explain in Schedule 0.			
2 Did any officer, director, trauses, or key omphyses here a family relationship or a business relationship with any other cities. Microsoft, trauses, or key omphyses? 3 Did the organization delegate control over management duties customarily parformed by or under the direct provision of cities. Microsoft or supported to the continues, directors, or functions, or functions, or functions, or functions, or functions, or supported to management company or other prescrip. 4 Did the organization become waves during the year of a significant divergine of the prior Fone 990 was filed? 5 Did the organization have members, stocklodders, or other persons who had the power to elect or appoint one or more members or shocklodders, or other persons who had the power to elect or appoint one or more members or the provening body? 5 Author organization have members, stocklodders, or other persons who had the power to elect or appoint one or more members of the provening body? 5 But the organization testing body? 6 But the organization testing the governing body? 7 But the organization testing provided by the provening body? 8 But the organization testing content the medings held or written actions undertaked during the year by the following: 8 But the organization testing the provided provided to the provincing body? 9 Is there any officer, director, business, or key employee listed in Part VR, Spotian A, who cannot be resulted of the organization anality adelegate? 9 If Yes, and the organization have one of the provincing body? 10 If the organization have a content the medical procedures provincing the soft-rise of such chapters, efficience, and branches to ensure their operations are consistent with the organization provised a complete copy of this form 350 to all monitors of the governing body before they form? 10 If Yes, did the organization have a written policies and procedures provincing the soft-rise of such chapters, efficiency and branches are also provincing to the very full to form the policies and pro		pour delegated broad authority to all executive continuous of shake continuous, superant of the same between the same statements of the same between the same statements of the same st			
Section R. Policies (Fire Section B requests in should be substantially performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other presence? 4 Did the organization make any significant changes to this governing documents since the prior form 950 was tiled? 4 Did the organization have membrus to stockholders? 5 Did the organization have membrus to stockholders? 6 Did the organization have membrus stockholders? 7 Did the organization have membrus stockholders? 8 Did the organization have membrus stockholders? 8 Did the organization have membrus stockholders? 9 Are any governance decisions of the organization received to (or subject to approved by) members, shockholders, or possess other from the governing body? 9 Are any governance decisions of the organization received to (or subject to approved by) members, shockholders, or possess other from the governing body? 9 The organization contrepressess's document the meetings field or written addition during the year by the following. 9 The organization orthogeneously document the meetings field or written additioned during the year by the following. 9 The organization contrepressessing body? 9 To the organization by different to the propose field of a Part VI, Section A, who cannot be reached at the organization and branches to one or the request is about publication for members of the organization have written policies and procedures governing the activities of such chapters, efficience. 10 Did the organization have local chapters, branches, or affiliates? 10 If Yes, it did the organization have written policies and procedures governing the activities of such chapters, efficience. 11 Has the organization have a written organization to receive the form 990. 12 Did the organization have a written with the organization or series the form 990. 12 Did the organization have a written conflict of intenset professor of the form 990. 12 Did the organization have a written conflict of		Enter the number of voting members included in time ta, above, who are independent	1		
Die the organization designed controllows management duties austimizing performed by or under the direct supervision of officers, directors, or fractaces or key amplayees to a management company or other presence? Did the organization become evere duting the year of a significant diversion of the organization is seen organization become evere duting the year of a significant diversion of the organization seen organization have members or a stockholders, or other persons who had the operations of the organization have members and stockholders, or other persons who had the power to elect or expoint one or more members of the governing body? A real my operations contemporated of the organization reserved to (or subject to expound by) members, stockholders, or persons other than the governing body? A real my operation contemporated of the organization reserved to (or subject to expound by) members, stockholders, or persons other than the governing body? B the organization contemporated of the organization reserved to (or subject to expound by) members, stockholders, or persons other than the governing body? B there any officer, director, trusted, or large employee letted in Part VII, Scotton A, who cannot be reached as the organization contemporated by the affective members of the organization of the governing body? B there are officer, director, trusted, or large employee letted in Part VII, Scotton A, who cannot be reached as the organization to expose the security of the form stockholders. Did the organization have local chapters, branches, or affetted? B If Yes, fidit the organization have local chapters, branches, or affetables? B If Yes, fidit the organization have written positive and protection protection to execute their operations are consistent with the organization is execute their operations are consistent with the organization is executed by the form stockholders. B If Yes, fidit the organization have a written beginning the organization is account to the organization have a written of the	2	Did any officer, director, trustee, or key employee have a family relationship of a business relationship with any officer	2		X
of officiare, directors, or flueless, or long amployees to a management company or other present? 5 Did the organization become aware during the year of a significant diversion of the organization's assette? 5 Did the organization have members are stockholders? 5 Did the organization have members are stockholders? 5 Did the organization have members are stockholders, or other persons who had the power to obort or appoint one of the presence of the organization have members of the powering body? 5 Area any operatization have members, attenditions, or other persons who had the power to obort or appoint one of the organization treserved to (ar subject to approval by) members, stockholders, or powers members of the governing body? 5 Area any operations contemptraneously document the creekings had or written authority to act on bothet of the governing body? 5 States any officer, disolate, trustee, or key employee listed in Part VII, Scotton A, who cannot be recorded at the organization is mailting address? If Yes: provide the names and addresses in Schodulis O. 5 Section B. Policies first Scotton B recreate information about policies not required by the Internal Reviews Code) 7 Ven No. 6 Did the organization have boat chapters, branches, or affaitas? 6 If Yes: 'did the organization have written policies and procedures governing the activities of such chapters, affaitates, and branches to ensure their operations are consistent with the organization's exempt purposes? 7 If Yes is the organization have a written operation and ordanization have a written operation and ordanization have anytten consistent in fluence place of the governing body before thing the farm? 7 If Yes is did the organization have a written organization for exemptions exempt purposes? 8 If Yes is did the organization have a written organization or the organization's exempt purposes? 9 Did the organization have a written organization and organization's process for determining compensation of the organization and operations of the gove		officer, director, trustee, or key employee?			
bid the organization become aware during the year of a significant changes to its governing documents ence the prior form 990 was filled? 4	3	Did the organization delegate control over management duties customarily performed by or dider the direct supervision	2		X
Dut the organization make any agricultural startings on the synthesis developed in the organization is asserted. Dut the organization have members are stockholders? A possible the organization have members are stockholders? A possible the organization have members are stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? B has any governance decisions of the organization reserved to (or subject to apprecially) members, whichholders, or persons often them the governing body? B bifth erganization centeriperaneously document the meetings add or written actions undertakes during the year by the following: B there any officer, discolor, trustee, or key employed isted in Part VIII, Section A, who cannot be reserved at the organization have written policies and procedures are deviced by the internal Revenue Code) Yes No Policies (This Section B requests information about policies not required by the internal Revenue Code) Yes No It Yes, did the organization have written policies and procedures governing the activities of such chapters, affiliatoe, and bearines to ensure their operations are consistent with the organization's swempt purposes? 10b If the organization have a written policies and procedures governing the activities of such chapters, affiliatoe, and bearines to ensure their operations are consistent with the organization provised a complote copy of this Form 1990 to all members of its governing body before filing the form? 11a Has the organization provised a complote copy of this Form 1990 to all members of its governing body before filing the form? 12b Ware offices, directors, or trustees, and key amployees required to disclose amusely interest that could give rise to conflicts? 12c X 12d Ware offices, directors, or trustees, and key amployees required to disclose amusely interest that could give rise to conflicts? 12c It the organization have a written developed provise of the organization of the organization of the organization of		of officers, directors, or trustees, or key employees to a management company or other person?			
bit the organization have members or stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? by Ave any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? by Ave any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? by this the organization contemperaneusly document the meetings field ar writing actions subtraited the powering body? by Each committee with euthority to act on behalf of the governing body? be Each committee with euthority to act on behalf of the governing body? be Each committee with euthority to act on behalf of the governing body? be Each committee with euthority to act on behalf of the governing body? be Each committee with euthority to act on behalf of the governing body? be Each committee with euthority to act on behalf of the governing body? be Each committee with euthority to act on behalf of the governing body? be Each committee with euthority to act on behalf of the governing body? be Each committee with euthority to act on behalf of the governing body? be Each committee with euthority to act on behalf of the governing body? be Each committee with euthority to act on behalf of the governing body? be Each committee with euthority to act on behalf of the governing body? be there organization in action of propers indicated the committee of the enthered Bovernine Code.) Very Mo. 100 bid the organization have beal chapters, branches, or affiliates? 101 bid the organization provided a complete copy of this Form 980 to all members of its governing body before fitting the form? by Each Committee or Schedule O the process, if any, used by the organization to review this Form 980. 101 bid the organization provided a complete copy of this Form 980 to all members of the governing body before fitting the form? 102 bid the organization	4	Did the organization make any significant changes to its governing documents since the prior Form 990 was ined?		***************************************	
Table die organization have membors, stockholders, or other persons who hed the power to elect or appoint one or more members of the governing body? Are any generance decidence of the organization reserved to (or subject to approval by) membors, stockholders, or possons other than the governing body? 5 bid the organization contemporances of deciment the sheeting held or written actions uncertaken during the year by the following: 5 a	5	Did the organization become aware during the year of a significant diversion of the organization's assets?			
b Are any governing body? 5 Are any governing body? 5 It the governing body? 5 It the governing body? 6 The governing body? 6 The governing body? 7 It is a support of the governing body? 7 It is a support of the governing body? 8 It the governing body? 8 It there any officer, director, director, eustee, or key employee listed of making held or written actions undertaken during the year by the following: 8 It there any officer, director, eustee, or key employee listed of the governing body? 9 Is there any officer, director, eustee, or key employee listed in Part VIII, Section A, who cannot be reached at the organization from an illing address? If Yes, "provide the names and addresses in Schedule O. 8 Section B. Policies (This Section B requests information about policies not request by the Internal Revenue Code.) 9 Vers No. 10a Did the organization have bload chapters, branches, or efficience? 10b If Yes, 'did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? 10b If It is organization because their operations are consistent with the organization's exempt purposes? 10c If It is organization have a written contine of the second of the internal policies and branches to ensure their operations are consistent with the organization's exempt purposes? 10b If It is organization have a written contine of the second of the internal policy internal purposes? 10c If It is organization regularly and consistently under the organization to review this form 990. 10c It is organization regularly and consistently under the organization regularly and consistently under the organization have a written of the internal policy of the It is scheduled to the organization have a written of the internal policy of the It is scheduled to the organization have a written policy of procedure requiring the organization is part wenture enrangements? 10c If the organizatio	6	Did the organization have members or stockholders?	0		£z
Did the organization have local chapters, branches, or affiliates. Did the organization provided a complete copy of this Form 990 to all members of this governing body? Describe in Schedule O the organization have a written complete copy of this Form 990 to all members of this governing body? Describe in Schedule O the organization have written policies and procedures governing the activities of such chapters, and because it is not organization to consistent with the organization have written policies in the organization in some time organization have written policies and procedures governing the activities of such chapters, and branches to ensure their operations are consistent with the organization is exempt purposes? 10a Did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? 10b Describe in Schedule O the process, if any, used by the organization is organization brown as a written conflict of infects policy? If No.** go to line 013 Describe in Schedule O the process, if any, used by the organization in the written policy? If No.** go to line 013 Did the organization have a written conflict of infects policy? If No.** go to line 013 Did the organization have a written conflict of infects policy? If No.** go to line 013 Did the organization have a written conflict of infects policy? If No.** go to line 013 Did the organization have a written conflict of infects policy? 12c X Did the process for determining comparisation is completed as a written document teleption and destruction policy? If Yes, "describe in Schodule O how Iris was done 12c X Did the process for determining comparisation is used that process in Schodule O how Iris was done 13c Did the organization have a written whistebolower policy? 14d X Did the organization have a written whistebolower policy? 15d Did the organization have a written whistebolower policy? 16d Did the org	7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or			IJ,
bit the organization contemperaneously document the meetings held or written actions undertaken during the year by the following: a The governing pody? be Each committee with authority to act on bothall of the governing body? is there any officer, chicotor, fluetee, or low yemployee listed in Part VII, Section A, who cannot be reached at the organization's making address? # 'Yes' "provide the names and addresses in Schwiddle O Section B. Politicies (This Section B requests information about policies not required by the Internal Revenue Code) Yes No 10a Did the organization have local chapters, branches, or affiliates? 10a X Yes No 10b If 'Yes,'' did the organization have written policies and procedures governing the activities of such chapters, affiliates. and branches to ensure their operations are consistent with the organization's exempt purposes? 10b Internal Schedule O the process, if any, used by the organization's exempt purposes? 10c Internal Schedule O the process, if any, used by the organization to review this Form 990. 10c Internal Schedule O the process, if any, used by the organization to review this Form 990. 10c Internal Schedule O the process, if any used by the organization to review this Form 990. 10c Internal Schedule O the process, if any used by the organization to review this Form 990. 10c Internal Schedule O the process of the organization that the policy? # 'Yes,' describe in Schedule O how this was done 10c Internal Schedule O the Internal Schedule O		more members of the governing body?	/a		
Did the organization contemporareously document file meetings held or written actions undertaken during the year by the following: a The governing body? b Each committee with authority to act on behalf of the governing body? b Is there any officer, director, trustee, a key employee listed in Part VII, Sociton A, who cannot be reached at the organization's mailting address y if Yes. "provide the names and addresses in Schedule O Section B. Policies (This Section B requests information about polices not required by the Internal Revenue Code.) Yes. No. 10a Did the organization have local chapters, biranches, or affiliates? 10 If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purpose? 10 Describe in Schedule O from process, if any, used by the organization review this Form 990. 11 Has the organization have a written conflict of interest policy? If "No." go to line 13 b Wise officers, directors, or frustees, and key employees required to disclose anautally interests that could give rise to conflicts? 12 b Use officers, directors, or frustees, and key employees required to disclose anautally interests that could give rise to conflicts? 12 b Use or process for determining compensation of the following persons includes a review white Process in Schedule O flow this was done. 13 b Use the organization have a written whistebolower policy? 14 Did the organization have a written whistebolower policy? 15 Did the process for determining compensation of the following persons includes a rowsw and approval by independent persons, comparability adds, and contemporaneous aubstantiation of the deliberation and decision? 15 b Use the process for determining compensation of the following persons includes a rowsw and approval by independent persons, comparability adds, and contemporaneous substantiation of the deliberation and decision? 15 b User offices or key	b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or			17
beach committee with authority to act on behalf of the governing body? Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailting address? If Yes, 'provide the names and addresses in Schedule O Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code) Ves. No. 10a Did the organization have local chapters, branches, or affiliates? If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliate, and branches to encur their operations are consistent with the organization's exempt purposes? If a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? In the state organization have a written conflict of interest policy? If "No.", go to fine 13 It all has the organization have a written conflict of theoret policy? If the organization have a written conflict of theoret policy? If the organization have a written whistlish lower policy? If the organization have a written whistlish lower policy? If the organization have a written whistlish lower policy? If the organization have a written whistlish lower policy? If the organization have a written whistlish lower policy? If the organization have a written whistlish lower policy? If the organization have a written whistlish lower policy? If the organization have a written whistlish lower policy? If the organization have a written whistlish lower policy? If the organization have a written whistlish lower policy? If the organization have a written becomes a substantiation of the deliberation and decision? If "Yes," did the organization of the organization in the deliberation and decision? If "Yes," did the organization of the organization of the deliberation and decision? If "Yes," did the organization of the organization of the deliberation and decision? If "Yes," did the organization of the p		persons other than the governing body?	7b		A
be Each committee with authority to act on behalf of the governing body? 9 Is there any officer, director, frustee, or key employee lated in Part VII, Section A, who cannot be reached at the organization's mailing address? If Yee, "provide the names and addresses in Schedule O. Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code) Yes. No. 10a Did the organization have local chapters, branches, or affiliates? 10b If "Yes," clid the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? 11a Has the organization provided a complete copy of this Form 950 to all members of its governing body before filing the form? 12b Describe in Schedule O the process, if any, used by the organization to review this Form 990. 12c Did the organization have a written conflict of interest policy? If "No.", go to line 13 12c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," clearable in Schedule O how this was done 12c X 13d Did the organization negularly and consistently monitor and enforce compliance with the policy? If "Yes," clearable in Schedule O how this was done 13d Did the organization have a written whetleblower policy? 14d Did the organization are a written whetleblower policy? 15d Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? 15d Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? 15d Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? 15d Did the organization invest in, contribute assets to	8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:	0.000	93033	0.000
b Each committee with authority to act on behalf of the governing body? 9 Is there any officer, director, frustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If Ves." provide the names and addresses in Schedule O Section B. Policies (This Section B requests information shout policies not required by the Internal Revenue Code) Ves. No. 10a Did the organization have local chapters, branches, or affiliates? b if "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? 11a Has the organization provided a complete cocy of this Form 950 to all members of the governing body before filing the form? b Describe in Schedula O the process, if any, used by the organization to review this Form 990. 12b Old the organization have a written conflict of interest policy? If "No." go to like 13 b Ware officers, directors, or frustess, and key employees required to disclose antually interests first could give rise to conflicts? 12c If the organization negularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done 12c If the organization have a written whistleblower policy? 13d Did the organization have a written whistleblower policy? 14d Did the organization have a written whistleblower policy? 15d Did the organization shap as written whistleblower policy? 16d The organization shap as written whistleblower policy? 17d Did the organization follow as written policies or top management official 18d Did the organization follow as written policies or top management official 18d Did the organization follow as written policy or procedure requiring the organization to evaluate its participation in firest to fish, describe the process in Schedule O (see instructions). 18d Did the organization fruset in, contribute assets to, or participate	a		8a		
9 is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If Yes," provide the names and addresses in Schedule O Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code) Ves No 10a Did the organization have local chapters, branches, or affiliates? If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? 11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before liting the form? 12a Did the organization have a written conflict of interest policy? If "No," go to line 13 12b Wise officers, directors, or flustees, and key employees required to disclose annually interests that could give rise to conflicts? 12b X 13c Did the organization regularly and consistently monitor and entorce compliance with the policy? If "Yes," describe in Schedule O how this was done 13d Did the organization have a written observed policy? 14d Did the organization have a written document retention and destruction policy? 15d Did the organization have a written document retention and destruction policy? 15d Did the organization have a written policy Diector, or top management official 15d Did the organization in the set of the organization of the deliberation and decision? 15d Did the organization in the set in continuous assets to, or participate in a joint venture or similar arrangement with a taxable antity during the year? 15d Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable antity during the year? 15d Did the organization invest in contribute assets to, or participate in a joint venture or similar arrangement with a taxable antity during the year? 15d Did the organization invest in co	ь	Each committee with authority to act on behalf of the governing body?	8b	X	
Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.) Vest No.	9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the			
Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.) Ves. No. 10a Did the organization have local chapters, branches, or effiliates? b if "Yee," did the organization have written policies and procedures governing the activities of such chapters, affiliates. and branches to ensure their operations are consistent with the organization's exempt purposes? 11a Has the organization provided a complete copy of this Form 990 to all immelbers of its governing body before filing the form? b Describe in Schedule O the process, if any, used by the organization to review this Form 990. 11a X Describe in Schedule O the process, if any, used by the organization to review this Form 990. 11a X Did the organization have a written conflict of inferest policy? If "No," go to line 13 b Were offlicers, directors, or inustees, and key employees required to disclose annually interests that could give rise to conflicts? 12b X 13 bid the organization have a written whistleblower policy? 14 bid the organization have a written document reference compliance with the policy? If "Yes," describe in Schedule O how this was done 13 bid the organization have a written whistleblower policy? 14 bid the organization have a written document reference of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? 15 bid the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? 15 bid the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? b if "Yes," to line 15 con 15b, describe the process in Schedule O (see instructions). 16 bid the organization invest in, contribute assets to, or participate in a joint venture or similar arrange	-	organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	procedental procedent	X
10a Did the organization have local chapters, branches, or affiliates? 1/2 ves," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? 10b 11a 18a the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? 11a X 12a Z Did the organization have a written conflict of interest policy? If "No.", go to line 13 12a X 12b Z Did the organization have a written conflict of interest policy? If "No.", go to line 13 12b X	Sec	tion B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)			
to bit the organization have local chapters, pranches, or animates? bit "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? 10b 11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? b Describe in Schedute O the process, if any, used by the organization to review this Form 990. 12b 13c 14c 15d 15d 16e 17e 17e 17e 18d 18d 19d 19d 19d 19d 19d 19d				Yes	No
b if "Yes," did the organization have written policies and procedures governing the activities of such chaptais, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? 11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? 15a Describe in Schedula O the process, if any, used by the organization to review this Form 990. 15a Did the organization have a written conflict of interest policy? If "No." go to fine 13 15a Did the organization have a written conflict of interest policy? If "No." go to fine 13 15a Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done 15b Did the organization have a written whistlablower policy? 15c Did the organization have a written document retention and destruction policy? 15b Did the organization have a written document retention and destruction policy? 15c Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? 15a X 15b Other officers or key employees of the organization 16c If "Yes," to line 15a or 15b, describe the process in Schedule O (see instructions). 16d Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? 16d Did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization in some participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization of (c)(3)s only) available for public inspection, Indicate how you made these available. Check all that apply. 16d Describe in Schedule O wh	40~	Did the organization have local chanters, branches, or affiliates?	10a		X.
and branches to ensure their operations are consistent with the organization's exempt purposes? 11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filting the form? 12a Did the organization have a written conflict of interest policy? If "No," go to line 13 12a X 12b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? 12b X 12c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done 12c X 13 Did the organization have a written whistlabilower policy? 14 Did the organization have a written whistlabilower policy? 15 Did the organization have a written document retention and destruction policy? 15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? 15a X 15b Other officers or key employees of the organization 15c If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions). 15d Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? 16b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization in policy and process in Schedule O (see instructions). 16b Vertical C. Disclosure 17 List the states with which a copy of this Form 990 is required to be filed ▶ KY 28cettion C. Disclosure 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990,	i Oca	If "Voc." did the organization have written noticies and procedures governing the activities of such chapters, affiliates,			
Has the organization provided a complete copy of this Form 990 to all mombers of its governing body before filing the form? b Describe in Schedule O the process, if any, used by the organization to review this Form 990. 12a Did the organization have a written conflict of interest policy? If "No," go to fine 13 b Were officers, directors, or frustees, and key employees required to disclose annually interests that could give rise to conflicts? 12b X 12c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done 13d X 14 Did the organization have a written whistleblower policy? 15 Did the organization have a written document retention and destruction policy? 15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? 15 Did the organization's CEO, Executive Director, or top management official 15b X 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? 16a If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to euch arrangements? Section C. Disclosure 17 List the states with which a copy of this Form 990 is required to be filed ►KY Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available to public inspection. Indicate how you made threse available. Check all that apply. 18 A Did the organization are with think in a copy of this Form 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available to public inspection. Indicate how you made threse available. C	IJ	and branches to oncurs their operations are consistent with the organization's exempt purposes?	10b		
b Describe in Schedule O the process, if any, used by the organization to review this Form 990. 12a Did the organization have a written conflict of interest policy? If "No," go to line 13 b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? 12b X c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done 12c X 13 Did the organization have a written whistleblower policy? 14 Did the organization have a written document retention and destruction policy? 15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? 15 Did the organization's CEO, Executive Director, or top management official 15 Did the organization folds a contemporaneous substantiation of the deliberation and decision? 15 Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? 16 Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? 16 If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? Section C. Disclosure 17 List the states with which a copy of this Form 990 is required to be filed FKY Socion 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. 18 Describe in Schedule O whether (and if so, how), the organization made its governing docum	-0 el	Line the experiention provided a complete copy of this Form 990 to all members of its governing body before filling the form?	11a	X	
12a		Describe in School-In O the process if any used by the organization to review this Form 990.			
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? 12b X 12c X 13 Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done 13 Did the organization have a written whistleblower policy? 14 X 15 Did the organization have a written document retention and destruction policy? 16 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? 16 Did the organization's CEO, Executive Director, or top management official 16 Dither officers or key employees of the organization 17 If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions). 18 Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? 18 If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? 18 Section 6. Disclosure 19 List the states with which a copy of this Form 990 is required to be filed FKY Section 6. Disclosure 10 List the states with which a copy of this Form 990 is required to be filed FKY Section 6. Disclosure 10 List the states with which a copy of this Form 990 is required to be filed FKY Socition 6. Disclosure 11 Did the organization to make its Forms 1023 (or 1024 if applicable), 990, and 990. T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. 18 Non website Another's website Sulpon request Other (explain in Schedule O) 19 Describe in Schedule O whether (and if so, how), the organization made its			12a	X	
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done 12c		Und the organization have a written commet of interest policy: " 170, 90 to "100 to 100 to 10		X	
in Schedule O how this was done Did the organization have a written whistleblower policy? Did the organization have a written document retention and destruction policy? Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? The organization's CEO, Executive Director, or top management official The organization's CEO, Executive Director, or top management official Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? Dif "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? Section C. Disclosure List the states with which a copy of this Form 990 is required to be filed ★KY Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. X Own website Another's website X Upon request Other (explain in Schedule O) Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ★THE ORGANIZATION − 502-589-8008		yiele onicers, unectors, or mustees, and key emproyees required to disclose unitarity markets are extended if "Yes" describe		1	
13	C		120	X	
Did the organization have a written document retention and destruction policy? Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporansous substantiation of the deliberation and decision? The organization's CEO, Executive Director, or top management official Dother officers or key employees of the organization if "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions). Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? Dif "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? Section C. Disclosure List the states with which a copy of this Form 990 is required to be filed ★KY Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. X Own website Another's website X Upon request Other (explain in Schedule O) Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. THE ORGANIZATION — 502-589-8008					1
Did the organization have a written occurrent reterition and decision policy? Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? The organization's CEO, Executive Director, or top management official Dother officers or key employees of the organization If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions). Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? Did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? Section C. Disclosure Ist the states with which a copy of this Form 990 is required to be filed ▶ KY Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990 T (Section 501(o)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. X Own website Another's website X: Upon request Other (explain in Schedule O) Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ▶ THE ORGANIZATION − 502 −589 −8008	13	Did the organization have a written whistieplower policy?			-
persons, comparability data, and contemporaneous substantiation of the deliberation and decision? a The organization's CEO, Executive Director, or top management official b Other officers or key employees of the organization if "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions). 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? Section C. Disclosure 17 List the states with which a copy of this Form 990 is required to be filed KY Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. X Own website Another's website X Upon request Other (explain in Schedule O) Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: THE ORGANIZATION - 502-589-8008	14	Did the organization have a written document retention and destruction policy?			1
The organization's CEO, Executive Director, or top management official b Other officers or key employees of the organization If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions). 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? 16a If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? Section C. Disclosure 17 List the states with which a copy of this Form 990 is required to be filed KY 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(o)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. X Own website Another's website X Upon request Other (explain in Schedule O) Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: THE ORGANIZATION - 502-589-8008	15	Did the process for determining compensation of the following persons include a review and approval by independent			
b Other officers or key employees of the organization If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions). 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? 16a X 16		persons, comparability data, and contemporaneous substantiation of the deliberation and decision?	45	¥	100000
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions). 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? 15a If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? 16b Section C. Disclosure 17 List the states with which a copy of this Form 990 is required to be filed KY 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990. T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. 18 Own website Another's website X Upon request Other (explain in Schedule O) 19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: THE ORGANIZATION - 502-589-8008	а		1		ļ
Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? Section C. Disclosure List the states with which a copy of this Form 990 is required to be filed KY Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990. T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. X Own website Another's website X Upon request Other (explain in Schedule O) Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. State the name, physical address, and telephone number of the person who possesses the books and records of the organization: THE ORGANIZATION - 502-589-8008	b	Other officers or key employees of the organization	130	1 21	
taxable entity during the year? b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? Section C. Disclosure 17 List the states with which a copy of this Form 990 is required to be filed ▶ KY 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990 T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. ▼ Own website		If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).			
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? Section C. Disclosure 17 List the states with which a copy of this Form 990 is required to be filed KY 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990. T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. X Own website Another's website X Upon request Other (explain in Schedule O) 19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: THE ORGANIZATION - 502-589-8008	16a		100000	N UNIV	V
in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? Section C. Disclosure 17 List the states with which a copy of this Form 990 is required to be filed KY 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990 T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. X Own website Another's website X Upon request Other (explain in Schedule O) 19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: THE ORGANIZATION - 502-589-8008		taxable entity during the year?	168	1	1 -22
Section C. Disclosure	b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation			
Section C. Disclosure 17 List the states with which a copy of this Form 990 is required to be filed KY 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. X Own website		in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's	1900	100000	34/47
 List the states with which a copy of this Form 990 is required to be filed KY Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990.T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. X Own website Another's website Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. State the name, physical address, and telephone number of the person who possesses the books and records of the organization: THE ORGANIZATION - 502-589-8008 		exempt status with respect to such an angements?	16b	and the state of t	popular engineer
 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990 T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.	Sec	otion C. Disclosure	************	******	**********
 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990 T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.	17	List the states with which a copy of this Form 990 is required to be filed KY			
for public inspection. Indicate how you made these available. Check all that apply. X Own website Another's website X Upon request Other (explain in Schedule O) Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. State the name, physical address, and telephone number of the person who possesses the books and records of the organization: THE ORGANIZATION - 502-589-8008	18	Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990 T (Section 501(c)(3)s only)	availa	ble	
Wown website Another's website X Upon request Other (explain in Schedule O) Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. State the name, physical address, and telephone number of the person who possesses the books and records of the organization: THE ORGANIZATION - 502-589-8008		for public inspection. Indicate how you made these available. Check all that apply.			
 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. State the name, physical address, and telephone number of the person who possesses the books and records of the organization: THE ORGANIZATION - 502-589-8008 		X Own website Another's website X Upon request Other (explain in Schedule O)			
statements available to the public during the tax year. 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: THE ORGANIZATION - 502-589-8008	19	Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, a	nd fine	incial	
20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ►		statements available to the public during the tax year.			
THE ORGANIZATION - 502-589-8008	20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization	ation:	>	***********
120 WEBSTER STREET, NO. 217, LOUISVILLE, KY 40206		THE ORGANIZATION - 502-589-8008		-	
		120 WEBSTER STREET, NO. 217, LOUISVILLE, KY 40206	ententrologistico.	elulosiars alematicistais ar	NAMES OF THE OWNER, OF THE OWNER, OF THE OWNER, OF THE OWNER, OWNER, OWNER, OWNER, OWNER, OWNER, OWNER, OWNER,

Pa	rt V Statements Regarding Other IRS Filings and Tax Compliance		months are present	and and the					
	Check if Schedule O contains a response to any question in this Part V								
*******			Tyes	No					
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable 1a 7		1105	INO					
b	Enter the number of Forms W-2G included in line 1a. Enter 0- if not applicable 1b 0								
C	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming								
	(gambling) winnings to prize winners?		X						
2a	Enter the number of employees reported on Form W3, Transmittal of Wage and Tax Statements,	1c	27						
	filed for the calendar year ending with or within the year covered by this return 2a 8								
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b	X	8749					
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	-20	16.2						
30	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	MARK!	X					
	If "Yes," has it filed a Form 990 T for this year? If "No," provide an explanation in Schedule O	3b		45.34					
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a	30	ļ						
	financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4.2		X					
b	If "Yes," enter the name of the foreign country:	4a		*77					
	See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.								
5a	Wing the operanisation a new to a new biblion of the standard to the standard	5a		Х					
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	ļ	X					
c	H "Voc " to Eng 6 a or Ch. diddle a compile Co. Cl. F	5c	ļ						
	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit	30							
	any contributions that were not tax deductible as charitable contributions?	6a		X					
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts	1241	ļ						
	were not tax deductible?	e L							
7	Organizations that may receive deductible contributions under section 170(c).	6b		nere mengelakan					
ā	Did the engineering receives a received a received of the second of the								
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7a 7b		X					
G	Did the organization cell, exchange, or otherwise dispose of tangible personal property for which it was required	1 8,5							
	to file Form 8282?	7c		Х					
d	If "Yes," indicate the number of Forms 8282 filed during the year 7d			and the					
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	14,000	X					
Ŧ	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		X					
£1	If the organization received a contribution of qualified intellectual property, did the organization file Form 5899 as required?	7g		erente en					
h	· · · · · · · · · · · · · · · · · · ·	7h		www.hishan.hispanya.com					
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting			North-Reference whee					
	organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8	(9.54)						
9	Sponsoring organizations maintaining donor advised funds.			(JANA					
131	Did the organization make any taxable distributions under section 4966?	9a	. Year 114	F1-11-11-11					
b	Did the organization make a distribution to a denor, denor advisor, or related person?	9b		alter (Mahirut sanananan) p					
10	Section 501(c)(7) organizations. Enter:			page-gar-analism pages					
a	Initiation fees and capital contributions included on Part VIII, line 12								
	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 105								
11	Section 501(c)(12) organizations. Enter:								
ä	Gross income from members or shareholders 11a								
	Gross income from other sources (Do not net amounts due or paid to other sources against								
	amounts due or received from them.)								
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a							
b	If "Yes," enter the amount of tax exempt interest received or accrued during the year			igliotistudiski departuarus					
	Section 501(c)(29) qualified nonprofit health insurance issuers.								
а	Is the organization licensed to issue qualified health plans in more than one state?	13a	***************************************	Marie de la companya					
	Note. See the instructions for additional information the organization must report on Schedule O.								
	Enter the amount of reserves the organization is required to maintain by the states in which the								
	organization is licensed to issue qualified health plans 13b	(A)							
G	Enter the amount of reserves on hand								
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a		X					

Form **990** (2012)

b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O

Page 4

Form 990 (2012)

Form 990 (2012)

Note. All Form 990 filers are required to complete Schedule O

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?			
	ff "Yes," complete Schedule A	1	X	
2	ls the organization required to complete Schedule B, Schedule of Contributors	2	X	ļ
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3		K
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part If	4	X	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Pert III	F#		X
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to	5		47
	provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D. Part I	6		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space,			
	the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II		entre promot philips of the grant of	X
\$	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8		X
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for			
	amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9	Х	
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	10		X
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, IX, or X			
	as applicable.			
Э	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? # "Yes," complete Schedule D, Part VI	Ta	X	
b	Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total			
_	assets reported in Part X, line 167 If "Yes," complete Schedule D, Part VII	11b		X
C	Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	ના ના		X
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in	11c		**************************************
	Part X, line 16? # "Yes," complete Schedule D, Part IX	110	The state of the s	K
0	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e		X
	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses		in bredship planet processor.	***************************************
	the organization's flability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	111	X	er en "h orbeforsagen austr an an
12a	Did the organization obtain separate, independent audited financial statements for the fax year? If "Yes," complete Schedule D, Parts XI and XII	12a	X	
b	Was the organization included in consolidated, independent audited financial statements for the tax year?			
	If "Yes," and if the organization enswered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b		X
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		X
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business,			
	investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000			3.7
15	or more? If "Yes," complete Schedule F, Parts I and IV Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization	14b		X
1 01	or entity located outside the United States? If "Yes," complete Schedule F, Paris II and IV	15		X
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals			
	located outside the United States? If "Yes," complete Schedule F, Parts III and IV	16		X
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? # "Yes," complete Schedule G, Part I	17		X
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines			*************
	1c and 8a? If "Yes," complete Schedule G, Part II	18		X
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9u? If "Yes,"			
	complete Schedule G, Pert III	19		X
	Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a		X
D	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	ana	entransportantes
		1-orm	990 (2012)

Form	990 (2012) KENTUCKY WATERWAYS ALLIANCE, INC.	61-1239766 Page 2
Par	t III Statement of Program Service Accomplishments	X
	Check if Schedule O contains a response to any question in this Part III	
*	Briefly describe the organization's mission: TO PROTECT AND RESTORE KENTUCKY'S WATERWAYS.	
	1 \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	
2	Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?	Yes X No
	If "Yes," describe these new services on Schedule O.	Yes X No
3	Did the organization cease conducting, or make significant changes in how it conducts, any program services? If "Yes," describe these changes on Schedule O.	***************************************
4	Describe the organization's program service accomplishments for each of its three largest program services, as Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to other	measured by expenses. Pers, the total expenses, and
	revenue, if any, for each program service reported.	1,037,103.)
4a	THE EFFECTS OF AUTHORIZED, PROJECT-RELATED IMPACTS AND OF AQUATIC SPECIES IN KENTUCKY AND PROVIDES AQUATIC SPE	TO HELP MINIMIZE INCIDENTAL TAKE CIES
	CONSERVATION AND RECOVERY BENEFITS THROUGH THE IMPLEMENT OF THE CONSERVATION AND RECOVERY BENEFITS THROUGH THE KARF, KARF FUNDS H	TATTON OF AND
	LANDS FOR CONSERVATION. FUNDED STREAM MONITORING AND RE	STORATION,
	ADVANCED THE RESEARCH ON FISH AND MUSSEL SPECIES, AND F	'UNDED THE
	PROPAGATION AND RELEASE OF THREATENED AND ENDANGERED SP	ECLES:
4b		ANNING - KWA
		ID IMPLEMENTING
	WATERSHED PLANS, AND ORGANIZING EDUCATION AND OUTREACH	EVENTS AND
	PROGRAMS. IN 2012, WE WORKED CLOSELY WITH FOUR COMMUNI	TTIES ON MPACTING MORE
	WAIDADED TODOLD, COMMITTE OF THE TOTAL TOT	The second secon
	THAN A MILLION PEOPLE. EACH COMMUNITY HAD A UNIQUE SET RED RIVER AND THE RED BIRD RIVER WATERSHEDS INCLUDE SOME	ME OF THE BEST
	WATER QUALITY IN THE STATE, ENDANGERED PLANTS AND ANIMA	LS. AND BELOVED
	PLACES OF RECREATION, BUT NEED THE PROTECTION THAT KWA	IS HELPING TO
	PROVIDE THROUGH LOCAL WATERSHED TEAMS AND COMMUNITY EDU	JCATION. THE
	BACON CREEK WATERSHED ENCOMPASSES RURAL FARMING COMMUNI	TTIES THAT KWA IS
4c	(Several 118, 345 a jacketing graphs of \$) (Several 118, 345 a jacketing graphs of \$	enue \$
	IMPROVE IMPLEMENTATION & ENFORCEMENT OF THE CLEAN WATER	TLOODPIATES AND
	- WE HELP TO PROTECT WATER QUALITY, SAVE WETLANDS AND I ASSIST IN CLEANING UP POLLUTED WATERS. WE WORK WITH STA	ATE AND FEDERAL
	ASSIST IN CLEANING OF POLLOTED WATERS. WE WORK WITH BIRD AGENCIES TO IMPROVE CLEAN WATER ACT LAWS AND REGULATION	VS; IMPROVE
	DISCHARGE DERMITS SO THAT FEWER POLLUTANTS ARE DISCHARGE	GED INTO OUR
	WATTERWAYS. AND TO HALT OR MINIMIZE WETLANDS FILL AND DI	ESTRUCTION. WHEN
	CTATE OF REDERAL AGENCIES ARE LAX IN ENFORCEMENT OF WAY	LEK KEGULATIONS
	WE URGE THEM TO DO THEIR JOBS WITH COMMENTS AND PUBLIC LITIGATION IF NECESSARY.	ENGAGEMENT OR
-		
4d	777 200)
4e	1 906 190	
neminane	(Arr Pri) A 2 A 3 A 3 A 3 A 3 A 3 A 3 A 3 A 3 A 3	Form 990 (2012
2320 12-10	SEE SCHEDULE O FOR CONTINUATION 2	

Department of the Trease y Internal Revenue Service

Return of Organization Exempt From Income Tax Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung

benefit trust or private foundation)

The organization may have to use a copy of this return to satisfy state reporting requirements

Open to Public Inspection

OMB No. 1545-0047

A	Forth	e 2012 calendar year, or tax year beginning and ending	en Primitiva de carlo e carace metamento de aporto, qui ma contrat recomendante de consciente está consciente carace Primitiva de característico de característico de aporto qui recomenda de consciente está consciente de característico de caracter	emenum un en se de circinia esta como estra conseguir de seguir sero debita de circina indicada de circina en e
В	Street i	C Name of organization	D Employer identifi	ication number
ļ	- Watch			
	_jchan Nam	and the second s	e 1 -1	end and the transfer
-	lehan Tilinitea _retur		·	239766
Townson, Townson,	le n April	120 WEBSTER STREET 217		
	James Jeetur Japon	n 1 City, town, or post office, state, and ZIP code	G Gross resemble \$	1,891,835.
L	t®pr p∞ nc	F Name and address of principal officer: JUDITH PETERSEN	H(a) Is this a group in	
		SAME AS C ABOVE	for affiliates?	Yes X No
1 7	Fav.o.	T. T.		cluded? Yes No
		te: WWW.KWALLIANCE.ORG		list (see instructions)
PROFESSION AND ADDRESS OF THE PARTY OF THE P			H(c) Group exemption Year of formation: 1993	
	it l	Summary	1 ter Ol Politichal activities sees sees succession and sees	managaranga ang ang ang ang ang ang ang ang ang
Francisco di b	7	Briefly describe the organization's mission or most significant activities: TO PROTE	CT AND RESTOR	
Governance		KENTUCKY'S WATERWAYS.	and the second s	ediacelli namanun unun Bananina perperinjan propertie philologisman den anticologisma kelendere i anticologisma persente namanun unun Bananina perperinjan propertie philologisma anticologisma anticologisma i anticologisma anticologi
CL Sur	2	Check this box if the organization discontinued its operations or disposed of	more than 25% of its not as	
20	3	Many bar of continue and a large and the control of the large and the	3	16
<u>ග</u> ණ	4	Number of independent voting members of the governing body (Part VI, line 1b)		16
9	5	Total number of individuals employed in calendar year 2012 (Part V, line 2a)	5	8
Activities	6	Total number of volunteers (estimate if nocessary)	5 7 0	
O O	7 a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	
	b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.
			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	415,646.	854,562.
	9	Program service revenue (Part VIII, line 2g)	0.	1,037,103.
	10	Investment income (Part ViiI, column (A), lines 3, 4, and 7d)	268.	170.
	4	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	39,595.	0.
belickensussens	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	455,509.	1,891,835.
	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	0,	0 ,
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0.	Ü,
Expenses	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) Professional fundraising fees (Part IX, column (A), line 11e) Total fundraising expenses (Part IX, column (D), line 25) Other expenses (Part IX, column (A), lines 11e, 11e, 11e, 11e, 11e, 11e, 11e, 11	243,401.	250,613.
2	76a	Professional fundraising fees (Part IX, column (A), line 11e)	0.	
12.1	13	Total fundrasing expenses (Part IX, column (D), line 25)		
		Care expenses (carest, column (45, mass) (4-110, 111-248)	165,824.	1,764,913.
	19	Total expenses. Add lines 13:17 (must equal Part IX, column (A), line 25)	409/225	2,015,526
2 60	124	Revenue less expenses. Subtract line 18 from line 12	46,284.	-123,691,
Not Assets or Frances	20	Total assets (Part X, line 16)	Beginning of Current Year	End of Year
ASS	i	Total liabilities (Part X, line 16)	3,026,221. 2,778,816.	3,403,253.
101		Net assets or fund balances. Subtract line 21 from line 20	247,405	123,714
Pa	rt II	Signature Block	And the second of the second o	a LLI of Chile
Unde	r pena	Hies of perjury, I declare that I have examined this return, including accompanying schedules and st	itements and to the best of mi	/ knowledge and halief it is
irue,	correc	t, and complete. Declaration of preparer (other than officer) is based on all information of which prep	parer has any knowledne	i mossissigo and polici, 1615
- Martine Learning	haden endurant en habete	B.	The state of the s	nama tanan kan kan pangan kan atau kan kan kan kan an kan malayani manayahin kan kan kan an in san disa ma
Sigr	1	Signature of officer	Date	ndelandia kota di adiahahdiyayaran'y manasararan arana kasahayyahaniya sarustas 4600,0150/ahasaniya adiah
Here	à	JUDITH PETERSEN, EXECUTIVE DIRECTOR		
And the law was been		Type or print name and tide	H Amerika da Mayan a Mada da Mara di salaman a manan a hada da angan mangangan 193 mentang bada da dalam bidan da bangsa ataun sama	
		Print/Type preparer's name Preparer's signature	Date Cheek	PTM
Paid		BARBARA A. LASKY	if self-smptowe	P00015280
Prep		Firm's name 🔈 ANDERSON, BRYANT, LASKY & WINSLOW,	PSC Firm's EIII	61-1227965
Use	Only	Firm's address 943 SOUTH FIRST STREET	At 14 (14 (14 (14 (14 (14 (14 (14 (14 (14	
**********		LOUISVILLE, KY 40203	Phone no. (502)584-9793
May	the II	RS discuss this return with the preparer shown above? (see instructions)	ANALYSIS CONTROL DELLA CONTROL	X Yes No

CORD. 8 PAGE (044

RECEIVED & FILES

8.00

APR 14 4 03 PH 193

ARTICLES OF INCORPORATION

OF

Kentucky Waterways Alliance, INC.

I, the undersigned, acting as incorporator of a corporation under the Kentucky Non Profit Corporation Act, adopt the following Articles of Incorporation for such corporation:

ARTICLE I

The name of the Corporation is Kentucky Waterways Alliance Inc. ("Corporation").

ARTICLE II

The period of its duration is perpetual.

ARTICLE III

- (1) Any provision of these Articles of Incorporation to the contrary notwithstanding, the Corporation shall not have capital stock or shareholders and shall not have any purpose or object, nor have or exercise any power, nor engage in any activity, which in any way contravenes, or is in conflict with, the other provisions of Article II of these Articles of Incorporation.
 - (2) The purposes for which the Corporation is organized are as follows:
- (a) To conduct and carry on its work, not for profit, but exclusively for charitable, scientific, literary, or educational purposes within the meaning of section 501 (c) (3) of the Internal Revenue Code of 1954 (or corresponding provisions of any subsequently Federal tax laws), in such manner
- (i) that no part of its income or property shall inure to the private benefit of any donor, member, director, or individual having a personal or private interest in

12.000

COLP. 8 PAGE 645

the activities of the Corporation, except as reasonable compensation for services actually rendered,

- (ii) that is shall not directly or indirectly participate in or intervene in any political campaign on behalf of any candidate for public office and
- (iii) that no substantial part of its activities shall be carrying on propaganda or otherwise attempting to influence legislation. Notwithstanding any other provision of these articles, the Corporation shall not carry on any other activities not permitted to be carried on
- (A) by a corporation exempt from federal income tax under section 501 (c) (3) of the Internal Revenue Code of a corresponding section of any future federal tax code
- (8) by a corporation, contributions to which are deductible under section 170 (c) (2) of the Internal Revenue Code, or corresponding section on any future federal tax code.
- (b) To assist local community groups in their efforts to foster sound water resource management practices and conservation; to promote an interest in, and a study of the streams rivers lakes and other water resources of the Commonwealth; to collect and assemble scientific studies and literature pertaining thereto; to cooperate with all agencies, governmental or private, which have an interest in water resources management, water quality and the well being of the states streams, rivers lakes and wetlands; to prepare and disseminate periodicals, pamphlets, books, and materials pertaining to Kentucky water resources and related subjects; to copyright and register the same; to sponsor and conduct meetings for the study and discussion of water resources and matters pertaining thereto; to solicit membership in the Corporation and to solicit contributions; to establish branches of the Corporation in other states and communities; all of which purposes are to be carried out not for profit and without shares of stock; but simply

900KNO. S PAGE 0.46 to promote interest in, and the wise management of Kentucky waters, and generally to to the things that are incident and necessary thereto.

- (c) To organize, promote, foster, assist (whether financially or otherwise), and conduct such charitable, scientific, literary and educational enterprises, activities and institutions, as from time to time may be determined, selected or decided upon by the Corporation's Board of Directors consistent with the purposes stated above.
- (d) In furtherance of, and at all times subject to, the aforesaid purposes, enterprises, activities, and projects:
- (i) To solicit and acquire by gift, exchange, or otherwise, property of any and all kinds, and to sell, transfer, and otherwise dispose of any property it so acquires;
- (ii) To invest and reinvest any such property and the increments in, and avails or proceeds of, any such property in such investments as may be deemed advisable from time to time by the Corporation's Board of Directors, including, but not limited to, stocks, bonds, secured and unsecured obligations, undivided interests, leases, commercial paper, financial and governmental instruments, savings and other depository accounts, and other securities and properties;
- (iii) To give, donate, and contribute to any of the activities the Corporation may elect to sponsor, or in furtherance of any of the aforesaid purposes for which the Corporation is organized, such money or property, or both, as the Corporation's Board of Directors may from time to time determine,
- (iv) To take title to, and hold in its own name, such real or personal property, or both, and such interests in either such type of property as the Corporation may acquire, for the purposes herein set out, and to sell, transfer, and dispose of any such property or reinvest the proceeds thereof as herein permitted;
- (v) To accept gifts, bequests, or devises of property of any kind which any person, firm or corporation may make to the Corporation, upon the terms, trusts,

COYP 800KHO PASE (947)

and conditions set forth in the deed of gift, will, or other instrument of writing, executed by any such donor or testator, but only for the purposes and upon the terms and conditions and with the powers set forth in these Articles of Incorporation;

- (vi) To borrow money and give security therefor by pledging, mortgaging, or otherwise hypothecating any property it may own, or any interest it may have in such property;
- (vii) To become a member of any other nonstock or nonprofit corporation organized under the laws of any state, or to become affiliated with any other organization of like character existing under the laws of any state; provided, however, that such corporation or organization is an exempt organization under section 501 (c) (3) of the Internal Revenue Code of 1954 (or corresponding provisions of any subsequent Federal tax laws);
- (viii) to the extent permitted by law, to enter into contracts with any corporate trust company for the purpose of delegating to it the power, or employing it, to make investments on behalf of the Corporation, and to do such other things permitted by these Articles of Incorporation as the parties may agree upon, and without limiting the generality of the foregoing, but in furtherance thereof, to enter into trust agreements, irrevocable or otherwise, with any such corporate trustee, and therein to authorize any such corporate trustee to employ agents, attorneys, accountants, and others in connection with the performance of any duty or trust arising under such agreement; and
- (ix) To do any and all things which the Corporation's Board of Directors may determine, consistent with the provisions hereof, to be necessary or appropriate to effectuate the purposes of which the Corporation is organized as herein set forth, to the extent that the doing of such act or thing is not inconsistent with the provisions of Chapter 273 of Kentucky Revised Statutes, or any other applicable law

Revenue Code of 1954 (or corresponding provisions of any subsequent Federal tax laws).

- (3) Notwithstanding any provision heretofore stated herein, if at any time the Corporation is determined to be a private foundation or private operating foundation as defined in section 509 or section 4942 of the Internal Revenue Code of 1954, or in corresponding provisions of any subsequent Federal tax laws, then:
- (a) The Corporation shall distribute its income for each taxable year at such time and in such manner as not to become subject to the tax on undistributed income imposed by section 4942 of the Internal Revenue Code of 1954, or by corresponding provisions of any subsequent Federal tax laws.
- (b) The Corporation shall not engage in any act of self-dealing as defined in section 4942(d) of the Internal Revenue Code of 1954, or in corresponding provisions of any subsequent Federal tax laws.
- (c) The Corporation shall not purchase nor retain any excess business holdings as defined in section 4943(c) of the Internal Revenue Code of 1954, or in corresponding provisions of any subsequent Federal tax laws.
- (d) The Corporation shall not make any investments in such manner as to subject it to tax under section 4944 of the Internal Revenue Code of 1954, or in corresponding provisions of any subsequent Federal tax laws.
- (e) The Corporation shall not make any taxable expenditures as defined in section 4945(d) of the Internal Revenue Code of 1954, or in corresponding provisions of any subsequent Federal tax laws.

ARTICLE IV

CONP X PAGE CO 49

The Corporation shall have members. Classes of membership shall be as set forth in the By-Laws of the Corporation.

<u>ARTICLE V</u>

If, at any time, this Corporation dissolves, the assets of this Corporation shall be applied and distributed as follows:

- (1) All liabilities and obligations of this Corporation shall be paid and discharged, or adequate provision shall be made therefor;
- (2) Assets held by this Corporation upon condition requiring return, transfer, or conveyance, which condition occurs by reason of the dissolution, shall be returned, transferred, or conveyed in accordance with such requirements;
- (3) Assets that have been received and are held by this Corporation subject to limitations permitting their use only for charitable, scientific, literary, educational, and/or similar purposes, and that are not held upon a condition requiring return, transfer, or conveyance by reason of dissolution, shall be transferred or conveyed to one or more corporations, societies, or organizations, organized under the laws of any state, that are exempt under section 501 (c) (3) of the Internal Revenue Code of 1954, or under corresponding provisions of any subsequent Federal tax laws, or to the Federal government, or to the state or local government, for a public purpose, pursuant to a plan of distribution adopted as provided by law; and
- (4) Other assets if any, shall be transferred or conveyed to one or more corporations, societies, or organizations, organized under the laws of any State, that are exempt under section 501 (c) (3) of the Internal Revenue Code of 1954, or under corresponding provisions of any subsequent Federal tax laws, or to the Federal government, or to a State or local government, for a public purpose, pursuant to a plan of distribution adopted as provided by law.

COLD 8 PASE (050)

(5) Any assets not disposed of pursuant to the provisions of Article V set forth hereinabove shall be disposed of by a court of competent jurisdiction of the county in which the principal office of the Corporation is then located to such organizations, as the court shall determine, which are organized and operated exclusively for charitable purposes and are exempt under section 501 (c) (3) of the Internal Revenue Code of 1954 or under corresponding provisions of any subsequent Federal tax laws.

ARTICLE VI

Provisions for the regulation of the affairs of the Corporation shall be set forth in the By-Laws of the Corporation as duly adopted or from time to time altered, amended or repealed by the Board of Directors. The Board of Directors along shall have authority to manage and direct the corporation.

The address of the Corporation's registered office, and of its registered agent is

107 Rough River Run, Georgetown, Kentucky 40324 and the name of its initial

registered agent at such address is Beth K. Stewart.

ARTICLE VIII

The number of directors constituting the initial Board of Directors of the

Corporation is 3 and the names and addresses of the persons who are to serve as
the initial directors are:

- 1. Beth K. Stewart, 107 Rough River Run, Georgetown, KY 40324
- 2. Helen Powell, Ste 201 2230 Idle Hour Center, Lexington KY 40502
- 3. ED Puterbaugh, 3361 Carriage Lane, Lexington, KY 40517

ARTICLE IX

The name and address of the sole incorporator is: Beth K. Stewart, 107 Rough River Run, Georgetown, KY 40324

ARTICLE X

The private property of the incorporator and the directors shall not be subject to any of the Corporation's debts and liabilities.

ARTICLE XI

Indemnification of directors, officers, employees and agents of the Corporation may be as provided for the By-Laws; provided, however, such identification is not otherwise in conflict with the provisions of Article III of these Articles of Incorporation.

Signiture Page

Beth K. Stewart Georgetown, KY

Helen Powell

Lxington, KY

Lexington, KY

State of Regions Countral Som Sec.

I Derna D. Porry, Clark is early that the lines of a

trac three of the feed for section Wheneper the ame w. Even duly recorded in my order

Witness by boat this S

COMMONWEALTH OF KENTUCKY COUNTY OF SCOTT

This instrument was prepared by: Ken Cooke, Lexington, Ky.

Form W=9

(Rev. December 2011) Department of the Treasury Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

	Name (as shown on your income tax return)									
	Kentucky Waterways Alliance, Inc.					.,	va			
2	Business name/disregarded entity name, if different from above									
on page	Check appropriate box for federal tax classification:	Trust/esta				T				
r type actions	Individual/sole proprietor C Corporation S Corporation Partnership Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership		.e	gan also this tild till till till till	e wa ee we we de he		√ Exe	empt	oayee	
Print or type Specific Instructions	☐ Other (see instructions) ▶	Poguod	ter's nam	o and a	ddraes	· (option	ıal\			
pecif	Address (number, street, and apt. or suite no.) 120 Webster St. Suite 217	nequesi	ter 5 Halli	e and a	uui ess	(option	an			
	City, state, and ZIP code									
See	Louisville, KY 40206	06								
	List account number(s) here (optional)									
Pai	Taxpayer Identification Number (TIN)									
Enter to ave reside entitie	your TIN in the appropriate box. The TIN provided must match the name given on the "Name pid backup withholding. For individuals, this is your social security number (SSN). However, for the alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For others, it is your employer identification number (EIN). If you do not have a number, see <i>How to get</i> in page 3.	ora r	Socials	security	/ numb	oer	-			
	. If the account is in more than one name, see the chart on page 4 for guidelines on whose		Employ	er iden	tificat	ion nun	nber			
	per to enter.		6 1		1 2	3 9	7	6	6	
Pai	Certification									
A PARTICIPATION OF THE PARTICI	Water of a first lands									

Under penalties of perjury, I certify that:

- 1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- 2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- 3. Lam a U.S. citizen or other U.S. person (defined below).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.

Sign
Here

Signature of U.S. person ► - Peterse

Date ▶ \ 22 | 3

General Instructions

Section references are to the Internal Revenue Code unless otherwise

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- 1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
 - 2. Certify that you are not subject to backup withholding, or
- 3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

FINANCIAL STATEMENTS AND INDEPENDENT AUDITOR'S REPORT

KENTUCKY WATERWAYS ALLIANCE, INC.

DECEMBER 31, 2012 AND 2011

CONTENTS

	PAGE
INDEPENDENT AUDITOR'S REPORT	3
FINANCIAL STATEMENTS:	
STATEMENTS OF FINANCIAL POSITION	5
STATEMENTS OF ACTIVITIES	6
STATEMENTS OF FUNCTIONAL EXPENSES	7
STATEMENTS OF CASH FLOWS	8
NOTES TO FINANCIAL STATEMENTS	9



Foundars & Principals
John D. Winslow, CPA
Barbara A. Lasky, CPA
Margaret H. Anderson, CPA
Ellis Bryanc, CPA

943 South First Street Louisville, KY 40203-2242 Ches: 502.584.9796

Ches: 502.584.9796

Web: www.abbw-coas.com

E-mail: abbw@abbw-coas.com

Proxiding timely, accurate, useful information to decision makers

INDEPENDENT AUDITOR'S REPORT

The Board of Directors Kentucky Waterways Alliance, Inc.

We have audited the accompanying financial statements of the Kentucky Waterways Alliance, Inc., (a not-for-profit organization) which comprise the statements of financial position as of December 31, 2012 and 2011, and the related statements of activities, functional expenses and cash flows for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Kentucky Waterways Alliance, Inc. as of December 31, 2012 and 2011, and the changes in its net assets and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

Louisville, Kentucky

Andrew, Brynt, Harly + Winter, P.S.C.

February 8, 2013

STATEMENTS OF FINANCIAL POSITION KENTUCKY WATERWAYS ALLIANCE, INC. DECEMBER 31, 2012 AND 2011

	<u>2012</u>	<u>2011</u>
ASSETS Cash Cash - Watershed Watch Groups Accounts receivable Unconditional promises to give Restricted cash Equipment, net	\$ 82,913 16,707 51,376 3,250,047 2,210	\$ 86,002 27,193 66,416 94,000 2,750,579 2,031 \$ 3,026,221
Total assets LIABILITIES AND NET ASSETS LIABILITIES Accounts payable Accrued payroll and related taxes Fiscal sponsorship payable	\$ 12,103 17,389 3,250,047	\$ 11,450 16,787 2,750,579
Total liabilities NET ASSETS Unrestricted Temporarily restricted Total net assets	3,279,539 83,507 40,207 123,714	2,778,816 88,212 159,193 247,405
Total liabilities and net assets	\$ 3,403,253	\$ 3,026,221

The accompanying notes are an integral part of these financial statements.

STATEMENTS OF ACTIVITIES
KENTUCKY WATERWAYS ALLIANCE, INC.
FOR THE YEARS ENDED DECEMBER 31, 2012 AND 2011

		2012			2011	
	Unrestricted	Temporarily Restricted	Lotal	Unrestricted	Temporarily Restricted	Total
Revenue and support: Contributions and grants	\$ 329,260	\$ 29,516	\$ 358,776	\$ 265,846	\$ 149,800	\$ 415,646
RARF sponsorship revenue Other income	1,498,198	1 1 3	1.498.198	208 897,422 39,595	e 5 4	268 897,422 39,595
Total revenue and support	1,862,319	29,516	1,891,835	1,203,131	149,800	1,352,931
Net assets released from restrictions: Restrictions satisfied by payments	148,502	(148,502)	1	78.928	(78,928)	ST-Commission and control of the con
Total revenue, support and reclassifications	2,010,821	(118,986)	1,891,835	1,282,059	70,872	1,352,931
Expenses: Program services Management and general Fund raising	1,906,190 74,630 34,706	1 (b	1,906,190 74.630 34.706	1,188.375 79,819 38,453		1,188,375 79,819
Total expenses	2.015.526	(ACCOUNTAGE OF STREET	2,015,526	1,306,647	T State of the sta	1,306,647
Increase (decrease) in net assets Net assets at beginning of year	(4.705)	(118,986)	(123,691)	(24,588)	70,872	46,284
Net assets at end of year	\$ 83,507	\$ 40,207	\$ 123,714	\$ 88,212	\$ 159,193	\$ 247,405

The accompanying notes are an integral part of these financial statements.

STATEMENTS OF FUNCTIONAL EXPENSES KENTUCKY WATERWAYS ALLIANCE, INC. FOR THE YEARS ENDED DECEMBER 31, 2012 AND 2011

		Fund	Raising	26,241	2,215	378	ı	1	1,076	1.070	1.559	2,155	999	ı	1.443	371	394	ı	•	450	ī	47	07	\$ 38,453	2.98%
	Management	and	General	51.321 \$	4,332	738	1	i	2,045	50	771	6,235	4.682	ι	2,957	968	3,698	1,338	375	171	74	ı	136	79,819	6.1%
2011	Mg	Program	Services	143,953 \$	12,152	2,071	80.794	897,422	7,231	453	1,216	4,020	2,663	•	10,216	18,668	1.420	2,153	950	205	2,108	i	383	\$ 1,188.375 \$	91.0%
	i konstruktura kantala		Total	221.515 \$	18,699	3,187	80,794	897,422	10,352	1.573	3.546	12,410	7,905	ì	14.616	19,935	5.50 5.00 5.00 5.00 5.00 5.00 5.00 5.00	3,491	1,325		2,182	471	589	\$ 1,306,647	100.0%
	A STATE OF THE STA	Fund	Raising	24.161	2 096	373	, ŧ	í	653	379	271	1,749	903	49	1.099	182	1,496	180	16	738		280	7.0	\$ 34,706	
	Management	and	च	48 794 \$		754		ı	1.320	237	1.116	6.324	1,778	512	4.935	510	2.041	689	277	322	G	627	***	74.630	. 01
2012	Mai	Program		\$ 154 423 \$	13 304	7.386	199 744	1 498 198	4.799	516	13C	3 593	1.357	315	7 672	10.760	866	2,358	740	2,474	240	8 5	448	\$ 061.906.1.8	. 01
	and the state of t		Total	9 875 7CC 9	36 700	17.122	190 744	1.408.108	CLL9(+;)	133	1,132	999 11	4.038	928	13.706	11.452	* C V V	Cock	1033	3.534	272	1.475	659	30115536	100.0%
	j				Salaries and wages	Payroll taxes	Employee benefits	Program expenses	KAKF sponsorsnip expenses	Professional fees	Marketing and advertising	Office expenses	Printing Doctors and chimning	rostage and simpling	Information technology	Occupancy	Iravei	Conferences and meedings	Insurance Company of the Company of	Dues and subscriptions	Kepairs and maintenance	Lanning	Miscellancous	Deptectation	l otal expenses

The accompanying notes are an integral part of these financial statements.

STATEMENTS OF CASH FLOWS KENTUCKY WATERWAYS ALLIANCE, INC. FOR THE YEARS ENDED DECEMBER 31, 2012 AND 2011

	<u>2012</u>	<u> 2011</u>
CASH FLOWS FROM OPERATING ACTIVITIES:		
Change in net assets	\$ (123,691)	\$ 46,284
Adjustments to reconcile change in net assets to net cash provided by operating activities:		
Depreciation	659	589
(Increase) decrease in operating assets:		
Accounts receivable	15,040	(20,484)
Unconditional promises to give	94,000	(94,000)
Increase (decrease) in operating liabilities:		
Accounts payable	653	6,474
Accrued payroll and related taxes	602	(3,288)
Net cash provided (used) by operating activities	(12,737)	(64,425)
CASH FLOWS FROM INVESTING ACTIVITIES:		
Purchases of equipment	(838)	tion by it, i for information resources and approximate the control of the left of the le
Net increase (decrease) in cash	(13,575)	(64,425)
Cash at beginning of year	113,195	177,620
Cash at end of year	<u>\$ 99,620</u>	\$ 113,195

The accompanying notes are an integral part of these financial statements.

NOTES TO FINANCIAL STATEMENTS KENTUCKY WATERWAYS ALLIANCE, INC. DECEMBER 31, 2012 AND 2011

NOTE 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The Kentucky Waterways Alliance, Inc. (KWA) is a statewide not-for-profit organization whose mission is to work with partners to secure funds for the protection and long-term stewardship of the state's water resources, and to serve as a resource and partner to other conservation organizations. KWA focuses on establishing protected watersheds including areas containing large concentrations of rare species, including the federally listed Endangered and Threatened aquatic species that occur in Kentucky. KWA's funding comes largely through donations from private charitable foundations and individuals, as well as grants from government sources for specific projects.

Basis of Accounting

The financial statements of the organization have been prepared on the accrual basis of accounting and accordingly reflect all significant receivables, payables and other liabilities.

Basis of Presentation

Financial statement presentation follows the recommendations of the Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) with regards to financial statements of Not-for-Profit Organizations. Under this guidance, KWA is required to report information regarding its financial position and activities according to three classes of net assets: unrestricted net assets, temporarily restricted net assets, and permanently restricted net assets. A description of the three net assets categories follows:

<u>Unrestricted Net Assets</u>: include the portion of expendable funds that are not subject to donor-imposed stipulations.

<u>Temporarily Restricted Net Assets</u>: include gifts for which donorimposed restrictions have not been met.

<u>Permanently Restricted Net Assets</u>: include amounts where the donor has stipulated the corpus be invested in perpetuity and only the income is made available for program operations in accordance with donor restrictions.

NOTES TO FINANCIAL STATEMENTS - CONTINUED

Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

Cash and Cash Equivalents

For purposes of the statement of cash flows, cash includes cash held in checking accounts and any highly liquid investment with a maturity of three months or less when purchased to be cash equivalents.

Accounts Receivable

Accounts receivable consists primarily of receivables for program fees earned by the organization. An allowance for uncollectibles has not been recorded because management believes all receivables are fully collectible.

Unconditional Promises to Give

Unconditional promises to give are recognized when the donor makes a promise to give to KWA that is, in substance, unconditional. Unconditional promises to give becoming due in the next year are recorded at net realizable value. Unconditional promises to give due in subsequent years are reported at the present value of their net realizable value, using risk free interest rates applicable to the years in which the promises are received.

Restricted Cash/Fiscal Sponsorship Payable

KWA and the U.S. Fish and Wildlife Service have entered into a memorandum of agreement to establish and operate the Kentucky Aquatic Resources Fund (KARF). KARF is intended to (a) serve as a mechanism for private individuals, companies and other organizations to minimize the effects of authorized, project-related impacts and incidental take of aquatic species in Kentucky and (b) provide aquatic species conservation and recovery benefits through the implementation of specific projects funded through the KARF.

NOTES TO FINANCIAL STATEMENTS - CONTINUED

The U.S. Fish and Wildlife Service receives settlements, funding for implementation of regulatory requirements, grants, donations, and discretionary federal and non-federal funding to conduct a variety of aquatic resources-related activities including, but not limited to, research, status surveys, land and watershed protection, installation of best management practices, stream enhancement and restoration, and mitigation of impacts to aquatic species in Kentucky.

KWA accepts cash as a fiscal sponsor for the Kentucky Aquatic Resource Fund and agrees to disburse those assets to designated beneficiaries. Assets held by KWA for these donors are classified as restricted cash and fiscal sponsorship payable on the statements of financial position. Restricted cash for this purpose as of December 31, 2012 and 2011 was \$3,250,047 and \$2,750,579, respectively.

Management changed its policy of recording the revenue and expenses on the statements of activities and has included these amounts for the years ended December 31, 2012 and 2011. There was no effect on the change in net assets.

Equipment

KWA capitalizes all expenditures for property and equipment in excess of \$500. Purchased property and equipment are carried at cost. Donated property and equipment are recorded as support at their estimated fair value. Such donations are reported as unrestricted support unless the donor has restricted the donated asset to a specific purpose. Equipment is depreciated using the straight-line method over the estimated useful lives of the related assets.

Contributions

Contributions received are recorded as unrestricted, temporarily restricted, or permanently restricted support, depending on the existence and/or nature of any donor restrictions.

Donor-restricted support is reported as an increase in temporarily or permanently restricted net assets, depending on the nature of the restriction. When a restriction expires (that is, when a stipulated time restriction ends or purpose restriction is accomplished), temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions.

NOTES TO FINANCIAL STATEMENTS – CONTINUED

Donated Services

No amounts have been reflected in the financial statements for donated services. KWA pays for most services requiring specific expertise. However, many individuals volunteer their time and perform a variety of tasks that assist KWA.

Expense Allocation

Expenses are charged to programs and supporting services directly, or on the basis of periodic time and expense studies. Management and general expenses include those expenses that are not directly identifiable with any other specific function but provide for the overall support and direction of KWA.

Income Tax Status

KWA is exempt from federal income tax under Section 501(c)(3) of the Internal Revenue Code. In addition, KWA qualifies for the charitable contribution deduction under Section 170(b)(1)(A) and has been classified as an organization other than a private foundation under Section 509(a)(2).

Management has concluded that any tax positions that would not meet the more-likely-than-not criterion of FASB ASC 740-10 would be immaterial to the financial statements taken as a whole. Accordingly, the accompanying financial statements do not include any provision for uncertain tax positions, and no related interest or penalties have been recorded in the statement of activities or accrued in the statement of financial position. Federal and state tax returns of the entity are generally open to examination by the relevant taxing authorities for a period of three years from the date the returns are filed.

Reclassifications

Certain accounts in the prior-year financial statements have been reclassified for comparative purposes to conform to the presentation in current year financial statements.

Subsequent Events

Management has evaluated subsequent events through February 8, 2013, the date the financial statements were available to be issued.

NOTES TO FINANCIAL STATEMENTS - CONTINUED

NOTE 2. CONCENTRATIONS OF CREDIT RISK

KWA periodically has cash balances in several financial institutions in Kentucky. The cash balances are insured by the Federal Deposit Insurance Corporation. At various times during the year, the cash balances exceed amounts federally insured. The risk is managed by maintaining all deposits in high quality financial institutions.

NOTE 3. PROMISES TO GIVE

Unconditional promises as stated are receivable within one year, and therefore no discount has been applied. Management believes that all amounts are collectible, therefore no allowance has been provided.

NOTE 4. RESTRICTED CASH/FISCAL SPONSORSHIP PAYABLE

The fiscal sponsorship funds are reported by KWA as restricted eash on its statements of financial position offset by a corresponding liability, fiscal sponsorship payable. Revenue and expense is recognized by KWA in the period that the funds are expended on the statements of activities. KWA charges a fee for administering the funds that is included in other income. The fiscal sponsorship activity is as follows:

	<u>2012</u>	<u>2011</u>
Balance - beginning of year	\$ 2,750,579	\$ 2,356,762
Revenue Administration fee Expenses	1,997,666 (47,163) (1,451,035)	1,291,239 - (897,422)
Balance - end of year	\$ 3,250,047	\$ 2,750,579

NOTES TO FINANCIAL STATEMENTS – CONTINUED

NOTE 5. EQUIPMENT

Depreciation is provided in amounts sufficient to relate the cost of depreciable assets to operations over the estimated service lives on a straight-line basis. At December 31, 2012 and 2011, the cost and accumulated depreciation of such assets were as follows:

	<u>2012</u>	<u>2011</u>
Computer equipment and software Less accumulated depreciation	\$ 3,579 (1,369)	\$ 2,741 (710)
	\$ 2,210	\$ 2,031
Depreciation expense	\$ 659	\$ 589

NOTE 6. RESTRICTIONS ON ASSETS

Temporarily restricted net assets are available for the following purposes:

		<u>2012</u>	<u>2011</u>
Subsequent year's activities Watershed Watch Groups	\$	23,500 16,707	\$ 132,000 27,193
	<u>s</u>	40,207	\$ 159,193

NOTE 7. LEASE

KWA leases office space under a month to month operating lease, presently for \$765 per month. Rental expense for the years ended December 31, 2012 and 2011 was \$9,180 and \$9,076, respectively.

NOTE 8. RETIREMENT PLAN

KWA sponsors a SIMPLE IRA pension plan covering all employees who are eligible. Under the plan, KWA contributes a 100% match of up to 3% of each eligible employee's salary. Employer contributions for the years ended December 31, 2012 and 2011 were \$3,513 and \$3,187, respectively.

NOTES TO FINANCIAL STATEMENTS - CONTINUED

NOTE 9. WATERSHED WATCH GROUPS

The Watershed Watch Groups are volunteers in the state who are giving their time in an effort to improve Kentucky waterways through a coordinated campaign of water quality monitoring, skills development, and advocacy. Because these programs have not been incorporated separately, they use KWA's non-profit status in order to obtain grants and contributions and to carry out their program objectives. For these reasons, the assets and operations of the Watershed Watch Groups for the Salt River and Upper Green River are included in these financial statements.

QAABLW Clients:Ky Waterways Affiance(2012/Reports & Letters/2012/1231 KWA Financial Stmt 02875 doe