

RESOLUTION NO. 056, SERIES 2017

A RESOLUTION REQUESTING THE OFFICE OF INTERNAL AUDIT OF THE LOUISVILLE/JEFFERSON COUNTY METRO GOVERNMENT TO CONDUCT AN AUDIT OF CORRECTIONS CONCERNING THE RELEASE AND IN-CUSTODY CLASSIFICATION MOVEMENT OF INMATES.

SPONSORED BY: COUNCIL MEMBER DAVID JAMES

WHEREAS, KRS § 67C.103 grants Louisville/Jefferson County Metro Council (“Metro Council”) with the power to request the Internal Auditor perform an audit concerning the affairs of Louisville/Jefferson County Metro Government (“Louisville Metro Government”);

WHEREAS, Metro Council would like to formally request an audit of Louisville Metro Department of Corrections concerning the release and the in-custody classification movement of inmates, so that Metro Council can define the scope and objective of the audit;

WHEREAS, the Internal Auditor’s Policies and Procedures and IIA Standards require a formal request when Metro Council desires to define the scope and objective of an audit;

WHEREAS, Metro Council, pursuant to KRS § 67C.103, formally requests the Internal Auditor to perform an audit of Louisville Metro Department of Corrections; and

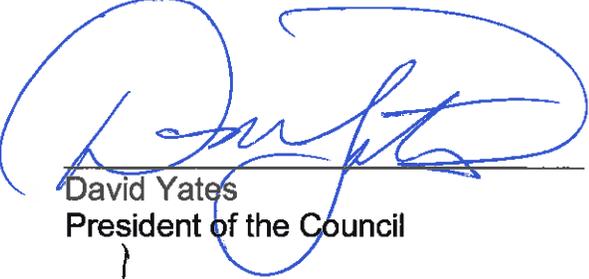
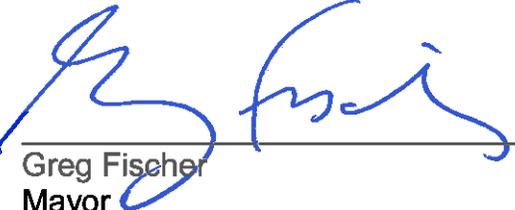
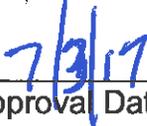
WHEREAS, Exhibit A outlines the recommended objective and scope that Metro Council requests the Internal Auditor to use.

BE IT RESOLVED BY THE LEGISLATIVE COUNCIL OF THE LOUISVILLE/JEFFERSON COUNTY METRO GOVERNMENT (METRO COUNCIL) AS FOLLOWS:

SECTION I: Metro Council formally requests the Internal Auditor to conduct an audit of Louisville Metro Department of Corrections regarding the release and the in-custody classification movement of inmates as more particularly defined in Exhibit A.

SECTION II: The preliminary report date for this audit is June 19, 2017 with a completion date of August 30, 2017.

SECTION III: This Resolution shall take effect upon its passage and approval.

 _____ H. Stephen Ott Metro Council Clerk	 _____ David Yates President of the Council
 _____ Greg Fischer Mayor	 _____ Approval Date

APPROVED AS TO FORM AND LEGALITY:

Michael J. O'Connell
Jefferson County Attorney

BY: 

**LOUISVILLE METRO COUNCIL
ADOPTED**
June 22, 2017

Exhibit A

Objective

The primary focus will be an assessment of the effectiveness and efficiency of the inmate release and in-custody classification movement process including the related internal controls. The objectives of the review will include the following:

- Identification of opportunities to strengthen the current process for releasing inmates.
- Identification of activity that is not in accordance with policies and procedures or the associated court-order.
- Identification of controls to prevent and/or detect activity that is not in accordance with policies and procedures or the associated court-order.

Scope

The scope of the review includes all court ordered inmate release and in-custody classification movement activity processed by the Department of Corrections during July 1, 2016 through February 1, 2017. Documentation and records related to the activity will be reviewed in order to verify that the activity was processed in accordance with applicable policies, procedures, and court orders. Court ordered release activity includes any court order directing the release of a person from confinement. Court ordered in-custody classification movement activity includes any court order directing an in-custody classification move of an inmate while committed to custody of Corrections (i.e. HIP with or without release; or transfer to Community Corrections Center with releases; or assignment to Day Reporting).

Methodology

To be determined by the auditor.