

**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

Applicant/Program: Kentuckiana Blues Society/Germantown Schnitzelburg Blues Festival
Applicant Requested Amount: \$1,300
Appropriation Request Amount: \$1,300

Executive Summary of Request

These funds will be used to help pay for the bands at the 8th Annual Germantown/Schnitzelburg Blues Festival sponsored by the Kentuckiana Blues Society. KBS sets up a booth at this festival and seeks to educate the community of the history of blues music which is deeply rooted in the kentuckiana area. This event is free and open to the public.

Is this program/project a fundraiser? Yes No
Is this applicant a faith based organization? Yes No
Does this application include funding for sub-grantee(s)? Yes No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

10 District # Ermon P. Mitchell Primary Sponsor Signature \$1,300 Amount 05/18/2017 Date

Primary Sponsor Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

Approved by:

Appropriations Committee Chairman Date
Final Appropriations Amount: _____

**LOUISVILLE METRO COUNCIL
NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

Legal Name of Applicant Organization	
Program Name and Request Amount	
	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	<input type="button" value="Yes"/>
Is the funding proposed by Council Member(s) less than or equal to the request amount?	<input type="button" value="Yes"/>
Is the proposed public purpose of the program viable and well-documented?	<input type="button" value="Yes"/>
Will all of the funding go to programs specific to Louisville/Jefferson County?	<input type="button" value="Yes"/>
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	<input type="button" value="Yes"/>
Has prior Metro Funds committed/granted been disclosed?	<input type="button" value="Yes"/>
Is the application properly signed and dated by authorized signatory?	<input type="button" value="Yes"/>
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	<input type="button" value="Yes"/>
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	<input type="button" value="No"/>
Is the entity in good standing with: <ul style="list-style-type: none"> ▶ Kentucky Secretary of State? ▶ Louisville Metro Revenue Commission? ▶ Louisville Metro Government? ▶ Internal Revenue Service? ▶ Louisville Metro Human Relations Commission? 	<input type="button" value="Yes"/>
Is the current Fiscal Year Budget included?	<input type="button" value="Yes"/>
Is the entity's board member list (with term length/term limits) included?	<input type="button" value="Yes"/>
Is recommended funding less than 33% of total agency operating budget?	<input type="button" value="Yes"/>
Does the application budget reflect only the revenue and expenses of the project/program?	<input type="button" value="Yes"/>
Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?	<input type="button" value="N/A"/>
Is the most recent annual audit (if required by organization) included?	<input type="button" value="N/A"/>
Is a copy of Signed Lease (if rent costs are requested) included?	<input type="button" value="N/A"/>
Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?	<input type="button" value="N/A"/>
Are the Articles of Incorporation of the Agency included?	<input type="button" value="Yes"/>
Is the IRS Form W-9 included?	<input type="button" value="Yes"/>
Is the IRS Form 990 included?	<input type="button" value="Yes"/>
Are the evaluation forms (if program participants are given evaluation forms) included?	<input type="button" value="N/A"/>
Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?	<input type="button" value="N/A"/>
Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?	<input type="button" value="No"/>
Prepared by: Geoff Wohkl D10 LA Date: May 18, 2017	

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
Legal Name of Applicant Organization: Kentuckiana Blues Society <i>(as listed on: http://www.sos.ky.gov/business/records)</i>			
Main Office Street & Mailing Address: PO Box 755, Louisville, KY 40201-0755			
Website: kbsblues.org			
Applicant Contact:	Gary Sampson	Title:	Board Member
Phone:	502/724-9971	Email:	gary@kbsblues.org
Financial Contact:	Chris Grube	Title:	Treasurer
Phone:	812/944-2468	Email:	cgrube@aye.net
Organization’s Representative who attended NDF Training: Gary Sampson			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s):	Schnitzelburg		
Council District(s):	District 10	Zip Code(s):	40217
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: Germantown Schnitzelburg Blues Festival			
Total Request: (\$)	1,300	Total Metro Award (this program) in previous year: (\$)	745
Purpose of Request (check all that apply):			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency’s total operating budget) <input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
The Following are Required Attachments:			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current year projected budget <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation (current & signed) Cost estimates from proposed vendor if request is for capital expense		Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 Evaluation forms if used in the proposed program Annual audit (if required by organization) Faith Based Organization Certification Form, if applicable	
For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
Source:		Amount: (\$)	
Source:		Amount: (\$)	
Source:		Amount: (\$)	
Has the applicant contacted the BBB Charity Review for participation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Has the applicant met the BBB Charity Review Standards? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

The Kentuckiana Blues Society, founded in 1988, is dedicated to the preservation, promotion and perpetuation of the Blues Tradition in all of its forms

Preservation: Through extensive research and documentation, the KBS has made major inroads into the documentation of the blues tradition in Louisville, KY. This research has led to the discovery of many rare photos, interviews and the location of many early blues related sites. An archive has been established by the KBS to centrally locate all of these materials and make them available to members at the University of Louisville Archives & Special Collections. We have also located the grave sites of blues greats Sylvester Weaver, Sara Martin and Bill Gaither and arranged for the placement of headstones to preserve their memory.

Promotion: From its' inception, the KBS has been actively involved with the local blues scene. Many local and regional talents have been showcased in local venues under either direct sponsorship or contributed support of the KBS. Such artists as Albert Collins, Jimmy Hall, Pinetop Perkins and Henry Townsend were all able to appear in Louisville under the auspices of KBS.

Since 1988, the KBS has been involved with and supportive of local blues festivals including the Garvin Gate Blues Festival, held the second weekend of October. The Germantown-Schnitzelburg Blues Festival began in 2010 and is held the first weekend of June at the intersection of Hickory & Burnett Streets. The KBS is also a sponsor of the Louisville Blues-n-Barbecue Festival held at the Water Tower.

The KBS Blues Challenge garners recognition for local/regional blues acts, and, due to our affiliation with The Blues Foundation, the winners are eligible to compete in the finals of the International Blues Talent Competition in Memphis, TN. Categories include Band Winner, Solo/Duo Winner, Best Self-Produced CD and Youth Representative.

The KBS produces a monthly printed newsletter for members that includes a calendar, show and CD reviews, local event photos and more. In addition, KBS members receive discounts to local venues, shows and festivals.

Perpetuation: Probably the strongest commitment that KBS has is its efforts to perpetuate the Blues Tradition so that generations to come may be able to come to a knowledge and appreciation of the Blues. In a way this perpetuation encompasses the other two "p"s of our mission: it is through the preservation and presentation of the blues that we can begin to perpetuate it.

One of the ways in which KBS perpetuates the blues is through the annual presentation of the Sylvester Weaver Award to an individual in recognition of their efforts in representing the mission of the KBS. Sylvester Weaver, an early blues pioneer, is a native of Louisville.

Additionally, we seek to perpetuate the blues with formal and informal presentations about the blues to various groups, and through our own presence at festivals and concerts.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 - BOARD OF DIRECTORS AND PAID STAFF

Board Member	Term End Date
Mark Sneed (president)	Dec 31, 2017
Debbie Wilson (vice-president)	Dec 31, 2017
Chris Grube (treasurer)	Dec 31, 2017
Matt Floyd (secretary)	Dec 31, 2017
Bob Brown	Dec 31, 2017
Natalie Carter	Dec 31, 2017
Keith Clements	Dec 31, 2017
Joe DeBow	Dec 31, 2017
Nelson Grube	Dec 31, 2017
Danny Henderson	Dec 31, 2017
Elaine Hertweck	Dec 31, 2017
Marjorie Marshall	Dec 31, 2017
Susan O'Neil	Dec 31, 2017
Les Reynolds	Dec 31, 2017
Gary Sampson	Dec 31, 2017
Steve Walls	Dec 31, 2017

Describe the Board term limit policy:

Kentuckiana Blues Society board members are elected every November and serve one calendar year after the election. There is no limit on the number of years a board member can serve. All officers and board members of the KBS are volunteers. No one gets paid a salary or other compensation to be on the board

Three Highest Paid Staff Names	Annual Salary
N/A	
N/A	
N/A	

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

The annual Germantown Schnitzelburg Blues Festival takes place the first Friday and Saturday of June. This year's festival is June 2 and 3. The festival is free and open to the public. It is an outdoor festival and takes place at the intersection of Burnett Ave and Hickory St. 2017 is the eighth consecutive year for the festival.

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):

Funds will be used for paying bands at the festival.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

None of the proceeds will go to the Kentuckiana Blues Society. Each year, a portion of the proceeds from the festival go to benefit a local, non-profit organization. The organization benefited by the festival changes from year to year and this year's recipient is the Home of the Innocents.

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

Reimbursements should not be made before application date unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

Similar to other outdoor festivals held in Louisville during the course of the year, the Germantown Schnitzelburg Blues Festival seeks to provide the residents of the city with a native form of American music that has deep roots in the Kentuckiana area. The Kentuckiana Blues Society sets up a booth at the festival and works to educate members of the community on the importance and history of blues music. Since the festival is free and open to the public, members of our community who may not otherwise be able to attend a blues show, can come and enjoy the music at no cost.

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

The Kentuckiana Blues Society works with several other organizations and businesses, both non-profit and for-profit, in the Kentuckiana area. Those organizations and events include:

- The Bisig Impact Group and the Louisville Blues-n-Barbecue Festival
- The Olmsted Parks Conservancy and the Big Rock Jazz and Blues Festival
- The Garvin Gate Neighborhood Association and the Garvin Gate Blues Festival

In addition, the KBS supports, and receives support, from other community non-profit organizations including the Musicians Emergency Resource Foundation (MERF) and Crescent Hill Radio (WCHQ). MERF is a 501(c)3 organization that supports musicians in times of need such as an accident or illness. WCHQ is a 501(c)3 organization that provides community programming including events, music from local/regional artists and support of other non-profit organizations at no cost.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non- Metro Funds	Total Funds
A: Personnel Costs Including Benefits			
B: Rent/Utilities			
C: Office Supplies			
D: Telephone			
E: In-town Travel			
F: Client Assistance (See Detailed List on Page 8)			
G: Professional Service Contracts			
H: Program Materials			
I: Community Events & Festivals (See Detailed List on Page 8)	1,300	9,096.66	10,396.66
J: Machinery & Equipment			
K: Capital Project			
L: Other Expenses (See Detailed List on Page 8)			
*TOTAL PROGRAM/PROJECT FUNDS			
% of Program Budget	12.5 %	87.5 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	9,096.66
Fees Collected from Program Participants	
Other (please specify)	
Total Revenue for Columns 2 Expenses **	

*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

**Must equal or exceed total in column 2.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
<p align="center"><i>Total Value of In-Kind</i> (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)</p>		

*** DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK**

Agency Fiscal Year Start Date: July 1

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 7 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked and the funds will not be disbursed to our organization.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

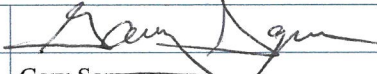
Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

SECTION 8 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:		Date:	5/17/17
Legal Signatory: (please print):	Gary Sampson	Title:	KBS Board Member
Phone:	502/724-9971	Extension:	
Email:	gary@kbsblues.org		

KENTUCKIANA BLUES SOCIETY, INC.**General Information**

Organization Number	0259451
Name	KENTUCKIANA BLUES SOCIETY, INC.
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
File Date	6/7/1989
Organization Date	6/7/1989
Last Annual Report	2/18/2016
Principal Office	P.O. BOX 755 LOUISVILLE, KY 402010755
Registered Agent	KEITH S. CLEMENTS 835 S. 7TH. ST. P. O. BOX 755 LOUISVILLE, KY 402010755

Current Officers

President	Mark Sneed
Vice President	Debbie Wilson
Secretary	Elaine Hertweck
Treasurer	Chris Grube
Director	Natalie Carter
Director	Bob Brown
Director	Nelson Grube
Director	Danny Henderson
Director	Joe DeBow
Director	Keith Clements
Director	Rocky Adcock
Director	Matt Floyd
Director	Roger Wolford
Director	David Scroggin
Director	Steve Walls
Director	Les Reynolds
Director	Gary Sampson

Individuals / Entities listed at time of formation

Director	SUE O'NEIL
Director	DALLAS EMBRY
Director	PERRY ABERLI

Director [BARRY HARRIS](#)
Director [SCOTT MULLINS](#)
Incorporator [R ALAN ADCOCK](#)
Incorporator [A/K/A ROCKY AMARETTO](#)

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

Annual Report	2/18/2016	1 page	PDF	
Amendment	10/27/2015	2 pages	tiff	PDF
Annual Report	4/1/2015	1 page	PDF	
Annual Report	6/6/2014	1 page	PDF	
Annual Report	7/9/2013	1 page	PDF	
Annual Report	5/1/2012	1 page	PDF	
Annual Report Amendment	5/1/2012	1 page	PDF	
Annual Report	5/9/2011	1 page	PDF	
Annual Report	5/3/2010	1 page	PDF	
Annual Report	5/26/2009	1 page	PDF	
Annual Report	3/6/2008	1 page	tiff	PDF
Annual Report	4/3/2007	1 page	tiff	PDF
Annual Report	4/27/2006	1 page	tiff	PDF
Annual Report	4/21/2005	1 page	tiff	PDF
Annual Report	7/17/2003	1 page	tiff	PDF
Annual Report	3/28/2002	1 page	tiff	PDF
Annual Report	5/16/2001	3 pages	tiff	PDF
Annual Report	5/1/2000	1 page	tiff	PDF
Annual Report	7/7/1999	1 page	tiff	PDF
Annual Report	4/22/1998	3 pages	tiff	PDF
Annual Report	7/1/1997	3 pages	tiff	PDF
Annual Report	7/1/1996	3 pages	tiff	PDF
Annual Report	7/1/1995	3 pages	tiff	PDF
Annual Report	7/1/1994	3 pages	tiff	PDF
Annual Report	7/1/1993	3 pages	tiff	PDF
Annual Report	7/1/1992	3 pages	tiff	PDF
Statement of Change	6/18/1992	1 page	tiff	PDF
Annual Report	7/1/1991	3 pages	tiff	PDF
Articles of Incorporation	6/7/1989	7 pages	tiff	PDF

Assumed Names

Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	2/18/2016 1:58:28 PM	2/18/2016 1:58:28 PM	
Amendment - Change name	10/27/2015 1:26:43 PM	10/27/2015	THE KYANA BLUES SOCIETY, INC.

Microfilmed Images

INTERNAL REVENUE SERVICE
DISTRICT DIRECTOR
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: MAY 18 1994

THE KYANA BLUES SOCIETY INC
C/O R. ALAN ADCOCK
835 SOUTH 7TH ST.
LOUISVILLE, KY 40201-0755

Employer Identification Number:

Case Number:

314096103

Contact Person:

DONNA ABNER

Contact Telephone Number:

(513) 684-3578

Our Letter Dated:

August 15, 1990

Addendum Applies:

No

Dear Applicant:

This modifies our letter of the above date in which we stated that you would be treated as an organization that is not a private foundation until the expiration of your advance ruling period.

Your exempt status under section 501(a) of the Internal Revenue Code as an organization described in section 501(c)(3) is still in effect. Based on the information you submitted, we have determined that you are not a private foundation within the meaning of section 509(a) of the Code because you are an organization of the type described in section 509(a)(2).

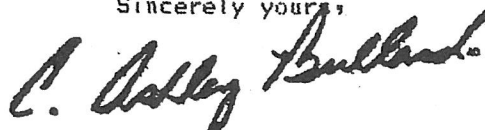
Grantors and contributors may rely on this determination unless the Internal Revenue Service publishes notice to the contrary. However, if you lose your section 509(a)(2) status, a grantor or contributor may not rely on this determination if he or she was in part responsible for, or was aware of, the act or failure to act, or the substantial or material change on the part of the organization that resulted in your loss of such status, or if he or she acquired knowledge that the Internal Revenue Service had given notice that you would no longer be classified as a section 509(a)(2) organization.

If we have indicated in the heading of this letter that an addendum applies, the addendum enclosed is an integral part of this letter.

Because this letter could help resolve any questions about your private foundation status, please keep it in your permanent records.

If you have any questions, please contact the person whose name and telephone number are shown above.

Sincerely yours,



C. Ashley Bullard
District Director



The Kentuckiana Blues Society
PO Box 755
Louisville, KY 40201-0755
<http://kbsblues.org>

KBS Tax and ID Numbers

Commonwealth of Kentucky
Revenue Cabinet
Frankfort KY 40620

Date of Incorporation or Date Authorized to Transact Business: June 07, 1989
Articles of Incorporation Date: June 07, 1989

Annual Report Organization ID Number: [REDACTED]

Federal Employer ID Number (FEIN): [REDACTED]

Purchase Tax Exemption Number: [REDACTED]

Sales & Use Tax Number: [REDACTED]

"... to preserve, promote and perpetuate the blues tradition..."

An Affiliate Member of The Blues Foundation

Organization: KYANA BLUES SOCIETY INC

EIN [REDACTED]

Submission Type: Form 990-N

Year: 2014

Submission ID: 7800582015166de39702

e-File Postmark: 6/15/2015 4:12:10 PM

Accepted Date: 6/15/2015

The IRS has accepted the e-Postcard described above. Please save this receipt for your records.

Thank you for filing.

e-Postcard technical support

Phone: 866-255-0654 (toll free)

email: ePostcard@urban.org

KYANA BLUES SOCIETY INC

PO Box 755

Louisville, KY 40201

[Skip Navigation](#)



Confirmation

- [Home](#)
- [Security Profile](#)
- [Logout](#)

e-Postcard Profile

Select EIN

Organization Details

Contact Information

Confirmation

Your Form 990-N(e-Postcard) has been submitted to the IRS

- **Organization Name:** KYANA BLUES SOCIETY INC
- **EIN:** [REDACTED]
- **Tax Year:** 2015
- **Tax Year Start Date:** 01-01-2015
- **Tax Year End Date:** 12-31-2015
- **Submission ID:** 10065520161930382439
- **Filing Status Date:** 07-11-2016
- **Filing Status:** Pending

Note: [Print](#) a copy of this filing for your records. Once you leave this page, you will not be able to do so.

MANAGE FORM 990-N SUBMISSIONS

- [Support](#)
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- [Requirements and Tips](#)
- [FAQ](#)

Request for Taxpayer Identification Number and Certification

**Give Form to the
requester. Do not
send to the IRS.**

Print or type See Specific Instructions on page 2.	Name (as shown on your income tax return) Kentuckiana Blues Society, Inc.	
	Business name/disregarded entity name, if different from above FKA Kyana Blues Society, Inc.	
	Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input checked="" type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ <input type="checkbox"/> Other (see instructions) ▶ _____	Exemptions (see instructions): Exempt payee code (if any) D17040 Exemption from FATCA reporting code (if any) _____
	Address (number, street, and apt. or suite no.) Post Office Box 755 City, state, and ZIP code Louisville, KY 40201-0755	Requester's name and address (optional)
List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)																																																			
Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see <i>How to get a TIN</i> on page 3.																																																			
<p>Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.</p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="10" style="text-align: center;">Social security number</td> </tr> <tr> <td style="width: 30px;"> </td><td style="width: 30px;"> </td><td style="width: 30px;"> </td><td style="width: 30px;"> </td><td style="width: 30px;"> </td><td style="width: 30px;"> </td><td style="width: 30px;"> </td><td style="width: 30px;"> </td><td style="width: 30px;"> </td><td style="width: 30px;"> </td> </tr> <tr> <td colspan="4" style="text-align: center;">-</td><td colspan="2" style="text-align: center;">-</td><td colspan="4"></td> </tr> </table> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="10" style="text-align: center;">Employer identification number</td> </tr> <tr> <td colspan="10" style="background-color: black; height: 30px;"></td> </tr> </table>	Social security number																				-				-						Employer identification number																			
Social security number																																																			
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Employer identification number																																																			

Part II Certification	
Under penalties of perjury, I certify that:	
<ol style="list-style-type: none"> 1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and 2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and 3. I am a U.S. citizen or other U.S. person (defined below), and 4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct. 	
<p>Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.</p>	

Sign Here	Signature of U.S. person ▶ _____	Date ▶ _____
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. The IRS has created a page on IRS.gov for information about Form W-9, at www.irs.gov/w9. Information about any future developments affecting Form W-9 (such as legislation enacted after we release it) will be posted on that page.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, payments made to you in settlement of payment card and third party network transactions, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and

4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct.

Note. If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

In the cases below, the following person must give Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States:

- In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the entity,
- In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor or other U.S. owner of the grantor trust and not the trust, and
- In the case of a U.S. trust (other than a grantor trust), the U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

Foreign person. If you are a foreign person or the U.S. branch of a foreign bank that has elected to be treated as a U.S. person, do not use Form W-9. Instead, use the appropriate Form W-8 or Form 8233 (see Publication 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items:

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS a percentage of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, payments made in settlement of payment card and third party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester,
2. You do not certify your TIN when required (see the Part II instructions on page 3 for details),
3. The IRS tells the requester that you furnished an incorrect TIN,
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See *Exempt payee code* on page 3 and the separate Instructions for the Requester of Form W-9 for more information.

Also see *Special rules for partnerships* on page 1.

What is FATCA reporting? The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all United States account holders that are specified United States persons. Certain payees are exempt from FATCA reporting. See *Exemption from FATCA reporting code* on page 3 and the Instructions for the Requester of Form W-9 for more information.

Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account, for example, if the grantor of a grantor trust dies.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Name

If you are an individual, you must generally enter the name shown on your income tax return. However, if you have changed your last name, for instance, due to marriage without informing the Social Security Administration of the name change, enter your first name, the last name shown on your social security card, and your new last name.

If the account is in joint names, list first, and then circle, the name of the person or entity whose number you entered in Part I of the form.

Sole proprietor. Enter your individual name as shown on your income tax return on the "Name" line. You may enter your business, trade, or "doing business as (DBA)" name on the "Business name/disregarded entity name" line.

Partnership, C Corporation, or S Corporation. Enter the entity's name on the "Name" line and any business, trade, or "doing business as (DBA) name" on the "Business name/disregarded entity name" line.

Disregarded entity. For U.S. federal tax purposes, an entity that is disregarded as an entity separate from its owner is treated as a "disregarded entity." See Regulation section 301.7701-2(c)(2)(iii). Enter the owner's name on the "Name" line. The name of the entity entered on the "Name" line should never be a disregarded entity. The name on the "Name" line must be the name shown on the income tax return on which the income should be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner's name is required to be provided on the "Name" line. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on the "Business name/disregarded entity name" line. If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.

Note. Check the appropriate box for the U.S. federal tax classification of the person whose name is entered on the "Name" line (Individual/sole proprietor, Partnership, C Corporation, S Corporation, Trust/estate).

Limited Liability Company (LLC). If the person identified on the "Name" line is an LLC, check the "Limited liability company" box only and enter the appropriate code for the U.S. federal tax classification in the space provided. If you are an LLC that is treated as a partnership for U.S. federal tax purposes, enter "P" for partnership. If you are an LLC that has filed a Form 8832 or a Form 2553 to be taxed as a corporation, enter "C" for C corporation or "S" for S corporation, as appropriate. If you are an LLC that is disregarded as an entity separate from its owner under Regulation section 301.7701-3 (except for employment and excise tax), do not check the LLC box unless the owner of the LLC (required to be identified on the "Name" line) is another LLC that is not disregarded for U.S. federal tax purposes. If the LLC is disregarded as an entity separate from its owner, enter the appropriate tax classification of the owner identified on the "Name" line.

Other entities. Enter your business name as shown on required U.S. federal tax documents on the "Name" line. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on the "Business name/disregarded entity name" line.

Exemptions

If you are exempt from backup withholding and/or FATCA reporting, enter in the *Exemptions* box, any code(s) that may apply to you. See *Exempt payee code* and *Exemption from FATCA reporting code* on page 3.

Exempt payee code. Generally, individuals (including sole proprietors) are not exempt from backup withholding. Corporations are exempt from backup withholding for certain payments, such as interest and dividends. Corporations are not exempt from backup withholding for payments made in settlement of payment card or third party network transactions.

Note. If you are exempt from backup withholding, you should still complete this form to avoid possible erroneous backup withholding.

The following codes identify payees that are exempt from backup withholding:

- 1—An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2)
- 2—The United States or any of its agencies or instrumentalities
- 3—A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
- 4—A foreign government or any of its political subdivisions, agencies, or instrumentalities
- 5—A corporation
- 6—A dealer in securities or commodities required to register in the United States, the District of Columbia, or a possession of the United States
- 7—A futures commission merchant registered with the Commodity Futures Trading Commission
- 8—A real estate investment trust
- 9—An entity registered at all times during the tax year under the Investment Company Act of 1940
- 10—A common trust fund operated by a bank under section 584(a)
- 11—A financial institution
- 12—A middleman known in the investment community as a nominee or custodian
- 13—A trust exempt from tax under section 664 or described in section 4947

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

IF the payment is for . . .	THEN the payment is exempt for . . .
Interest and dividend payments	All exempt payees except for 7
Broker transactions	Exempt payees 1 through 4 and 6 through 11 and all C corporations. S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012.
Barter exchange transactions and patronage dividends	Exempt payees 1 through 4
Payments over \$600 required to be reported and direct sales over \$5,000 ¹	Generally, exempt payees 1 through 5 ²
Payments made in settlement of payment card or third party network transactions	Exempt payees 1 through 4

¹ See Form 1099-MISC, Miscellaneous Income, and its instructions.

² However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney, and payments for services paid by a federal executive agency.

Exemption from FATCA reporting code. The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements.

A—An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)

B—The United States or any of its agencies or instrumentalities

C—A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities

D—A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)

E—A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)

F—A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state

G—A real estate investment trust

H—A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940

I—A common trust fund as defined in section 584(a)

J—A bank as defined in section 581

K—A broker

L—A trust exempt from tax under section 664 or described in section 4947(a)(1)

M—A tax exempt trust under a section 403(b) plan or section 457(g) plan

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-member LLC that is disregarded as an entity separate from its owner (see *Limited Liability Company (LLC)* on page 2), enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

Note. See the chart on page 4 for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local Social Security Administration office or get this form online at www.ssa.gov. You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/businesses and clicking on Employer Identification Number (EIN) under Starting a Business. You can get Forms W-7 and SS-4 from the IRS by visiting IRS.gov or by calling 1-800-TAX-FORM (1-800-829-3676).

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note. Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

Caution: A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if items 1, 4, or 5 below indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on the "Name" line must sign. Exempt payees, see *Exempt payee code* earlier.

Signature requirements. Complete the certification as indicated in items 1 through 5 below.

1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.

2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

3. Real estate transactions. You must sign the certification. You may cross out item 2 of the certification.

4. Other payments. You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account)	The actual owner of the account or, if combined funds, the first individual on the account ¹
3. Custodian account of a minor (Uniform Gift to Minors Act)	The minor ²
4. a. The usual revocable savings trust (grantor is also trustee) b. So-called trust account that is not a legal or valid trust under state law	The grantor-trustee ¹ The actual owner ¹
5. Sole proprietorship or disregarded entity owned by an individual	The owner ³
6. Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulation section 1.671-4(b)(2)(i)(A))	The grantor*
For this type of account:	Give name and EIN of:
7. Disregarded entity not owned by an individual	The owner
8. A valid trust, estate, or pension trust	Legal entity ⁴
9. Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
10. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
11. Partnership or multi-member LLC	The partnership
12. A broker or registered nominee	The broker or nominee
13. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
14. Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulation section 1.671-4(b)(2)(i)(B))	The trust

¹ List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

² Circle the minor's name and furnish the minor's SSN.

³ You must show your individual name and you may also enter your business or "DBA" name on the "Business name/disregarded entity" name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

⁴ List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships* on page 1.

*Note. Grantor also must provide a Form W-9 to trustee of trust.

Note. If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

Secure Your Tax Records from Identity Theft

Identity theft occurs when someone uses your personal information such as your name, social security number (SSN), or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity or credit report, contact the IRS Identity Theft Hotline at 1-800-908-4490 or submit Form 14039.

For more information, see Publication 4535, Identity Theft Prevention and Victim Assistance.

Victims of identity theft who are experiencing economic harm or a system problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

Protect yourself from suspicious emails or phishing schemes. Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to phishing@irs.gov. You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at: spam@uce.gov or contact them at www.ftc.gov/idtheft or 1-877-IDTHEFT (1-877-438-4338).

Visit IRS.gov to learn more about identity theft and how to reduce your risk.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.

RECEIVED AND FILED
DATE JUN 7 1989
TIME 2:10 pm
AMOUNT \$ 8.00

ARTICLES OF INCORPORATION
OF
THE KYANA BLUES SOCIETY, INC.
BREMER EHRLER
SECRETARY OF STATE
COMMONWEALTH OF KENTUCKY
BY JS

KNOW ALL MEN BY THESE PRESENTS:

That the undersigned, for the purpose of forming a non-stock non-profit corporation without capital stock or stockholders under the provisions of Chapter 273 of the Kentucky Revised Statutes, hereby certifies as follows:

ARTICLE I

The name of this corporation is THE KYANA BLUES SOCIETY, INC.

ARTICLE II

The duration of this corporation shall be perpetual.

ARTICLE III

a) The address of the corporation's initial registered office and the name of its initial registered agent at such address is:

R. Alan Adcock (a/k/a Rocky Amaretto)
Route 3, Box 557 A
Highway 395
Shelbyville, Kentucky 40065

b) The address of the principal office is:

1436 Bardstown Road
Louisville, Kentucky 40204

Other places of business in said city or elsewhere may be designated by resolution of the Board of Directors.

ARTICLE IV

This Corporation is organized and shall be operated exclusively for charitable and educational purposes as described in Section 501 (c) (3) of the Internal Revenue Code (or the corresponding provision of any future United States Internal Revenue Law), including but not limited to the development and

promotion of an appreciation of blues music and culture by presenting public concerts, memorializing and otherwise honoring blues musicians and advancing aspiring students of blues music and culture by awarding of merit scholarships, and including for such purposes the making of distributions to organizations for the purposes of engaging in activity falling within the purposes of the Corporation and permitted for organizations that qualify as exempt organizations under Section 501(c) (3) of the Internal Revenue Code of 1954 (or the corresponding provision of any future United States Internal Revenue Law). It may transact only such business as is authorized under the provisions of Chapter 273 of the Kentucky Revised Statutes.

ARTICLE V

The Corporation shall be irrevocably dedicated to and operated exclusively for non-profit purposes. No part of the net earnings of the Corporation shall inure to the benefit of or be distributable to its members, directors, officers, or other private persons, except that the Corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article IV hereof.

ARTICLE VI

In carrying out the corporate purposes described in Article IV, the Corporation shall have all the powers granted by the laws of the State of Kentucky, including in particular those listed in KRS 273.171 (or corresponding provision of any later State statute) except as follows and as otherwise stated in these Articles:

a. The Corporation and its agents and representatives shall not engage directly or indirectly in any activity involving discrimination against any person by reason of age, sex, race, color, religion, national origin or economic status.

b. No substantial part of the activities of the Corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation, and the Corporation shall not participate in, or intervene in (including the publishing or distribution of statements), any political campaign on behalf of any candidate for public office.

c. Notwithstanding any other provision of these Articles the Corporation shall not carry on any other activities not permitted to be carried on:

1) by a corporation exempt from Federal income tax under Section 501(c) (3) of the Internal revenue Code, or the corresponding provisions of any subsequent Federal tax law; or,

2) by a corporation, contributions to which are deductible under Section 170(c) (2) of the Internal Revenue Code, or corresponding provisions of any later Federal tax laws.

ARTICLE VII

The name and address of the incorporator of the Corporation is R. Alan Adcock (a/k/a Rocky Amaretto) Route 3, Box 557A, Highway 395, Shelbyville, Kentucky 40065.

ARTICLE VIII

The initial Board of Directors shall consist of eight (8) Directors and the names and addresses of the persons who are to serve as said Directors until the first annual meeting of the corporation or until their successors be elected and qualified are as follows:

R. Alan Adcock, President (a/k/a Rocky Amaretto)	Rt. 3, Box 557A Hwy 395 Shelbyville, KY 40065
Scott Mullins, Vice-President	2062 Eastern Parkway Louisville, KY 40204
Keith Clements, Secretary	2010 Village Drive Louisville, KY 40205
Foree Wells, Treasurer	825 South 33rd Street Louisville, KY 40211

Sue O'Neil, Director	1020 Walter Avenue Louisville, KY 40215
Dallas Embry, Director	216 North 30th Street Louisville, KY 40212
Perry Aberli, Director	1729 Greentree Blvd #34 Clarksville, IN 47130
Barry Harris, Director	1005 New Moody Lane LaGrange, KY 40031

ARTICLE IX

a) The initial By-Laws shall be adopted by the initial Board of Directors. Thereafter, the Corporation shall be governed by the By-Laws.

b) The affairs of the Corporation shall be conducted by a Board of Directors of not less than three (3) in number and by the officers who are elected and accept office in the manner provided by the Corporation's By-Laws. Except as herein otherwise specifically provided, elections to the Board of Directors shall be held at such time and place as may be fixed in the Corporation's By-Laws.

c) A Director may be removed from office for cause pursuant to any procedure therefore provided in the Corporation's By-Laws.

d) It shall be competent for the Board of Directors, by an appropriate By-Law or resolution, to provide for an Executive Committee and for such other committees as may appear necessary for the effective management of the business of the Corporation, and to give such committees such powers and duties as may seem proper, and to provide when and how often any such committee shall meet, how its meetings shall be called, and at what times and places these meetings may be held.

ARTICLE X

a) The directors, officers, employees and members of this Corporation shall not be held personally liable for any debt or obligation of the Corporation solely because of their position in the Corporation.

b) Any person serving on the Board of Directors of this Corporation shall not be held personally liable for monetary

damages resulting from the breach of his or her duties as a director unless such act, omission or breach:

- 1) concerned or concerns a transaction in which the director's personal financial interest was or is in conflict with the financial interests of the Corporation;
 - 2) was not in good faith or involved or involves intentional misconduct on the part of the director;
 - 3) was known by the director to be a violation of law;
- or
- 4) resulted in an improper personal benefit to the director.

This paragraph b) applies only to acts or omissions or breaches of duty occurring after July 15, 1988.

ARTICLE XI

The Board of Directors shall have the authority to indemnify any director or officer or former director or officer of the corporation, against expenses actually and reasonably incurred by him or her in connection with the defense of any action, suit or proceeding, civil or criminal, in which he is made a party by reason of having been such director or officer, except in relation to matters as to which he shall be adjudged in such action, suit or proceeding to be liable for negligence or misconduct in the performance of his or her duty to the Corporation, and to make any other indemnification that shall be authorized by the By-Laws or by resolution adopted after notice to the members entitled to vote.

ARTICLE XII

In the event of dissolution of the Corporation, the Board of Directors after paying or making provisions for the payment of all of the liabilities of the Corporation, shall distribute all remaining assets of the Corporation, if any, exclusively to such organization or organizations, organized and operated exclusively for charitable, religious, educational or scientific purposes as

shall at the time qualify as an exempt organization or organizations under Section 501(c) (3) of the Internal Revenue Code of 1954 (or the corresponding provision of any future United States Internal Revenue Law), as the Board of Directors shall determine, or shall be distributed to the federal government, the Commonwealth of Kentucky or the City of Louisville as the Board of Directors shall determine, to be used for the public purposes for which the Corporation is organized. Any assets not so disposed of shall be disposed of by the Jefferson County Circuit Court, exclusively for such purposes or to such organization or organizations, as said Court shall determine, which are organized and operated exclusively for such purposes.

ARTICLE XIII

Amendments to these Articles shall be made pursuant to the provisions of Kentucky Revised Statute 273.263 (or corresponding provision of any later State statute).

IN TESTIMONY WHEREOF, witness the signaure of the Incorporator of this Corporation, this 6th day of JUNE, 1989.

R. Alan Adcock aka Rocky Amaretto
R. Alan Adcock (a/k/a Rocky Amaretto),
Incorporator

STATE OF KENTUCKY)
)
COUNTY OF JEFFERSON)

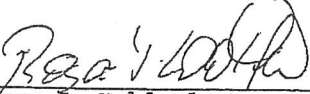
The foregoing Articles of Incorporation were acknowledged before me this 6th day of JUNE, 1989, by R. Alan Adcock (a/k/a Rocky Amaretto).

Witness my signature and seal of office this 6th day of JUNE, 1989.

My commission expires: May 21, 1990.

Ray J. Wolfe
NOTARY PUBLIC
STATE AT LARGE, KENTUCKY

This Document Prepared By:



Roger J. Wolford
ROBERT, MILLER, THOMAS & DODSON
200 Whittington Parkway, Suite 101
Louisville, Kentucky 40222
(502) 425-2802

THE KYANA BLUES SOCIETY, INC.

RESOLUTION TO
ADOPT AMENDED ARTICLES OF INCORPORATION
AND
ADOPT REVISED BY-LAWS

WHEREAS, the Board of Directors desires to memorialize in writing its vote to adopt Amended Articles of Incorporation adopted at its meeting on September 2, 2015 which by its amendments changes its formal corporate name and to ratify all actions taken by the President since its last meeting to effectuate these changes; and

WHEREAS, the Board of Directors desires to adopt Amended By-Laws for the Corporation to enable the smooth operation of its affairs; and

NOW THEREFORE, BE IT RESOLVED, THAT:

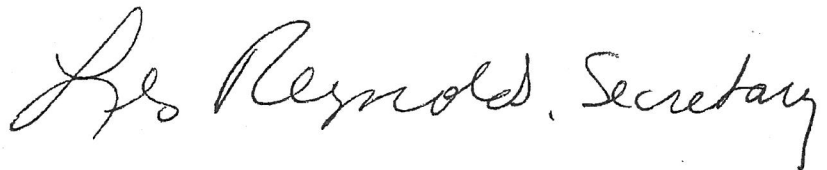
FIRST: Be it resolved that by a majority vote of the Board of Directors on this date, September 2, 2015, the corporate name of this organization presently "KYANA Blues Society, Inc." shall now be "Kentuckiana Blues Society, Inc." and the Board of Directors directs the President or his appointee to effectuate this change including filing Articles of Amendment to the Articles of Incorporation with the Commonwealth of Kentucky's Secretary of State's Office and recording a copy of these Articles of Amendment to the Articles of Incorporation in the Office of the Jefferson

County Clerk, as required by Kentucky statute.

SECOND: Be it resolved that on this date, SEPTEMBER 2, 2015 the By-Laws of the Kentuckiana Blues Society, Inc. are hereby adopted by a majority vote of the Board of Directors, and a confirmed copy of the corporate By-Laws shall be attached hereto;

THIRD: Upon approval by the Board of Directors this resolution shall be spread among the minutes of the Corporation.

 PRESIDENT

 SECRETARY

Germantown Schnitzelburg Blues Festival 2017 Budget

Friday, June 2

Dee Man and the Alleyhounds	400.00
Jimmy Davis Band	600.00
Laurie Jane and The 45's	600.00

Saturday, June 3

Blue Crawdads	600.00
Mississippi Adam Riggle	500.00
Walnut St Blues Band	1,000.00

Tommy Kent (Sound and Stage)	2000.00
Drum Kit Rental	100.00
Bass Rig Rental	100.00

Permits and License

Liquor License	
City	266.66
State	90.00
Permit	40.00

Insurance (Liquor Liability)	800.00
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Security

2 officers per night	700.00
1 Overnight Security	100.00

Advertising

WFPK (9 spots week of festival)	350.00
ITEX	2000.00
Voluforms (200 Posters)	150.00

Total	10396.66
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Kentuckiana Blues Society Financial Statement

As Of: 31-Dec-2016	2016	2015	2014
Income			
Interest	0.00	0.00	16.10
Membership Dues	5,629.31	7,259.00	7,463.92
Donations / Grants	1,547.86	14.00	275.00
Event Proceeds	1,327.00	1,001.00	2,179.15
Contest Entry Fees	586.53	175.00	340.72
Reimbursements	227.50	200.00	0.00
Merchandise Sold	1,529.45	2,975.19	2,749.27
Advertising Income	120.00	0.00	0.00
Sponsorships	15,250.00	8,000.00	3,940.00
TOTAL REVENUE	26,217.65	19,624.19	16,964.16
Expense			
s			
Printing	(5,486.79)	(5,402.25)	(5,655.84)
US Postal Service	(476.60)	(317.00)	(533.39)
KY Sales & Use Tax / Fees	(127.08)	(156.56)	(133.57)
Supplies	(453.93)	(225.38)	(438.80)
Membership Dues / Grant			
Disbursal	(1,095.00)	(125.00)	(4,674.80)
Advertising Expense	(625.00)	(1,712.25)	(1,397.70)
Contest Expenses	(2,503.00)	(2,550.00)	(3,100.00)
Merchandise Expense	(1,237.00)	(183.85)	(1,358.01)
Graphic Design	0.00	0.00	0.00
Bank Charges	0.00	(4.50)	0.00
Event Expense	(12,568.19)	(8,807.44)	(5,940.14)
TOTAL EXPENSES	(24,572.59)	(19,484.23)	(23,232.25)
Beginning Cash Balance	8,247.54	8,107.58	14,375.67
Revenue	26,217.65	19,624.19	16,964.16
Expenses	(24,572.59)	(19,484.23)	(23,232.25)
Ending Balance	9,892.60	8,247.54	8,107.58
Total Profit or Loss	1,645.06	139.96	(6,268.09)
© KBS	2016	2015	2014

Contact: Money@kbsblues.org

KENTUCKIANA BLUES SOCIETY
OFFICERS AND BOARD OF DIRECTORS
May 2017
(Short List)

Officers

Mark Sneed	President	prez@ or mark@KBSBlues.org
Debbie Wilson	Vice President	veep@ or deb@KBSBlues.org
Chris Grube	Treasurer	money@ or chris@KBSBlues.org
Matt Floyd	Secretary	scribe@ or matt@KBSBlues.org

Directors

Bob Brown	bobbblues@KBSBlues.org
Danny Henderson	danny@KBSBlues.org
Elaine Hertweck	elaine@KBSBlues.org
Gary Sampson	gary@KBSBlues.org
Joe DeBow	joedebow@KBSBlues.org
Keith Clements	keith@KBSBlues.org
Les Reynolds	les@KBSBlues.org
Natalie Carter	nat@KBSBlues.org
Nelson Grube	nelson@KBSBlues.org
Steve Walls	steve@KBSBlues.org
Marjorie Marshall	marjorie@KBSBlues.org
Susan O'Neil	susan@KBSBlues.org

Directors Emeritus

Roger J. Wolford	roger@KBSBlues.org
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KBS Board Officers & Directors are elected annually. There are no term limits and no KBS board member or officer receives any financial compensation. The officers and board members are elected at the November Annual Meeting and their one-year term runs from the following January thru December.



A.B.C. LICENSE

PREMISES ADDRESS SITE ID # 17255
CHECKS INC

Mailing Address

GERMANTOWN SCHNITZELBURG BLUES FESTIVAL
1101 E BURNETT AVE

LOUISVILLE, KY 40217-1207

CHECKS INC
DBA:GERMANTOWN SCHNITZELBURG BLUES
FESTIVAL
1101 E BURNETT AVE, ON SIDEWALK INFRONT OF
CHECK'S
LOUISVILLE, KY 40217-0000

Owner of Real Estate at Premises:

TITLE OF LICENSE	LICENSE NUMBER	EFFECTIVE	EXPIRES
Special Temporary License	056-TL-5711	06/02/2017	06/03/2017

2017

Restrictions: None

NON TRANSFERABLE: (except as provided in sections 243.630, 243.640 and 243.650 of the Kentucky Revised Statutes)
This license does not constitute a property or vested right and may be revoked any time pursuant to law.

DISTILLED SPIRITS ADMINISTRATOR :

Irina Summers

MALT BEVERAGE ADMINISTRATOR :

Carol B. Nester



Use QR Code Reader to check
Licensee Details

Commonwealth of Kentucky
DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL

1003 Twilight Trail
Frankfort, KY 40601-8400
(502) 564-4850
(502) 564-7479

THIS LICENSE MUST BE POSTED IN A CONSPICUOUS PLACE ON THE LICENSED PREMISES KRS243.620



Louisville Metro Government
Office of Management and Budget

Neighborhood Development Fund Training Attestation

Organization Name: _____ Kentuckiana Blues Society _____

Participant Name: _____ Gary Sampson _____

I agree that I am an authorized signatory of the organization named above and attest to having participated in reviewing the PowerPoint and the NDF financial reporting examples. In addition, I understand the requirements of the Neighborhood Development Fund grant process and the financial reporting documentation guidelines.

Participant Signature

Date 5/8/17

Germantown Schnitzelburg Blues Festival

Free
Admission

Supported by District 10
Metro Councilman
Pat Mulvihill

Friday, June 2

6:30 . . . D Man & The Alley Hounds

8:30 . . . Jimmy Davis Band

10:30 . . . Laurie Jane and The 45's

Saturday, June 3

6:30 . . . The Blue Crawdads

8:30 . . . Mississippi Adam Riggle

10:30 . . . Walnut Street Blues Band

A portion of
the proceeds
to benefit

home
of the innocents

Sponsored by



1101 E. Burnett Ave, Louisville, KY
502/724-9971 or visit kbsblues.org



GERMANTOWN
CHIROPRACTIC
Sarah Morrow, D.C.

