

**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

Applicant/Program: George Rogers Clark Park Neighborhood Association "Mr. Rogers Neighborhood Concert"

Executive Summary of Request:

Funds will be used for a neighborhood concert held at George Rogers Clark Park. This concert is free and open to the public. Funds will pay for the band, refreshments and printing costs.

Is this program/project a fundraiser?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Is this applicant a faith based organization?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Does this application include funding for sub-grantee(s)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

<u>10</u>		<u>\$500.00/yr</u>	<u>6-11-15</u>
District #	Council Member Signature	Amount	Date

Primary Sponsor Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

Approved by:

_____ Date _____
Appropriations Committee Chairman

Clerk's Office Only:

Request Amount: _____ Committee Amended Appropriation: _____

Original Appropriation: _____ Council Amended Appropriation: _____

**OFFICE OF METRO COUNCIL CLERK
REVIEWED**

DATE 6/12/15 TIME 3:05



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
Legal Name of Applicant Organization: George Rogers Clark Park Neighborhood Association, Inc <i>(as listed on: http://www.sos.ky.gov/business/records)</i>			
Main Office Street & Mailing Address: 959 McKinley Ave, Louisville, KY 40217			
Website: http://www.neighborhoodlink.com/George_Rogers_Clark_Park			
Applicant Contact:	Peggy Klein	Title:	Treasurer
Phone:	502-636-0807	Email:	pktoday@hotmail.com
Financial Contact:	Peggy Klein	Title:	Treasurer
Phone:	502-636-0807	Email:	pktoday@hotmail.com
Organization's Representative who attended NDF Training: Peggy Klein (on line)			
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: Band "Mr. Rogers Neighborhood" in the Park June 26			
Total Request: (\$)	500.00	Total Metro Award (this program) in previous year: (\$)	
Purpose of Request (check all that apply):			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget) <input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
The Following are Required Attachments:			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current Year Projected Budget <input checked="" type="checkbox"/> List of Board of Directors (include term & term limits) <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if required <input type="checkbox"/> Staff including the 3 highest paid staff	
For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
Source:		Amount: (\$)	
Source:		Amount: (\$)	
Source:		Amount: (\$)	
Has the applicant contacted the BBB Charity Review for participation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Has the applicant met the BBB Charity Review Standards? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

The George Rogers Clark Park Neighborhood Association, Inc. GRCPNA is a 501(c)3 non-profit organization of resident volunteers working together to make George Rogers Clark Park Neighborhood a great place to live, work and play. Membership is open to all businesses and residents within the neighborhood boundaries and an associate membership is offered to those who live outside our neighborhood boundaries. We welcome everyone throughout Louisville Metro to attend and participate in all of our meetings, events and programs. The GRCPNA was formed to enhance the health, safety and welfare of the members of the community; provide a forum wherein neighborhood issues and concerns may be publicly expressed and discussed; improve the economic life of the George Roger Clark Park area; encourage a spirit of friendliness and cooperation with other groups in the George Rogers Clark Park neighborhood and throughout Louisville Metro; foster cooperation and unity between property owners, tenants and others; meet the educational and cultural needs of the members of the community, encourage improvement in municipal services through public involvement and cooperation with local government; encourage, plan and coordinate the beautification, preservation, rehabilitation and revitalization of all residential and public properties, structures and the physical environment; seek the assistance and cooperation from governmental agencies and other neighborhood associations to resolve neighborhood problems, achieve neighborhood objectives and goals, and to maintain and improve the quality of life for all residents of the neighborhood; support other charitable, educational and cultural activities which advance the general well being of the community, promote diversity in the community and to preserve and foster the significant history of the Clark family and their "Mulberry Hill Estate", which is now the site of George Rogers Clark Park.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 - PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

Friday June 26 2015 Concert in the Park
Where: George Rogers Clark Park Lodge off Thruston Ave
Band name: "Mr. Rogers Neighborhood"
Time: 6:30 pm to 8:30 pm
All activities are free and open to the general public
Refreshments

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):

Funding will be spent to pay for the band "Mr. Rogers Neighborhood Band" estimated to be \$400.00. In addition, the grant will help cover the cost of refreshments and printing cost of posters to publicize the event.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

George Rogers Clark Park provides significant aesthetic, historical, economic, environmental and social benefits to Metro Louisville. George Rogers Clark Park is a Metro Government park available to everyone. The band concert at George Rogers Clark Park connects neighbors, builds community identity and enhances our community's quality of life. The concert also is an opportunity for the GRCPNA to educate citizens on the historical significance of the park and the importance of preserving our beautiful public park.

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 - PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
A: Personnel Costs Including Benefits			
B: Rent/Utilities			
C: Office Supplies			
D: Telephone			
E: In-town Travel			
F: Client Assistance (Attach Detailed List)			
G: Professional Service Contracts			
H: Program Materials			
I: Community Events & Festivals (Attach Detail List)	500		500
J: Machinery & Equipment			
K: Capital Project			
L: Other Expenses (Attach Detail List)			
*TOTAL PROGRAM/PROJECT FUNDS			
% of Program Budget	100 %	0 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	
Fees Collected from Program Participants	
Other (please specify)	
Total Revenue for Columns 2 Expenses **	

***Total of Column 1 MUST match "Total Request on Page 1, Section 2"**

****Must equal or exceed total in column 2.**



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
6 hrs Volunteer hours		
Lodge Rental	175.00	
<i>Total Value of In-Kind</i> <i>(to match Program Budget Line Item.</i> Volunteer Contribution & Other In Kind)		

* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK

Agency Fiscal Year Start Date: June 1, 2015

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – CERTIFICATIONS

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.

Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

SECTION 7 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory: <i>Peggy L. Klein</i>		Date: <i>June 9, 2015</i>
Legal Signatory: (please print): Peggy L. Klein		Title: Treasurer
Phone: 502-636-0807	Extension:	Email: pktoday@hotmail.com

INTERNAL REVENUE SERVICE
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: FEB 18 2014

GEORGE ROGERS CLARK PARK
NEIGHBORHOOD ASSOCIATION INC
C/O PEGGY KLEIN
959 MCKINLEY AVE
LOUISVILLE, KY 40217

Employer Identification Number:

DLN:

17053250324012

Contact Person:

DALE T SCHABER

ID# 31175

Contact Telephone Number:

(877) 829-5500

Accounting Period Ending:

May 31

Public Charity Status:

509(a) (2)

Form 990 Required:

Yes

Effective Date of Exemption:

May 25, 2010

Contribution Deductibility:

Yes

Addendum Applies:

No

Dear Applicant:

We are pleased to inform you that upon review of your application for tax exempt status we have determined that you are exempt from Federal income tax under section 501(c) (3) of the Internal Revenue Code. Contributions to you are deductible under section 170 of the Code. You are also qualified to receive tax deductible bequests, devises, transfers or gifts under section 2055, 2106 or 2522 of the Code. Because this letter could help resolve any questions regarding your exempt status, you should keep it in your permanent records.

Organizations exempt under section 501(c) (3) of the Code are further classified as either public charities or private foundations. We determined that you are a public charity under the Code section(s) listed in the heading of this letter.

Please see enclosed Publication 4221-PC, Compliance Guide for 501(c) (3) Public Charities, for some helpful information about your responsibilities as an exempt organization.

Letter 947

George Rogers Clark Park Neighborhood Association, Inc
BUDGET FOR PERIOD OF: June 1, 2015 through May 31, 2016

6/1/2014-
5/31/2015

INCOME

Donations	\$ 150.00
Membership Dues	\$ 500.00
Fund Raisers	\$ 450.00
TOTAL INCOME	\$ 1,100.00

EXPENSES

Event Expenses

Band in the Park Friday June 26, 2015	\$ 500.00
Band in the Park/Cook-Out Friday August 7, 2015	\$ 400.00
Fall Festival "Mulberry Hill" Sunday October 18, 2015	\$ 1,100.00
Band in the Park June, 2016	\$ 500.00
Easter Egg Hunt in the Park June 2016	\$ 300.00
Yoga Community Class Beginning July 11, 2015	\$ 640.00
Total Event Expenses:	\$ 3,440.00

Advertising	\$ 500.00
Meeting Expense	\$ 400.00
Fees & Charges	\$ 100.00
Printing	\$ 300.00

TOTAL EXPENSES	\$ 4,740.00
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Over/Under	\$ (3,640.00)
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Proposed NDF Grant Request

NDF Grant June, 2015	\$ 500.00
NDF Grant July, 2015	\$ 3,140.00
TOTAL NDF GRANT REQUEST:	\$ 3,640.00

GEORGE ROGERS CLARK PARK NEIGHBORHOOD ASSOCIATION, INC.

BOARD OF DIRECTORS

OFFICE	NAME	TERM	TERM UNITS
President	Ron Weckman	2 years	2 consecutive terms
Vice-President	Marissa Manford	2 years	2 consecutive terms
Secretary	Ann Payne	2 years	2 consecutive terms
Treasurer	Peggy Klein	2 years	2 consecutive terms
At-Large	Denny Herberger	1 year	unlimited
At-Large	Robin Brown	1 year	unlimited
At-Large	Frances Skees	1 year	unlimited
At-Large	Marianna Humphreys	1 year	unlimited
At-Large	Colleen Younger	1 year	unlimited

George Rogers Clark Park Neighborhood Association, Inc
Income/Expense Comparison by Category - 2015
6/1/2013 through 5/31/2015

**6/1/2014-
5/31/2015**

INCOME

Donations	\$	160.00
Funding Received Norton Health Care for Calendars	\$	334.00
Grants Metro Gov NDF Funds 5/23/14	\$	-
Return of NDF Grant unused funds 3/15/15	\$	(1,640.01)
Net Metro Gov NDF Grant 5/23/14	\$	(1,640.01)
Membership Dues		
Membership Dues:Business	\$	25.00
Membership Dues:Non-Resident	\$	90.00
Membership Dues:Resident	\$	345.00
TOTAL Membership Dues	\$	460.00
Sales		
Sales:Flowers	\$	247.50
Sales:T-Shirts	\$	30.00
Sales:Yard Sale & Baked Goods	\$	131.55
TOTAL Sales	\$	409.05
TOTAL INCOME	\$	(610.96)

EXPENSES

Advertising - Magnetic Calendars	\$	334.00
Event Expenses		
Easter Egg Hunt Expenses	\$	29.68
Mulberry Hill Fall Festival:Oct 2013/Oct 2014	\$	575.64
Park Events - Bands and Expenses	\$	424.51
TOTAL EVENT EXPENSES	\$	1,029.83
Fundraising Expenses	\$	401.96
Meeting Expenses:May 2014 Door Prize & Refreshments	\$	203.84
Fees & Charges	\$	100.25
Printing	\$	174.76
TOTAL EXPENSES	\$	2,244.64
Profit or Loss:	\$	(2,855.60)

Information copy. Do not send to IRS.

Form **990-N**
 Department of the Treasury
 Internal Revenue Service

**Electronic Notice (e-
 Postcard)**
 for Tax-Exempt Organizations not
 Required To File Form 990 or 990-EZ

OMB No. 1545-
 2085

2014

A For the 2014 calendar year, or tax year beginning 6/1/2014, and ending 5/31/2015.

B Check if applicable
 Terminated, Out of Business
 Gross receipts are normally \$50,000 or less

C Name of organization: GEORGE
 ROGERS CLARK PARK NEIGHBORHOOD
 ASSOCIATION
 d/b/a: GRCPNA

D Employer
 Identification
 Number

E Website:
www.neighborhoodlink.com/George_Rogers_Clark_Park

% PEGGY KLEIN
959 MCKINLEY AVE
LOUISVILLE, KY, US, 40217

F Name of Principal Officer: RON
 WECKMAN

1046 PARKWAY DR
LOUISVILLE, KY, US, 40217

Privacy Act and Paperwork Reduction Act Notice. We ask for the information on this form to carry out the Internal Revenue laws of the United States. You are required to give us the information. We need it to ensure that you are complying with these laws.

The organization is not required to provide the information requested on a form that is subject to the Paperwork Reduction Act unless the form displays a valid OMB control number. Books or records relating to a form or its instructions must be retained as long as their contents may become material in the administration of any Internal Revenue law. The rules governing the confidentiality of the Form 990-N is covered in Code section 6104.

The time needed to complete and file this form and related schedules will vary depending on individual circumstances. The estimated average times is 15 minutes.

Note: This image is provided for your records only. Do NOT mail this page to the IRS. The IRS will not accept this filing via paper. You must file your Form 990-N (e-Postcard) electronically.

**Amended
Articles of Incorporation
of
George Rogers Clark Park Neighborhood Association, Inc.**

WE, THE UNDERSIGNED, having associated for the purposes of forming a non-profit, non-stock corporation, under and pursuant to the laws of the Commonwealth of Kentucky, and more particularly Chapter 273, Kentucky Revised Statutes (KRS), hereby certify as follows:

Article I

The name of the corporation shall be:

George Rogers Clark Park Neighborhood Association, Inc.

Article II

The duration of the corporation shall be perpetual.

Article III

The address of the registered and principal office of the corporation is:

**959 McKinley Ave
Louisville, Kentucky 40217**

The name of the **initial** registered agent for service of process, located at such address is:

**Peggy L. Klein
959 McKinley Ave
Louisville, Kentucky 40217**

Other places of business in said city or elsewhere may be designated by resolution of the Board of Directors.

Article IV

The corporation is organized and shall be operated exclusively for non-profit charitable and educational purposes as described within Section 501(c)(3) of the Internal Revenue Code of 1986, as amended (or under any successor codification of the federal tax laws)(the "Code"). In carrying out its corporate purposes, the corporation shall have all the powers allowed nonprofit corporations by KRS 273.161 et seq., that are not inconsistent with the corporations qualifications under the Code, as a corporation organized and operated exclusively for non-profit purposes; provided, however, that this corporation shall not, except to an insubstantial degree, engage in any activities or exercise any powers that are not in furtherance of the specific and primary purpose of this corporation. The corporation can make distributions to organizations and individuals for the purpose of engaging in activity falling within the purposes of the corporation and permitted for an organization exempt under said Section 501 (c)(3).

In furtherance of the general purposes stated within the first paragraph of Article IV of these Articles of Incorporation, the particular purposes of the corporation shall be to promote, foster and carry out programs, projects and activities designed to:

- 1) Enhance the health, safety and welfare of the community;
- 2) Provide a forum wherein neighborhood issues and concerns may be publicly expressed and discussed;
- 3) Improve the economic life of the George Rogers Clark Park area;
- 4) Encourage a spirit of friendliness and cooperation with other groups in the George Rogers Clark Park neighborhood and throughout the Louisville/Jefferson County Metro area;
- 5) Foster cooperation and unity between property owners, business people, tenants, and others;
- 6) Meet the educational and cultural needs of the community;
- 7) Encourage improvements in municipal services through public involvement and cooperation with local government;

8) Encourage, plan, and coordinate the beautification, preservation, rehabilitation, and revitalization of all residential and public properties, structures and physical environment

9) Seek the assistance and cooperation from government agencies and other neighborhood associations to resolve common neighborhood problems, achieve common neighborhood objectives and goals, and to maintain and improve the quality of life for residents of all neighborhoods;

10) Support other charitable, educational and cultural activities which advance the general well being of the community and its people.

Article V

The corporation shall be irrevocably dedicated to and operated exclusively for non-profit purposes. No part of the net earnings of the corporation shall inure to the benefit of or be distributable to its members, directors, officers, or other private persons, except that the corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article IV hereof.

Article VI

The name and address of the Incorporator is:

Incorporator

Peggy L. Klein

Address

959 McKinley Ave.
Louisville, Kentucky 40219

Article VII

The **initial** board of directors shall consist of ten directors. The names and addresses of the members of the **initial** Board of Directors are:

Director

Colleen Younger

Denny Herberger

Jenni Edwards

Ron Weckman

Peggy Klein

Delores Thomas

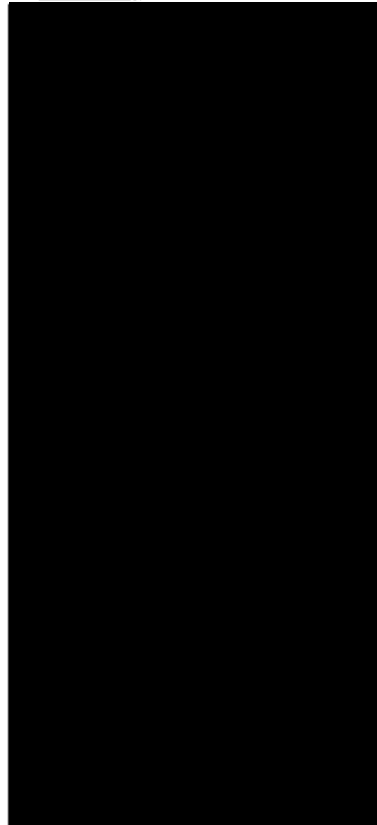
Insub Beckley

Tom & Lois Esrey

Brian Olberz

Ralph Gilpin

Address



Article VIII

The original bylaws shall be adopted by the **Initial** Board of Directors. Thereafter, the Corporation shall be governed by the Bylaws.

Any director may be removed for cause pursuant to bylaws provisions regarding grounds and procedures for such removal.

Article IX

a) The directors, officers and at-large members, employees and members of this Corporation shall not be held personally liable for any debt or obligation of the corporation solely because of their position in the Corporation.

b) Any person serving on the Board of Directors of this Corporation shall not be held personally liable for monetary damages resulting from the breach of his/her duties as a director unless such act, omission or breach:

- 1) concerned or concerns a transaction in which the director's personal financial interest was or is in conflict with the financial interests of the Corporation;
- 2) was not in good faith or involved or involves intentional misconduct on the part of the director;
- 3) was known by the director to be a violation of law; or
- 4) resulted in an improper personal benefit to the director.

Article X

The Corporation may indemnify any director, officer and at-large member, or former director, officer and at-large member, of the Corporation against any expenses actually and reasonably incurred by him/her in connection with the defense of any action, suit or proceeding, civil or criminal, in which he/she is made a party by reason of being or having been such director, officer and at-large member, except in relation to matters as to which he/she shall be adjudged in such action, suit or proceeding, to be liable for negligence or misconduct in the performance of duty to the Corporation. The Corporation may make any other indemnification permitted by law and authorized by its articles of incorporation, By-laws or resolution adopted after notice to members entitled to vote.

Article XI

In the event of dissolution of the Corporation, the board of directors shall, after paying or making provision for the payment of all liabilities of the Corporation, dispose of all assets of the Corporation exclusively for the purposes of the Corporation, in such manner, or to such organizations organized and operated exclusively for the promotion of social welfare as shall at the time qualify as an exempt organization under Section 501 (c) (3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws), or to a state or local government for a public purpose as the Board of Directors shall determine.

The remaining assets, if any, shall be disposed of by the Circuit Court of the county in which the principal office for the Corporation is then located, exclusively for such purposes or to such organizations as said court shall determine are organized and operated exclusively for such purposes.

Article XII

Amendments to these articles shall be made pursuant to the provisions of KRS 273.263 (or corresponding provision of any later State statute).

IN TESTIMONY WHEREOF, witness the signature of the Incorporator of this Corporation, this **21st** day of **August 2012**.



 Peggy L. Klein, Director
 Incorporator

**STATE OF KENTUCKY)
) SS
COUNTY OF JEFFERSON)**

Before me, the undersigned authority, personally appeared and being duly sworn, acknowledged that she is the incorporator and agent of process of the aforementioned Corporation, and that she signed the aforementioned articles of incorporation as her free act and deed.

Witness my signature and seal of office this _____ day of _____ August, 2012.

My Commission Expires: _____.

Notary Public
State At Large, Kentucky

This Document Prepared By:



Peggy L. Klein, Director
George Rogers Clark Park Neighborhood Association, Inc.

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.
George Rogers Clark Park Neighborhood Association, Inc.

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification; check only one of the following seven boxes:
 Individual/sole proprietor or single-member LLC
 C Corporation S Corporation Partnership Trust/estate
 Limited liability company. Enter the tax classification (G=C corporation, S=S corporation, P=partnership) ▶ _____
Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.
 Other (see instructions) ▶ _____

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
 Exempt payee code (if any) _____
 Exemption from FATCA reporting code (if any) _____
(Applies to associated mastercard cards for U.S.)

5 Address (number, street, and apt. or suite no.)
959 McKinley Ave

6 City, state, and ZIP code
Louisville, KY 40217

7 List account number(s) here (optional)

Requester's name and address (optional)

Print or type
See Specific Instructions on page 2.

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number

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OR

Employer identification number

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification Instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here Signature of U.S. person ▶ *George L. Klein*

Date ▶ 08-30-15

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at www.irs.gov/fw9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- Form 1096 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
 - Form 1099-C (canceled debt)
 - Form 1099-A (acquisition or abandonment of secured property)
- Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.
- If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.
- By signing the filled-out form, you:
- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued).
 - Certify that you are not subject to backup withholding, or
 - Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
 - Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 (or further information).

GEORGE ROGERS CLARK PARK NEIGHBORHOOD ASSOCIATION, INC.**General Information**

Organization Number	0763750
Name	GEORGE ROGERS CLARK PARK NEIGHBORHOOD ASSOCIATION, INC.
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
File Date	5/25/2010
Organization Date	5/25/2010
Last Annual Report	6/9/2015
Principal Office	959 MCKINLEY AVE LOUISVILLE, KY 40217
Registered Agent	PEGGY L. KLEIN 959 MCKINLEY AVE. LOUISVILLE, KY 40217

Current Officers

President	Ron Weckman
Vice President	Marissa Manford
Secretary	Ann Payne
Treasurer	Peggy Klein
Director	Colleen Younger
Director	Frances Skees
Director	Robin Brown
Director	Denny Herberger
Director	Marianna Humphreys

Individuals / Entities listed at time of formation

Director	TRINA MITCHELL
Director	PEGGY KLEIN
Director	CELESTE FAUTZ
Director	BRIAN MAYNARD
Incorporator	TRINA MITCHELL

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

Annual Report	6/9/2015	1 page	PDF
Annual Report	5/30/2014	1 page	PDF

Reinstatement Certificate of Existence	10/25/2013 2:28:08 PM	2 pages	PDF	
Reinstatement	10/25/2013 2:27:01 PM	2 pages	PDF	
Reinstatement Approval Letter Revenue	10/25/2013 2:24:32 PM	1 page	PDF	
Administrative Dissolution	9/28/2013	1 page	PDF	
Amendment	8/22/2012	5 pages	tiff	PDF
Amendment	4/17/2012	2 pages	tiff	PDF
Annual Report	4/3/2012	1 page	PDF	
Principal Office Address Change	9/7/2011	1 page	tiff	PDF
Registered Agent name/address change	9/7/2011	1 page	tiff	PDF
Annual Report	9/2/2011	1 page	PDF	
Articles of Incorporation	5/25/2010	3 pages	tiff	PDF

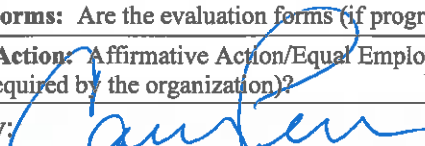
Assumed Names

Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	6/9/2015 4:55:37 PM	6/9/2015 4:55:37 PM	
Annual report	5/30/2014 11:30:52 AM	5/30/2014 11:30:52 AM	
Reinstatement	10/25/2013 2:27:54 PM	10/25/2013	
Application For Reinstatement	10/25/2013 2:21:33 PM	10/25/2013	
Admin Dis. A. report not in	9/28/2013	9/28/2013	
Amendment - Miscellaneous amendments	8/22/2012 4:05:38 PM	8/22/2012	
Amendment - Miscellaneous amendments	4/17/2012 1:02:38 PM	4/17/2012	
Annual report	4/3/2012 5:18:57 PM	4/3/2012 5:18:57 PM	
Registered agent address change	9/7/2011 8:51:34 AM	9/7/2011	
Principal office change	9/7/2011 8:50:55 AM	9/7/2011	
Annual report	9/2/2011 6:07:55 PM	9/2/2011 6:07:55 PM	
Add	5/25/2010 1:41:15 PM	5/25/2010	

Microfilmed Images

11/11

NDF NON-PROFIT APPLICATION CHECKLIST	
Legal Name of Applicant Organization: George Rogers Clark Park	
Program Name: Mr. Rogers Neighborhood Concert Request Amount: \$500	Yes/No/NA
Request form: Is the NDF request form signed by all Council Member(s) appropriating funding?	yes
Request form: Is the funding proposed less than or equal to the request amount?	yes
Request form: Have all known Council or Staff relationships to the Agency been adequately disclosed on the cover sheet?	yes
Application Page 1: Has prior Metro funds committed/granted been disclosed?	yes
Application Page 1: Is the application properly signed and dated by authorized signatory?	yes
Application Page 3: Reimbursement funding – One or two boxes checked if any expenses are incurred before the grant award period. Is all required documentation included?	yes
Application Pages 3 – 5: Is the proposed public purpose of the program well-documented?	yes
Application 4: Is there adequate documentation of how the proceeds of the fundraiser will be spent?	n/a
Application Budget Page 6: Does the application budget reflect only the revenue and expenses of the project/program (page 6) if the request is not an operating budget request? Is all detail schedules included for “Metro, Non Metro and Total” expense funds for client assistance, community events & festivals and other expenses? And does the Non-Metro Revenue equal the Non-Metro expenses?	yes
Faith Based Organizations: Is the signed Faith Based Form signed and included?	n/a
Jefferson County Only: Will all funding be spent in Louisville/Jefferson County?	yes
Capital Project(s) request: Is the cost estimate(s) from proposed vendor(s) included?	n/a
Good Standing: Is the entity in good standing with: <ul style="list-style-type: none"> • Kentucky Secretary of State – include Secretary of State website information on organization • Louisville Metro Government – check OMB monthly report filed in Council Financial Reports • Internal Revenue Service – most recent Form 990 included 	yes
Separate Taxing Districts: If Metro funding is for a separate taxing district, is the funding appropriated for a program outside the legal responsibility of that taxing district?	n/a
Small Cities: Is the resolution included agreeing to partner with Louisville Metro on the capital project? (IRS Determination letter not required, Form 990 not required, but KY SOS acknowledgement is)	n/a
Operating Requests: Is recommended operating funding less than or equal to 33% of total operating budget?	n/a
IRS Exempt Proof: Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	yes
Operating Budget: Is the organization’s current fiscal year operating budget included?	yes
Ordinance Required: Is the amount committed by Council members greater than \$5,000 to any one project/program within an organization in this fiscal year.	n/a
Board Members: Is the entity’s board member list (with term length/term limits) included?	yes
Staff: Is a list of the highest paid staff included with their expected annual personnel costs?	n/a
Annual Audit: Is the most recent annual audit (if required by organization) included?	n/a
Rent Requests: Is a copy of signed lease included?	n/a
Articles of Incorporation: Are the Articles of Incorporation of the organization included?	yes
IRS Form W-9: Is the IRS Form W-9 included?	yes
Evaluation Forms: Are the evaluation forms (if program participants are given evaluation forms) included?	n/a
Affirmative Action: Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required by the organization)?	n/a
Prepared by: 	Date: 6/12/15