

Received 6.13.14 @ 2:00p
ECS

**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

Applicant/Program: Historic Homes Foundation, Inc dba Whitehall House & Gardens

Executive Summary of Request:

Third phase of Broad Fields median project and includes purchase and planting of 5 trident maple trees to be planted in the median on Winchester Road. Broad Field neighbors have committed to watering trees and mowing the median.

Is this program/project a fundraiser? Yes No
Is this applicant a faith based organization? Yes No
Does this application include funding for sub-grantee(s)? Yes No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

09
District #

Tina Ward-Pugh (vc)
Primary Sponsor Signature

\$1,500-
Amount

06-12-14
Date

Primary Sponsor Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

n/a

Approved by:

Appropriations Committee Chairman

Date

Clerk's Office Only:

Request Amount: _____ Committee Amended Appropriation: _____

Original Appropriation: _____ Council Amended Appropriation: _____

OFFICE OF METRO COUNCIL CLERK
REVIEWED

DATE 7-1-14 TIME 12:38pm

NDF NON-PROFIT APPLICATION CHECKLIST

Legal Name of Applicant Organization: Historic Homes Foundation, Inc. dba Whitehall House & Gardens	
Program Name: Broad Fields Median Project Phase III Request Amount: \$1,500	Yes/No/NA
Request form: Is the NDF request form signed by all Council Member(s) appropriating funding?	Yes
Request form: Is the funding proposed less than or equal to the request amount?	Yes
Request form: Have all known Council or Staff relationships to the Agency been adequately disclosed on the cover sheet?	Yes
Application Page 1: Has prior Metro funds committed/granted been disclosed?	Yes
Application Page 1: Is the application properly signed and dated by authorized signatory?	Yes
Application Page 3: Reimbursement funding – One or two boxes checked if any expenses are incurred before the grant award period. Is all required documentation included?	Yes
Application Pages 3 – 5: Is the proposed public purpose of the program well-documented?	Yes
Application 4: Is there adequate documentation of how the proceeds of the fundraiser will be spent?	NA
Application Budget Page 6: Does the application budget reflect only the revenue and expenses of the project/program (page 6) if the request is not an operating budget request? Is all detail schedules included for "Metro, Non Metro and Total" expense funds for client assistance, community events & festivals and other expenses? And does the Non-Metro Revenue equal the Non-Metro expenses?	Yes
Faith Based Organizations: Is the signed Faith Based Form signed and included?	NA
Jefferson County Only: Will all funding be spent in Louisville/Jefferson County?	Yes
Capital Project(s) request: Is the cost estimate(s) from proposed vendor(s) included?	Yes
Good Standing: Is the entity in good standing with: <ul style="list-style-type: none"> • Kentucky Secretary of State – include Secretary of State website information on organization • Louisville Metro Government – check OMB monthly report filed in Council Financial Reports • Internal Revenue Service – most recent Form 990 included 	Yes
Separate Taxing Districts: If Metro funding is for a separate taxing district, is the funding appropriated for a program outside the legal responsibility of that taxing district?	NA
Small Cities: Is the resolution included agreeing to partner with Louisville Metro on the capital project? (IRS Determination letter not required, Form 990 not required, but KY SOS acknowledgement is)	NA
Operating Requests: Is recommended operating funding less than or equal to 33% of total operating budget?	NA
IRS Exempt Proof: Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	Yes
Operating Budget: Is the organization's current fiscal year operating budget included?	Yes
Ordinance Required: Is the amount committed by Council members greater than \$5,000 to any one project/program within an organization in this fiscal year.	NA
Board Members: Is the entity's board member list (with term length/term limits) included?	Yes
Staff: Is a list of the highest paid staff included with their expected annual personnel costs?	Yes
Annual Audit: Is the most recent annual audit (if required by organization) included?	Yes
Rent Requests: Is a copy of signed lease included?	NA
Articles of Incorporation: Are the Articles of Incorporation of the organization included?	Yes
IRS Form W-9: Is the IRS Form W-9 included?	Yes
Evaluation Forms: Are the evaluation forms (if program participants are given evaluation forms) included?	NA
Affirmative Action: Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required by the organization)?	NA
Prepared by: <i>Lyle G. Wudge</i> Date: <i>06/12/14</i>	



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 - APPLICANT INFORMATION			
Legal Name of Applicant Organization:		Historic Homes Foundation, Inc. dba Whitehall House & Gardens	
<i>(as listed on: http://www.sos.ky.gov/business/records)</i>			
Main Office Street & Mailing Address: 3110 Lexington Road, Louisville, KY 40206			
Website: www.historichomes.org			
Applicant Contact:	Merrill Simmons	Title:	Executive Director, Whitehall
Phone:	(502) 897-2944	Email:	whitehall@historichomes.org
Financial Contact:	Merrill Simmons	Title:	Executive Director, Whitehall
Phone:	(502) 897-2944	Email:	whitehall@historichomes.org
Organization's Representative who attended NDF Training: Mike Hayman, Landscape Director/board member			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s):	3400 block of Winchester Road, Louisville, KY		
Council District(s):	9th District	Zip Code(s):	40207
SECTION 2 - PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: Broad Fields Median Project - Phase III			
Total Request: (\$)	\$1,500	Total Metro Award (this program) in previous year: (\$)	\$6,180
Purpose of Request (check all that apply):			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget)			
<input type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals			
<input checked="" type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
The Following are Required Attachments:			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current Year Projected Budget <input checked="" type="checkbox"/> List of Board of Directors (include term & term limits) <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation <input checked="" type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input checked="" type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if required <input checked="" type="checkbox"/> Staff including the 3 highest paid staff	
For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
Source:	NDF - Broad Fields Median Project Phase II	Amount: (\$)	\$1,780
Source:	NDF - Whitehall Fire Stairs Replacement Project	Amount: (\$)	\$4,400
Source:		Amount: (\$)	
Has the applicant contacted the BBB Charity Review for participation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

It is the mission of Whitehall to educate, preserve and present the historic Whitehall mansion as a Victorian interpretation of a southern plantation and to maintain and develop the gardens as a greenspace for future generations. Whitehall's historic mansion is open to the public for guided tours Monday through Friday. While many historic homes approach their mission as recreating the time period in which the house or its owners were most historically significant, Whitehall takes a multi-era approach to highlighting the different architectural and decorative touches left by individual owners. Over the period of its life as a private resident, Whitehall was home to at least 6 different families, including those of a U.S. Congressional Representative, the Chief Justice of the Kentucky Court of Appeals, and the owners of one of the world's largest conveyor companies, each stamping their own indelible mark on the property. This historical eclecticism is mirrored in Whitehall's furnishings, a collection that allows visitors a scope of interest far beyond what other homes may offer. Adding to this unique experience is the rare level of intimate access to the home, a principle of look and touch that represents a special opportunity to interact with a piece of history

At just under ten acres, Whitehall's grounds and gardens are the primary focus of its educational outreach, offering unique educational opportunities for both the amateur and professional horticulturist. Throughout the year the public is invited to participate in workshops, lectures and tours - all inspired by Whitehall's collection of trees and plants. Whitehall is home to two different demonstration gardens that serve as outdoor classrooms for the Jefferson County Master Gardeners Association, a cooperative extension service of the University of Kentucky and Kentucky State University. An arboretum features over 200 trees, including a rare specimen collection that has become an important source of propagation for interesting special. Whitehall's woodland garden, a Victorian stumpery nestled in a woodland corner of the grounds, presents a regionally unprecedented collection of ferns and other woodland plants and is an official display garden of the national Hardy Fern Foundation organization. Also featured on the property is a specimen garden - the site of Whitehall's annual Peony Festival, boasting over 60 varieties of peonies - a formal Florentine garden, hydrangea garden and nursery.

Whitehall welcomes just over 5,000 visitors to its mansion and grounds each year for both guided tours and self-exploration. While many of these visitors are from Louisville and the surrounding area, we also have become a destination for organized groups from throughout the country and as far away as Great Britain. Whitehall is pleased to welcome all visitors and to serve as an ambassador for those who may be visiting Louisville for the very first time.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

This request is for the third phase of the Broad Fields Median Project. This third phase asks for NDF support for the purchase and planting of 5 trident maples, each approximately 3" in diameter. These trees will be planted in the median on Winchester Road.

The Broad Fields neighbors have committed to watering the trees and will also mow the median.

Whitehall landscape director Mike Hayman will volunteer his time as project manager. He will donate 10 hours of his time at \$75/hour for a total donation of \$750.

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):
Neighborhood Development Funds will be spent on the following:

- The 5 trident maples will be purchased for \$180/tree for a total cost of \$900. Four of the trees will be purchased from Sue Massey; the fifth tree will be purchased from Chris Summers.
- Professional landscaper Eloy Carreto will plant each tree in the Winchester Road median for \$120/tree for a total cost of \$600.

The total cost of this project is \$1,500.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

N/A

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

The trees have been dug and should be planted as soon as possible for stability.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:
The first and second phase of this project improved neighborhood safety through the removal of potentially hazardous trees. New trees were also planted as part of these two phases.

This third phase's planting of trees will continue the beautification of the median's green space.

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

Whitehall House & Gardens is proud to contribute to green improvements to the community surrounding the Whitehall gardens. In addition to serving as a pass-through to help our neighbors apply for NDF grants, we have also collaborated with neighbors on landscaping plans and have helped identify and acquire healthy and cost-effective plants.

In recent years, Whitehall has collaborated with the following organizations: Lexington Road Beautification Project, Lexington Road Preservation Area, Danes Hall, Beals Branch and Broad Fields neighborhoods.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
A: Personnel Costs Including Benefits	0	0	0
B: Rent/Utilities	0	0	0
C: Office Supplies	0	0	0
D: Telephone	0	0	0
E: In-town Travel	0	0	0
F: Client Assistance (Attach Detailed List)	0	0	0
G: Professional Service Contracts	\$600	0	\$600
H: Program Materials	0	0	0
I: Community Events & Festivals (Attach Detail List)	0	0	0
J: Small Equipment	0	0	0
K: Capital Equipment	\$900	0	\$900
L: Other Expenses (Attach Detail List)	0	0	0
*TOTAL PROGRAM/PROJECT FUNDS	\$1,500	0	\$1,500
% of Program Budget	100 %	0 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	0
United Way	0
Private Contributions (do not include individual donor names)	0
Fees Collected from Program Participants	0
Other (please specify)	0
Total Revenue for Columns 2 Expenses **	0

*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

**Must equal or exceed total in column 2.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
Project Managent - Mike Hayman	10 hrs @ \$75/hr = \$750	Volunteer will record hours on a time sheet
<i>Total Value of In-Kind (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)</i>	\$750	

*** DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK**

Agency Fiscal Year Start Date: September 1

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:

N/A



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.

Standard Certifications

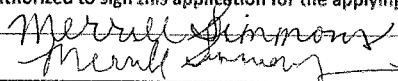
1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

N/A

SECTION 7 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:		Date:	June 12, 2014
Legal Signatory: (please print):	Merrill S. Simmons	Title:	Executive Director, Whitehall
Phone:	(502) 897-2944	Extension:	n/a
Email:	whitehall@historichomes.org		

Internal Revenue Service

Date: June 7, 2007

HISTORIC HOMES FOUNDATION INC
3110 LEXINGTON RD
LOUISVILLE KY 40206-3002 102

Department of the Treasury
P. O. Box 2508
Cincinnati, OH 45201

Person to Contact:
David Harry ID# 31-08704
Customer Service Representative
Toll Free Telephone Number:
877-829-5500
Federal Identification Number:
61-0549274

Dear Sir or Madam:

This is in response to your request of June 7, 2007, regarding your organization's tax-exempt status.

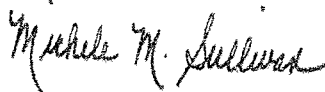
In March 1959 we issued a determination letter that recognized your organization as exempt from federal income tax. Our records indicate that your organization is currently exempt under section 501(c)(3) of the Internal Revenue Code.

Our records indicate that your organization is also classified as a public charity under section 509(a)(2) of the Internal Revenue Code.

Our records indicate that contributions to your organization are deductible under section 170 of the Code, and that you are qualified to receive tax deductible bequests, devises, transfers or gifts under section 2055, 2106 or 2522 of the Internal Revenue Code.

If you have any questions, please call us at the telephone number shown in the heading of this letter.

Sincerely,



Michele M. Sullivan, Oper. Mgr.
Accounts Management Operations 1

Whitehall Budget FY 2014

REVENUES		Budget FY 2014
3000	Membership	\$ 500.00
3010	Board Obligations (11 @ \$200/each)	\$ 2,225.00
3100	Gifts	\$ 5,150.00
3120	Appeal Letter	\$ 3,000.00
3206	Grant/Horn Foudnation	\$ -
3300	Admission Fees	\$ 1,500.00
3310	Rentals	\$ 120,000.00
3320	Facility Fee	\$ 14,000.00
3402	Tea Party	\$ 10,000.00
3412	Bridal Show	\$ 12,000.00
3413	John Michael Carter	\$ 3,300.00
3416	Moveable Feast	\$ 20,000.00
3424	Valentine's Dinner	\$ 7,500.00
3428	Wreath Sales	\$ 4,800.00
3429	Summer Celebration	\$ 75,000.00
3460	Garden Sales	\$ 4,905.00
3462	Peony Festival	\$ 5,500.00
Total Income		\$289,380.00

EXPENSES

5010	Employee Compensation	\$ 112,150.00
5020	Payroll Taxes	\$ 9,000.00
5030	Insurance Benefits	\$ 10,000.00
5040	Automated Payroll Fee	\$ 1,080.00
5041	Sect 125 Admin Fee	\$ 125.00
6000	Office Supplies	\$ 2,600.00
6005	Bank and credit card charges	\$ 5,500.00
6010	Postage	\$ 500.00
6015	Computer and IT Support	\$ 500.00
6030	Printing and Stationery	\$ 600.00
6040	Telephone	\$ 1,750.00
6042	Internet	\$ 400.00
6050	Utilities - Gas and Electric	\$ 8,000.00
6055	Water & Sewer	\$ 5,700.00
6070	Equipment Purchased	\$ 1,800.00
6100	Maintenance & Repairs - Equipment	\$ 1,500.00
6105	Maintenance & Repairs - Museum	\$ 7,500.00
6110	Maintenance & Repairs - Collection	\$ 1,000.00
6115	Cleaning	\$ 11,000.00
6120	Grounds Maintenance	\$ 10,000.00
6125	Garden Sales Expense	\$ 1,800.00
6130	Garden Maintenance	\$ 250.00
6135	Flowers/Gifts	\$ 100.00
6150	Carriage House Expense	\$ 600.00
6190	Security	\$ 11,700.00

Whitehall House & Gardens – Board of Regents FY 2014

Note: Each member of the Board of Regents may serve unlimited consecutive 3-year terms. Elected officers may serve up to two consecutive 2-year terms, after which they may resume as a regular member for consecutive 3-year terms or be re-elected to office after a 1-year break.

Whitehall Executive Committee

Regent

Dr. Barbara Baker 539 Barberry Lane Louisville, Kentucky 40206 barbak01@aol.com	h – 893-1655 c – 387-1649	2 nd Term as Regent ends August 2014
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Vice-Regent

Laura Weir 4100 Napanee Road Louisville, Kentucky 40207 bob3510@insightbb.com	h – 899-1773 c – 296-6860	2 nd Term as Vice-Regent ends August 2014
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Treasurer

Terry Malcolm 1807 Kline Court Louisville, Kentucky 40205 tmalcolm@weberandrose.com	o – 357 - 7325 c – 291 - 1907	1 st Term as Treasurer ends August 2014
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Secretary

VACANT

Landscape Director

Michael Hayman 2548 Seneca Drive Louisville, Kentucky 40205 mhayman@iglou.com	h – 459-1130 o – 582-4604 c – 649-2958
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Whitehall Board of Regents

Kit Carter-Weilage 506 Briar Hill Road Louisville, Kentucky 40206 kit.carter@twc.com kcarter@hilliard.com	o – 329 - 7563 c – 457 - 7197	1 st Term ends August 2015
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Louise Cecil 2119 Woodford Place Louisville, Kentucky 40205	o – 589-6707 c – 939-6635	2 nd Term ends August 2016
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Michael Judd 5900 Creighton Hill Road #224 Louisville, Kentucky 40207 michael@bittners.com	c – 905-8592	2 nd Term ends August 2016
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Catherine L. Keeley 7510 Heyburn Court Louisville, Kentucky 40222	h – 425-1748 c – 345-1748	Non-voting Member
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Carole McMurry 5207 Eastwind Road Louisville, Kentucky 40207 caroleom@twc.com	h – 893-2661	1 st Term ends August 2017
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Historic Homes Foundation
Whitehall Operating Fund Income Statement
For the Eight Months Ending April 30, 2014

May 14, 2014

	<u>Current</u> <u>Month</u>	<u>Budget</u>	<u>Variance</u>	<u>Variance</u> <u>%</u>	<u>FY Budget</u>	<u>FYTD</u>	<u>FYTD</u> <u>Budget</u>	<u>FYTD</u> <u>Variance</u>	<u>FYTD</u> <u>Variance</u> <u>%</u>	
Revenues										
3000-015	WH Membership Campaign	\$ 0	\$ 100	(100)	(100.00)	\$ 500	\$ 25	\$ 200	(175)	(87.50)
3010-015	Board Obligations	400	200	200	100.00	2,225	1,800	1,625	175	10.77
3100-015	Gifts	300	0	300	0.00	5,150	16,667	5,150	11,517	223.63
3120-015	Appeal Letter	1,300	1,000	300	30.00	3,000	5,675	2,050	3,625	176.83
3200-015	Grants	1,500	0	1,500	0.00	19,400	15,900	19,400	(3,500)	(18.04)
3206-015	Grant/Horn Foundation	216	0	216	0.00	0	15,216	0	15,216	0.00
3300-015	Admission Fees	214	125	89	71.20	1,500	797	800	(3)	(0.38)
3310-015	Rentals	11,715	4,000	7,715	192.88	120,000	95,846	76,600	19,246	25.13
3320-015	Facility Fee	1,745	750	995	132.67	14,000	11,717	7,155	4,562	63.76
3402-015	WH-Tea Party	0	0	0	0.00	10,000	11,025	10,000	1,025	10.25
3412-015	WH Bridal Show	5,417	0	5,417	0.00	12,000	13,100	12,000	1,100	9.17
3413-015	WH- John Michael Carter	855	0	855	0.00	3,300	1,690	600	1,090	181.67
3416-015	WH-Moveable Feast	0	0	0	0.00	20,000	0	0	0	0.00
3424-015	Valentine's dinner	0	0	0	0.00	7,500	5,864	7,500	(1,636)	(21.81)
3428-015	Wreath sales	0	0	0	0.00	4,800	4,602	4,800	(198)	(4.13)
3429-015	WH -- Summer Celebration	30,025	6,250	23,775	380.40	75,000	32,125	50,000	(17,875)	(35.75)
3460-015	Garden Sales	2,925	500	2,425	485.00	4,905	7,079	2,405	4,674	194.35
3462-015	WH - Peony Festival	0	0	0	0.00	5,500	0	0	0	0.00
	Total Revenues	56,612	12,925	43,687	338.00	308,780	239,128	200,285	38,843	19.39
Expenses										
5010-015	Employee Compensation	9,247	9,014	233	2.58	112,150	73,878	76,098	(2,220)	(2.92)
5020-015	Payroll Taxes	692	743	(51)	(6.86)	9,000	5,518	6,031	(513)	(8.51)
5030-015	Insurance Benefits	879	953	(74)	(7.76)	10,000	5,814	6,185	(371)	(6.00)
5040-015	Automated Payroll Fee	80	97	(17)	(17.53)	1,080	705	697	8	1.15
5041-015	Sect 125 Admin Fee	0	0	0	0.00	125	107	125	(18)	(14.40)
6000-015	Office Supplies	49	175	(126)	(72.00)	2,600	2,163	1,899	264	13.90
6005-015	Bank and credit card charges	391	485	(94)	(19.38)	5,500	1,969	3,559	(1,590)	(44.68)
6010-015	Postage	142	182	(40)	(21.98)	500	477	500	(23)	(4.60)
6015-015	Computer and IT support	18	53	(35)	(66.04)	500	80	292	(212)	(72.60)
6030-015	Printing and Stationery	0	0	0	0.00	600	18	500	(482)	(96.40)
6040-015	Telephone	141	147	(6)	(4.08)	1,750	1,138	1,163	(25)	(2.15)
6042-015	Internet	40	31	9	29.03	400	320	274	46	16.79
6050-015	Utilities Gas and Electric	593	500	93	18.60	8,000	6,911	5,225	1,686	32.27
6055-015	Water & Sewer	0	0	0	0.00	5,700	3,630	3,500	130	3.71
6070-015	Equipment Purchased	80	200	(120)	(60.00)	1,800	80	1,000	(920)	(92.00)
6100-015	Maintenance and Repairs	0	98	(98)	(100.00)	1,500	699	1,110	(411)	(37.03)
6105-015	Maintenance and Repairs-Museu	2,708	734	1,974	268.94	7,500	12,048	4,564	7,484	163.98
6110-015	Maintenance and Repairs-Collec	0	7	(7)	(100.00)	1,000	1,863	971	892	91.86
6115-015	Cleaning	880	0	880	0.00	11,000	7,625	4,500	3,125	69.44
6120-015	Grounds Maintenance	5,266	2,000	3,266	163.30	10,000	26,008	2,372	23,636	996.46
6125-015	Garden Sales Expense	1,800	125	1,675	1,340.00	1,800	5,503	1,391	4,112	295.61
6130-015	Garden Maintenance	36	125	(89)	(71.20)	250	36	125	(89)	(71.20)
6135-015	Flowers/Gifts	0	10	(10)	(100.00)	100	438	60	378	630.00
6150-015	Carriage House Expense	0	64	(64)	(100.00)	600	485	348	137	39.37
6190-015	Security	1,388	1,044	344	32.95	11,700	9,938	7,520	2,418	32.15
6200-015	Insurance	1,242	964	278	28.84	13,000	10,669	9,144	1,525	16.68
6206-015	Horn Found Grant Expenses	216	0	216	0.00	0	216	0	216	0.00

For Management Purposes Only

Form **990**

Return of Organization Exempt From Income Tax

A1160 03/26/2012 8:24 AM
OMB No. 1545-0047

2010

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

The organization may have to use a copy of this return to satisfy state reporting requirements

A For the 2010 calendar year, or tax year beginning **09/01/10** and ending **08/31/11**

B Check if applicable:
 Address change
 Name change
 Initial return
 Terminated
 Amended return
 Application pending

C Name of organization
HISTORIC HOMES FOUNDATION, INC.
 Doing Business As
 Number and street (or P.O. box if mail is not delivered to street address)
3110 LEXINGTON ROAD
 City or town, state or country, and ZIP + 4
LOUISVILLE KY 40206

D Employer identification number
61-0549274

E Telephone number
502-899-5079

F Name and address of principal officer
GUTHRIE ZARING
3110 LEXINGTON ROAD
LOUISVILLE KY 40206

G Gross receipts \$ **650,574**

H(a) Is this a group return for affiliates? Yes No **X**
H(b) Are all affiliates included? Yes No
 If "No," attach a list (see instructions)

I Tax-exempt status 501(c)(3) 501(c) () (insert no.) 4947(a)(1) or 527

J Website: **WWW.HISTORICHOMES.ORG**

K Form of organization Corporation Trust Association Other

L Year of formation **1957**

M State of legal domicile **KY**

H(c) Group exemption number

Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: TO PURCHASE, DISPLAY AND PRESERVE HISTORIC BUILDINGS AND THEIR INHERENT TRADITIONS.		
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3 Number of voting members of the governing body (Part VI, line 1a)	3	13
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	13
	5 Total number of individuals employed in calendar year 2010 (Part V, line 2a)	5	10
	6 Total number of volunteers (estimate if necessary)	6	
	7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	
b Net unrelated business taxable income from Form 990-T, line 34	7b	0	
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9 Program service revenue (Part VIII, line 2g)	211,112	153,601
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	316,752	366,539
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	7,454	33,984
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	-9,584	-1,373
	12	525,734	552,751
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)		
	14 Benefits paid to or for members (Part IX, column (A), line 4)		
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	242,922	240,628
	16a Professional fundraising fees (Part IX, column (A), line 11e)		
	b Total fundraising expenses (Part IX, column (D), line 25) 42,167		
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f)	336,571	336,289
	18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	579,493	576,917
19 Revenue less expenses. Subtract line 18 from line 12	-53,759	-24,166	
Net Assets or Fund Balances	20 Total assets (Part X, line 16)	Beginning of Current Year	End of Year
	21 Total liabilities (Part X, line 25)	4,475,989	4,510,313
	22 Net assets or fund balances. Subtract line 21 from line 20	11,850	32,123
		4,464,139	4,478,190

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer: *S. Burtch Shaw* Date: **4-9-12**

Type or print name and title: **S. BURTCH SHAW TREASURER**

Paid Print/Type preparer's name: **Richard N. Robinson, CPA** Preparer's signature: *Richard N. Robinson* Date: **03/26/12** Check if self-employed: PTIN: **P00382222**

Preparer Use Only Firm's name: **Rodefer Moss & Co, PLLC** Firm's EIN: **35-1663728**
 Firm's address: **301 E. Elm Street**
New Albany, IN 47150 Phone no: **812-945-5236**

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

For Paperwork Reduction Act Notice, see the separate instructions. Form **990** (2010)
 DAA

Form 990 (2010) **HISTORIC HOMES FOUNDATION, INC.****61-0549274**Page **2****Part III Statement of Program Service Accomplishments**

Check if Schedule O contains a response to any question in this Part III

X

1 Briefly describe the organization's mission:

TO PURCHASE, DISPLAY AND PRESERVE HISTORIC BUILDINGS AND THEIR INHERENT TRADITIONS.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

Yes **X** No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?

Yes **X** No

If "Yes," describe these changes on Schedule O.

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ **250,976** including grants of \$) (Revenue \$ **277,188**)**MUSEUM OPERATIONS: WHITEHALL
3110 LEXINGTON ROAD
LOUISVILLE, KY 40206**4b (Code:) (Expenses \$ **219,810** including grants of \$) (Revenue \$ **227,150**)**MUSEUM OPERATIONS: FARMINGTON
3033 BARDSTOWN ROAD
LOUISVILLE, KY 40205**4c (Code:) (Expenses \$ **51,275** including grants of \$) (Revenue \$ **38,338**)**MUSEUM OPERATIONS: THOMAS EDISON HOUSE
729-31 E. WASHINGTON ST
LOUISVILLE, KY 40202**

4d Other program services. (Describe in Schedule O.)

(Expenses \$ **2,674** including grants of \$) (Revenue \$ **10,075**)4e Total program service expenses **524,735**

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors? (see instructions)	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III		X
9 Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV		X
10 Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? If "Yes," complete Schedule D, Part V	X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	X	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII		X
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX		X
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X		X
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII		X
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? If "Yes," complete Schedule F, Parts I and IV		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Parts II and IV		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Parts III and IV		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 5 and 11e? If "Yes," complete Schedule G, Part I (see instructions)		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III		X
20a Did the organization operate one or more hospitals? If "Yes," complete Schedule H		X
b If "Yes" to line 20a, did the organization attach its audited financial statements to this return? Note. Some Form 990 filers that operate one or more hospitals must attach audited financial statements (see instructions)		

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II.		<input checked="" type="checkbox"/>
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III.		<input checked="" type="checkbox"/>
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J.		<input checked="" type="checkbox"/>
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25.		<input checked="" type="checkbox"/>
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I.		<input checked="" type="checkbox"/>
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I.		<input checked="" type="checkbox"/>
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II.		<input checked="" type="checkbox"/>
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? If "Yes," complete Schedule L, Part III.		<input checked="" type="checkbox"/>
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV.		<input checked="" type="checkbox"/>
b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV.		<input checked="" type="checkbox"/>
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV.		<input checked="" type="checkbox"/>
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M.		<input checked="" type="checkbox"/>
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M.		<input checked="" type="checkbox"/>
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I.		<input checked="" type="checkbox"/>
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II.		<input checked="" type="checkbox"/>
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I.		<input checked="" type="checkbox"/>
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1.		<input checked="" type="checkbox"/>
35 Is any related organization a controlled entity within the meaning of section 512(b)(13)?		<input checked="" type="checkbox"/>
a Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2.	Yes <input checked="" type="checkbox"/>	No
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2.		<input checked="" type="checkbox"/>
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI.		<input checked="" type="checkbox"/>
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O.	<input checked="" type="checkbox"/>	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V

		Yes	No
1a	12		
1b	0		
2a	10	X	
2b		X	
3a			X
3b			
4a			X
5a			X
5b			X
5c			
6a			X
6b			
7a			
7b			
7c			
7d			
7e			
7f			
7g			
7h			
8			
9a			
9b			
10a			
10b			
11a			
11b			
12a			
12b			
13a			
13b			
13c			
14a			X
14b			

Form 990 (2010) **HISTORIC HOMES FOUNDATION, INC.** 61-0549274

Page 6

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI

X**Section A. Governing Body and Management**

		Yes	No
1a	13		
1b	13		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		X
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		X
6	Does the organization have members or stockholders?		X
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?		X
7b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a	The governing body?	X	
b	Each committee with authority to act on behalf of the governing body?	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O.		X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Does the organization have local chapters, branches, or affiliates?		X
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?		
10b			
11a	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	X	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a	Does the organization have a written conflict of interest policy? If "No," go to line 13.		X
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?		
12b			
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done.		
12c			
13	Does the organization have a written whistleblower policy?		X
14	Does the organization have a written document retention and destruction policy?		X
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official		X
b	Other officers or key employees of the organization		X
	If "Yes" to line 15a or 15b, describe the process in Schedule O. (See instructions.)		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?		
16b			

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed ► **KY**
- 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.
Own website Another's website Upon request
- 19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.
- 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ► **JODI SKEES**
3110 LEXINGTON ROAD
LOUISVILLE **KY 40206** **502-899-5079**

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year:

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organizations compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply)							(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Officer	Director	Institutional trustee	Key employee	Highest compensated employee	Former officer	Former director or trustee			
(1) GUTHRIE ZARING PRESIDENT	2.00	X						0	0	0	
(2) JOHN STOUGH VICE PRESIDENT	2.00	X						0	0	0	
(3) BUTCH SHAW TREASURER	2.00	X						0	0	0	
(4) HERB SHULHAFFER FARMINGTON REGENT	2.00	X						0	0	0	
(5) BARBARA BAKER BROWN WHITEHALL REGENT	2.00	X						0	0	0	
(6) LINDSEY CIECHANOWSKI THOMAS EDISON REGENT	2.00	X						0	0	0	
(7) AMANDA MCWANE TRUSTEE	0.80	X						0	0	0	
(8) SPEED STODGHILL TRUSTEE	0.80	X						0	0	0	
(9) DEAN WILKINSON TRUSTEE	0.80	X						0	0	0	
(10) CHRIS HARTLEY TRUSTEE	0.80	X						0	0	0	
(11) ANNE JUCKETT TRUSTEE	0.80	X						0	0	0	
(12) ANDY STUBBLEFIELD TRUSTEE	0.80	X						0	0	0	
(13) DAVID RAMAGE TRUSTEE	0.80	X						0	0	0	
(14)											
(15)											
(16)											

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(17)										
(18)										
(19)										
(20)										
(21)										
(22)										
(23)										
(24)										
(25)										
(26)										
(27)										
(28)										
1b Sub-total										
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)										

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization: **0**

- 3** Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual
- 4** For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual
- 5** Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person

	Yes	No
3		X
4		X
5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization: **0**

Part VIII Statement of Revenue

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514	
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns					
	1b	Membership dues	4,300				
	1c	Fundraising events	22,500				
	1d	Related organizations					
	1e	Government grants (contributions)					
	1f	All other contributions, gifts, grants, and similar amounts not included above	126,801				
	g	Non-cash contributions (included in lines 1a-1f) \$					
	h	Total. Add lines 1a-1f		153,601			
Program Service Revenue	2a	SPECIAL EVENTS	212,840	212,840			
	b	CARRIAGE HOUSE & RENT INCOME	132,662	132,662			
	c	ADMISSION FEES	21,037	21,037			
	d						
	e						
	f	All other program service revenue					
	g	Total. Add lines 2a-2f		366,539			
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)	12,852	12,852			
	4	Income from investment of tax-exempt bond proceeds					
	5	Royalties					
	6a	Gross Rents	(i) Real				
			(ii) Personal				
	b	Less: rental exps.					
	c	Rental inc. or (loss)					
	d	Net rental income or (loss)					
	7a	Gross amount from sales of assets other than inventory	(i) Securities	365,024			
			(ii) Other				
		b	Less: cost or other base & sales exps.	343,892			
	c	Gain or (loss)	21,132				
	d	Net gain or (loss)		21,132	21,132		
	8a	Gross income from fundraising events (not including \$ 22,500 of contributions reported on line 1c). See Part IV, line 18	a	49,398			
	b	Less: direct expenses	b	50,557			
c	Net income or (loss) from fundraising events		-1,159				
9a	Gross income from gaming activities. See Part IV, line 19	a					
b	Less: direct expenses	b					
c	Net income or (loss) from gaming activities						
10a	Gross sales of inventory, less returns and allowances	a	4,533				
b	Less: cost of goods sold	b	5,011				
c	Net income or (loss) from sales of inventory		-478	-478			
Miscellaneous Revenue							
11a	MISCELLANEOUS INCOME	Busn. Code	264	264			
b							
c							
d	All other revenue						
e	Total. Add lines 11a-11d		264				
12	Total revenue. See instructions		552,751	400,309	0	0	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns
 All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D)

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21				
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees				
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	214,850	180,398	900	33,552
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)				
9 Other employee benefits	9,522	9,522		
10 Payroll taxes	16,256	13,627	69	2,560
11 Fees for services (non-employees)				
a Management				
b Legal				
c Accounting				
d Lobbying				
e Professional fundraising services See Part IV, line 17				
f Investment management fees				
g Other				
12 Advertising and promotion	10,864	10,864		
13 Office expenses				
14 Information technology				
15 Royalties				
16 Occupancy				
17 Travel				
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	12,514	12,514		
23 Insurance	23,919	23,919		
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24f. If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O.)				
a REPAIRS & MAINTENANCE	87,395	85,542	1,576	277
b SPECIAL EVENTS & PROGRAMS	83,262	83,172		90
c UTILITIES	37,985	37,985		
d PROFESSIONAL FEES	30,467	29,537	791	139
e TELEPHONE	12,737	9,006	3,172	559
f All other expenses	37,146	28,649	3,507	4,990
25 Total functional expenses. Add lines 1 through 24f	576,917	524,735	10,015	42,167
26 Joint costs. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720). Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

		(A) Beginning of year		(B) End of year	
Assets	1	Cash—non-interest bearing	94,511	1	176,589
	2	Savings and temporary cash investments		2	
	3	Pledges and grants receivable, net		3	
	4	Accounts receivable, net	338	4	
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(3) voluntary employees' beneficiary organizations (see instructions)		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use	8,989	8	5,969
	9	Prepaid expenses and deferred charges		9	7,660
	10a	Land, buildings, and equipment—cost or other basis. Complete Part VI of Schedule D	3,932,570		
	10b	Less: accumulated depreciation	106,312	10c	3,826,258
	11	Investments—publicly traded securities	510,669	11	467,756
	12	Investments—other securities. See Part IV, line 11	25,055	12	25,258
	13	Investments—program-related. See Part IV, line 11		13	
	14	Intangible assets		14	
	15	Other assets. See Part IV, line 11	1,955	15	823
16	Total assets. Add lines 1 through 15 (must equal line 34)	4,475,989	16	4,510,313	
Liabilities	17	Accounts payable and accrued expenses	2,985	17	26,023
	18	Grants payable		18	
	19	Deferred revenue	8,865	19	6,100
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23	Secured mortgages and notes payable to unrelated third parties		23	
	24	Unsecured notes and loans payable to unrelated third parties		24	
	25	Other liabilities. Complete Part X of Schedule D		25	
	26	Total liabilities. Add lines 17 through 25	11,850	26	32,123
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.				
	27	Unrestricted net assets	88,399	27	164,820
	28	Temporarily restricted net assets	410,639	28	353,809
	29	Permanently restricted net assets	3,965,101	29	3,959,561
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building, or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
33	Total net assets or fund balances	4,464,139	33	4,478,190	
34	Total liabilities and net assets/fund balances	4,475,989	34	4,510,313	

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	552,751
2	Total expenses (must equal Part IX, column (A), line 25)	2	576,917
3	Revenue less expenses. Subtract line 2 from line 1	3	-24,166
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	4,464,139
5	Other changes in net assets or fund balances (explain in Schedule O)	5	38,217
6	Net assets or fund balances at end of year. Combine lines 3, 4, and 5 (must equal Part X, line 33 column (B))	6	4,478,190

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

	Yes	No
1 Accounting method used to prepare the Form 990. Cash <input type="checkbox"/> Accrual <input checked="" type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a Were the organization's financial statements compiled or reviewed by an independent accountant?	<input checked="" type="checkbox"/>	
b Were the organization's financial statements audited by an independent accountant?		<input checked="" type="checkbox"/>
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	<input checked="" type="checkbox"/>	
d If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both <input checked="" type="checkbox"/> Separate basis Consolidated basis Both consolidated and separate basis		
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		<input checked="" type="checkbox"/>
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Name of the organization

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

A1160 03/26/2012 8

OMB No. 1545-00

2010

Open to Public Inspection

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.
Employer identification number
61-0549274

- The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)
- 1 A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
 - 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.)
 - 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
 - 4 A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state.
 - 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
 - 6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
 - 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
 - 8 A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)

- 9 An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
- 10 An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h:

- a Type I
 - b Type II
 - c Type III—Functionally integrated
 - d Type III—Other
- By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box.
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

- (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?
- (ii) A family member of a person described in (i) above?
- (iii) A 35% controlled entity of a person described in (i) or (ii) above?

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in (i) also in your governing document?		(v) Did you carry the organization in (i) of your support?		(vi) Is the organization in (i) organized in the U.S.?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
(A)									
(B)									
(C)									
(D)									
(E)									
Total									

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
 (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 Total support. Add lines 7 through 10						
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage

14 Public support percentage for 2010 (line 6, column (f) divided by line 11, column (f))	14	%
15 Public support percentage from 2009 Schedule A, Part II, line 14	15	%
16a 33 1/3% support test—2010. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
b 33 1/3% support test—2009. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
17a 10%-facts-and-circumstances test—2010. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶		
b 10%-facts-and-circumstances test—2009. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶		

Part III Support Schedule for Organizations Described in Section 509(a)(2)
 (Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II.
 If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	244,750	500,582	245,795	150,366	153,601	1,295,094
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose	572,141	411,599	455,796	451,123	420,470	2,311,129
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5	816,891	912,181	701,591	601,489	574,071	3,606,223
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year	146,332	364,485	199,200	30,530	44,018	784,565
c Add lines 7a and 7b	146,332	364,485	199,200	30,530	44,018	784,565
8 Public support (Subtract line 7c from line 6.)						2,821,658

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) 2010	(f) Total
9 Amounts from line 6	816,891	912,181	701,591	601,489	574,071	3,606,223
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	33,330	30,663	19,757	14,166	12,852	110,768
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b	33,330	30,663	19,757	14,166	12,852	110,768
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on					0	
12 Other income. Do not include gain or loss from the sale of capital assets. (Explain in Part IV.)	24	145	39,086	3,751	264	43,270
13 Total support. (Add lines 9, 10c, 11 and 12.)	850,245	942,989	760,434	619,406	587,187	3,760,261
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2010 (line 8, column (f) divided by line 13, column (f))	15	75.04%
16 Public support percentage from 2009 Schedule A, Part III, line 15	16	73.25%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2010 (line 10c, column (f) divided by line 13, column (f))	17	3%
18 Investment income percentage from 2009 Schedule A, Part III, line 17	18	3%

- 19a **33 1/3% support tests—2010.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization. X
- b **33 1/3% support tests—2009.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization.
- 20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions.

Part IV Supplemental information. Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions)

Part III, Line 12 - Other Income Detail

OTHER INCOME	\$	43,270
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Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No. 1545-0047

2010

Name of the organization

Employer identification number

HISTORIC HOMES FOUNDATION, INC.**61-0549274**

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

 501(c)(**3**) (enter number) organization4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Page **1** of **1** of Part I

Name of organization

HISTORIC HOMES FOUNDATION, INC.

Employer identification number

61-0549274**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	JOHN SPEED 3922 MASSIE AVENUE #2 LOUISVILLE KY 40207	\$ 21,000	Person <input checked="" type="checkbox"/> Payroll Noncash (Complete Part II if there is a noncash contribution.)
2	PAULA HARSHAW 6104 REGAL SPRINGS DRIVE LOUISVILLE KY 40205	\$ 15,100	Person <input checked="" type="checkbox"/> Payroll Noncash (Complete Part II if there is a noncash contribution.)
3	EDIE BINGHAM MELCOMBE ESTATE GLENVIEW KY 40059	\$ 12,500	Person <input checked="" type="checkbox"/> Payroll Noncash (Complete Part II if there is a noncash contribution.)
4	DAVID & BETTY JONES 471 WEST MAIN ST, STE 203 LOUISVILLE KY 40202	\$ 10,575	Person <input checked="" type="checkbox"/> Payroll Noncash (Complete Part II if there is a noncash contribution.)
5	MARTHA RANKIN 407 ROLLING LANE LOUISVILLE KY 40207	\$ 10,075	Person <input checked="" type="checkbox"/> Payroll Noncash (Complete Part II if there is a noncash contribution.)
6	HELEN POWELL 5 DURHAM STREET BOSTON MA 02115	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll Noncash (Complete Part II if there is a noncash contribution.)

Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

**SCHEDULE D
(Form 990)**

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

2010

Open to Public Inspection

Name of the organization

Employer identification number

HISTORIC HOMES FOUNDATION, INC.

61-0549274

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		Yes No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		Yes No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply):

Preservation of land for public use (e.g., recreation or education)	Preservation of an historically important land area
Protection of natural habitat	Preservation of a certified historic structure
Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? Yes No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? Yes No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

- 1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items
- b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items
- | | |
|--|------|
| (i) Revenues included in Form 990, Part VIII, line 1 | ▶ \$ |
| (ii) Assets included in Form 990, Part X | ▶ \$ |
- 2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:
- | | |
|--|------|
| a Revenues included in Form 990, Part VIII, line 1 | ▶ \$ |
| b Assets included in Form 990, Part X | ▶ \$ |

For Paperwork Reduction Act Notice, see the instructions for Form 990.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a Public exhibition
 - b Scholarly research
 - c Preservation for future generations
 - d Loan or exchange programs
 - e Other
- 4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.
- 5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No
- b If "Yes," explain the arrangement in Part XIV and complete the following table

	Amount
1c	
1d	
1e	
1f	

- 2a Did the organization include an amount on Form 990, Part X, line 21? Yes No
- b If "Yes," explain the arrangement in Part XIV

Part V Endowment Funds. Complete if organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	264,700	343,217	450,923		
b Contributions					
c Net investment earnings, gains, and losses	42,031	14,311	-34,608		
d Grants or scholarships					
e Other expenditures for facilities and programs	68,061	92,828	73,098		
f Administrative expenses					
g End of year balance	238,670	264,700	343,217		

- 2 Provide the estimated percentage of the year end balance held as:
- a Board designated or quasi-endowment ▶ **43.44 %**
 - b Permanent endowment ▶ **56.56 %**
 - c Term endowment ▶ %
- 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:
- (i) unrelated organizations
 - (ii) related organizations
- b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

	Yes	No
3a(i)	X	
3a(ii)		X
3b		

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings		3,833,219	17,375	3,815,844
c Leasehold improvements				
d Equipment		99,351	88,937	10,414
e Other				
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				3,826,258

Part VII Investments—Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

Part VIII Investments—Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

Part X Other Liabilities. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Amount
(1) Federal income taxes	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
(11)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	

2. FIN 48 (ASC 740) Footnote. In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740).

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)		1
2	Total expenses (Form 990, Part IX, column (A), line 25)		2
3	Excess or (deficit) for the year. Subtract line 2 from line 1		3
4	Net unrealized gains (losses) on investments		4
5	Donated services and use of facilities		5
6	Investment expenses		6
7	Prior period adjustments		7
8	Other (Describe in Part XIV.)		8
9	Total adjustments (net). Add lines 4 through 8		9
10	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9		10

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements		1
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV.)	2d	
e	Add lines 2a through 2d		2e
3	Subtract line 2e from line 1		3
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV.)	4b	
c	Add lines 4a and 4b		4c
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)		5

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements		1
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV.)	2d	
e	Add lines 2a through 2d		2e
3	Subtract line 2e from line 1		3
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV.)	4b	
c	Add lines 4a and 4b		4c
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)		5

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 2a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

Part V, Line 4 - Intended Uses for Endowment Funds

THE ENDOWMENT FUNDS ARE USED TO REPAIR, MAINTAIN, AND IMPROVE THE HISTORICAL PROPERTIES.

Part X - Liability Under FIN 48 Footnote

THE ORGANIZATION'S FINANCIAL STATEMENTS INCLUDED A FOOTNOTE THAT ADDRESSES THE ORGANIZATION'S LIABILITY FOR UNCERTAIN TAX POSITIONS UNDER FIN 48.

Part XIV Supplemental Information (continued)

**SCHEDULE G
(Form 990 or 990-EZ)**

Department of the Treasury
Internal Revenue Service

**Supplemental Information Regarding
Fundraising or Gaming Activities**

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

GME No. 1545-0047

2010

Open To Public Inspection

Name of the organization

HISTORIC HOMES FOUNDATION, INC.

Employer identification number

61-0549274

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a Mail solicitations
- b Internet and email solicitations
- c Phone solicitations
- d In-person solicitations
- e Solicitation of non-government grants
- f Solicitation of government grants
- g Special fundraising events

- 2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? Yes No
- b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

	(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
			Yes	No			
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
Total							

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		DERBY BREAKFAST <small>(event type)</small>	 <small>(event type)</small>	None <small>(total number)</small>	<small>(add col. (a) through col. (c))</small>
Revenue	1	Gross receipts	71,898		71,898
	2	Less: Charitable contributions	22,500		22,500
	3	Gross income (line 1 minus line 2)	49,398		49,398
Direct Expenses	4	Cash prizes			
	5	Noncash prizes			
	6	Rent/facility costs			
	7	Food and beverages			
	8	Entertainment			
	9	Other direct expenses	50,557		50,557
	10	Direct expense summary. Add lines 4 through 9 in column (d)			
11	Net income summary. Combine line 3, column (d), and line 10				-1,159

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tab/stant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
		<input type="checkbox"/> Yes <input type="checkbox"/> No %	<input type="checkbox"/> Yes <input type="checkbox"/> No %	<input type="checkbox"/> Yes <input type="checkbox"/> No %	
Revenue	1	Gross revenue			
	2	Cash prizes			
Direct Expenses	3	Noncash prizes			
	4	Rent/facility costs			
	5	Other direct expenses			
6	Volunteer labor				
7	Direct expense summary. Add lines 2 through 5 in column (d)				
8	Net gaming income summary. Combine line 1, column (d), and line 7				

- 9 Enter the state(s) in which the organization operates gaming activities.
- a Is the organization licensed to operate gaming activities in each of these states? 9a Yes No
- b If "No," explain
- 10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? 10a Yes No
- b If "Yes," explain

11 Does the organization operate gaming activities with nonmembers?
12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?

Yes No

13 Indicate the percentage of gaming activity operated in:
a The organization's facility
b An outside facility

Yes No

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records.

13a	
13b	

Name ▶

Address ▶

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?

Yes No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$
amount of gaming revenue retained by the third party ▶ \$

and the

c If "Yes," enter name and address of the third party

Name ▶

Address ▶

16 Gaming manager information

Name ▶

Gaming manager compensation ▶ \$

Description of services provided ▶

Director/officer Employee Independent contractor

17 Mandatory distributions:

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?

Yes No

b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$

Part IV Supplemental Information. Complete this part to provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

2010

Open to Public
Inspection

Name of the organization

HISTORIC HOMES FOUNDATION, INC.

Employer identification number

61-0549274

Form 990, Part III, Line 4d - All Other Achievements

ALL OTHER HISTORIC HOMES RELATED PROGRAM EXPENSES.

Form 990, Part VI, Line 11b - Organization's Process to Review Form 990

THE 990 IS REVIEWED AT A BOARD MEETING AND IS DISCUSSED BEFORE BEING FILED.

Form 990, Part VI, Line 19 - Governing Documents Disclosure Explanation

GOVERNING DOCUMENTS ARE MADE AVAILABLE UPON REQUEST.

Form **4562**
 Department of the Treasury
 Internal Revenue Service (93)

Depreciation and Amortization
 (Including Information on Listed Property)

OMB No 1545-0172
2010
 Attachment Sequence No **67**

▶ See separate instructions. ▶ Attach to your tax return.

Name(s) shown on return **HISTORIC HOMES FOUNDATION, INC.** Identifying number **61-0549274**

Business or activity to which this form relates

Indirect Depreciation

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	500,000
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	2,000,000
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2009 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2011. Add lines 9 and 10, less line 12	▶ 13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	12,517

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2010	17	0
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here <input type="checkbox"/>		

Section B—Assets Placed in Service During 2010 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a	3-year property					
b	5-year property					
c	7-year property					
d	10-year property					
e	15-year property					
f	20-year property					
g	25-year property					
h	Residential rental property		25 yrs.		S/L	
			27.5 yrs.	MM	S/L	
			27.5 yrs.	MM	S/L	
i	Nonresidential real property		39 yrs.	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2010 Tax Year Using the Alternative Depreciation System

20a	Class life				S/L	
b	12-year		12 yrs.		S/L	
c	40-year		40 yrs.	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations—see instructions	22	12,517
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

For Paperwork Reduction Act Notice, see separate instructions.

Federal Asset Report

Form 990, Page 1

Asset	Description	Date In Service	Cost	Bus %	Sec 179 Bonus	Basis for Depr	PerConv Meth	Prior	Current
Other Depreciation:									
1	NON DEPRECIABLE ASSETS	10/31/78	280,876			280,876	0 -- Memo	0	0
2	NON DEPRECIABLE ASSETS	10/31/78	108,278			108,278	0 -- Memo	0	0
3	NON DEPRECIABLE ASSETS	10/31/78	28,770			28,770	0 -- Memo	0	0
4	NON DEPRECIABLE ASSETS	10/31/78	38,288			38,288	0 -- Memo	0	0
5	NON DEPRECIABLE ASSETS	10/31/79	134			134	0 -- Memo	0	0
6	NON DEPRECIABLE ASSETS	10/31/79	640			640	0 -- Memo	0	0
7	NON DEPRECIABLE ASSETS	10/31/80	695			695	0 -- Memo	0	0
8	NON DEPRECIABLE ASSETS	10/31/81	9,707			9,707	0 -- Memo	0	0
9	NON DEPRECIABLE ASSETS	10/31/81	466			466	0 -- Memo	0	0
10	NON DEPRECIABLE ASSETS	10/31/82	2,228			2,228	0 -- Memo	0	0
11	NON DEPRECIABLE ASSETS	10/31/83	2,514			2,514	0 -- Memo	0	0
12	NON DEPRECIABLE ASSETS	10/31/83	20,941			20,941	0 -- Memo	0	0
13	NON DEPRECIABLE ASSETS	10/31/83	1,100			1,100	0 -- Memo	0	0
14	NON DEPRECIABLE ASSETS	10/31/83	2,326			2,326	0 -- Memo	0	0
15	NON DEPRECIABLE ASSETS	8/31/84	5,472			5,472	0 -- Memo	0	0
16	NON DEPRECIABLE ASSETS	8/31/84	609			609	0 -- Memo	0	0
17	NDA	8/31/85	2,560			2,560	0 -- Memo	0	0
18	NDA	8/31/85	1,491			1,491	0 -- Memo	0	0
19	NON DEPRECIABLE ASSETS	8/31/85	537			537	0 -- Memo	0	0
20	NON DEPRECIABLE ASSETS	8/31/85	4,063			4,063	0 -- Memo	0	0
21	NDA	8/31/86	2,071			2,071	0 -- Memo	0	0
22	NDA	8/31/86	11,600			11,600	0 -- Memo	0	0
23	NDA	8/31/87	14,258			14,258	0 -- Memo	0	0
24	NDA	8/31/87	89,502			89,502	0 -- Memo	0	0
25	NDA	8/31/88	28,518			28,518	0 -- Memo	0	0
26	NDA	8/31/88	1,697			1,697	0 -- Memo	0	0
27	NDA	8/31/88	5,927			5,927	0 -- Memo	0	0
28	NDA	8/31/89	1,772			1,772	0 -- Memo	0	0
29	NDA	8/31/89	5,479			5,479	0 -- Memo	0	0
30	NDA	8/31/90	2,658			2,658	0 -- Memo	0	0
31	NDA	8/31/90	1,165			1,165	0 -- Memo	0	0
32	NDA	8/31/90	239			239	0 -- Memo	0	0
33	NDA	8/31/91	856			856	0 -- Memo	0	0
34	NDA	8/31/91	6,786			6,786	0 -- Memo	0	0
35	NDA	8/31/91	1,895			1,895	0 -- Memo	0	0
36	NON DEPRECIABLE ASSETS	8/31/91	35,000			35,000	0 -- Memo	0	0
37	NDA	8/31/92	61,863			61,863	0 -- Memo	0	0
38	NDA	8/31/92	1,200			1,200	0 -- Memo	0	0
39	NDA	8/31/92	6,000			6,000	0 -- Memo	0	0
40	NDA	8/31/92	1,000,000			1,000,000	0 -- Memo	0	0
41	NDA	8/31/92	189,948			189,948	0 -- Memo	0	0
42	NDA	8/31/93	29,045			29,045	0 -- Memo	0	0
43	NDA	8/31/93	195,314			195,314	0 -- Memo	0	0
44	NDA	8/31/93	10,721			10,721	0 -- Memo	0	0
45	NDA	8/31/93	233,498			233,498	0 -- Memo	0	0
46	NDA	8/31/93	66,858			66,858	0 -- Memo	0	0
47	NDA	8/31/93	55,339			55,339	0 -- Memo	0	0
48	NON DEPRECIABLE ASSETS	8/31/93	2,934			2,934	0 -- Memo	0	0
49	NDA	8/31/94	442			442	0 -- Memo	0	0
50	NDA	8/31/94	22,218			22,218	0 -- Memo	0	0
51	NDA	8/31/94	51,774			51,774	0 -- Memo	0	0
52	NDA	8/31/94	77,570			77,570	0 -- Memo	0	0
53	NDA	8/31/94	104,179			104,179	0 -- Memo	0	0
54	NDA	8/31/94	23,603			23,603	0 -- Memo	0	0
55	ASSETS PRIOR TO 8/31/96	8/31/95	14,036			14,036	10 MO S/L	14,036	0
56	NDA	8/31/95	6,852			6,852	0 -- Memo	0	0
57	NDA	8/31/95	1,176			1,176	0 -- Memo	0	0
58	NDA	8/31/95	4,312			4,312	0 -- Memo	0	0
59	NDA	8/31/96	12,692			12,692	0 -- Memo	0	0
60	NDA	8/31/96	19,702			19,702	0 -- Memo	0	0
61	NDA	8/31/97	2,680			2,680	0 -- Memo	0	0
62	NDA	8/31/97	10,357			10,357	0 -- Memo	0	0
63	NDA	8/31/97	12,177			12,177	0 -- Memo	0	0
64	NDA	8/31/97	1,357			1,357	0 -- Memo	0	0
65	NDA	8/31/97	3,235			3,235	0 -- Memo	0	0
66	NON DEPRECIABLE ASSETS	8/31/97	15,548			15,548	0 -- Memo	0	0
67	NON DEPRECIABLE ASSETS	8/31/97	3,877			3,877	0 -- Memo	0	0
68	COMPUTER	8/31/98	2,500			2,500	5 MO S/L	2,500	0

61-0549274

Federal Asset Report

FYE: 8/31/2011

Form 990, Page 1

Asset	Description	Date In Service	Cost	Bus %	Sec 179 Bonus	Basis for Depr	PerConv Meth	Prior	Current
69	NON DEPRECIABLE ASSETS	8/31/98	1,521			1,521	0 -- Memo	0	0
70	NDA	8/31/99	6,163			6,163	0 -- Memo	0	0
71	NDA	8/31/00	30,000			30,000	0 -- Memo	0	0
72	NDA	8/31/00	3,720			3,720	0 -- Memo	0	0
73	NDA	8/31/00	1,015			1,015	0 -- Memo	0	0
74	GATEWAY COMPUTER	2/28/01	1,351			1,351	5 MO S/L	1,351	0
75	NDA	8/31/01	101,344			101,344	0 -- Memo	0	0
76	NDA	8/31/01	30,950			30,950	0 -- Memo	0	0
77	NON DEPRECIABLE ASSETS	8/31/01	20,000			20,000	0 -- Memo	0	0
78	NON DEPRECIABLE ASSETS	8/31/01	1,098			1,098	0 -- Memo	0	0
79	HP LASER PRINTER	9/01/01	400			400	5 MO S/L	400	0
80	COLOR COPIER	8/31/02	400			400	5 MO S/L	400	0
81	CYLINDER STORAGE CONTAINERS	8/31/02	1,041			1,041	5 MO S/L	1,041	0
82	DIGITAL CAMERA	8/31/02	880			880	5 MO S/L	880	0
83	FLOOD LIGHTING	8/31/02	1,225			1,225	5 MO S/L	1,225	0
84	NDA	8/31/02	179,469			179,469	0 -- Memo	0	0
85	NDA	8/31/02	2,052			2,052	0 -- Memo	0	0
86	NON DEPRECIABLE ASSETS	8/31/02	1,221			1,221	0 -- Memo	0	0
87	SCANNER	8/31/02	425			425	5 MO S/L	425	0
88	SIGNS	8/31/02	2,025			2,025	5 MO S/L	2,025	0
89	SMOKE & DUCT DETECTORS	8/31/02	500			500	5 MO S/L	500	0
90	WATER LINES	8/31/02	7,086			7,086	7 MO S/L	7,086	0
91	GATEWAY DS 450L	9/26/02	2,065			2,065	5 MO S/L	2,065	0
92	NEW FURNACE	12/20/02	2,100			2,100	7 MO S/L	2,100	0
93	2 AMD XP 2100 CUSTOM BUILT COMP	12/26/02	2,214			2,214	5 MO S/L	2,214	0
94	DIGITAL CAMERA	12/30/02	750			750	5 MO S/L	750	0
95	LASER PRINTER	1/24/03	658			658	5 MO S/L	658	0
96	NDA	8/31/03	16,249			16,249	0 -- Memo	0	0
97	NDA	8/31/03	2,285			2,285	0 -- Memo	0	0
98	NDA	8/31/03	1,303			1,303	0 -- Memo	0	0
99	NDA	8/31/03	2,974			2,974	0 -- Memo	0	0
100	HYDRANT INSTALLATION	12/03/03	1,000			1,000	7 MO S/L	964	36
101	NDA	1/12/04	1,553			1,553	0 -- Memo	0	0
102	NDA	3/22/04	4,490			4,490	0 -- Memo	0	0
103	ELECTRICAL	4/29/04	1,545			1,545	7 MO S/L	1,398	147
104	NDA	4/30/04	1,675			1,675	0 -- Memo	0	0
105	SIGNAGE	6/03/04	2,517			2,517	7 MO S/L	2,247	270
106	3 GATEWAY CPUS	8/13/04	700			700	5 MO S/L	700	0
107	DRIVEWAY	12/31/04	21,000			21,000	10 MO S/L	11,906	2,100
108	PARKING LOT	12/31/04	1,580			1,580	10 MO S/L	895	158
109	NDA	2/01/05	685			685	0 -- Memo	0	0
110	NDA	6/18/05	5,117			5,117	0 -- Memo	0	0
111	KITCHEN EQUIPMENT	8/30/05	2,215			2,215	7 MO S/L	1,582	317
112	NDA	8/30/05	1,745			1,745	0 -- Memo	0	0
113	OFFICE FURNITURE	10/05/05	5,252			5,252	5 MO S/L	5,165	87
114	FRAMING FOR HOUSE PICTURE FOR C	11/11/05	1,136			1,136	5 MO S/L	1,098	38
115	MOWER W/BAGGING SYSTEM	10/7/06	2,995			2,995	5 MO S/L	2,329	666
116	CHEST & TABLE	7/06/06	1,832			1,832	0 -- Memo	0	0
117	NON DEPRECIABLE ASSETS	11/22/06	16,542			16,542	0 -- Memo	0	0
118	NON DEPRECIABLE ASSETS	12/20/06	11,143			11,143	0 -- Memo	0	0
119	COMPUTER PKG - 8 PRINTERS, 7 DELL	5/10/07	5,162			5,162	5 MO S/L	5,111	1,033
120	CUSTOMIZED DATABASE	5/10/07	2,583			2,583	5 MO S/L	5,055	1,517
121	NDA	1/28/08	10,500			10,500	0 -- Memo	0	0
122	NEW GARDEN TERRACE	4/01/08	58,930			58,930	15 MO S/L	9,349	3,869
123	NDA	4/22/08	113,342			113,342	0 -- Memo	0	0
124	NDA	7/09/08	10,000			10,000	0 -- Memo	0	0
125	NDA	8/18/08	173,684			173,684	0 -- Memo	0	0
126	NDA	9/01/08	431			431	0 -- Memo	0	0
127	NDA	9/01/08	1,100			1,100	0 -- Memo	0	0
128	VACUUM CLEANER - SPECIALIZED	11/05/08	2,011			2,011	5 MO S/L	737	402
129	GARDEN REDESIGN	4/16/09	25,000			25,000	15 MO S/L	2,222	1,667
130	NDA	6/25/09	9,056			9,056	0 -- Memo	0	0
131	WATER HEATER	2/04/10	1,019			1,019	10 MO S/L	59	102
132	COIL CONDENSER	5/19/11	4,300			4,300	10 MO S/L	0	108
Total Other Depreciation			1,912,571			1,912,571		93,797	12,517
Total ACRB and Other Depreciation			1,912,571			1,912,571		93,797	12,517

A1160 HISTORIC HOMES FOUNDATION, INC.

61-0549274

FYE: 8/31/2011

Federal Asset Report

Form 990, Page 1

Asset	Description	Date In Service	Cost	Bus %	Sec 179 Bonus	Basis for Depr	PerConv Meth	Prior	Current
	Grand Totals		3,932,571			3,932,571		93,797	12,517
	Less: Dispositions and Transfers		0			0		0	0
	Less: Start-up/Org Expense		0			0		0	0
	Net Grand Totals		<u>3,932,571</u>			<u>3,932,571</u>		<u>93,797</u>	<u>12,517</u>

A1160 HISTORIC HOMES FOUNDATION, INC.

61-0549274

FYE: 8/31/2011

Depreciation Adjustment Report

All Business Activities

03/26/2012 8:24 AM

Form Unit Asset

Description

Tax

AMT

AMT
Adjustments/
Preferences

There are no assets that meet the criteria of this report

Future Depreciation Report

FYE: 8/31/12

Form 990, Page 1

Asset	Description	Date In Service	Cost	Tax	AMT
Other Depreciation:					
1	NON DEPRECIABLE ASSETS	10/31/78	280,876	0	0
2	NON DEPRECIABLE ASSETS	10/31/78	108,278	0	0
3	NON DEPRECIABLE ASSETS	10/31/78	28,770	0	0
4	NON DEPRECIABLE ASSETS	10/31/78	38,288	0	0
5	NON DEPRECIABLE ASSETS	10/31/79	134	0	0
6	NON DEPRECIABLE ASSETS	10/31/79	640	0	0
7	NON DEPRECIABLE ASSETS	10/31/80	695	0	0
8	NON DEPRECIABLE ASSETS	10/31/81	9,707	0	0
9	NON DEPRECIABLE ASSETS	10/31/81	466	0	0
10	NON DEPRECIABLE ASSETS	10/31/82	2,228	0	0
11	NON DEPRECIABLE ASSETS	10/31/83	2,514	0	0
12	NON DEPRECIABLE ASSETS	10/31/83	20,941	0	0
13	NON DEPRECIABLE ASSETS	10/31/83	1,100	0	0
14	NON DEPRECIABLE ASSETS	10/31/83	2,326	0	0
15	NON DEPRECIABLE ASSETS	8/31/84	5,177	0	0
16	NON DEPRECIABLE ASSETS	8/31/84	609	0	0
17	NDA	8/31/85	2,560	0	0
18	NDA	8/31/85	4,491	0	0
19	NON DEPRECIABLE ASSETS	8/31/85	537	0	0
20	NON DEPRECIABLE ASSETS	8/31/85	4,065	0	0
21	NDA	8/31/86	2,071	0	0
22	NDA	8/31/86	11,600	0	0
23	NDA	8/31/87	14,258	0	0
24	NDA	8/31/87	89,507	0	0
25	NDA	8/31/88	28,518	0	0
26	NDA	8/31/88	1,697	0	0
27	NDA	8/31/88	5,927	0	0
28	NDA	8/31/89	1,772	0	0
29	NDA	8/31/89	5,479	0	0
30	NDA	8/31/90	2,658	0	0
31	NDA	8/31/90	1,165	0	0
32	NDA	8/31/90	239	0	0
33	NDA	8/31/91	856	0	0
34	NDA	8/31/91	6,786	0	0
35	NDA	8/31/91	1,895	0	0
36	NON DEPRECIABLE ASSETS	8/31/91	35,000	0	0
37	NDA	8/31/92	61,863	0	0
38	NDA	8/31/92	1,200	0	0
39	NDA	8/31/92	6,000	0	0
40	NDA	8/31/92	1,000,000	0	0
41	NDA	8/31/92	189,948	0	0
42	NDA	8/31/93	29,045	0	0
43	NDA	8/31/93	195,314	0	0
44	NDA	8/31/93	10,721	0	0
45	NDA	8/31/93	233,498	0	0
46	NDA	8/31/93	66,858	0	0
47	NDA	8/31/93	53,339	0	0
48	NON DEPRECIABLE ASSETS	8/31/93	2,934	0	0
49	NDA	8/31/94	442	0	0
50	NDA	8/31/94	22,218	0	0
51	NDA	8/31/94	51,774	0	0
52	NDA	8/31/94	77,570	0	0
53	NDA	8/31/94	104,179	0	0
54	NDA	8/31/94	23,603	0	0
55	ASSETS PRIOR TO 8/31/96	8/31/95	14,036	0	0
56	NDA	8/31/95	6,852	0	0
57	NDA	8/31/95	1,176	0	0
58	NDA	8/31/95	4,312	0	0
59	NDA	8/31/96	12,692	0	0
60	NDA	8/31/96	19,702	0	0
61	NDA	8/31/97	2,680	0	0
62	NDA	8/31/97	10,357	0	0
63	NDA	8/31/97	12,177	0	0
64	NDA	8/31/97	1,557	0	0
65	NDA	8/31/97	3,215	0	0
66	NON DEPRECIABLE ASSETS	8/31/97	15,548	0	0
67	NON DEPRECIABLE ASSETS	8/31/97	3,877	0	0

Asset	Description	Date In Service	Cost	Tax	AMT
68	COMPUTER	8/31/98	2,500	0	0
69	NON-DEPRECIABLE ASSETS	8/31/98	1,521	0	0
70	NDA	8/31/99	6,163	0	0
71	NDA	8/31/00	30,000	0	0
72	NDA	8/31/00	3,720	0	0
73	NDA	8/31/00	1,015	0	0
74	GATEWAY COMPUTER	2/28/01	1,351	0	0
75	NDA	8/31/01	101,344	0	0
76	NDA	8/31/01	30,950	0	0
77	NON-DEPRECIABLE ASSETS	8/31/01	30,000	0	0
78	NON-DEPRECIABLE ASSETS	8/31/01	1,098	0	0
79	HP LASER PRINTER	9/01/01	499	0	0
80	COLOR COPIER	8/31/02	406	0	0
81	CYLINDER STORAGE CONTAINERS	8/31/02	1,041	0	0
82	DIGITAL CAMERA	8/31/02	880	0	0
83	FLOOD LIGHTING	8/31/02	1,225	0	0
84	NDA	8/31/02	179,469	0	0
85	NDA	8/31/02	2,052	0	0
86	NON-DEPRECIABLE ASSETS	8/31/02	1,221	0	0
87	SCANNER	8/31/02	425	0	0
88	SIGNS	8/31/02	2,025	0	0
89	SMOKE & DUCT DETECTORS	8/31/02	500	0	0
90	WATER LINES	8/31/02	7,086	0	0
91	GATEWAY DS 450L	9/26/02	2,065	0	0
92	NEW FURNACE	12/20/02	2,100	0	0
93	2 AMD XP 2100 CUSTOM BUILT COMP	12/26/02	2,214	0	0
94	DIGITAL CAMERA	12/30/02	750	0	0
95	LASER PRINTER	1/24/03	658	0	0
96	NDA	8/31/03	46,349	0	0
97	NDA	8/31/03	2,285	0	0
98	NDA	8/31/03	1,303	0	0
99	NDA	8/31/03	2,974	0	0
100	HYDRANT INSTALLATION	12/03/03	1,000	0	0
101	NDA	1/12/04	1,553	0	0
102	NDA	3/22/04	4,490	0	0
103	ELECTRICAL	4/29/04	1,345	0	0
104	NDA	4/30/04	1,675	0	0
105	SIGNAGE	6/03/04	2,517	0	0
106	2 GATEWAY CPUS	8/13/04	700	0	0
107	DRIVEWAY	12/31/04	21,000	2,100	0
108	PARKING LOT	12/31/04	1,580	158	0
109	NDA	2/01/05	685	0	0
110	NDA	6/18/05	5,137	0	0
111	KITCHEN EQUIPMENT	8/30/05	2,215	316	0
112	NDA	8/30/05	1,745	0	0
113	OFFICE FURNITURE	10/05/05	5,252	0	0
114	FRAMING FOR HOUSE PICTURE FOR OFFI	11/11/05	1,136	0	0
115	MOWER W BAGGING SYSTEM	2/07/06	7,995	0	0
116	CHEST & TABLE	7/06/06	1,832	0	0
117	NON-DEPRECIABLE ASSETS	11/27/06	16,542	0	0
118	NON-DEPRECIABLE ASSETS	12/20/06	11,143	0	0
119	COMPUTER PKG - 8 PRINTERS, 7 DELL	5/10/07	5,162	688	0
120	CUSTOMIZED DATABASE	5/10/07	7,583	1,011	0
121	NDA	3/28/08	10,500	0	0
122	NEW GARDEN TERRACE	4/01/08	58,030	3,869	0
123	NDA	1/22/08	113,342	0	0
124	NDA	7/09/08	10,000	0	0
125	NDA	8/18/08	173,684	0	0
126	NDA	9/01/08	431	0	0
127	NDA	9/01/08	1,100	0	0
128	VACUUM CLEANER - SPECIALIZED	11/05/08	2,011	403	0
129	GARDEN REDSIGN	4/16/09	25,000	1,667	0
130	NDA	6/25/09	9,056	0	0
131	WATER HEATER	2/04/10	1,019	102	0
132	COIL CONDENSER	5/19/11	4,300	430	0
Total Other Depreciation			3,912,571	10,744	0

A1160 HISTORIC HOMES FOUNDATION, INC.
61-0549274
FYE: 8/31/2011

03/26/2012 8:24 AM

Future Depreciation Report **FYE: 8/31/12**
Form 990, Page 1

<u>Asset</u>	<u>Description</u>	<u>Date In Service</u>	<u>Cost</u>	<u>Tax</u>	<u>AMT</u>
	Total ACRS and Other Depreciation		<u>3,932,571</u>	<u>10,744</u>	<u>0</u>
	Grand Totals		<u>3,932,571</u>	<u>10,744</u>	<u>0</u>

61-0549274

Federal Statements

FYE: 8/31/2011

Form 990, Part IX, Line 24f - All Other Expenses

Description	Total Expenses	Program Service	Management & General	Fund Raising
BANK AND OTHER FEES	\$ 10,428	\$ 10,130	\$ 298	\$ 44
FUNDRAISING EXPENSE	10,397	6,023		4,374
OFFICE SUPPLIES	7,391	6,992	399	59
POSTAGE & PRINTING	5,174	1,084	77	13
MISCELLANEOUS EXPENSES	3,756	420	2,836	500
Total	\$ 37,146	\$ 24,649	\$ 3,507	\$ 4,990

A1160 HISTORIC HOMES FOUNDATION, INC.
61-0549274
FYE: 8/31/2011

3/26/2012 8:24 AM

Federal Statements

Schedule A, Part III, Line 7b - Excess Gross Receipts

<u>Donor Name</u>	<u>Total</u>	<u>Excess</u>
HIGHLAND CLEANERS	\$	\$
2009	12,500	6,336
2008	17,604	10,000
2007	100,430	91,000
2006	46,583	38,081
HORN FOUNDATION		
2007	46,715	37,285
2006	37,013	28,511
DAVID JONES		
2007	109,430	100,000
COMMONWEALTH OF KY		
2007	19,430	10,000
2006	13,502	5,000
IMLS		
2006	15,531	7,029
BARBARA PARTLOW		
2006	34,305	25,803
ALFRED SHANDS		
2008	12,604	5,000
2006	13,608	5,186
SUE SPEED		
2006	13,793	5,291
GENERAL ELECTRIC		
2006	19,213	10,711
LOUISVILLE METRO GOVERNMENT		
2007	32,530	23,100
2006	29,302	20,800
GUTHRIE ZARING		
2009	6,400	206
2007	14,430	5,000
GLAXOSMITHKLINE FOUNDATION		
2007	14,430	5,000
CHARLIE HEBEL		
2008	12,604	5,000
2007	14,530	5,100
EDITH BINGHAM		
2010	12,500	6,628
2009	12,600	6,406
2007	21,930	17,500
MICHAEL JONES		
2007	21,930	12,500
DORIS REAL ESTATE		
2007	29,430	20,000
DORIS JONES		
2007	19,430	10,000
CRALLE FOUNDATION		
2007	14,430	5,000
KENTUCKY HUMANITIES		
2007	27,430	19,000
BROWN FORMAN		
2008	17,604	10,000
2007	19,430	10,000
DWILEY BROWN FRAZIER		
2008	12,604	25,000

Form **8868**
(Rev. January 2011)

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service

▶ **File a separate application for each return.**

- ▶ **If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box**
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)
- Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868**

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension-check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print	Name of exempt organization HISTORIC HOMES FOUNDATION, INC.	Employer identification number 61-0549274
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions 3110 LEXINGTON ROAD	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions LOUISVILLE KY 40206	

Enter the Return code for the return that this application is for (file a separate application for each return)

01

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**JODI SKEES
3110 LEXINGTON ROAD**

KY 40206

- The books are in the care of **LOUISVILLE**
Telephone No. ▶ **502-899-5079** FAX No. ▶
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **04/17/12** to file the exempt organization return for the organization named above. The extension is for the organization's return for

▶ calendar year or
▶ tax year beginning **09/01/10** and ending **08/31/11**

2 If this tax year entered in line 1 is for less than 12 months, check reason Initial return Final return
 Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$

Caution. If you are going to make an electronic fund withdrawal with this Form 8868 see Form 8453-EO and Form 8879-EO for payment instructions

For Paperwork Reduction Act Notice, see Instructions.
DAA

OK 96 - Pg 4 of 8

ARTICLES OF INCORPORATION

KNOW ALL MEN BY THESE PRESENTS:

That we, the undersigned, hereby associate ourselves together for the purpose of forming a charitable and educational corporation under the provisions of KRS 273.010 to 273.160, inclusive, whose Articles of Incorporation are as follows:

I

The name of the corporation shall be the HISTORIC HOMES FOUNDATION, INC., and its principal office and place of business shall be in Louisville, Kentucky, and the name and address of the person upon whom process may be served is Eli H. Brown, III, 420 South Fifth Street, Louisville, Kentucky.

II

The object and purpose of this corporation shall be the advancement of education, culture and the arts in the State of Kentucky, by all methods calculated to achieve such end, and particularly, without limiting the generality of the foregoing, through the acquisition, restoration, and maintenance of historic sites, and the charging of an admission fee for the privilege of entering and viewing said historic sites, with profit from such operation, if any, to be used for the furtherance of the purposes of this corporation through the acquisition, restoration, and maintenance of other historic sites, or for the benefit of some one or more charitable or educational institutions located in the State of Kentucky, to be selected by the Board of Trustees.

III

The corporation shall have power to accept, receive, hold, and dispose of real and personal property of every kind and

description which may be given to it, or in any way by it acquired and shall have full and complete powers over the management, control and disposition thereof. It shall have the right to mortgage any property which it may acquire to secure indebtedness which it may incur, and shall generally have full power to contract and be contracted with, to sue and be sued, and all other general corporate powers which insure to corporations formed under laws of the Commonwealth of Kentucky.

IV

The corporation shall have no capital stock, and no member of the Board of Trustees shall derive any private pecuniary profit from it.

V

The corporation shall have perpetual existence unless sooner terminated in accordance with law, by action of its Board of Trustees.

VI

The name and address of each incorporator is as follows:

<u>Anna Bruce Haldeman</u>	<u>Glenview, Ky.</u>
<u>Barbara Anderson</u>	<u>2350 Valetta Rd., Lou., Ky.</u>
<u>James C. Courtenay</u>	<u>449 Swing Lane, Lou., Ky.</u>
<u>Virginia P. Speed</u>	<u>2828 Lexington Rd., Lou., Ky.</u>
<u>Elizabeth E. Seiler</u>	<u>5123 Dunvegan Rd., Lou., Ky.</u>
<u>Margaret H. Davidson</u>	<u>Crestwood, Ky.</u>
<u>Harriet C. Collis</u>	<u>Upper River Rd., Lou., Ky.</u>
<u>John S. Speed</u>	<u>1174 Castlevale Dr., Lou., Ky.</u>
_____	_____

VII

The affairs of the corporation shall be conducted by

a Board of Trustees consisting of not less than three (3) nor more than thirty (30) persons. A majority of those qualified and acting shall constitute a quorum. All vacancies on the Board of Trustees caused by death, resignation, or otherwise, shall be filled by the Board. A member of the Board may be removed by the affirmative vote of two-thirds of the then qualified and acting members of the Board, with the affirmative vote of the Incorporators of this corporation shall be and constitute the first Board of Trustees, with full power to elect other persons to the Board up to the maximum number.

Chk 76 - Pg 450 The Board of Trustees shall meet annually and at such other times as it may deem proper. At its annual meeting it shall elect a President, one or more Vice Presidents, a Secretary, and a Treasurer, whose duties shall be defined by the By-Laws to be adopted by the Board at its first meeting and who need not be members of the Board. Said By-Laws thereafter may be amended from time to time at the pleasure of the Board. Any two offices may be held by one person.

VIII

The corporation shall not contract any indebtedness in excess of 250,000 dollars.

IX

Private property of the officers and members of the Board of Trustees shall not be subject to the debts of the corporation.

WHEREFORE witness our signatures this 8th day of January, 1957.

Wm. Bruce Haldeman
Barbara Anderson
James D. Conway
JAN 10 1957

Elizabeth E. Seiler
Margaret N. Davidson
Harriet C. Collis
John S. Speed

STATE OF KENTUCKY)
COUNTY OF JEFFERSON)

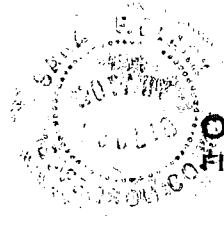
I, the undersigned, a Notary Public within and for the State and County aforesaid, certify that the foregoing instrument of writing was produced before me in said County and State by

Anne Bruce Haldeman
Barbara Anderson
James C. Courtenay
Virginia P. Speed
Elizabeth E. Seiler
Margaret N. Davidson
Harriet C. Collis
John S. Speed

and acknowledged by them to be their act and deed. *Bk 96 - Pg 4*

Witness my hand and seal this the 9th day of January 1957.

My commission expires June 8, 1959



ORIGINAL COPY
FILED AND RECORDED

JAN 10 1957

Samuel S. Clark
Notary Public
Jefferson County, Kentucky

Helms L. Stovall
SECRETARY OF STATE OF KENTUCKY



Massey Nursery Sales & Consultation, LLC

7417 Dorsey Court
 Crestwood, KY 40014
 (502) 241-7279 Office & Fax

Order

Date	Invoice#
6/10/2014	1156

Bill To
Mike Hayman City of Louisville

P.O. No.	Terms	Project

Quantity	Description	Rate	Amount
4	Acer buegeranum Trident Maple 3" Waiting for City approval	180.00	720.00
Total			\$720.00

I have reviewed the above order and estimated freight charges (if any) and approve the information as listed.

Whitehall

From: Michael <mhayman@iglou.com>
Sent: Thursday, June 12, 2014 12:45 PM
To: Whitehall Simmons
Subject: Fwd: Tree cost

Begin forwarded message:

From: chris <chris@chrissummersnursery.com>
Date: June 12, 2014 at 12:30:27 PM EDT
To: Michael <mhayman@iglou.com>
Subject: RE: Tree cost

Chris summers nursery
6702 old zaring rd
Crestwood KY 40014

1 - 3" Trident maple 180\$

Sent from my Verizon Wireless 4G LTE Smartphone

----- Original message -----

From: Michael
Date: 06/12/2014 11:06 AM (GMT-05:00)
To: chris
Subject: Tree cost

I need a quote with your nursery name attached for the trident maple \$180 to submit to Tina Ward Pugh to get approval. I think email will be ok.

Request for Taxpayer Identification Number and Certification

Give Form to the
 requester. Do not
 send to the IRS.

Print or type
 See Specific instructions on page 2.

Name (as shown on your income tax return) Historic Homes Foundation, Inc.	
Business name/disregarded entity name, if different from above Whitehall House & Gardens	
Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ <input type="checkbox"/> Exempt payee <input checked="" type="checkbox"/> Other (see instructions) ▶ Non-Profit Organization	
Address (number, street, and apt. or suite no.) 3110 Lexington Road	Requester's name and address (optional)
City, state, and ZIP code Louisville, Kentucky 40206	
List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Social security number								

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Employer identification number								
6	1	-	0	5	4	9	2	7

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.

Sign Here Signature of U.S. person ▶ Mervin J. Simmon

Date ▶ June 18, 2014

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

Year Ended August 31, 2011

Financial Statements

HISTORIC HOMES FOUNDATION, INC.

HISTORIC HOMES FOUNDATION, INC
Financial Statements and Supplementary Information
Year Ended August 31, 2011

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Independent Accountants' Review Report

To the Board of Trustees
Historic Homes Foundation, Inc
Louisville, Kentucky

We have reviewed the accompanying statement of financial position of Historic Homes Foundation, Inc. (the "Foundation") as of August 31, 2011 and the related statements of activities and cash flows for the year then ended. A review includes primarily applying analytical procedures to management's financial data and making inquiries of Organization management. A review is substantially less in scope than an audit, the objective of which is the expression of an opinion regarding the financial statements as a whole. Accordingly, we do not express such an opinion.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

Our responsibility is to conduct the review in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. Those standards require us to perform procedures to obtain limited assurance that there are no material modifications that should be made to the financial statements. We believe that the results of our procedures provide a reasonable basis for our report.

Based on our review, we are not aware of any material modifications that should be made to the accompanying financial statements in order for them to be in conformity with accounting principles generally accepted in the United States of America.

Rodefer Moss & Co, PLLC
New Albany, Indiana
December 29, 2011

Historic Homes Foundation, Inc
Statement of Financial Position
August 31, 2011

ASSETS	
Cash	\$ 176,589
Accrued interest receivable	823
Inventories	5,969
Prepaid expenses	7,660
Property and equipment	3,826,258
Investments	479,882
Beneficial interest in assets held by the Community Foundation of Louisville, Inc.	<u>13,132</u>
Total assets	<u>\$ 4,510,313</u>
LIABILITIES AND NET ASSETS	
Liabilities	
Accounts payable	\$ 17,439
Accrued payroll	8,584
Deferred revenues	<u>6,100</u>
Total liabilities	<u>32,123</u>
Net Assets	
Unrestricted	
Expendable net assets	64,282
Board designated	100,538
Temporarily restricted	353,809
Permanently restricted	<u>3,959,561</u>
Total net assets	<u>4,478,190</u>
Total liabilities and net assets	<u>\$ 4,510,313</u>

See accompanying notes and independent accountants' review report.

Historic Homes Foundation, Inc.
Statement of Activities
Year Ended August 31, 2011

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
Support, revenue and gains	\$ 284,738	\$ -	\$ -	\$ 284,738
Special events	132,662	-	-	132,662
Rentals	45,583	67,600	-	113,183
Contributions	14,313	22,570	-	36,883
Unrealized gains on investments	21,037	-	-	21,037
Admission fees	21,170	-	-	21,170
Realized gains on investments	13,618	-	-	13,618
Grants	6,074	6,778	-	12,852
Investment income	4,533	-	-	4,533
Shop sales	4,300	-	-	4,300
Memberships	-	-	-	-
Increase in beneficial interest in assets held by others	-	-	1,334	1,334
Miscellaneous	264	-	-	264
	<u>548,292</u>	<u>96,948</u>	<u>1,334</u>	<u>646,574</u>
Net assets released from restrictions	<u>51,367</u>	<u>(50,033)</u>	<u>(1,334)</u>	<u>-</u>
Total support, revenue, and gains	599,659	46,915	-	646,574
Expenses and losses				
Program Services	492,931	21,964	9,840	524,735
Museum home operations				
Supporting services	10,015	-	-	10,015
Management and general	92,724	-	-	92,724
Fundraising	5,011	-	-	5,011
Cost of sales	-	38	-	38
Realized investment losses	-	-	-	-
Total expenses and losses	<u>600,681</u>	<u>22,002</u>	<u>9,840</u>	<u>632,523</u>
Transfers within net assets	<u>(4,300)</u>	<u>-</u>	<u>4,300</u>	<u>-</u>
Property and equipment, additions				
Change in net assets	(5,322)	24,913	(5,540)	14,051
Net assets, beginning of year	88,399	410,639	3,965,101	4,464,139
Reclassification of net assets	<u>81,743</u>	<u>(81,743)</u>	<u>-</u>	<u>-</u>
Net assets, end of year	<u>\$ 164,820</u>	<u>\$ 353,809</u>	<u>\$ 3,959,561</u>	<u>\$ 4,478,190</u>

See accompanying notes and independent accountants' review report.

Historic Homes Foundation, Inc.
Statement of Cash Flows
Year Ended August 31, 2011

Cash Flows From Operating Activities	
Change in net assets	\$ 14,051
Adjustments to reconcile change in net assets to net cash flows from operating activities:	
Depreciation	12,514
Contributions of stock	(51)
Realized net gains/losses on sales of investments	(21,132)
Unrealized appreciation in fair value of investments	(36,883)
Increase in beneficial interest of assets held by others	(1,334)
Changes in working capital components	
(Increase) decrease in assets:	
Accounts receivable	338
Accrued interest receivable	1,132
Prepaid expenses	(7,660)
Inventories	3,020
Increase (decrease) in liabilities:	
Accounts payable	14,454
Accrued payroll	8,584
Deferred revenue	(2,765)
Net cash flows from operating activities	<u>(15,732)</u>
Cash Flows From Investing Activities	
Property and equipment, additions, and improvements	(4,300)
Proceeds from sale of investments	365,024
Purchases of investments	<u>(262,914)</u>
Net cash flows from investing activities	<u>97,810</u>
Net increase in cash	82,078
Cash, beginning of year	<u>94,511</u>
Cash, end of year	<u>\$ 176,589</u>

Supplemental Schedule of Non-Cash Investment Activities

During the year ended August 31, 2011, the Foundation received donated investments with a fair value of \$51 as of the date of donation.

HISTORIC HOMES FOUNDATION, INC
Notes to Financial Statements
August 31, 2011

NOTE 1 - NATURE OF ACTIVITIES AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Nature of Activities - Historic Homes Foundation, Inc. (the "Foundation") is a not-for-profit organization incorporated January 10, 1957, which is chartered to purchase, display, and preserve historic buildings and their inherent traditions. The Foundation is currently associated with three homes in Louisville, Kentucky:

Farmington, a federal-style home, was built circa 1815 after a plan by Thomas Jefferson. In 1958, the Foundation acquired Farmington and has since restored the home and the adjoining 19th century gardens.

Thomas Edison House was built about 1850 and is the former home of Thomas Edison. The Foundation acquired the Thomas Edison House October 30, 1990 and operates it as a museum-home.

Whitehall, a Classical Revival, antebellum mansion, was built about 1855. The Foundation acquired Whitehall in 1992 through a bequest and has extensively renovated the house and the adjoining Florentine gardens.

Basis of Presentation - Net assets and revenues, expenses, gains, and losses are classified based on the existence or absence of donor-imposed restrictions. Accordingly, net assets of the Foundation and changes therein are classified and reported as follows:

Unrestricted Net Assets - These net assets include the following:

Expendable Net Assets (Deficiency) - These net assets include the portion of expendable funds that are not subject to donor imposed restrictions and are available for support and the operations of the Foundation.

Board Designated - These net assets represent funds designated for specific purposes by the Board of Directors. Although the Board could release or revise the designations in the future, to the extent not externally restricted, there is not intent to do so.

Temporarily Restricted Net Assets - These net assets include gifts for which donor imposed restrictions have not been met.

Permanently Restricted Net Assets - These net assets include amounts for which the donor has stipulated that the corpus be invested in perpetuity and only the income be made available for program operations in accordance with donor restrictions. Permanently restricted net assets also include the initial value of the museum homes, collections and outbuildings, which are reported at their fair values on the date of initial purchase or bequest and the net book value of the additional and improvements made to the museum homes subsequent to their acquisition or bequest.

Estimates - The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect amounts reported in the financial statement and accompanying notes. Actual results could differ from those estimates.

Statement of Cash Flows - The Foundation considers all highly liquid investments with a maturity of three months or less when purchased to be cash equivalents. Cash and cash equivalents for purposes of the statement of cash flows exclude permanently restricted cash and cash equivalents, as well as temporary cash funds held in investment accounts.

Investments - The Foundation invests in fixed-income securities, including government and corporate bonds, and in publicly-traded stocks and mutual funds.

The Foundation's investments are stated at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. All gains and losses (including unrealized gains and losses) are reported in the statement of activities. Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Gifts of marketable securities are stated at fair market value at date of gift.

HISTORIC HOMES FOUNDATION, INC.
Notes to Financial Statements (Continued)

NOTE 1 - NATURE OF ACTIVITIES AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES - (Continued)

Property and Equipment - Purchased property and equipment are recorded at cost. Donated property and equipment are recorded at their estimated value when donated. In accordance with the practice generally followed for historical treasures, no provision is made for depreciation. Office furniture and equipment are depreciated on the straight-line basis over reasonably estimated useful lives ranging from five to ten years. The Foundation's policy is to expense assets costing \$500 or less. The cost of maintenance and repairs is charged to expense as incurred; significant renewals and betterments are capitalized.

Inventories - Inventories consisting of gift shop merchandise are stated at lower of identified cost or market.

Support and Revenue - The Foundation reports support and revenues when earned, which is typically the point at which payment is received from members, donors or gift shop customers. Advance payments and deposits received for event rentals to be held at the homes are reported in deferred revenue until the event is held, at which point the deferred revenue is reclassified to income.

Contributions are generally available for unrestricted use unless specifically restricted by the donor. Support and revenue are recorded as received.

Contributions of cash and other assets are reported as temporarily restricted support if they are received with donor stipulations that limit the use of the donated assets. When a donor restriction expires, that is, when a stipulated time restriction ends or purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions.

Contributed Services - During the fiscal year, the value of contributed services meeting the requirements for recognition in the financial statements was not material and has not been recorded. However, many individuals volunteer their time and perform a variety of tasks that assist the Foundation.

Contributed Goods - Contributed materials and equipment are shown as contributions, valued at their estimated value at the date of receipt.

Advertising - The Foundation uses advertising to promote various events. The costs of advertising are expensed as incurred. For the year ended August 31, 2011, advertising costs totaled \$10,864.

Income Taxes - The Foundation is a not-for-profit organization that is exempt from income taxes under Internal Revenue Code Section 501(c)(3). Accordingly, no provision for federal or state income taxes is included in the accompanying financial statements. The Foundation evaluates its uncertain tax positions in accordance with applicable standards. It has evaluated its tax position, and believes that it has none that are uncertain. At the Statement of Financial Position date, the Foundation's Form 990 for the year ending August 31, 2011, 2010, and 2009 remained subject to examination by the Internal Revenue Service.

Date of Management's Review - Management has evaluated events and transactions occurring subsequent to the Statement of Financial Position date of August 31, 2011 for items that should potentially be recognized or disclosed in these financial statements. The evaluation was conducted through December 29, 2011, the date these financial statements were available to be issued.

NOTE 2 - CONCENTRATION OF CREDIT RISK FOR CASH HELD IN BANK

Cash and cash equivalents are maintained at financial institutions and, at times, balances may exceed federally insured limits. The Foundation has never experienced any losses related to these balances. All of the non-interest bearing cash balances were fully insured at August 31, 2011 due to a temporary federal program in effect from December 31, 2010 through December 31, 2012. Under the program, there is no limit to the amount of insurance for eligible accounts.

HISTORIC HOMES FOUNDATION, INC.
Notes to Financial Statements (Continued)

NOTE 3 - INVESTMENTS

Investments of the Foundation at August 31, 2011 are summarized as follows:

	Cost	Fair Value
Cash and cash equivalents	\$ 12,126	\$ 12,126
U.S. Government securities	15,465	15,690
Mutual funds	264,057	281,564
Common stocks	149,682	170,502
Total	<u>\$ 441,330</u>	<u>\$ 479,882</u>

Summarized by home, fair value of investments at August 31, 2011 were:

	Farmington	Whitehall	Total
Investments, at fair value			
Cash and cash equivalents	\$ 6,741	\$ 5,385	\$ 12,126
U. S. Government securities	15,690	-	15,690
Mutual funds	159,375	122,189	281,564
Common stocks	43,732	126,770	170,502
Total investments, at fair value	<u>\$ 225,538</u>	<u>\$ 254,344</u>	<u>\$ 479,882</u>

NOTE 4 - FAIR VALUE MEASUREMENTS

The FASB has issued a standard which establishes a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurements) and the lowest priority to unobservable inputs (level 3 measurements). The three levels of the fair value hierarchy under the FASB standard are described below:

Level 1 Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets the Foundation has the ability to access.

Level 2 Inputs to the valuation methodology include:

- quoted prices for similar assets or liabilities in active markets
- quoted prices for identical or similar assets or liabilities in inactive markets
- inputs other than quoted prices that are observable for the asset or liability
- inputs are derived principally from or corroborated by observable market data by correlation or other means

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used to determine fair value at August 31, 2011.

HISTORIC HOMES FOUNDATION, INC
Notes to Financial Statements (Continued)

NOTE 4 - FAIR VALUE MEASUREMENTS - (Continued)

Cash and cash equivalents: Consists primarily of money market funds, which are valued at the net asset value ("NAV") of units held by the Foundation at year end.

U.S. government securities: Valued at unadjusted quoted prices for identical assets in active markets.

Mutual funds: Valued at the NAV of shares held by the Foundation at year end

Common stocks: Valued at the quoted market price of shares held by the Foundation at year end.

Beneficial interest in assets held by the Community Foundation of Louisville, Inc.: Fair value is estimated based on the present value of the expected future cash flows from the underlying assets

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Foundation believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The follow table sets forth by level, within the fair value hierarchy, the Foundation's assets at fair value as of August 31, 2011.

	Assets at Fair Value as of August 31, 2011			
	Level 1	Level 2	Level 3	Total
Money market funds	\$ 12,126	\$ -	\$ -	\$ 12,126
U.S. Government Securities:				
Rated AA	15,690	-	-	15,690
Mutual funds:				
International equity	33,664	-	-	33,664
Equity funds	47,978	-	-	47,978
Exchange traded equity funds	50,400	-	-	50,400
Exchange traded bond funds	102,958	-	-	102,958
Bond mutual funds	46,564	-	-	46,564
Total mutual funds	281,564	-	-	281,564
Common Stocks:				
Industrials	10,682	-	-	10,682
Consumer discretionary	32,045	-	-	32,045
Consumer staples	34,262	-	-	34,262
Financials	41,017	-	-	41,017
Health care	26,042	-	-	26,042
Information technology	26,454	-	-	26,454
Total common stocks	170,502	-	-	170,502
Beneficial interest in assets held by the Community Foundation of Louisville, Inc.	-	-	13,132	13,132
Total assets at fair value	\$ 479,882	\$ -	\$ 13,132	\$ 493,014

HISTORIC HOMES FOUNDATION, INC
Notes to Financial Statements (Continued)

NOTE 4 - FAIR VALUE MEASUREMENTS - (Continued)

The following table reconciles the beginning of year and end of year balances of the Level 3 assets. The unrealized gain is presented on the Statement of Activities under the permanently restricted account named *increase in beneficial interest in assets held by others*.

Year Ended August 31, 2011

Balance, beginning of the year	\$	11,798
Unrealized gains		1,334
Balance, end of the year	\$	13,132

NOTE 5 - PROPERTY AND EQUIPMENT

Property and equipment of the Foundation are summarized as follows:

Museum homes, collections, and outbuildings		
Farmington	\$	1,774,868
Thomas Edison House		113,801
Whitehall		1,861,521
Historic Homes Foundation		
Office equipment		36,765
Less accumulated depreciation		(35,069)
Farmington		
Garden terrace		25,000
Office equipment		35,921
Less accumulated depreciation		(12,283)
Whitehall		
Garden terrace		58,030
Office equipment		14,367
Less accumulated depreciation		(27,534)
Thomas Edison House		
Office equipment		12,298
Less accumulated depreciation		(11,427)
Book value of property and equipment	\$	3,826,258

Depreciation expense for the year ended August 31, 2011 totaled \$12,514.

NOTE 6 - RECLASSIFICATION OF NET ASSETS

Contributions to the capital campaign were previously reported as board designated unrestricted net assets. Due to time and purpose restrictions, these contributions have been reclassified to temporarily restricted net assets. Additionally, the temporarily restricted balance for Whitehall was reclassified to reflect the actual balance in the investment account, as the contributions made to this account represent donor restricted funds. Temporarily restricted net assets for the Thomas Edison House were also reclassified since the contributions to this fund did not have donor restrictions.

NOTE 7 - NET ASSETS

Endowment, Historic Homes Foundation, Inc.

This Endowment was established with endowment contributions restricted by the donors. Interest and dividends from the Endowment is available for the operation of the Foundation.

HISTORIC HOMES FOUNDATION, INC
Notes to Financial Statements (Continued)

NOTE 7 - NET ASSETS - (Continued)

Endowment, Farmington

Endowment assets are held in investment management accounts under trust agreements with local banks. Included in the Endowment investments are restricted bequests received from Margaret Norton Davidson, Mary Jo Gheens Hill, and Anne Bruce Haldeman. The Davidson Endowment amounts to \$50,000, the income from which is to be used for Farmington repairs, maintenance, improvements, or development. The Hill bequest also amounts to \$50,000, the income from which is to be used to maintain the grounds at Farmington. The Haldeman bequest amounts to \$25,000, the income from which is to be used to maintain the garden at Farmington. All bequests revert to other charities in the event that Farmington should cease to exist. In addition to these mentioned, numerous other donors have made contributions in varying amounts to Farmington's Endowment.

The Farmington Endowment account also includes unrestricted funds which the Board of Directors designated for investment in the Endowment Fund in the amount of \$100,538 at August 31, 2011.

Property and Equipment

Farmington property and equipment includes the cost of Farmington and the restoration and furnishing costs. Thomas Edison House property and equipment includes the estimated value of the house and its exhibits at \$55,000 as of the date the Foundation took title. Whitehall property and equipment includes the estimated value of the house and its furnishing of \$1,189,948 as of the date the Foundation took title.

Temporarily Restricted

Temporarily restricted net assets for Whitehall were established with bequests received from Jerome Littel and Hume Logan. The assets are held in an investment management account under a trust agreement with an investment advisor. The income from the Endowment is to be used to maintain and develop Whitehall, a historic home which was bequested to the Foundation in July 1992. Income from the Endowment may also be used for the operation of the Foundation.

NOTE 8 - NET ASSET ENDOWMENTS

The Foundation's endowment funds consist of three donor-imposed restricted funds, all of which were established for a variety of purposes. As required by generally accepted accounting principles, net assets associated with the endowment funds are classified and reported based on the existence of donor-imposed restrictions.

Interpretation of Relevant Law

Prior to 2010, the Foundation was subject to the provisions of the Uniform Management of Institutional Funds Act ("UMIFA"), prescribed in Kentucky Revised Statutes ("KRS") sections 273.510 through 273.590. However, UMIFA was repealed during the 2010 Kentucky legislative session and the Uniform Prudent Management of Institutional Funds Act ("UPMIFA") was adopted as prescribed in KRS sections 273.600 through 273.645. The enactment of UPMIFA in Kentucky, and the resulting adoption by the Foundation, resulted in a reclassification of \$270,575 of net assets previously reported as permanently restricted net assets to board designated unrestricted net assets and \$1,798 of net assets previously reported as permanently restricted net assets to expendable unrestricted net assets during the year ended August 31, 2010.

The Board of Directors of the Foundation has interpreted UPMIFA as requiring the preservation of the fair value of the original gift as of the gift date of the donor-restricted endowment funds absent explicit donor stipulations to the contrary. As a result of this interpretation, the Foundation classifies, as permanently restricted net assets, the original value of gifts donated to the permanent endowment, original value of subsequent gifts to the permanent endowment, and accumulations to the permanent endowment made in accordance with the direction of the applicable donor gift instrument at the time the accumulation is added to the fund. The remaining portion of the donor-restricted endowment fund that is not classified as permanently restricted net assets is classified as board designated unrestricted net assets until those amounts are appropriated for expenditure by the Foundation in a manner consistent with the standard of prudence prescribed by UPMIFA.

HISTORIC HOMES FOUNDATION, INC.
Notes to Financial Statements (Continued)

NOTE 8 - NET ASSET ENDOWMENTS - (Continued)

Funds with Deficiencies

From time to time, the fair value of assets associated with individual donor-restricted endowment funds may fall below the level that the donor or UPMIFA requires the Foundation to retain as a fund of perpetual duration. In accordance with generally accepted accounting principles, deficiencies of this nature are reported in unrestricted net assets. There were no such deficiencies as of August 31, 2011.

Return Objective and Risk Parameters

The Foundation has adopted investment and spending policies for endowment assets that attempt to provide a predictable stream of funding to programs supported by its endowment while seeking to maintain the purchasing power of the endowment assets. Endowment assets include those assets of donor-restricted funds that the Foundation must hold in perpetuity or for a donor-specified period. Under this policy, as approved by the Board of Directors, the endowment assets are invested in a manner that is intended to produce returns that equal or exceed the results of major indexes while assuming a moderate level of investment risk.

Strategies Employed for Achieving Objectives

To satisfy its long-term rate-of-return objectives, the Foundation relies on a total return strategy in which investment returns are achieved through both capital appreciation (realized and unrealized) and current yield (interest and dividends). The Foundation targets a diversified asset allocation that places a greater emphasis on equity-based investments to achieve its long-term return objectives within prudent risk constraints.

Spending Policy and How the Investment Objectives Relate to Spending Policy

The Foundation appropriates distributions of earnings on the endowment funds based upon authorization from the Board of Trustees.

Endowment net asset composition by type of fund as of August 31, 2011 is as follows:

	Unrestricted	Board Designated	Permanently Restricted	Total
Donor-restricted endowment funds	\$ 3,132	\$ 100,538	\$ 135,000	\$ 238,670

The changes in endowment net assets by type of fund for the years ended August 31, 2011 are as follows:

	Unrestricted	Board Designated	Permanently Restricted	Total
Net Assets, beginning of year	\$ 1,798	\$ 127,902	\$ 135,000	\$ 264,700
Investment return				
Investment income	-	5,214	-	5,214
Net realized appreciation in fair values of endowment investments	-	21,170	-	21,170
Net unrealized appreciation in fair values of endowment investments	-	14,313	-	14,313
Increase in beneficial interest in assets held by others	1,334	-	-	1,334
Amounts appropriated for expenditure	-	(77,005)	-	(77,005)
Reclassification of net assets	-	8,944	-	8,944
Net assets, end of year	\$ 3,132	\$ 100,538	\$ 135,000	\$ 238,670

HISTORIC HOMES FOUNDATION, INC.
Notes to Financial Statements (Continued)

NOTE 9 - BENEFICIAL INTEREST IN ASSETS HELD BY THE COMMUNITY FOUNDATION OF LOUISVILLE, INC.
- PERMANENTLY RESTRICTED NET ASSETS

Certain permanent endowment funds of the Foundation are in the custody of the Community Foundation of Louisville, Inc.

The Foundation's beneficial interest in these assets is stated at the present value of the estimated expected future cash flows. This value is estimated to be the fair market value of the assets held by the Community Foundation. The agreement with the Community Foundation calls for distributions to the Foundation to begin at the request of the Foundation.

The variance power extended the Community Foundation gives their Board of Directors or Trustees the power to modify any restriction or condition on the distribution of funds for any specified charitable purposes or to a specified organization if, in the sole judgment of the Board, such restriction or condition becomes, in effect, unnecessary, incapable of fulfillment or inconsistent with the charitable needs of the community served.

NOTE 10 - INTERFUND BORROWINGS

The Foundation borrowed \$50,000 from the Whitehall endowment fund for use in operations. Interfund debt is not reflected as an asset or liability in the financial statements.

NOTE 11 - ALLOCATION OF JOINT COSTS

Current FASB standards require the allocation of joint costs including personnel, supplies, and facilities to fund-raising expenses.

In the year ended August 31, 2011, the Foundation incurred joint costs of \$296,764 for materials, salaries, and general expenses that included or supported fund-raising appeals. Of these costs, \$92,724 was allocated to fund-raising expense, \$194,025 was allocated to program services, and \$10,015 was allocated to management and general.

NOTE 12 - SPECIAL EVENTS

Included in special events revenue are various annual fund-raising events held to benefit the museum-homes. The allocation of profits to the individual museum homes each year is determined by the Board and is included in the final revenue and expense allocations. Special events revenue and expenses are summarized as follows:

Special events	Revenue	Expense	Net
Derby Breakfast	\$ 77,673	\$ 50,557	\$ 27,116
Summer Celebration - Whitehall	77,530	21,215	56,315
Evening in Garden - Farmington	50,282	19,126	31,156
Wine Dinner - Whitehall	14,764	9,192	5,572
Tea Party - Whitehall	11,298	3,820	7,478
Plant Sales - Farmington	9,524	9,208	316
Thomas Edison Extravaganza	9,033	2,628	6,405
Fall Festival - Farmington	8,626	2,100	6,526
Others	26,008	15,973	10,035
Total special events	<u>\$ 284,738</u>	<u>\$ 133,819</u>	<u>\$ 150,919</u>

Special events expenses are allocated between fund-raising and program services in the Statements of Activities.

• Certified Public Accountants
• Business Advisors

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.001 East Elm Street
New Albany, IN 47150



Independent Accountants' Report on the Supplementary Information

To the Board of Trustees
Historic Homes Foundation, Inc

Our review was made for the purpose of expressing limited assurance that there are no material modifications that should be made to the basic financial statements in order for them to be in conformity with accounting principles generally accepted in the United States of America. The supplementary information which follows is presented for purposes of additional analysis and is not a required part of the basic financial statements. Such information has been subjected to the inquiry and analytical procedures applied in the review of the basic financial statements, and we did not become aware of any material modifications that should be made to such information.

Rodefer Moss & Co. PLLC
Rodefer Moss & Co, PLLC
New Albany, Indiana
December 29, 2011

Historic Homes Foundation, Inc.
Statements of Activities by Home
Year Ended August 31, 2011

	Historic Homes Foundation	Laurelton Farmington	Thomas Edison House	Whitchell	Capital Campaign	Total
Support, revenue and gains						
Special events	\$ -	\$ 96,599	\$ 38,160	\$ 149,979	\$ -	\$ 284,738
Rentals	-	11,570	113	117,979	-	132,662
Contributions	5,725	26,615	2,719	10,474	67,600	113,133
Admission fees	-	11,063	7,531	2,303	-	21,937
Grants	-	250	7,615	5,753	-	13,618
Shop sales	-	2,410	2,110	13	-	4,533
Memberships	3,150	-	50	800	-	4,000
Miscellaneous	96	50	18	100	-	264
Total support, revenue, and gains	9,321	151,697	58,316	287,401	67,600	524,335
Expenses						
Salaries and fringes	1,139	107,173	34,487	97,829	-	240,628
Special events and programs	-	48,191	22,420	63,129	19	133,819
Maintenance, repairs, and security	1,653	22,017	4,476	46,116	12,933	87,395
Utilities	-	20,676	1,985	15,324	-	37,985
Professional fees	970	12,009	3,925	13,603	-	30,467
Insurance	-	12,726	1,464	9,729	-	23,919
Telephone	3,731	3,859	2,454	2,693	-	12,737
Depreciation	2,674	4,591	402	4,847	-	12,514
Advertising	-	3,461	600	6,803	-	10,864
Bank and other fees	298	2,907	929	4,544	1,750	10,428
Fundraising expense	-	576	270	5,753	3,798	10,397
Office supplies	399	1,609	566	4,725	92	7,391
Postage, printing, and delivery	90	1,953	764	2,367	-	5,174
Cost of sales	-	1,823	3,138	50	-	5,011
Membership recruitment	3,321	-	-	-	-	3,321
Miscellaneous expenses	15	350	37	33	-	435
Total expenses	14,450	243,921	77,917	277,545	18,652	632,485
Increase (decrease) in net assets before investment income (loss)	(5,129)	(92,224)	(19,601)	9,856	48,948	(58,150)
Investment income (loss)						
Unrealized gain on investments	-	14,313	-	22,570	-	36,883
Realized gain (loss) on investments	-	21,170	-	(38)	-	21,132
Interest and dividend income	754	5,321	-	6,752	25	12,852
Increase in beneficial interest in assets held by others	1,334	-	-	-	-	1,334
Total investment income (loss)	2,088	40,804	-	29,284	25	72,201
Increase (decrease) in net assets	\$ (3,041)	\$ (51,420)	\$ (19,601)	\$ 39,140	\$ 48,973	\$ 14,051

See independent accountants' review report on the supplementary information.

Historic Homes Foundation, Inc
Schedule of Net Assets by Home
August 31, 2011

General unrestricted net assets (deficiency)	
Historic Homes Foundation	\$ 34,073
Farmington	(11,470)
Thomas Edison House	(91,715)
Whitehall	<u>133,394</u>
Total general unrestricted net assets	64,282
Board Designated for investment as endowment	
Farmington	<u>100,538</u>
Total unrestricted net assets	<u>\$ 164,820</u>
Temporarily restricted net assets	
Farmington investments	\$ 99,465
Whitehall investments	<u>254,344</u>
Total temporarily restricted net assets	<u>\$ 353,809</u>
Permanently restricted net assets	
Endowment investments	
Historic Homes Foundation	\$ 10,000
Farmington	<u>125,000</u>
Total permanently restricted endowments	135,000
Property and equipment	
Farmington	1,803,507
Thomas Edison House	114,673
Whitehall	<u>1,906,381</u>
Total permanently restricted property and equipment	<u>3,824,561</u>
Total permanently restricted net assets	<u>\$ 3,959,561</u>

See independent accountants' review report on the supplementary information.

HISTORIC HOMES FOUNDATION STAFF

Diane Young, Executive Director
FARMINGTON

Executive Director -- VACANT
THOMAS EDISON HOUSE

Merrill Simmons, Executive Director
WHITEHALL

Brian Simm
Caretaker

Anne Tracy
Rentals Supervisor

Betty Rothwell
Museum Docent

Rafe Borders
Grounds Supervisor/Gardener

Annette Wendt
Gardener

HISTORIC HOMES FOUNDATION, INC.**General Information**

Organization Number	0023330
Name	HISTORIC HOMES FOUNDATION, INC.
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
Organization Date	1/10/1957
Last Annual Report	2/17/2013
Principal Office	3110 LEXINGTON RD. LOUISVILLE, KY 40206
Registered Agent	BEN JOHNSON TALBOTT, JR. 501 S. 2ND. ST. LOUISVILLE, KY 40202

Current Officers

President	<u>Butch Shaw</u>
Vice President	<u>Dean Wilkinson</u>
Secretary	<u>Chris Hartley</u>
Treasurer	<u>Terry Malcolm</u>
Director	<u>Butch Shaw</u>
Director	<u>John Stough</u>
Director	<u>Speed Stodghill</u>
Director	<u>Barbara Baker Brown</u>
Director	<u>Lindsey Ciechanowski</u>
Director	<u>Andrew Russman</u>

Individuals / Entities listed at time of formation

Director	-
Director	-
Director	-
Incorporator	<u>ANNE BRUCE HALDEMAN</u>
Incorporator	<u>BARBARA ANDERSON</u>
Incorporator	<u>JAS. C. COURTENAY</u>
Incorporator	<u>VIRGINIA P. SPEED</u>
Incorporator	<u>ELIZABETH E. SEILER</u>

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

Annual Report

2/17/2013

1 page

PDF

Annual Report	3/15/2012	1 page	tiff	PDF
Annual Report	6/8/2011	1 page	tiff	PDF
Annual Report	5/19/2010	1 page	PDF	
Annual Report	5/15/2009	1 page	PDF	
Annual Report	7/2/2008	1 page	PDF	
Annual Report	4/17/2007	1 page	tiff	PDF
Annual Report	7/10/2006	1 page	tiff	PDF
Annual Report	7/25/2005	1 page	tiff	PDF
Annual Report	7/13/2004	1 page	tiff	PDF
Annual Report	8/15/2003	1 page	tiff	PDF
Annual Report	7/1/2002	1 page	tiff	PDF
Annual Report	9/11/2001	1 page	tiff	PDF
Annual Report	8/1/2000	1 page	tiff	PDF
Annual Report	6/22/1999	1 page	tiff	PDF
Annual Report	8/25/1998	1 page	tiff	PDF
Annual Report	7/1/1997	1 page	tiff	PDF
Annual Report	7/1/1996	3 pages	tiff	PDF
Annual Report	7/1/1995	4 pages	tiff	PDF
Annual Report	7/1/1994	3 pages	tiff	PDF
Annual Report	7/1/1992	2 pages	tiff	PDF
Annual Report	7/1/1991	1 page	tiff	PDF
Annual Report	7/1/1989	3 pages	tiff	PDF
Annual Report	7/1/1988	1 page	tiff	PDF
Statement of Change	6/11/1987	1 page	tiff	PDF

Assumed Names

Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	2/17/2013 1:41:10 PM	2/17/2013 1:41:10 PM	
Annual report	3/15/2012 1:41:21 PM	3/15/2012	
Annual report	6/8/2011 3:14:26 PM	6/8/2011	
Annual report	5/19/2010 2:21:35 PM	5/19/2010 2:21:35 PM	
Annual report	5/15/2009 3:36:27 PM	5/15/2009 3:36:27 PM	
Annual report	7/2/2008 3:06:31 PM	7/2/2008 3:06:31 PM	
Annual report	4/17/2007 11:22:18 AM	4/17/2007	
Annual report	7/10/2006 10:20:20 AM	7/10/2006	

Microfilmed Images

Microfilm images are not available online. They can be ordered by faxing a Request For Corporate Documents to the Corporate Records Branch at 502-564-5687.

Annual Report	9/27/2004	1 page
Annual Report	8/15/2003	1 page
Annual Report	7/1/2002	1 page
Annual Report	9/11/2001	1 page
Annual Report	8/1/2000	1 page
Annual Report	6/22/1999	1 page
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Annual Report	7/1/1995	4 pages
Annual Report	7/1/1994	3 pages
Annual Report	7/1/1993	3 pages
Annual Report	7/1/1992	2 pages
Annual Report	7/1/1991	1 page
Annual Report	7/1/1990	3 pages
Annual Report	7/1/1989	3 pages
Annual Report	7/1/1988	1 page
Statement of Change	6/11/1987	1 page
Statement of Change	1/4/1978	2 pages
Annual Report	5/22/1957	23 pages
Articles of Incorporation	1/10/1957	5 pages

Smith, Chanelle Emily

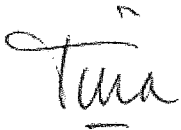
From: Ward-Pugh, Tina
Sent: Thursday, June 12, 2014 10:21 AM
To: Smith, Chanelle Emily
Cc: Ott, Stephen; Helton, Jessamyn; Whitlock, Mary; Grissett, Kay; Ethridge, Kyle
Subject: Permission to Sign NDF

Follow Up Flag: Follow up
Flag Status: Flagged

Metro Council Clerks,

Kyle Ethridge, my Legislative Assistant, has permission to sign on my behalf for the Start for the Heart NDF grant and Whitehall House & Gardens NDF grant.

Thank you.



(NOTE: if you didn't, please be sure to copy my Legislative Assistant, Ms. Kyle Ethridge, on all emails to me. Her email is: Kyle.Ethridge@louisvilleky.gov)

Councilwoman Tina Ward-Pugh

Ninth District Councilwoman
601 W. Jefferson Street
Louisville, Kentucky 40202
(502) 574-1109

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