

**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

Applicant/Program: Schnitzelburg Area Community Council

Executive Summary of Request:

This NDF is for operating expenses for the Schnitzelburg Area Community Council. Their operating expenses include materials for meetings, newsletters, outreach, community events as well as equipment and materials for public beautification projects.

Is this program/project a fundraiser? Yes No
 Is this applicant a faith based organization? Yes No
 Does this application include funding for sub-grantee(s)? Yes No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

10 District # Jim King Primary Sponsor Signature \$4,820.07 Amount 5/28/14 Date

Primary Sponsor Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

Approved by:

_____ Appropriations Committee Chairman _____ Date

Clerk's Office Only:

Request Amount: _____ Committee Amended Appropriation: _____
 Original Appropriation: _____ Council Amended Appropriation: _____

~~OFFICE OF METRO COUNCIL CLERK~~

REVIEWED

DATE 6-2-14 TIME 2:21pm

NDF NON-PROFIT APPLICATION CHECKLIST

Legal Name of Applicant Organization: <i>Schmitzelburg Area Comm. Council</i>		
Program Name:	Request Amount:	Yes/No/NA
Request form: Is the NDF request form signed by all Council Member(s) appropriating funding?		
Request form: Is the funding proposed less than or equal to the request amount?		
Request form: Have all known Council or Staff relationships to the Agency been adequately disclosed on the cover sheet?		
Application Page 1: Has prior Metro funds committed/granted been disclosed?		
Application Page 1: Is the application properly signed and dated by authorized signatory?		
Application Page 3: Reimbursement funding – One or two boxes checked if any expenses are incurred before the grant award period. Is all required documentation included?		
Application Pages 3 – 5: Is the proposed public purpose of the program well-documented?		
Application 4: Is there adequate documentation of how the proceeds of the fundraiser will be spent?		
Application Budget Page 6: Does the application budget reflect only the revenue and expenses of the project/program (page 6) if the request is not an operating budget request? Is all detail schedules included for “Metro, Non Metro and Total” expense funds for client assistance, community events & festivals and other expenses? And does the Non-Metro Revenue equal the Non-Metro expenses?		
Faith Based Organizations: Is the signed Faith Based Form signed and included?		
Jefferson County Only: Will all funding be spent in Louisville/Jefferson County?		
Capital Project(s) request: Is the cost estimate(s) from proposed vendor(s) included?		
Good Standing: Is the entity in good standing with: <ul style="list-style-type: none"> • Kentucky Secretary of State – include Secretary of State website information on organization • Louisville Metro Government – check OMB monthly report filed in Council Financial Reports • Internal Revenue Service – most recent Form 990 included 		
Separate Taxing Districts: If Metro funding is for a separate taxing district, is the funding appropriated for a program outside the legal responsibility of that taxing district?		
Small Cities: Is the resolution included agreeing to partner with Louisville Metro on the capital project? (IRS Determination letter not required, Form 990 not required, but KY SOS acknowledgement is)		
Operating Requests: Is recommended operating funding less than or equal to 33% of total operating budget?		
IRS Exempt Proof: Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?		
Operating Budget: Is the organization’s current fiscal year operating budget included?		
Ordinance Required: Is the amount committed by Council members greater than \$5,000 to any one project/program within an organization in this fiscal year.		
Board Members: Is the entity’s board member list (with term length/term limits) included?		
Staff: Is a list of the highest paid staff included with their expected annual personnel costs?		
Annual Audit: Is the most recent annual audit (if required by organization) included?		
Rent Requests: Is a copy of signed lease included?		
Articles of Incorporation: Are the Articles of Incorporation of the organization included?		
IRS Form W-9: Is the IRS Form W-9 included?		
Evaluation Forms: Are the evaluation forms (if program participants are given evaluation forms) included?		
Affirmative Action: Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required by the organization)?		
Prepared by: <i>[Signature]</i>		Date: <i>5/6/15</i>



LOUISVILLE METRO COUNCIL



NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 - APPLICANT INFORMATION

Legal Name of Applicant Organization: **Schnitzelburg Area Community Council**
(as listed on: <http://www.sos.ky.gov/business/records/>)

Main Office Street & Mailing Address: PO BOX 17306 LOUISVILLE, KY 40217

Website: http://www.neighborhoodlink.com/schnitzelburg_area_council_linc

Application Contact: Mike Morris	Title: president
Phone: 502-637-4900	Email: mike@mikemorrislaw.com
Financial Contact: same	Title:
Phone:	Email:

GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED

Program Facility Location(s): St. Elizabeth Church

Council District(s): 10

Zip Code(s): 40217

SECTION 2 - PROGRAM REQUEST & FINANCIAL INFORMATION

Program Name: *Operating Expenses -*

Total Request *4820.07 Mar* Metro Award (this program) in previous year : \$see attached

The following are required attachments:

- | | |
|--|---|
| <input checked="" type="checkbox"/> IRS Exempt Status Determination Letter | <input type="checkbox"/> Signed lease if rent costs are being requested |
| <input checked="" type="checkbox"/> Current Year Projected Budget | <input checked="" type="checkbox"/> IRS Form W9 |
| <input checked="" type="checkbox"/> List of Board of Directors (include term & term limits) | <input type="checkbox"/> Evaluation forms if used in the proposed program |
| <input checked="" type="checkbox"/> Current financial statement | <input type="checkbox"/> Annual audit (if required by organization) |
| <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H | <input type="checkbox"/> Faith Based Organization Certification Form, if required |
| <input checked="" type="checkbox"/> Articles of Incorporation | <input type="checkbox"/> Staff including the 3 highest paid staff |
| <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense | |

Agency Fiscal Yr Start Date: 07/01/2013

For the current fiscal year ending June 30, list all funds received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.

Source:	Amount: \$0.00
Source:	Amount: \$
Source:	Amount: \$

Has the applicant contacted the BBB Charity Review for participation? Yes No

Has the applicant met the BBB Charity Review Standards? Yes No

SECTION 3 - SIGNATURE

I certify under the penalty of law the information in this application (including, without limitation, the "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization.

Signature of Legal Signatory:	Date: <i>11/6/13</i>
Legal Signatory (please print): Mike Morris	Title: president
Phone: Extension:	Email: mike@mikemorrislaw.com

SECTION 4 - AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

The vision of the neighborhood association is to unite property owners and residents for community action, serve as a forum for discussion of concerns to area residents, encourage civic improvements and promote community activities that are of educational or civic in nature. Through these activities we promote and preserve the intrinsic values that make our neighborhood unique.

SECTION 5 - PROGRAM NARRATIVE

A: Purpose of Request (check all that apply):

- Operating Funds (generally cannot exceed 33% of agency's total operating budget)
- Programming/services/events for direct benefit to community or qualified individuals
- Capital Project of the organization (equipment, furnishing, building, etc)

B: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc):

see attached list of events

C: Describe specifically how the funding will be spent including identification of funding to subgrantee(s):
see attached list

D: For Expenditure Reimbursement Only - The grant award period begins with the Metro Council Appropriation Committee approval date and ends on June 30 of the fiscal year in which the grant is approved. If any part of this funding request is for funds that will be spent before the grant award period, identify the applicable circumstances:

- The funding request is a reimbursement of the following expenditures that have occurred prior to the application date:
 - ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
 - ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

- The funding request is a reimbursement of the following expenditures that will be incurred after the application date, but prior to the Metro Council approval date. This option will allow expenditures occurring within this time frame to be considered compliant with the grant agreement.
 - ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.
 - ✓ The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

E: If this request is for a fundraiser, please detail how the proceeds will be spent:

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program specifically.

We are currently working with GPNA on a Goss Avenue Beautification project.

G: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

Our many activities give the area residents and business owners a sense of pride and identity in the neighborhood.

SECTION 6 - PROGRAM BUDGET SUMMARY

The Program Budget should realistically estimate what amount is needed from Metro Government and what is expected from other sources. Enter whole-dollar amounts.

	Column 1	Column 2*	Column 3
Program Expenses	Proposed Metro Funds	Non- Metro Funds	Total Program Cost
A: Personnel Costs Including Benefits	0	0	0
B: Rent/Utilities	162.50	317.50	480
C: Office Supplies <i>Misc.</i>	39.44	76.56	116
D: Telephone	0	0	0
E: In-town Travel	0	0	0
F: Client Assistance (Attach Detailed List)	0	0	0
G: Professional Service Contracts	0	0	0
H: Program Materials <i>meetings, outreach, newsletters, welcome wagon</i>	821.44	1,594.56	2,416
I: Community Events & Festivals (Attach Detailed List)	2805	5,445	8,250
J: Machinery & Equipment <i>Blower, leaf vac, weedeater</i>	311.69	605.04	916.73
K: Capital Project <i>Golf Boards</i>	680	1320	2000
L: Other Expenses (Attach Detail List)			
SUBTOTAL	4,820.07	9,358.66	14,178.73
% of Program Budget --	34 %	66 %	100%
Value of volunteer services and how computed: <i>10/HR</i>	N/A	16,860	16,860
Value of in-kind assets, such as donated space, supplies, use of equipment, etc. <i>(Detail on Next Page)</i>	N/A	1,880	1,880
Total Program Funds	4,820.07	28,098.66	32,918.73

*List funding sources in Column 2 (do not include individual donor names):

Other State, Federal or Local Government	
United Way	
Private Contributions	
Fees Collected from Program Participants	
Other (please specify)	
Total Revenues	<i>See Attachment</i>

PROGRAM BUDGET SUMMARY (CONTINUED)

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
see attached list		
Total Value of In-Kind (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)		

* Donor information refers to who made the in kind contribution. Volunteers need not be listed individually, but grouped together on one line as a total noting how many hours per person per week)

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:

SECTION 7 - CERTIFICATIONS AND ASSURANCES

By signing the first page of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end
8. Provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.

Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

Lisa Pisterman is a board member and a Metro government employee.

Funding Sources:

1) # 2 Dinner ²⁰¹³ - 2,018.⁰⁰
2) Membership Over - 1,400.⁰⁰
3) Yard Sale - 250.⁰⁰
4) Golf Suramole - 7,085.⁰⁰
10,753.⁰⁰

* Addition to "OTHER" List on Budget:

Gross Avenue Boards Volunteer - 200 cost - 2,000 = 2,200
Total

So new total events; other figures:

16,660 + 200 = 16,860 - Volunteer
10,997 + 2000 = 12,997 - Cost
29,857.⁰⁰

Incoming Funds for #1 Dinner	Ads	320.00
	Door Prize Raffle	187.00
	50/50	101.00
	Dinners	1410.00
TOTAL IN		2018.00

Movie Night	Jennifer Chappell	Jennifer Chappell Others Mike Morris	15 get movie, equip. set up 10 set up, tear down 6 weed, mow, blow	\$150.00 \$100.00 \$60.00	\$1,300.00	\$1,610.00
Dog Walk	Judie Greiner	Judie Greiner Mike Morris	10 Organize 6 weed, mow, blow	\$100.00 \$60.00	\$100.00	\$260.00
#1 Dinner	Gary Allen	Gary Allen Others Mike Morris	20 get prizes, 20 sell ads, order food, bar 3 weed, mow, blow	\$200.00 \$200.00 \$30.00	\$2,500.00	\$2,930.00
Christmas Tree Decorating	Julieanne Thomas	Julieanne Thomas Others Mike Morris	5 Obtain tree, decorate 5 Decorate 6 weed, mow, blow	\$50.00 \$50.00 \$60.00	\$100.00	\$260.00
GoGreenGermantown	Susan Brunton	Susan Brunton Others Mike Morris	200 Plant, Shop, Water 200 plant, weed 258 weed, mow, blow	\$2,000.00 \$2,000.00 \$2,580.00	\$2,000.00	\$8,580.00
Estimated Totals						

Actual

History Walk Expenses:	10.44
Bottled Water	10.44
Easter Egg Hunt:	207.96
Eggs	35.62
Candy	48.27
Decorations	124.07
Play Date in the Park:	98.64
Balloons	3.18
Snacks & Refreshments	13.77
Tablecloths, Purell, etc.	7.49
Toys, Chalk, Balls, etc.	12.72
Mini Flags	44.52
Pot Luck:	84.98
Main Course	84.98
Dainty Contest:	944.25
Security	125.00
Band	550.00
Permit	40.00
Paint	9.51
Port a Potties	175.00
Printing Dainty Cards	44.74
Back to School Bash:	0.00
Yard Sale:	59.05
C-J Ad	38.00
Poster Board, Snacks	21.05

1,405.32

Movie Night: 1296.89

Permit	212.50
Screen	265.00
Insurance	162.32
Port a Potties	170.00
Movie	313.74
Prizes	44.41
Decorations	128.92

Dog Walk: 2.38

Dog Biscuits	2.38
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#1 Citizen Dinner: 2,256.61

Food	1499.37
Printing Programs	240.83
Decorations	111.11
Jacket Monogram	5.30
Hall Rental	250.00
Hall Cleanup	150.00

Light Up the Burg: 299.29

Pole Decorations	75.13
Tree Decorations	77.93
Santa Suit	74.19
Candy	63.04
Cocoa	9.00

GoGreen Germantown: 2096.16

Mulch & Potting Soil	239.56
Trees	917.85
Bushes & Shrubs	271.33
Tools, Bags, Weed Control, etc.	267.27
Flowers	161.13
Containers	239.02

5951.33

1405.37

7,356.65

Capital Project
Goss Avenue Board,

Hi Mike,

My name is Jared Kaelin. Ryan Hargrove gave me your contact information concerning the Goss Ave. project. I will be working on this project along with Jan-Michael Lambdin. We are graduates of the University of Kentucky's Landscape Architecture program and glad we can help out on this project. I am from Louisville and it is exciting to be working on a project from my hometown.

From what I understand we will be working on Goss Ave. (4 blocks) from Eastern Parkway to just past Boyle Street (rail rad tracks). Along this corridor we also understand that in our project scope you will be looking for alternatives to the current streetscape concerning improvements on lighting, plantings, street trees, seating, and overall sense of place, creating a more pleasant neighborhood atmosphere. Included in these improvements will be a gateway feature entering onto Goss Ave. from Eastern Pkwy and a mural to be discussed at our site meeting. Our work will include the aforementioned improvements, leaving detail specifications for future decision making. Those decisions will be up to you and your staff at the neighborhood association. However, I believe you will be pleasantly satisfied at the design improvements we will provide for your association.

Project deliverables would include:

- (1) master plan, illustrative drawing of the corridor displaying alternatives to existing conditions and improvements
- multiple enlarged perspectives of streetscape improvements
- cd containing digital files of all work, pdfs to be used for future presentations

Cost for work: \$2,000.00

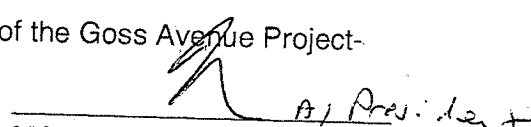
Half the payment upfront, \$1,000.00. The remaining \$1,000.00 at the completion of the project.

First proposal completed and in your hands by August 15th.

Revisions and second proposal: 3 weeks from date of comments received by Schnitzelburg Neighborhood Association (revisions and turn around time are completely dependent upon on how many revisions are requested). And again, as discussed, revisions made remotely, we will have relocated to Raleigh, NC for graduate school.

I hereby agree to the above-mentioned scope of the Goss Avenue Project-


Jared Kaelin


Mike Morris, President SAU

INTERNAL REVENUE SERVICE
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: FEB 23 2007

SCHNITZELBURG AREA COMMUNITY
COUNCIL
1343 HICKORY ST
LOUISVILLE, KY 40217

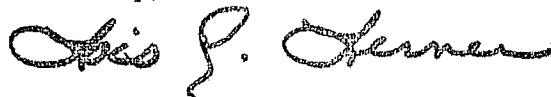
Employer Identification Number:
32-0111959
DLN:
307044022
Contact Person:
EDWARD S SCHLAACK ID# 31536
Contact Telephone Number:
(877) 829-5500
Accounting Period Ending:
December 31
Form 990 Required:
Yes
Effective Date of Exemption:
February 7, 1977
Contribution Deductibility:
No

Dear Applicant:

We are pleased to inform you that upon review of your application for tax-exempt status we have determined that you are exempt from Federal income tax under section 501(c)(4) of the Internal Revenue Code. Because this letter could help resolve any questions regarding your exempt status, you should keep it in your permanent records.

Please see enclosed Information for Organizations Exempt Under Sections Other Than 501(c)(3) for some helpful information about your responsibilities as an exempt organization.

Sincerely,



Lois G. Lerner
Director, Exempt Organizations
Rulings and Agreements

Enclosure: Information for Organizations Exempt Under Sections Other Than 501(c)(3)

Letter 948 (DO/CG)



Schnitzelburg Area Community Council

P. O. Box 17306

Louisville, KY 40217

sacc@insightbb.com

www.neighborhoodlink.com/Schnitzelburg_Area_Council

Schnitzelburg Area Community Council Constitution

Article IV

OFFICERS. The Council shall have four principal officers, who shall be the President, Vice-President, Secretary, and Treasurer. These officers shall be elected by the Board of Directors from among the membership of the Board after the annual meeting, to hold office for one year or until their respective successors are elected and take office.

Officers:

Mike Morris – President
947 Goss Avenue
Louisville, KY 40217
(502) 637-4900
mike@mikemorrislaw.com

Susan Brunton –Vice President
1010 Lydia Street
Louisville, KY 40217
(502) 759-7132
10subru10@gmail.com
gogreengermantown@hotmail.com

Kathy Lang - Treasurer
1033 Lydia Street
Louisville, KY 40217
(502) 636-0904
klang1033@gmail.com

David J. (Jake) Wildstrom - Secretary
2352 Dorma Avenue
Louisville, KY 40217
(502) 509-6284
dwildstr@gmail.com

At Large Board Members:

Shane Smith
896 Eastern Pkwy
Louisville, KY 40217
(502) 396-9767
shanesmith@bellsouth.net

Paul Boblitt
1258 Lydia Street
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diannazeigler@bellsouth.net

Gary Liebert
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(502) 533-3322
gary.liebert@gmail.com

Stephan D. Cambron
911 Ash Street
Louisville KY 40217
502-609-6389
babiepossum@yahoo.com

Julianne Thomas
1033 Ash Street
Louisville, KY 40217
(502) 634-0127
jthomas25@insightbb.com

Form **990-N**
Department of the Treasury
Internal Revenue Service

Electronic Notice (e-Postcard)
for Tax-Exempt Organizations not
Required To File Form 990 or 990-EZ

OMB No.
1545-2085

2012

Open to
Public
Inspection

A For the 2012 calendar year, or tax year beginning 1/1/2012, and ending 12/31/2012.

B Check if applicable

- Terminated, Out of Business
 Gross receipts are normally \$50,000 or less

C Name of organization: SCHNITZELBURG
AREA COMMUNITY COUNCIL
d/b/a:

D Employer
Identification
Number
32-0111959

% Kathy Lang
P O Box 17306
Louisville, KY, US, 40217

E Website:
www.neighborhoodlink.com/SchnitzelburgAreaCouncil-
LINC

F Name of Principal Officer: Kathy Lang

P O Box 17306
Louisville, KY, US, 40217

Privacy Act and Paperwork Reduction Act Notice. We ask for the information on this form to carry out the Internal Revenue laws of the United States. You are required to give us the information. We need it to ensure that you are complying with these laws.

The organization is not required to provide the information requested on a form that is subject to the Paperwork Reduction Act unless the form displays a valid OMB control number. Books or records relating to a form or its instructions must be retained as long as their contents may become material in the administration of any Internal Revenue law. The rules governing the confidentiality of the Form 990-N is covered in Code section 6104.

The time needed to complete and file this form and related schedules will vary depending on individual circumstances. The estimated average times is 15 minutes.

Note: This image is provided for your records only. Do NOT mail this page to the IRS. The IRS will not accept this filing via paper. You must file your Form 990-N (e-Postcard) electronically.

ORIGINAL COPY
FILED AND RECORDED
SECRETARY OF STATE OF KENTUCKY

SECRETARY OF STATE
RECEIVED

JAN 21 1977

ARTICLES OF INCORPORATION

FOR

[Handwritten signature]
Secretary

SCHNITZELBURG AREA COMMUNITY COUNCIL, INC. Commonwealth of Kentucky

46536

The undersigned, the majority of whom are citizens of the United States of America, desiring to form a non-profit corporation law of the Commonwealth of Kentucky, do hereby certify:

ARTICLE I

The name of the corporation shall be The Schnitzelburg Area Community Council, Inc.

ARTICLE II

Unless sooner terminated as provided by law, the Area Community Council shall have perpetual existence from the time the certificate of incorporation has been issued by the Secretary of the State of Kentucky.

ARTICLE III

The objects and purposes of the Area Community Council shall be:

- a. To unite property owners, tenants, business people and others interested in the area.
- b. To encourage civic improvements and betterments in the area.
- c. To promote community activities and interests of an educational or civic nature.
- d. To encourage residential and business property upkeep in the area, and to eliminate vandalism and littering.
- e. To encourage better fire and police protection, traffic flow and traffic law enforcement in the area.
- f. To be concerned with youth problems of the area.
- g. To encourage reasonable and adequate zoning, and to ensure uniform enforcement of codes.
- h. To encourage a spirit of friendliness and cooperative community spirit in the area and in relations with other groups in the Schnitzelburg Area and throughout the City of Louisville.
- i. To support any other activities which advance the common good and general welfare of the community and its people unless these activities are excluded by IRC Sec. 501 (c) (4) or IRS regulation.

ARTICLE IV

(4.1) The said Area Community Council is organized exclusively for the promotion of social and civic welfare as described in IRC Sec. 501 (c) (4). In view of that fact, no part of the net earnings of the Council shall be distributable to its members, directors, officers, and other private persons as income; however, the corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article Three hereof.

(4.2) No substantial part of the activities of the Area Community Council shall be the carrying on of propaganda, or otherwise attempting to influence legislation, unless the social welfare and civic objective require legislation as per the regulations concerning IRC Sec. 501 (c) (4) or intervene in any political campaign on behalf of any candidate for public office.

(4.3) Notwithstanding any other provision of these articles, the Area Community Council shall not carry on any other activities not permitted to be carried on by a corporation exempt from Federal Income Tax under Sec. 501 (c) (4) of the Internal Revenue Code of 1954.

(4.4) Upon dissolution of the Area Community Council, the Board of directors shall, after paying or making provision for the payment of all the liabilities of the Area Community Council, dispose of all the assets of the Area Community Council exclusively for the purposes of the Area Community Council in such manner, or to such organization or organizations established and operated exclusively for social welfare or civic purposes as shall at the time qualify as exempt organization or organizations under Sec. 501 (c) (4) Internal Revenue Code of 1954 as the Board of Directors shall determine. Any such assets not so disposed of shall be disposed of by the Court of Common Pleas of the county in which the principal office of the Corporation is then located, exclusively for such purposes or to such organization or organizations, as said Court shall determine, which are organized and operated exclusively for such purposes.

ARTICLE V

(5.1) The registered office and place of business of the corporation shall be: William Keely, 519 Kewwick, Louisville, Jefferson County, Kentucky 40217.

(5.2) The name and address of its resident agent for the service of process shall be: William Keely, President, 519 Kewwick, Louisville, Kentucky 40217.

ARTICLE VI

The officers, directors, or members of the Area Community Council shall not be personally liable for payment of debts, liabilities, or obligations of the Council to any extent whatsoever.

ARTICLE VII

(7.1) The initial board of directors shall consist of thirteen members on the board and four officers selected from the board.

(7.2) The following individuals will serve in the capacity of ~~officers~~ ^{directors} until the selection of their successors:

President: William Keely, 819 Keswick, Louisville, Ky., 40217

Vice-President: Gregory Sarjent, 942 Mulberry, Louisville, Ky., 40217

Secretary: James Peak, 1021 Wagner, Louisville, Ky., 40217

Treasurer: William Tinker, 1245 Milton, Louisville, Ky., 40217

IN WITNESS thereof, we have hereunto subscribed our names this 26 day of June, 1977.

Notary Public

[Signature]

William Keely

Wm W. Tinker

James Peak

Gregory Sarjent

RECEIVED NOV 15 2005

0078158.09
Trey Grayson
Secretary of State
Received and Filed
11/09/2005 11:22:11 AM
Fee Receipt: \$8.00
Change
NAOA

ARTICLES OF AMENDMENT
OF
SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.

ARTICLE XIII: Amendment

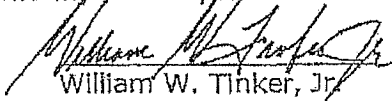
Section 1

Pursuant to a meeting of the members of this corporation held on Monday, September 26, 2005, of which a quorum was present at said meeting, the following amendment was received and unanimously adopted and accepted by the quorum present. The amendment adopted is set forth below:

Section 2: The original Articles of Incorporation for the Schnitzelburg Area Community Council, Inc., are hereby amended to reflect that Article 3, Section I, is hereby amended to read that:


To support any activities which advance the common good and general of the community and its people unless these activities are excluded by IRS Sec. 501(c)(3).

APPROVED AND SUBMITTED by William W. Tinker, Jr. Treasurer and Director for the Schnitzelburg Area Community Council, Inc.



William W. Tinker, Jr.
Director

APPROVED: September 26, 2005



William W. Tinker, Jr.

Commonwealth of Kentucky

OFFICE OF
SECRETARY OF STATE



DREWELL R. DAVIS
Secretary

FRANKFORT,
KENTUCKY

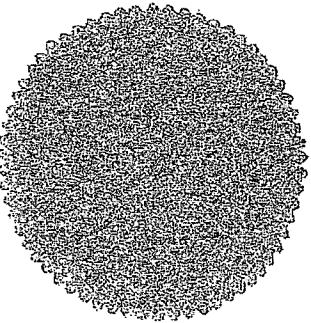
CERTIFICATE OF INCORPORATION OF NON-STOCK, NON-PROFIT CORPORATION

I, **DREWELL R. DAVIS**, Secretary of State of the Commonwealth of Kentucky
certify that above has been delivered to my office articles of incorporation of
the **SCOTTISHERS ANPA CHARITABLE TRUST, INC.**

The name and address of the registered agent of this corporation is
WILLIAM RYAN

NAME **WILLIAM RYAN**
STREET ADDRESS **LIVINGSTON, KENTUCKY 40217**
CITY STATE

**NOTE: THE SECRETARY, finding that these articles of incorporation conform to law
and that all fees hereof have been paid as prescribed by law. I, DREWELL R.
DAVIS, Secretary of State, issue this Certificate of Incorporation.**



Issued this **7th** day of **FEBRUARY**, 19**77**,
at **Frankfort, Kentucky**,
Drewell R. Davis
SECRETARY OF STATE

SECRETARY OF STATE

ASSISTANT SECRETARY OF STATE

THE SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.**General Information**

Organization Number	0078158
Name	THE SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
File Date	2/7/1977
Organization Date	2/7/1977
Last Annual Report	3/18/2014
Principal Office	P. O. BOX 17306 LOUISVILLE, KY 40217
Registered Agent	KATHY LANG 1033 LYDIA STREET LOUISVILLE, KY 40217

Current Officers

President	<u>MIKE MORRIS</u>
Vice President	<u>SUSAN BRUNTON</u>
Secretary	<u>IAKE WILDSTROM</u>
Treasurer	<u>KATHY LANG</u>
Director	<u>MIKE MORRIS</u>
Director	<u>SUSAN BRUNTON</u>
Director	<u>KATHY LANG</u>
Director	<u>IAKE WILDSTROM</u>

Individuals / Entities listed at time of formation

Director	<u>WILLIAM KEELY</u>
Director	<u>GREGORY SARJENT</u>
Director	<u>JAMES PEAK</u>
Director	<u>WILLIAM TINKER</u>
Incorporator	<u>WILLIAM KEELY</u>
Incorporator	<u>JAMES PEAK</u>
Incorporator	<u>WILLIAM TINKER</u>
Incorporator	<u>GREGORY SARJENT</u>

Images available online

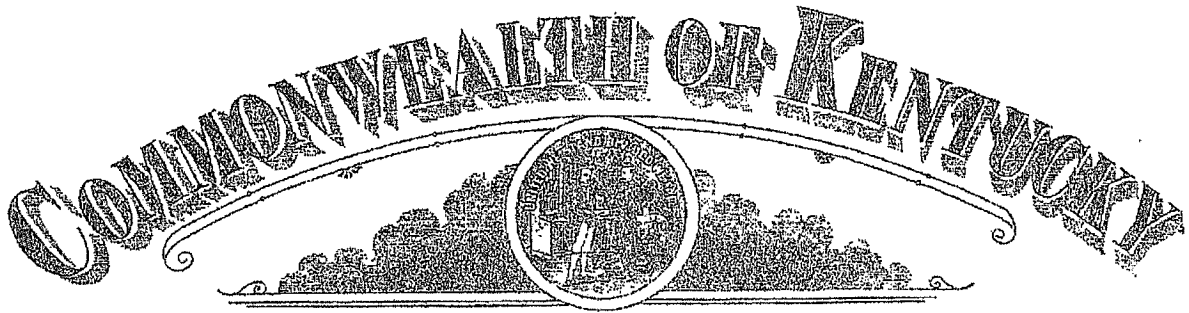
Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

Annual Report

3/18/2014

1 page

PDF



Trey Grayson

Secretary of State

Certificate of Existence

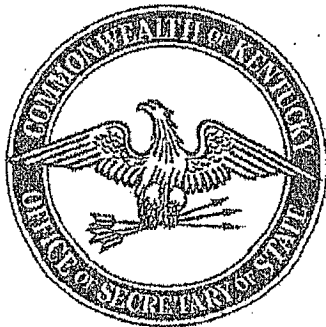
I, Trey Grayson, Secretary of State of the Commonwealth of Kentucky, do hereby certify that according to the records in the Office of the Secretary of State,

THE SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.

has eliminated all the grounds for dissolution, paid all fees and penalties owed to the Secretary of State, and met all other requirements for reinstatement. The effective date of reinstatement is October 7, 2004.

I further certify that THE SCHNITZELBURG AREA COMMUNITY COUNCIL, INC. is a corporation duly organized and existing under the laws of the Commonwealth of Kentucky, whose date of incorporation is February 7, 1977, and whose period of duration is perpetual.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my Official Seal at Frankfort, Kentucky, this 7th day of October, 2004.



Trey Grayson

Trey Grayson
Secretary of State
Commonwealth of Kentucky

MMcCulloh/0078158

Request for Taxpayer Identification Number and Certification

**Give Form to the
 requester. Do not
 send to the IRS.**

Print or type See Specific Instructions on page 2.	Name (as shown on your income tax return) SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.	
	Business name/disregarded entity name, if different from above	
	Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ <input type="checkbox"/> Other (see instructions) ▶ _____	
	<input checked="" type="checkbox"/> Exempt payee	
	Address (number, street, and apt. or suite no.) P. O. Box 17306	Requester's name and address (optional)
City, state, and ZIP code Louisville KY 40217		
List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Social security number								

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Employer identification number									
3	2	-	0	1	1	1	9	5	9

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.

Sign Here	Signature of U.S. person ▶	Date ▶ 10/14/13
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.