

**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

AUG 8 2014 PM 12:28 *GH*

Applicant/Program: OAKDALE NEIGHBORHOOD ASSOCIATION

Executive Summary of Request:

Oakdale is a thriving neighborhood in D-15. They are asking for assistance for several programs that will notify and encourage residents to participate in the activities and programs they create to improve the quality of life in the area. These programs include participation in National Night Out, holiday events and newsletter assistance . The request also includes payment for a notification banner to encourage and remind citizens to attend the neighborhood and crime preventions meetings.

Is this program/project a fundraiser? Yes No
Is this applicant a faith based organization? Yes No
Does this application include funding for sub-grantee(s)? Yes No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

15 District # Marianne Butler/Asst Primary Sponsor Signature \$1,450.00 Amount Aug 8, 2014 Date

Primary Sponsor Disclosure
List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

Approved by:

_____ Date _____
Appropriations Committee Chairman

Clerk's Office Only:

Request Amount: _____ Committee Amended Appropriation: _____
Original Appropriation: _____ Council Amended Appropriation: _____

**OFFICE OF METRO COUNCIL CLERK
REVIEWED**
DATE 8-25-14 TIME 2:15pm

Applicant/Program:

Oakdale Neighborhood Association

Additional Disclosure and Signatures

Additional Council Office Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

District #	Council Member Signature	Amount	Date
District #	Council Member Signature	Amount	Date
District #	Council Member Signature	Amount	Date
District #	Council Member Signature	Amount	Date
District #	Council Member Signature	Amount	Date
District #	Council Member Signature	Amount	Date
District #	Council Member Signature	Amount	Date

NDF NON-PROFIT APPLICATION CHECKLIST

Legal Name of Applicant Organization:		Request Amount:	Yes/No/NA
Program Name:			
Request form: Is the NDF request form signed by all Council Member(s) appropriating funding?			Yes
Request form: Is the funding proposed less than or equal to the request amount?			Yes
Request form: Have all known Council or Staff relationships to the Agency been adequately disclosed on the cover sheet?			Yes
Application Page 1: Has prior Metro funds committed/granted been disclosed?			Yes
Application Page 1: Is the application properly signed and dated by authorized signatory?			Yes
Application Page 3: Reimbursement funding – One or two boxes checked if any expenses are incurred before the grant award period. Is all required documentation included?			Yes
Application Pages 3 – 5: Is the proposed public purpose of the program well-documented?			Yes
Application 4: Is there adequate documentation of how the proceeds of the fundraiser will be spent?			Yes
Application Budget Page 6: Does the application budget reflect only the revenue and expenses of the project/program (page 6) if the request is not an operating budget request? Is all detail schedules included for “Metro, Non Metro and Total” expense funds for client assistance, community events & festivals and other expenses? And does the Non-Metro Revenue equal the Non-Metro expenses?			Yes
Faith Based Organizations: Is the signed Faith Based Form signed and included?			n/a
Jefferson County Only: Will all funding be spent in Louisville/Jefferson County?			Yes
Capital Project(s) request: Is the cost estimate(s) from proposed vendor(s) included?			n/a
Good Standing: Is the entity in good standing with: <ul style="list-style-type: none"> • Kentucky Secretary of State – include Secretary of State website information on organization • Louisville Metro Government – check OMB monthly report filed in Council Financial Reports • Internal Revenue Service – most recent Form 990 included 			Yes
Separate Taxing Districts: If Metro funding is for a separate taxing district, is the funding appropriated for a program outside the legal responsibility of that taxing district?			n/a
Small Cities: Is the resolution included agreeing to partner with Louisville Metro on the capital project? (IRS Determination letter not required, Form 990 not required, but KY SOS acknowledgement is)			n/a
Operating Requests: Is recommended operating funding less than or equal to 33% of total operating budget?			Yes
IRS Exempt Proof: Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?			Yes
Operating Budget: Is the organization’s current fiscal year operating budget included?			Yes
Ordinance Required: Is the amount committed by Council members greater than \$5,000 to any one project/program within an organization in this fiscal year.			no
Board Members: Is the entity’s board member list (with term length/term limits) included?			Yes
Staff: Is a list of the highest paid staff included with their expected annual personnel costs?			Yes
Annual Audit: Is the most recent annual audit (if required by organization) included?			n/a
Rent Requests: Is a copy of signed lease included?			n/a
Articles of Incorporation: Are the Articles of Incorporation of the organization included?			Yes
IRS Form W-9: Is the IRS Form W-9 included?			yes
Evaluation Forms: Are the evaluation forms (if program participants are given evaluation forms) included?			n/a
Affirmative Action: Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required by the organization)?			n/a
Prepared by: <i>J. Hughes</i>		Date: <i>8/8/14</i>	

Hughes, Susan

From: Butler, Marianne
Sent: Friday, August 08, 2014 10:15 AM
To: Hughes, Susan
Cc: Smith, Chanelle Emily
Subject: OAKDALE/NDF

Susan, Please sign the NDF application in the amount of \$1,450.00 for the Oakdale Neighborhood Association request.

Thanks, Marianne

Councilwoman Marianne Butler
District 15
502-574-1115



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
Legal Name of Applicant Organization: <i>(as listed on: http://www.sos.ky.gov/business/records)</i> Oakdale Neighborhood Association			
Main Office Street & Mailing Address: 1106 Camden Ave, Louisville Ky 40215			
Website: NIA			
Applicant Contact:	Margaret Osborne	Title:	Treasurer
Phone:	502-468-4658	Email:	maofirelox@yahoo.com
Financial Contact:	Same as above	Title:	Same as above
Phone:	—	Email:	—
Organization's Representative who attended NDF Training: Barbara Devereaux			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s):	Salvation Army, 1010 Beecher Street, Lou, Ky 40215		
Council District(s):	15th	Zip Code(s):	40215
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: Oakdale Neighborhood Association Improvement			
Total Request: (\$)	1450.00	Total Metro Award (this program) in previous year: (\$)	0
Purpose of Request (check all that apply):			
<input checked="" type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget) <input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input checked="" type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
The Following are Required Attachments:			
<input type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current Year Projected Budget <input checked="" type="checkbox"/> List of Board of Directors (include term & term limits) <input type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input type="checkbox"/> Articles of Incorporation <input checked="" type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if required <input type="checkbox"/> Staff including the 3 highest paid staff	
For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
Source:	NIA	Amount: (\$)	NIA
Source:		Amount: (\$)	
Source:		Amount: (\$)	
Has the applicant contacted the BBB Charity Review for participation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input type="checkbox"/> Yes <input type="checkbox"/> No			



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 - AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

Our mission is to beautify and protect our neighborhood and improve our overall quality of life. We have regular meetings in addition to block watch meetings, and we work closely with our police department on crime issues in our area. We also desire to continually improve our neighborhood and work with other organizations to make Oakdale neighborhood the best it can be.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 - PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

Oakdale (ONA) would like to procure funds to provide school supplies to children during the National Night Out event in August 2014. We are also requesting funds to procure food to serve those who attend our annual holiday party event in December 2014. We are also requesting funds for supplies + services to facilitate the distribution of the Oakdale newsletter. Lastly, ONA is requesting funds to purchase a banner-style sign to announce upcoming neighborhood meetings.

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):

- ① School supply funding (\$200.00) will be spent for folders, crayons, scissors, glue sticks, and ledgers to be given to the general public during National Night Out, held in Wyandotte Park August 2014.
- ② Holiday party meal funding (\$750.00) will be spent to provide a free meal to be given out in Oakdale and held for the general public in December 2014.
- ③ Oakdale newsletter funding (\$250.00) will be spent for paper, ink, postage and mailing of the newsletter to benefit the general public.
- ④ Banner funding (\$250.00) will be spent on signage to encourage attendance by the general public.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

N/A.

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

National Night out funding



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

With funding of the newsletters and banner, Oakdale hopes to increase attendance at association meetings by the general public and foster a relationship with the 4th Division. With funding of the Oakdale holiday party and National Night Out, it is Oakdale's intention to provide assistance to the general public for supplies for children returning to school and provide community outreach during the holiday season while promoting a positive, symbiotic relationship between Louisville Metro residents and the 4th Division UMAP.

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

The Salvation Army outpost at 1010 Beecher Street, Louisville Ky 40215, has allowed us to use their facility in an ongoing capacity to host meetings and dinners beneficial to the general public rent-free.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
A: Personnel Costs Including Benefits	0	0	0
B: Rent/Utilities	0	0	0
C: Office Supplies	0	0	0
D: Telephone	0	0	0
E: In-town Travel	0	0	0
F: Client Assistance (Attach Detailed List)	0	0	0
G: Professional Service Contracts	0	0	0
H: Program Materials	\$250.00	\$800.00	\$1050.00
I: Community Events & Festivals (Attach Detail List)	\$950.00	\$2000.00	\$2950.00
J: Small Equipment	0	0	0
K: Capital Equipment	\$250.00	0	\$250.00
L: Other Expenses (Attach Detail List)	0	0	0
*TOTAL PROGRAM/PROJECT FUNDS	\$1450.00	\$2800.00	\$4250.00
% of Program Budget	34%	66%	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	0
United Way	0
Private Contributions (do not include individual donor names)	\$2000.00
Fees Collected from Program Participants	\$800.00
Other (please specify)	0
Total Revenue for Columns 2 Expenses **	\$2800.00

*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

**Must equal or exceed total in column 2.

*



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
Total Value of In-Kind (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)		

* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK

Agency Fiscal Year Start Date: Jan 1 - Dec 31

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:

Increased membership will increase dues paid into the association. Increased private donations will occur with increased awareness of ~~association~~ Oakdale and increased outreach of association networking.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 - CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.

Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

** see attached **

SECTION 7 - CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:	<i>Margaret Osborne</i>	Date:	<i>7/1/2014</i>
Legal Signatory: (please print):	<i>Margaret Osborne</i>	Title:	<i>Treasurer</i>
Phone:	<i>502-468-4658</i>	Extension:	
		Email:	<i>maofirebox@yahoo.com</i>

Oakdale Neighborhood Association

NDF Application

Attachment for Page 6, Section 5, Subsection I:

Holiday Party - requested amount \$750.00

NNO - requested amount \$200.00

Total requested for subsection I \$950.00

OAKDALE NEIGHBORHOOD ASSOCIATION, INC.**General Information**

Organization Number	0196391
Name	OAKDALE NEIGHBORHOOD ASSOCIATION, INC.
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
File Date	12/14/1984
Organization Date	12/14/1984
Last Annual Report	4/5/2014
Principal Office	1106 CAMDEN AVENUE LOUISVILLE, KY 40215
Registered Agent	BARBARA DEVEREAUX 1106 CAMDEN AVENUE LOUISVILLE, KY 40215

Current Officers

President	<u>BARBARA DEVEREAUX</u>
Vice President	<u>George Manley</u>
Secretary	<u>CATHERINE BROWN</u>
Treasurer	<u>Margaret Osborne</u>
Director	<u>MARY JANE SERMORSHEIM</u>
Director	<u>HILDA STEIDEN</u>
Director	<u>JANE BLASI</u>
Director	<u>Francis Burk</u>

Individuals / Entities listed at time of formation

Director	<u>BARBARA BISHOP</u>
Director	<u>STEVEN PAUL</u>
Director	<u>NANCY BACH</u>
Director	<u>LESTER MORRISON</u>
Director	<u>WILLIAM MCCUTCHEN</u>
Incorporator	<u>TERRY CURTSINGER</u>
Incorporator	<u>RICHARD HUTCHENS</u>
Incorporator	<u>VINCE BARCLAY</u>

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

<u>Annual Report</u>	4/5/2014	1 page	<u>PDF</u>
<u>Annual Report</u>	6/1/2013	1 page	<u>PDF</u>

Annual Report	6/8/2012	1 page	PDF	
Registered Agent name/address change	4/17/2011 3:57:40 PM	1 page	PDF	
Principal Office Address Change	4/17/2011 3:49:51 PM	1 page	PDF	
Annual Report	4/17/2011	1 page	PDF	
Annual Report	6/24/2010	2 pages	tiff	PDF
Annual Report	4/13/2009	1 page	tiff	PDF
Reinstatement	9/18/2008	3 pages	tiff	PDF
Principal Office Address Change	9/18/2008	1 page	tiff	PDF
Registered Agent name/address change	9/18/2008	1 page	tiff	PDF
Administrative Dissolution	12/1/2007	1 page	PDF	
Annual Report	9/6/2006	1 page	tiff	PDF
Annual Report	4/21/2005	1 page	tiff	PDF
Annual Report	7/17/2003	1 page	tiff	PDF
Statement of Change	5/27/2003	2 pages	tiff	PDF
Annual Report	7/29/2002	1 page	tiff	PDF
Annual Report	4/30/2001	1 page	tiff	PDF
Annual Report	4/17/2000	1 page	tiff	PDF
Annual Report	4/21/1999	1 page	tiff	PDF
Annual Report	5/6/1998	1 page	tiff	PDF
Annual Report	7/1/1997	1 page	tiff	PDF
Annual Report	7/1/1996	1 page	tiff	PDF
Annual Report	7/1/1995	1 page	tiff	PDF
Annual Report	3/22/1994	1 page	tiff	PDF
Annual Report	3/24/1993	1 page	tiff	PDF
Annual Report	7/1/1992	1 page	tiff	PDF
Annual Report	7/1/1991	1 page	tiff	PDF
Annual Report	7/1/1990	2 pages	tiff	PDF
Annual Report	7/1/1989	2 pages	tiff	PDF

Assumed Names

Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	4/5/2014 9:01:40 PM	4/5/2014 9:01:40 PM	
Annual report	6/1/2013 1:07:53 PM	6/1/2013 1:07:53 PM	
Annual report	6/8/2012 10:17:15 AM	6/8/2012 10:17:15 AM	
Annual report	4/17/2011 4:06:39 PM	4/17/2011 4:06:39 PM	
Registered agent address change	4/17/2011 3:57:40 PM	4/17/2011 3:57:40 PM	
Principal office change	4/17/2011 3:49:51 PM	4/17/2011 3:49:51 PM	

Annual report	6/24/2010 1:43:37 PM	6/24/2010
Annual report	4/13/2009 4:35:15 PM	4/13/2009
Registered agent address change	9/18/2008 3:38:07 PM	9/18/2008
Principal office change	9/18/2008 3:37:24 PM	9/18/2008
Reinstatement	9/18/2008 3:36:34 PM	9/18/2008
Admin Dis. A. report not in	12/1/2007	12/1/2007
Admin Dis. A. report not in	12/1/2007	12/1/2007
Annual report	9/6/2006 3:05:34 PM	9/6/2006
Registered agent address change	5/27/2003 12:13:07 PM	5/27/2003
Principal office change	5/28/2002 10:46:51 AM	5/28/2002

Microfilmed Images

Microfilm Images are not available online. They can be ordered by faxing a Request For Corporate Documents to the Corporate Records Branch at 502-564-5687.

Annual Report	1/7/2005	1 page
Annual Report	6/21/2004	1 page
Annual Report	7/17/2003	1 page
Statement of Change	5/27/2003	1 page
Annual Report	7/29/2002	1 page
Annual Report	4/30/2001	1 page
Annual Report	4/17/2000	1 page
Annual Report	4/21/1999	1 page
Annual Report	5/6/1998	1 page
Annual Report	7/1/1997	1 page
Annual Report	7/1/1996	1 page
Annual Report	7/1/1995	1 page
Annual Report	3/22/1994	1 page
Annual Report	3/24/1993	1 page
Annual Report	7/1/1992	1 page
Annual Report	7/1/1991	1 page
Annual Report	7/1/1990	2 pages
Annual Report	7/1/1989	2 pages
Articles of Incorporation	12/14/1984	2 pages

196391

Commonwealth of Kentucky

OFFICE OF
SECRETARY OF STATE

DREXELL R. DAVIS
Secretary



FRANKFORT,
KENTUCKY

CERTIFICATE OF INCORPORATION OF NON-STOCK, NON-PROFIT CORPORATION

I, **DREXELL R. DAVIS**, Secretary of State of the Commonwealth of Kentucky, certify that there has been delivered to my office articles of incorporation of

DAKDALE NEIGHBORHOOD ASSOCIATION, INC.

The name and address of the registered agent of this corporation is

NAME Vince Barclay
STREET ADDRESS 905 Camden Avenue
CITY, STATE Louisville, Ky.

NOW, THEREFORE, finding that these articles of incorporation conform to law and that all fees therefore having been paid as prescribed by law, I, **DREXELL R. DAVIS**, Secretary of State, issue this Certificate of Incorporation.



Issued this 14th day of December, 1964,
at Frankfort, Kentucky.

Drexell R. Davis

SECRETARY OF STATE

SECRETARY OF STATE

ASSISTANT SECRETARY OF STATE

**List of Oakdale Neighborhood
Association Officers:**

Barbara Devereaux, President (term expiring 8/2014)
George Manley, Vice President (term expiring 8/2014)
Cathy Brown, Secretary (term expiring 8/2014)
Margaret Osborne, Treasurer (term expiring 8/2014)

Board members for ONA:

All the above officers
Francis Brooks
Mike Bader
Cindy Corpe
Hilda Steiden

Per Oakdale Neighborhood Association By-Laws, Section III (A), the officers of Oakdale Neighborhood Association shall be President, Vice-President, Secretary and Treasurer. The term of office for President shall be two (2) years. The term of office for Vice-President, Secretary, and Treasurer shall be for one (1) year. An officer may serve no more than six (6) consecutive years without a hiatus of at least one (1) year.

Relationship Disclosure:

Barbara Devereaux - LMPD 4th Division Advisory Board, Past President and
present board member;

LMPD Chief Conrad's Advisory Board, President

George Manley - Currently holds a position within LMPD Corrections

Fraternal Order of Police Corrections, Vice President

Request for Taxpayer Identification Number and Certification

**Give Form to the
 requester. Do not
 send to the IRS.**

Print or type See Specific Instructions on page 2.	Name (as shown on your income tax return) Oakdale Neighborhood Association	
	Business name/disregarded entity name, if different from above	
	Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ <input type="checkbox"/> Other (see instructions) ▶ _____	
	<input checked="" type="checkbox"/> Exempt payee	
Address (number, street, and apt. or suite no.) PO BOX 21833		Requester's name and address (optional)
City, state, and ZIP code Louisville KY 40221		
List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Social security number								

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.



Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.

Sign Here Signature of U.S. person ▶ *M. Mungat*

Date ▶ *7/2/2014*

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

Information copy. Do not send to IRS.

Form **990-N**
Department of the Treasury
Internal Revenue Service

Electronic Notice (e-Postcard)
for Tax-Exempt Organizations not Required To File Form 990 or 990-EZ

A For the 2013 calendar year, or tax year beginning **1/1/2013**, and ending **12/31/2013**.

B Check if applicable

- Terminated, Out of Business
 Gross receipts are normally \$50,000 or less

C Name of organization: **OAKDALE NEIGHBORHOOD ASSOCIATION**
d/b/a:

% Margaret Osborne
727 W Evelyn Ave
Louisville, KY, US, 40215

E Website:

F Name of Principal Officer: **Barbara Devereaux**

1106 Camden Ave
Louisville, KY, US, 40215

Privacy Act and Paperwork Reduction Act Notice. We ask for the information on this form to carry out the Internal Revenue laws of the United States that require us to give you the information. We need it to ensure that you are complying with these laws.

The organization is not required to provide the information requested on a form that is subject to the Paperwork Reduction Act unless the form has a valid control number. Books or records relating to a form or its instructions must be retained as long as their contents may become material in the administration of Internal Revenue law. The rules governing the confidentiality of the Form 990-N is covered in Code section 6104.

The time needed to complete and file this form and related schedules will vary depending on individual circumstances. The estimated average time to complete this form and its instructions is 15 minutes.

Note: This image is provided for your records only. Do NOT mail this page to the IRS. The IRS will not accept this form. You must file your Form 990-N (e-Postcard) electronically.

This Form 990-N (e-Postcard) was accepted by the IRS on 4/6/2014.

ORIGINAL COPY FILED
SECRETARY OF STATE OF KENTUCKY
RECEIVED

DEC 14 1984

ARTICLES OF INCORPORATION

SECRETARY OF STATE

DEC 14 1984

Commonwealth of Kentucky

P. J. ...
The OAKDALE NEIGHBORHOOD ASSOCIATION, INC. has formed as a perpetual organization to operate on a volunteer basis, a non-stock, non-profit neighborhood corporation for the Oakdale neighborhood. To serve as a liaison for government officials and agencies; to help inform members/neighbors of available government aid and activities; to help inform members/neighbors of their civic responsibilities; to lend help, charity, when possible, for the good of the Oakdale neighbors. This organization will not be affiliated with any political or religious group.

374669

We are hereby organized with Mr. Vince Barclay as our registered agent with an office at his home, 905 Camden Avenue, Louisville, Kentucky 40215.

The Initial Board of Directors for the OAKDALE NEIGHBORHOOD ASSOCIATION:

Includes the following members:

Tommy C. ... (President) 830 Beecher Ave., Louisville, KY 40215

... (Vice-President) 812 Camden Ave., Louisville, KY 40215

Mary M. ... (Secretary) 710 Whitney Ave., Louisville, KY 40215

Vincent W. Barclay (Treasurer) 905 Camden Ave., Louisville, KY 40215

... (Director) 3705 S. 4th St., Louisville, KY 40214

... (Director) 707 V. ... Ave., Louisville, KY 40215

... (Director) 404 S. 5th St., Louisville, KY 40214

... (Director) 2112 2nd Camden Ave., Louisville, KY 40215

... (Director) 2015 ... Ave., Louisville, KY 40215

SECRETARY OF STATE

RECEIVED
DEC 7 1984

Commonwealth of Kentucky

OAKDALE NEIGHBORHOOD ASSOCIATION, INC.

196391

Commonwealth of Kentucky

OFFICE OF
SECRETARY OF STATE

DREXELL R. DAVIS
Secretary



FRANKFORT,
KENTUCKY

CERTIFICATE OF INCORPORATION OF NON-STOCK, NON-PROFIT CORPORATION

I, DREXELL R. DAVIS, Secretary of State of the Commonwealth of Kentucky,
certify that there has been delivered to my office articles of incorporation of

DIKDALE NEIGHBORHOOD ASSOCIATION, INC.
The name and address of the registered agent of this corporation is

Vince Barclay

503 Garden Avenue

Nashville, Ky

Now, DREXELL R. DAVIS, finding that these articles of incorporation conform to law and
all other requirements being read as prescribed by law, I, DREXELL R. DAVIS,
Secretary of State, do hereby certify of Incorporation.

Witness my hand and seal of office this 10th day of October, 1963
at Frankfort, Kentucky.

Drexell R. Davis
Secretary of State

SECRETARY OF STATE

Hughes, Susan

From: MyNameIs <maofirelox@yahoo.com>
Sent: Thursday, July 31, 2014 2:06 PM
To: Hughes, Susan
Subject: Articles of incorporation and term limits
Attachments: scan.pdf; List of Oakdale Neighborhood.docx

Hi, here are the articles of incorporation and the updated officer list with a blurb underneath regarding term limits. Let me know if this suffices. I will work on the corrected budget page (I am assuming I can just scan/email a corrected page?). It is good news regarding NNO. On the matter of Christmas in Oakdale, I will have to take it to the other officers and see how they want to proceed. I will let you know ASAP. Thanks.

Margaret

2013 Budget

Expenses	
Newsletter	\$683.75
Office Supplies	\$421.12
Meeting expenses (coffee, drinks)	\$132.78
National Night Out	\$100.00
Xmas in Oakdale	\$387.10
Neighborhood Maintenance	\$0.00
Taxes and fees (annual report)	\$15.00
Donations (LMPD Advisory board)	\$75.00
Total Expenses	\$1814.75

Income	
Membership dues	\$536.13
Donations	\$500.00
Xmas in Oakdale donations	\$282.00
Total income	\$1318.13
Net income	(-\$496.62)



451 Baxter Ave, Suite 101
 Louisville, KY 40204
 502-690-6433

* Banner *

QUOTE

Date	QUOTE #
4/9/2014	6463

Name / Address
Oakdale Neighborhood Association

P.O. #

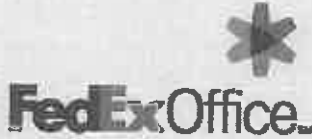
Account Executive
Sheri Lewis

Description	Qty	Rate	Total
Digital Printing of supplied file, printed full color on high quality 13oz vinyl banner material with banner tape and grommets with plastic corner protectors. (48"x144")	1	288.00	288.00
Total:			\$288.00

www.spectra-imaging.com



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* Monthly Expense *
* Newsletter *

BID



1992-00CL9-1

Louisville KY 3rd at Central
3107 S 3rd St
Louisville, KY 40214

Telephone: 502.368.9980
Fax: 502.368.9775

Prepared for: Margaret Osbourne
Telephone: 502.468.4658
Bid Name: copy and staple
Description: FS Black and White Copies

Bid Reference [Redacted]
Date: 04/10/2014
Estimator: Amanda Talai
Store Number: 1992

Quantity	Description	Unit Price	Disc. Price	Line Total	Discount	Sub-Total
360	BW 2S on 24# Wht	\$0.2600	\$0.2099	\$93.60	\$18.00	\$75.60
120	Machine Stapling	\$0.0200	\$0.0200	\$2.40	\$0.00	\$2.40

* Summary Total: \$78.00

Bring this letter to any of our FedEx Office locations to begin processing your order. If we can be of any future assistance please do not hesitate to contact us.

* Tax based on local jurisdiction at time of tender.

per month

Accepted by: _____

Postage \$96.00 bi-monthly
x3
288.00 yearly

Walmart 

Save money. Live better.

Self Checkout

Fast. Fun. Easy.

(502) 451 - 6766
MANAGER TERRY ALLEN
2020 BASHFORD MANOR LN
LOUISVILLE KY 40218

ST# 5418	OP# 00009049	TE# 49	TR# 02812
HP 940XL	BLA 088442033400		38.97 X
COPY PAPER	003650009980		3.72 X
COPY PAPER	003650009980		3.72 X
	SUBTOTAL		46.41
TAX 1	6.000 %		2.78
	TOTAL		49.19
	DEBIT TEND		49.19
	CHANGE DUE		0.00

Estimate for Paper & ink

X 3
\$ 147.57
total

** Monthly **

EFT DEBIT 49.19 TOTAL PURCHASE
ACCOUNT # PAY FROM PRIMARY
REF # 407400826768 **** * 6846 S
NETWORK ID. 0090 APPR CODE 912220
TERMINAL # 24002953

03/15/14 14:28:13

ITEMS SOLD 3

TC# 9886 5520 3980 8155 1618



Our Guaranteed Low Prices
Are Unbeatable with Ad Match!
03/15/14 14:28:17



The Acorn

June/July 2014

Published by
The Oakdale Neighborhood Association
P.O. Box 21833, 4440 Crittenden Drive
Louisville, KY 40221 (502) 384-5831
oakdaleneighborhoodassociation@yahoo.com

Diversity Statement: The Oakdale Neighborhood Association is committed to celebrating the rich diversity of people who live and work in our neighborhood. We believe that our environment must foster mutual respect and understanding for all people. We believe that all of our lives are enriched by accepting each other as we are and by celebrating our uniqueness as well as our commonality.

Letter from the President: Friends and Neighbors,

Congratulations to Officer Johnny Burgraff, 4th LMPD Division, for winning the Exceptional Merit Award for 2013. Johnny has been a great asset to Oakdale for the last 4 years. He has initiated programs such as Team Street Safe, Coffee with a Cop and Christmas in Oakdale - not to mention the 2013 award-winning National Night Out. He was recently honored by Chief Steve Conrad and Mayor Greg Fischer at an awards banquet. Lets all take a few minutes to thank him for his service to our neighborhood.



Also, with the spring showers, yard work will be in full swing for most of us. If you see a property with high grass or overgrown with weeds, please call 311 anonymously to report properties out of compliance with city ordinances, or email the association and we will report it. This also applies to junk set out in the street and alleyways. We want to keep our neighborhood clean.

Also, we want to thank Louisville Hydroponics for the fertilizer that was donated for our April meeting door prize, won by Ed Logsdon. Louisville Hydroponics is always ready to help improve our neighborhood. Ed Logsdon has also planted several fruit & nut trees in the neighborhood, and still has trees available. You can contact Ed at 502-297-2665 and leave a voicemail for him if you are still interested in this program.

- Barbara

UPCOMING NEIGHBORHOOD EVENT/FUNDRAISER

Oakdale Neighborhood Association will be having their **2ND ANNUAL YARD SALE SATURDAY, JUNE 7TH, 2014 at 8:30am-2pm**. It will be held in the Salvation Army parking lot at 1010 Beecher Street. It will be bigger and better than last year. We will have tables for \$15 and for those that only need the space and not the table, the fee is \$5. We will also be selling hotdogs for \$1.00, with chips and drinks for \$0.50 each. There will be **no early bird sales**. The rain date will be June 13th, 2014 8:30am-2pm in case of adverse weather.

We are also accepting donations for the yard sale. You can contact Barbara Devereaux at 502-384-5831 or Margaret Osborne at 502-384-2286 for drop-off times and location. This is the only fundraiser of the year for us, so get out and support our neighborhood and allow us to fund our events and newsletter outreach!

POINTS TO REMEMBER:

- * ALL MEETINGS ARE CONDUCTED AT THE SALVATION ARMY, 1010 BEECHER STREET.
- * GENERAL MEETINGS ARE ON THE FIRST TUESDAY OF EVEN NUMBERED MONTHS (I.E. FEB/APRIL/JUNE, ETC.)
- * BOARD MEETINGS ARE ON THE FIRST TUESDAY OF ODD NUMBERED MONTHS (I.E. JAN/MARCH/MAY, ETC.)
- * EVERYONE IS DIFFERENT ... EVERYONE IS NECESSARY. IT DOESN'T MATTER HOW YOU IDENTIFY YOURSELF OR HOW OTHERS PERCEIVE YOU. WE WELCOME YOU IN OUR COMMUNITY.

UPDATE TO MAY MEETING REGARDING HOME SALES

The May 6th meeting was very informative. Jenny Fields, attorney-at-law, was very helpful and gave out great information and insight into the inner working of finding and bidding for properties for sale through Metro Louisville government. All properties are sold without warranties. The sale is subject to easements, assessments, and taxes due and payable after the sale date. All buyers are urged to seek legal representation to complete the sale process.

Auctions are on Tuesdays at 10:00am and are held at the Kentucky International Convention Center on S. 4th Street, Room 201. Bidding is in increments of \$500 for \$500-\$50,000, \$1000 for \$50,000-\$100,000, and \$2000 for bids over \$100,000. There is no usage allowed of cell phone or electronic devices during bidding. All bids are final and property is "sold" unless the bid is rejected. A deposit, in the form of cash, cashier's check, or money order, is required. If a deposit is not made, then the bid is rejected and the property is immediately resold. The commissioner must receive 25% of the amount due within one month, the balance in 6 months with 12% interest. All purchasers need I.D. and a corporate resolution if appropriate.

There are requirements after the sale. All purchasers must file a motion to confirm the sale and order a deed. A sales bond must also be filed by the Commissioner's sale purchaser if the purchase price is not paid in full within 30 days. Paying money into Court requires an order. The website below contains a version that will calculate the amount of money required to be paid.

There are other terms to the sale as well. The purchaser is required to insure the property from the sale date. The purchaser also has 10 days from the Friday after the auction to file any objections to the terms of the judgment. Purchasers do not have the right to enter the property before confirmation of the sale and the posting of the sales bond or full payment. Confirmation cannot be any earlier than 10 days from the Friday after the auction, and only after motion from the purchaser or other party and upon the Court's entry of the order. If the property is still occupied by the defendants, the purchasers shall file a writ of possession, via a motion, order for possession, and an affidavit that the property is still occupied by defendant.

If the purchaser does not comply with the purchase terms, the purchaser may be held in default. In this case, the Court has the authority to hold the original deposit made the day of the sale and apply it to the expenses of the second sale and/or the shortfall if the property sells for less at the second sale. For properties sold for less than 2/3rds of the appraised value, the former property owner retains a right to redeem the property. The right lasts for a year for sales before July 15th, 2014, and for 6 months for later sales.

This is not an exhaustive list of procedures. Please contact the Commissioner's office at 502-574-5934 if you need further information. Below is the procedure for finding properties for sale:

Searching Jefferson Circuit Court Commissioner's Office Website

1. Go to www.jeffcomm.org.
2. Click Upcoming Sales.
3. Enter keyword for search. You can use any one of the following options: street name, house number, zip code, or current owner's name.
4. Notice the sale date. Currently advertised sale properties are scheduled through June 24, 2014.
5. Notice if the property has been withdrawn from sale.

UPCOMING ASSOCIATION MEETINGS**ALL MEETING TIMES ARE AT 6:30 P.M.**

June 3rd, 2014 General Meeting

July 1st, 2014 Board Meeting

August 12th, 2014 General Meeting

September 2nd, 2014 Board Meeting

October 7th, 2014 General Meeting

November 4th, 2014 Board Meeting

December 9th, 2014 General Meeting

January 2015 Happy New Year!!

**List of Oakdale Neighborhood
Association Officers:**

Barbara Devereaux, President
George Manley, Vice President
Cathy Brown, Secretary
Margaret Osborne, Treasurer

Any person who lives in the designated boundaries may become a member of Oakdale Neighborhood Association. The designated boundaries are Taylor Blvd. on the west; Watterson Expy on the south; to, but not including, 3rd. St. on the east; and Longfield & Kenton Streets on the north. All positions are voted on during a general meeting, with the president serving two years and other officers for one year. In order to serve as an officer or board member, an individual must be a member in good standing of the Oakdale Neighborhood Association with current dues paid in full. While anyone may *participate* in the neighborhood association, to have a spot on the board or a vote, you must reside within the neighborhood.

VFW 2921**Churchill Downs Post****2902 7th Street Rd. (7th and Arcade)****Basement Yard Sale****Every Saturday 9 am - 1pm. Rain or Shine****Goods, Clothes, misc. Donations accepted.****Karaoke Fridays 8 pm - 12.****Help us support our Hometown Heroes.**

Smith, Chanelle Emily

From: Butler, Marianne
Sent: Friday, August 08, 2014 10:15 AM
To: Hughes, Susan
Cc: Smith, Chanelle Emily
Subject: OAKDALE/NDF

Susan, Please sign the NDF application in the amount of \$1,450.00 for the Oakdale Neighborhood Association request.

Thanks, Marianne

Councilwoman Marianne Butler
District 15
502-574-1115



Department of the Treasury
Internal Revenue Service

P.O. Box 2508
Cincinnati OH 45201

In reply refer to: [REDACTED]
May 01, 2009 LTR 4168C E0
61-1056985 000000 00 000
00015818
BODC: TE

OAKDALE NEIGHBORHOOD ASSOCIATION
729 W WHITNEY AVE
LOUISVILLE KY 40215

4824

Employer Identification Number: [REDACTED]
Person to Contact: Mr. Kammerer
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your request of Apr. 22, 2009, regarding your tax-exempt status.

Our records indicate that a determination letter was issued in June 1985, that recognized you as exempt from Federal income tax, and discloses that you are currently exempt under section 501(c)(4) of the Internal Revenue Code.

Because you are not an organization described in section 170(c) of the Code, donors may not deduct contributions made to you. You should advise your contributors to that effect.

If you have any questions, please call us at the telephone number shown in the heading of this letter.

Sincerely yours,

Michele M. Sullivan

Michele M. Sullivan, Oper. Mgr.
Accounts Management Operations I