

**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

Applicant/Program: United Nations Association of the United States of America Kentucky Division, LTD /
Applicant Requested Amount: 17,256.11
Appropriation Request Amount: 2550.00

Executive Summary of Request

Funds will go towards the program: Human Trafficking 7 Sexual Exploitation with a focus on "Human Trafficking & Pornography as Public Health Crisis."

Is this program/project a fundraiser? Yes No
 Is this applicant a faith based organization? Yes No
 Does this application include funding for sub-grantee(s)? Yes No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

6
District #


Primary Sponsor Signature

\$250
Amount

9-16-2019
Date

Primary Sponsor Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

Approved by:

Appropriations Committee Chairman

Date

Final Appropriations Amount: _____

Applicant/Program:

United Nations Association of the United States of America Kentucky Division, LTD /Human trafficking & Sexua

Additional Disclosure and Signatures

Additional Council Office Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

Council Member Signature and Amount

District 1 _____ \$ _____

District 2 _____ \$ _____

District 3 _____ \$ _____

District 4 _____ \$ _____

District 5 _____ \$ _____

District 6 _____ \$ _____

District 7 _____ \$ _____

District 8 _____ \$ _____

District 9 _____ \$ _____

District 10 _____ \$ _____

District 11 _____ \$ _____

District 12 Ray Bland \$ 250 —

District 13 _____ \$ _____

District 14 _____ \$ _____

District 15 Kevin T. [Signature] \$ 250 —

Applicant/Program:

United Nations Association of the United States of America Kentucky Division, LTD /Human trafficking & Sexua

Additional Disclosure and Signatures

Additional Council Office Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

District 16 _____ \$ _____

District 17 _____ \$ _____

District 18 _____ \$ _____

District 19 _____ \$ _____

District 20 Stuart Benson (by No) \$ 1000⁰⁰

District 21 Push Hoop \$ 300

District 22 _____ \$ _____

District 23 _____ \$ _____

District 24 Madonna Good \$ 500.00

District 25 _____ \$ _____

District 26 _____ \$ _____

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Legal Name of Applicant Organization United Nations Association of the United States of America Kentucky Division

Program Name and Request Amount Human trafficking & Sexual Exploitation \$17,256.11

	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	<input type="checkbox"/> ..NO
Is the funding proposed by Council Member(s) less than or equal to the request amount?	<input type="checkbox"/> ..YES
Is the proposed public purpose of the program viable and well-documented?	<input type="checkbox"/> ..YES
Will all of the funding go to programs specific to Louisville/Jefferson County?	<input type="checkbox"/> ..YES
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	<input type="checkbox"/> ..YES
Has prior Metro Funds committed/granted been disclosed?	<input type="checkbox"/> ..YES
Is the application properly signed and dated by authorized signatory?	<input type="checkbox"/> ..YES
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	<input type="checkbox"/> ..YES
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	<input type="checkbox"/> ..NA
Is the entity in good standing with: <ul style="list-style-type: none"> ▶ Kentucky Secretary of State? ▶ Louisville Metro Revenue Commission? ▶ Louisville Metro Government? ▶ Internal Revenue Service? ▶ Louisville Metro Human Relations Commission? 	<input type="checkbox"/> ..YES
Is the current Fiscal Year Budget included?	<input type="checkbox"/> ..YES
Is the entity's board member list (with term length/term limits) included?	<input type="checkbox"/> ..YES
Is recommended funding less than 33% of total agency operating budget?	<input type="checkbox"/> ..YES
Does the application budget reflect only the revenue and expenses of the project/program?	<input type="checkbox"/> ..YES
Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?	<input type="checkbox"/> ..NA
Is the most recent annual audit (if required by organization) included?	<input type="checkbox"/> ..YES
Is a copy of Signed Lease (if rent costs are requested) included?	<input type="checkbox"/> ..NA
Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?	<input type="checkbox"/> ..NA
Are the Articles of Incorporation of the Agency included?	<input type="checkbox"/> ..YES
Is the IRS Form W-9 included?	<input type="checkbox"/> ..YES
Is the IRS Form 990 included?	<input type="checkbox"/> ..YES
Are the evaluation forms (if program participants are given evaluation forms) included?	<input type="checkbox"/> ..YES
Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?	<input type="checkbox"/> ..NA
Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?	<input type="checkbox"/> ..NA
Prepared by: <i>Shalenna D. Sydnor</i>	Date: 9/16/19

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
Legal Name of Applicant Organization: <i>United NATIONS Association of the United States of America Kentucky Division, Ltd.</i> <small>(as listed on: http://www.sos.ky.gov/business/records)</small>			
Main Office Street & Mailing Address: <i>6505 Echo TRAIL, Louisville, KY 40249-5103</i>			
Website: <i>www.unausa.org; www.unausa.ky.org</i>			
Applicant Contact:	<i>Teena HALBIG</i>	Title:	<i>Vice President</i>
Phone: <i>cell 777-3192</i>	<i>502 267-6883</i>	Email:	<i>TeenaHal@aol.com</i>
Financial Contact:	<i>Christine Richards</i>	Title:	<i>Treasurer</i>
Phone:	<i>502 695-4865</i>	Email:	<i>oma1224@gmail.com</i>
Organization's Representative who attended NDF Training: <i>Teena Halbig</i> 5			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s):	<i>University of Louisville, University Club, 200 E. Brandeis</i>		
Council District(s):	<i>6</i>	Zip Code(s):	<i>40208</i>
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: <i>HUMAN TRAFFICKING & Sexual Exploitation</i>			
Total Request: (\$)	<i>17,256.11</i>	Total Metro Award (this program) in previous year: (\$)	<i>15,999.17</i>
Purpose of Request (check all that apply):			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget) <input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
The Following are Required Attachments:			
IRS Exempt Status Determination Letter Current year projected budget Current financial statement Most recent IRS Form 990 or 1120-H Articles of Incorporation (current & signed) Cost estimates from proposed vendor if request is for capital expense		Signed lease if rent costs are being requested IRS Form W9 Evaluation forms if used in the proposed program Annual audit (if required by organization) Faith Based Organization Certification Form, if applicable	
For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary. <i>Stewart Benson 500 + Anthony P. Agentini 500 for Flouys Fork</i>			
Source:		Amount: (\$)	
Source:		Amount: (\$)	
Source:		Amount: (\$)	
Has the applicant contacted the BBB Charity Review for participation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>N/A</i>			

Environmental Assoc.



Louisville Metro Government
Office of Management and Budget

Neighborhood Development Fund Training Attestation

Grantee Organization Name: United Nations Association of the United States of America Kentucky Division

Grantee Representative Name: Teena Halbig, Vice President UNA-USA KY Division

I agree that I am an authorized representative and/or signatory of the organization named above and attest to having viewed the Neighborhood Development Fund training presentation. I understand the reporting requirements of the Neighborhood Development Fund grant. Additionally, after viewing the presentation, I have correctly answered the below questions.

Please check:

I viewed the NDF training material on the website

Answer the following questions before signing (Circle or write in the correct answer).

1. The NDF funding your agency received is a gift from LMG? True or False
2. Name the three budget categories that require a detail list.
Client Assistance, Community Events + Festivals and Other Expenses
3. If your agency charged gross pay to NDF, you are required to provide additional documentation to satisfy reporting requirements. True or False
4. Which four questions should your financial support documentation answer at all times?
who, what, when and where
5. Your agency is considered noncompliant if you do not account for funds received and/or your financial report is missing support documentation? True or False
6. Canceled check, bank statement, invoice and receipt are considered proof of payment. True or False.

Teena Halbig

Grantee Representative Signature

8-3-19

Date

NOTE: Please return to Roxanne Steele

E-mail address: Roxanne.Steele@louisvilleky.gov

Fax: 502-574-3219

Mailing Address: Louisville Metro Government
ATTN: NDF Coordinator
611 West Jefferson St.
Louisville, KY 40202

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

VISION STATEMENT

UNA-USA KY Division's focus on Human Trafficking aligns with UN Sustainable Development Goal #5. This year, the focus is Human Trafficking & Pornography as Public Health Crises because Pornography fuels trafficking of children, youths and victims. We strive to open the eyes and ears of the community from all walks of life to the epidemic of pornography and trafficking. Also we will provide access to sources of help such as the book, "Good Pictures, Bad Pictures" which can be used by professionals, parents, grandparents, and others to help children be better able to protect themselves. Pornography is a 3 Billion dollar industry and is rampant in the United States and globally.

Our vision includes the work underway to create a local Cyber Patrol to decrease demand from buyers because of the harms to society – especially involving those under the age of 18 (known as 'minors'). This EPIK Project program is in 15 cities now so we are bringing in an expert who has worked in this nonprofit field since 2012. EPIK Project began in 2012 and Exodus Cry was initially trained there in Oregon. Working with Ronnie Hager, we have recruited 10 volunteers to be trained.

Pornography affects the brain and much like alcohol addiction, pornography is addicting and ruins lives as does trafficking. It is another great economic loss of workforce in addition to trafficking victims. Our vision is to decrease demand and to uncover traffickers and decrease objectification. We will encourage education on the dangers of pornography and highlight the need for enforcement of obscenity laws to curb the objectification and exploitation of women and children (as is in SR170 by Senator Pro Tem David Givens Senate Resolution which passed in the 2018 KY General Assembly – he will be a speaker Oct. 16, 2019).

Both Human Trafficking & Pornography are Public Health Crises both have potential detrimental effects: emotional, mental and medical illnesses. Pornography has a detrimental effect on the family unit because young men who view pornography have less desire to marry, have more dissatisfaction in marriage and infidelity. There is also a link to aggressive behavior and domestic violence plus child sexual abuse. People need to be treated with dignity and as a person – not an object.

We also want to have an engaged community who will work to get laws passed to help and use the human trafficking hotline of 1-888-373-7888 and texting 233-733 or BeFree (put in cell phones!).

UNA-USA Division MISSION:

The UNA-USA KY Division is a membership organization dedicated to inform, inspire and mobilize people to support the ideals and vital work of the United Nations. The organization works to accomplish its mission through its network of Chapters in Kentucky, youth engagement, advocacy efforts, education programs and public events. As a program of the United Nations Foundation (founded by Ted Turner) and with the Better World Campaign, with our national UNA-USA, we represent the; single largest network of advocates and supporter of the United Nations in the world. We are also a member of the World Federation of UNAs which involves nearly 100 countries. Teena was able to put forward a Resolution to help Trafficking in other countries which was accepted October 2018.

SERVICES:

UNA-USA KY Division provides programs and conferences each year to bring awareness, education and advocacy to vital and critical issues involving the UN that include the 17 SDGs, human trafficking, labor trafficking, FGM/cutting, forced child marriage, pornography – designing, writing and producing brochures on these issues of importance to the community. Speakers are available and give presentations; we have been on radio and TV programs. We publicize the National Human Trafficking Hotline Number and texting and if emergency to call 911. We work to engage the public in important civic matters. The upcoming Universal Periodic Review = UPR will be due for the United States May 2020 (looking at Human Rights) so we are holding a UPR meeting in partnership with American Association of University Women Louisville Branch Aug. 15 for community input on Human Rights. Since the National UNA-USA will produce a "shadow report" which will go to very high levels like U.S. State Dept. UN Human Rights Council, UN Diplomats, etc., this is a great opportunity for people to be heard. Also we work on advocacy and education about legislation at local, state and federal levels affecting SDGs.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 - BOARD OF DIRECTORS AND PAID STAFF

Board Member	Term End Date
Richard Baliles, President	2022
Teena HALBIG, Vice President	2021
Bill MILLER, Secretary	2021
Christine Richards, Treasurer	2022
Carolyn Diener	2022
Dr. Gregory O. Hall	2022
Aisha HAMID	2021
Sifa Ndusha	2022
John RASHID	2021
KAY SARGENT	2021
Jeanette Westbrook	2021

Describe the Board term limit policy:
 Staggered Terms. Terms are 3 years.
 If no qualified person for the position, the person currently holding office could remain in that position if the board votes to do that.

Three Highest Paid Staff Names	Annual Salary
No paid staff	0
All are volunteers	0

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

Program Start date is 10-16-19 and ends 10-16-19. Sign in 8:00 A.M. to 8:30 A.M. and starts 8:30 A.M. to 4:30 P.M. on Wednesday.

Description of Program: HUMAN TRAFFICKING 7 SEXUAL EXPLOITATION with a focus on "Human Trafficking & Pornography as Public Health Crises".

Attachments of Flyer with Program timelines, Planning Minutes, Designs and proposals for Services/Goods.

Client Population: General Public, schools, teachers, educators, JCPS, colleges, Professors, principals, appointed officials and/or staff of local, state and federal agencies such as Mayors, Legislators, Prosecutors, Louisville Metro Council Members, FBI, LMPD, U. S. Congressional Members /staff; Medical personnel and students (medical students, nursing students, pharmacy students): pharmacists, Emergency Room Doctors/nurses and SANE = Sexual Assault Nurse Examiner Nurses, dentists, medical technologists, phlebotomists, hospital administration; Professionals in Psychology and Sociology; Social Workers; students from Kent School of Social Work and Dean; Licensed Marriage & Family therapists; Law Enforcement Personnel (Police, FBI, Child Protective, Sheriff); Lawyers, Prosecutors, Commonwealth attorneys, Attorney General Personnel, County Attorney; Judges; Health Departments; Housing; TARC; First Responders (Fire, EMS, Homeland Security); Daycares; Hotel Personnel; students of law, social work, criminology; survivors, churches, nonprofits, men and women’s organizations.

B. Describe specifically how the funding will be spent including identification of funding to sub-grantee(s):

No sub-grantee(s)

Please see attachments for pricing of goods/services, etc.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

While not a fundraiser, we do have to raise additional funds to pay for items we cannot ask for in this grant such as awards or small gifts for presenters and volunteers, travel for Plenary and Keynote speakers from Washington, D.C. and Kansas City, Mo. as well as their accommodations – plus food (mostly evening) and in town travel. Also we need funds for speaker honorariums (two) and if any small gift. Additionally any miscellaneous expenses not foreseen.

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

Reimbursements should not be made before application date unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

- Measurable outcomes: Number of attendees, Number of Volunteers; Number of speakers; Number of evaluations and information in written comments; Number of display items taken: brochures, STOP Human Trafficking pins, literature; Number Media attending;
- Questionnaire/Evaluation Forms filled out and write comments on backside
- Number of spoken/verbal comments
- Photos and texting on facebook twitter during the conference
- Dissemination of educational information plus brochures with facts/data/information provided by presenters and UNA-USA KY Division and Sponsors and Co-Sponsors
- Number of Sponsors and Co-Sponsors
- Ability of attendees to identify and recognize Human Trafficking and Sexual Exploitation
- Increase of knowledge about Pornography and its effects on children, youths, and others.
- Increased awareness of cyber patrols and how these decrease demand.
- Identification of appropriate resources to direct victims to, including safe places.
- How many will put the Human Trafficking Hotline and Texting into their cell phones.
- Finding places to get help with Human Rights (Example: KY Commission on Human Rights)
- Better knowledge of recent and newly passed legislation (each will get a copy of 2018 KY General Assembly Senate Resolution on Pornography as a Public Health Crisis)
- Latest data and historical data to educate all attendees (works by Criminal Commissioner)
- What jobs are more apt to involve victims?
- Need for legislation including for schools to teach students about trafficking (sex and labor) and child abuse.

F:
on
pr

- High concern to educate children early and get message to parents, educators, daycares, friends, families and acquaintances. Kentucky law passed in 2016 for schools to post human trafficking hotline
- Where to report suspicions to HT hotline 1-888-373-7888; texting 233-733 or "BeFree" and chat 24/7.
- Also legal obligation to report suspicions
- Learn more about sex and labor trafficking (example: about a dozen kids were forced to sell candy in Bullitt County in 2019 were trafficked)

F. Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

Co-sponsors to date: UNA-USA Frankfort Chapter will provide some funding and email to members, etc. to attend and volunteer; UNA-USA Louisville Chapter will provide funding and email to members and volunteer; UNA-USA Bluegrass/Lexington Chapter will provide some funding and email to members and students at UK; UNA at U of L campus chapter can email to members and acquaintances as well as stake a few yard signs on campus for the event; UNA Women at U of L will also email members and others as well as stake a few yard signs and volunteer; UNA-USA KY Division will provide some funds (so will board members) and email to members and others, obtain more co-sponsors, coordinate committee meetings and line up volunteers for the event. We are already working with both American Association of University Women (AAUW): AAUW Louisville Branch and AAUW of Kentucky who are both co-sponsors and will notify members (both Presidents will attend). We plan to contact former NGO's who have helped in the past: Girl Scouts of Kentuckiana, Zonta Club of Louisville, Eta Omega Chapter of Alpha Kappa Alpha Sorority, KY Foundation for Women, National Council of Jewish Women, Rotary Clubs. All these NGO's can do additional outreach to their members, put in newsletters, emails, facebook and websites.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non- Metro Funds	Total Funds
A: Personnel Costs Including Benefits			
B: Rent/Utilities			
C: Office Supplies	894.00		894.00
D: Telephone			
E: In-town Travel			
F: Client Assistance (See Detailed List on Page 8)			
G: Professional Service Contracts	15615.75		15615.75
H: Program Materials <i>591.36 + 30 + 125</i>	746.36		746.36
I: Community Events & Festivals (See Detailed List on Page 8)			
J: Machinery & Equipment			
K: Capital Project			
L: Other Expenses (See Detailed List on Page 8)		21,869.65	21,869.65
*TOTAL PROGRAM/PROJECT FUNDS	17,256.11	21,869.65	39,125.76
% of Program Budget	49%	51%	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	500.00
Fees Collected from Program Participants	2500.00
Other (please specify) <i>NGO's, board members, members</i>	5000.00 \$18,869.65
<i>volunteers (in kind)</i>	8000.00 \$21,869.65
<small>Total Revenue for Columns 2 Expenses **</small>	

*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

**Must equal or exceed total in column 2.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail for Client Assistance, Community Events & Festivals or Other Expenses shown on Page 7 (circle one and use multiple sheets if necessary)	Column 1	Column 2	Column (1 + 2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
C. Office Supplies ^{Walmart} Chromebooks 15.6	894.00		894.00
G. Professional Services Contracts			
Nalou Web Solutions	1800.00		1800.00
U of L University Club Caterer	7955.75		7955.75
EPIK Project	5860.00		5860.00
H. Program Materials			
^{Books Good Pictures Bad Pictures} Glen Cove Press, LLC	591.36		591.36
" " " Freight	30.00		30.00
New Image Graphics Podium Sign	50.00		50.00
New Image Graphics ^{3x5} Founboard Sign	75.00		75.00
Total	17256.11	—	17256.11

TOTAL 746.36

TOTAL 746.36

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Carried forward ~~17,256.11~~ ~~17,256.11~~ ①

Detail for Client Assistance, Community Events & Festivals or Other Expenses shown on Page 7 (circle one and use multiple sheets if necessary)	Column 1	Column 2	Column (1 + 2) = 3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
L. Other Expenses (In Kind)			
↳ UofL University Club Ballroom		2500.00	2500.00
Gifts for speakers + volunteers		567.00	567.00
Airfare 326 + 300		626.00	626.00
Honorariums 500 x 2		1000.00	1000.00
2 Dinners		120.00	120.00
2 Hotel Rooms		400.00	400.00
In Kind (please see p8-a)			
UofL catering		2500.00	2,500.00 ①
UNA-USA KY Gifts: speakers + volunteers		567.00	567.00 ①
dinner + hotel stays		2126.00	2146.00 ①
Total	17256.11	5212.00	22469.11

10,406.00 ①

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

~~Carried forward~~

~~17,256.11~~

~~5273.00~~

~~22,469.11~~

51

Detail for Client Assistance, Community Events & Festivals or Other Expenses shown on Page 7 (circle one and use multiple sheets if necessary)	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
L. Other Expenses (<u>In Kind</u>)			
Meetings		2275.00	2275.00
Layout, Graphics, booklet		1137.50	1137.50
Printing Brochures, flyers, etc.		525.00	525.00
Staff folders		210.00	210.00
make 3" Buttons/Badges		385.00	385.00
CARD STOCK Printing/Awards		525.00	525.00
Volunteers at conference		2100.00	2100.00
Publicity, ♀ Equality Day, UPR		787.50	787.50
Fundraising		2625.00	2625.00
Obtain + process speaker info		350.00	350.00
Technical Support		368.00	368.00
Preparation logos for projection		105.00	105.00
Graphics preparation 3'x5' display		35.00	35.00
Web site work		35.00	35.00
Total	17,256.11	21869.65	39125.76

Items here
on page 8-2

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
655 hrs x \$25.43	16,656.65	attached
U of L University Club Catering	2,500.00	letter from U of L Catering
WNA-USA KY Gifts: speakers + volunteers	567.00	attached + based on past Conf.
airfares, honorariums dinners, hotel stays	2,146.00	checked airfares + hotels, estimated 2 dinners, honorariums set
Total Value of In-Kind (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)	21,869.65	

* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK

Agency Fiscal Year Start Date: January 1, 2019

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:

UNA-USA KY
HUMAN TRAFFICKING CONFERENCE OF 10-16-19

NOTE: IN KIND Volunteer Hours are below:

Volunteers \$17.50 /hr. (5 Committee Members

2hr. meetings x 13 = 26 hours x 5 persons = 130 hrs

Layout of Program & graphic flyer, speaker photos, topic

Summaries in booklet and printing of booklet, 65 hrs

Printing Brochures /flyers (several) with data and information
& other Program Materials. 30 hrs

Stuffing 160 folders with materials, labels on outside, 12 hrs

Round badges/buttons (3 inch, Hand-pressed, hand cut design
Affix printed labels on backside 22 hrs

385.00

Printing on card stock of speaker names (table tents, reserved

Tables and table info with sponsors; name labels, Awards
30hrs

Volunteers at Conference: 15x8 hours = 120 hrs

Publicity at U of L Women's Equality Day, Universal Periodic

Review Meeting, tables, emails, calls 45 hrs

Fundraising emails, phone calls, follow up, 150 hrs

Obtaining speaker facts and topic title and summary 20 hrs

Technical Support: gathering power points for projection,

Projection at event and AV support. 21 hrs

Preparation of logos for projection and opening

Graphics Preparation: manipulate logos for 3 x 5 display
2hrs

Set up DONATE button on website 2 hrs

TOTAL of 655 hrs

655 hrs. x \$25.43* = \$16,656.65 per

*Independent Sector Releases New Value of Volunteer Time of \$25.43 Per Hour. (WASHINGTON, April 11, 2019) – Today, Independent Sector announces that the latest value of a volunteer hour is \$25.43 – up 3% from the previous year. Apr 11, 2019

Independent Sector Releases New Value of Volunteer Time of \$25.43 ...

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 7 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked and the funds will not be disbursed to our organization.

Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee. *Councilman Benson + Engel have helped fund Floyds Fork Creek Sweeps + in 2019 Councilman Piagentini + Benson helped E NDF.*

SECTION 8 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:	<i>Teena Halbig</i>	Date:	<i>8-3-19</i>
Legal Signatory: (please print):	<i>Teena HALBIG</i>	Title:	<i>Vice President</i>
Phone:	<i>502 267-6883</i>	Extension:	<i>-</i>
		Email:	<i>Teena Hal @ aol.com</i>

Cell/text 502-777-5192

INTERNAL REVENUE SERVICE
P. O. BOX 2518
CINCINNATI OH 45201

DEPARTMENT OF THE TREASURY

Date: SEP 10 2015

UNITED NATIONS KENTUCKY DIVISION
6505 ECHO TRAIL
LOUISVILLE KY 40299-0000

Employer Identification Number:
23-7181530
DLN:
26053646001625
Contact Person:
CUSTOMER SERVICE ID# 31954
Contact Telephone Number:
1877-829-5500
Accounting Period Ending:
December 31
Public Charity Status:
509(a)(2)
Form 990/990-EZ/990-N Required:
Yes
Effective Date of Exemption:
September 1, 2015
Contribution Deductibility:
Yes
Addendum Applies:
No

Dear Applicant

We're pleased to tell you we determined you're exempt from federal income tax under Internal Revenue Code (IRC) Section 501(c)(3). Donors can deduct contributions they make to you under IRC Section 170. You're also qualified to receive tax deductible bequests, devises, transfers or gifts under Section 2055, 2106, or 2512. This letter could help resolve questions on your exempt status. Please keep it for your records.

Organizations exempt under IRC Section 501(c)(3) are further classified as either public charities or private foundations. We determined you're a public charity under the IRC Section listed at the top of this letter.

Based on the information you submitted on your application, we approved your request for reinstatement under Section 7 of Revenue Procedure 2014-11. Your effective date of exemption, as listed at the top of this letter, is the submission date of your application.

Our records show that you were previously tax exempt as a subordinate in a group exemption. Because you applied for and were granted your own individual tax-exempt status, you no longer rely on your affiliation with a parent organization for recognition of your tax exemption and you'll be listed individually in the Exempt Organizations Select Check (Pub. 78 data).

If we indicated at the top of this letter that you're required to file Form 990/990-EZ/990-N, our records show you're required to file an annual information return, Form 990 or Form 990-EZ, or electronic notice (Form 990-N, the e-Postcard). If you don't file a required return or notice for three consecutive years, your exempt status will be automatically revoked.

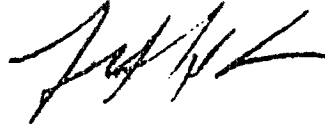
Letter 5436

UNITED NATIONS KENTUCKY DIVISION

If we indicated at the top of this letter that an addendum applies, the enclosed addendum is an integral part of this letter.

For important information about your responsibilities as a tax-exempt organization, go to www.irs.gov/charities. Enter "4221-PC" in the search bar to view Publication 4221-PC, Compliance Guide for 501 (c)(3) Public Charities, which describes your recordkeeping, reporting, and disclosure requirements.

Sincerely,



Jeffrey E. Cooper
Director, Exempt Organizations
Rulings and Agreements

Internal Revenue Service
P.O. Box 2508
Cincinnati, OH 45201

Department of the Treasury

Date: September 19, 2016

UNITED NATIONS ASSOCIATION OF THE
UNITED STATES OF AMERICA KENTUCKY DIVISION
6505 ECHO TRL
LOUISVILLE KY 40299

Person to Contact:

K. Gleason #0203083

Toll-Free Telephone Number:

877-829-5500

Employer Identification Number:

23-7181530

Form 990 Required:

Yes

Dear Sir or Madam:

This is in response to your request dated August 20, 2016, regarding your tax-exempt status.

We issued you a determination letter in September 2015, recognizing you as tax-exempt under Internal Revenue Code (IRC) Section 501(c)(3).

Our records also indicate you're not a private foundation as defined under IRC Section 509(a) because you're described in IRC Section 509(a)(2).

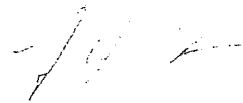
Donors can deduct contributions they make to you as provided in IRC Section 170. You're also qualified to receive tax deductible bequests, legacies, devises, transfers, or gifts under IRC Sections 2055, 2106, and 2522.

In the heading of this letter, we indicated whether you must file an annual information return. If a return is required, you must file Form 990, 990-EZ, 990-N, or 990-PF by the 15th day of the fifth month after the end of your annual accounting period. IRC Section 6033(j) provides that, if you don't file a required annual information return or notice for three consecutive years, your exempt status will be automatically revoked on the filing due date of the third required return or notice.

For tax forms, instructions, and publications, visit www.irs.gov or call 1-800-TAX-FORM (1-800-829-3676).

If you have questions, call 1-877-829-5500 between 8 a.m. and 5 p.m. local time, Monday through Friday. (Alaska and Hawaii follow Pacific Time.)

Sincerely yours,



Jeffrey I. Cooper
Director, Exempt Organizations
Rulings and Agreements

Department of the Treasury
Internal Revenue Service

for Tax-Exempt Organization not Required to File Form 990 or 990-EZ

2018

Open to Public Inspection

A For the 2018 Calendar year, or tax year beginning 2018-01-01 and ending 2018-12-31

B Check if available

Terminated for Business

 Gross receipts are normally \$50,000 or less

C Name of Organization: UNITED NATIONS ASSOCIATION OF

THE UNITED STATES OF AMERICA KENTUCKY

6505 Echo Trail, Louisville,KY, US, 40299

D Employee Identification

Number 23-7181530

E Website:

F Name of Principal Officer: Teena Halbig6505 Echo Trail, Louisville,KY, US, 40299

Privacy Act and Paperwork Reduction Act Notice: We ask for the information on this form to carry out the Internal Revenue laws of the United States. You are required to give us the information. We need it to ensure that you are complying with these laws.

The organization is not required to provide information requested on a form that is subject to the Paperwork Reduction Act unless the form displays a valid OMB control number. Books or records relating to a form or its instructions must be retained as long as their contents may become material in the administration of any Internal Revenue law. The rules governing the confidentiality of the Form 990-N is covered in code section 6104.

The time needed to complete and file this form and related schedules will vary depending on the individual circumstances. The estimated average times is 15 minutes.

Note: This image is provided for your records only. Do Not mail this page to the IRS. The IRS will not accept this filing via paper. You must file your Form 990-N (e-Postcard) electronically.

CERTIFICATE OF ASSOCIATION

KRS 273A.025

- I. Name of the association meeting the requirements of KRS 14A.3-010: United Nations Kentucky Division, Ltd. will be corrected to the United Nations Association of the United States of America Kentucky Division, Ltd. and is abbreviated as UNA-USA KY Division, Ltd.

- II. The mailing address of the association's initial principal office: Teena Halbig, President, 6505 Echo Trail, Louisville, KY 40299

- III. The name and address of the association's registered agent and registered office, both meeting the requirements of KRS 14A.4-010: Teena Halbig, President, 6505 Echo Trail, Louisville, KY 40299.

- IV. A statement of the association's purpose: Our association is committed to raising community awareness about issues of global concern through events. We are dedicated to building understanding and support for the ideals and vital work of the United Nations among the American people.

Registered Agent Teena Halbig Date: 7/29/16
Teena Halbig

President Teena Halbig Date: 8/29/16
Teena Halbig

**UNA-USA KY
2019 PROJECTED BUDGET**

EXPENSES

Special Events

1. UN Day Program & UN Human Rights Day Program is being combined to help with expenses with focus on Human Trafficking & Pornography as Public Health Crises	17,000
2. Intern/Youth/Student Chapters \$400 for CSW + \$150	550
3. Registration fee for GLS June \$275 + \$150 GES Feb.	425
4. UN Human Rights Day Dec. 10 film Lexington KY Theatre: (UNA-USA BlueGrass/Lexington Chapter & KY Division)	0
5. Universal Periodic Review August 15, 2019*	100
6. Inaugural Trailblazers at U Club	750
7. Annual Meeting (April) "Sexual Assault & HT" at Historic 1796 Venue*	450

*Note: costs for stamps, office supplies, printing, miscellaneous (plastic table covers, frames for awards, paper plates, pens) are included. Events are held at St. Paul UMC, U of L and Bashford Manor Inn so no room rent is paid.

General meetings at St. Paul with UNA-USA Louisville Chapter	300
Funds for UNA-USA National Meetings (Feb. & June)	700
File SOS in June	15
File 990N online	0
Materials 25 pounds to June Global Leadership Summit; paper, ink, Honorariums	1000
Airfares x 2 for speakers	700
Hotel for 2 speakers	400
Dinners for 2 speakers	120
TOTAL	22,560.00

INCOME

Nonprofits co-sponsor above events; Rotary usually helps with UN Day and Annual Meeting and UN HR Day + UNA Chapters, UPR, Individuals and board members. We usually break even. Funds are often used so students can attend for FREE as well as speakers.	4960
Charitable Nonprofit Donation for HT	500
Grant application from Lou Metro Government is near	17,000
Membership income not very much since students join for Free And NEW introductory membership is \$25 so we get back \$12.50 Divisions work to gain members for local chapters	100
TOTAL	\$22,560.00

Treasurer, Christine Richards, report for Grant Financial Statement

From: Christine Richards <oma1224@gmail.com>
To: Teenahal <TeenaHal@aol.com>
Subject: Fwd: Grant Financial Statement
Date: Mon, Jul 8, 2019 5:10 pm

----- Forwarded message -----
From: **Christine Richards** <oma1224@gmail.com>
Date: Mon, Jul 8, 2019 at 4:58 PM
Subject: Grant Financial Statement
To: Teenahal <TeenaHal@aol.com>

...
Your requested 2019 financial statement:

BEGINNING BALANCE January 1, 2019: -----\$ 4,890.99
DEPOSITS from January, 2019 through July 1, 2019: \$ 17,264.41, Balance \$ 22,155.40
EXPENSES from January, 2019 through July 1, 2019: \$ 18,420.59, Balance\$ 3,734.81
Balance as of July 1, 2019 is: \$3,734.81 (*) please note:

(*) there is an outstanding income from:

1. Inaugural Trailblazer Event at UofL Club
Eventbrite.....\$290.00

2. Hogan Lovells.....\$300.00

Subtotal	<u>\$590.00</u>	
which would increase the balance to		\$4,324.81
SAVINGS: as of July 1, 2019		\$2,152.09
		<hr/>
Checking + Savings Total		\$6476.90

Request for Taxpayer Identification Number and Certification

**Give Form to the
 requester. Do not
 send to the IRS.**

▶ Go to www.irs.gov/FormW9 for instructions and the latest information.

Print or type. See Specific Instructions on page 3.	1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank. <i>TEENA N. HALBIG</i>	
	2 Business name/disregarded entity name, if different from above <i>United Nations Association of the United States of America Kentucky Division, Ltd.</i>	
	3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
	<input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ _____ Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner. <input type="checkbox"/> Other (see instructions) ▶ _____	Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <i>(Applies to accounts maintained outside the U.S.)</i>
	5 Address (number, street, and apt. or suite no.) See instructions. <i>6505 ECHO TRAIL</i>	Requester's name and address (optional)
	6 City, state, and ZIP code <i>Louisville, KY 40299-5103</i>	
	7 List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number	
[] [] [] - [] [] - [] [] [] []	
or	
Employer identification number	
23 - 7181530	

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person ▶ <i>Teena Halbig</i>	Date ▶ <i>8-3-19</i>
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting*, later, for further information.

Note: If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien;
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States;
- An estate (other than a foreign estate); or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

In the cases below, the following person must give Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States.

- In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the entity;
- In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor or other U.S. owner of the grantor trust and not the trust; and
- In the case of a U.S. trust (other than a grantor trust), the U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

Foreign person. If you are a foreign person or the U.S. branch of a foreign bank that has elected to be treated as a U.S. person, do not use Form W-9. Instead, use the appropriate Form W-8 or Form 8233 (see Pub. 515, *Withholding of Tax on Nonresident Aliens and Foreign Entities*).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items.

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

Backup Withholding

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS 24% of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, payments made in settlement of payment card and third party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester,
2. You do not certify your TIN when required (see the instructions for Part II for details),
3. The IRS tells the requester that you furnished an incorrect TIN,
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See *Exempt payee code*, later, and the separate Instructions for the Requester of Form W-9 for more information.

Also see *Special rules for partnerships*, earlier.

What is FATCA Reporting?

The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all United States account holders that are specified United States persons. Certain payees are exempt from FATCA reporting. See *Exemption from FATCA reporting code*, later, and the Instructions for the Requester of Form W-9 for more information.

Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account; for example, if the grantor of a grantor trust dies.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Line 1

You must enter one of the following on this line; **do not** leave this line blank. The name should match the name on your tax return.

If this Form W-9 is for a joint account (other than an account maintained by a foreign financial institution (FFI)), list first, and then circle, the name of the person or entity whose number you entered in Part I of Form W-9. If you are providing Form W-9 to an FFI to document a joint account, each holder of the account that is a U.S. person must provide a Form W-9.

a. **Individual.** Generally, enter the name shown on your tax return. If you have changed your last name without informing the Social Security Administration (SSA) of the name change, enter your first name, the last name as shown on your social security card, and your new last name.

Note: ITIN applicant: Enter your individual name as it was entered on your Form W-7 application, line 1a. This should also be the same as the name you entered on the Form 1040/1040A/1040EZ you filed with your application.

b. **Sole proprietor or single-member LLC.** Enter your individual name as shown on your 1040/1040A/1040EZ on line 1. You may enter your business, trade, or "doing business as" (DBA) name on line 2.

c. **Partnership, LLC that is not a single-member LLC, C corporation, or S corporation.** Enter the entity's name as shown on the entity's tax return on line 1 and any business, trade, or DBA name on line 2.

d. **Other entities.** Enter your name as shown on required U.S. federal tax documents on line 1. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on line 2.

e. **Disregarded entity.** For U.S. federal tax purposes, an entity that is disregarded as an entity separate from its owner is treated as a "disregarded entity." See Regulations section 301.7701-2(c)(2)(iii). Enter the owner's name on line 1. The name of the entity entered on line 1 should never be a disregarded entity. The name on line 1 should be the name shown on the income tax return on which the income should be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner's name is required to be provided on line 1. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on line 2, "Business name/disregarded entity name." If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.

Line 2

If you have a business name, trade name, DBA name, or disregarded entity name, you may enter it on line 2.

Line 3

Check the appropriate box on line 3 for the U.S. federal tax classification of the person whose name is entered on line 1. Check only one box on line 3.

IF the entity/person on line 1 is a(n) . . .	THEN check the box for . . .
• Corporation	Corporation
• Individual • Sole proprietorship, or • Single-member limited liability company (LLC) owned by an individual and disregarded for U.S. federal tax purposes.	Individual/sole proprietor or single-member LLC
• LLC treated as a partnership for U.S. federal tax purposes, • LLC that has filed Form 8832 or 2553 to be taxed as a corporation, or • LLC that is disregarded as an entity separate from its owner but the owner is another LLC that is not disregarded for U.S. federal tax purposes.	Limited liability company and enter the appropriate tax classification. (P= Partnership; C= C corporation; or S= S corporation)
• Partnership	Partnership
• Trust/estate	Trust/estate

Line 4, Exemptions

If you are exempt from backup withholding and/or FATCA reporting, enter in the appropriate space on line 4 any code(s) that may apply to you.

Exempt payee code.

- Generally, individuals (including sole proprietors) are not exempt from backup withholding.
- Except as provided below, corporations are exempt from backup withholding for certain payments, including interest and dividends.
- Corporations are not exempt from backup withholding for payments made in settlement of payment card or third party network transactions.
- Corporations are not exempt from backup withholding with respect to attorneys' fees or gross proceeds paid to attorneys, and corporations that provide medical or health care services are not exempt with respect to payments reportable on Form 1099-MISC.

The following codes identify payees that are exempt from backup withholding. Enter the appropriate code in the space in line 4.

- 1—An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2)
- 2—The United States or any of its agencies or instrumentalities
- 3—A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities
- 4—A foreign government or any of its political subdivisions, agencies, or instrumentalities
- 5—A corporation
- 6—A dealer in securities or commodities required to register in the United States, the District of Columbia, or a U.S. commonwealth or possession
- 7—A futures commission merchant registered with the Commodity Futures Trading Commission
- 8—A real estate investment trust
- 9—An entity registered at all times during the tax year under the Investment Company Act of 1940
- 10—A common trust fund operated by a bank under section 584(a)
- 11—A financial institution
- 12—A middleman known in the investment community as a nominee or custodian
- 13—A trust exempt from tax under section 664 or described in section 4947

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

IF the payment is for . . .	THEN the payment is exempt for . . .
Interest and dividend payments	All exempt payees except for 7
Broker transactions	Exempt payees 1 through 4 and 6 through 11 and all C corporations. S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012.
Barter exchange transactions and patronage dividends	Exempt payees 1 through 4
Payments over \$600 required to be reported and direct sales over \$5,000 ¹	Generally, exempt payees 1 through 5 ²
Payments made in settlement of payment card or third party network transactions	Exempt payees 1 through 4

¹ See Form 1099-MISC, Miscellaneous Income, and its instructions.

² However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney reportable under section 6045(f), and payments for services paid by a federal executive agency.

Exemption from FATCA reporting code. The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements. A requester may indicate that a code is not required by providing you with a Form W-9 with "Not Applicable" (or any similar indication) written or printed on the line for a FATCA exemption code.

A—An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)

B—The United States or any of its agencies or instrumentalities

C—A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities

D—A corporation the stock of which is regularly traded on one or more established securities markets, as described in Regulations section 1.1472-1(c)(1)(i)

E—A corporation that is a member of the same expanded affiliated group as a corporation described in Regulations section 1.1472-1(c)(1)(i)

F—A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state

G—A real estate investment trust

H—A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940

I—A common trust fund as defined in section 584(a)

J—A bank as defined in section 581

K—A broker

L—A trust exempt from tax under section 664 or described in section 4947(a)(1)

M—A tax exempt trust under a section 403(b) plan or section 457(g) plan

Note: You may wish to consult with the financial institution requesting this form to determine whether the FATCA code and/or exempt payee code should be completed.

Line 5

Enter your address (number, street, and apartment or suite number). This is where the requester of this Form W-9 will mail your information returns. If this address differs from the one the requester already has on file, write NEW at the top. If a new address is provided, there is still a chance the old address will be used until the payor changes your address in their records.

Line 6

Enter your city, state, and ZIP code.

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN.

If you are a single-member LLC that is disregarded as an entity separate from its owner, enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

Note: See *What Name and Number To Give the Requester*, later, for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local SSA office or get this form online at www.SSA.gov. You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/Businesses and clicking on Employer Identification Number (EIN) under Starting a Business. Go to www.irs.gov/Forms to view, download, or print Form W-7 and/or Form SS-4. Or, you can go to www.irs.gov/OrderForms to place an order and have Form W-7 and/or SS-4 mailed to you within 10 business days.

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note: Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

Caution: A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, 4, or 5 below indicates otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on line 1 must sign. Exempt payees, see *Exempt payee code*, earlier.

Signature requirements. Complete the certification as indicated in items 1 through 5 below.

1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.

2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

3. Real estate transactions. You must sign the certification. You may cross out item 2 of the certification.

4. Other payments. You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), ABLE accounts (under section 529A), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account) other than an account maintained by an FFI	The actual owner of the account or, if combined funds, the first individual on the account ¹
3. Two or more U.S. persons (joint account maintained by an FFI)	Each holder of the account
4. Custodial account of a minor (Uniform Gift to Minors Act)	The minor ²
5. a. The usual revocable savings trust (grantor is also trustee) b. So-called trust account that is not a legal or valid trust under state law	The grantor-trustee ¹ The actual owner ¹
6. Sole proprietorship or disregarded entity owned by an individual	The owner ³
7. Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulations section 1.671-4(b)(2)(i)(A))	The grantor ⁴
For this type of account:	Give name and EIN of:
8. Disregarded entity not owned by an individual	The owner
9. A valid trust, estate, or pension trust	Legal entity ⁴
10. Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
11. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
12. Partnership or multi-member LLC	The partnership
13. A broker or registered nominee	The broker or nominee

For this type of account:	Give name and EIN of:
14. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
15. Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulations section 1.671-4(b)(2)(i)(B))	The trust

¹ List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

² Circle the minor's name and furnish the minor's SSN.

³ You must show your individual name and you may also enter your business or DBA name on the "Business name/disregarded entity" name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

⁴ List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships*, earlier.

***Note:** The grantor also must provide a Form W-9 to trustee of trust.

Note: If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

Secure Your Tax Records From Identity Theft

Identity theft occurs when someone uses your personal information such as your name, SSN, or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity or credit report, contact the IRS Identity Theft Hotline at 1-800-908-4490 or submit Form 14039.

For more information, see Pub. 5027, *Identity Theft Information for Taxpayers*.

Victims of identity theft who are experiencing economic harm or a systemic problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

Protect yourself from suspicious emails or phishing schemes.

Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to phishing@irs.gov. You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration (TIGTA) at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at spam@uce.gov or report them at www.ftc.gov/complaint. You can contact the FTC at www.ftc.gov/idtheft or 877-IDTHEFT (877-438-4338). If you have been the victim of identity theft, see www.IdentityTheft.gov and Pub. 5027.

Visit www.irs.gov/identitytheft to learn more about identity theft and how to reduce your risk.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.

Name (optional):																					
Place of employment or study: (optional)																					
Name of event:	Human Trafficking Conference (please write any additional comments on backside)																				
Date(s) of event:	10/16/2019																				
Please check all applicable statements that pertain to you after attending this conference:	<p>Please check all that apply:</p> <ul style="list-style-type: none"> - I gained a better understanding of the state of affairs for human trafficking in contemporary society - I gained a better understanding of the dangers of child exploitation - I learned something new about trafficking and sexual exploitation that I did not already know - I am leaving with one action item to work on to help combat the issues discussed during this conference - I gained a new perspective on at least one issue discussed during the conference 																				
Would you attend this event again or suggest your peers attend this event?	<input type="checkbox"/> YES <input type="checkbox"/> NO																				
What would you consider your return of investment (ROI) for attending this event in terms of gaining information or gaining value you can take back with you to your organization/job/school?	Return on Investment (ROI) rating (please circle one from the below options): 1: no ROI, not enjoyable to attend 2: nice to attend, but no ROI 3: average ROI 4: significant possibilities for benefits chosen above 5: one of the best events attended for the benefits highlighted above Why?																				
How informative were the sessions and/or workshops? Please rate each sessions or workshop on a scale of 1-5 (with 1 being least effective and 5 being most effective).	Pornography as a Public Health Crisis; 2018 Senate Resolution = ____ Pulling up Sex Trafficking at the Root: A Proven Way to Disrupt Demand= ____ A Clear and Present Danger: Pornography as a Public Health Crises= ____ Human Trafficking Hotline & Texting = ____ Child Exploitation: Pornography as a Public Health Crises (presentation) = ____ Child Exploitation: Pornography as a Public Health Crises (workshop)= ____ Identifying Human Trafficking Exploited Persons (presentation) = ____ Identifying Human Trafficking Exploited Persons (workshop)= ____																				
How effective were the speakers? Please rate each speaker on a scale of 1-5 (with 1 being least effective and 5 being most effective).	<table border="1"> <tr> <td>Teena Halbig:</td> <td></td> <td>Lisa Thompson:</td> <td></td> </tr> <tr> <td>Andy Beshear:</td> <td></td> <td>David James:</td> <td></td> </tr> <tr> <td>John Moberly:</td> <td></td> <td>Barbara Sexton Smith:</td> <td></td> </tr> <tr> <td>David Givens:</td> <td></td> <td>Aaisha Hamid:</td> <td></td> </tr> <tr> <td>Helen Taylor:</td> <td></td> <td>Vicki Yazel:</td> <td></td> </tr> </table>	Teena Halbig:		Lisa Thompson:		Andy Beshear:		David James:		John Moberly:		Barbara Sexton Smith:		David Givens:		Aaisha Hamid:		Helen Taylor:		Vicki Yazel:	
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John Moberly:		Barbara Sexton Smith:																			
David Givens:		Aaisha Hamid:																			
Helen Taylor:		Vicki Yazel:																			
What is one new thing you learned after attending the conference?																					
Additional thoughts on conference																					
Note: More space on back																					

THANK YOU!

We appreciate you taking time to provide your valuable information.



UNITED NATIONS ASSOCIATION OF THE UNITED STATES OF AMERICA KENTUCKY DIVISION, LTD.

General Information

Organization Number	0962027
Name	UNITED NATIONS ASSOCIATION OF THE UNITED STATES OF AMERICA KENTUCKY DIVISION, LTD.
Company Type	KUN - Unincorporated Non-profit Assn
Status	A - Active
Standing	G - Good
State	KY
File Date	9/6/2016
Organization Date	9/6/2016
Last Annual Report	5/23/2019
Principal Office	6505 ECHO TRAIL LOUISVILLE, KY 40299
Registered Agent	TEENA HALBIG 6505 ECHO TRAIL LOUISVILLE, KY 40299

Current Officers

President	Richard Beliles
Vice President	Teena Halbig
Secretary	Bill Miller
Treasurer	Christine Richards
Director	Carolyn Diener
Director	Aaisha Hamid
Director	Sifa Ndusha
Director	Gregory O. Hall
Director	John Rashid
Director	Jeanette Westbrook
Director	Kay Sargent
Director	Ambassador Shabazz

Individuals / Entities listed at time of formation

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

Annual Report	5/23/2019	1 page	PDF
Annual Report	5/18/2018	1 page	PDF
Annual Report	7/24/2017	3 pages	tiff PDF
Cert. of Unincorporated Association	9/6/2016	6 pages	tiff PDF

Assumed Names

UNITED NATIONS ASSOCIATION OF THE UNITED STATES OF AMERICA Active
KENTUCKY DIVISION, LTD.

Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	5/23/2019 2:19:13 PM	5/23/2019 2:19:13 PM	
Annual report	5/18/2018 11:16:27 AM	5/18/2018 11:16:27 AM	
Annual report	7/24/2017 9:58:54 AM	7/24/2017	
Add	9/6/2016 1:33:57 PM	9/6/2016	

Microfilmed Images



UNA+USA

Kentucky Division

United Nations Association of the United States of America Kentucky Division
United Nations Day & United Nations Human Rights Day
71st Anniversary of the Universal Declaration of Human Rights
HUMAN TRAFFICKING & SEXUAL EXPLOITATION CONFERENCE
Human Trafficking & Pornography as Public Health Crises

October 16, 2019 (Wednesday)

Attendee **Breakfast** & Sign in 8:00 – 8:30 A.M.
Program: 8:30 A.M. to 4:30 P.M.
All Register TeenaHal@aol.com **\$55.00** includes
Lite breakfast & lunch & snacks

University of Louisville University Club, Ballroom
200 E. Brandeis Avenue, Louisville, KY 40208
(FREE PARKING)
<https://www.uclublouisville.org/location>

Sponsors

Metro Council President David James
Councilwoman Barbara-Sexton Smith

Co-Sponsors

BPITW Charitable Trust, Andrew Fischer
Frankfort Rotary Club
UNA-USA Frankfort Chapter
UNA-USA Louisville Chapter

Friends

American Association of University Women,
Louisville Branch
American Association of University Women,
Kentucky
UNA Women at U of L

Plenary I

8:30 A.M. Invocation; Introduction of Sponsors; Information; Pledge of Allegiance, UN Day Commentary; UPR = Universal Periodic Review of U.S.; please fill out Questionnaire

UN Day Commentary: “The United Nations at 74: Still Here, Still Relevant”, Gregory O. Hall, Associate Professor, Patterson School of Diplomacy and International Commerce, University of Kentucky, President UNA-USA Bluegrass/Lexington.

A brief overview of the role and functions of the UN and the vital need all the more in the face of growing transnational problems, and authoritarianism and populism.

9:00 A.M. Attorney General Andy Beshear (invited) Addressing Human Trafficking in KY

9:20 A.M. Commissioner John Moberly, Department of Criminal Investigations at Ofc. Of Attorney General

9:30 A.M. Rotary District Governor - TBA

9:40 A.M. Senate President Pro Tem David Givens, “Pornography as a Public Health Crisis; 2018 Senate Resolution”

9:50 A.M. Faith Fountain, soloist, composer, Ms. Kentucky Pageant U.S. contestant for Ashland & Boyd Counties to perform

10:00 A.M. Break – coffee, etc.

10:15 A.M. Helen Taylor from Kansas City, Mo.

“Pulling up Sex-Trafficking at the Root: A Proven Way to Disrupt Demand; Is Louisville Ready for a Cyber Patrol?”



Helen M. C. Taylor
Exodus Cry Director of Intervention

Exodus Cry is an international non-profit committed to abolishing sex trafficking and the commercial sex industry while empowering its victims. Note: Exodus Cry seeks to abolish trafficking by shifting cultural mindsets. They work to change laws and reach out to those caught in the sex industry. Exodus Cry has consultative status with the UN.

Helen has served in the anti-trafficking field for over ten years, in eight countries. She leads teams domestically and internationally (through initiatives at Major World Sporting Events such as the World

Cup and Olympics), and they regularly assist sexually exploited women in the sex industry: on the streets, strip clubs, in massage parlors, jails, pornography conventions and over the internet, to lovingly show exploited women a way out. She regularly speaks to faith-based communities and trains leaders in hospitals, universities and jails on human trafficking.

Helen wrote an outreach manual to help train communities in reaching exploited women in their cities, which is utilized world-wide. She also helped launch an online intervention team which confronts sex buyers and disrupts the demand and she recently graduated from the FBI Citizens Academy.

11:15 A.M. P.M. Keynote Lisa L. Thompson from Washington, D.C.

“A Clear and Present Danger: Pornography as a Public Health Crisis.”



Lisa L. Thompson

National Center on Sexual Exploitation, Vice President of Policy & Research

As Vice President of Policy and Research for the National Center on Sexual Exploitation, Lisa conducts analysis on a wide-ranging web of sexual abuse and exploitation issues, with an emphasis on the public health harms of pornography. She also liaises with public officials, advocates, and academics to promote policies aimed at the prevention of harms associated with issues such as child sexual abuse, child-on-child harmful sexual behaviors, sexual objectification, pornography, stripping, prostitution, sexual trafficking, sexual assault, and more. Lisa routinely speaks and trains on sexual abuse and exploitation topics for a diverse range of audiences.

*Lisa joined NCOSE following nearly two years with World Hope International where as Director of Anti-Trafficking, Lisa oversaw its sex trafficking recovery programs in Cambodia, Liberia, and Sierra Leone. Lisa served for more than 12 years as the Liaison for the Abolition of Sexual Trafficking for The Salvation Army USA National Headquarters. Lisa is a contributing author to *Hands that Heal: International Curriculum for Caregivers of Trafficking Survivors*, as well as the book *Global Perspectives on Prostitution and Sex Trafficking: Europe Latin America, North America, and Global*.*

Lisa earned a Bachelor of Arts in Government from Western Kentucky University, and Master's degree in Leadership, Public Policy and Social Issues from Union Institute and University.

The National Center on Sexual Exploitation (NCOSE) is the leading national organization exposing the links between all forms of sexual exploitation such as child sexual abuse, prostitution, sex trafficking and the public health crisis of pornography. As the thread of pornography in the web of sexual exploitation is systemically overlooked by society, this non-partisan non-profit has prominently

advanced this issue as a central pillar of its projects in order to promote more holistic solutions. NCOSE began in 2012 and embraces a mission to defend human dignity and to oppose sexual exploitation.

NOTE: LUNCH served 12:15 P.M.*

***Please fill out questionnaire if you must leave early**

12:15 P.M. Louisville Metro Council President David James – Ordinance

12:30 P.M. Councilwoman Barbara Sexton-Smith, “Human Trafficking Hotline & Texting”

Plenary II

1:30 P.M. – 2:30 P.M. Pornography Awareness Presentation & Workshop I

“Child Exploitation: Pornography as a Public Health Crisis”

Pornography’s Effects on Children, Youths & Adults – also used in Human Trafficking

Aaisha Hamid

Author

B.S. Political Science, B.A. Psychology, A.A. Paralegal Studies at University of Louisville;
Inclusion Coordinator at Hogan Lovells, US;

UNA-USA KY Division Board: Chair of UNA Women and Young Professionals

Author of “Faceless: Two Worlds Collide” & “Unveiling Me Slowly”

2:30 P.M. – 2:45 P.M. BREAK

2:45 P.M. – 4:15 P.M. Human Trafficking Awareness Presentation & Workshop II

“Identifying Human Trafficking Exploited Persons”

Vicki Yazel

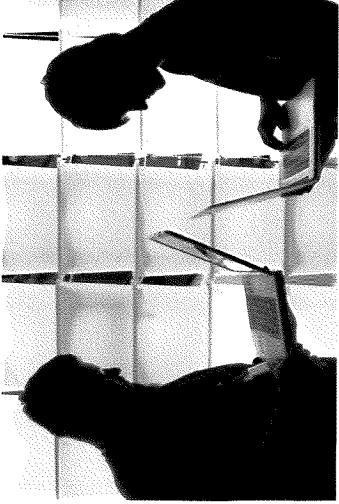
BSN, RN, SANE-A

(SANE = Sexual Assault Nurse Examiner). Ten years as a nurse and 5 years as a SANE nurse – working with nearly 500 victims of sexual assault and domestic violence. She conducts trainings on forensic nursing and human trafficking prevention in Kentucky and Southern Indiana and working to have a template at U of L Hospital to identify those being trafficked. If you want to know Human Trafficking signs to look for, etc. this is the workshop to attend!

4:25 P.M. – 4:30 P.M. Closing – sponsor info, please fill out questionnaire form

Reservations: Please give food of salmon, chicken or vegetarian and if any special dietary requests. Mail check payable to UNA-USA KY and Mail to: Christine Richards, Treasurer, 238 Eastover Drive, Frankfort, KY 40601.

Questions or reservation: TeenaHal@aol.com or 502 267-6883, Teena Halbig



The internet is arguably a prime source for the spread of pornography.

Resources:

Here are a few links to sources you can refer to in order to learn more about prevention and the detrimental effects pornography is having on the public:

- Training and Library of Resources on the prevention of child sexual abuse: <https://www.stopitnow.org/help-guidance/resources/library-of-resources>
- Resources through National Center on Sexual Exploitation: <https://endsexualexploitation.org/resources-parents/>
- Pornography accountability site: <https://www.covenanteyes.com/>

Federal Law:

Federal law prohibits distribution of obscene adult pornography on the Internet, on cable/satellite TV, on hotel/motel TV, in retail shops, through the mail, and by common carrier. The U.S. Supreme Court has also repeatedly upheld obscenity laws against First Amendment challenges, explaining that obscenity is not protected speech. However the U.S. Department of Justice (DOJ) has not enforced existing federal obscenity laws since 2008 per The National Center on Sexual Exploitation (NCOSE) who urges action to write the U.S. Attorney General asking for enforcement of the federal obscenity law.

Passionate about this issue?

Contact Us: www.unausa.org

Aaisha Hamid

UNA-USA KY Division

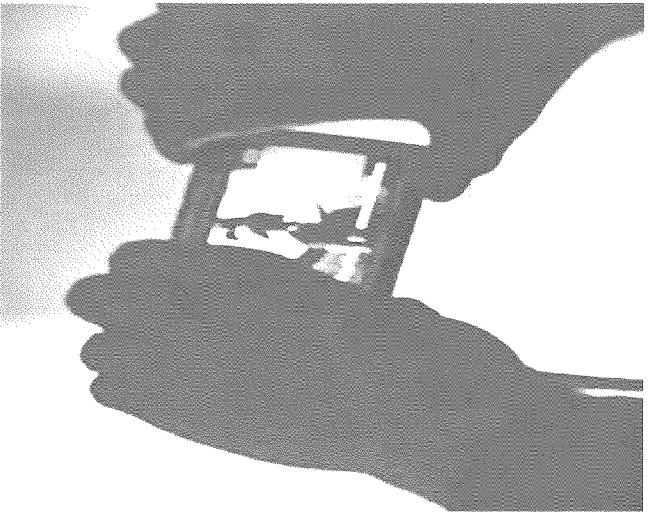
Technical Communications Chair

UNA Women Chair

unausakentuckydivision@gmail.com
502-804-7191

or TeenaHal@aol.com, (502) 267-6883





The rates of pornography usage have been increasing significantly with time.

What is Pornography?

Per the legal dictionary online, pornography is defined as “the representation in books, magazines, photographs, films, and other media of scenes of sexual behavior that are erotic or lewd and are designed to arouse sexual interest”.

2018 KY Senate Resolution passed SR 170.
2016 Utah was first to pass a state Resolution.

Why We Care:

Intersecting Issues

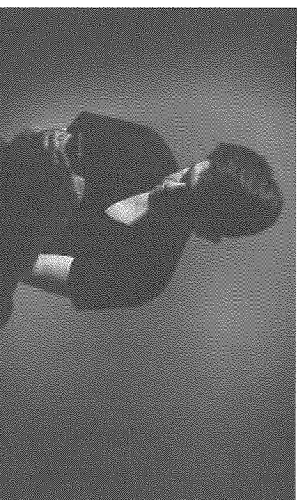
It is critical to address the various factors impacting the inception and continued creation of human trafficking.

Pornography is one of the underlying societal issues that is often ignored because of its status as a legal act.

While adult pornography is legal (but see the *federal* law in this brochure*) the current epidemic is fueled by those who are exploiting the system.

Child pornography is not legal but children are often prime targets in this industry.

What people are watching and what we are inadvertently allowing children exposure to are all intersecting issues that partly play into the crisis at hand.



Statistics:

Data provided via sagu.edu

Adult pornography:

- Men admitting to accessing porn at work: **20%**
- US adults who regularly visit internet pornography websites: **40 million**
- Adults admitting to internet sexual addiction: **10%**
- **13%** of women admit to accessing porn at work
- **70%** of women keep their cyber activities secret
- **17%** of all women struggle with porn addiction



UNITED NATIONS ASSOCIATION OF THE UNITED STATES OF AMERICA
KENTUCKY DIVISION

AMERICAN ASSOCIATION OF UNIVERSITY WOMEN, LOUISVILLE RANCH

SHARED HOPE INTERNATIONAL

UNA WOMEN AT U of L CAMPUS CHAPTER

You are cordially invited to a FREE MEETING

August 15, 2019 (Thursday)

7:00 P.M. – 8:30 P.M.

2000 Douglass Blvd. (corner of Bardstown Road)

Please enter back red door of St. Paul United Methodist Church to Social Hall

Park on street

Serving Snacks & Refreshments*

for

“Human Rights Topics in the United States of America”

Tables of Discussions*

***Includes Tables on Human Trafficking & Pornography as Public Health Crises**

But can be on Climate or any number of Human Rights (see UPR explanation below)

***Please EMAIL to let us know you can attend so we can have enough snacks, etc.**

INFO: TeenaHal@aol.com or 502 267-6883

What is UPR?

In May 2020, the U.S. will undergo a Universal Periodic Review (UPR) of its domestic **human rights record** at the UN Human Rights Council. In other words, the Human Rights Council is going to take a long, hard look at the human rights situation here in the U.S.

But how does the Human Rights Council know what to look at when assessing our nation's human rights progress? *That's where you come in.*

To understand what's *actually* going on in communities around the country, the Human Rights Council relies on information submitted by people who actually know — people on the ground and those directly impacted. ***Your personal experiences, observations, and ideas are crucial*** to help illustrate what human rights looks like right now in the U.S. and ultimately catalyze change through a universal UN process. Chances are, reports will find that the U.S. definitely needs to boost its rating in a few areas. Your input will help ensure no injustices go unrecognized.

Right now, in the lead up to May 2020, American citizens and NGOs are encouraged to organize local "UPR consultations" where diverse groups of advocates compile feedback that's later shared with the UN in a brief "shadow report." National UNA-USA will produce a "shadow report" from info collected by UNA-USA Kentucky Division and Chapters and collaborations with other NGO's. Think of this as a big meet-up where likeminded community partners collaborate and discuss common themes around their human rights experiences, and decide which recommendations are most productive.

The Universal Periodic Review process is accessible to everyone — that's what makes it such an excellent tool. Americans of all experience levels, backgrounds, and interests can (and should) contribute their voices. On top of that, participating in a UPR consultation is the perfect way to turn frustration into action and change.

It is important to remember that no country has a perfect human rights record. But when governments are trying to make things better, feedback is the magic ingredient. When Eleanor Roosevelt said human rights begin at home, she was urging us to celebrate what makes our communities stronger by confronting tough realities that are holding us back. Today, we see families separated at our borders, violent acts of racial discrimination, and harmful gender wage gaps right here in the U.S. to name just a few examples. It's on us to speak up for change.

Here is an example of what Teena wants to submit:

Topic : Human Trafficking

Explanation: needs to be a violent crime with longer sentencing and caveat to serve 80 % of sentence served without parole or probation since many sentences are commuted to 20%. More training is needed and better laws are needed by Congress as well as state and local levels to hold buyers accountable and assist victims. Education for human trafficking and child abuse is needed for students in schools.

NOTE: Please plan to attend and/or send your human rights topic and explanation to TeenaHal@aol.com – use your experiences, what is needed in America or what is wrong in America and how you want to change that. There can be several *bullets (preferred)* or a *few* sentences pertaining to your chosen topic. Deadline Aug. 15.

Regards,
Teena



TRAILBLAZERS 2019

CELEBRATING LOCAL CHANGEMAKERS

APRIL 12TH 11AM-3PM



Roula Allouch
Civil Rights Activist &
Litigator



Bill Ball
Managing Director of
Global Business Services



Nima Kulkarni
House Representative,
District 40



Barbara Sexton Smith
Councilwoman,
District 4

unveil me
slowly
A.S. Hamid



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