

AGREEMENT

THIS PROFESSIONAL SERVICE AGREEMENT, made and entered into by and between the LOUISVILLE/JEFFERSON COUNTY METRO GOVERNMENT, by and through its LOUISVILLE METRO POLICE DEPARTMENT, herein referred to as "METRO GOVERNMENT", and THE UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATION, INC., a Kentucky non-profit corporation and agent of the University of Louisville ("UofL") for the receiving grants, research agreements and other sponsored agreements from external funding sources and which owns and controls intellectual property on behalf of UofL, with offices located at University of Louisville Research Foundation, Inc., ^{300 E Market ST, Suite 300, Louisville, KY 40202-1959} ~~301 South Third Street, Room 521, Louisville, Kentucky 40292~~, herein referred to as "ULRF",

WITNESSETH:

WHEREAS, the Metro Government wishes to procure a Vehicle Stops Analysis and a Citizen Attitude Survey; and

Whereas, Consultant has the experience, expertise and qualifications necessary to provide these services; and

Whereas, pursuant to KRS 45A.380, the Metro Government has determined that competition is not feasible and that this Agreement is for professional services:

NOW, THEREFORE, it is agreed by and between the parties hereto as follows:

I. SCOPE OF PROFESSIONAL SERVICES

A. ULRF shall, at the request of the Metro Government, provide services under the terms of this professional Agreement. The ULRF's work product may be

reviewed from time to time by the Metro Government for purposes of determining that the services provided are within the scope of this Agreement.

B. ULRF, while performing the services rendered pursuant to this Agreement, may incidental thereto utilize agents or employees of UofL. However, such use must be documented in the monthly invoice submitted for those services rendered.

C. If from time to time ULRF needs to utilize the records or personnel of the Metro Government relative to performing the services required of this Agreement, then ULRF shall notify the Louisville Metro Office of Management and Budget of this need and arrangements may be made for that contingency. However, at no time shall the Metro Government make available its resources without the full consent and understandings of both parties.

D. The services of ULRF shall include but not be limited to: 1) completion of a Vehicle Stops Analysis to include data collection development, data entry (paper forms), data conversion (electronic forms), data analysis and twenty printed copies of the report on data analysis and one electronic copy and 2) completion of a Citizen Attitude Survey to include survey methodology development, survey distribution and implementation, data entry, data conversion, data analysis and twenty printed copies of the report and one electronic copy.

II. FEES AND COMPENSATION

A. Consultant shall be reimbursed for professional services rendered according to the terms of this Agreement at the rate of **SEVEN THOUSAND SEVEN HUNDRED FIFTY DOLLARS (\$7,750.00)** per quarter. Total compensation payable to

Consultant for services rendered pursuant to this Agreement shall not exceed **THIRTY ONE THOUSAND DOLLARS (\$31,000.00)**.

B. Payment for services will be made, upon receipt of ULRF's detailed lump sum invoice by the Metro Government, to be provided once the work to be performed hereunder is completed. Payment shall only be made pursuant to a detailed invoice, which invoice shall indicate a descriptive accounting of the services performed under this Agreement and the particular nature of such service. Copies of invoices or receipts for third party charges must be included with the ULRF's invoice when payment is requested. Should the agreement be terminated or canceled prior to completion of the work to be performed hereunder, Metro Government agrees to pay ULRF for all work performed up to and including the date of termination..

C. The Metro Government shall not reimburse out of pocket expenses under this Agreement.

D. ULRF, to the extent that it provides the same or related services to other parties agrees that it will not charge Metro Government for services for which it is also billing other parties which are of benefit to the other parties. Should services rendered to Metro Government under this agreement be such that those services also benefit another party during the term of this agreement, ULRF agrees to pro-rate its billings to Metro Government appropriately and to provide documentation to all parties to verify the pro-ration of such billings. In no event will the Metro Government pay bills which are considered to be double billing (i.e. billing two different parties for the same work).

III. DURATION

A. This Agreement shall begin January 1, 2015 and shall continue through and including December 31, 2015.

B. This Agreement may be terminated by submitting thirty (30) days' written notice to the non-terminating party of such intent to terminate. This Agreement may also be terminated by any party, without notice to the non-terminating party, because of fraud, misappropriation, embezzlement or malfeasance or a party's failure to perform the duties required under this Agreement. A waiver by either party of a breach of this Agreement shall not operate or be construed as a waiver of any subsequent breach.

C. In the event of termination, payment for services complete up to and including date of termination shall be based upon work completed as invoiced by ULRF. In the event that, during the term of this Agreement, the Metro Council fails to appropriate funds for the payment of the Metro Government's obligations under this Agreement, the Metro Government's rights and obligations herein shall terminate on the last day for which an appropriation has been made. The Metro Government shall deliver notice to ULRF of any such non-appropriation not later than 30 days after the Metro Government has knowledge that the appropriation has not been made.

IV. EMPLOYER/EMPLOYEE RELATIONSHIP

It is expressly understood that no employer/employee relationship is created by this Agreement nor does it cause ULRF to be an officer or official of the Metro Government. By executing this Agreement, the parties hereto certify that its performance will not constitute or establish a violation of any statutory or common law principle pertaining to conflict of interest, nor will it cause unlawful benefit or gain to be derived by either party.

V. RECORDS-AUDIT

ULRF shall maintain during the course of the work, and retain not less than five years from the date of final payment on this Agreement, complete and accurate records

of all of ULRF's costs which are chargeable to the Metro Government under this Agreement; and the Metro Government shall have the right, at any reasonable time, to inspect and audit those records by authorized representatives of its own or of any public accounting firm selected by it. The records to be thus maintained and retained by ULRF shall include (without limitation): (a) payroll records accounting for total time distribution of ULRF's employees working full or part time on the work (to permit tracing to payrolls and related tax returns), as well as documentation of electronic payroll deposits, or signed receipts for payroll payments in cash; (b) invoices for purchases receiving and issuing documents, and all the other unit inventory records for ULRF's stores stock or capital items; and (c) paid invoices and canceled checks (if applicable) or procurement card supporting documentation for materials purchased and for subcontractors' and any other third parties' charges.

VI. HOLD HARMLESS AND INDEMNIFICATION CLAUSE

ULRF, as an agent of the University of Louisville (U of L), although vested with sovereign immunity, is subject to the Board of Claims Act, KRS 44.070-44.160. Claims against ULRF relating to personal injury or property damage may be filed and decided under the provisions of the Act. To the extent permitted by that Act and other applicable law, ULRF as agent for the University of Louisville, shall defend, indemnify and hold harmless the Metro Government from and against any and all claims against the Metro Government which may result from any error or omission arising out of ULRF's performance under this Agreement.

VII. REPORTING OF INCOME

The compensation payable under this Agreement may be subject to federal, state and local taxation. Regulations of the Internal Revenue Service require the Metro

Government to report all amounts in excess of \$600.00 paid to non-corporate contractors. ULRF agrees to furnish the Metro Government with its taxpayer identification number (TIN) prior to the effective date of this Agreement. ULRF further agrees to provide such other information to the Metro Government as may be required by the IRS or the State Department of Revenue. Metro Government acknowledges ULRF's assertion that it is a non-profit tax-exempt corporation.

VIII. GOVERNING LAW

This Agreement shall be governed by and construed in accordance with the laws of the Commonwealth of Kentucky. In the event of any proceedings regarding this Agreement, the Parties agree that the venue shall be Franklin Circuit Court, Frankfort, Kentucky. All parties expressly consent to personal jurisdiction and venue in such Court for the limited and sole purpose of proceedings relating to this Agreement or any rights or obligations arising thereunder. Service of process may be accomplished by following the procedures prescribed by law.

IX. AUTHORITY

The ULRF, by execution of this Agreement, does hereby certify and represent that it is qualified to do business in the Commonwealth of Kentucky, has full right, power and authority to enter into this Agreement. Further, ULRF certifies that it has the authority to contract for these services with Metro Government for UofL.

X. CONFLICTS OF INTEREST

Pursuant to KRS 45A.455:

(1) It shall be a breach of ethical standards for any employee with procurement authority to participate directly in any proceeding or application; request for ruling or other determination; claim or controversy; or other particular matter pertaining to any

contract, or subcontract, and any solicitation or proposal therefor, in which to his knowledge:

(a) He, or any member of his immediate family has a financial interest therein; or

(b) A business or organization in which he or any member of his immediate family has a financial interest as an officer, director, trustee, partner, or employee, is a party; or

(c) Any other person, business, or organization with whom he or any member of his immediate family is negotiating or has an arrangement concerning prospective employment is a party. Direct or indirect participation shall include but not be limited to involvement through decision, approval, disapproval, recommendation, preparation of any part of a purchase request, influencing the content of any specification or purchase standard, rendering of advice, investigation, auditing, or in any other advisory capacity.

(2) It shall be a breach of ethical standards for any person to offer, give, or agree to give any employee or former employee, or for any employee or former employee to solicit, demand, accept, or agree to accept from another person, a gratuity or an offer of employment, in connection with any decision, approval, disapproval, recommendation, preparation of any part of a purchase request, influencing the content of any specification or purchase standard, rendering of advice, investigation, auditing, or in any other advisory capacity in any proceeding or application, request for ruling or other determination, claim or controversy, or other particular matter, pertaining to any contract or subcontract and any solicitation or proposal therefor.

(3) It is a breach of ethical standards for any payment, gratuity, or offer of employment to be made by or on behalf of a subcontractor under a contract to the

prime contractor or higher tier subcontractor or any person associated therewith, as an inducement for the award of a subcontract or order.

(4) The prohibition against conflicts of interest and gratuities and kickbacks shall be conspicuously set forth in every local public agency written contract and solicitation therefor.

(5) It shall be a breach of ethical standards for any public employee or former employee knowingly to use confidential information for his actual or anticipated personal gain, or the actual or anticipated personal gain of any other person.

XI. ENTIRE AGREEMENT

This Agreement constitutes the entire agreement and understanding of the parties with respect to the subject matter set forth herein and this Agreement supersedes any and all prior and contemporaneous oral or written agreements or understandings between the parties relative thereto. No representation, promise, inducement, or statement of intention has been made by the parties that is not embodied in this Agreement. This Agreement cannot be amended, modified, or supplemented in any respect except by a subsequent written agreement duly executed by all of the parties hereto.

XII. OCCUPATIONAL HEALTH AND SAFETY

ULRF agrees to comply with all statutes, rules, and regulations governing safe and healthful working conditions, including the Occupational Health and Safety Act of 1970, 29 U.S.C. 650 et. seq., as amended, and KRS Chapter 338.

XIII. SUCCESSORS

This Agreement shall be binding upon and inure to the benefit of the parties hereto and their respective heirs, successors and assigns.

XIV. SEVERABILITY

If any court of competent jurisdiction holds any provision of this Agreement unenforceable, such provision shall be modified to the extent required to make it enforceable, consistent with the spirit and intent of this Agreement. If such a provision cannot be so modified, the provision shall be deemed separable from the remaining provisions of this Agreement and shall not affect any other provision hereunder.

XV. COUNTERPARTS

This Agreement may be executed in counterparts, in which case each executed counterpart shall be deemed an original and all executed counterparts shall constitute one and the same instrument.

XVI. CALCULATION OF TIME Unless otherwise indicated, when the performance or doing of any act, duty, matter, or payment is required hereunder and a period of time or duration for the fulfillment of doing thereof is prescribed and is fixed herein, the time shall be computed so as to exclude the first and include the last day of the prescribed or fixed period of time. For example, if on January 1, ULRF is directed to take action within ten (10) calendar days, the action must be completed no later than midnight, January 11.

XVII. CAPTIONS The captions and headings of this Agreement are for convenience and reference purposes only and shall not affect in any way the meaning and interpretation of any provisions of this Agreement.

XVIII. VIOLATIONS OF AND COMPLIANCE WITH KENTUCKY LAWS The ULRF shall reveal any final determination of a violation by the ULRF or any subcontractor performing work under this Agreement ("Subcontractor") within the previous five (5) year period pursuant to KRS Chapters 136, 139, 141, 337, 338, 341


and 342 that apply to the ULRF or Subcontractor. The ULRF shall be in continuous compliance with the provisions of KRS Chapters 136, 139, 141, 337, 338, 341 and 342 that apply to the ULRF or Subcontractor for the duration of the contract.

WITNESS the agreement of the parties hereto by their signatures affixed hereon.

APPROVED AS TO FORM AND LEGALITY:

LOUISVILLE/JEFFERSON COUNTY METRO GOVERNMENT

MICHAEL J. O'CONNELL
JEFFERSON COUNTY ATTORNEY




STEVE CONRAD
CHIEF OF POLICE

Handwritten initials/signature

Date: _____

Date: 3/23/2015

UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATION, INC.

By: 
Joseph Higdon 0150444

Title: Assistant Director, Office of Sponsored Programs Admini

Date: 10/9/14

Taxpayer Identification No. (TIN) _____

Louisville/Jefferson County Revenue Commission Account No.: _____

Approved as to form and legality:

SF

CONTRACT DATA SHEET

PSC Type (check one): X New Addendum Sole Source: X Yes No

Contractor Information

1. Legal Name of Contractor: University of Louisville Research Foundation

2. Address: 301 South 3rd Street, Stevenson Hall, Room 521

3. City, State, & Zip: Louisville, Kentucky 40292

4. Contact Person Name & Telephone Number: Deborah Keeling 852-0370

5. LeAP Supplier #: _____

6. Revenue Commission Taxpayer ID#: _____

7. Federal Tax ID # (SSN if sole proprietor): _____

Department Information

8. Requesting Department: LMPD

9. Contact Person Name & Telephone: Amy McTyeire 574-3819

Contract Information

10. Not to exceed amount: \$ 31,000

11. Are expenses reimbursed? No

12. If yes list allowable expenses and maximum amount reimbursable: n/a

13. Beginning and ending date of the contract: January 1, 2015 - December 31, 2015


14. Coding: 8216 - 305 - 8903 - 250021 - 821344

15. Funding Source State FF Federal Funds yes no

16. Scope & Purpose of the contract:
 Citizen Satisfaction Survey & Vehicle Stops Study,
 entire amount will be paid when the Fall 2015 Citizen Satisfactions Survey and Vehicle Stops studies are complete


Attach all justification documentation to this form, along with signed Written Findings Form.

Authorizations


Department Director:  Date: 8/6/14

Department certifies:

- Funds are available
- Contractor is registered and in good standing with the Revenue Commission
- Human Relations Commission registration requirements have been met
- Contractor's status regarding Federal Debarment has been verified per Metro Procurement Policy Section VII - Federally Funded Contracts & Agreements

 Purchasing: Approval of Sole Source Designation Date: 9/24/14

 Risk Management: Certifies Insurance requirements satisfied. Date: _____

 County Attorney: Date: _____

The County Attorney has written the attached Professional Service Contract or Sole Source Contract and has approved that document as to the legality of the instrument itself only and as to its form.

Neathery, Tracey L

From: McTyeire, Amy
Sent: Tuesday, September 16, 2014 3:55 PM
To: Purchasing SS-PSC Requests
Subject: FW: U of L Citizen Satisfaction & Vehicle STOPS Study surveys

See Col Gibson's approval below ~

From: Gibson, Ozzy
Sent: Tuesday, September 16, 2014 3:50 PM
To: McTyeire, Amy
Subject: RE: U of L Citizen Satisfaction & Vehicle STOPS Study surveys

yes

From: McTyeire, Amy
Sent: Tuesday, September 16, 2014 3:43 PM
To: Gibson, Ozzy
Subject: FW: U of L Citizen Satisfaction & Vehicle STOPS Study surveys

Do you concur with the assessment below as to why the u OF I ESEARCH Foundation cannot be bid? Is so would you please forward and email to the Purchasing SS-PSC email box.

From: Purchasing SS-PSC Requests
Sent: Tuesday, September 16, 2014 3:08 PM
To: McTyeire, Amy
Subject: RE: U of L Citizen Satisfaction & Vehicle STOPS Study surveys

Amy,
The justification, if not originated by the Chief, should include his approval before I can process your request.

--Tracey

From: McTyeire, Amy
Sent: Thursday, September 04, 2014 6:11 PM
To: Purchasing SS-PSC Requests
Subject: U of L Citizen Satisfaction & Vehicle STOPS Study surveys

The attached contract with the University of Louisville Research Foundation needs to be non-competitively negotiated. U of L completed the last vehicle stops study and community citizen survey so utilizing them creates continuity.

Dr. Deborah Keeling, with the University of Louisville Research Foundation will be conducting a vehicle stops analysis including data collection, data entry, data conversion and analysis, as well as providing a written repost and electronic copy. University of Louisville Research Foundation will also be conducting a citizen attitude survey with a methodology they have developed. Under Dr. Keeling's direction the surveys will be distributed, implemented, data will be entered, converted and analyzed. Printed and electronic reports will be provided.