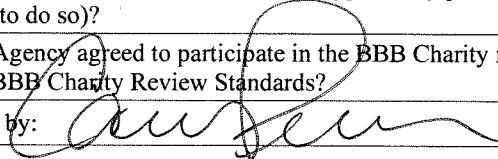


**LOUISVILLE METRO COUNCIL  
NEIGHBORHOOD DEVELOPMENT FUND APPLICATION CHECKLIST**

**Legal Name of Applicant Organization:** Schnitzelburg Area Community Council

**Program Name and Request Amount:** Operating Expenses \$4,534.12

	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	<input type="checkbox"/> Yes
Is the funding proposed by Council Member(s) less than or equal to the request amount?	<input type="checkbox"/> Yes
Is the proposed public purpose of the program viable and well-documented?	<input type="checkbox"/> Yes
Will all of the funding go to programs specific to Louisville/Jefferson County?	<input type="checkbox"/> Yes
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	<input type="checkbox"/> Yes
Has prior Metro Funds committed/granted been disclosed?	<input type="checkbox"/> Yes
Is the application properly signed and dated by authorized signatory?	<input type="checkbox"/> Yes
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	<input type="checkbox"/> Yes
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	<input type="checkbox"/> Yes
Is the entity in good standing with: <ul style="list-style-type: none"> <li>• Kentucky Secretary of State?</li> <li>• Louisville Metro Revenue Commission?</li> <li>• Louisville Metro Government?</li> <li>• Internal Revenue Service?</li> <li>• Louisville Metro Human Relations Commission?</li> </ul>	<input type="checkbox"/> Yes
Is the current Fiscal Year Budget included?	<input type="checkbox"/> Yes
Is the entity's board member list (with term length/term limits) included?	<input type="checkbox"/> Yes
Is recommended funding less than 33% of total agency operating budget?	<input type="checkbox"/> No
Does the application budget reflect only the revenue and expenses of the project/program?	<input type="checkbox"/> Yes
Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?	<input type="checkbox"/> N/A
Is the most recent annual audit (if required by organization) included?	<input type="checkbox"/> N/A
Is a copy of Signed Lease (if rent costs are requested) included?	<input type="checkbox"/> N/A
Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?	<input type="checkbox"/> N/A
Are the Articles of Incorporation of the Agency included?	<input type="checkbox"/> Yes
Is the IRS Form W-9 included?	<input type="checkbox"/> Yes
Is the IRS Form 990 included?	<input type="checkbox"/> Yes
Are the evaluation forms (if program participants are given evaluation forms) included?	<input type="checkbox"/> N/A
Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?	<input type="checkbox"/> N/A
Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?	<input type="checkbox"/> No
Prepared by: 	Date: 6 Aug 2015

**NEIGHBORHOOD DEVELOPMENT FUND  
Not-for-Profit Transmittal and Approval Form**

**Applicant/Program:** Schnitzelburg Area Community Council

**Executive Summary of Request:**  
The monies from this request will be used to fund the operating expenses of the Schnitzelburg Area Community Council (SACC). SACC is an association of property owners and residents to encourage and produce civic improvements that are of educational or civic in nature.  
  
Funds will be expended on utilities, office supplies, program materials such as welcome wagon bags and community events such as Light Up Schnitzelburg, Dainty Contest, the 1 Dinner and the like.

Is this program/project a fundraiser?  Yes  No  
Is this applicant a faith based organization?  Yes  No  
Does this application include funding for sub-grantee(s)?  Yes  No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

10                                            \$4,534.12                      August 6, 2015  
District #                      Council Member Signature                      Amount                      Date

**Primary Sponsor Disclosure**  
List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

**Approved by:**  
\_\_\_\_\_  
Appropriations Committee Chairman                      Date

**Clerk's Office Only:**  
Request Amount: \_\_\_\_\_ Committee Amended Appropriation: \_\_\_\_\_  
Original Appropriation: \_\_\_\_\_ Council Amended Appropriation: \_\_\_\_\_



**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

SECTION 1 – APPLICANT INFORMATION			
<b>Legal Name of Applicant Organization:</b>		<b>Schnitzelburg Area Community Council</b>	
<i>(as listed on: <a href="http://www.sos.ky.gov/business/records">http://www.sos.ky.gov/business/records</a>)</i>			
<b>Main Office Street &amp; Mailing Address:</b> PO Box 17306 Louisville, KY 40217			
<b>Website:</b> <a href="http://www.neighborhoodlink.com/schnitzelburg-area-council-inc">http://www.neighborhoodlink.com/schnitzelburg-area-council-inc</a>			
<b>Applicant Contact:</b>	Mike Morris	<b>Title:</b>	President
<b>Phone:</b>	637-4900	<b>Email:</b>	mike@mikemorrislaw.com
<b>Financial Contact:</b>	same	<b>Title:</b>	
<b>Phone:</b>		<b>Email:</b>	
<b>Organization's Representative who attended NDF Training:</b> Jake Wildstrom			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
<b>Program Facility Location(s):</b>	Schnitzelburg		
<b>Council District(s):</b>	10	<b>Zip Code(s):</b>	40217
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
<b>PROGRAM/PROJECT NAME:</b> Operating Expenses			
<b>Total Request: (\$)</b>	4534.12	<b>Total Metro Award (this program) in previous year: (\$)</b>	4815.70
<b>Purpose of Request (check all that apply):</b>			
<input checked="" type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget) <input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input checked="" type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
<b>The Following are Required Attachments:</b>			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current Year Projected Budget <input checked="" type="checkbox"/> List of Board of Directors (include term & term limits) <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if required <input type="checkbox"/> Staff including the 3 highest paid staff	
<b>For the current fiscal year ending June 30</b> , list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
<b>Source:</b>		<b>Amount: (\$)</b>	0.00
<b>Source:</b>		<b>Amount: (\$)</b>	
<b>Source:</b>		<b>Amount: (\$)</b>	
Has the applicant contacted the BBB Charity Review for participation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 3 – AGENCY DETAILS

**Describe Agency's Vision, Mission and Services:**

The vision of the neighborhood association is to unite property owners and residents for community action, serve as a forum for discussion of concerns to area residents, encourage civic improvements and promote community activities that are of educational or civic in nature. Through these activities we promote and preserve the intrinsic values that make our neighborhood unique.

Handwritten initials in black ink, appearing to be 'MM'.



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 4 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):  
see attached list of events

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):  
please see attachments



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**C: If this request is a fundraiser, please detail how the proceeds will be spent:**

A portion of the request helps raise funds to be spent offsetting the cost of the #1 Dinner and program materials.

**D: For Expenditure Reimbursement Only** – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:**  
Our many activities give the area residents and business owners a sense of pride and identity in the neighborhood.

**F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.**  
We are currently working with the German/Paristown Neighborhood Association on a Goss Avenue Beautification project and we meet with presidents of Shelby Park and St. Joseph's to discuss area projects/concerns.

Handwritten initials in black ink, appearing to be "MM".



**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**SECTION 5 – PROGRAM/PROJECT BUDGET SUMMARY**

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
<b>A: Personnel Costs Including Benefits</b>	0	0	0
<b>B: Rent/Utilities</b>	191.52	144.48	336
<b>C: Office Supplies</b>	112.29	84.71	197
<b>D: Telephone</b>	0	0	0
<b>E: In-town Travel</b>	0	0	0
<b>F: Client Assistance (Attach Detailed List)</b>	0	0	0
<b>G: Professional Service Contracts</b>	0	0	0
<b>H: Program Materials</b>	1608.31	1213.29	2821.60
<b>I: Community Events &amp; Festivals (Attach Detail List)</b>	2622	1978	4600
<b>J: Machinery &amp; Equipment</b>	0	0	0
<b>K: Capital Project</b>	0	0	0
<b>L: Other Expenses (Attach Detail List)</b>	0	0	0
<b>*TOTAL PROGRAM/PROJECT FUNDS</b>	4534.12	3420.48	7954.60
	57 %	43 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	
Fees Collected from Program Participants	
Other (please specify)	
	see attachment

\*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

\*\*Must equal or exceed total in column 2.





### LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
see attachment		
(to match Program Budget Line Item. Volunteer Contribution & Other In Kind)		

\* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK

Agency Fiscal Year Start Date: July 1

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO  YES

If YES, please explain:



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 6 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

#### Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.


#### Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

**Relationship Disclosure:** List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee. *Lisa Aisterman works for Metro Government; David Underwood's mother works for Metro Corrections.*

### SECTION 7 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

<b>Signature of Legal Signatory:</b>		<b>Date:</b>	7/21/15
<b>Legal Signatory: (please print):</b>	Mike Morris	<b>Title:</b>	President
<b>Phone:</b>	637-4900	<b>Extension:</b>	
<b>Email:</b>	mike@mikemorrislaw.com		

DETAIL FOR COMMUNITY EVENTS

ITEM	METRO	NONMETRO	TOTAL
History Walks	57	43	\$100
Easter Egg Hunt	171	129	300
Play Date in the Park	114	86	200
Potluck	114	86	200
Dainty Contest	570	430	1,000
Yard Sale	28.50	21.50	50
Dog Walk	28.50	21.50	50
#1 Dinner	1425	1075	2,500
Light Up Schnitzelburg	114	86	200
TOTAL	2622	1978	\$4,600

## Expenses for Community Outreach/Operations

### Newsletters:

Printing Costs	\$885.60
Design and Layout	\$750
Volunteer hours to edit and distribute 216 x 10/hr	\$2160
Lucite Holders	\$60
Magnetic Calendars	\$152
Stickers	\$200
Welcome Wagon bags	\$100
Volunteer hours to fill and distribute 30 x 10/hr	\$300
Misc. printing expenses for event fliers	\$50
Volunteer hours to distribute 10 x 10/hr	\$100
Meetings	
Rent	\$336
Food	\$500
Volunteer hours 432 x 10/hr	\$4320
Memberships in other organizations	
Airport Alliance	\$50
Center for Neighborhoods	\$24
Advertising	\$50
Office Expenses	
Secretary of State Renewal	\$15
PO Box	\$86
Stamps	\$46
Paper, envelopes, etc.	\$50
Incoming Funds	
Memberships	
Business	\$540
Family	\$750
#1 Dinner	\$1700
Yard sale	\$250
Golf scrambles	\$3000

DONATED SPACE

Light Up Schnitzelburg	\$100
Dog Walk	100
Yard Sale	200
Dainty Contest	200
Easter Egg Hunt	100
History Walks	160
Meetings (Board)	900
TOTAL	\$1760

DONATED TIME (\$10.00/hr.)

Newsletters	\$2160
Meetings	4320
Welcome Wagon	300
Outreach	200
Office	200
TOTAL	\$7,180

DONATED TIME (COMMUNITY EVENTS) (\$10.00/hr)

History Walks	\$350
Easter Egg Hunt	380
Play Date in the Park	280
Potluck	230
Dainty Contest	340
Yard Sale	280
Dog Walk	160
#1 Dinner	430
Light Up Schnitzelburg	160
TOTAL	\$2,610

## 2015 SACC Board Member Contact List

Susan Brunton

[REDACTED] t  
[REDACTED] 7  
[REDACTED]

Mike Morris

[REDACTED]  
[REDACTED] 7  
[REDACTED]

Steve Cambron

[REDACTED]  
[REDACTED] 7  
[REDACTED]

Lisa Pisterman

[REDACTED]  
[REDACTED] 17  
[REDACTED]

Jennifer Chappell

[REDACTED]  
[REDACTED] 17  
[REDACTED] n

Nick Seivers

[REDACTED]  
[REDACTED] 17  
[REDACTED]

Jane Evans

[REDACTED]  
[REDACTED] 17  
[REDACTED]

Shane Smith

[REDACTED]  
[REDACTED] 7  
[REDACTED]

Alan Grisanti

[REDACTED]  
[REDACTED] 7  
[REDACTED] h

Julianne Thomas

[REDACTED] et  
[REDACTED] 7  
[REDACTED]

Kathy Lang

[REDACTED]  
[REDACTED] 17  
[REDACTED]

David Underwood

[REDACTED]  
[REDACTED] 17  
[REDACTED]

Gary Liebert

[REDACTED]  
[REDACTED] 17  
[REDACTED]

Kara Underwood

[REDACTED]  
[REDACTED] 17  
[REDACTED]

Jordan Mitchell

[REDACTED]  
[REDACTED] 4  
[REDACTED]

Jake Wildstrom

[REDACTED] 7  
[REDACTED] edu



**Peers, Carrie G**

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**Subject:** FW: SACC NDF  
**Attachments:** DETAIL FOR COMMUNITY EVENTS.rtf

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**From:** Mike Morris [<mailto:mike@mikemorrislaw.com>]

**Sent:** Thursday, August 06, 2015 10:12 AM

**To:** Peers, Carrie G

**Subject:** Re: SACC NDF

I have attached the updated community events page.

All Board members terms expire 12/31/15.

Will this email suffice for that or do I need to write in down on the list of Board members.

ORIGINAL COPY  
FILED AND RECORDED  
DEPT. OF REVENUE  
OFFICE OF THE SECRETARY OF STATE  
COLUMBIA, KY.

SECRETARY OF STATE  
**RECEIVED**

JAN 31 1977

ARTICLES OF INCORPORATION

FOR

*D. Schmitz*  
SCHMITZBERG AREA COMMUNITY COUNCIL, INC. Commonwealth of Kentucky

*46536*

The undersigned, the majority of whom are citizens of the United States of America, desiring to form a non-profit corporation law of the Commonwealth of Kentucky, do hereby certify:

ARTICLE I

The name of the corporation shall be The Schmitzberg Area Community Council, Inc.

ARTICLE II

Unless sooner terminated as provided by law, the Area Community Council shall have perpetual existence from the time the certificate of incorporation has been issued by the Secretary of the State of Kentucky.

ARTICLE III

The objects and purposes of the Area Community Council shall be:

- a. To unite property owners, tenants, business people and others interested in the area.
- b. To encourage civic improvements and betterments in the area.
- c. To promote community activities and interests of an educational or civic nature.
- d. To encourage residential and business property upkeep in the area, and to eliminate vandalism and littering.
- e. To encourage better fire and police protection, traffic flow and traffic law enforcement in the area.
- f. To be concerned with youth problems of the area.
- g. To encourage reasonable and adequate zoning, and to ensure uniform enforcement of codes.
- h. To encourage a spirit of friendliness and cooperative community spirit in the area and in relations with other groups in the Schmitzberg Area and throughout the City of Louisville.
- i. To support any other activities which advance the common good and general welfare of the community and its people unless these activities are excluded by IRC Sec. 501 (c) (4) or IRS regulation.

#### ARTICLE IV

(4.1) The said Area Community Council is organized exclusively for the promotion of social and civic welfare as described in IRC Sec. 501 (c) (4). In view of that fact, no part of the net earnings of the Council shall be distributable to its members, directors, officers, and other private persons as income; however, the corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article Three hereof.

(4.2) No substantial part of the activities of the Area Community Council shall be the carrying on of propaganda, or otherwise attempting to influence legislation, unless the social welfare and civic objective require legislation as per the regulations concerning IRC Sec. 501 (c) (4) or intervene in any political campaign on behalf of any candidate for public office.

(4.3) Notwithstanding any other provision of these articles, the Area Community Council shall not carry on any other activities not permitted to be carried on by a corporation exempt from Federal Income Tax under Sec. 501 (c) (4) of the Internal Revenue Code of 1954.

(4.4) Upon dissolution of the Area Community Council, the Board of directors shall, after paying or making provision for the payment of all the liabilities of the Area Community Council, dispose of all the assets of the Area Community Council exclusively for the purposes of the Area Community Council in such manner, or to such organization or organizations established and operated exclusively for social welfare or civic purposes as shall at the time qualify as exempt organization or organizations under Sec. 501 (c) (4) Internal Revenue Code of 1954 as the Board of Directors shall determine. Any such assets not so disposed of shall be disposed of by the Court of Common Pleas of the county in which the principal office of the Corporation is then located, exclusively for such purposes or to such organization or organizations, as said Court shall determine, which are organized and operated exclusively for such purposes.

#### ARTICLE V

(5.1) The registered office and place of business of the corporation shall be: William Keely, 528 Massicot, Louisville, Jefferson County, Kentucky 40217.

(5.2) The name and address of its resident agent for the service of process shall be: William Keely, President, 614 Knowton, Louisville, Kentucky 40217.

ARTICLE VI

The officers, directors, or members of the Area Community Council shall not be personally liable for payment of debts, liabilities, or obligations of the Council to any extent whatsoever.

ARTICLE VII

(7.1) The initial board of directors shall consist of thirteen members on the board and four officers selected from the board.

(7.2) The following individuals will serve in the capacity of ~~officers~~ <sup>directors</sup> until the selection of their successors:

President: William Keely, 819 Keswick, Louisville, Ky., 40217

Vice-President: Gregory Sargent, 982 Mulberry, Louisville, Ky., 40217

Secretary: James Peak, 1021 Wagner, Louisville, Ky., 40217

Treasurer: William Tinker, 1245 Milton, Louisville, Ky., 40217

IN WITNESS thereof, we have hereunto subscribed our names this 26 day of June, 1977.

Notary Expires 11/17/1979

*M. J. Schmidt*

*William Keely*

*Wm W. Tinker*

*James Peak*

*Gregory Sargent*

RECEIVED NOV 1 7 2005

0078158.09

Change  
NAOA

Trey Grayson  
Secretary of State  
Received and Filed  
11/09/2005 11:22:11 AM  
Fee Receipt: \$8.00

ARTICLES OF AMENDMENT  
OF  
SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.

ARTICLE XIII: Amendment

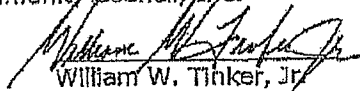
Section 1

Pursuant to a meeting of the members of this corporation held on Monday, September 26, 2005, of which a quorum was present at said meeting, the following amendment was received and unanimously adopted and accepted by the quorum present. The amendment adopted is set forth below:

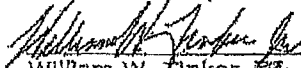
Section 2: The original Articles of Incorporation for the Schnitzelburg Area Community Council, Inc., are hereby amended to reflect that Article 3, Section I, is hereby amended to read that:

To support any activities which advance the common good and general of the community and its people unless these activities are excluded by IRS Sec. 501(c)(3).

APPROVED AND SUBMITTED by William W. Tinker, Jr. Treasurer and Director for the Schnitzelburg Area Community Council, Inc.

  
William W. Tinker, Jr.  
Director

APPROVED: September 26, 2005

  
William W. Tinker, Jr.

# Commonwealth of Kentucky

OFFICE OF  
SECRETARY OF STATE

DREXELL R. DAVIS  
Secretary



FRANKFORT,  
KENTUCKY

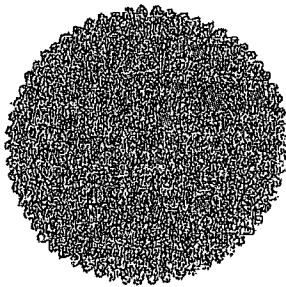
## CERTIFICATE OF INCORPORATION OF NON-STOCK, NON-PROFIT CORPORATION

I, DREXELL R. DAVIS, Secretary of State of the Commonwealth of Kentucky  
certify that there has been delivered to my office articles of incorporation of  
THE SCHWYPLAURE ANPA COUNTRY CLUB, INC.

The name and address of the registered agent of this corporation is  
WILLIAM KFFLY

NAME RIG KFFLY  
STREET ADDRESS LOWEVILLE, KENTUCKY 40217  
CITY STATE

NOW, THEREFORE, finding that these articles of incorporation conform to law  
and that all fees therefor having been paid as prescribed by law, I, DREXELL R.  
DAVIS, Secretary of State, issue this Certificate of Incorporation.



SECRETARY OF STATE

Issued this 7th day of FEBRUARY, 1977

at Frankfort, Kentucky.

*Drexell R. Davis*

SECRETARY OF STATE

ADJUTANT SECRETARY OF STATE



**Trey Grayson**

**Secretary of State**

**Certificate of Existence**

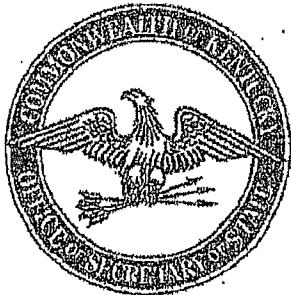
I, Trey Grayson, Secretary of State of the Commonwealth of Kentucky, do hereby certify that according to the records in the Office of the Secretary of State,

**THE SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.**

has eliminated all the grounds for dissolution, paid all fees and penalties owed to the Secretary of State, and met all other requirements for reinstatement. The effective date of reinstatement is October 7, 2004.

I further certify that THE SCHNITZELBURG AREA COMMUNITY COUNCIL, INC. is a corporation duly organized and existing under the laws of the Commonwealth of Kentucky, whose date of incorporation is February 7, 1977, and whose period of duration is perpetual.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my Official Seal at Frankfort, Kentucky, this 7<sup>th</sup> day of October, 2004.



*T. Grayson*

Trey Grayson  
Secretary of State  
Commonwealth of Kentucky  
MMcCulloch/0078158

## Request for Taxpayer Identification Number and Certification

Give Form to the  
 requester. Do not  
 send to the IRS.

Print or type See Specific Instructions on page 2.	Name (as shown on your income tax return) <b>SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.</b>	
	Business name/disregarded entity name, if different from above	
	Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ <input type="checkbox"/> Other (see instructions) ▶ _____	
	<input checked="" type="checkbox"/> Exempt payee	
	Address (number, street, and apt. or suite no.) <b>P. O. Box 17306</b>	Requester's name and address (optional)
City, state, and ZIP code <b>Louisville KY 40217</b>		
List account number(s) here (optional)		

<b>Part I Taxpayer Identification Number (TIN)</b>																			
Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see <i>How to get a TIN</i> on page 3.																			
	<table border="1" style="margin: auto;"> <tr><td colspan="9" style="text-align: center;">Social security number</td></tr> <tr> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> </tr> </table>	Social security number																	
Social security number																			
<p><b>Note.</b> If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.</p>	<table border="1" style="margin: auto;"> <tr><td colspan="9" style="text-align: center;">Employer identification number</td></tr> <tr> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> </tr> </table>	Employer identification number																	
Employer identification number																			

<b>Part II Certification</b>	
Under penalties of perjury, I certify that:	
<ol style="list-style-type: none"> <li>The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and</li> <li>I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and</li> <li>I am a U.S. citizen or other U.S. person (defined below).</li> </ol>	
<p><b>Certification Instructions.</b> You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.</p>	
<b>Sign Here</b>	Signature of U.S. person ▶ <i>William M. Long</i> Date ▶ <i>10/14/13</i>

**General Instructions**  
 Section references are to the Internal Revenue Code unless otherwise noted.

**Purpose of Form**  
 A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

**Note.** If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

**Definition of a U.S. person.** For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

**Special rules for partnerships.** Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.



INTERNAL REVENUE SERVICE  
P. O. BOX 2508  
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: FEB 23 2007

SCHNITZELBURG AREA COMMUNITY  
COUNCIL  
1343 HICKORY ST  
LOUISVILLE, KY 40217

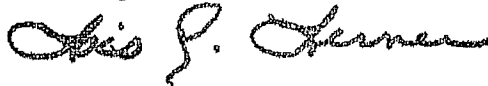
[REDACTED]  
[REDACTED]  
DLN:  
307044022  
Contact Person:  
EDWARD S SCHLAACK ID# 31536  
Contact Telephone Number:  
(877) 829-5500  
Accounting Period Ending:  
December 31  
Form 990 Required:  
Yes  
Effective Date of Exemption:  
February 7, 1977  
Contribution Deductibility:  
No

Dear Applicant:

We are pleased to inform you that upon review of your application for tax-exempt status we have determined that you are exempt from Federal income tax under section 501(c)(4) of the Internal Revenue Code. Because this letter could help resolve any questions regarding your exempt status, you should keep it in your permanent records.

Please see enclosed Information for Organizations Exempt Under Sections Other Than 501(c)(3) for some helpful information about your responsibilities as an exempt organization.

Sincerely,



Lois G. Lerner  
Director, Exempt Organizations  
Rulings and Agreements

Enclosure: Information for Organizations Exempt Under Sections Other  
Than 501(c)(3)

Letter 948 (DO/CG)

Information copy. Do not send to IRS.

Form **990-N**  
Department of the Treasury  
Internal Revenue Service

**Electronic Notice (e-Postcard)**  
for Tax-Exempt Organizations not Required To File Form 990 or  
990-EZ

OMB No. 1545-  
2085

**2014**

Open to Public  
Inspection

A For the 2014 calendar year, or tax year beginning 1/1/2014, and ending 12/31/2014.


B Check if applicable

Terminated, Out of Business

Gross receipts are normally  
\$50,000 or less

C Name of organization: SCHNITZELBURG AREA COMMUNITY  
COUNCIL  
d/b/a:

PO Box 17306  
Louisville, KY, US, 40217

D Employer  
Identification  
Number  


E Website:

<http://www.schnitzelburg.org/>

F Name of Principal Officer: David Underwood

PO Box 17306  
Louisville, KY, US, 40217

**Privacy Act and Paperwork Reduction Act Notice.** We ask for the information on this form to carry out the Internal Revenue laws of the United States. You are required to give us the information. We need it to ensure that you are complying with these laws.

The organization is not required to provide the information requested on a form that is subject to the Paperwork Reduction Act unless the form displays a valid OMB control number. Books or records relating to a form or its instructions must be retained as long as their contents may become material in the administration of any Internal Revenue law. The rules governing the confidentiality of the Form 990-N is covered in Code section 6104.

The time needed to complete and file this form and related schedules will vary depending on individual circumstances. The estimated average times is 15 minutes.

Note: This image is provided for your records only. Do NOT mail this page to the IRS. The IRS will not accept this filing via paper. You must file your Form 990-N (e-Postcard) electronically.

This Form 990-N (e-Postcard) was accepted by the IRS on 6/11/2015.

**THE SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.****General Information**

<b>Organization Number</b>	0078158
<b>Name</b>	THE SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.
<b>Profit or Non-Profit</b>	N - Non-profit
<b>Company Type</b>	KCO - Kentucky Corporation
<b>Status</b>	A - Active
<b>Standing</b>	G - Good
<b>State</b>	KY
<b>File Date</b>	2/7/1977
<b>Organization Date</b>	2/7/1977
<b>Last Annual Report</b>	7/22/2015
<b>Principal Office</b>	P. O. BOX 17306 LOUISVILLE, KY 40217
<b>Registered Agent</b>	DAVID UNDERWOOD 876 FETTER AVE LOUISVILLE, KY 40217

**Current Officers**

<b>President</b>	<u>MIKE MORRIS</u>
<b>Vice President</b>	<u>SUSAN BRUNTON</u>
<b>Secretary</b>	<u>JAKE WILDSTROM</u>
<b>Treasurer</b>	<u>DAVID UNDERWOOD</u>
<b>Director</b>	<u>MIKE MORRIS</u>
<b>Director</b>	<u>SUSAN BRUNTON</u>
<b>Director</b>	<u>DAVID UNDERWOOD</u>
<b>Director</b>	<u>JAKE WILDSTROM</u>

**Individuals / Entities listed at time of formation**

<b>Director</b>	<u>WILLIAM KEELY</u>
<b>Director</b>	<u>GREGORY SARJENT</u>
<b>Director</b>	<u>JAMES PEAK</u>
<b>Director</b>	<u>WILLIAM TINKER</u>
<b>Incorporator</b>	<u>WILLIAM KEELY</u>
<b>Incorporator</b>	<u>JAMES PEAK</u>
<b>Incorporator</b>	<u>WILLIAM TINKER</u>
<b>Incorporator</b>	<u>GREGORY SARJENT</u>

**Images available online**

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

[Registered Agent](#)

7/22/2015 3:56:58 PM 1 page

[PDF](#)

<u>name/address change</u>				
<u>Annual Report</u>	7/22/2015	1 page	<a href="#">PDF</a>	
<u>Annual Report</u>	3/18/2014	1 page	<a href="#">PDF</a>	
<u>Registered Agent name/address change</u>	3/18/2013 1:57:09 PM	1 page	<a href="#">PDF</a>	
<u>Annual Report</u>	3/18/2013	1 page	<a href="#">PDF</a>	
<u>Principal Office Address Change</u>	3/4/2013 10:48:55 AM	1 page	<a href="#">PDF</a>	
<u>Annual Report</u>	2/24/2012	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<u>Annual Report</u>	4/7/2011	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<u>Annual Report</u>	4/13/2010	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<u>Principal Office Address Change</u>	9/1/2009	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<u>Registered Agent name/address change</u>	9/1/2009	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<u>Annual Report</u>	8/17/2009	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<u>Annual Report</u>	4/14/2008	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<u>Annual Report</u>	2/26/2007	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<u>Annual Report</u>	3/27/2006	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<u>Amendment</u>	11/9/2005	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<u>Annual Report</u>	3/18/2005	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<u>Administrative Dissolution</u>	11/2/1993	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<u>Annual Report</u>	7/1/1992	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<u>Annual Report</u>	7/1/1991	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<u>Annual Report</u>	7/1/1991	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<u>Annual Report</u>	7/1/1990	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<u>Annual Report</u>	9/1/1989	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<u>Sixty Day Notice</u>	9/1/1989	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<u>Annual Report</u>	7/1/1988	1 page	<a href="#">tiff</a>	<a href="#">PDF</a>
<u>Statement of Change</u>	10/3/1978	2 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<u>Annual Report</u>	6/28/1978	3 pages	<a href="#">tiff</a>	<a href="#">PDF</a>
<u>Articles of Incorporation</u>	2/7/1977	5 pages	<a href="#">tiff</a>	<a href="#">PDF</a>

## Assumed Names

## Activity History

Filing	File Date	Effective Date	Org. Referenced
Registered agent address change	7/22/2015 3:56:58 PM	7/22/2015 3:56:58 PM	
Annual report	7/22/2015 2:20:55 PM	7/22/2015 2:20:55 PM	
Annual report	3/18/2014 1:13:25 PM	3/18/2014 1:13:25 PM	
Annual report	3/18/2013 2:05:27 PM	3/18/2013 2:05:27 PM	
Registered agent address change	3/18/2013 1:57:09 PM	3/18/2013 1:57:09 PM	
Principal office change	3/4/2013 10:48:55 AM	3/4/2013 10:48:55 AM	