



NDF042022NH05

**NEIGHBORHOOD DEVELOPMENT FUND  
Not-for-Profit Transmittal and Approval Form**

**Applicant/Program:** Neighborhood House/Purchase and Installation of New HVAC System  
**Applicant Requested Amount:** \$5,000  
**Appropriation Request Amount:** \$5,000

**Executive Summary of Request**  
Funds will be used to assist in the purchase and installation of a new HVAC system.

Is this program/project a fundraiser?  Yes  No  
Is this applicant a faith based organization?  Yes  No  
Does this application include funding for sub-grantee(s)?  Yes  No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

5 District #      *Adanna Lewis* Primary Sponsor Signature      \$5,000 Amount      4/11/2022 Date

**Primary Sponsor Disclosure**  
List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

**Approved by:**  
\_\_\_\_\_  
Appropriations Committee Chairman      Date  
Final Appropriations Amount: \_\_\_\_\_

sh

**Applicant/Program:**

Neighborhood House/Purchase and Installation of New HVAC System

**Additional Disclosure and Signatures**

**Additional Council Office Disclosure**

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

**Council Member Signature and Amount**

District 1	_____	\$ _____
District 2	_____	\$ _____
District 3	_____	\$ _____
District 4	_____	\$ _____
District 5	_____	\$ _____
District 6	_____	\$ _____
District 7	_____	\$ _____
District 8	_____	\$ _____
District 9	_____	\$ _____
District 10	_____	\$ _____
District 11	_____	\$ _____
District 12	_____	\$ _____
District 13	_____	\$ _____
District 14	_____	\$ _____
District 15	_____	\$ _____

**Applicant/Program:**

Neighborhood House/Purchase and Installation of New HVAC System

**Additional Disclosure and Signatures**

**Additional Council Office Disclosure**

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

District 16 \_\_\_\_\_ \$ \_\_\_\_\_

District 17 \_\_\_\_\_ \$ \_\_\_\_\_

District 18 \_\_\_\_\_ \$ \_\_\_\_\_

District 19 \_\_\_\_\_ \$ \_\_\_\_\_

District 20 \_\_\_\_\_ \$ \_\_\_\_\_

District 21 \_\_\_\_\_ \$ \_\_\_\_\_

District 22 \_\_\_\_\_ \$ \_\_\_\_\_

District 23 \_\_\_\_\_ \$ \_\_\_\_\_

District 24 \_\_\_\_\_ \$ \_\_\_\_\_

District 25 \_\_\_\_\_ \$ \_\_\_\_\_

District 26 \_\_\_\_\_ \$ \_\_\_\_\_

**LOUISVILLE METRO COUNCIL  
NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**Legal Name of Applicant Organization** Neighborhood House

**Program Name and Request Amount** Purchase and Installation of New HVAC System \$5,000

	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	<input type="checkbox"/> Yes
Is the funding proposed by Council Member(s) less than or equal to the request amount?	<input type="checkbox"/> Yes
Is the proposed public purpose of the program viable and well-documented?	<input type="checkbox"/> Yes
Will all of the funding go to programs specific to Louisville/Jefferson County?	<input type="checkbox"/> Yes
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	<input type="checkbox"/> Yes
Has prior Metro Funds committed/granted been disclosed?	<input type="checkbox"/> Yes
Is the application properly signed and dated by authorized signatory?	<input type="checkbox"/> Yes
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	<input type="checkbox"/> Yes
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	<input type="checkbox"/> N/A
Is the entity in good standing with: <ul style="list-style-type: none"> <li>▶ Kentucky Secretary of State?</li> <li>▶ Louisville Metro Revenue Commission?</li> <li>▶ Louisville Metro Government?</li> <li>▶ Internal Revenue Service?</li> <li>▶ Louisville Metro Human Relations Commission?</li> </ul>	<input type="checkbox"/> Yes
Is the current Fiscal Year Budget included?	<input type="checkbox"/> Yes
Is the entity's board member list (with term length/term limits) included?	<input type="checkbox"/> Yes
Is recommended funding less than 33% of total agency operating budget?	<input type="checkbox"/> Yes
Does the application budget reflect only the revenue and expenses of the project/program?	<input type="checkbox"/> Yes
Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?	<input type="checkbox"/> Yes
Is the most recent annual audit (if required by organization) included?	<input type="checkbox"/> Yes
Is a copy of Signed Lease (if rent costs are requested) included?	<input type="checkbox"/> N/A
Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?	<input type="checkbox"/> N/A
Are the Articles of Incorporation of the Agency included?	<input type="checkbox"/> Yes
Is the IRS Form W-9 included?	<input type="checkbox"/> Yes
Is the IRS Form 990 included?	<input type="checkbox"/> Yes
Are the evaluation forms (if program participants are given evaluation forms) included?	<input type="checkbox"/> N/A
Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?	<input type="checkbox"/> N/A
Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?	<input type="checkbox"/> Yes

Prepared by: **Councilwoman Donna Purvis**

Date: **Mar 31, 2022**

## Harward, Sonya

---

**From:** Bell, LaTonya J.  
**Sent:** Wednesday, April 20, 2022 11:24 AM  
**To:** Purvis, Donna L.; Harward, Sonya  
**Cc:** Blackwell, Rick; Blazis, Heather  
**Subject:** RE: Neighborhood House

CM Purvis, thank you.

Sonya, sorry please add this email to the NDF packet because it addresses both items. Thanks.

-----Original Message-----

From: Purvis, Donna L. <Donna.Purvis@louisvilleky.gov>  
Sent: Wednesday, April 20, 2022 11:21 AM  
To: Bell, LaTonya J. <LaTonya.Bell2@louisvilleky.gov>  
Cc: Harward, Sonya <Sonya.Harward@louisvilleky.gov>  
Subject: Re: Neighborhood House

Sorry, yes ma'am, that was the reason.

Sent from my iPhone

> On Apr 20, 2022, at 11:19 AM, Bell, LaTonya J. <LaTonya.Bell2@louisvilleky.gov> wrote:

>

> You didn't provide a reason for the delay in the submission of the NDF Application. Did the Neighborhood House learn that they can be reimburse through NDF funds for the HVAC in 2022, resulting in its NDF Application being submitted in April 2022 instead of in 2021?

>

> Thanks.

>

> -----Original Message-----

> From: Purvis, Donna L. <Donna.Purvis@louisvilleky.gov>  
> Sent: Wednesday, April 20, 2022 11:02 AM  
> To: Bell, LaTonya J. <LaTonya.Bell2@louisvilleky.gov>  
> Cc: Harward, Sonya <Sonya.Harward@louisvilleky.gov>  
> Subject: Neighborhood House

>

> Good Morning, LaTonya,

>

> The check showing payment for the agencies HVAC service was submitted yesterday for appropriations.

>

> Also, this was an urgent need and they had to act quickly to replace the needed HVAC since they serve various groups of the community.

>

> Thanks for your assistance.

>

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

SECTION 1 – APPLICANT INFORMATION			
<b>Legal Name of Applicant Organization:</b>			
<i>(as listed on: <a href="http://www.sos.ky.gov/business/records">http://www.sos.ky.gov/business/records</a> Neighborhood House</i>			
<b>Main Office Street &amp; Mailing Address:</b> 201 N 25th Street, Louisville KY 40212			
<b>Website:</b> www.nhky.org			
<b>Applicant Contact:</b>	Jennie Jean Davidson	<b>Title:</b>	Executive Director
<b>Phone:</b>	502-774-2322	<b>Email:</b>	j davidson@nhky.org
<b>Financial Contact:</b>	Beverly Jones	<b>Title:</b>	Director of Finance
<b>Phone:</b>	502-774-2322	<b>Email:</b>	bjones@nhky.org
<b>Organization’s Representative who attended NDF Training:</b> Kaycee Reed			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
<b>Program Facility Location(s):</b>	201 N 25th Street, Louisville KY 40212		
<b>Council District(s):</b>	5	<b>Zip Code(s):</b>	40212
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
<b>PROGRAM/PROJECT NAME:</b> HVAC Replacement			
<b>Total Request: (\$)</b>	\$5,000	<b>Total Metro Award (this program) in previous year: (\$)</b>	0
<b>Purpose of Request (check all that apply):</b>			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency’s total operating budget) <input type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input checked="" type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
<b>The Following are Required Attachments:</b>			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current year projected budget <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation (current & signed) <input checked="" type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input checked="" type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if applicable	
<b>For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.</b>			
<b>Source:</b>		<b>Amount: (\$)</b>	
<b>Source:</b>		<b>Amount: (\$)</b>	
<b>Source:</b>		<b>Amount: (\$)</b>	
Has the applicant contacted the BBB Charity Review for participation? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 3 – AGENCY DETAILS

**Describe Agency's Vision, Mission and Services:**

Neighborhood House is a community center serving Louisville's West End. Our mission is to connect families with opportunities and experiences that enhance the quality of their lives. Our vision is to break the cycle of poverty for our children and families and empower them to change their lives.

Our Child Development Center serves children as young as 6 weeks old through developmental milestones and prepares them for kindergarten. The Center is a part of the elite Excellence Academy, Stars for Kids Now, and Greater Louisville Head Start.

Our Youth Development Program offers academic support, character development and life skills training, cultural and recreational activities, and college and career readiness services to children ages 6-18. During the 2020-2021 school year, we operated an NTI Academy to provide all-day support to our students.

Our Family Services Department provides a variety of services to our community including young adults, college students, parents and senior citizens. Four Seasons provides seniors with workshops, physical and recreational activities, and meals to address their physical, social and emotional well-being and improve the quality of their lives. Our Food Bank provides emergency food support and referrals for other services.

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 4 - BOARD OF DIRECTORS AND PAID STAFF

Board Member	Term End Date
Ted Nixon	2024
Debbie Held	2022 -12-31
Kevin Everwine	2023
Daniel Gibson	2023
Celia Manlove	2023
Kate Ward	2023
Allison Stack	2023
Deja Jackson	2022 -12-31
Nubia Bennett	2022 -12-31
Dr. Kelly McCants	2024
Amber Garvey	2022 -12-31
Tom Reith	2022 -12-31
Anne Hoskinson	2022 -12-31
Kelli Morris	2023

**Describe the Board term limit policy:**

As outlined in our bylaws, our board of directors are limited to three year terms with no more than three consecutive terms.

Three Highest Paid Staff Names	Annual Salary
Jennie Jean Davidson	\$103,472
Martha Fuson	\$77,226
Jill Seelmeyer	\$64,868

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**SECTION 5 – PROGRAM/PROJECT NARRATIVE**

**A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):**

We requested multiple bids from vendors and began raising funds for our HVAC replacement in 2021. The project included the purchase and installation of two new HVAC systems and all labor, equipment and materials associated with such a large project. The project was completed in August 2021, putting a financial strain on our capital improvement funds. The total project expenses was \$42,399.

The families we serve are from some of the poorest zip codes in the city, including 40212, 40211, 40203, 40210, 40202, 40208, 40216, and 40215. Most of our families live in Portland, Russell, Chickasaw, and Shawnee. We also serve families from other West End neighborhoods and downtown.

The neighborhoods in which our members live are among those with the highest rates of substance misuse and poverty and the lowest life expectancy in the city. These were the circumstances prior to Covid-19, and research shows that the negative side effects of necessary social distancing measures will be grave for many.

**B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):**

Funding will be toward the total cost of HVAC purchase and installation. All funds were paid to the contractor (Schardein Mechanical). No funds will go to sub grantees.

The final cost of the total HVAC replacement and repair was \$42,399. We received several bids for the work, and this contractor's bid met our needs best.

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**C: If this request is a fundraiser, please detail how the proceeds will be spent:**

N/A

**D: For Expenditure Reimbursement Only** – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

- The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:
- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

~~Purchase and installation of boiler.~~

- Reimbursements should not be made before application date unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):
- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
  - ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

\$42,399 was the final bill. We are requesting \$5,000 to help cover the total expense.

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:**

Neighborhood House is dedicated to providing the highest quality care to our children. This includes making sure that our facilities are comfortable, safe and as up-to-date as possible to meet the needs of the families who trust our Child Development Center to care for their children. In 2021, it became clear that we needed to update our HVAC system to replace outdated units. The AC units were a major expense for our organization, but these updates are vital to ensuring our children, senior members and families are comfortable and that our center is up to code on all facility requirements.

Our specific outcomes for youth and family programming are as follows:

Objective 1 -

Active Youth Development Program members who participate in programs 3 or more days each week on average will demonstrate improvement in reading and math skills.

Neighborhood House tracks outcomes for each of our programs in accordance with our standard operating policies and in alignment with our strategic plan and goal to increase the impact of each of our programs from year to year. Specifically, for our Youth Development Program, we track attendance each day, monitor students academic progress throughout the year through JCPS's Cascades software, perform internal assessments, and progress reports. Our Youth Advocate staff communicate directly with teachers as needed when assessments and progress reports indicate that a student is falling behind.

Expected outcome: 70% of students who participate in programs 3 or more days each week on average. 

see attached pages

**F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.**

Neighborhood House has a long history with partners like Dare to Care, Louisville Metro Government, Metro United Way and Maryhurst. Our Dare to Care partnership allows us to feed kids dinner every day, and 3 meals a day during our summer program. Our Dare to Care Food Bank, open to the community for 3 hours every weekday, distributes over 200 food monthly.

We also partner with Evolve 502, Greater Louisville Head Start, and the Decode Project. When we first began our NTI programming in 2020, we saw that 100% of our students were at least one grade level behind in reading and math. However, we know that with the financial support needed to grow our staff and programming, our families can and will thrive. Our NTI Academy students far exceeded the academic outcomes of the average Jefferson County Public School student – 82% improved or maintained their GPAs. On average, 73% improved or maintained their math grades because of the personalized support they received. In comparison, WDRB News reported in July 2021 that 16,704 out of 90,048 students, or 18.6%, failed at least one course.

We know that lasting impact and change happen when we work together. We are committed to strengthening our existing partnerships and working with new partners to ensure our families are supported and have access to opportunities to improve their lives.

**E. Describe the program's benefit to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served.**

Neighborhood House is dedicated to providing the highest quality care to our children, families and seniors. This includes making sure that our facilities are comfortable, safe and as up-to-date as possible to meet the needs of the families who trust us. In 2021, it became clear that we needed to update our HVAC system to replace outdated units. The AC units were a major expense for our organization, but these updates are vital to ensuring our children, senior members and families are comfortable and that our center is up to code on all facility requirements.

Our specific outcomes for youth and family programming are as follows:

**Objective 1**

Active Youth Development Program members who participate in programs 3 or more days each week on average will demonstrate improvement in reading and math skills.

Neighborhood House tracks outcomes for each of our programs in accordance with our standard operating policies and in alignment with our strategic plan and goal to increase the impact of each of our programs from year to year. Specifically, for our Youth Development Program, we track attendance each day, monitor students academic progress throughout the year through JCPS's Cascades software, perform internal assessments, and progress reports. Our Youth Advocate staff communicate directly with teachers as needed when assessments and progress reports indicate that a student is falling behind.

Expected outcome – 70% of students who participate in programs 3 or more days each week on average will maintain or demonstrate improvement in reading and math skills.

**Objective 2**

The Youth Development program will provide all members with continued skill development programs and activities focused on Social-Emotional Learning.

Neighborhood House uses the Staff Rating for Youth Behavior (SRYB) as a tool to measure social-emotional learning (SEL) developed by the Weikart Center for Youth Program Quality. It is a pre/post, observation-based assessment that is designed to assess the behavior of children and youth in OST programs and measure whether youth are making progress on SEL skills such as teamwork, emotion management, responsibility, and problem-solving.

Expected outcome – 75% percent of participants who attend three or more days each week for at least six weeks will demonstrate improvement or maintain SEL skill levels as measured by staff observations and the SYRB.

**Objective 3**

The Family Coaching Program provides financial coaching and goal-setting, health and wellness activities, family engagement, parental support, access to our emergency food bank to help families focus on other expenses and building savings, connection with other community services, and more. Assist parents with goal setting to improve the overall wellbeing and financial stability of their family.

Our Family Coaching program uses surveys and frequent check-ins with our families to track progress and ensure we are reaching our outlined goals. Our Family Coaches also use Unite Us, which is our Case Management software that helps track client notes. We can make referrals through this software to other organizations. We were one of the Metro United Way pilot sites for this software. We create quarterly reports for our programs based on the goals outlined above, and are happy to report them to the Foundation as requested.

Expected outcomes –

- 60 families will be served
- 75% of families who are engaged in the coaching program will make progress toward their goals.

Applicant's initials JJD

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**SECTION 6 – PROGRAM/PROJECT BUDGET SUMMARY**

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
<b>A: Personnel Costs Including Benefits</b>			0
<b>B: Rent/Utilities</b>			0
<b>C: Office Supplies</b>			0
<b>D: Telephone</b>			0
<b>E: In-town Travel</b>			0
<b>F: Client Assistance (See Detailed List on Page 8)</b>			0
<b>G: Professional Service Contracts</b>			0
<b>H: Program Materials</b>			0
<b>I: Community Events &amp; Festivals (See Detailed List on Page 8)</b>			0
<b>J: Machinery &amp; Equipment</b>			0
<b>K: Capital Project</b>	\$5,000	\$37,399	<del>0</del> \$42,399
<b>L: Other Expenses (See Detailed List on Page 8)</b>			0
<b>*TOTAL PROGRAM/PROJECT FUNDS</b>	\$5,000	\$37,399	<del>0</del> \$42,399
% of Program Budget	11.79%	88.21%	100%

**List funding sources for total program/project costs in Column 2, Non-Metro Funds:**

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	\$37,399
Fees Collected from Program Participants	
Other (please specify)	
Total Revenue for Columns 2 Expenses **	\$37,399

\*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

\*\*Must equal or exceed total in column 2.

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

Detail for Client Assistance, Community Events & Festivals or Other Expenses shown on Page 7 (circle one and use multiple sheets if necessary)	Column 1	Column 2	Column (1 + 2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
NOT APPLICABLE			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
<b>Total</b>	0	0	0

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**Detail of In-Kind Contributions for this PROGRAM only:** Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
<p align="center"><i>Total Value of In-Kind</i>                      (to match Program Budget Line Item.                      Volunteer Contribution &amp; Other In Kind)</p>	0	

**\* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK**

**Agency Fiscal Year Start Date:** July 1

**Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year?** NO  YES

**If YES, please explain:**

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 7 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

#### Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked and the funds will not be disbursed to our organization.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

#### Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

**Relationship Disclosure:** List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

### SECTION 8 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

<b>Signature of Legal Signatory:</b>		<b>Date:</b>	April 10, 2022
<b>Legal Signatory: (please print):</b>	Jennie Jean Davidson	<b>Title:</b>	Executive Director
<b>Phone:</b>	502-774-2322	<b>Extension:</b>	
		<b>Email:</b>	jdavidson@nhky.org



Louisville Metro Government  
Office of Management and Budget

Neighborhood Development Fund Training Attestation

Grantee Organization Name: Neighborhood House

Grantee Representative Name: Haycee Reed

I agree that I am an authorized representative and/or signatory of the organization named above and attest to having viewed the Neighborhood Development Fund training presentation. I understand the reporting requirements of the Neighborhood Development Fund grant. Additionally, after viewing the presentation, I have correctly answered the below questions.

Please check:



I viewed the NDF training material on the website

Answer the following questions before signing (Circle or write in the correct answer).

1. The NDF funding your agency received is a gift from LMG? True or False
2. Name the three budget categories that require a detail list.  
Client Assistance, Community Events & Festivals and Other Expenses
3. If your agency charged gross pay to NDF, you are required to provide additional documentation to satisfy reporting requirements. True or False
4. Which four questions should your financial support documentation answer at all times?  
who, what, when and where.
5. Your agency is considered noncompliant if you do not account for funds received and/or your financial report is missing support documentation? True or False
6. Canceled check, bank statement, invoice and receipt are considered proof of payment. True or False.

Haycee Reed  
Grantee Representative Signature

2/24/2022  
Date

**NOTE:** Please return to Roxanne Steele

E-mail address: Roxanne.Steele@louisvilleky.gov

Fax: 502-574-3219

Mailing Address: Louisville Metro Government  
ATTN: NDF Coordinator  
611 West Jefferson St.  
Louisville, KY 40202



Department of the Treasury  
Internal Revenue Service

Cincinnati Service Center  
CINCINNATI OH 45999-0038

In reply refer to: 0752161033  
Feb. 11, 2013 LTR 4168C 0  
61-0445842 000000 00

00014734

BODC: TE

NEIGHBORHOOD HOUSE  
201 N 25TH ST  
LOUISVILLE KY 40212



46127

Employer Identification Number: 61-0445842  
Person to Contact: Mr. Perkins  
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your Jan. 31, 2013, request for information regarding your tax-exempt status.

Our records indicate that you were recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in October 1972.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

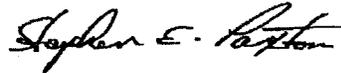
Please refer to our website [www.irs.gov/eo](http://www.irs.gov/eo) for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.

0752161033  
Feb. 11, 2013 LTR 4168C 0  
61-0445842 000000 00  
00014735

NEIGHBORHOOD HOUSE  
201 N 25TH ST  
LOUISVILLE KY 40212

If you have any questions, please call us at the telephone number shown in the heading of this letter.

Sincerely yours,



Stephen E. Paxton  
Operations Manager, AM Operations 3

NEIGHBORHOOD HOUSE  
2022 OPERATING BUDGET

BUDGET  
2021-2022

<b>INCOME</b>	
Contributions	\$ 621,197
Special Events	\$ 149,000
Grants	\$ 541,200
MUW	\$ 83,648
Memberships	\$ 8,250
Childcare Tuition	\$ 395,000
CDC Sustainment	\$ 76,000
"STARS" Funding	\$ 9,000
Nutrition Reimbursement	\$ 13,200
Miscellaneous Income	\$ 385
Rental Income	\$ 78,120
<b>TOTAL INCOME</b>	<b>\$ 1,975,000</b>

<b>EXPENSE</b>	
Program Salaries & Benefits	\$ 1,087,633
Program Activities & Supplies	\$ 87,110
Fundraising Salaries & Expenses	\$ 250,879
Administrative Salaries & Benefits	\$ 228,903
Staff Hiring & Development	\$ 14,600
Food & Nutrition Supplies	\$ 34,850
Office Expense	\$ 12,210
Board Training & Meetings	\$ 600
Facilities Maintenance	\$ 230,046
IT Support	\$ 12,475
Legal & Accounting	\$ 15,000
Association Fees	\$ 694
<b>TOTAL EXPENSES</b>	<b>\$ 1,975,000</b>

**Neighborhood House, Inc.**  
**Statement of Financial Position**  
As of January 31, 2022

Total

---

Tuesday, Feb 15, 2022 12:21:21 PM GMT-8 - Accrual Basis

**Neighborhood House, Inc.**  
**Statement of Financial Position**  
As of January 31, 2022

	Total
<b>ASSETS</b>	
<b>Current Assets</b>	
<b>Bank Accounts</b>	
1020 - Operating Account - Stock Yards	296,250
1025 - PNC Checking Account	3,305
1030 - Money Market Account - Stock Yards	496,959
1085 - Charitable Gaming Account - SYB	550
1090 - Cash on hand	104
<b>Total Bank Accounts</b>	<b>\$ 797,169</b>
<b>Total Accounts Receivable</b>	<b>\$ 425,321</b>
<b>Other Current Assets</b>	
1310 - Prepaid Expenses	3,119
1320 - Prepaid Insurance	2,425
1350 - Deposits with UST (Unemployment)	22,211
<b>Total Other Current Assets</b>	<b>\$ 27,756</b>
<b>Total Current Assets</b>	<b>\$ 1,250,245</b>
<b>Fixed Assets</b>	
1410 - Land	18,200
1411 - Land Purchased for New Building	506,993
1420 - New Building	2,748,383
1421 - Blue Building	397,976
1425 - Improvements	188,924
1430 - Equipment	94,147
1431 - Furniture & Fixtures	300,402
1435 - Vehicles	60,358
1480 - Accumulated Depreciation	(2,615,714)
<b>Total Fixed Assets</b>	<b>\$ 1,699,668</b>
<b>TOTAL ASSETS</b>	<b>\$ 2,949,913</b>
<b>LIABILITIES AND EQUITY</b>	
<b>Liabilities</b>	
<b>Current Liabilities</b>	
<b>Total Accounts Payable</b>	<b>\$ 269</b>
<b>Other Current Liabilities</b>	
2100 - Accrued Payroll	36,568
2200 - Accrued Vacation	46,164
2310 - Dental Insurance WH	(1,829)
2320 - FSA WH	(1,053)
2340 - Supplemental Insurance WH	(607)
2350 - United Way WH	536
2360 - Vision Insurance WH	(509)
2400 - Deferred FICA Taxes (CARES)	34,295
2700 - Refundable Advance	297,873
<b>Total Other Current Liabilities</b>	<b>\$ 411,438</b>
<b>Total Current Liabilities</b>	<b>\$ 411,707</b>
<b>Total Liabilities</b>	<b>\$ 411,707</b>
<b>Equity</b>	
3100 - Unrestricted Assets	(243,800)
3150 - Retained Earnings	513,747
3500 - Net Assets with Donor Restrictions	636,032
3501 3501- Net Assets with Donor Restrictions	121,111
<b>Total 3500 - Net Assets with Donor Restrictions</b>	<b>\$ 757,143</b>
<b>Opening Balance Equity</b>	1,533,983
<b>Net Revenue</b>	(22,866)
<b>Total Equity</b>	<b>\$ 2,538,207</b>
<b>TOTAL LIABILITIES AND EQUITY</b>	<b>\$ 2,949,913</b>

Form **990**  
 (Rev. January 2020)  
 Department of the Treasury  
 Internal Revenue Service

**Return of Organization Exempt From Income Tax**  
 Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)  
 Do not enter social security numbers on this form as it may be made public.  
 Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047  
**2019**  
 Open to Public Inspection

**A For the 2019 calendar year, or tax year beginning 07/01/19, and ending 06/30/20**

<b>B</b> Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final return/terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	<b>C</b> Name of organization <p align="center"><b>Neighborhood House, Inc.</b></p> Doing business as Number and street (or P.O. box if mail is not delivered to street address) Room/suite <p align="center"><b>201 N. 25th St.</b></p> City or town, state or province, country, and ZIP or foreign postal code <p align="center"><b>Louisville KY 40212</b></p>	<b>D</b> Employer identification number <p align="center"><b>61-0445842</b></p> <b>E</b> Telephone number <p align="center"><b>502-774-2322</b></p> <b>G</b> Gross receipts \$ <b>2,312,729</b>
<b>F</b> Name and address of principal officer: <p align="center"><b>Jennie Jean Davidson</b>  <b>102 North 25th St</b>  <b>Louisville KY 40212</b></p>		<b>H(a)</b> Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>H(b)</b> Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions)
<b>I</b> Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) ( ) (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		<b>H(c)</b> Group exemption number ▶
<b>J</b> Website: ▶ <b>www.nhky.org</b>		
<b>K</b> Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶		<b>L</b> Year of formation: <b>1896</b> <b>M</b> State of legal domicile: <b>KY</b>

**Part I Summary**

<b>Activities &amp; Governance</b>	1	Briefly describe the organization's mission or most significant activities: <p align="center"><b>The mission of Neighborhood House is to provide individuals with opportunities to enhance the quality of their lives.</b></p>				
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.				
	3	Number of voting members of the governing body (Part VI, line 1a)	3	18		
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	18		
	5	Total number of individuals employed in calendar year 2019 (Part V, line 2a)	5	59		
	6	Total number of volunteers (estimate if necessary)	6	400		
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0		
	<b>b</b> Net unrelated business taxable income from Form 990-T, line 39	7b	0			
<b>Revenue</b>	8	Contributions and grants (Part VIII, line 1h)	Prior Year 914,062	Current Year 1,730,080		
	9	Program service revenue (Part VIII, line 2g)	505,332	423,097		
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	2,510	-3,739		
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	64,413	145,493		
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	1,486,317	2,294,931		
	<b>Expenses</b>	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)		0	
14		Benefits paid to or for members (Part IX, column (A), line 4)		0		
15		Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	1,157,510	1,165,433		
16a		Professional fundraising fees (Part IX, column (A), line 11e)		0		
		<b>b</b> Total fundraising expenses (Part IX, column (D), line 25) ▶ <b>123,240</b>				
17		Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	689,962	637,905		
18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	1,847,472	1,803,338			
19	Revenue less expenses. Subtract line 18 from line 12	-361,155	491,593			
<b>Net Assets or Fund Balances</b>	20	Total assets (Part X, line 16)	Beginning of Current Year 2,045,035	End of Year 2,589,195		
	21	Total liabilities (Part X, line 26)	106,635	159,202		
	22	Net assets or fund balances. Subtract line 21 from line 20	1,938,400	2,429,993		

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	Signature of officer <p align="center"><b>Jennie Jean Davidson</b></p> Type or print name and title	Date <p align="center"><b>Executive Director</b></p>
	Print/Type preparer's name <p><b>Robert Moreland</b></p>	Preparer's signature <p><b>Robert Moreland</b></p>
<b>Paid Preparer Use Only</b>	Firm's name ▶ <b>Baldwin CPAs, PLLC</b>	Firm's EIN ▶ <b>20-1416603</b>
	Firm's address ▶ <b>10180 Linn Station Road Suite 200 Louisville, KY 40223</b>	Phone no. <b>859-626-9040</b>

May the IRS discuss this return with the preparer shown above? (see instructions)  Yes  No

**Part III Statement of Program Service Accomplishments**

Check if Schedule O contains a response or note to any line in this Part III

**1** Briefly describe the organization's mission:

**See Schedule O**

**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

Yes  No

If "Yes," describe these new services on Schedule O.

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services?

Yes  No

If "Yes," describe these changes on Schedule O.

**4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

**4a** (Code: ) (Expenses \$ **770,663** including grants of \$ ) (Revenue \$ **363,252** )

**Our Child Development Center makes a lifelong difference by impacting children the first five years of their lives as well as engaging their parents. Our children can continue with us through age 12 in an out-of-school-time class or they can transition to our Youth Development Program. Our focus is to ensure children meet their developmental milestones, are ready to succeed when they start kindergarten, and develop a lifelong love for learning. We strengthen the impact for our children by engaging their parents in the learning process, recognizing parents are the first teachers for their children. Our Center is a part of the elite Excellence Academy and Stars for Kids Now program.**

**4b** (Code: ) (Expenses \$ **320,860** including grants of \$ ) (Revenue \$ )

**Our Youth Development Program provides activities to 1st - 12th graders to help them succeed in school and life. We provide academic support, character development and life skills training, cultural and recreational activities, and college and career readiness services. Parents provide leadership and support for our program through their Council, which helps them support their children and provide additional family engagement activities. We provide nutritious meals 6 days/ week and a safe, nurturing learning focused environment to help our youth learn to dream, to set goals and to develop the skills and confidence to achieve their dreams.**

**4c** (Code: ) (Expenses \$ **294,038** including grants of \$ ) (Revenue \$ **44,283** )

**See Schedule O**

**4d** Other program services (Describe on Schedule O.)

(Expenses \$ **30,448** including grants of \$ ) (Revenue \$ **15,562** )

**4e** Total program service expenses **1,416,009**

**Part IV Checklist of Required Schedules**

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	X	
2	Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I		X
4	<b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II		X
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III		X
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III		X
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV		X
10	Did the organization, directly or through a related organization, hold assets in donor-restricted endowments or in quasi endowments? If "Yes," complete Schedule D, Part V		X
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	X	
b	Did the organization report an amount for investments—other securities in Part X, line 12, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII		X
c	Did the organization report an amount for investments—program related in Part X, line 13, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII		X
d	Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX		X
e	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	X	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	X	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	X	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional		X
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?		X
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV		X
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV		X
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV		X
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)		X
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	X	
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III		X
20a	Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H		X
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II		X

**Part IV Checklist of Required Schedules (continued)**

	Yes	No
<b>22</b> Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		<b>X</b>
<b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>		<b>X</b>
<b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>		<b>X</b>
<b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
<b>c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
<b>d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
<b>25a</b> <b>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		<b>X</b>
<b>b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		<b>X</b>
<b>26</b> Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part II</i>		<b>X</b>
<b>27</b> Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		<b>X</b>
<b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions, for applicable filing thresholds, conditions, and exceptions):		
<b>a</b> A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? <i>If "Yes," complete Schedule L, Part IV</i>		<b>X</b>
<b>b</b> A family member of any individual described in line 28a? <i>If "Yes," complete Schedule L, Part IV</i>		<b>X</b>
<b>c</b> A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? <i>If "Yes," complete Schedule L, Part IV</i>		<b>X</b>
<b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	<b>X</b>	
<b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		<b>X</b>
<b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		<b>X</b>
<b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		<b>X</b>
<b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		<b>X</b>
<b>34</b> Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>		<b>X</b>
<b>35a</b> Did the organization have a controlled entity within the meaning of section 512(b)(13)?		<b>X</b>
<b>b</b> If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>		
<b>36</b> <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		<b>X</b>
<b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		<b>X</b>
<b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note:</b> All Form 990 filers are required to complete Schedule O.	<b>X</b>	

**Part V Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response or note to any line in this Part V

	Yes	No
<b>1a</b> Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable		
<b>b</b> Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		
<b>c</b> Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	<b>X</b>	

**Part V Statements Regarding Other IRS Filings and Tax Compliance** (continued)

		Yes	No
<b>2a</b>	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return		
	<b>2a</b> <b>59</b>		
<b>b</b>	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note:</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	<b>X</b>	
<b>3a</b>	Did the organization have unrelated business gross income of \$1,000 or more during the year?		<b>X</b>
<b>b</b>	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation on Schedule O		
<b>4a</b>	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		<b>X</b>
<b>b</b>	If "Yes," enter the name of the foreign country See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).		
<b>5a</b>	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		<b>X</b>
<b>b</b>	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		<b>X</b>
<b>c</b>	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?		
<b>6a</b>	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		<b>X</b>
<b>b</b>	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
<b>7 Organizations that may receive deductible contributions under section 170(c).</b>			
<b>a</b>	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	<b>X</b>	
<b>b</b>	If "Yes," did the organization notify the donor of the value of the goods or services provided?	<b>X</b>	
<b>c</b>	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		<b>X</b>
<b>d</b>	If "Yes," indicate the number of Forms 8282 filed during the year		
	<b>7d</b>		
<b>e</b>	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		<b>X</b>
<b>f</b>	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		<b>X</b>
<b>g</b>	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		<b>X</b>
<b>h</b>	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		<b>X</b>
<b>8</b>	<b>Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?		
<b>9</b>	<b>Sponsoring organizations maintaining donor advised funds.</b>		
<b>a</b>	Did the sponsoring organization make any taxable distributions under section 4966?		
<b>b</b>	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?		
<b>10</b>	<b>Section 501(c)(7) organizations.</b> Enter:		
<b>a</b>	Initiation fees and capital contributions included on Part VIII, line 12	<b>10a</b>	
<b>b</b>	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	<b>10b</b>	
<b>11</b>	<b>Section 501(c)(12) organizations.</b> Enter:		
<b>a</b>	Gross income from members or shareholders	<b>11a</b>	
<b>b</b>	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	<b>11b</b>	
<b>12a</b>	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?	<b>12a</b>	
<b>b</b>	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	<b>12b</b>	
<b>13</b>	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>		
<b>a</b>	Is the organization licensed to issue qualified health plans in more than one state? <b>Note:</b> See the instructions for additional information the organization must report on Schedule O.	<b>13a</b>	
<b>b</b>	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	<b>13b</b>	
<b>c</b>	Enter the amount of reserves on hand	<b>13c</b>	
<b>14a</b>	Did the organization receive any payments for indoor tanning services during the tax year?	<b>14a</b>	<b>X</b>
<b>b</b>	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation on Schedule O	<b>14b</b>	
<b>15</b>	Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? If "Yes," see instructions and file Form 4720, Schedule N.	<b>15</b>	<b>X</b>
<b>16</b>	Is the organization an educational institution subject to the section 4968 excise tax on net investment income? If "Yes," complete Form 4720, Schedule O.	<b>16</b>	<b>X</b>



**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations. See instructions for the order in which to list the persons above.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) Jennie Jean Davidson	40.00									
Executive Director	0.00			X			53,480	0	4,464	
(2) Dustin Bell	1.00									
Board Member	0.00	X					0	0	0	
(3) Nubia Bennet	1.00									
Board Member	0.00	X					0	0	0	
(4) Kevin Everwine	1.00									
Treasurer	0.00	X		X			0	0	0	
(5) Kevin Fuqua	1.00									
Board Member	0.00	X					0	0	0	
(6) Amber Garvey	1.00									
Board Member	0.00	X					0	0	0	
(7) Daniel Gibson	1.00									
Resource Development	0.00	X		X			0	0	0	
(8) Debbie Held	1.00									
Vice President	0.00	X		X			0	0	0	
(9) Vicki Hines-Martin	1.00									
Board Member	0.00	X					0	0	0	
(10) Anne Hoskinson	1.00									
Board Member	0.00	X					0	0	0	
(11) Tom Kokai	1.00									
Board Member	0.00	X					0	0	0	

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and title	(B) Average hours per week for related organizations below dotted line	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(12) <b>Celia Manlove</b> Board Member	1.00 0.00	X						0	0	0
(13) <b>Carol Mattix</b> Secretary	1.00 0.00	X		X				0	0	0
(14) <b>Kelli Morris</b> Board Member	1.00 0.00	X						0	0	0
(15) <b>Ted Nixon</b> President	1.00 0.00	X		X				0	0	0
(16) <b>Tom Rieth</b> Board Member	1.00 0.00	X						0	0	0
(17) <b>Allison Stack</b> At Large	1.00 0.00	X		X				0	0	0
(18) <b>Kate Ward</b> Board Member	1.00 0.00	X						0	0	0
(19) <b>Cheryl White</b> Board Member	1.00 0.00	X						0	0	0
<b>1b Subtotal</b>								<b>53,480</b>		<b>4,464</b>
<b>c Total from continuation sheets to Part VII, Section A</b>										
<b>d Total (add lines 1b and 1c)</b>								<b>53,480</b>		<b>4,464</b>

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **0**

	Yes	No
<b>3</b> Did the organization list any former officer, director, trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		X
<b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual		X
<b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		X

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **0**

**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	<b>1a</b> Federated campaigns	<b>1a</b> 240,841					
	<b>b</b> Membership dues	<b>1b</b> 2,597					
	<b>c</b> Fundraising events	<b>1c</b> 5,095					
	<b>d</b> Related organizations	<b>1d</b>					
	<b>e</b> Government grants (contributions)	<b>1e</b> 36,900					
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above	<b>1f</b> 1,444,647					
	<b>g</b> Noncash contributions included in lines 1a-1f	<b>1g</b> \$ 246,101					
	<b>h Total.</b> Add lines 1a-1f		<b>1,730,080</b>				
	<b>Program Service Revenue</b>	<b>2a</b> Day Care Client Fees	Business Code	363,252	363,252		
<b>b</b> Food Program Fees			44,283	44,283			
<b>c</b> Other program fees			15,562	15,562			
<b>d</b>							
<b>e</b>							
<b>f</b> All other program service revenue							
<b>g Total.</b> Add lines 2a-2f			<b>423,097</b>				
<b>Other Revenue</b>	<b>3</b> Investment income (including dividends, interest, and other similar amounts)		-3,431			-3,431	
	<b>4</b> Income from investment of tax-exempt bond proceeds						
	<b>5</b> Royalties						
	<b>6a</b> Gross rents	(i) Real	72,616				
		(ii) Personal					
		<b>6b</b> Less: rental expenses					
	<b>c</b> Rental inc. or (loss)		72,616				
	<b>d</b> Net rental income or (loss)			72,616		72,616	
	<b>7a</b> Gross amount from sales of assets other than inventory	(i) Securities					
		(ii) Other					
		<b>7b</b> Less: cost or other basis and sales exps.			308		
		<b>7c</b> Gain or (loss)			-308		
	<b>d</b> Net gain or (loss)			-308	-308		
	<b>8a</b> Gross income from fundraising events (not including \$ 5,095 of contributions reported on line 1c). See Part IV, line 18		89,760				
		<b>b</b> Less: direct expenses		17,490			
<b>c</b> Net income or (loss) from fundraising events				72,270		72,270	
<b>9a</b> Gross income from gaming activities. See Part IV, line 19							
	<b>b</b> Less: direct expenses						
	<b>c</b> Net income or (loss) from gaming activities						
<b>10a</b> Gross sales of inventory, less returns and allowances							
	<b>b</b> Less: cost of goods sold						
	<b>c</b> Net income or (loss) from sales of inventory						
<b>Miscellaneous Revenue</b>	<b>11a</b> Other	Business Code	607	607			
	<b>b</b>						
	<b>c</b>						
	<b>d</b> All other revenue						
	<b>e Total.</b> Add lines 11a-11d			607			
<b>12 Total revenue.</b> See instructions			<b>2,294,931</b>	<b>423,396</b>	<b>0</b>	<b>141,455</b>	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

<b>Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.</b>	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21				
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	99,000	29,700	19,800	49,500
6 Compensation not included above to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	878,919	728,881	127,484	22,554
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	21,128	16,389	3,182	1,557
9 Other employee benefits	55,703	43,210	8,389	4,104
10 Payroll taxes	110,683	85,858	16,670	8,155
11 Fees for services (nonemployees):				
a Management				
b Legal				
c Accounting	12,500		12,500	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)	7,058		7,058	
12 Advertising and promotion	9,239			9,239
13 Office expenses	31,376	5,801	19,330	6,245
14 Information technology				
15 Royalties				
16 Occupancy	83,449	64,731	12,569	6,149
17 Travel	4,110	2,041	2,069	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	13,339	9,872	2,395	1,072
20 Interest	3,782	2,934	570	278
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	146,719	113,811	22,097	10,811
23 Insurance	35,964	27,897	5,417	2,650
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a <b>Program Expenses</b>	241,623	241,623		
b <b>Contract Labor</b>	17,786	17,786		
c <b>Other Expenses</b>	12,959	10,992	1,889	78
d <b>Telephone</b>	8,760	6,795	1,319	646
e All other expenses	9,241	7,688	1,351	202
25 <b>Total functional expenses.</b> Add lines 1 through 24e	1,803,338	1,416,009	264,089	123,240
26 <b>Joint costs.</b> Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)				

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X

		(A) Beginning of year		(B) End of year	
<b>Assets</b>	1	Cash—non-interest-bearing	60,247	1	309,760
	2	Savings and temporary cash investments		2	
	3	Pledges and grants receivable, net	183,034	3	501,334
	4	Accounts receivable, net	32,065	4	53,721
	5	Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use		8	
	9	Prepaid expenses and deferred charges	33,266	9	24,711
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	4,315,383		
	10b	Less: accumulated depreciation	2,615,714	10c	1,699,669
	11	Investments—publicly traded securities		11	
	12	Investments—other securities. See Part IV, line 11		12	
	13	Investments—program-related. See Part IV, line 11		13	
	14	Intangible assets		14	
	15	Other assets. See Part IV, line 11		15	
16	<b>Total assets.</b> Add lines 1 through 15 (must equal line 33)	2,045,035	16	2,589,195	
<b>Liabilities</b>	17	Accounts payable and accrued expenses	106,635	17	106,729
	18	Grants payable		18	
	19	Deferred revenue		19	
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		22	
	23	Secured mortgages and notes payable to unrelated third parties		23	
	24	Unsecured notes and loans payable to unrelated third parties		24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D		25	52,473
	26	<b>Total liabilities.</b> Add lines 17 through 25	106,635	26	159,202
<b>Net Assets or Fund Balances</b>	<b>Organizations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 27, 28, 32, and 33.</b>				
	27	Net assets without donor restrictions	1,659,830	27	1,741,088
	28	Net assets with donor restrictions	278,570	28	688,905
	<b>Organizations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 29 through 33.</b>				
	29	Capital stock or trust principal, or current funds		29	
	30	Paid-in or capital surplus, or land, building, or equipment fund		30	
	31	Retained earnings, endowment, accumulated income, or other funds		31	
	32	<b>Total net assets or fund balances</b>	1,938,400	32	2,429,993
33	<b>Total liabilities and net assets/fund balances</b>	2,045,035	33	2,589,195	

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI

<b>1</b>	Total revenue (must equal Part VIII, column (A), line 12)	<b>1</b>	<b>2,294,931</b>
<b>2</b>	Total expenses (must equal Part IX, column (A), line 25)	<b>2</b>	<b>1,803,338</b>
<b>3</b>	Revenue less expenses. Subtract line 2 from line 1	<b>3</b>	<b>491,593</b>
<b>4</b>	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	<b>4</b>	<b>1,938,400</b>
<b>5</b>	Net unrealized gains (losses) on investments	<b>5</b>	
<b>6</b>	Donated services and use of facilities	<b>6</b>	
<b>7</b>	Investment expenses	<b>7</b>	
<b>8</b>	Prior period adjustments	<b>8</b>	
<b>9</b>	Other changes in net assets or fund balances (explain on Schedule O)	<b>9</b>	
<b>10</b>	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (B))	<b>10</b>	<b>2,429,993</b>

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

	Yes	No
<b>1</b> Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
<b>2a</b> Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	<b>X</b>	
<b>b</b> Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	<b>X</b>	
<b>c</b> If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O.	<b>X</b>	
<b>3a</b> As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		<b>X</b>
<b>b</b> If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audits		

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ **Attach to Form 990 or Form 990-EZ.**

▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.**

OMB No. 1545-0047

**2019**

**Open to Public Inspection**

Name of the organization

**Neighborhood House, Inc.**

Employer identification number

**61-0445842**

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1  A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2  A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ).)
- 3  A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4  A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: .....
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8  A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9  An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: .....
- 10  An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 11  An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 12  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
  - a  **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
  - b  **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
  - c  **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
  - d  **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
  - e  Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
  - f Enter the number of supported organizations:
  - g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1–10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
(A)						
(B)						
(C)						
(D)						
(E)						
<b>Total</b>						

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2019

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in)	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	1,051,876	986,706	1,346,121	816,616	1,730,080	5,931,399
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 <b>Total.</b> Add lines 1 through 3	1,051,876	986,706	1,346,121	816,616	1,730,080	5,931,399
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 <b>Public support.</b> Subtract line 5 from line 4						5,931,399

**Section B. Total Support**

Calendar year (or fiscal year beginning in)	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
7 Amounts from line 4	1,051,876	986,706	1,346,121	816,616	1,730,080	5,931,399
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources	71,756	70,515	70,510	73,179	69,185	355,145
9 Net income from unrelated business activities, whether or not the business is regularly carried on	82,349	87,088	34,758	17,285	72,270	293,750
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
11 <b>Total support.</b> Add lines 7 through 10						6,580,294
12 Gross receipts from related activities, etc. (see instructions)					12	1,734,442

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2019 (line 6, column (f) divided by line 11, column (f))	14	90.14%
15 Public support percentage from 2018 Schedule A, Part II, line 14	15	81.49%

16a **33 1/3% support test—2019.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization

b **33 1/3% support test—2018.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization

17a **10%-facts-and-circumstances test—2019.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization

b **10%-facts-and-circumstances test—2018.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in)	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge						
<b>6 Total.</b> Add lines 1 through 5						
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
<b>c</b> Add lines 7a and 7b						
<b>8 Public support.</b> (Subtract line 7c from line 6.)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in)	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
<b>9</b> Amounts from line 6						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
<b>c</b> Add lines 10a and 10b						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.)						

**14 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2019 (line 8, column (f), divided by line 13, column (f))	<b>15</b>	%
<b>16</b> Public support percentage from 2018 Schedule A, Part III, line 15	<b>16</b>	%

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for 2019 (line 10c, column (f), divided by line 13, column (f))	<b>17</b>	%
<b>18</b> Investment income percentage from 2018 Schedule A, Part III, line 17	<b>18</b>	%

**19a 33 1/3% support tests—2019.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

**b 33 1/3% support tests—2018.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

**Part IV Supporting Organizations**

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

		Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in <b>Part VI</b> how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.		
3b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in <b>Part VI</b> when and how the organization made the determination.		
3c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in <b>Part VI</b> what controls the organization put in place to ensure such use.		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.		
4b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in <b>Part VI</b> how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
4c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in <b>Part VI</b> , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).		
5b	<b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
5c	<b>Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in <b>Part VI</b> .		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in <b>Part VI</b> .		
9b	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in <b>Part VI</b> .		
9c	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in <b>Part VI</b> .		
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer 10b below.		
10b	Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)		

**Part IV Supporting Organizations (continued)**

		Yes	No
<b>11</b>	Has the organization accepted a gift or contribution from any of the following persons?		
<b>a</b>	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
<b>b</b>	A family member of a person described in (a) above?		
<b>c</b>	A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in <b>Part VI</b> .		

**Section B. Type I Supporting Organizations**

		Yes	No
<b>1</b>	Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in <b>Part VI</b> how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
<b>2</b>	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in <b>Part VI</b> how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.		

**Section C. Type II Supporting Organizations**

		Yes	No
<b>1</b>	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in <b>Part VI</b> how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		

**Section D. All Type III Supporting Organizations**

		Yes	No
<b>1</b>	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
<b>2</b>	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in <b>Part VI</b> how the organization maintained a close and continuous working relationship with the supported organization(s).		
<b>3</b>	By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in <b>Part VI</b> the role the organization's supported organizations played in this regard.		

**Section E. Type III Functionally-Integrated Supporting Organizations**

<b>1</b>	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).		
<b>a</b>	<input type="checkbox"/> The organization satisfied the Activities Test. Complete <b>line 2</b> below.		
<b>b</b>	<input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete <b>line 3</b> below.		
<b>c</b>	<input type="checkbox"/> The organization supported a governmental entity. Describe in <b>Part VI</b> how you supported a government entity (see instructions).		
<b>2</b>	Activities Test. <b>Answer (a) and (b) below.</b>		
<b>a</b>	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in <b>Part VI</b> identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.		
<b>b</b>	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in <b>Part VI</b> the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.		
<b>3</b>	Parent of Supported Organizations. <b>Answer (a) and (b) below.</b>		
<b>a</b>	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in <b>Part VI</b> .		
<b>b</b>	Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in <b>Part VI</b> the role played by the organization in this regard.		

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

1  Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3.	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	<b>Adjusted Net Income</b> (subtract lines 5, 6, and 7 from line 4)	8	

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	<b>Total</b> (add lines 1a, 1b, and 1c)	1d	
e	<b>Discount</b> claimed for blockage or other factors (explain in detail in Part VI):		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d.	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035.	6	
7	Recoveries of prior-year distributions	7	
8	<b>Minimum Asset Amount</b> (add line 7 to line 6)	8	

Section C - Distributable Amount		(A) Prior Year	(B) Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1.	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3.	4	
5	Income tax imposed in prior year	5	
6	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).	6	

7  Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)**

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions.	
7 <b>Total annual distributions.</b> Add lines 1 through 6.	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.	
9 Distributable amount for 2019 from Section C, line 6	
10 Line 8 amount divided by line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2019	(iii) Distributable Amount for 2019
1 Distributable amount for 2019 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2019 (reasonable cause required-explain in Part VI). See instructions.			
3 Excess distributions carryover, if any, to 2019			
a From 2014			
b From 2015			
c From 2016			
d From 2017			
e From 2018			
f <b>Total</b> of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2019 distributable amount			
i Carryover from 2014 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2019 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
b Applied to 2019 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4.			
5 Remaining underdistributions for years prior to 2019, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions.			
6 Remaining underdistributions for 2019. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions.			
7 <b>Excess distributions carryover to 2020.</b> Add lines 3j and 4c.			
8 Breakdown of line 7:			
a Excess from 2015			
b Excess from 2016			
c Excess from 2017			
d Excess from 2018			
e Excess from 2019			



SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. Attach to Form 990.

Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Name of the organization

Neighborhood House, Inc.

Employer identification number

61-0445842

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

Table with 3 columns: Question, (a) Donor advised funds, (b) Funds and other accounts. Rows include total number at end of year, aggregate values, and yes/no questions about donor advisement.

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

Table with 3 columns: Question, (a) Donor advised funds, (b) Funds and other accounts. Rows include purpose of easements, total number, acrage, and monitoring details.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

Table with 3 columns: Question, (a) Donor advised funds, (b) Funds and other accounts. Rows include art collection reporting requirements and revenue/assets.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

- 3** Using the organization's acquisition, accession, and other records, check any of the following that make significant use of its collection items (check all that apply):
- a**  Public exhibition
  - b**  Scholarly research
  - c**  Preservation for future generations
  - d**  Loan or exchange program
  - e**  Other .....
- 4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5** During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No
- b** If "Yes," explain the arrangement in Part XIII and complete the following table:
- |  | Amount    |
|--|-----------|
| <b>c</b> Beginning balance .....             | <b>1c</b> |
| <b>d</b> Additions during the year .....     | <b>1d</b> |
| <b>e</b> Distributions during the year ..... | <b>1e</b> |
| <b>f</b> Ending balance .....                | <b>1f</b> |
- 2a** Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?  Yes  No
- b** If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII

**Part V Endowment Funds.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
<b>1a</b> Beginning of year balance .....					
<b>b</b> Contributions .....					
<b>c</b> Net investment earnings, gains, and losses .....					
<b>d</b> Grants or scholarships .....					
<b>e</b> Other expenditures for facilities and programs .....					
<b>f</b> Administrative expenses .....					
<b>g</b> End of year balance .....					

- 2** Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
- a** Board designated or quasi-endowment ▶ ..... %
  - b** Permanent endowment ▶ ..... %
  - c** Term endowment ▶ ..... %
- The percentages on lines 2a, 2b, and 2c should equal 100%.
- 3a** Are there endowment funds not in the possession of the organization that are held and administered for the organization by:
- |   | Yes           | No |
|---|---------------|----|
| (i) Unrelated organizations .....   | <b>3a(i)</b>  |    |
| (ii) Related organizations .....  | <b>3a(ii)</b> |    |
| <b>b</b> If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? ..... | <b>3b</b>     |    |
- 4** Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
<b>1a</b> Land .....		<b>525,193</b>		<b>525,193</b>
<b>b</b> Buildings .....				
<b>c</b> Leasehold improvements .....				
<b>d</b> Equipment .....				
<b>e</b> Other .....		<b>3,790,190</b>	<b>2,615,714</b>	<b>1,174,476</b>
<b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.) ▶				<b>1,699,669</b>

**Part VII Investments – Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 12.)		

**Part VIII Investments – Program Related.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 13.)		

**Part IX Other Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 15.)	

**Part X Other Liabilities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) <b>Refundable Advances</b>	<b>52,473</b>
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.)	<b>52,473</b>

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FASB ASC 740. Check here if the text of the footnote has been provided in Part XIII

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

<b>1</b>	Total revenue, gains, and other support per audited financial statements		<b>1</b>	<b>2,294,931</b>
<b>2</b>	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
	<b>a</b> Net unrealized gains (losses) on investments	<b>2a</b>		
	<b>b</b> Donated services and use of facilities	<b>2b</b>		
	<b>c</b> Recoveries of prior year grants	<b>2c</b>		
	<b>d</b> Other (Describe in Part XIII.)	<b>2d</b>		
	<b>e</b> Add lines <b>2a</b> through <b>2d</b>		<b>2e</b>	
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b>		<b>3</b>	<b>2,294,931</b>
<b>4</b>	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
	<b>a</b> Investment expenses not included on Form 990, Part VIII, line 7b	<b>4a</b>		
	<b>b</b> Other (Describe in Part XIII.)	<b>4b</b>		
	<b>c</b> Add lines <b>4a</b> and <b>4b</b>		<b>4c</b>	
<b>5</b>	Total revenue. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 12.)		<b>5</b>	<b>2,294,931</b>

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

<b>1</b>	Total expenses and losses per audited financial statements		<b>1</b>	<b>1,803,338</b>
<b>2</b>	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
	<b>a</b> Donated services and use of facilities	<b>2a</b>		
	<b>b</b> Prior year adjustments	<b>2b</b>		
	<b>c</b> Other losses	<b>2c</b>		
	<b>d</b> Other (Describe in Part XIII.)	<b>2d</b>		
	<b>e</b> Add lines <b>2a</b> through <b>2d</b>		<b>2e</b>	
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b>		<b>3</b>	<b>1,803,338</b>
<b>4</b>	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
	<b>a</b> Investment expenses not included on Form 990, Part VIII, line 7b	<b>4a</b>		
	<b>b</b> Other (Describe in Part XIII.)	<b>4b</b>		
	<b>c</b> Add lines <b>4a</b> and <b>4b</b>		<b>4c</b>	
<b>5</b>	Total expenses. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 18.)		<b>5</b>	<b>1,803,338</b>

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**Part X - FIN 48 Footnote**

Management has concluded that any tax positions that would not meet the more-likely-than-not criterion of FASB ASC 740-10 would be immaterial to the financial statements taken as a whole. Accordingly, the accompanying financial statements do not include any provision for uncertain tax positions, and no related interest or penalties have been recorded in the statement of activities or accrued in the statement of financial position.



**SCHEDULE G  
(Form 990 or 990-EZ)**

**Supplemental Information Regarding Fundraising or Gaming Activities**

OMB No. 1545-0047

**2019**

Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

▶ Attach to Form 990 or Form 990-EZ.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

Open to Public Inspection

Name of the organization

**Neighborhood House, Inc.**

Employer identification number

**61-0445842**

**Part I Fundraising Activities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

**1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a  Mail solicitations
- b  Internet and email solicitations
- c  Phone solicitations
- d  In-person solicitations
- e  Solicitation of non-government grants
- f  Solicitation of government grants
- g  Special fundraising events

**2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees, or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?  Yes  No

**b** If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

	(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
			Yes	No			
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
<b>Total</b> .....					▶		

**3** List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

**Part II Fundraising Events.** Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		<u>Night to Rise</u>	<u>Play to Learn</u>	<u>None</u>	(add col. (a) through col. (c))
		(event type)	(event type)	(total number)	(col. (c))
Revenue	1	Gross receipts	89,211	5,644	94,855
	2	Less: Contributions	4,720	375	5,095
	3	Gross income (line 1 minus line 2)	84,491	5,269	89,760
Direct Expenses	4	Cash prizes			
	5	Noncash prizes			
	6	Rent/facility costs			
	7	Food and beverages			
	8	Entertainment			
	9	Other direct expenses	15,194	2,296	17,490
	10	Direct expense summary. Add lines 4 through 9 in column (d)			
11	Net income summary. Subtract line 10 from line 3, column (d)				72,270

**Part III Gaming.** Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))	
Revenue	1	Gross revenue				
Direct Expenses	2	Cash prizes				
	3	Noncash prizes				
	4	Rent/facility costs				
	5	Other direct expenses				
	6	Volunteer labor	<input type="checkbox"/> Yes <input type="checkbox"/> No %	<input type="checkbox"/> Yes <input type="checkbox"/> No %	<input type="checkbox"/> Yes <input type="checkbox"/> No %	
	7	Direct expense summary. Add lines 2 through 5 in column (d)				
	8	Net gaming income summary. Subtract line 7 from line 1, column (d)				

9 Enter the state(s) in which the organization conducts gaming activities: \_\_\_\_\_  
 a Is the organization licensed to conduct gaming activities in each of these states?  Yes  No

b If "No," explain: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

10a Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year?  Yes  No

b If "Yes," explain: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_



**SCHEDULE M  
(Form 990)**

**Noncash Contributions**

OMB No. 1545-0047

**2019**

**Open To Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

- ▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
- ▶ Attach to Form 990.
- ▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

Name of the organization

**Neighborhood House, Inc.**

Employer identification number

**61-0445842**

**Part I Types of Property**

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art— Works of art				
2 Art— Historical treasures				
3 Art— Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities — Publicly traded				
10 Securities — Closely held stock				
11 Securities — Partnership, LLC, or trust interests				
12 Securities — Miscellaneous				
13 Qualified conservation contribution — Historic structures				
14 Qualified conservation contribution — Other				
15 Real estate — Residential				
16 Real estate — Commercial				
17 Real estate — Other				
18 Collectibles				
19 Food inventory	<b>X</b>	<b>1</b>	<b>160,284</b>	
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ ( <b>Property Improv</b> )	<b>X</b>	<b>1</b>	<b>85,817</b>	
26 Other ▶ ( )				
27 Other ▶ ( )				
28 Other ▶ ( )				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

**29**

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?

b If "Yes," describe the arrangement in Part II.

31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

b If "Yes," describe in Part II.

33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.

	Yes	No
30a		<b>X</b>
31		<b>X</b>
32a		<b>X</b>



**SCHEDULE O**  
(Form 990 or 990-EZ)Department of the Treasury  
Internal Revenue Service**Supplemental Information to Form 990 or 990-EZ**Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

**2019****Open to Public  
Inspection**

Name of the organization

Neighborhood House, Inc.

Employer identification number

61-0445842

**Form 990 - Organization's Mission**

The mission of Neighborhood House is to provide individuals with opportunities to enhance the quality of their lives. Our vision is to break the cycle of poverty for the children and families with whom we serve. We know the key is through education and that by strengthening the parents/ family leaders, we have a greater impact on the child. So our programs are educationally focused, which is broader than just the academic component. And our work is to engage the parents/ grandparents in order to strengthen the future for our children. We serve infants through senior adults, approximately 220 people/ day from Portland and surrounding areas of West Louisville. We work toward our mission and vision by addressing daily needs as well as making a lifelong impact in meeting Life Needs, teaching Life Skills and inspiring Life Dreams for our children and families.

**Form 990, Part III, Line 4c - Third Accomplishment**

Our Family Services Department engages with many other community partners to provide a variety of services to our community including young adults, college students, parents and senior citizens. We know that strengthening the entire family and community is key to having a great impact on the future of their children. Four Seasons provides senior adults with supports, workshops, physical and recreational activities, and meals to address their physical, social and emotional well-being and improve the quality of their lives. Our Emergency Food Bank provides for immediate, basic needs as well as providing referrals for other services. Partner

Name of the organization

Employer identification number

Neighborhood House, Inc.

61-0445842

agencies help provide health services, workshops and basic needs. Parents and young adults can participate in financial workshops, life coaching, parenting support and leadership training. We also provide family and intergenerational activities such as cultural events, cooking and exercise classes, and recreation and holiday activities.

Form 990, Part III, Line 4d - All Other Accomplishments

Our Four Seasons Senior Adult Program improves the quality of life for some of our city's most vulnerable citizens. Our seniors receive a nutritious lunch each day as well as recreation, socialization and opportunities for developing new skills and engaging in new activities they wouldn't have otherwise. Activities vary including exercise and cooking classes, field trips, holiday events, health screenings and intergenerational opportunities.

Form 990, Part VI, Line 11b - Organization's Process to Review Form 990

The 990 tax return is prepared by an independent CPA firm based on the information obtained from the audit and inquiries of management. Before the return is filed, a draft of the return is reviewed by the Finance Committee. The Finance Committee reports their approval to the Board of Directors. A copy is provided to all board members, then the tax return is filed with the IRS.

Form 990, Part VI, Line 12c - Enforcement of Conflicts Policy

On an annual basis each member of the board of directors are required to sign a conflict of interest form. If the form indicates a possible conflict of interest, the incident is thoroughly investigated. If there is

Name of the organization

Employer identification number

Neighborhood House, Inc.

61-0445842

a perceived conflict, the member will not be able to participate (including serving on a committee) on any decision relating to the conflict. If a major conflict is noted the Board Member will be asked to resign. Periodically throughout the year possible conflicts are investigated and resolved as necessary.

Form 990, Part VI, Line 15a - Compensation Process for Top Official

The Executive Director's performance and compensation are reviewed annually. The President of the Board names an ad hoc committee of at least three Board members to perform this review. The Committee meets with the Executive Director to review performance criteria and progress toward goals set the previous year. New performance goals are also set for the upcoming year. The Committee then discusses and determines compensation. The compensation decision is communicated to the Director of Human Resources (this position is included in the Deputy Director's duties.)

Form 990, Part VI, Line 19 - Governing Documents Disclosure Explanation

Governing documents are available upon request. The Form 990 is available via Guidestar's website or upon request.

## Federal Statements

**Form 990, Part IX, Line 11g - Other Fees for Service (Non-employee)**

Description	Total Expenses	Program Service	Management & General	Fund Raising
Total	\$ 7,058	\$ 0	\$ 7,058	\$ 0

**Form 990, Part IX, Line 24e - All Other Expenses**

Description	Total Expenses	Program Service	Management & General	Fund Raising
Client support	\$ 5,557	\$ 5,557	\$	\$
Taxes, Licenses, & Fees	3,684	2,131	1,351	202
Total	\$ 9,241	\$ 7,688	\$ 1,351	\$ 202

103004 Neighborhood House, Inc.

61-0445842

FYE: 6/30/2020

## Federal Statements

2/8/2021 3:17 PM

### Schedule A, Part II, Line 1(e)

Description	Amount
Metro United Way	\$ 240,841
Membership Dues and Assessments	2,597
Government Grants or Contributions	36,900
Other Contributions	1,358,830
Other Contributions	85,817
Play to Learn	
Cash Contribution	375
Night to Rise	
Cash Contribution	4,720
Total	<u>\$ 1,730,080</u>

103004 Neighborhood House, Inc.

61-0445842

FYE: 6/30/2020

### Federal Statements

2/8/2021 3:17 PM

#### Schedule A, Part II, Line 8(e)

Description	Amount
Investment Income	
Rent 1	\$ -3,431
	72,616
Total	\$ 69,185

#### Schedule A, Part II, Line 12 - Current year

Description	Amount
Day Care Client Fees	\$ 363,252
Food Program Fees	44,283
Other program fees	15,562
Other	607
Total	\$ 423,704

103004 Neighborhood House, Inc.  
61-0445842  
FYE: 6/30/2020

2/8/2021 3:17 PM

## Federal Statements

### Accounts payable - EOY

<u>Description</u>	<u>Amount</u>
Accrued Payroll & WH	\$ 97,579
Accounts payable & Accrued Exp	9,150
Total	<u>\$ 106,729</u>

AMENDED ARTICLES OF INCORPORATION.

The undersigned, Lucy Belknap, Melchen B. Barret, Bertha K. Sachs, Emily F. Minnigerode, Emil S. Tachau, Henry Klauber, Lafon Allen, Edward Sachs, Bernard Flexner, Leon P. Lewis, Bernard Selligman and Percy E. Booth, being all of the members of the Board of Trustees or Managers of "Neighborhood House," a corporation heretofore established in conformity to section 879 of the Kentucky Statutes, do hereby amend their articles of incorporation which have been filed in the office of the County Clerk of Jefferson County and in the office of the Secretary of State of Kentucky by adding thereto the following provisions and by giving said corporation the additional powers hereinafter enumerated.

Said corporate body shall have power to borrow money with which to pay for property obtained by purchase or with which to carry on its purposes generally and shall have power to pledge or mortgage its property, real or personal, to secure the payment of the money so borrowed or to secure the fulfillment of any of its contracts. No pledgee or mortgagee or other creditor of said corporation shall be bound to look to the application of the proceeds of any loan made to said corporation. The corporators above named and their associates and successors shall be and remain the Board of Trustees of Neighborhood House.

In witness of these purposes we have affixed our signatures to these articles of incorporation in duplicate on this 19th day of January, 1912, that they may be filed in the office

of the Secretary of State of Kentucky and recorded in the office of the County Clerk of Jefferson County.

Lucy Bell Knapp  
Melchen B. Barret  
Bertha E. Sachs  
Emily R. Minnigerode  
Emil S. Edchan  
Henry Kleuber  
Lafon Allen  
Edward Sachs  
Bernard Seligman  
Leon P. Lewis  
Bernard Seligman  
Percy N. Booth

STATE OF KENTUCKY : SCT.  
COUNTY OF JEFFERSON :

I, Tatherine M. Neale, a notary public in and for the county and state aforesaid, do certify that the foregoing instrument of writing was on the 18th day of January, 1912, produced to me in my office and acknowledged and delivered by Lucy Bellknapp, Melchen B. Barret, Bertha E. Sachs, Emily R. Minnigerode, Emil S. Edchan, Henry Kleuber, Lafon Allen, Bernard Seligman, Leon P. Lewis, Bernard Seligman and Percy N. Booth, parties thereto, to be their act and deed and the act and deed of each of them, and that said instrument of writing was also produced to me in my office on the 20<sup>th</sup> day of January, 1912, and acknowledged and delivered by Edward Sachs, party thereto, to be his act and deed.

My commission expires on the 18th day of March 1912,  
Witness my hand and notarial seal this 20<sup>th</sup> day of  
January, 1912.

Tatherine M. Neale  
N. P. J. C., Ky.



I, P. S. Ray, Clerk of the County Court of Jefferson County in the State of Kentucky, do certify that on this day at 10<sup>55</sup> o'clock AM the foregoing amended minutes of incorporation were produced to me in my Office and that I have recorded them, this 20<sup>th</sup> day of January 1912.

Witness my hand this 20<sup>th</sup> day of January 1912  
P. S. Ray Clerk

A Copy Attest  
P. S. Ray Clerk  
By W. H. R. Simon A.D.

A M E N D M E N T  
TO  
ARTICLES OF INCORPORATION  
OF  
NEIGHBORHOOD HOUSE

KNOW ALL MEN BY THESE PRESENTS:

That the undersigned, MARSHALL P. ELDRED, MRS. THOMAS A. BALLANTINE, WILLIAM M. COTTON, MRS. CARL BERG, MRS. KERVIN BULLITT, MRS. GEORGE COHN, MRS. J. DONALD DINNING, ASA W. FULLER, A. READ HENRY, RUTH HIGGINS, WILLIAM H. LANGLEY, FRANCIS J. PEAK, P. EUGENE SANFORD and MRS. HUGH SHWAB, JR., being members of the Board of Trustees of NEIGHBORHOOD HOUSE, 428 South First Street, Louisville, Kentucky, a charitable corporation heretofore organized under the laws of the Commonwealth of Kentucky, do hereby amend the Articles of Incorporation of said NEIGHBORHOOD HOUSE in the following particular, to-wit:

The affairs of this Corporation shall be conducted by a Board of Directors which shall consist of not less than seven (7) nor more than twenty-five (25), to be determined in the discretion of said Board. Said Board of Directors shall have the power and authority heretofore vested in the Board of Trustees or Board of Managers of the said NEIGHBORHOOD HOUSE, together with such other power and authority as may be given by law.

IN WITNESS WHEREOF, the undersigned have set their hands this 21 day of March, 1955

Marshall P. Eldred  
Marshall P. Eldred

Mrs. Thomas A. Ballantine  
Mrs. Thomas A. Ballantine

William M. Cotton  
William M. Cotton

Mrs. Carl Berg  
Mrs. Carl Berg

Mrs. Kervin Bullitt  
 Mrs. Kervin Bullitt  
Mrs. George Cohn  
 Mrs. George Cohn  
Mrs. J. Donald Dinning  
 Mrs. J. Donald Dinning  
Asa W. Fuller  
 Asa W. Fuller  
A. Read Henry  
 A. Read Henry  
Ruth Higgins  
 Ruth Higgins  
(R.H.) William H. Langley  
 William H. Langley  
Francis J. Peak  
 Francis J. Peak  
F. Eugene Sanford  
 F. Eugene Sanford  
Mrs. Hugh Schwab, Jr.  
 Mrs. Hugh Schwab, Jr.

COMMONWEALTH OF KENTUCKY : SS  
 COUNTY OF JEFFERSON

I, the undersigned Notary Public in and for the State and County aforesaid, do hereby certify that the foregoing AMENDMENT TO ARTICLES OF INCORPORATION of NEIGHBORHOOD HOUSE was this day produced before me in my county by MARSHALL P. ELDRED, MRS. THOMAS A. BALLANTINE, WILLIAM M. COTTON, MRS. CARL BERG, MRS. KERVIN BULLITT, MRS. GEORGE COHN, MRS. J. DONALD DINNING, ASA W. FULLER, A. READ HENRY, RUTH HIGGINS, WILLIAM H. LANGLEY, FRANCIS J. PEAK, F. EUGENE SANFORD and MRS. HUGH SHWAB, JR., who acknowledged same to be their true act and deed for the uses and purposes therein set out.

WITNESS my hand and notarial seal, this 21 day of March, 1955.

My commission expires Feb 4 - 1958

ORIGINAL COPY  
 FILED AND RECORDED

OCT 3 - 1955

Elizabeth M. Riser  
 Notary Public  
 Jefferson County  
 Kentucky

*Charles F. ...*  
*Raymond ...*

ARTICLES OF AMENDMENT  
TO  
THE ARTICLES OF INCORPORATION  
OF  
NEIGHBORHOOD HOUSE

SECRETARY OF STATE

RECORDED  
181973

OK 4.00

Commonwealth of Kentucky

THIS AMENDMENT, dated 26 September 1972, to the Articles of  
Incorporation of Neighborhood House,

WITNESSETH:

WHEREAS, it has become necessary and proper to amend the  
Articles of Incorporation of Neighborhood House, a charitable and educational  
corporation of Louisville, Kentucky, in the manner hereinafter set forth, and

WHEREAS, there are no shareholders in the corporation and no members  
entitled to vote upon such amendments;

NOW, THEREFORE, we, the President and Secretary, respectively,  
of Neighborhood House, do hereby certify that by a vote of and by resolution of  
the majority of the directors in office at their regular meeting held on 19 September  
1972, Neighborhood House duly amended its Articles of Incorporation in the follow-  
ing respects:

1. The word, "exclusively," is added to the description of the purposes  
of the corporation, so that they now read "... form a corporation for charitable  
and educational purposes exclusively...";

2. A new paragraph is added to the said Articles, which provides:  
Upon dissolution or liquidation of the corporation, the directors  
shall, after paying or making provision for the payment of all the liabilities of  
the corporation, dispose of all of the assets of the corporation exclusively for  
the purposes of the corporation and in such a manner or to such organization(s)  
as shall at the time qualify as exempt under section 501(c)(3) of the Internal  
Revenue Code of 1954 (or the corresponding provision of any future United States'  
Internal Revenue Law). Any assets not so disposed of shall be disposed of by  
any court having proper jurisdiction in accordance with the purposes of the  
corporation and exclusively to such organizations as are described in the pre-  
ceding sentence.

IN TESTIMONY WHEREOF, the President and Secretary of Neighbor-  
hood House have hereunto signed their names; and Neighborhood House has caused  
these Articles of Amendment to be signed by its President and Secretary, all this  
28<sup>th</sup> day of September 1972.

*John E. Sturges*  
President

*Ruth C. Higgins*  
Secretary

NEIGHBORHOOD HOUSE  
By *John E. Sturges*  
President

Attest: *Ruth C. Higgins*  
Secretary

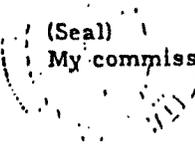
COMMONWEALTH OF KENTUCKY )

COUNTY OF Jefferson ) ss

I, Mary D. Keith, a Notary Public in and for the County and Commonwealth aforesaid, do hereby certify that the foregoing Articles of Amendment to the Articles of Incorporation of Neighborhood House were produced before me in the County and Commonwealth aforesaid by John St. Lawrence and Ruth Higgins, President and Secretary, respectively, of Neighborhood House, and were acknowledged by them to be their act and deed; and to be the act and deed of Neighborhood House.

Witness, my hand and seal, this 21 day of September, 1972.

Mary D. Keith  
Notary Public  
Jefferson County, Kentucky



(Seal)  
My commission expires: August 12, 1974

ORIGINAL COPY  
FILED  
SECRETARY OF STATE OF KENTUCKY

MAY 18 1973

Thomas R. Howell  
Secretary of State  
LH

This document was prepared by  
Charles M. Hassett, Attorney at  
Law, whose address is 2114  
Edgehill Road, Louisville,  
Kentucky 40205.

Charles M. Hassett  
Charles M. Hassett

BUNDLE

BOX

County

Amended Articles of Incorporation

OF

Increasing its Capital Stock from

Organization Tax on increase

issued and Certificate issued

Recording Fee

C. BECKELIUS

Secretary of State

Recorded in Corporation

Book No

Page

Recorded



1810 Outer Loop  
Louisville, Kentucky 40219  
(502) 368-7678 • Fax (502) 814-5270

# INVOICE

Invoice Number : 186608  
Invoice Date : 08/13/2021  
Customer Number : NEIGHB  
Job Number : 21SG4452  
Due Date : 08/13/2021

NEIGHBORHOOD HOUSE  
ACCOUNTS PAYABLE  
201 N. 25TH ST  
LOUISVILLE, KY 4021  
Attn: MARTHA FUSON

NEIGHBORHOOD HOUSE  
201 N. 25TH ST  
LOUISVILLE, KY

08/13/2021

42,399.00

LABOR, MATERIAL, EQUIPMENT AND SUPERVISION FOR THE FOLLOWING:

REPLACED (2) HVAC SYSTEMS PER QUOTE

GROSS BILLINGS :

-----  
42,399.00

NET BILLINGS :

-----  
42,399.00

---

**TERMS: NET 30 DAYS**

**INVOICES PAID BY CREDIT CARD ARE SUBJECT TO A 3% PROCESSING FEE ON THE AMOUNT PAID.**

**A FINANCE CHARGE OF 1½% PER MONTH (ANNUAL RATE OF 18%) WILL BE CHARGED ON BALANCE OVER 30 DAYS.**

4/18/22, 2:36 PM

Stock Yards Bank

Statement Description:

Check

Date:

8/31/2021

Type:

Debit - Check 8556

NEIGHBORHOOD HOUSE INC. 201 N 20th St Louisville, KY 40212-1401		STOCK YARDS BANK & TRUST COMPANY 1000 East Main Street Louisville, KY 40202 215-565-5500	008556
PAY TO THE ORDER OF Schardein Mechanical		\$ **42,399.00	08/27/2021
Forty-two thousand three hundred ninety-nine and 00/100***** DOLLARS			
MEMO		Schardein Mechanical 1810 Outer Loop Louisville, KY 40219	
<i>[Signature]</i>			
*008556* *083000564* *1699458*			

<

1 of 2

>

Page totals: Credits: [0] \$0.00 | Debits: [1] - \$42,399.00

Statement Description:

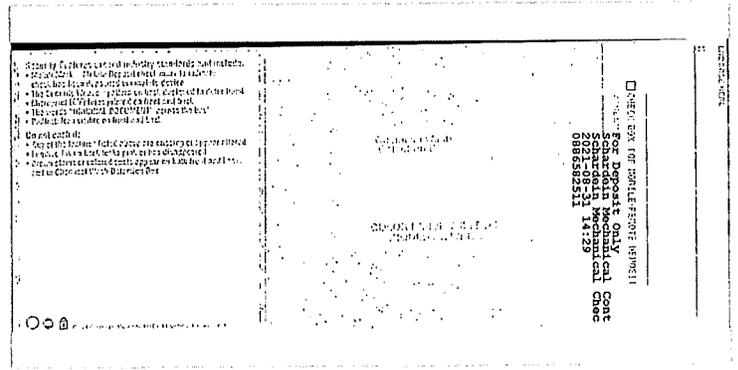
Check

Date:

8/31/2021

Type:

Debit - Check 8556



Page totals: Credits: [0] \$0.00 | Debits: [1] - \$42,399.00

# Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9) for instructions and the latest information.

Print or type. See specific instructions on page 3.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.  
**Neighborhood House, Inc.**

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.

Individual/sole proprietor or single-member LLC     C Corporation     S Corporation     Partnership     Trust/estate

Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ \_\_\_\_\_

**Note:** Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.

Other (see instructions) ▶ **501c3**

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):  
Exempt payee code (if any) \_\_\_\_\_  
Exemption from FATCA reporting code (if any) \_\_\_\_\_  
(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.) See instructions.  
**201 N 25th Street**

6 City, state, and ZIP code  
**Louisville, KY 40212**

7 List account number(s) here (optional)

Requester's name and address (optional)

## Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

**Note:** If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

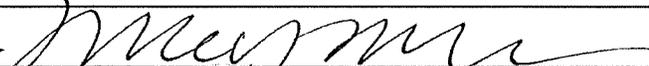
Social security number										
				-						
or										
Employer identification number										
6	1		-	0	4	4	5	8	4	2

## Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

**Sign Here**    Signature of U.S. person ▶     Date ▶ **Aug 24, 2021**

## General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments.** For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9).

## Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.



**Neighborhood House, Inc.**

**Financial Statements and  
Independent Auditors' Report**

**For the Years Ended**

**June 30, 2021 and 2020**

## Contents

	Page
Independent Auditors' Report	1
Financial Statements:	
Statements of Financial Position	3
Statements of Activities	4
Statements of Functional Expenses	5
Statements of Cash Flows	7
Notes to Financial Statements	8

## **Independent Auditors' Report**

Board of Directors  
Neighborhood House, Inc.  
Louisville, Kentucky

### **Opinion**

We have audited the accompanying financial statements of Neighborhood House, Inc. (a nonprofit organization), which comprise the statements of financial position as of June 30, 2021 and 2020, and the related statements of activities, functional expenses, and cash flows for the years then ended, and the related notes to the financial statements.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Neighborhood House, Inc. as of June 30, 2021 and 2020, and the changes in its net assets and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

### **Basis for Opinion**

We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Our responsibilities under those standards are further described in the Auditors' Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of Neighborhood House, Inc. and to meet our other ethical responsibilities in accordance with the relevant ethical requirements relating to our audits. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

### **Correction of an Error**

As discussed in Note 12 to the financial statements, an error resulting in the understatement of the amounts previously reported for prepaid expenses and contribution and grant revenue and the overstatement of amount previously reported as payroll tax expense as of June 30, 2020, was discovered during the current year. Accordingly, amounts reported for prepaid expenses, contribution and grant revenue and payroll tax expense have been restated in the 2020 statements now presented, and an adjustment has been made to net assets as of June 30, 2020, to correct the error. Our opinion is not modified with respect to this matter.

### **Responsibilities of Management for the Financial Statements**

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about Neighborhood House, Inc.'s ability to continue as a going concern within one year after the date that the financial statements are available to be issued.

### **Auditors' Responsibilities for the Audit of the Financial Statements**

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditors' report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with generally accepted auditing standards will always detect a material misstatement when it exists.

The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with generally accepted auditing standards, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of Neighborhood House, Inc.'s internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about Neighborhood House, Inc.'s ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control related matters that we identified during the audit.

*Baldwin CPAs, PLLC*

Louisville, Kentucky  
February 7, 2022

Neighborhood House, Inc.  
Statements of Financial Position  
June 30, 2021 and 2020

	2021	2020
<b>Assets</b>		
Cash	\$ 705,750	\$ 309,760
Accounts receivable, net	37,743	53,721
Grants receivable	19,366	-
Promises to give, net	520,701	501,334
Prepaid expenses	75,850	93,111
Land, building and equipment, net	1,680,158	1,699,669
<b>Total Assets</b>	<b>\$ 3,039,568</b>	<b>\$ 2,657,595</b>
<b>Liabilities and Net Assets</b>		
<b>Liabilities</b>		
Accounts payable	\$ 11,711	\$ 9,150
Payroll accruals and withholding	161,481	97,579
Refundable advances	-	52,473
<b>Total Liabilities</b>	173,192	159,202
<b>Net Assets</b>		
Without donor restrictions	2,242,622	1,809,488
With donor restrictions	623,754	688,905
<b>Total Net Assets</b>	2,866,376	2,498,393
<b>Total Liabilities and Net assets</b>	<b>\$ 3,039,568</b>	<b>\$ 2,657,595</b>

Neighborhood House, Inc.  
Statements of Activities  
For the Years Ended June 30, 2021 and 2020

	2021		2020	
	Without Donor Restrictions	With Donor Restrictions	Without Donor Restrictions	With Donor Restrictions
<b>Revenue and Support</b>				
Contributions and grants	\$ 1,240,355	\$ 484,149	\$ 912,418	\$ 844,170
Program fees	535,260	-	425,694	-
Rental income	78,120	-	72,616	-
Investment and miscellaneous income	633	-	(3,132)	-
Special event income	50,500	-	94,855	-
Special event expense	(5,000)	-	(17,490)	-
	1,899,868	484,149	1,484,961	844,170
	549,300	(549,300)	433,835	(433,835)
<b>Net Assets Released from Restrictions</b>				
	2,449,168	(65,151)	1,918,796	410,335
<b>Total Revenue, Support and Releases</b>				
	1,606,568	-	1,389,480	-
Program services	305,681	-	258,938	-
Management and general	103,785	-	120,720	-
Fund raising	2,016,034	-	1,769,138	-
<b>Total Expenses</b>				
	433,134	(65,151)	149,658	410,335
<b>Change in Net Assets</b>				
Net Assets at Beginning of Year	1,809,488	688,905	1,659,830	278,570
<b>Net Assets at End of Year</b>				
	\$ 2,242,622	\$ 623,754	\$ 1,809,488	\$ 688,905
				\$ 2,498,393

The accompanying notes are an integral part of these financial statements.

Neighborhood House, Inc.  
Statements of Functional Expenses  
For the Year Ended June 30, 2021

	Program Services				Support Services			Total	
	Child Development Center	Youth Development Program	Four Seasons Program		Family Services	Total Program Services	Management and General		Fund Raising
			Seasons Program	Program					
Salaries	\$ 520,215	\$ 173,337	\$ 15,925	\$ 209,641	\$ 919,118	\$ 169,831	\$ 54,836	\$ 1,143,785	
Payroll taxes	49,037	16,339	1,501	19,761	86,638	15,826	5,110	107,574	
Employee benefits	36,305	12,097	1,111	14,631	64,144	11,852	3,827	79,823	
<b>Total personnel expenses</b>	<b>605,557</b>	<b>201,773</b>	<b>18,537</b>	<b>244,033</b>	<b>1,069,900</b>	<b>197,509</b>	<b>63,773</b>	<b>1,331,182</b>	
Professional fees	-	-	-	-	-	38,913	-	38,913	
Office supplies	2,072	1,490	126	6,194	9,882	21,138	16,796	47,816	
Program events and supplies	122,446	90,907	1,605	25,976	240,934	-	-	240,934	
Contract services	-	39,058	-	1,410	40,468	250	5,000	45,718	
Marketing	-	-	-	-	-	-	3,716	3,716	
Insurance	16,607	5,534	508	6,693	29,342	5,422	1,750	36,514	
Staff development	1,130	1,964	-	93	3,187	3,651	1,082	7,920	
Utilities	17,144	5,712	525	6,909	30,290	5,597	1,807	37,694	
Transportation	-	74	342	-	416	1,015	-	1,431	
Telephone	3,729	1,243	114	1,503	6,589	1,217	393	8,199	
Property maintenance	20,432	6,808	625	8,234	36,099	6,670	2,155	44,924	
Licenses and fees	1,516	40	8	-	1,564	949	40	2,553	
Other expenses	13,676	222	-	7	13,905	965	46	14,916	
Client support	-	643	417	1,786	2,846	-	-	2,846	
Depreciation	68,568	22,847	2,099	27,632	121,146	22,385	7,227	150,758	
Special events direct costs	-	-	-	-	-	-	5,000	5,000	
<b>Total expenses</b>	<b>872,877</b>	<b>378,315</b>	<b>24,906</b>	<b>330,470</b>	<b>1,606,568</b>	<b>305,681</b>	<b>108,785</b>	<b>2,021,034</b>	
Less: direct cost of special events	-	-	-	-	-	-	(5,000)	(5,000)	
<b>Total Expenses</b>	<b>\$ 872,877</b>	<b>\$ 378,315</b>	<b>\$ 24,906</b>	<b>\$ 330,470</b>	<b>\$ 1,606,568</b>	<b>\$ 305,681</b>	<b>\$ 103,785</b>	<b>\$ 2,016,034</b>	

The accompanying notes are an integral part of these financial statements.

Neighborhood House, Inc.  
Statements of Functional Expenses  
For the Year Ended June 30, 2020

	Program Services						Support Services		
	Child Development Center	Youth Development Program	Four Seasons Program		Family Services	Total Program Services	Management and General	Fund Raising	Total
			Program	Seasons					
Salaries	\$ 402,606	\$ 166,088	\$ 14,613	\$ 175,274	\$ 758,581	\$ 147,284	\$ 72,054	\$ 977,919	
Payroll taxes	31,488	12,990	1,143	13,708	59,329	11,519	5,635	76,483	
Employee benefits	31,631	13,049	1,148	13,771	59,599	11,571	5,661	76,831	
Total personnel expenses	465,725	192,127	16,904	202,753	877,509	170,374	83,350	1,131,233	
Professional fees	-	-	-	-	-	19,558	-	19,558	
Office supplies	3,121	519	310	1,851	5,801	19,330	6,245	31,376	
Program events and supplies	157,815	56,394	7,820	19,594	241,623	-	-	241,623	
Contract services	-	14,918	-	2,868	17,786	-	-	17,786	
Marketing	-	-	-	-	-	-	9,239	9,239	
Insurance	14,806	6,108	537	6,446	27,897	5,417	2,650	35,964	
Staff development	1,598	1,572	-	6,702	9,872	2,395	1,072	13,339	
Utilities	17,269	7,124	627	7,518	32,538	6,318	3,091	41,947	
Interest	1,557	642	57	678	2,934	570	278	3,782	
Transportation	667	715	659	-	2,041	2,069	-	4,110	
Telephone	3,606	1,488	131	1,570	6,795	1,319	646	8,760	
Property maintenance	17,086	7,049	620	7,438	32,193	6,251	3,058	41,502	
Licenses and fees	2,109	14	8	-	2,131	1,351	202	3,684	
Other expenses	10,820	143	-	29	10,992	1,889	78	12,959	
Client support	-	1,321	72	4,164	5,557	-	-	5,557	
Depreciation	60,404	24,918	2,192	26,297	113,811	22,097	10,811	146,719	
Special events direct costs	-	-	-	-	-	-	17,490	17,490	
Total expenses	756,583	315,052	29,937	287,908	1,389,480	258,938	138,210	1,786,628	
Less: direct cost of special events	-	-	-	-	-	-	(17,490)	(17,490)	
Total Expenses	\$ 756,583	\$ 315,052	\$ 29,937	\$ 287,908	\$ 1,389,480	\$ 258,938	\$ 120,720	\$ 1,769,138	

The accompanying notes are an integral part of these financial statements.

Neighborhood House, Inc.  
 Statements of Cash Flows  
 For the Years Ended June 30, 2021 and 2020

	2021	2020
<b>Cash Flows from Operating Activities</b>		
Change in net assets	\$ 367,983	\$ 559,993
Adjustments to reconcile change in net assets to net cash provided by operating activities		
Depreciation	150,758	146,719
In-kind donations of building and equipment	-	(85,817)
Loss on disposal of equipment	-	308
(Increase) decrease in operating assets		
Accounts receivable, net	15,978	(21,656)
Grants receivable	(19,366)	-
Promises to give, net	(19,367)	(318,300)
Prepaid expenses	17,261	(59,845)
Increase (decrease) in operating liabilities		
Accounts payable	2,561	(4,396)
Payroll accruals and withholding	63,902	4,490
Refundable advances	(52,473)	52,473
	<u>527,237</u>	<u>273,969</u>
<b>Net Cash Provided by Operating Activities</b>		
<b>Cash Flows from Investing Activities</b>		
Purchase of land, building and equipment	(131,247)	(24,456)
	<u>(131,247)</u>	<u>(24,456)</u>
<b>Net Cash Used by Investing Activities</b>		
<b>Net Increase in Cash</b>	395,990	249,513
Cash at Beginning of Year	<u>309,760</u>	<u>60,247</u>
<b>Cash at End of Year</b>	<u>\$ 705,750</u>	<u>\$ 309,760</u>
<b>Supplemental Disclosures</b>		
Cash paid for interest	<u>\$ -</u>	<u>\$ 3,782</u>

Neighborhood House, Inc.  
Notes to Financial Statements  
June 30, 2021 and 2020

**Note 1 – Summary of Significant Accounting Policies**

Neighborhood House, Inc. (the Organization) is a not-for-profit community center serving the Portland neighborhood of Louisville, Kentucky. The Organization's mission is to provide individuals with opportunities to enhance their lives. The Organization offers four core program areas:

Child Development Center – provides children ages six weeks through twelve years with a safe, nurturing learning environment.

Youth Development Program – provides a safe place for children and teens to develop positive and meaningful relationships.

Four Seasons Program – provides services to improve the quality of life for senior adults.

Family Services - provides community activities and an Emergency Food Bank.

Funds to provide these services are provided by individuals, corporations, foundations, private and government grants and earned income.

Basis of Accounting

The Organization prepares its financial statements on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America.

Basis of Presentation

Financial statement presentation follows the recommendations of the Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) with regards to financial statements of Not-for-Profit Organizations. Under this guidance, the Organization is required to report information regarding its financial position and activities according to two classes of net assets. A description of the net assets categories follows:

Net assets without donor restrictions: expendable funds that are not subject to donor-imposed stipulations or invested in land, building and equipment.

Net assets with donor restrictions: stipulated by donors for specific operating purposes or are restricted by time. These include donor restrictions requiring that the corpus to be invested in perpetuity and only the income be made available for operations in accordance with donor restrictions.

Estimates

Management uses estimates and assumptions in preparing financial statements. Those estimates and assumptions affect the reported amounts of assets and liabilities, the disclosure of contingent assets and liabilities, and the reported revenues and expenses. Actual results could differ from those estimates.

Cash

The Organization considers all checking accounts and money market accounts to be cash equivalents.

Neighborhood House, Inc.  
Notes to Financial Statements - Continued  
June 30, 2021 and 2020

**Note 1 – Summary of Significant Accounting Policies - Continued**

Accounts Receivable

Accounts receivable consist primarily of amounts due from US government for unemployment claims, the Kentucky Child Care Assistance Program, a food reimbursement program, private pay childcare clients and grants where the service has already been performed and reimbursement has been requested or billed. Management records an allowance for doubtful accounts when receivables are over 90 days past due or receipt of payment is unlikely. Bad debt expense was \$6,000 and \$0 for the years ended June 30, 2021 and 2020, respectively. The Organization writes off receivables after exhaustion of all collection efforts.

Grants Receivable

Grants receivable are stated at unpaid balances, less an allowance for doubtful accounts. No accounts are considered by management to be uncollectable at June 30, 2021 and 2020, therefore, no allowance is considered necessary.

Promises to Give

Promises to give are recognized when the donor makes a promise to give to the Organization that is, in substance, unconditional. Promises to give becoming due in the next year are recorded at net realizable value. Conditional promises to give are recognized when the conditions on which they depend are substantially met. Promises to give due in more than one year are recognized at fair value, using present value techniques and a discount rate of the Treasury Bill rate (0.05% as of June 30, 2021). No allowance for doubtful accounts is necessary, as management believes that all amounts are collectible.

Prepaid Expenses

Prepaid expenses represent expenditures that have not been recorded as an expense but paid in advance of their due date. Prepaid expenses consist of unemployment and errors and omissions insurance. The prepaid expense was \$75,850 and \$93,111 for the years ended June 30, 2021 and 2020, respectively.

Land, Building and Equipment

Land, building, and equipment is recorded at cost, or if donated, at the approximate fair value at the date of donation. The cost of property and equipment purchased in excess of \$1,000 is capitalized. Depreciation is computed using primarily the straight-line method over the estimated lives of the assets from 3 to 40 years.

Payroll Accruals and Withholding

During the year ended June 30, 2021, the Organization deferred payment of \$38,612 of the employer's share of social security taxes in accordance with the Coronavirus Aid, Relief, and Economic Security Act (CARES Act) stimulus bill passed. The legislation allows all entities to defer the employer's share of social security payments between March 27, 2020 and December 31, 2021; 50% of the deferred payments must be paid by December 31, 2021 and the remaining 50% must be paid by December 31, 2022.

Refundable Advances

Refundable advances are funds received from a third party for which services have yet to be provided, or for which donor conditions have yet to be met, and the grantor has a right of return.

Neighborhood House, Inc.  
Notes to Financial Statements - Continued  
June 30, 2021 and 2020

**Note 1 – Summary of Significant Accounting Policies - Continued**

In-Kind Donations

The Organization receives various types of in-kind donations including services and food. FASB ASC 958-605-25 requires recognition of professional services received if those services (a) create or enhance long-lived assets or (b) require specialized skills, are provided by individuals possessing those skills, and would typically need to be purchased if not provided by donation. Most of the services received by the Organization do not meet these criteria. Contributions of tangible assets are recognized at fair value when received and are recorded as part of contributions on the statements of activities. The amounts reflected as in-kind support are offset by like amounts included in expenses or assets.

Revenue Recognition

Contributions and grants are recognized when cash, securities or other assets, an unconditional promise to give, or notification of a beneficial interest, is received and are recorded as net assets without donor restrictions or net assets with donor restrictions, depending on the existence and/or nature of any donor-imposed restrictions. Contributions and grants that are restricted by the donor are reported as an increase in net assets without donor restrictions if the restriction expires in the reporting period in which the contribution or grant is recognized. All other donor restricted contributions and grants are reported as an increase in net assets with donor restrictions, depending on the nature of restriction. When a restriction expires (that is, when a stipulated time restriction ends or purpose restriction is accomplished), net assets with donor restrictions are reclassified to net assets without donor restrictions and reported in the statements of activities as net assets released from restrictions. Conditional promises to give, that is, those with a measurable performance or other barriers, and a right of return, are not recognized until the conditions on which they depend have been substantially met.

Program revenue and special event revenue are recognized when the program or event takes place.

Rental revenue is recognized over the term of the rental agreement.

Expense Allocation

The costs of providing the various programs and supporting activities have been summarized on a functional basis in the statements of functional expenses. Accordingly, costs have been allocated among the program and supporting services benefited. Directly identifiable expenses are charged to program and supporting services. All other expenses are allocated on the basis of estimates of time and effort.

Income Tax Status

The Organization is exempt from federal income tax under Section 501(c)(3) of the Internal Revenue Code. In addition, the Organization qualifies for the charitable contribution deduction under Section 170(b)(1)(A) and has been classified as an organization other than a private foundation under Section 509(a)(2).

Management has concluded that any tax positions that would not meet the more-likely-than-not criterion of FASB ASC 740-10 would be immaterial to the financial statements taken as a whole. Accordingly, the accompanying financial statements do not include any provision for uncertain tax positions, and no related interest or penalties have been recorded in the statement of activities or accrued in the statement of financial position.

Neighborhood House, Inc.  
Notes to Financial Statements - Continued  
June 30, 2021 and 2020

**Note 1 – Summary of Significant Accounting Policies - Continued**

Reclassifications

Certain reclassifications have been made in the prior year's amounts to conform with current year statement presentation.

**Note 2 - Concentrations of Credit Risk**

Cash - The Organization maintains its cash balance in several financial institutions in Louisville, KY. The cash balances are insured by the Federal Deposit Insurance Corporation. At various times during the year, the cash balance may exceed amounts federally insured. Risk is mitigated by the high quality of the financial institution. As of June 30, 2021 and 2020, cash balances exceeded the federally insured amount by \$472,092 and \$59,296, respectively.

Accounts receivable and promises to give - Financial instruments that are exposed to credit risk consist of accounts receivable and promises to give. Accounts receivable and promises to give are principally with the State of Kentucky, and foundations and corporations based in the Louisville area. Realization of these accounts is dependent on various economic conditions.

**Note 3 - Promises to Give**

Unconditional promises to give will all be collected in one to five years and consist of the following as of June 30:

	2021	2020
Metro United Way	\$ 59,149	\$ 110,629
Capacity building	250,000	375,000
General operations	160,000	-
Other	51,552	16,105
	\$ 520,701	\$ 501,734
Receivable in less than one year	\$ 425,701	\$ 376,734
Receivable in one to five years	95,000	125,000
	520,701	501,734
Total promises to give	520,701	501,734
Less discounts to net present value	-	(400)
	\$ 520,701	\$ 501,334
Promises to give, net		

During the year ended June 30, 2021, the Organization also received a conditional promise of \$100,000 from C.E. and S. Foundation contingent upon sufficient completion of year one (of two) objectives and the development of a detailed plan for integrating the cost of the development function into their operating budget. Additionally, the Organization received a conditional promise of \$11,499 from Metro United Way contingent upon amounts received from a third party payor.

Neighborhood House, Inc.  
Notes to Financial Statements - Continued  
June 30, 2021 and 2020

**Note 4 - Land, Building, and Equipment**

Land, building, and equipment consist of the following at June 30:

	2021	2020
Land	\$ 525,193	\$ 525,193
Building and improvements	3,268,852	3,178,147
Furniture and equipment	435,091	394,549
Vehicles	60,358	60,358
 Total costs	 4,289,494	 4,158,247
Less accumulated depreciation	(2,609,336)	(2,458,578)
	1,680,158	1,699,669
 Building under lease	 157,136	 157,136
Less accumulated depreciation	(157,136)	(157,136)
 Land, building and equipment, net	 \$ 1,680,158	 \$ 1,699,669

**Note 5 - Line of Credit**

The Organization has available a credit line of \$150,000 with a maturity date of January 15, 2023. Advances on the line of credit incur interest at the Wall Street prime rate (3.25% as of June 30, 2021) with a floor of 4%. The credit line is secured by an interest in the property located at 201 North 25<sup>th</sup> Street, Louisville, KY. As of June 30, 2021 and 2020, there is no outstanding balance on the credit line.

**Note 6 - Refundable Advances**

In April 2020, the Organization received \$245,400 in loan funding from the Paycheck Protection Program (PPP), established pursuant to CARES Act and administered by the U.S. Small Business Administration. The loan proceeds have been used to cover payroll and utility costs. As of June 30, 2020, the Organization met a portion of the PPP forgiveness requirements for headcount, limitations on compensation reductions, as well as spending the money on permitted expenses. Based on the forgiveness requirements met, \$192,927 was recognized as a grant in the statement of activities for the year ended June 30, 2020 and the remainder amount of \$52,473 was recorded as a refundable advance as of June 30, 2020 until the rest of the forgiveness requirements were met. During the year ending June 30, 2021, the Organization recognized the remaining \$52,473 as a grant in the statement of activities. The loan was fully forgiven in April 2021.

In February 2021, the Organization received an additional \$245,400 in loan funding from the second draw of PPP. The Organization continues to evaluate the requirements of the CARES Act that allow for forgiveness; and anticipates the loan to be entirely forgiven pursuant to loan forgiveness standards currently in effect and therefore has recorded the loan under ASC 958-605, *Not-for-Profit Entities: Revenue Recognition* as a conditional contribution. As of June 30, 2021, the Organization has met all of the PPP forgiveness requirements for headcount, limitations on compensation reductions, as well as spending the money on permitted expenses. Based on the forgiveness requirements met, \$245,400 has been recognized as a grant in the statement of activities for the year ended June 30, 2021.

Neighborhood House, Inc.  
Notes to Financial Statements - Continued  
June 30, 2021 and 2020

**Note 7 - Net Assets with Donor Restrictions**

Net assets with donor restrictions consist of the following at June 30:

	2021	2020
Restricted by time	\$ 520,701	\$ 501,334
Restricted by purpose for programs	103,053	187,571
Total	\$ 623,754	\$ 688,905

**Note 8 - Leasing Arrangements**

The Organization leases out space in one of its buildings under an operating lease that expires March 31, 2025, with monthly rent of \$6,510. The building is fully depreciated. The Organization also receives other rental income for room rentals for events. Net rental income for the years ended June 30, 2021 and 2020 was \$78,120 and \$72,616, respectively. Future lease rental income under non-cancelable operating leases in excess of one year is as follows:

June 30, 2022	\$ 78,120
June 30, 2023	78,120
June 30, 2024	78,120
June 30, 2025	58,590
	\$ 292,950

**Note 9 - Defined Contribution Pension Plan**

The Organization has a defined contribution pension plan which covers all employees who have met certain age and service requirements. The Organization contributed \$23,814 and \$21,128 for the years ended June 30, 2021 and 2020, respectively.

**Note 10 - In-Kind Donations**

Contributions of tangible assets are recognized at fair market value when received. The amounts are reflected in the accompanying financial statements as support and are offset by like amounts included in expenses or assets. In-kind donations consisting of food and supplies for the years ended June 30, 2021 and 2020 were \$158,208 and \$246,101, respectively.

Neighborhood House, Inc.  
Notes to Financial Statements - Continued  
June 30, 2021 and 2020

**Note 11 - Liquidity and Availability**

The following table reflects the Organization's financial assets as of June 30, 2021 and 2020, reduced by amounts not available for general expenditure within one year. Financial assets are considered unavailable when illiquid, or not convertible to cash within one year.

	2021	2020
Financial Assets		
Cash	\$ 705,750	\$ 309,760
Accounts receivable, net	37,743	53,721
Grants receivable	19,366	-
Promises to give, net	520,701	501,334
Financial assets as of year-end	1,283,560	864,815
Less those unavailable for general expenditure within one year		
Promises to give collectible beyond one year	(95,000)	(124,600)
Donor imposed restrictions	(103,053)	(174,309)
Financial assets available for general expenditure within one year	\$ 1,085,507	\$ 565,906

In addition to financial assets available to meet general expenditures over the year, the Organization operates with a balanced budget and anticipates covering its general expenditures by collecting sufficient program and other revenues and by utilizing resources from current and prior year's gifts, as needed. As described in Note 5, the Organization also has a \$150,000 line of credit, which it could draw upon in the event of an anticipated liquidity need.

**Note 12 - Correction of an Error**

During audit procedures performed for the year ending June 30, 2021, it was noted that prepaid expense was understated for the period ending June 30, 2020 by \$68,400. As a result, net income and beginning net assets were understated by \$68,400. Accordingly, the Organization has restated its results and cash flows for the year ended June 30, 2020.

The following summarized the restatement referred to above:

	As Previously Reported	As Restated
Balance at June 30, 2020		
Prepaid expenses	\$ 24,711	\$ 93,111
Net assets	\$ 2,429,993	\$ 2,498,393
For the year ended June 30, 2020		
Change in net assets	\$ 491,593	\$ 559,993
Contributions and grant revenue	\$ 1,722,388	\$ 1,756,588
Payroll tax expense	\$ 110,683	\$ 76,483

Neighborhood House, Inc.  
Notes to Financial Statements - Continued  
June 30, 2021 and 2020

**Note 13 - Commitments & Contingencies**

The novel coronavirus (COVID-19) was first identified in people in late 2019. COVID-19 spread rapidly throughout the world, and, in March 2020, the World Health Organization characterized COVID-19 as a pandemic. It has significantly disrupted supply chains and businesses around the world. The United States and global markets experienced significant volatility in value resulting from uncertainty caused by the pandemic. The extent of the impact of COVID-19 on Neighborhood House's financial performance will depend on certain developments, including the duration and spread of the outbreak and its impacts on Neighborhood House's donors, employees, and vendors, all of which at present, cannot be determined. Accordingly, the extent to which COVID-19 may impact Neighborhood House's future financial condition, results of operations and cash flows is uncertain.

**Note 14 - Recently Issued Accounting Standards Updates**

Accounting Standards Update 2016-02, Leases (Topic 842)

In February 2016, the FASB issued Account Standards Update (ASU) 2016-02, Leases (Topic 842) (ASU 2016-02), requiring all leases to be recognized on Neighborhood House balance sheet as a right-of-use asset and a lease liability, unless the lease is a short-term lease (generally a lease with a term of twelve months or less). At the commencement date of the lease, Neighborhood House will recognize: 1) a lease liability for Neighborhood House's obligation to make payments under the lease agreement, measured on a discounted basis; and 2) a right-of-use asset that represents Neighborhood House's right to use, or control the use of, the specified asset for the lease term. Upon adopting ASU 2016-02, Neighborhood House will be required to recognize and measure its leases at the beginning of the earliest period presented using a modified retrospective approach. ASU 2016-02 will be effective for Neighborhood House for the year ending June 30, 2023, with early adoption permitted. Neighborhood House is currently evaluating the effect that the new standard will have on its financial statements.

Accounting Standards Update 2020-07, Not-for-Profit Entities (Topic 958): Presentation and Disclosures by Not-for-Profit Entities for Contributed Nonfinancial Assets

In September 2020, the FASB issued Accounting Standards Update (ASU) 2020-07, Not-for-Profit Entities (Topic 958): Presentation and Disclosures by Not-for-Profit Entities for Contributed Nonfinancial Assets (ASU 2020-07), requiring an entity to present contributed nonfinancial assets as a separate line item in the statement of activities, apart from contributions of cash and other financial assets. ASU 2020-07 includes additional disclosures requirements about contributed nonfinancial assets for not-for-profit entities, including additional disclosure requirements for recognized contributed services. The standard will be applied on a retrospective basis and will be effective for the year ending June 30, 2022. Neighborhood House does not expect the new standard will impact its financial statements other than a reclassification on the statement of activities and additional disclosures.

**Note 15 - Subsequent Events**

Management has evaluated subsequent events for recognition or disclosure in the financial statements through February 7, 2022, which was the date at which the financial statements were available to be issued.



# Kentucky Secretary of State

## Michael G. Adams

### NEIGHBORHOOD HOUSE

[File Annual Report](#)[File Certificate of Assumed Name \(DBA\)](#)[File Statement of Change of Principal Office](#)[File Statement of Change of registered Agent / Registered Address](#)[Printable Forms](#)[Subscribe to changes made to this entity](#)[Certificates](#)

#### General Information

<b>Organization Number</b>	0037626
<b>Name</b>	NEIGHBORHOOD HOUSE
<b>Profit or Non-Profit</b>	N - Non-profit
<b>Company Type</b>	KCO - Kentucky Corporation
<b>Status</b>	A - Active
<b>Standing</b>	G - Good
<b>State</b>	KY
<b>File Date</b>	5/9/1902
<b>Organization Date</b>	5/9/1902
<b>Last Annual Report</b>	2/11/2022
<b>Principal Office</b>	201 NORTH 25TH. ST. LOUISVILLE, KY 40212-1401
<b>Registered Agent</b>	JENNIE JEAN DAVIDSON 201 NORTH 25TH STREET LOUISVILLE, KY 40212

#### Current Officers

<b>President</b>	Ted Nixon
<b>Vice President</b>	Debbie Held
<b>Secretary</b>	Daniel Gibson
<b>Treasurer</b>	Kevin Everwine
<b>Director</b>	Allison Stack

<b>Director</b>	Kelli Morris
<b>Director</b>	Tom Rieth
<b>Director</b>	Celia Manlove
<b>Director</b>	Nubia Bennett
<b>Director</b>	Amber Garvey
<b>Director</b>	Anne Hoskinson
<b>Director</b>	Deja Jackson
<b>Director</b>	Kelly McCants
<b>Director</b>	Sylvia Quaye
<b>Director</b>	Kate Ward

### Individuals / Entities listed at time Of formation

<b>Director</b>	MARSHALL P ELDRED
<b>Director</b>	MRS THOMAS A BALLANTIN
<b>Director</b>	WM M COTTON
<b>Director</b>	MRS CARL BERG
<b>Director</b>	MRS KERVIN BULLITT
<b>Incorporator</b>	LUCY BELKNAP
<b>Incorporator</b>	LANA DUPONT
<b>Incorporator</b>	PATTY B SEMPLE
<b>Incorporator</b>	REBECCA R JUDAH
<b>Incorporator</b>	PATTY S HILL

### Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

Annual Report	2/11/2022	1 page	PDF	
Annual Report	2/24/2021	1 page	PDF	
Annual Report	2/12/2020	1 page	PDF	
Registered Agent name/address change	6/21/2019 10:47:52 AM	1 page	PDF	
Annual Report	4/18/2019	1 page	PDF	
Registered Agent name/address change	2/8/2019 12:34:03 PM	1 page	PDF	
Registered Agent name/address change	2/8/2019 11:18:46 AM	1 page	PDF	
Annual Report	4/10/2018	1 page	PDF	
Annual Report	4/19/2017	1 page	PDF	
Annual Report	3/8/2016	1 page	PDF	
Annual Report	3/31/2015	1 page	PDF	
Annual Report	1/23/2014	1 page	PDF	
Annual Report	1/14/2013	1 page	PDF	
Annual Report	2/9/2012	1 page	PDF	
Annual Report	2/18/2011	1 page	PDF	
Annual Report	2/26/2010	1 page	PDF	
Annual Report	2/16/2009	1 page	PDF	
Annual Report	2/13/2008	1 page	PDF	
Annual Report	1/16/2007	1 page	PDF	
Annual Report	4/6/2006	1 page	tiff	PDF
Annual Report	5/23/2005	1 page	PDF	

Annual Report	7/6/2004	1 page	PDF
Annual Report	5/13/2003	1 page	tiff PDF
Annual Report	5/8/2002	1 page	tiff PDF
Annual Report	7/23/2001	1 page	tiff PDF
Annual Report	7/6/2000	1 page	tiff PDF
Annual Report	7/19/1999	2 pages	tiff PDF
Annual Report	7/23/1998	2 pages	tiff PDF
Annual Report	7/1/1997	2 pages	tiff PDF
Annual Report	7/1/1996	3 pages	tiff PDF
Annual Report	7/1/1995	3 pages	tiff PDF
Annual Report	7/1/1994	3 pages	tiff PDF
Statement of Change	10/5/1993	1 page	tiff PDF
Annual Report	7/1/1993	2 pages	tiff PDF
Annual Report	7/1/1992	1 page	tiff PDF
Annual Report	7/1/1991	5 pages	tiff PDF
Annual Report	7/1/1990	2 pages	tiff PDF
Annual Report	7/1/1989	1 page	tiff PDF
Articles of Incorporation	5/9/1902	1 page	tiff PDF

## Assumed Names

## Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	2/11/2022 2:14:18 PM	2/11/2022 2:14:18 PM	
Annual report	2/24/2021 2:39:52 PM	2/24/2021 2:39:52 PM	
Annual report	2/12/2020 12:14:33 PM	2/12/2020 12:14:33 PM	
Registered agent address change	6/21/2019 10:47:52 AM	6/21/2019 10:47:52 AM	
Annual report	4/18/2019 10:41:03 AM	4/18/2019 10:41:03 AM	
Registered agent address change	2/8/2019 12:34:03 PM	2/8/2019 12:34:03 PM	
Registered agent address change	2/8/2019 11:18:46 AM	2/8/2019 11:18:46 AM	
Annual report	4/10/2018 12:37:57 PM	4/10/2018 12:37:57 PM	
Annual report	4/19/2017 10:11:20 AM	4/19/2017 10:11:20 AM	
Annual report	3/8/2016 11:49:57 AM	3/8/2016 11:49:57 AM	
Annual report	3/31/2015 3:12:30 PM	3/31/2015 3:12:30 PM	
Annual report	1/23/2014 11:35:40 AM	1/23/2014 11:35:40 AM	
Annual report	1/14/2013 1:02:07 PM	1/14/2013 1:02:07 PM	
Annual report	2/9/2012 11:17:22 AM	2/9/2012 11:17:22 AM	

Annual report	2/18/2011 7:28:56 AM	2/18/2011 7:28:56 AM
Annual report	2/26/2010 2:04:17 PM	2/26/2010 2:04:17 PM
Annual report	2/16/2009 10:00:30 AM	2/16/2009 10:00:30 AM
Annual report	2/13/2008 2:44:40 PM	2/13/2008 2:44:40 PM
Annual report	1/16/2007 11:46:23 AM	1/16/2007 11:46:23 AM
Annual report	4/6/2006 8:40:27 AM	4/6/2006
Annual report	5/23/2005	5/23/2005
Annual report	7/6/2004	7/6/2004
Registered agent address change	2/19/2004 11:30:45 AM	2/19/2004
Amendment - Change purpose	5/18/1973	5/18/1973
Amendment - Miscellaneous amendments	10/3/1955	10/3/1955
Amendment - Miscellaneous amendments	1/24/1912	1/24/1912

## Microfilmed Images

**Microfilm images are not available online. They can be ordered by faxing a Request For Corporate Documents to the Corporate Records Branch at 502-564-5687.**

Annual Report	12/31/2004 2:11:27 PM	1 page
Statement of Change	2/19/2004	1 page
Annual Report	5/13/2003	1 page
Annual Report	5/8/2002	1 page
Annual Report	7/23/2001	1 page
Annual Report	7/6/2000	1 page
Annual Report	7/19/1999	2 pages
Annual Report	7/23/1998	2 pages
Annual Report	7/1/1997	2 pages
Annual Report	7/1/1996	3 pages
Annual Report	7/1/1995	3 pages
Annual Report	7/1/1994	3 pages
Statement of Change	10/5/1993	1 page
Annual Report	7/1/1993	2 pages
Annual Report	7/1/1992	1 page
Annual Report	7/1/1991	5 pages
Annual Report	7/1/1990	2 pages
Annual Report	7/1/1989	1 page
Statement of Change	5/27/1981	2 pages
Reinstatement	9/16/1980	3 pages
Revocation of Certificate of Authority	8/27/1979	2 pages
Revocation of Certificate of Authority	8/27/1979	2 pages
Six Month Notice	8/27/1979	1 page
Six Month Notice	2/26/1979	2 pages
Six Month Notice	2/26/1979	1 page
Amendment	5/18/1973	3 pages
Statement of Change	10/23/1959	2 pages

Amendment	10/3/1955	3 pages
Statement of Change	9/24/1953	2 pages
Annual Report	8/2/1942	3 pages
Statement of Change	9/16/1941	2 pages
Annual Report	6/22/1941	26 pages
Amendment	1/24/1912	4 pages
Articles of Incorporation	5/9/1902	4 pages

[Contact](#) [Site Map](#)

[Privacy](#) [Security](#) [Disclaimer](#) [Accessibility](#)

© Commonwealth of Kentucky  
All rights reserved.

Kentucky Unbridled Spirit