

**NEIGHBORHOOD DEVELOPMENT FUND  
Not-for-Profit Transmittal and Approval Form**

MAY 1 2014 PM 1:54

**Applicant/Program:** 23<sup>rd</sup> Annual Floyds Fork Creek Sweep

**Executive Summary of Request:** The rental of canoes for volunteers to participate in the Annual 2014 Floyds Fork Cleanup. Each year, tons of garbage and debris are removed from Floyds Fork Creek to improve the water quality.

Is this program/project a fundraiser?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Is this applicant a faith based organization?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Does this application include funding for sub-grantee(s)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

<u>20</u> District #	<u><i>Stuart Benson</i></u> Primary Sponsor Signature	<u>\$975.00</u> Amount	<u>4/30/2014</u> Date
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**Primary Sponsor Disclosure**  
List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

**Approved by:**

_____	_____
Appropriations Committee Chairman	Date

**Clerk's Office Only:**

Request Amount: \_\_\_\_\_ Committee Amended Appropriation: \_\_\_\_\_

Original Appropriation: \_\_\_\_\_ Council Amended Appropriation: \_\_\_\_\_

OFFICE OF METRO COUNCIL CLERK  
REVIEWED  
DATE 5-1-15 TIME 1:59 pm

**Applicant/Program:** Floyds Fork Environmental Association, Inc.

### Additional Disclosure and Signatures

#### Additional Council Office Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

N/A

<u>22</u> District #	<u>Councilman</u> <u>Robin Engel</u> by: Council Member Signature <u>Melissa</u> <u>Hodge</u>	<u>\$ 975.<sup>00</sup></u> Amount	<u>5/1/14</u> Date
<u>        </u> District #	<u>        </u> Council Member Signature	<u>        </u> Amount	<u>        </u> Date
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<u>        </u> District #	<u>        </u> Council Member Signature	<u>        </u> Amount	<u>        </u> Date

## Engel, Robin

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**From:** Engel, Robin  
**Sent:** Thursday, May 01, 2014 1:34 PM  
**To:** Ott, Stephen; Helton, Jessamyn; Grissett, Kay; Whitlock, Mary; Smith, Chanelle Emily  
**Cc:** Benson, Stuart; Webster, Angela; Stenberg, Beth  
**Subject:** District 22 NDF Signature Approval

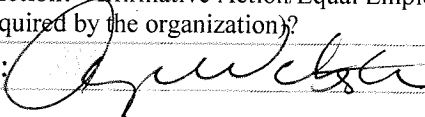
Hello Stephen and Council Clerk's Staff,

Please note that I have given my Legislative Assistant, Monica Hodge, signature authority to approve the NDF Application submitted by the Floyds Fork Environmental Association for costs associated with the 23<sup>rd</sup> Annual Floyds Fork Creek Sweep clean-up event this year to be held on Sat., 6/7/14, supported annually by District 20 Councilman Stuart Benson and myself. Councilman Benson and I will sponsor this event in the amount of \$975.00 each (total NDF request is \$1,950.00). The NDF package will be submitted to you today for processing.

Thank you for your assistance with this request.

Regards,  
District 22 Councilman Robin Engel

## NDF NON-PROFIT APPLICATION CHECKLIST

<b>Legal Name of Applicant Organization:</b>		
Program Name:	Request Amount:	Yes/No/NA
<b>Request form:</b> Is the NDF request form signed by all Council Member(s) appropriating funding?		yes
<b>Request form:</b> Is the funding proposed less than or equal to the request amount?		yes
<b>Request form:</b> Have all known Council or Staff relationships to the Agency been adequately disclosed on the cover sheet?		yes
<b>Application Page 1:</b> Has prior Metro funds committed/granted been disclosed?		yes
<b>Application Page 1:</b> Is the application properly signed and dated by authorized signatory?		yes
<b>Application Page 3:</b> Reimbursement funding – One or two boxes checked if any expenses are incurred before the grant award period. Is all required documentation included?		no
<b>Application Pages 3 – 5:</b> Is the proposed public purpose of the program well-documented?		yes
<b>Application 4:</b> Is there adequate documentation of how the proceeds of the fundraiser will be spent?		yes
<b>Application Budget Page 6:</b> Does the application budget reflect only the revenue and expenses of the project/program (page 6) if the request is not an operating budget request? Is all detail schedules included for “Metro, Non Metro and Total” expense funds for client assistance, community events & festivals and other expenses? And does the Non-Metro Revenue equal the Non-Metro expenses?		yes
<b>Faith Based Organizations:</b> Is the signed Faith Based Form signed and included?		N/A
<b>Jefferson County Only:</b> Will all funding be spent in Louisville/Jefferson County?		yes
<b>Capital Project(s) request:</b> Is the cost estimate(s) from proposed vendor(s) included?		yes
<b>Good Standing:</b> Is the entity in good standing with: <ul style="list-style-type: none"> <li>• Kentucky Secretary of State – include Secretary of State website information on organization</li> <li>• Louisville Metro Government – check OMB monthly report filed in Council Financial Reports</li> <li>• Internal Revenue Service – most recent Form 990 included</li> </ul>		yes
<b>Separate Taxing Districts:</b> If Metro funding is for a separate taxing district, is the funding appropriated for a program outside the legal responsibility of that taxing district?		N/A
<b>Small Cities:</b> Is the resolution included agreeing to partner with Louisville Metro on the capital project? (IRS Determination letter not required, Form 990 not required, but KY SOS acknowledgement is)		N/A
<b>Operating Requests:</b> Is recommended operating funding less than or equal to 33% of total operating budget?		yes
<b>IRS Exempt Proof:</b> Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?		yes
<b>Operating Budget:</b> Is the organization’s current fiscal year operating budget included?		yes
<b>Ordinance Required:</b> Is the amount committed by Council members greater than \$5,000 to any one project/program within an organization in this fiscal year.		no
<b>Board Members:</b> Is the entity’s board member list (with term length/term limits) included?		yes
<b>Staff:</b> Is a list of the highest paid staff included with their expected annual personnel costs?		N/A
<b>Annual Audit:</b> Is the most recent annual audit (if required by organization) included?		yes
<b>Rent Requests:</b> Is a copy of signed lease included?		N/A
<b>Articles of Incorporation:</b> Are the Articles of Incorporation of the organization included?		yes
<b>IRS Form W-9:</b> Is the IRS Form W-9 included?		yes
<b>Evaluation Forms:</b> Are the evaluation forms (if program participants are given evaluation forms) included?		yes
<b>Affirmative Action:</b> Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required by the organization)?		yes
Prepared by: 		Date: 4/30/2014



# LOUISVILLE METRO COUNCIL



## NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 1 - APPLICANT INFORMATION

Legal Name of Applicant Organization: (as listed on: <http://www.sos.ky.gov/business/records/>) *Floyds Fork Environmental Association, Inc.*

Main Office Street & Mailing Address: *6505 Echo Trail, Louisville, Kentucky 40299-5103*

Website: *WWW.FloydsFork.net*

Application Contact: *Teena Halbig* Title: *President*  
 Phone: *502-267-6883* Email: *teenahal@aol.com*

Financial Contact: *Sheron Lear* Title: *Treasurer*  
 Phone: *502-499-5974* Email: *SheronLear@insightbb.com*

### GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED

Program Facility Location(s): *Floyds Fork Park 4002 S. Pope Lick Rd. 40299*

Council District(s): \_\_\_\_\_ Zip Code(s): \_\_\_\_\_

### SECTION 2 - PROGRAM REQUEST & FINANCIAL INFORMATION

Program Name: *23rd Annual Floyds Fork Creek Sweep*

Total Request: \$ *1950.00* Total Metro Award (this program) in previous year: \$ *1950.00*

The following are required attachments:

- IRS Exempt Status Determination Letter
- Current Year Projected Budget
- List of Board of Directors (include term & term limits)
- Current financial statement
- Most recent IRS Form 990 or 1120-H
- Articles of Incorporation
- Cost estimates from proposed vendor if request is for capital expense
- Signed lease if rent costs are being requested
- IRS Form W9
- Evaluation forms if used in the proposed program
- Annual audit (if required by organization)
- Faith Based Organization Certification Form, if required
- Staff including the 3 highest paid staff

Agency Fiscal Yr Start Date: *January 2014*

For the current fiscal year ending June 30, list all funds received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.

Source:	Amount: \$
Source:	Amount: \$
Source:	Amount: \$

Has the applicant contacted the BBB Charity Review for participation?  Yes  No

Has the applicant met the BBB Charity Review Standards?  Yes  No *have not applied*

### SECTION 3 - SIGNATURE

I certify under the penalty of law the information in this application (including, without limitation, the "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization.

Signature of Legal Signatory: *Teena Halbig* Date: *4-23-14*

Legal Signatory (please print): *Teena Halbig* Title: *President*

Phone: *267-6883* Extension: \_\_\_\_\_ Email: *teenahal@aol.com*

#### SECTION 4 - AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

**Vision:** To have good water quality (meet The Clean Water Act) in Floyds Fork Creek and watershed for swimming, wading and fishing. Currently Floyds Fork Creek and nearly every tributary are impaired for uses. Also to retain the rural character of the Floyds Fork Corridor including historic landmarks and historic cultural assets and history.

**Mission:** Protect, conserve and preserve Floyds fork Creek and its watershed.

**Services:** Civic assistance with problems in the watershed, annual creek sweep by canoe/kayak/on foot with Councilmen and other co-sponsors. FFEA works with U of L Brandeis School of Law students at times, works with JCPS technical and career section, does presentations to colleges and other groups, has booths to educate, renders comments on clean water issues, is a stakeholder on USEPA and KY Division of Water TMDLs and Louisville Metro Land Development Code Committee and subcommittees, holds an annual bike and walk and talk event.

#### SECTION 5 - PROGRAM NARRATIVE

**A: Purpose of Request (check all that apply):**

- Operating Funds (generally cannot exceed 33% of agency's total operating budget)
- Programming/services/events for direct benefit to community or qualified individuals
- Capital Project of the organization (equipment, furnishing, building, etc)

**B: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc):**

**June 7, 2014 (Saturday)** one day event (see enclosed flyer).

**Event is free and open to the public.**

Floyds Fork Creek is in 6 counties (one county has only tributaries) and any person is eligible to participate, whether or not they live in the watershed, the public is welcome. Volunteers go out in canoes/ kayaks, etc. or on foot (to designated areas) to remove debris and items in the creek. Each year, TONS are removed from the waterway in a different segment. Educational experience for all and boy scouts and girl scouts often participate and many other folks register by calling or emailing to sign up on a first come-first served basis until we run out of canoes; however, we ask other members and folks to bring their personal canoes so we can meet the demand. We can also have 3 in a canoe instead of 2 so all participants can be accommodated.

A press advisory and press release are done.

**C: Describe specifically how the funding will be spent including identification of funding to subgrantee(s):**

All funds received from Louisville Metro Council go to pay directly the one vendor, Canoe KY, for canoes, paddles, life vests and transportation. That invoice is enclosed – the entire amount will be paid to Canoe KY after the event on June 7, 2014. We pay as promptly as possible (preferably on June 7) – as soon as the grant money is received.

**D: For Expenditure Reimbursement Only** - The grant award period begins with the Metro Council Appropriation Committee approval date and ends on June 30 of the fiscal year in which the grant is approved. If any part of this funding request is for funds that will be spent before the grant award period, identify the applicable circumstances:

- The funding request is a reimbursement of the following expenditures that have occurred prior to the application date:
  - ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
  - ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.
  
- The funding request is a reimbursement of the following expenditures that will be incurred after the application date, but prior to the Metro Council approval date. This option will allow expenditures occurring within this time frame to be considered compliant with the grant agreement.
  - ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.
  - ✓ The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

E: If this request is for a fundraiser, please detail how the proceeds will be spent:

N/a

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program specifically.

FFEA will notify additional community organizations by email so they can invite other folks to participate.

Boy scouts and girl scouts attend at times.

Long Run Sportsmen's Club helps with canoes (to transport to the launch area, unloading and assisting folks to get in their canoes) and event (early morning set up and cleaning and re-loading canoes on racks). Volunteers also help.

Jefferson County Conservation District attends and helps as needed to load volunteers in and off buses.

The Parklands of Floyds Fork is donating use of the Metro Parks owned Pope Lick Park (formerly Floyds Fork Park) building from 7am to 2pm.

The past few years, we have worked with a Humana Service Project group and they have indicated they will help again this year.

One FFEA member is a bird expert with the Beckham Bird Club and will again give birding information to participants.



G: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

-On June 7 at 8:00 A.M. Registration with those signatories being counted to see the number of participants the day of the event.

-8:15 A.M. Announcements and introductions

-8:30 A.M. Councilman Robin Engel and Councilman Stuart Benson and any other officials plus a small educational presentation.

-This is a healthy and active event with lots of exercise to handle canoes, walk, get items out of the creek and help unload items and help put canoes on trailers. A list of canoeists is kept.

-Also volunteers collect debris and items along designated roadways close to Floyds Fork Creek – list of walkers is kept.

-Several assist to take canoes to the put in area – keep list.

Many help take canoes out of the creek.

Many assist to remove items out of canoes.

Survey for feedback/evaluation (1-10 scale with #1 poor, #5 average, and 10 the best) past event or by e-mail

1. overall experience rating
2. Was educational information helpful?
3. Were paddling instructions helpful?
4. was transportation adequate
5. What will you remember from your outing today?
6. Suggestions to improve event?
7. What would you like more education about?
8. What did you learn today?
9. Concerns about Floyds Fork Creek?
10. How did you benefit by today's cleanup?
11. What future programs would you like to see?
12. What would you like more education about?
13. What future programs would you like to see?

FFEA will continue to track the number of volunteers and total tonnage removed yearly (on foot, by canoe, by kayak), number of volunteers bringing their own canoes, or kayaks, number of Boy Scouts and Girl Scouts (certificates issued).

FFEA will track the number of volunteer hours involved in the event.

**SECTION 6 - PROGRAM BUDGET SUMMARY**

The Program Budget should realistically estimate what amount is needed from Metro Government and what is expected from other sources. **Enter whole-dollar amounts.**

Program Expenses	Column 1	Column 2*	Column 3
	Proposed Metro Funds	Non-Metro Funds	Total Program Cost
A: Personnel Costs Including Benefits			
B: Rent/Utilities			
C: Office Supplies			
D: Telephone			
E: In-town Travel			
F: Client Assistance (Attach Detailed List)			
G: Professional Service Contracts			
H: Program Materials			
<i>flyer attached and invoice for \$1950.00</i> I: Community Events & Festivals (Attach Detailed List)	1950.00	750.00	2700.00
J: Machinery & Equipment			
K: Capital Project			
L: Other Expenses (Attach Detail List)			
<b>SUBTOTAL</b>			
<b>% of Program Budget –</b>	72 %	28 %	100 %
Value of volunteer services and how computed:	N/A		
Value of in-kind assets, such as donated space, supplies, use of equipment, etc. (Detail on Next Page)	N/A		
<b>Total Program Funds</b>	1950.00	750.00	2700.00

\*List funding sources in Column 2 (do not include individual donor names):

Other State, Federal or Local Government	Jefferson County Conservation District
United Way	
Private Contributions	The PARKLANDS OF Floyd's Park Floyd's Fork Environmental Assn.
Fees Collected from Program Participants	
Other (please specify)	LOUISVILLE STONEWARE Jefferson Animal Hospital, Fencibles
<b>Total Revenues</b>	\$ 750.00

Section 6 – PROGRAM BUDGET SUMMARY

page 6

Detailed List Attachment

I. Community Events

Proposed Metro Funds	
40 canoes, life vests, paddles	\$1800
Transportation/Shipping fee	<u>150</u>
subtotal	1950
Other expenses	
Food, water, ice	500
Bus rental	150
Miscellaneous	<u>100</u>
subtotal	750
-Flyers/signs	
-Gas to pick up food/transport volunteers	
Gas to transport canoes 2 days, Fri. & Sat.	
( to storage to put in, to take out, back to	
Park. Also car transport for late arrivals	
and for any volunteer that must get out	
and back earlier)	
-Additional ice and/or food)	
1950 + 750 =	Grand total <u>\$2700</u>

# Invoice

Canoe Kentucky  
7323 Peaks Mill Road  
Frankfort, KY 40601  
502-227-4492  
502-227-8086  
www.canoeky.com

**Date:** 3/21/2014

**Invoice #:** 986444

**FLOYDS FORK**

**Party Members (40)**

## Environmental

### Association

ATTN TEENA HALBIG  
6505 ECHO TRAIL  
LOUISVILLE, KY 40299  
5022676883  
[teenahal@aol.com](mailto:teenahal@aol.com)

## Reservation Items

Name	Departure	Qty	Price	Sub Total	Discount	Tax	\$/Person
Delivery	6/7/2014 8:00:00 AM	1	\$150.00	\$150.00	\$0.00	\$0.00	\$150.00
Special Trips - Groups	6/7/2014 8:00:00 AM	40	\$45.00	\$1,800.00	(\$180.00)	\$0.00	\$40.50
<b>Sub Total:</b>							<b>\$1,950.00</b>
<b>Taxes and Fees Total:</b>							<b>\$0.00</b>

**Balance Remaining Due: \$1,950.00**

The following forms of payments are accepted: Visa, MasterCard, Discover, Check Personal, Check Business, Gift Certificate, Money Order.  
Please make checks payable to Canoe Kentucky

[Please click here to submit payment](#)

**Thank You for Your Business**

**PROGRAM BUDGET SUMMARY (CONTINUED)**

**Detail of In-Kind Contributions for this PROGRAM only:** Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor / Type of Contribution	Value of Contribution	Method of Valuation
LOUISVILLE STONEWARE	\$50 door prize	donation letter
Use of John Floyd Fields Bldg. 4002 S Pope Lick Rd. 21st Century Parks	\$200.00 to set up 9AM to 2 PM have room for 1 day	Set charges per Sam at 21st Century Parks/Parklands 584-0350
4 canoe prior 7hrs. clearpath - 1 3hrs 2 meet + store canoes 4hrs	\$10,042.50	669.50hrs x \$15.00/person
5 take canoes to put in area and unload lifevests, paddles 17.5hrs		
4 setup night before 8hrs		
4 morning set up 4hrs		
4 sign in volunteers + remain at building later 4.0 hrs		
100 volunteers 6hrs 600 hrs post cleanup 4 x 1hr 6 hrs		
wait for canoe pickup + load 4 hrs reply to calls + emails, publicity 22 hrs		
<b>Total Value of In-Kind</b> (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)	669.50hrs	

\* Donor information refers to who made the in kind contribution. Volunteers need not be listed individually, but grouped together on one line as a total noting how many hours per person per week)

- 21st Century Parks for use of the building at  
Floyds Fork Park, 4002 S. Pope Lick Road, 40299
- Louisville Stoneware door prize

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year?      NO       YES

If YES, please explain:

PROGRAM BUDGET SUMMARY (CONTINUED) page 7

Page 7

Volunteers

4 canoe prior to June 1	7 hours
1 clear path for launch site	3
2 meet & store canoes	4
4 take canoes to put in area & unload life vests, paddles	7.5
4 set up night before	2
4 morning set up	1
100 volunteers	6
6 post cleanup	600
2 wait for canoe pickup and load	4
Reply to calls, emails, publicity	<u>22</u>
Total	669.5 hours

## SECTION 7 - CERTIFICATIONS AND ASSURANCES

By signing the first page of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

### Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end
8. Provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.

### Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

**Relationship Disclosure:** List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.



# 23rd ANNUAL FLOYDS FORK CREEK SWEEP



**June 7, 2014 (Saturday)**  
**8:00 A.M. Sign In (see times below)**  
**Pope Lick Park\*/former Floyds Fork Park\***

**\*\*Breakfast, lunch and refreshments provided & Picnic Lunch \*\***  
- Some canoes provided free to use with life jackets and paddles by calling 267-6883 or emailing **Teena Halbig [teenahal@aol.com](mailto:teenahal@aol.com)** - make a **reservation now** since on a first come, first served basis. Be sure to say how many persons will be volunteering. Also notify us if necessary to cancel.  
- Additional volunteers who have canoes & kayaks are encouraged to bring them; please let us know you are coming and how many persons – usually 2-3 persons per canoe. Walkers on foot are assigned cleanup areas. Birding expert available for those interested.

## Sponsors

**Floyds Fork Environmental Association**

**Councilman Stuart Benson**

**Councilman Robin Engel**

**Louisville/Jefferson County Metro Government**

## Co-Sponsors

**Canoe Kentucky**

**Division of Solid Waste Management**

**Jefferson Animal Hospital Fern Creek**

**Jefferson County Soil & Water Conservation District**

**Long Run Sportsmen Club**

**Louisville Stoneware**

**The Parklands of Floyds Fork**

**8:00 A.M.** Registration and free light breakfast (come in building)

**8:15 A.M.** Officials and Event & Education Announcements

**8:30 A.M.** Transport to canoe launch sites provided

**Noon-1 or 2 P.M.** You arrive at take out point. Volunteers are transported back to the John Floyd Fields Building for **free lunch**.

**\*Directions to Pope Lick Park/former Floyds Fork Park:** Go behind Shell/Circle K Gas Station located at 14305 Taylorsville Road). If headed toward Fisherville on Taylorsville Road, turn **RIGHT** onto **South Pope Lick Road** off Taylorsville Road. Follow the signs, go over the bridge to the parking lot on the right and come to the building.

**-Event sponsors are not responsible for any injury or damage incurred during the event-**

**[www.floydsfork.net](http://www.floydsfork.net), facebook**

**RSVP [teenahal@aol.com](mailto:teenahal@aol.com), 267-6883, Teena Halbig, President FFEA**



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**\*\*Breakfast, lunch and refreshments provided & Picnic Lunch \*\***

- Some canoes provided free to use with life jackets and paddles by calling 267-6883 or emailing **Teena Halbig [teenahal@aol.com](mailto:teenahal@aol.com)** - make a **reservation now** since on a first come, first served basis. Be sure to say how many persons will be volunteering. Also notify us if necessary to cancel.  
- Additional volunteers who have canoes & kayaks are encouraged to bring them; please let us know you are coming and how many persons – usually 2-3 persons per canoe. Walkers on foot are assigned cleanup areas. Birding expert available for those interested.

## Sponsors

**Floyds Fork Environmental Association**

**Councilman Stuart Benson**

**Councilman Robin Engel**

**Louisville/Jefferson County Metro Government**

## Co-Sponsors

**Canoe Kentucky**

**Division of Solid Waste Management**

**Jefferson Animal Hospital Fern Creek**

**Jefferson County Soil & Water Conservation District**

**Long Run Sportsmen Club**

**Louisville Stoneware**

**The Parklands of Floyds Fork**

**8:00 A.M.** Registration and free light breakfast (come in building)

**8:15 A.M.** Officials and Event & Education Announcements

**8:30 A.M.** Transport to canoe launch sites provided

**Noon-1 or 2 P.M.** You arrive at take out point. Volunteers are transported back to the John Floyd Fields Building for **free lunch**.

**\*Directions to Pope Lick Park/former Floyds Fork Park:** Go behind Shell/Circle K Gas Station located at 14305 Taylorsville Road). If headed toward Fisherville on Taylorsville Road, turn **RIGHT** onto **South Pope Lick Road** off Taylorsville Road. Follow the signs, go over the bridge to the parking lot on the right and come to the building.

-Event sponsors are not responsible for any injury or damage incurred during the event-

[www.floydsfork.net](http://www.floydsfork.net), facebook

**RSVP** [teenahal@aol.com](mailto:teenahal@aol.com), 267-6883, Teena Halbig, President FFEA



## FLOYDS FORK ENVIRONMENTAL ASSOCIATION

6505 Echo Trail, Louisville, KY 40299 – 5103, 267-6883, 777-5192, [teenahal@aol.com](mailto:teenahal@aol.com)  
[www.floydsfork.net](http://www.floydsfork.net)  
4-18-14

Dear Councilman Stuart Benson and Councilman Robin Engel,

FFEA is requesting \$975 from each of you for a total of \$1950 for the June 7, 2014 “23<sup>rd</sup> Annual Floyds Fork Creek Sweep”. Your Louisville Metro Council financial assistance will be used to pay the entire amount to Canoe Kentucky for canoes, paddles and life vest rentals including transportation/delivery by Canoe Kentucky.

We also request your presence to speak around 8:15 A.M. and to go on the outing if your schedule permits. We anticipate a wonderful number of terrific volunteers again this year!

Our invoice is below.

Thank you for your participation and involvement with the past creek cleanups and we are happy to be working with you again this year!

Sincerely,

Teena Halbig

President

Floyds Fork Environmental Association

FLOYDS FORK ENVIRONMENTAL ASSOCIATION  
6505 Echo Trail, Louisville, KY 40299 267-6883

### INVOICE

Date: 4-30-13

For canoes, paddles, life vests, transportation and delivery from Canoe Kentucky for June 7, 2013 “23<sup>rd</sup> Annual Floyds Fork Creek Sweep” in the amount of \$1950 TOTAL (i.e., one half or \$975 from Councilman Benson plus \$975 from Councilman Robin Engel).



FLOYDS FORK ENVIRONMENTAL ASSOCIATION

**23<sup>rd</sup> Annual Floyds Fork Creek Sweep**

6-7-14

**EVALUATION FORM**

Tell Us What You Think! The Good, The Bad, The Ugly!

Help Us Improve This Event

Pick and Choose what you want to answer or answer all.

**Rate on sliding Scale of 1-10 with #1 poor, #5 average, #10 best**

**Or write answers on the back of this sheet or**  
**Email comments to FloydsForkCreek@aol.com**

1. Have you visited our website? [www.floydsfork.net](http://www.floydsfork.net) \_Yes\_ \_No
2. Do you want more information about FFEA? \_Yes\_ \_No  
If yes, email \_\_\_\_\_@\_\_\_\_\_
3. Overall experience rating for today? \_\_\_\_\_ **#1 poor to #10 best**
4. Educational information rating \_\_\_\_\_ #1 to #10
5. Transportation rating \_\_\_\_\_ #1 to #10
6. Paddling instructions helpful? \_\_\_\_\_ #1 to #10
7. What will you remember from your outing today? (write on back)
8. Suggestions to improve event?
9. What would you like more education about?
10. What did you learn today?
11. Concerns about Floyds Fork Creek?
12. What future programs at monthly meetings would you like to see?

## **SECTION 2 - PROGRAM & FINANCIAL INFORMATION**

INTERNAL REVENUE SERVICE  
P.O. BOX 2508  
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: MAR 23 2009

FLOYDS FORK ENVIRONMENTAL  
ASSOCIATION INC  
C/O TEENA HALBIG  
6505 ECHO TRAIL  
LOUISVILLE, KY 40299-5103

Employer Identification Number:  
61-1491315  
DLN:  
17053291312038  
Contact Person: MS. Y. ABSTON ID# 75091  
Contact Telephone Number:  
(877) 829-5500  
Accounting Period Ending:  
June 30  
Public Charity Status:  
170(b)(1)(A)(vi)  
Form 990 Required:  
Yes  
Effective Date of Exemption:  
October 28, 1992  
Contribution Deductibility:  
Yes  
Addendum Applies:  
No

Dear Applicant:

We are pleased to inform you that upon review of your application for tax exempt status we have determined that you are exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code. Contributions to you are deductible under section 170 of the Code. You are also qualified to receive tax deductible bequests, devises, transfers or gifts under section 2055, 2106 or 2522 of the Code. Because this letter could help resolve any questions regarding your exempt status, you should keep it in your permanent records.

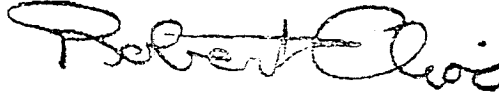
Organizations exempt under section 501(c)(3) of the Code are further classified as either public charities or private foundations. We determined that you are a public charity under the Code section(s) listed in the heading of this letter.

Please see enclosed Publication 4221-PC, Compliance Guide for 501(c)(3) Public Charities, for some helpful information about your responsibilities as an exempt organization.

Letter 947 (DO/CG)

FLOYDS FORK ENVIRONMENTAL

Sincerely,

A handwritten signature in black ink, appearing to read "Robert Choi". The signature is written in a cursive style with a large, prominent initial "R".

Robert Choi  
Director, Exempt Organizations  
Rulings and Agreements

Enclosures: Publication 4221-PC

**FLOYDS FORK ENVIRONMENTAL ASSOCIATION**  
By Teena Halbig

**PROJECTED BUDGET**  
January to December 2014

**Expenses**

Postage	\$ 500
Canoe Rentals	1950
Food	
General Meetings	250
Creek Sweep	100
Walk/Bike Event	200
Ofc. Supplies/Printing/Internet	850
Booth Fees	500
Booth Decorations + tent	500
Bus Rental	300
Miscellaneous	300
	<hr/>
	\$ 5,450

**Income**

Metro Council	1950
Donations	2300
Dues	1200
	<hr/>
	\$ 5,450

# FLOYDS FORK ENVIRONMENTAL ASSOCIATION

## Officers:

President Teena Halbig  
6505 Echo Trail  
Louisville, KY 40299  
Term Expires January 2014

Vice President Peter Bodnar III  
8801 Dawson Hill Road  
Louisville, KY 40299  
Term Expires January 2014

Treasurer Sheron Lear  
P.O. Box 91041  
Louisville, KY 40291  
Term Expires January 2014

Secretary Trina Palma  
3308 Mardale Drive  
Louisville, KY 40299  
Term Expires January 2014

## **Board of Directors**

Ray Ehlers  
334 Green Road, Apt. 2  
Madison, Indian 47250  
Term Expires January 2014

Melody McDaniel  
3308 Mardale Drive  
Louisville, KY 40299  
Term Expires January 2014

Cherry Kelly  
12302 Taylorsville Road  
Louisville, KY 40299  
Term Expires January 2014

Gina Yunker  
10609 Easum Road  
Louisville, KY 40299  
Term Expires January 2014



# FLOYDS FORK ENVIRONMENTAL ASSOCIATION

## CURRENT FINANCIAL STATEMENT By Teena Halbig

Balance: \$2688.88

Expenses: \$ 743.48

Income: \$1132.64

Subj: **Form 990-N E-filing Receipt - IRS Status: Accepted**  
Date: 7/2/2013 5:58:24 P.M. Eastern Daylight Time  
From: [epostcard@urban.org](mailto:epostcard@urban.org)  
To: [teenahal@aol.com](mailto:teenahal@aol.com)  
Organization: FLOYDS FORK ENVIRONMENTAL ASSOCIATION INC  
EIN: 61-1491315  
Submission Type: Form 990-N  
Year: 2012  
Submission ID: 7800582013183ct12103  
e-File Postmark: 7/2/2013 5:52:26 PM  
Accepted Date: 7/2/2013

The IRS has accepted the e-Postcard described above. Please save this receipt for your records.

Thank you for filing.

---

e-Postcard technical support  
Phone: 866-255-0654 (toll free)  
email: [ePostcard@urban.org](mailto:ePostcard@urban.org)

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FLOYDS FORK ENVIRONMENTAL  
ASSOCIATION INC  
% Teena Halbig  
6505 Echo Trail  
Louisville, KY 40299

ARTICLES OF INCORPORATION  
OF  
Floyds Fork Environmental Association Inc.

RECEIVED & FILED  
8:21  
Oct 28 10 00 AM '92  
BOB BABBAGE  
SECRETARY OF STATE  
COMM. OF KENTUCKY

I, the undersigned, acting as incorporator of a corporation under the Kentucky Non Profit Corporation Act, adopt the following Articles of Incorporation for such corporation:

ARTICLE I

The name of the Corporation is Floyds Fork Environmental Association Inc. ("Corporation").

ARTICLE II

The period of its duration is perpetual.

ARTICLE III

(1) Any provision of these Articles of Incorporation to the contrary notwithstanding, the Corporation shall not have capital stock or shareholders and shall not have any purpose or object, nor have or exercise any power, nor engage in any activity, which in any way contravenes, or is in conflict with, the other provisions of Article II of these Articles of Incorporation.

(2) The purposes for which the Corporation is organized are as follows:

(a) To conduct and carry on its work, not for profit, but exclusively for charitable, scientific, literary, or educational purposes within the meaning of section 501 (c) (3) of the Internal Revenue Code of 1954 (or corresponding provisions of any subsequently Federal tax laws), in such manner

(i) that no part of its income or property shall inure to the private benefit of any donor, member, director, or individual having a personal or private interest

in the activities of the Corporation, except as reasonable compensation for services actually rendered,

(II) that it shall not directly or indirectly participate in or intervene in any political campaign on behalf of any candidate for public office and

(III) that no substantial part of its activities shall be carrying on propaganda or otherwise attempting to influence legislation. Notwithstanding any other provision of these articles, the Corporation shall not carry on any other activities not permitted to be carried on

(A) by a corporation exempt from federal income tax under section 501 (c) (3) of the Internal Revenue Code or a corresponding section of any future federal tax code

(B) by a corporation, contributions to which are deductible under section 170 (c) (2) of the Internal Revenue Code, or corresponding section of any future federal tax code.

(b) To promote sound water resource management practices and conservation; to promote an interest in, and a study of the streams rivers lakes and other water resources of the Commonwealth; to collect and assemble scientific studies and literature pertaining thereto; to cooperate with all agencies, governmental or private, which have an interest in water resources management, water quality and the well being of the states streams, rivers lakes and wetlands; to prepare and disseminate periodicals, pamphlets, books, and materials pertaining to Kentucky water resources and related subjects; to copyright and register the same; to sponsor and conduct meetings for the study and discussion of water resources and matters pertaining thereto; to solicit membership in the Corporation and to solicit contributions; ; to establish branches of the Corporation in other states and communities; all of which purposes are to be carried out not for profit and without shares of stock; but simply to promote interest in, and the wise management of

Kentucky waters, and generally to to the things that are incident and necessary thereto.

(c) To organize, promote, foster, assist (whether financially or otherwise), and conduct such charitable, scientific, literary and educational enterprises, activities and institutions, as from time to time may be determined, selected or decided upon by the Corporation's Board of Directors consistent with the purposes stated above.

(d) In furtherance of, and at all times subject to, the aforesaid purposes, enterprises, activities, and projects:

(i) To solicit and acquire by gift, exchange, or otherwise, property of any and all kinds, and to sell, transfer, and otherwise dispose of any property it so acquires;

(ii) To invest and reinvest any such property and the increments in, and avails or proceeds of, any such property in such investments as may be deemed advisable from time to time by the Corporation's Board of Directors, including, but not limited to, stocks, bonds, secured and unsecured obligations, undivided interests, leases, commercial paper, financial and governmental instruments, savings and other depository accounts, and other securities and properties;

(iii) To give, donate, and contribute to any of the activities the Corporation may elect to sponsor, or in furtherance of any of the aforesaid purposes for which the Corporation is organized, such money or property, or both, as the Corporation's Board of Directors may from time to time determine,

(iv) To take title to, and hold in its own name, such real or personal property, or both, and such interests in either such type of property as the Corporation may acquire, for the purposes herein set out, and to sell, transfer, and dispose of any such property or reinvest the proceeds thereof as herein permitted;

(v) To accept gifts, bequests, or devises of property of any kind which any person, firm or corporation may make to the Corporation, upon the terms, trusts, and conditions set forth in the deed of gift, will, or other instrument of writing, executed by any such donor or testator, but only for the purposes and upon the terms and conditions and with the powers set forth in these Articles of Incorporation;

(vi) To borrow money and give security therefor by pledging, mortgaging, or otherwise hypothecating any property it may own, or any interest it may have in such property;

(vii) To become a member of any other nonstock or nonprofit corporation organized under the laws of any state, or to become affiliated with any other organization of like character existing under the laws of any state; provided, however, that such corporation or organization is an exempt organization under section 501 (c) (3) of the Internal Revenue Code of 1954 (or corresponding provisions of any subsequent Federal tax laws);

(viii) to the extent permitted by law, to enter into contracts with any corporate trust company for the purpose of delegating to it the power, or employing it, to make investments on behalf of the Corporation, and to do such other things permitted by these Articles of Incorporation as the parties may agree upon, and without limiting the generality of the foregoing, but in furtherance thereof, to enter into trust agreements, irrevocable or otherwise, with any such corporate trustee, and therein to authorize any such corporate trustee to employ agents, attorneys, accountants, and others in connection with the performance of any duty or trust arising under such agreement; and

(ix) To do any and all things which the Corporation's Board of Directors may determine, consistent with the provisions hereof, to be necessary or appropriate to effectuate the purposes of which the Corporation is organized as

herein set forth, to the extent that the doing of such act or thing is not inconsistent with the provisions of Chapter 273 of Kentucky Revised Statutes, or any other applicable law or statute of the Commonwealth of Kentucky or section 501 (c) (3) of the Internal Revenue Code of 1954 (or corresponding provisions of any subsequent Federal tax laws).

(3) Notwithstanding any provision heretofore stated herein, if at any time the Corporation is determined to be a private foundation or private operating foundation as defined in section 509 or section 4942 of the Internal Revenue Code of 1954, or in corresponding provisions of any subsequent Federal tax laws, then:

(a) The Corporation shall distribute its income for each taxable year at such time and in such manner as not to become subject to the tax on undistributed income imposed by section 4942 of the Internal Revenue Code of 1954, or by corresponding provisions of any subsequent Federal tax laws.

(b) The Corporation shall not engage in any act of self-dealing as defined in section 4942(d) of the Internal Revenue Code of 1954, or in corresponding provisions of any subsequent Federal tax laws.

(c) The Corporation shall not purchase nor retain any excess business holdings as defined in section 4943(c) of the Internal Revenue Code of 1954, or in corresponding provisions of any subsequent Federal tax laws.

(d) The Corporation shall not make any investments in such manner as to subject it to tax under section 4944 of the Internal Revenue Code of 1954, or in corresponding provisions of any subsequent Federal tax laws.

(e) The Corporation shall not make any taxable expenditures as defined in section 4945(d) of the Internal Revenue Code of 1954, or in corresponding provisions of any subsequent Federal tax laws.

#### ARTICLE IV

The Corporation shall have members. Classes of membership shall be as set forth in the By-Laws of the Corporation.

#### ARTICLE V

If, at any time, this Corporation dissolves, the assets of this Corporation shall be applied and distributed as follows:

(1) All liabilities and obligations of this Corporation shall be paid and discharged, or adequate provision shall be made therefor;

(2) Assets held by this Corporation upon condition requiring return, transfer, or conveyance, which condition occurs by reason of the dissolution, shall be returned, transferred, or conveyed in accordance with such requirements;

(3) Assets that have been received and are held by this Corporation subject to limitations permitting their use only for charitable, scientific, literary, educational, and/or similar purposes, and that are not held upon a condition requiring return, transfer, or conveyance by reason of dissolution, shall be transferred or conveyed to one or more corporations, societies, or organizations, organized under the laws of any state, that are exempt under section 501 (c) (3) of the Internal Revenue Code of 1954, or under corresponding provisions of any subsequent Federal tax laws, or to the Federal government, or to the state or local government, for a public purpose, pursuant to a plan of distribution adopted as provided by law; and

(4) Other assets if any, shall be transferred or conveyed to one or more corporations, societies, or organizations, organized under the laws of any State, that are exempt under section 501 (c) (3) of the Internal Revenue Code of 1954, or under corresponding provisions of any subsequent Federal tax laws, or to the Federal government, or to a State or local government, for a public purpose, pursuant to a plan of distribution adopted as provided by law.



(5) Any assets not disposed of pursuant to the provisions of Article V set forth hereinabove shall be disposed of by a court of competent jurisdiction of the county in which the principal office of the Corporation is then located to such organizations, as the court shall determine, which are organized and operated exclusively for charitable purposes and are exempt under section 501 (c) (3) of the Internal Revenue Code of 1954 or under corresponding provisions of any subsequent Federal tax laws.

#### ARTICLE VI

Provisions for the regulation of the affairs of the Corporation shall be set forth in the By-Laws of the Corporation as duly adopted or from time to time altered, amended or repealed by the Board of Directors. The Board of Directors alone shall have authority to manage and direct the corporation.

#### ARTICLE VII

The address of the Corporation's registered office, and of its registered agent is 8407 Carmil Drive, Louisville, KY 40291, and the name of its initial registered agent at such address is Sheron C. Lear.

#### ARTICLE VIII

The number of directors constituting the initial Board of Directors of the Corporation is three and the names and addresses of the persons who are to serve as the initial directors are:

Teena Halbig  
6305 Echo Trail  
Louisville, KY 40299

Sheron C. Lear  
8407 Carmil Drive  
Louisville, KY 40291

Edward G. Council  
7265 Peaks Mill Road  
Frankfort, KY 40601

ARTICLE IX


The name and address of the sole incorporator is:  
Edward G. Council, 7265 Peaks Mill Road, Frankfort, KY 40601.

ARTICLE X

The private property of the incorporator and the directors shall not be subject to any of the Corporation's debts and liabilities.

ARTICLE XI

Indemnification of directors, officers, employees and agents of the Corporation may be as provided for the By-Laws; provided, however, such identification is not otherwise in conflict with the provisions of Article III of these Articles of Incorporation.

  
Ed Council, Incorporator

Date October 18, 1972

## Request for Taxpayer Identification Number and Certification

Give Form to the  
 requester. Do not  
 send to the IRS.

Print or type See Specific Instructions on page 2.	Name (as shown on your income tax return) <b>Floyds Fork Environmental Association, Inc.</b>	
	Business name/disregarded entity name, if different from above	
	Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input checked="" type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate  <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ <input type="checkbox"/> Exempt payee  <input type="checkbox"/> Other (see instructions) ▶	
	Address (number, street, and apt. or suite no.) <b>6505 Echo Trail,</b>	Requester's name and address (optional)
City, state, and ZIP code <b>Louisville, KY 40299-5103</b>		
List account number(s) here (optional)		

### Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Social security number								

**Note.** If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Employer identification number									
6	1	-	1	4	9	1	3	1	5

### Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below).

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.

<b>Sign Here</b>	Signature of U.S. person ▶ <i>Deena Halicz</i>	Date ▶ <i>4-21-14</i>
------------------	--	-----------------------

### General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

#### Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

**Note.** If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

**Definition of a U.S. person.** For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

**Special rules for partnerships.** Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

**FLOYDS FORK ENVIRONMENTAL ASSOCIATION INC.****General Information**

<b>Organization Number</b>	0306811
<b>Name</b>	FLOYDS FORK ENVIRONMENTAL ASSOCIATION INC.
<b>Profit or Non-Profit</b>	N - Non-profit
<b>Company Type</b>	KCO - Kentucky Corporation
<b>Status</b>	A - Active
<b>Standing</b>	G - Good
<b>State</b>	KY
<b>File Date</b>	10/28/1992
<b>Organization Date</b>	10/28/1992
<b>Last Annual Report</b>	6/29/2013
<b>Principal Office</b>	4309 WINTER GARDEN CT. LOUISVILLE, KY 40218
<b>Registered Agent</b>	SHERON C. LEAR 4309 WINTER GARDEN CT. LOUISVILLE, KY 40218

**Current Officers**

<b>President</b>	<u>Teena Halbig</u>
<b>Vice President</b>	<u>Peter Bodnar III</u>
<b>Secretary</b>	<u>Trina Palma</u>
<b>Treasurer</b>	<u>Sheron Lear</u>
<b>Director</b>	<u>Cherry Kelly</u>
<b>Director</b>	<u>Gina Yunker</u>
<b>Director</b>	<u>Peter Bodnar III</u>

**Individuals / Entities listed at time of formation**

<b>Director</b>	<u>TEENA HALBIG</u>
<b>Director</b>	<u>SHERON C. LEAR</u>
<b>Director</b>	<u>EDWARD G. COUNCILL</u>
<b>Incorporator</b>	<u>EDWARD G. COUNCILL</u>

**Images available online**

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

<u>Annual Report</u>	6/29/2013	1 page	<u>PDF</u>	
<u>Annual Report</u>	6/27/2012	1 page	<u>PDF</u>	
<u>Annual Report</u>	6/27/2011	1 page	<u>PDF</u>	
<u>Annual Report Return</u>	4/13/2011	2 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	6/26/2010	1 page	<u>PDF</u>	
<u>Annual Report</u>	6/24/2009	1 page	<u>PDF</u>	
<u>Annual Report</u>	7/2/2008	1 page	<u>PDF</u>	

<u>Reinstatement</u>	2/15/2008	3 pages	<u>tiff</u>	<u>PDF</u>
<u>Administrative Dissolution</u>	12/1/2007	1 page	<u>PDF</u>	
<u>Annual Report</u>	6/27/2006	1 page	<u>PDF</u>	
<u>Annual Report</u>	6/16/2005	1 page	<u>PDF</u>	
<u>Annual Report</u>	7/7/2004	1 page	<u>PDF</u>	
<u>Annual Report</u>	8/15/2003	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	9/23/2002	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	8/3/2001	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	8/8/2000	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	8/30/1999	1 page	<u>tiff</u>	<u>PDF</u>
<u>Reinstatement</u>	2/17/1999	2 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1997	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1996	1 page	<u>tiff</u>	<u>PDF</u>
<u>Statement of Change</u>	10/13/1995	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1995	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1994	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1993	1 page	<u>tiff</u>	<u>PDF</u>
<u>Articles of Incorporation</u>	10/28/1992	8 pages	<u>tiff</u>	<u>PDF</u>

### Assumed Names

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### Activity History

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Filing	File Date	Effective Date	Org. Referenced
Annual report	6/29/2013 1:12:51 AM	6/29/2013 1:12:51 AM	
Annual report	6/27/2012 11:58:43 PM	6/27/2012 11:58:43 PM	
Annual report	6/27/2011 8:20:00 PM	6/27/2011 8:20:00 PM	
Annual report	6/26/2010 4:40:30 PM	6/26/2010 4:40:30 PM	
Annual report	6/24/2009 11:01:31 PM	6/24/2009 11:01:31 PM	
Annual report	7/2/2008 11:56:42 PM	7/2/2008 11:56:42 PM	
Reinstatement	2/15/2008 12:20:21 PM	2/15/2008	
Admin Dis. A. report not in	12/1/2007	12/1/2007	
Admin Dis. A. report not in	12/1/2007	12/1/2007	
Annual report	6/27/2006 12:17:38 PM	6/27/2006 12:17:38 PM	
Annual report	6/16/2005	6/16/2005	
Annual report	7/7/2004	7/7/2004	
Reinstatement	2/17/1999	2/17/1999	
Admin Dis. A. report not in	11/3/1997	11/3/1997	
Registered agent address change	10/13/1995	10/13/1995	
Principal office change	10/13/1995	10/13/1995	

**Microfilmed Images**

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Annual Report	12/31/2004 2:11:27 PM	1 page
Annual Report	8/15/2003	1 page
Annual Report	9/23/2002	1 page
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Annual Report	8/8/2000	1 page
Annual Report	8/30/1999	1 page
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Annual Report	7/1/1994	1 page
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