

AMENDMENT TO AGREEMENT

This Amendment to Agreement, made and entered into by and between the **LOUISVILLE/JEFFERSON COUNTY METRO GOVERNMENT**, acting by and through its **LOUISVILLE METRO DEPARTMENT OF PUBLIC HEALTH AND WELLNESS**, hereinafter referred to as "**METRO GOVERNMENT**" or "**METRO**" and **DR. JEFFREY HOWARD, JR., M.D.**, 7513 Kendrick Crossing Lane, Louisville, Kentucky 40291, herein referred to as "**DOCTOR**".

W I T N E S S E T H:

WHEREAS, Doctor and the Metro Government entered into a Contract concerning Doctor's service as Medical Director to assist in oversight and services for its clinical services program; and

WHEREAS, the parties wish to amend the Agreement by changing its scope of services, duration and rate of compensation;

NOW, THEREFORE, it is agreed by and between the parties hereto as follows:

Section I. That Agreement Section I, Scope of Services is hereby amended to add the following Subsections D and E:

- D. The parties agree that Dr. Jeffrey Howard, M.D. shall be appointed Director as of the effective date of this Agreement.
- E. Doctor agrees as follows:
 - 1. Director's responsibilities and duties, as Director of LMPHW, shall include, but not be limited to, the following:
 - a. Director shall be responsible for all programs and operations of LMPHW;
 - b. Director shall report to the Louisville Metro Mayor or his designee on all matters concerning the BOH and LMPHW;

- c. Director shall perform such duties as set out in the Metro Government job description, attached hereto and made a part hereof as though fully set out herein, marked Exhibit 1;
- d. Director shall perform such duties as prescribed in KRS Chapter 212 and all other applicable regulations and laws, whether local, state or federal, and as set out in all contracts or obligations undertaken by LMPHW;
- e. Director shall perform related duties and assignments as directed by the Metro Government;
- f. Director shall maintain the policies and protocols requiring physician direction as listed on Exhibit 2, attached hereto and made a part hereof as though fully set forth herein;
- g. Director shall review and sign protocols as listed in Exhibit 3 attached hereto and made a part hereof as though fully set forth herein;
- h. Director shall review and sign standing orders as listed in Exhibit 4 attached hereto and made a part hereof as though fully set forth herein; and
- i. Director shall act as the representative of the Board of Health and the Metro Government on the various boards, committees, task forces, etc.

Section II. That Agreement Section II, Fees and Compensation, Subsection A

is hereby deleted in its entirety and shall now read as follows:

A. The Metro Government shall pay Doctor for appropriately documented services rendered during this Agreement. The Metro Government shall pay Doctor monthly at the rate **THIRTEEN THOUSAND THREE HUNDRED THIRTY FOUR DOLLARS (\$13,334.00)** per month, unless a full month is not worked, until May 31, 2022; Beginning June 1, 2022, the Metro Government shall pay Doctor at the rate of **TWENTY-ONE THOUSAND THREE HUNDRED THIRTY FOUR DOLLARS AND FORTY TWO CENTS (\$21,334.42)** per month. Payment for work less than a full month shall be prorated. The total compensation paid pursuant to this Agreement shall not exceed **FOUR HUNDRED SIXTEEN THOUSAND TWENTY-ONE DOLLARS (\$416,021.00)**. Any and all reimbursements, payments, collections, and fees associated with physician services provided at LMPHW by the designated physician under this Agreement shall remain the property of LMPHW.

Section III. That Agreement Section III, Duration, Subsection A, is hereby amended in its entirety to read as follows:

A. This Agreement shall begin September 1, 2021 and shall continue through and including June 1, 2023.

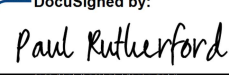
Section IV. That Agreement IV, Employer/Employee Relationship, is hereby amended in its entirety to read as follows:

It is expressly understood that no employer/employee relationship is created by this Agreement nor does it cause Doctor to be an officer or official of the Metro Government. By executing this Agreement, the parties hereto certify that its performance will not constitute or establish a violation of any statutory or common law principle pertaining to conflict of interest, nor will it cause unlawful benefit or gain to be derived by either party. Notwithstanding any language in this Agreement to the contrary, Doctor shall be covered in performing the services to be provided hereunder by the Amended Trust Agreement of 2012 ("Trust") by and between the Louisville/Jefferson County Metro Board of Health and JP Morgan Chase Bank, NA, as provided for in that Trust's Section 4, Subsection D, a copy of which Trust is attached hereto and fully incorporated herein as Attachment B. If a claim for money damages is brought against the Director, the Metro Government agrees to defend and indemnify him as if he were a Metro employee covered by KRS 65.200-.2006 and Louisville Metro Codified Ordinances Sections 35.180-35.183.

All other terms and conditions as set forth in the Agreement shall remain in full force and effect as if fully set out herein.

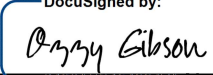
WITNESS the agreement of the parties hereto by their signatures affixed hereon.

APPROVED AS TO FORM AND
LEGALITY CONTINGENT
UPON APPROVAL OF
OF THE APPROPRIATION FOR
THIS CONTRACT BY THE
METRO COUNCIL

DocuSigned by:

2C18ED9DF1BB454
MICHAEL J. O'CONNELL
JEFFERSON COUNTY ATTORNEY

Date: 7/15/2022

LOUISVILLE/JEFFERSON COUNTY
METRO GOVERNMENT

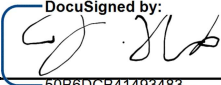
DocuSigned by:

3190A7632348476
By: Ozzy Gibson
Title: Chief of Public Services

Date: 7/20/2022

DS

7/18/2022

DR. JEFFREY HOWARD, JR., M.D.

DocuSigned by:

50B6D0B41493483
DR. JEFFREY HOWARD, JR., M.D.

Date: 7/20/2022

Taxpayer Identification No.

(TIN): _____

**Louisville/Jefferson County
Revenue Commission Account
No.:** _____

Health Department - PSC with Dr Jeffrey Howard MD to Serve as Medical Director First Amendment (Director of Health) 052522.doc[pr]

EXHIBIT 1

DIRECTOR OF PUBLIC HEALTH SERVICES

ESSENTIAL FUNCTIONS

- Directs comprehensive health programs and operations including community health, environmental, support and professional services, research and other health services pursuant to KRS Chapter 212. Communications with the public, medical community, educational institutions, federal and state agencies and allied health professionals concerning health programs and needs.
- Establishes and implements departmental goals, budget, policies, procedures, and programs.
- Exercises supervision over subordinate personnel.

EXAMPLES OF THE WORK

- Directs the planning and development of the strategic plan for the department and prioritizes projects to meet goals, objectives and budget allocations.
- Formulates and implements policies and procedures concerning public health services which are presented for adoption by the Board of Health.
- Serves in an ex-officio role as secretary to the Board of Health.
- Collaborates with the University of Louisville and the School of Public Health and Information Sciences to design and implement practicum programs and publishable projects to allow graduate students to gain experience in the health field and obtain research and publications for the department.
- Seeks public and private funding for key academic and departmental programs.
- Develop and organizes the department structure to ensure maximum utilization of available resources.
- Directs the preparation of the department budget and the monitoring of expenditures and funds received.
- Mentors students at the University of Louisville School of Public Health and Information Sciences and shares information concerning practical, hands-on experience in the health field.
- Conducts public health training programs for physicians and allied health professionals.
- Directs and conducts research on community health needs and trends in public health medicine and prepares and submits reports.
- Represents the department to governmental agencies, medical community, educational institutions, and the public regarding public health programs, projects, and operations.
- Analyzes data on the effectiveness and efficacy of programs and services and implements corrective action.
- Performs related work.

MINIMUM REQUIREMENTS

- Doctorate degree in Medicine and a Master's degree in Public Health, Environmental Health, Epidemiology, Business, Public Administration, or a related field.
- Five years of public health services experience, including two years of program planning, evaluation, administrative or managerial experience.
- An equivalent combination of education and experience may be substituted, but must include two years of managerial experience.
- Must be licensed to practice medicine in the State of Kentucky.

SPECIAL REQUIREMENTS

- Must be duly qualified and licensed or eligible for licensure as a medical practitioner in the State of Kentucky.

EXHIBIT 2

Policies and Protocols Requiring Physician Direction

- Administration of vaccines against Diphtheria, Tetanus, and Pertussis
- Administration of vaccines against Measles, Mumps, and Rubella
- Hepatitis A prophylaxis policy
- Procedure for administering Hepatitis A vaccine for non-travelers
- Procedure for routine administration of Hepatitis B vaccine
- Procedure for post-vaccination Hepatitis B serology
- Procedure for administration of Lyme Disease vaccine
- Procedure for administration of Meningococcal Polysaccharide vaccine
- Occupational exposure to potentially infective materials
- Procedure for HIV testing for oral test kits
- Administration of vaccines against Pneumococcal Disease, including Prevnar
- Administration of vaccines against Polio
- Assessment of persons for possible Rabies prophylaxis
- Administration of Rabies prophylaxis: pre-exposure
- Administration of Rabies prophylaxis: post-exposure
- Procedure for isolation of persons with rash illnesses
- STD standing orders
- Procedure for performance of TB skin testing
- Administration of Varicella vaccine
- Procedures surrounding isolation of TB infected individuals
- MD guidance and oversight for any public health crisis/disaster such as communicable disease outbreaks, floods, tornados, WMD, or bioterrorism
- School health protocols
- MD approval for purchase of pharmaceuticals/vaccines
- Review and signature for protocols
- Review and signature for standing orders
- Kentucky Public Health Practice References (PHPR)
- KDPH organization structure
- Division of Adult and Child Health directory
- Division of Epidemiology and Health Planning directory
- Division of Laboratory Services directory
- Division of Local Health Department Operations directory
- Division of Public Health Protection and Safety directory
- Division of Resource Management
- Local health department organization structure

General Information

- Description of purpose of KY PHPR
- Philosophy for service delivery
- Patient rights
- Patient responsibilities
- Consent for services

- Who may give consent
- Health education, anticipatory guidance, and counseling
- Outreach and follow up
- Referrals
- Referral sources
- Staff requirements and training

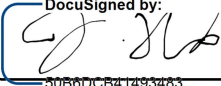
General Policies and Equipment

- Isolation precautions
- Latex allergies
- Incident reporting
- Guidelines for laboratory/radiology follow up
- Other direct care services
- Drug and device guidelines
- Drugs, devices, and equipment to have available
- Procedure for providing injectable drugs and immunobiologics
- Recommended route/site/needle size for injectables

EXHIBIT 3

Review and Signature/Date for Protocols

I have reviewed the following protocols contained within the Kentucky Public Health Practice Reference. These protocols are approved for use by the Louisville Metro Department of Public Health and Wellness. I understand that I will review these protocols at least annually, and that any changes, deviations, or additional protocols will be brought to my attention for approval before implementation.

Dr. Jeffrey Howard, Jr.	 30B6DCB41493483...	7/20/2022
Print Name	Sign Name	Date

Protocol for:

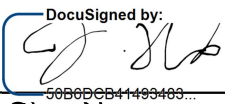
- Blood lead levels and follow up
- Cardiovascular and diabetes screening
- Childhood lead poisoning prevention services
- Deferring physical exam for oral contraceptives or Depo Provera
- Drug monitoring for adults with disease (TB)
- Emergency contraceptive pills
- Family planning services
- Fluoride supplementation
- Grief counseling services
- HIV testing/counseling
- Lead management home visit
- Maternal serum screening
- Medical consultation/referral of the anti-partum/post-partum patient
- Medical emergencies
- Medical nutrition therapy
- Pregnancy test
- Rabies pre-exposure prophylaxis
- Rabies post-exposure prophylaxis
- Recommended accelerated immunizations schedule for infants and children less than 7 years of age
- Recommended immunization schedule for persons 7 years of age or greater not vaccinated at the recommend time in early infancy
- Recommended schedule for routine active immunization of infants and children
- Reducing preconception/prenatal HIV transmission
- Referral to first steps for evaluation and eligibility
- Routine and non-routine immunization
- Safety seat services
- Screening blood lead test
- Spacing live and killed antigen administration
- Tetanus prophylaxis and routine wound management
- Treating anaphylactic shock
- Treatment of common STDs

- Tuberculosis
- WIC certification
- WIC certification counseling
- WIC certification counseling for specific nutritional risk
- WIC follow up counseling
 - By risk and category
 - By topic (counseling for women and children)
 - By age (required counseling for infant)

EXHIBIT 4

Review and Signature/Date for Standing Orders

I have reviewed the following standard order within the Kentucky Public Health Practice Reference. These standing orders are approved for use by the Louisville Metro Department of Public Health and Wellness. I understand that I will review these standing orders at least annually and that any changes, deviations, or additional standing orders will be brought to my attention for approval and signature before implementation.

Dr. Jeffrey Howard, Jr.		7/20/2022
Print Name	Sign Name	Date

Standing Orders for:

- Deferring a physical exam for oral contraceptive or Depo Provera
- Emergency contraceptive pills
- Fluoride supplementation
- Medical emergencies: acute medical condition/syncope/vasovagal reaction
- Rabies pre-exposure prophylaxis
- Recommended accelerated immunizations schedule for infants and children less than 7 years of age, not vaccinated in early infancy
- Recommended schedule for routine active immunization of infants and children
- Routine and non-routine immunization
- Tetanus prophylaxis and routine wound management
- Treating of anaphylactic shock
- Treatment of common STDs
- Tuberculosis

AGREEMENT

THIS PROFESSIONAL SERVICE CONTRACT, made and entered into by and between the **LOUISVILLE/JEFFERSON COUNTY METRO GOVERNMENT**, by and through its **DEPARTMENT OF PUBLIC HEALTH AND WELLNESS**, herein referred to as “**METRO GOVERNMENT**”, and **DR. JEFFREY HOWARD, JR., M.D.**, 11515 Shaffer Farms Lane, Louisville, Kentucky 40291, herein referred to as “**DOCTOR**”.

W I T N E S S E T H:

WHEREAS, the Metro Government desires to employ an Medical Director to assist in oversight and services for its clinical services program; and

WHEREAS, the job requirements of the position mandate that the Medical Director be licensed to practice medicine or eligible to be licensed to practice medicine in the State of Kentucky and be experienced in public health issues.

WHEREAS pursuant to K.R.S. 45A.380 the Metro Government has determined that competition is not feasible and that this Agreement is for the services of a Medical Director; and

WHEREAS, Doctor possesses the requisite experience and qualifications to provide the unique nature of the services desired by the Metro Government;

NOW, THEREFORE, it is agreed by and between the parties hereto as follows:

I. SCOPE OF PROFESSIONAL SERVICES

A. Doctor shall, at the request of the Metro Government, provide services under the terms of this professional Agreement. The Doctor’s work product may be reviewed from time to time by the Metro Government for purposes of determining that the services provided are within the scope of this Agreement.

B. If from time to time Doctor needs to utilize the records or personnel of the Metro Government relative to performing the services required of this Agreement, then Doctor shall notify the Louisville Metro Office of Management and Budget of this need

and arrangements may be made for that contingency. However, at no time shall the Metro Government make available its resources without the full consent and understanding of both parties.

- C. The services of Doctor shall include but not be limited to those described on Attachment A attached hereto and fully incorporated herein.

II. FEES AND COMPENSATION

A. The Metro Government shall pay Doctor for appropriately documented services rendered during this Agreement. The Metro Government shall pay Doctor monthly at the rate **THIRTEEN THOUSAND THREE HUNDRED THIRTY FOUR DOLLARS (\$13,334.00)** per month, unless a full month is not worked. Payment for work less than a full month shall be prorated. The total compensation paid pursuant to this Agreement shall not exceed **ONE HUNDRED SIXTY THOUSAND EIGHT DOLLARS (\$160,008.00)**. Any and all reimbursements, payments, collections, and fees associated with physician services provided at LMPHW by the designated physician under this Agreement shall remain the property of LMPHW.

B. Payment shall only be made pursuant to a detailed invoice presented monthly, which with the invoice shall include: a descriptive accounting of the time and effort expended in service (e.g. percentage of effort that month, hours expended) under the contract for the monthly period covered on the invoice, the particular nature of such service and any out-of-pocket expenses. Copies of invoices or receipts for out-of-pocket expenses and other third party charges must be included with the Doctor's invoice when payment is requested.

C. Doctor shall only be reimbursed out-of-pocket expenses if they are reasonable in amount and necessary to accomplish the scope of services of this

contract. The Metro Government will not reimburse first class air fare, personal phone calls, short term parking expenses, or other premium type expenses. The Metro Government reserves the right to reduce or disallow expenses considered excessive or unnecessary under this contract.

D. Doctor agrees that all outstanding invoices at the end of the fiscal year (June 30) must reach the Metro Government no later than July 15 of the following fiscal year. Doctor agrees that original invoices that are not in Metro Government possession by this time will not be paid and Doctor agrees to waive its right to compensation for services billed under such invoices.

III. DURATION

A. This Agreement shall begin September 1, 2021 and shall continue through and including August 31, 2022.

B. This Agreement may be terminated by submitting thirty (30) days' written notice to the non-terminating party of such intent to terminate. This Agreement may also be terminated by any party, without notice to the non-terminating party, because of fraud, misappropriation, embezzlement or malfeasance or a party's failure to perform the duties required under this Agreement. A waiver by either party of a breach of this Agreement shall not operate or be construed as a waiver of any subsequent breach.

IV. EMPLOYER/EMPLOYEE RELATIONSHIP

It is expressly understood that no employer/employee relationship is created by this Agreement nor does it cause Doctor to be an officer or official of the Metro Government. By executing this Agreement, the parties hereto certify that its performance will not constitute or establish a violation of any statutory or common law principle pertaining to conflict of interest, nor will it cause unlawful benefit or gain to be

derived by either party. Notwithstanding any language in this Agreement to the contrary, Doctor shall be covered in performing the services to be provided hereunder by the Amended Trust Agreement of 2012 ("Trust") by and between the Louisville/Jefferson County Metro Board of Health and JP Morgan Chase Bank, NA, as provided for in that Trust's Section 4, Subsection D, a copy of which Trust is attached hereto and fully incorporated herein as Attachment B.

V. RECORDS-AUDIT

Doctor shall maintain during the course of the work, and retain not less than five years from the date of final payment on the contract, complete and accurate records of all of Doctor's costs which are chargeable to the Metro Government under this Agreement; and the Metro Government shall have the right, at any reasonable time, to inspect and audit those records by authorized representatives of its own or of any public accounting firm selected by it. The records to be thus maintained and retained by Doctor shall include (without limitation): (a) payroll records accounting for total time distribution of Doctor's employees working full or part time on the work (to permit tracing to payrolls and related tax returns), as well as documentation of electronic payroll deposits, or signed receipts for payroll payments if made in cash; (b) invoices for purchases receiving and issuing documents, and all the other unit inventory records for Doctor's stores stock or capital items; and (c) paid invoices and canceled checks (if applicable) or procurement card supporting documentation for materials purchased and for Subcontractors' and any other third parties' charges.

VI. REPORTING OF INCOME

The compensation payable under this Agreement may be subject to federal, state and local taxation. Regulations of the Internal Revenue Service require the Metro

Government to report all amounts in excess of \$600.00 paid to non-corporate Doctors. Doctor agrees to furnish the Metro Government with its taxpayer identification number (TIN) prior to the effective date of this Agreement. Doctor further agrees to provide such other information to the Metro Government as may be required by the IRS or the State Department of Revenue. Metro Government acknowledges Doctor's assertion that it is a non-profit tax-exempt corporation.

VII. GOVERNING LAW

This Agreement shall be governed by and construed in accordance with the laws of the State of Kentucky. In the event of any proceedings regarding this Agreement, the Parties agree that the venue shall be the state courts of Kentucky or the U.S. District Court for the Western District of Kentucky, Louisville Division. All parties expressly consent to personal jurisdiction and venue in such Court for the limited and sole purpose of proceedings relating to this Agreement or any rights or obligations arising thereunder. Service of process may be accomplished by following the procedures prescribed by law.

VIII. AUTHORITY

The Doctor, by execution of this Agreement, does hereby certify and represent that it is qualified to do business in the Commonwealth of Kentucky, has full right, power and authority to enter into this Agreement. Further, Doctor certifies that it has the authority to contract for these services with Metro Government for UofL.

IX. CONFLICTS OF INTEREST

Pursuant to KRS 45A.455:

(1) It shall be a breach of ethical standards for any employee with procurement authority to participate directly in any proceeding or application; request for ruling or

other determination; claim or controversy; or other particular matter pertaining to any contract, or subcontract, and any solicitation or proposal therefor, in which to his knowledge:

(a) He, or any member of his immediate family has a financial interest therein; or

(b) A business or organization in which he or any member of his immediate family has a financial interest as an officer, director, trustee, partner, or employee, is a party; or

(c) Any other person, business, or organization with whom he or any member of his immediate family is negotiating or has an arrangement concerning prospective employment is a party. Direct or indirect participation shall include but not be limited to involvement through decision, approval, disapproval, recommendation, preparation of any part of a purchase request, influencing the content of any specification or purchase standard, rendering of advice, investigation, auditing, or in any other advisory capacity.

(2) It shall be a breach of ethical standards for any person to offer, give, or agree to give any employee or former employee, or for any employee or former employee to solicit, demand, accept, or agree to accept from another person, a gratuity or an offer of employment, in connection with any decision, approval, disapproval, recommendation, preparation of any part of a purchase request, influencing the content of any specification or purchase standard, rendering of advice, investigation, auditing, or in any other advisory capacity in any proceeding or application, request for ruling or other determination, claim or controversy, or other particular matter, pertaining to any contract or subcontract and any solicitation or proposal therefor.

(3) It is a breach of ethical standards for any payment, gratuity, or offer of

employment to be made by or on behalf of a Subcontractor under a contract to the prime contractor or higher tier Subcontractor or any person associated therewith, as an inducement for the award of a subcontract or order.

(4) The prohibition against conflicts of interest and gratuities and kickbacks shall be conspicuously set forth in every local public agency written contract and solicitation therefor.

(5) It shall be a breach of ethical standards for any public employee or former employee knowingly to use confidential information for his actual or anticipated personal gain, or the actual or anticipated personal gain of any other person.

X. ENTIRE AGREEMENT

This Agreement constitutes the entire agreement and understanding of the parties with respect to the subject matter set forth herein and this Agreement supersedes any and all prior and contemporaneous oral or written agreements or understandings between the parties relative thereto. No representation, promise, inducement, or statement of intention has been made by the parties that is not embodied in this Agreement. This Agreement cannot be amended, modified, or supplemented in any respect except by a subsequent written agreement duly executed by all of the parties hereto.

XI. OCCUPATIONAL HEALTH AND SAFETY

Doctor agrees to comply with all statutes, rules, and regulations governing safe and healthful working conditions, including the Occupational Health and Safety Act of 1970, 29 U.S.C. 650 *et. seq.*, as amended, and KRS Chapter 338.

XII. SUCCESSORS

This Agreement shall be binding upon and inure to the benefit of the parties hereto and their respective heirs, successors and assigns.

XIII. SEVERABILITY

If any court of competent jurisdiction holds any provision of this Agreement unenforceable, such provision shall be modified to the extent required to make it enforceable, consistent with the spirit and intent of this Agreement. If such a provision cannot be so modified, the provision shall be deemed separable from the remaining provisions of this Agreement and shall not affect any other provision hereunder.

XIV. COUNTERPARTS

This Agreement may be executed in counterparts, in which case each executed counterpart shall be deemed an original and all executed counterparts shall constitute one and the same instrument.

XV. CALCULATION OF TIME Unless otherwise indicated, when the performance or doing of any act, duty, matter, or payment is required hereunder and a period of time or duration for the fulfillment of doing thereof is prescribed and is fixed herein, the time shall be computed so as to exclude the first and include the last day of the prescribed or fixed period of time. For example, if on January 1, Doctor is directed to take action within ten (10) calendar days, the action must be completed no later than midnight, January 11.

XVI. CAPTIONS The captions and headings of this Agreement are for convenience and reference purposes only and shall not affect in any way the meaning and interpretation of any provisions of this Agreement.

XVII. MISCELLANEOUS The Metro Government and Doctor agree to comply with Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d *et. seq.*) and all

implementing regulations and executive orders, and section 504 of the Rehabilitation Act of 1973 (29 U.S.C. § 701) and the Kentucky Equal Employment Act of 1978 (K.R.S. § 45.550 to 45.640) and the Americans with Disabilities Act (42 U.S.C. § 12101 *et. seq.*). No person shall be excluded from participation in, be denied the benefits of, or be subject to discrimination in relation to activities carried out under this Agreement on the basis of race, color, age, religion, sex, disability or national origin. This includes provision of language assistance services to individuals of limited English proficiency seeking and/or eligible for services under this Agreement.

Doctor nor any of his employees or personnel shall speak on behalf of or as a representative of the Metro Government or the Department of Public Health and Wellness without the express authorization of the Director of that Department or his designee.

The Doctor shall reveal any final determination of a violation by the Doctor or any subcontractor performing work under this Agreement ("Subcontractor") within the previous five (5) year period pursuant to KRS Chapters 136, 139, 141, 337, 338, 341 and 342 that apply to the Doctor or Subcontractor. The Doctor shall be in continuous compliance with the provisions of KRS Chapters 136, 139, 141, 337, 338, 341 and 342 that apply to the Doctor or Subcontractor for the duration of the contract.

Doctor agrees that, in the event it receives from the Metro Government any protected health information, it will not disclose any of that information to any third party unless the disclosure complies with the rules and regulations of the Health Insurance Portability and Accountability Act ("HIPAA"), codified in 42 U.S.C. § 1320d and 45 C.F.R. 160-164. Doctor shall hold in strictest confidence all documentation, information, and observations gathered in the performance of this Agreement, and Doctor agrees to

negotiate and execute a mutually acceptable Business Associate Agreement if applicable for the project. Doctor further agrees to require any of its subcontractors to both abide by the aforementioned HIPAA prohibitions against the unauthorized disclosure of confidential and protected health information and to sign an approved Business Associate Agreement that contains substantially the same terms as the Metro Government's Business Associate Agreement.

WITNESS the agreement of the parties hereto by their signatures affixed hereon.

**APPROVED AS TO FORM AND
LEGALITY CONTINGENT
UPON APPROVAL OF
OF THE APPROPRIATION FOR
THIS CONTRACT BY THE
METRO COUNCIL**

**LOUISVILLE/JEFFERSON COUNTY
METRO GOVERNMENT**

DocuSigned by:

Paul Rutherford

2C18ED9BF48B454...

**MICHAEL J. O'CONNELL
JEFFERSON COUNTY ATTORNEY**

9/23/2021

Date: _____

DocuSigned by:

Sarah Moyer

6299D4EB7F8744A...

**DR. SARAH S. MOYER, M.D., MPH
DIRECTOR, DEPARTMENT FOR PUBLIC
HEALTH AND WELLNESS**

9/24/2021

Date: _____

DR. JEFFREY HOWARD, JR., M.D.

DocuSigned by:

Jeffrey Howard MD

30867465C83743B5...

DR. JEFFREY HOWARD, JR., M.D.

9/24/2021

Date: _____

**Taxpayer Identification No.
(TIN):** _____

**Louisville/Jefferson County
Revenue Commission Account
No.:** _____

Health Department - PSC with Dr Jeffrey Howard MD to Serve as Medical Director 091721.doc [pr]

ATTACHMENT A

Amended Trust Agreement of 2012 by and between the Louisville/Jefferson County Metro
Board of Health and JP Morgan Chase Bank, NA

Louisville Metro Department of Public Health and Wellness
Medical Director Scope of Work

Description	Medical Director
Essential Functions	Directs and oversees planning, development, and implementation of clinical activities for the Department of Public Health and Wellness Exercises supervision over subordinate personnel
Examples Of Work	UNDER GENERAL DIRECTION Directs clinical operations, activities and personnel Establishes and implements clinical goals, objectives, policies and procedures through subordinate managers to achieve the success of programs and operations Participates in the examination, diagnosis and treatment of patients Reviews medical and laboratory data of patients and consults with staff to ensure accurate diagnosis, adequate treatment has been prescribed, proper case management and appropriate epidemiologic follow-up Plans, initiates, directs, coordinates and evaluates medical management activities required to fulfill the departmental clinical practice objectives Evaluates the effectiveness of clinical and medical operations in terms of mission accomplishment, quality and quantity standards, procedural, policy, and regulatory compliance and technical competence Develops and implements examination, diagnostic and treatment protocols and procedures in compliance with CDC guidelines and agency policies and practices Facilitates patient care and medical management conferences using case studies and facilitates meetings with other clinical personnel to update them on medical policies, procedures and objectives Develops performance standards and evaluates subordinate performance Provides technical advice, counsel and instruction Advises department management regarding communicable disease control functions and provides support to medical service programs and projects Attends seminars, meetings, conferences, and formal and informal training to keep abreast of current medical trends Serves as medical consultant to area hospitals and other community healthcare agencies providing communicable and infectious disease related services Participates in emergency response planning Serves as a member of national, state and local medical related committees, work groups, and task forces Selects, trains, disciplines and evaluates employees; recommends personnel actions; and resolves employment complaints and grievances Presents comprehensive and evaluative reports on department operations and activities Represents the department to the Board of Health, medical community, educational institutions, governmental agencies, organizations, departments and the public concerning public health programs, operations and activities in

Desired Skills and Abilities	Director's absence Knowledge of causative agent, transmission, and natural history of infectious diseases including TB, HIV, sexually transmitted infections, influenza, food borne illness, and zoonotic infections Knowledge of methods for diagnosis, treatment, contact investigation, and prevention of infectious diseases Ability to relay knowledge to program staff, patients, and the general public using language and teaching materials appropriate to each group
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Should the department Director position become vacant, the Medical Director will act as Interim Director until a permanent Director of LMPHW is found and begins service. Interim Director responsibilities include:

- Responsible for all clinical programs and clinical operations of the Louisville Metro Department of Public Health & Wellness (LMPHW).
- Report to the Louisville Metro Mayor or his designee on all matters concerning the Board of Health (BOH) and LMPHW.
- Report to the BOH through its chairman as to LMPHW clinical projects and clinical operations related to the BOH's statutory duties and obligations.
- Perform such duties as prescribed in KRS Chapter 212 and all other applicable regulations and laws, whether local, state or federal, and as set out in all contracts or obligations undertaken by LMPHW.
- Perform related duties and assignments as directed by the Metro Government and by the Board of Health in accordance with its statutory duties.
- Maintain the policies and protocols requiring physician direction.
- Review and sign protocols and standing orders issued by the Kentucky Department of Public Health annually.
- Review and Sign LMPHW clinical services protocols and standing orders as needed.
- Act as the representative of the Metro Government on various boards, committees, task forces, etc.

CURRICULUM VITAE

NAME: **Jeffrey D. Howard, Jr., M.D., M.B.A, M.P.H**

ADDRESS: The Hiram C. Polk, Jr., M.D. Department of Surgery
University of Louisville
550 South Jackson Street, 2nd Floor
(502) 852-1704
Email: jdhowa06@louisville.edu

EDUCATION: **Union College**
Barbourville, Kentucky
Degree: B.S., Biology 2010
Honors: Magna cum laude
Highest Achievement in Pre-Medical Studies

University of Louisville School of Medicine
Louisville, Kentucky
Degree: M.D. 2014
Honors: Distinction in Research
Hiram C. Polk, Jr. Student of the Year

Northern Kentucky University
Highland Heights, Kentucky
Degree: M.B.A 2019
Honors: Compton Allyn Outstanding Student in MBA

Harvard University, T.H. Chan School of Public Health
Boston, Massachusetts 2019
Degree: M.P.H, Epidemiology
Capstone: County-Level Characteristics from the American
Community Survey in relation to County-Case
Rates of Hepatitis A in Kentucky: An ecologic
study

CERTIFICATES: Association of State and Territorial Health
Officials/Harvard TH Chan Leadership Institute 2019
for State-Level Health Executive

ACADEMIC AND PROFESSIONAL EXPERIENCE:**FACULTY**

APPOINTMENT: **Adjunct Assistant Professor of Public Health**
 School of Public Health and Information Science 2018-2020
 University of Louisville
 Louisville, KY

GOVERNMENTAL

APPOINTMENT: **White House Fellow**
Health Policy Advisor to the Vice President of
the United States 2019-2020
 Office of the Vice President
 The White House
 Washington D.C.

Commissioner for Public Health & Chief Medical Officer
 Kentucky Cabinet for Health and Family Services 2017-2019
 Frankfort, KY

RESIDENCY: University of Louisville 2014-Present
 School of Medicine
 Hiram C. Polk, Jr., Department of Surgery
 Louisville, KY
 General Surgery Residency Program

MEDICAL

LICENSURE: Kentucky, 2015 #51442
 DEA Certification: #FH5377406

BOARDS &

COMMISSIONS: Fletcher Group National Advisory Board 2019-Present
 Atlanta, GA

 Governor's Commission on Autism 2017-2019

PROFESSIONAL ORGANIZATIONS:

American College of Surgeons Resident Society 2014-Present
 - Advocacy and Issues Committee, 2017-2019
 Kentucky Medical Association 2014-Present
 Greater Louisville Medical Society 2014-Present
 - Resident Delegate to the Kentucky Medical Association, 2017

- Board of Regents Ex Officio Member, 2018	
Association of American Medical Colleges	2017-2019
- Appointed Resident Representatives, 2017-2019	
Kentucky Board of Medical Licensure	2017-2019
- Ex Officio Voting Member, 2017-2019	
American Medical Association	2014-Present
Young Professional of Louisville	2017-Present
Association of State and Territorial Health Officials Alumni Society	2019-Present
White House Fellows Alumni Society	2020-Present

HONORS AND AWARDS:

Louisville Business First 2020 Forty under 40	2020
de Beaumont Foundation's 40 Under 40 in Public Health in the U.S.	2019
KPHA President's Award for Greatest Service to Kentucky Public Health	2019
Honorable Order of Kentucky Colonels	2018
Louisville Business First 20 Young Leaders of Louisville	2018
Hugh C. Williams Travel Award Recipient	2017
Poster presentation medalist at Research Louisville Medical Student Competition	2012
Summer Student Research Program Awardee	2012
National Science Foundation Scholarship Recipient	2009
Bonner's Scholarship Recipient	2006
Academic All-Conference Football player	2007

PUBLICATIONS:

1. **Howard JD**, Sarojini H, Wan R, Chien S. Rapid granulation tissue regeneration by intracellular ATP delivery--a comparison with Regranex. *PLoS One*. 2014;9(3):e91787. Published **2014** Mar 17. PMID: 24637626
2. **Howard JD Jr**, Deveaux PG. Syndrome of inappropriate antidiuretic hormone associated with rectal small cell neuroendocrine carcinoma: a case report. *J Surg Case Rep*. 2017;2017(7):rjx136. Published **2017** Jul 14. PMID: 28852457
3. **Howard J.D.**, Wright T., Murrell Z. 2017. Perforated Meckel's diverticulum masquerading as epididymo-orchitis. *Journal of Pediatric Surgery Case Reports*, Volume 24, 25-27; **2017**.
4. Heimroth, J., Jones, V.M, **Howard, J.D.**, Sutton, R.H., Utilizing surgery bootcamps to teach core entrustable professional activities to senior medical students. *American Surgeon* June **2018**. PMID: 29981602

5. Ajkay N, Bhutiani N, Huang B, Chen Q, **Howard JD**, Tucker TC, Scoggins CR, McMasters KM, Polk HC Jr. Early Impact of Medicaid Expansion and Quality of Breast Cancer Care in Kentucky. *J Am Coll Surg*. **2018** Apr;226(4):498-504. PMID: 29449123.
6. Coleman JR, Tracy BM, Stadel KM, Chotai P, **Howard JD**. The autonomy crisis: a call to action for resident advocacy. *ACS Bull*. <http://bulletin.facs.org/2018/08/the-autonomy-crisis-a-call-to-action-for-resident-advocacy/>
7. **Howard JD Jr**, Ising MS, Delisle ME, Martin RCG 2nd. Hospital readmission after pancreaticoduodenectomy: A systematic review and meta-analysis. *Am J Surg*. **2019** Jan;217(1):156-162. PMID: 30017309.
8. Delisle M, Ward MAR, Pradarelli JC, Panda N, Howard JD, Hannenberg AA. Comparing the Learning Effectiveness of Healthcare Simulation in the Observer Versus Active Role: Systematic Review and Meta-Analysis. *Simul Healthc*. **2019** Oct;14(5):318-332. PMID: 31135683.
9. **Howard J**. Invited Commentary: Fiscal Challenges and Anticipated Changes to Kentucky's Population Health System. *J Appalach Health* **2020**;2(3):1-4.
10. DuPre N, Blair L, Moyer S, Cook EF, Little B, **Howard J**. Hepatitis A Outbreaks Associated With the Opioid Epidemic in Kentucky Counties, 2017-2018. *Am J Public Health*. **2020** Sep;110(9):1332. PMID: 32673108.
11. Delisle M, Singh S, **Howard J**, Panda N, Wepler AM, Wang Y. Refusal of colorectal cancer surgery in the United States: Predictors and associated cancer-specific mortality in a Surveillance, Epidemiology, and End Results (SEER) cohort. *Surg Open Sci*. **2020**;2(4):12-18. Published 2020 Jul 19. PMID: 32885158
12. Scutchfield. F.D., **Howard, J.**, Gouge, K.R., Malone, P., Wilson, K., Continued Counseling for the Relations Between State-Level Medicine & Public Health. *American Journal of Preventive Medicine* (in-press)
13. DeSalvo, K., B. Hughes, M. Bassett, G. Benjamin, M. Fraser, S. Galea, N. Garcia, and **J. Howard**. 2021. Public Health COVID-19 Impact Assessment: Lessons Learned and Compelling Needs. *NAM Perspectives*. Discussion Paper, National Academy of Medicine, Washington, DC. <https://doi.org/10.31478/202104c>

BOOK CHAPTERS:

1. **Howard, J.D.**, Polk, H.C. (2018), Surgeons and the Opioid Epidemic. Fischer, JE (Ed.),. *Mastery of Surgery*. Philadelphia, PA: Wolters Kluwer

ABSTRACTS:

1. **Howard, J.D.**, Sarojini, H., Chien, S. **2012**. Comparison of the efficacy of Regranex and

ATP-encapsulated lipid vesicles in skin wound healing. *Wound Repair and Regeneration*, 20(2): A25-A26.

2. Walker, B. Polk, H.C. Jr, **Howard, J.D. Jr**, Tucker, T.C., Sivaram, M., Ellen, B., Hoskins, J., The Impact of the Affordable Care Act on Female Breast Cancer Incidence Rates in Kentucky. Presented at *Research Louisville!* Louisville, KY. September **2017**.
3. Ajkay N, Bhutiani N, Huang B, Chen Q, **Howard J.D.**, Tucker TC, Scoggins CR, McMasters KM, Polk HC Jr., Early Impact of Medicaid Expansion and Quality of Breast Cancer Care in Kentucky. Presented at the Southern Surgical Association 129th Annual Meeting, Hot Springs, VA, December **2017**.

MANUSCRIPTS IN PREPARATION:

1. **Howard, J.**, Egger, M., Disparities by Race, Age, Sex in Survival for Gastrointestinal Cancers in Rural verse Urban Populations – Results from the National Cancer Institute Surveillance, Epidemiology, and End Results (SEER) Program in the United States, 2000-2018
2. **Howard, J.**, Martin, R., Epidural analgesic therapy to reduce opioid use after surgery with expected prolonged in-patient hospitalization

PRESENTATIONS:

NATIONAL:

Efficacy of ATP-Encapsulated Lipid Vesicles in Skin Wound Healing
22nd Annual Meeting of the Wound Healing Society/6th Joint Meeting with the European Tissue Repair Society Joint Meeting
Georgia World Congress Center, Atlanta, GA April 20, 2012

The Surgeon-Scientist in 2017 – Are We Seeing the End? A call for action.
American College of Surgeons Clinical Congress
San Diego Convention Center, October 2017

Lessons from the Nation's Hepatitis A Outbreak
Association of State and Territorial Health Officials Semi-Annual Meeting
Torrey Pines, CA October 2019

LOCAL:

Comparing Regranex to ATP-Encapsulated Lipid Vesicles in Skin Wound Healing
Annual Student Paper Competition
University of Louisville, 2012



Andy Beshear
Governor

www.kbml.ky.gov
502-429-7150

KENTUCKY BOARD OF MEDICAL LICENSURE

Hurstbourne Office Park
310 Whittington Parkway, Suite 1B
Louisville, Kentucky 40222

February 1, 2021

Jeffrey Howard Jr M.D.
11515 Shaffer Farms Ln
Louisville, KY 40291

Dear Dr. Howard:

Attached is your 2021 registration card issued by the Kentucky Board of Medical Licensure. In the left hand corner is your Kentucky medical license number. The Board's address, telephone number and email address are on the back of your card. If you have a change of address or if there is a correction to be made, please notify the Board immediately to avoid missing publications or important mailings.

Sincerely,

A handwritten signature in black ink, reading "Michael S. Rodman".

Michael S. Rodman
Executive Director

Kentucky Board of Medical Licensure

License # 51442

THIS IS TO CERTIFY THAT

Jeffrey Howard Jr M.D.

is duly licensed to practice in Kentucky and has registered for the period through March 1, 2022 with the Kentucky Board of Medical Licensure.

A handwritten signature in black ink, reading "Michael S. Rodman".
Executive Director

Attachment B

AMENDED TRUST AGREEMENT OF 2012

This Amended Trust Agreement of 2012 ("Agreement") is entered into and made effective on this 22nd day of May, 2012 (the "Effective Date") by and between the Louisville/Jefferson County Metro Board of Health ("Board of Health") and JP Morgan Chase Bank, NA ("Trustee").

WITNESSETH:

WHEREAS, the Board of Health and Liberty National Bank & Trust Company entered into the original "Trust Agreement" dated September 28, 1977 (the "Self-Insurance Trust") in order for contributions to the Self-Insurance Trust fund to be recognized as allowable costs of operation for Medicare reimbursement purposes; and

WHEREAS, pursuant to said Trust Agreement, the Board of Health transferred, conveyed and assigned to Liberty National Bank & Trust Company, in trust, the assets and properties of the Self-Insurance Trust for all the uses and purposes stated in the Trust Agreement; and

WHEREAS, the Board of Health has previously conveyed Louisville General Hospital to the University of Louisville and, therefore, was no longer responsible for claims involving incidents occurring after 1979; and

WHEREAS, to reflect those changes and other reasons, the original trust Agreement was replaced by a "First Amended Trust Agreement" on September 26, 1979; and

WHEREAS, after consolidation of Louisville and Jefferson County governments in January 2003, the Board of Health and BANK ONE TRUST COMPANY, N.A., a successor company to Liberty National Bank & Trust Company, entered into second "AMENDED TRUST AGREEMENT OF 2005, effective April 29, 2005, to clarify the terms of coverage under the "First Amended Trust Agreement" of 1979; and

WHEREAS, KRS 65.2005 and Louisville Metro Codified Ordinances Sections 35.180-183 provide that with some exceptions described therein, Louisville Metro must provide for a defense and indemnity when Louisville Metro employees and officers are sued as a result of performance of their official duties; and members of the Board of Health and employees of the Louisville Metro Department of Public Health and Wellness fall within the protection of the statute and ordinances referred to above; and

WHEREAS, qualifying Family Health Centers, Inc. employees are generally protected for claims of medical malpractice by the Federal Tort Claims Act (42 USC Section 233(g)); but the employees of Family Health Centers, Inc. are not employees of Louisville Metro and are therefore not entitled to a defense and indemnity under the state statute and Louisville Metro Ordinance for non-medical claims; and

WHEREAS, there is a need for the self-insurance trust to remain in existence to cover claims falling outside the protection afforded the Federal Tort Claims Act; and

WHEREAS, to reflect these changing circumstances, it is necessary to amend the "AMENDED TRUST AGREEMENT of 2005" as follows:

NOW, THEREFORE, THE AMENDED TRUST AGREEMENT OF 2005 IS AMENDED AS FOLLOWS AND SHALL BE REFERRED TO AS THE "AMENDED TRUST AGREEMENT OF 2012":

1. Ownership of Trust Assets. The Trustee shall have the muniments of title and sole custody of all the assets comprising this trust estate and any and all income earned thereon shall become a part of such trust estate. The Trustee is hereby vested with full and complete title to all said trust estate, both as to principal and income, subject only to the terms of this Agreement. Except as herein expressly provided, no part of this trust estate shall be liable for the debts of the Board of Health, its divisions and/or subsidiaries, nor shall the same be subject to seizure by any creditor of the Board of Health, its divisions and/or subsidiaries, under any writ or proceeding at law or in equity.
2. Restrictions on Trustee. The Trustee shall make no loans from the trust estate to the Board of Health. The Board of Health shall have no power to sell, assign, transfer, encumber, or in any other manner dispose of its interests in this trust estate except as is herein specifically provided.
3. Soundness of the Fund. In order to maintain this trust estate in an amount sufficient to satisfy the purposes of this trust as described in paragraph 4 below, the Board of Health shall periodically engage the services of an independent actuary to analyze the trust estate using actuarial methods customarily employed by the insurance industry to determine the soundness of the self insurance reserve funds. To maintain the soundness of the fund for its primary purpose, the fund will be maintained between an 85-95% confidence level of protection.

A copy of the report of the independent actuary shall be submitted to the Trustee and the Board of Health.

4. Purpose of Trust.

(A) The primary purpose of this trust shall be: (i) to provide for the defense against any Covered Claim (as hereinafter defined) made against the Board of Health or others herein specified and (ii) to provide for the payment of any settlement or final judgment entered against the Board of Health or others herein specified for any such Covered claim in an amount not to exceed \$1,000,000 for any one occurrence and \$1,000,000 in the aggregate during any fiscal year for the Board of Health, the Louisville Metro Department of Public Health and Wellness ("Department"), or the Family Health Centers, Inc., as the Board of Health may decide.

(B) A "Covered Claim", as said term is used in this Agreement, shall be any claim, not otherwise covered by the Federal Tort Claims Act, (i) for medical malpractice or (ii) for general liability, against the Louisville Metro Board of Health or any of its divisions or subsidiaries; and the Louisville Metro Department of Public Health and Wellness ("Department"), and the persons described in paragraph (4)(D)(E), and (F) below. This includes specifically, Family Health Centers, Inc., and its directors, officers and employees.

(C) "General Liability" shall mean any claim for negligence, including claims for damage to property or persons, any claim for false arrest, slander, false imprisonment, malicious prosecution, libel, and invasion of privacy. Such term, however, shall not include, and there is specifically excepted from this coverage, any claim arising out of use of an automobile, or resulting from war, insurrection, acts of terrorism, rebellion, or the like, or which results from an employee's or agent's willful violation of law or with the consent of the injured party.

(D) While engaged upon the business of the Board of Health, the Department, or Family Health Centers, Inc, the coverage described in subsection 4(B) above shall extend to all members of the Board of Health; its subsidiary boards and committees; and all agents, employees and personnel of the Board of Health, the Department, and Family Health Centers, Inc.; including any physician serving in the capacity of the Department's Medical Director or Interim Medical Director, or substituting during his/her absence.

(E) The coverage described in subsection 4(B) may be extended to an entity or person performing work for the Board of Health or the Department upon approval of the Board of Health.

(F) Anything contained in this Agreement to the contrary notwithstanding, the coverage herein provided shall specifically extend to the Director of the Department ("Director") for actions performed in furtherance of the business of the Department or the Board of Health.

5. Other Permitted Expenditures. In addition to the payments for the purposes specified in Paragraph 4 above, the Trustee may make disbursements from the trust estate only for the following purposes: (a) for payment of the Trustee's fees and expenses as authorized by this Agreement, (b) for payment of fees and expenses pertaining to any claim management and/or risk management programs adopted or approved by the Board of Health, (c) for payment of fees and expenses for the actuarial services referred to in Paragraph 3 hereof, and (d) for payment of fees and expenses for legal services incurred in defending claims made of the type referred to in Paragraph 4 hereof.

6. Reservation of Certain Authority. The Board of Health shall have and it hereby reserves, all final authority with respect to the settlement or payment of any claim or judgments as referred to in Paragraph 4 of this Agreement, or with respect to the employment of these agents referred to in Paragraph 5.

7. Procedures for Payment.

(A) For those payments from trust assets specified in Paragraphs 4 and 5(d) hereof, the Trustee shall require a resolution adopted by the Board of Health in formal session, along with written authorization from the Secretary of the Board of Health, or in his or her absence, from the Chairman or Vice Chairman thereof, stipulating the party or parties to whom the payment is to be made, the amount of the payment, and the specified reason for the payment. The Board resolution itself shall be provided to the Trustee in the written form of an excerpt of the Board minutes, certified by the Chairman, Vice Chairman, or Secretary of the Board of Health.

(B) For those payments from trust assets specified in Paragraphs 5(a), 5(b) and 5(c) hereof, the Trustee shall require written authorization from the Secretary of the Board of Health, or in his or her absence, from the Chairman or Vice Chairman thereof, along with an executed copy of the contract or agreement under which said payment is being made. However, in the event that the requested payment should exceed the contract amount, or not otherwise be covered by a written agreement, then the Trustee shall require a Board resolution in the same form and with the same approval as set forth hereinabove for payments permitted under Paragraphs 4 and 5(d) of this Agreement.

8. Investment of Trust Assets. The Trustee shall use its best efforts to preserve the trust estate through the proper investment thereof. The Trustee shall not sell, purchase, exchange, or otherwise deal with or dispose of trust assets for less than full and adequate consideration.

9. Trustee Power Over Assets. In the administration of this trust estate, the Trustee shall have all those powers incidental to ownership normally allowed a trustee, and such powers shall be exercised without necessity of any prior or subsequent approval of any court or judicial authority, and no person dealing with the Trustee shall be required to inquire into the propriety of any of its actions. Without in any way limiting the generality of the foregoing, the Board of Health further grants to the Trustee the following additional specific powers: (a) to sell or exercise any "right" issued on any securities held in the trust estate, (b) to vote in person or by proxy any stocks or securities and to grant such proxies and powers of attorney to others, (c) to consent to and participate in any plan for the liquidation, reorganization, consolidation, or merger of any corporation whose stock or other security is held in trust herein, and (d) to register or carry trust property in its name or in the name of its nominee or to hold it unregistered.

10. Annual Certified Statement. The Trustee shall submit a certified financial statement to the Board of Health no later than sixty (60) days after the end of each fiscal year of the trust estate. Said statement shall provide at least the following information: (a) the balance in the trust estate at the beginning of the fiscal year, (b) the amount and nature of all current year payments or withdrawals from the trust estate, including a separate accounting for claims paid, for claims and risks management expenses, for legal expenses, for actuarial expenses, and for Trustee's fees and expenses, and (c) the balance in the trust estate at the end of the fiscal year.

11. Discontinuance of Coverage. In the event the Board of Health discontinues services requiring the coverage herein provided, or in the event that it purchases insurance required to take the place hereof and wishes to discontinue its self insurance coverage for subsequent medical malpractice and/or general liability claims, then the following steps shall be taken. An independent actuary shall analyze the balance in the trust estate and shall determine the adequacy of the funds to support disbursements to cover payment of future claims and expenses arising from instances occurring while payments into the trust estate were being made. The Trustee shall maintain in the trust an appropriate reserve to pay such claims and expenses, but if the actuary determines that there are excess funds in the trust estate, the excess balance shall be refunded to the Board of Health.

12. Resignation or Removal of Trustee.

(A) The Trustee hereunder (whether originally designated herein or appointed as "Successor Trustee") shall have the right to resign at any time by giving ninety (90) days written notice thereof to the Board of Health. Thereafter the Board of Health shall have the right and duty to appoint a Successor Trustee within said ninety (90) day period.

(B) The Board of Health shall have the right to remove the Trustee and to appoint a Successor Trustee at any time by giving ninety (90) days written notice to the Trustee. Following such notice, the Trustee shall have ninety (90) days in which to transfer all assets of the trust to the Successor Trustee and to make an accounting thereof to the Board of Health.

(C) Any Successor Trustee hereunder shall possess and exercise all powers and authorities herein conferred on the original Trustee, and similarly, said Successor Trustee shall be limited by such duties and responsibilities as are herein imposed upon the original Trustee.

13. Compensation of Trustee. For its services hereunder, the Trustee shall be paid a fee for its services under this Agreement as agreed upon between The Board of Health and Trustee.

14. Due Diligence and Cooperation. The Trustee shall act with due diligence in carrying out the provisions of this Agreement and shall cooperate with the Board of Health in all appropriate respects related thereto.

15. Relation to Original Trust Agreement and First Amended Trust Agreement. This Agreement is a continuation of the trust previously established between these parties, but this agreement shall amend, modify, replace and supersede each of the provisions of the original Trust Agreement and the First Amended Trust Agreement and the Amended Trust Agreement of 2005 and it shall constitute the entire agreement between the parties.

16. Amendment of Trust Provisions. The Board of Health reserves the right to amend and modify this Agreement, but in the exercise of such right it shall not undermine or remove the protection and coverage herein provided.

17. Governing Law. This Agreement shall be construed and regulated in all respects by the laws of the Commonwealth of Kentucky, without regard to its conflicts of law provisions.

IN WITNESS WHEREOF, the Board of Health and the Trustee have caused this Agreement to be duly executed by their respective representatives, each thereunto duly authorized by appropriate action of its governing body as the Effective Date.

LOUISVILLE METRO BOARD OF HEALTH

BY: William M. Alt

TRUSTEE:

JP MORGAN CHASE BANK, NA

BY: Ann Marie Adams, V.P.
(Name & Title)

THIS INSTRUMENT PREPARED BY:

MICHAEL J. O'CONNELL
JEFFERSON COUNTY ATTORNEY

BY: _____
JO ANN BURKE
Assistant Jefferson County Attorney
531 Court Place – Suite 900
Louisville, KY 40202
(502) 574-3334

RESOLUTION NO. _____, SERIES 2022

A RESOLUTION PURSUANT TO THE CAPITAL AND OPERATING BUDGET ORDINANCES, APPROVING THE APPROPRIATION TO FUND THE FOLLOWING NONCOMPETITIVELY NEGOTIATED AMENDMENT TO PROFESSIONAL SERVICE CONTRACT FOR LMPHW CONCERNING THE SERVICES OF A DOCTOR TO SERVE AS THE LMPHW MEDICAL DIRECTOR AND AS ITS DIRECTOR- (DR. JEFFREY HOWARD, JR., M.D. - \$256,013.00 FOR A NEW NOT-TO-EXCEED AMOUNT OF \$416,021.00).

Sponsored By: _____

BE IT RESOLVED BY THE LEGISLATIVE COUNCIL OF THE LOUISVILLE/JEFFERSON COUNTY METRO GOVERNMENT (THE COUNCIL) AS FOLLOWS:

SECTION I: The following appropriation for the listed contract is hereby approved:

DEPARTMENT OF PUBLIC HEALTH AND WELLNESS

\$256,013.00 for a new not-to-exceed amount of \$416,021.00 for a Professional Service Contract with Dr. Jeffrey Howard, Jr., M.D. for services as the LMPHW Medical Director and LMPHW Director from September 1, 2021 through June 1, 2023.

SECTION II: This Resolution shall take effect upon its passage and approval.

Sonya Harward
Metro Council Clerk

David James
President of the Council

Greg Fischer
Mayor

Approval Date

APPROVED AS TO FORM AND LEGALITY:

Michael J. O'Connell
Jefferson County Attorney

BY: _____

Health Department - PSC with Dr Jeffrey Howard MD to Serve as Medical Director First Amendment Resolution 052522.docnnnn
[pr]