

**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

Applicant/Program: George Rogers Clark Park Neighborhood Assoc. Landscaping Project at GRCP


Executive Summary of Request:

The George Rogers Clark Park Neighborhood Assoc. is a non-profit organization of resident volunteers created to enhance the health, safety and welfare of the members of the community. They provide a forum to discuss and resolve neighborhood issues, build a sense of community and educate the residents about issues.

The funds from this grant will be used by the neighborhood association to install and maintain landscaping at the entrance to George Rogers Clark Park, Poplar Level and Thruston Ave., and at the entrance to the lodge on Thruston Ave. Both of these locations are on public, park property.

Is this program/project a fundraiser?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Is this applicant a faith based organization?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Does this application include funding for sub-grantee(s)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

<u>10</u>		<u>\$3,595.00</u>	<u>October 19, 2015</u>
District #	Council Member Signature	Amount	Date

Primary Sponsor Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

Approved by:

_____ Date

Appropriations Committee Chairman

Clerk's Office Only:

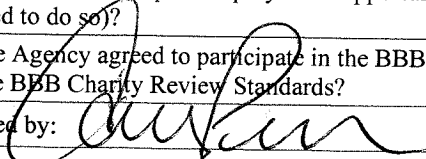
Request Amount: _____ Committee Amended Appropriation: _____

Original Appropriation: _____ Council Amended Appropriation: _____

**LOUISVILLE METRO COUNCIL
NEIGHBORHOOD DEVELOPMENT FUND APPLICATION CHECKLIST**

Legal Name of Applicant Organization: George Rogers Clark Park Neighborhood Assoc.

Program Name and Request Amount: Landscaping Project George Rogers Clark Park

	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	<input type="checkbox"/> Yes
Is the funding proposed by Council Member(s) less than or equal to the request amount?	<input type="checkbox"/> Yes
Is the proposed public purpose of the program viable and well-documented?	<input type="checkbox"/> Yes
Will all of the funding go to programs specific to Louisville/Jefferson County?	<input type="checkbox"/> Yes
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	<input type="checkbox"/> Yes
Has prior Metro Funds committed/granted been disclosed?	<input type="checkbox"/> Yes
Is the application properly signed and dated by authorized signatory?	<input type="checkbox"/> Yes
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	<input type="checkbox"/> Yes
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	<input type="checkbox"/> Yes
Is the entity in good standing with: <ul style="list-style-type: none"> • Kentucky Secretary of State? • Louisville Metro Revenue Commission? • Louisville Metro Government? • Internal Revenue Service? • Louisville Metro Human Relations Commission? 	<input type="checkbox"/> Yes
Is the current Fiscal Year Budget included?	<input type="checkbox"/> Yes
Is the entity's board member list (with term length/term limits) included?	<input type="checkbox"/> Yes
Is recommended funding less than 33% of total agency operating budget?	<input type="checkbox"/> N/A
Does the application budget reflect only the revenue and expenses of the project/program?	<input type="checkbox"/> Yes
Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?	<input type="checkbox"/> Yes
Is the most recent annual audit (if required by organization) included?	<input type="checkbox"/> N/A
Is a copy of Signed Lease (if rent costs are requested) included?	<input type="checkbox"/> N/A
Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?	<input type="checkbox"/> N/A
Are the Articles of Incorporation of the Agency included?	<input type="checkbox"/> Yes
Is the IRS Form W-9 included?	<input type="checkbox"/> Yes
Is the IRS Form 990 included?	<input type="checkbox"/> Yes
Are the evaluation forms (if program participants are given evaluation forms) included?	<input type="checkbox"/> N/A
Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?	<input type="checkbox"/> N/A
Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?	<input type="checkbox"/> No
Prepared by: 	Date: 10/19/15



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
Legal Name of Applicant Organization:		George Rogers Clark Park Neighborhood Association, Inc <small>(as listed on: http://www.sos.ky.gov/business/records)</small>	
Main Office Street & Mailing Address: 959 McKinley Ave, Louisville, KY 40217			
Website: http://www.neighborhoodlink.com/George_Rogers_Clark_Park			
Applicant Contact:	Peggy Klein	Title:	Treasurer
Phone:	502-636-0807	Email:	pktoday@hotmail.com
Financial Contact:	Peggy Klein	Title:	Treasurer
Phone:	502-636-0807	Email:	pktoday@hotmail.com
Organization's Representative who attended NDF Training: Peggy Klein (on line)			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s):	George Rogers Clark Park Lodge		
Council District(s):	Metro Council District 10	Zip Code(s):	40217
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: GRCPNA Landscaping Project George Rogers Clark Park			
Total Request: (\$)	\$3,595.	Total Metro Award (this program) in previous year: (\$)	0
Purpose of Request (check all that apply):			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget) <input type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input checked="" type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
The Following are Required Attachments:			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current Year Projected Budget <input checked="" type="checkbox"/> List of Board of Directors (include term & term limits) <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation <input checked="" type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if required <input type="checkbox"/> Staff including the 3 highest paid staff	
For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
Source:	NDF GRANT JUNE 2014	Amount: (\$)	\$ 500.00
Source:	NDF GRANT AUGUST, 2015	Amount: (\$)	\$4,425.00
Source:		Amount: (\$)	
Has the applicant contacted the BBB Charity Review for participation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Has the applicant met the BBB Charity Review Standards? <input type="checkbox"/> Yes <input type="checkbox"/> No			



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

The George Rogers Clark Park Neighborhood Association, Inc. also known as GRCPNA was formed exclusively for charitable and educational purposes as stated in Section 501(c)(3) of the Internal Revenue Code. GRCPNA is a non-profit organization of resident volunteers formed specifically to enhance the health, safety and welfare of the members of the community by providing a forum to discuss and resolve neighborhood issues, build a sense of community by encouraging volunteer involvement in various programs and events, educate the residents and general public about issues such as: airport noise, sewage and drainage issues, crime prevention, gardening and beautification projects. And to preserve, protect and improve the 40+ acre Metro Public George Rogers Clark Park that lays within our neighborhood boundaries. In cooperation with Metro Parks, we participate in the "Adopt the Park" program for GRCP which is supported by volunteers. George Rogers Clark Park is the site of the Clark family's original homestead "Mulberry Hill Estate" where George Rogers Clark's parents, brother and sisters settled when they moved from Virginia. (Pamphlet attached). In addition, part of Camp Taylor was located in our neighborhood. Some of the original Camp Taylor buildings still stand. We have a rich history which we are very proud of and GRCPNA is committed to preserve and foster the significant history of our neighborhood.

We encourage a spirit of friendliness and cooperation with other groups in the neighborhood and throughout Louisville/Jefferson County Metro. We invite other non-profit organizations such as the Nature Center, Bluegrass Alliance, Center for Neighborhoods, etc. to participate in our events and meetings. With support and help from LMPD, we have a very successful email block watch and have a close working relationship with our 6th Division Police Department.

We have approximately 1200 households within our neighborhood boundaries and membership is offered as a means to defray some of our expenses. Membership is open to all businesses and residents within the neighborhood boundaries. An associate membership is offered to businesses and individuals who live outside our neighborhood and subscribe to the purposes of GRCPNA as defined in Article II - Purposes in our Bylaws. We also raise funds by holding a neighborhood yard sale, flower and baker sale each year.

This is our 5th year as an Metro Louisville neighborhood association. Our very first event as an association and our main event each year is our Fall Festival the "Mulberry Hill Fall Festival" at George Rogers Clark Park. Held the 3rd Saturday of October, we bring neighbors and others in our community together for a day of fun, food and games. We also use the festival as an opportunity to share the rich history of the Clark Family homestead "Mulberry Hill Estate".

Last year was our first year for concerts in George Rogers Clark Park. The concerts are growing in popularity. Weather permitting we have scheduled two concerts this fiscal year, one in August, 2015 and another in June, 2016.

Spring, 2016 will be our 3rd Easter Egg Hunt at George Rogers Clark Park. The Easter Egg Hunt has been a big success. The children are so much fun to watch and it's a wonderful venue to bring families together for a fun event. Hopefully the Easter Bunny will be at our Spring 2016 Easter Egg Hunt if our funding goes through. We plan on buying an Easter Bunny Costume.

Our Quarterly Neighborhood Meetings use a basic format that includes a short business meeting directed by the President, followed by a keynote speaker on a subject of interest with time for Q and A. When possible we invite 6th District LMPD to attend and report on crime in our neighborhood and answers citizens concerns. Guest Speakers in the past have included our Councilman, Steve Magre, and of course our deeply missed, Jim King, representatives from MSD, Airport Authority on Airport Noise, Parks Department, beautification, etc.

Our annual meeting in May of each year is our time to party and celebrate our pride in our neighborhood and vote new board of directors and officers as their terms expire.

This is the first year that we are offering a 24 week Yoga Class with an experienced instructor. We're hoping that this class will be well attended. It's popularity will determine if this becomes an annual event.

Lastly I wish to express how fortunate we are to have George Rogers Clark Park as an integral part of our neighborhood and to be able to use the park to reach out to the whole community of Metro Louisville. The Park defines us and we are very proud and protective of the park. Volunteers from the neighborhood walk through the park daily picking up trash and keeping our neighborhood and park a great place to live.

GRCPNA has adopted the park and this fall of 2015 we are embarking on a beautification project for the George Rogers Clark Park. Working with the Metro Parks Department, GRCPNA has contracted with Luv-It Landscaping (a resident of our neighborhood) for two landscaping projects. One at the Park entrance at Thruston Ave and another landscaping project at the corner of Poplar Level Road and Thruston. In addition, GRCPNA has contracted with the contractor, to maintain the landscaping yearly with the help of neighborhood volunteers.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

George Rogers Clark Park Landscaping Project

This fall 2015, George Rogers Clark Park Neighborhood Association, Inc., has contracted with Luv-It Landscaping to prepare and landscape an area at the entrance of George Rogers Clark Park on Thruston Ave and the Lodge (Project 1); and to prepare and landscape an area at the southwest corner of Poplar Level and Thruston Ave (Project 2).

In addition, GRCPNA has contracted with Luv-It Landscaping to maintain both above areas on a yearly basis with the help of neighborhood volunteers. Maintenance of Landscaping both areas.

Attached is Luv-it Landscaping Estimates
E9506 (Project 1)
E9507 (Project 2).
E9593 (Maintenance)

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):

Funding will be spent for contractor services by Luv-It Landscaping for preparation, landscaping and maintenance of the areas described in the estimates attached.
Project 1 Area: the entrance to the George Rogers Clark Park and Lodge at Thruston Ave
Project 2 Area; the southwest corner of Poplar Level and Thruston Ave.

Estimates include all material and labor.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

- Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):
- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
 - ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

The beautification project benefits not only our neighborhood but our city. It enhances and improves the appearance of our neighborhood. Maintaining the landscaping at the park will bring benefit to a publicly owned area. The landscaping at the park will lift the spirits of all those whom live in the neighborhood and all those whom come to visit and will increase property values. Our beautification project will enhance the community experience as it encourages the community to become further connected, more appealing to opportunities and significantly enhances every member's sense of belonging. With volunteer help, the project helps to bring neighbors together for the common good of the neighborhood and our city.

The landscaping project at the park also supports our stated purposes by enhancing the healthy, safety and welfare of our community; encourage, plan and coordinate the beautification, preservation, rehabilitation and revitalization of all residential and public properties, structures and the physical environment.

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
A: Personnel Costs Including Benefits			
B: Rent/Utilities			
C: Office Supplies			
D: Telephone			
E: In-town Travel			
F: Client Assistance (Attach Detailed List)			
G: Professional Service Contracts			
H: Program Materials			
I: Community Events & Festivals (Attach Detail List)			
J: Machinery & Equipment			
K: Capital Project	\$3595.	0	3595
L: Other Expenses (Attach Detail List)		0	
*TOTAL PROGRAM/PROJECT FUNDS	\$3595.	0	3595
% of Program Budget	100 %	0 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	
Fees Collected from Program Participants	
Other (please specify)	
Total Revenue for Columns 2 Expenses **	

*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

**Must equal or exceed total in column 2.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
Volunteer Hours 150 hours seasonal	1730.25	Ky Value IndSec.Org
<i>Total Value of In-Kind</i> <i>(to match Program Budget Line Item.</i> Volunteer Contribution & Other In Kind)	1730.25	

*** DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK**

Agency Fiscal Year Start Date: June 1, 2015

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.

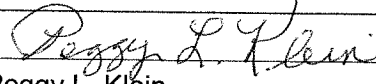
Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

SECTION 7 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:		Date:	10-17-15
Legal Signatory: (please print):	Peggy L. Klein	Title:	Treasurer
Phone:	502-636-0807	Extension:	
Email:	pktoday@hotmail.com		

George Rogers Clark Park Neighborhood Association, Inc.



OFFICERS

Ron Weckman,
President
1046 Parkway Dr
Louisville, KY 40217
502-636-2998

Marissa Manford,
Vice-President

Peggy Klein,
Treasurer
959 McKinley Ave
Louisville, KY 40217
502-636-0807

GRCPNA@gmail.com

EIN: 27-2666718
The GRCPNA is a
501c3 non-profit
metro government
neighborhood
association founded
and operated solely
by volunteers. Our
purpose is to
promote, foster and
carry out programs
designed to enhance
the health, safety
and welfare of our
community, and a
forum to address our
issues and concerns.

*LouisvilleMetro
Government
Neighborhood
District 10*

October 17, 2015

Louisville Metro Council Neighborhood Development Fund

NDF Grant for \$3,595.

George Rogers Clark Park Landscaping Project

List of Additional Attachments:

Luv-It Landscaping Estimate #E9506	- \$ 965.00
Luv-It Landscaping Estimate #E9507	- \$2,355.00
Luv-It Landscaping Estimate #E9593	- <u>\$ 275.00</u>

Total: \$3,595.00

Estimated Cost of Volunteer Hours

GRCPNA

"Caring neighbors working together to make a great neighborhood greater!"

INTERNAL REVENUE SERVICE
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: FEB 18 2014

GEORGE ROGERS CLARK PARK
NEIGHBORHOOD ASSOCIATION INC
C/O PEGGY KLEIN
959 MCKINLEY AVE
LOUISVILLE, KY 40217

Employer Identification Number:

DLN:

17053250324012

Contact Person:

DALE T SCHABER

ID# 31175

Contact Telephone Number:

(877) 829-5500

Accounting Period Ending:

May 31

Public Charity Status:

509(a)(2)

Form 990 Required:

Yes

Effective Date of Exemption:

May 25, 2010

Contribution Deductibility:

Yes

Addendum Applies:

No

Dear Applicant:

We are pleased to inform you that upon review of your application for tax exempt status we have determined that you are exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code. Contributions to you are deductible under section 170 of the Code. You are also qualified to receive tax deductible bequests, devises, transfers or gifts under section 2055, 2106 or 2522 of the Code. Because this letter could help resolve any questions regarding your exempt status, you should keep it in your permanent records.

Organizations exempt under section 501(c)(3) of the Code are further classified as either public charities or private foundations. We determined that you are a public charity under the Code section(s) listed in the heading of this letter.

Please see enclosed Publication 4221-PC, Compliance Guide for 501(c)(3) Public Charities, for some helpful information about your responsibilities as an exempt organization.

Letter 947

GEORGE ROGERS CLARK PARK

Sincerely,

Tamara Rippanda

Director, Exempt Organizations

Enclosure: Publication 4221-PC

George Rogers Clark Park Neighborhood Association, Inc
BUDGET FOR PERIOD OF: June 1, 2015 through May 31, 2016

6/1/2014-
5/31/2015

INCOME

Donations	\$ 150.00
Membership Dues	\$ 500.00
Fund Raisers	\$ 450.00
TOTAL INCOME	\$ 1,100.00

EXPENSES

Event Expenses

Band in the Park Friday June 26, 2015	\$ 500.00
Band in the Park/Cook-Out Friday August 7, 2015	\$ 400.00
Fall Festival "Mulberry Hill" Sunday October 18, 2015	\$ 1,390.00
Band in the Park June, 2016	\$ 500.00
Easter Egg Hunt in the Park June 2016	\$ 300.00
Yoga Community Class Beginning July 11, 2015	\$ 640.00
Total Event Expenses:	\$ 3,730.00

LANDSCAPING PARK PROJECT \$ 3,595.00

Advertising \$ 500.00

Meeting Expense \$ 400.00

Fees & Charges \$ 100.00

Printing \$ 960.00

TOTAL EXPENSES \$ 9,285.00

Over/Under \$ (8,185.00)

Proposed NDF Grant Request

NDF Grant June, 2015 \$ 500.00

NDF Grant August, 2015 \$ 4,225.00

NDF Grant Landscaping Oct 2015 \$ 3,595.00

TOTAL NDF GRANT REQUEST: \$ 8,320.00

NET: \$ 135.00

GEORGE ROGERS CLARK PARK NEIGHBORHOOD ASSOCIATION, INC
BOARD OF DIRECTORS VOTED IN May 19, 2015 EFFECTIVE June 1, 2015

TITLE	NAME	Phone Cell	Phone Home	EMAIL	ADDRESS	Term	Expires	Term Units
President	Ron Weckman	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	2 years	5/31/2016	2 consecutive terms
Vice-Pres	Marissa Manford	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	2 years	5/31/2017	2 consecutive terms
Secretary	Ann Payne	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	2 years	5/31/2017	2 consecutive terms
Treasurer	Peggy Klein	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	2 years	5/31/2017	2 consecutive terms
At-Large	Frances Skees	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	2 years	5/31/2016	2 consecutive terms
At-Large	Robin Brown	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	1 year	5/31/2016	unlimited
At-Large	Colleen Younger	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	1 year	5/31/2016	unlimited
At-Large	Marianna Humphreys	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	1 year	5/31/2016	unlimited
At-Large	Denny Herberger	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	1 year	5/31/2016	unlimited
At-Large	empty	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	1 year	5/31/2016	unlimited

ByLaws

Membership Article VII - Section 2

The board of directors shall consist of no less than five (5) and no more than 10 members, comprising of the four(4) elected officers and a minimum of one(1) and a maximum of six(6) at-large members.

Only one member of a household may hold office - spouses may not serve on a concurrent term.

However, spouses of At-Large members may from time-to-time share the at-large position only.

Officers may not share positions with family members.

The members of the board of directors shall support and subscribe to the purposes of the GRCPNA, INC.

The officers and at-large members shall at all time be members in good standing of the GRCPNA, INC.

Quorum: A quorum of the board shall consist of two-thirds of the Board of Directors in good standing. However it shall be required that there is at least one officer present in order to constitute a quorum.

George Rogers Clark Park Neighborhood Association, Inc

Current Financial Statement

NDF Grant Attachment

Period: June 30, 2015 through September 30, 2015

Income and Expense Report

3 Months

3 Month

As of September 30, 2015

	Current Yr June 1, 2015 Sept. 30, 2015	Previous Yr June 1, 2014 Sept. 30, 2014	Over/Under
INCOME			
<u>Donations</u>	\$ 7.00	\$ -	\$ 7.00
<u>Fund Raisers</u>			
Flower Sales	\$ -	\$ -	\$ -
T-Shirt Sales	\$ 30.00	\$ -	\$ 30.00
Yard Sale/Baked C	\$ -	\$ -	\$ -
Total Fund Raisers	\$ 30.00	\$ -	\$ 30.00
<u>Membership Dues</u>			
Business	\$ 25.00	\$ -	\$ 25.00
Non-Residents	\$ -	\$ -	\$ -
Residents	\$ 80.00	\$ 30.00	\$ 50.00
Total Dues:	\$ 105.00	\$ 30.00	\$ 75.00
Total Income Before Grants:	\$ 142.00	\$ 30.00	\$ 112.00
EXPENSES			
<u>Operating</u>			
Advertising	\$ 57.94	\$ -	\$ 57.94
Bank Fees	\$ -	\$ -	\$ -
Filing Fees	\$ 15.00	\$ -	\$ 15.00
Meeting Expenses	\$ -	\$ 203.84	\$ (203.84)
Printing	\$ -	\$ 127.20	\$ (127.20)
Total Operating Expenses:	\$ 72.94	\$ 331.04	\$ (258.10)
<u>Fundraising Expenses</u>			
Purchases Flower Sale	\$ -	\$ 187.00	\$ (187.00)
Purchases T-Shirts	\$ -	\$ -	\$ -
Expenses Yard Sale	\$ -	\$ -	\$ -
Total Fundraising Expenses:	\$ -	\$ 187.00	\$ (187.00)
<u>Events</u>			
Band Concert	Year 2015 06/26/15	Year 2014 05/23/14	
Band Concert	08/07/15	08/15/14	
Total Band Concerts:	\$ 824.78	\$ 424.51	\$ 400.27
Easter Egg Hunt	\$ 23.56	\$ -	\$ 23.56
Mulberry Hill Fall Festival	\$ 495.00	\$ -	\$ 495.00
Total Events Expenses:	\$ 1,343.34	\$ 424.51	\$ 918.83
<u>Contracted Services</u>			
Yoga Instructor	\$ -	\$ -	\$ -
TOTAL EXPENSES:	\$ 1,416.28	\$ 942.55	\$ 473.73
Sub-Total Net Income Less Expenses	\$ (1,274.28)	\$ (912.55)	\$ (1,856.48)
Income Grant July, 2015 Metro Governme	\$ 500.00	\$ -	
Less: Refund Grant Funds	\$ -	\$ -	
Net Grant Income:	\$ 500.00	\$ -	\$ -
Total Income Less Expenses w/Grant	\$ (774.28)	\$ (912.55)	\$ (1,686.83)

Information copy. Do not send to IRS.

Form **990-N**
Department of the Treasury
Internal Revenue Service

Electronic Notice (e-Postcard)
for Tax-Exempt Organizations not
Required To File Form 990 or 990-EZ

OMB No. 1545-2085

2014

can be Public Information

A For the 2014 calendar year, or tax year beginning 6/1/2014, and ending 5/31/2015.

B Check if applicable

- Terminated, Out of Business
- Gross receipts are normally \$50,000 or less

C Name of organization: GEORGE ROGERS CLARK PARK NEIGHBORHOOD ASSOCIATION
d/b/a: GRCPNA

D Employer Identification Number


E Website:
www.neighborhoodlink.com/George_Rogers_Clark_Park

% PEGGY KLEIN
959 MCKINLEY AVE
LOUISVILLE, KY, US, 40217

F Name of Principal Officer: RON WECKMAN
1046 PARKWAY DR
LOUISVILLE, KY, US, 40217

Privacy Act and Paperwork Reduction Act Notice. We ask for the information on this form to carry out the Internal Revenue laws of the United States. You are required to give us the information. We need it to ensure that you are complying with these laws.

The organization is not required to provide the information requested on a form that is subject to the Paperwork Reduction Act unless the form displays a valid OMB control number. Books or records relating to a form or its instructions must be retained as long as their contents may become material in the administration of any Internal Revenue law. The rules governing the confidentiality of the Form 990-N is covered in Code section 6104.

The time needed to complete and file this form and related schedules will vary depending on individual circumstances. The estimated average times is 15 minutes.

Note: This image is provided for your records only. Do NOT mail this page to the IRS. The IRS will not accept this filing via paper. You must file your Form 990-N (e-Postcard) electronically.

0763750.09	dcornish ADD
Trey Grayson, Secretary of State	
Received and Filed:	
5/25/2010 1:41 PM	
Fee Receipt: \$8.00	

**Articles of Incorporation
of
George Rogers Clark Park Neighborhood Association, Inc.**

WE, THE UNDERSIGNED, having associated for the purposes of forming a non-profit, non-stock corporation, under and pursuant to the laws of the Commonwealth of Kentucky, and more particularly Chapter 273, Kentucky Revised Statutes (KRS), hereby certify as follows:

Article I

The name of the corporation shall be:

George Rogers Clark Park Neighborhood Association, Inc.

Article II

The duration of the corporation shall be perpetual.

Article III

The address of the registered and principal office of the corporation is:

**1031 Thruston Ave.
Louisville, Kentucky 40217** *TSK*

The name of the initial registered agent for service of process, located at such address is:

**Trina Mitchell
1031 Thruston Ave.
Louisville, Kentucky 40217**

Other places of business in said city or elsewhere may be designated by resolution of the Board of Directors.

Article IV

The corporation is organized and shall be operated exclusively for the promotion of social welfare as described within Section 501(c)(4) of the Internal Revenue Code (or corresponding provisions of any later federal tax laws), including for such purposes the making of distributions to organizations and individuals for the purpose of engaging in activity falling within the purposes of the corporation and permitted for an organization exempt under said Section 501(c)(4).

The purposes of the corporation shall be more specifically stated as follows:

- 1) Enhance the health, safety and welfare of the community;
- 2) Provide a forum wherein neighborhood issues and concerns may be publicly expressed and discussed;
- 3) Improve the economic life of the George Rogers Clark Park area;
- 4) Encourage a spirit of friendliness and cooperation with other groups in the George Rogers Clark Park neighborhood and throughout the Louisville/Jefferson County Metro area;
- 5) Foster cooperation and unity between property owners, business people, tenants, and others;
- 6) Meet the educational and cultural needs of the community;
- 7) Encourage improvements in municipal services through public involvement and cooperation with local government;
- 8) Encourage, plan, and coordinate the beautification, preservation, rehabilitation, and revitalization of all residential and public properties, structures and physical environment

9) Seek the assistance and cooperation from government agencies and other neighborhood associations to resolve common neighborhood problems, achieve common neighborhood objectives and goals, and to maintain and improve the quality of life for residents of all neighborhoods;

10) Support other charitable, educational and cultural activities which advance the general well being of the community and its people.

Article V

The corporation shall be irrevocably dedicated to and operated exclusively for non-profit purposes. No part of the net earnings of the corporation shall inure to the benefit of or be distributable to its members, directors, officers, or other private persons, except that the corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article IV hereof.

Article VI

In carrying out the corporate purposes described in Article IV, the corporation shall have all the powers granted by the laws of the State of Kentucky, including in particular those listed in KRS 273.171 (or corresponding provision of any later State statute), except as follows and as otherwise stated in these Articles:

A) Notwithstanding any other provision of these Articles, the corporation shall not carry on any other activities not permitted to be carried on:

1) By a corporation exempt from Federal income tax under Section 501(c)(4) of the Internal Revenue Code, or the corresponding provisions of any subsequent Federal tax laws.

Article VII

The name and address of the Incorporator is:

Incorporator

Address

Trina Mitchell

1031 Thruston Ave.
Louisville, Kentucky 40217 *7 SAH*

Article VIII

The initial board of directors shall consist of four directors. The names and addresses of the members of the initial Board of Directors are:

Director

Address

Peggy Klein

959 McKinley Ave.
Louisville, Kentucky 40217

Celeste Fautz

3007 Greenup
Louisville, Kentucky 40217

Trina Mitchell

1031 Thruston Ave.
Louisville, Kentucky 40217 *7 SAH*

Brian Maynard

1035 Thruston Ave.
Louisville, Kentucky 40217

Article IX

The original bylaws shall be adopted by the initial Board of Directors. Thereafter, the Corporation shall be governed by the Bylaws.

Any director may be removed for cause pursuant to bylaws provisions regarding grounds and procedures for such removal.

Article X

a) The directors, officers and at-large members, employees and members of this Corporation shall not be held personally liable for any debt or obligation of the corporation solely because of their position in the Corporation.

b) Any person serving on the Board of Directors of this Corporation shall not be held personally liable for monetary damages resulting from the breach of his/her duties as a director unless such act, omission or breach:

- 1) concerned or concerns a transaction in which the director's personal financial interest was or is in conflict with the financial interests of the Corporation;
- 2) was not in good faith or involved or involves intentional misconduct on the part of the director;
- 3) was known by the director to be a violation of law; or
- 4) resulted in an improper personal benefit to the director.

Article XI

The Corporation may indemnify any director, officer and at-large member; or former director, officer and at-large member, of the Corporation against any expenses actually and reasonably incurred by him/her in connection with the defense of any action, suit or proceeding, civil or criminal, in which he/she is made a party by reason of being or having been such director, officer and at-large member, except in relation to matters as to which he/she shall be adjudged in such action, suit or proceeding, to be liable for negligence or misconduct in the performance of duty to the Corporation. The Corporation may make any other indemnification permitted by law and authorized by its articles of incorporation, By-laws or resolution adopted after notice to members entitled to vote.

Article XII

In the event of dissolution of the Corporation, the board of directors shall, after paying or making provision for the payment of all liabilities of the Corporation, dispose of all assets of the Corporation exclusively for the purposes of the Corporation, in such manner, or to such organizations organized and operated exclusively for the promotion of social welfare as shall at the time qualify as an exempt organization under Section 501(c)(4) or 501 (c) 3 of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws), or to a state or local government for a public purpose as the Board of Directors shall determine.

The remaining assets, if any, shall be disposed of by the Circuit Court of the county in which the principal office for the Corporation is then located, exclusively for such purposes or to such organizations as said court shall determine are organized and operated exclusively for such purposes.

Article XIII

Amendments to these articles shall be made pursuant to the provisions of KRS 273.263 (or corresponding provision of any later State statute).

IN TESTIMONY WHEREOF, witness the signature of the Incorporator of this Corporation, this 18th day of May 2010.

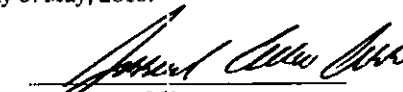

 Trina Mitchell, Secretary
 Incorporator

STATE OF KENTUCKY)
) SS
 COUNTY OF JEFFERSON)

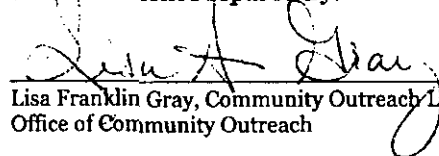
Before me, the undersigned authority, personally appeared and being duly sworn, acknowledged that she is the incorporator and agent of process of the aforementioned Corporation, and that she signed the aforementioned articles of incorporation as her free act and deed.

Witness my signature and seal of office this 19 day of May, 2010.

My Commission Expires: 11/12/2012


 Notary Public
 State At Large, Kentucky

This Document Prepared By:


 Lisa Franklin Gray, Community Outreach Liaison
 Office of Community Outreach

Document No.: DN2010068290
 Lodged By: KLEIN
 Recorded On: 05/27/2010 10:49:12
 Total Fees: 11.00
 Transfer Tax: .00
 County Clerk: BOBBIE HOLSCLAW-JEFF CO KY
 Deputy Clerk: CARHAR

END OF DOCUMENT

**Amended
Articles of Incorporation
of
George Rogers Clark Park Neighborhood Association, Inc.**

WE, THE UNDERSIGNED, having associated for the purposes of forming a non-profit, non-stock corporation, under and pursuant to the laws of the Commonwealth of Kentucky, and more particularly Chapter 273, Kentucky Revised Statutes (KRS), hereby certify as follows:

Article I

The name of the corporation shall be:

George Rogers Clark Park Neighborhood Association, Inc.

Article II

The duration of the corporation shall be perpetual.

Article III

The address of the registered and principal office of the corporation is:

**959 McKinley Ave
Louisville, Kentucky 40217**

The name of the ~~initial~~ registered agent for service of process, located at such address is:

**Peggy L. Klein
959 McKinley Ave
Louisville, Kentucky 40217**

Other places of business in said city or elsewhere may be designated by resolution of the Board of Directors.

Article IV

The corporation is organized and shall be operated exclusively for non-profit charitable and educational purposes as described within Section 501(c)(3) of the Internal Revenue Code of 1986, as amended (or under any successor codification of the federal tax laws)(the "Code"). In carrying out its corporate purposes, the corporation shall have all the powers allowed nonprofit corporations by KRS 273.161 et seq., that are not inconsistent with the corporations qualifications under the Code, as a corporation organized and operated exclusively for non-profit purposes; provided, however, that this corporation shall not, except to an insubstantial degree, engage in any activities or exercise any powers that are not in furtherance of the specific and primary purpose of this corporation. The corporation can make distributions to organizations and individuals for the purpose of engaging in activity falling within the purposes of the corporation and permitted for an organization exempt under said Section 501 (c)(3).

In furtherance of the general purposes stated within the first paragraph of Article IV of these Articles of Incorporation, the particular purposes of the corporation shall be to promote, foster and carry out programs, projects and activities designed to:

- 1) Enhance the health, safety and welfare of the community;
- 2) Provide a forum wherein neighborhood issues and concerns may be publicly expressed and discussed;
- 3) Improve the economic life of the George Rogers Clark Park area;
- 4) Encourage a spirit of friendliness and cooperation with other groups in the George Rogers Clark Park neighborhood and throughout the Louisville/Jefferson County Metro area;
- 5) Foster cooperation and unity between property owners, business people, tenants, and others;
- 6) Meet the educational and cultural needs of the community;
- 7) Encourage improvements in municipal services through public involvement and cooperation with local government;

8) Encourage, plan, and coordinate the beautification, preservation, rehabilitation, and revitalization of all residential and public properties, structures and physical environment

9) Seek the assistance and cooperation from government agencies and other neighborhood associations to resolve common neighborhood problems, achieve common neighborhood objectives and goals, and to maintain and improve the quality of life for residents of all neighborhoods;

10) Support other charitable, educational and cultural activities which advance the general well being of the community and its people.

Article V

The corporation shall be irrevocably dedicated to and operated exclusively for non-profit purposes. No part of the net earnings of the corporation shall inure to the benefit of or be distributable to its members, directors, officers, or other private persons, except that the corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article IV hereof.

Article VI

The name and address of the Incorporator is:

Incorporator

Address

Peggy L. Klein

959 McKinley Ave.
Louisville, Kentucky 40219

Article VII

The ~~initial~~ board of directors shall consist of ten directors. The names and addresses of the members of the ~~initial~~ Board of Directors are:

Director

Address

Colleen Younger

1049 Ardmore Dr.
Louisville, Kentucky 40217

Denny Herberger

2903 Piper Court
Louisville, Kentucky 40217

Jenni Edwards

932 Clarks Lane
Louisville, Kentucky 40217

Ron Weckman

1046 Parkway Dr.
Louisville, Kentucky 40217

Peggy Klein

959 McKinley Ave.
Louisville, Kentucky 40217

Delores Thomas

1008 Ardmore Dr.
Louisville, Kentucky 40217

Insub Beckley

2907 Pindell Ave.
Louisville, Kentucky 40217

Tom & Lois Esrey

914 Ardmore Dr.
Louisville, Kentucky 40217

Brian Olberz

912 Ardmore Dr.
Louisville, Kentucky 40217

Ralph Gilpin

2720 Delor Ave.
Louisville, Kentucky 40217

Article VIII

The original bylaws shall be adopted by the ~~initial~~ Board of Directors. Thereafter, the Corporation shall be governed by the Bylaws.

Any director may be removed for cause pursuant to bylaws provisions regarding grounds and procedures for such removal.

Article IX

a) The directors, officers and at-large members, employees and members of this Corporation shall not be held personally liable for any debt or obligation of the corporation solely because of their position in the Corporation.

b) Any person serving on the Board of Directors of this Corporation shall not be held personally liable for monetary damages resulting from the breach of his/her duties as a director unless such act, omission or breach:

1) concerned or concerns a transaction in which the director's personal financial interest was or is in conflict with the financial interests of the Corporation;

2) was not in good faith or involved or involves intentional misconduct on the part of the director;

3) was known by the director to be a violation of law; or

4) resulted in an improper personal benefit to the director.

Article X

The Corporation may indemnify any director, officer and at-large member, or former director, officer and at-large member, of the Corporation against any expenses actually and reasonably incurred by him/her in connection with the defense of any action, suit or proceeding, civil or criminal, in which he/she is made a party by reason of being or having been such director, officer and at-large member, except in relation to matters as to which he/she shall be adjudged in such action, suit or proceeding, to be liable for negligence or misconduct in the performance of duty to the Corporation. The Corporation may make any other indemnification permitted by law and authorized by its articles of incorporation, By-laws or resolution adopted after notice to members entitled to vote.

Article XI

In the event of dissolution of the Corporation, the board of directors shall, after paying or making provision for the payment of all liabilities of the Corporation, dispose of all assets of the Corporation exclusively for the purposes of the Corporation, in such manner, or to such organizations organized and operated exclusively for the promotion of social welfare as shall at the time qualify as an exempt organization under Section 501 (c) (3) of the Internal Revenue Code (or corresponding provisions of any later Federal tax laws), or to a state or local government for a public purpose as the Board of Directors shall determine.

The remaining assets, if any, shall be disposed of by the Circuit Court of the county in which the principal office for the Corporation is then located, exclusively for such purposes or to such organizations as said court shall determine are organized and operated exclusively for such purposes.

Article XII

Amendments to these articles shall be made pursuant to the provisions of KRS 273.263 (or corresponding provision of any later State statute).

IN TESTIMONY WHEREOF, witness the signature of the Incorporator of this Corporation, this **21st** day of **August 2012**.

Peggy L. Klein, Director
Incorporator

STATE OF KENTUCKY)
) SS
COUNTY OF JEFFERSON)

Before me, the undersigned authority, personally appeared and being duly sworn, acknowledged that she is the incorporator and agent of process of the aforementioned Corporation, and that she signed the aforementioned articles of incorporation as her free act and deed.

Witness my signature and seal of office this _____ day of _____ August, 2012.

My Commission Expires: _____.

Notary Public
State At Large, Kentucky

This Document Prepared By:

Peggy L. Klein, Director
George Rogers Clark Park Neighborhood Association, Inc.

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Print or type
See Specific Instructions on page 2.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.
George Rogers Clark Park Neighborhood Association, Inc.

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification; check only one of the following seven boxes:
 Individual/sole proprietor or single-member LLC
 Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____
 C Corporation
 S Corporation
 Partnership
 Trust/estate
 Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.
 Other (see instructions) ▶ _____

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
 Exempt payee code (if any) _____
 Exemption from FATCA reporting code (if any) _____
(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.)
959 McKinley Ave

6 City, state, and ZIP code
Louisville, KY 40217

7 List account number(s) here (optional)

Requester's name and address (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number

			-			-			
--	--	--	---	--	--	---	--	--	--

or

Employer identification number

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here Signature of U.S. person ▶ *Rogey L Klein*

Date ▶ *3-30-15*

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.
Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at www.irs.gov/fw9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1098-K (merchant card and third party network transactions)

- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
 - Form 1099-C (canceled debt)
 - Form 1099-A (acquisition or abandonment of secured property)
- Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.
- If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.
- By signing the filled-out form, you:
- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
 - Certify that you are not subject to backup withholding, or
 - Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
 - Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.



Luv-it Landscaping

P.O. Box 17192
Louisville KY 40217
502-635-1685

635-1685

Bill To: We Don't leave until you say you "Luv-It"

Estimate

Number: **E9506**

Date: **September 08, 2015**

Ship To:

Peggy Klein
George Rogers Park

Peggy Klein
George Rogers Park

Home Phone

Work Phone

Fax

636-0807

Description

Amount

Landscape (see attached)

3 Hydranga's

Blue Pacific

stellas

rock

kill

edge

rock

pre-emergent

Total includes all materials and Labor

965.00

Total

\$965.00

All material is guaranteed to be as specified and the above work to be performed in accordance with the drawings and specifications submitted for above work and completed in a substantial workmanlike manner for the sum of \$ _____ Payment to be made upon the completion of job. We guarantee to replace all nursery stock planted by us (one time) at no charge for cost of plant material, however there will be an installation charge for preparation, labor & installing, provided this account is paid when due. Purchaser obligates himself to give reasonable care to material planted such as watering, cultivating, spraying and protection from weather and animals. Luv-it Landscaping is not responsible for any underlying obstructions. It is the purchaser's obligation to locate all underground lines, wires, pipes, etc. that are subject to damage during landscape construction. No guarantee can be given on seeding, strawing, sodding, annual plants and ground covers. Any alteration or deviation from above specifications involving extra costs, will be executed only upon written orders, and will become an extra charge over and above the estimate. All agreements contingent upon weather, accidents or delays beyond our control. Owner to carry fire, tornado and other necessary insurance upon above work.

Respectfully submitted _____

Note: This proposal may be withdrawn by us if not accepted within _____ days.

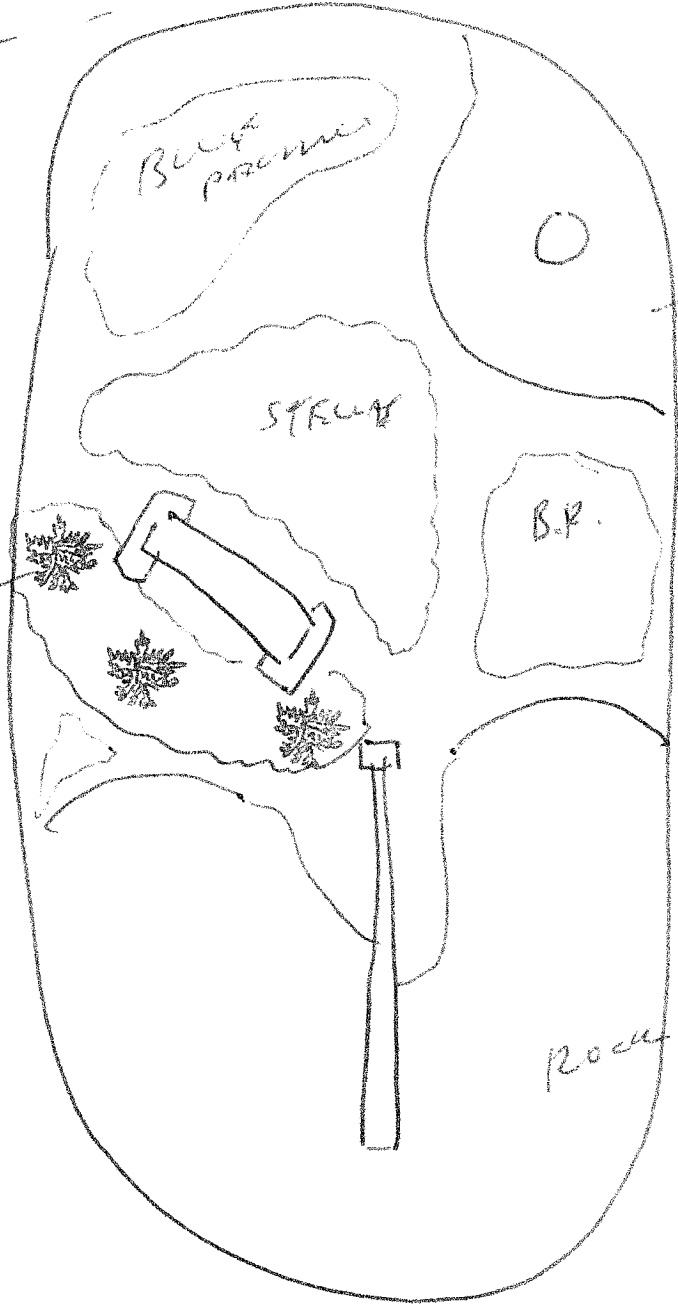
Acceptance of Proposal - The above prices, specifications and conditions are satisfactory and are hereby accepted. You are authorized to do the work as specified. Payment will be made as outlined above.

Date of Acceptance _____

Signature _____

[ATT PERRY
636-0802]

← THURSDAY



(3) 11/4/96

ROCK

- KILL
- ROAD
- ROCK
- PAVEMENT

\$ 965

ROCK

PARKING
LOT



Luv-it Landscaping

P.O. Box 17192
Louisville KY 40217
502-635-1685

635-1685

We Don't Leave until you say you "Luv-It!"

Estimate

Number: **E9507**

Date: **September 08, 2015**

Bill To:
Peggy Klein
George Rogers Park

Ship To:
Peggy Klein
George Rogers Park

Home Phone

Work Phone

Fax

636-0807

Description

Amount

Landscape (see attached)

area 1

1,970.00

5 viburnums

Stellas, Hostas & Liriope

Blue Pacific

dwarf boxwoods

emeralds or Hydrangeas

area 2

385.00

Small boxwoods

1 Hydranga

2 lg Hosta

3 Liriope

Total includes all materials and Labor

Total

\$2,355.00

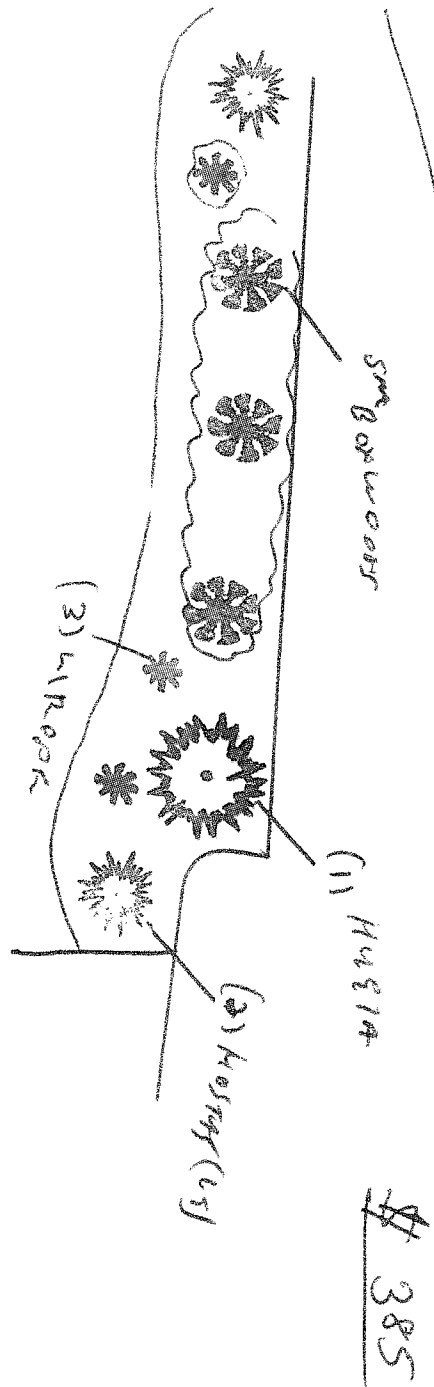
All material is guaranteed to be as specified and the above work to be performed in accordance with the drawings and specifications submitted for above work and completed in a substantial workmanlike manner for the sum of \$ _____. Payment to be made upon the completion of job. We guarantee to replace all nursery stock planted by us (one time) at no charge for cost of plant material; however there will be an installation charge for preparation, labor & installing, provided this account is paid when due. Purchaser obligates himself to give reasonable care to material planted such as watering, cultivating, spraying and protection from weather and animals. Luv-it Landscaping is not responsible for any underlying obstructions. It is the purchaser's obligation to locate all underground lines, wires, pipes, etc. that are subject to damage during landscape construction. No guarantee can be given on seeding, strawing, sodding, annual plants and ground covers. Any alteration or deviation from above specifications involving extra costs, will be executed only upon written orders, and will become an extra charge over and above the estimate. All agreements contingent upon weather, accidents or delays beyond our control. Owner to carry fire, tornado and other necessary insurance upon above work.

Respectfully submitted _____

Note: This proposal may be withdrawn by us if not accepted within _____ days.

Acceptance of Proposal - The above prices, specifications and conditions are satisfactory and are hereby accepted. You are authorized to do the work as specified. Payment will be made as outlined above.

Date of Acceptance: _____ Signature: _____



EMPERORS
 OF HUSIN

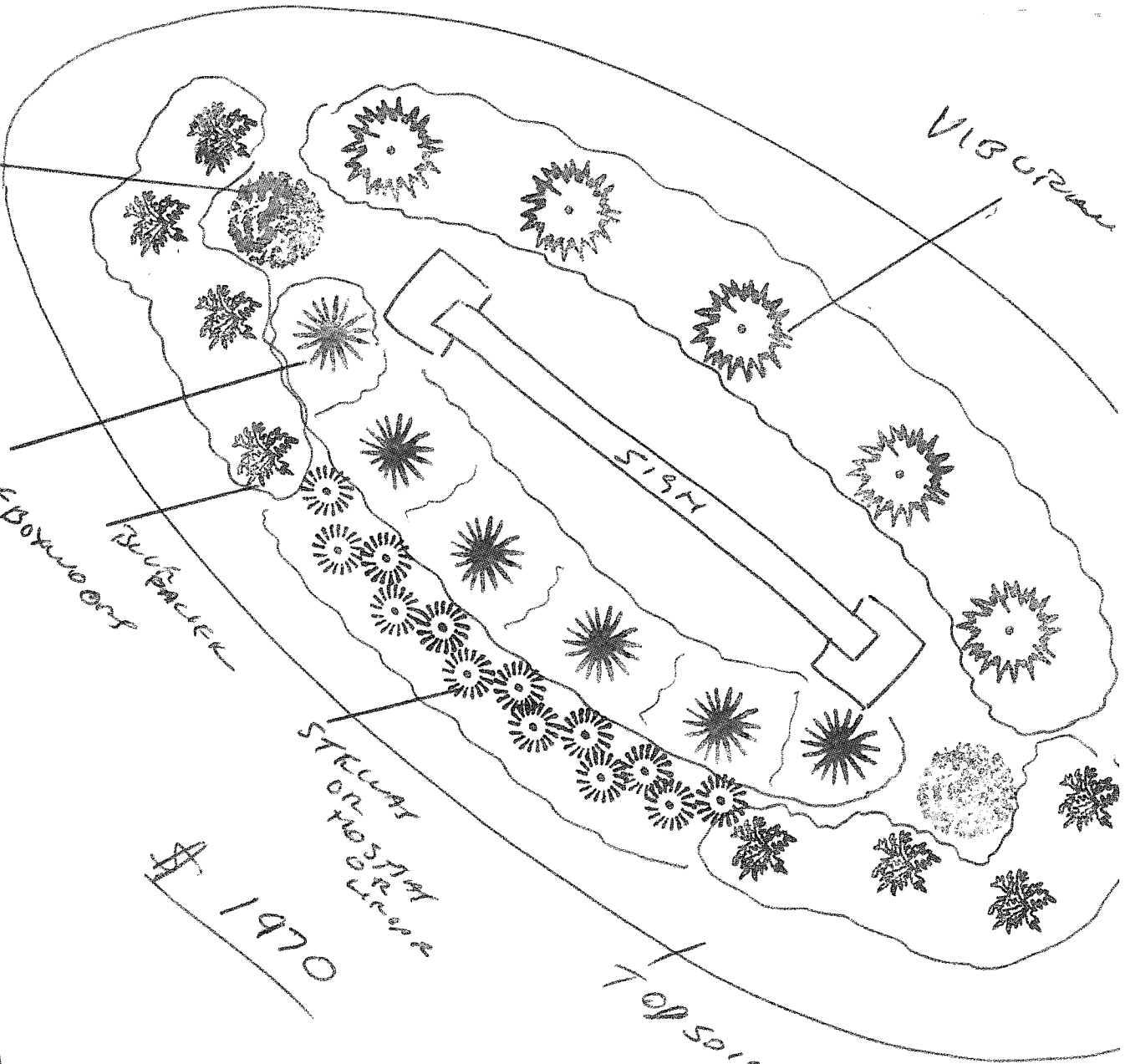
Dwarf Boxwood
 BUBACKEN

STEWART
 OF HOSTA

\$ 1970

TOP SOIL

VIBURNUM



Luv-it Landscaping

P.O. Box 17192
Louisville KY 40217
502-635-1685

Estimate

Number: **E9593**

Date: **October 16, 2015**

Bill To:

Peggy Klein
George Rogers Park

Ship To:

Peggy Klein
George Rogers Park

Home Phone

636-0807

Work Phone

Fax

Description

Amount

Maintenance per year \$550.00

Landscape clean up

trim

edge

mulch hardwood

Fertilize

pre-emergent

deweed

Haul and dump

Total for January - June 30, 2016

275.00

Total

\$275.00

GRCNA PARK LANDSCAPING Volunteer Contribution

Date: October 17, 2015

Mulching, Watering, Spraying, Raking, Site CleanUP

Estimated Yearly Cost of Volunteer Hours for Landscaping at Park

	Hrs	# Volentee	Total Volunteer Hours	Value/Hour	
Spring	4	3			
Summer	4	3			
Fall	4	3			
	<u>12</u>	<u>9</u>	<u>108 hours</u>	\$23.07	\$ 2,491.56

Grant Preparation

24	1	24 hours	\$23.07	\$ 553.68
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Board Meeting Approval & Discussion

2	9	18 hours	\$23.07	\$ 415.26
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Total Estimated Annual Voluntee	150 hrs	<u>\$ 3,460.50</u>
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Total Estimated January thru June, 2015: (Half Year)	<u>\$ 1,730.25</u>
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GEORGE ROGERS CLARK PARK NEIGHBORHOOD ASSOCIATION, INC.**General Information**

Organization Number	0763750
Name	GEORGE ROGERS CLARK PARK NEIGHBORHOOD ASSOCIATION, INC.
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
File Date	5/25/2010
Organization Date	5/25/2010
Last Annual Report	6/9/2015
Principal Office	959 MCKINLEY AVE LOUISVILLE, KY 40217
Registered Agent	PEGGY L. KLEIN 959 MCKINLEY AVE. LOUISVILLE, KY 40217

Current Officers

President	<u>Ron Weckman</u>
Vice President	<u>Marissa Manford</u>
Secretary	<u>Ann Payne</u>
Treasurer	<u>Peggy Klein</u>
Director	<u>Colleen Younger</u>
Director	<u>Frances Skees</u>
Director	<u>Robin Brown</u>
Director	<u>Denny Herberger</u>
Director	<u>Marianna Humphreys</u>

Individuals / Entities listed at time of formation

Director	<u>TRINA MITCHELL</u>
Director	<u>PEGGY KLEIN</u>
Director	<u>CELESTE FAUTZ</u>
Director	<u>BRIAN MAYNARD</u>
Incorporator	<u>TRINA MITCHELL</u>

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

<u>Annual Report</u>	6/9/2015	1 page	<u>PDF</u>
<u>Annual Report</u>	5/30/2014	1 page	<u>PDF</u>

Reinstatement Certificate of Existence	10/25/2013 2:28:08 PM	2 pages	PDF	
Reinstatement	10/25/2013 2:27:01 PM	2 pages	PDF	
Reinstatement Approval Letter Revenue	10/25/2013 2:24:32 PM	1 page	PDF	
Administrative Dissolution	9/28/2013	1 page	PDF	
Amendment	8/22/2012	5 pages	tiff	PDF
Amendment	4/17/2012	2 pages	tiff	PDF
Annual Report	4/3/2012	1 page	PDF	
Principal Office Address Change	9/7/2011	1 page	tiff	PDF
Registered Agent name/address change	9/7/2011	1 page	tiff	PDF
Annual Report	9/2/2011	1 page	PDF	
Articles of Incorporation	5/25/2010	3 pages	tiff	PDF

Assumed Names

Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	6/9/2015 4:55:37 PM	6/9/2015 4:55:37 PM	
Annual report	5/30/2014 11:30:52 AM	5/30/2014 11:30:52 AM	
Reinstatement	10/25/2013 2:27:54 PM	10/25/2013	
Application For Reinstatement	10/25/2013 2:21:33 PM	10/25/2013	
Admin Dis. A. report not in	9/28/2013	9/28/2013	
Amendment - Miscellaneous amendments	8/22/2012 4:05:38 PM	8/22/2012	
Amendment - Miscellaneous amendments	4/17/2012 1:02:38 PM	4/17/2012	
Annual report	4/3/2012 5:18:57 PM	4/3/2012 5:18:57 PM	
Registered agent address change	9/7/2011 8:51:34 AM	9/7/2011	
Principal office change	9/7/2011 8:50:55 AM	9/7/2011	
Annual report	9/2/2011 6:07:55 PM	9/2/2011 6:07:55 PM	
Add	5/25/2010 1:41:15 PM	5/25/2010	

Microfilmed Images