

**NEIGHBORHOOD DEVELOPMENT FUND  
Not-for-Profit Transmittal and Approval Form**

**Applicant/Program:** Jefferson County Public Education Foundation/Central High School Distinguished Alumni Program

**Executive Summary of Request:**  
District Four is allocating Funding to support the CHS Distinguished Alumni Program. This event honors Central High School Alumni for their professional accomplishments, contributions to the Louisville community and nationwide. The funding will cover the space rental, supplies for the event and the awards.

Is this program/project a fundraiser?  Yes  No  
 Is this applicant a faith based organization?  Yes  No  
 Does this application include funding for sub-grantee(s)?  Yes  No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

4 District #      David Dandy/Kik Council Member Signature      \$3500 Amount      12/23/2015 Date

**Primary Sponsor Disclosure**  
List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.  
N/A

**Approved by:**  
\_\_\_\_\_ Date  
Appropriations Committee Chairman

**Clerk's Office Only:**  
Request Amount: \_\_\_\_\_ Committee Amended Appropriation: \_\_\_\_\_  
Original Appropriation: \_\_\_\_\_ Council Amended Appropriation: \_\_\_\_\_

**LOUISVILLE METRO COUNCIL  
NEIGHBORHOOD DEVELOPMENT FUND APPLICATION CHECKLIST**

**Legal Name of Applicant Organization:** Jefferson County Public Education Foundation

**Program Name and Request Amount:** \$3500

	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	<input type="checkbox"/> Yes
Is the funding proposed by Council Member(s) less than or equal to the request amount?	<input type="checkbox"/> Yes
Is the proposed public purpose of the program viable and well-documented?	<input type="checkbox"/> Yes
Will all of the funding go to programs specific to Louisville/Jefferson County?	<input type="checkbox"/> Yes
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	<input type="checkbox"/> Yes
Has prior Metro Funds committed/granted been disclosed?	<input type="checkbox"/> N/A
Is the application properly signed and dated by authorized signatory?	<input type="checkbox"/> Yes
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	<input type="checkbox"/> Yes
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	<input type="checkbox"/> N/A
Is the entity in good standing with: <ul style="list-style-type: none"> <li>• Kentucky Secretary of State?</li> <li>• Louisville Metro Revenue Commission?</li> <li>• Louisville Metro Government?</li> <li>• Internal Revenue Service?</li> <li>• Louisville Metro Human Relations Commission?</li> </ul>	<input type="checkbox"/> Yes
Is the current Fiscal Year Budget included?	<input type="checkbox"/> Yes
Is the entity's board member list (with term length/term limits) included?	<input type="checkbox"/> Yes
Is recommended funding less than 33% of total agency operating budget?	<input type="checkbox"/> N/A
Does the application budget reflect only the revenue and expenses of the project/program?	<input type="checkbox"/> Yes
Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?	<input type="checkbox"/> N/A
Is the most recent annual audit (if required by organization) included?	<input type="checkbox"/> N/A
Is a copy of Signed Lease (if rent costs are requested) included?	<input type="checkbox"/> N/A
Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?	<input type="checkbox"/> N/A
Are the Articles of Incorporation of the Agency included?	<input type="checkbox"/> Yes
Is the IRS Form W-9 included?	<input type="checkbox"/> Yes
Is the IRS Form 990 included?	<input type="checkbox"/> Yes
Are the evaluation forms (if program participants are given evaluation forms) included?	<input type="checkbox"/> N/A
Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?	<input type="checkbox"/> N/A
Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?	<input type="checkbox"/> N/A
Prepared by: <i>KuchadeKung</i>	Date: <i>12/23/2015</i>

# Jefferson County Public Education Foundation

## Nominations Committee

Two Year Terms

<u>Slate of Officers</u>	<u>Term</u>
Jim Allen, Chairman	2015
Franklin Jelsma, Vice Chair	2015
Joe Seiler, Sec/Treasurer	2015

<u>Board</u>	<u>Term</u>
Jim Allen	2015
Robert Arnold	2016
Mike Brown	2014
Vik Chadha	2016
Malcolm Chancey	Emeritus
Sam Corbett	2014
Al Cornish	2015
Joe Seiler	2014
John Gant	2014
Dr. Alex Gerassimides	2016
Joe Hardesty	2016
Audwin Helton	2016
Henry Heuser Jr.	2015
Lynn Heuther	2015
Alice Houston	2014
Tom Hudson	2016
Franklin Jelsma	2015
Kevin Joynt	2016
Tanja Oquendo	2016
Ken Selvaggi	2016
Mark Shirkness	2014
Bill Simpson	2015
Kevin Shurn	2014
Gwen Tilton	2016
Carol Timmons	2016
Jeff Uligian	2014



**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

SECTION 1 - APPLICANT INFORMATION			
Legal Name of Applicant Organization: <b>Jefferson County Public Education Foundation</b> <i>(as listed on: <a href="http://www.sos.ky.gov/business/records">http://www.sos.ky.gov/business/records</a>)</i>			
Main Office Street & Mailing Address: <b>Van Hoose Education Center, 3332 Newburg Rd., Lou., Ky. 40218</b>			
Website:			
Applicant Contact:	<b>Kristin Wingfeld</b>	Title:	<b>Coordinator, School Business Partnerships</b>
Phone:	<b>(502)485-3995</b>	Email:	<b>kristin.wingfeld@jefferson.kyschools.us</b>
Financial Contact:	<b>Denise Dewitt</b>	Title:	<b>Coordinator, Grants and Awards</b>
Phone:	<b>(502)485-3734</b>	Email:	<b>denise.dewitt@jefferson.kyschools.us</b>
Organization's Representative who attended NDF Training:			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s):	<b>Muhammad Ali Center - 144 N. 6th St. - Louisville, KY</b>		
Council District(s):	<b>Mr. David Tandy</b>	Zip Code(s):	<b>40202</b>
SECTION 2 - PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: <b>Central High School Distinguished Alumni Induction Program</b>			
Total Request: (\$)	<b>\$3500.00</b>	Total Metro Award (this program) in previous year: (\$)	<b>\$3500.00</b>
Purpose of Request (check all that apply):			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget) <input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
The Following are Required Attachments:			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current Year Projected Budget <input checked="" type="checkbox"/> List of Board of Directors (include term & term limits) <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation <input checked="" type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input checked="" type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if required <input checked="" type="checkbox"/> Staff including the 3 highest paid staff	
For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
Source:		Amount: (\$)	
Source:		Amount: (\$)	
Source:		Amount: (\$)	
Has the applicant contacted the BBB Charity Review for participation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			

Applicant's Initials



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

VISION - To inspire students to go after their dreams of success by offering effective role models for students. The education, service, professional aptitude, accomplishments, and awards of distinguished alumni demonstrate the reality of what Central High School strives to produce in mass with their current students.

MISSION - Every other year, the CHS Distinguished Alumni Committee honors deserving CHS alumni for their professional accomplishments, contributions in the community, and contributions nationwide. The recipients will have made notable achievements in his or her field and will be distinguished persons in public life, business, and/or service to others.

SERVICES - To publicly recognize CHS alumni for distinguished personal and career accomplishments and for exemplary contributions to society. The CHS Distinguished Alumni Committee serves as a permanent testimony that the Central High School experience prepares graduates to face life's challenges, to problem - solve, and to make decisions that will positively influence and /or contribute to the well - being of our society.

Handwritten initials in black ink, appearing to be "JC".



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

The Central High School Distinguished Alumni Hall of Fame Induction Program

February 28, 2016

7 PM

Program Agenda :

- Invocation
- Welcome
- Remarks
- Purpose
- Musical Selections
- Induction Ceremony
- Presentation Of Golden Diplomas
- School Song
- Benediction

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):

FACILITIES RENTAL = \$1600

FOOD FOR RECEPTION = \$3000

AWARDS = \$925

OFFICE SUPPLIES = 975

PROGRAM MATERIALS = 1100

TOTAL = \$9600 ✓



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

NA

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

- Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):
- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
  - ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

- The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:
- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:**

The recognition program serves to demonstrate the breadth and depth of distinguished professional accomplishments, outstanding character, community service, and commitment to excellence of Central High School Alumni. A larger implication is that it serves as a role model to Central students. CHS students are being inspired to make successful transitions to postsecondary education and employment. Statistics on the number of CHS students being accepted to college: since 2011, 100% of the graduating seniors have been accepted into a college or university.

**F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.**

**The following Central High School Students will be participating  
in this event:**

- I. Members of the National Honor Society will serve as Hosts and Hostesses.**
- II. Band and Chorus members will provide entertainment.**
- III. Students and their families will attend.**





LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
A: Personnel Costs Including Benefits			
B: Rent/Utilities	\$1600		\$1600
C: Office Supplies	\$975		\$975
D: Telephone			
E: In-town Travel			
F: Client Assistance (Attach Detailed List)			
G: Professional Service Contracts		\$3000	\$3000
H: Program Materials	\$925	\$175	\$1100
I: Community Events & Festivals (Attach Detail List)			
J: Small Equipment			
K: Capital Equipment			
L: Other Expenses (Attach Detail List) <b>(THE AWARDS)</b>		\$2925	\$2925
<b>*TOTAL PROGRAM/PROJECT FUNDS</b>	✓ \$3500	\$6100	\$9600
% of Program Budget	36 %	64 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	\$6100
Fees Collected from Program Participants	
Other (please specify)	
Total Revenue for Column 2 Expenses	\$6100

\*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

\*\*Must equal or exceed total in column 2.



**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
<i>Total Value of In-Kind (to match Program Budget Line Item. Volunteer Contribution &amp; Other In Kind)</i>		

\* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK

Agency Fiscal Year Start Date:

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO  YES

If YES, please explain:

Applicant's Initials

***L: Other Expenses (Detailed List)***

**Golden Diplomas = \$925**

**Plaques For The Recipients = \$1000**

**Plaques For The CHS Wall Of Fame = \$1000**

**TOTAL = \$2925**



# LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

## SECTION 6 - CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

### Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.

### Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

N/A

## SECTION 7 - CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:	<i>Sam Corbett</i>	Date:	11/30/15
Legal Signatory: (please print):	Sam Corbett	Title:	Ex Director
Phone:	502.485-3495	Extension:	—
Email:	tawnya.may@Jefferson.kyschools.us		

Applicant's Initials *SC*

**CENTRAL HIGH SCHOOL DISTINGUISHED ALUMNI HALL OF FAME PLANNING COMMITTEE**

**CHARLES R. SNOW – CHAIRMAN**

**DR. DANIEL WITHERS – TREASURER**

**KATHY BRITT – SECRETARY**

**MEMBERS**

**KENNETH CLAY**

**DR. ROBERT DOUGLAS**

**REV. GEOFFREY S. ELLIS**

**DR. AIMEE M. GREEN**

**CARRYE B. JONES**

**CHARLES PARHAM**

**JOANNA SMITH**

**EMMA TALBOTT**

**DAVID WHEAT**

**WILLIAM WILSON**

**RAYMOND GREEN**



**EXHIBIT 1**

**Muhammad Ali Center**  
**One Muhammad Ali Plaza**  
**144 North Sixth Street**  
**Louisville, KY 40202**  
**Telephone Number: (502) 992-5326**  
**Fax Number: (502) 589-4905**

**Contact: Mr. Charles Snow**  
**Mailing Address: CRS and Associates**  
**7803 Kendrick Crossing Lane**  
**Louisville, KY 40291**  
**E-mail: crsnow9@bellsouth.net**

**EVENT ORDER: MAC3803**  
**Event Date: Sunday, February 28, 2016**  
**Event Name: CENTRAL HIGH SCHOOL**  
**DISTINGUISHED ALUMNI PROGRAM**  
**Site: Muhammad Ali Center**  
**Salesperson: Elizabeth Vissing**

**Daytime Phone: (502) 762-0213**  
**Fax Number: (502) 762-0213**  
**On-Site Contact: Charles R. Snow**

Day/Date	Start/End Time	Location	Function	#Guests	Fee
Sun, 2/28/16	6:00PM- 7:00PM	Exhibit Floors	Self Guided Tour	300	\$0.00
Sun, 2/28/16	6:00PM-10:00PM	View Pointe Hall	Awards Program	300	\$2,000.00

**Charges: \$2,000.00**  
**20% Discount: - \$400.00**  
**Balance Due: \$1,600.00**

**Scheduled Payments:** \$400.00 5/27/2015  
 \$1,200.00 2/12/2016

Lessee's Initials \_\_\_\_\_

MAC's Initials \_\_\_\_\_

Approved by State Board of Accounts for use in  
CENTRAL HIGH SCHOOL

## Detailed Fund Account Ledger

3123 DISTINGUISHED ALUMNI Fund  
July 01, 2012 ==> June 30, 2013

Date	Description	Receipt Check #	Receipts Credit	Disbursements Debit	Balance
1/24/2013	GEOFFREY ELLIS Source: DR. WITHERS	11173	\$25.00		\$641.53
				Balance Forward	\$666.53
4/25/2013	ROBERT DOUGLAS Source: DR WITHERS	11788	\$100.00		\$766.53
5/22/2013	GEOFFREY ELLIS Source: DR. WITHERS	11871	\$25.00		\$791.53
5/22/2013	FACILITIES RENTAL DEPOSIT Paid To: MUHAMMAD ALI CENTER	12751		\$400.00	\$391.53

Beginning Balance	\$641.53
3 Receipts Totaling	\$150.00
1 Disbursements Totaling	\$400.00
Ending Balance	\$391.53

# CENTRAL HIGH SCHOOL

## Fund Account Ledger (Form SA-6)

3123 DISTINGUISHED ALUMNI Fund  
July 01, 2013 ==> June 30, 2014

Date	Description	Receipt Check #	Receipts Credit	Disbursements Debit	Balance
				Balance Forward	\$391.53
7/31/2013	CHARLES SNOW	12010	\$100.00		\$491.53
8/15/2013	DANIEL WITHERS	12056	\$200.00		\$691.53
8/15/2013	KENNETH KENNEDY	12056	\$200.00		\$891.53
9/11/2013	JOANNA SMITH	12260	\$200.00		\$1,091.53
9/11/2013	DAVID WHEAT	12260	\$200.00		\$1,291.53
9/11/2013	CHARLES SNOW	12260	\$100.00		\$1,391.53
9/11/2013	WILLIAM WILSON	12260	\$200.00		\$1,591.53
9/11/2013	CARRYE JONES	12260	\$100.00		\$1,691.53
9/11/2013	ROBERT DOUGLAS	12260	\$100.00		\$1,791.53
10/3/2013	THOMAS DANIELS	12438	\$100.00		\$1,891.53
10/11/2013	MAILING COST - FED EX	TSF18			\$1,891.53
10/16/2013	ROBERT G CARTER	12531	\$125.00	\$21.30	\$1,870.23
10/23/2013	GEOFFREY ELLIS	12590	\$25.00		\$1,995.23
10/25/2013	KATHY BRITT	12607	\$200.00		\$2,020.23
11/13/2013	AIMEE GREEN	12716	\$100.00		\$2,220.23
12/5/2013	CARRY JONES	12829	\$100.00		\$2,320.23
1/10/2014	ROBERT DOUGLAS	12931	\$100.00		\$2,420.23
1/10/2014	KENNETH CLAY	12931	\$200.00		\$2,520.23
1/10/2014	CHARLES PARHAM	12931	\$200.00		\$2,720.23
1/24/2014	GEOFFREY ELLIS	12977	\$50.00		\$2,920.23
1/29/2014	DONATION D B SANDERS	12996	\$50.00		\$2,970.23
1/31/2014	DEPOSIT ON CATERING	13721			\$3,020.23
2/17/2014	AIMEE GREEN	13063	\$100.00	\$1,296.25	\$1,723.98
2/19/2014	KEY BOARD RENTAL	13820			\$1,823.98
2/24/2014	BAL. CATERING SERVICES	13843		\$47.00	\$1,776.98
1/7/2014	<del>OVERPYMT ON FACILITIES RENTAL</del>	<del>13168</del>	<del>\$400.00</del>	\$1,546.25	\$230.73
1/1/2014	FLOWERS FOR M C	14097			\$630.73
1/30/2014	ALUMNI BANQUET EXPENSES	TSF85		\$51.95	\$578.78
				\$500.00	\$78.78
Beginning Balance			\$391.53		
22 Receipts Totaling			\$3,150.00		
6 Disbursements Totaling			\$3,462.75		
Ending Balance					\$78.78
Encumbered Amount				\$0.00	
Funds Available					\$78.78



**NOMINATIONS COMMITTEE**

Two Year Terms

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Joe Seiler, Sec/Treasurer	2015

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Tom Hudson	2016
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Kevin Joynt	2016
Tanja Oquendo	2016
Ken Selvaggi	2016
Mark Shirkness	2014
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# Jefferson County Public Education Foundation

## Nominations Committee

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Lynn Heuther	2015
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Franklin Jelsma	2015
Kevin Joynt	2016
Tanja Oquendo	2016
Ken Selvaggi	2016
Mark Shirkness	2014
Bill Simpson	2015
Kevin Shurn	2014
Gwen Tilton	2016
Carol Timmons	2016
Jeff Uligian	2014

**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

**FINANCIAL STATEMENTS**

**YEARS ENDED  
JUNE 30, 2014 AND 2013**

**JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.**

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# MONROE SHINE

Certified Public Accountants

KNOWLEDGE FOR TODAY . . . VISION FOR TOMORROW

1200 FOREST BRIDGE ROAD, SUITE 200 LOUISVILLE, KY 40223 • PO BOX 22039 LOUISVILLE, KY 40252 • PHONE: 502.423.0311 • FAX: 502.339.7103

## Independent Auditor's Report

Board of Directors

**Jefferson County Public Education Foundation, Inc.**

Louisville, Kentucky

We have audited the accompanying financial statements of **Jefferson County Public Education Foundation, Inc.**, which comprise the statements of assets and net assets – cash basis as of June 30, 2014 and 2013, and the related statements of revenues and support, expenses, and changes in net assets - cash basis for the years then ended, and the related notes to the financial statements.

### Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with the cash basis of accounting as described in Note 1; this includes determining that the cash basis of accounting is an acceptable basis for the preparation of the financial statements in the circumstances. Management is also responsible for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

### Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of **Jefferson County Public Education Foundation, Inc.** as of June 30, 2014 and 2013, and the changes in its net assets for the years then ended in accordance with the cash basis of accounting as described in Note 1.

### Basis of Accounting

We draw attention to Note 1 of the financial statements, which describes the basis of accounting. The financial statements are prepared on the cash basis of accounting, which is a basis of accounting other than accounting principles generally accepted in the United States of America. Our opinion is not modified with respect to that matter.

*Monroe Shine*

Louisville, Kentucky  
January 23, 2015

**JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.**  
**STATEMENTS OF ASSETS AND NET ASSETS - CASH BASIS**  
**JUNE 30, 2014 AND 2013**

**ASSETS**

	<u><b>2014</b></u>	<u><b>2013</b></u>
Cash and cash equivalents	<u>\$ 1,625,514</u>	<u>\$ 1,582,351</u>
 <b>NET ASSETS</b>		
Unrestricted	17,279	24,809
Unrestricted - board designated	103,765	175,000
Temporarily restricted	<u>1,504,470</u>	<u>1,382,542</u>
 <b>TOTAL NET ASSETS</b>	 <u><u>\$ 1,625,514</u></u>	 <u><u>\$ 1,582,351</u></u>

See notes to financial statements.

**JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.**  
**STATEMENTS OF REVENUES AND SUPPORT, EXPENSES, AND**  
**CHANGES IN NET ASSETS - CASH BASIS**  
**YEAR ENDED JUNE 30, 2014**

	Every One Reads	Innovation 13 Grants	Metro Government Grants	Western High School Early College
<b>TEMPORARILY RESTRICTED NET ASSETS</b>				
<b>Revenue and support:</b>				
Contributions	\$ 3,000	\$ 212,500	\$ 87,731	\$ 97,261
Net investment income	-	-	-	-
Transfers to unrestricted	(5,987)	(219,554)	(15,988)	(43,536)
<b>Changes In Temporarily Restricted Net Assets</b>	<b>(2,987)</b>	<b>(7,054)</b>	<b>71,743</b>	<b>53,725</b>
<b>UNRESTRICTED NET ASSETS</b>				
<b>Revenue and support:</b>				
Contributions	-	-	-	-
Net investment income	-	-	-	-
Board designated transfers	-	-	-	-
Transfers between programs	-	-	-	-
Transfers from temporarily restricted	5,987	219,554	15,988	43,536
	<u>5,987</u>	<u>219,554</u>	<u>15,988</u>	<u>43,536</u>
<b>Expenses:</b>				
Educational grants and expenses	5,987	219,554	15,988	43,536
General expenses	-	-	-	-
	<u>5,987</u>	<u>219,554</u>	<u>15,988</u>	<u>43,536</u>
<b>Changes In Unrestricted Net Assets</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Changes In Total Net Assets</b>	<b>(2,987)</b>	<b>(7,054)</b>	<b>71,743</b>	<b>53,725</b>
<b>Total Net Assets, Beginning of Year</b>	<b>225,432</b>	<b>294,591</b>	<b>11,100</b>	<b>24,306</b>
<b>Total Net Assets, End of Year</b>	<b>\$ 222,445</b>	<b>\$ 287,537</b>	<b>\$ 82,843</b>	<b>\$ 78,031</b>

See notes to financial statements.

	Other Temporarily Restricted	Temporarily Restricted Total	Board Designated Programs	Other Unrestricted	Total
\$	468,211	\$ 868,703	\$ -	\$ -	\$ 868,703
	4	4	-	-	4
	(461,814)	(746,879)	-	-	(746,879)
	6,401	121,828	-	-	121,828
	-	-	-	1,999	1,999
	-	-	-	155	155
	100	100	-	(100)	-
	-	-	-	-	-
	461,814	746,879	-	-	746,879
	461,914	746,979	-	2,054	749,033
	461,814	746,879	71,235	-	818,114
	-	-	-	9,584	9,584
	461,814	746,879	71,235	9,584	827,698
	100	100	(71,235)	(7,530)	(78,665)
	6,501	121,928	(71,235)	(7,530)	43,163
	827,113	1,382,542	175,000	24,809	1,582,351
\$	833,614	\$ 1,504,470	\$ 103,765	\$ 17,279	\$ 1,625,514



**JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.**  
**STATEMENTS OF REVENUES AND SUPPORT, EXPENSES, AND**  
**CHANGES IN NET ASSETS - CASH BASIS**  
**YEAR ENDED JUNE 30, 2013**

	Every One Reads	Innovation I3 Grants	Metro Government Grants	Zeon Chemicals
<b>TEMPORARILY RESTRICTED NET ASSETS</b>				
<b>Revenue and support:</b>				
Contributions	\$ 30,740	\$ 137,500	\$ 51,546	\$ -
Net investment income	-	-	-	-
Transfers to unrestricted	(5,824)	(152,997)	(98,464)	(281,696)
<b>Changes In Temporarily Restricted Net Assets</b>	<b>24,916</b>	<b>(15,497)</b>	<b>(46,918)</b>	<b>(281,696)</b>
<b>UNRESTRICTED NET ASSETS</b>				
<b>Revenue and support:</b>				
Contributions	-	-	-	-
Net investment income	-	-	-	-
Board designated transfers	-	-	-	-
Transfers between programs	(2,000)	-	-	-
Transfers from temporarily restricted	5,824	152,997	98,464	281,696
	<u>3,824</u>	<u>152,997</u>	<u>98,464</u>	<u>281,696</u>
<b>Expenses:</b>				
Educational grants and expenses	5,824	152,997	98,464	281,696
General expenses	-	-	-	-
	<u>5,824</u>	<u>152,997</u>	<u>98,464</u>	<u>281,696</u>
<b>Changes In Unrestricted Net Assets</b>	<b>(2,000)</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Changes In Total Net Assets</b>	<b>22,916</b>	<b>(15,497)</b>	<b>(46,918)</b>	<b>(281,696)</b>
<b>Total Net Assets, Beginning of Year</b>	<b>202,516</b>	<b>310,088</b>	<b>58,018</b>	<b>432,262</b>
<b>Total Net Assets, End of Year</b>	<b>\$ 225,432</b>	<b>\$ 294,591</b>	<b>\$ 11,100</b>	<b>\$ 150,566</b>

See notes to financial statements.

<u>Department</u>	<u>Original Budget</u>	<u>Revised Budget</u>	<u>Expenditures To Date</u>
<b>Develop Louisville</b>			
General Fund	10,759,600.00	12,213,141.85	
Agency Receipts	1,545,000.00	1,555,000.00	
General Fund Expenditures			2,325,367.25
Community Development Block	3,026,000.00	3,101,978.17	558,503.05
Subtotal:	15,330,600.00	16,870,120.02	2,883,870.30
Federal	2,669,400.00	3,017,164.97	192,223.09
State	0.00	0.00	0.00
Miscellaneous	54,000.00	118,405.93	21,835.23
DL Total:	18,054,000.00	20,005,690.92	3,097,928.62
<b>Office of Management &amp; Budget</b>			
General Fund	35,718,900.00	36,308,573.28	
Agency Receipts	13,981,900.00	13,981,900.00	
General Fund Expenditures			8,686,046.80
Subtotal:	49,700,800.00	50,290,473.28	8,686,046.80
Federal	0.00	0.00	36,315.92
State	0.00	0.00	312.30
Miscellaneous	0.00	0.00	0.00
OMB Total:	49,700,800.00	50,290,473.28	8,722,675.02
<b>General Adjustments and Accounts</b>			
General Fund	10,020,900.00	10,020,900.00	
Agency Receipts	910,000.00	910,000.00	
General Fund Expenditures			1,029,371.56
Gen Adj Total:	10,930,900.00	10,930,900.00	1,029,371.56
<b>Office of Performance Improvement</b>			
General Fund	1,275,400.00	1,275,400.00	327,849.62
<b>Human Resources</b>			
General Fund	3,800,900.00	3,800,900.00	
Agency Receipts	160,000.00	160,000.00	
General Fund Expenditures			902,772.76
HR Total:	3,960,900.00	3,960,900.00	902,772.76
<b>Department of Information Technology</b>			
General Fund	12,008,500.00	12,012,348.40	
Agency Receipts	1,063,800.00	1,063,800.00	
General Fund Expenditures			2,618,547.20
DoIT Total:	13,072,300.00	13,076,148.40	2,618,547.20
<b>Waterfront Development Corp</b>			
General Fund	1,515,200.00	1,515,200.00	246,750.00



<u>Department</u>	<u>Original Budget</u>	<u>Revised Budget</u>	<u>Expenditures To Date</u>
<b>Louisville Fire</b>			
General Fund	50,509,500.00	50,558,812.53	
Agency Receipts	2,716,100.00	2,716,100.00	
General Fund Expenditures			13,876,155.84
Subtotal:	53,225,600.00	53,274,912.53	13,876,155.84
Federal	0.00	0.00	0.00
State	0.00	0.00	0.00
Miscellaneous	0.00	0.00	0.00
Fire Total:	53,225,600.00	53,274,912.53	13,876,155.84
<b>Emergency Services</b>			
General Fund	40,573,500.00	40,573,500.00	
Agency Receipts	315,300.00	315,300.00	
General Fund Expenditures			10,292,743.18
Subtotal:	40,888,800.00	40,888,800.00	10,292,743.18
Federal	697,900.00	672,243.69	154,223.13
State	544,600.00	179,998.50	186,036.13
Miscellaneous	4,447,800.00	4,446,911.20	914,949.24
Emergency Services Total:	46,579,100.00	46,187,953.39	11,547,951.68
<b>Department of Corrections</b>			
General Fund	52,441,700.00	52,441,700.00	
Agency Receipts	2,499,100.00	2,499,100.00	
General Fund Expenditures			12,449,447.57
Subtotal:	54,940,800.00	54,940,800.00	12,449,447.57
Federal	123,000.00	92,860.41	427.50
Miscellaneous	810,000.00	1,246,695.87	119,034.28
DOC Total:	55,873,800.00	56,280,356.28	12,568,909.35
<b>Youth Detention Services</b>			
General Fund	9,689,600.00	9,689,600.00	
Agency Receipts	87,500.00	87,500.00	
General Fund Expenditures			2,471,575.33
Subtotal:	9,777,100.00	9,777,100.00	2,471,575.33
Federal	14,000.00	14,000.00	4,510.11
YDS Total:	9,791,100.00	9,791,100.00	2,476,085.44
<b>Criminal Justice Commission</b>			
General Fund	322,400.00	322,400.00	79,872.98
Federal	800,000.00	786,685.13	8,947.60
CJC Total:	1,122,400.00	1,109,085.13	88,820.58

Metro Council Grant  
Central High School  
January 1 - June 30 2016  
Distinguished Alumni Program

Facility Rental, Materials and Supplies

\$3,500



*JCPEF is the applicant*

Handwritten initials, possibly 'JC' and 'PEF', written in cursive.

JEFFERSON COUNTY PUBLIC SCHOOLS  
GRANT APPLICATION SUMMARY SHEET

Project Director Name: Kathy Britt

Position: Teacher

School/Department: Central

Work Telephone: 502-485-8226

Funding Source: Louisville Metro Council

Funding Request Amount: \$3,500

How does this grant align with **Strategic Plan: Vision 2015?** (Please identify the specific **Focus Area(s), Goal(s) and Strategies** that are aligned with this grant application.) Focus Area: Stakeholder Involvement/Engagement

What are the **measurable** goals and outcomes? Hold recognition program for CHS distinguished alumni; program involves student groups including band and chorus, NHS and families.

Project Name: Central High School Distinguished Alumni Project Description - what methods will be used and what is the implementation plan? For example, does the grant involve instructional time, taking students out of class for fieldtrips, outside curriculum materials, after school activities, etc? What will occur, when and how often? One time event

Grade Level of Students: 9-12

Total Number of Students Impacted by Grant: 95

Duration of Grant: 1/1/2016- 6/30/216

Due Date: ASAP

Does the grant financially obligate the district after the end date? Namely, will project activities continue after the grant ends? Yes \_\_\_\_\_ No X If yes, how will those activities be funded?: \_\_\_\_\_

Does this funding source allow indirect costs? Yes \_\_\_\_\_ No X; JCPEF is the applicant

If no, please attach documentation. \_\_\_\_\_

Does the funder require matching funds/in-kind contributions? Yes X No \_\_\_\_\_

If yes, what is the amount and source of matching funds or in-kind contribution?

\$4,400 - from individual, private donors

Are new positions included in the budget? Yes \_\_\_\_\_ No X

If yes, indicate the title and grade: \_\_\_\_\_

Project Director Signature: Kathy Britt

Date: 11/18/15

Signature of Principal/Department Head: Rubler

Date 11/18/15

Assistant Superintendent: \_\_\_\_\_ Date \_\_\_\_\_

Resource Development Staff Assigned to Project: BC

Email, Fax, or Mail to:

[becky.crump@jefferson.kyschools.us](mailto:becky.crump@jefferson.kyschools.us)

502-485-8986 FAX

Resource Development

Questions call 485-3290

BYLAWS OF THE  
JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION

December 10, 2008

ARTICLE I

PURPOSES

The particular purposes of the corporation are the solicitation and receipt of gifts, grants and contributions from individuals, groups, corporations and other sources, public and private, to assist and support financially and otherwise the public school system of Jefferson County, Kentucky; to engage in any and all activities which advance the education of the citizens of Louisville and Jefferson County, Kentucky through the support of the Jefferson County Public Schools.

The core purpose of the Jefferson County Public Education Foundation is to improve student outcomes and the learning of every student in every school, in collaboration with district leadership, by engaging the support of business and the community.

The vision of the Jefferson County Public Education Foundation will be a creative catalyst for change that improves school leadership, teaching and learning. As advocates for excellence and facilitators of collaboration, the Jefferson County Public Education Foundation will champion high quality education, increase community understanding of and support for great public schools, and gain involvement of diverse constituencies in helping Jefferson County Public School students be successful in learning and in life.

It is the policy of the 501(c)(3) corporation that no restricted donations be accepted not any expenditure made by the corporation except upon the recommendation of the Superintendent of the Board of Education of Jefferson County, Kentucky that is consistent with the policies and priorities of the Board of Education of Jefferson County, Kentucky.

ARTICLE II

OFFICES

The principal office of the corporation in the State of Kentucky and its registered office under the laws of Kentucky shall be located (in care of Joe Seiler, Secretary/Treasurer) at National City Bank, 31T09B, 101 S. 5<sup>th</sup> Street, 9<sup>th</sup> Floor,

SECTION 3. NOMINATING COMMITTEE. There shall be a Nominating Committee made up of a minimum of three directors appointed by the chairperson. The Nominating Committee shall develop a list of candidates to fill vacant positions on the Board of Directors. The nominees shall be considered by the full Board and voted on as described in Section 8. The Nominating Committee shall also present a slate of officers for election at the annual June meeting.

SECTION 4. REGULAR MEETINGS. A regular meeting of the Board of Directors shall be held without other notice than this bylaw. The Board of Directors may provide, by resolution, the time and place, within or without the State of Kentucky, for the holding of additional regular meetings without other notice than such resolution. There shall be an annual meeting of the Board of Directors in June of each year.

SECTION 5. SPECIAL MEETINGS. Special meetings of the Board of Directors may be called by or at the request of the chairman or any two directors. The person or persons authorized to call special meetings of the Board of Directors may fix any place, either within or without the State of Kentucky, as the place for holding any special meeting of the Board of Directors called by them.

SECTION 6. NOTICE. Notice of any special meeting shall be given at least two days previously thereto by written notices delivered personally or mailed to each director at his business address, or by telegram. If mailed, such notice shall be deemed to be delivered when deposited in the United States mail in a sealed envelope so addressed, with postage thereon prepaid. If notice were given by telegram, such notice shall be deemed to be delivered when the telegram is delivered to the telegraph company. Any director may waive notice of any meeting. The attendance of a director at any meeting shall constitute a waiver of notice of such meeting, except where a director attends a meeting for the express purpose of objecting to the transaction of any business because the meeting is not lawfully called or convened. Neither the business to be transacted at, nor the purpose of, any regular or special meeting of the Board of Directors need be specified in the notice or waiver of notice of such meeting.

SECTION 7. QUORUM. A majority of the Board of Directors shall constitute a quorum for the transaction of business at any meeting of the Board of Directors, provided that if less than a majority of the directors are present at said meeting, a majority of the directors present may adjourn the meeting from time to time without further notice.



or more assistant treasurers and assistant secretaries, all of whom shall be elected by the Board of Directors. The same person may hold any two or more offices, except that of chairman.

SECTION 2. ELECTION AND TERM OF OFFICE. The officers of the corporation shall be elected annually by the Board of Directors at the first meeting of the Board of Directors. If the election of officers shall not be held at such meeting, such election shall be held as soon thereafter as conveniently may be. Vacancies may be filled or new offices created and filled at any meeting of the Board of Directors. Each officer shall hold office until his successor shall have been duly elected and shall have qualified or until his death or until he shall resign or shall have been removed in the manner hereinafter provided.

SECTION 3. REMOVAL. Any officer or agent elected or appointed by the Board of Directors may be removed by the Board of Directors whenever in its judgment the best interest of the corporation would be served thereby, but such removal shall be without prejudice to the contract rights, if any, of the person so removed. Election or appointment of an officer or agent shall not of itself create contract rights. A director will be considered for removal from the Board if the director misses two meetings in one calendar year period.

SECTION 4. VACANCIES. A vacancy in any office because of death, resignation, removal, disqualification or otherwise may be filled by the Board of Directors for the unexpired portion of the term.

SECTION 5. CHAIRMAN. The chairman shall be the principal executive officer of the corporation and shall in general supervise and control all of the business and affairs of the corporation. The chairman shall preside at all meetings of the Board of Directors. The chairman may sign, with the secretary, or any other proper officer of the corporation thereunto authorized by the Board of Directors, any deeds, mortgages, bonds, contracts, or other instruments which the Board of Directors has authorized to be executed except in cases where the signing and execution thereof shall be expressly delegated by the Board of Directors or by these bylaws to some other officer or agent of the corporation, or shall be required by law to be otherwise signed or executed; and in general shall perform all duties incident to the office of chairman and such other duties as may be prescribed by the Board of Directors from time to time.

SECTION 6. VICE CHAIRMAN. In the absence of the chairman or in the event of his inability or refusal to act, the vice chairman shall perform the duties of the chairman and, when so acting, shall have all the powers of and be subject to all the restrictions upon the chairman. The vice chairman shall perform

SECTION 2. LOANS. No loans shall be contracted on behalf of the corporation, and no evidences of indebtedness shall be issued in its name unless authorized by a resolution of the Board of Directors. Such authority may be general or confined to specific instances.

SECTION 3. CHECKS, DRAFTS, ORDERS, ETC. All checks, drafts, or other orders for the payment of money, notes or other evidences of indebtedness issued in the name of the corporation shall be signed by such officer or officers, agent or agents, of the corporation and in such manner as shall from time to time be determined by resolution of the Board of Directors.

SECTION 4. DEPOSITS. All funds of the corporation not otherwise employed shall be deposited from time to time to the credit of the corporation in such banks, trust companies, or other depositories as the Board of Directors may select.

#### ARTICLE VI

##### INVESTMENT REPORTS

The corporation shall furnish reports at least annually to the Superintendent of the Board of Education of Jefferson County for the purpose of assisting the Board of Education of Jefferson County to insure that the corporation has invested its assets at a reasonable rate of return.

#### ARTICLE VII

##### FISCAL YEAR

The fiscal year of the corporation shall begin on the 1st day of July and end on the 30th day of June of each calendar year.

#### ARTICLE VIII

##### WAIVER OF NOTICE

Whenever any notice whatever is required to be given under the provisions of these bylaws, or under the provisions of the Articles of Incorporation, or under the provisions of the corporation laws of the State of Kentucky, waiver thereof in writing, signed by the person, or persons, entitled to such notice, whether before or after the time stated therein, shall be deemed equivalent to the giving of such notice.

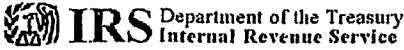
FY16 Budget

**Revenue**

Corporate Total	\$250,000
Foundation Total	\$1,000,000
Government Total	\$10,000
Individual Total	\$200,000
	\$1,460,000

**Expenses**

Student Scholarships	\$25,000
School-Based Support	\$586,000
Teacher Recognition Programs	\$49,000
Ford Next Generation Learning	\$100,000
Kindergarten Readiness Efforts	\$500,000
Operating Expenses	\$200,000
	\$1,460,000



Department of the Treasury  
Internal Revenue Service

P.O. Box 2508  
Cincinnati OH 45201

In reply refer to: 0248164841  
June 14, 2011 LTR 4168C E0  
[REDACTED] 000000 00

00015796  
BODC: TE

JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION  
502 WOOD RD RM 201  
LOUISVILLE KY 40222



034020

Employer Identification Number: [REDACTED]  
Person to Contact: B. HALL  
Toll Free Telephone Number: 1-877-829-5530

Dear TAXPAYER:

This is in response to your June 03, 2011, request for information regarding your tax-exempt status.

Our records indicate that you were recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in JULY 1983.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Please refer to our website [www.irs.gov/eo](http://www.irs.gov/eo) for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.

**BOARD OF DIRECTORS**

Chairman  
**James Allen**  
Hilliard Lyons

Vice-Chairman  
**Franklin Jelsma**  
Wyatt Tarrant & Combs

Secretary-Treasurer  
**Joe Seller**  
PBI Bank

**Robert J. Arnold**  
Republic Bank & Trust Company

**Mike Brown**  
Barrister Commercial Group

**Vik Chadha**  
GlowTouch Technologies

**Malcolm B. Chancey Jr.**  
Emeritus

**Al Cornish**  
Norton Healthcare

**Alex Gerassimides, M.D.**  
CPA Lab

**Joe Hardesty**  
Stites & Harbison

**Audwin Helton**  
Spatial Data Integrations

**Henry Heuser Jr.**  
Henry Vogt/Unistar

**Tom Hudson**  
nth/works

**Lynn Huether**  
Class Act Federal Credit Union

**Kevin Joynt**  
Deloitte

**Tanja Oquendo**  
KentuckyOne Health

**Mitch Rue**  
WMR Consulting

**Ken Selvaggi**  
WAVE 3 TV

**Mark Shirkness**  
General Electric

**Kevin Shum**  
Superior Maintenance Company

**Bill Simpson**  
Zeon Chemicals

**Carol Timmons**  
Business First

**Jeff Uligian**  
Genentech

TO: Joe Seiler

FROM: Keidra King

SUBJECT: Jefferson County Public Education Foundation NDF Application

DATE: December 9, 2015

The only paid staff member for the Jefferson County Public Education Foundation is the Executive Director, Sam Corbett.

If you have any questions, please call me at 485-3995.

Thank you.

KW:tm

## Request for Taxpayer Identification Number and Certification

Give Form to the  
requester. Do not  
send to the IRS.

Print or type  
See Specific Instructions on page 2.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank. <b>Jefferson County Public Education Foundation</b>	
2 Business name/disregarded entity name, if different from above	
3 Check appropriate box for federal tax classification; check only one of the following seven boxes: <input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner. <input checked="" type="checkbox"/> Other (see instructions) ▶ <b>non-profit</b>	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <i>(Applies to accounts maintained outside the U.S.)</i>
5 Address (number, street, and apt. or suite no.) <b>3332 Newburg Road</b>	Requester's name and address (optional)
6 City, state, and ZIP code <b>Louisville, KY 40218</b>	
7 List account number(s) here (optional)	

**Part I Taxpayer Identification Number (TIN)**

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

<b>Social security number</b>													
<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="border: 1px solid black; width: 25px; height: 25px;"></td> <td style="border: 1px solid black; width: 25px; height: 25px;"></td> <td style="border: 1px solid black; width: 25px; height: 25px;"></td> <td style="border: 1px solid black; width: 25px; height: 25px;"></td> <td style="border: 1px solid black; width: 25px; height: 25px;"></td> <td style="border: 1px solid black; width: 25px; height: 25px;"></td> <td style="border: 1px solid black; width: 25px; height: 25px;"></td> <td style="border: 1px solid black; width: 25px; height: 25px;"></td> <td style="border: 1px solid black; width: 25px; height: 25px;"></td> <td style="border: 1px solid black; width: 25px; height: 25px;"></td> <td style="border: 1px solid black; width: 25px; height: 25px;"></td> <td style="border: 1px solid black; width: 25px; height: 25px;"></td> </tr> </table>													
or													
<b>Employer identification number</b>	[REDACTED]												

**Part II Certification**

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

<b>Sign Here</b>	Signature of U.S. person ▶ <i>Samuel Corbett</i>	Date ▶
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### General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.  
 Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at [www.irs.gov/fw9](http://www.irs.gov/fw9).

#### Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
  - Form 1099-C (canceled debt)
  - Form 1099-A (acquisition or abandonment of secured property)
- Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.
- If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding? on page 2.*
- By signing the filled-out form, you:
- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
  - Certify that you are not subject to backup withholding, or
  - Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
  - Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.



## Application for Extension of Time To File an Exempt Organization Return

Department of the Treasury  
Internal Revenue Service

▶ File a separate application for each return.  
▶ Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form).

*Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.*  
**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

### Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions. <b>JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.</b>	Enter filer's identifying number Employer identification number (EIN) or
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. <b>P.O. BOX 35368</b>	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>LOUISVILLE, KY 40202</b>	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 1

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**JOE SEILER, TREASURER**

- The books are in the care of ▶ **2500 EASTPOINT PARKWAY - LOUISVILLE, KY 40223**  
Telephone No. ▶ **502-499-4794** Fax No. ▶ \_\_\_\_\_
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **FEBRUARY 15, 2015**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:  
 ▶  calendar year \_\_\_\_\_ or  
 ▶  tax year beginning **JUL 1, 2013**, and ending **JUN 30, 2014**

2 If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

Form 990 (2013)

Page 11

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X

		(A) Beginning of year		(B) End of year
<b>Assets</b>	1 Cash - non-interest-bearing .....	18,814.	1	18,777.
	2 Savings and temporary cash investments .....	1,563,537.	2	1,606,737.
	3 Pledges and grants receivable, net .....		3	
	4 Accounts receivable, net .....		4	
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L .....		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L .....		6	
	7 Notes and loans receivable, net .....		7	
	8 Inventories for sale or use .....		8	
	9 Prepaid expenses and deferred charges .....		9	
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D .....	10a		
	b Less: accumulated depreciation .....	10b	10c	
	11 Investments - publicly traded securities .....		11	
	12 Investments - other securities. See Part IV, line 11 .....		12	
	13 Investments - program-related. See Part IV, line 11 .....		13	
	14 Intangible assets .....		14	
	15 Other assets. See Part IV, line 11 .....		15	
16 <b>Total assets.</b> Add lines 1 through 15 (must equal line 34) .....		1,582,351.	16	1,625,514.
<b>Liabilities</b>	17 Accounts payable and accrued expenses .....		17	
	18 Grants payable .....		18	
	19 Deferred revenue .....		19	
	20 Tax-exempt bond liabilities .....		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D .....		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L .....		22	
	23 Secured mortgages and notes payable to unrelated third parties .....		23	
	24 Unsecured notes and loans payable to unrelated third parties .....		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D .....		25	
	26 <b>Total liabilities.</b> Add lines 17 through 25 .....		0.	26
<b>Net Assets or Fund Balances</b>	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets .....	199,809.	27	121,044.
	28 Temporarily restricted net assets .....	1,382,542.	28	1,504,470.
	29 Permanently restricted net assets .....		29	
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds .....		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund .....		31	
	32 Retained earnings, endowment, accumulated income, or other funds .....		32	
33 <b>Total net assets or fund balances</b> .....	1,582,351.	33	1,625,514.	
34 <b>Total liabilities and net assets/fund balances</b> .....	1,582,351.	34	1,625,514.	

Form 990 (2013)



**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

Schedule A (Form 990 or 990-EZ) 2013

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose .....						
3 Gross receipts from activities that are not an unrelated trade or business under section 513 .....						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
5 The value of services or facilities furnished by a governmental unit to the organization without charge .....						
6 Total. Add lines 1 through 5 .....						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons .....						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year .....						
c Add lines 7a and 7b .....						
<b>8 Public support</b> (Subtract line 7c from line 6.)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6 .....						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources .....						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 .....						
c Add lines 10a and 10b .....						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on .....						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) .....						
13 Total support. (Add lines 9, 10c, 11, and 12.)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here

**Section C. Computation of Public Support Percentage**

15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f)) .....	15	%
16 Public support percentage from 2012 Schedule A, Part III, line 15 .....	16	%

**Section D. Computation of Investment Income Percentage**

17 Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f)) .....	17	%
18 Investment income percentage from 2012 Schedule A, Part III, line 17 .....	18	%

19a 33 1/3% support tests - 2013. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

b 33 1/3% support tests - 2012. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

**SCHEDULE A**  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**  
Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.  
▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2013**

Open to Public Inspection

Name of the organization **JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.**

Employment information member

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1  A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
- 2  A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.)
- 3  A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
- 4  A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 8  A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 9  An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
- 10  An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 11  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h.
  - a  Type I
  - b  Type II
  - c  Type III - Functionally integrated
  - d  Type III - Non-functionally integrated
- e  By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
  - (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?
  - (ii) A family member of a person described in (i) above?
  - (iii) A 35% controlled entity of a person described in (i) or (ii) above?
- h Provide the following information about the supported organization(s).

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

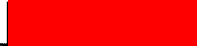
(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
<b>Total</b>									

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2013

**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

Form 990 (2013)



**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	818,114.	818,114.		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees				
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages				
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)				
9 Other employee benefits				
10 Payroll taxes				
11 Fees for services (non-employees):				
a Management				
b Legal				
c Accounting	5,500.		5,500.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)				
12 Advertising and promotion				
13 Office expenses	1,336.		1,336.	
14 Information technology				
15 Royalties				
16 Occupancy				
17 Travel				
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization				
23 Insurance	1,740.		1,740.	
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a MISCELLANEOUS	1,008.		1,008.	
b				
c				
d				
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	827,698.	818,114.	9,584.	0.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here  If following SOP 98-2 (ASC 958-720)

**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

Form 990 (2013)

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) LYNN HUETHER MEMBER	1.00	X						0.	0.	0.
(19) KEN SELVAGGI MEMBER	1.00	X						0.	0.	0.
(20) BILL SIMPSON MEMBER	1.00	X						0.	0.	0.
(21) MALCOLM B. CHANCEY JR. MEMBER	1.00	X						0.	0.	0.
(22) DR. DONNA M. HARGENS MEMBER	1.00	X						0.	0.	0.
(23) DANA SHUMATE MEMBER	1.00	X						0.	0.	0.
<b>1b Sub-total</b> .....								0.	0.	0.
<b>c Total from continuation sheets to Part VII, Section A</b> .....								0.	0.	0.
<b>d Total (add lines 1b and 1c)</b> .....								0.	0.	0.

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **0**

	Yes	No
<b>3</b> Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual .....		X
<b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual .....		X
<b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person .....		X

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
NONE		

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **0**

**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

Form 990 (2013)

**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

**Section A. Governing Body and Management**

	1a	1b	2	3	4	5	6	7a	7b	8a	8b	9	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year	23													
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.														
b Enter the number of voting members included in line 1a, above, who are independent		23												
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?			2											X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?				3										X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?					4									X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?						5								X
6 Did the organization have members or stockholders?							6							X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?								7a						X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?									7b					X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:														
a The governing body?										8a	X			
b Each committee with authority to act on behalf of the governing body?											8b	X		
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O														X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

	10a	10b	11a	12a	12b	12c	13	14	15a	15b	16a	16b	Yes	No
10a Did the organization have local chapters, branches, or affiliates?														X
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		10b												
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?			11a											X
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.														
12a Did the organization have a written conflict of interest policy? If "No," go to line 13														X
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?					12b									
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done						12c								
13 Did the organization have a written whistleblower policy?							13							X
14 Did the organization have a written document retention and destruction policy?								14						X
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?														
a The organization's CEO, Executive Director, or top management official														X
b Other officers or key employees of the organization														X
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).														
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?														X
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?														

**Section C. Disclosure**

- 17 List the states with which a copy of this Form 990 is required to be filed **NONE**
- 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.  
 Own website     Another's website     Upon request     Other (explain in Schedule O)
- 19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
- 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: **JOE SEILER, TREASURER - 502-499-4794**  
**2500 EASTPOINT PARKWAY, LOUISVILLE, KY 40223**

**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

Form 990 (2013)

Page 4

**Part IV Checklist of Required Schedules** *(continued)*

		Yes	No
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	X	
22	Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		X
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>		X
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a	<b>Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		X
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If so, complete Schedule L, Part II</i>		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>		X
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>		X
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		X
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>		X
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>		
36	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O	X	

Form 990 (2013)

JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.

Form 990 (2013)



**Part III** Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1 Briefly describe the organization's mission:  
**SUPPORT OF JEFFERSON COUNTY, KENTUCKY PUBLIC SCHOOLS**

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?  Yes  No  
If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?  Yes  No  
If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses \$ **818,114.** including grants of \$ **818,114.**) (Revenue \$ )  
**GRANTS AND ALLOCATIONS TO THE JEFFERSON COUNTY PUBLIC SCHOOL SYSTEM IN KENTUCKY AND INDIVIDUALS TO PROMOTE EDUCATIONAL IMPROVEMENTS IN ELEMENTARY, MIDDLE, AND HIGH SCHOOLS AND EARLY CHILDHOOD EDUCATION.**

4b (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )

4c (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )

4d Other program services (Describe in Schedule O.)

(Expenses \$ including grants of \$ ) (Revenue \$ )

4e Total program service expenses **818,114.**

## Application for Extension of Time To File an Exempt Organization Return

Department of the Treasury  
Internal Revenue Service

▶ File a separate application for each return.  
▶ Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form).

*Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.*  
**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on e-file for Charities & Nonprofits.

**Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).**

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

<b>Type or print</b>	Name of exempt organization or other filer, see instructions. <b>JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.</b>	<b>Enter filer's identifying number</b> Employer identification number (EIN) or
<b>File by the due date for filing your return. See instructions.</b>	Number, street, and room or suite no. If a P.O. box, see instructions. <b>P.O. BOX 35368</b>	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>LOUISVILLE, KY 40202</b>	Social security number (SSN)

Enter the Return code for the return that this application is for (file a separate application for each return) 011

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**JOE SEILER, TREASURER**

**2500 EASTPOINT PARKWAY - LOUISVILLE, KY 40223**

Telephone No. ▶ **502-499-4794** Fax No. ▶ \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ . If this is for the whole group, check this box  . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **FEBRUARY 15, 2015**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:  
 ▶  calendar year \_\_\_\_\_ or  
 ▶  tax year beginning **JUL 1, 2013**, and ending **JUN 30, 2014**

2 If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

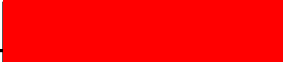
**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.





JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.

Schedule D (Form 990) 2013



Part XIII Supplemental Information (continued)

A series of horizontal lines for supplemental information, currently blank.

**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

Schedule D (Form 990) 2013

Page **3**

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives .....		
(2) Closely-held equity interests .....		
(3) Other .....		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
<b>Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.)</b>		

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
<b>Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.)</b>		

**Part IX Other Assets.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)</b>	

**Part X Other Liabilities.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

(a) Description of liability	(b) Book value
(1) Federal income taxes	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)</b>	

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. Attach to Form 990.

OMB No. 1545-0047

2013

Open to Public Inspection

Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.

Employer identification number

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

Table with 3 columns: Question, (a) Donor advised funds, (b) Funds and other accounts. Rows include total number at end of year, aggregate contributions, grants, value, and control questions.

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

Form with multiple sections: Purpose(s) of conservation easements, Total number of conservation easements, Total acreage restricted, etc.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

Form with questions 1a, 1b, 2, a, b regarding reporting requirements for art and historical treasures.

Name of organization <b>JEFFERSON COUNTY PUBLIC EDUCATION                  FOUNDATION, INC.</b>	Employer identification number <div style="background-color: red; width: 100px; height: 20px; margin-top: 5px;"></div>
--	---

**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	
		\$ _____	
		\$ _____	
		\$ _____	
		\$ _____	
		\$ _____	
		\$ _____	
		\$ _____	

Name of organization <b>JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.</b>	Employer identification number 
---	---

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	COMMUNITY FOUNDATION 325 W MAIN STREET STE 1110 LOUISVILLE, KY 40202	\$ 34,568.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
8	GHEENS FOUNDATION 401 W MAIN ST SUITE 705 LOUISVILLE, KY 40202	\$ 25,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
9	LIFT A LIFE FOUNDATION 4350 BROWNSBORO RD STE 110 LOUISVILLE, KY 40207	\$ 100,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
10	HENRY VOGT FOUNDATION 1000 WEST ORMSBY AVE LOUISVILLE, KY 40210	\$ 69,895.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
11	NATIONAL PHILANTHROPIC TRUST 165 TOWNSHIP LINE ROAD, SUITE 150 JENKINTOWN, PA 19046	\$ 62,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
12	YUM BRANDS 1900 COLONEL SANDERS LANE LOUISVILLE, KY 40213	\$ 45,400.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of the organization

JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.

Employer identification number



Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)( 3 ) (enter number) organization

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ..... ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)





**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

Schedule D (Form 990) 2013

Page 2

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)**

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a  Public exhibition
- b  Scholarly research
- c  Preservation for future generations
- d  Loan or exchange programs
- e  Other \_\_\_\_\_

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
1c Beginning balance	
1d Additions during the year	
1e Distributions during the year	
1f Ending balance	

2a Did the organization include an amount on Form 990, Part X, line 21?  Yes  No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment  \_\_\_\_\_ %
- b Permanent endowment  \_\_\_\_\_ %
- c Temporarily restricted endowment  \_\_\_\_\_ %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

	Yes	No
3a(i) unrelated organizations		
3a(ii) related organizations		
3b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?		

- (i) unrelated organizations \_\_\_\_\_
  - (ii) related organizations \_\_\_\_\_
- b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? \_\_\_\_\_

4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements				
d Equipment				
e Other				

Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)  0.

**JEFFERSON COUNTY PUBLIC EDUCATION  
FOUNDATION, INC.**

Schedule D (Form 990) 2013

Page 4

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	870,861.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
a	Net unrealized gains on investments	2a		
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII.)	2d		
e	Add lines 2a through 2d		2e	0.
3	Subtract line 2e from line 1		3	870,861.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b		4c	0.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)		5	870,861.

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	827,698.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII.)	2d		
e	Add lines 2a through 2d		2e	0.
3	Subtract line 2e from line 1		3	827,698.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b		4c	0.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)		5	827,698.

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**PART X, LINE 2:**

**EXPLANATION: THE FOUNDATION HAS IMPLEMENTED THE ACCOUNTING GUIDANCE FOR UNCERTAINTY IN INCOME TAXES. UNDER THAT GUIDANCE, TAX POSITIONS NEED TO BE RECOGNIZED IN THE FINANCIAL STATEMENTS WHEN IT IS MORE-LIKELY-THAN-NOT THE POSITION WILL BE SUSTAINED UPON EXAMINATION BY THE TAX AUTHORITIES. AS OF JUNE 30, 2014, THE FOUNDATION HAS NO UNCERTAIN TAX POSITIONS THAT QUALIFY FOR EITHER RECOGNITION OR DISCLOSURE IN THE FINANCIAL STATEMENTS. THE FOUNDATION FILES FEDERAL INCOME TAX RETURNS. RETURNS FILED FOR THE TAX YEARS ENDED ON OR AFTER JUNE 30, 2011 ARE SUBJECT TO EXAMINATION. THE FOUNDATION IS NOT CURRENTLY BEING EXAMINED AND MANAGEMENT BELIEVES ITS TAX-EXEMPT STATUS WOULD BE UPHELD UNDER EXAMINATION.**

**SCHEDULE I (Form 990)**  
**Grants and Other Assistance to Organizations, Governments, and Individuals in the United States**  
Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization **JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.** Employee 

**Part I** General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any Grants and Other Assistance to Governments and Organizations in the United States. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
JEFFERSON COUNTY PUBLIC SCHOOLS 3332 NEWBURG ROAD LOUISVILLE, KY 40232	61-6001316		657,485.	0.	BOOK		TO FUND VARIOUS EDUCATIONAL PROGRAMS
JCTC FOUNDATION 109 EAST BROADWAY LOUISVILLE, KY 40202	23-7035648		26,348.	0.	BOOK		WESTERN EARLY COLLEGE PROGRAM
KENTUCKY SCIENCE & TECHNOLOGY CORP 200 WEST VINE STREET LEXINGTON, KY 40507	61-1135362		8,860.	0.	BOOK		TO FUND VARIOUS TEACHER TRAINING PROGRAMS
UNIVERSITY OF KENTUCKY 301 PETERSON SERVICE BUILDING LEXINGTON, KY 40506	61-1124171		6,000.	0.	BOOK		DEVID L JONES VOCAL SCHOLARSHIP PROGRAM

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table **A**

3 Enter total number of other organizations listed in the line 1 table **A**

# JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.

## General Information

<b>Organization Number</b>	0175787
<b>Name</b>	JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION, INC.
<b>Profit or Non-Profit</b>	N - Non-profit
<b>Company Type</b>	KCO - Kentucky Corporation
<b>Status</b>	A - Active
<b>Standing</b>	G - Good
<b>State</b>	KY
<b>File Date</b>	3/14/1983
<b>Organization Date</b>	3/14/1983
<b>Last Annual Report</b>	5/15/2015
<b>Principal Office</b>	JEFFERSON COUNTY PUBLIC EDUCATION FOUNDATION JAEGER EDUCATION CENTER- ATTN: DANA SHUMATE 3332 NEWBURG RD LOUISVILLE, KY 40218
<b>Registered Agent</b>	WT&C CORPORATE SERVICES, INC. 500 W. JEFFERSON STREET SUITE 2800 LOUISVILLE, KY 40202

## Current Officers

<b>Chairman</b>	<u>James Allen</u>
<b>Vice President</b>	<u>Franklin Jelsma</u>
<b>Treasurer</b>	<u>Joseph Seiler</u>
<b>Director</b>	<u>Audwin Helton</u>
<b>Director</b>	<u>JEFF ULIGIAN</u>
<b>Director</b>	<u>Henry Heuser</u>
<b>Executive</b>	<u>Dana Shumate</u>

## Individuals / Entities listed at time of formation

<b>Director</b>	<u>MARY HELEN BYCK</u>
<b>Director</b>	<u>JOAN RIEHM</u>
<b>Director</b>	<u>I W HUGHES</u>
<b>Director</b>	<u>ORSON OLIVER</u>
<b>Director</b>	<u>WOODFORD R PORTOR</u>
<b>Incorporator</b>	<u>MALCOLM B CHANCEY JR</u>

## Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

Annual Report

5/15/2015

1 page

PDF