

American Rescue Plan

Louisville Metro Government

Project Proposal Submission Form

Project Name: Safe Outdoor Space (LAT-0050)

Project Owner: Office of Resilience and Community Services

Project Contact: Tameka Laird

Project Contact Job Title: Director

Contact Email: [REDACTED]

Contact Phone: [REDACTED]

Project Name: Safe Outdoor Space

Are you requesting a continuation of current funding or a new allocation?

No. This is a new allocation of ARP funds.

Does the organization have sufficient capacity to lead and conduct the project without hiring new permanent staff? If not, what resources will need to be hired?

The organization to operate the Safe Outdoor Space has not yet been chosen. No new staff will be needed at the Office of Resilience and Community Services to manage this project.

Project Executive Summary (please specify if COVID-related):

Safe Outdoor Space (SOS) is a temporary project meant to mitigate the impacts of the COVID-19 pandemic and better address street homelessness with housing and other resources. The Safe Outdoor Space model is designed as an option for people experiencing unsheltered homelessness in Louisville. The project's intent is to provide an assertive care treatment plan with intensive case management, mental/behavioral health services, recovery and addiction counseling, peer support, emergency medical attention, dental/hygiene service providers, COVID testing, employment services, housing navigators, individual and group therapies, onsite security, and monitoring, and coordinating access to other community-based supportive services. Safe Outdoor Space and campgrounds will be committed to the highest level of outdoor sanitation and cleanliness.

Problem Statement (include issue and population served by project.):

Louisville has a chronic homelessness problem that has been exacerbated by COVID-19. In addition, to the normal health issues that come with being homeless, the homeless population is more at risk of infection. The downturn in business led to many people unable to pay their rent and increased homelessness in the city. The Safe Outdoor Space is one pillar of a multi-prong plan to transition the homeless population off the streets and into permanent housing. The space will provide needed health and mental services and COVID testing in order to better address the needs of the homeless population.

Goal/Outcomes Statement:

The goal is to help those who are living in unsafe and unhealthy situations on our streets transition into more stable shelter and then put them onto a path to permanent, supportive housing. The Safe Outdoor Space will provide another



shelter option for individuals who have declined indoor shelter. It will also provide voluntary wrap-around services of mental health and substance abuse recovery.

Please briefly explain what actions/steps your project proposes to address the above-stated problem:

The Safe Outdoor Space is the first phase of a multi-phase approach to address homelessness. The space will provide a safe place for those facing homelessness to live and access mental health and substance abuse treatment options, as well as get help in transitioning to more permanent housing. Intensive case management will support individuals with the goal of helping clients obtain housing and achieve a high quality of life through developing plans, enhancing life skills, addressing health and mental health needs, building meaningful skills for social inclusion through peer support and connection. These services should be chosen and performed based on trauma-based, low-barrier, and evidence-based practices.

How soon after signing a grant agreement can your project begin serving target populations and addressing the problem(s) described above? What is the anticipated duration of this project?

This is a pilot project expected to last no more than one year. Once the grant agreement is signed, we expect the space to be operational within one month, pending availability of supplies needed to operate the site.

Does this project directly support COVID-19 pandemic related recovery? If yes, please explain.

This project is one piece of a multi-prong plan to help with chronic homelessness that has been exacerbated by COVID-19. Stopping the spread of COVID-19 is an important part of recovery, and addressing homelessness directly affects the city's ability to control the spread of COVID-19.

Evidence Basis for the Project

The US Treasury department encourages the use of SLFRF funds for evidence-based interventions:

<https://home.treasury.gov/system/files/136/SLFRF-Compliance-and-Reporting-Guidance.pdf#page=26>

Please describe the evidence base for the interventions proposed by this project. (Maximum 200 words). Include links if possible.

Safe Outdoor Safe is based on the Housing First philosophy that HUD promotes. Housing First is an approach to quickly and successfully connect those experiencing homelessness to housing without preconditions or barriers to entry, such as sobriety, treatment or service participation requirements. Supportive services are offered to maximize housing stability and prevent return to homelessness, as opposed to addressing predetermined treatment goals prior to permanent housing entry. Although the Safe Outdoor Space is not permanent housing, it is based on the philosophy of services with no preconditions or barriers to entry.

How will this project define and measure success? Include Key Performance Indicators.

KPIs will be set with the operating organization once the grant is awarded. Specific KPIs the Office of Resilience and Community Services want to track include:

- Number of homeless individuals/families moved from unsheltered to sheltered
- Average length of stay in SOS
- Number of resident case management appointments
- Average days for recovery treatment services
- Number of resident activities offsite to communal public spaces
- Number of individuals exiting into Housing Programs (Transitional, PSH, AFH)
- Reduction in number of days/length of time individuals experience homelessness
- Percentage increase in individual's income from beginning to end of program
- Reduction in recidivism on the street and/or re-entry to jails
- Reduction of point-in-time homelessness count.



Amount of Funding Requested: \$1,500,000.00



**American Rescue Plan
Louisville Metro Government
Project Proposal Submission Form
Project Name: Permanent Supportive Housing (LAT-0051)**

Project Owner: Develop Louisville

Project Contact: Marilyn Harris

Project Contact Job Title: Director of Housing

Contact Email: [REDACTED]

Contact Phone: [REDACTED]

Project Name: Permanent Supportive Housing

Are you requesting a continuation of current funding or a new allocation?

New allocation of ARP funds

Does the organization have sufficient capacity to lead and conduct the project without hiring new permanent staff? If not, what resources will need to be hired?

No additional housing staff are needed to lead or conduct this project.

Project Executive Summary (please specify if COVID-related.):

Permanent supportive housing is an intervention that combines affordable housing assistance with support services to address the needs of chronically homeless people, specifically those at 30% AMI or below. The services are designed to be client-centered, and build independent living, tenancy skills, and connect people with community-based health care, treatment, and employment services. Permanent supportive housing utilizes a harm reduction philosophy and does not place demands or conditions upon residents beyond the expectations of a typical lease. This project aims to engage with organizations that will build, operate, and maintain permanent supportive housing units throughout Louisville.

Problem Statement (include issue and population served by project.):

Louisville has identified 833 people that qualify for permanent supportive housing, currently living without a home and with no options, due to lack of permanent supportive housing in the community. COVID-19 has exacerbated an already overburdened housing market and led to evictions and a worsening housing market. This project aims to address the lack of housing units for those that need supportive housing and are at 30% AMI and below.

Goal/Outcomes Statement:

The goal of this project is to increase the available housing in Louisville, specifically for our most vulnerable population that needs wrap-around services to ensure they stay housed. By increasing the housing inventory for those that need additional mental health and other wrap-around services, we will also be increasing the available housing overall for Louisvillians. This project will specifically address those that are at 30% AMI or below.

Please briefly explain what actions/steps your project proposes to address the above-stated problem:



This project will address additional housing units that are needed for those that are in the 30%-AMI-and-below bracket of income. By building these additional units and providing funding to organizations that provide wrap-around services, we hope to be able to provide permanent supportive housing to the neediest of the homeless population.

How soon after signing a grant agreement can your project begin serving target populations and addressing the problem(s) described above? What is the anticipated duration of this project?

Once a grant agreement is signed, building of new housing will commence. New housing takes between 18 months and two years to complete before the target population can be housed. The project itself will last until Dec. 31, 2026, or until housing construction is complete, whichever comes first.

Does this project directly support COVID-19 pandemic related recovery? If yes, please explain.

Louisville has a chronic homelessness problem that has been exacerbated by COVID-19. In addition to the normal health issues that come with being homeless, COVID-19 has put the homeless population at a higher risk of infection. The downturn in business led to many people unable to pay their rent and increased homelessness in the city. Permanent supportive housing is one pillar of a multi-prong plan to transition the homeless population off the streets and into permanent housing with supportive services.

Evidence Basis for the Project

The US Treasury department encourages the use of SLFRF funds for evidence-based interventions: (<https://home.treasury.gov/system/files/136/SLFRF-Compliance-and-Reporting-Guidance.pdf#page=26>)

Please describe the evidence base for the interventions proposed by this project. (Maximum 200 words). Include links if possible.

Investments in permanent supportive housing have helped decrease chronically homeless individuals by 8% since 2007. In addition to ending homelessness for chronically homeless people, research has demonstrated that permanent supportive housing can also increase housing stability, improve health, and lower public costs associated with the use of crisis services, such as shelters, hospitals, jails, and prisons.

How will this project define and measure success? Include Key Performance Indicators.

Project success will be based on how many residents at 30% AMI or below, currently homeless, are moved to a housing unit and are provided with supportive services. Additional specific KPIs will be set with the grant awardees based on the services they will be providing residents and the resident population.

Amount of Funding Requested:

\$32,000,000.00



American Rescue Plan

Louisville Metro Government

Project Proposal Submission Form

Project Name: Affordable Housing Trust Fund (LAT-0052)

Project Owner: Develop Louisville

Project Contact: Marilyn Harris

Project Contact Job Title: Director of Housing

Contact Email: [REDACTED]

Contact Phone: [REDACTED]

Project Name: Affordable Housing Trust Fund

Are you requesting a continuation of current funding or a new allocation?

This is a request for a new allocation of funds.

Does the organization have sufficient capacity to lead and conduct the project without hiring new permanent staff? If not, what resources will need to be hired?

The Affordable Housing Trust Fund will use \$500,000 of this grant to build capacity and hire full-time staff.

Project Executive Summary (please specify if COVID-related.):

The funds for this project will go directly to the Affordable Housing Trust Fund to be used to build new affordable housing throughout the city of Louisville. This project focuses on providing financing for units at 30% AMI or lower to meet the needs of the most vulnerable in our city.

Problem Statement (include issue and population served by project.):

There are 280,000 people in the Homeless Management Information System in Jefferson County. It has been estimated that we need approximately 31,000 affordable housing units to meet the demand pre-COVID pandemic. COVID has displaced hundreds of people, some of which are now homeless or living in unstable conditions. The only long-term solution to homelessness is to build more affordable housing.

According to the most recent report by the Metropolitan Housing Coalition, the pandemic has exacerbated an already tight housing market: "Louisville/Jefferson County continues to struggle to adequately support people who experience homelessness. While the overall numbers of people living without stable homes in Louisville declined from 2012 to 2017, the number of people seeking shelter continues to increase each year since 2017. The number of individuals service providers assisted with placement into permanent housing increased from 980 in 2018 to 1145 in 2019, representing 13% of clients served, slightly lower than in 2018. This indicates the need for shelter continues to increase and providers are struggling to meet the need. The Louisville Metro Continuum of Care 2019 Homeless Census reported a 25.1 % increase from 2018."

Goal/Outcomes Statement :

Provide funding for new housing units, including new units for those at 30% AMI or lower, alleviating the overall lack of affordable housing in Louisville.



Please briefly explain what actions/steps your project proposes to address the above-stated problem:

This project will provide new housing units, including new units for those at 30% AMI or lower, alleviating the overall lack of affordable housing in Louisville.

How soon after signing a grant agreement can your project begin serving target populations and addressing the problem(s) described above? What is the anticipated duration of this project?

Once we have the funds reserved, the Affordable Housing Trust Fund will open an RFA for developers. We expect a decision to be made and building to commence over the next two years, with completion in spring of 2024.

Does this project directly support COVID-19 pandemic related recovery? If yes, please explain.

Yes. During the pandemic an unprecedented number of evictions have taken place. With a lack of affordable housing estimated at 30,000+ units before the pandemic, coupled with the evictions occurring over the last 18 months, the need for more affordable housing is greater than ever. Building more affordable housing will help those that are looking for new housing, and those that are currently homeless. This project is targeting our most vulnerable population of those that make less than 30% AMI. This group is often homeless and at a greater risk of poor health outcomes and higher infection rates than others.

Evidence Basis for the Project

The US Treasury department encourages the use of SLFRF funds for evidence-based interventions:

<https://home.treasury.gov/system/files/136/SLFRF-Compliance-and-Reporting-Guidance.pdf#page=26>

Please describe the evidence base for the interventions proposed by this project. (Maximum 200 words). Include links if possible.

[According to a recent \(Sept. 2021\) HUD report](#) the United States needs more housing, and more varied types of housing, to meet households' needs throughout the country. Some economists estimate that one consequence of the 2008 foreclosure crisis was the slow rebound in home construction while household growth continued, resulting in underproduction of housing by more than 3 million units.

The consequences of inadequate supply are higher housing costs for both renting and buying a home. More than 37 million renter and owner households spent more than 30 percent of their income for housing in 2019. In the years prior to the pandemic, renters saw increasing incomes matched by rising rents, maintaining cost burdens despite a strong economy. Without significant new supply, cost burdens are likely to increase as current home prices reach all-time highs, with the median home sales price reaching nearly \$375,000 by July 2021. These data emphasize the urgency of employing opportunities for increasing the supply of housing and preserving the existing housing portfolio.

In addition, before the pandemic the Metropolitan Housing Coalition estimated that Louisville needed 31, 000 new units of affordable housing to meet the current demand. We know that demand has increased due to the pandemic. Affordable housing gives people more income to spend on quality food, education, and transportation, improving their lives and health.

How will this project define and measure success? Include Key Performance Indicators.

This project will be measured against how many affordable housing units are built for those at 30% AMI and under, as well as the total number of units that are added to the housing inventory in Louisville.

Amount of Funding Requested:

\$40,000,000.00



American Rescue Plan

Louisville Metro Government

Project Proposal Submission Form

Project Name: College Street Property Renovations (LAT-0053)

Project Owner: Develop Louisville

Project Contact: Marilyn Harris

Project Contact Job Title: Director

Contact Email: [REDACTED]

Contact Phone: [REDACTED]

Project Name: College Street Property Renovations

Are you requesting a continuation of current funding or a new allocation?

This is an allocation of new funds.

Does the organization have sufficient capacity to lead and conduct the project without hiring new permanent staff? If not, what resources will need to be hired?

This project will not require any new staff to manage.

Project Executive Summary (please specify if COVID-related.):

These funds will be utilized to make necessary improvements to start conversion of the College St. building affordable housing units, which, when complete, will result in closure of the Safe Outdoor Space. During the renovation process of the first floor, the resulting availability of electricity and water can supplement supplies for the Safe Outdoor Space.

Problem Statement (include issue and population served by project.):

Louisville Metro Government bought the building and lot on College St. to use for the Safe Outdoor Space and as affordable housing; however, the building needs extensive repairs before it can be usable for support of the Safe Outdoor Space or affordable housing.

Goal/Outcomes Statement:

The goal of this project is to renovate the building on College St. to make it usable as housing units to support those at 30% AMI or below.

Please briefly explain what actions/steps your project proposes to address the above-stated problem:

This project will make necessary improvements to start conversion of the College St. building affordable housing units, which, when complete, will result in closure of the safe outdoor space. During the renovation process of the first floor, the resulting availability of electricity and water can supplement supplies for the Safe Outdoor Space.

How soon after signing a grant agreement can your project begin serving target populations and addressing the problem(s) described above? What is the anticipated duration of this project?



Once a grant agreement is signed, building new housing will commence. Renovations can take between 18 months and two years to complete before the target population can be housed. The project itself will last until Dec. 31, 2026 or until housing is built, whichever comes first.

Does this project directly support COVID-19 pandemic related recovery? If yes, please explain.

Louisville has a chronic homelessness problem that has been exacerbated by COVID-19. In addition to the normal health issues that come with being homeless, COVID-19 has put the homeless population at a higher risk of infection. The downturn in business led to many people unable to pay their rent and increased homelessness in the city. Additional housing units will add to the existing housing stock and alleviate a housing market that does not have enough affordable housing.

Evidence Basis for the Project

The US Treasury department encourages the use of SLFRF funds for evidence-based interventions:

<https://home.treasury.gov/system/files/136/SLFRF-Compliance-and-Reporting-Guidance.pdf#page=26>

Please describe the evidence base for the interventions proposed by this project. (Maximum 200 words). Include links if possible.

According to a recent (Sept. 2021) HUD report, the United States needs more housing, and more varied types of housing, to meet households' needs throughout the country. Some economists estimate that one consequence of the 2008 foreclosure crisis was the slow rebound in home construction while household growth continued, resulting in underproduction of housing by more than [3 million units](#).

The consequences of inadequate supply are higher housing costs for both renting and buying a home. [More than 37 million renter and owner households](#) spent more than 30 percent of their income for housing in 2019. In the years prior to the pandemic, renters saw increasing incomes matched by rising rents, maintaining cost burdens despite a strong economy. Without significant new supply, cost burdens are likely to increase as current home prices reach all-time highs, with the median home sales price reaching nearly [\\$375,000](#) by July 2021. These data emphasize the urgency of employing opportunities for increasing the supply of housing and preserving the existing housing portfolio.

In addition, prior to the pandemic, the Metropolitan Housing Coalition estimated that Louisville needed 31, 000 new units of affordable housing to meet the current demand.; we know that demand has increased due to the pandemic. Affordable housing gives people more income to spend on quality food, education and transportation, improving their lives and health.

How will this project define and measure success? Include Key Performance Indicators.

This project will be successful once it is complete and provides additional housing units to the affordable housing stock in Louisville, providing new housing units for those 30% AMI or below.

Amount of Funding Requested:

\$7,500,000



**American Rescue Plan
Louisville Metro Government
Project Proposal Submission Form
Project Name: Down Payment Assistance (LAT-0054)**

Project Owner (Legal Name of Organization or Applicant): Develop Louisville

Project Contact: Marilyn Harris

Project Contact Job Title: Director

Contact Email: [REDACTED]

Contact Phone: [REDACTED]

Project Name: Down Payment Assistance

Are you requesting a continuation of current funding or a new allocation?

This is a continuation of current funding.

Does the organization have sufficient capacity to lead and conduct the project without hiring new permanent staff? If not, what resources will need to be hired?

The Office of Housing will need to add one (1) additional staff to administer; specifically, an Admin Coordinator to supplement the current two that the Office of Housing currently has.

Project Executive Summary (please specify if COVID-related.):

This program is administered by the Office of Housing. This program provides much needed funds for homeowners to put down payments on houses, thus increasing the wealth of residents by giving them an opportunity to buy a house. These funds will help approximately 160 new homeowners. The grants are available to families at 80% AMI and below.

Problem Statement (include issue and population served by project.):

Potential homeowners are often discouraged and unable to come up with the down payment needed to purchase a home, even if they can get affordable financing. This program provides support to potential homeowners through funds to be used as a down payment, allowing them access to homeownership and the opportunity to gain financial independence and build generational wealth.

Goal/Outcomes Statement:

Provide 160 potential first time homeowners down payment assistance so they can purchase their first home.

Please briefly explain what actions/steps your project proposes to address the above-stated problem:

This program provides support to potential homeowners through funds to be used as a down payment, allowing them access to home ownership and the opportunity to gain financial independence and build generational wealth.

How soon after signing a grant agreement can your project begin serving target populations and addressing the problem(s) described above? What is the anticipated duration of this project?



Once the grant agreement is signed, the Office of Housing will be able to open applications for these funds within 30 days.

Does this project directly support COVID-19 pandemic related recovery? If yes, please explain.

This project does not directly support COVID-19 pandemic-related recovery; however, it does support homeownership, reducing the burden on rental properties, which has been exacerbated by the eviction crisis caused by COVID-19.

Evidence Basis for the Project

The US Treasury department encourages the use of SLFRF funds for evidence-based interventions:

<https://home.treasury.gov/system/files/136/SLFRF-Compliance-and-Reporting-Guidance.pdf#page=26>

Please describe the evidence base for the interventions proposed by this project. (Maximum 200 words). Include links if possible.

According to the Urban Institute, homeownership is the primary way American families have built financial security. The resulting wealth can open opportunities for homeowners' children, helping them fund education or become homeowners themselves. When parents own a home, their children are more likely to own a home. Discriminatory federal, state, and local housing policies have advantaged white families and excluded Black families and other families of color, creating a large and persistent racial gap in homeownership and wealth.

Renters say coming up with enough savings for a down payment and closing costs is the biggest obstacle they face to buying a home.

By providing down payment assistance, Louisville Metro can provide access to the opportunity to increase wealth for minority residents and impact generational wealth growth.

How will this project define and measure success? Include Key Performance Indicators.

This project will define success by how many people are able to become first time home buyers as a result of these funds. The goal is 160 people/families.

Amount of Funding Requested:

\$4,000,000.00



**American Rescue Plan
Louisville Metro Government
Project Proposal Submission Form
Project Name: Home Repair (LAT-0055)**

Project Owner: Develop Louisville

Project Contact: Marilyn Harris

Project Contact Job Title: Director of Housing

Contact Email: [REDACTED]

Contact Phone: [REDACTED]

Project Name: Home Repair

Are you requesting a continuation of current funding or a new allocation?

This is a request for a continuation of current funding.

Does the organization have sufficient capacity to lead and conduct the project without hiring new permanent staff? If not, what resources will need to be hired?

No new staff will be needed to continue this project.

Project Executive Summary (please specify if COVID-related.):

This program provides much needed funds to homeowners to repair their homes. Many of our residents have homes that are in ill-repair and not suitable for living, but they lack the funding to repair them. This program alleviates this problem, keeping people housed and reducing the burden of needing new housing in the city.

Problem Statement (include issue and population served by project.):

Many of our residents have homes that are in ill-repair and not suitable for living, but they lack the funding to repair them. Without funds they are living in unsafe housing units or are forced to move, adding stress to an already over-burdened housing market.

Goal/Outcomes Statement:

The goal is to help 160 families stay in their homes, by providing support for home repairs needed for a safe home.

Please briefly explain what actions/steps your project proposes to address the above-stated problem:

This program provides homeowners an opportunity to receive funds and get connected to repair services so they can maintain their homes in a suitable living condition.

How soon after signing a grant agreement can your project begin serving target populations and addressing the problem(s) described above? What is the anticipated duration of this project?

This is a continuation of a current program. Once grant funds are received the program can continue within 30 days.

Does this project directly support COVID-19 pandemic related recovery? If yes, please explain.



This project does not directly support COVID-19 pandemic related recovery; however, it does support home ownership, reducing the burden on rental properties, which has been exacerbated by the eviction crisis caused by COVID-19.

Evidence Basis for the Project

The US Treasury department encourages the use of SLFRF funds for evidence-based interventions:
(<https://home.treasury.gov/system/files/136/SLFRF-Compliance-and-Reporting-Guidance.pdf#page=26>)

Please describe the evidence base for the interventions proposed by this project. (Maximum 200 words). Include links if possible.

The National Community Reinvestment Coalition reports that medical and public health research has established clear and persuasive links between poor housing conditions and negative outcomes for residents' physical and mental wellbeing. Inadequate housing can exacerbate chronic health issues, expose occupants to environmental toxins and heighten injury risks, which are especially hazardous to children and older adults. Residing in substandard housing is also associated with increased risks of depression and anxiety, and may have a particularly demoralizing impact on children. In addition to these widely documented health impacts, inadequate housing can worsen residential instability among low-income renters, leading to poor health outcomes for both adults and children. For low-income homeowners, the inability to afford needed repairs is a common financial stressor, and evidence from the foreclosure crisis suggests a strong link between struggling with housing costs and worse self-reported health.

How will this project define and measure success? Include Key Performance Indicators.

This project will define success by how many people are able to repair their homes and therefore stay living in them, rather than have to move do to unsafe surroundings. The goal is 160 people/families.

Amount of Funding Requested:

\$4,000,000.00



**American Rescue Plan
Louisville Metro Government
Project Proposal Submission Form
Project Name: Premium Pay – Metro Employees (LAT-0056)**

Project Owner (Legal Name of Organization or Applicant): Louisville and Jefferson County Metro Government

Project Contact: Monica Harmon

Project Contact Job Title: Chief Financial Officer

Contact Email: [REDACTED]

Contact Phone: [REDACTED]

Project Name: Premium Pay – Metro Employees

Are you requesting a continuation of current funding or a new allocation?

New Allocation

Does the organization have sufficient capacity to lead and conduct the project without hiring new permanent staff? If not, what resources will need to be hired?

We have sufficient staff.

Project Executive Summary (please specify if COVID-related.):

Fiscal Recovery Funds payments may be used by recipients to provide premium pay to eligible workers performing essential work during the COVID-19 public health emergency, or to provide grants to third-party employers with eligible workers performing essential work. [95] These are workers who have been and continue to be relied on to maintain continuity of operations of essential critical infrastructure sectors, including those who are critical to protecting the health and wellbeing of their communities.

Problem Statement (include issue and population served by project.):

Metro Workers who performed the essential work necessary during the COVID-19 public health emergency put their physical health and wellbeing at risk to keep Metro Government running and provide services to meet the needs of Louisville citizens. These essential workers did not receive additional compensation for the sacrifices they made during the height of the COVID emergency.

Although Metro Government has provided a two-day leave time incentive for fully vaccinated staff, a large percentage of Metro workers remain unvaccinated. Under the Interim Final Rule, recipients may use Coronavirus State and Local Fiscal Recovery Funds to respond to the COVID-19 public health emergency, including expenses related to COVID-19 vaccination programs. See 31 CFR 35.6(b)(1)(i). Programs that provide incentives reasonable expected to increase the number of people who choose to get vaccinated, or that motivate people to get vaccinated sooner than they otherwise would have, are an allowable use of funds so long as such costs are reasonably proportional to the expected public health benefit.



Goal/Outcomes Statement:

Issue payment to those who worked during the pandemic in two payments, with the first paid by 12/31/2021, and the second payment made upon proof of vaccination or approved waiver in the first payroll of June 2022.

Please briefly explain what actions/steps your project proposes to address the above-stated problem:

A committee comprised of HR, OMB, Legal and Grants will review all employees listed and classify them as essential and meeting the necessary criteria for premium pay under the Interim Final Rule. Those essential employees who worked with public daily and at greater risk would be identified separately from other remaining essential employees who met the criteria. All other employees would be classified in a third grouping.

How soon after signing a grant agreement can your project begin serving target populations and addressing the problem(s) described above? What is the anticipated duration of this project?

Within 3-6 weeks.

Does this project directly support COVID-19 pandemic related recovery? If yes, please explain.

This qualifies as an eligible expense under the Premium Pay category directly and the Treasury Category, "Responding to COVID-19" as a vaccine incentive.

Evidence Basis for the Project

The US Treasury department encourages the use of SLFRF funds for evidence-based interventions:
(<https://home.treasury.gov/system/files/136/SLFRF-Compliance-and-Reporting-Guidance.pdf#page=26>)

Please describe the evidence base for the interventions proposed by this project. (Maximum 200 words). Include links if possible.

This does not apply.

How will this project define and measure success? Include Key Performance Indicators.

Success will be defined by two metrics:

1. An analysis will be made to determine the extent to which premium pay improves staff retention.
2. A comparison will be made with current employee vaccination rate to determine the extent to which payments incentivized workers to obtain full vaccination status.

Amount of Funding Requested:

\$21,000,000



American Rescue Plan

Louisville Metro Government

Project Proposal Submission Form

Project Name: Premium Pay – Component Units/External Agencies (LAT-0057)

Project Owner (Legal Name of Organization or Applicant): Louisville and Jefferson County Metro Government

Project Contact: Monica Harmon

Project Contact Job Title: Chief Financial Officer

Contact Email: [REDACTED]

Contact Phone: [REDACTED]

Project Name: Premium Pay-Component Units/External Agencies

Are you requesting a continuation of current funding or a new allocation?

New Allocation

Does the organization have sufficient capacity to lead and conduct the project without hiring new permanent staff? If not, what resources will need to be hired?

We have sufficient staff.

Project Executive Summary (please specify if COVID-related.):

Fiscal Recovery Funds payments may be used by recipients to provide premium pay to eligible workers performing essential work during the COVID-19 public health emergency or to provide grants to third-party employers with eligible workers performing essential work. These are workers who risked their health and well-being during the height of the COVID-19 health emergency. They have been and continue to be relied on to maintain continuity of operations of essential critical infrastructure sectors, including those who are critical to protecting the health and wellbeing of their communities.

Problem Statement (include issue and population served by project.):

Component Unit Workers who performed the essential work necessary during the COVID-19 public health emergency did not receive additional compensation for their extraordinary efforts and potential exposure to COVID-19.

Goal/Outcomes Statement:

Issue payment to those Metro-related entities who had employees who worked during the pandemic. The agreements will be subject to similar requirements as Metro. Some will be contingent on reaching mutual agreement with other localities on a cost-sharing formula proportionate to the services provided.

Please briefly explain what actions/steps your project proposes to address the above-stated problem:

OMB will draft agreements with related entities and distribute lump-sum payment subject to the terms of the agreement.



How soon after signing a grant agreement can your project begin serving target populations and addressing the problem(s) described above? What is the anticipated duration of this project?

Within 2 months.

Does this project directly support COVID-19 pandemic related recovery? If yes, please explain.

This speaks to both the Premium Pay category directly and qualifies for under Responding to COVID-19 as a vaccine incentive.

Evidence Basis for the Project

The US Treasury department encourages the use of SLFRF funds for evidence-based interventions:
(<https://home.treasury.gov/system/files/136/SLFRF-Compliance-and-Reporting-Guidance.pdf#page=26>)

Please describe the evidence base for the interventions proposed by this project. (Maximum 200 words). Include links if possible.

This does not apply.

How will this project define and measure success? Include Key Performance Indicators.

None: this is not performance-based.

Amount of Funding Requested:

\$7,300,000



**American Rescue Plan
Louisville Metro Government
Project Proposal Submission Form
Project Name: Public Health Contingency (LAT-0058)**

Project Owner (Organization): Louisville Metro Department of Public Health and Wellness

Project Contact: Dr. Sarah Moyer

Contact Email: [REDACTED]

Contact Phone: [REDACTED]

Project Name: Covid-19 Response and Vaccination Activities Fiscal Year 2022 and 2023

Are you requesting a continuation of current funding or a new allocation?

The request is for a continuation of funds for COVID-19 Response and Vaccination Activities for Fiscal Years 2022 and 2023.

Does the organization have sufficient capacity to lead and conduct the project without hiring new permanent staff? If not, what resources will need to be hired?

Permanent staff have already been hired and pre-COVID-19 staff have been assigned to COVID-19 response efforts and will continue to sustain response efforts. Many contractors have been hired and will need to be retained and expanded in some capacities.

Project Executive Summary (please specify if COVID-related.):

The Department has been crucial in preventing the spread of the COVID-19 virus in many capacities. Additionally, LMPHW records positive cases of COVID-19 and captures and publishes numerous data metrics to help monitor and stop the spread. LMPHW will continue its COVID-19 Response and Vaccination efforts. This proposal includes funding for response activities December 2021 through June 2023. This allocation will fund operation planning and infrastructure, contact tracing and essential needs, community testing, vaccination efforts, and community support.

Problem Statement (include issue and population served by project.):

The COVID-19 pandemic has had vast physical, social, and economic impacts in our community. LMPHW strives to achieve health equity and improve the health and well-being of all Louisville residents and visitors. This is especially true during an unprecedented pandemic, where our most vulnerable citizens are at higher risk for becoming infected and experiencing worse health outcomes.

Goal/Outcomes Statement:

LMPHW's COVID-19 response includes 5 high-level categories of work to mitigate and address impacts of the COVID-19 pandemic:

Contact Tracing & Essential Needs: A contracted call center calls positive cases and their contacts, and delivers orders for isolation or quarantine. During isolation or quarantine, LMPHW arranges for the provision of essential needs to ensure individuals can isolate or quarantine safely and effectively.

- Contact tracing call center
- Essential needs for isolation, including food, durable goods, medication delivery and housing
- Skip tracing software for contact tracing efforts



Community Testing: The LMPHW laboratory provides COVID-19 testing for LMG agencies and community partners. LMPHW is contracting with community partners to provide COVID-19 testing to residents, especially those of hard-to-reach populations.

- Community testing
- Testing event supplies
- COVID-19 wastewater variant testing
- LMPHW laboratory testing equipment and supplies

Vaccination Efforts: Throughout fall 2021, LMPHW is completing "mobile" vaccination missions, and is hosting vaccination events for hard-to-reach populations to ensure vaccinations are equitable in our community, as well as providing vaccinations to homebound individuals. Starting in November 2021, LMPHW will spearhead vaccination efforts at several standing sites throughout Jefferson County.

- Equipment and supplies
 - Miscellaneous equipment and supplies
 - Eastmore facility rent
- Infrastructure
 - Language services
 - Transportation to vaccination sites
 - Communications
- Outreach
 - Outreach for youth
 - Access outreach contracts
 - Access solutions
- Staffing
 - Call center staffing from Lacuna Health
 - IT staffing (troubleshooting, onsite technical support)
 - Mobile missions staffing from Kentucky Nurses Association and temporary staffing agencies

Community Support: LMPHW is contracting with numerous organizations to ensure COVID-19 messaging, resources, and activities are pushed to our most vulnerable populations.

- COVID-19 research
- Financial assistance to multicultural groups - subawards to various recipients
- Naloxone for community partner distribution
- Overdose prevention outreach
- Immigrant and refugee community support through the Office for Globalization
- Childcare financial assistance
- Community learning hubs financial assistance

Operations Planning & Infrastructure: LMPHW's staff has been working non-stop since the onset of the pandemic to deliver a first-class response that meets the needs of the community. PPE, supplies, and contracted assistance are needed to continue responding.

- Planning staffing contractors
- Language services
- Miscellaneous response supplies

Please briefly explain what actions/steps your project proposes to address the above-stated problem:

LMPHW's COVID-19 response builds equity into every component. The majority of our efforts are geared toward ensuring our most vulnerable populations receive equitable resources when it comes to preventing and mitigating COVID-19. We strive to serve the underserved and ensure that we are responding to the pandemic in ways that meet the needs of Jefferson County's residents.

How soon after signing a grant agreement can your project begin serving target populations and addressing the problem(s) described above? What is the anticipated duration of this project?

The efforts are the continuation of LMPHW efforts related pandemic recovery.



Does this project directly support COVID-19 pandemic related recovery?

The allocation will fund operation planning and infrastructure, contact tracing and essential needs, community testing, community support, and vaccination efforts.

Evidence Basis for the Project

The US Treasury department encourages the use of SLFRF funds for evidence-based interventions: (<https://home.treasury.gov/system/files/136/SLFRF-Compliance-and-Reporting-Guidance.pdf#page=26>)

Please describe the evidence base for the interventions proposed by this project. (Maximum 200 words). Include links if possible.

CDC course on the principles of epidemiology - <https://www.cdc.gov/csels/dsepd/ss1978/index.html>

CDC Morbidity and Mortality Weekly Report - <https://www.cdc.gov/mmwr/publications/index.html>

How will this project define and measure success? Include Key Performance Indicators.

LMPHW measures many metrics pertaining to COVID-19 to determine how we are faring with our response, including: total confirmed cases, total deceased, hospitalization numbers, daily incidence rate, testing rates, and recovery numbers. <https://covid-19-in-jefferson-county-ky-lojic.hub.arcgis.com/>

Amount of Funding Requested:

Public Health & Wellness Contingency Fund		
	FY 22	FY 23
	Ordinance	Reserve
Vaccinations	2,437,600.00	4,752,000.00
Covid Testing	299,700.00	462,900.00
Contact Tracing	3,668,650.00	4,166,000.00
Prevention in Congregate	1,500,000.00	0.00
PPE	306,000.00	312,000.00
Covid PH Other Services	2,155,600.00	2,070,400.00
Personnel	1,823,100.00	2,612,100.00
Substance Abuse Services	70,000.00	390,000.00
Household Assistance	225,000.00	0.00
Globalization Assistance	50,000.00	0.00
Research (Contractors)	0.00	250,000.00
	12,535,650.00	15,015,400.00

\$12,535,650.00 for LMPHW's response fiscal year 2022

\$15,015,400.00 for LMPHW's response fiscal year 2023



**American Rescue Plan
Louisville Metro Government
Project Proposal Submission Form
Project Name: Administrative Support (LAT-0059)**

Project Owner: Louisville and Jefferson County Metro Government

Project Contact: Monica Harmon

Project Contact Job Title: Chief Financial Officer

Contact Email: [REDACTED]

Contact Phone: [REDACTED]

Project Name: Administrative Support

Are you requesting a continuation of current funding or a new allocation?

New Allocation

Does the organization have sufficient capacity to lead and conduct the project without hiring new permanent staff? If not, what resources will need to be hired?

The request is to provide the necessary staff and support services for Metro Agencies to administer the ARP grant programs.

Project Executive Summary (please specify if COVID-related.):

The U.S. Treasury has provided extensive reporting and compliance standards, requiring skilled people to oversee the entire grant as well as all the various programs and projects. The funds are reserved to cover the reassigned staffing, professional services, supplies and technology systems. It is anticipated we would need 3-5 grant personnel, executive leadership and an administrator, along with hiring auditors to monitor and assist with review and compliance.

Problem Statement (include issue and population served by project.):

The Coronavirus State and Local Fiscal Recovery Fund is an unprecedented opportunity to address the needs within the Louisville Metro area and its citizens. This opportunity also necessitates coordination among all Metro agencies, work with many external agencies and extensive reporting requirements. Currently, Louisville Metro Government does not have the resources necessary to carry out this work, which will require additional staff, to include project managers, accountants and compliance staff; as well as software for tracking and reporting purposes.

Goal/Outcomes Statement:

Comply with Treasury requirements and demonstrate total compliance by all recipients and minimize all risks by establishing necessary controls to detect any fraudulent activity.

Please briefly explain what actions/steps your project proposes to address the above-stated problem:



OMB will set up the necessary administrative structure and policy/procedures sufficient to properly monitor, report and evaluate use of funds.

How soon after signing a grant agreement can your project begin serving target populations and addressing the problem(s) described above?

Six months.

What is the anticipated duration of this project?

Six years.

Does this project directly support COVID-19 pandemic related recovery? If yes, please explain.

These are expenditures necessary to meet the requirements as set by U.S. Treasury.

Evidence Basis for the Project

The US Treasury department encourages the use of SLFRF funds for evidence-based interventions: (<https://home.treasury.gov/system/files/136/SLFRF-Compliance-and-Reporting-Guidance.pdf#page=26>)

Please describe the evidence base for the interventions proposed by this project. (Maximum 200 words). Include links if possible.

The U.S. Treasury has provided extensive reporting and compliance standards, requiring skilled people to oversee the entire grant as well as all the various programs and projects. The funds are reserved to cover the reassigned staffing, professional services, supplies and technology systems. It is anticipated we would need 3-5 grant personnel, executive leadership and an administrator, along with hiring auditors to monitor and assist with review and compliance.

How will this project define and measure success? Include Key Performance Indicators.

No return of funds to US Treasury and no audit findings.

Amount of Funding Requested:

\$20,000,000



American Rescue Plan

Louisville Metro Government

Project Proposal Submission Form

Project Name: Contingency Reserve (LAT-0060)

Project Owner (Legal Name of Organization or Applicant): Louisville and Jefferson County Metro Government

Project Contact: Monica Harmon

Project Contact Job Title: Chief Financial Officer

Contact Email: [REDACTED]

Contact Phone: [REDACTED]

Project Name: Contingency Reserve

Are you requesting a continuation of current funding or a new allocation?

Reserve Allocation

Does the organization have sufficient capacity to lead and conduct the project without hiring new permanent staff? If not, what resources will need to be hired?

No resources required.

Project Executive Summary (please specify if COVID-related.):

Good project management and financial planning call for setting a reserve aside to address any unknown or unexpected expenses. This recommendation is for \$20,000,000, which would be restricted. The Metro Council could appropriate funds from this source until exhausted if a program or project experiences unanticipated cost overruns or if a new program(s) or community need arises.

Problem Statement (include issue and population served by project.):

There may be unexpected expenses or unforeseen community needs. This provides a reserve fund for unanticipated expenditures.

Goal/Outcomes Statement:

To meet the community needs today, tomorrow and four years from now.

Please briefly explain what actions/steps your project proposes to address the above-stated problem:

Provide a contingency fund for unanticipated expenses or unforeseen community needs. Restrict the funds subject to appropriate. Recommend Administration and Council design the thresholds necessary to draw on the reserve.

How soon after signing a grant agreement can your project begin serving target populations and addressing the problem(s) described above? N/A What is the anticipated duration of this project?

Four years.



Does this project directly support COVID-19 pandemic related recovery? If yes, please explain.

Unknown at this time.

Evidence Basis for the Project

The US Treasury department encourages the use of SLFRF funds for evidence-based interventions:
(<https://home.treasury.gov/system/files/136/SLFRF-Compliance-and-Reporting-Guidance.pdf#page=26>)

Please describe the evidence base for the interventions proposed by this project. (Maximum 200 words). Include links if possible.

Not necessary for this item. When funds are appropriated LMG will review evidence basis for the designated project(s).

How will this project define and measure success? Include Key Performance Indicators.

Unexpected expenses or unforeseen community needs can be met using these contingency funds in the four-year allowable period.

Amount of Funding Requested:

\$20,000,000



**American Rescue Plan
Louisville Metro Government
Project Proposal Submission Form
Project Name: Public Safety Reforms (LAT-0061)**

Project Owner: Louisville Metro Police Department (LMPD)

Project Contact: Lt. Col. Paul Humphrey

Project Contact Job Title: Assistant Chief for the Administration Bureau

Contact Email: [REDACTED]

Contact Phone: [REDACTED]

Project Name: Public Safety Reforms

Are you requesting a continuation of current funding or a new allocation?

This is a new allocation.

Does the organization have sufficient capacity to lead and conduct the project without hiring new permanent staff? If not, what resources will need to be hired?

This is a comprehensive and new project within LMPD. As part of the project, a new section named the Accountability and Improvement Bureau (AIB) will be created. The AIB will have about 20 permanent staff members.

Project Executive Summary (please specify if COVID-related.):

This Public Safety Reforms Project is comprised of three main sections:

- 1) Creation of the Accountability and Improvement Bureau (AIB) within LMPD. This Bureau will act on multiple fronts: improved training, performance standards, improved supervision tools and skills, audits, and accountability. AIB staff likely will include experts in curriculum development, adult education, legal instruction, officer wellness, IT/Analytics, managers, and auditors.
- 2) Technology and equipment upgrades within LMPD.
- 3) Additional measures for community engagement, community and LMPD surveys, officer recruitment and staffing, and deflection.

The Public Safety Reforms Project is not related to the COVID-19 pandemic.

Problem Statement (include issue and population served by project.):

LMPD completed a review by the Hillard Heintze Company in January 2021. The final report from Hillard Heintze made at least 100 recommendations for change in 12 areas for LMPD. Many of the changes will affect Louisville's Qualified Census Tracts.



In April 2021, the U.S. Department of Justice (DOJ) announced a pattern-of-practice investigation of Louisville Metro Government (LMG) and LMPD; that investigation continues. At the conclusion of the DOJ investigation, it is possible LMG will be asked to enter into a memorandum of understanding or a consent decree with the DOJ. Louisville Metro staff members have reviewed memoranda of understanding and consent decrees from other cities where the DOJ has conducted pattern and practice investigations. Those documents reflect changes and are aligned with the recommendations in the Hillard Heintze report.

The changes, improvements, and reforms arising out of the Hillard Heintze final report are extensive and will place substantial new demands upon LMPD and LMG.

Goal/Outcomes Statement:

The goals and outcomes for the Public Safety Reforms Project are to meet the recommendations set out in the Hillard Heintze reports and to improve the ways in which public safety protects the residents of Jefferson County. This project will allow LMPD to continually advance training, performance standards, skills, supervision, officer wellness, recruitment, and community engagement.

Please briefly explain what actions/steps your project proposes to address the above-stated problem:

The Public Safety Reforms Project will respond to the Hillard Heintze recommendations and possible future requirements arising out of the DOJ investigation. The main steps will be taken by the staff members of the new Accountability and Improvement Bureau. The technology upgrades within LMPD, community engagement, and deflection work will also be part of the solution.

How soon after signing a grant agreement can your project begin serving target populations and addressing the problem(s) described above? What is the anticipated duration of this project?

Job descriptions for positions within the Accountability and Improvement Bureau (AIB) can be drafted and posted within calendar year 2021. It is anticipated the lead positions can be hired and in place in 2021 as well. Some of the improved technology systems and equipment for LMPD are under review already and should be set out for bid after the ARP funding is in place.

The work of the AIB will continue beyond the Hillard Heintze recommendations being met and possible requirements of any DOJ memorandum of understanding or consent decree being satisfied. The AIB and its work will become a system of continuous improvement for LMPD and Louisville Metro.

Does this project directly support COVID-19 pandemic related recovery? If yes, please explain.

No. This project does not directly relate to recovery from the COVID-19 pandemic.

Evidence Basis for the Project

The US Treasury department encourages the use of SLFRF funds for evidence-based interventions: (<https://home.treasury.gov/system/files/136/SLFRF-Compliance-and-Reporting-Guidance.pdf#page=26>)

Please describe the evidence base for the interventions proposed by this project. (Maximum 200 words). Include links if possible.

One key segment of the Accountability and Improvement Bureau (AIB) is the Audit Section. Approximately five staff members will review performance in a wide number of LMPD reforms. The reports from the Audit Section will indicate if performance is improved and standards are being met.



In addition to the audit work of the AIB, substantial work is done via community and LMPD officer surveys. These surveys will also provide evidence of how the changes and reforms are proceeding from various groups.

How will this project define and measure success? Include Key Performance Indicators.

As noted above, the Hillard Heintze final report sets out about 100 specific recommendations. If a DOJ memorandum of understanding or consent decree is entered into, it will set out specific requirements as well. Success will be measured by meeting these specific recommendations and requirements.

Beyond the catalyst these two outside entities (Hillard Heintze and DOJ) have created, success will be shown by the continuing improvement of LMPD and Louisville Metro Government reflected in the work and reports of the Accountability and Improvement Bureau (AIB). As noted above, one important part of the AIB is the Audit Section. The reports from the Audit Section will show if the training, performance, implementation, and supervision is successful and advancing the work of LMPD. In addition to this audit work, surveys of community members and police officers will also demonstrate how the how the improvements are received and understood.

Amount of Funding Requested:

\$35,000,000.00

