

**NEIGHBORHOOD DEVELOPMENT FUND**  
**Not-for-Profit Transmittal and Approval Form**

**Applicant/Program:** Blue Sky Kentucky, Inc. / Music Education Program, Americana Caravan and House Concert

**Executive Summary of Request:**  
  
Blue Sky Kentucky is a music education program that invites musicians, both local and regional/ national, to share their talent and real world experiences in a classroom setting to inspire, educate and empower at-risk children, youth and their families in the broader Kentucky area.

Is this program/project a fundraiser?  Yes  No  
Is this applicant a faith based organization?  Yes  No  
Does this application include funding for sub-grantee(s)?  Yes  No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

6 District #                       Primary Sponsor Signature                      \$4,500 Amount                      6-29-2015 Date

**Primary Sponsor Disclosure**  
List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

**Approved by:**  
\_\_\_\_\_  
Appropriations Committee Chairman                      Date

**Clerk's Office Only:**  
Request Amount: \_\_\_\_\_ Committee Amended Appropriation: \_\_\_\_\_  
Original Appropriation: \_\_\_\_\_ Council Amended Appropriation: \_\_\_\_\_

**OFFICE OF METRO COUNCIL CLERK**  
**REVIEWED**  
DATE 7/16/15 TIME 9:49

## NDF NON-PROFIT APPLICATION CHECKLIST

<b>Legal Name of Applicant Organization:</b> <i>Blue Sky Kentucky Inc.</i>		<b>Yes/No/NA</b>
<b>Program Name:</b> <i>Music Education Prog</i>	<b>Request Amount:</b> <i>\$5,000</i>	
<b>Request form:</b> Is the NDF request form signed by all Council Member(s) appropriating funding?		<i>yes</i>
<b>Request form:</b> Is the funding proposed less than or equal to the request amount?		<i>yes</i>
<b>Request form:</b> Have all known Council or Staff relationships to the Agency been adequately disclosed on the cover sheet?		<i>yes</i>
<b>Application Page 1:</b> Has prior Metro funds committed/granted been disclosed?		<i>yes</i>
<b>Application Page 1:</b> Is the application properly signed and dated by authorized signatory?		<i>yes</i>
<b>Application Page 3:</b> Reimbursement funding – One or two boxes checked if any expenses are incurred before the grant award period. Is all required documentation included?		<i>N/A</i>
<b>Application Pages 3 – 5:</b> Is the proposed public purpose of the program well-documented?		<i>yes</i>
<b>Application 4:</b> Is there adequate documentation of how the proceeds of the fundraiser will be spent?		<i>N/A</i>
<b>Application Budget Page 6:</b> Does the application budget reflect only the revenue and expenses of the project/program (page 6) if the request is not an operating budget request? Is all detail schedules included for "Metro, Non Metro and Total" expense funds for client assistance, community events & festivals and other expenses? And does the Non-Metro Revenue equal the Non-Metro expenses?		<i>yes</i>
<b>Faith Based Organizations:</b> Is the signed Faith Based Form signed and included?		<i>N/A</i>
<b>Jefferson County Only:</b> Will all funding be spent in Louisville/Jefferson County?		<i>yes</i>
<b>Capital Project(s) request:</b> Is the cost estimate(s) from proposed vendor(s) included?		<i>N/A</i>
<b>Good Standing:</b> Is the entity in good standing with: <ul style="list-style-type: none"> <li>• Kentucky Secretary of State – include Secretary of State website information on organization</li> <li>• Louisville Metro Government – check OMB monthly report filed in Council Financial Reports</li> <li>• Internal Revenue Service – most recent Form 990 included</li> </ul>		<i>yes</i>
<b>Separate Taxing Districts:</b> If Metro funding is for a separate taxing district, is the funding appropriated for a program outside the legal responsibility of that taxing district?		<i>N/A</i>
<b>Small Cities:</b> Is the resolution included agreeing to partner with Louisville Metro on the capital project? (IRS Determination letter not required, Form 990 not required, but KY SOS acknowledgement is)		<i>N/A</i>
<b>Operating Requests:</b> Is recommended operating funding less than or equal to 33% of total operating budget?		<i>NO</i>
<b>IRS Exempt Proof:</b> Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?		<i>yes</i>
<b>Operating Budget:</b> Is the organization's current fiscal year operating budget included?		<i>yes</i>
<b>Ordinance Required:</b> Is the amount committed by Council members greater than \$5,000 to any one project/program within an organization in this fiscal year.		<i>NO</i>
<b>Board Members:</b> Is the entity's board member list (with term length/term limits) included?		<i>yes</i>
<b>Staff:</b> Is a list of the highest paid staff included with their expected annual personnel costs?		<i>N/A</i>
<b>Annual Audit:</b> Is the most recent annual audit (if required by organization) included?		<i>N/A</i>
<b>Rent Requests:</b> Is a copy of signed lease included?		<i>N/A</i>
<b>Articles of Incorporation:</b> Are the Articles of Incorporation of the organization included?		<i>yes</i>
<b>IRS Form W-9:</b> Is the IRS Form W-9 included?		<i>yes</i>
<b>Evaluation Forms:</b> Are the evaluation forms (if program participants are given evaluation forms) included?		<i>yes</i>
<b>Affirmative Action:</b> Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required by the organization)?		<i>N/A</i>
<b>Prepared by:</b> <i>Allison Owen</i>		<b>Date:</b> <i>7/2/2015</i>



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
<b>Legal Name of Applicant Organization:</b>			
<i>(as listed on: <a href="http://www.sos.ky.gov/business/records">http://www.sos.ky.gov/business/records</a>)</i> Blue Sky Kentucky, Inc.			
<b>Main Office Street &amp; Mailing Address:</b> 1346 S. Brook Street Louisville, KY 40208			
<b>Website:</b> www.blueskyky.org			
<b>Applicant Contact:</b>	Greg Handy	<b>Title:</b>	president
<b>Phone:</b>	502.637.7300	<b>Email:</b>	blueskykentucky@gmail.com
<b>Financial Contact:</b>	same as above	<b>Title:</b>	
<b>Phone:</b>		<b>Email:</b>	
<b>Organization's Representative who attended NDF Training:</b> Greg Handy			
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
<b>PROGRAM/PROJECT NAME:</b> Music Education Program, Americana Caravan and House Concert			
<b>Total Request: (\$)</b>	5,000	<b>Total Metro Award (this program) in previous year: (\$)</b>	
<b>Purpose of Request (check all that apply):</b>			
<input checked="" type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget) <input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
<b>The Following are Required Attachments:</b>			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current Year Projected Budget <input checked="" type="checkbox"/> List of Board of Directors (include term & term limits) <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if required <input type="checkbox"/> Staff including the 3 highest paid staff	
<b>For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.</b>			
<b>Source:</b>		<b>Amount: (\$)</b>	
<b>Source:</b>		<b>Amount: (\$)</b>	
<b>Source:</b>		<b>Amount: (\$)</b>	
<b>Has the applicant contacted the BBB Charity Review for participation?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>Has the applicant met the BBB Charity Review Standards?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 3 – AGENCY DETAILS

**Describe Agency's Vision, Mission and Services:**

Established in 2002, Blue Sky Kentucky is a 501(c)(3) not-for-profit organization dedicated to presenting and promoting top quality music in a variety of styles, primarily that of American Roots, or Americana. The organization's mission is to create original programs and promote local talent; present a unique variety of national roots-based touring artists that inspire and educate audiences in the broader Kentuckiana area; explore local collaborations that mesh with these goals.

Blue Sky unites and fosters audiences of all ages and segments of the community for the appreciation and advancement of all forms of traditional or 'roots' music...local to national...listening room to classroom.

MA



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 4 – PROGRAM/PROJECT NARRATIVE

**A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):** *MA*  
*program Start DATE 9-15-9-16*

All BSK programs are ongoing but for purposes of this grant, operate year to year. A key component of Blue Sky's mission brings the art and business of music directly to the classroom. In 2010 and 2011 BSK partnered with the not-for-profit Cabbage Patch Settlement House in Old Louisville, located in one of the city's oldest and most architecturally significant neighborhoods. "The Patch" celebrated its 105th year of profoundly changing lives in 2015, the same year BSK resumed the original partnership. Its mission: to equip and empower at-risk children, youth and their families to be self-sufficient. As part of the Cabbage Patch Recreation/Youth Development initiative, Blue Sky's music ed program invited musicians to share their talent and real world experiences in a classroom setting. A typical program consists of a performance, a brief lecture focusing on one or more aspects of the music, and concludes with a Q & A session. This direct exposure to a working, performing musician actively engaged in the music industry became a catalyst for many of the students in pursuing their life dreams, whether it be music or some other endeavor. Most Cabbage Patch participants come from a 1 to 2 mile radius of the facility, in particular the Old Louisville, Limerick, Shelby Park, California, Russell, South Central, Wyondotte and Oakdale neighborhoods.

**B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):**

Funds are also targeted to defray expenses incurred by both local and regional/national touring performing artists as they pertain to the above music education program. This refers in particular to recommencement of the BSK Americana Caravan venue concerts and a new house concert series currently in the works.

Additionally, funds may be used to subsidize costs for students to attend local performances by visiting artists. In this way, students meet and learn from the musicians in an informal classroom environment, then have the opportunity to see those same musicians perform live at an actual concert in town - a 'next step' from lassroom to stage. Such opportunities would be coordinated with Cabbage Patch staff, the artists and venue personnel in terms of cost, logistics/transportation, and oversight.



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**C: If this request is a fundraiser, please detail how the proceeds will be spent:**

N/A

**D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:**

Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

N/A

The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

N/A

ML



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:**

As part of Blue Sky's mission, commitment to music education plays a key role. In April of 2015, the prior partnership with Cabbage Patch Settlement House resumed in which professional or 'working' musicians bring the art and business of music straight to young people in a classroom setting. These musicians are often local or regional, and in some cases are represented national touring artists. Such artists may be booked in town via another organization or venue, or brought to town by Blue Sky itself via the Americana Caravan venue concerts or its new house concert series; if the latter, Blue Sky then incurs contracted artist guarantees and associated performance expenses that are only partially covered by ticket sales (typically 40-50%). Funds may also be used to subsidize students' attendance at public concerts in town associated with visiting artists, taking the program a step further from classroom to stage, linking learning with professional performance. This direct exposure to working, performing musicians actively engaged in the music industry becomes a catalyst for many of the students in pursuing their life dreams, whether it be music or some other endeavor.

Primarily designed for school-age children and youth ages 8 to 16, most Cabbage Patch participants come from a 1 to 2 mile radius of the facility, in particular the Old Louisville, Limerick, Shelby Park, California, Russell, South Central, Wyondotte and Oakdale neighborhoods.

**F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.**

The Cabbage Patch Settlement House, 105-year-old independent 501(c)(3) organization dedicated to at-risk youth located in the 6th District: partnered with BSK to create the original music education programs of 2010 & 2011, and which resumed in April, 2015

Councilman David James office (6th District) and Kentucky Shakespeare Festival: BSK secured musical performers and was point of contact for the first "Fall in the Park" event in 2011 in Central Park; BSK had booth space and received special recognition during the event

Pilgrim Productions, LLC: first Americana/Roots concert produced by local promoters at Iroquois Amphitheater, 2010 - BSK provided hands-on assistance with venue and box office volunteers in exchange for music ed program donation and complimentary admission

Michael Young: 91.9 WFPK/Louisville Public Media on-air host of Roots 'n Boots, triple A radio's longest running Americana music program - special announcements and BSK performers on-air when possible, concert emcee

*MH*



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 5 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non- Metro Funds	Total Funds
<b>A: Personnel Costs Including Benefits</b>			
<b>B: Rent/Utilities</b>		150	
<b>C: Office Supplies</b>		85	
<b>D: Telephone</b>			
<b>E: In-town Travel</b>			
<b>F: Client Assistance (Attach Detailed List)</b>			
<b>G: Professional Service Contracts</b>	1,798	4,102	
<b>H: Program Materials</b>		250	
<b>I: Community Events &amp; Festivals (Attach Detail List)</b>			
<b>J: Small Equipment</b>			
<b>K: Capital Equipment</b>			
<b>L: Other Expenses (Attach Detail List)</b>	3,202		
<b>*TOTAL PROGRAM/PROJECT FUNDS</b>	<b>5,000</b>	<b>4,587</b>	<b>9,587</b>
<b>% of Program Budget</b>	52 %	48 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	2,500
Fees Collected from Program Participants	
Other (please specify)	2,087 - private grants
<b>Total Revenue for Columns 2 Expenses **</b>	<b>4,587</b>

*\*Total of Column 1 MUST match "Total Request on Page 1, Section 2"*

*\*\*Must equal or exceed total in column 2.*





## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
board meetings, 6 hrs p/mo. x 5	\$574.80	city gov't scale-\$19.16/hr
additional board hrs, 20 p/mo. x 2	\$9,196.80	same as above
family owned outdoor facility x 2	\$1,000	avg. rental rate p/day
<i>Total Value of In-Kind</i> (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)	\$10,771.60	

\* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK

Agency Fiscal Year Start Date: JAN 1 -

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO  YES

If YES, please explain:



**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**SECTION 6 – CERTIFICATIONS & ASSURANCES**

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

**Standard Assurances**

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.

**Standard Certifications**

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
- ~~2. The Agency has a written Affirmative Action/Equal Opportunity Policy.~~
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

**Relationship Disclosure:** List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

**SECTION 7 – CERTIFICATIONS & ASSURANCES**

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:	<i>Greg Handy</i>	Date:	<i>7-1-15</i>
Legal Signatory: (please print):	<i>GREG HANDY</i>	Title:	<i>President</i>
Phone:	<i>502 637-7300</i>	Extension:	
Email:	<i>Blue Sky Kentucky@gmail.com</i>		

Applicant's Initials *GH*

Blue Sky Kentucky / Detail List - Other Expenses

Accounts payable - insurance		\$350.00
Annual filing with state		\$25.00
Income taxes payable - n/a, 501(c)(3)		\$0.00
Accrued salaries and wages - n/a, all volunteer board and membership		\$0.00
Website domain		\$27.00
Logo design		\$400.00
Paid advertising - radio/print		\$1,000.00
Music education field trip(s)		\$200.00
Venue and p.a. rentals (4)		\$1,200.00
<b>TOTAL</b>		<b>\$3,202.00</b>

INTERNAL REVENUE SERVICE  
P. O. BOX 2508  
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: APR 04 2006

Employer Identification Number:

DLN:

17053077707026

Contact Person:

ERIC J BERTELSEN

ID# 31323

Contact Telephone Number:

(877) 829-5500

Public Charity Status:

509(a)(2)

BLUE SKY KENTUCKY INC  
100 MALLARD CREEK RD STE 210  
LOUISVILLE, KY 40207-0000

Dear Applicant:

Our letter dated December 27, 2001, stated you would be exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code, and you would be treated as a public charity, rather than as a private foundation, during an advance ruling period.

Based on the information you submitted, you are classified as a public charity under the Code section listed in the heading of this letter. Since your exempt status was not under consideration, you continue to be classified as an organization exempt from Federal income tax under section 501(c)(3) of the Code.

Publication 557, Tax-Exempt Status for Your Organization, provides detailed information about your rights and responsibilities as an exempt organization. You may request a copy by calling the toll-free number for forms, (800) 829-3676. Information is also available on our Internet Web Site at [www.irs.gov](http://www.irs.gov).

If you have general questions about exempt organizations, please call our toll-free number shown in the heading.

Please keep this letter in your permanent records.

Sincerely yours,



Lois G. Lerner  
Director, Exempt Organizations  
Rulings and Agreements

INTERNAL REVENUE SERVICE  
P. O. BOX 2508  
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date:

**DEC 27 2001**

BLUE SKY KENTUCKY INC  
325 W MAIN ST 2000 WATERFRONT PLAZA  
LOUISVILLE, KY 40202

Employer Identification Number:

DLN:

17053270009031

Contact Person:

ELIZABETH WAGNER

ID# 31380

Contact Telephone Number:

(877) 829-5500

Accounting Period Ending:

December 31

Foundation Status Classification:

509(a)(2)

Advance Ruling Period Begins:

August 30, 2001

Advance Ruling Period Ends:

December 31, 2005

Addendum Applies:

No

Dear Applicant:

Based on information you supplied, and assuming your operations will be as stated in your application for recognition of exemption, we have determined you are exempt from federal income tax under section 501(a) of the Internal Revenue Code as an organization described in section 501(c)(3).

Because you are a newly created organization, we are not now making a final determination of your foundation status under section 509(a) of the Code. However, we have determined that you can reasonably expect to be a publicly supported organization described in section 509(a)(2).

Accordingly, during an advance ruling period you will be treated as a publicly supported organization, and not as a private foundation. This advance ruling period begins and ends on the dates shown above.

Within 90 days after the end of your advance ruling period, you must send us the information needed to determine whether you have met the requirements of the applicable support test during the advance ruling period. If you establish that you have been a publicly supported organization, we will classify you as a section 509(a)(1) or 509(a)(2) organization as long as you continue to meet the requirements of the applicable support test. If you do not meet the public support requirements during the advance ruling period, we will classify you as a private foundation for future periods. Also, if we classify you as a private foundation, we will treat you as a private foundation from your beginning date for purposes of section 507(d) and 4940.

Grantors and contributors may rely on our determination that you are not a private foundation until 90 days after the end of your advance ruling period. If you send us the required information within the 90 days, grantors and contributors may continue to rely on the advance determination until we make

Letter 1045 (DO/CG)

BLUE SKY KENTUCKY INC

a final determination of your foundation status.

If we publish a notice in the Internal Revenue Bulletin stating that we will no longer treat you as a publicly supported organization, grantors and contributors may not rely on this determination after the date we publish the notice. In addition, if you lose your status as a publicly supported organization, and a grantor or contributor was responsible for, or was aware of, the act or failure to act, that resulted in your loss of such status, that person may not rely on this determination from the date of the act or failure to act. Also, if a grantor or contributor learned that we had given notice that you would be removed from classification as a publicly supported organization, then that person may not rely on this determination as of the date he or she acquired such knowledge.

If you change your sources of support, your purposes, character, or method of operation, please let us know so we can consider the effect of the change on your exempt status and foundation status. If you amend your organizational document or bylaws, please send us a copy of the amended document or bylaws. Also, let us know all changes in your name or address.

As of January 1, 1984, you are liable for social security taxes under the Federal Insurance Contributions Act on amounts of \$100 or more you pay to each of your employees during a calendar year. You are not liable for the tax imposed under the Federal Unemployment Tax Act (FUTA).

Organizations that are not private foundations are not subject to the private foundation excise taxes under Chapter 42 of the Internal Revenue Code. However, you are not automatically exempt from other federal excise taxes. If you have any questions about excise, employment, or other federal taxes, please let us know.

Donors may deduct contributions to you as provided in section 170 of the Internal Revenue Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Donors may deduct contributions to you only to the extent that their contributions are gifts, with no consideration received. Ticket purchases and similar payments in conjunction with fundraising events may not necessarily qualify as deductible contributions, depending on the circumstances. Revenue Ruling 67-246, published in Cumulative Bulletin 1967-2, on page 104, gives guidelines regarding when taxpayers may deduct payments for admission to, or other participation in, fundraising activities for charity.

You are not required to file Form 990, Return of Organization Exempt From Income Tax, if your gross receipts each year are normally \$25,000 or less. If you receive a Form 990 package in the mail, simply attach the label provided, check the box in the heading to indicate that your annual gross receipts are normally \$25,000 or less, and sign the return. Because you will be treated as a public charity for return filing purposes during your entire advance ruling period, you should file Form 990 for each year in your advance ruling period.

BLUE SKY KENTUCKY INC

showing the recipients' names, addresses, purposes of awards, manner of selection, and relationship (if any) to members, officers, trustees or donors of funds to you, so that you can substantiate upon request by the Internal Revenue Service any and all distributions you made to individuals. (Revenue Ruling 56-304, C.B. 1956-2, page 306.)

If we said in the heading of this letter that an addendum applies, the addendum enclosed is an integral part of this letter.

Because this letter could help us resolve any questions about your exempt status and foundation status, you should keep it in your permanent records.

We have sent a copy of this letter to your representative as indicated in your power of attorney.

If you have any questions, please contact the person whose name and telephone number are shown in the heading of this letter.

Sincerely yours,



Steven T. Miller  
Director, Exempt Organizations

Enclosure(s):  
Form 872-C

## ***Charitable Contributions - Substantiation and Disclosure Requirements***

---

**UNDER THE NEW LAW, CHARITIES WILL NEED TO PROVIDE NEW KINDS OF INFORMATION TO DONORS.** Failure to do so may result in denial of deductions to donors and the imposition of penalties on charities.

Legislation signed into law by the President on August 10, 1993, contains a number of significant provisions affecting tax-exempt charitable organizations described in section 501 (c)(3) of the Internal Revenue Code. These provisions include: (1) new substantiation requirements for donors, and (2) new public disclosure requirements for charities (with potential penalties for failing to comply). Additionally, charities should note that donors could be penalized by loss of the deduction if they fail to substantiate. **THE SUBSTANTIATION AND DISCLOSURE PROVISIONS APPLY TO CONTRIBUTIONS MADE AFTER DECEMBER 31, 1993.**

Charities need to familiarize themselves with these tax law changes in order to bring themselves into compliance. This Publication alerts you to the new provisions affecting tax-exempt charitable organizations. Set forth below are brief descriptions of the new law's key provisions. The Internal Revenue Service plans to provide further guidance in the near future.

### ***Donor's Substantiation Requirements***

---

**Documenting Certain Charitable Contributions.** — Beginning January 1, 1994, no deduction will be allowed under section 170 of the Internal Revenue Code for any charitable contribution of \$250 or more unless the donor has contemporaneous written substantiation from the charity. In cases where the charity has provided goods or services to the donor in exchange for making the contribution, this contemporaneous written acknowledgement must include a good faith estimate of the value of such goods or services. Thus, taxpayers may no longer rely solely on a cancelled check to substantiate a cash contribution of \$250 or more.

The substantiation must be "contemporaneous." That is, it must be obtained by the donor no later than the date the donor actually files a return for the tax year in which the contribution was made. If the return is filed after the due date or extended due date, then the substantiation must have been obtained by the due date or extended due date.

The responsibility for obtaining this substantiation lies with the donor, who must request it from the charity. The charity is not required to record or report this information to the IRS on behalf of donors.

The legislation provides that substantiation will not be required if, in accordance with regulations prescribed by the Secretary, the charity reports directly to the IRS the information required to be provided in the written substantiation. At present, there are no regulations establishing procedures for direct reporting by charities to the IRS of charitable contributions made in 1994. Consequently, charities and donors should be prepared to provide/obtain the described substantiation for 1994 contributions of \$250 or more.

There is no prescribed format for the written acknowledgement. For example, letters, postcards or computer-generated forms may be acceptable. The acknowledgement does not have to include the donor's social security or tax identification number. It must, however, provide sufficient information to substantiate the amount of the deductible contribution. The acknowledgement should note the amount of any cash contribution. However, if the donation is in the form of property, then the acknowledgement must describe, but need not value, such property. Valuation of the donated property is the responsibility of the donor.

The written substantiation should also note whether the donee organization provided any goods or services in consideration, in whole or in part, for the contribution and, if so, must provide a description and good-faith estimate of the value of the goods or services. In the new law these are referred to as "quid pro quo contributions."

Please note that there is a new law requiring charities to furnish disclosure statements to donors for such quid pro quo donations in excess of \$75. This is addressed in the next section regarding Disclosure By Charity.

If the goods or services consist entirely of intangible religious benefits, the statement should indicate this, but the statement need not describe or provide an estimate of the value of these benefits. "Intangible religious benefits" are also discussed in the following section on Disclosure By Charity. If, on the other hand, the donor received nothing in return for the contribution, the written substantiation must so state.

The present law remains in effect that, generally, if the value of an item or group of like items exceeds \$5,000, the donor must obtain a qualified appraisal and submit an appraisal summary with the return claiming the deduction.

The organization may either provide separate statements for each contribution of \$250 or more from a taxpayer, or furnish periodic statements substantiating contributions of \$250 or more.

Separate payments are regarded as independent contributions and are not aggregated for purposes of measuring the \$250 threshold. However, the Service is authorized to establish anti-abuse rules to prevent avoidance of the substantiation requirement by taxpayers writing separate smaller checks on the same date.

If donations are made through payroll deductions, the deduction from each paycheck is regarded as a separate payment.

A charity that knowingly provides false written substantiation to a donor may be subject to the penalties for aiding and abetting an understatement of tax liability under section 6701 of the Code.

### ***Disclosure by Charity of Receipt of Quid Pro Quo Contribution***

---

Beginning January 1, 1994, under new section 6115 of the Internal Revenue Code, a charitable organization must provide a written disclosure statement to donors who make a payment, described as a "quid pro quo contribution," in excess of \$75. This requirement is separate from the written substantiation required for deductibility purposes as discussed above. While, in certain circumstances, an organization may be able to meet both requirements with the same written document, an organization must be careful to satisfy the section 6115 written disclosure statement requirement in a timely manner because of the penalties involved.

A quid pro quo contribution is a payment made partly as a contribution and partly for goods or services provided to the donor by the charity. An example of a quid pro quo contribution is where the donor gives a charity \$100 in consideration for a concert ticket valued at \$40. In this example, \$60 would be deductible. Because the donor's payment (quid pro quo contribution) exceeds \$75, the disclosure statement must be furnished, even though the deductible amount does not exceed \$75.

Separate payments of \$75 or less made at different times of the year for separate fund-raising events will not be aggregated for purposes of the \$75 threshold. However, the Service is authorized to develop anti-abuse rules to prevent avoidance of this disclosure requirement in situations such as the writing of multiple checks for the same transaction.

The required written disclosure statement must:

- (1) inform the donor that the amount of the contribution that is de-



Form **872-C**

(Rev. September 1998)

Department of the Treasury  
Internal Revenue Service

# Consent Fixing Period of Limitation Upon Assessment of Tax Under Section 4940 of the Internal Revenue Code

(See instructions on reverse side.)

OMB No. 1545-0058

To be used with  
Form 1023. Submit  
in duplicate.

Under section 6501(c)(4) of the Internal Revenue Code, and as part of a request filed with Form 1023 that the organization named below be treated as a publicly supported organization under section 170(b)(1)(A)(vi) or section 509(a)(2) during an advance ruling period,

BLUE SKY KENTUCKY, INC.

(Exact legal name of organization as shown in organizing document)

and the

District Director of  
Internal Revenue, or  
Assistant Commissioner  
(Employee Plans and  
Exempt Organizations)

2000 WATERFRONT PLAZA 325 W. MAIN ST. LOUISVILLE, KY 40202

(Number, street, city or town, state, and ZIP code)

consent and agree that the period for assessing tax (imposed under section 4940 of the Code) for any of the 5 tax years in the advance ruling period will extend 8 years, 4 months, and 15 days beyond the end of the first tax year.

However, if a notice of deficiency in tax for any of these years is sent to the organization before the period expires, the time for making an assessment will be further extended by the number of days the assessment is prohibited, plus 60 days.

Ending date of first tax year

12/31/01

(Month, day, and year)

Name of organization (as shown in organizing document)

BLUE SKY KENTUCKY, INC.

Date

9-20-01

Officer or trustee having authority to sign

Signature

Type or print name and title

STEVEN J. KRIEGSHABER

For IRS use only

District Director or Assistant Commissioner (Employee Plans and Exempt Organizations)

Steven T. Miller

Director, Exempt Organization

Date

12/27/01

By

Group Manager

For Paperwork Reduction Act Notice, see page 7 of the Form 1023 Instructions.

(HTA)

March 26, 2015

### Conditions of Service

Board members of Blue Sky Kentucky serve a two year term unless asked to be removed or violate the bylaws of the organization

Board Members	Term
Greg Handy – President	2015-2017
Rebecca Kessler- Vice President	2015-2017
Dr Andy Mickler- Treasurer	2015-2017
John Valentine- Secretary	2015-2017
Tim Corbett	2015-2017
Cassie Carney	2015-2017
Steve Krigshaber	2015-2017

Blue Sky  
Kentucky, Inc.

**Financial  
Statement**

<b>Assets</b>		<b>2015</b>
<b>Current Assets</b>		
	Private donation - cash	2,100
	Private donation - logo design	400
	<i>Total current assets</i>	<b>\$2,500.00</b>
<b>Projected Assets</b>		
	NDF grant	5,000
	<i>private grants, donations and concert revenue</i>	2,487
	<i>Total projected assets</i>	<b>\$7,087.00</b>
<b>Total Assets</b>		<b>\$9,587.00</b>

<b>Liabilities (projected)</b>	
Accounts payable - insurance	\$350.00
Annual filing with state	\$25.00
Income taxes payable - n/a, 501(c)(3)	\$0.00
Accrued salaries and wages - n/a, all volunteer board and membership	\$0.00
Website domain	\$27.00
Logo design	\$400.00
Artist fees - music education program (15-20)	\$1,500.00
Artist fees - American Caravan concerts (4)	\$2,000.00
Artist fees - house concert series (6)	\$2,400.00
Paid advertising - radio/print	\$1,000.00
Music education field trip(s)	\$200.00
Office supplies	\$85.00
Program materials	\$250.00
Venue and p.a. rentals (4)	\$1,200.00
Utilities for donated outdoor concert space (2)	\$150.00
<b>Total Liabilities</b>	<b>\$9,587.00</b>

**FOR TAX YEAR 2014**

**BLUE SKY KENTUCKY INC**

**Maureen M Samuels CPA**

**1448 Gardiner Lane Suite 202**

**Louisville, KY 40213-1982**

**(502) 876-8140**

**Form 990-N Information**

(Keep for your records)

**2014**

Name as shown on return

Blue Sky Kentucky Inc

Social Security Number



(This is not an IRS form. This form was created by Drake Software and is intended for informational and bookkeeping purposes only.)

Termination

Gross receipts of \$50,000 or less

Doing business as: Blue Sky Kentucky Inc

Website: blueskyky.org

Officer's name: Greg Handy

Officer's address: 1346 S Brook St

Louisville, KY 40208

**IRS e-file Signature Authorization  
for an Exempt Organization**

For calendar year 2014, or fiscal year beginning \_\_\_\_\_, and ending \_\_\_\_\_  
▶ **Do not send to the IRS. Keep for your records.**  
▶ **Information about Form 8879-EO and its instructions is at [www.irs.gov/form8879eo](http://www.irs.gov/form8879eo).**

**2014**

Department of the Treasury  
Internal Revenue Service

Name of exempt organization

Employer identification number

**Blue Sky Kentucky Inc**

Name and title of officer

**Greg Handy, President**

**Part I Type of Return and Return Information (Whole Dollars Only)**

Check the box for the return for which you are using this Form 8879-EO and enter the applicable amount, if any, from the return. If you check the box on line 1a, 2a, 3a, 4a, or 5a, below, and the amount on that line for the return being filed with this form was blank, then leave line 1b, 2b, 3b, 4b, or 5b, whichever is applicable, blank (do not enter -0-). But, if you entered -0- on the return, then enter -0- on the applicable line below. Do not complete more than 1 line in Part I.

1a Form 990 check here ▶ <input type="checkbox"/>	b Total revenue, if any (Form 990, Part VIII, column (A), line 12) . . . . .	1b
2a Form 990-EZ check here ▶ <input type="checkbox"/>	b Total revenue, if any (Form 990-EZ, line 9) . . . . .	2b
3a Form 1120-POL check here ▶ <input type="checkbox"/>	b Total tax (Form 1120-POL, line 22) . . . . .	3b
4a Form 990-PF check here ▶ <input type="checkbox"/>	b Tax based on investment income (Form 990-PF, Part VI, line 5) . . . . .	4b
5a Form 8868 check here ▶ <input type="checkbox"/>	b Balance Due (Form 8868, Part I, line 3c or Part II, line 8c) . . . . .	5b

**Part II Declaration and Signature Authorization of Officer**

Under penalties of perjury, I declare that I am an officer of the above organization and that I have examined a copy of the organization's 2014 electronic return and accompanying schedules and statements and to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the organization's electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the organization's return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) the reason for any delay in processing the return or refund, and (c) the date of any refund. If applicable, I authorize the U.S. Treasury and its designated Financial Agent to initiate an electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the organization's federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment. I have selected a personal identification number (PIN) as my signature for the organization's electronic return and, if applicable, the organization's consent to electronic funds withdrawal.

**Officer's PIN: check one box only**

I authorize Maureen M Samuels CPA to enter my PIN 69610 as my signature  
ERO firm name Enter five numbers, but do not enter all zeros

on the organization's tax year 2014 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I also authorize the aforementioned ERO to enter my PIN on the return's disclosure consent screen.

As an officer of the organization, I will enter my PIN as my signature on the organization's tax year 2014 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I will enter my PIN on the return's disclosure consent screen.

Officer's signature ▶ Greg Handy Date ▶ 06-26-2015

**Part III Certification and Authentication**

ERO's EFIN/PIN. Enter your six-digit electronic filing identification number (EFIN) followed by your five-digit self-selected PIN. 610239 38267  
do not enter all zeros

I certify that the above numeric entry is my PIN, which is my signature on the 2014 electronically filed return for the organization indicated above. I confirm that I am submitting this return in accordance with the requirements of Pub. 4163, Modernized e-File (MeF) Information for Authorized IRS e-file Providers for Business Returns.

ERO's signature ▶ Maureen Samuels Date ▶ 06-26-2015

**ERO Must Retain This Form - See Instructions  
Do Not Submit This Form To the IRS Unless Requested To Do So**

**ARTICLES OF INCORPORATION**

**OF**

**BLUE SKY KENTUCKY, INC.**

\*\*\* \*\*

The undersigned incorporator, Steven J. Kriegshaber executes these articles for the purpose of forming and does hereby form a nonprofit corporation under the laws of the Commonwealth of Kentucky, KRS 273.161 et seq., in accordance with the following provisions:

0521803.09  
John Y. Brown III  
Secretary of State  
Received and Filed  
08/30/2001 12:47 PM  
Fee Receipt: \$8.00  
Payne - NAOI

**ARTICLE I**

**NAME**

The name of the corporation is Blue Sky Kentucky, Inc.

**ARTICLE II**

**PURPOSES AND POWERS**

Blue Sky Kentucky, Inc. is organized and operated exclusively for charitable and educational purposes. In carrying out its corporate purposes, the corporation shall have all the powers allowed corporations by Chapter 273 of the Kentucky Revised Statutes. The particular purpose of the corporation is to present a diversity of music in concert and festival format to the Louisville community.

**ARTICLE III**

**REGISTERED OFFICER AND AGENT**

The street address of the initial registered office of the corporation in the Commonwealth of Kentucky is 2000 Waterfront Plaza 325 W. Main Street Louisville, Kentucky 40202.

The initial registered agent at the same address is Steven J. Kriegshaber.

**ARTICLE IV**  
**PRINCIPAL OFFICE**

The mailing address of the principal office of the corporation is 2000 Waterfront Plaza 325 W. Main Street Louisville, Kentucky 40202.

**ARTICLE V**  
**DIRECTORS**

The business and affairs of the corporation shall be governed by a board of directors. The four members of the initial board of directors shall serve until the first annual election of directors and until their successors are elected and qualify. A director may be removed from office by the voting member, if any, or if none, by a two thirds (2/3) vote of the then serving directors, with or without cause. The names and mailing addresses of the initial directors are:

Steven J. Kriegshaber  
2000 Waterfront Plaza  
325 W. Main St.  
Louisville, KY 40202

Karl Victor  
2000 Waterfront Plaza  
325 W. Main St.  
Louisville, KY 40202

Allen P. Dodd III  
2000 Waterfront Plaza  
325 W. Main St.  
Louisville, KY 40202

Leslie A. Stewart  
1914 Bonnycastle Ave.  
Louisville, KY 40205



## **ARTICLE VI**

### **MEMBERS**

The corporation shall have one member, Steven J. Kriegshaber, who shall have the right to elect trustees/directors during his lifetime or until he shall relinquish such right thereafter, the Board shall consist of at least three (3) trustees/directors who shall be self-perpetuating.

## **ARTICLE VII**

### **OFFICERS**

The bylaws shall identify and provide for the method of election or appointment of the officers of the corporation.

## **ARTICLE VIII**

### **BYLAWS**

The bylaws of the corporation shall be adopted, and may be amended or repealed, by the board of directors.

## **ARTICLE IX**

### **INDEMNIFICATION**

Each person who is or was a member, director, trustee, or officer of the corporation, whether elected or appointed, and each person who is or was serving at the request of the corporation as a member, director, trustee, or officer of another corporation, whether elected or appointed, including the heirs, executors, administrators, or estate of any such person, shall be indemnified by the corporation to the full amount against any such liability, cost, or expense incurred in connection with any action, suit, or proceeding in which such person shall have been adjudged liable on the basis that personal benefit was improperly received by such person, or if such indemnification would be prohibited by law. Such right of indemnification shall be a contract right and shall include the right to be paid by the corporation the reasonable expenses incurred in defending any threatened or pending

action, suit, or proceeding in advance of its final disposition; provided, however, that such advance payment of expenses shall be made only after delivery to the corporation of an undertaking by or on behalf of such person to repay all amounts so advanced if it shall be determined that such person is not entitled to such indemnification. Any repeal or modification of this article shall not affect any rights or obligations then existing. If any indemnification payment required by this article is not paid by the corporation within 90 days after a written claim has been received by the corporation, the member, director, trustee, officer, or employee may at any time thereafter bring suit against the corporation to recover the unpaid amount and, if successful in whole or in part, such person shall be entitled to be paid also the expense of prosecuting such claim. The corporation may maintain insurance, at its own expense, to protect itself and any such person against any such liability, cost, or expense, whether or not the corporation would have the power to indemnify such person against such liability, cost, or expense under the Kentucky Nonprofit Acts or under this article, but it shall not be obligated to do so. The indemnification provided by this article shall not be deemed exclusive of any other rights which those seeking indemnification may have or hereafter acquire under any bylaw, agreement, statute, vote of members or board of directors, or otherwise. If this article or any portion thereof shall be invalidated on any ground by any court of competent jurisdiction, then the corporation shall nevertheless indemnify each such person to the full extent permitted by any applicable portion of this article that shall not have been invalidated or by any other applicable law.

## **ARTICLE X**

### **LIMITATION OF DIRECTOR LIABILITY**

No director shall be personally liable to the corporation for monetary damages for breach of his duties as a director except for liability:

(A) For any transaction in which the director's personal financial interest is in conflict with

the financial interests of the corporation;

(B) For acts or omissions not in good faith or which involve intentional misconduct or are known to the director to be a violation of law; or

(C) For any transaction from which the director derives an improper personal benefit.

If the Kentucky Revised Statutes are amended after approval of this article to authorize corporate action further elimination or limiting the personal liability of directors, then the liability of a director of the corporation shall be deemed to be eliminated or limited by this provision to the fullest extent then permitted by the Kentucky Revised Statutes, as so amended. Any repeal or modification of this article shall not adversely affect any right or protection of a director of the corporation existing at the time of such repeal or modification.

#### **ARTICLE XI**

##### **LIMITATION OF POWERS**

Blue Sky Kentucky, Inc. is prohibited from: (a) devoting more than an insubstantial part of its activities to attempting to influence legislation; (b) directly or indirectly participate in any political campaign on behalf of, or in opposition to, any candidate for public office; or (c) have objectives and engage in activities that characterize it as an "action" organization.

#### **ARTICLE XII**

##### **DISSOLUTION**

Upon dissolution of Blue Sky Kentucky, Inc. the corporation's assets must be transferred or distributed for charitable purposes. No part of the net earnings, gains, or assets of the corporation shall inure to the benefit of, or be distributable to, any private persons.

**ARTICLE XIII**  
**INCORPORATOR**

The name and address of the incorporator is:

Steven J. Kriegshaber  
2000 Waterfront Plaza  
325 W. Main St.  
Louisville, KY 40202

Signed by the incorporator at 2000 Waterfront Plaza 325 W. Main St. Louisville, Kentucky  
on the 26 day of August, 2001.

  
Steven J. Kriegshaber

**CONSENT OF INITIAL REGISTERED AGENT**

Pursuant to the provisions of KRS Chapter 273, the undersigned, as the initial registered agent identified in Article IV of the Articles of Incorporation of Blue Sky Corporations, Inc., hereby consents to serve Blue Sky Corporations, Inc. in that capacity until such time as such appointment is terminated or until the undersigned resigns in accordance with the Kentucky Nonprofit Corporation Act.

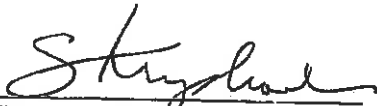
  
Steven J. Kriegshaber

This instrument prepared by:

Allen McKee Dodd  
2000 Waterfront Plaza  
325 W. Main St. Louisville, KY 40202

**Meeting of Voting Member for Blue Sky Kentucky**

A special meeting of the members of Blue Sky Kentucky was held on the 21<sup>st</sup> day of December at the offices of Dodd & Dodd Attorneys 2000 Waterfront Plaza 325 W. Main St. Louisville, Kentucky 40202. Mr. Steve Kriegshaber, sole voting member was present. On motion made and unanimously approved the following resolution was adopted. Leslie Stewart was removed as a member of the Board of Directors effective 1:45 pm on December 21, 2001.

  
Steven J. Kriegshaber  
Sole Voting Member

Form **872-C**

(Rev. September 1998)

Department of the Treasury

Internal Revenue Service

# Consent Fixing Period of Limitation Upon Assessment of Tax Under Section 4940 of the Internal Revenue Code

(See instructions on reverse side.)

OMB No. 1545-0056

To be used with Form 1023. Submit in duplicate.

Under section 6501(c)(4) of the Internal Revenue Code, and as part of a request filed with Form 1023 that the organization named below be treated as a publicly supported organization under section 170(b)(1)(A)(vi) or section 509(a)(2) during an advance ruling period,

BLUE SKY KENTUCKY, INC.

(Exact legal name of organization as shown in organizing document)

District Director of Internal Revenue, or Assistant Commissioner (Employee Plans and Exempt Organizations)

2000 WATERFRONT PLAZA 325 W. MAIN ST. LOUISVILLE, KY 40202

(Number, street, city or town, state, and ZIP code)

and the

consent and agree that the period for assessing tax (imposed under section 4940 of the Code) for any of the 5 tax years in the advance ruling period will extend 8 years, 4 months, and 15 days beyond the end of the first tax year.

However, if a notice of deficiency in tax for any of these years is sent to the organization before the period expires, the time for making an assessment will be further extended by the number of days the assessment is prohibited, plus 60 days.

Ending date of first tax year

12/31/01

(Month, day, and year)

Name of organization (as shown in organizing document)	Date
<u>BLUE SKY KENTUCKY, INC.</u>	<u>9-20-01</u>
Officer or trustee having authority to sign	Type or print name and title
Signature <u>St J Kriegshaber</u>	<u>STEVEN J. KRIEGSHABER</u>
<b>For IRS use only</b>	
District Director or Assistant Commissioner (Employee Plans and Exempt Organizations)	Date
<u>Steven J. Kriegshaber</u> Director, Exempt Organization	<u>12/27/01</u>

By Peggy Combs GROUP MANAGER

For Paperwork Reduction Act Notice, see page 7 of the Form 1023 Instructions.

(HTA)

## Request for Taxpayer Identification Number and Certification

Give Form to the  
 requester. Do not  
 send to the IRS.

Print or type  
 See Specific Instructions on page 2.

Name (as shown on your income tax return) <b>BLUE SKY KENTUCKY, INC.</b>	
Business name/disregarded entity name, if different from above	
Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ <input checked="" type="checkbox"/> Other (see instructions) ▶ <b>TAX-EXEMPT ORGANIZATION</b>	
<input checked="" type="checkbox"/> Exempt payee	
Address (number, street, and apt. or suite no.) <b>304 WHITTINGTON PKWY, SUITE 107</b>	Requester's name and address (optional)
City, state, and ZIP code <b>LOUISVILLE, KY 40222</b>	
List account number(s) here (optional)	

### Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

**Note.** If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number
Employer identification number

### Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below).

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.

<b>Sign Here</b>	Signature of U.S. person ▶	Date ▶
------------------	----------------------------	--------

### General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

#### Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

**Note.** If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

**Definition of a U.S. person.** For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

**Special rules for partnerships.** Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

**BLUE SKY KENTUCKY, INC.****General Information**

<b>Organization Number</b>	0521803
<b>Name</b>	BLUE SKY KENTUCKY, INC.
<b>Profit or Non-Profit</b>	N - Non-profit
<b>Company Type</b>	KCO - Kentucky Corporation
<b>Status</b>	A - Active
<b>Standing</b>	G - Good
<b>State</b>	KY
<b>File Date</b>	8/30/2001
<b>Organization Date</b>	8/30/2001
<b>Last Annual Report</b>	3/19/2015
<b>Principal Office</b>	2000 WATERFRONT PLAZA 325 W MAIN ST LOUISVILLE, KY 40202
<b>Registered Agent</b>	GREG HANDY 1346 SO. BROOK ST LOUISVILLE, KY 40208

**Current Officers**

<b>President</b>	<u>Greg Handy</u>
<b>Secretary</b>	<u>John Valentine</u>
<b>Treasurer</b>	<u>ANDREW Mickler MD</u>
<b>Director</b>	<u>Greg Handy</u>
<b>Director</b>	<u>JOHN VALENTINE</u>
<b>Director</b>	<u>ANDREW Mickler MD</u>
<b>Director</b>	<u>Tim Corbett</u>
<b>Director</b>	<u>Steve Kriegshaber</u>
<b>Director</b>	<u>Cassie Carney</u>

**Individuals / Entities listed at time of formation**

<b>Director</b>	<u>STEVEN J KRIEGSHABER</u>
<b>Director</b>	<u>KARL VICTOR</u>
<b>Director</b>	<u>ALLEN P DODD III</u>
<b>Director</b>	<u>LESLIE A STEWART</u>
<b>Incorporator</b>	<u>STEVEN J KRIEGSHABER</u>

**Images available online**

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

<u>Annual Report</u>	3/19/2015	1 page	<u>PDF</u>
<u>Registered Agent</u>	2/12/2015 2:15:56 PM	1 page	<u>PDF</u>



name/address change

<u>Annual Report</u>	4/8/2014	1 page	<u>PDF</u>	
<u>Annual Report</u>	6/6/2013	1 page	<u>PDF</u>	
<u>Annual Report</u>	6/14/2012	1 page	<u>PDF</u>	
<u>Annual Report</u>	3/31/2011	1 page	<u>PDF</u>	
<u>Annual Report</u>	6/29/2010	1 page	<u>PDF</u>	
<u>Annual Report</u>	9/2/2009	1 page	<u>PDF</u>	
<u>Reinstatement</u>	2/9/2009	3 pages	<u>tiff</u>	<u>PDF</u>
<u>Administrative Dissolution</u>	11/1/2008	1 page	<u>PDF</u>	
<u>Annual Report</u>	2/26/2007	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	4/19/2006	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	10/26/2005	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	10/29/2004	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/15/2003	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	4/22/2002	1 page	<u>tiff</u>	<u>PDF</u>
<u>Articles of Incorporation</u>	8/30/2001	7 pages	<u>tiff</u>	<u>PDF</u>

**Assumed Names****Activity History**

<b>Filing</b>	<b>File Date</b>	<b>Effective Date</b>	<b>Org. Referenced</b>
Annual report	3/19/2015 11:51:26 AM	3/19/2015 11:51:26 AM	
Registered agent address change	2/12/2015 2:15:56 PM	2/12/2015 2:15:56 PM	
Annual report	4/8/2014 10:32:09 AM	4/8/2014 10:32:09 AM	
Annual report	6/6/2013 10:20:26 AM	6/6/2013 10:20:26 AM	
Annual report	6/14/2012 9:16:01 AM	6/14/2012 9:16:01 AM	
Annual report	3/31/2011 1:57:22 PM	3/31/2011 1:57:22 PM	
Annual report	6/29/2010 2:17:36 PM	6/29/2010 2:17:36 PM	
Annual report	9/2/2009 3:26:08 PM	9/2/2009 3:26:08 PM	
Reinstatement	2/9/2009 12:08:16 PM	2/9/2009	
Admin Dis. A. report not in	11/1/2008	11/1/2008	
Annual report	2/26/2007 2:20:46 PM	2/26/2007	
Annual report	4/19/2006 8:50:42 AM	4/19/2006	
Add	8/30/2001 12:47:32 PM	8/30/2001	

**Microfilmed Images**

Microfilm images are not available online. They can be ordered by faxing a Request For Corporate

**Documents to the Corporate Records Branch at 502-564-5687.**

Annual Report	12/31/2004 2:26:42 PM	1 page
Annual Report	7/15/2003	1 page
Annual Report	4/22/2002	1 page
Articles of Incorporation	8/30/2001	6 pages



## **BLUE SKY KENTUCKY MUSIC EDUCATION OUTLINE**

### Objective

The goal of Blue Sky's music education program is to bring the art and business of music directly to the classroom. This goal is accomplished by exposing students to direct contact with a musician or group of musicians who are willing to share their time, talents and real world experiences in a classroom setting. Artists usually perform for the students, typically a group of 15 to 20 in number. The performance is followed by a brief lecture or casual talk led by the artists that focuses on one or more aspects of music - performance, songwriting, touring, the music industry, for example - then concludes with a question and answer session designed to promote interaction between musician and students. Presentations typically run an hour or so in length from start to finish.

This direct exposure to working, performing musicians who are actively engaged in the music business becomes a catalyst for many of the students pursuing their life dreams, whether it be music or some other endeavor.

### 1. Performance

Following a brief introduction, musicians perform 'unplugged' for the students, typically 2-3 songs or at their discretion and appropriate for a group ranging in age from 8 to 16.

### 2. Lecture

Musicians present a brief talk on a music topic or topics of their choosing. Some examples include:

#### \* The Art of Song Writing

A well-written song should do at least one of the following:

- A. evoke an emotion
- B. make a point
- C. tell a story
- D. set a mood

#### \* Music Industry

- A. Getting Into the Music Business
- B. Making It in Music
- C. Staying Power in the Music Business

### 3. Question and Answer Session

This segment follows an open forum format that allows students the opportunity to ask questions they may have about music in general and those topics the musicians have just presented.



*kRi & hettie*



**SMALL TIME  
NAPOLEON**

BSK's music ed program started anew this April with Louisville's Own folk/pop/soul duo **kRi & hettie**, followed up with jazz/swing & modern roots combo **Small Time Napoleon** in May

kRi & hettie @ [onesheet.com/kriandhettie/splash/](https://onesheet.com/kriandhettie/splash/) • Small Time Napoleon @ [smalltimenapoleon.com](https://smalltimenapoleon.com)

**Thank you for your support!**





**BLUE SKY KY** Help Support BLUE SKY KENTUCKY's Music Education Program

Established in 2002, Blue Sky is a not-for-profit 501(c)(3) organization based in Louisville, Kentucky, dedicated to presenting top quality roots musicians to enhance the local music-scape. Blue Sky's mission is: to create original music programs that promote local talent; explore local collaborations; and present a unique variety of national roots-based touring artists that inspire and educate audiences in Louisville and the broader Kentuckiana area.

A key component of Blue Sky Kentucky's mission is music education. That's why we're excited about resuming our partnership with The Cabbage Patch Settlement House, located in Old Louisville since 1929, in which professional or 'working' musicians take the art and business of music straight to young people. As part of the Cabbage Patch Recreation/Youth Development initiative, Blue Sky's music ed program invites musicians to share their talent and real world experiences in a classroom setting. A typical program consists of a performance, a brief lecture focusing on one or more aspects of the music, and concludes with a Q & A session. This direct exposure to working musicians actively engaged in the music industry becomes a catalyst for many of the students in pursuing their lifedreams, whether it be music or some other endeavor. Your contributions will help sustain our mission. For more information about this and BSK's other programs, please visit [www.blueskyky.org](http://www.blueskyky.org).

*Blue Sky Kentucky unites and fosters audiences of all ages and segments of the community for the appreciation and advancement of all forms of traditional or 'roots' music...local to national...listening room to classroom.*

Now celebrating its 105th year, Cabbage Patch's executive director, Rev. J. Tracy Holladay, is excited about resuming the partnership with BSK: *"The visual and performing arts are an emphasis of our Recreation/Youth Development program. Blue Sky Kentucky's music education program further enhances our existing programming in music, including choir and our popular guitar program."*

Yes, I'd like to support Blue Sky Kentucky's Music Education Program! \*

NAME \_\_\_\_\_ EMAIL \_\_\_\_\_

ADDRESS & PHONE \_\_\_\_\_

\_\_\_ \$25    \_\_\_ \$50    \_\_\_ Other: ( \_\_\_\_\_ )                      \* All contributions are tax-deductible

Please make checks payable to Blue Sky of Kentucky    Remit to: 1346 S. Brook Street, Louisville, KY 40208