

**NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Transmittal and Approval Form**

received
7-16-14 @ 1:25pm
[Signature]

Applicant/Program: Clifton Cultural Center, Inc.

Executive Summary of Request:

Funds to support "I've Rambled This Country Both Early & Late: A Celebration of Kentucky Mountain Music." A two-day event presented by the Clifton Center on September 19-20, 2014. Funding includes artist fees, artist travel, production and publicity for the event.

Tracking #: NDF080614 CCC

Is this program/project a fundraiser?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Is this applicant a faith based organization?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Does this application include funding for sub-grantee(s)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

<u>9</u> District #	<u>Tina Ward-Eugh</u> Primary Sponsor Signature	<u>\$2,500 -</u> Amount	<u>07/16/14</u> Date
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Primary Sponsor Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

N/A Tina

Approved by:

_____ Date
Appropriations Committee Chairman

Clerk's Office Only:

Request Amount: _____ Committee Amended Appropriation: _____
Original Appropriation: _____ Council Amended Appropriation: _____

Applicant/Program:
Clifton Cultural Center, Inc.

Additional Disclosure and Signatures

Additional Council Office Disclosure

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

<u>#8</u> District #	<u>Thomas L. Don</u> Council Member Signature	<u>\$400.00</u> Amount	<u>7/15/2014</u> Date
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<u> </u> District #	<u> </u> Council Member Signature	<u> </u> Amount	<u> </u> Date
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<u> </u> District #	<u> </u> Council Member Signature	<u> </u> Amount	<u> </u> Date
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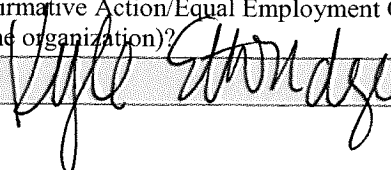
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<u> </u> District #	<u> </u> Council Member Signature	<u> </u> Amount	<u> </u> Date
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NDF NON-PROFIT APPLICATION CHECKLIST

Legal Name of Applicant Organization: Clifton Cultural Center, Inc.	
Program Name: I've Rambled This Country Both Early & Late Request Amount: \$3,750	Yes/No/NA
Request form: Is the NDF request form signed by all Council Member(s) appropriating funding?	Yes
Request form: Is the funding proposed less than or equal to the request amount?	Yes
Request form: Have all known Council or Staff relationships to the Agency been adequately disclosed on the cover sheet?	Yes
Application Page 1: Has prior Metro funds committed/granted been disclosed?	Yes
Application Page 1: Is the application properly signed and dated by authorized signatory?	Yes
Application Page 3: Reimbursement funding – One or two boxes checked if any expenses are incurred before the grant award period. Is all required documentation included?	n/a
Application Pages 3 – 5: Is the proposed public purpose of the program well-documented?	Yes
Application 4: Is there adequate documentation of how the proceeds of the fundraiser will be spent?	n/a
Application Budget Page 6: Does the application budget reflect only the revenue and expenses of the project/program (page 6) if the request is not an operating budget request? Is all detail schedules included for "Metro, Non Metro and Total" expense funds for client assistance, community events & festivals and other expenses? And does the Non-Metro Revenue equal the Non-Metro expenses?	Yes
Faith Based Organizations: Is the signed Faith Based Form signed and included?	n/a
Jefferson County Only: Will all funding be spent in Louisville/Jefferson County?	Yes
Capital Project(s) request: Is the cost estimate(s) from proposed vendor(s) included?	n/a
Good Standing: Is the entity in good standing with: <ul style="list-style-type: none"> • Kentucky Secretary of State – include Secretary of State website information on organization • Louisville Metro Government – check OMB monthly report filed in Council Financial Reports • Internal Revenue Service – most recent Form 990 included 	Yes
Separate Taxing Districts: If Metro funding is for a separate taxing district, is the funding appropriated for a program outside the legal responsibility of that taxing district?	n/a
Small Cities: Is the resolution included agreeing to partner with Louisville Metro on the capital project? (IRS Determination letter not required, Form 990 not required, but KY SOS acknowledgement is)	n/a
Operating Requests: Is recommended operating funding less than or equal to 33% of total operating budget?	n/a
IRS Exempt Proof: Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	Yes
Operating Budget: Is the organization's current fiscal year operating budget included?	Yes
Ordinance Required: Is the amount committed by Council members greater than \$5,000 to any one project/program within an organization in this fiscal year.	NO
Board Members: Is the entity's board member list (with term length/term limits) included?	Yes
Staff: Is a list of the highest paid staff included with their expected annual personnel costs?	Yes
Annual Audit: Is the most recent annual audit (if required by organization) included?	Yes
Rent Requests: Is a copy of signed lease included?	NO
Articles of Incorporation: Are the Articles of Incorporation of the organization included?	Yes
IRS Form W-9: Is the IRS Form W-9 included?	Yes
Evaluation Forms: Are the evaluation forms (if program participants are given evaluation forms) included?	n/a
Affirmative Action: Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required by the organization)?	n/a
Prepared by: 	Date: 07/15/14



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
Legal Name of Applicant Organization:		Clifton Cultural Center, Inc.	
<i>(as listed on: http://www.sos.ky.gov/business/records)</i>			
Main Office Street & Mailing Address: 2117 Main Street			
Website: www.CliftonCenter.or			
Applicant Contact:	Kristen Tidwell	Title:	Development Director
Phone:	(502) 896-8480, ext. 303	Email:	ktidwell@cliftoncenter.org
Financial Contact:	Adam Fischer	Title:	General Manager
Phone:	(502) 896-8480, ext. 302	Email:	afischer@cliftoncenter.org
Organization's Representative who attended NDF Training: Kristen Tidwell			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
Program Facility Location(s):	2117 Payne Street		
Council District(s):	9	Zip Code(s):	40206
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
PROGRAM/PROJECT NAME: "I've Rambled This Country Both Early and Late: A Celebration of Kentucky Mountain Music"			
Total Request: (\$)	3,750	Total Metro Award (this program) in previous year: (\$)	3,000
Purpose of Request (check all that apply):			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget)			
<input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals			
<input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
The Following are Required Attachments:			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current Year Projected Budget <input checked="" type="checkbox"/> List of Board of Directors (include term & term limits) <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input checked="" type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if required <input checked="" type="checkbox"/> Staff including the 3 highest paid staff	
For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.			
Source:	Louisville Metro Council - External Agency Fund	Amount: (\$)	11,400
Source:		Amount: (\$)	
Source:		Amount: (\$)	
Has the applicant contacted the BBB Charity Review for participation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Has the applicant met the BBB Charity Review Standards? <input type="checkbox"/> Yes <input type="checkbox"/> No			



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 3 – AGENCY DETAILS

Describe Agency's Vision, Mission and Services:

Boasting the beautiful 500-seat Eifler Theater, as well as a variety of other studio and meeting spaces, the Clifton Center has become a treasured performance venue and gathering place for the greater Louisville community. It is estimated that a minimum of 50,000 people come to the Clifton Center each year for wedding celebrations, art classes, dance classes, concerts, meetings, and other community events. Housed in an historic building that served as the St. Frances of Rome School before its closing in 1974, the Clifton Center was founded in 1995 to serve as an affordable rental facility for community events including music and theater productions, weddings, meetings, and other gatherings.

In 2010, the Clifton Center entered a new era in its history and adopted its current mission "... to serve as a gathering place for art, culture, and ideas that enrich our community." As a result of the recent transformation, the Clifton Center now looks forward to presenting its fourth season of Live at the Clifton Center, which is a series of diverse and unique programming that is becoming an integral part of the cultural life of Louisville. While continuing its role as a unique rental facility, the Clifton Center is now a vibrant center that presents acclaimed musicians, award-winning films, arts education programs, and a variety of other cultural offerings of the highest quality that enrich the Louisville community.

In its role as a rental facility, the Clifton Center regularly provides local nonprofits with deeply discounted, or often free, rental space which makes possible the important work of the worthy organizations that benefit the greater community. Community organizations that use the Clifton Center include the Coalition for the Homeless, Seven Counties, Phoenix Health Service, Colon Cancer Prevention Project, Family & Children's Place, the Sierra Club, Veterans Affairs of Louisville, Volunteers of America, Louisville Free Public Library, Center for Nonprofit Excellence, and Operation Brightside, among many others.

In its more recent role as arts presenter, the Clifton Center has deepened its impact on the community by providing cultural and educational opportunities that reach a broad audience representative of Louisville's rich diversity. The Clifton Center has a particular commitment to presenting high quality world music and through its Louisville Heritage Project, the Center celebrates and highlights music and cultural traditions from around the world. Featuring top artists from regions such as West Africa, Brazil, and Ireland, the Louisville Heritage Project appeals to our African-American, Latin, and Appalachian residents, among many others. In addition, the Clifton Center is committed to education programming and has ongoing partnerships with Field Elementary School and the University of Louisville School of Music, where Clifton Center artists regularly perform and lead master classes for areas students. The Clifton Center strives to make the quality art experiences that it offers accessible to those young and old who may have limited access to such opportunities by providing free tickets to particularly underserved groups.

The Center has also served a key role in the economic renaissance of the Clifton neighborhood. The Center has helped revitalize the Frankfort Avenue corridor that is now among the most vital business districts in Louisville. A hub of activity, the Clifton Center draws thousands of people each year to the many restaurants, bars, art galleries and retail stores that surround the Center.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 4 – PROGRAM/PROJECT NARRATIVE

A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):

Please See Attached Sheet

B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):
Funding will be spent on costs related to "I've Rambled This Country Both Early and Late: A Celebration of Kentucky Mountain Music" and will include artist fees, artist travel, production, and publicity.

CLIFTON CENTER - EXTRA SHEET

Section 4, Part A – Program/Project Narrative

The Clifton Center is requesting funds to support “I’ve Rambled This Country Both Early and Late: A Celebration of Kentucky Mountain Music,” a two-day event presented by the Clifton Center in collaboration with the Association for Cultural Equity, which houses the Alan Lomax Archive, on September 19 and 20, 2014.

In the fall of 1937, pioneering folklorist Alan Lomax traveled throughout the mountains of East Kentucky, documenting the region's traditional music. Two months later he returned to the Library of Congress with over 32 hours of recordings of ballad singers and songsters; Baptist, Methodist, and Pentecostal hymns; children's game songs and lullabies; and dozens of fiddlers, guitarists, harp-blowers, and banjo players. The farmers, coal miners, bus drivers, washerwomen, and schoolteachers who sang into Lomax's microphone were the inheritors and practitioners of one of America's — and the world's — richest musical legacies.

In 2014-2015 the Association for Cultural Equity and its Alan Lomax Archive is digitally repatriating these invaluable cultural artifacts to repositories across Kentucky, to be used as resources for the current generation and generations to come. As part of that initiative, the Clifton Center and the Association for Cultural Equity, which houses the Lomax Archive, are hosting a two-day celebration of East Kentucky's musical heritage. As complement to performances by renowned traditional singers and players, the weekend will feature a talk on Lomax's recordings in Eastern Kentucky and the repatriation; a participatory “shape-note” singing gathering focusing on hymns in the Sacred Harp and Southern Harmony; a tune-swap in which musician participants explore the geographic, musical, and stylistic evolution of specific songs included in the Lomax recordings; as well as workshops for musicians led by some of the leading performers of Kentucky mountain music. The weekend's event will conclude with a square-dance featuring the Red State Ramblers and caller Randy Wilson. The weekend gathering will bring together some of our region's foremost practitioners and interpreters of Kentucky mountain music including John Harrod and the Kentucky Clodhoppers, Jesse Wells, Bruce Green, Anna Roberts-Gevalt, Ron Pen, Randy Wilson, and the Red State Ramblers.

Section 4, Part F – Collaboration and Partnerships

Partnerships are an important component of the Clifton Center's efforts to serve the community and have become one of the Center's strategies for success. The Center is collaborating with the Association for Cultural Equity, which houses the Lomax Archive, to present the "Celebration of Kentucky Mountain Music" event in September. Louisville native and resident, Nathan Salsburg, curator for the Alan Lomax Project, is participating by helping plan concerts and community activities related to the weekend event.

The Clifton Center has partnerships with other local organizations that are key to the Center's operations and programming.

The Center has a key partnership with Louisville Public media and last year began a cold-weather version of LPM's popular "Waterfront Wednesdays," entitled "Winter Wednesdays" that was extremely successful. The series will continue again this season. As a result of this partnership, the Clifton Center has gained new audience members and donors.

The Louisville Visual Art Association is another key partner with the Clifton Center. Since establishing two permanent studio spaces at the Center last year, the Louisville Visual Art Association holds art classes for adults and children at the Clifton Center. The classes bring hundreds of aspiring artists into



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

C: If this request is a fundraiser, please detail how the proceeds will be spent:

N/A

D: For Expenditure Reimbursement Only – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

- Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):
- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
 - ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

- The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:
- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:

The Clifton Center's "Celebration of Kentucky Mountain Music" is intended to appeal to and benefit the general public, along with musicians in Louisville and surrounding areas. The celebratory weekend will provide the public with the opportunity to explore and discover the richness of Kentucky's musical heritage as they learn, listen, and participate in the activities throughout the weekend.

The Clifton Center will evaluate the success of the project based on attendance at all events, as well as feedback from participants and teachers/leaders of the school and community outreach events.

F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.

Please See Attached Sheet



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 5 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
A: Personnel Costs Including Benefits			
B: Rent/Utilities			
C: Office Supplies			
D: Telephone			
E: In-town Travel			
F: Client Assistance (Attach Detailed List)			
G: Professional Service Contracts			
H: Program Materials			
I: Community Events & Festivals (Attach Detail List)	3750	4200	7950
J: Small Equipment			
K: Capital Equipment			
L: Other Expenses (Attach Detail List)			
*TOTAL PROGRAM/PROJECT FUNDS			
<i>% of Program Budget</i>	47 %	52 %	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	1500
United Way	
Private Contributions (do not include individual donor names)	1000
Fees Collected from Program Participants	
Other (please specify)	1700
<i>Total Revenue for Column 2 Expenses **</i>	4200

*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

**Must equal or exceed total in column 2.

Clifton Center
Detailed Break-Down of
Community Events and Festivals Line Item in Budget

Program Expenses

Artist Fees	3,250
Sound/Tech	1,200
Artist Travel	1,300
Publicity	2,000
Supplies	200
Total Program Expenses	\$ 7,950

Program expenses related to the production of the Clifton Center's
"Celebration of Kentucky Mountain Music"
September 19-20, 2014



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

Detail of In-Kind Contributions for this PROGRAM only: Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor* /Type of Contribution	Value of Contribution	Method of Valuation
Volunteers	\$3,719.52	168 volunteer hrs. x \$22.14
		\$22.14 is the per hour according to the Independent Sector
<i>Total Value of In-Kind</i> <i>(to match Program Budget Line Item.</i> Volunteer Contribution & Other In Kind)	\$3,719.52	

* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK

Agency Fiscal Year Start Date: October 1, 2014

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO YES

If YES, please explain:



LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 6 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.

Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

Relationship Disclosure: List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

N/A

SECTION 7 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory:				Date:	7/14/2014
Legal Signatory: (please print):		John Harris		Title:	Executive Director
Phone:	(502) 896-8480	Extension:	301	Email:	jharris@cliftoncenter.org

DEPARTMENT OF THE TREASURY

INTERNAL REVENUE SERVICE
DISTRICT DIRECTOR P. O.
BOX 2508 CINCINNATI, OH
45201

Date: OCT 11 1995J

CLIFTON CULTURAL INC.
CENTER, 2119 PAYNE ST.
LOUISVILLE, KY 40206

Employer Identification Number:
61-1270383
Case Number:
315194018
Contact Person:
ZENIA LUK Contact
Telephone Number:
(513) 684-3578
Accounting Period Ending:
June 30 Form
990 Required:
Yes Addendum
Applies:
Yes

Dear Applicant:

Based on information supplied, and assuming your operations will be as stated in your application for recognition of exemption, we have determined you are exempt from Federal income tax under section 501(a) of the Internal Revenue Code as an organization described in section 501(c)(3).

We have further determined that you are not a private foundation within the meaning of section 509(a) of the Code, because you are an organization described in section 509(a)(2).

If your sources of support, or your purposes, character, or method of operation change, please let us know so we can consider the effect of the change on your exempt status and foundation status. In the case of an amendment to your organizational document or bylaws, please send us a copy of the amended document or bylaws. Also, you should inform us of all changes in your name or address.

As of January 1, 1984, you are liable for taxes under the Federal Insurance Contributions Act (social security taxes) on remuneration of \$100 or more you pay to each of your employees during a calendar year. You are not liable for the tax imposed under the Federal Unemployment Tax Act (FUTA).

Since you are not a private foundation, you are not subject to the excise taxes under Chapter 42 of the Code. However, you are not automatically exempt from other Federal excise taxes. If you have any questions about excise, employment, or other Federal taxes, please let us know.

Grantors and contributors may rely on this determination unless the Internal Revenue Service publishes notice to the contrary. However, if you lose your section 509(a)(2) status, a grantor or contributor may not rely on this determination if he or she was in part responsible for, or was aware of, the act or failure to act, or the substantial or material change on the part of the organization that resulted in your loss of such status, or if he or she acquired knowledge that the Internal Revenue Service had given notice that you would no longer be classified as a section 509(a)(2) organization.

Donors may deduct contributions to you as provided in section 170 of the
Letter 947 (DO/CG)

-2-CLIFTON

CULTURAL CENTER, INC.

Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of Code sections 2055, 2106, and 2522.

Contribution deductions are allowable to donors only to the extent that their contributions are gifts, with no consideration received. Ticket purchases and similar payments in conjunction with fundraising events may not necessarily qualify as deductible contributions, depending on the circumstances. See Revenue Ruling 67-246, published in Cumulative Bulletin 1967-2, on page 104, which sets forth guidelines regarding the deductibility, as charitable contributions, of payments made by taxpayers for admission to or other participation in fundraising activities for charity.

In the heading of this letter we have indicated whether you must file Form 990, Return of Organization Exempt From Income Tax. If Yes is indicated, you are required to file Form 990 only if your gross receipts each year are normally more than \$25,000. However, if you receive a Form 990 package in the mail, please file the return even if you do not exceed the gross receipts test. If you are not required to file, simply attach the label provided, check the box in the heading to indicate that your annual gross receipts are normally \$25,000 or less, and sign the return.

If a return is required, it must be filed by the 15th day of the fifth month after the end of your annual accounting period. A penalty of \$10 a day is charged when a return is filed late, unless there is reasonable cause for the delay. However, the maximum penalty charged cannot exceed \$5,000 or 5 percent of your gross receipts for the year, whichever is less. This penalty may also be charged if a return is not complete, so please be sure your return is complete before you file it.

You are not required to file Federal income tax returns unless you are subject to the tax on unrelated business income under section 511 of the Code. If you are subject to this tax, you must file an income tax return on Form 990-T, Exempt Organization Business Income Tax Return. In this letter we are not determining whether any of your present or proposed activities are unrelated trade or business as defined in section 513 of the Code.

You need an employer identification number even if you have no employees. If an employer identification number was not entered on your application, a number will be assigned to you and you will be advised of it. Please use that number on all returns you file and in all correspondence with the Internal Revenue Service.

If we have indicated in the heading of this letter that an addendum applies, the enclosed addendum is an integral part of this letter.

Because this letter could help resolve any questions about your exempt status and foundation status, you should keep it in your permanent records.

Letter 947 (DO/CG)

CLIFTON CULTURAL CENTER, INC.

If you have any questions, please contact the person whose name and telephone number are shown in the heading of this letter.

Sincerely yours,



C. Ashley Bullock District
Director

Enclosure(s): Addendum

CLIFTON CENTER FY2014 BUDGET

		FY2014
Contributed Income	Grants-Foundation	\$40,000
	Grants-Government	\$28,000
	Corporate Sponsorship	\$94,000
	Membership/Major Gifts	\$50,000
	Total Contributed Income	\$212,000
Earned Income	Lease Income	\$59,000
	Rental Income	\$95,000
	Ticket Sales - Programming	\$123,000
	Ticket Fees	\$5,250
	TOFA Auction	\$8,000
	Merchandise/Beverage	\$19,750
	Total Earned Income	\$310,000
	Total Income	\$522,000
Operating Expenses	Building Maintenance and Repair	\$16,000
	Cleaning Supplies	\$3,000
	Elevator Maintenance	
	Utilities	\$33,000
	Total Building Operations	\$52,000
	Employee Compensation	\$202,582
	Employee Benefits - Health Insurance	\$9,000
	Employee Benefits - Retirement	\$6,000
	Payroll Taxes	\$25,144
	Total Compensation	\$242,726
	Total Operating Expenses	\$294,726
Program Expenses	Artist Fees	\$85,850
	Box Office Fees	\$8,350
	Sound/Tech	\$21,600
	Staffing	\$1,550
	Hospitality	\$3,500
	Housing	\$3,600
	Publicity	\$29,000
	Supplies	\$2,500
	Liquor License	\$3,675
	Beverage Cost	\$4,000
	Total Program Expenses	\$163,625
General Expenses	Fundraising Expense	\$8,000
	Accounting/Audit Fees	\$9,000
	Marketing/Website	\$8,100
	Graphic design	\$2,500
	Insurance	\$5,500
	Banking/Interest Expense	\$800
	Office Supplies	\$3,200
	Rent Paid	\$19,174
	Staff Development/Research	\$1,000
	Staff Travel	\$1,500
	Telephone	\$3,200
	Miscellaneous	\$500
	Tribute/Dues/Board Expense	\$800
	Total General Expense	\$63,274
	Total Expenses	\$521,625

**Clifton Center, Inc.
Board of Directors
Fiscal Year 2014**

Ann Y Adams, Board Chair
Consultant
Bilancia, LLC
(1st Term expires 2015)

Robert Adams
Retired, University of Louisville
(2nd Term expires 2014)

Randy Blevins
President, Think Tank Marketing
(1st Term expires 2014)

Laurie Dobbins
Account Executive, Kiely Hines Insurance Co.
(2nd Term expires 2014)

Don Burch
Bluegrass Audi
(2nd Term expires 2015)

Mark Carroll
Partner, Bandy Carroll Hellige Advertising
(2nd Term expires 2014)

Bill Cheatham
Vice President, Small Business Officer
Stock Yards Bank
(2nd Term expires 2014)

Gerri Combs
Retired Director, South Arts
(1st Term expires 2015)

Mary Michael Corbett
System Vice President,
Government Relations Officer
Norton Healthcare
(4th Term expires 2014)

Rev. John G. Eifler
Priest
(4th Term expires 2014)

Ali Hawthorne
Co-owner, M2-Maximum Media PR
(2nd Term expires 2015)

Tim Heine, MD, Secretary
Partner, AAL, PSC
(4th Term expires 2015)

Susan Lawler, Treasurer
Controller, Mountoy Chilton & Medley
(1st Term expires 2015)

Debra M. Murphy
Executive Vice Pres., Trover Solutions, Inc
(2nd Term expires 2015)

Conor O'Driscoll
Production Manager
The Woodford Reserve Distillery
(2nd Term expires 2015)

Douglas H. Owen, III
Co-Owner, Cassidy Turley Harry K. Moore
(2nd Term expires 2014)

Ashley S. Parker
Broker/Owner, Parker & Klein Real Estate
(1st Term expires 2014)

Mark Rountree, Vice-President
Partner, Ashley-Rountree & Associates
(1st Term expires 2014)

Patrick T. Schmidt
Partner, Tilford Dobbins Alexander PLLC
(1st Term expires 2015)

Debbie Wiebe-Kamber
National Marketing Director, Consulting
Services
(1st Term expires 2015)

As per the Bylaws of the Clifton Center Board of Directors, the term of office for Board Members terms is three years. Board Members are eligible to serve up to two consecutive full terms. Some Board Members have served additional terms due to recent changes in the organization and the need for institutional continuity.

	Jun 30, '14
ASSETS	
Current Assets	
Checking/Savings	
1000 — Cash Accounts	
1030 — First Capital Bank of Kent...	4,579.46
1035 — Republic Bank - Operating	6,308.07
1040 — Petty Cash	600.00
1045 — Republic Bank Money Ma...	844.63
Total 1000 — Cash Accounts	<u>12,332.16</u>
Total Checking/Savings	12,332.16
Accounts Receivable	
1200 — Accounts Receivable	-145.00
Total Accounts Receivable	<u>-145.00</u>
Other Current Assets	
1210 — Pledges Receivable	4,250.00
1400 — Prepaid Expenses	
1410 — Artist Fees	4,600.00
Total 1400 — Prepaid Expenses	<u>4,600.00</u>
Total Other Current Assets	<u>8,850.00</u>
Total Current Assets	21,037.16
Fixed Assets	
1500 — Furniture Equipment	102,244.01
1800 — Leasehold Improvements	818,609.17
1900 — Accumulated Depreciation	-591,835.00
Total Fixed Assets	<u>329,018.18</u>
TOTAL ASSETS	350,055.34
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Accounts Payable	
2000 — Accounts Payable	11,804.26
Total Accounts Payable	<u>11,804.26</u>
Other Current Liabilities	
2100 — Payroll Liabilities	
2160 — Retirement Match Emplo...	6,348.68
Total 2100 — Payroll Liabilities	<u>6,348.68</u>
2400 — Deferred Revenue	
2410 — Deferred Revenue - Ren...	12,050.00
2430 — Deferred Revenue - Con...	14,591.50
Total 2400 — Deferred Revenue	<u>26,641.50</u>
Total Other Current Liabilities	<u>32,990.18</u>
Total Current Liabilities	<u>44,794.44</u>
Total Liabilities	44,794.44
Equity	
3000 — Opening Balance Equity	436,957.98
3100 — Temp.Restricted Net Assets	3,750.00
3200 — Retained Earnings	-217,526.69
Net Income	82,079.61
Total Equity	<u>305,260.90</u>
TOTAL LIABILITIES & EQUITY	350,055.34

11:47 AM
07/07/14
Accrual Basis

Clifton Cultural Center, Inc
Profit and Loss Standard
June 2014

	Jun '14
Ordinary Income/Expense	
Income	
4100 — Contributed Revenue	
4110 — Grants-Foundation-Civic	500.00
4130 — Corporate Sponsorship	5,750.00
4140 — Membership	805.00
4160 — Donations	89.00
Total 4100 — Contributed Revenue	7,144.00
4200 — Earned Revenue	
4210 — Lease Revenue	5,150.00
4220 — Rental Revenue	4,240.00
4230 — Ticket Sales-Programmi...	-1,181.00
4235 — Ticket Fees Revenue	69.00
4240 — Event Sales - TOFA	19,088.01
4250 — Merchandise/Beverage	20.00
4270 — Interest earned	0.06
Total 4200 — Earned Revenue	27,386.07
Total Income	34,530.07
Expense	
6000 — Program Expenses	
6005 — Artist Fees	1,635.00
6015 — Beverage Expense	-60.00
6020 — Box Office Fees	814.58
6025 — Sound / Light / Support	116.60
6035 — Hospitality	120.46
6045 — License / Fees	160.64
6055 — Publicity	2,168.60
6098 — Taste Expenses	5,097.19
Total 6000 — Program Expenses	10,053.07
6100 — General Expenses	
6101 — Accounting Fees	188.00
6105 — Banking / Interest Expen...	158.07
6120 — Fundraising Expense	78.00
6125 — Insurance	763.00
6130 — Marketing/Website	637.68
6140 — Lease Payments	1,597.83
6145 — Office Supplies	1,063.63
6155 — Telephone	124.95
6160 — Travel	26.21
Total 6100 — General Expenses	4,637.37
6300 — Operating Expenses	

11:47 AM
07/07/14
Accrual Basis

Clifton Cultural Center, Inc
Profit and Loss Standard
June 2014

	Jun '14
6310 — Utilities	2,860.69
6320 — Maintenance & Repairs	
6321 — M & R Parts	337.90
6322 — M & R Labor	456.00
Total 6320 — Maintenance & Re...	<u>793.90</u>
Total 6300 — Operating Expenses	3,654.59
6500 — Compensation	
6520 — Payroll Taxes	1,559.39
6540 — Employee Compensation	19,910.96
6560 — Retirement	543.56
6570 — Employee Health Insura...	823.51
Total 6500 — Compensation	<u>22,837.42</u>
6800 — Expense of Rentals	
6810 — Sound / Light Tech Fees	600.00
Total 6800 — Expense of Rentals	<u>600.00</u>
Total Expense	<u>41,782.45</u>
Net Ordinary Income	<u>-7,252.38</u>
Net Income	-7,252.38

Ethridge, Kyle

From: Kristen Tidwell <ktidwell@cliftoncenter.org>
Sent: Monday, July 14, 2014 1:24 PM
To: Ethridge, Kyle
Subject: Clifton Center - Attachments 2
Attachments: Form 990 - July 2012 - June 2013 - Clifton Ctr.pdf; Form 990 - July 2013 - September 2013 - Clifton Ctr.pdf

Kyle-

Attached is the Clifton Center's Most Recent Form(s) 990 - Please note that last year, the Clifton Center changed the start of its fiscal year to October 1 from July 1. I have attached the two 990's that the Center most recently submitted. One is the 12-month period July 2012-June 2013 and one is the 3-month period July 2013-September 2013.

Thanks,

-Kristen

--



Kristen Tidwell
Development Director
(502) 896-8480, ext. 303
ktidwell@cliftoncenter.org
www.cliftoncenter.org
[facebook.com/TheCliftonCenter](https://www.facebook.com/TheCliftonCenter)

CHANGE OF ACCOUNTING PERIOD

Form **990**

Return of Organization Exempt From Income Tax

OMB No. 1545-0047

2012

Department of the Treasury
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

Open to Public Inspection

The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2012 calendar year, or tax year beginning **JUL 1, 2013** and ending **SEP 30, 2013**

B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	C Name of organization CLIFTON CULTURAL CENTER, INC.		D Employer identification number 61-1270383
	Doing Business As		E Telephone number 502-896-8480
	Number and street (or P.O. box if mail is not delivered to street address)	Room/suite	G Gross receipts \$ 152,099.
	2117 PAYNE STREET		H(a) Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
City, town, or post office, state, and ZIP code LOUISVILLE, KY 40206		H(b) Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No	
F Name and address of principal officer: JOHN HARRIS SAME AS C ABOVE		If "No," attach a list. (see instructions)	
I Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527			
J Website: WWW.CLIFTONCENTER.ORG			
K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶		L Year of formation: 1994 M State of legal domicile: KY	

Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: TO PROVIDE CLIFTON, CRESCENT HILL AND GREATER LOUISVILLE WITH A QUALITY FACILITY FOR ARTISTIC
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.
	3 Number of voting members of the governing body (Part VI, line 1a) 3 20
	4 Number of independent voting members of the governing body (Part VI, line 1b) 4 20
	5 Total number of individuals employed in calendar year 2012 (Part V, line 2a) 5 0
	6 Total number of volunteers (estimate if necessary) 6 200
	7 a Total unrelated business revenue from Part VIII, column (C), line 12 7a 0.
b Net unrelated business taxable income from Form 990-T, line 34 7b 0.	
Revenue	8 Contributions and grants (Part VIII, line 1h) 203,823. Prior Year 129,300. Current Year
	9 Program service revenue (Part VIII, line 2g) 240,357. 22,793.
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) 36. 6.
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 30,707. 0.
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) 474,923. 152,099.
	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3) 0. 0.
Expenses	14 Benefits paid to or for members (Part IX, column (A), line 4) 0. 0.
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) 180,100. 66,321.
	16a Professional fundraising fees (Part IX, column (A), line 11e) 0. 0.
	b Total fundraising expenses (Part IX, column (D), line 25) 9,980.
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) 314,755. 87,749.
	18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) 494,855. 154,070.
Net Assets or Fund Balances	19 Revenue less expenses. Subtract line 18 from line 12 <19,932.> <1,971.>
	20 Total assets (Part X, line 16) 325,235. Beginning of Current Year 452,704. End of Year
	21 Total liabilities (Part X, line 26) 4,561. 150,673.
	22 Net assets or fund balances. Subtract line 21 from line 20 320,674. 302,031.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer	Date
	JOHN HARRIS, EXECUTIVE DIRECTOR	
	Type or print name and title	

Paid Preparer Use Only	Print/Type preparer's name BARBARA A. LASKY	Preparer's signature	Date	Check if self-employed <input type="checkbox"/>	PTIN P00015280
	Firm's name ANDERSON, BRYANT, LASKY & WINSLOW, PSC	Firm's EIN 61-1227965	Phone no. (502) 584-9793		
	Firm's address 943 SOUTH FIRST STREET LOUISVILLE, KY 40203				

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III

1 Briefly describe the organization's mission: THE CLIFTON CENTER IS AN HISTORIC FACILITY THAT SERVES AS A GATHERING PLACE FOR ART, CULTURE AND IDEAS THAT ENRICH OUR COMMUNITY.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? No

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? No

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses.

4a (Code:) (Expenses \$ 133,838. including grants of \$) (Revenue \$ 22,793.) THE CLIFTON CULTURAL CENTER, INC. IS AN HISTORIC FORMER SCHOOL BUILDING IN LOUISVILLE, KENTUCKY THAT NOW SERVES AS A PERFORMANCE, MEETING, AND CONFERENCE CENTER. LOCATED IN THE HEART OF THE FRANKFORT AVENUE BUSINESS DISTRICT, THE FACILITY HOSTS A VARIETY OF ARTS AND CULTURAL EVENTS, AS WELL AS WEDDINGS, CONFERENCES, AND BUSINESS AND CIVIC MEETINGS. THE CLIFTON CENTER IS ALSO HOME TO SEVERAL NON-PROFIT ORGANIZATIONS, ARTISTS, AND DANCERS, WHO LEASE SPACE IN THE BUILDING.

4b (Code:) (Expenses \$ including grants of \$) (Revenue \$)

4c (Code:) (Expenses \$ including grants of \$) (Revenue \$)

4d Other program services (Describe in Schedule O.) (Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses 133,838.

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>		X
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	X	
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>		X
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>		X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>		X
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Parts II and IV</i>		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Parts III and IV</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>		X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		X
b <i>If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?</i>		

Part IV Checklist of Required Schedules (continued)

Table with columns for question number, question text, Yes, and No. Rows include questions 21 through 38 regarding grants, compensation, bond issues, and organizational structure.

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V

Main form body containing questions 1a through 14b with Yes/No columns and input fields.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	20	
1b	Enter the number of voting members included in line 1a, above, who are independent	20	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?		X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		X
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		X
6	Did the organization have members or stockholders?		X
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		X
7b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
8a	The governing body?	X	
8b	Each committee with authority to act on behalf of the governing body?		X
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?		X
10b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	X	
11b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	X	
12b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?		X
12c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done		X
13	Did the organization have a written whistleblower policy?		X
14	Did the organization have a written document retention and destruction policy?	X	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
15a	The organization's CEO, Executive Director, or top management official	X	
15b	Other officers or key employees of the organization If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		X
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
16b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed **KY**
- 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
 Own website Another's website Upon request Other (explain in Schedule O)
- 19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
- 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: **COMPANY - 502-896-8480**
2117 PAYNE ST, LOUISVILLE, KY 40206

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) MARK ROUNTREE VICE-PRESIDENT	2.00	X		X				0.	0.	0.
(2) ANN Y. ADAMS CHAIR	2.00	X		X				0.	0.	0.
(3) TIM HEINE SECRETARY	2.00	X		X				0.	0.	0.
(4) SUSAN LAWLER TREASURER	2.00	X		X				0.	0.	0.
(5) ROBERT ADAMS BOARD MEMBER	1.00	X						0.	0.	0.
(6) RANDY BLEVINS BOARD MEMBER	1.00	X						0.	0.	0.
(7) LAURIE DOBBINS BOARD MEMBER	1.00	X						0.	0.	0.
(8) DON BURCH BOARD MEMBER	1.00	X						0.	0.	0.
(9) MARK CARROLL BOARD MEMBER	1.00	X						0.	0.	0.
(10) BILL CHEATHAM BOARD MEMBER	1.00	X						0.	0.	0.
(11) GERRI COMBS BOARD MEMBER	1.00	X						0.	0.	0.
(12) MARY MICHAEL CORBETT BOARD MEMBER	1.00	X						0.	0.	0.
(13) REV. JOHN G. EIFLER BOARD MEMBER	1.00	X						0.	0.	0.
(14) ALI HAWTHORNE BOARD MEMBER	1.00	X						0.	0.	0.
(15) DEBRA M. MURPHY BOARD MEMBER	1.00	X						0.	0.	0.
(16) CONOR O'DRISCOLL BOARD MEMBER	1.00	X						0.	0.	0.
(17) DOUGLAS H. OWEN III BOARD MEMBER	1.00	X						0.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) ASHLEY S. PARKER BOARD MEMBER	1.00	X						0.	0.	0.
(19) PATRICK T. SCHMIDT BOARD MEMBER	1.00	X						0.	0.	0.
(20) DEBBI WIEBE-KAMBER BOARD MEMBER	1.00	X						0.	0.	0.
(21) JOHN HARRIS EXECUTIVE DIRECTOR	40.00			X				0.	0.	0.
1b Sub-total								0.	0.	0.
c Total from continuation sheets to Part VII, Section A								0.	0.	0.
d Total (add lines 1b and 1c)								0.	0.	0.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **0**

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual		X
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
NONE		

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **0**

Part VIII Statement of Revenue

Check if Schedule O contains a response to any question in this Part VIII

		(A)	(B)	(C)	(D)	
		Total revenue	Related or exempt function revenue	Unrelated business revenue	Revenue excluded from tax under sections 512, 513, or 514	
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns	1a				
	b Membership dues	1b				
	c Fundraising events	1c				
	d Related organizations	1d				
	e Government grants (contributions)	1e				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	129,300.			
	g Noncash contributions included in lines 1a-1f: \$					
	h Total. Add lines 1a-1f		129,300.			
Program Service Revenue	2 a RENTAL AND LEASE INCOM	Business Code	532000	22,793.	22,793.	
	b					
	c					
	d					
	e					
	f All other program service revenue					
	g Total. Add lines 2a-2f		22,793.			
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		6.		6.	
	4 Income from investment of tax-exempt bond proceeds					
	5 Royalties					
	6 a Gross rents	(i) Real				
		(ii) Personal				
		b Less: rental expenses				
		c Rental income or (loss)				
	d Net rental income or (loss)					
	7 a Gross amount from sales of assets other than inventory	(i) Securities				
		(ii) Other				
		b Less: cost or other basis and sales expenses				
		c Gain or (loss)				
	d Net gain or (loss)					
	8 a Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18	a				
		b Less: direct expenses	b			
		c Net income or (loss) from fundraising events				
	9 a Gross income from gaming activities. See Part IV, line 19	a				
b Less: direct expenses		b				
c Net income or (loss) from gaming activities						
10 a Gross sales of inventory, less returns and allowances	a					
	b Less: cost of goods sold	b				
	c Net income or (loss) from sales of inventory					
Miscellaneous Revenue		Business Code				
11 a						
b						
c						
d All other revenue						
e Total. Add lines 11a-11d						
12 Total revenue. See instructions.		152,099.	22,793.	0.	6.	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response to any question in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21				
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	11,299.	8,738.	1,506.	1,055.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	44,120.	34,118.	5,881.	4,121.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)				
9 Other employee benefits	6,266.	4,846.	835.	585.
10 Payroll taxes	4,636.	3,585.	618.	433.
11 Fees for services (non-employees):				
a Management				
b Legal				
c Accounting	275.		275.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)				
12 Advertising and promotion	1,667.	1,667.		
13 Office expenses	1,000.	774.	133.	93.
14 Information technology				
15 Royalties				
16 Occupancy	21,742.	21,549.	145.	48.
17 Travel				
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	43.		43.	
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	9,287.	9,204.	62.	21.
23 Insurance	3,708.	2,868.	494.	346.
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a PROGRAM EXPENSES	38,505.	38,505.		
b REPAIRS & MAINTENANCE	3,335.	3,306.	22.	7.
c RENTAL EXPENSES	3,309.	3,309.		
d FUND DEVELOPMENT	3,104.			3,104.
e All other expenses	1,774.	1,369.	238.	167.
25 Total functional expenses. Add lines 1 through 24e	154,070.	133,838.	10,252.	9,980.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here if following SOP 98-2 (ASC 958-720)

Part X Balance Sheet

Check if Schedule O contains a response to any question in this Part X

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	48,835.	1	28,570.
	2 Savings and temporary cash investments		2	
	3 Pledges and grants receivable, net		3	80,000.
	4 Accounts receivable, net		4	1,341.
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L			
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L			
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges		9	13,775.
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 920,853.		
	b Less: accumulated depreciation	10b 591,835.	276,400.	10c 329,018.
	11 Investments - publicly traded securities		11	
	12 Investments - other securities. See Part IV, line 11		12	
	13 Investments - program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11		15	
16 Total assets. Add lines 1 through 15 (must equal line 34)		325,235.	16	452,704.
Liabilities	17 Accounts payable and accrued expenses	4,561.	17	92,785.
	18 Grants payable		18	
	19 Deferred revenue		19	57,888.
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L			
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D			
	26 Total liabilities. Add lines 17 through 25		4,561.	26
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	302,664.	27	219,271.
	28 Temporarily restricted net assets	18,010.	28	82,760.
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
33 Total net assets or fund balances		320,674.	33	302,031.
34 Total liabilities and net assets/fund balances		325,235.	34	452,704.

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	152,099.
2	Total expenses (must equal Part IX, column (A), line 25)	2	154,070.
3	Revenue less expenses. Subtract line 2 from line 1	3	<1,971.>
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	320,674.
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	<16,672.>
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	302,031.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

	Yes	No
1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
b Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	X	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

Form 990 (2012)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2012

Open to Public Inspection

Name of the organization **CLIFTON CULTURAL CENTER, INC.** Employer identification number **61-1270383**

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
- 3 A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: _____
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 10 An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.
 - a Type I
 - b Type II
 - c Type III - Functionally integrated
 - d Type III - Non-functionally integrated
- e By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
 - (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?
 - (ii) A family member of a person described in (i) above?
 - (iii) A 35% controlled entity of a person described in (i) or (ii) above?
- h Provide the following information about the supported organization(s).

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
Total									

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2012

232021
12-04-12

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4.						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 Total support. Add lines 7 through 10						
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						<input type="checkbox"/>

Section C. Computation of Public Support Percentage

14 Public support percentage for 2012 (line 6, column (f) divided by line 11, column (f))	14		%
15 Public support percentage from 2011 Schedule A, Part II, line 14	15		%
16a 33 1/3% support test - 2012. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization			<input type="checkbox"/>
b 33 1/3% support test - 2011. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization			<input type="checkbox"/>
17a 10% -facts-and-circumstances test - 2012. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization			<input type="checkbox"/>
b 10% -facts-and-circumstances test - 2011. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization			<input type="checkbox"/>
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions			<input type="checkbox"/>

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	9,335.	9,215.	100,150.	203,823.	129,300.	451,823.
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose	155,717.	153,959.	230,482.	261,921.	22,793.	824,872.
3 Gross receipts from activities that are not an unrelated trade or business under section 513	43,866.	40,225.	23,701.	16,801.		124,593.
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5	208,918.	203,399.	354,333.	482,545.	152,093.	1,401,288.
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						0.
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						0.
c Add lines 7a and 7b						0.
8 Public support. (Subtract line 7c from line 6.)						1,401,288.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
9 Amounts from line 6	208,918.	203,399.	354,333.	482,545.	152,093.	1,401,288.
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	1,043.	586.	107.	36.	6.	1,778.
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b	1,043.	586.	107.	36.	6.	1,778.
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)	209,961.	203,985.	354,440.	482,581.	152,099.	1,403,066.

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

Section C. Computation of Public Support Percentage

15 Public support percentage for 2012 (line 8, column (f) divided by line 13, column (f))	15	99.87 %
16 Public support percentage from 2011 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2012 (line 10c, column (f) divided by line 13, column (f))	17	.13 %
18 Investment income percentage from 2011 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2012. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

b 33 1/3% support tests - 2011. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

2012 - SHORT YEAR RETURN DUE TO CHANGE IN FISCAL YEAR FROM JUNE 30 TO
SEPTEMBER 30

Lined area for supplemental information.

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No. 1545-0047

2012

Name of the organization

CLIFTON CULTURAL CENTER, INC.

Employer identification number

61-1270383

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)(3) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization CLIFTON CULTURAL CENTER, INC.	Employer identification number 61-1270383
--	---

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	<u>ST. FRANCES OF ROME</u> <u>2119 PAYNE STREET</u> <u>LOUISVILLE, KY 40206</u>	\$ <u>25,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization CLIFTON CULTURAL CENTER, INC.	Employer identification number 61-1270383
--	---

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____

Name of organization CLIFTON CULTURAL CENTER, INC.	Employer identification number 61-1270383
--	---

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

2012
Open to Public Inspection

Name of the organization **CLIFTON CULTURAL CENTER, INC.** Employer identification number **61-1270383**

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		<input type="checkbox"/> Yes <input type="checkbox"/> No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

Preservation of land for public use (e.g., recreation or education) Preservation of an historically important land area

Protection of natural habitat Preservation of a certified historic structure

Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

Yes No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

Yes No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

- 1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.
- b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:
- (i) Revenues included in Form 990, Part VIII, line 1
- ▶ \$ _____
- (ii) Assets included in Form 990, Part X
- ▶ \$ _____
- 2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:
- a Revenues included in Form 990, Part VIII, line 1
- ▶ \$ _____
- b Assets included in Form 990, Part X
- ▶ \$ _____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a Public exhibition
- b Scholarly research
- c Preservation for future generations
- d Loan or exchange programs
- e Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
1c	
1d	
1e	
1f	

- c Beginning balance
- d Additions during the year
- e Distributions during the year
- f Ending balance

2a Did the organization include an amount on Form 990, Part X, line 21? Yes No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment _____ %
- b Permanent endowment _____ %
- c Temporarily restricted endowment _____ %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

	Yes	No
(i) unrelated organizations		
(ii) related organizations		

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements				
d Equipment				
e Other		920,853.	591,835.	329,018.
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				329,018.

Part VII Investments - Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

Part VIII Investments - Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

Part X Other Liabilities. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
(11)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	

2. FIN 48 (ASC 740) Footnote. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements		1	627,022.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
a	Net unrealized gains on investments	2a		
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII.)	2d	474,923.	
e	Add lines 2a through 2d		2e	474,923.
3	Subtract line 2e from line 1		3	152,099.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b		4c	0.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)		5	152,099.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements		1	648,925.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII.)	2d	494,855.	
e	Add lines 2a through 2d		2e	494,855.
3	Subtract line 2e from line 1		3	154,070.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b		4c	0.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)		5	154,070.

Part XIII Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART X, LINE 2: MANAGEMENT HAS CONCLUDED THAT ANY TAX POSITIONS THAT

WOULD NOT MEET THE MORE-LIKELY-THAN-NOT CRITERION OF FASB ASC 740-10 WOULD BE IMMATERIAL TO THE FINANCIAL STATEMENTS TAKEN AS A WHOLE. ACCORDINGLY, THE ACCOMPANYING FINANCIAL STATEMENTS DO NOT INCLUDE ANY PROVISION FOR UNCERTAIN TAX POSITIONS, AND NO RELATED INTEREST OR PENALTIES HAVE BEEN RECORDED IN THE OPERATING STATEMENT OR ACCRUED IN THE BALANCE SHEET.

FEDERAL AND STATE TAX RETURNS OF THE ENTITY ARE GENERALLY OPEN TO

EXAMINATION BY THE RELEVANT TAXING AUTHORITIES FOR A PERIOD OF THREE YEARS

Part XIII Supplemental Information (continued)

FROM THE DATE THE RETURNS ARE FILED.

PART XI, LINE 2D - OTHER ADJUSTMENTS:

AMOUNTS ATTRIBUTABLE TO 12 MONTH RETURN (7/1/12-6/30/13)

PART XII, LINE 2D - OTHER ADJUSTMENTS:

AMOUNTS ATTRIBUTABLE TO 12 MONTH RETURN (7/1/12-6/30/13)

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

2012

Open to Public
Inspection

Name of the organization

CLIFTON CULTURAL CENTER, INC.

Employer identification number

61-1270383

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

PRODUCTION, MEETINGS AND PROGRAMS THAT WILL FURTHER AN ENRICHED SENSE
OF COMMUNITY.

FORM 990, PART VI, SECTION A, LINE 8B: IN THE ADVENT OF NEEDING TO FILE A
FULL 990, OUR AUDITOR HAS ADVISED US THAT IT IS ADVISABLE TO MAINTAIN
MINUTES OF COMMITTEES, AS WELL AS THE FULL BOARD OF DIRECTORS. WE INTEND
TO BEGIN IMPLEMENTING THIS POLICY IN THE NEXT YEAR.

FORM 990, PART VI, SECTION B, LINE 11: MEMBERS OF THE FINANCE COMMITTEE
REVIEW THE 990, IN CONSULTATION WITH THE EXECUTIVE DIRECTOR, AND AFTER
CHANGES ARE SUGGESTED, IT IS APPROVED FOR FILING. A COPY IS THEN
DISTRIBUTED TO THE REMAINING BOARD MEMBERS.

FORM 990, PART VI, SECTION B, LINE 15A: RESEARCH WAS PERFORMED, SUCH AS
USING NATIONAL AVERAGE PAY TABLES, COMPARISON TO OTHER BENCHMARK ENTITIES
IN OUR COMMUNITY, TO DETERMINE REASONABLE AND PROPER PAY FOR THE EXECUTIVE
DIRECTOR.

FORM 990, PART VI, SECTION C, LINE 18: OUR 990 AND OTHER FORMS ARE
AVAILABLE THROUGH GUIDESTAR.ORG AS WELL AS UPON REQUEST.

FORM 990, PART VI, SECTION C, LINE 19: GOVERNING DOCUMENTS ARE AVAILABLE
UPON REQUEST.

THE ORGANIZATION CHANGED FROM THE CASH METHOD OF ACCOUNTING TO THE

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) (2012)

232211
01-04-13

Name of the organization

CLIFTON CULTURAL CENTER, INC.

Employer identification number

61-1270383

ACCRUAL METHOD.

NO CHANGE FROM PRIOR YEAR

ARTICLES OF AMENDMENT
TO THE
ARTICLES OF INCORPORATION OF

CLIFTON CULTURAL CENTER, INC.
(formerly St. Frances of Rome Cultural Center, Inc.)

RECEIVED & FILED
Ch '95
SEP 7 9 13 AM '95

SEC. OF STATE
COV. KY
Kendra Berg

Pursuant to the Kentucky Business Corporation Act the undersigned Kentucky not-for-profit corporation adopts the following Articles of Amendment to its Articles of Incorporation:

FIRST: A. Article II hereby is amended to delete references to Section 503 and Section 504, respectively, in the eighth unnumbered paragraph thereof and substituting therefor Section 501(c)(3), and to add the following language:

a. Said organization is organized exclusively for charitable, religious, and educational purposes, including, for such purposes, the making of distributions to organizations that qualify as exempt organizations under section 501(c)(3) of the Internal Revenue Code, or corresponding section of any future federal tax code.

b. No part of the net earnings of the organization shall inure to the benefit of, or be distributable to its members, trustees, officers, or others private persons, except that the organization shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in the purpose clause hereof. No substantial part of the activities of organization shall be the carrying on of propaganda, or otherwise attempting to influence legislation, and the organization shall not participate in, or intervene in (including the publishing or distribution of statements) any political campaign on behalf of any candidate for public office. Notwithstanding any other provision of this document, the organization shall not carry on any other activities not permitted to be carried on (a) by an organization exempt from federal income tax under section 501(c)(3) of the Internal Revenue code, corresponding section of any future federal tax code, or (b) by an organization, contributions to which are deductible under section 170(c)(2) of the Internal Revenue Code, or corresponding section of any future federal tax code.

ARTICLES OF INCORPORATION

FOR

ST. FRANCES OF ROME CULTURAL CENTER, INC.

The undersigned incorporator of the ST. FRANCES OF ROME CULTURAL CENTER, INC., has signed and acknowledged these Articles of Incorporation for the purpose of forming a corporation having no capital stock, and not involving private pecuniary gain or profit, under the provisions of Kentucky Revised Statutes 273.160, et seq.

ARTICLE I

The name of the corporation shall be ST. FRANCES OF ROME CULTURAL CENTER, INC.

ARTICLE II

The purposes for which the corporation is formed are as follows:

To restore, preserve and develop the St. Frances of Rome Theater as a cultural center for performance and community activities in the Clifton, Crescent Hill and greater Louisville area.

To receive gifts, grants and donations of money and property of every kind, and to administer the same and to do anything necessary or proper for the accomplishment of the stated purposes.

To make contributions to any organization described in Section 501(c)(3) of the Internal Revenue Code of 1986.

To engage in any an all lawful activities incidental to the foregoing stated purposes, except as restricted herein.

The corporation shall not be operated for the primary purpose of carrying on a trade or business for profit.

No substantial part of the activities of the corporation shall consist of carrying on propaganda or otherwise attempting to influence legislation; nor shall it in any manner or to any extent participate in, or intervene in (including the publishing or distributing of statements), any political campaign on behalf of any candidate for public office; nor shall the corporation engage in any activities that are unlawful under applicable federal, state, or local laws.

The corporation shall (1) not engage in any prohibited transactions as described in Section 503 of the Internal Revenue Code of 1986, (2) not accumulate income, invest income or divert income, in a manner that would endanger its exempt status by virtue of Section 504 of the Internal Revenue Code, and (3) not engage in any other activity which would result in the denial or loss of exempt status.

For purposes of the above, references to provisions of the Internal Revenue Code of 1986, as amended, shall be deemed to include statutes which succeed such provisions.

ARTICLE III

The duration of the corporation shall be perpetual. However, if the corporation should dissolve, upon dissolution, the Board of

Directors shall, after paying or making provision for the payment of liabilities of the corporation, dispose of all assets of the corporation exclusively for charitable, educational, religious, or scientific purposes as shall, at the time, qualify as an exempt organization or organizations under Section 501(c)(3) of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law), as the Board of Directors shall determine.

ARTICLE IV

The address of the initial registered and principal office and the name of the initial resident agent is as follows:

Rev. John G. Eifler
2119 Payne Street
Louisville, Kentucky 40206

ARTICLE V

The name and address of the incorporator are:

Rev. John G. Eifler
2119 Payne Street
Louisville, Kentucky 40206

ARTICLE VI

The affairs and business of the corporation shall be conducted by a Board of not fewer than five (5) nor more than twenty-five (25) persons. The names and addresses of the members of the initial Board of Directors are as follows:

Rev. John G. Eifler
2119 Payne St.
Louisville, Ky. 40206

Deborah Keesee
18 Hawthorne Hill
Louisville, Ky. 40204

Katty Smith
122 Arrowhead
Louisville, Ky. 40207

Douglas Stegner
1644 Cherokee Rd.
Louisville, Ky. 40205

Jim Voyles
2537 Glenmary Ave.
Louisville, Ky. 40204

ARTICLE VII

The directors of the corporation shall not be liable for any debt or obligation of the corporation solely by reason of being directors.

ARTICLE VIII

Bylaws for the corporation may be adopted and amended by the Board of Directors of the corporation.

IN WITNESS WHEREOF, said incorporator subscribes his name and acknowledges this to be his act and deed this 21 day of August, 1994.



Rev. John G. Eifler

STATE OF KENTUCKY)
) SS
COUNTY OF JEFFERSON)

On August 26, 1994, personally appeared before me, **Rev. John G. Eifler**, incorporator herein, and produced to me, in said State and County, the Articles of Incorporation of **ST. FRANCES OF ROME CULTURAL CENTER, INC.**, acknowledged same to be his free act and deed for the purposes therein mentioned.

James R. Voyles
Notary Public, Kentucky

My commission expires: 4/25/98

This instrument prepared by:

James R. Voyles
James R. Voyles
VOYLES & JOHNSON, P.S.C.
100 North 6th St.
Fifth Floor
Louisville, Kentucky 40202
502/589-2600

Request for Taxpayer Identification Number and Certification

**Give Form to the
 requester. Do not
 send to the IRS.**

Print or type See Specific Instructions on page 2.	Name (as shown on your income tax return) Clifton Cultural Center, Inc.	
	Business name/disregarded entity name, if different from above	
	Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input checked="" type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ <input checked="" type="checkbox"/> Exempt payee <input type="checkbox"/> Other (see instructions) ▶ _____	
	Address (number, street, and apt. or suite no.) 2117 Payne St.	Requester's name and address (optional)
City, state, and ZIP code Louisville, KY 40206		
List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)																				
Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see <i>How to get a TIN</i> on page 3.																				
	<table border="1" style="margin: auto;"> <tr><th colspan="9">Social security number</th></tr> <tr><td> </td><td> </td><td> </td><td>-</td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> </table>	Social security number												-						
Social security number																				
			-																	
Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.	<table border="1" style="margin: auto;"> <tr><th colspan="9">Employer identification number</th></tr> <tr><td>6</td><td>1</td><td>-</td><td>1</td><td>2</td><td>7</td><td>0</td><td>3</td><td>8</td><td>3</td></tr> </table>	Employer identification number									6	1	-	1	2	7	0	3	8	3
Employer identification number																				
6	1	-	1	2	7	0	3	8	3											

Part II Certification	
Under penalties of perjury, I certify that:	
1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and	
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and	
3. I am a U.S. citizen or other U.S. person (defined below).	
Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.	
Sign Here	Signature of U.S. person ▶
	Date ▶ 8/2/12

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

**FINANCIAL STATEMENTS AND
INDEPENDENT AUDITOR'S REPORT**

CLIFTON CULTURAL CENTER, INC.

SEPTEMBER 30, 2013

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INDEPENDENT AUDITOR'S REPORT

Board of Directors
Clifton Cultural Center, Inc.

We have audited the accompanying financial statements of Clifton Cultural Center, Inc., (a not-for-profit organization) which comprise the statement of financial position as of September 30, 2013, and the related statements of activities, functional expenses and cash flows for the fifteen months then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Clifton Cultural Center, Inc. as of September 30, 2013 and the changes in its net assets and its cash flow for the fifteen months then ended in accordance with accounting principles generally accepted in the United States of America.

Change in Accounting Method

The Center has kept its records and has prepared its financial statements for previous years on the modified cash basis of accounting. As described in Note 1 to the financial statements, the Center has adopted the accrual basis of accounting as of the beginning of the current period. Beginning unrestricted net assets have been restated. Our opinion is not modified with respect to this matter.

Anderson, Bryant, Lusk & Winkler, P.S.C.

Louisville, Kentucky
January 16, 2014

**STATEMENT OF FINANCIAL POSTION
CLIFTON CULTURAL CENTER, INC.
SEPTEMBER 30, 2013**

ASSETS

Cash and cash equivalents	\$ 28,570
Accounts receivable	1,341
Promises to give	80,000
Prepaid expenses	13,775
Leasehold improvements	818,609
Furniture and equipment	102,244
Accumulated depreciation	<u>(591,835)</u>
 Total assets	 <u>\$ 452,704</u>

LIABILITIES AND NET ASSETS

LIABILITIES

Accounts payable	\$ 88,966
Accrued payroll and other liabilities	3,819
Deferred revenue	<u>57,888</u>
 Total liabilities	 <u>150,673</u>

NET ASSETS

Unrestricted	219,271
Temporarily restricted	<u>82,760</u>
 Total net assets	 <u>302,031</u>
 Total liabilities and net assets	 <u>\$ 452,704</u>

The accompanying notes are an integral part of these financial statements.

**STATEMENT OF ACTIVITIES
CLIFTON CULTURAL CENTER, INC.
FOR THE FIFTEEN MONTHS ENDED SEPTEMBER 30, 2013**

	<u>Unrestricted</u>	<u>Temporarily Restricted</u>	<u>Total</u>
Support and revenue			
Rental and lease income	\$ 178,450	\$ -	\$ 178,450
Contributions and grants	227,363	82,760	310,123
Program revenue	106,264	-	106,264
Special events	39,801	-	39,801
Special event expenses	(7,658)	-	(7,658)
Interest income	42	-	42
	<hr/>	<hr/>	<hr/>
Total support and revenue	544,262	82,760	627,022
Net assets released from restrictions:			
Net assets released from restrictions	18,010	(18,010)	-
	<hr/>	<hr/>	<hr/>
Total support, revenue and reclassifications	562,272	64,750	627,022
Expenses	604,860	-	604,860
	<hr/>	<hr/>	<hr/>
Change in net assets before depreciation	(42,588)	64,750	22,162
Depreciation	44,065	-	44,065
	<hr/>	<hr/>	<hr/>
Increase (decrease) in net assets	(86,653)	64,750	(21,903)
Net assets at beginning of year	322,596	18,010	340,606
Prior period adjustment	(16,672)	-	(16,672)
	<hr/>	<hr/>	<hr/>
Net assets at end of year	<u>\$ 219,271</u>	<u>\$ 82,760</u>	<u>\$ 302,031</u>

The accompanying notes are an integral part of these financial statements.

**STATEMENT OF FUNCTIONAL EXPENSES
CLIFTON CULTURAL CENTER, INC.
FOR THE FIFTEEN MONTHS ENDED SEPTEMBER 30, 2013**

	<u>Program Services</u>	<u>Management and General</u>	<u>Fund Raising</u>	<u>Total</u>
Compensation	\$ 157,824	\$ 27,205	\$ 19,062	\$ 204,091
Payroll taxes and employee benefits	32,734	5,643	3,954	42,331
Program expense	202,633	-	-	202,633
Rental expenses	11,863	-	-	11,863
Occupancy	72,941	491	164	73,596
Telephone	3,069	529	371	3,969
Meetings	-	1,455	-	1,455
Marketing	10,091	-	-	10,091
Repairs and maintenance	19,096	128	42	19,266
Office expense	2,894	499	350	3,743
Professional fees	-	11,178	-	11,178
Insurance	9,885	1,704	1,194	12,783
Fund development	-	-	5,619	5,619
Bank service charges	1,346	232	163	1,741
Miscellaneous	<u>387</u>	<u>67</u>	<u>47</u>	<u>501</u>
 Total expenses before depreciation	 524,763	 49,131	 30,966	 604,860
Depreciation	<u>43,673</u>	<u>294</u>	<u>98</u>	<u>44,065</u>
 Total expenses	 <u>\$ 568,436</u>	 <u>\$ 49,425</u>	 <u>\$ 31,064</u>	 <u>\$ 648,925</u>

The accompanying notes are an integral part of these financial statements.

**STATEMENT OF CASH FLOWS
CLIFTON CULTURAL CENTER, INC.
FOR THE FIFTEEN MONTHS ENDED SEPTEMBER 30, 2013**

CASH FLOWS FROM OPERATING ACTIVITIES:

Change in net assets	\$ (21,903)
Adjustments to reconcile change in net assets to net cash provided (used) by operating activities:	
Depreciation	44,065
(Increase) decrease in operating assets:	
Accounts receivable	(1,341)
Promises to give	(80,000)
Prepaid expenses	(13,775)
Increase (decrease) in operating liabilities:	
Accounts payable	73,824
Accrued payroll and other liabilities	2,672
Deferred revenue	<u>56,358</u>
Net cash provided (used) by operating activities	<u>59,900</u>

CASH FLOWS FROM INVESTING ACTIVITIES:

Purchase of property and equipment	<u>(65,744)</u>
Net cash provided (used) by investing activities	<u>(65,744)</u>
Net increase (decrease) in cash	(5,844)
Cash at beginning of year	<u>34,414</u>
Cash at end of year	<u>\$ 28,570</u>

The accompanying notes are an integral part of these financial statements.

**NOTES TO FINANCIAL STATEMENTS
CLIFTON CULTURAL CENTER, INC.
SEPTEMBER 30, 2013**

NOTE 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Description

The Clifton Cultural Center, Inc. (the Center) is an historic former school building in Louisville, Kentucky that now serves as a performance, meeting, and conference center. Located in the heart of the Frankfort Avenue business district, the facility hosts a variety of arts and cultural events, as well as weddings, conferences, and business and civic meetings. The Clifton Center is also home to several non-profit organizations, artists, and dancers, who lease space in the building.

In 2010 the Clifton Cultural Center's Board of Directors completed a strategic plan. As a result of that plan, a new mission statement was adopted, and a plan was initiated to lessen the organization's emphasis on providing low cost meeting space, and to emphasize its role as a cultural center and presenter of high quality arts and cultural programs.

The Center's funding is provided by rental and lease income, grants and contributions and program ticket sales.

Basis of Accounting

The financial statements of the Center have been prepared on the accrual basis of accounting and accordingly reflect all significant receivables, payables and other liabilities.

Change in Accounting Basis

In previous years, the Center prepared its financial statements on the modified cash basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles. During the fifteen months ended September 30, 2013, the Center adopted the accrual basis of accounting as the basis for its financial statements. Under the new basis of accounting, the Center recognizes accounts payable and other liabilities in the period the expense is incurred instead of when the expense is paid. As a result of the differences between generally accepted accounting principles and the modified cash basis, an adjustment was made to the beginning balance of net assets (see Note 10).

NOTES TO FINANCIAL STATEMENTS - CONTINUED

Basis of Presentation

Financial statement presentation follows the recommendations of the Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) with regards to financial statements of Not-for-Profit Organizations. Under this guidance, the Center is required to report information regarding its financial position and activities according to three classes of net assets: unrestricted net assets, temporarily restricted net assets, and permanently restricted net assets. A description of the three net assets categories follows:

Unrestricted Net Assets: include the portion of expendable funds that are not subject to donor-imposed stipulations.

Temporarily Restricted Net Assets: include gifts for which donor-imposed restrictions have not been met.

Permanently Restricted Net Assets: include amounts which the donor has stipulated that the corpus be invested in perpetuity and only the income be made available for program operations in accordance with donor restrictions.

Year-End Change

During 2013, the Center changed its year-end from June 30 to September 30. These financial statements include fifteen months of activity, from July 1, 2012 through September 30, 2013.

Cash and Cash Equivalents

The Center considers all highly liquid investments with maturities of three months or less, when purchased, to be cash equivalents.

Accounts Receivable

Accounts receivable consist primarily of fees due from rental and lease agreements. The Center considers accounts receivable to be fully collectible; accordingly, no allowance for doubtful accounts is required.

NOTES TO FINANCIAL STATEMENTS – CONTINUED

Promises to Give

Unconditional promises to give are recognized as revenues or gains in the period received and as assets, decreases of liabilities, or expenses depending on the form of the benefits received. Conditional promises to give are recognized only when the conditions on which they depend are substantially met and the promises become unconditional.

Leasehold Improvements, Furniture and Equipment

Leasehold improvements, furniture and equipment are recorded at cost, or if donated, at the approximate fair value at the date of donation. The cost of property and equipment purchased in excess of \$1,000 is capitalized. Depreciation is computed using primarily the straight-line method over the lease term or the estimated lives of the assets.

Deferred Revenue

Deferred revenue consists of rental and lease payments received in advance, as well as payments received for program events that have not yet occurred. Such amounts are recorded as revenues when the related services are performed, or obligations are satisfied.

Contributions

Contributions received are recorded as unrestricted, temporarily restricted, or permanently restricted support, depending on the existence and/or nature of any donor restrictions.

Support that is restricted by the donor is reported as an increase in unrestricted net assets if the restriction expires in the reporting period in which the support is recognized. All other donor-restricted support is reported as an increase in temporarily or permanently restricted net assets, depending on the nature of the restriction. When a restriction expires (that is, when a stipulated time restriction ends or purpose restriction is accomplished), temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions.

NOTES TO FINANCIAL STATEMENTS – CONTINUED

Donated Services

No amounts have been reflected in the financial statements for donated services. The Center pays for most services requiring specific expertise. However, many individuals volunteer their time and perform a variety of tasks that assist the Center with programs, solicitations and various committee assignments.

Estimates

Management uses estimates and assumptions in preparing financial statements. Those estimates and assumptions affect the reported amounts of assets and liabilities, the disclosure of contingent assets and liabilities, and the reported revenues and expenses. Actual results could differ from those estimates.

Expense Allocation

Expenses are allocated based on estimated time spent devoted to programs and supporting services.

Income Tax Status

The Center is exempt from federal income tax under Section 501(c)(3) of the Internal Revenue Code. In addition, the Center qualifies for the charitable contribution deduction under Section 170(b)(1)(A) and has been classified as an organization that is not a private foundation under Section 509(a)(2).

Management has concluded that any tax positions that would not meet the more-likely-than-not criterion of FASB ASC 740-10 would be immaterial to the financial statements taken as a whole. Accordingly, the accompanying financial statements do not include any provision for uncertain tax positions, and no related interest or penalties have been recorded in the financial statements. Federal and state tax returns of the entity are generally open to examination by the relevant taxing authorities for a period of three years from the date the returns are filed.

Subsequent Events

Management has evaluated subsequent events for recognition or disclosure in the financial statements through January 16, 2014, which was the date at which the financial statements were available to be issued.

NOTES TO FINANCIAL STATEMENTS – CONTINUED

NOTE 2. CONCENTRATIONS OF CREDIT RISK

Cash – The Center periodically has cash balances in financial institutions in excess of amounts federally insured. The risk is managed by maintaining all deposits in high quality financial institutions.

Receivables – Financial instruments that are exposed to credit risk consist of accounts receivable. Accounts receivable are principally with individuals or businesses located in the Louisville area. Realization of these accounts is dependent on various individual economic conditions.

NOTE 3. PROMISES TO GIVE

Unconditional promises to give are all current as follows:

Program activities	<u>\$ 80,000</u>
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NOTE 4. LINE OF CREDIT

The Center has available a \$20,000 line of credit that expires January 31, 2014, secured by general business assets. The line of credit bears interest at prime plus 2.0% (the prime rate was 3.25% at September 30, 2013). At September 30, 2013, the Center had no outstanding balance against the line.

NOTE 5. RESTRICTIONS ON ASSETS

Temporarily restricted net assets are available for the following:

Program activities	<u>\$ 82,760</u>
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NOTES TO FINANCIAL STATEMENTS – CONTINUED

NOTE 6. LEASE COMMITMENT

The Center leases its building under an operating lease beginning July 1, 2006 and expiring June 2016. The lease contains a renewal option for ten years. The Center is responsible for minor, normal and routine repairs to the interior of the leased premises and cleaning expenses. Future minimum lease payments in excess of one year are as follows:

September 30, 2014	\$ 19,414
September 30, 2015	20,434
September 30, 2016	<u>16,005</u>
	<u>\$ 55,853</u>

Rental expense for the fifteen months ended September 30, 2013 was \$25,981.

NOTE 7. LEASING ACTIVITIES

The Center leases space to most tenants under noncancelable operating leases with one-year terms.

NOTE 8. IN-KIND DONATIONS

The Center records various types of in-kind support, including materials and other intangible assets. Contributed in-kind support is recognized in accordance with the Statement of Financial Accounting Standards in its Accounting Standards Codification 958-605-25, which governs the presentation of financial statements of not-for-profit organizations. This pronouncement requires recognition of professional services received if those services (a) create or enhance long-lived assets or (b) require specialized skills provided by individuals possessing those skills, and would typically need to be purchased if not provided by donation. Most of the services received by the Center do not meet these criteria. In 2013, no amounts were recognized, although volunteers provided countless hours of assistance.

Contributions of tangible assets are recognized at fair market value when received. The amounts are reflected in the accompanying financial statements as support and are offset by like amounts included in expenses or assets. There were no in-kind donations for the fifteen months ended September 30, 2013.

NOTES TO FINANCIAL STATEMENTS – CONTINUED

NOTE 9. PENSION PLAN

In 2008 the Center adopted a 403(b) plan that will match up to 3% of employee contributions. The amount of cost recognized for the fifteen months ended September 30, 2013 was \$10,697.

NOTE 10. PRIOR PERIOD ADJUSTMENT

In previous years, the Center prepared its financial statements using the modified cash basis of accounting. During the fifteen months ended September 30, 2013, the Center adopted the accrual basis of accounting as the basis for its financial statements. Under that new basis of accounting, the Center records all significant receivables, promises to give, payables and other liabilities. As a result of the differences between the modified cash and accrual basis, net assets at July 1, 2012 were restated and are \$16,672 less than the amounts previously reported, due to the recording of accounts payable and other liabilities. Changes in net assets are as follows:

	<u>Unrestricted</u>	<u>Temporarily Restricted</u>	<u>Total</u>
Net assets, beginning of year as previously stated	\$ 322,596	\$ 18,010	\$ 340,606
Accrual of accounts payable and other liabilities	<u>(16,672)</u>	<u>-</u>	<u>(16,672)</u>
Net assets, beginning of year as restated	<u>\$ 305,924</u>	<u>\$ 18,010</u>	<u>\$ 323,934</u>

**Clifton Center
Staff**

John Harris
Executive Director

Adam Fischer
General Manager

Kristen Tidwell
Development Director

Ann Drury
Manager of Client Relations & Administration

Karen Miller
Coordinator of Facilities

CLIFTON CULTURAL CENTER, INC.

General Information

Organization Number	0336109
Name	CLIFTON CULTURAL CENTER, INC.
Profit or Non-Profit	N - Non-profit
Company Type	KCO - Kentucky Corporation
Status	A - Active
Standing	G - Good
State	KY
File Date	9/22/1994
Organization Date	9/22/1994
Last Annual Report	1/27/2014
Principal Office	2117 PAYNE ST. LOUISVILLE, KY-40206
Registered Agent	JOHN HARRIS 2117 PAYNE ST. LOUISVILLE, KY 40206

Current Officers

President	<u>Ann Adams</u>
Vice President	<u>Mark Rountree</u>
Secretary	<u>Timothy Heine</u>
Treasurer	<u>Susan A. Lawler</u>
Director	<u>John G Eifler</u>
Director	<u>Don Burch</u>
Director	<u>Mary Michael Corbett</u>
Director	<u>Mark Carroll</u>
Director	<u>Bill Cheatham</u>
Director	<u>Laurie Dobbins</u>
Director	<u>Debra M Murphy</u>
Director	<u>Conor O'Driscoll</u>
Director	<u>Ali Hawthorne</u>
Director	<u>Robert Adams</u>
Director	<u>Douglas H. Owen</u>
Director	<u>Ashley S. Parker</u>
Director	<u>Gerri Combs</u>
Director	<u>Debbie Wiebe-Kamber</u>
Director	<u>Patrick Schmidt</u>
Director	<u>Jerry Rhandal Blevins</u>

Individuals / Entities listed at time of formation

Director	<u>REV. JOHN G. EIFLER</u>
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Director	<u>KATTY SMITH</u>
Director	<u>JIM VOYLES</u>
Director	<u>DEBORAH KEESEE</u>
Director	<u>DOUGLAS STEGNER</u>
Incorporator	<u>REV. JOHN G. EIFLER</u>

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

<u>Annual Report</u>	1/27/2014	1 page	PDF
<u>Annual Report</u>	6/3/2013	1 page	PDF
<u>Registered Agent name/address change</u>	6/6/2012 10:15:46 AM	1 page	PDF
<u>Principal Office Address Change</u>	6/6/2012 10:13:43 AM	1 page	PDF
<u>Annual Report</u>	6/6/2012	1 page	PDF
<u>Annual Report</u>	7/25/2011	1 page	PDF
<u>Annual Report</u>	6/15/2010	1 page	PDF
<u>Annual Report</u>	6/15/2009	1 page	PDF
<u>Annual Report</u>	6/27/2008	1 page	PDF
<u>Annual Report</u>	6/29/2007	1 page	tiff PDF
<u>Annual Report</u>	6/30/2006	1 page	tiff PDF
<u>Annual Report</u>	6/6/2005	1 page	tiff PDF
<u>Annual Report</u>	10/13/2003	1 page	tiff PDF
<u>Annual Report</u>	9/24/2002	2 pages	tiff PDF
<u>Annual Report</u>	5/18/2001	1 page	tiff PDF
<u>Annual Report</u>	6/18/1999	2 pages	tiff PDF
<u>Annual Report</u>	7/7/1998	2 pages	tiff PDF
<u>Annual Report</u>	7/1/1997	2 pages	tiff PDF
<u>Annual Report</u>	7/1/1996	2 pages	tiff PDF
<u>Amendment</u>	9/7/1995	3 pages	tiff PDF
<u>Annual Report</u>	7/1/1995	1 page	tiff PDF
<u>Amendment</u>	5/24/1995	1 page	tiff PDF
<u>Articles of Incorporation</u>	9/22/1994	6 pages	tiff PDF

Assumed Names

Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	1/27/2014 11:13:51 AM	1/27/2014 11:13:51 AM	
Annual report	6/3/2013 10:56:35 AM	6/3/2013 10:56:35 AM	
Annual report	6/6/2012 10:19:11 AM	6/6/2012 10:19:11 AM	
Registered agent address change	6/6/2012 10:15:46 AM	6/6/2012 10:15:46 AM	
Principal office change	6/6/2012 10:13:43 AM	6/6/2012 10:13:43 AM	

Annual report	7/25/2011 9:20:52 AM	7/25/2011 9:20:52 AM	
Annual report	6/15/2010 10:09:44 AM	6/15/2010 10:09:44 AM	
Annual report	6/15/2009 7:48:16 PM	6/15/2009 7:48:16 PM	
Annual report	6/27/2008 12:51:31 PM	6/27/2008 12:51:31 PM	
Annual report	6/29/2007 2:07:44 PM	6/29/2007	
Annual report	6/30/2006 5:18:43 PM	6/30/2006	
Annual report	6/3/2003	6/3/2003	
Amendment - Miscellaneous amendments	9/7/1995	9/7/1995	
Amendment previous name	5/24/1995	5/24/1995	<u>ST. FRANCES OF ROME CULTURAL CENTER, INC.</u>

Microfilmed Images

Microfilm images are not available online. They can be ordered by faxing a Request For Corporate Documents to the Corporate Records Branch at 502-564-5687.

Annual Report	7/29/2004	2 pages
Annual Report	10/13/2003	1 page
Annual Report	9/24/2002	2 pages
Annual Report	5/18/2001	1 page
Annual Report	8/10/2000	2 pages
Annual Report	6/18/1999	2 pages
Annual Report	7/7/1998	2 pages
Annual Report	7/1/1997	2 pages
Annual Report	7/1/1996	2 pages
Amendment	9/7/1995	2 pages
Annual Report	7/1/1995	1 page
Amendment	5/24/1995	1 page
Articles of Incorporation	9/22/1994	5 pages