

**NEIGHBORHOOD DEVELOPMENT FUND**  
**Not-for-Profit Transmittal and Approval Form**

**Applicant/Program:** Schnitzelburg Area Community Council

**Executive Summary of Request:**

Go Green Germantown is a neighborhood beautification and environmentally conscience association. Go Green Germantown will utilize funds for tree planting and care and the planting of flowers to beautify the neighborhood. By doing so they increase the tree canopy and develop neighborhood pride.

Is this program/project a fundraiser?

☐ Yes ☒ No

Is this applicant a faith based organization?

☐ Yes ☒ No

Does this application include funding for sub-grantee(s)?

☐ Yes ☒ No

I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

10  
District #

*Steve Meyer*  
Council Member Signature

\$1,000  
Amount

5/21/15  
Date

**Primary Sponsor Disclosure**

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

**Approved by:**

\_\_\_\_\_  
Appropriations Committee Chairman

\_\_\_\_\_  
Date

**Clerk's Office Only:**

Request Amount: \_\_\_\_\_

Committee Amended Appropriation: \_\_\_\_\_

Original Appropriation: \_\_\_\_\_

Council Amended Appropriation: \_\_\_\_\_



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
<b>Legal Name of Applicant Organization:</b> <u>Schnitzelburg Area Community Council</u> <small>(as listed on: <a href="http://www.sos.ky.gov/business/records">http://www.sos.ky.gov/business/records</a>)</small>			
<b>Main Office Street &amp; Mailing Address:</b> <u>P.O. Box 17306 Louisville, KY 40217</u>			
<b>Website:</b> <u>www.neighborhoodlink.com/schnitzelburg-area-council-inc</u>			
<b>Applicant Contact:</b>	<u>Susan Brunton</u>	<b>Title:</b>	<u>Vice President</u>
<b>Phone:</b>	<u>759-7182</u>	<b>Email:</b>	<u>10sbru10@gmail.com</u>
<b>Financial Contact:</b>	<u>Same</u>	<b>Title:</b>	
<b>Phone:</b>		<b>Email:</b>	
<b>Organization's Representative who attended NDF Training:</b> <u>Kathy Lang</u>			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
<b>Program Facility Location(s):</b> <u>Schnitzelburg</u>			
<b>Council District(s):</b> <u>10</u>		<b>Zip Code(s):</b> <u>40217</u>	
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
<b>PROGRAM/PROJECT NAME:</b> <u>Go Green Germantown</u>			
<b>Total Request: (\$)</b> <u>1,000</u>		<b>Total Metro Award (this program) in previous year: (\$)</b> <u>1,000</u>	
<b>Purpose of Request (check all that apply):</b> <input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget) <input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
<b>The Following are Required Attachments:</b>			
<input type="checkbox"/> IRS Exempt Status Determination Letter <input type="checkbox"/> Current Year Projected Budget <input type="checkbox"/> List of Board of Directors (include term & term limits) <input type="checkbox"/> Current financial statement <input type="checkbox"/> Most recent IRS Form 990 or 1120-H <input type="checkbox"/> Articles of Incorporation <input type="checkbox"/> Cost estimates from proposed vendor if request is for capital expense		<input type="checkbox"/> Signed lease if rent costs are being requested <input type="checkbox"/> IRS Form W9 <input type="checkbox"/> Evaluation forms if used in the proposed program <input type="checkbox"/> Annual audit (if required by organization) <input type="checkbox"/> Faith Based Organization Certification Form, if required <input type="checkbox"/> Staff including the 3 highest paid staff	
<b>For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.</b>			
<b>Source:</b>	<u>Metro Government</u>	<b>Amount: (\$)</b>	<u>2,305.00</u>
<b>Source:</b>	<u>Metro Government (SAC)</u>	<b>Amount: (\$)</b>	<u>4815.70</u> <u>95</u>
<b>Source:</b>	<u>Metro Government (Fire Dept)</u>	<b>Amount: (\$)</b>	<u>6,500.00</u> <u>95</u>
<b>Has the applicant contacted the BBB Charity Review for participation?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No			
<b>Has the applicant met the BBB Charity Review Standards?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No			



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 3 - AGENCY DETAILS

#### Describe Agency's Vision, Mission and Services:

GoGreen Germantown is a tree planting/beautification movement started in 2010 with 3 major goals. 1. to increase the number of trees, shrubs and other greenery in the Schnitzelburg neighborhood. 2. To encourage recycling and reduce littering 3. To make the Eastern Redbud (a native species) the signature tree of Schnitzelburg with the goal of an eventual Redbud Festival.

This is achieved by offering to plant a Redbud tree in the yard or easement of Schnitzelburg residents as they request, in addition to approaching neighbors with a good location for a tree. Businesses and individuals with the space for a larger species are offered that as well. We have also enhanced key entrance ways with beautification, organized litter clean ups and used our Facebook page to share environmental tips and advice on tree care. Our very first planting was observed by an arborist, as was one of our other plantings. Proper tree planting technique is taken seriously, as is making sure the location is right for the tree when it is fully grown.



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 4 – PROGRAM/PROJECT NARRATIVE

**A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):**

GoGreenBermontown has a Spring and Fall planting season but year round maintenance and drive bys to check the health and care of our plantings is conducted on a regular basis. The lack of trees in our neighborhood is a problem both aesthetically and environmentally and neighbors are told about economic benefits (reduction in LG&E costs) as well as increased property values and noise reduction. We will continue to target areas that are lacking in trees and greenery.

**B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):**

Funds will be spent on Redbud trees and other species as well as mulch and the occasional tools such as tree stakes or pruners as needed. All receipts are kept and reported to the SACC treasurer. Funds will be used for flowers and upkeep to planters purchased in past years, and additional planters.



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**C: If this request is a fundraiser, please detail how the proceeds will be spent:**

**D: For Expenditure Reimbursement Only** – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

☐ Effective October 24, 2013, reimbursements should not be made unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):

- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
- ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

☒ The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:

- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:**

Benefits of trees include a calming effect, reduction of storm runoff, reduction in cooling costs, increased property values and with having a "signature tree", a sense of neighborhood pride. Because all of <sup>the</sup> trees we have planted are in our neighborhood, we are close by to monitor their progress and growth. A master arborist in our neighborhood has been happy to consult when one of our plantings was damaged by a storm. We will try to include children and youth whenever possible.

**F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.**

A few trees have been planted close to our border in the Germantown neighborhood. Several Germantown residents have volunteered with our tree plantings and cleanups. Love Louisville Trees, a non-profit, asked me to help find homes for some leftover trees and also sent me the names of people asking for Redbuds.





## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 5 – PROGRAM/PROJECT BUDGET SUMMARY

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non- Metro Funds	Total Funds
A: Personnel Costs Including Benefits	0		
B: Rent/Utilities	0		
C: Office Supplies	0		
D: Telephone	0		
E: In-town Travel	0		
F: Client Assistance (Attach Detailed List)	0		
G: Professional Service Contracts	0		
H: Program Materials	\$1,000		
I: Community Events & Festivals (Attach Detail List)	0		
J: Small Equipment	0		
K: Capital Equipment	0		
L: Other Expenses (Attach Detail List)	0		
<b>*TOTAL PROGRAM/PROJECT FUNDS</b>	<b>\$1,000</b>		
<i>% of Program Budget</i>	100 %	%	100%

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	
Fees Collected from Program Participants	
Other (please specify)	
<i>Total Revenue for Columns 2 Expenses **</i>	

\*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

\*\*Must equal or exceed total in column 2.



## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**Detail of In-Kind Contributions for this PROGRAM only:** Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

Donor*/Type of Contribution	Value of Contribution	Method of Valuation
volunteer time <sup>3 volunteers</sup> 3.6 hrs per week	\$ 3,666.00	\$19.50/hr per IRS guide lines
transport/gasoline	\$ 65.00	50¢/mile
watering/storage	\$507.00	\$19.50/hr
<b>Total Value of In-Kind</b> (to match Program Budget Line Item. Volunteer Contribution & Other In Kind)	\$4,238.00	

\* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK

Agency Fiscal Year Start Date:

Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? NO ☒ YES ☐

If YES, please explain:





## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 6 – CERTIFICATIONS & ASSURANCES

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application:

#### Standard Assurances

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant will establish safeguards to prohibit employees of any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked.

#### Standard Certifications

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

**Relationship Disclosure:** List below any relationship you or any member of your Board of Directors or employees has with any Councilperson; Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

### SECTION 7 – CERTIFICATIONS & ASSURANCES

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

Signature of Legal Signatory: <i>Susan Bixton</i>		Date: <i>4/30/2015</i>
Legal Signatory: (please print): <i>Susan Bixton</i>		Title: <i>SAFC Vice Pres.</i>
Phone: <i>759-7132</i>	Extension:	Email: <i>lsbix10@gmail.com</i>

INTERNAL REVENUE SERVICE  
P. O. BOX 2508  
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: FEB 23 2007

SCHMITZELBURG AREA COMMUNITY  
COUNCIL  
1343 HICKORY ST  
LOUISVILLE, KY 40217

Employer Identification Number:

DIN:

307044022

Contact Person:

EDWARD S SCHLAACK

ID# 31536

Contact Telephone Number:

(877) 829-5500

Accounting Period Ending:

December 31

Form 990 Required:

Yes

Effective Date of Exemption:

February 7, 1977

Contribution Deductibility:

No

Dear Applicant:

We are pleased to inform you that upon review of your application for tax-exempt status we have determined that you are exempt from Federal income tax under section 501(c)(4) of the Internal Revenue Code. Because this letter could help resolve any questions regarding your exempt status, you should keep it in your permanent records.

Please see enclosed Information for Organizations Exempt Under Sections Other Than 501(c)(3) for some helpful information about your responsibilities as an exempt organization.

Sincerely,



Lois G. Lerner  
Director, Exempt Organizations  
Rulings and Agreements

Enclosure: Information for Organizations Exempt Under Sections Other  
Than 501(c)(3)

Letter 948 (DO/CG)



## Schnitzelburg Area Community Council

P. O. Box 17306

Louisville, KY 40217

[sacc@insightbb.com](mailto:sacc@insightbb.com)

[www.neighborhoodlink.com/Schnitzelburg\\_Area\\_Council](http://www.neighborhoodlink.com/Schnitzelburg_Area_Council)

### Schnitzelburg Area Community Council Constitution

#### Article IV

**OFFICERS.** The Council shall have four principal officers, who shall be the President, Vice-President, Secretary, and Treasurer. These officers shall be elected by the Board of Directors from among the membership of the Board after the annual meeting, to hold office for one year or until their respective successors are elected and take office.

*All officers & Board members are on one year term.*

#### Officers:

Mike Morris – President

Susan Brunton – Vice President



Kathy Lang – Treasurer

David J. (Jake) Willstrom – Secretary



#### At Large Board Members:

Shane Smith



Paul Boblitt



Lisa Pisterman



Jennifer Chappell





Dianne Morris



Gary Liebert



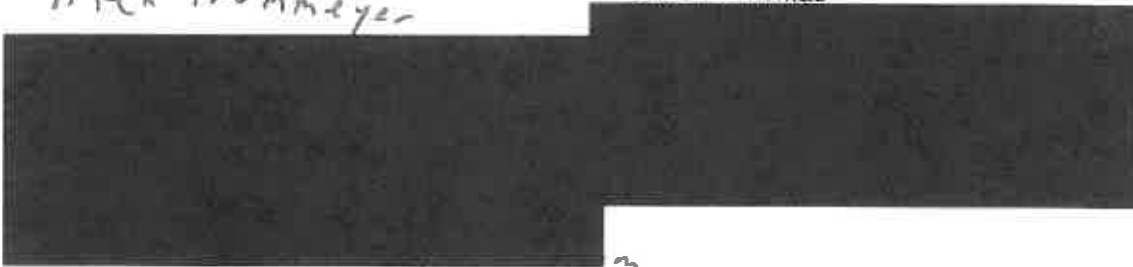
Dan McMahon

Stephen D. Cairnson

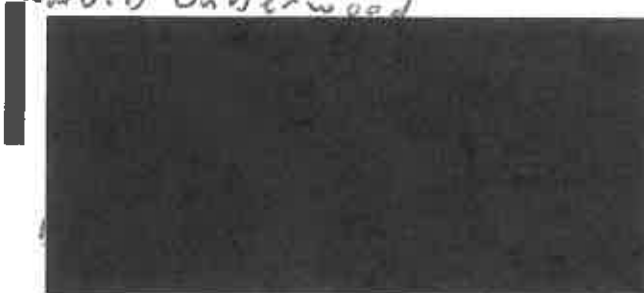


Alex Frommeyer

Julianne Thomas



David Underwood



Jordan Mitchell



**Form 990-N**  
Department of the Treasury  
Internal Revenue Service**Electronic Notice (e-Postcard)**For Tax-Exempt Organizations not  
Required To File Form 990-E, 990-B, or 990-TOMB No.  
1545-2085**2013**Open to  
Public  
Inspection**A** For the 2013 calendar year, or for years beginning 1/1/2013, and ending 12/31/2013.**B** Check if applicable:☒ Template: Other business☒ Gross receipts are normally \$50,000 or less**E** Website:[www.neighborhoodlink.org/Schmitz\(burg\)AreaCouncil-LINC](http://www.neighborhoodlink.org/Schmitz(burg)AreaCouncil-LINC)**C** Name of organization: **SCHMITZBURG  
AREA COMMUNITY COUNCIL**  
d/b/a:**P O Box 17306  
Louisville, KY, US, 40217****D** Employer  
Identification  
Number  
[REDACTED]**F** Name of Principal Officer: **Kathy Lang****P O Box 17306  
Louisville, KY, US, 40217**

**Privacy Act and Paperwork Reduction Act Notice.** We ask for the information on this form to carry out the Internal Revenue laws of the United States. You are required to give us the information. We need it to ensure that you are complying with these laws.

The organization is not required to provide the information requested on a form that is subject to the Paperwork Reduction Act unless the form displays a valid OMB control number. Books or records relating to a form or its instructions must be retained as long as their contents may become material in the administration of any Internal Revenue law. The rules governing the confidentiality of the Form 990-N is covered in Code section 6104.

The time needed to complete and file this form and related schedules will vary depending on individual circumstances. The estimated average times is 15 minutes.

**Note:** This image is provided for your records only. Do NOT mail this page to the IRS. The IRS will not accept this filing via paper. You must file your Form 990-N (e-Postcard) electronically.

ORIGINAL COPY  
FILED AND RECORDED  
JAN 11 1977  
JAN 11 1977

SECRETARY OF STATE  
**RECEIVED**  
JAN 11 1977

# ARTICLES OF INCORPORATION

FOR

*D. J. Smith*  
THE SCHMITZELBURG AREA COMMUNITY COUNCIL, INC. Commonwealth of Kentucky

The undersigned, the majority of whom are citizens of the United States of America, desiring to form a non-profit corporation law of the Commonwealth of Kentucky, do hereby certify:

46536

## ARTICLE I

The name of the corporation shall be The Schmitzelburg Area Community Council, Inc.

## ARTICLE II

Unless sooner terminated as provided by law, the Area Community Council shall have perpetual existence from the time the certificate of incorporation has been issued by the Secretary of the State of Kentucky.

## ARTICLE III

The objects and purposes of the Area Community Council shall be:

- a. To unite property owners, tenants, business people and others interested in the area.
- b. To encourage civic improvements and betterments in the area.
- c. To promote community activities and interests of an educational or civic nature.
- d. To encourage residential and business property upkeep in the area, and to eliminate vandalism and littering.
- e. To encourage better fire and police protection, traffic flow and traffic law enforcement in the area.
- f. To be concerned with youth problems of the area.
- g. To encourage reasonable and adequate zoning, and to ensure uniform enforcement of codes.
- h. To encourage a spirit of friendliness and cooperative community spirit in the area and in relations with other groups in the Schmitzelburg Area and throughout the City of Louisville.
- i. To support any other activities which advance the common good and general welfare of the community and its people unless these activities are excluded by INC Sec. 501 (c) (4) or IRS regulation.

#### ARTICLE IV

(4.1) The said Area Community Council is organized exclusively for the promotion of social and civic welfare as described in IRC Sec. 501 (c) (4). In view of that fact, no part of the net earnings of the Council shall be distributable to its members, directors, officers, and other private persons as income; however, the corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article Three hereof.

(4.2) No substantial part of the activities of the Area Community Council shall be the carrying on of propaganda, or otherwise attempting to influence legislation, unless the social welfare and civic objective require legislation as per the regulations concerning IRC Sec. 501 (c) (4) or intervene in any political campaign on behalf of any candidate for public office.

(4.3) Notwithstanding any other provision of these articles, the Area Community Council shall not carry on any other activities not permitted to be carried on by a corporation exempt from Federal Income Tax under Sec. 501 (c) (4) of the Internal Revenue Code of 1954.

(4.4) Upon dissolution of the Area Community Council, the Board of directors shall, after paying or making provision for the payment of all the liabilities of the Area Community Council, dispose of all the assets of the Area Community Council exclusively for the purposes of the Area Community Council in such manner, or to such organization or organizations established and operated exclusively for social welfare or civic purposes as shall at the time qualify as exempt organization or organizations under Sec. 501 (c) (4) Internal Revenue Code of 1954 as the Board of Directors shall determine. Any such assets not so disposed of shall be disposed of by the Court of Common Pleas of the county in which the principal office of the Corporation is then located, exclusively for such purposes or to such organization or organizations, as said Court shall determine, which are organized and operated exclusively for such purposes.

#### ARTICLE V

(5.1) The registered office and place of business of the corporation shall be: William Keely, 629 Newmark, Louisville, Jefferson County, Kentucky 40217.

(5.2) The name and address of its resident agent for the service of process shall be: William Keely, President, 629 Newmark, Louisville, Kentucky 40217.



#### ARTICLE VI

The officers, directors, or members of the Area Community Council shall not be personally liable for payment of debts, liabilities, or obligations of the Council to any extent whatsoever.

#### ARTICLE VII

(7.1) The initial board of directors shall consist of thirteen members on the board and four officers selected from the board.

(7.2) The following individuals will serve in the capacity of ~~officers~~ <sup>directors</sup> until the selection of their successors:

President: William Keely, 819 Kenwick, Louisville, Ky., 40217

Vice-President: Gregory Sargent, 942 Mulberry, Louisville, Ky., 40217

Secretary: James Peak, 1021 Wagner, Louisville, Ky., 40217

Treasurer: William Tinker, 1245 Milton, Louisville, Ky., 40217

IN WITNESS thereof, we have hereunto subscribed our names this 26 day of June, 1977.

Notary Public, Ky., 1977

*W. F. Schmidt*

*William Keely*

*Wm W. Tinker*

*James Peak*

*Gregory Sargent*

RECEIVED NOV 17 2005

0078158.09

Utah  
NAG

Trey Grayson  
Secretary of State  
Received and Filed  
11/09/2005 11:22:11 AM  
Fee Receipt \$8.00

ARTICLES OF AMENDMENT  
OF  
SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.

ARTICLE XIII: Amendment

Section 1

Pursuant to a meeting of the members of this corporation held on Monday, September 26, 2005, of which a quorum was present at said meeting, the following amendment was received and unanimously adopted and accepted by the quorum present. The amendment adopted is set forth below:

Section 2: The original Articles of Incorporation for the Schnitzelburg Area Community Council, Inc., are hereby amended to reflect that Article 3, Section I, is hereby amended to read that:

To support any activities which advances the common good and general of the community and its people unless these activities are excluded by IRS Sec. 501(c)(3).

APPROVED AND SUBMITTED by William W. Tinker, Jr. Treasurer and Director for the Schnitzelburg Area Community Council, Inc.

  
William W. Tinker, Jr.  
Director

APPROVED: September 26, 2005

  
William W. Tinker, Jr.

# Commonwealth of Kentucky

OFFICE OF  
SECRETARY OF STATE

DREXELL R. DAVIS  
Secretary



FRANKFORT,  
KENTUCKY

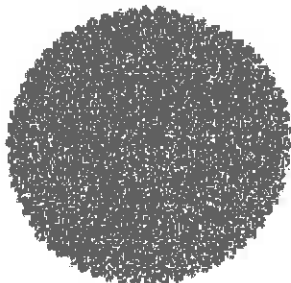
## CERTIFICATE OF INCORPORATION OF NON-STOCK, NON-PROFIT CORPORATION

I, DREXELL R. DAVIS, Secretary of State of the Commonwealth of Kentucky  
certify that there has been delivered to my office articles of incorporation of  
THE KENTUCKIAN ANPA OFFSHORE COUNCIL, INC.

The name and address of the registered agent of this corporation is  
WILLIAM KIRBY

819 KENNICK  
LOUISVILLE, KENTUCKY 40217

NOW, THEREFORE, finding that these articles of incorporation conform to law  
and that all fees therefor have been paid as prescribed by law, I, DREXELL R.  
DAVIS, Secretary of State, issue this Certificate of Incorporation.



SECRETARY OF STATE

Issued this 7th day of FEBRUARY, 1977,  
at Frankfort, Kentucky.

*Drexell R. Davis*

SECRETARY OF STATE

ADJUTANT SECRETARY OF STATE



**Trey Grayson**  
**Secretary of State**

**Certificate of Existence**

I, Trey Grayson, Secretary of State of the Commonwealth of Kentucky, do hereby certify that according to the records in the Office of the Secretary of State,

**THE SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.**

has eliminated all the grounds for dissolution, paid all fees and penalties owed to the Secretary of State, and met all other requirements for reinstatement. The effective date of reinstatement is October 7, 2004.

I further certify that THE SCHNITZELBURG AREA COMMUNITY COUNCIL, INC. is a corporation duly organized and existing under the laws of the Commonwealth of Kentucky, whose date of incorporation is February 7, 1977, and whose period of duration is perpetual.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my Official Seal at Frankfort, Kentucky, this 7<sup>th</sup> day of October, 2004.



*Tn6z*  
\_\_\_\_\_  
Trey Grayson  
Secretary of State  
Commonwealth of Kentucky  
MktC001/0076/04

# Request for Taxpayer Identification Number and Certification

Give Form to the  
requester. Do not  
send to the IRS.

Name (as shown on your income tax return)  
**SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.**

Business name/disregarded entity name, if different from above

Check appropriate box for federal tax classification:  
☐ Individual/sole proprietor ☐ C Corporation ☐ S Corporation ☐ Partnership ☐ Trust/estate  
☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ ☒ Exempt payee  
☐ Other (see instructions) ▶

Address (number, street, and apt. or suite no.)  
**P. O. Box 17306**  
City, state, and ZIP code  
**Louisville KY 40217**

List account number(s) here (optional)

Requester's name and address (optional)

## Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see How to get a TIN on page 3.

Social security number								

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

## Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. citizen or other U.S. person (defined below).

**Certification Instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.

Sign  
Here

Signature of  
U.S. person ▶

*William M. Long*

Date ▶ **10/14/13**

## General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

### Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

**Definition of a U.S. person.** For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

**Special rules for partnerships.** Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

## Expenses for Community Outreach/Operations

### Newsletters:

Printing Costs		885.60
Design & Layout		\$ 775.00
Volunteer Hours to Edit, Distribute	216 x 10.00/hour	\$ 750.00
Lucite Holders	4 per year at \$15.00 each	\$2,160.00
		\$ 60.00

✓Magnetic Calendars		\$ 152.00
✓Stickers		\$ 200.00

### ✓Welcome Wagon Bags:

Bags & Materials		\$ 100.00
Volunteer hours to fill and distribute bags	30 x 10.00/hour	\$ 300.00

✓Misc Printing Expenses for Event Fliers		\$ 50.00
Volunteer hours to distribute fliers	10 x 10.00/hour	\$ 100.00

### ✓Meetings Rent - 480

Soft Drinks & Snacks, Door Prizes		\$ 500.00
Volunteer hours	432 x 10.00/hour	\$4,320.00

### ✓Memberships in Other Organizations

Dues for: Airport Alliance		\$ 50.00
Center for Neighborhoods		\$ 24.00

### ✓Advertising

Sponsoring Prize at Ky State Fair		\$ 50.00
Sponsoring Horse and Race at AmVets Fundraiser		\$ 50.00

### ✓Office Expenses

Sec of State Renewal		\$ 15.00
P. O. Box		\$ 86.00
Stamps		\$ 46.00
Paper, Envelopes, etc.		\$ 50.00

## INCOMING FUNDS

### Memberships

Business	50 x \$20.00	see undated	\$1,000.00
Family	50 x \$10.00		\$ 500.00

#1 Dinner Ticket Sales		\$1,200.00
#1 Dinner Program Ads		\$ 500.00

Yard Sale Booth Rental	25 x \$10.00	\$ 250.00
------------------------	--------------	-----------

## Funding Sources:

- 1) #1 Dinner (2013) - 2,018.<sup>00</sup>
- 2) Membership Dues (13-14 Fiscal) - 1,480.<sup>00</sup>
- 3) Yard Sale - 250.<sup>00</sup>
- 4) Golf Scramble - 5055 (estimated)



# 2 expenses (from receipts)

1)	1495.37
2)	250.00
3)	111.11
4)	<u>100.00</u>
	1960.48

Light up lanterns (Christmas Tree decorations) 2013

1)	72.04
2)	94.79
3)	25.97
4)	61.13
5)	<u>75.13</u>
	329.06

Incoming Funds for #1 Dinner	Ads	320.00
	Door Prize Raffle	187.00
	50/50	101.00
	Dinners	1410.00
TOTAL IN		2018.00

## Donated Space:

- Christmas Tree Decorating / Light up Schmitzelburg  
\$100.00
- Dog Walk 100.00
- Yard Sale 200.00
- DAmty 200.00
- ~~- Pot Luck~~
- Easter Egg Hunt - 100.00
- History Walks - 160.00
- meetings (Board) - 880.00

Total - \$1,740.00

	Expense	Donated Time	Total
News letters	1,695.00	2,160	3855.60
meetings	980.00	4,320	5300.00
Welcome Wagon	100.00	300	400.00
Outreach (calendars)			
(Advertising, Memberships)	526.00	100	626.00
Office			
(Sec. of state, Po Box, stamps, paper, printing)	2117.00	200	447.00
		<u>7080</u>	
		<u>2610</u>	
		9690	

Mov's Night	Jennifer Chappell	Jennifer Chappell Others Mike Morris	15 get m/v's, equip. set up 10 set up, tear down 6 weed, mow, blow	<del>\$150.00</del> <del>\$100.00</del> <del>\$60.00</del>	<del>\$1,300.00</del>	<del>\$1,610.00</del>
Dog Walk	Judie Gralner	Judie Gralner Mike Morris	10 Organize 6 weed, mow, blow	\$100.00 \$60.00	50 <del>\$750.00</del>	210 <del>\$200.00</del>
#1 Dinner	Gary Allen	Gary Allen Others Mike Morris	20 get prizes, 20 sell ads, order food, bar 3 weed, mow, blow	\$200.00 \$200.00 \$30.00	\$2,500.00	\$2,930.00
Christmas Tree Decorating	Julianne Thomas	Julianne Thomas Others Mike Morris	5 Obtain tree, decorate 5 Decorate 6 weed, mow, blow	\$50.00 \$50.00 \$60.00	200 <del>\$450.00</del>	360 <del>\$200.00</del>
Go Green/Greenland	Sueann Burton	Sueann Burton Others Mike Morris	200 Plant, Sytop, Water 200 plant, weed 258 weed, mow, blow	<del>\$2,000.00</del> <del>\$2,000.00</del> <del>\$2,690.00</del>	<del>\$2,000.00</del>	<del>\$6,590.00</del>
Estimated Totals				750 + 1860 2610		

Event	Organizer	Hours Donated	Description of Time	Hours x \$10.00/hr	Costs	Total to Budget
History Walks (2 per Year)	Steve Cambren	12 12 11	weeding, cleaning route	\$120.00 \$120.00 \$110.00	\$200.00 100	450 \$200.00
Easter Egg Hunt	Dianna Morris	12 10 10	Dianna Morris Susan Brunton Others Mike Morris 6 trash pick up	\$120.00 \$100.00 \$100.00 \$60.00	\$200.00 300	680 \$200.00
Play Date In the Park	Susan Brunton	12 4 8	Susan Brunton Dianna Morris Others Mike Morris 6 trash pick up	\$120.00 \$40.00 \$80.00 \$80.00	\$200.00	\$480.00
Pot Luck	Susan Brunton	10 set up 10 tear down 3 trash pick up		\$100.00 \$100.00 \$80.00	\$200.00	\$480.00
Dainty	Gary Allen/Mike Morris	20 Bat Up, emcee, manage 5 line judges 9 weed, mow, blow		\$200.00 \$50.00 \$80.00	1000 \$1500.00	1340 \$1500.00
Back to School Bash	Dianna Morris	12 10 6	Dianna Morris Others Mike Morris 6 trash pick up	\$120.00 \$100.00 \$80.00	\$100.00	\$380.00
Yard Sale	Susan Brunton	12 sell spots, direct vendors 10 man booth, 6 weed, mow, blow		\$120.00 \$100.00 \$60.00	\$50.00	\$330.00

1860

## GoGreen Spring 2015 Agenda

10-15 Trees and Shrubs	\$425*
Fill dirt, mulch, mushroom compost,sand	\$75*
Replace cordless hedge trimmer	\$100*
Flowers, small perennials	\$75*
Tomato plants for children's event	\$30*
Additional concrete planters	\$75*
Whiskey Barrels	\$150*
Paint	\$40*
Promotional materials	\$30*
<hr/>	
	\$1000

\*Approximate estimates



**THE SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.****General Information**

<b>Organization Number</b>	0078158
<b>Name</b>	THE SCHNITZELBURG AREA COMMUNITY COUNCIL, INC.
<b>Profit or Non-Profit</b>	N - Non-profit
<b>Company Type</b>	KCO - Kentucky Corporation
<b>Status</b>	A - Active
<b>Standing</b>	G - Good
<b>State</b>	KY
<b>File Date</b>	2/7/1977
<b>Organization Date</b>	2/7/1977
<b>Last Annual Report</b>	3/18/2014
<b>Principal Office</b>	P. O. BOX 17306 LOUISVILLE, KY 40217
<b>Registered Agent</b>	KATHY LANG 1033 LYDIA STREET LOUISVILLE, KY 40217

**Current Officers**

<b>President</b>	<u>MIKE MORRIS</u>
<b>Vice President</b>	<u>SUSAN BRUNTON</u>
<b>Secretary</b>	<u>IAKE WILDSTROM</u>
<b>Treasurer</b>	<u>KATHY LANG</u>
<b>Director</b>	<u>MIKE MORRIS</u>
<b>Director</b>	<u>SUSAN BRUNTON</u>
<b>Director</b>	<u>KATHY LANG</u>
<b>Director</b>	<u>IAKE WILDSTROM</u>

**Individuals / Entities listed at time of formation**

<b>Director</b>	<u>WILLIAM KEELY</u>
<b>Director</b>	<u>GREGORY SARJENT</u>
<b>Director</b>	<u>JAMES PEAK</u>
<b>Director</b>	<u>WILLIAM TINKER</u>
<b>Incorporator</b>	<u>WILLIAM KEELY</u>
<b>Incorporator</b>	<u>JAMES PEAK</u>
<b>Incorporator</b>	<u>WILLIAM TINKER</u>
<b>Incorporator</b>	<u>GREGORY SARJENT</u>

**Images available online**

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

[Annual Report](#)

3/18/2014

1 page

[PDF](#)

<u>Registered Agent name/address change</u>	3/18/2013 1:57:09 PM	1 page	<u>PDF</u>	
<u>Annual Report</u>	3/18/2013	1 page	<u>PDF</u>	
<u>Principal Office Address Change</u>	3/4/2013 10:48:55 AM	1 page	<u>PDF</u>	
<u>Annual Report</u>	2/24/2012	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	4/7/2011	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	4/13/2010	1 page	<u>tiff</u>	<u>PDF</u>
<u>Principal Office Address Change</u>	9/1/2009	1 page	<u>tiff</u>	<u>PDF</u>
<u>Registered Agent name/address change</u>	9/1/2009	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	8/17/2009	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	4/14/2008	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	2/26/2007	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	3/27/2006	1 page	<u>tiff</u>	<u>PDF</u>
<u>Amendment</u>	11/9/2005	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	3/18/2005	1 page	<u>tiff</u>	<u>PDF</u>
<u>Administrative Dissolution</u>	11/2/1993	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1992	2 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1991	2 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1991	2 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1990	2 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	9/1/1989	1 page	<u>tiff</u>	<u>PDF</u>
<u>Sixty Day Notice</u>	9/1/1989	1 page	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	7/1/1988	1 page	<u>tiff</u>	<u>PDF</u>
<u>Statement of Change</u>	10/3/1978	2 pages	<u>tiff</u>	<u>PDF</u>
<u>Annual Report</u>	6/28/1978	3 pages	<u>tiff</u>	<u>PDF</u>
<u>Articles of Incorporation</u>	2/7/1977	5 pages	<u>tiff</u>	<u>PDF</u>

## Assumed Names

## Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	3/18/2014 1:13:25 PM	3/18/2014 1:13:25 PM	
Annual report	3/18/2013 2:05:27 PM	3/18/2013 2:05:27 PM	
Registered agent address change	3/18/2013 1:57:09 PM	3/18/2013 1:57:09 PM	
Principal office change	3/4/2013 10:48:55 AM	3/4/2013 10:48:55 AM	
Annual report	2/24/2012 10:03:14 AM	2/24/2012	
Annual report	4/7/2011 3:22:58 PM	4/7/2011	
Annual report	4/13/2010 10:12:17 AM	4/13/2010	
Registered agent address change	9/1/2009 1:53:30 PM	9/1/2009	

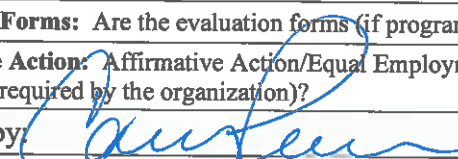
Principal office change	9/1/2009 1:52:22 PM	9/1/2009
Annual report	8/17/2009 12:13:28 PM	8/17/2009
Annual report	4/14/2008 10:17:26 AM	4/14/2008
Annual report	2/26/2007 10:02:15 AM	2/26/2007
Annual report	3/27/2006 2:57:16 PM	3/27/2006
Amendment - Change purpose	11/9/2005 11:22:11 AM	11/9/2005
Registered agent address change	10/7/2004 10:03:51 AM	10/7/2004
Principal office change	10/7/2004 10:03:22 AM	10/7/2004
Reinstatement	10/7/2004 10:01:53 AM	10/7/2004
Admin Dis. A. report not in	11/2/1993	11/2/1993

## Microfilmed Images

**Microfilm images are not available online. They can be ordered by faxing a Request For Corporate Documents to the Corporate Records Branch at 502-564-5687.**

Statement of Change	10/7/2004	1 page
Reinstatement	10/7/2004	3 pages
Annual Report	3/7/2004	1 page
Administrative Dissolution	11/2/1993	1 page
Annual Report	7/1/1992	2 pages
Annual Report	7/1/1991	2 pages
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Statement of Change	10/3/1978	1 page
Annual Report	6/28/1978	3 pages
Articles of Incorporation	2/7/1977	4 pages

S-22-15  
9:01 m.w.

NDF NON-PROFIT APPLICATION CHECKLIST		
<b>Legal Name of Applicant Organization:</b> Schnitzelburg Area Community Council		
Program Name: Go Green Germantown	Request Amount: \$1,000.00	Yes/No/NA
<b>Request form:</b> Is the NDF request form signed by all Council Member(s) appropriating funding?		Yes
<b>Request form:</b> Is the funding proposed less than or equal to the request amount?		Yes
<b>Request form:</b> Have all known Council or Staff relationships to the Agency been adequately disclosed on the cover sheet?		Yes
<b>Application Page 1:</b> Has prior Metro funds committed/granted been disclosed?		Yes
<b>Application Page 1:</b> Is the application properly signed and dated by authorized signatory?		Yes
<b>Application Page 3:</b> Reimbursement funding – One or two boxes checked if any expenses are incurred before the grant award period. Is all required documentation included?		Yes
<b>Application Pages 3 – 5:</b> Is the proposed public purpose of the program well-documented?		Yes
<b>Application 4:</b> Is there adequate documentation of how the proceeds of the fundraiser will be spent?		n/a
<b>Application Budget Page 6:</b> Does the application budget reflect only the revenue and expenses of the project/program (page 6) if the request is not an operating budget request? Is all detail schedules included for "Metro, Non Metro and Total" expense funds for client assistance, community events & festivals and other expenses? And does the Non-Metro Revenue equal the Non-Metro expenses?		Yes
<b>Faith Based Organizations:</b> Is the signed Faith Based Form signed and included?		n/a
<b>Jefferson County Only:</b> Will all funding be spent in Louisville/Jefferson County?		Yes
<b>Capital Project(s) request:</b> Is the cost estimate(s) from proposed vendor(s) included?		n/a
<b>Good Standing:</b> Is the entity in good standing with: <ul style="list-style-type: none"> <li>Kentucky Secretary of State – include Secretary of State website information on organization</li> <li>Louisville Metro Government – check OMB monthly report filed in Council Financial Reports</li> <li>Internal Revenue Service – most recent Form 990 included</li> </ul>		Yes
<b>Separate Taxing Districts:</b> If Metro funding is for a separate taxing district, is the funding appropriated for a program outside the legal responsibility of that taxing district?		n/a
<b>Small Cities:</b> Is the resolution included agreeing to partner with Louisville Metro on the capital project? (IRS Determination letter not required, Form 990 not required, but KY SOS acknowledgement is)		n/a
<b>Operating Requests:</b> Is recommended operating funding less than or equal to 33% of total operating budget?		n/a
<b>IRS Exempt Proof:</b> Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?		Yes
<b>Operating Budget:</b> Is the organization's current fiscal year operating budget included?		Yes
<b>Ordinance Required:</b> Is the amount committed by Council members greater than \$5,000 to any one project/program within an organization in this fiscal year.		n/a
<b>Board Members:</b> Is the entity's board member list (with term length/term limits) included?		Yes
<b>Staff:</b> Is a list of the highest paid staff included with their expected annual personnel costs?		n/a
<b>Annual Audit:</b> Is the most recent annual audit (if required by organization) included?		n/a
<b>Rent Requests:</b> Is a copy of signed lease included?		n/a
<b>Articles of Incorporation:</b> Are the Articles of Incorporation of the organization included?		Yes
<b>IRS Form W-9:</b> Is the IRS Form W-9 included?		Yes
<b>Evaluation Forms:</b> Are the evaluation forms (if program participants are given evaluation forms) included?		n/a
<b>Affirmative Action:</b> Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required by the organization)?		n/a
Prepared by: 		Date: 5/22/15