

O-049-21

**NEIGHBORHOOD DEVELOPMENT FUND  
Not-for-Profit Transmittal and Approval Form**

<b>Applicant/Program:</b> American Red Cross - Louisville Area Chapter <b>Applicant Requested Amount:</b> \$10,000 <b>Appropriation Request Amount:</b> <del>\$5,000</del> <b>\$10,000</b>
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<b>Executive Summary of Request</b> Funding of \$5,000 from D-2 NDF (of the \$10,000 total requested by the agency) to the American Red Cross - Louisville Area Chapter for home fire and disaster relief. The Red Cross uses this funding in the Metro area to provide monetary assistance to residents experiencing fire or other home disasters, free smoke alarms for at-risk neighborhoods, training in lifesaving skills, etc.
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Is this program/project a fundraiser? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Is this applicant a faith based organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Does this application include funding for sub-grantee(s)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
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I have reviewed the attached Neighborhood Development Fund Application and have found it complete and within Metro Council guidelines and request approval of funding in the following amount(s). I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below, if required.

<u>2</u>	<u>Buckley Shanks</u>	<u>\$5,000</u>	<u>02/05/2021</u>
District #	Primary Sponsor Signature	Amount	Date

<b>Primary Sponsor Disclosure</b> List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors. N/A
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<b>Approved by:</b> <u>Rick Blackwell</u> <u>2/19/2021</u> Appropriations Committee Chairman Date Final Appropriations Amount: <u>\$5,000.00</u>
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**Applicant/Program:**

\$5,000 to American Red Cross - Louisville Area Chapter for home fire and disaster relief.

**Additional Disclosure and Signatures**

**Additional Council Office Disclosure**

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

N/A

**Council Member Signature and Amount**

District 1	_____	\$ _____
District 2	_____	\$ _____
District 3	<i>Keisha Dorsey</i>	\$ 250
District 4	_____	\$ _____
District 5	_____	\$ _____
District 6	_____	\$ _____
District 7	<i>Paula McVane</i>	\$ 200
District 8	<i>Carla</i>	\$ 500
District 9	<i>1</i>	\$ 500
District 10	<i>Eamon P. McWhitt</i>	\$ 500
District 11	_____	\$ _____
District 12	_____	\$ _____
District 13	_____	\$ _____
District 14	_____	\$ _____
District 15	<i>Ken Topler</i>	\$ 500

**Applicant/Program:**

\$5,000 to American Red Cross - Louisville Area Chapter for home fire and disaster relief.

**Additional Disclosure and Signatures**

**Additional Council Office Disclosure**

List below any personal or business relationship you, your family or your legislative assistant have with this organization, its volunteers, its employees or members of its board of directors.

District 16	_____	\$ _____
District 17		\$ 500
District 18	_____	\$ _____
District 19	<i>Anthony Piagentini</i>	\$ 500
District 20	<i>Stuart Benson</i>	\$ 500
District 21	<i>Nede George</i>	\$ 300
District 22	_____	\$ _____
District 23	_____	\$ _____
District 24	<i>Madonna Flood</i>	\$ 500
District 25		\$ 250
District 26	_____	\$ _____

**LOUISVILLE METRO COUNCIL  
NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**Legal Name of Applicant Organization** Louisville Area Chapter of the American Red Cross  
**Program Name and Request Amount** \$5,000 to American Red Cross - LAC for home fire and disaster relief.

	Yes/No/NA
Is the NDF Transmittal Sheet Signed by all Council Member(s) Appropriating Funding?	<input type="checkbox"/> Yes
Is the funding proposed by Council Member(s) less than or equal to the request amount?	<input type="checkbox"/> Yes
Is the proposed public purpose of the program viable and well-documented?	<input type="checkbox"/> Yes
Will all of the funding go to programs specific to Louisville/Jefferson County?	<input type="checkbox"/> Yes
Has Council or Staff relationship to the Agency been adequately disclosed on the cover sheet?	<input type="checkbox"/> N/A
Has prior Metro Funds committed/granted been disclosed?	<input type="checkbox"/> N/A
Is the application properly signed and dated by authorized signatory?	<input type="checkbox"/> Yes
Is proof of Tax Exempt status of 501(c) 3, 4, 6, 19, 1120-H included?	<input type="checkbox"/> Yes
If Metro funding is for a separate taxing district is the funding appropriated for a program outside the legal responsibility of that taxing district?	<input type="checkbox"/> N/A
Is the entity in good standing with: <ul style="list-style-type: none"> <li>▶ Kentucky Secretary of State?</li> <li>▶ Louisville Metro Revenue Commission?</li> <li>▶ Louisville Metro Government?</li> <li>▶ Internal Revenue Service?</li> <li>▶ Louisville Metro Human Relations Commission?</li> </ul>	<input type="checkbox"/> Yes
Is the current Fiscal Year Budget included?	<input type="checkbox"/> Yes
Is the entity's board member list (with term length/term limits) included?	<input type="checkbox"/> Yes
Is recommended funding less than 33% of total agency operating budget?	<input type="checkbox"/> Yes
Does the application budget reflect only the revenue and expenses of the project/program?	<input type="checkbox"/> Yes
Is the cost estimate(s) from proposed vendor (if request is for capital expense) included?	<input type="checkbox"/> N/A
Is the most recent annual audit (if required by organization) included?	<input type="checkbox"/> Yes
Is a copy of Signed Lease (if rent costs are requested) included?	<input type="checkbox"/> N/A
Is the Supplemental Questionnaire for churches/religious organizations (if requesting organization is faith-based) included?	<input type="checkbox"/> N/A
Are the Articles of Incorporation of the Agency included?	<input type="checkbox"/> Yes
Is the IRS Form W-9 included?	<input type="checkbox"/> Yes
Is the IRS Form 990 included?	<input type="checkbox"/> Yes
Are the evaluation forms (if program participants are given evaluation forms) included?	<input type="checkbox"/> N/A
Affirmative Action/Equal Employment Opportunity plan and/or policy statement included (if required to do so)?	<input type="checkbox"/> Yes
Has the Agency agreed to participate in the BBB Charity review program? If so, has the applicant met the BBB Charity Review Standards?	<input type="checkbox"/> Yes
Prepared by: <b>Caleb Howard</b>	Date: 02/05/2021

## Howard, Caleb A.

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**From:** Shanklin, Barbara  
**Sent:** Friday, February 5, 2021 10:04 AM  
**To:** Evans, Pamela; Howard, Caleb A.  
**Cc:** Colvin, Valerie  
**Subject:** Re: American Red Cross NDF Grant (Email 1 of 2 - additional attachments sent in separate email)

To whom it may concern, it is ok for Caleb Howard to sign off on the ordinance for the Red Cross for home fires and disaster relief. Cost (5,000). In my behalf. Thanks  
Council Barbara Shanklin.

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**From:** Evans, Pamela <pamela.evans2@redcross.org>  
**Sent:** Thursday, February 4, 2021 9:52:55 AM  
**To:** Shanklin, Barbara <Barbara.Shanklin@louisvilleky.gov>; Howard, Caleb A. <Caleb.Howard@louisvilleky.gov>  
**Cc:** Colvin, Valerie <valerie.colvin@redcross.org>  
**Subject:** American Red Cross NDF Grant (Email 1 of 2 - additional attachments sent in separate email)

**CAUTION: This email came from outside of Louisville Metro. Do not click links or open attachments unless you recognize the sender and know the content is safe**

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Dear Councilwoman Shanklin,

Thank you for your \$5,000 commitment to support the Neighborhood Discretionary Fund Grant from the American Red Cross and our work around home fire and disaster relief. We've attached the proposed grant and supporting documents for your review and would ask for your endorsement in engaging other council members to join in by contributing to the fund.

The first Kentucky chapter of the American Red Cross was chartered in 1917. Today, there are five chapters in Kentucky which comprise the Kentucky Region. The American Red Cross is not a government agency; it relies on donations of time, funding, and resources from individuals in the local community, corporate partners, and foundations to do its work. Red Cross Services are provided throughout Kentucky thanks to the generous commitment of thousands of local volunteers.

The Louisville Area Chapter of the American Red Cross is proud to serve the communities of Anderson, Bullitt, Carroll, Clark (IN), Floyd (IN), Franklin, Gallatin, Harrison (IN), Henry, Jefferson, Marion, Nelson, Oldham, Owen, Shelby, Spencer, Trimble and Washington counties.

Last Fiscal Year we provided the following services to the Louisville Chapter:

- Responded to 306 home fires and other disasters, helping 1,562 individuals, and providing over \$330,000 in client assistance.
- Installed 978 free smoke alarms in at-risk neighborhoods.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

SECTION 1 – APPLICANT INFORMATION			
<b>Legal Name of Applicant Organization:</b> American Red Cross Louisville Chapter <i>(as listed on: <a href="http://www.sos.ky.gov/business/records">http://www.sos.ky.gov/business/records</a>)</i>			
<b>Main Office Street &amp; Mailing Address:</b> 510 E. Chestnut St., Louisville, KY 40202			
<b>Website:</b> www.redcross.org/local/kentucky			
<b>Applicant Contact:</b>	Pam Evans	<b>Title:</b>	Regional Philanthropy Officer
<b>Phone:</b>	502-919-1150	<b>Email:</b>	pamela.evans2@redcross.org
<b>Financial Contact:</b>	Valerie Colvin	<b>Title:</b>	Grants Specialist
<b>Phone:</b>	502-381-2998	<b>Email:</b>	valerie.colvin@redcross.org
<b>Organization's Representative who attended NDF Training:</b> Valerie Colvin			
GEOGRAPHICAL AREA(S) WHERE PROGRAM ACTIVITIES ARE (WILL BE) PROVIDED			
<b>Program Facility Location(s):</b>	Louisville		
<b>Council District(s):</b>	All	<b>Zip Code(s):</b>	All
SECTION 2 – PROGRAM REQUEST & FINANCIAL INFORMATION			
<b>PROGRAM/PROJECT NAME:</b> Home Fire and Disaster Relief			
<b>Total Request: (\$)</b>	10,000	<b>Total Metro Award (this program) in previous year: (\$)</b>	0
<b>Purpose of Request (check all that apply):</b>			
<input type="checkbox"/> Operating Funds (generally cannot exceed 33% of agency's total operating budget) <input checked="" type="checkbox"/> Programming/services/events for direct benefit to community or qualified individuals <input type="checkbox"/> Capital Project of the organization (equipment, furnishing, building, etc)			
<b>The Following are Required Attachments:</b>			
<input checked="" type="checkbox"/> IRS Exempt Status Determination Letter <input checked="" type="checkbox"/> Current year projected budget <input checked="" type="checkbox"/> Current financial statement <input checked="" type="checkbox"/> Most recent IRS Form 990 or 1120-H <input checked="" type="checkbox"/> Articles of Incorporation (current & signed) Cost estimates from proposed vendor if request is for capital expense		Signed lease if rent costs are being requested <input checked="" type="checkbox"/> IRS Form W9 Evaluation forms if used in the proposed program <input checked="" type="checkbox"/> Annual audit (if required by organization) Faith Based Organization Certification Form, if applicable	
<b>For the current fiscal year ending June 30, list all funds appropriated and/or received from Louisville Metro Government for this or any other program or expense, including funds received through Metro Federal Grants, from any department or Metro Council Appropriation (Neighborhood Development Funds). Attach additional sheet if necessary.</b>			
<b>Source:</b>		<b>Amount: (\$)</b>	
<b>Source:</b>		<b>Amount: (\$)</b>	
<b>Source:</b>		<b>Amount: (\$)</b>	
Has the applicant contacted the BBB Charity Review for participation? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Has the applicant met the BBB Charity Review Standards? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

### SECTION 3 – AGENCY DETAILS

#### **Describe Agency's Vision, Mission and Services:**

The mission of the American Red Cross is to alleviate human suffering in the face of emergencies by mobilizing the power of volunteers and the generosity of donors. We fulfill this mission by helping people affected by disasters in communities all across the United States, including here in Louisville. The American Red Cross was founded in 1881 by Clara Barton, and the Louisville Area Chapter opened its doors in 1917. Since its founding, the American Red Cross has been the nation's premier emergency response organization. In addition to its renowned domestic disaster preparedness and response activities, the Red Cross offers compassionate services in four other areas: educational programs that promote health and safety; support and comfort for military members and their families; the collection, processing, and distribution of lifesaving blood and blood products; and international humanitarian service programs.

Through our chapter network, we respond to emergencies in local communities every eight minutes. When disaster strikes, we provide food, shelter, direct financial assistance, emotional support, and casework for longer-term recovery. In preparation for disasters, we provide a series of preparedness education classes as well as health and safety courses on life-saving techniques; identify facilities and partners with the capacity to provide disaster-related mass care services; address community issues and the needs of special populations; and train a corps of disaster volunteers. Year-round, more than 500,000 volunteers work side-by-side with Red Cross staff to ensure that community members in need receive the care and comfort they deserve.

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**SECTION 4 - BOARD OF DIRECTORS AND PAID STAFF**

Board Member	Term End Date
Marcia Brey	Jun 30, 2021
Curtis Clark	Jun 30, 2021
Pamela Conniff	Jun 30, 2021
Tom Cottingham	Jun 30, 2021
Saundra Gibson	Jun 30, 2022
Jill Howard	Jun 30, 2023
Dr. Shirl Johnson	Jun 30, 2022
Ainsley Jones	Jun 30, 2021
Joseph King	Jun 30, 2022
Shawn Malone	Jun 30, 2021
Lance Mann	Jun 30, 2021
Mary McKinley	Jun 30, 2022
Christy Moreno	Jun 30, 2023
Regan Nichols	Jun 30, 2023
Josh O'Bryan	Jun 30, 2022
Dr. George Pantalos	Jun 30, 2021
Corden Porter	Jun 30, 2021

**Describe the Board term limit policy:**  
 Each Board member serves a three-year term, with the Chair serving for 2 years, and Vice-Chair serves 2 years and then replaces the Chair. See Attachment for full Board list.

Three Highest Paid Staff Names	Annual Salary *
Steve Cunanan	
Rick Sheehy	
Jeremy Jarvi	

\*Confidential information. Please contact Rick Sheehy, Regional COO, at 859-229-9072 if required.

LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**SECTION 5 – PROGRAM/PROJECT NARRATIVE**

**A: Describe the program/project start and end dates, a description of the program/project and applicable data with regards to specific client population the program will address (attach related flyers, planning minutes, designs, event permits, proposals for services/goods, etc.):**

Here in Kentucky, our Disaster Services Team aims to prevent, prepare for, and respond to local disasters, from the most common – home fires – to tornadoes, flooding, and other events. Last fiscal year, our Louisville Chapter responded to 306 disasters, assisting 1,562 individuals and providing over \$330,000 in direct client emergency assistance. When a family is displaced from their home, Red Cross case workers arrive on the scene any time of day or night to provide clients not only with their immediate needs such as shelter, food, clothing, and help with replacement medications and eyeglasses, but also assistance connecting them with long-term recovery needs such as mental health support and housing needs with the goal of helping them get back on their feet quickly and preventing homelessness.

According to a Federal Reserve report on the economic well-being of U.S. households, 40% of families lack the means to cover a \$400 emergency. The majority of our disaster services clients are below the poverty line, and about half have permanent housing needs following a disaster. Due to COVID-19 and in the current economic situation, many of our clients are in even greater need than ever before.

Disaster relief is an ongoing program. While we cannot predict when the next disaster event will occur, our trained volunteers and staff are dedicated to remaining ready to respond and help our neighbors during their times of need.

**B: Describe specifically how the funding will be spent including identification of funding to sub grantee(s):**

Funding would support the purchase of disaster relief program materials, including comfort kits, clean-up kits, blankets, Moving Forward booklets, and disaster survey cards. These materials are essential items to help families during crisis situations.

Metro Council Support

The Red Cross Kentucky has support from Councilwoman Barbara Shanklin, who endorsed this grant application to support home fire and disaster relief support for her district.

We worked with Councilwoman Keisha Dorsey to address her concerns about families facing disaster situations in her district and provided guidance and assistance to help prepare her for what to expect and the steps she can take to connect families with Red Cross support.

Several other council members indicated that they would be interested in the proposal for support.

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**C: If this request is a fundraiser, please detail how the proceeds will be spent:**

N/A

**D: For Expenditure Reimbursement Only** – The grant award period begins with the Metro Council approval date and ends on June 30 of Metro fiscal year in which the grant is approved. If any part of this funding request is for funds to be spent before the grant award period, identify the applicable circumstances:

- The funding request is a reimbursement of the following expenditures that will probably be incurred after the application date, but prior to the execution of the grant agreement:
- ✓ If selecting this option, the invoice, receipt and payment documentation should not be available as of the date of this application.

The Grantee will be required to submit financial reporting in accordance with the reporting schedule provided in the grant agreement.

- Reimbursements should not be made before application date unless an emergency can be demonstrated by the primary council sponsor. The funding request is a reimbursement of the following expenditures (attach invoices or proof of payment):
- ✓ Attach a copy of invoices and/or receipts to provide proof of purchase of activities associated with the work plan identified in this application.
  - ✓ Attach a copy of cancelled checks to provide proof of payment of the invoices or receipts associated with the work plan identified in this application.

## LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION

**E: Describe the program's benefits to those being served (measurable outcomes). Include the program's process for collecting data and the indicators that will be tracked to measure the benefits to those being served:**

Whether a disaster affects one family or many families, the Red Cross seeks to meet the immediate and urgent needs of survivors. Then, as families and communities travel the long road toward recovery, the Red Cross aims to remain a resource. Much of our recovery work focuses on helping the most vulnerable populations and people who need extra help with services that bridge the gap between clients' current resources and those required for full recovery. We measure the number of disasters to which we respond, the number of individuals assisted, and the amount of client assistance provided. The Red Cross uses several internal tools to measure our effectiveness in resolving disaster-related needs. We use an online dashboard that visualizes the data and shows trends, month-over-month and year-over-year results.

We also measure client satisfaction surveys such as the percent of clients who give an excellent rating of our services. We take pride in providing critical services and monitoring the success of our efforts through disaster client satisfaction surveys. The survey evaluates how effectively the Red Cross fulfilled their immediate needs and how well they were assisted onto their path of recovery.

**F: Briefly describe any existing collaborative relationships the organization has with other community organizations. Describe what those partners are bringing to the relationship in general and to this program/project specifically.**

The American Red Cross works with many social service groups, schools, religious organizations, volunteers, government agencies, and local first responders to ensure response and recovery in the case of a home fire or other disaster event. From our local homeless shelters and food pantries, to police and fire departments, hospitals, and corporations, we all have an active role in the recovery process to support our friends and neighbors after the devastation of a disaster. Our goal is to know these partners and have these resources identified well before they are needed. We recruit volunteers from all around the community. It is truly neighbors helping neighbors.

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**SECTION 6 – PROGRAM/PROJECT BUDGET SUMMARY**

THE PROGRAM/PROJECT BUDGET SHOULD REALISTICALLY ESTIMATE WHAT AMOUNT IS NEEDED FROM METRO GOVERNMENT AND WHAT IS EXPECTED FROM OTHER SOURCES.

Program/Project Expenses	Column 1	Column 2	Column (1+2)=3
	Proposed Metro Funds	Non-Metro Funds	Total Funds
A: Personnel Costs Including Benefits			
B: Rent/Utilities			
C: Office Supplies			
D: Telephone			
E: In-town Travel			
F: Client Assistance (See Detailed List on Page 8)			
G: Professional Service Contracts			
H: Program Materials	10000		10000
I: Community Events & Festivals (See Detailed List on Page 8)			
J: Machinery & Equipment			
K: Capital Project			
L: Other Expenses (See Detailed List on Page 8)			
<b>*TOTAL PROGRAM/PROJECT FUNDS</b>			
Total Program Budget	100 %	0 %	<b>100%</b>

List funding sources for total program/project costs in Column 2, Non-Metro Funds:

Other State, Federal or Local Government	
United Way	
Private Contributions (do not include individual donor names)	
Fees Collected from Program Participants	
Other (please specify)	
Total Revenue for Column 2 Expenses **	

\*Total of Column 1 MUST match "Total Request on Page 1, Section 2"

\*\*Must equal or exceed total in column 2.



**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**Detail of In-Kind Contributions for this PROGRAM only:** Includes Volunteers, Space, Utilities, etc. (Include anything not bought with cash revenues of the agency).

<b>Donor*/Type of Contribution</b>	<b>Value of Contribution</b>	<b>Method of Valuation</b>
<p align="center"><i>Total Value of In-Kind</i>  <b>(to match Program Budget Line Item.</b>                      Volunteer Contribution &amp; Other In Kind)</p>		

**\* DONOR INFORMATION REFERS TO WHO MADE THE IN KIND CONTRIBUTION. VOLUNTEERS NEED NOT BE LISTED INDIVIDUALLY, BUT GROUPED TOGETHER ON ONE LINE AS A TOTAL NOTING HOW MANY HOURS PER PERSON PER WEEK**

**Agency Fiscal Year Start Date:** 7/1/2020

**Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year?** NO  YES

**If YES, please explain:**

N/A

**LOUISVILLE METRO COUNCIL NEIGHBORHOOD DEVELOPMENT FUND APPLICATION**

**SECTION 7 – CERTIFICATIONS & ASSURANCES**

By signing Section 7 of the Grant Application, the authorized official signing for the applicant organization certifies and assures to the best of his or her knowledge and/or belief the following Assurances and Certifications. If there is any reason why one or more of the assurances or certifications listed cannot be certified or assured, please explain in writing and attach to this application.

**Standard Assurances**

1. Applicant understands this application and its attachments as well as any resulting grant agreement, reports and proof of expenditure is subject to Kentucky's open records law.
2. Applicant understands if the grant agreement is not returned to Louisville Metro within 90 days of its mailing to the applicant, the approval is automatically revoked and the funds will not be disbursed to our organization.
3. Applicant and any sub grantee will give Louisville Metro Government access to and the right to examine all paper or electronic records related to the awarded grant for up to five years of the grant agreement date.
4. Applicant assures compliance with the grant requirements and will monitor the performance of any third party (sub-grantee).
5. The Agency is in good standing with the Kentucky Secretary of State, Louisville Metro Government, the Jefferson County Revenue Commission, the Internal Revenue Service, and the Louisville Metro Human Relations Commission.
6. Applicant understands failure to provide the services, programs, or projects included in the agreement will result in funds being withheld or requested to be returned if previously disbursed.
7. Applicant understands they must return to Louisville Metro any unexpended funds by July 31 following the Metro Louisville's fiscal year end.
8. Applicant understands they must provide proof of all expenditures (canceled checks, receipts, paid invoices). The Applicant understands the failure to provide proof of expenditures as required in the grant agreement could result in funding being withheld or request to be returned if previously disbursed.
9. Applicant understands if this application is approved, the grant agreement will identify an award period that begins with the Metro Council approval date, and will end with June 30 of the fiscal year in which the grant is approved. Expenditures associated with this award expected to occur prior to the award period (approval date) must be disclosed in this application in order to be considered compliant with the grant agreement.
10. Applicant understands if we choose to incur expenditures prior to the approval of the application by the Metro Council, there is no guarantee that funding will be reimbursed, as the Council may choose not to award the application.
11. Applicant will establish safeguards to prohibit employees or any person that receives compensation from awarded funds from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

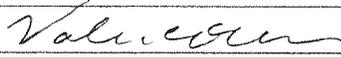
**Standard Certifications**

1. The Agency certifies it will not use Louisville Metro Government funds for any religious, political or fraternal Activities.
2. The Agency has a written Affirmative Action/Equal Opportunity Policy.
3. The Agency does not discriminate in employment or in provision of any service/program/activity/event based on age, color, disabled status, national origin, race, religion, sex, gender identity or sexual orientation, or Vietnam era veteran status.
4. The Agency certifies it will not require clients, recipients, or beneficiaries to participate in religious, political, fraternal or like activities in order to receive services/benefits provided with Louisville Metro Government funds.
5. The Agency understands the Americans with Disabilities Act (ADA) and makes reasonable accommodations.

**Relationship Disclosure:** List below any relationship you or any member of your Board of Directors or employees has with any Councilperson, Councilperson's family, Councilperson's staff or any Louisville Metro Government employee.

**SECTION 8 – CERTIFICATIONS & ASSURANCES**

I certify under the penalty of law the information in this application (including, without limitation, "Certifications and Assurances") is accurate to the best of my knowledge. I am aware my organization will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am legally authorized to sign this application for the applying organization and have initialed each page of the application.

<b>Signature of Legal Signatory:</b>			<b>Date:</b>	Feb 3, 2021
<b>Legal Signatory: (please print):</b>		Valerie Colvin	<b>Title:</b>	Grants Specialist
<b>Phone:</b>	502381 2998	<b>Extension:</b>		<b>Email:</b> valerie.colvin@redcross.org

VC



Louisville Metro Government  
Office of Management and Budget

Neighborhood Development Fund Training Attestation

Grantee Organization Name: American Red Cross Kentucky Region

Grantee Representative Name: Valerie Colvin

*I agree that I am an authorized representative and/or signatory of the organization named above and attest to having viewed the Neighborhood Development Fund training presentation. I understand the reporting requirements of the Neighborhood Development Fund grant. Additionally, after viewing the presentation, I have correctly answered the below questions.*

Please check:

I viewed the NDF training material on the website

Answer the following questions before signing (Circle or write in the correct answer).

1. The NDF funding your agency received is a gift from LMG? True or False **False**
2. Name the three budget categories that require a detail list.  
client assistance, community events/festivals and other expenses
3. If your agency charged gross pay to NDF, you are required to provide additional documentation to satisfy reporting requirements. True or False **True**
4. Which four questions should your financial support documentation answer at all times?  
who, what, when and where
5. Your agency is considered noncompliant if you do not account for funds received and/or your financial report is missing support documentation? True or False **True**
6. Canceled check, bank statement, invoice and receipt are considered proof of payment. True or False. **True**

Valerie Colvin  
Grantee Representative Signature

2-2-2021  
Date

NOTE: Please return to Roxanne Steele

E-mail address: Roxanne.Steele@louisvilleky.gov

Fax: 502-574-3219

Mailing Address: Louisville Metro Government  
ATTN: NDF Coordinator  
611 West Jefferson St.  
Louisville, KY 40202

Internal Revenue Service  
P.O. Box 2508  
Cincinnati, OH 45201

Department of the Treasury

Date: April 10, 2019

**Employer Identification Number:**  
53-0196605

**Person to Contact:**  
R. Meyer ID# 0110429

**Toll Free Telephone Number:**  
877-829-5500

AMERICAN NATIONAL RED CROSS  
SHARED SERVICES CENTER  
% JENNIFER HAWKINS  
431 18<sup>TH</sup> ST NW  
WASHINGTON, DC 20006-5310

Dear Sir or Madam:

This is in response to your April 9, 2019, request for information regarding your tax-exempt status. You have represented that you are a chapter, branch or auxiliary of the American National Red Cross.

Our records indicate that in December 1938, the American National Red Cross was held to be exempt from Federal income tax under section 101(6) of the Internal Revenue Act of 1938, which now corresponds to section 501(c)(3) of the Internal Revenue Code. In a subsequent determination, the American Red Cross was classified as a publicly supported organization described in sections 509(a)(1) and 170(b)(1)(a)(vi) of the Code.

Even though the American National Red Cross was issued an individual ruling, this ruling covers its chapters, branches, and auxiliaries.

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to them or for their use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

If you have any questions, please call us at the telephone number shown in the heading of this letter.

Sincerely yours,

*Stephen A. Martin*

Stephen A. Martin  
Director, Exempt Organizations  
Rulings and Agreements

# Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9) for instructions and the latest information.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.

American Red Cross

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.

Individual/sole proprietor or single-member LLC

C Corporation

S Corporation

Partnership

Trust/estate

Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ \_\_\_\_\_

Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.

Other (see instructions) ▶ Non-Profit

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):

Exempt payee code (if any) \_\_\_\_\_

Exemption from FATCA reporting code (if any) \_\_\_\_\_

(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.) See instructions.

510 E Chestnut St.

Requester's name and address (optional)

6 City, state, and ZIP code

Louisville, Ky 40202

7 List account number(s) here (optional)

Print or type. See specific instructions on page 3.

## Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number								
				-				

or

Employer identification number								
53	-	0196	605					

## Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here

Signature of U.S. person ▶

*Jan Grider*

Date ▶

Feb 13, 2021

## General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments.** For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9).

## Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.

## American Red Cross Kentucky Region: Budget for Year Ending June 30, 2021

### REVENUE

Federated Revenue - Program Restricted	-
Unrestricted Federated	887,307
Federated	887,307
Monetary Contributions	
Corporate Contributions	947,991
Foundation Contributions	274,481
Individual Contributions	2,826,327
Net Special Events	270,000
Legacies & Bequests	698,137
In-Kind Contributions	556,299
Investment Income	
Income From Endowments	4,103
Contracts	6,438,018
Products & Services	824,407
Other Revenues	173,415
<b>TOTAL LOCAL REVENUE</b>	<b>13,900,485</b>
Support from other ARC units	3,972,347
<b>TOTAL REVENUE</b>	<b>17,872,832</b>

### EXPENSES

Compensation	9,516,471
Employee Benefits	2,174,181
Payroll Taxes	728,010
Travel	162,336
Conference & Meetings	3,533
Financial Assistance	1,510,407
Supplies and Materials	258,808
Printing and Promotionals	73,877
Equipment Maintenance & Rentals	516,561
Rent	174,522
Utilities	194,274
Building Maintenance	206,961
Professional Fees	989,969
Contractual Services	
Insurance	279,166
Communications	133,224
Postage & Shipping	39,249
Other Contractual Services	273,880
Depreciation	637,403
<b>TOTAL LOCAL EXPENSES</b>	<b>17,872,832</b>
Support to other ARC units	-
<b>TOTAL EXPENSES</b>	<b>17,872,832</b>
<b>SURPLUS (DEFICIT)</b>	<b>-</b>

**THE AMERICAN NATIONAL RED CROSS**  
Consolidated Statement of Financial Position - Restriction  
As of March 31, 2020  
(with comparative information as of June 30, 2019)  
(in thousands)

	March 30, 2020	June 30, 2019
<b>Assets</b>	<b>Total</b>	<b>Total</b>
Current assets:		
Cash and cash equivalents	\$ 147,795	\$ 101,101
Investments	377,931	460,187
Trade receivables, including grants, net of allowance for doubtful accounts for \$2,082 in 2020 and \$4,727 in 2019	180,799	196,172
Contributions receivable	36,286	31,948
Inventories, net of allowance for obsolescence of \$198 in 2020 and \$356 in 2019	39,095	38,800
Other current assets	56,800	49,516
<b>Total current assets</b>	<b>838,706</b>	<b>877,724</b>
Investments	1,035,199	1,067,866
Contributions receivable	14,689	14,689
Land, Buildings, and other property, net	924,844	762,726
Assets held for sale, net	-	71,480
Other assets	261,236	255,419
<b>Total assets</b>	<b>3,074,674</b>	<b>3,049,904</b>
<b>Liabilities and Net Assets</b>		
Current liabilities:		
Accounts payable and accrued expenses	211,112	272,134
Current portion of debt	67,222	43,888
Postretirement benefits	3,824	3,824
Other current liabilities	168,451	133,210
<b>Total current liabilities</b>	<b>450,609</b>	<b>453,056</b>
Debt	702,984	537,413
Pension and postretirement benefits	103,345	495,161
Other liabilities	105,210	122,110
<b>Total liabilities</b>	<b>1,362,148</b>	<b>1,607,740</b>
Net assets:		
Without Donor Restrictions net assets (deficit)	312,600	(5,469)
With Donor Restrictions net assets	1,399,926	1,447,633
<b>Total net assets</b>	<b>1,712,526</b>	<b>1,442,164</b>
Commitments and contingencies		
<b>Total liabilities and net assets</b>	<b>\$ 3,074,674</b>	<b>\$ 3,049,904</b>

Note: According to ASC 842, operating leases of \$132 million are included in both assets and liabilities in the following lines of

## Harward, Sonya

---

**Subject:** FW: Metro Council Attachments  
**Attachments:** ARC W-9 2021.pdf; ARC Louisville Area Chapter Good Standing Certificate.pdf

**From:** Howard, Caleb A. <Caleb.Howard@louisvilleky.gov>  
**Sent:** Monday, February 8, 2021 2:58 PM  
**To:** Harward, Sonya <Sonya.Harward@louisvilleky.gov>  
**Cc:** Shanklin, Barbara <Barbara.Shanklin@louisvilleky.gov>  
**Subject:** Fwd: Metro Council Attachments

Begin forwarded message:

**From:** "Evans, Pamela" <pamela.evans2@redcross.org>  
**Subject:** Metro Council Attachments  
**Date:** February 8, 2021 at 10:52:52 AM EST  
**To:** "Howard, Caleb A." <Caleb.Howard@louisvilleky.gov>  
**Cc:** "Colvin, Valerie" <valerie.colvin@redcross.org>

**CAUTION: This email came from outside of Louisville Metro. Do not click links or open attachments unless you recognize the sender and know the content is safe**

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Caleb,

Attached please find our Certificate of Good Standing for the Louisville Area Chapter. As we are a national corporation incorporated by the US Congress, we are not registered in any individual state. In lieu of articles of incorporation, all chapters of the American Red Cross provide a copy of our Congressional Charter in its place when requested. I've also attached an updated W-9.

Please let me know if you need any additional information.

Thank you!

Pam Evans  
Regional Philanthropy Officer

**American Red Cross**  
Kentucky Region  
510 E. Chestnut St.  
Louisville, KY 40202  
502-919-1150  
<https://www.redcross.org/local/kentucky.html>



**American  
Red Cross**

**National Headquarters**

Office of Corporate Secretary  
430 17<sup>th</sup> Street, NW  
Washington, DC 20006

(202) 303-5028  
(202) 639-9811 Fax  
simone.white@redcross.org

**CERTIFICATE**

The American National Red Cross is a single national corporation chartered by Act of Congress of January 5, 1905, reference to which is found codified at 36 U.S.C. Section 3001 et. seq., to discharge the responsibilities of the United States Government under the Geneva, or so-called Red Cross, Conventions in international law. As such, The American National Red Cross is an instrumentality of the United States, immune from certain taxation and regulation, such as registration or qualification to do business under the foreign corporation statutes of the several states, absent Congressional waiver of such immunity.

On December 12, 1938, the Internal Revenue Service issued a ruling letter confirming The American National Red Cross and its units [all under EIN 53-0196605] are exempt from the payment of federal income tax and are entitled to receive tax-deductible donations. This ruling was reaffirmed in a letter ruling on April 10, 2019 and can be further verified by visiting [www.irs.gov/charities-and-nonprofits](http://www.irs.gov/charities-and-nonprofits). Additionally, IRS Publication 78, which lists all organizations designated by the Internal Revenue Service to receive tax-deductible contributions under Section 170 of the Internal Revenue Code of 1986, as amended, lists "The American National Red Cross, and its Constituents, Chapters, and Branches, Washington, D.C."

In accordance with Section 300103(b) of the Congressional Charter of The American National Red Cross and Section 8.1 of the Amended and Restated Bylaws of the corporation, chapters of the corporation are local units of the corporation and are not legal entities separate from the corporation. As such, chapter financial information is included as part of the Audited Consolidated Financial Statements and the IRS Form 990 of The American National Red Cross.

The American Red Cross Louisville Area Chapter located at 510 E. Chestnut Street, Louisville, Kentucky 40202, is such a chapter as recognized by the corporation; and, is a chapter in good standing.

Date: February 5, 2021

**THE AMERICAN NATIONAL RED CROSS**

By: Lauren Sullivan  
Name: Lauren Sullivan  
Title: Assistant Corporate Secretary

# Exempt Organization Declaration and Signature for Electronic Filing

For calendar year 2018, or tax year beginning 07/01, 2018, and ending 06/30, 20 19

# 2018

Department of the Treasury  
Internal Revenue Service

For use with Forms 990, 990-EZ, 990-PF, 1120-POL, and 8868

Name of exempt organization

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS

Employer identification number

53-0196605

### Part I Type of Return and Return Information (Whole Dollars Only)

Check the box for the type of return being filed with Form 8453-EO and enter the applicable amount, if any, from the return. If you check the box on line 1a, 2a, 3a, 4a, or 5a below and the amount on that line of the return being filed with this form was blank, then leave line 1b, 2b, 3b, 4b, or 5b, whichever is applicable, blank (do not enter -0-). If you entered -0- on the return, then enter -0- on the applicable line below. Do not complete more than one line in Part I.

1a	Form 990 check here	<input checked="" type="checkbox"/>	b	Total revenue, if any (Form 990, Part VIII, column (A), line 12) . . .	1b	<u>2813454935</u>
2a	Form 990-EZ check here	<input type="checkbox"/>	b	Total revenue, if any (Form 990-EZ, line 9) . . . . .	2b	
3a	Form 1120-POL check here	<input type="checkbox"/>	b	Total tax (Form 1120-POL, line 22) . . . . .	3b	
4a	Form 990-PF check here	<input type="checkbox"/>	b	Tax based on investment income (Form 990-PF, Part VI, line 5)	4b	
5a	Form 8868 check here	<input type="checkbox"/>	b	Balance due (Form 8868, line 3c) . . . . .	5b	

### Part II Declaration of Officer

6  I authorize the U.S. Treasury and its designated Financial Agent to initiate an Automated Clearing House (ACH) electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the organization's federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment.

If a copy of this return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I certify that I executed the electronic disclosure consent contained within this return allowing disclosure by the IRS of this Form 990/990-EZ/990-PF (as specifically identified in Part I above) to the selected state agency(ies).

Under penalties of perjury, I declare that I am an officer of the above named organization and that I have examined a copy of the organization's 2018 electronic return and accompanying schedules and statements, and, to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the organization's electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the organization's return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) the reason for any delay in processing the return or refund, and (c) the date of any refund.

Sign Here

*Brian J. Shea*  
Signature of officer

11/13/2019  
Date

CFO  
Title

### Part III Declaration of Electronic Return Originator (ERO) and Paid Preparer (see instructions)

I declare that I have reviewed the above organization's return and that the entries on Form 8453-EO are complete and correct to the best of my knowledge. If I am only a collector, I am not responsible for reviewing the return and only declare that this form accurately reflects the data on the return. The organization officer will have signed this form before I submit the return. I will give the officer a copy of all forms and information to be filed with the IRS, and have followed all other requirements in Pub. 4163, Modernized e-File (MeF) Information for Authorized IRS e-file Providers for Business Returns. If I am also the Paid Preparer, under penalties of perjury I declare that I have examined the above organization's return and accompanying schedules and statements, and, to the best of my knowledge and belief, they are true, correct, and complete. This Paid Preparer declaration is based on all information of which I have any knowledge.

ERO's Use Only	ERO's signature	<i>Prepared by</i>	Date	11-12-19	Check if also paid preparer	<input checked="" type="checkbox"/>	Check if self-employed	<input type="checkbox"/>	ERO's SSN or PTIN	P01205643	
	Firm's name (or yours if self-employed), address, and ZIP code	KPMG LLP			8350 BROAD STREET, SUITE 900 MCLEAN VA 22102			EIN	13-5565207	Phone no.	703-266-8000

Under penalties of perjury, I declare that I have examined the above return and accompanying schedules and statements, and, to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer is based on all information of which the preparer has any knowledge.

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name	Firm's EIN			
	Firm's address	Phone no.			

Return of Organization Exempt From Income Tax

Department of the Treasury Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990 for instructions and the latest information.

A For the 2018 calendar year, or tax year beginning 07/01, 2018, and ending 06/30, 2019

B Check if applicable: C Name of organization AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS AND BRANCHES; D Employer identification number 53-0196605; E Telephone number (202) 303-4498; F Name and address of principal officer: GAIL MCGOVERN; I Tax-exempt status: X 501(c)(3); J Website: WWW.REDCROSS.ORG; K Form of organization: X Corporation; L Year of formation: 1900; M State of legal domicile: DC

Part I Summary

Table with 3 columns: Line number, Description, and Amount. Rows include: 1-7a Activities & Governance; 8-12 Revenue; 13-19 Expenses; 20-22 Net Assets or Fund Balances.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here: Signature of officer BRIAN J. RHOA, Date 11/13/2019, Title CFO

Paid Preparer Use Only: Print/Type preparer's name RAYMOND LY, Preparer's signature, Date 11-12-19, Firm's name KPMG LLP, Firm's EIN 13-5565207, Firm's address 8350 BROAD STREET, SUITE 900 MCLEAN, VA 22102, Phone no. 703-286-8000

May the IRS discuss this return with the preparer shown above? (see instructions) X Yes

For Paperwork Reduction Act Notice, see the separate instructions. Form 990 (2018)

**Part III** Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III  Yes  No

**1** Briefly describe the organization's mission:

THE AMERICAN RED CROSS PREVENTS AND ALLEVIATES HUMAN SUFFERING IN THE  
FACE OF EMERGENCIES BY MOBILIZING THE POWER OF VOLUNTEERS AND THE  
GENEROSITY OF DONORS.

**2** Did the organization undertake any significant program services during the year which were not listed on the  
prior Form 990 or 990-EZ?  Yes  No  
If "Yes," describe these new services on Schedule O.

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program  
services?  Yes  No  
If "Yes," describe these changes on Schedule O.

**4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by  
expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others,  
the total expenses, and revenue, if any, for each program service reported.

**4a** (Code: ) (Expenses \$ 1,740,714,613. including grants of \$ 2,338,513. ) (Revenue \$ 1,741,301,835. )  
BIOMEDICAL SERVICES - SEE SCHEDULE O

**4b** (Code: ) (Expenses \$ 629,883,386. including grants of \$ 357,446,355. ) (Revenue \$ )  
DOMESTIC DISASTER SERVICES - SEE SCHEDULE O

**4c** (Code: ) (Expenses \$ 144,066,392. including grants of \$ 912,945. ) (Revenue \$ 142,379,131. )  
TRAINING SERVICES - SEE SCHEDULE O

**4d** Other program services (Describe in Schedule O.) ATTACHMENT 1  
(Expenses \$ 141,948,833. including grants of \$ 40,029,584. ) (Revenue \$ )

**4e** Total program service expenses 2,656,613,224.

Part IV Checklist of Required Schedules

Table with 3 columns: Question ID, Yes, No. Rows include questions 1 through 21 regarding organizational requirements, such as political activities, lobbying, and financial reporting.

**Part IV Checklist of Required Schedules (continued)**

		Yes	No
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III . . . . .</i>	X	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J . . . . .</i>	X	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a . . . . .</i>	X	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . . .		X
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .		X
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . . .		X
25a	<b>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I . . . . .</i>		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I . . . . .</i>		X
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II . . . . .</i>		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III . . . . .</i>		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV . . . . .</i>		X
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV . . . . .</i>		X
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV . . . . .</i>		X
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M . . . . .</i>	X	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M . . . . .</i>		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I . . . . .</i>		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II . . . . .</i>		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I . . . . .</i>	X	
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1 . . . . .</i>	X	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)? . . . . .	X	
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2 . . . . .</i>	X	
36	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2 . . . . .</i>		X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI . . . . .</i>		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O.	X	

**Part V Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response or note to any line in this Part V.  Yes  No

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable . . . . .		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable . . . . .		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? . . . . .	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

Table with columns for question number, question text, and Yes/No columns. Includes questions 2a through 16 regarding employee reporting, tax shelter transactions, gross receipts, and charitable trusts.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Check if Schedule O contains a response or note to any line in this Part VI [X]

Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include: 1a (15), 1b (14), 2, 3, 4, 5, 6, 7a, 7b, 8a, 8b, 9. Marked 'Yes' or 'No' with 'X'.

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include: 10a, 10b, 11a, 11b, 12a, 12b, 12c, 13, 14, 15a, 15b, 16a, 16b. Marked 'Yes' or 'No' with 'X'.

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed
18 Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
20 State the name, address, and telephone number of the person who possesses the organization's books and records

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII  X

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) GAIL MCGOVERN PRESIDENT & CEO	60.00 0.	X		X				696,533.	0.	12,631.
(2) JENNIFER BAILEY BOARD MEMBER	5.00 0.	X						0.	0.	0.
(3) AJAY BANGA BOARD MEMBER	3.00 0.	X						0.	0.	0.
(4) AFSANEH M. BESCHLOSS BOARD MEMBER	3.00 0.	X						0.	0.	0.
(5) DAVID A. BRANDON BOARD MEMBER	4.00 0.	X						0.	0.	0.
(6) HERMAN E. BULLS BOARD MEMBER	3.00 0.	X						0.	0.	0.
(7) STEVEN H. COLLIS BOARD MEMBER	3.00 0.	X						0.	0.	0.
(8) ENRIQUE A. CONTERNO BOARD MEMBER	4.00 0.	X						0.	0.	0.
(9) RICHARD K. DAVIS BOARD MEMBER	4.00 0.	X						0.	0.	0.
(10) Y. MICHELE KANG BOARD MEMBER	3.00 0.	X						0.	0.	0.
(11) JOSEPH E. MADISON BOARD MEMBER	3.00 0.	X						0.	0.	0.
(12) BONNIE MCELVEEN-HUNTER BOARD MEMBER	10.00 0.	X						0.	0.	0.
(13) JOHNNY C. TAYLOR, JR. BOARD MEMBER	3.00 0.	X						0.	0.	0.
(14) DAVID A. THOMAS BOARD MEMBER	3.00 0.	X						0.	0.	0.

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
( 15) TINA M. TYLER ----- BOARD MEMBER	3.00 ----- 0.	X						0.	0.	0.
( 16) KIRT A. WALKER ----- BOARD MEMBER	4.00 ----- 0.	X						0.	0.	0.
( 17) DENNIS M. WOODSIDE ----- BOARD MEMBER	5.00 ----- 0.	X						0.	0.	0.
( 18) BRIAN RHOA ----- CHIEF FINANCIAL OFFICER	60.00 ----- 0.			X				482,815.	0.	26,410.
( 19) JENNIFER HAWKINS ----- CORP SEC & CHIEF OF STAFF	60.00 ----- 0.			X				216,141.	0.	14,674.
( 20) MELISSA HURST ----- CHIEF HUMAN RESOURCES OFFICER	60.00 ----- 0.				X			368,943.	0.	26,281.
( 21) CLIFFORD HOLTZ ----- CHIEF OPERATING OFFICER	60.00 ----- 0.				X			673,708.	0.	26,707.
( 22) SHAUN GILMORE ----- CHIEF TRANSFORMATION OFFICER	60.00 ----- 0.				X			626,958.	0.	24,280.
( 23) JAMES C. HROUDA ----- PRESIDENT, BIOMEDICAL SERVICES	60.00 ----- 0.				X			617,599.	0.	24,543.
( 24) NEAL LITVACK ----- CHIEF MARKETING OFFICER	60.00 ----- 0.				X			362,953.	0.	19,583.
( 25) DON HERRING ----- CHIEF DEVELOPMENT OFFICER	60.00 ----- 0.				X			413,637.	0.	12,255.
<b>1b Sub-total</b> .....								696,533.	0.	12,631.
<b>c Total from continuation sheets to Part VII, Section A</b> .....								7,070,417.	0.	332,352.
<b>d Total (add lines 1b and 1c)</b> .....								7,766,950.	0.	344,983.

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶ 1464

	Yes	No
<b>3</b> Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> .....	X	
<b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> .....	X	
<b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> .....		X

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
ATTACHMENT 3		

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ▶ 320



**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	<b>1a</b>	Federated campaigns . . . . .	<b>1a</b> 41,539,557.				
	<b>b</b>	Membership dues . . . . .	<b>1b</b>				
	<b>c</b>	Fundraising events . . . . .	<b>1c</b> 18,611,562.				
	<b>d</b>	Related organizations . . . . .	<b>1d</b>				
	<b>e</b>	Government grants (contributions) . . . . .	<b>1e</b> 42,343,064.				
	<b>f</b>	All other contributions, gifts, grants, and similar amounts not included above . . . . .	<b>1f</b> 714,871,576.				
	<b>g</b>	Noncash contributions included in lines 1a-1f: \$ . . . . .	14,706,574.				
	<b>h</b>	<b>Total.</b> Add lines 1a-1f . . . . .		817,365,759.			
<b>Program Service Revenue</b>			<b>Business Code</b>				
	<b>2a</b>	BIOMEDICAL PRODUCTS AND SERVICES . . . . .	541900	1,741,301,835.	1,741,301,835.		
	<b>b</b>	OTHER PRODUCTS AND SERVICES . . . . .	900099	142,379,131.	142,379,131.		
	<b>c</b>						
	<b>d</b>						
	<b>e</b>						
	<b>f</b>	All other program service revenue . . . . .					
<b>g</b>	<b>Total.</b> Add lines 2a-2f . . . . .		1,883,680,966.				
<b>Other Revenue</b>	<b>3</b>	Investment income (including dividends, interest, and other similar amounts). . . . .		51,987,786.		158,868.	
	<b>4</b>	Income from investment of tax-exempt bond proceeds . . . . .		0.			
	<b>5</b>	Royalties . . . . .		0.			
	<b>6a</b>	Gross rents . . . . .	(i) Real	25,348,337.			
			(ii) Personal				
	<b>b</b>	Less: rental expenses . . . . .					
	<b>c</b>	Rental income or (loss) . . . . .	25,348,337.				
	<b>d</b>	Net rental income or (loss) . . . . .		25,348,337.		25,348,337.	
	<b>7a</b>	Gross amount from sales of assets other than inventory . . . . .	(i) Securities	786,293,166.			
			(ii) Other	30,576,116.			
	<b>b</b>	Less: cost or other basis and sales expenses . . . . .	785,046,388.	17,921,265.			
	<b>c</b>	Gain or (loss) . . . . .	1,246,778.	12,654,851.			
<b>d</b>	Net gain or (loss) . . . . .		13,901,629.		13,901,629.		
<b>8a</b>	Gross income from fundraising events (not including \$ 18,611,562. of contributions reported on line 1c). See Part IV, line 18 . . . . .	<b>a</b> 4,572,337.					
<b>b</b>	Less: direct expenses . . . . .	<b>b</b> 5,746,343.					
<b>c</b>	Net income or (loss) from fundraising events . . . . .		-1,174,006.		-1,174,006.		
<b>9a</b>	Gross income from gaming activities. See Part IV, line 19 . . . . .	<b>a</b> 0.					
<b>b</b>	Less: direct expenses . . . . .	<b>b</b> 0.					
<b>c</b>	Net income or (loss) from gaming activities . . . . .		0.				
<b>10a</b>	Gross sales of inventory, less returns and allowances . . . . .	<b>a</b> 0.					
		<b>b</b> 0.					
		<b>c</b> 0.					
<b>11a</b>	OTHER MISCELLANEOUS REVENUE . . . . .	900099	22,344,464.	15,144,464.	7,200,000.		
<b>b</b>							
<b>c</b>							
<b>d</b>	All other revenue . . . . .						
<b>e</b>	<b>Total.</b> Add lines 11a-11d . . . . .		22,344,464.				
<b>12</b>	<b>Total revenue.</b> See instructions. . . . .		2,813,454,935.	1,898,825,430.	7,358,868.	89,904,878.	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

<b>Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.</b>	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 . . . . .	0.			
2 Grants and other assistance to domestic individuals. See Part IV, line 22 . . . . .	362,613,528.	362,613,528.		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16 . . . . .	35,775,355.	35,775,355.		
4 Benefits paid to or for members . . . . .	0.			
5 Compensation of current officers, directors, trustees, and key employees . . . . .	5,593,870.	1,958,541.	2,798,120.	837,209.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .	0.			
7 Other salaries and wages . . . . .	1,096,208,468.	970,056,145.	42,392,736.	83,759,587.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	33,467,167.	31,003,209.	1,385,040.	1,078,918.
9 Other employee benefits . . . . .	143,724,955.	122,269,856.	7,218,722.	14,236,377.
10 Payroll taxes . . . . .	82,728,493.	76,227,248.	1,881,909.	4,619,336.
11 Fees for services (non-employees):	0.			
a Management . . . . .	3,503,303.	1,967,788.	1,035,756.	499,759.
b Legal . . . . .	1,569,510.	1,084,745.	426,721.	58,044.
c Accounting . . . . .	238,893.	131,350.	42,667.	64,876.
d Lobbying . . . . .	247,242.			247,242.
e Professional fundraising services. See Part IV, line 17.	0.			
f Investment management fees . . . . .				
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.) . . . . .	229,046,753.	165,308,883.	20,552,066.	43,185,804.
12 Advertising and promotion . . . . .	13,862,842.	12,427,628.	446,212.	989,002.
13 Office expenses . . . . .	104,473,621.	99,733,566.	399,921.	4,340,134.
14 Information technology. . . . .	47,394,174.	35,183,961.	10,309,311.	1,900,902.
15 Royalties. . . . .	0.			
16 Occupancy . . . . .	65,685,414.	57,284,786.	4,116,767.	4,283,861.
17 Travel . . . . .	77,432,810.	71,193,553.	2,254,719.	3,984,538.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0.			
19 Conferences, conventions, and meetings . . . . .	6,715,232.	5,038,201.	285,535.	1,391,496.
20 Interest . . . . .	39,629,986.	36,648,376.	920,630.	2,060,980.
21 Payments to affiliates. . . . .	0.			
22 Depreciation, depletion, and amortization . . . . .	72,369,514.	62,340,998.	4,628,954.	5,399,562.
23 Insurance . . . . .	37,014,510.	34,176,072.	681,676.	2,156,762.
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a BIOMED SUPPLY & BLOOD TESTIN	445,244,512.	445,244,512.		
b OTHER PROGRAM SUPPLIES	29,598,940.	26,606,410.	1,410,375.	1,582,155.
c OTHER ASSISTANCE	3,042,783.	2,338,513.	339,920.	364,350.
d				
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	2,937,181,875.	2,656,613,224.	103,527,757.	177,040,894.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720) . . . . .	0.			

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X

		(A)		(B)	
		Beginning of year		End of year	
Assets	1 Cash - non-interest-bearing . . . . .	129,488,423.	1	101,100,808.	
	2 Savings and temporary cash investments . . . . .	645,064,375.	2	460,187,222.	
	3 Pledges and grants receivable, net . . . . .	49,320,194.	3	46,637,314.	
	4 Accounts receivable, net . . . . .	204,631,414.	4	196,172,063.	
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L . . . . .	0.	5	0.	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L . . . . .	0.	6	0.	
	7 Notes and loans receivable, net . . . . .	0.	7	0.	
	8 Inventories for sale or use . . . . .	38,825,558.	8	38,800,370.	
	9 Prepaid expenses and deferred charges . . . . .	309,956,913.	9	304,934,330.	
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D . . . . .	10a 1,754,829,367.			
	b Less: accumulated depreciation. . . . .	10b 920,623,075.			
	11 Investments - publicly traded securities . . . . .	840,525,578.	10c	834,206,292.	
	12 Investments - other securities. See Part IV, line 11 . . . . .	489,246,665.	11	440,595,650.	
	13 Investments - program-related. See Part IV, line 11 . . . . .	533,188,002.	12	627,270,000.	
	14 Intangible assets . . . . .	0.	13	0.	
	15 Other assets. See Part IV, line 11 . . . . .	0.	14	0.	
16 <b>Total assets.</b> Add lines 1 through 15 (must equal line 34) . . . . .	3,240,247,122.	15	3,049,904,049.		
Liabilities	17 Accounts payable and accrued expenses . . . . .	286,653,715.	17	272,134,174.	
	18 Grants payable . . . . .	0.	18	0.	
	19 Deferred revenue . . . . .	0.	19	0.	
	20 Tax-exempt bond liabilities . . . . .	54,760,000.	20	51,125,000.	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D . . . . .	0.	21	0.	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L . . . . .	0.	22	0.	
	23 Secured mortgages and notes payable to unrelated third parties . . . . .	4,851,848.	23	13,076,106.	
	24 Unsecured notes and loans payable to unrelated third parties . . . . .	552,100,000.	24	517,100,000.	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D . . . . .	759,729,635.	25	754,304,409.	
	26 <b>Total liabilities.</b> Add lines 17 through 25 . . . . .	1,658,095,198.	26	1,607,739,689.	
Net Assets or Fund Balances	<b>Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.</b>				
	27 Unrestricted net assets . . . . .	-46,450,794.	27	-5,468,828.	
	28 Temporarily restricted net assets . . . . .	768,546,038.	28	570,238,508.	
	29 Permanently restricted net assets . . . . .	860,056,680.	29	877,394,680.	
	<b>Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.</b>				
	30 Capital stock or trust principal, or current funds . . . . .		30		
	31 Paid-in or capital surplus, or land, building, or equipment fund . . . . .		31		
	32 Retained earnings, endowment, accumulated income, or other funds . . . . .		32		
33 <b>Total net assets or fund balances</b> . . . . .	1,582,151,924.	33	1,442,164,360.		
34 <b>Total liabilities and net assets/fund balances</b> . . . . .	3,240,247,122.	34	3,049,904,049.		

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI.

<b>1</b>	Total revenue (must equal Part VIII, column (A), line 12)	<b>1</b>	2,813,454,935.
<b>2</b>	Total expenses (must equal Part IX, column (A), line 25)	<b>2</b>	2,937,181,875.
<b>3</b>	Revenue less expenses. Subtract line 2 from line 1	<b>3</b>	-123,726,940.
<b>4</b>	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	<b>4</b>	1,582,151,924.
<b>5</b>	Net unrealized gains (losses) on investments	<b>5</b>	20,725,847.
<b>6</b>	Donated services and use of facilities	<b>6</b>	0.
<b>7</b>	Investment expenses	<b>7</b>	0.
<b>8</b>	Prior period adjustments	<b>8</b>	0.
<b>9</b>	Other changes in net assets or fund balances (explain in Schedule O)	<b>9</b>	-36,986,471.
<b>10</b>	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	<b>10</b>	1,442,164,360.

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

**1** Accounting method used to prepare the Form 990:  Cash  Accrual  Other \_\_\_\_\_  
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.

**2a** Were the organization's financial statements compiled or reviewed by an independent accountant? . . . . .  
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:  
 Separate basis  Consolidated basis  Both consolidated and separate basis

**b** Were the organization's financial statements audited by an independent accountant? . . . . .  
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:  
 Separate basis  Consolidated basis  Both consolidated and separate basis

**c** If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.

**3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? . . . . .

**b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

	Yes	No
<b>2a</b>		X
<b>2b</b>	X	
<b>2c</b>	X	
<b>3a</b>	X	
<b>3b</b>	X	

Form **990** (2018)

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

**Public Charity Status and Public Support**

OMB No. 1545-0047

**2018**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

<b>Name of the organization</b> AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS AND BRANCHES	<b>Employer identification number</b> 53-0196605
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**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1  A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2  A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ).)
- 3  A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4  A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8  A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9  An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: \_\_\_\_\_
- 10  An organization that normally receives: (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 11  An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 12  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
  - a  **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
  - b  **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
  - c  **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
  - d  **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
  - e  Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
  - f Enter the number of supported organizations . . . . .
  - g Provide the following information about the supported organization(s).

	(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
				Yes	No		
(A)							
(B)							
(C)							
(D)							
(E)							
<b>Total</b>							

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Table with 7 columns: (a) 2014, (b) 2015, (c) 2016, (d) 2017, (e) 2018, (f) Total. Rows include: 1 Gifts, grants, contributions, and membership fees received; 2 Tax revenues levied for the organization's benefit; 3 The value of services or facilities furnished by a governmental unit; 4 Total; 5 The portion of total contributions by each person; 6 Public support.

Section B. Total Support

Table with 7 columns: (a) 2014, (b) 2015, (c) 2016, (d) 2017, (e) 2018, (f) Total. Rows include: 7 Amounts from line 4; 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources; 9 Net income from unrelated business activities; 10 Other income; 11 Total support; 12 Gross receipts from related activities; 13 First five years.

Section C. Computation of Public Support Percentage

Table with 2 columns: Line number, Percentage. Rows include: 14 Public support percentage for 2018 (90.20%); 15 Public support percentage from 2017 Schedule A (90.62%); 16a 33 1/3% support test - 2018 (checked); 16b 33 1/3% support test - 2017; 17a 10%-facts-and-circumstances test - 2018; 17b 10%-facts-and-circumstances test - 2017; 18 Private foundation.

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Table with 7 columns: (a) 2014, (b) 2015, (c) 2016, (d) 2017, (e) 2018, (f) Total. Rows include: 1 Gifts, grants, contributions, and membership fees received; 2 Gross receipts from admissions, merchandise sold or services performed; 3 Gross receipts from activities that are not an unrelated trade or business under section 513; 4 Tax revenues levied for the organization's benefit; 5 The value of services or facilities furnished by a governmental unit; 6 Total. Add lines 1 through 5; 7a Amounts included on lines 1, 2, and 3 received from disqualified persons; 7b Amounts included on lines 2 and 3 received from other than disqualified persons; 7c Add lines 7a and 7b; 8 Public support. (Subtract line 7c from line 6.)

Section B. Total Support

Table with 7 columns: (a) 2014, (b) 2015, (c) 2016, (d) 2017, (e) 2018, (f) Total. Rows include: 9 Amounts from line 6; 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources; 10b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975; 10c Add lines 10a and 10b; 11 Net income from unrelated business activities not included in line 10b; 12 Other income. Do not include gain or loss from the sale of capital assets; 13 Total support. (Add lines 9, 10c, 11, and 12.); 14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here.

Section C. Computation of Public Support Percentage

Table with 2 columns: Line number, Percentage. Row 15: Public support percentage for 2018 (line 8, column (f), divided by line 13, column (f)) 15 %; Row 16: Public support percentage from 2017 Schedule A, Part III, line 15 16 %

Section D. Computation of Investment Income Percentage

Table with 2 columns: Line number, Percentage. Row 17: Investment income percentage for 2018 (line 10c, column (f), divided by line 13, column (f)) 17 %; Row 18: Investment income percentage from 2017 Schedule A, Part III, line 17 18 %

19a 33 1/3% support tests - 2018. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization.

19b 33 1/3% support tests - 2017. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization.

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions.

**Part IV Supporting Organizations**

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

		Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i>		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
b	<b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c	<b>Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
b	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
c	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer 10b below.</i>		
b	Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>		

**Part IV Supporting Organizations (continued)**

		Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?		
a	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?	11a	
b	A family member of a person described in (a) above?	11b	
c	A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.	11c	

**Section B. Type I Supporting Organizations**

		Yes	No
1	Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.	1	
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.	2	

**Section C. Type II Supporting Organizations**

		Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).	1	

**Section D. All Type III Supporting Organizations**

		Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?	1	
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).	2	
3	By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.	3	

**Section E. Type III Functionally Integrated Supporting Organizations**

1	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).			
a	<input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.			
b	<input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.			
c	<input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).			
2	Activities Test. Answer (a) and (b) below.			
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.	2a		
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.	2b		
3	Parent of Supported Organizations. Answer (a) and (b) below.			
a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.	3a		
b	Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.	3b		

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

**1**  Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

<b>Section A - Adjusted Net Income</b>		(A) Prior Year	(B) Current Year (optional)
<b>1</b> Net short-term capital gain	<b>1</b>		
<b>2</b> Recoveries of prior-year distributions	<b>2</b>		
<b>3</b> Other gross income (see instructions)	<b>3</b>		
<b>4</b> Add lines 1 through 3.	<b>4</b>		
<b>5</b> Depreciation and depletion	<b>5</b>		
<b>6</b> Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	<b>6</b>		
<b>7</b> Other expenses (see instructions)	<b>7</b>		
<b>8 Adjusted Net Income</b> (subtract lines 5, 6, and 7 from line 4)	<b>8</b>		

<b>Section B - Minimum Asset Amount</b>		(A) Prior Year	(B) Current Year (optional)
<b>1</b> Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):			
<b>a</b> Average monthly value of securities	<b>1a</b>		
<b>b</b> Average monthly cash balances	<b>1b</b>		
<b>c</b> Fair market value of other non-exempt-use assets	<b>1c</b>		
<b>d Total</b> (add lines 1a, 1b, and 1c)	<b>1d</b>		
<b>e Discount</b> claimed for blockage or other factors (explain in detail in Part VI):			
<b>2</b> Acquisition indebtedness applicable to non-exempt-use assets	<b>2</b>		
<b>3</b> Subtract line 2 from line 1d.	<b>3</b>		
<b>4</b> Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	<b>4</b>		
<b>5</b> Net value of non-exempt-use assets (subtract line 4 from line 3)	<b>5</b>		
<b>6</b> Multiply line 5 by .035.	<b>6</b>		
<b>7</b> Recoveries of prior-year distributions	<b>7</b>		
<b>8 Minimum Asset Amount</b> (add line 7 to line 6)	<b>8</b>		

<b>Section C - Distributable Amount</b>			Current Year
<b>1</b> Adjusted net income for prior year (from Section A, line 8, Column A)	<b>1</b>		
<b>2</b> Enter 85% of line 1.	<b>2</b>		
<b>3</b> Minimum asset amount for prior year (from Section B, line 8, Column A)	<b>3</b>		
<b>4</b> Enter greater of line 2 or line 3.	<b>4</b>		
<b>5</b> Income tax imposed in prior year	<b>5</b>		
<b>6 Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).	<b>6</b>		

**7**  Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)**

Section D - Distributions		Current Year
1	Amounts paid to supported organizations to accomplish exempt purposes	
2	Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3	Administrative expenses paid to accomplish exempt purposes of supported organizations	
4	Amounts paid to acquire exempt-use assets	
5	Qualified set-aside amounts (prior IRS approval required)	
6	Other distributions (describe in Part VI). See instructions.	
7	<b>Total annual distributions.</b> Add lines 1 through 6.	
8	Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.	
9	Distributable amount for 2018 from Section C, line 6	
10	Line 8 amount divided by line 9 amount	

Section E - Distribution Allocations (see instructions)		(i) Excess Distributions	(ii) Underdistributions Pre-2018	(iii) Distributable Amount for 2018
1	Distributable amount for 2018 from Section C, line 6			
2	Underdistributions, if any, for years prior to 2018 (reasonable cause required - explain in Part VI). See instructions.			
3	Excess distributions carryover, if any, to 2018			
a	From 2013 . . . . .			
b	From 2014 . . . . .			
c	From 2015 . . . . .			
d	From 2016 . . . . .			
e	From 2017 . . . . .			
f	<b>Total of lines 3a through e</b>			
g	Applied to underdistributions of prior years			
h	Applied to 2018 distributable amount			
i	Carryover from 2013 not applied (see instructions)			
j	Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4	Distributions for 2018 from Section D, line 7: \$			
a	Applied to underdistributions of prior years			
b	Applied to 2018 distributable amount			
c	Remainder. Subtract lines 4a and 4b from 4.			
5	Remaining underdistributions for years prior to 2018, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions.			
6	Remaining underdistributions for 2018. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions.			
7	<b>Excess distributions carryover to 2019.</b> Add lines 3j and 4c.			
8	Breakdown of line 7:			
a	Excess from 2014 . . . . .			
b	Excess from 2015 . . . . .			
c	Excess from 2016 . . . . .			
d	Excess from 2017 . . . . .			
e	Excess from 2018 . . . . .			

Schedule A (Form 990 or 990-EZ) 2018

**Part VI** **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

ATTACHMENT 1

SCHEDULE A, PART II - OTHER INCOME

DESCRIPTION	2014	2015	2016	2017	2018	TOTAL
SPECIAL EVENT & GAMING REVENUE	11,483,427.	4,852,030.	5,372,243.	4,953,228.	4,572,337.	31,233,265.
<b>TOTALS</b>	<u>11,483,427.</u>	<u>4,852,030.</u>	<u>5,372,243.</u>	<u>4,953,228.</u>	<u>4,572,337.</u>	<u>31,233,265.</u>

**Schedule of Contributors**

**2018**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
 ▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

Name of the organization AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS AND BRANCHES	Employer identification number 53-0196605
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Organization type (check one):

**Filers of:**

**Section:**

Form 990 or 990-EZ

501(c)(3) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization <b>AMERICAN NATIONAL RED CROSS &amp; ITS CONSTITUENT CHAPTERS AND BRANCHES</b>	Employer identification number <b>53-0196605</b>
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**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	<hr/> <hr/> <hr/> <hr/>	\$ <u>25,381,137.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	<hr/> <hr/> <hr/> <hr/>	\$ <u>19,834,810.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
	<hr/> <hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
	<hr/> <hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
	<hr/> <hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
	<hr/> <hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
	<hr/> <hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS AND BRANCHES**

Employer identification number  
**53-0196605**

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____

Name of organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS AND BRANCHES**

Employer identification number  
53-0196605

**Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

**(e) Transfer of gift**

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
_____	_____
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

**(e) Transfer of gift**

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
_____	_____
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

**(e) Transfer of gift**

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
_____	_____
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

**(e) Transfer of gift**

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
_____	_____
_____	_____
_____	_____

**SCHEDULE C**  
**(Form 990 or 990-EZ)**

**Political Campaign and Lobbying Activities**

OMB No. 1545-0047

**2018**

**Open to Public Inspection**

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**  
▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.**

Department of the Treasury  
Internal Revenue Service

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization <b>AMERICAN NATIONAL RED CROSS &amp; ITS CONSTITUENT</b>	Employer identification number
CHAPTERS AND BRANCHES	53-0196605

**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV. (see instructions for definition of "political campaign activities")
- 2 Political campaign activity expenditures (see instructions) . . . . . ▶ \$ \_\_\_\_\_
- 3 Volunteer hours for political campaign activities (see instructions) . . . . . \_\_\_\_\_

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

- 1 Enter the amount of any excise tax incurred by the organization under section 4955. . . . . ▶ \$ \_\_\_\_\_
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 . . . ▶ \$ \_\_\_\_\_
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? . . . . .  Yes  No
- 4a Was a correction made? . . . . .  Yes  No
- b If "Yes," describe in Part IV.

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities. . . . . ▶ \$ \_\_\_\_\_
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities. . . . . ▶ \$ \_\_\_\_\_
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b . . . . . ▶ \$ \_\_\_\_\_
- 4 Did the filing organization file Form 1120-POL for this year? . . . . .  Yes  No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2018

**Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

**A** Check  if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

**B** Check  if the filing organization checked box A and "limited control" provisions apply.

<b>Limits on Lobbying Expenditures</b> (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals
<b>1a</b> Total lobbying expenditures to influence public opinion (grass roots lobbying) . . . . .			
<b>b</b> Total lobbying expenditures to influence a legislative body (direct lobbying) . . . . .			
<b>c</b> Total lobbying expenditures (add lines 1a and 1b) . . . . .			
<b>d</b> Other exempt purpose expenditures . . . . .			
<b>e</b> Total exempt purpose expenditures (add lines 1c and 1d) . . . . .			
<b>f</b> Lobbying nontaxable amount. Enter the amount from the following table in both columns.			
<b>If the amount on line 1e, column (a) or (b) is:</b>		<b>The lobbying nontaxable amount is:</b>	
Not over \$500,000		20% of the amount on line 1e.	
Over \$500,000 but not over \$1,000,000		\$100,000 plus 15% of the excess over \$500,000.	
Over \$1,000,000 but not over \$1,500,000		\$175,000 plus 10% of the excess over \$1,000,000.	
Over \$1,500,000 but not over \$17,000,000		\$225,000 plus 5% of the excess over \$1,500,000.	
Over \$17,000,000		\$1,000,000.	
<b>g</b> Grassroots nontaxable amount (enter 25% of line 1f) . . . . .			
<b>h</b> Subtract line 1g from line 1a. If zero or less, enter -0- . . . . .			
<b>i</b> Subtract line 1f from line 1c. If zero or less, enter -0- . . . . .			
<b>j</b> If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? . . . . .			<input type="checkbox"/> Yes <input type="checkbox"/> No

**4-Year Averaging Period Under Section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below.

See the separate instructions for lines 2a through 2f.)

<b>Lobbying Expenditures During 4-Year Averaging Period</b>					
Calendar year (or fiscal year beginning in)	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) Total
<b>2a</b> Lobbying nontaxable amount					
<b>b</b> Lobbying ceiling amount (150% of line 2a, column (e))					
<b>c</b> Total lobbying expenditures					
<b>d</b> Grassroots nontaxable amount					
<b>e</b> Grassroots ceiling amount (150% of line 2d, column (e))					
<b>f</b> Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

Table with 3 main columns: (a) Yes/No, (b) Amount. Rows include: 1 During the year, did the filing organization attempt to influence foreign, national, state, or local legislation...; a Volunteers?; b Paid staff or management...; c Media advertisements?; d Mailings to members...; e Publications...; f Grants to other organizations...; g Direct contact with legislators...; h Rallies, demonstrations...; i Other activities?; j Total...; 2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?; b If "Yes," enter the amount of any tax incurred under section 4912; c If "Yes," enter the amount of any tax incurred by organization managers under section 4912; d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

Table with 3 columns: Question, Yes, No. Rows include: 1 Were substantially all (90% or more) dues received nondeductible by members?; 2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?; 3 Did the organization agree to carry over lobbying and political campaign activity expenditures from the prior year?

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."

Table with 3 columns: Question, Yes, No. Rows include: 1 Dues, assessments and similar amounts from members; 2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid); a Current year; b Carryover from last year; c Total; 3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues; 4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?; 5 Taxable amount of lobbying and political expenditures (see instructions)

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.

SEE PAGE 4

Blank lines for supplemental information.

**Part IV** Supplemental Information (continued)

## PART II-B LOBBYING ACTIVITY

THE AMERICAN NATIONAL RED CROSS DOES NOT CONTRIBUTE TO OR PARTICIPATE IN ELECTION CAMPAIGNS. IT DOES NOT ENDORSE CANDIDATES FOR ELECTIVE OFFICE, NOR DOES IT PUBLISH OR DISTRIBUTE INFORMATION THAT DIRECTLY OR INDIRECTLY ENDORSES OR OPPOSES A CANDIDATE.

THE AMERICAN NATIONAL RED CROSS PARTICIPATES IN LOBBYING AND OTHER PUBLIC POLICY ADVOCACY ACTIVITIES AT THE FEDERAL AND STATE LEVELS (WITHIN THE LIMITS SET BY IRS REGULATIONS) ON ISSUES THAT ARE RELATED TO THE ORGANIZATION'S MISSION INCLUDING: BIOMEDICAL SERVICES; HOMELAND SECURITY, AND ALL HAZARDS PREPAREDNESS AND RESPONSE; PUBLIC HEALTH AND SAFETY; EMERGENCY COMMUNICATION SERVICES TO THE ARMED FORCES; INTERNATIONAL SERVICES; AND THE REGULATION OF NONPROFIT ORGANIZATIONS. THESE ACTIVITIES INCLUDE PREPARING AND PRESENTING WRITTEN AND ORAL TESTIMONY AT LEGISLATIVE HEARINGS AT THE FEDERAL AND STATE LEVELS; COMMUNICATING WITH POLICYMAKERS AND THEIR STAFFS THROUGH MEETINGS AND BRIEFINGS, AND ISSUING PUBLIC STATEMENTS RELATED TO PENDING LEGISLATION AND REGULATION.

SCHEDULE D (Form 990)

Supplemental Financial Statements

Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2018

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Name of the organization AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS AND BRANCHES

Employer identification number 53-0196605

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

Table with 2 columns: (a) Donor advised funds, (b) Funds and other accounts. Rows include total number at end of year, aggregate value of contributions, grants, and end of year, and two questions about donor advisement.

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

Table with 2 columns: Description, Held at the End of the Tax Year. Rows include purpose(s) of conservation easements, total number, acreage, and number of easements on historic structures, and questions about monitoring and reporting.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

Table with 2 columns: Description, Amount. Rows include questions about reporting art, historical treasures, or other similar assets held for public exhibition, education, or research.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2018

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a Public exhibition
b Scholarly research
c Preservation for future generations
d Loan or exchange programs
e Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

b If "Yes," explain the arrangement in Part XIII and complete the following table:

Table with columns for Amount and rows for 1c Beginning balance, 1d Additions during the year, 1e Distributions during the year, 1f Ending balance.

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

Part V Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

Table with columns (a) Current year, (b) Prior year, (c) Two years back, (d) Three years back, (e) Four years back and rows for 1a-1g.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment %
b Permanent endowment 100.0000 %
c Temporarily restricted endowment %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

Table with columns Yes, No and rows 3a(i) unrelated organizations, 3a(ii) related organizations.

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Table with columns (a) Cost or other basis (investment), (b) Cost or other basis (other), (c) Accumulated depreciation, (d) Book value and rows for 1a-1e and Total.

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives . . . . .		
(2) Closely-held equity interests . . . . .		
(3) Other		
(A) ALTERNATIVE INVESTMENTS	627,270,000.	FMV
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 12.) ▶	627,270,000.	

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

**Part IX Other Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 15.) . . . . . ▶	

**Part X Other Liabilities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) PENSION AND POST-RETIREMENT BE	498,985,349.
(3) INSURANCE (LOSS RESERVES & CLA	88,800,593.
(4) SPLIT INTEREST AGREEMENT LIABI	31,000,000.
(5) SECURITIZATION & MISC LIABILIT	135,518,467.
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	754,304,409.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII



**Part XIII** Supplemental Information (continued)

SCHEDULE D, PART III, LINE 1A

THE AMERICAN RED CROSS ELECTED NOT TO INCLUDE THE VALUE OF THE ART ON THE BALANCE SHEET UNDER FASB 116.

SCHEDULE D, PART V

ENDOWMENT FUNDS

IN ACCORDANCE WITH ITS CONGRESSIONAL CHARTER, THE AMERICAN NATIONAL RED CROSS HAS MAINTAINED AN ENDOWMENT FUND SINCE 1905 WHICH IS KEPT AND INVESTED UNDER THE MANAGEMENT AND CONTROL OF A BOARD OF TRUSTEES ELECTED BY THE BOARD OF GOVERNORS. THE BYLAWS OF THE ORGANIZATION STATE THAT WHENEVER A GIFT IS DESIGNATED BY THE DONOR TO BE PERMANENTLY RETAINED, THE GIFT SHALL BE RECEIVED AND HELD IN THE ENDOWMENT FUND. THE AMERICAN NATIONAL RED CROSS MAKES DISTRIBUTIONS FROM INCOME EARNED ON THE ENDOWMENT FUND FOR CURRENT OPERATIONS.

SCHEDULE D, PART X

OTHER LIABILITIES ASC 740 (FORMER FIN 48)

ON JULY 1, 2007, THE AMERICAN NATIONAL RED CROSS ADOPTED THE PROVISIONS OF ACCOUNTING STANDARDS CODIFICATION (ASC) TOPIC 740, ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES. ASC 740 REQUIRES THAT A TAX POSITION BE RECOGNIZED ON A 'MORE-LIKELY-THAN-NOT' THRESHOLD. THIS APPLIES TO POSITIONS TAKEN OR EXPECTED TO BE TAKEN IN A TAX RETURN. AMERICAN NATIONAL RED CROSS ANNUALLY REVIEWS ITS TAX POSITIONS AND HAS DETERMINED THAT THERE ARE NO MATERIAL UNCERTAIN TAX POSITIONS THAT REQUIRE RECOGNITION IN THE CONSOLIDATED FINANCIAL STATEMENTS.

**SCHEDULE F  
(Form 990)**

**Statement of Activities Outside the United States**

OMB No. 1545-0047

**2018**

**Open to Public Inspection**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.  
▶ Attach to Form 990.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

Department of the Treasury  
Internal Revenue Service

Name of the organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS AND BRANCHES**

Employer identification number  
**53-0196605**

**Part I** **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  **Yes**  **No**

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

**3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
<b>(1)</b> CENTRAL AMERICA/CARIBBEAN	2.	6.	PROGRAM SERVICES	DISASTER RESPONSE	10,911,888.
<b>(2)</b> EAST ASIA AND THE PACIFIC	6.	12.	PROGRAM SERVICES	DISASTER RESPONSE	15,322,173.
<b>(3)</b> EUROPE	1.	0.	PROGRAM SERVICES	DISASTER RESPONSE	267,907.
<b>(4)</b> MIDDLE EAST AND NORTH AFRICA	0.	0.	PROGRAM SERVICES	DISASTER RESPONSE	6,606.
<b>(5)</b> NORTH AMERICA	0.	0.	PROGRAM SERVICES	DISASTER RESPONSE	844,518.
<b>(6)</b> SOUTH AMERICA	0.	0.	PROGRAM SERVICES	DISASTER RESPONSE	105,451.
<b>(7)</b> SOUTH ASIA	2.	7.	PROGRAM SERVICES	DISASTER RESPONSE	6,990,257.
<b>(8)</b> SUB-SAHARAN AFRICA	1.	1.	PROGRAM SERVICES	DISASTER RESPONSE	3,399,447.
<b>(9)</b> EUROPE	0.	0.	INVESTMENTS		4,544,040.
<b>(10)</b> CENTRAL AMERICA/CARIBBEAN	0.	0.	PROGRAM SERVICES	INSURANCE	41,450,143.
<b>(11)</b>					
<b>(12)</b>					
<b>(13)</b>					
<b>(14)</b>					
<b>(15)</b>					
<b>(16)</b>					
<b>(17)</b>					
<b>3a</b> Subtotal . . . . .	12.	26.			83,842,430.
<b>b</b> Total from continuation sheets to Part I . . . . .					
<b>c</b> Totals (add lines 3a and 3b)	12.	26.			83,842,430.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2018

**Part II Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			EUROPE/ICELAND/GREENLAND	DISASTER RES	13,920,150.	WIRE			
(2)			EUROPE/ICELAND/GREENLAND	DISASTER RES	75,000.	WIRE			
(3)			EUROPE/ICELAND/GREENLAND	DISASTER RES	125,000.	WIRE			
(4)			SOUTH ASIA	SHELTER/REBU	3,969,759.	WIRE			
(5)			SUB-SAHARAN AFRICA	MEASLES	11,313.	WIRE			
(6)			SUB-SAHARAN AFRICA	DISASTER RES	44,786.	WIRE			
(7)			CENT. AMERICA/CARIBBEAN	MEASLES	175,000.	WIRE			
(8)			CENT. AMERICA/CARIBBEAN	DISASTER RES	16,243.	WIRE			
(9)			CENT. AMERICA/CARIBBEAN	DISASTER RES	3,960,854.	WIRE			
(10)			NORTH AMERICA	DISASTER PRE	10,000.	WIRE			
(11)			NORTH AMERICA	DISASTER PRE	201,734.	WIRE			
(12)			NORTH AMERICA	DISASTER PRE	62,500.	WIRE			
(13)			NORTH AMERICA	DISEASE CONT	50,000.	WIRE			
(14)									
(15)									
(16)									

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter

13.

3 Enter total number of other organizations or entities

**Part III** Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

**Part IV Foreign Forms**

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* . . . . .  Yes  No
  
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)*, . . . . .  Yes  No
  
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471)* . . . . .  Yes  No
  
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* . . . . .  Yes  No
  
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* . . . . .  Yes  No
  
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990)* . . . . .  Yes  No

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

SCHEDULE F, PART I, LINE 2

PROCEDURES FOR MONITORING THE USE OF GRANT FUNDS OUTSIDE THE U.S.: THE INTERNATIONAL SERVICES DEPARTMENT OF THE AMERICAN RED CROSS HAS ESTABLISHED STANDARD OPERATING PROCEDURES THAT INCLUDE DUE DILIGENCE PRIOR TO AWARDING FUNDS TO A SUB-RECIPIENT. THIS DUE DILIGENCE IS A REVIEW OF THE PROGRAMMATIC, STRUCTURAL, AND FINANCIAL HEALTH OF THE ORGANIZATION AND AN ANALYSIS OF THE RISK LEVEL OF AWARDING FUNDS. THIS RISK LEVEL DICTATES PROCEDURES TO MITIGATE THOSE RISKS, INCLUDING THE LEVEL OF DETAIL AND TIMING OF FINANCIAL AND NARRATIVE REPORTS, DURING THE TERM OF THE AWARD. THESE FINANCIAL AND NARRATIVE REPORTS ARE REVIEWED AND, IN CONJUNCTION WITH ANY OTHER IDENTIFIED MITIGATING ACTIVITIES, A DECISION IS MADE TO "ACCEPT" THE REPORTS AND WHETHER TO CONTINUE PROVIDING FUNDS FOR THE AWARD. AT THE END OF THE AWARD TERM, A FINAL REVIEW OF PROGRAMMATIC AND FINANCIAL REQUIREMENTS IS PERFORMED TO ENSURE THE SUB-RECIPIENT MET ITS OBLIGATIONS UNDER THE AWARD. THIS REVIEW FEEDS INTO FUTURE AWARD DECISIONS WITH THE SUB-RECIPIENT.

**SCHEDULE G**  
**(Form 990 or 990-EZ)**

**Supplemental Information Regarding Fundraising or Gaming Activities**

OMB No. 1545-0047

Complete if the organization answered "Yes" on Form 990, Part IV, line 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

**2018**

Department of the Treasury  
Internal Revenue Service

▶ Attach to Form 990 or Form 990-EZ.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest instructions.

**Open to Public Inspection**

Name of the organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS AND BRANCHES**

Employer identification number  
**53-0196605**

**Part I Fundraising Activities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- a  Mail solicitations
  - b  Internet and email solicitations
  - c  Phone solicitations
  - d  In-person solicitations
  - e  Solicitation of non-government grants
  - f  Solicitation of government grants
  - g  Special fundraising events
- 2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees, or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?  Yes  No
- b If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

	(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
			Yes	No			
1	ATTACHMENT 1						
2							
3							
4							
5							
6							
7							
8							
9							
10							
<b>Total</b>					1,189,071.	247,242.	941,829.

- 3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

Table with 5 columns: Revenue, Direct Expenses, (a) Event #1, (b) Event #2, (c) Other events, (d) Total events. Rows include Gross receipts, Less: Contributions, Cash prizes, Noncash prizes, Rent/facility costs, Food and beverages, Entertainment, and Other direct expenses.

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

Table with 5 columns: Revenue, Direct Expenses, (a) Bingo, (b) Pull tabs/instant bingo/progressive bingo, (c) Other gaming, (d) Total gaming. Rows include Gross revenue, Cash prizes, Noncash prizes, Rent/facility costs, Other direct expenses, Volunteer labor, and Direct expense summary.

9 Enter the state(s) in which the organization conducts gaming activities:
a Is the organization licensed to conduct gaming activities in each of these states?
b If "No," explain:

10a Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year?
b If "Yes," explain:

- 11 Does the organization conduct gaming activities with nonmembers?  Yes  No
- 12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?  Yes  No
- 13 Indicate the percentage of gaming activity conducted in:
 

a	The organization's facility	13a	%
b	An outside facility	13b	%
- 14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ -----

Address ▶ -----

- 15 a Does the organization have a contract with a third party from whom the organization receives gaming revenue?  Yes  No
- b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ▶ \$ \_\_\_\_\_.
- c If "Yes," enter name and address of the third party:

Name ▶ -----

Address ▶ -----

16 Gaming manager information:

Name ▶ -----

Gaming manager compensation ▶ \$ \_\_\_\_\_

Description of services provided ▶ -----

- Director/officer
- Employee
- Independent contractor

17 Mandatory distributions:

- a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?  Yes  No
- b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ \_\_\_\_\_

**Part IV Supplemental Information.** Provide the explanation required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

PART I, LINE 3

THE AMERICAN NATIONAL RED CROSS WAS CHARTERED BY SPECIAL ACT OF CONGRESS  
 ON JANUARY 5, 1905, AND IS A FEDERAL INSTRUMENTALITY OF THE UNITED  
 STATES. SEE 36 U.S.C. §§ 300101-300111. AS A FEDERAL INSTRUMENTALITY, IT  
 IS EXEMPT FROM STATE LAW CHARITABLE REGISTRATION AND LICENSING  
 REQUIREMENTS. SEE DEPARTMENT OF EMPLOYMENT V. UNITED STATES, 385 U.S. 355  
 (1966).

ATTACHMENT 1

990, SCHEDULE G, PART I - HIGHEST PAID FUNDRAISER

NAME AND ADDRESS OF  
FUNDRAISER

DID FUNDRAISER HAVE  
CUSTODY OR CONTROL  
OF CONTRIBUTIONS?  
YES NO

GROSS RECEIPTS  
FROM ACTIVITY

AMOUNT PAID TO  
(OR RETAINED BY  
FUNDRAISER

AMOUNT PAID TO  
(OR RETAINED BY  
ORGANIZATION

DONOR SERVICES GROUP, LLC

PHONE/EMAIL  
SOLICIT

6715 SUNSET BLVD  
LOS ANGELES  
CA 90028

X

1,189,071.

247,242.

941,829.



**Part III** Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1 DISASTER RELIEF PAYMENTS AND EMERGENCIES		362,613,528.			
2					
3					
4					
5					
6					
7					

**Part IV** Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

SCHEDULE I, PART I, LINE 2

MONITORING GRANTS

AMERICAN NATIONAL RED CROSS RESPONDS TO MORE THAN 60,000 DISASTERS LARGE

AND SMALL PER YEAR. DISASTER RESPONSE AT THE AMERICAN RED CROSS HAS

ESTABLISHED PROCEDURES FOR PROVIDING FINANCIAL AND MATERIAL ASSISTANCE TO

CLIENTS. DURING THE EMERGENCY PHASE, THE RED CROSS PROVIDES ASSISTANCE IN

THE FORM OF MASS CARE (E.G., FEEDING AND SHELTERING) BASED ON NEEDS. AS

WE MOVE TOWARDS THE RECOVERY PHASE, THE RED CROSS PROVIDES INDIVIDUAL

ASSISTANCE BASED ON VERIFIED NEED AND IDENTIFICATION THROUGH CASE

MANAGEMENT. THE AMERICAN RED CROSS PLACES CONTROL PROCEDURES AROUND

**Part III** Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1					
2					
3					
4					
5					
6					
7					

**Part IV** Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

MONITORING THE USE OF FINANCIAL ASSISTANCE IN THE UNITED STATES. DURING THE RECOVERY PHASE, THE RED CROSS PARTNERS WITH OTHER ORGANIZATIONS TO SUPPORT THE COMMUNITY. ADDITIONALLY THE AMERICAN RED CROSS CONDUCTS DISASTER PREPAREDNESS PROGRAMS INCLUDING THE INSTALLATION OF SMOKE ALARMS AND YOUTH PREPAREDNESS EDUCATION.

**Part III** Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

	(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1						
2						
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5						
6						
7						

**Part IV** Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

SCHEDULE I, PART II

GRANTS AND ASSISTANCE TO OTHER DOMESTIC ORGANIZATIONS

THE AMERICAN RED CROSS DOES GIVE MONEY TO OTHER DOMESTIC ORGANIZATIONS IN

ORDER TO LEVERAGE OTHER ORGANIZATIONS' EXPERTISE OR ACCESS

INDIVIDUALS/CLIENTS WHO NEED OUR ASSISTANCE, AND, AT TIMES, AS A VEHICLE

TO PROVIDE ASSISTANCE TO INDIVIDUAL VICTIMS OF DOMESTIC

DISASTERS/EMERGENCIES. THE AMOUNTS GIVEN TO OTHER ORGANIZATIONS ARE

INCLUDED AND DISCLOSED WITHIN THE GRANTS OR OTHER ASSISTANCE TO OR FOR

DOMESTIC INDIVIDUALS IN SCHEDULE I, PART III. RED CROSS GRANTS AND OTHER

ASSISTANCE FOR THE VICTIMS OF DOMESTIC DISASTERS AND EMERGENCIES ARE

**Part III** Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

	(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1						
2						
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**Part IV** Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

PREDOMINANTLY GIVEN IN THE FORM OF DIRECT ASSISTANCE TO INDIVIDUALS BY

THE RED CROSS VIA ITS OWN ESTABLISHED DISTRIBUTION CHANNELS.

SCHEDULE I, PART IV

DISBURSEMENT IN FURTHERANCE OF CHARITABLE PROGRAMS AND GRANTS

PURSUANT TO THE CONGRESSIONAL CHARTER OF THE AMERICAN NATIONAL RED CROSS

36 U.S.C. 3 FIFTH), THE ORGANIZATION CARRIES OUT A SYSTEM OF NATIONAL AND

INTERNATIONAL RELIEF TO MITIGATE OR PREVENT SUFFERING CAUSED BY

DISASTERS. DISASTER VICTIMS QUALIFY TO RECEIVE SUCH ASSISTANCE BASED ON

EITHER OBVIOUS CIRCUMSTANCES, SUCH AS APPARENT NEED FOR FOOD, CLOTHING OR

**Part III** Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1					
2					
3					
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**Part IV** Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

SHELTER, OR A CASEWORK PROCESS IN WHICH THE NATURE AND EXTENT OF THE DISASTER-CAUSED NEEDS FOR RED CROSS AID ARE DETERMINED IN THE LIGHT OF OTHER AVAILABLE RESOURCES. CONTRIBUTIONS TO OTHER ORGANIZATIONS CONSIST PRIMARILY OF THOSE MADE TO THE INTERNATIONAL COMMITTEE OF THE RED CROSS, THE INTERNATIONAL FEDERATION OF RED CROSS AND RED CRESCENT SOCIETIES AND NATIONAL RED CROSS SOCIETIES OF OTHER COUNTRIES. CONTRIBUTIONS MAY BE MADE FOR A VARIETY OF PURPOSES, INCLUDING REGULAR FINANCIAL SUPPORT AND DISASTER RELIEF ASSISTANCE. THE AMERICAN RED CROSS HAS ONGOING RELATIONSHIPS WITH ALL SUCH RED CROSS ORGANIZATIONS WHICH ARE GOVERNED BY HUMANITARIAN PRINCIPLES AND QUALIFY FOR SUCH ASSISTANCE. DURING DOMESTIC

**Part III** Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

	(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1						
2						
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7						

**Part IV** Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

AND INTERNATIONAL DISASTERS, THE AMERICAN RED CROSS WORKS CLOSELY WITH OTHER ORGANIZATIONS INCLUDING GOVERNMENT, NON-GOVERNMENT NON-PROFIT ORGANIZATIONS, AND CORPORATIONS. THE AMERICAN RED CROSS MAY WRITE GRANTS TO NON-PROFIT ORGANIZATIONS DURING LARGE DISASTERS THROUGH A SYSTEMATIC PROCESS. PURSUANT TO ITS CONGRESSIONAL CHARTER (36 U.S.C. 3 FOURTH), THE AMERICAN NATIONAL RED CROSS ALSO ACTS IN MATTERS OF VOLUNTARY RELIEF AND IN ACCORD WITH THE MILITARY AUTHORITIES TO PROVIDE COMMUNICATIONS AND WELFARE ASSISTANCE TO MEMBERS OF THE ARMED FORCES OF THE UNITED STATES, THEIR FAMILIES AND VETERANS. ASSISTANCE TO THIS GROUP IS DETERMINED GENERALLY ON THE BASIS OF THEIR MILITARY, VETERAN OR DEPENDENT STATUS AND

**Part III** Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1					
2					
3					
4					
5					
6					
7					

**Part IV** Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

THE PARTICULAR NEEDS RELATED THERETO AS REVEALED THROUGH CASEWORK AND SIMILAR MEANS.

**SCHEDULE J  
(Form 990)**

**Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

▶ Attach to Form 990.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2018**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

Name of the organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS AND BRANCHES**

Employer identification number  
**53-0196605**

**Part I Questions Regarding Compensation**

**1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- |  |  |
|--|--|
| <input type="checkbox"/> First-class or charter travel             | <input type="checkbox"/> Housing allowance or residence for personal use   |
| <input type="checkbox"/> Travel for companions                     | <input type="checkbox"/> Payments for business use of personal residence   |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees     |
| <input type="checkbox"/> Discretionary spending account            | <input type="checkbox"/> Personal services (such as maid, chauffeur, chef) |

**b** If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain . . . . .

**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a? . . . . .

**3** Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee              | <input checked="" type="checkbox"/> Written employment contract                     |
| <input checked="" type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study                    |
| <input checked="" type="checkbox"/> Form 990 of other organizations     | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

**4** During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment? . . . . .
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan? . . . . .
- c** Participate in, or receive payment from, an equity-based compensation arrangement? . . . . .
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

**Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.**

**5** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization? . . . . .
- b** Any related organization? . . . . .
- If "Yes" on line 5a or 5b, describe in Part III.

**6** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization? . . . . .
- b** Any related organization? . . . . .
- If "Yes" on line 6a or 6b, describe in Part III.

**7** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III. . . . .

**8** Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III . . . . .

**9** If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)? . . . . .

	Yes	No
<b>1a</b>		
<b>1b</b>		
<b>2</b>		
<b>3</b>		
<b>4a</b>	X	
<b>4b</b>		X
<b>4c</b>		X
<b>5a</b>		X
<b>5b</b>		X
<b>6a</b>		X
<b>6b</b>		X
<b>7</b>	X	
<b>8</b>	X	
<b>9</b>	X	

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2018

**Part III Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

**Note:** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation						(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation							
<b>1</b> GAIL MCGOVERN PRESIDENT & CEO	(i)	572,839.	119,000.	4,694.	11,000.	1,631.	709,164.			
	(ii)	0.	0.	0.						
<b>2</b> BRIAN RHOA CHIEF FINANCIAL OFFICER	(i)	405,086.	57,263.	20,466.	11,000.	15,410.	509,225.			
	(ii)	0.	0.	0.						
<b>3</b> DAVID MELTZER GEN COUNSEL & CHIEF INTL OFFICER	(i)	43,204.	0.	209,511.	2,687.	134.	255,536.			
	(ii)	0.	0.	0.						
<b>4</b> JENNIFER HAWKINS CORP SEC & CHIEF OF STAFF	(i)	215,851.	0.	290.	8,387.	6,287.	230,815.			
	(ii)	0.	0.	0.						
<b>5</b> MELISSA HURST CHIEF HUMAN RESOURCES OFFICER	(i)	306,129.	43,806.	19,008.	11,000.	15,281.	395,224.			
	(ii)	0.	0.	0.						
<b>6</b> CLIFFORD HOLTZ CHIEF OPERATING OFFICER	(i)	531,246.	140,140.	2,322.	11,000.	15,707.	700,415.			
	(ii)	0.	0.	0.						
<b>7</b> SHAUN GILMORE CHIEF TRANSFORMATION OFFICER	(i)	491,534.	131,686.	3,738.	11,000.	13,280.	651,238.			
	(ii)	0.	0.	0.						
<b>8</b> JAMES C. HROUDA PRESIDENT, BIOMEDICAL SERVICES	(i)	511,136.	105,197.	1,266.	11,000.	13,543.	642,142.			
	(ii)	0.	0.	0.						
<b>9</b> NEAL LITVACK CHIEF MARKETING OFFICER	(i)	318,425.	42,382.	2,146.	11,000.	8,583.	382,536.			
	(ii)	0.	0.	0.						
<b>10</b> RONNIE STRICKLAND CHIEF INFORMATION OFFICER	(i)	293,588.	76,227.	1,228.	11,000.	15,841.	397,884.			
	(ii)	0.	0.	0.						
<b>11</b> JOHN MCMASTER PRESIDENT, PHSS	(i)	340,707.	71,468.	2,355.	8,379.	18,504.	441,413.			
	(ii)	0.	0.	0.						
<b>12</b> DON HERRING CHIEF DEVELOPMENT OFFICER	(i)	330,000.	82,192.	1,445.	10,661.	1,594.	425,892.			
	(ii)	0.	0.	0.						
<b>13</b> SHERRI BROWN PRESIDENT, HUMANITARIAN SERVICE	(i)	314,143.	75,026.	481.	11,000.	6,809.	407,459.			
	(ii)	0.	0.	0.						
<b>14</b> JULIO DELGADO ANALYST V. INVESTMENT	(i)	298,492.	121,203.	690.	10,035.	8,582.	439,002.			
	(ii)	0.	0.	0.						
<b>15</b> HARVEY JOHNSON PRESIDENT, HUMANITARIAN SERVICE	(i)	255,609.	0.	3,063.	8,526.	1,301.	268,499.			
	(ii)	0.	0.	0.						
<b>16</b> PAUL SULLIVAN SVP, COLLECTIONS	(i)	336,683.	70,326.	522.	11,000.	20,390.	438,921.			
	(ii)	0.	0.	0.						

Schedule J (Form 990) 2018

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

**Note:** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
JENNIFER DO 1 TECHNOLOGIST III, IRL	(i) 391,829. (ii) 0. (iii) 0.	0.	0.	11,000.	7,305.	410,134.	
GREG WILLIAMSON 2 CHIEF INVESTMENT OFFICER	(i) 115,854. (ii) 0. (iii) 0.	100,000.	185,454.	0.	5,126.	406,434.	
3	(i) (ii) (iii)	  	  	  	  	  	  
4	(i) (ii) (iii)	  	  	  	  	  	  
5	(i) (ii) (iii)	  	  	  	  	  	  
6	(i) (ii) (iii)	  	  	  	  	  	  
7	(i) (ii) (iii)	  	  	  	  	  	  
8	(i) (ii) (iii)	  	  	  	  	  	  
9	(i) (ii) (iii)	  	  	  	  	  	  
10	(i) (ii) (iii)	  	  	  	  	  	  
11	(i) (ii) (iii)	  	  	  	  	  	  
12	(i) (ii) (iii)	  	  	  	  	  	  
13	(i) (ii) (iii)	  	  	  	  	  	  
14	(i) (ii) (iii)	  	  	  	  	  	  
15	(i) (ii) (iii)	  	  	  	  	  	  
16	(i) (ii) (iii)	  	  	  	  	  	  

**Part III Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

SCHEDULE J, PART I, LINE 4 (A)

THE AMOUNTS SHOWN IN PART II, COLUMN B (III) ARE SEVERANCE PAYMENTS IN

THE AMOUNT OF \$185,500 FOR DAVID MELTZER, GENERAL COUNSEL & CHIEF

INTERNATIONAL OFFICER AND \$142,304 FOR GREG WILLIAMSON, CHIEF INVESTMENT

OFFICE. GREG WILLIAMSON ALSO RECEIVED A \$100,000 BONUS AT SEPARATION.

SCHEDULE J, PART I, LINE 7

THE AMOUNTS SHOWN IN PART II, COLUMN B (II) FOR THE PRESIDENT & CEO, THE

CHIEF FINANCIAL OFFICER, THE PRESIDENT BIOMEDICAL SERVICES, THE CHIEF

OPERATING OFFICER, THE CHIEF TRANSFORMATION OFFICER, THE CHIEF HUMAN

RESOURCES OFFICER, THE CHIEF DEVELOPMENT OFFICER, THE PRESIDENT

HUMANITARIAN SERVICES, AND THE CHIEF MARKETING OFFICER WERE PAID BASED ON

WRITTEN VARIABLE INCENTIVE PLANS FOR PRIOR FISCAL YEAR PERFORMANCE AND

WERE APPROVED BY THE COMPENSATION AND MANAGEMENT DEVELOPMENT COMMITTEE OF

THE BOARD.

THE AMOUNT SHOWN IN PART II, COLUMN B (II) FOR THE ANALYST V, INVESTMENTS

AND CHIEF INFORMATION OFFICER WERE PAID BASED ON A WRITTEN VARIABLE

INCENTIVE PLAN FOR PRIOR FISCAL YEAR PERFORMANCE AND WERE APPROVED BY THE

**Part III Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

CHIEF FINANCIAL OFFICER. THE AMOUNT SHOWN IN PART II, COLUMN B (II) FOR THE PRESIDENT, TRAINING SERVICES WAS PAID BASED ON A WRITTEN INCENTIVE PLAN FOR PRIOR FISCAL YEAR PERFORMANCE AND WERE APPROVED BY THE CHIEF OPERATING OFFICER. THE SVP COLLECTIONS WAS BASED ON A WRITTEN INCENTIVE PLAN FOR PRIOR FISCAL YEAR PERFORMANCE AND WAS APPROVED BY THE PRESIDENT BIOMEDICAL SERVICES.

SCHEDULE J, PART I, LINE 8

THE RED CROSS HAS ONE (1) EMPLOYEE LISTED ON PART VII WHO IS COVERED BY REG. SECTION 53.4958-4 (A)(3), THE PRESIDENT & CEO. THE ORIGINAL BASE SALARY AMOUNTS PAID TO PERSONS COVERED BY THIS PROVISION AND ANY SUBSEQUENT ANNUAL INCREASES OR OTHER SALARY PAYMENTS ARE DETERMINED BY THE COMPENSATION AND MANAGEMENT DEVELOPMENT COMMITTEE OF THE BOARD, AND WERE BASED ON COMPARABLE MARKET DATA AND SUPPORTED BY THE OPINION OF AN OUTSIDE INDEPENDENT COMPENSATION CONSULTANT AND WERE DOCUMENTED IN THE MINUTES OF THE COMMITTEE, ALL IN ACCORDANCE WITH THE REQUIREMENTS FOR THE REBUTTABLE PRESUMPTION OF REASONABLENESS UNDER IRC SECTION 4958.

**SCHEDULE K  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

Name of the organization  
**AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT  
CHAPTERS AND BRANCHES**

PAGE 1

**Supplemental Information on Tax-Exempt Bonds**  
▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.

▶ Attach to Form 990.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

Employer identification number  
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OMB No. 1545-0047

**2018**

Open to Public  
Inspection

**Part I Bond Issues**

(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pooled financing	
						Yes	No	Yes	No	Yes	No
<b>A</b> THE CAMBERIA COUNTY INDUSTRIAL DEVELOPMENT AUTHORITY	25-1334277		12/01/2015	16,720,000.	CURRENT REFUNDING OF PRIOR BONDS		X		X		X
<b>B</b> CALIFORNIA INFRASTRUCTURE & ECONOMIC DEVELOPMENT B	63-0304653		12/01/2015	33,310,000.	CURRENT REFUNDING OF PRIOR BONDS		X		X		X
<b>C</b>											
<b>D</b>											

**Part II Proceeds**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Amount of bonds retired . . . . .								
2 Amount of bonds legally defeased . . . . .			2,640,000.		5,265,000.			
3 Total proceeds of issue . . . . .			16,720,000.		33,310,000.			
4 Gross proceeds in reserve funds . . . . .								
5 Capitalized interest from proceeds . . . . .								
6 Proceeds in refunding escrows . . . . .								
7 Issuance costs from proceeds . . . . .								
8 Credit enhancement from proceeds . . . . .								
9 Working capital expenditures from proceeds . . . . .								
10 Capital expenditures from proceeds . . . . .								
11 Other spent proceeds . . . . .								
12 Other unspent proceeds . . . . .								
13 Year of substantial completion . . . . .	2005		2005		2005			
14 Were the bonds issued as part of a refunding issue of tax-exempt bonds (or, if issued prior to 2018, a current refunding issue)? . . . . .	X			X				
15 Were the bonds issued as part of a refunding issue of taxable bonds (or, if issued prior to 2018, an advance refunding issue)? . . . . .		X			X			
16 Has the final allocation of proceeds been made? . . . . .	X			X				
17 Does the organization maintain adequate books and records to support the final allocation of proceeds? . . . . .	X			X				

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule K (Form 990) 2018

Part III Private Business Use

PAGE 1

Table with 4 columns (A, B, C, D) and 9 rows of questions regarding private business use, including questions about partnerships, lease arrangements, management, research agreements, and percentage of financed property.

Part IV Arbitrage

Table with 4 columns (A, B, C, D) and 3 rows of questions regarding arbitrage, including questions about Form 8038-T, yield reduction, and rebate issues.



**Part VI** Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions) (Continued)

**SCHEDULE M  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Noncash Contributions**

- ▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
- ▶ Attach to Form 990.
- ▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2018**  
**Open to Public Inspection**

Name of the organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS AND BRANCHES**

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**Part I Types of Property**

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art - Works of art . . . . .				
2 Art - Historical treasures . . . . .				
3 Art - Fractional interests . . . . .				
4 Books and publications . . . . .				
5 Clothing and household goods . . . . .	X		3,192,046.	FMV
6 Cars and other vehicles. . . . .	X		762,183.	FMV
7 Boats and planes . . . . .				
8 Intellectual property . . . . .				
9 Securities - Publicly traded . . . . .				
10 Securities - Closely held stock . . . . .				
11 Securities - Partnership, LLC, or trust interests . . . . .				
12 Securities - Miscellaneous . . . . .				
13 Qualified conservation contribution - Historic structures . . . . .				
14 Qualified conservation contribution - Other. . . . .				
15 Real estate - Residential . . . . .				
16 Real estate - Commercial . . . . .				
17 Real estate - Other . . . . .				
18 Collectibles . . . . .				
19 Food inventory . . . . .	X		7,785,767.	FMV
20 Drugs and medical supplies . . . . .	X		189,577.	FMV
21 Taxidermy. . . . .				
22 Historical artifacts. . . . .				
23 Scientific specimens . . . . .				
24 Archeological artifacts . . . . .				
25 Other ▶ ( VARIOUS ) . . . . .	X		2,777,001.	FMV
26 Other ▶ ( ) . . . . .				
27 Other ▶ ( ) . . . . .				
28 Other ▶ ( ) . . . . .				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . . . **29** 6.

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period? . . . . .		X
b If "Yes," describe the arrangement in Part II.		
31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions? . . . . .	X	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? . . . . .	X	
b If "Yes," describe in Part II.		
33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.		

**Part II** **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

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SCHEDULE M, PART I, LINE 32B

THE AMERICAN NATIONAL RED CROSS USES THIRD-PARTY VENDORS FOR ITS VEHICLE  
DONATION AND CLOTHING DONATION PROGRAMS. THE VENDORS SOLICIT, PROCESS,  
AND SELL THE DONATED GOODS.

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

**Supplemental Information to Form 990 or 990-EZ**

OMB No. 1545-0047

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

**2018**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

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FORM 990, PART III, STATEMENT OF PROGRAM SERVICES

4A. BIOMEDICAL SERVICES: THE ORGANIZATION COLLECTS, TESTS, AND  
DISTRIBUTES APPROXIMATELY 40 PERCENT OF THE NATION'S BLOOD AND BLOOD  
COMPONENTS THROUGHOUT THE COUNTRY. EACH YEAR, THE AMERICAN RED CROSS  
COLLECTS MORE THAN 4.6 MILLION BLOOD DONATIONS AND NEARLY 1 MILLION  
PLATELET DONATIONS FROM MORE THAN 2.6 MILLION VOLUNTEER DONORS ON AVERAGE  
AND SUPPLIES APPROXIMATELY 2,500 HOSPITALS AND OTHER FACILITIES ACROSS  
THE COUNTRY WITH BLOOD AND BLOOD PRODUCTS FOR TRANSFUSION.

4B. DOMESTIC DISASTER SERVICES: THE ORGANIZATION RESPONDED TO MULTIPLE  
LARGE SCALE DISASTERS IN FISCAL YEAR 2019, INCLUDING NOTABLY TWO  
DEVASTATING HURRICANES AND TWO DEVASTATING TYPHOONS: HURRICANES FLORENCE  
(SEPTEMBER) AND MICHAEL (OCTOBER) AND TYPHOONS MANGKHUT (SEPTEMBER) AND  
YUTU (OCTOBER). IN ADDITION, THE RED CROSS ALSO RESPONDED TO MULTIPLE  
FIRES IN CALIFORNIA (JULY, AUGUST, SEPTEMBER, NOVEMBER), FLOODING IN THE  
PLAINS AND MID-WEST (MARCH - JUNE), SEVERE WEATHER ACROSS THE SOUTH AND  
EASTERN SEABOARD AND SEVERAL MASS FATALITY SHOOTINGS, INCLUDING THOUSAND  
OAKS, CALIFORNIA AND VIRGINIA BEACH, VA. IN ADDITION TO THOSE RESPONSES  
THE AMERICAN RED CROSS HAS ONGOING RECOVERY OPERATIONS IN MANY STATES,  
INCLUDING STATES IMPACTED BY FLOODING AND HURRICANES IN FY2018.

THROUGH ITS NETWORK OF VOLUNTEERS AND EMPLOYEES IN ALL 50 STATES, THE RED  
CROSS RESPONDS TO MORE THAN 60,000 DISASTERS BIG AND SMALL PER YEAR, MOST  
OF WHICH ARE SINGLE AND MULTI-FAMILY HOME FIRES. THE ORGANIZATION

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PROVIDES FOOD, SHELTER, EMERGENCY RELIEF ITEMS, EMERGENCY ASSISTANCE, HEALTH SERVICE, CRISIS INTERVENTIONS AND COMMUNITY MENTAL-HEALTH DEBRIEFINGS AND/OR OTHER RELATED EMERGENCY CARE TO PERSONS IN NEED. FOR INDIVIDUALS AND COMMUNITIES AFFECTED BY DISASTERS, THE SERVICES OF THE AMERICAN RED CROSS BEGIN WITH SAFE SHELTER AND CONTINUE WITH SUPPORT FOR INDIVIDUALS AND FAMILIES RECOVERING FROM DISASTERS.

AS PART OF A NATIONAL HOME FIRE CAMPAIGN, THE AMERICAN RED CROSS INSTALLED APPROXIMATELY 425,000 SMOKE ALARMS AND TAUGHT MORE THAN 250,000 YOUTH ABOUT PREPAREDNESS IN FY19. THE OVERALL GOAL OF THE CAMPAIGN IS TO REDUCE THE LOSS OF LIFE DUE TO HOME FIRES BY 25 PERCENT. AS OF JUNE 30, 2019 THE RED CROSS CAN CONFIRM AT LEAST 610 LIVES HAVE BEEN SAVED AS THE RESULT OF THE HOME FIRE CAMPAIGN.

PREPAREDNESS:

THE RED CROSS SUPPORTS PUBLIC PREPAREDNESS THROUGH A COMBINATION OF NEW TECHNOLOGY, EDUCATION AND AWARENESS CAMPAIGNS, AND DIRECT ACTION:

\* OUR HOME FIRE CAMPAIGN INCLUDES A COMPONENT IN WHICH VOLUNTEERS AND PARTNER ORGANIZATIONS GO DOOR-TO-DOOR TO INSTALL SMOKE ALARMS AND PROVIDE FIRE-SAFETY EDUCATION IN AT-RISK HOMES NATIONWIDE.

\* OUR MESSAGING AND EDUCATIONAL CAMPAIGNS INCLUDE PUBLIC TIPS ON STAYING SAFE, PRESENTATIONS TO COMMUNITY GROUPS, AND EDUCATION OF YOUTH IN SCHOOL AND AFTER SCHOOL AROUND HOW THEY CAN BE SAFE.

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\* OUR EMERGENCY! AND YOUTH-ORIENTED MONSTER GUARD APPS PROVIDE STATE-OF-THE ART INFORMATION ON WHAT TO DO TO KEEP YOURSELF AND YOUR FAMILY SAFE FROM COMMON HAZARDS. OUR READY RATING WEBSITE PROVIDES SMALL AND MIDSIZED BUSINESSES WITH AN AUTOMATED, CUSTOMIZED ASSESSMENT OF THEIR DISASTER READINESS AND RECOMMENDATIONS FOR IMPROVEMENT.

4C. TRAINING SERVICES: AMERICAN RED CROSS TRAINING SERVICES PROVIDES TRAINING PROGRAMS THAT HELP SAVE LIVES AND STRENGTHEN COMMUNITIES--IMPARTING HOPE AND CONFIDENCE ALONG WITH PRACTICAL SKILLS. IT IS THE PREMIER PROVIDER OF EDUCATION, TRAINING, AND PRODUCTS THAT ENABLE PEOPLE TO PREVENT, PREPARE FOR AND RESPOND TO DISASTERS AND OTHER LIFE-THREATENING EMERGENCIES. AMERICAN RED CROSS EMPLOYEES AND AUTHORIZED PROVIDERS HELP SUSTAIN AND DELIVER HEALTH AND SAFETY PROGRAMS AND SERVICES INCLUDING: FIRST AID/CPR/AED (WITH AUTOMATED EXTERNAL DEFIBRILLATION (AED) INFORMATION AND SKILLS) BOTH FOR THE LICENSED PROFESSIONAL AND THE LAY RESPONDER; AQUATICS (LEARN-TO-SWIM, WATER SAFETY, LIFEGUARDING, LIFEGUARD MANAGEMENT, AND AQUATIC EXAMINER FACILITY SERVICES); CAREGIVING (BABYSITTER'S TRAINING, FAMILY CAREGIVING, NURSE ASSISTANT TRAINING AND TESTING).

4D. INTERNATIONAL RELIEF AND DEVELOPMENT SERVICES:  
THE ORGANIZATION HELPS VULNERABLE PEOPLE AROUND THE WORLD, PREVENT, PREPARE FOR, RESPOND TO AND RECOVER FROM DISASTERS, COMPLEX HUMANITARIAN EMERGENCIES, AND LIFE-THREATENING HEALTH CONDITIONS THROUGH GLOBAL

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INITIATIVES AND COMMUNITY-BASED PROGRAMS. WITH A FOCUS ON DISEASE PREVENTION ON A MASS-SCALE, DISASTER MANAGEMENT, AND THE DISSEMINATION OF INTERNATIONAL HUMANITARIAN LAW, THE ORGANIZATION PROVIDES RAPID, EFFECTIVE, AND LARGE-SCALE HUMANITARIAN ASSISTANCE TO THOSE IN NEED. TO ACHIEVE OUR GOALS, THE ORGANIZATION WORKS WITH OUR PARTNERS IN THE INTERNATIONAL RED CROSS AND RED CRESCENT MOVEMENT AND OTHER INTERNATIONAL RELIEF AND DEVELOPMENT AGENCIES TO BUILD LOCAL CAPACITIES, MOBILIZE AND EMPOWER COMMUNITIES, AND ESTABLISH PARTNERSHIPS.

4E. SERVICE TO THE ARMED FORCES: THE ORGANIZATION PROVIDES MILITARY MEMBERS, VETERANS, AND THEIR FAMILIES WITH EMERGENCY COMMUNICATIONS SERVICES, PROGRAMS AND SERVICES FOR THE SICK, WOUNDED AND RECOVERING AT VETERANS AND MILITARY MEDICAL FACILITIES, JOB TRAINING AND EDUCATION, AND OTHER VITAL SERVICES FOR U.S. MILITARY FAMILIES AROUND THE WORLD.

FORM 990, PART V, LINE 3B

THE AMERICAN NATIONAL RED CROSS COMPLIES WITH ITS UNRELATED BUSINESS INCOME FORM 990-T RETURN FILING BY THE INTERNAL REVENUE CODE PERMITTED EXTENDED DUE DATE OF MAY 15, 2020. PER THE FORM 990 INSTRUCTIONS FOR PART V, LINE 3B, THE AMERICAN NATIONAL RED CROSS IS REQUIRED TO ANSWER 'NO' TO FILING A FORM 990-T BECAUSE IT WILL NOT FILE ITS FORM 990-T BY THE TIME IT FILES ITS FORM 990 ON NOVEMBER 15, 2019. COMPLETE UNRELATED BUSINESS INCOME INFORMATION RELATED TO ALTERNATIVE INVESTMENT PARTNERSHIPS WERE NOT YET AVAILABLE AT THE TIME OF THE RED CROSS'S FORM 990 FILING AT NOVEMBER 15, 2019. THE AMERICAN NATIONAL RED CROSS IS

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ANNUALLY AND TIMELY COMPLIANT WITH ITS FORM 990-T REQUIREMENTS.

FORM 990, PART VI, SECTION A, LINES 4, 6 & 7A

4. IN FY2019 THE AMERICAN RED CROSS BOARD OF GOVERNORS APPROVED CHANGES TO A SERIES OF GOVERNING DOCUMENTS AS A RESULT OF TRANSITIONING TO AN OUTSOURCED CHIEF INVESTMENT OFFICER FIRM.

6. AS DEFINED IN THE CONGRESSIONAL CHARTER: "MEMBERSHIP IN THE CORPORATION IS OPEN TO ALL THE PEOPLE OF THE UNITED STATES AND ITS TERRITORIES AND POSSESSIONS, ON PAYMENT OF AN AMOUNT SPECIFIED, OR AS OTHERWISE PROVIDED IN THE BYLAWS."

SECTION 7 OF THE AMENDED AND RESTATED BYLAWS OF THE AMERICAN NATIONAL RED CROSS DESCRIBES MEMBERSHIP IN THE CORPORATION AND DEFINES MEMBERSHIP AND THE TERMINATION OF MEMBERSHIP.

7A. DELEGATES OF THE CHAPTERS ELECT ALL MEMBERS OF THE GOVERNING BODY EXCEPT THE CHAIRMAN OF THE BOARD OF GOVERNORS, WHO IS APPOINTED BY THE PRESIDENT OF THE UNITED STATES.

AS MANDATED IN THE CONGRESSIONAL CHARTER, SECTION 4(A)(3)(B)(I): MEMBERS OF THE BOARD OF GOVERNORS OTHER THAN THE CHAIRMAN SHALL BE ELECTED AT THE ANNUAL MEETING OF THE CORPORATION IN ACCORDANCE WITH SUCH PROCEDURES AS MAY BE PROVIDED IN THE BYLAWS.

FORM 990, PART VI, SECTION B, LINES 11B, 12C, 15A & 15B

LINE 11B - THE COMPENSATION AND MANAGEMENT DEVELOPMENT COMMITTEE REVIEWED

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THE COMPENSATION PORTIONS OF THE IRS FORM 990 (PART VII AND SCHEDULE J)  
DURING THE MEETING HELD ON OCTOBER 23, 2019. A COPY OF  
THE FINAL FORM 990 WAS SUBMITTED TO EACH MEMBER OF THE BOARD OF GOVERNORS  
BEFORE IT WAS FILED WITH THE IRS.

THE MANAGEMENT REVIEW PROCESS ENTAILS THE CHIEF FINANCIAL OFFICER  
COORDINATING THE COMPLETION OF THE IRS FORM 990 WITH THE GENERAL COUNSEL  
AND THE CHIEF HUMAN RESOURCES OFFICER FOR FINAL REVIEW BY THE PRESIDENT  
AND CEO.

LINE 12C. AS REQUIRED BY SECTION 2.3(A) OF THE AMENDED AND RESTATED  
BYLAWS OF THE AMERICAN NATIONAL RED CROSS, ALL MEMBERS OF THE BOARD OF  
GOVERNORS MUST ANNUALLY REVIEW AND CERTIFY THE CODE OF BUSINESS ETHICS  
AND CONDUCT. ADDITIONALLY, TO DISCLOSE AND REMEDY ACTUAL OR PERCEIVED  
BUSINESS, FINANCIAL OR PERSONAL CONFLICTS OF INTEREST, EVERY MEMBER OF  
THE BOARD OF GOVERNORS MUST ALSO COMPLETE A CONFLICT OF INTEREST  
QUESTIONNAIRE (THE QUESTIONNAIRE) ANNUALLY. OTHER OFFICERS AND KEY  
EMPLOYEES ARE ALSO REQUIRED TO EXECUTE THE CODE OF BUSINESS ETHICS AND  
CONDUCT AND THE QUESTIONNAIRE ANNUALLY.

UNDER THE DIRECTION OF THE GENERAL COUNSEL, THE INVESTIGATIONS,  
COMPLIANCE AND ETHICS DEPARTMENT STAFF COLLECT THE EXECUTED  
QUESTIONNAIRE FORMS FROM THE MEMBERS OF THE BOARD OF GOVERNORS AND OTHER  
OFFICERS AND KEY EMPLOYEES. THE INFORMATION DISCLOSED IN THE  
QUESTIONNAIRE IS REVIEWED AND ACTUAL OR PERCEIVED CONFLICTS OF INTEREST

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ARE IDENTIFIED. THEY ARE DISCUSSED WITH THE GENERAL COUNSEL WHO DETERMINES ANY NECESSARY REMEDIATION OPTIONS. DEPENDING ON THE MATTER, THE GENERAL COUNSEL OR A STAFF MEMBER FROM THE INVESTIGATIONS, COMPLIANCE AND ETHICS DEPARTMENT DISCUSSES THE CONFLICT AND REMEDIATION WITH THE MEMBER OF THE BOARD OR THE OTHER OFFICER OR KEY EMPLOYEE, AND IF NECESSARY THE PRESIDENT AND CEO OR CHAIRMAN OF THE BOARD. WHERE APPROPRIATE, THE CONFLICT OF INTEREST AND REMEDIATION REGARDING A MEMBER OF THE BOARD ARE INCLUDED IN THE MINUTES OF THE RELEVANT BOARD COMMITTEE OR FULL BOARD MEETING.

THE QUESTIONNAIRE IS ALSO INTENDED TO MONITOR CONFLICTS OF INTEREST ON AN ONGOING BASIS. MEMBERS OF THE BOARD AND OTHER OFFICERS AND KEY EMPLOYEES ARE EXPLICITLY INSTRUCTED THAT THEY HAVE A CONTINUING DUTY TO UPDATE THE QUESTIONNAIRE DURING THE COURSE OF THE YEAR TO REFLECT CHANGES IN ANY BUSINESS, FINANCIAL OR PERSONAL CONFLICTS OF INTEREST. THE SAME PROCESS OF REVIEW, DISCUSSION AND FOLLOW-UP ON CONFLICTS OF INTEREST AND REMEDIATION WITH THE BOARD MEMBER OR OTHER OFFICER OR KEY EMPLOYEE WOULD OCCUR WITH INTERIM DISCLOSURES.

LINE 15A AND 15B - THE BOARD OF GOVERNORS OF THE AMERICAN RED CROSS HAS DELEGATED AUTHORITY TO THE COMPENSATION AND MANAGEMENT DEVELOPMENT COMMITTEE (THE "COMMITTEE") OF THE BOARD TO (1) REVIEW THE COMPENSATION, BENEFITS AND INCENTIVE PROGRAMS FOR THE CEO; (2) MAKE RECOMMENDATIONS TO THE BOARD FOR THE CEO'S ANNUAL SALARY, BENEFITS AND INCENTIVE PROGRAM; AND, (3) REVIEW AND MAKE DETERMINATIONS REGARDING THE COMPENSATION,

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BENEFITS, AND INCENTIVE PROGRAMS FOR OTHER SENIOR OFFICERS AND EXECUTIVES OF THE AMERICAN RED CROSS. THE COMMITTEE IS COMPOSED ENTIRELY OF BOARD MEMBERS WHO DO NOT HAVE ANY CONFLICTS OF INTEREST. ANNUALLY, THE COMMITTEE REVIEWS AND APPROVES A LIST OF EXECUTIVES WHO ARE OR MIGHT BE CONSIDERED "DISQUALIFIED PERSONS" PURSUANT TO INTERNAL REVENUE CODE SECTION 4958. WITH RESPECT TO THOSE PERSONS, THE COMMITTEE CONDUCTS ITS ANNUAL REVIEW OF THEIR TOTAL COMPENSATION AND BENEFITS BASED ON COMPARABLE MARKET DATA. THE COMMITTEE RETAINS AN OUTSIDE, INDEPENDENT COMPENSATION CONSULTANT TO PROVIDE MARKET DATA AND REASONABLENESS OPINIONS FOR THE DESIGNATED EXECUTIVES AND IT RELIES ON SUCH MARKET DATA AND REASONABLENESS OPINIONS IN APPROVING NEW SALARIES, BENEFITS AND PAYMENT OF BONUSES OR INCENTIVES FOR THE DESIGNATED PERSONS. THE COMMITTEE ALSO THEN DOCUMENTS ITS DECISIONS AS TO ANY CHANGES TO BE IMPLEMENTED IN COMPENSATION OR BENEFITS FOR THE DESIGNATED PERSONS. THE COMMITTEE UNDERTOOK THIS PROCESS FOR ALL THE OFFICERS AND KEY EMPLOYEES REPORTED IN SCHEDULE J WHO ARE CONSIDERED "DISQUALIFIED PERSONS" PURSUANT TO IRC SECTION 4958.

FORM 990, PART VI, SECTION C, LINE 19

THE AMERICAN RED CROSS MAKES ITS GOVERNING DOCUMENTS INCLUDING THE CODE OF BUSINESS ETHICS AND CONDUCT, CONFLICT OF INTEREST QUESTIONNAIRE, AND THE CONSOLIDATED FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC ON THE GOVERNANCE PAGE OF ITS WEBSITE, WWW.REDCROSS.ORG

FORM 990, PART XI, LINE 9

PRIMARILY, THIS AMOUNT REPRESENTS EMPLOYEE RETIREMENT PENSION AND

Name of the organization AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT  
CHAPTERS AND BRANCHES

Employer identification number  
53-0196605

POST-RETIREMENT BENEFIT PLAN LOSS PER PROVISION OF ASC 715 (FORMER FASB  
87 AND 106) IN THE AMOUNT OF -36,986,471.

FORM 990, PART VII, SECTION A, LINES 1A

LINE 1A - SHERRI BROWN, PRESIDENT, HUMANITARIAN SERVICES, RETIRED

EFFECTIVE 12/31/2018. HARVEY JOHNSON ASSUMED THE ROLE OF PRESIDENT,

HUMANITARIAN SERVICES EFFECTIVE 12/29/2018. FOR THE MAJORITY OF 2018, HE

SERVED AS THE SVP, DISASTER CYCLE SERVICES AND PAY REPORTED IN THE FORM

990 IS ASSOCIATED WITH HIS PRIOR ROLE.

ATTACHMENT 1

FORM 990, PART III, LINE 4D - OTHER PROGRAM SERVICES

<u>DESCRIPTION</u>	<u>GRANTS</u>	<u>EXPENSES</u>	<u>REVENUE</u>
INTERNATIONAL RELIEF AND DEVELOPMENT SERVICES	35,775,355.	61,302,757.	
COMMUNITY SERVICES	3,461,445.	24,672,466.	
SERVICES TO THE ARMED FORCES	792,784.	55,973,610.	
SEE SCHEDULE O FOR DESCRIPTIONS			
TOTALS	<u>40,029,584.</u>	<u>141,948,833.</u>	

ATTACHMENT 2

FORM 990, PART V, LINE 4B - FOREIGN COUNTRIES

VIETNAM

KENYA

HAITI

Name of the organization AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS AND BRANCHES	Employer identification number 53-0196605
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ATTACHMENT 3

990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

<u>NAME AND ADDRESS</u>	<u>DESCRIPTION OF SERVICES</u>	<u>COMPENSATION</u>
MINDTREE LIMITED 25 INDEPENDENCE BLVD, SUITE 401 WARREN, NJ 07059	IT CONSULTING	10,337,939.
ONE & ALL 2 N LAKE SUITE 600 PASADENA, CA 91101	PRINTING AND MAILING	10,368,713.
SERVICEMASTER 150 PEABODY PLACE MEMPHIS, TN 38103	BUILDING SERVICES	6,878,565.
ADECCO EMPLOYMENT SERVICES INCORPORATED 10151 DEERWOOD BLDG. 200 JACKSONVILLE, FL 32256	STAFFING	6,347,233.
WHELAN SECURITY LLC 4300 NORTHLAKE CT # 200 CHARLOTTE, NC 28216	SECURITY SERVICE	6,298,709.

**SCHEDULE R  
(Form 990)**

**Related Organizations and Unrelated Partnerships**

OMB No. 1545-0047

**2018**

Department of the Treasury  
Internal Revenue Service

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.**  
▶ **Attach to Form 990.**  
▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.**

Open to Public  
Inspection

Name of the organization

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

CHAPTERS AND BRANCHES

Employer identification number

53-0196605

**Part I Identification of Disregarded Entities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) ARC RECEIVABLES COMPANY LLC 1730 E STREET NW SUITE 330 WASHINGTON, DC 20006 14-1934462	SECURITIZE AR	DE		127064120.	N/A
(2)					
(3)					
(4)					
(5)					
(6)					

**Part II Identification of Related Tax-Exempt Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2018

JSA

**Part III Identification of Related Organizations Taxable as a Partnership.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(1)	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512 - 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
								Yes	No		Yes	No	
(1)													
(2)													
(3)													
(4)													
(5)													
(6)													
(7)													

**Part IV Identification of Related Organizations Taxable as a Corporation or Trust.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

(1)	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
									Yes	No
(1)	BOARDMAN INDEMNITY, LTD CUMBERLAND HOUSE, PO BOX HM 2280 HAMILTON, MD	INSURANCE	BD	N/A	C CORP			100.0000	X	
(2)	FOOLED INCOME FUND(2) 431 18TH STREET, NW WASHINGTON, DC 20006	SPLIT INTR AG	DC	N/A	TRUST					X
(3)	CHARITABLE REMAINDER TRUST (23) 431 18TH STREET, NW WASHINGTON, DC 20006	SPLIT INTR AG	DC	N/A	TRUST					X
(4)	PERPETUAL TRUST(54) 431 18TH STREET, NW WASHINGTON, DC 20006	SPLIT INTR AG	DC	N/A	TRUST					X
(5)										
(6)										
(7)										

**Part V Transactions With Related Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
<b>a</b> Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity . . . . .		X
<b>b</b> Gift, grant, or capital contribution to related organization(s) . . . . .		X
<b>c</b> Gift, grant, or capital contribution from related organization(s) . . . . .		X
<b>d</b> Loans or loan guarantees to or for related organization(s) . . . . .		X
<b>e</b> Loans or loan guarantees by related organization(s) . . . . .		X
<b>f</b> Dividends from related organization(s) . . . . .		
<b>g</b> Sale of assets to related organization(s) . . . . .		X
<b>h</b> Purchase of assets from related organization(s) . . . . .		X
<b>i</b> Exchange of assets with related organization(s) . . . . .		X
<b>j</b> Lease of facilities, equipment, or other assets to related organization(s) . . . . .		X
<b>k</b> Lease of facilities, equipment, or other assets from related organization(s) . . . . .		X
<b>l</b> Performance of services or membership or fundraising solicitations for related organization(s) . . . . .		X
<b>m</b> Performance of services or membership or fundraising solicitations by related organization(s) . . . . .		X
<b>n</b> Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) . . . . .		X
<b>o</b> Sharing of paid employees with related organization(s) . . . . .		X
<b>p</b> Reimbursement paid to related organization(s) for expenses . . . . .		X
<b>q</b> Reimbursement paid by related organization(s) for expenses . . . . .		X
<b>r</b> Other transfer of cash or property to related organization(s) . . . . .		X
<b>s</b> Other transfer of cash or property from related organization(s) . . . . .		X

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(1)	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)	BOARDMAN INDEMNITY, LTD	R	31,066,468.	CASH
(2)	BOARDMAN INDEMNITY, LTD	S	41,450,143.	CASH
(3)				
(4)				
(5)				
(6)				

**Part VI** **Unrelated Organizations Taxable as a Partnership.** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(1) Name, address, and EIN of entity	(2) Primary activity	(3) Legal domicile (state or foreign country)	(4) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(5) Are all partners section 501(c)(3) organizations?		(6) Share of total income	(7) Share of end-of-year assets	(8) Disproportionate allocations?		(9) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(10) General or managing partner?		(11) Percentage ownership
				Yes	No			Yes	No		Yes	No	
(1)													
(2)													
(3)													
(4)													
(5)													
(6)													
(7)													
(8)													
(9)													
(10)													
(11)													
(12)													
(13)													
(14)													
(15)													
(16)													

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**Part VII** **Supplemental Information**

Provide additional information for responses to questions on Schedule R. See instructions.

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<b>Section 4</b>	Board of Governors
<b>Section 5</b>	Powers
<b>Section 6</b>	Emblem, badge, and brassard
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Use of The American National Red Cross in Aid of the Armed Forces

# CONGRESSIONAL CHARTER OF THE AMERICAN NATIONAL RED CROSS

## *Section 1—Organization*

(a) FEDERAL CHARTER. The American National Red Cross (in this chapter, the "corporation") is a Federally chartered instrumentality of the United States and a body corporate and politic in the District of Columbia.

(b) NAME. The name of the corporation is "The American National Red Cross". The corporation may conduct its business and affairs, and otherwise hold itself out, as the 'American Red Cross' in any jurisdiction.

(c) PERPETUAL EXISTENCE. Except as otherwise provided, the corporation has perpetual existence.

## *Section 2—Purposes*

The purposes of the corporation are:

(1) to provide volunteer aid in time of war to the sick and wounded of the armed forces, in accordance with the spirit and conditions of:

(A) the conference of Geneva of October, 1863;

(B) the treaties of the Red Cross, or the treaties of Geneva, of August 22, 1864, July 27, 1929, and August 12, 1949, to which the United States of America has given its adhesion; and

(C) any other treaty, convention, or protocol similar in purpose to which the United States of America has given or may give its adhesion;

(2) in carrying out the purposes described in clause (1) of this section, to perform all the duties devolved on a national society by each nation that has acceded to any of those treaties, conventions, or protocols;

(3) to act in matters of voluntary relief and in accordance with the military authorities as a medium of communication between the people of the United States and the armed forces of the United States and to act in those matters between similar national societies of governments of other countries through the International Committee of the Red Cross and the Government, the people, and the armed forces of the United States;

(4) to carry out a system of national and international relief in time of peace, and apply that system in mitigating the suffering caused by pestilence, famine, fire, floods, and other great national calamities, and to devise and carry out measures for preventing those calamities; and

(5) to conduct other activities consistent with the foregoing purposes.

### *Section 3—Membership and Chapters*

(a) MEMBERSHIP. Membership in the corporation is open to all the people of the United States and its territories and possessions, on payment of an amount specified, or as otherwise provided, in the bylaws.

(b) CHAPTERS.

(1) The chapters of the corporation are the local units of the corporation. The corporation shall prescribe policies and regulations related to:

(A) granting charters to the chapters and revoking those charters;

(B) territorial jurisdiction of the chapters;

(C) the relationship of the chapters to the corporation; and

(D) compliance by the chapters with the policies and regulations of the corporation.

(2) The policies and regulations shall require that each chapter adhere to the democratic principles of election specified in the bylaws in electing the governing body of the chapter and selecting delegates to the annual meeting of the corporation.

### *Section 4—Board of Governors*

(a) BOARD OF GOVERNORS--

(1) IN GENERAL.—The board of governors is the governing body of the corporation with all powers of governing and directing, and of overseeing the management of the business and affairs of, the corporation.

(2) NUMBER.—The board of governors shall fix by resolution, from time to time, the number of members constituting the entire board of governors, provided that—

(A) as of March 31, 2009, and thereafter, there shall be no fewer than 12 and no more than 25 members; and

(B) as of March 31, 2012, and thereafter, there shall be no fewer than 12 and no more than 20 members constituting the entire board. Procedures to implement the preceding sentence shall be provided in the bylaws.

(3) APPOINTMENT.—The governors shall be appointed or elected in the following manner:

(A) CHAIRMAN.—

(i) IN GENERAL.—The board of governors, in accordance with procedures provided in the bylaws, shall recommend to the President an individual to serve as chairman of the board of governors. If such recommendation is approved by the President, the President shall appoint such individual to serve as chairman of the board of governors.

(ii) VACANCIES.—Vacancies in the office of the chairman, including vacancies resulting from the resignation, death, or removal by the President of the chairman, shall be filled in the same manner described in clause (i)

(iii) DUTIES.—the chairman shall be a member of the board of governors and, when present, shall preside at meetings of the board of governors and shall have such other duties and responsibilities as may be provided in the bylaws or a resolution of the board of governors.

(B) OTHER MEMBERS.—

(i) IN GENERAL.—Members of the board of governors other than the chairman shall be elected at the annual meeting of the corporation in accordance with such procedures as may be provided in the bylaws.

(ii) VACANCIES.—Vacancies in any such elected board position and in any newly created board position may be filled by a vote of the remaining members of the board of governors in accordance with such procedures as may be provided in the bylaws.

(b) TERMS OF OFFICE.—

(1) IN GENERAL.—The term of office of each member of the board of governors shall be 3 years, except that—

(A) the board of governors may provide under the bylaws that the terms of office of members of the board of governors elected to the board of governors before March 31, 2012, may be less than 3 years in order to implement the provisions of subparagraphs (A) and (B) of subsection (a)(2); and

(B) any member of the board of governors elected by the board to fill a vacancy in a board position arising before the expiration of its term may, as determined by the board, serve for the remainder of that term or until the next annual meeting of the corporation.

(2) STAGGERED TERMS.—The terms of office of members of the board of governors (other than the chairman) shall be staggered such that, by March 31, 2012, and thereafter, 1/3 of the entire board (or as near to

1/3 as practicable) shall be elected at each successive annual meeting of the corporation with the term of office of each member of the board of governors elected at an annual meeting expiring at the third annual meeting following the annual meeting at which such member was elected.

(3) TERM LIMITS.—No person may serve as a member of the board of governors for more than such number of terms of office or years as may be provided in the bylaws.

(c) COMMITTEES AND OFFICERS.—The board—

(1) may appoint, from its own members, an executive committee to exercise such powers of the board when the board is not in session as may be provided in the bylaws;

(2) may appoint such other committees or advisory councils with such powers as may be provided in the bylaws or a resolution of the board of governors;

(3) shall appoint such officers of the corporation, including a chief executive officer, with such duties, responsibilities, and terms of office as may be provided in the bylaws or a resolution of the board of governors; and

(4) may remove members of the board of governors (other than the chairman), officers, and employees under such procedures as may be provided in the bylaws or a resolution of the board of governors.

(d) ADVISORY COUNCIL.—

(1) ESTABLISHMENT.—There shall be an advisory council to the board of governors.

(2) MEMBERSHIP; APPOINTMENT BY PRESIDENT.—

(A) IN GENERAL.—The advisory council shall be composed of no fewer than 8 and no more than 10 members, each of whom shall be appointed by the President from principal officers of the executive departments and senior officers of the Armed Forces whose positions and interests qualify them to contribute to carrying out the programs and purposes of the corporation.

(B) MEMBERS FROM THE ARMED FORCES.—At least 1, but not more than 3, of the members of the advisory council shall be selected from the Armed Forces.

(3) DUTIES.—The advisory council shall advise, report directly to, and meet, at least 1 time per year with the board of governors, and shall have such name, functions and be subject to such procedures as may be provided in the bylaws.

(e) ACTION WITHOUT MEETING.—Any action required or permitted to be taken at any meeting of the board of governors or of any committee thereof may be taken without a meeting if all members of the board or committee, as the case may be, consent thereto in writing, or by electronic transmission and the writing or writings or electronic transmission or transmissions are filed with the minutes of proceedings of the board or committee. Such filing shall be in paper form if the minutes are maintained in paper form and shall be in electronic form if the minutes are maintained in electronic form.

(f) VOTING BY PROXY.—

(1) IN GENERAL.—Voting by proxy is not allowed at any meeting of the board, at the annual meeting, or at any meeting of a chapter.

(2) EXCEPTION.—The board may allow the election of governors by proxy during any emergency.

(g) BYLAWS.—

(1) IN GENERAL.—The board of governors may—

(A) at any time adopt bylaws; and

(B) at any time adopt bylaws to be effective only in an emergency.

(2) EMERGENCY BYLAWS.—Any bylaws adopted pursuant to paragraph (1)(B) may provide special procedures necessary for managing the corporation during the emergency. All provisions of the regular bylaws consistent with the emergency bylaws remain effective during the emergency.

(h) DEFINITIONS.—For purposes of this section—

(1) the term ‘entire board’ means the total number of members of the board of governors that the corporation would have if there were no vacancies; and

(2) the term ‘emergency’ shall have such meaning as may be provided in the bylaws.

## *Section 5—Powers*

(a) GENERAL. The Corporation may:

(1) adopt policies and regulations;

(2) adopt, alter and destroy a seal;

(3) own and dispose of property to carry out the purposes of the corporation;

(4) accept gifts, devises, and bequests of property to carry out the purposes of the corporation;

(5) sue and be sued in courts of law and equity, State or Federal, within the jurisdiction of the United States; and

(6) do any other act necessary to carry out this chapter and promote the purposes of the corporation.

(b) DESIGNATION. The corporation is designated as the organization which is authorized to act in matters of relief under the treaties of Geneva, August 22, 1864, July 27, 1929, and August 12, 1949.

## *Section 6—Emblem, Badge, and Brassard*

(a) EMBLEM AND BADGE. In carrying out its purposes under this chapter, the corporation may have and use, as an emblem and badge, a Greek red cross on a white ground, as described in the treaties of Geneva, August 22, 1864, July 27, 1929, and August 12, 1949, and adopted by the nations acceding to those treaties.

(b) DELIVERY OF BRASSARD. In accordance with those treaties, the delivery of the brassard allowed for individuals neutralized in time of war shall be left to military authority.

## *Section 7—Annual Meeting*

(a) IN GENERAL.—The annual meeting of the corporation is the annual meeting of delegates of the chapters.

(b) TIME OF MEETING.—The annual meeting shall be held as determined by the board of governors.

(c) PLACE OF MEETING.—The board of governors is authorized to determine that the annual meeting shall not be held at any place, but may instead be held solely by means of remote communication subject to such procedures as are provided in the bylaws.

(d) VOTING.—

(1) IN GENERAL.—In matters requiring a vote at the annual meeting, each chapter is entitled to at least 1 vote, and voting on all matters may be conducted by mail, telephone, telegram, cablegram, electronic mail, or any other means of electronic or telephone transmission, provided that the person voting shall state, or submit information from which it can be determined, that the method of voting chosen was authorized by such person.

(2) ESTABLISHMENT OF NUMBER OF VOTES.—

(A) IN GENERAL.—The board of governors shall determine on an equitable basis the number of votes that each chapter is entitled to cast, taking into consideration the size of the membership of the chapters, the populations served by the chapters, and such other factors as may be determined by the board.

(B) PERIODIC REVIEW.—The board of governors shall review the allocation of votes at least every 5 years.

## *Section 8—Buildings*

(a) OWNERSHIP. The United States Government shall retain ownership of the corporation's permanent headquarters, comprised of buildings erected on square 172 in the District of Columbia, including:

(1) the memorial building to commemorate the service and sacrifice of the women of the United States, North and South, during the Civil War, erected for the use of the corporation;

(2) the memorial building to commemorate the service and sacrifice of the patriotic women of the United States, its territories and possessions, and the District of Columbia during World War I, erected for the use of the corporation; and

(3) the permanent building erected for the use of the corporation in connection with its work in cooperation with the Government.

(b) MAINTENANCE AND EXPENSES. Those buildings shall remain under the supervision of the Administrator of General Services. However, the corporation shall care for and maintain the buildings without expense to the Government.

## *Section 9—Endowment Fund*

The endowment fund of the corporation shall be kept and invested under the management and control of a board of trustees elected by the board of governors. The corporation shall prescribe policies and regulations on terms and tenure of office, accountability, and expenses of the board of trustees.

## *Section 10—Annual Report and Audit*

(a) SUBMISSION OF REPORT.—As soon as practicable after the end of the corporation's fiscal year, which may be changed from time to time by the board of governors, the corporation shall submit a report to the Secretary of Defense on the activities of the corporation during such fiscal year, including a complete, itemized report of all receipts and expenditures.

(b) AUDITING OF REPORT AND SUBMISSION TO CONGRESS. The Secretary shall audit the report and submit a copy of the audited report to Congress.

(c) PAYMENT OF AUDIT EXPENSES. The corporation shall reimburse the Secretary each year for auditing its accounts. The amount paid shall be deposited in the Treasury of the United States as a miscellaneous receipt.

## *Section 11—Authority of the Comptroller General of the United States*

The Comptroller General of the United States is authorized to review the corporation's involvement in any Federal program or activity the Government carries out under law.

## *Section 12—Office of the Ombudsman*

(a) ESTABLISHMENT.—The corporation shall establish an Office of the Ombudsman with such duties and responsibilities as may be provided in the bylaws or a resolution of the board of governors.

(b) REPORT.—

(1) IN GENERAL.—The Office of the Ombudsman shall submit annually to the appropriate Congressional committees a report concerning any trends and systemic matters that the Office of the Ombudsman has identified as confronting the corporation.

(2) APPROPRIATE CONGRESSIONAL COMMITTEES.—For purposes of paragraph (1), the appropriate Congressional committees are the following committees of Congress:

(A) SENATE COMMITTEES.—The appropriate Congressional committees of the Senate are—

- (i) the Committee on Finance;
- (ii) the Committee on Foreign Relations;
- (iii) the Committee on Health, Education, Labor, and Pensions;
- (iv) the Committee on Homeland Security and Governmental Affairs; and
- (v) the Committee on the Judiciary.

(B) HOUSE COMMITTEES.—The appropriate Congressional committees of the House of Representatives are—

- (i) the Committee on Energy and Commerce;
- (ii) the Committee on Foreign Affairs;
- (iii) the Committee on Homeland Security;

- (iv) the Committee on the Judiciary; and
- (v) the Committee on Ways and Means.”.

## *Section 13—Reservation of Right to Amend or Repeal*

Congress reserves the right to amend or repeal the provisions of this chapter.

*[The Act approved January 5, 1905 (33 Stat. 599), as amended by the Acts approved June 23, 1910 (36 Stat. 604), December 10, 1912 (37 Stat. 647), February 27, 1917 (39 Stat. 946), March 3, 1921 (41 Stat. 1354), June 7, 1924 (43 Stat. 665), February 7, 1930 (46 Stat. 66), May 8, 1947 (61 Stat. 80), June 25, 1948 (62 Stat. 862), July 17, 1953 (67 Stat. 179), August 12, 1998 (112 Stat. 1494.), and May 11, 2007.]*

## **Related Provisions of the United States Criminal Code**

Whoever wears or displays the sign of the Red Cross or any insignia colored in imitation thereof for the fraudulent purpose of inducing the belief that he is a member of or an agent for the American National Red Cross; or

Whoever, whether a corporation, association, or person, other than the American National Red Cross and its duly authorized employees and agents and the sanitary and hospital authorities of the armed forces of the United States, uses the emblem of the Greek red cross on a white ground, or any sign or insignia made or colored in imitation thereof or the words "Red Cross" or "Geneva Cross" or any combination of these words—

Shall be fined not more than \$250 or imprisoned not more than six months, or both.

This section shall not make unlawful the use of any such emblem, sign, insignia, or words which was lawful on the date of enactment of this title. [Sec. 706, Title 18, U.S.C.]

Whoever, within the United States, falsely or fraudulently holds himself out as or represents or pretends himself to be a member of or an agent for the American National Red Cross for the purpose of soliciting, collecting, or receiving money or material, shall be fined not more than \$500 or imprisoned not more than one year, or both. [Sec. 917, Title 18, U.S.C.]

## **Use of the American National Red Cross in Aid of the Armed Forces**

(a) Whenever the President finds it necessary, he may accept the cooperation and assistance of the American National Red Cross, and employ it under the armed forces under regulations to be prescribed by the Secretary of Defense.

(b) Personnel of the American National Red Cross who are performing duties in connection with its cooperation and assistance under subsection (a) may be furnished—

(1) transportation, at the expense of the United States, while traveling to and from, and while performing, those duties, in the same manner as civilian employees of the armed forces;

(2) meals and quarters, at their expense or at the expense of the American National Red Cross, except that where civilian employees of the armed forces are quartered without charge, employees of the American National Red Cross may also be quartered without charge; and

(3) available office space, warehousing, wharfage, and means of communication, without charge.

(c) No fee may be charged for a passport issued to an employee of the American National Red Cross for travel outside the United States to assume or perform duties under this section.

(d) Supplies of the American National Red Cross, including gifts for the use of the armed forces, may be transported at the expense of the United States, if it is determined under regulations prescribed under subsection (a) that they are necessary to the cooperation and assistance accepted under this section.

(e) For the purposes of this section, employees of the American National Red Cross may not be considered as employees of the United States. [Sec. 2602, Title 10, U.S.C.]

**Note:** As contemplated by the above-quoted statutes, the assistance of the American National Red Cross was tendered to and formally accepted by the President of the United States as of July 17, 1953.

**KY REGION/LOUISVILLE AREA CHAPTER  
AMERICAN RED CROSS  
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(July 1, 2020 – June 30, 2021)**

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**THE AMERICAN NATIONAL RED CROSS**

**Consolidated Financial Statements**

**June 30, 2019**

**(With summarized information for the year ended June 30, 2018)**

**(With Independent Auditors' Report Thereon)**





*Emphasis of Matter*

As discussed in note 1(q) to the consolidated financial statements, in 2019 The American National Red Cross adopted new accounting guidance, Accounting Standards Update (ASU) No. 2016-14, *Not for Profit Entities (Topic 958) – Presentation of Financial Statements for Not for Profit Entities*, ASU No. 2014-09, *Revenue from Contracts with Customers (Topic 606)*, and ASU No. 2018-08, *Not-for Profit Entities (Topic 958): Clarifying the Scope and the Accounting Guidance for Contributions Received and Contributions Made*. Our opinion is not modified with respect to this matter.

*Report on Summarized Comparative Information*

We have previously audited The American National Red Cross' 2018 consolidated financial statements, and we expressed an unmodified audit opinion on those audited consolidated financial statements in our report dated October 24, 2018. In our opinion, the summarized comparative information presented herein as of and for the year ended June 30, 2018 is consistent, in all material respects, with the audited consolidated financial statements from which it has been derived before the adjustments to adopt ASU No. 2016-14 . As part of our audit of the 2019 consolidated financial statements, we also audited the adjustments described in note 1(q) that were applied to adopt ASU No. 2016-14 retrospectively in the 2018 consolidated financial statements. In our opinion, such adjustments are appropriate and have been properly applied.

*KPMG LLP*

McLean, Virginia  
October 23, 2019

**THE AMERICAN NATIONAL RED CROSS**  
Consolidated Statement of Financial Position  
June 30, 2019  
(With comparative information as of June 30, 2018)  
(In thousands)

<b>Assets</b>	<b>2019</b>	<b>2018</b>
<b>Current assets:</b>		
Cash and cash equivalents	\$ 101,101	129,488
Investments (note 4)	460,187	645,064
Trade receivables, including grants, net of allowance for doubtful accounts of \$2,364 in 2019 and \$1,564 in 2018 (note 11)	196,172	204,631
Contributions receivable (note 2)	31,948	40,150
Inventories, net of allowance for obsolescence of \$178 in 2019 and \$141 in 2018	38,800	38,826
Other current assets	49,516	58,799
Total current assets	<b>877,724</b>	<b>1,116,958</b>
<b>Noncurrent assets:</b>		
Investments (note 4)	1,067,866	1,022,435
Contributions receivable (note 2)	14,689	9,170
Land, buildings, and other property, net (note 3)	798,466	828,445
Assets held for sale, net (note 3)	35,740	12,081
Other assets (note 9)	255,419	251,158
Total noncurrent assets	<b>2,172,180</b>	<b>2,123,289</b>
Total assets	<b>\$ 3,049,904</b>	<b>3,240,247</b>
<b>Liabilities and Net Assets</b>		
<b>Current liabilities:</b>		
Accounts payable and accrued expenses	\$ 272,134	286,654
Current portion of debt (note 5)	43,888	40,452
Postretirement benefits (note 10)	3,824	3,730
Other current liabilities (notes 9 and 11)	133,210	136,924
Total current liabilities	<b>453,056</b>	<b>467,760</b>
<b>Noncurrent liabilities:</b>		
Debt (note 5)	537,413	571,260
Pension and postretirement benefits (note 10)	495,161	492,884
Other liabilities (notes 5 and 9)	122,110	126,191
Total noncurrent liabilities	<b>1,154,684</b>	<b>1,190,335</b>
Total liabilities	<b>1,607,740</b>	<b>1,658,095</b>
<b>Net assets (notes 7 and 8):</b>		
Without donor restrictions net assets (deficit)	(5,469)	(46,450)
With donor restrictions net assets	1,447,633	1,628,602
Total net assets	<b>1,442,164</b>	<b>1,582,152</b>
<b>Commitments and contingencies (notes 4, 5, 6, 10, 11)</b>		
Total liabilities and net assets	<b>\$ 3,049,904</b>	<b>3,240,247</b>

See accompanying notes to consolidated financial statements.

**THE AMERICAN NATIONAL RED CROSS**

Consolidated Statement of Activities

Year ended June 30, 2019

(With summarized information for the year ended June 30, 2018)

(In thousands)

	Without donor restrictions	With donor restrictions	Totals	
			2019	2018
Operating revenues and gains:				
Contributions:				
Corporate, foundation and individual giving	\$ 188,396	411,130	599,526	1,237,162
United Way and other federated	9,153	32,387	41,540	50,412
Contracts, including federal government	1,822	49,770	51,592	58,551
Legacies and bequests	65,988	32,211	98,199	97,066
Services and materials	28,132	41,911	70,043	111,680
Products and services:				
Biomedical Services	1,741,302	—	1,741,302	1,714,669
Program materials	142,379	—	142,379	134,666
Investment return, net (note 4)	15,147	38,088	53,235	191,785
Other revenues	70,601	374	70,975	69,880
Net assets released from restrictions	811,845	(811,845)	—	—
Total operating revenues and gains	<u>3,074,765</u>	<u>(205,974)</u>	<u>2,868,791</u>	<u>3,665,871</u>
Operating expenses:				
Program services:				
Services to the Armed Forces	73,838	—	73,838	69,319
Biomedical Services	1,740,715	—	1,740,715	1,806,665
Community Services	24,672	—	24,672	22,416
Domestic Disaster Services	667,355	—	667,355	766,800
Training Services	144,066	—	144,066	135,998
International Relief and Development Services	61,304	—	61,304	87,507
Total program services	<u>2,711,950</u>	<u>—</u>	<u>2,711,950</u>	<u>2,888,705</u>
Supporting services:				
Fundraising	177,041	—	177,041	198,541
Management and general	103,527	—	103,527	113,608
Total supporting services	<u>280,568</u>	<u>—</u>	<u>280,568</u>	<u>312,149</u>
Total operating expenses	<u>2,992,518</u>	<u>—</u>	<u>2,992,518</u>	<u>3,200,854</u>
Change in net assets from operations	82,247	(205,974)	(123,727)	465,017
Nonoperating investment return, net (note 4)	(4,280)	25,005	20,725	(140,849)
Pension-related changes other than net periodic Benefit cost (note 10)	<u>(36,986)</u>	<u>—</u>	<u>(36,986)</u>	<u>62,061</u>
Change in net assets	40,981	(180,969)	(139,988)	386,229
Net assets, beginning of year	<u>(46,450)</u>	<u>1,628,602</u>	<u>1,582,152</u>	<u>1,195,923</u>
Net assets, end of year	<u>\$ (5,469)</u>	<u>1,447,633</u>	<u>1,442,164</u>	<u>1,582,152</u>

See accompanying notes to consolidated financial statements.

**THE AMERICAN NATIONAL RED CROSS**

Consolidated Statement of Functional Expenses

Year ended June 30, 2019

(With summarized information for the year ended June 30, 2018)

(In thousands)

	Program services					Total program services	
	Service to Armed Forces	Biomedical Services	Community Services	Domestic Disaster Services	Training Services		International Relief and Development Services
Salaries and wages	\$ 30,702	745,519	11,235	120,851	50,721	12,897	971,925
Employee benefits	7,253	176,107	2,654	28,547	11,981	3,048	229,590
Subtotal	37,955	921,626	13,889	149,398	62,702	15,945	1,201,515
Travel and maintenance	3,035	28,752	195	35,487	5,904	2,849	76,232
Equipment maintenance and rental	673	44,080	788	14,036	1,388	564	61,509
Supplies and materials	1,389	224,094	854	5,052	12,035	439	243,863
Contractual services	9,322	476,819	4,136	57,866	57,349	4,934	610,426
Financial and material assistance	18,657	2,339	3,461	394,918	913	35,776	456,064
Depreciation and amortization	2,807	43,005	1,369	10,588	3,775	797	62,341
Total expenses	\$ 79,838	1,740,715	24,672	667,355	144,068	61,304	2,711,950

	Supporting services		Total supporting services	Total expenses	
	Management and general	2019		2018	
Salaries and wages	\$ 84,557	45,038	129,595	1,101,520	1,121,079
Employee benefits	19,974	10,639	30,613	260,203	261,281
Subtotal	104,531	55,677	160,208	1,361,723	1,382,360
Travel and maintenance	5,377	2,538	7,915	84,147	98,095
Equipment maintenance and rental	1,769	3,110	4,879	66,388	88,071
Supplies and materials	2,538	835	3,373	247,236	340,760
Contractual services	57,062	36,398	93,460	703,886	669,036
Financial and material assistance	364	340	704	456,768	557,747
Depreciation and amortization	5,400	4,629	10,029	72,370	64,785
Total expenses	\$ 177,041	103,527	280,568	2,992,518	3,200,854

See accompanying notes to the consolidated financial statements.

**THE AMERICAN NATIONAL RED CROSS**

Consolidated Statement of Cash Flows

Year ended June 30, 2019

(With comparative information for the year ended June 30, 2018)

(In thousands)

	<u>2019</u>	<u>2018</u>
Cash flows from operating activities:		
Change in net assets	\$ (139,988)	386,229
Adjustments to reconcile change in net assets to net cash (used in) provided by operating activities:		
Depreciation and amortization	72,370	64,785
Provision for doubtful accounts receivable	2,095	(1,539)
Provision for obsolete inventories	37	(13)
Net gain on sales of property	(12,655)	(13,534)
Net investment returns and derivatives gains	(39,275)	(52,999)
Pension and postretirement-related changes other than net periodic benefit costs	36,986	(62,061)
Permanently restricted contributions	(19,975)	(23,501)
Changes in operating assets and liabilities:		
Receivables	9,047	30,827
Inventories	(11)	1,895
Other assets	5,022	(14,869)
Accounts payable and accrued expenses	(14,520)	49,146
Other liabilities	(6,239)	(18,370)
Pension and postretirement benefits	(34,615)	(225,023)
Net cash (used in) provided by operating activities	<u>(141,721)</u>	<u>120,973</u>
Cash flows from investing activities:		
Purchases of property	(70,745)	(72,737)
Proceeds from sales of property	11,700	8,839
Proceeds from properties held for sale	18,876	42,766
Purchases of investments	(609,128)	(420,605)
Proceeds from sales of investments	786,293	338,536
Net cash provided by (used in) investing activities	<u>136,996</u>	<u>(103,201)</u>
Cash flows from financing activities:		
Permanently restricted contributions	19,975	23,501
Proceeds from borrowings	—	104,845
Repayments of debt	(43,637)	(138,745)
Net cash used in financing activities	<u>(23,662)</u>	<u>(10,399)</u>
Net (decrease) increase in cash and cash equivalents	(28,387)	7,373
Cash and cash equivalents, beginning of year	<u>129,488</u>	<u>122,115</u>
Cash and cash equivalents, end of year	\$ <u><u>101,101</u></u>	\$ <u><u>129,488</u></u>
Supplemental disclosure of cash flow information:		
Cash paid during the year for interest	\$ 30,365	29,695

See accompanying notes to consolidated financial statements.

## THE AMERICAN NATIONAL RED CROSS

### Notes to Consolidated Financial Statements

June 30, 2019

(With summarized information for the year ended June 30, 2018)

#### (1) Summary of Significant Accounting Policies

##### (a) Organization and Basis of Presentation

The American National Red Cross (the Organization) was established by an Act of the United States Congress on January 5, 1905 for the primary purposes of furnishing volunteer aid to the sick and wounded of the Armed Forces in time of war and to carry on a system of national and international relief in time of peace to mitigate the suffering caused by fire, famine, floods, and other great natural calamities. The mission of the Organization has expanded since that time to help people prevent, prepare for, and respond to emergencies.

The accompanying consolidated financial statements present the consolidated financial position and changes in net assets, functional expenses, and cash flows of the Organization. The Organization has national and international programs that are conducted by its headquarters, biomedical services, and chapters. Also included in the consolidated financial statements are the net assets and operations of Boardman Indemnity Ltd., a 100% owned captive insurance subsidiary and ARC Receivables Company, LLC, a wholly owned bankruptcy-remote special purpose entity. All significant intraorganizational accounts and transactions have been eliminated.

Program activities include services to the Armed Forces, biomedical services, community services, disaster services, training services, and international relief and development services. Biomedical services include activities associated with the collection, processing, testing, and distribution of whole blood and components at 36 local blood services region operations, a biomedical research facility, and related national support functions.

Net assets, revenues, gains, and losses are classified based on the existence or absence of donor-imposed restrictions. Accordingly, the net assets of the Organization and changes therein are classified and reported as follows:

*Without Donor Restrictions* – Net assets that are not subject to any donor-imposed stipulations.

*With Donor Restrictions* – Net assets subject to donor-imposed restrictions on their use that may be met either by actions of the Organization or the passage of time or other legal restrictions requiring that the principal be maintained permanently by the Organization. Generally, the donors permit the Organization to use all or part of the income earned for either general or donor-specified purposes.

##### (b) Measure of Operations

The Organization's measure of operations as presented in the consolidated statement of activities includes operating revenue from contributions, product and services, contracts, investment returns made available for current use, and other revenues. The measure of operations includes support for operating activities from both donor-restricted and without donor restrictions sources. Nonoperating activities primarily include pension-related gains other than net periodic benefit cost, changes in the fair value of investments.

**THE AMERICAN NATIONAL RED CROSS**

Notes to Consolidated Financial Statements

June 30, 2019

(With summarized information for the year ended June 30, 2018)

**(c) Summarized comparative information**

The consolidated financial statements are presented with certain prior year summarized comparative information. Such information does not include sufficient detail to constitute a presentation in conformity with U.S. generally accepted accounting principles. Accordingly, such information should be read in conjunction with the Organization's consolidated financial statements for the year ended June 30, 2018 from which the summarized information was derived.

**(d) Use of Estimates**

The preparation of the consolidated financial statements in conformity with U.S. generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities in the financial statements. Estimates and assumptions may also affect disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses. Actual results could differ from management's estimates.

**(e) Cash Equivalents**

The Organization considers all highly liquid investments purchased with an original maturity of three months or less to be cash equivalents. Cash equivalents consist of money market mutual funds and overnight investments of approximately \$91 million and \$121 million as of June 30, 2019 and 2018, respectively.

**(f) Investments**

Investments are reported at fair value except for certain alternative investment funds that, as a practical expedient, are reported at estimated fair value utilizing net asset values (NAVs). Net asset value, in some instances may not equal the fair value. The Organization does not intend to sell any of the funds at an amount different from net asset value per share at June 30, 2019. The Organization reviews and evaluates the net asset values provided by the general partners and fund managers and agrees with the valuation methods and assumptions used in determining net asset values of these funds.

Investment income classified as operating revenue consists of interest and dividend income on investments and spending approved for use in operations (note 4). All other realized and unrealized gains or losses are classified as nonoperating activities and are available to support operations in future years and to offset potential market declines.

Investments classified as current investments made by the Organization are expected to be converted into cash within one year.

Investment management fees are netted against investment returns.

**(g) Derivative Financial Instruments**

The Organization makes use of derivative financial instruments in order to take exposure or mitigate certain risks. Derivative financial instruments are recorded at fair value (note 4). Derivatives in an asset and liability position are offset against each other and reported net in investments in the statement of financial position.

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**(h) Endowment Fund**

The Organization has maintained a national endowment fund since 1905. From 1910 until June 30, 2015, any gift to the American Red Cross National Headquarters from a will, trust, or similar instrument that did not direct the use of the funds was deposited into the endowment fund, recorded as net assets with donor restrictions to be kept and invested in perpetuity and, accordingly, reported as net assets with donor restrictions. In fiscal year 2015, the Organization adopted a new policy that gifts to the American Red Cross National Headquarters from a will, trust, or similar instrument dated on or after July 1, 2015 without a direction to the application or purpose of the funds shall be allocated at the discretion of senior management to where the need is greatest. Such amounts will be reported as increases to net assets without donor restrictions. All gifts to the American Red Cross National Headquarters that are designated to be invested in perpetuity shall continue to be deposited into the endowment fund regardless of the date of the gift instrument.

**(i) Inventories**

Inventories of supplies purchased for use in program and supporting services are valued using the average cost method. Whole blood and its components are valued at the lower of average cost or net realizable value.

**(j) Land, Buildings, and Other Property**

Purchases of land, buildings, and other property having a unit cost per established guidelines and a useful life of three or more years are capitalized at cost. Donated assets are capitalized at the estimated fair value at date of receipt. Interest expense incurred during a period of construction, less related interest income earned on proceeds of tax-exempt borrowings is capitalized.

Property under capital leases is amortized over the lease term. Any gain or loss on the sale of land, buildings and other property is reported as other revenues on the consolidated statement of activities.

Application development costs incurred to develop internal-use software are capitalized and amortized over the expected useful life of the software application. Activities that are considered application development include design of software configuration and interfaces, coding, installation of hardware, and testing. All other expenses incurred to develop internal-use software are expensed as incurred.

Depreciation is computed using the straight-line method over the estimated useful lives of the assets as follows:

<u>Class of property</u>	<u>Useful life in years</u>
Buildings	45
Building improvements	10
Equipment and software	3–15

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#### **(k) Long-Lived Assets**

Long-lived assets, such as land, building, and other property, are reviewed for impairment whenever events or changes in circumstances indicate that the carrying amount of an asset may not be recoverable. If circumstances require a long-lived asset be tested for possible impairment, the Organization first compares undiscounted cash flows expected to be generated by an asset to the carrying value of the asset. If the carrying value of the long-lived asset is not recoverable on an undiscounted cash flow basis, an impairment loss is recognized to the extent that the carrying value exceeds its fair value. Fair value is determined through various valuation techniques, including discounted cash flow models, quoted market values, and third-party independent appraisals, as considered necessary.

#### **(l) Property and Casualty Insurance**

The Organization maintains various insurance policies under which it assumes a portion of each insured loss. Assumed losses are retained by the Organization through its wholly owned insurance subsidiary, Boardman Indemnity, Ltd. (Boardman). The Organization also purchases insurance to supplement the coverage by Boardman. The liabilities for outstanding losses and incurred but not reported claims have been determined based on actuarial studies and are reported as other liabilities in the consolidated statement of financial position, and were approximately \$76 million and \$88 million as of June 30, 2019 and 2018, respectively.

#### **(m) Revenue Recognition**

##### **(i) Contribution Revenue**

Contributions, which include unconditional promises to give (pledges), are recognized as revenues in the period received or promised. Contributions receivable due beyond one year are stated at net present value of the estimated cash flows using a risk-adjusted rate. Conditional contributions are recorded when the conditions have been met. Contributions are considered to be without donor restrictions unless specifically restricted by the donor for time or purpose.

The Organization reports contributions in the donor restricted net asset class if they are received with donor stipulations as to their use and/or time. When a donor restriction expires, that is, when a stipulated time restriction ends or purpose restriction is accomplished, net assets with donor restriction are released and reclassified to net assets without donor restriction in the consolidated statement of activities.

Donor-restricted contributions are initially reported in the with donor restriction net asset class, even if it is anticipated such restrictions will be met in the current reporting period.

##### **(ii) Revenue from Contracts with Customers**

Revenue is recognized when control of the promised goods or services is transferred to our customers, in an amount that reflects the consideration the Organization expects to be entitled to in exchange for those goods or services. Revenue from contracts with customers is disaggregated between Biomedical and Program Materials on the consolidated statement of activities.

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*(iii) Biomedical Revenues*

Biomedical provides goods (blood products for hospitals) and services (blood testing) under single contracts with customers with multiple performance obligations. Blood products sold fall under two main categories – Whole Blood Products, which include red cells, plasma, and platelets; and Apheresis Platelet Products, which are the result of donated specific blood components. Testing services are performed over all products prior to the sale and delivery of the products. Because the blood products and blood testing services are not capable of being distinct, the products and services are treated as a bundled performance obligation.

For products, the performance obligation is satisfied when the customer gains control over the promised asset, which is generally at the time of shipment based on the contractual terms with our customers. Blood products have a limited shelf-life, therefore, any associated refunds or discounts, which historically have not been material, are generally recognized in the same accounting period in which the initial revenue is recognized.

For services, the service has been substantially performed and the obligation met at the point in time at which the service is completed. Services are invoiced once the regulated testing process is complete and documentation is sent to the customer.

Performance obligations for blood products and blood testing services are generally satisfied within 30 days, and therefore, there is no substantial difference in revenue recognition based on bundled performance obligations.

The expected length of time between when American Red Cross (ARC) transfers the promised goods or services to the customer and when the customer pays for those goods or services is 30 days. Generally, there is no difference between the amount of consideration promised and the cash selling price of the blood products and services.

*(iv) Program Materials Revenue*

The organization provides various health and safety preparedness classes and certifications including CPR, first aid, AED skills, swimming and water safety, lifeguarding, caregiving skills, and certified nurse assistant (CNA) training and testing. Revenue generated by these training services is included in Program Materials on the consolidated statement of activities.

Program Materials, which consist primarily of training services, performance obligations are satisfied at the point in time at which the training is complete and certification is provided. Revenue is recognized upon completion of distinct performance obligations in the same accounting period in which each specific performance obligation is met. The transaction price is determined for each contract using the stand alone selling price and applied to each performance obligation as completed.

*(v) Other Revenue*

Revenue from grants and contracts, including federal grants, that are considered to be conditional contributions are recorded in the statement of activities under Contracts, including federal government within the contribution section and are recognized as qualifying expenses are incurred

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under the agreement. The Organization adopted the simultaneous release option for donor-restricted conditional grants that are recognized and used within the same reporting period, therefore, these amounts are reported as without donor restriction.

Gains and losses on investments and other assets and liabilities are reported as increases or decreases in net assets without donor restriction unless their use is restricted by explicit donor stipulation or by law.

#### **(n) Contributed Services and Materials**

Contributed services reflect the important impact volunteers have in delivering the Organization's mission. Contributed services are reported at fair value in the financial statements for voluntary donations of services when those services (1) create or enhance nonfinancial assets or (2) require specialized skills provided by individuals possessing those skills and are services, which would be typically purchased if not provided by donation.

The Organization engages more than 300,000 volunteers. A small percentage of these volunteers meet the above criteria and are reported in contributed services. Contributed services for the year ended June 30, 2019 include the services of approximately 13,177 volunteers. The Organization recorded contributed services revenue and related expense of approximately \$51 million. The \$51 million and \$53 million recorded in 2019 and 2018, respectively, represent primarily volunteer efforts in support of disaster services and services to the Armed Forces.

Contributed materials are recorded at their fair value at the date of the gift. Gifts of long-lived assets are recorded as restricted support.

#### **(o) Income Taxes**

The American National Red Cross is a not-for-profit organization incorporated by the U.S. Congress through the issuance of a federal charter. The Organization is exempt from federal income taxes under Section 501(c)(3) of the Internal Revenue Code, except on net income derived from unrelated business activities. At June 30, 2019 and 2018, the Organization has determined that no income taxes are due for such activities. Accordingly, no provision for income taxes has been recorded in the accompanying financial statements. Management annually reviews its tax positions and has determined that there are no material uncertain tax positions that require recognition in the consolidated financial statements.

#### **(p) Accounts Receivable Securitization**

The Organization has an accounts receivable securitization program that is accounted under Accounting Standards Codification (ASC) Topic 860, *Transfers and Servicing* (note 11).

#### **(q) Newly Adopted Accounting Pronouncements**

In May 2014, the Financial Accounting Standards Board (FASB) issued Accounting Standards Update (ASU) No. 2014-09, *Revenue from Contracts with Customers (Topic 606)*, requires entities to recognize revenue when control of the promised goods or services is transferred to customers at an amount that reflects the consideration to which the entity expects to be entitled to in exchange for those goods or services. The Organization adopted this standard on July 1, 2018 using the cumulative catch

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up adjustment method. Due to the short-term nature of the products within the Organization's revenue streams, the adoption of this standard did not have a material impact on the amount and timing of revenue recognition for revenue from Biomedical and Training Services. Other remaining revenue streams not related to the biomedical process including Contributions, Contracts including Federal Government, Investment Income, and Other Revenue are not within the scope of Topic 606 Revenue Recognition. The adoption of this standard did not materially affect consolidated changes in net assets, financial position, or cash flows.

In August 2016, the FASB issued ASU No. 2016-14, *Not-for-Profit Entities (Topic 958) – Presentation of Financial Statements for Not-for-Profit Entities*. ASU No. 2016-14 reduces the number of net asset classes presented from three to two: net assets without donor restrictions, previously reported as unrestricted net assets, and net assets with donor restrictions, previously reported as temporarily restricted net assets of \$768 million and permanently restricted net assets of \$860 million for fiscal year ended June 30, 2018. Additionally, ASU No. 2016-14 requires presentation of expenses by functional and natural classification in one location and increases the quantitative disclosures about liquidity and availability of financial assets. The Organization adopted ASU No. 2016-14 for Organization's financial statements for the year ended June 30, 2019 and applied the changes retrospectively.

In June 2018, the FASB issued ASU No. 2018-08 – *Not-for Profit Entities (Topic 958): Clarifying the Scope and the Accounting Guidance for Contributions Received and Contributions Made*. The new guidance applies to all entities that receive or make contributions. This ASU includes specific criteria to consider when determining whether a contract or agreement should be accounted for as a contribution or as an exchange transaction. ASU No. 2018-08 also provides a framework to determine whether a contribution is conditional or unconditional, which may impact the timing of revenue recognition. Under the new guidance, if a transaction is considered an exchange transaction, it is accounted for under the applicable revenue recognition standards. The Organization adopted this standard prospectively for contributions received for the fiscal year ended June 30, 2019. The adoption of this standard resulted in most grants being accounted for as contributions than were under previous guidance. The Organization does not make significant contributions and the impact of ASU No. 2018-08 related to contributions made is not expected to be material to the consolidated financial statement or disclosures.

#### **(r) Upcoming New Accounting Pronouncements**

In February 2016, the FASB issued ASU No. 2016-02, *Leases (Topic 842)* which supersedes the requirements in ASC Topic 840, *Leases*. ASU No. 2016-02 requires the recognition of lease assets and lease liabilities by lessees for all leases, including operating leases, with a term greater than 12 months. Under the guidance of ASU No. 2016-02, a lessee should recognize in the balance sheet a liability to make lease payments (lease liability) and a right-of-use asset representing its right to use the underlying asset for the lease term. The accounting applied by a lessor under ASU No. 2016-02 is largely unchanged from that applied under ASC Topic 840. The ASU is effective for the Organization for the year ending June 30, 2020. The Organization is currently in the process of evaluating the impact of the new standard on its consolidated financial statements.

In November 2016, the FASB issued ASU No. 2016-18, *Statement of Cash Flows (Topic 230): Restricted Cash*. ASU No. 2016-18 provides guidance on the presentation of restricted cash or restricted cash equivalents in the statement of cash flows. The ASU is effective for the Organization for

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the year ending June 30, 2020. The Organization is still evaluating the final effect that ASU No. 2016-18 will have on its consolidated financial statements and disclosures; however, management does not expect the adoption of the standard to have a material impact.

In March 2017, the FASB issued ASU No. 2017-07, *Compensation - Retirement Benefits (Topic 715): Improving the Presentation of Net Periodic Pension Cost and Net Periodic Postretirement Benefit Cost*. This ASU requires that an employer report the service cost component in the same line item or items as other compensation costs arising from services rendered by the pertinent employees during the period. The other components of net benefit cost, which include interest cost and prior service cost or credit, among others, are required to be presented in the statement of activities separately from the service cost component and outside a subtotal of revenue from operations, if one is presented. The ASU is effective for the Organization for the year ending June 30, 2020. The Organization is still evaluating the final effect that ASU No. 2017-07 will have on its consolidated financial statements and disclosures; however, management does not expect the adoption of the standard to have a material impact.

**(2) Contributions Receivable**

The Organization anticipates collection of outstanding contributions receivable as follows at June 30, 2019 and 2018 (in thousands):

	2019	2018
Amounts receivable within one year	\$ 35,158	42,065
Amounts receivable in 1 to 5 years (net of discount of \$1,432 and \$1,294 for 2019 and 2018, respectively)	14,689	9,170
Total contributions receivable before allowance for uncollectible amounts	49,847	51,235
Less allowance for uncollectible amounts	(3,210)	(1,915)
Contributions receivable, net	46,637	49,320
Less current portion	31,948	40,150
Contributions receivable, net, noncurrent	\$ 14,689	9,170

Amounts presented above have been discounted to present value using various discount rates ranging between 0.76% and 3.15%.

As of June 30, 2019, the Organization received conditional grants totaling \$54 million. These grants are conditioned on incurring qualifying expenses and will be recognized as revenue in the periods in which the conditions are fulfilled.

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**(3) Land, Buildings, and Other Property**

The cost and accumulated depreciation of land, buildings, and other property were as follows at June 30, 2019 and 2018 (in thousands):

	<u>2019</u>	<u>2018</u>
Land	\$ 88,730	100,481
Buildings and improvements	1,034,271	1,051,349
Equipment and software	<u>557,066</u>	<u>546,508</u>
Total cost of assets placed in service	1,680,067	1,698,338
Less accumulated depreciation and amortization	(903,686)	(878,157)
Construction in progress	<u>22,085</u>	<u>8,264</u>
Land, buildings, and other property, net	<u>\$ 798,466</u>	<u>828,445</u>

Assets held for sale were as follows at June 30, 2019 and 2018 (in thousands):

	<u>2019</u>	<u>2018</u>
Land	\$ 13,081	4,451
Buildings and improvements	<u>39,596</u>	<u>21,299</u>
Total cost of assets held for sale	52,677	25,750
Less accumulated depreciation and amortization	<u>(16,937)</u>	<u>(13,669)</u>
Assets held for sale, net	<u>\$ 35,740</u>	<u>12,081</u>

These assets have been segregated from land, buildings, and other property and presented as assets held for sale within the accompanying consolidated financial statements. The Organization identified these assets as not critical to supporting its primary mission as part of ongoing assessment procedures. The Organization then evaluated the identified assets using the criteria for classification as held for sale included in ASC 360-10, *Impairment and Disposal of Long-Lived Assets*. Certain assets or portions of assets identified were determined to meet the criteria and have been classified as such. The carrying value of these assets has been compared to the current appraised values less cost to sell and determined not to be impaired. During fiscal year ended June 30, 2019, the gain on the buildings and improvements assets held for sale was approximately \$6 million, which is included in other revenue on consolidated statement of activities.

**(4) Investments and Fair Value Measurements**

The Organization applies the provisions of ASC No. 820, *Fair Value Measurements*, for fair value measurements of investments that are recognized and disclosed at fair value in the financial statements on a recurring basis. ASC No. 820 defines fair value as the exchange price that would be received for an asset

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or paid to transfer a liability (an exit price) in the principal or most advantageous market for the asset or liability in an orderly transaction between market participants on the measurement date. ASC Topic 820 also establishes a fair value hierarchy that requires the Organization to maximize the use of observable inputs when measuring fair value. Observable inputs reflect market data obtained from independent sources, while unobservable inputs reflect the Organization's market assumptions. The three levels of the fair value hierarchy are as follows:

- Level 1 – Quoted prices for identical assets or liabilities in active markets
- Level 2 – Quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar assets or liabilities in markets that are not active; inputs other than quoted prices that are observable for the asset or liability; or market – corroborated inputs
- Level 3 – Unobservable inputs that are supported by little or no market activity and that are significant to the fair value of the assets or liabilities.

In certain cases, the inputs to measure fair value may result in an asset or liability falling into more than one level of the fair value hierarchy. In such cases, the determination of the classification of an asset or liability within the fair value hierarchy is based on the least determinate input that is significant to the fair value measurement.

For the years ended June 30, 2019 and 2018, there were no transfers between levels.

The Organization's assessment of the significance of a particular input to the fair value measurement in its entirety requires judgment and considers factors specific to the asset or liability.

As of January 1, 2018, the Organization acquired 40% interest in Creative Testing Solutions. This investment is accounted for using the equity method, and is reflected in long-term investments on the Organization's consolidated statement of financial position. The balance at June 30, 2019 reflects the original contribution as well as the Organization's share of the earnings of the investee, which were approximately \$13 million for the period ended June 30, 2019.

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The following table represents investments that are measured at fair value on a recurring basis and other investments at June 30, 2019 (in thousands):

	<u>June 30, 2019</u>	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Measured at NAV(1)</u>
Fixed-income commingled funds	\$ 55,562	—	55,562	—	—
U.S. government and sovereign securities	118,234	—	118,234	—	—
Common and preferred stock	569	569	—	—	—
Equity commingled funds	184,453	31,037	100,989	—	52,427
Exchange-traded funds	73,586	73,586	—	—	—
Hedge funds	391,667	—	—	—	391,667
Private equity and debt	128,699	—	—	—	128,699
Real estate and real assets	202	—	—	—	202
Cash and cash equivalents	520,806	37,751	483,055	—	—
Investments stated at fair value	<u>1,473,778</u>	<u>142,943</u>	<u>757,840</u>	<u>—</u>	<u>572,995</u>
Equity method investments	<u>54,275</u>				
Total investments	\$ <u>1,528,053</u>				

The following table represents investments that are measured at fair value on a recurring basis at June 30, 2018 (in thousands):

	<u>June 30, 2018</u>	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Measured at NAV(1)</u>
Fixed-income commingled funds	\$ 167,330	—	167,330	—	—
Equity commingled funds	163,478	—	163,478	—	—
Hedge funds	432,824	—	—	—	432,824
Private equity and debt	90,655	—	—	—	90,655
Real estate and real assets	9,656	—	—	—	9,656
Cash and cash equivalents	750,554	2,731	747,823	—	—
Investments stated at fair value	<u>1,614,497</u>	<u>2,731</u>	<u>1,078,631</u>	<u>—</u>	<u>533,135</u>
Equity method investments	<u>53,002</u>				
Total investments	\$ <u>1,667,499</u>				

(1) Certain investments are measured at fair value using NAV as a practical expedient and have not been classified in the fair value hierarchy. The NAV amounts have been presented to permit reconciliation of the fair value hierarchy to the amounts presented in the consolidated statement of financial position.

The Organization used quoted prices in principal active markets for identical assets as of the valuation date (Level 1) to value certain cash equivalents at June 30, 2019 and 2018.

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For the valuation of certain cash equivalents, U.S. government and sovereign securities, and fixed income and equity commingled funds at June 30, 2019 and 2018, the Organization used significant other observable inputs, particularly dealer market prices for comparable investments as of the valuation date (Level 2). The Level 2 commingled funds have a readily determinable fair value.

For the most part, the valuation of hedge funds, private equity and debt funds, private real estate and private real assets funds, at June 30, 2019 and 2018, are reported at estimated fair value utilizing the net asset values provided by fund managers as a practical expedient. In a few instances, additional supplemental information provided by the fund manager has been utilized to evaluate fund values and level the investments. Reported fund values utilize significant unobservable inputs; the Organization reviews and evaluates the values provided by fund managers and general partners and agrees with the valuation methods and assumptions used in determining the reported fair values of the alternative investments.

The Organization had no Level 3 reportable transactions for the year ended June 30, 2019. The following table presents the Organization's activity for investments measured at fair value on a recurring basis using significant unobservable inputs (Level 3) for the year ended June 30, 2018 (in thousands):

	Balance as of June 30, 2017	Purchases	Settlements	Change in unrealized gains/ (losses)	Balance as of June 30, 2018
Hedge funds	\$ 87	—	(86)	(1)	—
Private equity and debt	4,160	—	(2,777)	(1,383)	—
<b>Total investments</b>	<b>\$ 4,247</b>	<b>—</b>	<b>(2,863)</b>	<b>(1,384)</b>	<b>—</b>

The following summarizes the nature and risk of those investments that are reported at estimated fair value utilizing net asset value as of June 30, 2019 (in thousands):

	Fair value	Unfunded commitments	Redemption frequency	Redemption notice period
Equity commingled funds (a)	52,427	—		
Hedge funds (b),(d)	\$ 3,618	—	N/A	fully redeemed
Hedge funds (b)	388,049	—	monthly to bi-annually*	5-90 days
Private equity and debt (c)	128,699	85,173	None	—
Real estate and real assets (c)	202	7,142	None	—
<b>Total</b>	<b>\$ 572,995</b>	<b>92,315</b>		

\* biannually defined as every two years

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- (a) **Equity Commingled Fund Investments.** Equity mandates are global or sector focused (i.e., real estate MLPs). Underlying equity exposures are global equities and cash for liquidity purposes. Positions are generally long and leverage can be used if managers are allowed to hedge foreign current exposures.
- (b) **Hedge Fund Investments.** Hedge fund strategies include: equity long/short, relative value, event driven, arbitrage, macro, and opportunistic strategies. Underlying hedge fund holdings can consist of the full spectrum of global equity, fixed income, commodity, and currency instruments. Positions may be long and short; leverage may also be used. Some funds may invest in side pockets, which are a separate share class and are not available for redemption until the investment is liquidated by the manager.
- (c) **Non-Marketable Investment Strategies.** Private equity and debt strategies include: leveraged buyout, growth equity, venture capital, and distressed debt. Real estate and real assets strategies include natural resources, such as oil and gas or minerals and mining. Nonmarketable funds do not permit redemptions; capital is returned to investors at the discretion of the investment manager and in accordance with limited partnership terms. Interim distributions of interest and dividends can be made; however, capital and realized gains are generally distributed when underlying investments are liquidated. Funds are able to recall distributions. It is expected that the majority of the nonmarketable investments will be liquidated over the next 10 years.
- (d) Represents expected redemptions related to audit holdbacks, where funds retain a portion of requested redemptions until the fund's annual audit is complete in order to accommodate potential final NAV adjustments.

The Organization transacts in a variety of derivative instruments, including swaps and options, for investment and hedging purposes, in order to take or mitigate certain exposures. Each instrument's primary underlying exposure could be equities, commodities, interest rates, credit, or currencies. Such contracts involve, to varying degrees, risks of loss from the possible inability of counterparties to meet the terms of their contracts. In the case of over-the-counter derivatives, collateralization and daily marks-to-market mitigate counterparty risk. The Organization also invests in highly liquid, exchange-traded contracts to achieve exposure to U.S. Treasury securities; these contracts are also marked-to-market daily, with daily exchanges of variation margin, but do not require collateralization per se. Foreign exchange derivatives can be used to facilitate trade purchases and sales as well as for hedging purposes.

The following table lists the notional/contractual amount of derivatives by contract type included in investments at June 30, 2019 and 2018 (in thousands):

Derivative type	2019	2018
Equity contracts	\$ —	54,386

There is no reportable fair value of derivatives by contract type as of June 30, 2019 or 2018.

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The following table lists gains and losses on derivatives by contract type included in investment income as of June 30, 2019 and 2018 (in thousands):

Derivative type	Realized gains		Change in unrealized gains/(losses)	
	2019	2018	2019	2018
Equity contracts	\$ (1,498)	11,975	—	(1,928)
Total	\$ (1,498)	11,975	—	(1,928)

For the valuation of the Organization's derivative contracts at June 30, 2019, the Organization used significant other observable inputs as of the valuation date (Level 2), including prices of instruments with similar maturities and characteristics, interest rate yield curves, measures of interest rate volatility and various market indices. The value was determined and adjusted to reflect nonperformance risk of both the counterparty and the Organization.

Investment securities are exposed to various risks, such as interest rate, market and credit. Due to the level of uncertainty related to changes in interest rates, market volatility and credit risks, it is at least reasonably possible that changes in these risks could materially affect the estimated fair value of investments reported in the consolidated statement of financial position as of June 30, 2019. However, the diversification of the Organization's invested assets among these various asset classes and the risk reduction purpose in the case of hedging assets is management's strategy to mitigate the impact of any dramatic change on any one asset class.

The following schedule summarizes the composition of investment return for the years ended June 30, 2019 and 2018 (in thousands):

	2019		2018	
	Without donor restrictions	With donor restrictions	Total	Total
Investment returns available for operations:				
Endowment distribution for the retirement system	\$ —	—	—	105,000
Other investment returns	15,147	38,088	53,235	86,785
	15,147	38,088	53,235	191,785
Net nonoperating investment returns	(4,280)	25,005	20,725	(140,849)
Total return on investments, net	\$ 10,867	63,093	73,960	50,936

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**(5) Debt**

Debt consists of the following at June 30, 2019 and 2018 (in thousands):

	<b>2019</b>	<b>2018</b>
Fixed rate debt:		
Bearing interest rates ranging from 0% to 5.85%, due calendar year 2019 through 2044	\$ 440,176	441,952
Variable rate debt:		
Bearing interest rates ranging from 1.60% to 3.31%, due calendar year 2019 through 2034:		
Variable rate debt with demand repayment rights	51,125	54,760
Variable rate debt without demand repayment rights	90,000	115,000
Total bonds and notes payable	581,301	611,712
Less current portion	43,888	40,452
Debt, noncurrent portion	\$ 537,413	571,260

The Organization's debt is generally backed only by the full faith and credit of the Organization. Certain bonds are subject to redemption prior to the maturity at the option of the Organization. The repayment terms of the variable rate debt generally require monthly payments of interest and annual principal reduction. The registered owners of the bonds and notes with demand repayment rights may demand repurchase of the bonds and notes for an amount equal to the principal plus accrued interest. Letters of credit or standby credit facilities have been established in the aggregate amount of \$9 million and \$11 million for both fiscal years 2019 and 2018, respectively, to provide liquidity in the event other funding is not available for repurchasing. As of June 30, 2019, the maturity dates for these liquidity facilities are in calendar year 2020. Approximately \$9 million of the debt with demand repayment rights bears interest at flexible rates with flexible rate periods of any duration up to 270 days. The remaining debt with demand repayment rights is bearing interest rates that are reset weekly.

Certain of the Organization's debt agreements include covenants that require the Organization to maintain certain levels of financial ratios. The Organization was in compliance with its covenant requirements as of and for the year ended June 30, 2019.

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Scheduled maturities and sinking fund requirements of the debt and credit agreements as of June 30, 2019 are as follows (in thousands):

2020	\$	38,735
2021		38,735
2022		43,835
2023		88,935
2024		29,035
Thereafter		<u>328,950</u>
	\$	<u>568,225</u>

Interest expense was approximately \$30 million for both years ended June 30, 2019 and 2018, respectively, which is included in contractual services on the statement of functional expenses.

**(a) Bank Lines of Credit**

The Organization maintained several committed and uncommitted lines of credit with various banks for its working capital requirements. There were no borrowings outstanding under lines of credit as of June 30, 2019 and 2018. The Organization had unused lines of credit outstanding of approximately \$275 million at both June 30, 2019 and June 30, 2018. The amounts available to be borrowed on the lines of credit are subject to the limitations of the Organization's debt covenants.

**(b) Interest Rate Swap Agreements**

The Organization held variable rate debt of approximately \$141 million and \$170 million at June 30, 2019 and 2018, respectively. Interest rate swap agreements are used by the Organization to mitigate the risk of changes in interest rates associated with variable interest rate indebtedness. Under such arrangements, a portion of variable rate indebtedness is converted to fixed rates based on a notional principal amount. The interest rate swap agreements are derivative instruments that are recognized at fair value and recorded on the statement of financial position. At June 30, 2019, the aggregate notional principal amount under the interest rate swap agreements, with maturity dates ranging from calendar year 2019 through 2025, totaled \$42 million. At June 30, 2018, the aggregate notional principal amount under the interest rate swap agreements, with maturity dates ranging from calendar year 2018 through 2025, totaled \$60 million. The estimated fair value of the interest rate swap agreements was a liability of approximately \$2.8 million and \$1.3 million, respectively, and is included in other liabilities in the accompanying consolidated statement of financial position as of June 30, 2019 and 2018.

The change in fair value on these interest rate swap agreements was a loss of approximately \$1.6 million and a gain of approximately \$1.6 million for the years ended June 30, 2019 and 2018, respectively, and is included in nonoperating gains in the consolidated statements of activities.

For the valuation of the interest rate swaps at June 30, 2019 and 2018, the Organization used significant other observable inputs as of the valuation date (Level 2), including prices of instruments with similar maturities and characteristics, interest rate yield curves, and measures of interest rate

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volatility. The value was determined and adjusted to reflect nonperformance risk of both the counterparty and the Organization. See note 4 for definitions of Levels 1, 2, and 3.

**(c) Letters of Credit**

The Organization had unused letters of credit outstanding of approximately \$53 million at June 30, 2019 and \$55 million at June 30, 2018.

**(6) Leases**

The Organization leases certain buildings and equipment for use in its operations. The following summarizes minimum future rental payments under operating leases for the fiscal years ending June 30 (in thousands):

2020	\$	27,662
2021		23,674
2022		20,226
2023		15,946
2024		11,632
Thereafter		67,526
Total minimum lease payments	\$	166,666

Total rent expense was approximately \$48 million and \$46 million for the years ended June 30, 2019 and 2018, respectively, and is included in contractual services on the consolidated statement of functional expenses.

Future minimum rental payments to be received by the Organization for office space leased at the National Headquarters building as of June 30, 2019 are as follows (in thousands):

2020	\$	19,220
2021		19,392
2022		19,568
2023		19,747
2024		19,931
Thereafter		123,669
Total minimum lease payments to be received	\$	221,527

The rental income was approximately \$19 million for both years ended June 30, 2019, and 2018, respectively, and is included in other revenue on the consolidated statement of activities.

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**(7) Net Assets**

The Organization monitors cash and investment reserve requirements across the entire enterprise to ensure service delivery can be performed. Management actively manages short and long-term cash needs against all available liquidity from cash, investments, and fair value of land, building, and equipment held for sale. As a result, it continues to have positive mission-related operating net assets, even though the Organization has pension-related and other long-term liabilities.

Net assets with donor restrictions are available for the following purposes or periods as of June 30, 2019 and 2018 (in thousands):

	<u>2019</u>	<u>2018</u>
Disaster and humanitarian services	\$ 199,796	402,418
International relief and development services	60,166	80,621
Endowment	1,013,098	968,352
Other net assets with restriction to be held in perpetuity	<u>174,573</u>	<u>177,211</u>
Total with donor restrictions net assets	<u>\$ 1,447,633</u>	<u>1,628,602</u>

With donor restrictions net assets in perpetuity at June 30, 2019 and 2018 consist primarily of endowed contributions, the income from which is available principally to fund general operations. Other with donor restrictions net assets in perpetuity consist of beneficial interests in perpetual trusts and other split-interest agreements (note 9).

As part of the Organization's liquidity management, it has a policy to structure its financial assets to be available as its operating expenditures and other obligations come due. In addition, the Organization invests cash in excess of daily requirements in short-term investments. The restricted portion of its financial assets are excluded from the liquidity disclosure as they are used for restricted purposes.

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As of June 30, 2019 and 2018, financial assets and liquidity resources available within one year for general expenditures, such as operating expenses and scheduled principal payments on debt, were as follows (in thousands):

	<u>2019</u>
Financial assets:	
Cash and cash equivalents	\$ 101,101
Short-term investments net	277,187
Trade receivable, including grants, net of allowance for doubtful accounts	84,064
Contributions receivable	<u>21,716</u>
Total financial assets available within one year	484,068
Liquidity resources:	
Letters of credits and other credit facilities	<u>337,000</u>
Total financial assets and liquidity resources available within one year	<u>\$ 821,068</u>

**(8) Endowments**

Effective January 23, 2008, the District of Columbia enacted the Uniform Prudent Management of Institutional Funds Act (UPMIFA), the provisions of which apply to endowment funds existing on or established after that date. Based on its interpretation of the provisions of UPMIFA, the Organization is required to act prudently when making decisions to spend or accumulate donor-restricted endowment assets and in doing so to consider a number of factors, including the duration and preservation of its donor-restricted endowment funds. The Organization classifies as net assets with donor restrictions the original value of gifts donated to be held in perpetuity. The appreciation of the donor-restricted endowment fund is appropriated for expenditure by the Organization in a manner consistent with the standard of prudence prescribed by UPMIFA.

The Organization has adopted and the Governing Board has approved the Statement of Investment Policies and Objectives for the endowment fund. This policy has identified an appropriate risk posture for the fund, stated expectations and objectives for the fund, provides asset allocation guidelines, and establishes criteria to monitor and evaluate the performance results the fund's managers.

To satisfy its long-term rate of return objectives, the Organization relies on a total return strategy in which investment returns are achieved through both capital appreciation (realized and unrealized) and current yield (interest and dividends).

The Organization makes distributions from income earned on the endowment fund for current operations using the total return method. In establishing this method, the Organization considered the long-term expected return on its funds. To the extent that distributions exceed net investment income, they are made

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from accumulated gains. The Board of Governors approves the spending rate, calculated as a percentage of the five-year calendar trailing average fair value of the endowment fund at the beginning of each fiscal year.

A spending rate of 3.8% has been approved for year 2019, which resulted in total distributions of approximately \$37 million. For 2018, a one-time spending rate of 15.0% was approved, which resulted in total distributions of approximately \$141 million. The distributions for both years represent utilization of accumulated realized gains, which were calculated based on the trailing five-year market value. Consistent with 2019, a spending rate of approximately 3.8% of the trailing five-year market value has been approved for 2020.

Changes in endowment net assets for the year ended June 30, 2019 (in thousands):

	<u>With donor restrictions</u>	<u>Total</u>
Endowment net assets, beginning of year	\$ 968,352	968,352
Total investment return, net	61,818	61,818
Contributions	19,975	19,975
Appropriation of endowment assets for expenditure	<u>(37,047)</u>	<u>(37,047)</u>
Endowment net assets, end of year	<u>\$ 1,013,098</u>	<u>1,013,098</u>

**(9) Split-Interest Agreements**

The Organization is a beneficiary of split-interest agreements in the form of charitable gift annuities, perpetual trusts held by third parties, charitable remainder trusts, and pooled income funds. The value of split-interest agreements is measured as the Organization's share of fair value of the assets. Of the \$253 million and \$248 million in assets under these agreements as of June 30, 2019 and 2018, respectively, which are included in other assets on the consolidated statement of financial position, \$41 million and \$37 million, respectively, are charitable gift annuities and the remainder are assets for which the Organization is not the trustee. Liabilities associated with these agreements are \$31 million and \$28 million for the years ended June 30, 2019 and 2018, respectively, of which \$7 million and \$4 million included in other current liabilities and \$24 million for both years is included in other noncurrent liabilities on the consolidated statement of financial position, respectively.

**(10) Benefit Plans**

**(a) The Retirement System of the American National Red Cross and The American Red Cross Life and Health Benefit Plan**

Before July 1, 2009, employees of the American Red Cross, including employees of participating local chapters, were covered by the Retirement System of the American National Red Cross (the Plan) after one year of employment and completion of 1,000 hours of service during any consecutive 12-month period. The Plan was closed to employees hired after June 30, 2009.

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Subject to provisions contained in collective bargaining agreements where applicable, the Plan was frozen on December 31, 2012 (the freeze date). Employees who were participating in the Plan as of that date keep vested benefits earned, but stop earning additional pension benefits.

Prior to the freeze date, the benefit formula was based on years of service and the employees' final average compensation. Final average compensation was calculated using the highest consecutive 48 months of the last 120 months of service before the earlier of retirement or the freeze date.

For funding purposes under the Plan, normal pension costs are determined by the projected unit credit method and are funded currently. The Plan provides a defined-benefit pension, funded entirely by the employer. Prior to July 1, 2005, voluntary after-tax contributions could be made by active members to fund an optional annuity benefit. The Organization's funding policy is set to comply with the funding requirements established under the Pension Protection Act of 2006 and to meet the requirements of Employee Retirement Income Security Act of 1974. During fiscal year 2019, the Organization contributed above the required amount for the plan year.

The Plan was amended on January 1, 2019 to allow participants who have not previously commenced benefits to elect a lump-sum payment of their full vested benefit value if otherwise eligible to receive benefits under existing plan provisions, including the provision that the election must be made within the 180-days period commencing on the first day of the month on or next following termination of employment or be retirement eligible. In addition, all previously available distribution options continue to be available.

The Organization also provides medical and dental benefits to retirees and their eligible dependents under The American Red Cross Life and Health Benefits Plan. Generally, retirees and the Organization each pay a portion of the premium costs. The medical and dental plans pay a stated percentage of expenses reduced by deductibles and other coverages. The Organization has the right to modify cost-sharing provisions at any time. In addition, life insurance benefits of \$5,000 are provided with no contributions required from the retirees. The Organization's postretirement benefit plans are unfunded.

Effective January 1, 2009, the Organization eliminated plan coverage (retiree medical and life benefits) for all future retirees that did not meet certain eligibility conditions as of that date. In addition, the plan was amended to transition Medicare eligible retirees to a private fee-for-service plan and to change the premium supplement tables and indexing effective July 1, 2009. Beginning in calendar year 2011, most Medicare eligible retirees were offered a Healthcare Reimbursement Account to utilize in purchasing individual coverage through an external exchange program through Aon Hewitt Retiree Exchange. Plans can vary from Medicare Advantage, Part D Prescription Drug, and Medicare Supplement Plans.

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The following table presents the changes in benefit obligations, changes in Plan assets, and the composition of accrued benefit costs in the consolidated statements of financial position for the years ended June 30, 2019 and 2018 (in thousands):

	Pension benefits		Postretirement benefits	
	2019	2018	2019	2018
Changes in benefit obligations:				
Benefit obligations at beginning of year	\$ 2,350,105	2,487,441	57,597	63,598
Service cost	502	518	53	89
Interest cost	102,369	102,595	2,339	2,283
Plan amendment	—	(23,788)	—	—
Actuarial loss (gain)	128,450	(109,674)	2,146	(4,982)
Benefits paid	(131,516)	(120,586)	(3,147)	(3,391)
Annuity purchase and reimbursements from insurance carriers	359	13,599	—	—
Benefit obligations at end of year	<u>2,450,269</u>	<u>2,350,105</u>	<u>58,988</u>	<u>57,597</u>
Changes in plan assets:				
Fair value of plan assets at beginning of year	1,911,088	1,767,341	—	—
Actual return on plan assets	195,992	29,784	—	—
Employer contributions	34,349	220,950	—	—
Benefits paid	(131,516)	(120,586)	—	—
Annuity purchase and reimbursements from insurance carriers	359	13,599	—	—
Fair value of plan assets at end of year	<u>2,010,272</u>	<u>1,911,088</u>	<u>—</u>	<u>—</u>
Funded status-accrued benefit costs	\$ <u>(439,997)</u>	<u>(439,017)</u>	<u>(58,988)</u>	<u>(57,597)</u>

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Pension-related changes other than net periodic benefit cost for 2019:

	<b>Pension benefits</b>	<b>Postretirement benefits</b>	<b>Total</b>
Prior service cost (benefit)	\$ (2,727)	(230)	(2,957)
Amortized net loss (gain)	18,797	(2,284)	16,513
Net actuarial (loss) gain	(51,664)	1,122	(50,542)
	<u>\$ (35,594)</u>	<u>(1,392)</u>	<u>(36,986)</u>

Pension-related changes other than net periodic benefit cost for 2018:

	<b>Pension benefits</b>	<b>Postretirement benefits</b>	<b>Total</b>
Prior service cost (benefit)	\$ 23,788	(1,179)	22,609
Amortized net loss (gain)	20,899	(980)	19,919
Net actuarial gain	11,373	8,160	19,533
	<u>\$ 56,060</u>	<u>6,001</u>	<u>62,061</u>

Items not yet recognized as a component of net periodic benefit cost for 2019:

	<b>Pension benefits</b>	<b>Postretirement benefits</b>	<b>Total</b>
Unrecognized prior service credit	\$ (21,061)	—	(21,061)
Unrecognized net actuarial loss (gains)	965,299	(9,598)	955,701
	<u>\$ 944,238</u>	<u>(9,598)</u>	<u>934,640</u>

Items not yet recognized as a component of net periodic benefit cost for 2018:

	<b>Pension benefits</b>	<b>Postretirement benefits</b>	<b>Total</b>
Unrecognized prior service credit	\$ (23,788)	(230)	(24,018)
Unrecognized net actuarial loss (gains)	913,646	(14,027)	899,619
	<u>\$ 889,858</u>	<u>(14,257)</u>	<u>875,601</u>

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Estimated amounts to be amortized into net periodic benefit cost over the next fiscal year are as follows:

	<u>Pension benefits</u>	<u>Postretirement benefits</u>	<u>Total</u>
Prior service credit	\$ (2,727)	—	(2,727)
Net actuarial loss (gain)	24,709	(1,302)	23,407
	<u>\$ 21,982</u>	<u>(1,302)</u>	<u>20,680</u>

The accumulated benefit obligation for the pension plan was approximately \$2.4 billion and \$2.3 billion as of June 30, 2019 and 2018, respectively.

The weighted average assumptions used to determine benefit obligations for 2019 and 2018 were as follows:

	<u>Pension benefits</u>		<u>Postretirement benefits</u>	
	<u>2019</u>	<u>2018</u>	<u>2019</u>	<u>2018</u>
Discount rate	3.88%	4.49%	3.45%	4.20%
Rate of compensation increase	—	5.00	—	—

The weighted average assumptions used to determine net benefit cost for 2019 and 2018 were as follows:

	<u>Pension benefits</u>		<u>Postretirement benefits</u>	
	<u>2019</u>	<u>2018</u>	<u>2019</u>	<u>2018</u>
Discount rate	4.49%	4.22%	4.20%	3.70%
Expected return on plan assets	6.00	6.00	—	—
Rate of compensation increase	—	5.00	—	—

The expected rate of return assumption on Plan assets was determined by considering current economic and market conditions and by reviewing asset class allocations, historical return analysis, and forward-looking capital market expectations. Asset class allocations were established by considering each class' risk premium commensurate for the level of risk, duration that matches the Plan's liabilities, and incremental diversification benefits. Historical returns and forward-looking capital market expectations were gathered from and compared among the Plan's investment managers and a sampling of the consultant community.

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For measurement purposes, approximately a 7.25% annual rate of increase in the per capita cost of covered healthcare benefits was assumed for fiscal year 2019. For measurement purposes, approximately a 7.50% annual rate of increase in the per capita cost of covered healthcare benefits was assumed for fiscal year 2018. For both years the rate was assumed to decrease gradually to 4.50% through 2030 and remain at that level thereafter.

The components of net periodic benefit cost (credit) for the years ended June 30, 2019 and 2018 were as follows (in thousands):

	Pension benefits		Postretirement benefits	
	2019	2018	2019	2018
Service cost	\$ 502	518	53	89
Interest cost	102,369	102,595	2,339	2,283
Expected return on plan assets	(119,207)	(128,085)	—	—
Amortization of prior service credit	(2,727)	—	(230)	(1,180)
Net amortization loss (gain)	18,797	20,899	(2,284)	(980)
Net periodic benefit cost (credit)	\$ (266)	(4,073)	(122)	212

Assumed healthcare cost trend rates have a significant effect on the amounts reported for the healthcare plans. A one-percentage-point change in assumed healthcare cost trend rates would have the following effects (in thousands):

	Point increase	Point decrease
Effect on total of service and interest cost components	\$ 3	(3)
Effect on postretirement benefit obligation	66	(59)

The minimum funding requirement for the pension plan during the year ended June 30, 2019 is \$113 million.

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The following benefit payments, which reflect expected future service, as appropriate, are expected to be paid, as of June 30, (in thousands):

	<b>Pension benefits</b>	<b>Postretirement benefits</b>
2020	\$ 238,864	3,890
2021	174,566	3,906
2022	172,637	3,934
2023	167,602	3,935
2024	161,703	3,908
2025–2029	760,035	18,668
	<u>\$ 1,675,407</u>	<u>38,241</u>

The Organization has investment guidelines for the Retirement System (the Plan) assets. The overall objective of the guidelines is to ensure the Plan's assets appropriately hedge the liability risks and also considering other market risks while ensuring that the portfolio income and liquidity are appropriate to meet the Plan's benefit payments and other expenses. The Plan's investments are designed in such manner that no single investment would have a disproportionate net impact on the plan funded status. The Plan's asset allocation is reviewed regularly with current market assumptions to realign the asset mix with the long-term investment goals for the Plan. (See note 4 for descriptions of the methodologies used to value Plan's assets, except for the equity interest in the par annuity and guaranteed accumulation fund, which are valued based on significant unobservable inputs, including discounted cash flow analysis, comparable analysis, or third-party appraisals. See note 4 for the definitions of Levels 1, 2, and 3.)

The Plan's assets were invested in the following categories at June 30, 2019 and 2018:

	<b>Pension assets</b>	
	<b>2019</b>	<b>2018</b>
Cash and short-term investments	6%	6%
Equity	7	32
Fixed income	64	39
Marketable and nonmarketable alternative funds	23	23
	<u>100%</u>	<u>100%</u>

The Plan's assets were within authorized asset allocation ranges at June 30, 2019 and 2018.

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The following table represents pension plan assets that are measured at fair value on a recurring basis at June 30, 2019 (in thousands):

	<u>June 30, 2019</u>	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Measured at NAV(1)</u>
Fixed-income commingled funds \$	95,174	—	95,174	—	—
Corporate obligations	323,690	—	323,690	—	—
Foreign government and sovereign securities	5,948	—	5,948	—	—
U.S. government and sovereign securities	842,667	—	842,667	—	—
Equity commingled funds	149,537	38,915	91,609	—	19,013
Hedge funds	316,637	—	—	—	316,637
Private equity and debt	150,912	—	—	—	150,912
Real estate and real assets	2,447	—	—	—	2,447
Derivative contracts	32	171	(139)	—	—
Cash and cash equivalents	123,228	86,249	36,979	—	—
<b>Total plan assets</b>	<b>\$ 2,010,272</b>	<b>125,335</b>	<b>1,395,928</b>	<b>—</b>	<b>489,009</b>

The following table represents pension plan assets that are measured at fair value on a recurring basis at June 30, 2018 (in thousands):

	<u>June 30, 2018</u>	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Measured at NAV(1)</u>
Equity commingled funds \$	273,249	—	273,249	—	—
Hedge funds	456,385	—	—	—	456,385
Private equity and debt	113,625	—	—	—	113,625
Real estate and real assets	19,217	—	—	—	19,217
U.S. government and sovereign securities	691,480	—	691,480	—	—
Derivative contracts	7,420	7,684	(264)	—	—
Cash and cash equivalents	349,711	—	349,711	—	—
<b>Total plan assets</b>	<b>\$ 1,911,087</b>	<b>7,684</b>	<b>1,314,176</b>	<b>—</b>	<b>589,227</b>

(1) Certain investments are measured at fair value using NAV as a practical expedient and have not been classified in the fair value hierarchy. The NAV amounts have been presented to permit reconciliation of the fair value hierarchy to the amounts presented as total plan assets.

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The Organization had no reportable Level 3 transactions for its defined-benefit plan for the year ended June 30, 2019. The following tables presents the activity of the assets of the Organization's defined-benefit plan for assets measured at fair value on a recurring basis using significant unobservable inputs (Level 3) for the year ended June 30, 2018 (in thousands):

	Balance as of June 30, 2017	Purchases	Settlements	Change in unrealized gains/ (losses)	Balance as of June 30, 2018
Hedge funds	\$ 3	—	—	(3)	—
Private equity and debt	5,299	—	(3,888)	(1,411)	—
Equity interest in participating annuity surplus	86,758	1,694	(78,490)	(9,962)	—
Guaranteed accumulation fund	34,205	168	(45,673)	11,300	—
<b>Total plan assets</b>	<b>\$ 126,265</b>	<b>1,862</b>	<b>(128,051)</b>	<b>(76)</b>	<b>—</b>

On behalf of the Plan, the Organization transacts in a variety of derivative instruments, including exchange-traded products (ETNs and ETFs), forwards, swaps, options, and futures. Derivatives are used for investment purposes, such as hedging, replication, completion, diversification, and tail-risk reduction. Each instrument's primary underlying exposure is generally interest rates, equities, commodities, or currencies. Such contracts could involve counterparty risk to varying degrees (i.e., risk of loss from the possible inability of counterparties to meet the terms of their contracts). In the case of over-the-counter derivatives, collateralization and daily marks-to-market mitigate counterparty risk.

The Plan makes special use of derivatives to hedge (partially or fully) the interest rate exposure of its pension liabilities. The liabilities are valued via a "discount rate" of investment grade corporate bonds. Uncertainty of future discount rates adds variability to the Plan's funded status as liability valuations shift with rates. Hedging looks to reduce that risk. During the first part of the fiscal year ended June 30, 2019, the Plan used interest rate futures to manage interest rate exposure. Management of interest rate exposure may change over time based upon a variety of factors, such as market conditions, perceived investment opportunities and risks, and investment goals and objectives.

The following table lists the notional/contractual amount of derivatives by contract type included in pension plan assets at June 30, 2019 and 2018 (in thousands):

Derivative type	2019	2018
Interest rate contracts	\$ (10,777)	347,240
Credit default swaps	(9,400)	—
Equity contracts	—	167,592

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The following table lists fair value of derivatives by contract type included in pension plan assets as of June 30, 2019 and 2018 (in thousands):

Derivative type	Derivative assets		Derivative liabilities	
	2019	2018	2019	2018
Interest rate contracts	\$ 214	7,684	386	—
Credit default swaps	203	—	—	—
Equity contracts	—	—	—	264
Fair value of derivatives included in investments	\$ 417	7,684	386	264

The following table lists gains and losses on derivatives by contract type included in actual return on plan assets available for plan benefits as of June 30, 2019 and 2018 (in thousands):

Derivative type	Realized gains/(losses)		Change in unrealized gains/(losses)	
	2019	2018	2019	2018
Interest rate contracts	\$ 29,433	(12,237)	(7,755)	5,883
Credit default swaps	9	—	21	—
Equity contracts	6,386	18,573	264	(2,066)
Total	\$ 35,828	6,336	(7,470)	3,817

For the valuation of the Plan's derivative contracts at June 30, 2019, the Plan used significant other observable inputs as of the valuation date (Level 2), including prices of instruments with similar maturities and characteristics, interest rate yield curves, measures of interest rate volatility, and various market indices. The value was determined and adjusted to reflect nonperformance risk of both the counterparty and the Plan.

**(b) American National Red Cross Savings Plan – 401(k) Plan**

The Organization sponsors the American Red Cross Savings Plan (the Savings Plan), a defined-contribution plan. In general, employees are eligible to participate upon hire and vest in employer contributions on a three-year cliff schedule. Employer contributions include Red Cross match only. There were \$33.5 million and \$33.7 million in Red Cross employer contributions to the Savings Plan in 2019 and 2018, respectively.

**THE AMERICAN NATIONAL RED CROSS**

Notes to Consolidated Financial Statements

June 30, 2019

(With summarized information for the year ended June 30, 2018)

**(11) Receivables Securitization Program**

The Organization has an asset securitization program. The program is structured to sell the eligible biomedical hospital account receivables, without legal recourse, to a third-party investor, through a wholly owned bankruptcy-remote special purpose entity that is consolidated for financial reporting purposes. The Organization continues servicing the sold receivables. Proceeds received under the securitization program are treated as secured borrowings. The maximum amount of the agreement is \$125 million for years ended June 30, 2019 and 2018 and the total cost of the program approximates the 30-day LIBOR plus 1%. At June 30, 2019 and 2018, the amount of outstanding borrowings under the securitization program was \$111 million and \$109 million, respectively, and is included in other current liabilities on the statement of financial position.

**(12) Commitments and Contingencies**

**(a) Litigation**

The Organization is a defendant in a number of lawsuits incidental to its operations. In the opinion of management, the outcome of such lawsuits will not have a materially adverse effect on the Organization's financial position.

**(b) Government Grants**

Costs charged to the federal government under cost-reimbursement grants and contracts are subject to government audit. Therefore, all such costs are subject to adjustment. Management believes that adjustments, if any, would not have a significant effect on the consolidated financial statements.

**(13) Subsequent Events**

The Organization has evaluated subsequent events through the date the consolidated financial statements were issued, October 23, 2019.





**THE AMERICAN NATIONAL RED CROSS  
KENTUCKY REGION  
Schedule of Operating Revenues and Expenses  
For the Year Ended June 30, 2019**

Operating revenues:

Contributions:

Corporate, foundation and individual giving	\$ 4,145,128
United Way and other Federated	1,740,793
Contracts, including federal government	6,333,652
Legacies and Bequests	920,253
Services and Materials	516,841
Products and Services	1,483,482
Investment income	0
Other revenues	73,400
Support from American National Red Cross chapter network	2,349,066

**Total operating revenues** 17,562,615

Operating expenses:

Program services:

Services to the Armed Forces	1,181,681
Biomedical services	69,147
Community services	6,306,822
Domestic disaster services	4,667,978
Training services	1,443,691
International relief and development services	10,202
National disaster relief and humanitarian services	0

**Total program services** 13,679,521

Supporting services:

Fundraising	3,108,583
Management and general	774,511

**Total supporting services** 3,883,094

**Total operating expenses** 17,562,615

**Excess of operating revenues and expenses** \$ -

**THE AMERICAN NATIONAL RED CROSS  
KENTUCKY REGION  
Operating Expenses by Account Type  
For the Year Ended June 30, 2019**

Salaries and wages	\$ 8,852,206
Employee benefits	2,465,485
Subtotal	<u>11,317,691</u>
Travel and maintenance	408,858
Equipment maintenance and rental	537,689
Supplies and materials	270,416
Contractual services	2,580,486
Financial and material assistance	1,901,504
Depreciation and amortization	545,971
National disaster relief and humanitarian services	0
Total expense	<u><u>\$ 17,562,615</u></u>



**American  
Red Cross**



**Home Fire Campaign**



## Mission

The American Red Cross prevents and alleviates human suffering in the face of emergencies by mobilizing the power of volunteers and the generosity of donors.



American  
Red Cross



# We Impact Lives Every Day

30,000

people are assisted by the American Red Cross daily.



170

times a day, Red Cross workers help a family affected by a **disaster**.



13,000

times a day, the Red Cross must collect **blood** donations to help patients in need.



1,300

times a day, we provide services to **military members**, veterans and their families.



621,000

times a day, a child receives a measles or rubella **vaccination** from the Red Cross and our partners.



13,000

times a day, a person receives lifesaving Red Cross **training**.





# Our Mission and COVID-19



## Disasters

- Nearly all disaster workers help via **video and phone**
- Many survivors sheltered in **hotels**
- **“No touch” delivery** of financial assistance cards
- Virtual Family Assistance Center **cares for those who’ve lost someone** to COVID-19



## Blood

- **When donating**, face masks required, all donations scheduled and hands sanitized
- Donations **tested for COVID-19 antibodies**
- In FDA-funded project, **collecting plasma** from people fully recovered from COVID-19 for use in treatment



## Armed Forces

- Many **workshops led online** including ones to help military families **cope during this pandemic**
- Volunteers sewing and **sending face masks** to military installations and veterans hospitals
- Since COVID-19, a surge in requests, many seeking **financial assistance**



## International

- With partners, providing **community-based health and hygiene** info
- Expanding **COVID-19 case detection**
- American Red Cross contributes **funds and technical expertise**



## Training

- **New online courses** like Returning to Work During COVID-19 and Psychological First Aid During COVID-19
- Offline courses use **masks and social distancing** whenever possible



# Our Commitment to Diversity & Inclusion

The people served by the American Red Cross—as well as our volunteers, employees, donors and suppliers—represent different cultures, racial and ethnic backgrounds, ages, lifestyles, beliefs and philosophies.

Embracing this diversity is critical to effectively delivering our lifesaving mission. This commitment is fulfilled through our:

- **People:** Promoting diversity and inclusion within our workforce.
- **Culture:** Cultivating a collaborative, inclusive and respectful work environment that empowers all contributors.
- **Community:** Leveraging diverse partnerships through our Supplier Diversity Program, National Diversity Advisory Council and Diverse Partners Summit.





# Ever Evolving, Incredibly Efficient

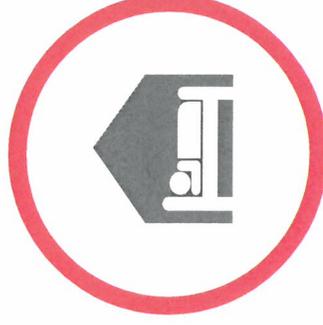
We constantly adapt, using new technology and refined methods to do more with less.



**90%** OF OUR  
WORKFORCE IS  
VOLUNTEER.



**20** OUT OF 20 STANDARDS  
FOR CHARITABLE  
ACCOUNTABILITY MET.



**90¢** OF EVERY  
DOLLAR THAT WE SPEND  
DELIVERS CARE.



# A Legacy of Helping After Home Fires

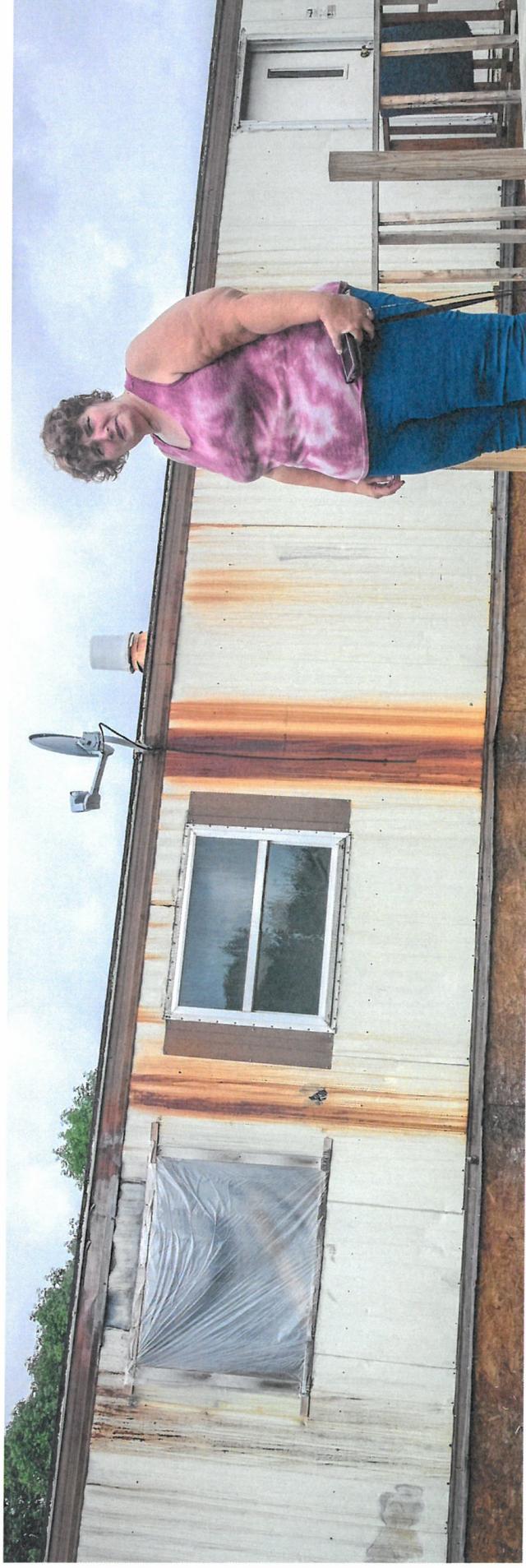


# Everyday Disasters

The Red Cross responds to a disaster **every eight minutes.**

The vast majority are home fires.

After these fires, families are often on curbs, sometimes without shoes or coats, watching their homes burn. We've been helping them for more than fifty years.

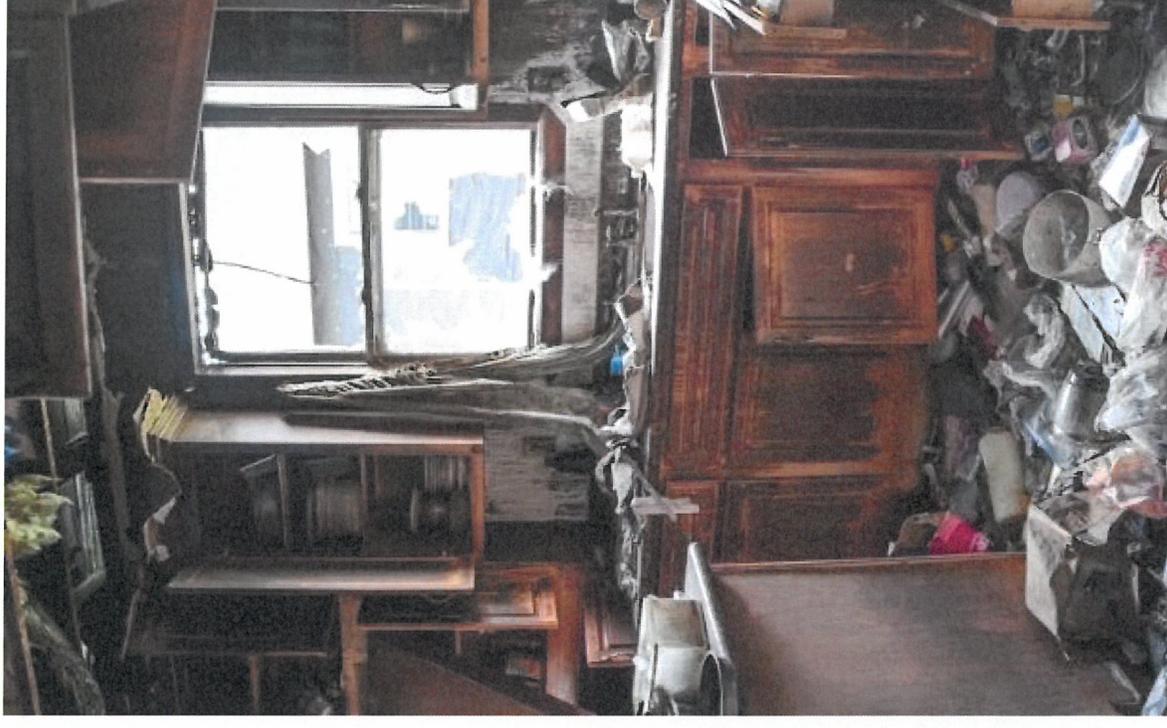




# Home Fires Are Harder On Low-Income People.

40% of U.S. adults lack the savings to cover a \$400 emergency.<sup>1</sup>

- Fire safety information can be sparse in low-income communities.
- When struggling to make ends meet, people may not make smoke alarms and fire extinguishers top spending priorities.



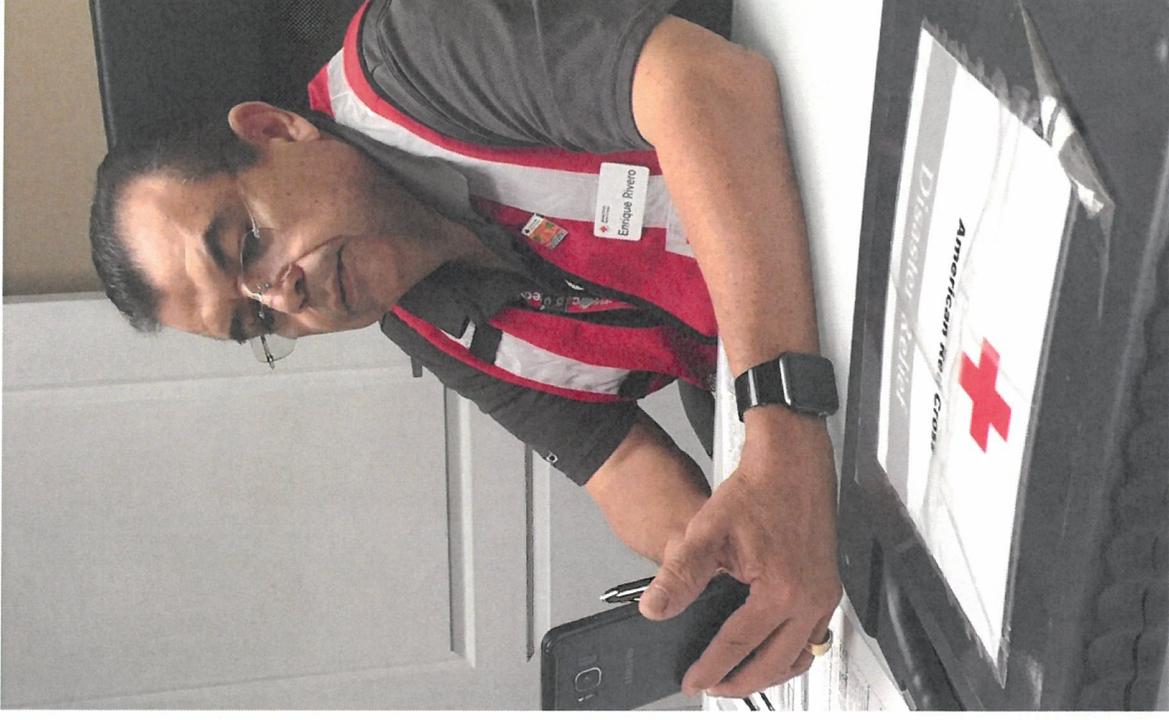


# Home Fires Don't Stop During a Pandemic.

**Neither do we.**

To protect the people we serve and our workers, we've adapted our mission delivery by:

- Shifting to virtual assistance, with nearly all disaster workers helping via video and phone.
- Training workers to listen actively so home fire survivors still feel supported.
- Handing off financial assistance cards without direct contact.





# After the Fire

**When fire takes a house, it takes everything that makes a house a home.**

- Immediately following a fire, we meet basic needs:
  - Finding a safe place to stay
  - Purchasing food, clothing and toiletries
  - Notifying loved ones
- We also help families get back on their feet:
  - Replacing lost possessions
  - Navigating insurance
  - Processing the emotional loss



# Helping The Jordans



At 2:00 a.m., the Jordan family is standing on the curb without shoes, watching their rental home burn.

As soon as the fire department is notified, they reach out to the Red Cross, letting us know **the family needs us.**



Luz, our volunteer specialist, immediately **contacts the Jordans via video** to minimize the risk of COVID-19 exposure and explains she's ready to help.

After securing the family a free hotel room, **Luz leaves a financial assistance card** at the front desk to cover immediate expenses like clothes and toiletries.



Over the next few weeks, Luz advises on renters' insurance and prorated rent, plus **she helps the Jordans secure replacement Social Security cards.**



Once they've recovered, **the Jordans decide to pay it forward** by installing smoke alarms as Red Cross volunteers when it's safe to do so.



# Responding to Home Fires

## Louisville Chapter, Fiscal Year 2020

- Responded to 306 home fires and other disasters
- Assisted 1,562 individuals impacted by these events
- Provided over \$330,000 in client assistance



# Partnering with Diverse Organizations

We work with **30+** actively managed **diverse partners** to deliver our lifesaving mission by understanding and engaging the communities we serve.

## Spotlight: The NAACP

The Red Cross partners with the **NAACP** to support service delivery to the Black community and all communities of color.

- In 2020, the Red Cross worked with the **Alabama and Mississippi State Conferences of the NAACP** after devastating storms and floods.
- Together, the Red Cross and the NAACP helped ensure communities of color had access to **recovery information** and **financial assistance** for those most impacted by the disaster.



American  
Red Cross

**Thank You**