



Louisville Metro Government

Action Summary - Final Government Oversight and Audit Committee

Chair Brent Ackerson (D-26)

Vice Chair Anthony Piagentini (R-19)

Committee Member Donna L. Purvis (D-5)

Committee Member Paula McCraney (D-7)

Committee Member Rick Blackwell (D-12)

Committee Member Mark H. Fox (D-13)

Committee Member Cindi Fowler (D-14)

Committee Member Scott Reed (R-16)

Committee Member Markus Winkler (D-17)

Committee Member Stuart Benson (R-20)

Committee Member Amy Holton Stewart (D-25)

Tuesday, May 10, 2022

5:00 PM

Council Chambers/Virtual

THIS MEETING IS BEING HELD VIA VIDEO TELECONFERENCE

Call to Order

Vice Chair Piagentini called the meeting to order at 5:08 p.m.

Roll Call

Vice Chair Piagentini introduced the committee members and non-committee members present. A quorum was established.

Note: All committee members and non-committee members present attended virtually, except for Vice Chair Piagentini and Council President James, who attended in Chambers.

Present: 9 - Committee Member Donna L. Purvis (D-5), Committee Member Paula McCraney (D-7), Committee Member Rick Blackwell (D-12), Committee Member Mark H. Fox (D-13), Committee Member Cindi Fowler (D-14), Committee Member Scott Reed (R-16), Committee Member Markus Winkler (D-17), Vice Chair Anthony Piagentini (R-19), and Committee Member Amy Holton Stewart (D-25)

Excused: 2 - Committee Member Stuart Benson (R-20), and Chair Brent Ackerson (D-26)

Non-Committee Member(s)

Council President David James (D-6)

Support Staff

Alice Lyon, Jefferson County Attorney's Office

Clerk(s)

Olivia Bennett, Assistant Clerk

Sonya Harward, Clerk

Special Discussion

1. [ID 22-0643](#)

Louisville Metro Government Nonunion Employee Audit Report

Attachments: [LMG Nonunion Employee Audit Report.pdf](#)
[GAEC HR Non Union Employee Complaints.pdf](#)

Mayria Porter, Office of Internal Audit, gave a presentation.

The following spoke to this item:

- Council President James
- Adrienne Henderson, Metro Human Resources ("Metro HR")
- Vice Chair Piagentini
- Committee Member McCraney

The following was discussed:

- Questions were asked regarding of the number of audits done on a yearly basis, and how often does the Office of Internal Audit produces an audit that has an inadequate level -- out of 10 audit's produced the Office of Internal Audit would have one or two audits that are inadequate
- Questions were asked regarding whether the investigations were being shared with Department heads -- the investigation information is not shared with the Department heads
- Questions were asked regarding whether Metro HR provided a reason as to why the investigation information is not provided to the Department heads -- standard practice is for Metro HR to issue a memo with results included -- the Office of Internal Audit has no records of memos being shared with Department heads -- this could be for a number of reasons and examples were provided
- Metro HR did commit to putting better procedures in place so in the future the necessary communications are maintained in the investigative file
- Questions were asked regarding whether the records in the investigative file would be open to individuals that want to know about the information in the investigative file -- all records are subject to an open records request
- Questions were asked regarding the average number of investigations that occur through Metro HR on a yearly basis -- in the last year there were eight investigations and four of those investigations were completed through the Compliance Office -- investigations completed at the Department level are not formally tracked therefore the Office of Internal Audit was not able to determine the total number of investigations at the Department level
- Questions were asked regarding whether a Department would be responsible for investigating itself if a non-union employee filed a complaint against a Department director -- if an employee has a complaint against a Department director, the complaint should go to Metro HR, however it is possible that the Department would investigate the complaint itself
- Questions were asked regarding whether it was more often than not that the Department would investigate itself
- Questions were asked regarding who would investigate if a complaint were made against an assistant director or deputy director -- this would depend on

the complaint and circumstance as there is not a policy in place to determine specifically

- Questions were asked regarding whether the Department could cover up any complaints that have been made against them

- It was stated that the Compliance Office originally had only one investigator and said investigator was tasked with only investigating Equal Employment Opportunity ("EEO") complaints -- EEO complaints were the only type of complaints that Metro HR was able to investigate due to lack of resources -- when Metro Council approved the budget for three additional investigators Metro HR hired the necessary investigators and began to take on more investigative cases

- Metro HR has drafted the standard operating procedures ("SOPs") for complaint investigation cases and those SOPs are under final review

- Metro HR is currently looking for in-person training services

- Questions were asked regarding whether in the future there would be any scenario where a Department head or a Department would be handling their own investigation relating to a complaint within their Department -- the director of the Department should notify Metro HR of said complaint -- other examples were provided

- Questions were asked regarding whether there is a current way to track an employee that has reoccurring issues though they may have left a Department and transferred to another Department -- Metro HR has a new investigator from Louisville Metro Police Department whom is working on putting tracking mechanisms in place to better track an individual who has multiple complaints against them

- Questions were asked regarding whether it would be the same outcome if an audit was to be performed on union employees -- the complaint process is the same for union and non-union employees and is open to all Metro Government employees -- union employees have other complaint processes they can follow in addition to coming to Metro HR

- Questions were asked regarding whom would be responsible for employee training at the Office of Performance Improvement -- Metro Compliance and Training is within the Compliance Unit and is responsible for all employee training within Metro Government

- Questions were asked regarding the number of management staff that have been disciplined

- Questions were asked regarding where the records are maintained when a manager is disciplined -- records such as these should be stored in the employee's personnel file

- Questions were asked regarding the amount of sustained complaints that were made against Department supervisors -- Metro HR did not have this information at the time but will provide it to Metro Council

Pending Legislation

2. [AP051022JE](#)

APPOINTMENT OF JERRY EAVES TO THE JUNETEENTH JUBILEE COMMISSION (TERM EXPIRES APRIL 29, 2023).

Attachments: [APT Juneteenth Jubilee Commission 04.25.22.pdf](#)

[Jerry Eaves 4-25-2022.pdf](#)

[Applicants for Board - Juneteenth Jubilee Commission - 04-25-22.pdf](#)

A motion was made by Committee Member Purvis, seconded by Committee Member Holton Stewart, that this Appointment be recommended for approval.

The following spoke to this item:

- Althea Jackson, Mayor's Office
- Jerry Eaves, Appointee
- Committee Member McCraney
- Committee Member Reed

The following was discussed:

- The appointee has lived in Louisville/Jefferson County for 24 years
- The appointee resides in District 16
- The appointee will serve as an inaugural member on the commission
- The appointee is an alumnus of Ballard High school and the University of Louisville
- Thanks were given to the appointee for their hard work in the community
- Personal experiences were shared about the appointee

The motion carried by a voice vote and the Appointment was sent to the Consent Calendar.

3. [AP051022EG](#)

**APPOINTMENT OF ERYN GRIFFIN TO THE JUNETEENTH JUBILEE COMMISSION
(TERM EXPIRES APRIL 29, 2023).**

Attachments: [APT Juneteenth Jubilee Commission 04.25.22.pdf](#)

[Eryn Griffin 04-25-22.pdf](#)

[Applicants for Board - Juneteenth Jubilee Commission - 04-25-22
EG.pdf](#)

A motion was made by Committee Member Winkler, seconded by, Committee Member Purvis, that this Appointment be recommended for approval.

The following spoke to this item:

- Althea Jackson, Mayor's Office
- Eryn Griffin, Appointee

The following was discussed:

- The appointee has lived in Louisville/Jefferson County for 33 years
- The appointee is the director of Human Resources for Papa Johns International
- The appointee resides in District 7
- The appointee is the former president of the African American Employee Group with Papa Johns

The motion carried by a voice vote and the Appointment was sent to the Consent Calendar.

4. [AP051022RM](#)

APPOINTMENT OF REGINALD MCDANIEL TO THE JUNETEENTH JUBILEE COMMISSION (TERM EXPIRES APRIL 23, 2023).

Attachments: [APT Juneteenth Jubilee Commission 04.25.22.pdf](#)

[Reginald McDaniel 04-25-22.pdf](#)

[Applicant's for Board - Juneteenth Jubilee Commission - 04-25-22
RM.pdf](#)

A motion was made by Committee Member Purvis, seconded by Committee Member Winkler, that this Appointment be recommended for approval.

The following spoke to this item:

- Althea Jackson, Mayor's Office
- Committee Member Purvis

The following was discussed:

- The appointee is a life long resident of Louisville/Jefferson County
- The appointee is a coordinator of Educator Prep for Simmons College
- The appointee resides in District 1
- The appointee is an University of Louisville alumnus

The motion carried by a voice vote and the Appointment was sent to the Consent Calendar.

5. [AP051022CJ](#)

**APPOINTMENT OF CAROLLE JONES TO THE JUNETEENTH JUBILEE COMMISSION
(TERM EXPIRES APRIL 29, 2024).**

Attachments: [APT Juneteenth Jubilee Commission 04.25.22.pdf](#)

[Carolle Jones 04-25-22.pdf](#)

[Applicant's for Board - Juneteenth Jubilee Commission - 04-25-22
CJ.pdf](#)

A motion was made by Committee Member Winkler, seconded by Committee Member Purvis, that this Appointment be recommended for approval.

The following spoke to this item:

- Althea Jackson, Mayor's Office
- Vice Chair Piagentini

The following was discussed:

- The appointee is a life long resident of Louisville/Jefferson County
- The appointee is the senior vice president managing director of Community Relations for Republic Bank -- the appointee began her career at Republic Bank in 1998
- The appointee was named the president of the Republic Bank Foundation in 2020
- Comments were made regarding the boards the appointee is a member of

The motion carried by a voice vote and the Appointment was sent to the Consent Calendar.

6. [AP051022AJ](#)

**APPOINTMENT OF ALEXANDER JONES TO THE JUNETEENTH JUBILEE
COMMISSION (TERM EXPIRES APRIL 29, 2024).**

Attachments: [APT Juneteenth Jubilee Commission 04.25.22.pdf](#)

[Alexander Jones 04-25-22.pdf](#)

[Applicant's for Board - Juneteenth Jubilee Commission - 04-25-22
AJ.pdf](#)

A motion was made by Committee Member Winkler, seconded by Committee Member Reed, that this Appointment be recommended for approval.

The following spoke to this item:

- Althea Jackson, Mayor's Office
- Alexander Jones, Appointee
- Council President James
- Committee Member McCraney

The following was discussed:

- The appointee is a life long Louisville/Jefferson County resident
- The appointee resides in District 14
- The appointee is employed with the Jefferson County Public School system

The motion carried by a voice vote and the Appointment was sent to the Consent Calendar.

7. [AP051022DG](#)

APPOINTMENT OF DAWNE GEE TO THE JUNETEENTH JUBILEE COMMISSION (TERM EXPIRES APRIL 29, 2025).

Attachments: [APT Juneteenth Jubilee Commission 04.25.22.pdf](#)

[Dawne Gee 04-25-22.pdf](#)

[Applicant's for Board - Juneteenth Jubilee Commission - 04-25-22-DG.pdf](#)

A motion was made by Committee Member Winkler, seconded by Committee Member Reed, that this Appointment be recommended for approval.

The following spoke to this item:

- Althea Jackson, Mayor's Office
- Council President James
- Committee Member Fox
- Committee Member McCraney

The following was discussed:

- The appointee is a life long resident of Louisville/Jefferson County
- The appointee is a news anchor with Wave3 News
- The appointee resides in District 12
- Personal experiences were shared regarding the appointee's hard work and character

The motion carried by a voice vote and the Appointment was sent to the Consent Calendar.

8. [AP051022DE](#)

APPOINTMENT OF DR. DESTINY ETHRIDGE TO THE COMMISSION FOR PERSONS WITH DISABILITIES (TERM EXPIRES DECEMBER 12, 2023).

Attachments: [APT Commission for Persons with Disabilities 04.25.22.pdf](#)

[Dr. Destiny Ethridge 04-25-22.pdf](#)

[Applicants for Board - Commission for Persons with Disabilities - 04-25-22.pdf](#)

[Current Board - Commission for Persons with Disabilities 04-25-22.pdf](#)

A motion was made by Committee Member Winkler, seconded by Committee Member Reed, that this Appointment be recommended for approval.

The following spoke to this item:

- Althea Jackson, Mayor's Office
- Dr. Destiny Ethridge, Appointee
- Vice Chair Piagentini
- Committee Member Reed

The following was discussed:

- The appointee has resided in Louisville/Jefferson County for approximately six months
- The appointee is a family physician with Norton Healthcare
- The appointee resides in District 20
- The appointee has a child on the spectrum and is familiar with individuals with disabilities

The motion carried by a voice vote and the Appointment was sent to the Consent Calendar.

9. [AP051022HH](#)

**APPOINTMENT OF HERB HENRY TO THE COMMISSION FOR PERSONS WITH
DISABILITIES (TERM EXPIRES DECEMBER 12, 2024).**

Attachments: [APT Commission for Persons with Disabilities 04.25.22.pdf](#)

[Herb Henry 04-25-22.pdf](#)

[Applicants for Board - Commission for Persons with Disabilities -
04-25-22.pdf](#)

[Current Board - Commission for Persons with Disabilities 04-25-22.pdf](#)

A motion was made by Committee Member Winkler, seconded by Committee Member Fox, that this Appointment be recommended for approval.

The following spoke to this item:

- Althea Jackson, Mayor's Office
- Herb Henry, Appointee
- Vice Chair Piagentini
- Committee Member Reed
- Committee Member Fox
- Committee Member Purvis

The following was discussed:

- The appointee is a life long resident of Louisville/Jefferson County
- The appointee is self-employed
- The appointee resides in District 5
- The appointee is a Doss High School and University of Louisville alumnus
- The appointee was paralyzed at the age of 18 and has been wheelchair bound ever since
- The appointee has been playing wheelchair basketball since 2022
- The appointee's passion is to help those with disabilities find different activities to do and help provide resources to be well and live better lives even with some restrictions and limitations
- Discussion was had regarding the importance and the impact playing sports has on a person's life
- Thanks were given to the appointee for his service in the community

The motion carried by a voice vote and the Appointment was sent to the Consent Calendar.

10. [AP051022TR](#)

**APPOINTMENT OF TIHISHA RAWLINS TO THE COMMISSION FOR PERSONS WITH
DISABILITIES (TERM EXPIRES DECEMBER 12, 2025)**

Attachments: [APT Commission for Persons with Disabilities 04.25.22.pdf](#)

[Tihisha Rawlins 04-25-22.pdf](#)

[Applicants for Board - Commission for Persons with Disabilities -
04-25-22.pdf](#)

[Current Board - Commission for Persons with Disabilities 04-25-22.pdf](#)

A motion was made by Committee Member Purvis, seconded by Committee Member Winkler, that this Appointment be recommended for approval.

The following was discussed:

- Althea Jackson, Mayor's Office
- Tihisha Rawlins, Appointee
- Committee Member Reed

The following was discussed:

- The appointee has lived in Louisville/Jefferson County for 16 years and 10 months
- The appointee is employed with outreach and advocacy with the American Association of Retired Persons (AARP) for approximately 17 years
- The appointee resides in District 18
- The appointee is an advocate for older adults and persons with disabilities
- The appointee has two chronically ill/disabled children
- The appointee sits on the Cystic Fibrosis Foundation, Kentucky/West Virginia Chapter

The motion carried by a voice vote and the Appointment was sent to the Consent Calendar.

11. [AP051022JT](#)

APPOINTMENT OF JASMINE TATE TO THE NULU REVIEW OVERLAY DISTRICT COMMITTEE (TERM EXPIRES FEBRUARY 26, 2025).

Attachments: [APT Nulu Review Overlay District Committee 04.25.22.pdf](#)

[Jasmine Tate 04-25-22.pdf](#)

[Applicants for Board - Nulu Review Overlay District Committee - 04-25-22 JT.pdf](#)

[Current - Nulu Review Overlay District Committee - 04-25-22.pdf](#)

A motion was made by Committee Member Winkler, seconded by, Committee Member Purvis, that this Appointment be recommended for approval.

Althea Jackson, Mayor's Office, spoke to this item.

The following was discussed:

- The appointee would be replacing the former board members seat on the committee as the former board member has completed her term
- The appointee has lived in Louisville/Jefferson County for 33 years
- The appointee is a finance analyst with Brown-Forman Corporation
- The appointee will be representing the prescribed seat for the Phoenix Hill Neighborhood Association
- The appointee resides in District 4

The motion carried by a voice vote and the Appointment was sent to the Consent Calendar.

12. [RP051022WM](#)

REAPPOINTMENT OF WILLIAM MARZIAN TO THE NULU REVIEW OVERLAY DISTRICT COMMITTEE (TERM EXPIRES FEBRUARY 26, 2025).

Attachments: [APT Nulu Review Overlay District Committee 04.25.22.pdf](#)

[William Marzian 04-25-22.pdf](#)

[Applicants for Board - Nulu Review Overlay District Committee - 04-25-22 WM.pdf](#)

[Current - Nulu Review Overlay District Committee - 04-25-22 WM.pdf](#)

A motion was made by Committee Member Purvis, seconded by Committee Member Reed, that this Reappointment be recommended for approval.

Althea Jackson, Mayor's Office, spoke to this item.

The following was discussed:

- The appointee is a long time resident of Louisville/Jefferson County
- The appointee is a property developer and manager
- The appointee resides in District 8

The motion carried by a voice vote and the Reappointment was sent to the Consent Calendar.

13. [RP051022RE](#)

REAPPOINTMENT OF ROBYN EMBRY TO THE DOMESTIC VIOLENCE PREVENTION COORDINATING COUNCIL (TERM EXPIRES APRIL 26, 2025).

Attachments: [APT Domestic Violence Prevention Coordinating Council 04.25.22.pdf](#)
[Robyn Embry 04-25-22.pdf](#)
[Applicants for Board - Domestic Violence Prevention 04-25-22 RE.pdf](#)
[Current Board - Domestic Violence Prevention 04-25-22 RE.pdf](#)

A motion was made by Committee Member Winkler, seconded by Committee Member Purvis, that this Reappointment be recommended for approval.

The following spoke to this item:

- Althea Jackson, Mayors Office
- Robyn Embry, Appointee
- Vice Chair Piagentini

The following was discussed:

- The appointee has lived in Louisville/Jefferson County for 16 years
- The appointee is a therapist with New Beginning Education
- The appointee resides in District 15
- The appointee is originally from Richmond, KY
- The appointee is an Eastern Kentucky the University alumnus
- The appointee earned her Master's Degree at Raymond A. Kent School of Social Work and Family Science at University of Louisville
- Comments were made regarding the appointee's work with various organizations

The motion carried by a voice vote and the Reappointment was sent to the Consent Calendar.

14. [RP051022PK](#)

REAPPOINTMENT OF PATRICIA KLIKA TO THE DOMESTIC VIOLENCE PREVENTION COORDINATING COUNCIL (TERM EXPIRES APRIL 26, 2025).

Attachments: [APT Domestic Violence Prevention Coordinating Council 04.25.22.pdf](#)
[Patricia Klika 04-25-22.pdf](#)
[Applicants for Board - Domestic Violence Prevention 04-25-22 PK.pdf](#)
[Current Board - Domestic Violence Prevention 04-25-22 PK.pdf](#)

A motion was made by Committee Member Winkler, seconded by Committee Member Fox, that this Reappointment be recommended for approval.

Althea Jackson, Mayor's Office, spoke to this item.

The following was discussed:

- The appointee has lived in Louisville/Jefferson County since 2004
- The appointee is retired
- The appointee resides in District 16

The motion carried by a voice vote and the Reappointment was sent to the Consent Calendar.

15. [RP051022MH](#)

**REAPPOINTMENT OF MATTHEW HARRELL TO THE AFFORDABLE HOUSING TRUST
FUND BOARD (TERM EXPIRES DECEMBER 31, 2025)**

Attachments: [APT Affordable Housing Trust Fund Board 04.25.22.pdf](#)

[Matthew Harrell 04-25-22.pdf](#)

[Applicants for Board - Affordable Housing Trust Fund Board 04-25-22
MH.pdf](#)

[Current Board - Affordable Housing Trust Fund Board 04-25-22 MH.pdf](#)

A motion was made by Committee Member Winkler, seconded by Committee Member Fox, that this Reappointment be recommended for approval.

Althea Jackson, Mayor's Office, spoke to this item.

The following was discussed:

- The appointee has lived in Louisville/Jefferson County for approximately 10 years
- The appointee resides in District 5
- The appointee is employed with Apk Development & Construction, LLC
- The appointee is filling the member-at-large seat on this board

The motion carried by a voice vote and the Reappointment was sent to the Consent Calendar.

16. [RP051022PD](#)

REAPPOINTMENT OF PHILLIP DIBLASI TO THE CEMETERY BOARD (TERM EXPIRES APRIL 13, 2025).

Attachments: [APT Cemetery Board 04.25.22.pdf](#)
[Phillip DiBlasi 04-25-22.pdf](#)
[Applicants for Board - Cemetery Board 04-25-22 PD.pdf](#)
[Current Board - Cemetery Board 04-25-22 PD.pdf](#)

A motion was made by Committee Member Winkler, seconded by Committee Member Purvis, that this Reappointment be recommended for approval.

The following spoke to this item:

- Althea Jackson, Mayor's Office
- Phillip DiBlasi, Appointee

The following was discussed:

- The appointee has lived in Louisville/Jefferson County since 1979
- The appointee is a retired archaeologists from the University of Louisville
- The appointee resides in District 4
- The appointee is the Chair on this board

The motion carried by a voice vote and the Reappointment was sent to the Consent Calendar.

17. [O-058-22](#)

AN ORDINANCE AMENDING SECTIONS 21.02, 21.06 AND 21.99 OF THE LOUISVILLE METRO CODE OF ORDINANCES ("LMCO") AND CREATING NEW SECTIONS OF LMCO CHAPTER 21 RELATING TO THE LOBBYING OF METRO OFFICERS.

Sponsors: Primary Bill Hollander (D-9) and Additional Cassie Chambers Armstrong (D-8)

Attachments: [O-058-22 PROPOSED CABS 061422 Amend LMCO RE Lobbying of Metro Officers.pdf](#)
[O-058-22 V.1 021722 Amend LMCO RE Lobbying of Metro Officers.pdf](#)

This item remained held in committee.

18. [R-044-22](#)

A RESOLUTION DETAILING THE EXPECTATIONS OF METRO COUNCIL FOR [ALL LOUISVILLE METRO DEPARTMENTS, AGENCIES,] CERTAIN ENTITIES GOVERNED BY BOARDS[,] AND COMMISSIONS[, AND AUTHORITIES] REGARDING BEST PRACTICES FOR BOARD TRAINING, EXPENSE AUTHORIZATIONS, PROCUREMENT, AND HIRING. (AS AMENDED)

Sponsors: Primary Anthony Piagentini (R-19)

Attachments: [R-044-22 V.3 CAM 051022 Detailing the Expectations of Council for Certain Entities Governed by Boards and Commissions RE Best Practices.pdf](#)
[R-044-22 PROPOSED CAM 051022 Detailing the Expectations of Council for Certain Entities Governed by Boards and Commissions RE Best Practices.pdf](#)
[R-044-22 V.2 CAM 030822 Detailing the Expectations of Council for Certain Entities Governed by Boards and Commissions RE Best Practices.pdf](#)
[R-044-22 V.1 030322 Detailing the Expectations of Council for All Louisville Metro Departments, Agencies, Boards, commissions and Authorities RE Best Practices.pdf](#)
[RES 066 2022.pdf](#)

A motion was made by Committee Member Winkler, seconded by Committee Member Fox, that this Resolution be recommended for approval.

Vice Chair Piagentini spoke to this item spoke to this item regarding the proposed amendment as shown in the attached document titled: "R-044-22 PROPOSED CAM 051022 Detailing the Expectations of Council for Certain Entities Governed by Boards and Commissions RE Best Practices.pdf."

A motion was made by Vice Chair Piagentini, seconded by Committee Member Fox, that this Resolution be amended as stated above.

Vice Chair Piagentini spoke to the proposed amendment.

The following was discussed:

- The amendments in the Resolution was briefly reviewed
- Clarifying language was added for those who were being nominated for the first time -- examples were provided
- Section II of this Resolution was removed

The motion to amend carried by a voice vote.

The motion to recommend for approval carried by a voice vote and the amended Resolution was sent to Old Business.

Adjournment

Without objection, Vice Chair Piagentini adjourned the meeting at 6:35 p.m.

Note: Items sent to the Consent Calendar or Old Business will be heard before the full Council at the Metro Council meeting on May 19, 2022.