



# Louisville Metro Government

## Legislation Details (With Text)

**File #:** R-168-18      **Version:** 2      **Name:**  
**Type:** Resolution      **Status:** Passed  
**File created:** 9/7/2018      **In control:** Metro Council  
**On agenda:** 10/11/2018      **Final action:** 10/11/2018  
**Title:** A RESOLUTION REQUESTING THE OFFICE OF INTERNAL AUDIT OF THE LOUISVILLE/JEFFERSON COUNTY METRO GOVERNMENT TO CONDUCT AN AUDIT OF THE LEGAL CLAIMS FILED AGAINST LOUISVILLE METRO GOVERNMENT BETWEEN AUGUST 2013 AND AUGUST 2018. (AMENDMENT BY SUBSTITUTION.)  
**Sponsors:** David James (D-6)

**Indexes:**

**Code sections:**

**Attachments:** 1. R-168-18 V.2 CABS 100218 Requesting Audit of Legal Claims Filed Against LMG from January 2013 to August 2018.pdf, 2. R-168-18 V.2 CABS 100218 ATTACH Engagement Request Form.pdf, 3. R-168-18 PROPOSED CABS 100218 President James Audit of Legal Claims ABS sjm 10-2-18.pdf, 4. R-168-18 ATTACH PROPOSED CABS 100218 OIA Engagement Request Form\_Legal Claims\_10.2.18.pdf, 5. R-168-18 V.1 091318 Requesting Audit of Legal Claims Filed Against LMG from January 2013 to August 2018 .pdf, 6. R-168-18 V.1 091318 ATTACH Engagement Request Form.pdf, 7. RES 147 2018.pdf

Date	Ver.	Action By	Action	Result
10/11/2018	2	Metro Council	adopted	Pass
10/3/2018	1	Government Oversight, Audit and Ethics Committee		
10/2/2018	1	Government Oversight, Audit and Ethics Committee	amended	
10/2/2018	1	Government Oversight, Audit and Ethics Committee	recommended for approval	Pass
9/13/2018	1	Metro Council	assigned	

### RESOLUTION NO. \_\_\_\_\_, SERIES 2018

**A RESOLUTION REQUESTING THE OFFICE OF INTERNAL AUDIT OF THE LOUISVILLE/JEFFERSON COUNTY METRO GOVERNMENT TO CONDUCT AN AUDIT OF THE LEGAL CLAIMS FILED AGAINST LOUISVILLE METRO GOVERNMENT BETWEEN AUGUST 2013 AND AUGUST 2018. (AMENDMENT BY SUBSTITUTION.)**

**SPONSORED BY: PRESIDENT JAMES**

**WHEREAS**, KRS § 67C.103 grants the Legislative Council of the Louisville/Jefferson County Metro Government (“Metro Council”) the power to request the Office of Internal Audit (the “Internal Auditor”) to perform an audit concerning the affairs of Louisville/Jefferson County Metro Government (“Louisville Metro Government”);

**WHEREAS**, the Internal Auditor’s Policies and Procedures and IIA Standards require a formal request when Metro Council desires to define the scope and objective of an audit; and

**WHEREAS**, Metro Council, pursuant to KRS § 67C.103, formally requests the Internal Auditor to perform an assurance audit of the claims filed against Louisville Metro Government between August 2013 and August 2018, with the recommended objective and scope described in the attached engagement request form; and

**WHEREAS**, Metro Council understands the Internal Auditor may need to slightly modify the recommended objective and scope based on additional information received regarding the program/process under review and the availability, adequacy, and sensitivity of required data;

**WHEREAS**, if Metro Council’s objective and scope are impractical or unfeasible, the Internal Auditor will either (1) provide a mid-audit status report or testimony regarding the challenges encountered to give Metro Council the opportunity to amend the objective and scope of the audit or (2) the Internal Auditor will detail the limitations encountered in her final report to explain why the objective and scope of the audit could not be accomplished.

**NOW, THEREFORE, BE IT RESOLVED BY THE LEGISLATIVE COUNCIL OF THE LOUISVILLE/JEFFERSON COUNTY METRO GOVERNMENT (“METRO COUNCIL”) AS FOLLOWS:**

**SECTION I:** Metro Council formally requests the Internal Auditor to conduct an assurance audit of the legal claims filed against Louisville Metro Government between August 2013 and August 2018, as more particularly defined in the attached engagement request form.

**SECTION II:** Upon completion, the Internal Auditor will report the findings to Metro Council.

**SECTION III:** This Resolution shall take effect upon its passage and approval.

\_\_\_\_\_  
Stephen Ott Metro Council Clerk

H. \_\_\_\_\_  
James President of the Council

David

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Greg Fischer Mayor

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Approval Date

**APPROVED AS TO FORM AND LEGALITY:**

Michael J. O'Connell  
Jefferson County Attorney

BY: \_\_\_\_\_

R-168-18 President James Audit of Legal Claims ABS sjm 10-2-18.docx